File No. 120321

Committee Item No. 1
Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance Sub-Committee **Date:** April 18, 2012

Board of Supervisors Meeting **Date** _____

Cmte	Board	
☐	☐	**Motion**
☐	☐	**Resolution**
☒	☐	**Ordinance**
☒	☐	**Legislative Digest**
☐	☐	**Budget & Legislative Analyst Report**
☐	☐	**Ethics Form 126**
☐	☐	**Introduction Form (for hearings)**
☒	☐	**Department/Agency Cover Letter and/or Report**
☐	☐	**MOU**
☒	☐	**Grant Information Form**
☒	☐	**Grant Budget**
☐	☐	**Subcontract Budget**
☐	☐	**Contract/Agreement**
☒	☐	**Award Letter**
☒	☐	**Application**

OTHER **(Use back side if additional space is needed)**

☐ ☐ _____
☐ ☐ _____
☐ ☐ _____
☐ ☐ _____
☐ ☐ _____
☐ ☐ _____
☐ ☐ _____

Completed by: Victor Young **Date:** April 12, 2012
Completed by: Victor Young **Date:** _____

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is in the file.

FILE NO. 120321 ORDINANCE NO.

[Accept and Expend Grant - FY2011 Urban Areas Security Initiative Grant - $35,546,960]

Ordinance authorizing the Department of Emergency Management, on behalf of the City and County San Francisco, as the primary grantee for the combined Bay Area Urban Area and as the fiscal agent for the Urban Areas Security Initiative Approval Authority (UASI), to retroactively accept and expend a UASI grant in the amount of $35,546,960 from the United States Department of Homeland Security, through the California Emergency Management Agency; and amending Ordinance No. 146-11 (Annual Salary Ordinance, FY 2011-2012) to reflect the addition of one grant-funded position in the Police Department, as follows: one position (.33 FTE) in Class 1824 Principal Administrative Analyst.

Note: Additions are *single-underline italics Times New Roman*; deletions are ~~*strikethrough italics Times New Roman*~~. Board amendment additions are double underlined; Board amendment deletions are ~~strikethrough normal~~.

Be it ordained by the People of the City and County of San Francisco:

Section 1. Findings.

(a) Urban Areas Security Initiative ("UASI") Program. The United States Department of Homeland Security ("DHS") has a Homeland Security Grant Program, which includes the UASI Program. The UASI Program addresses the unique planning, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and assists those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from threats and acts of terrorism. DHS distributes UASI grant funds to recipient jurisdictions in California through the California Emergency Management Agency (CalEMA), which administers the UASI Program within the State.

(b) Bay Area Urban Area. Since fiscal year 2006-2007, DHS has combined the three separate Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of San Jose into a single, combined "Bay Area Urban Area" for application, allocation and distribution of UASI grant funds. The Bay Area Urban Area includes the ten contiguous Bay Area counties and other governmental entities within those counties. The Bay Area Urban Area is one of seven "Tier 1" Urban Areas in the Nation.

(c) Urban Area Working Group ("UAWG") Requirement. For the UASI Program, DHS requires each Urban Area receiving funds to establish an UAWG to act as an executive steering committee, provide overall governance and coordinate development and implementation of all UASI Program initiatives within the applicable region, and ensure that all UASI program requirements are fulfilled.

(d) UASI Approval Authority. Beginning in fiscal year 2006-2007, the City and County of San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa Clara County committed to cooperate in applying for, allocating and distributing UASI grant funds. Those jurisdictions entered a Memorandum of Understanding ("MOU") setting forth their agreements regarding the Bay Area Urban Area's mission, governance and reporting structures, responsibilities, and financial arrangements, and establishing processes and mechanisms to use in applying for, allocating and distributing UASI grant funds for the Bay Area Urban Area. The MOU created the UASI Approval Authority, comprised of representatives from the City and County of San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa Clara County, and also created an Advisory Group and a UASI Management Team, with working groups, to support and assist the Approval Authority. DHS and CalEMA approved the Approval Authority and related layers of governance structure as the UAWG for the Bay Area Urban Area. The parties entered into an updated MOU effective July 1, 2007, which continued the UASI Approval Authority and UASI

Management Team structure. DHS and CalEMA approved that governance structure as the UAWG for the Bay Area Urban Area in fiscal years 2007-2008, 2008-2009, 2009-2010 and 2010-2011. The Board of Supervisors approved amendments to extend the term of that updated MOU, to permit the parties to negotiate a successor MOU, in Resolutions No. 111-11, File No. 110078 and No. 239-11, File No. 110603. The City and County of San Francisco finalized a successor MOU dated December 1, 2011 with the Cities of Oakland and San Jose and the Counties of Alameda, Contra Costa, Marin, Monterey, San Mateo, Santa Clara and Sonoma, and the Board of Supervisors approved that MOU in Resolution No. 478-11, File No. 111053. Under the successor MOU, the UASI Approval Authority is now an eleven member body, comprised of two representatives from San Francisco and one representative from each of the other jurisdictions that is party to the successor MOU. DHS and CalEMA approval of this reconstituted Approval Authority as the UAWG for the Bay Area Urban Area for fiscal year 2011-2012 is pending.

(e) Methodology for allocating funds; San Francisco as primary grantee and fiscal agent for UASI Approval Authority. The UASI Approval Authority makes decisions regarding allocation of UASI funds throughout the Bay Area Urban Area. The City and County of San Francisco is the primary grantee of UASI funds for the Urban Area and serves as the fiscal agent for the Approval Authority. San Francisco disburses funds to jurisdictions in the Bay Area Urban Area pursuant to the Approval Authority's direction.

(f) The City and County of San Francisco has participated in federal and state homeland security grant programs since their inception, and deems participation in those programs as vital to the continued well-being of its citizens.

(g) Fiscal Year 2011 UASI Grant Award, Use of UASI Funds. The DHS awarded the Bay Area Urban Area $35,546,960 in UASI grant funds for Fiscal Year 2011. Regionally, the grant funds will be used to purchase equipment and conduct planning, training and exercise

activities, as described more fully in the materials on file with the Clerk of the Board of Supervisors in File No. 120321, which are hereby declared to be a part of this ordinance as if set forth fully herein. The grant does not include any provisions for indirect costs.

(h) The City and County of San Francisco intends to use a portion of its share of the UASI grant funds to continue funding certain existing positions and to create one new grant-funded position within the City and County, at the Police Department.

(i) The performance period for the FY11 UASI grant is from November 17, 2011 through April 30, 2014.

Section 2. Authorization to Accept and Expend Grant Funds.

(a) The Board of Supervisors hereby authorizes the Department of Emergency Management to retroactively accept and expend, on behalf of the City and County of San Francisco, as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, $35,546,960 in UASI grant funds for fiscal year 2011 from the DHS, through the CalEMA.

(b) The grant does not include any provisions for indirect costs, and indirect costs are hereby waived.

(c) The Executive Director of the Department of Emergency Management, or designee, is authorized to furnish whatever additional information or assurances the DHS or CalEMA may request in connection with this grant, to execute any and all agreements or other documents, and to take any other steps necessary to accept, distribute and expend the grant funds.

Section 3. Grant Funded Positions; Amendment to FY 2011-2012 Annual Salary Ordinance. The hereinafter designated sections and items of Ordinance No. 146-11 (Annual Salary Ordinance, FY 2011-2012) are hereby amended to add one position at the Police Department as follows:

Department: POL Police Department
Program: BIV
Subfund: 2SPPFHLS
Index Code: 380765

Amendment	# of Pos.	Class and Item No.	Compensation Schedule
Add	*.33 FTE*	*1824 Principal Administrative Analyst*	*$3,327B$4,044*

APPROVED AS TO FORM:
DENNIS J. HERRERA, City Attorney

By: _____
KATHARINE HOBIN PORTER
Deputy City Attorney

APPROVED AS TO CLASSIFICATION:
DEPARTMENT OF HUMAN RESOURCES

By: _____ 3/6/12
MICKI CALLAHAN, Director
Department of Human Resources

RECOMMENDED:

_____
ANNE KRONENBERG, Executive Director
Department of Emergency Management

_____
EDWIN LEE
Mayor

_____
For BEN ROSENFIELD
Controller

FILE NO. 120321

LEGISLATIVE DIGEST

[Accept and Expend FY 11 Urban Areas Security Initiative Grant and Amend Annual Salary Ordinance, FY 2011-2012]

Ordinance authorizing the Department of Emergency Management, on behalf of the City and County San Francisco, as the primary grantee for the combined Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to retroactively accept and expend an Urban Areas Security Initiative ("UASI") grant in the amount of $35,546,960 from the United States Department of Homeland Security, through the California Emergency Management Agency; and amending Ordinance No. 146-11 (Annual Salary Ordinance, FY 2011-2012) to reflect the addition of one grant-funded position in the Police Department, as follows: one position (.33 FTE) in Class 1824 Principal Administrative Analyst.

Background Information

The United States Department of Homeland Security ("DHS"), through its Urban Areas Security Initiative ("UASI") Grant Program, provides funding for the planning, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and assists those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from threats and acts of terrorism.

The combined Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of San Jose form the Bay Area Urban Area, a Tier I Urban Area. The Bay Area Urban Area includes the ten contiguous Bay Area counties and governmental entities within those counties.

San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa Clara County have entered a Memorandum of Understanding ("MOU") regarding the Bay Area Urban Area's mission, governance and reporting structures, responsibilities, and financial arrangements, as well as processes and mechanisms to use in applying for, allocating and distributing UASI grant funds through the Bay Area Urban Area. Under the MOU, the UASI Approval Authority determines the allocation and distribution of UASI grant funds. San Francisco serves as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the Approval Authority. San Francisco disburses UASI funds pursuant to the Approval Authority's direction.

For fiscal year 2011, the Bay Area Urban Area was awarded $35,546,960. The term of the grant is from November 17, 2011 through April 30, 2014. The grant funds will be distributed throughout the region for planning, equipment, training, exercise, and organizational initiatives determined by the Approval Authority. San Francisco will use a portion of its share of the grant funds to continue funding existing positions and to create a new position.

Proposed Ordinance

The proposed ordinance authorizes the Department of Emergency Management (DEM), on behalf of the City and County of San Francisco as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to accept and expend $35,546,960 in UASI grant funds for fiscal year 2011 from the United States Department of Homeland Security, through the California Emergency Management Agency. The proposed ordinance also authorizes DEM's Executive Director, or designee, to take any steps necessary to accept, distribute and expend the grant funds.

Pursuant to Administrative Code §10.170-1(b), the proposed ordinance also amends the Annual Salary Ordinance, FY2011-2012, to reflect the addition of one (1) new grant-funded position at the Police Department.

Edwin M. Lee
Mayor

Department of Emergency Management
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services
Phone: (415) 487-5000 Fax: (415) 487-5043

SFDEM
SAN FRANCISCO DEPARTMENT OF EMERGENCY MANAGEMENT

Anne Kronenberg
Executive Director

March 13, 2012

Ms. Angela Calvillo
Clerk of the Board
San Francisco Board of Supervisors
City and County of San Francisco
City Hall

Re: FY 11 Urban Areas Security Initiative Grant Accept and Expend Ordinance

Dear Ms. Calvillo:

Attached is the packet of documents for the FY 11 Urban Areas Security Initiative Grant Accept and Expend Ordinance. All documents listed in the Ordinance Checklist are included.

Thank you for your assistance in this matter. If you should have any questions, please do not hesitate to contact me at 558-2745.

Sincerely,

Anne Kronenberg
Executive Director

TO: **Angela Calvillo, Clerk of the Board of Supervisors**

FROM: **Anne Kronenberg**

DATE: **March 13, 2012**

SUBJECT: **Accept and Expend Ordinance for Subject Grant**

GRANT TITLE: **FY 11 Urban Areas Security Initiative**

Attached please find the original and 4 copies of each of the following:

_X_ Proposed grant ordinance; original signed by Department, Mayor, Controller

_X_ Grant information form, including disability checklist

_X_ Grant budget

_X_ Grant application

_X_ Grant award letter from funding agency

_X_ Other (Explain): Grant Assurances

Special Timeline Requirements:

Departmental representative to receive a copy of the adopted resolution:

Name: Mary Landers Phone: 705-8531

Interoffice Mail Address:

Certified copy required Yes ☒ No ☐

(Note: certified copies have the seal of the City/County affixed and are occasionally required by funding agencies. In most cases ordinary copies without the seal are sufficient).

File Number: _____
(Provided by Clerk of Board of Supervisors)

Grant Information Form
(Effective March 2005)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: FY 11 Urban Areas Security Initiative Grant

2. Department: Department of Emergency Management

3. Contact Person: Mary Landers Telephone: 705-8531

4. Grant Approval Status (check one):

[X] Approved by funding agency [ ] Not yet approved

5. Amount of Grant Funding Approved or Applied for: $35,546,960

6a. Matching Funds Required: $ N/A
b. Source(s) of matching funds (if applicable):

7a. Grant Source Agency: U.S. Dept. of Homeland Security
b. Grant Pass-Through Agency (if applicable): California Emergency Management Agency

8. Proposed Grant Project Summary: This grant provides funding to prevent, prepare for, respond to, and recover from threats or acts of terrorism.

9. Grant Project Schedule, as allowed in approval documents, or as proposed:

Start-Date: November 17, 2011 End-Date: April 30, 2014

10a. Amount budgeted for contractual services: $1,557,557

b. Will contractual services be put out to bid? Yes.

c. If so, will contract services help to further the goals of the department's MBE/WBE requirements? No. Not allowed under Homeland Security Grant Guidelines.

d. Is this likely to be a one-time or ongoing request for contracting out? One-time.

11a. Does the budget include indirect costs? [ ] Yes [X] No

b1. If yes, how much? $
b2. How was the amount calculated?

c. If no, why are indirect costs not included?

[X] Not allowed by granting agency [ ] To maximize use of grant funds on direct services
[ ] Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs? Unknown

12. Any other significant grant requirements or comments:

****Disability Access Checklist*****

13. This Grant is intended for activities at (check all that apply):

[X] Existing Site(s)	[X] Existing Structure(s)	[X] Existing Program(s) or Service(s)
[ ] Rehabilitated Site(s)	[ ] Rehabilitated Structure(s)	[X] New Program(s) or Service(s)
[X] New Site(s)	[ ] New Structure(s)	

14. The Departmental ADA Coordinator and/or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local access laws and regulations and will allow the full inclusion of persons with disabilities, or will require unreasonable hardship exceptions, as described in the comments section:

Comments:

Departmental or Mayor's Office of Disability Reviewer: Terrence Daniel
(Name)

Date Reviewed: February 14, 2012

Department Approval: Anne Kronenberg Executive Dir., Dept. of Emergency Management
(Name) (Title)

(Signature)

Edwin M. Lee
Mayor

Department of Emergency Management
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services
Phone: (415) 487-5000 Fax: (415) 487-5043

SAN FRANCISCO DEPARTMENT OF EMERGENCY MANAGEMENT

Anne Kronenberg
Executive Director

To: Angela Calvillo, Clerk of the Board of Supervisors

From: Anne Kronenberg
Executive Director, Department of Emergency Management

Date: March 13, 2012

RE: **Ordinance to Accept and Expend Bay Area Urban Areas Security Initiative (UASI) FY 2011 Homeland Security Grant Program Funds**

The Department of Emergency Management is pleased to present the following Grant Report in summary of the above-referenced item submitted by the Department of Emergency Management.

Legislation For Approval: The proposed ordinance seeks the Board of Supervisors' approval for the acceptance (retroactive) and expenditure of $35,546,960 in funds from the Federal Department of Homeland Security (DHS) through the California Emergency Management Agency (CalEMA) to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from natural or human caused disasters as well as threats and terrorism.

This grant was awarded to the Bay Area UASI from the Department of Homeland Security. It is a pass through from the California Emergency Management Agency. The focus of the funding is to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, like the San Francisco Bay Area, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from disasters, threats and terrorism. San Francisco will continue to act as the fiscal agent for these funds.

Departments: **Department of Emergency Management (DEM).** Department will act as fiscal agent and expenditures are to benefit the Bay Area UASI which includes the three former separate UASI cities: the City and County of San Francisco, the City of Oakland, and the City of San Jose, as well as the twelve contiguous Bay Area counties and the other Bay Area governmental jurisdictions within those counties.

The Bay Area UASI was established, in grant year FY2006, as a requirement of the Department of Homeland Security for regions to create a governance structure for the distribution of the Urban Area Strategic Initiative grant funding. The San Francisco Bay Area is designated as a Tier One region, indicating a high level of risk and threat.

The Bay Area UASI is lead by a grant funded general manager and staff consisting of employees from the cities and counties within the Bay Area region. Based on requirements of the grant, as well as recommendations of regional working groups and committees, grants are allocated through a regional Advisory Group and under the policy direction of the Approval Authority, which consists of representatives of the Cities of San Jose, Oakland, and San Francisco, and the Counties of Alameda, Contra Costa, Marin, Monterey, Santa Clara, San Francisco, San Mateo, and Sonoma. CalEMA also holds a seat on the Approval Authority. The chair of the Approval Authority is the Executive Director of the San Francisco Department of Emergency Management.

As the fiscal agent, DEM would be authorized to accept and expend these grant funds on behalf of the Bay Area, and to receive grant reimbursements for expenses incurred on behalf of the region.

DEM is responsible for administering the grant for the Bay Area UASI, ensuring that the grant funds are spent according to the grant guidelines, and ensuring that each of the Bay Area counties and cities that may receive a portion of these grant funds adheres to the grant and financial guidelines.

Each sub-recipient county or city that participates in this grant will enter into a grant MOU with DEM that outlines their obligation under the grant allocation, including requirements for contracting and procurement, record keeping and reimbursement documentation, and adherence to federal grant assurances.

Amount: Grant allocation to the UASI in the amount of $35,546,960 to be allocated to the above jurisdictions by agreement of the UASI Approval Authority which will act as the executive steering committee.

Grant Period: November 17, 2011 through April 30, 2014

Retroactivity: The Accept and Expend Legislation requests retroactive approval because the Federal Grant period commenced before the legislation was introduced. No grant funds will be encumbered or expended until the Board of Supervisors approves the Accept and Expend legislation.

Source of funds: U.S. Department of Homeland Security, through the California Emergency Management Agency. No match is required.

Indirect Costs:

The proposed resolution waives indirect costs, because the grant program does not allow the charging of indirect costs.

Description:

The proposed ordinance authorizes the Department of Emergency Management to accept and expend $35,546,960 in federal grant funding from the FY 2011 Homeland Security Grant Program (Award # 2011-SS-0077, OES # 075-95017), issued by the U.S. Department of Homeland Security (DHS) through the California Emergency Management Agency (CalEMA) and to continue the DHS and CalEMA approved Memorandum of Understanding (MOU) of 2006 through the updated 2011 MOU regarding the UASI mission, governance structure, responsibilities, reporting structure and financial arrangements, and establishing structures, processes and mechanisms to use in applying for, allocating and distributing grant funds to the UASI region. These grant expenditures will provide financial assistance to address the unique planning, equipment, training, exercise, organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from disasters, threats and terrorism.

The three original core cities of San Francisco, Oakland, and San Jose have been allocated $1,000,000 each to fund planning, training, equipment, and exercises.

Budget:

The summary budget by activity for the subject grant is as follows:

(1) Equipment: $17,452,701

Funds will be used for the purchase of equipment for first responders throughout the region such as: Risk Management software, Terrorism Incident Response Equipment, Interoperable Communications Equipment, Information Technology Equipment, a watercraft, a CBRNE Response vehicle, a Specialized Mission Vehicle, and a Command vehicle upgrade, personal protective equipment, bomb squad equipment, and Citizen Corps equipment.

(2) Planning: $9,067,816

Of this amount, $1,915,408 will be used for the hiring of contractors for regional projects through the RFP process. Additional funding will be used by Alameda and Santa Clara Counties to pay for multidisciplinary project planning staff. San Francisco plans include the hire of one (1) staff member, an 1824 Principal Administrative Analyst to assist the Police Department in their Risk and Capability project. DEM will continue to fund an Emergency Response planner, a Risk Management Planner, and a strategic communications planner position. The San Francisco Fire and San Francisco Police Department will continue to

receive funding for one position each to provide updated plans and protocols within their departments.

(3) Training: $2,170,000

The amount represents the planned allocation to conduct regional Terrorism Liaison Officer training, regional Rescue Boat Operator Training, regional bomb squad classes, Hazmat training, Earthquake Management Training, Rescue system courses, tactical medicine courses, and various response courses for campus police departments.

(4) Exercises: $1,780,000

The amount represents the planned allocation for regional exercise planning, supplies, and the conduct of exercises using HSEEP (Homeland Security Exercise and Evaluation Program) guidelines and requirements. DEM will also continue funding an exercise planner and Alameda County will be provided funding to hire an Exercise overhead planning team.

(5) Organizational: $3,299,095

The amount represents the planned allocation for information sharing and collaboration as well as critical infrastructure protection. This amount pays for salary and fringe costs of regional intelligence analysts that staff the Northern California Regional Intelligence Center (NCRIC).

(6) Management & Administration $1,777,348

Management and Administration expenses include UASI grant administration staff, line item office expenses, Controller's Accounting, City Attorney, office and equipment maintenance and leases. The amount budgeted reflects 5% of the cash grant, which is the maximum allowable under the guidelines.

Total Budget: **$35,546,960**

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

(Cal EMA Use Only)

Cal EMA # 075-95017 FIPS # 075-95017 CFDA # 97.067 HSGP Grant # 2011-0077

CALIFORNIA EMERGENCY MANAGEMENT AGENCY
GRANT AWARD FACE SHEET (Cal EMA 2-101)

The California Emergency Management Agency, hereafter designated Cal EMA, hereby makes a Grant Award of funds to the following:

1. Grant Recipient: in the amount and for the purpose and duration set forth in this Grant Award.			
2. Implementing Agency:	Bay Area UASI	**2a. Congressional District:**	CD 08
2b. State Senate District #:	3 and 8	**2c. State Assembly District #:**	AD 13
2d. Location of Project:	San Francisco Bay Area	**2e. Congressional District(s):**	CD 08
3. Disaster/Program Title:	Urban Areas Security Initiative	**4. Performance Period:**	11/13/2011 **to** 1/31/2014

Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Project Cost
2011	5· UASI		$35,546,960				$0	$35,546,960
	6·						$0	$0
	7·						$0	$0
	8·						$0	$0
	9·						$0	$0
	10· **TOTALS**	$0	$35,546,960	$35,546,960	$0	$0	$0	10G. Total Project Cost: $35,546,960

11. This Grant Award consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications which are being submitted. I hereby certify I am vested with the authority to enter into this Grant Award Agreement, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or Approving Body. The Grant Recipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Award. The Grant Recipient signifies acceptance of this Grant Award and agrees to administer the grant project in accordance with the Grant Award as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal EMA policy and program guidelines. The Grant Recipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

12. Federal DUNS Number:	70384255			13. Federal Employer ID Number:		
14. Official Authorized to Sign for Applicant/Grant Recipient: Teresa Serata						
Name:	Teresa Serata			Title:	Director, Strategy and Compliance	
Telephone:	415-705-8520	FAX:	415-705-8513	Email:	teresa.serata@sfgov.org	
Payment Mailing Address:	10 Lombard St. #410			City:	San Francisco	Zip + 4: 94111-6205
Signature:				Date:	9/30/2011	

(FOR Cal EMA USE ONLY)

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

Cal EMA Fiscal Officer Date

Cal EMA Director (or designee) Date

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

AUTHORIZED BODY OF 5 - SIGNATURE AND CONTACT INFORMATION

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA # 97.067 HSGP

2011-0077
075-95017
075-95017

Authorized Body of 5- Signature and contact information

Position	Signature	Printed Name	Title	Phone	Email
County Public Health Officer					
County Fire Chief					
Municipal Fire Chief					
C .y Sheriff					
Chief of Police					
Additional Position (Optional)					
Additional Position (Optional)					

Additional Authorized Agent contact information

Authorized Agent's Name	Title	Mailing Address	City	State	Zip	Phone	Email
Craig Dziedic	General Manager	10 Lombard St. #410	San Francisco	CA	94111	415-705-8516	craig.dziedzic@sfgov.org
Teresa Serata	Director Strategy and Compliance	10 Lombard St. #410	San Francisco	CA	94111	415-705-8520	teresa.serata@sfgov.org
Contact's Name	**Title**	**Mailing Address**	**City**	**State**	**Zip**	**Phone**	**Email**
Teresa Serata	Director Strategy and Compliance	10 Lombard St. #410	San Francisco	CA	94111	415-705-8520	teresa.serata@sfgov.org
Mary Landers	Regional Planning Manager	10 Lombard St. #410	San Francisco	CA	94111	415-705-8531	mary.landers@sfgov.org

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

GRANT MANAGEMENT

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA # 97.067 HSGP

2011-0077
075-95017
075-95017

Name	Title	Area of Responsibility	Employee/Contractor	Employee Status
Craig Dziedzic	General Manager	Grant Administration	Employee	Full time
Teresa Serata	Director, Strategy & Compliance	Grant Administration	Employee	Full time
Mary Landers	Regional Planning Manager	Grant Management	Employee	Full time
Tristan Levardo	CFO	Fiscal	Employee	Full time
Jane Mason	Grant Monitoring & Audit Manager	Monitoring - Audits	Employee	Full time
Mikyung Kim-Molina	Analyst	Fiscal	Employee	Full time
Yuri Mimbu	Analyst	Fiscal	Employee	Full time
Susan Salvador	Analyst	Fiscal	Employee	Full time
Mark Eastus	Program Manager	Logistics	Employee	Full time
Jeff Blau	Interoperability Project Manager	Logistics	Employee	Full time
John Justice	CBRNE Project Manager	Logistics	Employee	Full time

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

Warning! Decimal usage is not allowed. Attempts to use decimals will prompt error message.

CFDA # 97.067 HSGP

LEDGER TYPE:	Initial Application
Today's Date:	September 30, 2011
Expenditure Period:	(Date) From:
	(Date) To:

2011-0077
075-95017
075-95017

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area	Solution Area Sub-Category	Total Obligated	Amount Approved Previous	**Amount This Request**	Match Amount	Total Approved	Remaining Balance	Percentage Complete
						EMPG/EOC	**35,546,960**	-	-	-		**35,385,960**	
1	A	Enhance Regional Risk Management and Planning	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	2,168,344					2,168,344	
2	A	Enhance Regional Risk Management and Planning	UASI	LE	Plan	Develop and Enhance Plans and Protocols	954,479					954,479	
3	A	Enhance Regional Risk Management and Planning	UASI	LE	Plan	Develop or Conduct Assessments	241,000					241,000	
4	A	Enhance Regional Risk Management and Planning	UASI	FS	Plan	Develop and Enhance Plans and Protocols	298,000					298,000	
5	A	Enhance Regional Risk Management and Planning	UASI	EMG	Equip	Information Technology	802,721					802,721	
6	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Plan	Develop and Enhance Plans and Protocols	733,882					733,882	
7	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Org	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	2,731,149					2,731,149	
8	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	FS	Org	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	372,946					372,946	
9	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	EMG	Org	Hiring of new staff/contractors	120,000					120,000	
10	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	FS	Org	Overtime for Information, Investigative, and Intelligence Sharing Activities	5,000					5,000	
11	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Org	Overtime for Information, Investigative, and Intelligence Sharing Activities	70,000					70,000	
12	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	Information Technology	39,405					39,405	
13	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	Terrorism Incident Prevention Equipment	931,860					931,860	
14	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	CBRNE Prevention and Response Watercraft	360,000					360,000	
15	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	Other Authorized Equipment	398,000					398,000	
16	B	Enhance Iniformation Analysis and Infrastructure Protection Capabilities	UASI	LE	Train	Desgin/Develop/ Conduct/Evaluat e	175,000					175,000	

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT DESCRIPTIONS

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA # 97.067 HSGP

2011-0077
075-95017
075-95017

Project	State Investment Justification Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project A	Goal 1, Obj 1.1	Provide Planning support for risk management and continue region-wide strategic planning and program management. The development of a risk and capabilities assessment based on the regions' goals and objectives in the Homeland Security Strategy will be used to enhance region-wide strategic planning and reporting. Risk Management softeware will be purchased to support the work of the planners.	Despite a strong foundation of regional planning, the Bay Area still has gaps in planning and risk management as found in current assessments. The region needs to collect and analyze more data for infomed decision making, updating of local emergency plans, and integrating these plans in the region. This will allow for a better understanding of trends in risk reduction and capability enhancements to evaluate grant effectiveness.	At the 6 month mark, this project will be 5% complete and $185,918 funds will be expended. At the 12 month mark, this project will be 20% complete and $743,673 funds will be expended. At the 18 month mark, this project will be 75% complete and $2,788,775 funds will be expended.
Project B	Goal 2, Obj. 2.1, 2.2, 2.3, 2.5	Provides funding for the Northern California Regional Intelligence Center (NCRIC) which is the Bay Area's Regional Threat Assessment Center. This fusion center provide comprehensive intelligence products that provides information regarding patterns relatied to terrorist operations and other criminal activity. In addition, this project will fund the continuing integration of RMS and AFR to organize large quantities of data and provide users with access to this data in secure repositories. It will also provide training for new and existing personnel at the NCRIC.	The need for continued funding exists in all areas of this project. Organization: need to sustain capability through the funding of 5 analysts in the fusion center (NCRIC) Planning: need updated and refined THIRAs and to establish buffer zone protection plans in Tier I CI/KR facilities in the region Equipment: need equipment at identified sites to protect critical infrastructure Training: need to conduct refresher training and training for new personnel. Need to continue integrating AFR/RMS systems in the region.	At the 6 month mark, this project will be 5% complete and $324,885 funds will be expended. At the 12 month mark, this project will be 20% complete and $1,299,542 funds will be expended. At the 18 month mark, this project will be 75% complete and $4,873,282 funds will be expended.
Project C	Goal 3, Obj. 3.1, 3.2	This project will continue to build capabilities by continuing the development of interoperable communications through BayRICs. This is a multi-phase, multi-year project and funds from this grant year will purchase dispatch consoles and the upgrade of regional command van communications equipment.	After Action Reports (AAR) from regional exercises and real world incidents have demonstrated the need for a BayRICs system of systems approach. The region is moving forward to ensure that coordinated voice communications and operational requirements within the region continue to improve.	At the 6-month mark, this project will be 5% complete and $487,775 funds will be expended. At the 12-month mark, this project will be 10% complete and $975,550 funds will be expended. At the 18-month mark, this project will be 50% complete and $4,877,751 funds will be expended.
Project D	Goal 4, Obj. 4.1, 4.2, 4.4, 4.6, 4.8	The project will continue to enhance the Bay Area regions' CBRNE response capabilities through the purchase of equipment, the development of plans, and the conduct of training.	The current CBRNE plan and assessment shows the need for equipment, vehicles, aircraft, and/or specially trained and equipped teams that can respond to a CBRNE incident. This investment works to close these gaps through the purchase of equipment and the training of specialilzed teams that will be strategically placed around the Bay Area to assist in the security of the region.	At the 6-month mark, this project will be 5% complete and $281,195 funds will be expended. At the 12-month mark, this project will be 10% complete and $562,390 funds will be expended. At the 18-month mark, this project will be 50% complete and $2,811,950 funds will be expended.

Project	State Investment Justification Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project E	Goal 5, Obj. 5.3, 5.7	This project is designed to support medical and public health preparedness by funding a website to provide comprehensive information for the general public; to purchase equipment for a bioterrorism event, and to develop plans and procedures for mass prophylaxis when needed.	Despite significant investment in its Emergency Medical Services, gaps remain in real time data gathering and interpret data in real time to enable early detection of infectious delsease outreadks, biological terrorist threats, CBRNE, and other large-scal events. Public outreach messages concerning the dispensing of mass prophylaxis medications must be regional and consistent. In addition, the complex process of tracking medication inventory, POD staffing, and other planning efforts require continued development.	At the 6-month mark, this project will be 5% complete and $27,720 funds will be expended. At the 12-month mark, this project will be 20% complete and $110,878 funds will be expended. At the 18-month mark, this project will be 75% complete and $415,793 funds will be expended.
Project F	Goal 6, Obj. 6.2	Funding in this project will support planning efforts to enhance community preparedness in the West Bay and develop a strategic, operational plan for service delivery to Access and Functional Needs (AFN) populations in the South Bay.	There is a need to continue engaging the community to ensure preparation. Therefore, the West Bay wishes to support its ongoing CERT programs and refine community preparedness messaging which can also be used region-wide. The South Bay is not adequately prepared to meet the needs of the AFN population. This project will allow for strategic planning to obtain greater coordination within the area.	At the 6-month mark, this project will be 5% complete and $64,215 funds will be expended. At the 12-month mark, this project will be 20% complete and $256,860 funds will be expended. At the 18-month mark, this project will be 75% complete and $963,225 funds will be expended.
Project G	Goal 7, Obj. 7.4	This project will support recovery capabilities in the region by continuing planning efforts through the developiment of recovery annexes and a mitigation project that will identify, prioritize, and implement disaster mitigation projects in order to increase public safety and reduce or elimnate the risk of futere damage to local resources, infrastructure, and structures.	Lifelines interdependency is a highly technical field of study requiring extensive data gathering and assessment. This project will work towards closing this identified gap. Recovery planning has been identified as a neglected component of the homeland security mission areas and this project is in response to an established goal in the Bay Area's Homeland Security Strategy.	At the 6-month mark, this project will be 5% complete and $28,960 funds will be expended. At the 12-month mark, this project will be 20% complete and $115,838 funds will be expended. At the 18-month mark, this project will be 75% complete and $434,393 funds will be expended.
Project H	Goal 8, Obj. 8.1, 8.2	This project funds the region's training and exercise program. The program has a multi-year strategic plan that includes Urban Shield, the region's annual multi-discipline, multi-location full scale exercise. The program continues to build and enhance agency capabilities throughout the Bay Area.	The identified need in this project is for the constant need for training on new lessons and for training of new personnel as needed.	At the 6-month mark, this project will be 2% complete and $115,125 funds will be expended. At the 12-month mark, this project will be 15% complete and $863,438 funds will be expended. At the 18-month mark, this project will be 50% complete and $2,878,127 funds will be expended.
Project I	All Goals	Management and Administration as allowed by the grant.		At the 6-month mark, this project will be __% complete and $___ funds will be expended. At the 12-month mark, this project will be __% complete and $___ funds will be expended. At the 18-month mark, this project will be __% complete and $___ funds will be expended.

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

EQUIPMENT INVENTORY

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA# 97.067 HSGP

LEDGER TYPE:	Initial Application
Today's Date:	September 30, 2011
Expenditure Period:	(Date) From:
	(Date) To:

2011-0077
075-95017
075-95017

Project	Equipment Description & Quantity	AEL #	AEL Title	SAFECOM construct	Funding Source	Discipline	Solution Area / SUB-category	Part of a Procurement over $100K	Sole Source Involved	Hold Trigger	Approval Date	Estimated Cost	Amount Approved this Project	Amount Drawn to Date	Cash Request	Total Approved	Remaining Balance
												17,452,701					16,899,980
A	Risk Management software (1)- San Mateo	04AP-04-RISK	SOFTWARE, RISK MANAGEMENT		UASI	EMG	Information Technology	Yes		No hold indicated		250,000					250,000
A	Risk Management software (1)- Management Team	04AP-04-RISK	SOFTWARE, RISK MANAGEMENT		UASI	EMG	Information Technology	Yes		No hold indicated		552,721					
B	Bizhub maintenance (3)- NCRIC	21GN-00-MAIN	MAINTENANCE		UASI	LE	Other Authorized Equipment			No hold indicated		7,000					7,000
B	GPSIT TRACKERS (20)- NCRIC	21GN-00-MAIN	MAINTENANCE		UASI	LE	Other Authorized Equipment			No hold indicated		8,500					8,500
B	Orion Trackers (10)- NCRIC	21GN-00-MAIN	MAINTENANCE		UASI	LE	Other Authorized Equipment			No hold indicated		6,500					6,500
B	ESRI Lic ense Maitenance (3)-NCRIC	21GN-00-MAIN	MAINTENANCE		UASI	LE	Other Authorized Equipment			No hold indicated		10,000					10,000
B	Computers for new analysts (2)- NCRIC	04HW-01-INHW	HARDWARE, COMPUTER INTEGRATED	No	UASI	LE	Information Technology			No hold indicated		12,905					12,905
B	Airlink Raven X Air card maintenance (10)- NCRIC	21GN-00-MAIN	MAINTENANCE		UASI	LE	Other Authorized Equipment			No hold indicated		6,000					6,000
B	Coplink Maintenance Fee (1)- San Mateo	21GN-00-MAIN	MAINTENANCE		UASI	LE	Other Authorized Equipment	Yes		No hold indicated		360,000					360,000
B	AFR-RMS (1) equipment- San Jose	13IT-00-DEXC	DATA EXCHANGE AND INTEROPERABILITY		UASI	LE	Terrorism Incident Prevention Equipment	Yes		No hold indicated		650,000					650,000
B	Boat(1)- San Mateo	17WC-00-BOAT	WATERCRAFT, CBRNE PREVENTION AND RESPONSE		UASI	LE	CBRNE Prevention and Response Watercraft	Yes		Aviation or Watercraft		360,000					360,000
B	Remote-controlled Cameras (2)- Sonoma County	04MD-01-VCAM	CAMERA, VIDEO		UASI	LE	Information Technology	No		EHP		26,500					26,500
B	Coplink Project (1)- Santa Clara	13IT-00-DEXC	DATA EXCHANGE AND INTEROPERABILITY		UASI	LE	Terrorism Incident Prevention Equipment	Yes		No hold indicated		281,860					281,860
C	Public notification and warning system (1)- San Francisco	04AP-09-ALRT	SYSTEMS, PUBLIC NOTIFICATION AND WARNING		UASI	EMG	Information Technology	Yes		EHP		415,000					415,000
C	Repeaters (26)- San Mateo	06CP-01-REPT	REPEATERS		UASI	LE	Interoperable Communications Equipment	Yes		EHP		1,000,000					1,000,000
C	Antenna/Tower (18)- San Mateo	06CP-03-TOWR	SYSTEMS, ANTENNA AND TOWER		UASI	LE	Interoperable Communications Equipment	Yes		EHP		300,000					300,000
C	Wide Area Digital Network (4)- San Mateo	06CP-04-WADN	NETWORK, WIDE AREA DIGITAL		UASI	LE	Interoperable Communications Equipment	Yes		No hold indicated		272,000					272,000
C	Upgrade SFPD Command Van Communications Equipment (3)- San Francisco	06CC-04-SADS	SERVICES, SATELLITE DATA		UASI	LE	Interoperable Communications Equipment	No		No hold indicated		25,000					25,000
C	Upgrade SFPD Command Van Communications Equipment (3)- San Francisco	06CP-01-BASE	RADIO, BASE		UASI	LE	Interoperable Communications Equipment	No		EHP		25,000					25,000
C	Upgrade SFPD Command Van Communications Equipment (3)- San Francisco	06CP-01-MOBL	RADIO, MOBILE		UASI	LE	Interoperable Communications Equipment	No		No hold indicated		25,000					25,000
C	Upgrade SFPD Command Van Communications Equipment (3)- San Francisco	06CP-03-PRAC	ACCESSORIES, PORTABLE RADIO		UASI	LE	Interoperable Communications Equipment	No		No hold indicated		25,000					25,000
C	Microwave Equipment (4) (PUC)- San Francisco	06CP-03-MWAV	RADIO, MICROWAVE LINK		UASI	PW	Interoperable Communications Equipment	Yes		EHP		275,000					275,000
C	Monopole/Tower (2) (PUC)- San Francisco	06CP-03-TOWR	SYSTEMS, ANTENNA AND TOWER		UASI	PSC	Interoperable Communications Equipment	Yes		EHP		100,000					100,000
C	Base Station (4) (PUC)- San Francisco	06CP-01-BASE	RADIO, BASE		UASI	PW	Interoperable Communications Equipment	Yes		EHP		275,000					275,000

Project	Equipment Description & (Quantity)	AEL #	AEL Title	SAFECOM consult	Funding Source	Discipline	Solution Area / Sub-Category	Part of a Procurement Over 100k	Sole Source Involved	Hold Trigger	Approval Date	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request Number	Total Approved	Remaining Balance
C	Portable radios (SFFD)- San Francisco	06CP-01-PORT	RADIO, PORTABLE		UASI	FS	Interoperable Communications Equipment	No		No hold indicated		87,500				[redacted]	87,500
C	Cad to Cad Equipment- SVRIA	04AP-01-CADS	SYSTEM, DISPASTCH, COMPUTER AIDED		UASI	PSC	Information Technology	Yes		No hold indicated		1,500,000				[redacted]	1,500,000
C	Antenna/Tower- City of Santa Clara	06CP-03-TOWR	SYSTEMS, ANTENNA AND TOWER		UASI	PSC	Interoperable Communications Equipment	Yes		EHP		300,000				[redacted]	300,000
C	Wide Area Digital Equipment- City of Santa Clara	06CP-04-WADN	NETWORK, WIDE AREA DIGITAL		UASI	PSC	Interoperable Communications Equipment	Yes		No hold indicated		400,000				[redacted]	400,000
C	Bridging equipment- SVRIA	06CP-02-BRDG	EQUIPMENT, BRIDGING/PATCHING/GATEWAY		UASI	PSC	Interoperable Communications Equipment	Yes		EHP		300,000				[redacted]	300,000
C	Dispatch Consoles (34)- EBRCSA	06CP-04-WADN	NETWORK, WIDE AREA DIGITAL		UASI	PSC	Interoperable Communications Equipment	Yes		No hold indicated		2,000,000				[redacted]	2,000,000
C	Alert and warning equipment- Hayward	04AP-09-ALRT	SYSTEMS, PUBLIC NOTIFICATION AND		UASI	FS	Information Technology	Yes		EHP		300,000				[redacted]	300,000
C	Specialized vehicle (1)- Oakland	12VE-00-SPEC	VEHICLE, SPECIALIZED EMERGENCY MANAGEMENT		UASI	LE	CBRNE Incident Response Vehicle	No		No hold indicated		60,000				[redacted]	60,000
C	Specialized vehicle mass notification equipment (1)- Oakland	03OE-03-MEGA	SYSTEM, PUBLIC ADDRESS, HANDHELD OR MOBILE		UASI	LE	CBRNE Search and Rescue Equipment	No		No hold indicated		16,000				[redacted]	16,000
C	Specialized vehicle mass notification equipment (1) - Oakland	03OE-03-SIGN	SIGNS		UASI	LE	CBRNE Search and Rescue Equipment	No		No hold indicated		20,000				[redacted]	20,000
C	P25 VHF for Fire/Dispatch- Oakland	06CP-01-BASE	RADIO, BASE		UASI	FS	Interoperable Communications Equipment	No		EHP		29,812				[redacted]	29,812
C	Bridging Equipment- Marin	06CP-02-BRDG	EQUIPMENT, BRIDGING/PATCHING/GATEWAY		UASI	PSC	Interoperable Communications Equipment	Yes		EHP		300,000				[redacted]	300,000
C	Wide Area Digital Equipment- Marin	06CP-04-WADN	NETWORK, WIDE AREA DIGITAL		UASI	PSC	Interoperable Communications Equipment	Yes		No hold indicated		450,000				[redacted]	450,000
C	P25 Conventional Overlay Expansion- Solano	06CP-01-BASE	RADIO, BASE		UASI	PSC	Interoperable Communications Equipment	Yes		EHP		287,050				[redacted]	287,050
C	Communications Center MSATs- Sonoma	06CC-03-SATB	PHONE, SATELITTE BASE		UASI	PSC	Interoperable Communications Equipment	No		No hold indicated		18,478				[redacted]	18,478
D	SCBAs (100)- San Francisco	01AR-01-SCBA	SCBBA, CBRN		UASI	FS	Personal Protective Equipment	Yes		No hold indicated		565,000				[redacted]	565,000
D	SCBAs (100)- San Francisco	01AR-01-SCBA	SCBBA, CBRN		UASI	LE	Personal Protective Equipment	No		No hold indicated		45,000				[redacted]	45,000
D	Command Vehicle Equipment (1)- San Francisco	04HW-01-MOBL	COMPUTER, MOBILE DATA		UASI	LE	Information Technology	No		No hold indicated		76,235				[redacted]	76,235
D	Command Vehicle Equipment (1)- San Francisco	06CP-01-MOBL	RADIO, MOBILE		UASI	LE	Interoperable Communications Equipment	No		No hold indicated		76,236				[redacted]	76,236
D	Command Vehicle Equipment Sales Tax- San Francisco	21GN-00-STAX	SALES TAX		UASI	LE	Other Authorized Equipment	No		No hold indicated		12,960				[redacted]	12,960
D	Personal Protective Equipment- San Mateo & San Francisco	01ZA-06-HYDR	Hydration System, Personal		UASI	LE	Other Authorized Equipment	No		No hold indicated		125,000				[redacted]	125,000
D	Personal Protective Equipment (100)- San Mateo & San Francisco	01SP-02-GRMT	Garment, Liquid Splash-Protective, NFPA 1992		UASI	LE	Personal Protective Equipment	No		No hold indicated		125,000				[redacted]	125,000
D	Personal Protective Equipment (100)- San Mateo & San Francisco	01AR-03-PAPB	BATTERY PACK, PAPR		UASI	LE	Personal Protective Equipment	No		No hold indicated		125,000				[redacted]	125,000
D	Personal Protective Equipment (100)- San Mateo & San Francisco	01AR-03-PAPC	CANNISTER, CBRN, PAPR		UASI	LE	Personal Protective Equipment	No		No hold indicated		125,000				[redacted]	125,000
D	Bomb Squad Remote Detonation Equipment (1)- San Mateo	02EX-02-TLEX	TOOLS, EXPLOSIVE, SUPPRESSION, DEFLECTION, PROTECTION		UASI	LE	Explosive Device Mitigation and Remediation Equipment	No		No hold indicated		80,000				[redacted]	80,000
D	HazMat chemical detector- San Francisco	07CD-01-FTIR	DETECTOR, FOURIER TRANSFORM INFRARED, POINT, CHEMICAL AGENT		UASI	PH	Detection	No		No hold indicated		80,000				[redacted]	80,000

Project	Equipment Description & (Quantity)	Type Code	Equipment Title	SAFECOM Consult	Funding Source	Discipline	Solution Area & Sub-Category	Part of a Procurement over $100k	Sole Source Involved	Hold Trigger	Approval Date	Estimated Cost	Amount Approved Previously	Amount This Request	Cash Request	Total Approved	Remaining Balance
D	Marine response night vision enhancement equipment (3)- San Francisco	03OE-02-TILA	OPTICS, THERMAL IMAGING AND/OR LIGHT AMPLIFICATION		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		65,000					65,000
D	Bendix King radios (2)- San Francisco	06CP-01-PORT	RADIO, PORTABLE		UASI	FS	Interoperable Communications Equipment	No		No hold indicated		3,242					3,242
D	Battery Packs (4)- San Francisco	10BC-00-BATT	BATTERIES, ALL TYPES, SIZES		UASI	FS	Power	No		No hold indicated		285					285
D	Battery Chargers (4)- San Francisco	10BC-00-SOLR	CHARGERS		UASI	FS	Power	No		No hold indicated		451					451
D	Portable Radio Accessories (4)- San Francisco	06CP-03-PRAC	ACCESSORIES, PORTABLE RADIO		UASI	FS	Interoperable Communications Equipment	No		No hold indicated		438					438
D	Portable lights (8)- San Francisco	03OE-03-LTPA	LIGHTING, PORTABLE AREA ILLUMINATION		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		10,245					10,245
D	Technical rescue garments (16- jackets & pants)- San Francisco	01UR-01-GARM	GARMENT, RESCUE AND RECOVERY, PROTECTIVE, TECHNICAL RESCUE INCIDENTS, NFPA 1951		UASI	FS	Personal Protective Equipment	No		No hold indicated		9,000					9,000
D	Diver Boots (16)- San Francisco	01WA-03-BOOT	BOOTS, DIVING		UASI	FS	Personal Protective Equipment	No		No hold indicated		1,735					1,735
D	Diver Fins (16)- San Francisco	01SW-04-FINS	FINS, SURFACE WATER OPERATIONS		UASI	FS	Personal Protective Equipment	No		No hold indicated		1,120					1,120
D	Swift water helmets (16)- San Francisco	01WA-02-HLMT	HELMET, SURFACE SUPPLIED AIR, DIVING		UASI	FS	Personal Protective Equipment	No		No hold indicated		981					981
D	Rope (2)- San Francisco	03OE-05-ROPE	ROPE, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		1,200					1,200
D	Vortex Helmets (4)- San Francisco	01UR-01-HLMT	HELMET, RESCUE AND RECOVERY, PROTECTIVE, TECHNICAL RESCUE INCIDENTS, NFPA 1951		UASI	FS	Personal Protective Equipment	No		No hold indicated		520					520
D	Head lights (6)- San Francisco	03OE-04-LTHH	LIGHT, HAND-HELD OR HELMET-MOUNTED ILLUMINATION		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		610					610
D	Victim Harness (1)- San Francisco	03OE-05-HARN	HARNESSES, LIFE SAFETY/RAPPELLING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		420					420
D	Tripod Rigging System (1)- San Francisco	03SR-02-TRIG	TOOLS, HEAVY RIGGING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		4,600					4,600
D	Multi purpose rescue device (1)- San Francisco	03OE-05-ROPH	HARDWARE, RAPPELLING OR RESCUE OPERATIONS, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		1,100					1,100
D	Caribiners (66 various)- San Francisco	03OE-05-ROPH	HARDWARE, RAPPELLING OR RESCUE OPERATIONS, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		316					316
D	Pulleys (13 various)- San Francisco	03SR-02-TRIG	TOOLS, HEAVY RIGGING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		1,504					1,504
D	Rigging Equipment (various)- San Francisco	03SR-02-TRIG	TOOLS, HEAVY RIGGING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		634					634
D	Anchor plates (2)- San Francisco	03OE-05-ROPH	HARDWARE, RAPPELLING OR RESCUE OPERATIONS, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		312					312
D	Rope rescue webbing (various)- San Francisco	03OE-05-ROPH	HARDWARE, RAPPELLING OR RESCUE OPERATIONS, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		285					285
D	Helicopter tagline set (1)- San Francisco	03SR-02-TRIG	TOOLS, HEAVY RIGGING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		296					296

Project	*Equipment Description & (Quantity)	*AEL #	*AEL Title	SAFECOM Consulted	Funding Source	Discipline	Solution Area Sub-Category	Part of a Procurement over 100K	Sole Source Involved	Hold Trigger	Approval Date	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request	Total Approved	Remaining Balance
D	Lanyards and connectors (4)- San Francisco	03OE-05-ROPE	ROPE, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		724					724
D	Gas Detector (1)- San Francisco	07CD-01-DPMG	DETECTOR, MULTI-SENSOR METER, POINT, CHEMICAL		UASI	FS	Detection	No		No hold indicated		665					665
D	Rope guards (26 various)- San Francisco	03OE-05-ROPE	ROPE, LIFE SAFETY		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		1,005					1,005
D	Ascenders (2)- San Francisco	03SR-02-TRIG	TOOLS, HEAVY RIGGING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		130					130
D	Hoses and Cords various- San Francisco	03SR-02-TPHY	TOOLS, POWER, HYDRAULIC		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		16,300					16,300
D	Infrared Thermometer (2)- San Francisco	07SE-01-THMS	THERMOMETER, SURFACE		UASI	FS	Detection	No		No hold indicated		1,300					1,300
D	Hand Tools various (6)- San Francisco	03SR-02-TLHN	TOOLS, HAND		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		3,620					3,620
D	Sales Tax Search and Rescue Equipment- San Francisco	21GN-00-STAX	SALES TAX		UASI	FS	Other Authorized Equipment	No		No hold indicated		5,962					5,962
D	Rapid Transport response vehicles (2)- San Francisco	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	FS	CBRNE Incident Response Vehicle	No		No hold indicated		32,000					32,000
D	Command Vehicle (1)- San Francisco	12VE-00-CMDV	VEHICLE, COMMAND, MOBILE		UASI	LE	CBRNE Incident Response Vehicle	Yes		No hold indicated		985,000					985,000
D	CBRNE Response Vehicle (1)- San Mateo	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	FS	CBRNE Incident Response Vehicle	Yes		No hold indicated		340,000					340,000
D	Region PPE Training Consultant and Fit Testing- San Mateo	21GN-00-CNST	CONSULTING SERVICES IN SUPPORT OF EQUIPMENT ACQUISITION		UASI	EMG	Other Authorized Equipment	Yes		No hold indicated		117,589					117,589
D	TCAT Vehicle (1)- San Mateo	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	LE	CBRNE Incident Response Vehicle	Yes		No hold indicated		300,000					300,000
D	Portable lights (various)- San Jose	03OE-03-LTPA	LIGHTING, PORTABLE AREA ILLUMINATION		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		12,000					12,000
D	Gas-powered rescue tools (various)- San Jose	03SR-02-TPGS	TOOS, GASOLINE-POWERED		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		12,000					12,000
D	Search Cameras (1)- San Jose	03SR-03-SCAM	CAMERA,SEARCH		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		12,000					12,000
D	Hydraulic Power tools- San Jose	03SR-02-TPHY	TOOLS, POWER, HYDRAULIC		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		12,000					12,000
D	Shoring Equipment (various)- San Jose	03SR-01-SHOR	EQUIPMENT/SYSTEM, SHORING		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		12,000					12,000
D	Self-contained Breathing Aparatus (3)- San Jose	01AR-01-SCBA	SCBBA, CBRN		UASI	FS	Personal Protective Equipment	No		No hold indicated		12,000					12,000
D	Storage Containers (2)- San Jose	19MH-00-CONT	CONTAINERS, STORAGE		UASI	FS	CBRNE Logistical Support Equipment	No		No hold indicated		8,000					8,000
D	Water rescue craft (1)- San Jose	17WC-00-BOAT	WATERCRAFT, CBRNE PREVENTION AND RESPONSE		UASI	FS	CBRNE Prevention and Response Watercraft	No		Aviation or Watercraft		46,000					46,000
D	Water rescue suits (3)- San Jose	01SW-02-SUIT	SUIT, WET, SURFACE WATER OPERATIONS PROTECTIVE, NFPA 1952		UASI	FS	Personal Protective Equipment	No		No hold indicated		12,000					12,000
D	Water rescue lines (various)- San Jose	03WA-01-LINE	LINE, WORK, WATER OPERATIONS		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		2,000					2,000
D	Wide Area Digital Network (10)- Watsonville	06CP-04-WADN	NETWORK, WIDE AREA DIGITAL		UASI	LE	Interoperable Communications Equipment	No		No hold indicated		7,000					7,000

Project	Equipment Description & (Quantity)	AEL#	AEL Title	SAFECOM Consulted	Funding Source	Discipline	Solution Area / Sub-Category	Part of a Procurement Over $100K	Sole Source Involved	Hold/Trigger	Approval Date	Estimated Cost	Amount Approved Previously	Amount This Request	Cash Request	Total Approved	Remaining Balance
D	Entry Tools (10)- Watsonville	20TE-00-NTRY	EQUIPMENT, TACTICAL ENTRY		UASI	LE	Intervention Equipment	No		No hold indicated		3,000					3,000
D	Boots for Tactical Team (10)- Watsonville	01LE-02-BOOT	BOOTS, PROTECTIVE, TACTICAL/CLIMBING		UASI	LE	Personal Protective Equipment	No		No hold indicated		1,000					1,000
D	Gear bags for Tactical Team (10)- Watsonville	01ZP-00-GBAG	BAG/BOX, ENSEMBLE GEAR STORAGE		UASI	LE	Personal Protective Equipment	No		No hold indicated		2,000					2,000
D	Respiratory Protection for Tactical Team (10)- Watsonville	01AR-05-COMB	EQUIPMENT, RESPIRATORY PROTECTION, COMBINATION		UASI	LE	Personal Protective Equipment	No		No hold indicated		7,000					7,000
D	Body Armor for Tactical Team (10)- Watsonville	01LE-01-ARMR	ARMOR, BODY		UASI	LE	Personal Protective Equipment	No		No hold indicated		30,000					30,000
D	Battle dress uniforms for Tactical Team (10)- Watsonville	01LE-02-BDUS	SPECIALIZED CLOTHING, NFPA 1975 OR NFPA 2112		UASI	LE	Personal Protective Equipment	No		No hold indicated		3,000					3,000
D	Protective Padding for Tactical Team (10)- Watsonville	01LE-02-PRPD	PADDING, PROTECTIVE, TACTICAL		UASI	LE	Personal Protective Equipment	No		No hold indicated		1,000					1,000
D	Eye and face protection device for Tactical Team (10)- Watsonville	01EM-01-EFPS	DEVICE, EYE AND FACE PROTECTION, EMERGENCY MEDICAL, SINGLE-USE, NFPA 1999		UASI	LE	Personal Protective Equipment	No		No hold indicated		1,000					1,000
D	Ballistic Helmets for Tactical Team (10)- Watsonville	01LE-01-HLMT	HELMET, BALLISTIC		UASI	LE	Personal Protective Equipment	No		No hold indicated		3,500					3,500
D	Ballistice Shields for Tactical Team (10)- Watsonville	01LE-01-SHLD	SHIELD, BALLISTIC		UASI	LE	Personal Protective Equipment	No		No hold indicated		1,500					1,500
D	Bomb robot upgrades (1)- San Jose	03OE-07-UPGD	UPGRADES, ROBOTS OR REMOTELY PILOTED VEHICLES		UASI	LE	CBRNE Search and Rescue Equipment	No		No hold indicated		69,879					69,879
D	Remote Firing Device (1)- San Jose	03OE-07-RPVS	VEHICLES, REMOTELY PILOTED		UASI	LE	CBRNE Search and Rescue Equipment	No		No hold indicated		26,000					26,000
D	Night vision scopes (2)- San Jose	04MD-01-LAMP	EQUIPOMENT, LIGHT AMPLIFICATION		UASI	LE	Information Technology	No		EHP		18,000					18,000
D	Boat (1)- Marin	17WC-00-BOAT	WATERCRAFT, CBRNE PREVENTION AND RESPONSE		UASI	FS	CBRNE Prevention and Response Watercraft	No		Aviation or Watercraft		11,000					11,000
D	Specialized watercraft equipment (1)- Marin	03WA-02-BORD	BOARDS/SLEDS, SEASRCH AND RESCUE		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		11,000					11,000
D	USAR vehicle (1)- Marin	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	FS	CBRNE Incident Response Vehicle	No		No hold indicated		11,000					11,000
D	USAR Trailer (1)- Marin	12TR-00-TEQP	TRAILER, EQUIPMENT		UASI	FS	CBRNE Incident Response Vehicle	No		No hold indicated		11,000					11,000
D	Search Cameras (1)- Marin	03SR-03-SCAM	CAMERA,SEARCH		UASI	FS	CBRNE Search and Rescue Equipment	No		No hold indicated		11,000					11,000
D	Body Armor (2)- Oakland	01LE-01-ARMR	ARMOR, BODY		UASI	LE	Personal Protective Equipment	No		No hold indicated		7,291					7,291
D	SWAT vehicle (1)- Oakland	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	LE	CBRNE Incident Response Vehicle	No		No hold indicated		68,500					68,500
D	Maintenance for (2) prime movers, (1) safeboat, (1) jetdock, (1) unified command vehicle, (1) Fire communications vehicle- Oakland	21GN-00-MAIN	MAINTENANCE		UASI	FS	Other Authorized Equipment	Yes		No hold indicated		146,118					146,118
D	Bear Cat (1)- Marin	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	LE	CBRNE Incident Response Vehicle	Yes	Yes	No hold indicated		306,122					306,122
D	Mobile Command Vehicle (1)-Fremont	12VE-00-CMDV	VEHICLE, COMMAND, MOBILE		UASI	LE	CBRNE Incident Response Vehicle	Yes		No hold indicated		700,000					700,000
D	Response vehicle (1) DPH- San Francisco	12VE-00-MISS	VEHICLE, SPECIALIZED MISSION, CBRNE		UASI	PH	CBRNE Incident Response Vehicle	No		No hold indicated		32,500					32,500

Project	Equipment Description & (Quantity)	AEL#	AEL Title	SAFECOM consult	Funding Source	Discipline	Solution/Area Sub-Category	Part of a Procurement over 100k	Sole Source Involved	Hold Trigger	Approval Date	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request	Total Approved	Remaining Balance
D	Generators for Hospital (4)- Santa Clara	10GE-00-GENR	GENERATORS		UASI	PH	Power	Yes		EHP		250,000					250,000
D	Terrorism Portection Ensembles (20)- Santa Clara	01CB-03-ENSM	ENSEMBLE, TERRORISM INCIDENT PROTECTIVE, NFPA 1994		UASI	PH	Personal Protective Equipment	No		No hold indicated		100,000					100,000
E	First Watch project (1)- Alameda	04AP-09-ALRT	SYSTEMS, PUBLIC NOTIFICATION AND WARNING		UASI	PH	Information Technology	Yes		EHP		190,000					190,000
E	Tablet computers for POD project (25)- San Francisco	04HW-01-HHCD	HARDWARE, COMPUTER INTEGRATED		UASI	PH	Information Technology	No		No hold indicated		20,000					20,000
E	Software for POD project (1)- San Francisco	04AP-06-TRAF	SOFTWARE, TRAFFIC MODELING		UASI	PH	Information Technology	No		No hold indicated		25,000					25,000
E	Mobile Application software for POD project (1)- San Francisco	04AP-07-INVN	SOFTWARE, EQUIPMENT TRACKING AND		UASI	PH	Information Technology	No		No hold indicated		15,000					15,000
E	TCAT First Aid Kits (5)- San Mateo	03OE-03-KTFA	KIT, FIRST AID, TRAUMA TYPE		UASI	LE	CBRNE Search and Rescue Equipment	No		No hold indicated		1,265					1,265
F	Portable Sanitation- San Jose	19GN-00-HSSF	HOUSING, SUBSISTENCE AND SANITATION		UASI	EMG	CBRNE Logistical Support Equipment	No		No hold indicated		45,000					45,000
F	Durable Medical-Equipment- Santa Clara	21GN-00-CCEQ	EQUIPMENT, CITIZEN CORPS		UASI	PH	Other Authorized Equipment	No		No hold indicated		100,000					100,000
F	Citizen Corps equipment- San Francisco	21GN-00-CCEQ	EQUIPMENT, CITIZEN CORPS		UASI	EMG	Other Authorized Equipment	No		No hold indicated		287,600					287,600
G	Generators for DOCs- RPD (4)- San Francisco	10GE-00-GENR	GENERATORS		UASI	PW	Power	No		EHP		43,850					43,850
H	Vehicle Borne IEDs Devices equipment (2)- Oakland	07ED-01-IMOB	TRACE DETECTOR, EXPLOSIVE, HANDHELD		UASI	FS	Detection	No		No hold indicated		53,110					53,110
H	Vehicle Borne IEDs Devices equipment training- Oakland	21GN-00-TRNG	TRAINING		UASI	FS	Other Authorized Equipment	No		No hold indicated		10,620					10,620

Project	Equipment Description & (Quantity)	AEL #	AEL Title	SAFECOM consult	Funding Source	Discipline	Solution Area Sub-Category	Part of a Procurement over 100k	Sole Source Involved	Hold Trigger	Approval Date	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request	Total Approved	Remaining Balance

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

ORGANIZATION ROSTER

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA # 97.067 HSGP

LEDGER TYPE:	Initial Application
Today's Date:	September 30, 2011
Expenditure Period:	(Date) From:
	(Date) To:

2011-0077
075-95017
075-95017

Project	Organization	Funding Source	Discipline	Solution Area-Sub-Category	Info Sharing/Intel Analysis	Certification on File	Detail	Estimated Cost	Amount Approved Previous?	Amount This Request	Cash Request #	Total Approved	Remaining Balance
								3,299,095				-	**3,299,095**
B	NCRIC personnel San Francisco	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	320,000					320,000
B	NCRIC personnel San Francisco	UASI	FS	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	166,842					166,842
B	NCRIC personnel San Francisco	UASI	EMG	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	120,000					120,000
B	NCRIC personnel ACSO	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	162,266					162,266
B	NCRIC personnel Oakland	UASI	FS	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	206,104					206,104
B	NCRIC personnel CoCo	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	209,943					209,943
B	NCRIC personnel SJPD	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	227,308					227,308

Project	Organization	Funding Source	Discipline	Solution Area Sub-Category	Info Sharing/Intel Analysis	Certification on File	Detail	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request #	Total Approved	Remaining Balance
B	NCRIC personnel SCCo SO	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	167,902					167,902
B	NCRIC personnel Marin	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	165,763					165,763
B	NCRIC personnel SMCO SO	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Staffing	207,310					207,310
B	NCRIC personnel SMCO SO	UASI	LE	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Intel Analysis	Yes	Staffing	1,270,657					1,270,657
B	NCRIC Fusion Center personnel	UASI	LE	Overtime for Information, Investigative, and Intelligence Sharing Activities	Info Sharing	No	Overtime	50,000					50,000
B	NCRIC Fusion Center personnel	UASI	FS	Hiring of new staff/contractors for info/intel anaysis and sharing/fusion center activites	Info Sharing	No	Overtime	5,000					5,000
B	NCRIC Fusion Center personnel	UASI	LE	Overtime for Information, Investigative, and Intelligence Sharing Activities	Intel Analysis	Yes	Overtime	20,000					20,000

Project	Organization	Funding Source	Discipline	Solution Area Sub-Category	Info Sharing/Intel Analysis	Certification on File	Detail	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

TRAINING ROSTER

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CCP	SHSGP	UASI	MMRS	BZPP	TSGP
LSGPP	PROB1B	RCPGP-C	RCPGP-NG	TEC	SHSP/LE
NSGP	RITAC	OPSG	EMPG	EOC	WCMP

2011-0077

CFDA#	97.067 HSGP
LEDGER TYPE:	Initial Application
Today's Date:	September 30, 2011
Expenditure Period:	(Date) From: / (Date) To:

Project	Course Name	Funding Source	Discipline	Solution Area Sub-Category	Feedback Number	Training Activity	Total # Trainee(s)	Identified Host	EHP Approval Date	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance
												2,170,000	-	-		-	**2,170,000**
B	NCRIC TLO Training	UASI	LE	Desgin/Develop /Conduct/Evaluate	pending	Classroom	pending	NCRIC		Yes		175,000					175,000
B	NCRIC TLO Training	UASI	FS	Desgin/Develop /Conduct/Evaluate	pending	Classroom	pending	NCRIC		No		50,000					50,000
B	NCRIC TLO Training	UASI	EMG	Desgin/Develop /Conduct/Evaluate	pending	Classroom	pending	NCRIC		No		25,000					25,000
D	Rescue Boat Operator -SFFD	UASI	FS	Desgin/Develop /Conduct/Evaluate	pending	Field-Based Attendee	pending	SFFD		No		7,500					7,500
D	Rescue Boat Operator -SMCO SO	UASI	LE	Desgin/Develop /Conduct/Evaluate	pending	Field-Based Attendee	pending	SFFD		No		2,500					2,500
D	Tac Team Training-Watsonville	UASI	LE	Overtime	pending	Field-Based Host	10	Watsonville PD		No		10,000					10,000
D	Tac Team Training-Watsonville	UASI	LE	Desgin/Develop /Conduct/Evaluate	pending	Field-Based Host	10	Watsonville PD		No		20,000					20,000
D	CBRNE Training SC/SC CO PH	UASI	PH	Desgin/Develop /Conduct/Evaluate	pending	Field-Based Attendee	100	Santa Cruz Co PH		No		30,000					30,000
D	Bomb Class-SJPD	UASI	LE	Supplies/Materials	pending	Field-Based Host	50	SJPD		No		15,000					15,000
D	Post Blast Inv-SJPD	UASI	LE	Supplies/Materials	pending	Field-Based Host	50	SJPD		No		15,000					15,000
D	Incident Mgt Team Training-FFD	UASI	FS	Desgin/Develop /Conduct/Evaluate	pending	Classroom	20	Fremont Fire		No		50,000					50,000
E	Mass Prophylaxis Training	UASI	PH	Desgin/Develop /Conduct/Evaluate	pending	Classroom	20	SF DPH	N/A	No		20,000					20,000
F	Access and Functional Needs Training-Santa Clara	UASI	EMG	Desgin/Develop /Conduct/Evaluate	pending	Classroom	20	Santa Clara Co	N/A	No		50,000					50,000
H	HazMat A-D I	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	40	ACSO	N/A	Yes		120,000					120,000
H	HazMat F&G I	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	40	ACSO	N/A	No		68,000					68,000
H	L381 Incident Leadership I	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	18	ACSO	N/A	No	Yes	25,000					25,000
H	L381 Incident Leadership II	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	18	ACSO	N/A	No	Yes	25,000					25,000
H	L381 Incident Leadership III	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	18	ACSO	N/A	No	Yes	25,000					25,000
H	L381 Incident Leadership IV	UASI	EMS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	18	ACSO	N/A	No	Yes	25,000					25,000
H	Intro - Emerg/Mang-Earthquake I	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		53,000					53,000
H	Intro - Emerg/Mang-Earthquake II	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		53,000					53,000
H	Intro-Emerg/Mang-Earthquake III	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		53,000					53,000
H	Intro-Emerg/Mang-Earthquake IV	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		53,000					53,000

Project	Course Name	Funding Source	Discipline	Solution Area Sub-Category	Feedback Number	Training Activity	Total # Trainee(s)	Identified Host	EHP Approval Date	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance
H	S330 Task Force/Strike Team Leader	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	40	ACSO	N/A	No		8,000					8,000
H	Low Angle Rope Rescue	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	36	ACSO	N/A	No		18,000					18,000
H	Rescue System I	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	36	ACSO	N/A	No		30,000					30,000
H	Rescue System II	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	36	ACSO	N/A	No		26,000					26,000
H	Confined Space Rescue	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	36	ACSO	N/A	No		27,000					27,000
H	Large Vehicle Post Blast Investigations	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	50	ACSO	N/A	No		15,000					15,000
H	Lrg Veh Borne Countermeasures	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		15,000					15,000
H	S-420 Command & General Staff I	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		75,000					75,000
H	S-420 Command & General Staff II	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		75,000					75,000
H	Trench Rescue	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	36	ACSO	N/A	No		22,000					22,000
H	Rope Recue Technician 1 & 2	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	36	ACSO	N/A	No		32,500					32,500
H	Type 3 Incident Management	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	15	ACSO	N/A	No		50,000					50,000
H	Emerg/Ops for Building Managers	UASI	GA	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		17,200					17,200
H	Emerg/Mang -Campus Executives	UASI	GA	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		17,000					17,000
H	Critical Response -Campus Police	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		3,650					3,650
H	Hazmat Tech Certification for EOD	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	15	ACSO	N/A	No		30,000					30,000
H	Officer Safety in a Terrorism Envir.	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	32	ACSO	N/A	No		26,000					26,000
H	Advanced Electronics for EOD	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	15	ACSO	N/A	No		50,000					50,000
H	HazMat Incident Commander I	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		7,500					7,500
H	HazMat Incident Commander II	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		7,500					7,500
H	MCATI I	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		22,000					22,000
H	MCATI II	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		22,000					22,000
H	MCATI III	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		22,000					22,000
H	MCATI IV	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		22,000					22,000
H	Tactical Medicine	UASI	EMS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	30	ACSO	N/A	No		70,000					70,000
H	Hazmat Tech Cert. for EOD II	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	15	ACSO	N/A	No		50,000					50,000
H	CSTI Disaster Recovery	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		15,000					15,000
H	HazMat A-D II	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	40	ACSO	N/A	Yes		120,000					120,000
H	HazMat F&G II	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	40	ACSO	N/A	No		68,000					68,000

Project	Course Name	Funding Source	Discipline	Solution Area Sub-Category	Feedback Number	Training Activity	Total # Trainee(s)	Identified Host	EHP Approval Date	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance
H	Tactical Medicine	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	30	ACSO	N/A	No		70,000				[redacted]	70,000
H	ICS 300 I	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 300 II	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 300 III	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 300 IV	UASI	EMS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 400 I	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 400 II	UASI	EMS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 400 III	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	ICS 400 IV	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		5,000				[redacted]	5,000
H	Mass Casualty Incident Hospitals	UASI	PH	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	45	ACSO	N/A	No		15,000				[redacted]	15,000
H	Mass Casualty Incident Hospitals	UASI	EMS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	45	ACSO	N/A	No		15,000				[redacted]	15,000
H	Type 3 Incident Management II	UASI	EMG	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	15	ACSO	N/A	No		50,000				[redacted]	50,000
H	First Responder Operational Maritime Security (FROMS	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	15	ACSO	N/A	No		10,000				[redacted]	10,000
H	First Responder Operational Maritime Security (FROMS	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Field-Based Host	15	ACSO	N/A	No		10,000				[redacted]	10,000
H	WMD Terrorism for HazMat Tech	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	30	ACSO	N/A	No		15,000				[redacted]	15,000
H	S330 Task Force/Strike Team Leader	UASI	FS	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	40	ACSO	N/A	No		8,000				[redacted]	8,000
H	Critical Response -Campus Police	UASI	LE	Desgin/Develop /Conduct/Evaluate	Pending	Classroom	25	ACSO	N/A	No		3,650				[redacted]	3,650

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PLANNING ROSTER

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA # 97.067 HSGP

LEDGER TYPE:	Initial Application
Today's Date:	September 30, 2011
Expenditure Period:	(Date) From:
	(Date) To:

2011-0077
075-95017
075-95017

Project	Planning Activity	Funding Source	Discipline	Solution Area Sub-Category	Final Product	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance
								9,067,816	-	-		-	**9,067,816**
\	Enhance Regional Risk Management and Planning (2 planners)- San Francisco	UASI	LE	Develop and Enhance Plans and Protocols	Local Risk Management Plan			468,000					468,000
A	Enhance Regional Risk Management and Planning (1 planner)- San Francisco	UASI	FS	Develop and Enhance Plans and Protocols	Local Risk Management Plan			298,000					298,000
A	Enhance Regional Risk Management and Planning (1 planner)- San Mateo	UASI	LE	Develop and Enhance Plans and Protocols	Local Risk Management Plan			300,000					300,000
A	Enhance Regional Risk Management and Planning (4 planners)- Oakland	UASI	EMG	Develop and Enhance Plans and Protocols	Local Risk Management Plan			477,800					477,800
A	Enhance Regional Risk Management and Planning (1 planner)- Oakland	UASI	LE	Develop and Enhance Plans and Protocols	Local Risk Management Plan			186,479					186,479
A	Risk and Capability Assessment (1 planner)- Santa Clara	UASI	LE	Develop or Conduct Assessments	Updated Regional Risk and Capability Assessment			241,000					241,000
A	Update Homeland Security Strategy (3 planners)- San Francisco	UASI	EMG	Develop and Enhance Plans and Protocols	Updated Regional Homeland Security Strategy			590,000					590,000
A	Risk and Capability Assessment (1 planner)- Santa Clara	UASI	EMG	Develop and Enhance Plans and Protocols	Risk and Capability Assessment for South Bay			171,087					171,087
A	Risk and Capability Assessment (1 planner)- Sonoma	UASI	EMG	Develop and Enhance Plans and Protocols	Risk and Capability Assessment for North Bay			171,000					171,000
	Risk and Capability Assessment (1 planner)- San Mateo	UASI	EMG	Develop and Enhance Plans and Protocols	Risk and Capability Assessment for West Bay			171,000					171,000
A	Risk and Capability Assessment (1 planner)- Contra Costa	UASI	EMG	Develop and Enhance Plans and Protocols	Risk and Capability Assessment for East Bay			171,000					171,000
A	Other Authorized Planning Expenses	UASI	EMG	Develop and Enhance Plans and Protocols	Travel, Materials & Supplies			28,000					28,000
A	Regional Strategy- Management Team	UASI	EMG	Develop and Enhance Plans and Protocols	Regional Homeland Security Strategy and Effectiveness Report			388,457					388,457
B	CPG502 Strategic Plan- NCRIC	UASI	LE	Develop and Enhance Plans and Protocols	Updated THIRAs, CPG502 within Fusion Center			400,000					400,000
B	Info Sharing/Infrastructure Protection Project Management- NCRIC	UASI	LE	Develop and Enhance Plans and Protocols	Develop Buffer Zone Protection Plan for Tier I CI/KR facilities			203,882					203,882
B	Develop AFR/RMS Plans- San Jose	UASI	LE	Develop and Enhance Plans and Protocols	Integrated Law enforcement automated filed reporting and records managemt systems			130,000					130,000
C	Update Interoperable communications plans- San Francisco	UASI	PSC	Develop and Enhance Plans and Protocols	Updated interoperable implementation plan			198,661					198,661
C	Conduct assessment of regional capabilities- Management Team	UASI	PSC	Develop or Conduct Assessments	Assesment of regional interoperability capabilities			161,000					161,000

Project	Planning Activity	Funding Source	Discipline	Solution Area Sub-Category	Final Product	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance
C	Update broadband plans- San Francisco	UASI	PSC	Develop and Enhance Plans and Protocols	Updated broadband implementation plan			240,000					240,000
D	Develop Rad/Nuc Plan- Santa Clara County	UASI	PH	Develop and Enhance Plans and Protocols	Rad/Nuc Plan			100,000					100,000
D	Update CBRNE Strategic plans- Santa Clara	UASI	FS	Develop and Enhance Plans and Protocols	Updated CBRNE Strategic Plan			148,370					148,370
E	Enhance Bay Area Mass Prophylaxis regional website- San Francisco	UASI	PH	Develop and Enhance Plans and Protocols	Regional Website			100,000					100,000
E	Update Medical/Public Health Strategic Plan- San Francisco	UASI	PH	Develop and Enhance Plans and Protocols	Updated Strategic Plan			173,125					173,125
F	Access and Functional Needs Project- Santa Clara	UASI	EMG	Develop and Enhance Plans and Protocols	Access and Functional Needs policies and procedures			200,000					200,000
	Community Preparedness Project- Santa Clara	UASI	EMG	Develop and Enhance Plans and Protocols	Community Preparedness Plan			343,100					343,100
F	Update plans for citizen preparedness capabilities- San Francisco	UASI	EMG	Develop and Enhance Plans and Protocols	Updated citizen preparedness capbabilities plan			119,300					119,300
F	Regional Planning and Project Management	UASI	EMG	Develop and Enhance Plans and Protocols	Regional Emergency and Citizen Preparedness Plans			78,000					78,000
F	Emergency Planning- San Jose	UASI	EMG	Develop and Enhance Plans and Protocols	Updated EOC plan			110,000					110,000
F	Emergency Planning- San Jose	UASI	EMG	Develop and Enhance Plans and Protocols	Updated annexes			110,000					110,000
F	EOC Staff Development Plan- San Jose	UASI	EMG	Develop and Enhance Plans and Protocols	Santa Clara County Op Area EOC Staff Plan			200,000					200,000
G	Regional recovery Plan project- San Francisco	UASI	EMG	Develop and Enhance Plans and Protocols	Recovery Plans			78,000					78,000
G	Recovery Planning project- Oakland	UASI	EMG	Develop and Enhance Plans and Protocols	Update recovery plans			120,000					120,000
G	Restoration of Lifelines Project- San Francisco	UASI	EMG	Develop and Enhance Plans and Protocols	Restoration of Lifelines Plan			150,000					150,000
	Develop Training and Exercise Plans (6 planners)- Alameda	UASI	LE	Develop and Enhance Plans and Protocols	Regional Training and Exercise Plans			1,692,555					1,692,555
H	Develop Training and Exercise Plans (3 planners)- San Francisco	UASI	EMG	Develop and Enhance Plans and Protocols	Local Training and Exercise Plan			350,000					350,000

Project	Planning Activity	Funding Source	Discipline	Solution Area Sub-Category	Final Product	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	**Amount This Request**	Cash Request #	Total Approved	Remaining Balance

EXERCISE ROSTER

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA # 97.067 HSGP

LEDGER TYPE:	Initial Application
Today's Date:	September 30, 2011
Expenditure Period:	(Date) From:
	(Date) To:

2011-0077

Project	Exercise Title	Funding Source	Discipline	Solution Area Sub-Category	EHP Approval Date	Date of Exercise	Exercise Activity	Identified Host	Date of AAR entered into HSEEP	Part of a Procurement over 100k	Sole Source Involved	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request #	Total Approved	Remaining Balance
												1,780,000	-	-	-	-	**1,740,000**
D	**Tactical Response Team Exercise-Watsonville**	**UASI**	**LE**	**Design/Develop/Conduct/Evaluate**		**pending**	**Tabletop**	**Watsonville**				**10,000**					**10,000**
[illegible]	**Rad-Nuc Response Plan Exercise-Santa Clara/Santa Cruz**	**UASI**	**PH**	**Design/Develop/Conduct/Evaluate**		**pending**	**Tabletop**	**Santa Clara/Santa Cruz**				**40,000**					**40,000**
E	**Mass Prophylaxis Exercise- San Franciso**	**UASI**	**PH**	**Design/Develop/Conduct/Evaluate**		**pending**	**Tabletop**	**San Francisco**				**10,000**					**10,000**
F	**Access and Functional Needs-Santa Clara**	**UASI**	**EMG**	**Design/Develop/Conduct/Evaluate**		**pending**	**Tabletop**	**Santa Clara**				**20,000**					**20,000**
H	Urban Shield- Alameda	UASI	LE	Design/Develop/Conduct/Evaluate		10/1/12	Full Scale Host	Alameda				1,375,000					1,375,000
H	Golden Guardian	UASI	LE	Design/Develop/Conduct/Evaluate		5/1/13	Full Scale Host	San Francisco				325,000					325,000

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

AUTHORIZED AGENT

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA #: 97.067 HSGP

2011-0077
075-95017
075-95017

Supporting Information for Reimbursement/Advance of State and Federal Funds

This request is for an/a: **Initial Application**

This claim is for costs incurred within the grant expenditure period from _____ through _____
'd does not cross fiscal years.
(Beginning Expenditure Period Date) (Ending Expenditure Period Date)

Under Penalty of Perjury I certify that:

I am the duly authorized officer of the claimant herein. This claim is true, correct, and all expenditures were made in accordance with applicable laws, rules, regulations and grant conditions and assurances.

Statement of Certification - Authorized Agent

This Grant Award consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications which are being submitted. I hereby certify I am vested with the authority to enter into this Grant Award Agreement, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or Approving Body. The Grant Recipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Award. The Grant Recipient signifies acceptance of this Grant Award and agrees to administer the grant project in accordance with the Grant Award as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal EMA policy and program guidelines. The Grant Recipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget. For HSGP: All equipment and training procured under this grant must be in support of the development or maintenance of an identified team or capability.

Teresa Serata, Director Strategy & Compliance
Printed Name and Title

Signature of Authorized Agent

9/30/2011
Date

Please reference the Instructions Page under the "Authorized Agent" section for instructions/address on where to mail workbook

EDMUND G. BROWN JR.
GOVERNOR

MIKE DAYTON
ACTING SECRETARY

Cal EMA
CALIFORNIA EMERGENCY MANAGEMENT AGENCY

November 17, 2011

Ms. Teresa Serata
Bay Area UASI
10 Lombard Street, #410
San Francisco, CA 94111

SUBJECT: **NOTIFICATION OF SUBGRANTEE APPLICATION APPROVAL**
FY 2011 Homeland Security Grant Program (HSGP)
Grant #2011-SS-0077, Cal EMA ID# 075-95017
Subgrantee Performance Period: November 17, 2011 to April 30, 2014

Dear Ms. Serata:

The California Emergency Management Agency (Cal EMA) has approved your FY 11 Homeland Security Grant Program (HSGP) award in the amount of $35,546,960. Once your completed application is received and approved, you may request reimbursement of eligible grant expenditures using the Cal EMA financial management forms workbook available at www.calema.ca.gov .

During the review process, a Cal EMA representative examined and evaluated your FY11 HSGP grant application. As a result of this review, some of your funded projects may have been assigned performance milestones shorter than the subgrantee performance period, based in part on information provided in your application and submitted workbook. Performance milestones will be used by Cal EMA to both determine the appropriate date to disencumber funds awarded under this grant and re-direct them to other needs across the State and as indicators of performance and grant management capacity in future competitive grant applications.

Activity:	Amount	Completion Date
ALL PROJECTS	$10,000,000	11/30/2012

Additionally, Aviation/Watercraft requests, Establish/Enhance Emergency Operations Center (EOC) projects, projects requiring EHP review and sole source procurement requests will require additional approvals from Cal EMA. For that reason, Project(s) , are not approved at this time, pending receipt of required supplemental documentation and/or approval from DHS. Subgrantees must obtain written approval for these activities **prior** to incurring any costs, in order to be reimbursed for any related costs under this grant. Subgrantees are required to obtain a performance bond for any equipment item over $250,000, or any vehicle, aviation, or watercraft (regardless of the cost) financed with homeland security dollars.

Following acceptance of this award, you must enter your grant information into the US Office of Grants and Training, Grant Reporting Tool (GRT), for the December 2011 Biannual Strategy Implementation Report (BSIR) period. The GRT can be accessed online at https://www.reporting.odp.dhs.gov/.

Semi-annual performance reports must be prepared and submitted to Cal EMA via the GRT for the duration of the grant period or until all activities are completed and the grant is formally closed. Failure to submit performance reports could result in grant reduction, termination, or suspension.

This grant is subject to all policies and provisions of the Federal Single Audit Act of 1984 and the Single Audit Act Amendments of 1996. Any funds received in excess of current needs, approved amounts, or those found owed as a result of a final review or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal EMA.

Your dated signature is required on this letter. Please sign and return the original to your Cal EMA program representative within ten days of receipt, and keep a copy for your files. For further assistance, please feel free to contact your Cal EMA program representative or the Homeland Security Grants Section (HSGS) at (916) 845-8510.

Sincerely,

BRENDAN A. MURPHY
Acting Undersecretary

Teresa Serata, Authorized Agent,
Bay Area UASI

11/28/2011
Date

California Emergency Management Agency (Cal EMA)

Fiscal Year (FY) 2011 Grant Assurances
(All HSGP Applicants)

Name of Applicant: Teresa Serata

Address: 10 Lombard St. #410

City: San Francisco State: CA Zip Code: 94111

Telephone Number: 415-705-8520 Fax Number: 415-705-8513

E-Mail Address: Teresa.Serata@sfgov.org

As the duly authorized representative of the applicant, I certify that the applicant named above:

1. Will assure that grant funds will support efforts related to providing an integrated mechanism to enhance the coordination of national priority efforts to prevent, respond to, and recover from terrorist attacks, major disasters and other emergencies.

2. Has the legal authority to apply for federal assistance and has the institutional, managerial and financial capability to ensure proper planning, management and completion of the grant provided by the U.S. Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) and sub-granted through the State of California, Cal EMA.

3. Will assure that grant funds are used for allowable, fair, and reasonable costs only and will not be transferred between grant programs (for example: State Homeland Security Program, Urban Area Security Initiative, Citizen Corps Program, and Metropolitan Medical Response System) or fiscal years.

4. Will comply with any cost sharing commitments included in the FY 2011 Investment Justifications submitted to DHS/FEMA and Cal EMA, where applicable.

5. Will give the federal government, the General Accounting Office, the Comptroller General of the United States, the State of California, the Office of Inspector General, through any authorized representative, access to, and the right to examine, all paper or electronic records, books, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards and/or awarding agency directives.

6. Agrees that funds utilized to establish or enhance state and local fusion centers must support the development of a statewide fusion process that corresponds with the Global Justice/Homeland Security Advisory Council (HSAC) Fusion Center Guidelines, follow the federal and state approved privacy policies, and achieve (at a minimum) baseline level of capability as defined by the Fusion Capability Planning Tool.

7. Will provide progress reports, and other such information as may be required by the awarding agency, including the Initial Strategy Implementation Plan (ISIP) within 45 days of the award, and update via the Grant Reporting Tool (GRT) twice each year.

8. Will initiate and complete the work within the applicable time frame after receipt of approval from Cal EMA.

Initials

9. Will maintain procedures to minimize the time elapsing between the award of funds and the disbursement of funds.

10. Will comply with all regulations applicable to DHS/FEMA grants, including, but not limited to, 44 CFR, Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments including, but not limited to, all provisions relevant to the payment of interest earned on advances.

11. Will comply with all provisions of 48 CFR, Part 31.2, Federal Acquisition Regulations (FAR), Contracts with Commercial Organizations.

12. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes, or presents the appearance of, personal or organizational conflict of interest, or personal gain for themselves or others, particularly those with whom they have family, business, or other ties.

13. Understands and agrees that federal funds will not be used, directly or indirectly, to support the enactment, repeal, modification or adoption of any law, regulation, or policy, at any level of government, without the express prior written approval from DHS/FEMA and Cal EMA.

14. Agrees that, to the extent contractors or subcontractors are utilized, will use small, minority-owned, women-owned, or disadvantaged business concerns and contractors or subcontractors to the extent practicable.

15. Will notify Cal EMA of any developments that have a significant impact on award-supported activities, including changes to key program staff.

16. Will comply, if applicable, with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of structures.

17. Will comply, and will assure the compliance of all agents and contractors, with all federal and state statues relating to civil rights and nondiscrimination. These include, but are not limited, to:

    a. Title VI of the Civil Rights Act of 1964 (P.L. 88-352), as amended, which prohibits discrimination on the basis of race, color or national origin.
    b. Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683 and 1685-1686), which prohibits discrimination on the basis of gender.
    c. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination against individuals with disabilities.
    d. The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age.
    e. The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse.
    f. The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism.
    g. Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records.
    h. Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing.
    i. Title 44 Code of Federal Regulations (CFR) Parts 7, 16, and 19 relating to nondiscrimination.
    j. The requirements of any other nondiscrimination provisions in the specific statute(s) under which the application for federal assistance is being made.
    k. The nondiscrimination requirements and all other provisions of the current edition of the Office of Justice Programs Financial and Administrative Guide for Grants, M7100.1.

Initials ____

18. In the event that a federal or state court or federal or state administrative agency makes a finding of discrimination after a due process hearing on the grounds or race, color, religion, national origin, gender, or disability against a recipient of funds, the recipient will forward a copy of the finding to Cal EMA, FEMA and the U.S. Department of Justice Office of Civil Rights, Office of Justice Programs.

19. Will provide an Equal Employment Opportunity Plan, if applicable, to the Department of Justice Office of Civil Rights within 60 days of grant award.

20. Will comply with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. § 4601 et seq. [P.L. 91-646]) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal or federally assisted programs. These requirements apply to all interested in real property acquired for project purposes regardless of federal participation in purchases. Will also comply with Title 44 CFR, Part 25, Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally-Assisted Programs.

21. Will comply, if applicable, with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

22. Will comply with all applicable federal, state, and local environmental and historical preservation (EHP) requirements. Failure to meet federal, state, and local EHP requirements and obtain applicable permits may jeopardize federal funding. Applicant will comply with all conditions placed on any project as the result of the EHP review; any change to the scope of work of a project will require reevaluation of compliance with these EHP requirements.

23. Will comply with all regulations applicable to DHS/FEMA grants including, but not limited to, 44 CFR, Part 10, Environmental Considerations. Applicant will also comply with all state laws, including the California Environmental Quality Act.

24. Agrees not to undertake any project having the potential to impact the EHP resources without the prior written approval of DHS/FEMA and Cal EMA including, but not limited to, ground disturbance, construction, modification to any structure, physical security enhancements, communications towers, any structure over 50 years old, and purchase and/or use of any sonar equipment. The subgrantee must comply with all conditions and restrictions placed on the project as a result of the EHP review. Any construction- related activities initiated without the necessary EHP review and approval will result in a noncompliance finding, and may not be eligible for reimbursement with DHS/FEMA/Cal EMA funding. Any change to the scope of work will require re-evaluation of compliance with the EHP. If ground-disturbing activities occur during the project implementation, the subgrantee must ensure monitoring of the disturbance. If any potential archeological resources are discovered, the subgrantee will immediately cease activity in that area and notify DHS/FEMA, Cal EMA, and the appropriate State Historic Preservation Office.

25. Agrees any construction activities that have been initiated prior to the full environmental and historic preservation review could result in non-compliance finding. Subgrantees must complete the FEMA EHP Screening Form (OMB Number 1660-0115/FEMA Form 024-0-01) and submit it, with all supporting documentation, to Cal EMA for review. The EHP Screening Form is part of an Information Bulletin available at: www.fema.gov/doc/government/grant/bulletins/info329_final_screening_memo.doc. Grantees should submit the FEMA EHP Screening Form for each project as soon as possible upon receiving their grant award.

26. Will ensure that the facilities under its ownership, lease or supervision, which shall be utilized in the accomplishment of this project, are not on the Environmental Protection Agency's (EPAs) List of

Initials _____

Violating Facilities, and will notify Cal EMA and FEMA of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating if a facility to be used in the project is under consideration for listing by the EPA.

27. Will provide any information requested by DHS/FEMA and/or Cal EMA to ensure compliance with applicable laws, including the following:

    a. Institution of environmental quality control measures under the National Environmental Policy Act, National Historical Preservation Act, Archaeological and Historic Preservation Act, Endangered Species Act, and Executive Orders on Floodplains (11988), Wetlands (11990), Environmental Justice (12898), and Environmental Quality (11514).
    b. Notification of violating facilities pursuant to EO 11738.
    c. Assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.).
    d. Conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.).
    e. Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523).
    f. California Environmental Quality Act (CEQA), California Public Resources Code Sections 21080-21098; California Code of Regulations (CCR), Title 14, Chapter 3 Sections 15000-15007.
    g. Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
    h. Applicable provisions of the Coastal Barrier Resources Act (P.L. 97-348) dated October 19, 1982 (16 USC 3501 et seq.), which prohibits the expenditure of most new federal funds within the units of the Coastal Barrier Resources System.

28. Will comply with Standardized Emergency Management System (SEMS) requirements as stated in the California Emergency Services Act, Government Code, Chapter 7 of Division 1 of Title 2, Section 8607.1(e) and CCR Title 19, Sections 2445, 2446, 2447, and 2448.

29. Agrees that all publications created or published with funding under this grant shall prominently contain the following statement: *"This document was prepared under a grant from FEMA's Grant Programs Directorate, U.S. Department of Homeland Security. Points of view or opinions expressed in this document are those of the authors and do not necessarily represent the official position or policies of FEMA's Grant Programs Directorate or the U.S. Department of Homeland Security."* The recipient also agrees that, when practicable, any equipment purchased with grant funding shall be prominently marked as follows: *"Purchased with funds provided by the U.S. Department of Homeland Security."*

30. Acknowledges that DHS/FEMA reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use, for federal government purposes: a) the copyright in any work developed under an award or sub-award; and b) any rights of copyright to which a recipient or sub-recipient purchases ownership with federal support.

31. The recipient agrees to consult with DHS/FEMA and Cal EMA regarding the allocation of any patent rights that arise from, or are purchased with, this funding.

32. Has requested through the State of California, federal financial assistance to be used to perform eligible work approved in the submitted application for federal assistance and after the receipt of federal financial assistance, through the State of California, agrees to the following:

    a. Promptly return to the State of California all the funds received, which exceed the approved, actual expenditures as accepted by the federal or state government.

Initials

b. In the event the approved amount of the grant is reduced, the reimbursement applicable to the amount of the reduction will be promptly refunded to the State of California.
c. Separately account for interest earned on grant funds, and will return all interest earned, in excess of $100 per Federal Fiscal Year.

33. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S C. Sections 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

34. Will comply with provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328), which limit the political activities of employees whose principal employment activities are funded in whole or in part with federal funds.

35. Will comply, if applicable, with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

36. Understands and agrees that the applicant, grantees, subgrantees, recipients, sub-recipients, employees of the applicant, grantees, subgrantees, recipients and subrecipients, may not:

a. Engage in severe forms of trafficking in persons during the period of time that the award is in effect;
b. Procure a commercial sex act during the period of time that the award is in effect; or
c. Use forced labor in the performance of the award or subawards under the award.

Applicant understands and agrees that Cal EMA and/or DHS/FEMA may unilaterally terminate any award, without penalty, if the subgrantee that is a private entity:

d. Is determined to have violated a prohibition identified in paragraph 35, subsections a, b, or c; or
e. Has an employee who is determined by the agency official authorized to terminate the award to have violated a prohibition in paragraph 35 subsection a, b, or c through conduct that is either:
    i. associated with performance under this award; or
    ii. imputed to the authorized agent or subrecipient using the standards and due process for imputing the conduct of an individual to an organization provided in 2 CFR Part 180, "OMB Guidelines to Agencies on Government wide Department and Suspension (Non-procurement)," as implemented by DHS/FEMA at 2 CFR, Part 3000.

And further understands that subgrantees and subrecipients must:

f. Inform Cal EMA immediately of any information received from any source alleging a violation of a prohibition in paragraph 35 subsection a, b, or c;
g. FEMA's right to terminate unilaterally as described in paragraph 35 implements section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 USC 7104(g)). The right of FEMA and Cal EMA to terminate this award unilaterally is in addition to all other remedies for noncompliance that are available under this award.

Initials

h. For purposes of this term:

i. "Employee" means either:

i. an individual employed by the subgrantee or subrecipient who is engaged in the performance of the project or program under this award; or

ii. another person engaged in the performance of the project or program under this award and not compensated by the subgrantee or subrecipient, including, but not limited to, a volunteer or individual whose services are contributed by a third party as an in-kind contribution toward cost sharing or matching requirements.

ii. "Forced labor" means labor obtained by any of the following methods: the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage or slavery.

iii. "Private entity" means any entity other than a state, local government, Indian Tribe, or foreign public entity, as those terms are defined in 2 CFR 175.25, and includes non-profit organizations, including any non-profit institution of higher education, hospital, or tribal organization other than one included in the definition of Indian Tribe at 2 CFR 175.25(b), and for-profit organizations.

iv. "Severe forms of trafficking in persons," "commercial sex act," and "coercion" have the meanings given at section 103 of the TVPA, as amended (22 USC 7102).

37. Will comply, if applicable, with the Laboratory Animal Welfare Act of 1966 (P. L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

38. Will comply with the minimum wage and maximum hour provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

39. Agrees that "Classified national security information," as defined in Executive Order (EO) 12958, as amended, means information that has been determined pursuant to EO 12958 or any predecessor order to require protection against unauthorized disclosure and is marked to indicate its classified status when in documentary form.

40. Agrees that no funding under this award shall be used to support a contract, subaward, or other agreement for goods or services that will include access to classified national security information if the award recipient has not been approved for and has access to such information.

41. Agrees that where an award recipient, grantee, subrecipient, or subgrantee has been approved for and has access to classified national security information, no funding under this award shall be used to support a contract, subaward, or other agreement for goods or services that will include access to classified national security information by the contractor, subawardee, or other entity without prior written approval from the DHS Office of Security, Industrial Security Program Branch (ISPB), or, an appropriate official within the federal department or agency with whom the classified effort will be performed. Such contracts, subawards, or other agreements shall be processed and administered in accordance with the DHS "Standard Operating Procedures, Classified Contracting by States and Local Entities," dated July 7, 2008; EOs 12829, 12958, 12968, as amended; the National Industrial Security Program Operating Manual (NISPOM); and/or other applicable implementing directives or instructions. All security requirement documents are located at: http://www.dhs.gov/xopnbiz/grants/index.shtm.

Initials

42. Understands that immediately upon determination by the award recipient that funding under this award will be used to support such a contract, subaward, or other agreement, and prior to execution of any actions to facilitate the acquisition of such a contract, subaward, or other agreement, the award recipient shall contact ISPB, or the applicable federal department or agency, for approval and processing instructions.

    DHS Office of Security ISPB contact information:
    Telephone: 202-447-5346
    E-mail: DD254AdministrativeSecurity@dhs.gov

    Mail: Department of Homeland Security
    Office of the Chief Security Officer
    ATTN: ASD/Industrial Security Program Branch
    Washington, DC 20528

43. Agrees with the requirements regarding Data Universal Numbering System (DUNS) Numbers, meaning if recipients are authorized to make subawards under this award, they must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive or make a subaward to any entity unless the entity has provided its DUNS number.

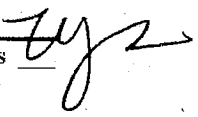
    a. For purposes of this award term, the following definitions will apply:

        i. "Data Universal Numbering System (DUNS)" number means the nine digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at http://fedgov.dnb.com/webform).
        ii. "Entity", as it is used in this award term, means all of the following, as defined at 2 CFR part 25, subpart C, as a Governmental organization, which is a state, local government, or Indian Tribe; or a foreign public entity; or a domestic or foreign nonprofit organization; or a domestic or foreign for-profit organization; or a federal agency, but only as a sub-recipient under an award or subaward to a non-federal entity.
        iii. "Subaward" means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient. It does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. 210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations") and may be provided through any legal agreement, including an agreement that you consider a contract.
        iv. "Subrecipient" means an entity that receives a subaward from you under this award; and is accountable to you for the use of the federal funds provided by the subaward.

44. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Section 276a to 276a-7), the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Sections 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333), regarding labor standards for federally-assisted construction sub-agreements.

45. Agrees that:

    a. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

Initials 

b. If any other funds than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or an employee of Congress, or employee of a Member of Congress in connection with the federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

c. The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers including subgrants, contracts under grants and cooperative agreements, and subcontract(s) and that all sub recipients shall certify and disclose accordingly.

d. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

46. Agrees that equipment acquired or obtained with grant funds:

a. Will be made available pursuant to applicable terms of the California Disaster and Civil Defense Master Mutual Aid Agreement in consultation with representatives of the various fire, emergency medical, hazardous materials response services, and law enforcement agencies within the jurisdiction of the applicant, and deployed with personnel trained in the use of such equipment in a manner consistent with the California Law Enforcement Mutual Aid Plan or the California Fire Services and Rescue Mutual Aid Plan.

b. Is consistent with needs as identified in the State Homeland Security Strategy and will be deployed in conformance with that Strategy.

47. Agrees that funds awarded under this grant will be used to supplement existing funds for program activities, and will not supplant (replace) non-federal funds.

48. Will comply with all applicable federal statutes, regulations, policies, guidelines and requirements, including OMB Circulars A102 and A-133, E.O. 12372 and the current Administrative Requirements, Cost Principles, and Audit Requirements.

49. Will comply with all provisions of 2 CFR, including: Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A-110); Part 225 Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87); Part 220 Cost Principles for Educational Institutions (OMB Circular A-21); Part 230 Cost Principles for Non-Profit Organizations (OMB Circular A-122).

50. Will comply with Subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990.

51. Agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this agreement.

52. Will comply with Federal Acquisition Regulations (FAR), part 31.2 Contract Cost Principles and Procedures, Contracts with Commercial Organizations.

53. Will comply with the financial and administrative requirements set forth in the current edition of the DHS Financial Management Guide.

54. Agrees that all allocations and use of funds under this grant will be in accordance with the FY 2011 Homeland Security Grant Program Guidance and Application Kit, and the California Supplement to the

Initials _____

FY 2011 Homeland Security Grant Program Guidance and Application Kit. All allocations and use of funds under this grant will be in accordance with the Allocations, and use of grant funding must support the goals and objectives included in the state and/or Urban Area Homeland Security Strategies as well as the investments identified in the Investment Justifications which were submitted as part of the California FY 2011 Homeland Security Grant Program application. Further, use of FY 2011 funds is limited to those investments included in the California FY 2011 Investment Justifications submitted to DHS/FEMA and Cal EMA and evaluated through the peer review process.

55. Will not make any award or permit any award (subgrant or contract) to any party that is debarred or suspended or is otherwise excluded from or ineligible for participation in federal assistance programs under EOs 12549 and 12689, "Debarment and Suspension".

56. As required by EO 12549, Debarment and Suspension, and implemented at 44 CFR Part 17, for prospective participants in primary covered transactions, the applicant certifies that it and its principals:

    a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency.
    b. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
    c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and have not within a three-year period preceding this application had one or more public transactions (federal, state, or local) terminated for cause or default; and where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

57. Agrees to comply with the Drug-Free Workplace Act of 1988, and certifies that it will or will continue to provide a drug-free workplace by:

    a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
    b. Establishing an ongoing drug-free awareness program to inform employees about:

        i. The dangers of drug abuse in the workplace;
        ii. The grantee's policy of maintaining a drug-free workplace;
        iii. Any available drug counseling, rehabilitation, and employee assistance programs; and
        iv. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

    c. Making it a requirement that each employee engaged in the performance of the grant be given a copy of the statement required by paragraph (a).
    d. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

        i. Abide by the terms of the statement; and
        ii. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

Initials _____

e. Notifying Cal EMA, in writing, within 10 calendar days after receiving notice under subparagraph (d)(ii) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to:

Department of Justice, Office of Justice Programs
ATTN: Control Desk
633 Indiana Avenue, N.W.
Washington, DC 20531

Notice shall include the identification number(s) of each affected grant.

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(ii), with respect to any employee who is so convicted.

i. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
ii. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency.

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraph 57 subsections (a), (b), (c), (d), (e), and (f).

58. Will comply with all applicable requirements of all other federal and state laws, EOs, regulations, program and administrative requirements, policies and any other requirements governing this program.

59. Understands the reporting of subawards and executive compensation rules, including first tier subawards to Cal EMA.

a. Applicability: unless you are exempt as provided in subsection (d) of this paragraph, subrecipient must report each action that obligates $25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009.
b. Where and when to report: you must report each obligating action described in the following paragraphs to Cal EMA. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2011, the obligation must be reported by no later than December 31, 2011.)
c. What to report: You must report the information about each obligating action that the submission instructions posted in Information Bulletin 350, to Cal EMA.
d. Reporting Total Compensation of Recipient Executives: You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if:

i. The total federal funding authorized to date under this award is $25,000 or more;
ii. In the preceding fiscal year, you received 80 percent or more of your annual gross revenues from federal procurement contracts (and subcontracts) and federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and $25,000,000 or more in annual gross revenues from federal procurement contracts (and subcontracts) and federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S.

Security and Exchange Commission total compensation filings at http://www.sec.gov/answers/execomp.htm.)

iv. Subrecipient Executives. Unless you are exempt as provided above, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if in the subrecipient's preceding fiscal year, the subrecipient received 80 percent or more of its annual gross revenues from federal procurement contracts (and subcontracts) and federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and $25,000,000 or more in annual gross revenues from federal procurement contracts (and subcontracts), and federal financial assistance subject to the Transparency Act (and subawards); and the public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at http://www.sec.gov/answers/execomp.htm.)You must report subrecipient executive total compensation to Cal EMA by the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

60. Exemptions to Paragraph 59 include: If, in the previous tax year, you had gross income, from all sources, under $300,000, you are exempt from the requirements to report on subawards, and the total compensation of the five most highly compensated executives of any subrecipient.

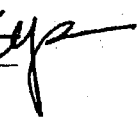
    a. Definitions associated with paragraph 59 include:

        i. "Executive" means officers, managing partners, or any other employees in management positions.

        ii. "Total compensation" means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

            - Salary and bonus.
            - Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
            - Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
            - Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
            - Above-market earnings on deferred compensation which is not tax-qualified.
            - Other compensation, if the aggregate value of all such other compensation (e.g., severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds $10,000.

61. Understands that failure to comply with any of the above assurances may result in suspension, termination, or reduction of grant funds.

Initials 

The undersigned represents that he/she is authorized by the above named applicant to enter into this agreement for and on behalf of the said applicant.

Signature of Authorized Agent: Teresa Serata

Printed Name of Authorized Agent: Teresa Serata

Title: Director, Strategy & Compliance Date: 11/16/11