| File No. | 16 | .07 | 83       |  |
|----------|----|-----|----------|--|
|          | S  |     | <b>v</b> |  |

| Committee         | Item No. | ک  |  |
|-------------------|----------|----|--|
| <b>Board Item</b> | No       | 48 |  |
|                   |          |    |  |

# **COMMITTEE/BOARD OF SUPERVISORS**

AGENDA PACKET CONTENTS LIST

Committee: Rules Committee

Date July 14, 2016

**Board of Supervisors Meeting** 

Date July 26, 2016

| 200 | 2. |     | 1.1 |
|-----|----|-----|-----|
| Cm  | te | Boa | rd  |

|       | Motion<br>Resolution<br>Ordinance<br>Legislative Digest<br>Budget and Legislative Analyst Report<br>Legislative Analyst Report<br>Legislative Analyst Report<br>Youth Commission Report<br>Youth Commission Report<br>Introduction Form (for hearings)<br>Department/Agency Cover Lefter and/or Report<br>MOU<br>Grant Information Form<br>Grant Information Form<br>Grant Budget<br>Subcontract Budget<br>Contract/Agreement<br>Form 126 – Ethics Commission<br>Award Lefter<br>Application<br>Public Correspondence |
|-------|---|
| OTHER | (Use back side if additional space is needed)   |
|       | <u>Foin 700</u>   |

| Completed by: | Derek Evans | Date | July 8, 2016 | *   |
|---------------|-------------|------|--------------|-----|
|               | Derek Evans | Date | July 22, 2   | 016 |

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document can be found in the file.

|    | AMENDED IN COMMITTEE<br>7/14/16  |
|----|--|
|    | FILE NO. 160783 MOTION NO.   |
|    |  |
| 1  | [Reappointment; Board of Appeals - Richard Swig]   |
| 2  |  |
| 3  | Motion approving the President of the Board of Supervisors London Breed's                    |
| 4  | nomination of Richard Swig for appointment to the Board of Appeals, for a term ending        |
| 5  | July 1, 2020.  |
| 6  |  |
| 7  | WHEREAS, Pursuant to Charter, Section 4.106, the President of the Board of                   |
| 8  | Supervisors London Breed has submitted a letter notifying the Clerk of the Board of          |
| 9  | Supervisors of the nomination of Richard Swig to the Board of Appeals, received by the Clerk |
| 10 | of the Board on May 9, 2016, and   |
| 11 | WHEREAS, The Board of Supervisors, by Motion No. M02-80, established a process               |
| 12 | to review the President's nomination to the Board of Appeals; now, therefore, be it          |
| 13 | MOVED, That the Board of Supervisors hereby approves the President's                         |
| 14 | reappointment of Richard Swig to the Board of Appeals, for the unexpired portion of a four-  |
| 15 | year term ending July 1, 2020.   |
| 16 |  |
| 17 |  |
| 18 |  |
| 19 |  |
| 20 |  |
| 21 |  |
| 22 |  |
| 23 |  |
| 24 |  |
| 25 |  |
| 2  |  |
|    | Clerk of the Board<br>BOARD OF SUPERVISORS Page 1  |
|    | BOARD OF SUPERVISORS Page 1  |

President, Board of Supervisors District 5



City and County of San Francisco

### LONDON N. BREED

# MEMORANDUM

TO: Rules Committee Clerk

FROM: Conor Johnston

**DATE:** May 9, 2016

SUBJECT: Appointment by President Breed

Please be advised that President Breed is re-appointing Rick Swig to the Board of Appeals.

City Hall • 1 Dr. Carlton B. Goodlett Place • San Francisco, California 94102-4689 • (415) 554-7630 Fax (415) 554 - 7634 • TDD/TTY (415) 554-5227 • E-mail: London.Breed@sfgov.org



#### Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 (415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Board of Appeals

| Seat # or Category (If applicable): | District:   |
|-------------------------------------|---|
| Name: Richard L. Swig, Jr.          |   |
| Home Address: Marina Bou            | llevard, San Francisco, CA Zip: 94123                 |
| Home Phone: 4                       | Occupation: Hotel Consultant                          |
| Work Phone: 415-541-7722            | Employer: Self-employed/RSBA & Associates             |
|                                     | t, Suite 106, San Francisco, CA <sub>Zip:</sub> 94105 |
| Business E-Mail: rickswig@rsbaswig  |   |

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

| Registered voter in San Francisco: Yes M No I If No | ), where registered: |
|---|----------------------|
|---|----------------------|

Resident of San Francisco 🔳 Yes 🗌 No 🛛 If No, place of residence:\_\_\_\_\_

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

I am a native San Franciscan, who was raised in the Richmond District and now I reside in the Marina District. Professionally, I am involved in the hotel business, both as an adviser in hotel real estate and operations, while I also am knowledgeable with regard to other commercial real estate segments. I have served as a Commissioner and President of the San Francisco Redevelopment Agency, which provided me with the training as a commissioner and increased knowledge regarding public and private sector real estate and the entitlement process throughout many of San Francisco's Districts. This experience was significantly important in the fulfillment of my current duties as a Commissioner on the Board of Appeals since early 2015. With the experience of being a Commissioner I learned the importance of listening and being sensitive to neighborhood group and individual citizen constituencies with regard to their specific needs within their own neighborhoods and living environments. As a result, I developed a great degree of care in decision making to insure that the Commissions decisions were responsible and appropriate both in consideration of a broad spectrum of neighborhood needs and governance requirements.

#### Business and/or professional experience:

November 1986 - Present President, RSBA & Associates, San Francisco, CA

This is a consultancy firm for the hospitality industry. Current activities include individual advisory roles for client decision making in the areas of evaluation of hotel operating procedures; supervisory and asset management; business and market plan review. development, and implementation; central reservations development and usage as marketing tool; disposition and acquisition of properties; and litigation support

#### **Civic Activities:**

| March 2015 - Current, Commissionar<br>San Francisco Board of Appeals                               | • |  |  |  |  |
|--|---|--|--|--|--|
| 2014 - Cutroni<br>Advisory Panel for Hotel Devalopment<br>San Francisco International Airport      |   |  |  |  |  |
| 2007 - 2012, Constitutiona<br>Son Francisco Radovelopmeri Agency<br>(Prasident, January 2018-2011) |   |  |  |  |  |
| 2009 - Curroni, Board of Directors<br>Abit Napa Valley   |   |  |  |  |  |
| 1012 - Currant, Baard of Diractors<br>Sur Yown St, Halang  |   |  |  |  |  |
| 2010 - 2014, Chelman, Board of Directore<br>Napa Valley Tourism Corporation                        |   |  |  |  |  |
| 2010 - 2014, President, Board of Directors<br>St. Holena Tourism Improvement District              | 3 |  |  |  |  |
| 1098 - Current, Advisery Boord<br>Bill Studient Frontieton   |   |  |  |  |  |

Have you attended any meetings of the Board/Commission to which you wish appointment?

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)

Date: 05-09-16

Applicant's Signature: (required)

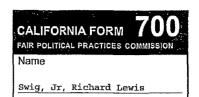
Richard L. Swig, Jr.

(Manually sign or type your complete name. NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

| FOR OFFICE USE ONLY: |               |               |          |           |      |
|----------------------|---------------|---------------|----------|-----------|------|
| Appointed to Seat #: | Term Expires: | Date Seat was | Vacated: | - <u></u> | <br> |
| 01/20/12             |               |               |          |           |      |

| CALIFORNIA FORM 700<br>FAIR POLITICAL PRACTICES COMMISSION<br>A PUBLIC DOCUMENT                      | STATEMENT (               | Date Initial Filing<br>Received<br>Official Use Only<br>E-Filed<br>03/30/2016<br>15:09:02<br>Filing ID: |  |
|--|---------------------------|---|--|
| Please type or print in ink.   |                           |   | 159987167  |
| NAME OF FILER (LAST)   | · · · · ·                 | (FIRST)   | (MIDDLE)   |
| Swig, Jr, Richard Lewis  |                           |   |  |
| 1. Office, Agency, or Court  |                           |   | • .  |
| Agency Name (Do not use acronyms)  |                           |   |  |
| City and County of San Francisco   |                           |   |  |
| Division, Board, Department, District, if applicable   | e                         | Your Position   |  |
| Board of Appeals   |                           | Member  | <u></u>  |
| ► If filing for multiple positions, list below or on   | an attachment. (Do not u  | se acronyms)  |  |
| Agency:  |                           | Position:   |  |
| 2. Jurisdiction of Office (Check at least  | one box)                  |   | <u> </u>   |
| State  |                           | Judge or Court Commissioner (Statewic   | le Jurisdiction)   |
| Multi-County   | ١                         | X County of <u>San Francisco</u>  |  |
| X City of San Francisco  |                           | Other   |  |
| · · · · · · · · · · · · · · · · · · ·  |                           |   |  |
| 3. Type of Statement (Check at least one   | box)                      |   |  |
| X Annual: The period covered is January<br>December 31, 2015   | 1, 2015, through          | Leaving Office: Date Left/     (Check one)  |  |
| The period covered is <u>04</u> / 01<br>December 31, 2015  | <u>3 / 2015</u> , through | <ul> <li>The period covered is January<br/>leaving office,</li> </ul>                                   | 1, 2015, through the date of   |
| Assuming Office: Date assumed  | <u> </u>                  | O The period covered is/  | , through the date   |
| Candidate: Election Year   | and office sought, if     | different than Part 1:  |  |
| 4. Schedule Summary (must complete<br>Schedules attached   | ∋) ► Total number         | of pages including this cover page: _   | 32   |
| Schedule A-1 - Investments – schedul<br>X Schedule A-2 - Investments – schedul                       |                           | Schedule C - Income, Loans, & Business F  | •  |
| X Schedule B - Real Property – schedul   |                           | Schedule E - Income – Gifts – Travel Payr   | nents - schedule attached  |
| -or-   |                           |   |  |
| □ None - No reportable interests on  | any schedule              |   |  |
| 5. Verification  |                           | ,   |  |
| MAILING ADDRESS STREET   | CITY                      | · STATE   | ZIP CODE   |
| (Business or Agency Address Recommended - Public Docum   | -                         | Francisco CA  | 94105  |
| DAYTIME TELEPHONE NUMBER   | Jaii                      | E-MAIL ADDRESS  |  |
| ( )  |                           |   |  |
| I have used all reasonable diligence in preparing<br>herein and in any attached schedules is true ar |                           | viewed this statement and to the best of my knowle<br>ge this is a public document.                     | dge the information contained  |
| I certify under penalty of perjury under the I   | aws of the State of Calif | ornia that the foregoing is true and correct.   |  |
|  |                           |   |  |
| Date Signed(month, day, year)  | <u> </u>                  | Signature <u>Richard Lewis Swig</u> , Jr<br>(File the originally signed statement wi                    | th your filing official.)  |
| <u>,</u>   |                           |   | FPPC Form 700 (2015/2016)<br>Email: advice@fppc.ca.gov<br>6/275-3772 www.fppc.ca.gov |



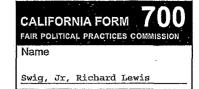
| ► 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Article 3 Advisors  | Richard L. Swig, Jr. Trust   |
| Name  | Name   |
| San Francisco, CA 94129   | San Francisco, CA 94105  |
| Address (Business Address Acceptable)<br>Check one  | Address (Business Address Acceptable)<br>Check one   |
| □ Trust, go to 2 区 Business Entity, complete the box, then go to 2  | Image: Check offer       Image: Check offer         Image: Check o |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| Consulting  |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| \$2,000 - \$10,000//  | \$2,000 - \$10,000   |
| X         \$10,001 - \$100,000         ACQUIRED         DISPOSED  | \$10,001 - \$100,000 ACQUIRED DISPOSED   |
| S100,001 - \$1,000,000<br>Over \$1,000,000  | S100,001 - \$1,000,000<br>Over \$1,000,000   |
|   | NATURE OF INVESTMENT   |
| Partnership Sole Proprietorship X Corporation   | Partnership Sole Proprietorship  |
| YOUR BUSINESS POSITION n/a  | VOUR BUSINESS POSITION   |
|   |  |
| ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)            | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME <u>10</u> THE ENTITY/TRUST)  |
| □ \$0 - \$499   | \$0 - \$499  |
| └ \$500 - \$1,000   | S500 - \$1,000<br>\$1,001 - \$10,000   |
|   | ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF   |
| ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary) | INCOME OF \$10,000 OR MORE (Atlach a separate sheet if necessary).   |
| X None or Names listed below  | None or X Names listed below<br>555 DeHaro St, San Francisco (Via Mr. Swig's   |
|   | indirect interest of under 10% in Swig Invest. Co.)<br>350 Treat St, San Francisco (Via Mr. Swig's indirect  |
| · · · · · · · · · · · · · · · · · · ·   | interest of under 10% in Swig Invest. Co.)   |
| · · · · ·   | 274 Brannan St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  |
| A INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR  |  |
| LEASED BY THE BUSINESS ENTITY OR TRUST  | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST  |
| Check one box:  | Check one box:   |
|   |  |
| ·   | Altria Group   |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property            | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   |
|   | Cigarettes   |
| Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                               | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| □ \$2,000 - \$10,000<br>□ \$10,000 - \$10,000   | □ \$2,000 - \$10,000   |
| \$10,001 - \$100,000  | \$10,001 - \$100,000   |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| Property Ownership/Deed of Trust Stock Partnership  | Property Ownership/Deed of Trust X Stock Partnership   |
| Leasehold Other   | Leasehold Other  |
| Check box if additional schedules reporting investments or real property are attached                                       | X Check box if additional schedules reporting investments or real property are attached  |
| Comments.   | FPPC Form 700 (2015/2016) Sch. A-2   |



Swig, Jr, Richard Lewis

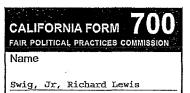
| ► 1. BUSINESS ENTITY OR TRUST.  | ► 1, BUSINESS ENTITY OR TRUST   |
|---|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |   |
| Name  | Name  |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)   |
| Check one   | Check one   |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS  |
|   |   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| □ \$0 - \$1,999   | ☐ \$0 - \$1,999   |
| <b>\$2,000 - \$10,000</b>   | \$2,000 - \$10,000  |
| ☐ \$10,001 - \$100,000 ACQUIRED DISPOSED  | ST0,001 - \$100,000 ACQUIRED DISPOSED   |
| \$100,001 - \$1,000,000<br>Over \$1,000,000   | S100,001 - \$1,000,000<br>Over \$1,000,000  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT  |
| Partnership Sole Proprietorship   | Partnership Sole Proprietorship   |
| Other   | Other   |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION  |
| <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>   | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  |
| □ \$0 - \$499 □ \$10,001 - \$100,000  | ☐ \$0 - \$499   |
| Stop - \$1,000 OVER \$100,000   | \$500 - \$1,000 OVER \$100,000  |
| \$1,001 - \$10,000  | <b>\$1,001 - \$10,000</b>   |
| ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  | ▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  |
| INCOME OF \$10,000 OR MORE (Atlach a separate sheet if necessary)   | INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)  |
| None or Names listed below  | None or Names listed below  |
| · · · · · · · · · · · · · · · · · · ·   |   |
| ·   |   |
|   |   |
| ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   | ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   |
| Check one box:  | Check one box:  |
|   |   |
|   |   |
| Anheuser Busch Inbev SA   | Numer of Durchases Firstly, if landshared an  |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  |
| Beverages   |   |
| Description of Business Activity or   | Description of Business Activity or   |
| City or Other Precise Location of Real Property   | City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| <b>\$2,000 - \$10,000</b>   | <b>\$2,000 - \$10,000</b>   |
| \$10,001 - \$100,000  |   |
| X         \$100,001 - \$1,000,000         ACQUIRED         DISPOSED           Over \$1,000,000         Over \$1,000,0 | \$100,001 - \$1,000,000         ACQUIRED         DISPOSED           Over \$1,000,000         Over \$1,000,000 <td< td=""></td<> |
|   |   |
| NATURE OF INTEREST  | NATURE OF INTEREST  |
| Property Ownership/Deed of Trust X Stock Deartnership   | Property Ownership/Deed of Trust Stock Partnership  |
| Leasehold [] Other  | Leasehold Other   |
| Check box if additional schedules reporting investments or real property are attached   | Check box if additional schedules reporting investments or real property are attached   |
|   |   |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2  |

### SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts



(Ownership Interest is 10% or Greater)

| 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST  |
|--|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |  |
| Name   | Name   |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)  |
| Check one  | Check one  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$40 - \$1,999       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000       Image: Constraint of the second | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999.   |
|  |  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)      \$0 - \$499         \$10,001 - \$100,000         \$500 - \$1,000         OVER \$100,000         \$1,001 - \$10,000   | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PROTRAT<br>SHARE OF THE GROSS INCOME TO THE ENTITYTRUST).     \$0 - \$499     \$10,001 - \$100,000     \$500 - \$1,000     OVER \$100,000     \$1,001 - \$10,000 |
| None or Names listed below   | None or Names listed below   |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST  | ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST  |
| Check one box:   | Check one box:   |
|  |  |
| Berkshire Hathaway Holding Co.<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   |
| Insurance and misc. other industries<br>Description of Business Activity or<br>City or Other Precise Location of Real Property   | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000   | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000       \$10,001 - \$100,000         \$10,001 - \$1,000,000   |
| NATURE OF INTEREST   | NATURE OF INTEREST   |
| Leasehold Other  | Leasehold Other  |
| Yrs. remaining<br>Yrs. remaining<br>Check box if additional schedules reporting investments or real property<br>are attached   | Yrs. remaining<br>Check box if additional schedules reporting investments or real property are attached  |
| Comments:  | FPPC Form 700 (2015/2016) Sch. /   |



| > 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST   |
|---|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |   |
| Name  | Name  |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)   |
| Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2   | Check one   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999     /_//_/  | FAIR MARKET VALUE     IF APPLICÁBLE, LIST DATE:       \$0 - \$1,999     /   |
|   | NATURE OF INVESTMENT  |
| Partnership Sole Proprietorship Other   | Partnership Sole Proprietorship Other .   |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION  |
| > 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>                       |
| □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000  | \$0 - \$499       \$10,001 - \$100,000         \$550 - \$1,000       OVER \$100,000         \$1,001 - \$10,000       \$1,001 - \$10,000                   |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)     None or Names listed below | S. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Atlach a separate sheet if nocessary)     None or Names listed below |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>INVESTMENT                           | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>INVESTMENT REAL PROPERTY             |
| British Amer Tobacco PLC  |   |
| Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property   | Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property   |
| <u>Tobacco</u><br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000     \$10,001 - \$100,000       \$10,001 - \$1,000,000    /                       | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000  |
| NATURE OF INTEREST  | NATURE OF INTEREST  |
| Leasehold Other   | Leasehold Other   |
| Yrs. remaining     Yrs. remaining     Check box if additional schedules reporting investments or real property     are attached                           | Yrs, remaining<br>Check box if additional schedules reporting investments or real property are attached   |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2  |

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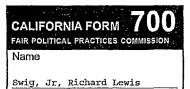
#### **SCHEDULE A-2** Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)



Swig, Jr, Richard Lewis

| ▶ 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST   |
|---|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |   |
| Name  | Name  |
| Address (Business Address Acceptable) Check one Trust, go to 2 Business Entity, complete the box, then go to 2  | Address (Business Address Acceptable) Check one Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE         IF APPLICABLE, LIST DATE;           \$0 - \$1,999         \$2,000 - \$10,000           \$\$2,000 - \$100,000                    | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999   |
| NATURE OF INVESTMENT  Partnership Sole Proprietorship Other  YOUR BUSINESS POSITION   | NATURE OF INVESTMENT           Partnership         Sole Proprietorship           Other           YOUR BUSINESS POSITION   |
| ► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | ▶ 2, IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  |
| \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000  | \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000  |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a scharate sheet if necessary)     None or Names listed below | 3: LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Altach a separate sheet if necessary)     None or Names listed below   |
| ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   |
| Check one box:  | Check one box:  |
| Brown Forman Corp.<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                    | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  |
| Beverages<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE;         \$2,000 - \$10,000  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000       IF APPLICABLE, LIST DATE:         \$10,001 - \$100,000       Image: Applicable in the second |
| NATURE OF INTEREST Property Ownership/Deed of Trust X Stock Partnership   | NATURE OF INTEREST  |
| Leasehold Other     Trs. remaining Other     Check box if additional schedules reporting investments or real property     ave attached                    | Leasehold Other     Yrs. remaining Other     Check box if additional schedules reporting investments or real property   |
| are attached  | FPPC Form 700 (2015/2016) Sch. A-2  |

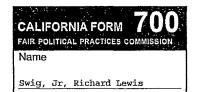
### SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts



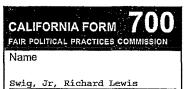
(Ownership Interest is 10% or Greater)

| ► 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST   |
|--|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)  | ·   |
| Name   | Name .  |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)   |
| Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2  | Check one   |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999      //  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999         \$2,000 - \$10,000         \$10,001 - \$100,000         \$100,001 - \$1,000,000         Over \$1,000,000         NATURE OF INVESTMENT         Partnership         Sole Proprietorship         Other |
| YOUR BUSINESS POSITION   | YOUR BUSINESS POSITION  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)         \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000 | > 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)         □ \$0 - \$499       □ \$10,001 - \$100,000         □ \$500 - \$1,000       □ OVER \$100,000         □ \$1,001 - \$10,000       □ \$10,000                     |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Atlach a separate street if insteasary)     None or Names listed below  | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Atlach a separate sheet if necessary)     None or Names listed below   |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>X INVESTMENT REAL PROPERTY  | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>INVESTMENT IREAL PROPERTY  |
| CIE Financier Richemont<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  |
| Financial services<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000   | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE;         \$2,000 - \$10,000  |
| NATURE OF INTEREST   | NATURE OF INTEREST  |
| Leasehold Other  | Leasehold Other   |
| Yrs. remaining<br>X Check box if additional schedules reporting investments or real property are attached  | Yrs. remaining  Check box if additional schedules reporting investments or real property are attached   |
| Comments:  | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fpbc.ca.gov   |

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| 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   | ·  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE         IF APPLICABLE, LIST DATE:           \$0 - \$1,999   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE;       \$0 - \$1,999     /_//   |
| NATURE OF INVESTMENT  Partnership Sole Proprietorship Other  YOUR BUSINESS POSITION   | NATURE OF INVESTMENT   |
| <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>                       | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/IRUST)</li> </ul>                        |
| □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000  | □ \$0 - \$499  |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)     None or Names listed below | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)     None or Names listed below |
| ◆ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   | <ul> <li>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br/>LEASED BY THE BUSINESS ENTITY OR TRUST</li> </ul>                                       |
|   | Check one box:   |
|   |  |
| Comcast Corp.<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                         | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   |
| <u>Cable television</u><br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                                  | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE;       \$2,000 - \$10,000  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000   |
| NATURE OF INTEREST<br>Property Ownership/Deed of Trust  | NATURE OF INTEREST   |
| Leasehold Yrs, remaining Other  | Leasehold Other  |
| <ul> <li>Check box if additional schedules reporting investments or real property are attached</li> </ul>   | Check box if additional schedules reporting investments or real property are attached  |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov  |



| ►1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one Trust, go to 2 Business Entity, complete the box, then go to 2   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE         IF APPLICABLE, LIST DATE:           \$0 - \$1,999   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT<br>Partnership<br>Other   |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION   |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   |
| □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000  | \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000   |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Atlach a separate sheet) (Incressary)     None or Names listed below | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Allight a separate sheet if necessary)     None or Names listed below |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:     The second property                 | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR:<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>INVESTMENT REAL PROPERTY             |
| Diageo PLC<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                            | Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property  |
| Beverages<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$\$2,000 - \$10,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| Leasehold Other   | Leasehold Other  |
| Yrs. remaining<br>X Check box if additional schedules reporting investments or real property<br>are attached  | Yrs. remaining<br>Check box if additional schedules reporting investments or real property<br>are attached   |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov  |



Swig, Jr, Richard Lewis

| ► 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)         Check one            ☐ Trust, go to 2         ☐ Business Entity, complete the box, then go to 2             | Address (Business Address Acceptable)<br>Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2                                       |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999       /_/_//_/  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   |
| \$0 - \$499 \$10,001 - \$100,000<br>\$500 - \$1,000 OVER \$100,000<br>\$1,001 - \$10,000  | □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000   |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet (fineressary)     None or Names listed below | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if increasing)     None or Names listed below |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST.<br>Check one box:<br>INVESTMENT REAL PROPERTY            | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>INVESTMENT REAL PROPERTY              |
|   |  |
| Heineken Hldg<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                         | Name of Business Entity, If Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   |
| <u>Beverages</u><br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| Leasehold Other   | Leasehold Other  |
| Check box if additional schedules reporting investments or real property are attached   | Check box if additional schedules reporting investments or real property are attached  |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fonc.ca.go   |

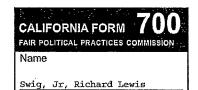
## SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts



(Ownership Interest is 10% or Greater)

Swig, Jr, Richard Lewis

| 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST   |
|--|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |   |
| Name   | Name  |
| Address (Business Address Acceptable)<br>Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2   | Address (Business Address Acceptable) Check one Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999       \$2,000 - \$10,000         \$10,001 - \$100,000       ACQUIRED         Disposed       J  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999       ////////////////////////////////////  |
| YOUR BUSINESS POSITION   | Partnership       Sole Proprietorship       Other         YOUR BUSINESS POSITION       Other  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)         \$0 - \$499         \$0 - \$499         \$10,001 - \$100,000         \$500 - \$1,000         \$10,000         \$10,000 | > 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)         \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000 |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheat if necessary)     None or Names listed below   | 3. LIST-THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a ceparate sheet if necessary)     None or Names listed below   |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:     [2] INVESTMENT I REAL PROPERTY   | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:   |
| Innocent Bystanders II, LLC<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  |
| Real estate<br>Description of Business Activity or<br>City or Other Precise Location of Real Property  | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000     ////////////////////////////////////  | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000     \$10,001 - \$100,000       \$10,001 - \$1,000,000     ACQUIRED       \$100,001 - \$1,000,000     ACQUIRED  |
| NATURE OF INTEREST   | NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership   |
| Leasehold Limited Liability Compan<br>X Check box if additional schedules reporting investments or real property<br>are attached   | Leasehold Other Y     Check box if additional schedules reporting investments or real property     are attached   |
| Comments:  | FPPC Form 700 (2015/2016) Sch. A-2  |



| 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE         IF APPLICABLE, LIST DATE:           \$0 - \$1,999   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT           Partnership         Sole Proprietorship   |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION   |
| ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)                       |
| ☐ \$0 - \$499   | \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000       \$100,000         |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)     None or Names listed below | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a segurate sheet if necessary)     None or |
|   |  |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   | ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                                      |
| Check one box:  | Check one box:   |
|   |  |
| Innocent Bystanders, LLC<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property              | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                       |
| <u>Real estate</u><br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                                       | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000   |
| NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership   | NATURE OF INTEREST  Property Ownership/Deed of Trust  Stock  Partnership   |
| Leasehold Ka analala  | Leasehold Other  |
| Yrs. remaining Limited Liability Compan<br>X Check box if additional schedules reporting investments or real property<br>are attached                     | YY Yrs, remaining<br>Check box if additional schedules reporting investments or real property are attached                             |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov  |

## SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts



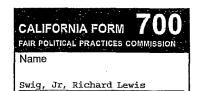
(Ownership Interest is 10% or Greater)

Swig, Jr, Richard Lewis

| ► 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST   |
|---|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)   | · · · · · · · · · · · · · · · · · · ·   |
| Name  | Name  |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)   |
| Check one   | Check one   |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| <b>5</b> 0 - \$1,999  | \$0 - \$1,999   |
| \$2,000 - \$10,000         \$10,000         \$2000 - \$100,000           \$10,001 - \$100,000         \$100,000         \$100,000                               | \$2,000 - \$10,000  |
| \$100,001 - \$1,000,000   |   |
| Over \$1,000,000  | Over \$1,000,000  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT  |
| Partnership Sole Proprietorship Other   | Partnership Sole Proprietorship Conter  |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION  |
| ► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA  | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul> |
| SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  |   |
| □ \$0 - \$499 □ \$10,001 - \$100,000  | \$0 - \$499         \$10,001 - \$100,000           \$500 - \$1,000         OVER \$100,000   |
| S500 - \$1,000 OVER \$100,000   |   |
| > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  | ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  |
| INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)  | INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)   |
| None or Names listed below  | None or Names listed below  |
|   |   |
|   |   |
|   |   |
| ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                                   |
| Check one box:  | Check one box;  |
|   |   |
|   |   |
| Innocent Bystanders IV, LLC   | Name of Business Entity, if Investment, or  |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  | Assessor's Parcel Number or Street Address of Real Property   |
| Real estate   |   |
| Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                                       |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| □ \$2,000 - \$10,000  | \$2,000 - \$10,000  |
| \$10,001 - \$100,000  | \$10,001 - \$100,000 ACQUIRED DISPOSED  |
| Over \$1,000,000  | Over \$1,000,000  |
| NATURE OF INTEREST  | NATURE OF INTEREST  |
| Property Ownership/Deed of Trust Stock Partnership  | Property Ownership/Deed of Trust Stock Partnership  |
| Leasehold Xrs. remaining Compan Limited Liability Compan  | Leasehold Other   |
| Yrs. remaining Limited Liability Compan<br>Limited Liability Compan<br>Check box if additional schedules reporting investments or real property<br>are attached | Y Yrs. remaining Check box if additional schedules reporting investments or real property are attached                              |
|   |   |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov   |

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### SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts



(Ownership Interest is 10% or Greater)

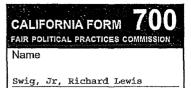
| Richard L. Swig, Jr. Trust (CONTINUATION)  |   |
|--|---|
| lame   | Name  |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)   |
| Check one  | Check one   |
| Trust, go to 2 Business Entity, complete the box, then go to 2   | Trust, go to 2 Business Entity, complete the box, then go to  |
| SENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |
| AIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| ] \$0 - \$1,999  | \$0 - \$1,999   |
| \$2,000 - \$10,000   | \$2,000 - \$10,000  |
| \$10,001 - \$100,000 ACQUIRED DISPOSED   | A \$10,001 - \$100,000 ACQUIRED DISPOSED  |
| Over \$1,000,000   | Over \$1,000,000  |
| JATURE OF INVESTMENT   | NATURE OF INVESTMENT  |
| Partnership Sole Proprietorship  | Partnership Sole Proprietorship   |
| Olher  | Other   |
| OUR BUSINESS POSITION  | YOUR BUSINESS POSITION  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO R.<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul> |
| \$0 - \$499  | <b>\$0 - \$499 \$10,001 - \$100,000</b>   |
| S500 - \$1,000 OVER \$100,000  | □ \$500 - \$1,000 □ OVER \$100,000  |
| \$1,001 - \$10,000   | \$1,001 - \$10,000  |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF   | > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  |
| NCOME OF \$10,000 OR MORE (Atlach a separate sheet if necessary)   | INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)   |
| None or Names listed below   | None or Names listed below  |
|  |   |
| ·  |   |
|  |   |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR  | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR.  |
| LEASED BY THE BUSINESS ENTITY OR TRUST   | LEASED BY THE BUSINESS ENTITY OR TRUST  |
| Check one box:   |   |
| INVESTMENT IREAL PROPERTY  |   |
| nnocent Bystanders V, LLC  |   |
| Jame of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                  |
|  |   |
| Real estate  | Description of Business Activity or   |
| City or Other Precise Location of Real Property  | City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| \$2,000 - \$10,000   | \$2,000 - \$10,000  |
|  |   |
| <sup>II</sup> \$100,001 - \$1,000,000 ACQUIRED DISPOSED<br>Over \$1,000,000                                      | \$100,001 - \$1,000,000         ACQUIRED         DISPOSED           Over \$1,000,000         2                                    |
|  |   |
| NATURE OF INTEREST   | NATURE OF INTEREST  |
| Property Ownership/Deed of Trust Stock Partnership   | Property Ownership/Deed of Trust Stock Partnership  |
|  |   |
|  |   |
| ] Leasehold Yrs. remaining Limited Liability Compa   | DV Leasehold Other  |

Comments:\_\_\_\_\_

FPPC Form 700 (2015/2016) Sch. A-2 FPPC Advice Email: advice@fppc.ca.gov FPPC Toll-Free Helpline:866/275-3772 www.fppc.ca.gov

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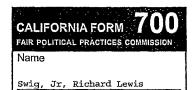
# **SCHEDULE A-2** Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)



| ▶ 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST  |
|--|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |  |
| Name   | Name   |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)  |
| Check one  | Check one  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE         IF APPLICABLE, LIST DATE:           \$0 - \$1,999  | FAIR MARKET VALUE         IF APPLICABLE, LIST DATE:           \$\$\Sigma \$                                |
| NATURE OF INVESTMENT   | NATURE OF INVESTMENT Partnership Sole Proprietorship   |
| Other YOUR BUSINESS POSITION   | YOUR BUSINESS POSITION   |
| ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)</li> </ul>                                       |
| \$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000   | □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000   |
| S: LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Autach a separate sheet if necessary.)     None or Names listed below | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Alloch a separate sheet if theressary)     None or Names listed below                        |
| ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR  | > 4: INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR  |
| LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>[4] INVESTMENT [7] REAL PROPERTY   | LEASED BY THE BUSINESS ENTITY OR TRUST<br>Check one box:<br>INVESTMENT REAL PROPERTY   |
| Louid Louid  |  |
| JC Decaux SA<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                           | Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property  |
| Advertising<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000     \$10,001 - \$100,000       \$100,001 - \$1,000,000     ACQUIRED       Over \$1,000,000     DISPOSED |
| NATURE OF INTEREST   | NATURE OF INTEREST   |
| Leasehold Other  |  |
| Yrs. remaining X Check box if additional schedules reporting investments or real property are attached   | Yrs. remaining  Check box if additional schedules reporting investments or real property are attached  |
| Comments:  | FPPC Form 700 (2015/2016) Sch. A-2   |

#### 060600029-NFH-0029 .

## **SCHEDULE A-2** Investments, Income, and Assets of Business Entities/Trusts



(Ownership Interest is 10% or Greater)

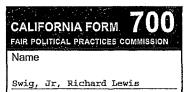
| A. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)<br>Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2                                      | Address (Business Address Acceptable)<br>Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2       |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999   | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999  |
| ▶ 2: IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)             |
| \$0 - \$499       □ \$10,001 - \$100,000         □ \$500 - \$1,000       □ OVER \$100,000         □ \$1,001 - \$10,000       □ OVER \$100,000             | \$0 - \$499 \$10,001 - \$100,000<br>\$500 - \$1,000 \$VER \$100,000<br>\$1,001 - \$10,000                                  |
| 3: LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF:<br>INCOME OF \$10,000 OR MORE (Alloch a separate sheet if necessary)   None or   Names listed below | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF THE INCOME OF \$10,000 OR MORE (Attach a separate sheet if hereesary) |
| ▲4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST  | ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                          |
|   | Check one box:   |
| Martin Marietta Material Inc.<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property         | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property           |
| Building materials<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                                       | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                              |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| C Leasehold Other   | Leasehold Other  |
| Check box if additional schedules reporting investments or real property are attached   | Check box if additional schedules reporting investments or real property are attached                                      |

Comments:

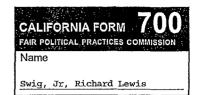
FPPC Form 700 (2015/2016) Sch. A-2 FPPC Advice Email: advice@fppc.ca.gov FPPC Toll-Free Helpline:866/275-3772 www.fppc.ca.gov

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#### **SCHEDULE A-2** Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)



| ► 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST   |
|--|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |   |
| Name   | Name  |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)   |
| Check one  | Check one   |
| Trust, go to 2 Business Entity, complete the box, then go to 2   | Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| S0 - \$1,999   |   |
| \$2,000 - \$10,000   | \$2,000 - \$10,000  |
| \$10,001 - \$100,000 ACQUIRED DISPOSED   | S10,001 - \$100,000 ACQUIRED DISPOSED   |
| S100,001 - \$1,000,000   | ↓ \$100,001 - \$1,000,000<br>↓ Over \$1,000,000   |
|  |   |
| NATURE OF INVESTMENT   | NATURE OF INVESTMENT  |
| Ciratteranip Colertoprictoranip Conter   | Olher   |
| YOUR BUSINESS POSITION   | YOUR BUSINESS POSITION  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST) |
| \$0 - \$499 \$10,001 - \$100,000   | \$0 - \$499 \$10,001 - \$100,000  |
| □ \$500 - \$1,000 □ OVER \$100,000   | \$500 - \$1,000 OVER \$100,000  |
| □ \$1,001 - \$10,000   | [] \$1,001 - \$10,000   |
| ► 3: LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF   | ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  |
| INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)   | INCOME OF \$10,000 OR MORE (Attach a separate sheet if haressary.)  |
| None or Names listed below   | None or Names listed below  |
|  |   |
| ·  |   |
|  |   |
| A INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR   | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR   |
| LEASED BY THE BUSINESS ENTITY OR TRUST   | LEASED BY THE BUSINESS ENTITY OR TRUST  |
| Check one box:   | Check one box:  |
|  |   |
| Mastercard Inc.  |   |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property | Name of Business Entity, if investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property      |
|  | The second stated that the of direct Address of real Floperty   |
| Credit cards   | Description of Rusinger Activity or   |
| Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                    | Description of Business Activity or<br>City or Other Precise Location of Real Property                                |
|  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  |
| \$10,001 - \$100,000/  | \$10,001 - \$100,000/   |
| ▲ \$100,001 - \$1,000,000 ACQUIRED DISPOSED  | S100,001 - \$1,000,000 ACQUIRED DISPOSED  |
| Over \$1,000,000   | Over \$1,000,000  |
| NATURE OF INTEREST   | NATURE OF INTEREST  |
| Property Ownership/Deed of Trust 🔀 Stock 🗌 Partnership   | Property Ownership/Deed of Trust Stock Partnership  |
|  |   |
| C Leasehold Other  | Yrs. remaining  |
| K Check box if additional schedules reporting investments or real property are attached                          | Check box if additional schedules reporting investments or real property are attached                                 |
|  | FPPC Form 700 (2015/2016) Sch. A-   |
| Comments:  | FPPC Advice Email: advice@fppc.ca.go  |



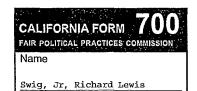
| 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
|   |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| \$2,000 - \$10,000  | \$2,000 - \$10,000   |
| ST0,001 - \$100,000 ACQUIRED DISPOSED   | \$10,001 - \$100,000 ACQUIRED DISPOSED   |
| S100,001 - \$1,000,000  | ∏ \$100,001 - \$1,000,000<br>☐ Over \$1,000,000  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT   |
| Partnership Sole Proprietorship   | Partnership Sole Proprietorship  |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION   |
| > 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA  | 24 IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA)  |
| SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)  | SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   |
| \$0 - \$499 \$10,001 - \$100,000  | □ \$0 - \$499 □ \$10,001 - \$100,000   |
| Stool - \$1,000 OVER \$100,000  | S500 - \$1,000 OVER \$100,000  |
| S1,001 - \$10,000     S31,151 THE NAME OF EACH REPORTABLE SINGLE SOURCE OF A STATEMENT AND A STATEMENT AN | SALIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  |
| INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)   | INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)   |
| None or Names listed below  | None or Names listed below   |
|   |  |
| ·   | ······   |
|   |  |
| ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR   | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR  |
| LEASED BY THE BUSINESS ENTITY OR TRUST  | LEASED BY THE BUSINESS ENTITY OR TRUST   |
|   |  |
| A INVESTMENT REAL PROPERTY  | INVESTMENT REAL PROPERTY   |
| Nestle SA   |  |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property |
| Food products   |  |
| Description of Business Activity or   | Description of Business Activity or  |
| City or Other Precise Location of Real Property   | City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| \$2,000 - \$10,000<br>\$10,001 - \$100,000/   |  |
| \$10,001 - \$100,000  | \$10,001 - \$1,000,000   |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| Property Ownership/Deed of Trust  | Property Ownership/Deed of Trust   |
| Leasehold Other   | Leasehold  |
| Yrs, remaining  | Yrs, remaining   |
| Check box if additional schedules reporting investments or real property are attached   | Check box if additional schedules reporting investments or real property are attached                            |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2   |

# **SCHEDULE A-2** Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)



| Swig, Jr, Richard Lewi |
|------------------------|
|------------------------|

| ► 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST   |
|--|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |   |
| Name   | Name  |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)   |
| Check one  | Check one Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999     //   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999     /_///       \$10,001 - \$10,000     ACQUIRED       \$100,001 - \$1,000,000     Over \$1,000,000 |
| NATURE OF INVESTMENT   | NATURE OF INVESTMENT  |
| YOUR BUSINESS POSITION   | YOUR BUSINESS POSITION  |
| 2: IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)                         | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)</li> </ul>                              |
| □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000                                     | □ \$0 - \$499 □ \$10,001 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000  |
| 3. LIST THE WAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if netcesary)     None or | 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attisch a séparate street (f necessary)<br>None or Names listed below               |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR     LEASED BY THE BUSINESS ENTITY OR TRUST                                       | ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST   |
| Check one box:   | Check one box:  |
| Pernod Ricard  |   |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                       | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property  |
| Beverages<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                             | Description of Business Activity or<br>City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  |
| NATURE OF INTEREST   | NATURE OF INTEREST  |
| Leasehold Other  | Leasehold Other   |
| Check box if additional schedules reporting investments or real property are attached  | Check box if additional schedules reporting investments or real property are attached   |
| Comments:  | FPPC Form 700 (2015/2016) Sch. A-2  |



| A BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| <b>1</b> \$0 - \$1,999  | \$0 - \$1,999  |
|   |  |
| \$10,001 - \$100,000 ACQUIRED DISPOSED     \$10,001 - \$1,000,000   | ☐ \$10,001 - \$100,000 ACQUIRED DISPOSED<br>☐ \$100,001 - \$1,000,000  |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT   |
| Partnership Sole Proprietorship   | Partnership Sole Proprietorship  |
| Ciher   | Other  |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION   |
| ► 2: IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST) | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)   |
| <b>\$10,001 - \$100,000</b>   | \$0 - \$499 \$10,001 - \$100,000   |
| S500 - \$1,000 OVER \$100,000   | S500 - \$1,000 OVER \$100,000  |
| LJ \$1,001 - \$10,000   | <b>\$1,001 - \$10,000</b>  |
| ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  | ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF   |
| INCOME OF \$10,000 OR MORE (Alleen a separate sheet if necessary)   | INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)  |
|   |  |
| <u> </u>  |  |
|   |  |
|   |  |
|   |  |
| <ul> <li>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br/>LEASED BY THE BUSINESS ENTITY OR TRUST</li> </ul>    | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                  |
| Check one box:  | Check one box:   |
|   |  |
|   |  |
| Philip Morris IntI  |  |
| Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property               | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property |
|   | Assession's Farber Number of Sileer Address of Real Property   |
| Cigarettes  | Description of Rupinoon Arthuby or   |
| Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                           | Description of Business Activity or<br>City or Other Precise Location of Real Property                           |
|   |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE;   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| \$2,000 - \$10,000<br>\$10,001 - \$100,000  | \$10,000 - \$100,000   |
| ∑ \$10,001 - \$1,000,000 ACQUIRED DISPOSED  | [] \$10,001 - \$100,000  |
| Over \$1,000,000  | Over \$1,000,000   |
|   | \  |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
|   | Property Ownership/Deed of Trust Stock Partnership   |
| Leasehold Other   | Leasehold Other  |
| Yrs. temaining  | Yrs. remaining   |
| Check box if additional schedules reporting investments or real property<br>are attached                                | Check box if additional schedules reporting investments or real property are attached                            |
| are andorrow  |  |
|   |  |

Comments:\_\_\_\_

FPPC Form 700 (2015/2016) Sch. A-2 FPPC Advice Email: advice@fppc.ca.gov FPPC Toil-Free Helpline:866/275-3772 www.fppc.ca.gov

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### SCHEDULE A-2 Investments, Income, and Assets



of Business Entities/Trusts (Ownership Interest is 10% or Greater)

| ► 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST   |
|---|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |   |
| Name  | Name  |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)   |
| Check one   | Check one   |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999     1   |
| \$2,000 - \$10,000  | \$2,000 - \$10,000  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT  |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION  |
| <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul> | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)                      |
| SHARE OF THE GROSS INCOME TO THE ENTITY/IRUST/  | SHARE OF THE GROSS INCOME TO THE ENTITY/IRUST) \$0 - \$499 \$10,001 - \$100,000   |
| □ \$10,007 - \$100,000<br>□ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000  | □ \$500 - \$1,000 □ OVER \$100,000<br>□ \$1,001 - \$10,000  |
| ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheet if mccessary)         | ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Atlach a separate sheet if necessary)         |
| None or Names listed below  | None or Names listed below  |
|   |   |
|   |   |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                                     | ▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                                   |
|   |   |
| Check one box:  | Check one box:  |
| RSBA & Associates   |   |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                    | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property                    |
| Hospitality consulting  |   |
| Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                                       | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property                                       |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| \$2,000 - \$10,000<br>\$10,001 - \$100,000  |   |
| [X] \$100,001 - \$1,000,000         ACQUIRED         DISPOSED   | \$10,001 - \$1,000,000  |
| Over \$1,000,000  | ☐ Over \$1,000,000  |
| NATURE OF INTEREST  | NATURE OF INTEREST  |
|   |   |
| Leasehold Other   | Leasehold U Other   |
| Check box if additional schedules reporting investments or real property are attached   | Check box if additional schedules reporting investments or real property are attached   |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov<br>FPPC Toll-Free Helpline:866/275-3772 www.fppc.ca.gov |

### SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)



| ► 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| □ \$0 - \$1,999   | □ \$0 - \$1,999  |
| \$2,000 - \$10,000            \$10,001 - \$100,000            \$10,001 - \$100,000         ACQUIRED                       | \$2,000 - \$10,000   |
| S10,001 - \$100,000 ACQUIRED DISPOSED   | \$10,001 - \$100,000 ACQUIRED DISPOSED   |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INVESTMENT ,  | NATURE OF INVESTMENT   |
| Partnership Sole Proprietorship C.  | Partnership Sole Proprietorship  |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION   |
|   |  |
| ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)          | 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)       |
| <b>\$0 - \$499 \$10,001 - \$100,000</b>   | \$0 - \$499 \$10,001 - \$100,000   |
| S500 - \$1,000 OVER \$100,000   | S500 - \$1,000 OVER \$100,000  |
|   | > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF   |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary) | INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)   |
| None or Names listed below  | None or Names listed below   |
|   |  |
|   |  |
|   |  |
|   |  |
| <ul> <li>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br/>LEASED BY THE BUSINESS ENTITY OR TRUST</li> </ul>      | <ul> <li>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br/>LEASED BY THE BUSINESS ENTITY OR TRUST</li> </ul> |
| Check one box:  | Check one box:   |
|   | INVESTMENT REAL PROPERTY   |
|   |  |
| RSMC Investment Company   | Hanna of During and Tables of Landscore  |
| Name of Business Entity, if investment, or<br>Assessor's Parcel Number or Street Address of Real Property                 | Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property            |
| Real estate (owns under 10% of Swig Invest. Co)   |  |
| Description of Business Activity or   | Description of Business Activity or  |
| City or Other Precise Location of Real Property   | City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| □ \$2,000 - \$10,000  | <b>\$2,000 - \$10,000</b>  |
| \$10,001 - \$100,000  | \$10,001 - \$100,000   |
| X Over \$1,000,000  | Over \$1,000,000   |
|   |  |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
|   |  |
| Leasehold A Other   | Leasehold Other  |
| X Check box if additional schedules reporting Investments or real property  | Check box if additional schedules reporting investments or real property   |
| are attached  | are attached   |
|   | FPPC Form 700 (2015/2016) Sch. A-2   |
| Comments:   | FPPC Advice Email: advice@fppc.ca.gov  |
|   | FPPC Toll-Free Helpline:866/275-3772 www.fppc.ca.gov   |

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### SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts



(Ownership Interest is 10% or Greater)

| ► 1. BUSINESS ENTITY OR TRUST  | ► 1, BUSINESS ENTITY OR TRUST   |
|--|---|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |   |
| Name   | Name  |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)   |
| Check one  | Check one   |
| Trust, go to 2 	Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| \$0 - \$1,999  | \$0 - \$1,999   |
|  | \$2,000 - \$10,000  |
| \$10,001 - \$100,000 ACQUIRED DISPOSED   | \$10,001 - \$100,000 ACQUIRED DISPOSED  |
| S100,001 - \$1,000,000   | S100,001 - \$1,000,000<br>Over \$1,000,000  |
|  |   |
|  |   |
| Partnership Sole Proprietorship Other  | Partnership Sole Proprietorship Olher   |
| YOUR BUSINESS POSITION   | YOUR BUSINESS POSITION  |
| > 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO BATA   | 2 IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO BATA   |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITYITRUST) | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul> |
| \$0 - \$499 \$10,001 - \$100,000   | \$0 - \$499 \$10,001 - \$100,000  |
| S500 - \$1,000 OVER \$100,000  | S500 - \$1,000 OVER \$100,000   |
| \$1,001 - \$10,000   | \$1,001 - \$10,000  |
| ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF   | ► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF  |
| INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)  | INCOME OF \$10,000 OR MORE (Allach a separate sheet if necessary)   |
| None or Names listed below   | None or Names listed below  |
| · · · · · · · · · · · · · · · · · · ·  | · · · · · · · · · · · · · · · · · · ·   |
|  |   |
|  |   |
|  |   |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                                   |
| Check one box:   | Check one box:  |
|  |   |
| Sabmiller PLC  |   |
| Name of Business Entity, if Investment, or   | Name of Business Entity, if Investment, or  |
| Assessor's Parcel Number or Street Address of Real Property  | Assessor's Parcel Number or Street Address of Real Property   |
| Beverages  |   |
| Description of Business Activity or  | Description of Business Activity or   |
| City or Other Precise Location of Real Property  | City or Other Precise Location of Real Property   |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |
| \$2,000 - \$10,000   | \$2,000 - \$10,000  |
| [] \$10,001 - \$100,000//  | \$10,001 - \$100,000/   |
| \$100,001 - \$1,000,000 ACQUIRED DISPOSED  | S100,001 - \$1,000,000 ACQUIRED DISPOSED  |
| Over \$1,000,000   | Over \$1,000,000  |
| NATURE OF INTEREST   | NATURE OF INTEREST  |
| Property Ownership/Deed of Trust   | Property Ownership/Deed of Trust Stock Partnership  |
|  |   |
| Cheasehold Other   | Leasehold Other   |
| X Check box if additional schedules reporting investments or real property                                     |   |
| are attached   | Check box if additional schedules reporting investments or real property<br>are attached  |
|  |   |
| Comments:  | FPPC Form 700 (2015/2016) Sch. A-2<br>————————————————————————————————————  |
|  | FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov   |

## **SCHEDULE A-2**

Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)



Swig, Jr, Richard Lewis

| 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   | · ·  |
| Name  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | GENERAL DESCRIPTION OF THIS BUSINESS   |
|   |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| □ \$2,000 - \$10,000  |  |
| S10,001 - \$100,000 ACQUIRED DISPOSED   | 1 \$10,001 - \$100,000 ACQUIRED DISPOSED   |
| ☐ \$100,001 - \$1,000,000<br>☐ Over \$1,000,000   | ☐ \$100,001 - \$1,000,000<br>☐ Over \$1,000,000  |
| 1   |  |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT   |
| Olher   | Other  |
| YOUR BUSINESS POSITION  | Your Business Position   |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)            | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>            |
| □ \$0 - \$499 □ \$10,001 - \$100,000  | <b>\$0 - \$499 \$10,001 - \$100,000</b>  |
| S500 - \$1,000  | \$500 - \$1,000 OVER \$100,000   |
| \$1,001 - \$10,000  | <b>[_]</b> \$1,001 - \$10,000  |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheat if necessary) | <ul> <li>3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br/>INCOME OF \$10,000 OR MORE (Altaph a separate sheet if necessary)</li> </ul> |
| None or Names listed below  | None or Names listed below   |
|   |  |
|   |  |
|   |  |
|   |  |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                           | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST  |
| Check one box:  | Check one box:   |
|   |  |
| Scripps Networks Interactive  |  |
| Name of Business Entity, if Investment, or  | Name of Business Entity, if investment, or   |
| Assessor's Parcel Number or Street Address of Real Property   | Assessor's Parcel Number or Street Address of Real Property  |
| Cable television  | Descriptions of Duringer Asthetics   |
| Description of Business Activity or<br>City or Other Precise Location of Real Property                                    | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |
|   |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE;       \$2,000 - \$10,000   |
| ▲ \$10,001 - \$100,000  | \$2,000 - \$10,000 [] \$10,000 [] \$100,000 [] \$100,000   |
| \$100,001 - \$1,000,000 ACQUIRED DISPOSED   | \$100,001 - \$1,000,000 ACQUIRED DISPOSED  |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| Property Ownership/Deed of Trust 🔀 Stock 🔲 Partnership  | Property Ownership/Deed of Trust Stock Partnership   |
| Leasehold Other   | Leasehold Other  |
| X Check box if additional schedules reporting investments or real property  | Check box if additional schedules reporting investments or real property   |
| are attached  | are attached   |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov<br>FPPC Toll-Free Helpline:866/275-3772 www.fppc.ca.gov            |

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### SCHEDULE A-2

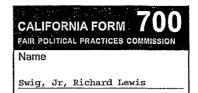
Investments, Income, and Assets of Business Entities/Trusts



(Ownership Interest is 10% or Greater)

Swig, Jr, Richard Lewis

| ► 1. BUSINESS ENTITY OR TRUST   | ► 1. BUSINESS ENTITY OR TRUST  |
|---|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)   |  |
| Name .  | Name   |
| Address (Business Address Acceptable)   | Address (Business Address Acceptable)  |
| Check one   | Check one  |
| Trust, go to 2 Business Entity, complete the box, then go to 2  | Trust, go to 2 Business Entity, complete the box, then go to 2   |
| GENERAL DESCRIPTION OF THIS BUSINESS  | General Description of this Business   |
|   |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| \$2,000 - \$10,000//  | \$2,000 - \$10,000////   |
| S10,001 - \$100,000 ACQUIRED DISPOSED   | ☐ \$10,001 - \$1,000,000 ACQUIRED DISPOSED<br>☐ \$100,001 - \$1,000,000  |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INVESTMENT  | NATURE OF INVESTMENT   |
| Partnership Sole Proprietorship   | Partnership Sole Proprietorship  |
| YOUR BUSINESS POSITION  | Vour Business Position   |
|   |  |
| 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)            | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>            |
| □ \$0 - \$499 □ \$10,001 - \$100,000  | <b>\$0 - \$499 \$10,001 - \$100,000</b>  |
| \$500 - \$1,000 OVER \$100,000  | ↓↓ \$500 - \$1,000   |
| LJ \$1,001 - \$10,000   |  |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary) | <ul> <li>3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br/>INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)</li> </ul> |
| None or Names listed below  | None or Names listed below   |
|   |  |
|   |  |
| · ·   | ······································   |
|   |  |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                           | <ul> <li>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br/>LEASED BY THE BUSINESS ENTITY OR TRUST.</li> </ul>                          |
| Check one box:  | Check one box:   |
|   |  |
|   |  |
| Unilever NV<br>Name of Business Entity, if Investment, <u>or</u>  | Name of Business Entity, if Investment, <u>or</u>  |
| Assessor's Parcel Number or Street Address of Real Property   | Assessor's Parcel Number or Street Address of Real Property  |
| Consumer goods  |  |
| Description of Business Activity <u>or</u>  | Description of Business Activity <u>or</u>   |
| City or Other Precise Location of Real Property   | City or Other Precise Location of Real Property  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |
| \$2,000 - \$10,000<br>//  | \$2,000 - \$10,000<br>\$10,001 - \$100,000/  |
| \$10,001 - \$1,000,000         ACQUIRED         DISPOSED  | \$100,001 - \$1,000,000 ACQUIRED DISPOSED  |
| Over \$1,000,000  | Over \$1,000,000   |
| NATURE OF INTEREST  | NATURE OF INTEREST   |
| Property Ownership/Deed of Trust  | Property Ownership/Deed of Trust   |
|   |  |
| Leasehold Other   | Leasehold Other  |
| Check box if additional schedules reporting investments or real property are attached                                     | Check box if additional schedules reporting investments or real property are attached  |
|   | EDDC Form 700 (2045/2046) Set & 2  |
| Comments:   | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov  |



| A. BUSINESS ENTITY OR TRUST   | ► 1/ BUSINESS ENTITY OR TRUST  |  |  |  |
|---|--|--|--|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)<br>Name   | Name   |  |  |  |
| Address (Business Address Acceptable)<br>Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2  | Address (Business Address Acceptable)<br>Check one<br>Trust, go to 2 Business Entity, complete the box, then go to 2   |  |  |  |
| General description of this business  | GENERAL DESCRIPTION OF THIS BUSINESS   |  |  |  |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999   | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$0 - \$1,999     /_//   |  |  |  |
| NATURE OF INVESTMENT  Partnership Sole Proprietorship Other Other YOUR BUSINESS POSITION  | NATURE OF INVESTMENT  Partnership Sole Proprietorship Other Other Other  |  |  |  |
| ₩ MINITEVENTHE GROSSINGOMERECEIVED (INCLUDE YOUR PRO RATA   | > 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE) YOUR PRO RATA  |  |  |  |
| SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)           \$0 - \$499         \$10,001 - \$100,000           \$500 - \$1,000         OVER \$100,000           \$1,001 - \$10,000         \$100,000 | SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)           \$0 - \$499         \$10,001 - \$100,000           \$500 - \$1,000         OVER \$100,000           \$1,001 - \$10,000         \$1,001 - \$10,000 |  |  |  |
| 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)     None or Names listed below   | 3 : LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF     INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)     None or Names listed below   |  |  |  |
| A: INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR     LEASED BY THE BUSINESS ENTITY OR TRUST     Check one box;     X INVESTMENT    REAL PROPERTY   | 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT IREAL PROPERTY  |  |  |  |
| Wells Fargo & Co.<br>Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   | Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property   |  |  |  |
| Banking services<br>Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property   | Description of Business Activity <u>or</u><br>City or Other Precise Location of Real Property  |  |  |  |
| FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000  | FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000   |  |  |  |
| NATURE OF INTEREST  | NATURE OF INTEREST   |  |  |  |
| Leasehold Other     Yrs. remaining     Other     Check box if additional schedules reporting investments or real property   | Leasehold Other     Yrs. remaining     Check box if additional schedules reporting investments or real property  |  |  |  |
| are attached  | FPPC Form 700 (2015/2016) Sch. A-2   |  |  |  |

# SCHEDULE A-2



Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

| ► 1. BUSINESS ENTITY OR TRUST  | ► 1. BUSINESS ENTITY OR TRUST   |  |  |
|--|---|--|--|
| Richard L. Swig, Jr. Trust (CONTINUATION)  |   |  |  |
| Name .   | Name  |  |  |
| Address (Business Address Acceptable)  | Address (Business Address Acceptable)   |  |  |
|  | Check one   |  |  |
| Trust, go to 2 Business Entity, complete the box, then go to 2   | Trust, go to 2 Business Entity, complete the box, then go to 2  |  |  |
| GENERAL DESCRIPTION OF THIS BUSINESS   | GENERAL DESCRIPTION OF THIS BUSINESS  |  |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |  |  |
| <b>\$0 - \$1,999</b>   | <b>1</b> \$0 - \$1,999  |  |  |
| \$2,000 - \$10,000   | \$2,000 - \$10,000  |  |  |
| \$100,001 - \$1,000,000  | \$100,001 - \$100,000<br>\$100,001 - \$1,000,000  |  |  |
| Over \$1,000,000   | Over \$1,000,000  |  |  |
| NATURE OF INVESTMENT   | NATURE OF INVESTMENT  |  |  |
| Partnership Sole Proprietorship  | Partnership Sole ProprietorshipOther  |  |  |
| YOUR BUSINESS POSITION   | YOUR BUSINESS POSITION  |  |  |
|  |   |  |  |
| ▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br>SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST). | <ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA<br/>SHARE OF THE GROSS INCOME 10 THE ENTITY/TRUST)</li> </ul> |  |  |
| <b>\$10,001 - \$100,000</b>  | \$0 - \$499   |  |  |
| U \$500 - \$1,000 OVER \$100,000   | ↓ \$500 - \$1,000   |  |  |
| LI \$1,001 - \$10,000  |   |  |  |
| INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)  | ► 3: LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF<br>INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)         |  |  |
| None or Names listed below   | None or Names listed below  |  |  |
|  |   |  |  |
|  |   |  |  |
| · · ·  |   |  |  |
|  |   |  |  |
| 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                          | ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR<br>LEASED BY THE BUSINESS ENTITY OR TRUST                                   |  |  |
| Check one box:   | Check one box:  |  |  |
|  |   |  |  |
|  |   |  |  |
| Swatch Group   |   |  |  |
| Name of Business Entity, if Investment, <u>or</u><br>Assessor's Parcel Number or Street Address of Real Property         | Name of Business Entity, if Investment, or<br>Assessor's Parcel Number or Street Address of Real Property                           |  |  |
|  | Assessor's Parcel Number of Street Address of Real Property   |  |  |
| Watches and jewelry Description of Business Activity or  | Description of Business Activity or   |  |  |
| City or Other Precise Location of Real Property  | City or Other Precise Location of Real Property   |  |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  |   |  |  |
| FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  | FAIR MARKET VALUE IF APPLICABLE, LIST DATE:   |  |  |
| \$10,001 - \$100,000   | [] \$10,001 - \$100,000/  |  |  |
| X         \$100,001 - \$1,000,000         ACQUIRED         DISPOSED  | \$100,001 - \$1,000,000         ACQUIRED         DISPOSED   |  |  |
| Over \$1,000,000   | Over \$1,000,000  |  |  |
| NATURE OF INTEREST   | NATURE OF INTEREST  |  |  |
| Property Ownership/Deed of Trust   | Property Ownership/Deed of Trust  |  |  |
| Leasehold Other  | Leasehold Other   |  |  |
| Check box if additional schedules reporting investments or real property   | Check box if additional schedules reporting investments or real property  |  |  |
| are attached   | are attached  |  |  |
| Comments:  | FPPC Form 700 (2015/2016) Sch. A-2<br>FPPC Advice Email: advice@fppc.ca.gov   |  |  |
|  | FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov   |  |  |

Additional Single Sources of Income of \$10,000 or more for Richard L. Swig, Jr. Trust

501 Second St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 633 Folsom St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 830 Powell St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 901 Battery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 235 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 333 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 359 Dine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 269 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 220 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 230 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 240 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 250 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 260 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 270 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 280 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 291 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 292 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 293 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 294 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)

RSBA & Associates

RSBA & Associates
839 Leavenworth, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)
750 O'Farrell, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)
825 Post St., San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)
72 Gough, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)
1008 Larkin, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)

SCHEDULE B



Interests in Real Property (Including Rental Income)

Swig, Jr, Richard Lewis

| ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  | ► ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS   |  |  |  |
|---|--|--|--|--|
| 1007 General Kennedy Drive, #216  | 220 Montgomery Street  |  |  |  |
| CITY  | CITY   |  |  |  |
| San Francisco   | San Francisco  |  |  |  |
| FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         [X] \$2,000 - \$10,000  | FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000       ////////////////////////////////////  |  |  |  |
| NATURE OF INTEREST  | NATURE OF INTEREST   |  |  |  |
| Ownership/Deed of Trust   | X Ownership/Deed of Trust  |  |  |  |
| X Leasehold <u>1</u><br>Yrs, remaining Other  | Leasehold Other Other  |  |  |  |
| IF RENTAL PROPERTY, GROSS INCOME RECEIVED   | IF RENTAL PROPERTY, GROSS INCOME RECEIVED  |  |  |  |
| [] \$0 - \$499 [] \$500 - \$1,000 [] \$1,001 - \$10,000   | \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000   |  |  |  |
| S10,001 - \$100,000 OVER \$100,000  | S10,001 - \$100,000 OVER \$100,000   |  |  |  |
| SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.   | SOURCES OF RENTAL INCOME: If you own a 10% or greater  |  |  |  |
|   |  |  |  |  |
| business on terms available to members of the public  | without regard to your official status. Personal loans and   |  |  |  |
| You are not required to report loans from commercia   | without regard to your official status. Personal loans and   |  |  |  |
| business on terms available to members of the public<br>loans received not in a lender's regular course of busin  | without regard to your official status. Personal loans and<br>ness must be disclosed as follows:   |  |  |  |
| business on terms available to members of the public<br>loans received not in a lender's regular course of busin<br>NAME OF LENDER*   | NAME OF LENDER*  |  |  |  |
| You are not required to report loans from commercial business on terms available to members of the public loans received not in a lender's regular course of busin         NAME OF LENDER*         ADDRESS (Business Address Acceptable)  | without regard to your official status. Personal loans and<br>ness must be disclosed as follows:<br>NAME OF LENDER*<br>ADDRESS (Business Address Acceptable)   |  |  |  |
| You are not required to report loans from commercial business on terms available to members of the public violans received not in a lender's regular course of busin         NAME OF LENDER*         ADDRESS (Business Address Acceptable)         BUSINESS ACTIVITY, IF ANY, OF LENDER   | without regard to your official status. Personal loans and<br>ness must be disclosed as follows:<br>NAME OF LENDER*<br>ADDRESS (Business Address Acceptable)<br>BUSINESS ACTIVITY, IF ANY, OF LENDER   |  |  |  |
| You are not required to report loans from commercial business on terms available to members of the public viloans received not in a lender's regular course of busin         NAME OF LENDER*         ADDRESS (Business Address Acceptable)         BUSINESS ACTIVITY, IF ANY, OF LENDER         INTEREST RATE       TERM (Months/Years)        %       None   | without regard to your official status. Personal loans and<br>hess must be disclosed as follows:<br>NAME OF LENDER*<br>ADDRESS (Business Address Acceptable)<br>BUSINESS ACTIVITY, IF ANY, OF LENDER<br>INTEREST RATE TERM (Months/Years)<br>% \[] None  |  |  |  |
| You are not required to report loans from commercial business on terms available to members of the public vision in a lender's regular course of business and the public vision in a lender's regular course of business (Business Address Acceptable)         NAME OF LENDER*         ADDRESS (Business Address Acceptable)         BUSINESS ACTIVITY, IF ANY, OF LENDER         INTEREST RATE         You are not required to report loans from commercial business and the public vision in a lender's regular course of business and the public vision in a lender's regular c | without regard to your official status. Personal loans and<br>ness must be disclosed as follows:<br>NAME OF LENDER*<br>ADDRESS (Business Address Acceptable)<br>BUSINESS ACTIVITY, IF ANY, OF LENDER<br>INTEREST RATE TERM (Months/Years)<br>% 	[] None<br>HIGHEST BALANCE DURING REPORTING PERIOD                                   |  |  |  |
| You are not required to report loans from commercial business on terms available to members of the public viloans received not in a lender's regular course of busin         NAME OF LENDER*         ADDRESS (Business Address Acceptable)         BUSINESS ACTIVITY, IF ANY, OF LENDER         INTEREST RATE         TERM (Months/Years)        %         None         HIGHEST BALANCE DURING REPORTING PERIOD         [] \$1,001 - \$10,000   | without regard to your official status. Personal loans and<br>tess must be disclosed as follows:<br>NAME OF LENDER*<br>ADDRESS (Business Address Acceptable)<br>BUSINESS ACTIVITY, IF ANY, OF LENDER<br>INTEREST RATE TERM (Months/Years)<br>% None<br>HIGHEST BALANCE DURING REPORTING PERIOD<br>\$500 - \$1,000 \$1,001 - \$10,000 |  |  |  |
| You are not required to report loans from commercial business on terms available to members of the public viloans received not in a lender's regular course of busin         NAME OF LENDER*         ADDRESS (Business Address Acceptable)         BUSINESS ACTIVITY, IF ANY, OF LENDER         INTEREST RATE         TERM (Months/Years)        %         None         HIGHEST BALANCE DURING REPORTING PERIOD   | without regard to your official status. Personal loans and<br>ness must be disclosed as follows:<br>NAME OF LENDER*<br>ADDRESS (Business Address Acceptable)<br>BUSINESS ACTIVITY, IF ANY, OF LENDER<br>INTEREST RATE TERM (Months/Years)<br>% 	[] None<br>HIGHEST BALANCE DURING REPORTING PERIOD                                   |  |  |  |

Comments: \_

FPPC Form 700 (2015/2016) Sch. B FPPC Advice Email: advice@fppc.ca.gov FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov 060600029-NFH-0029 SCHEDULE C **CALIFORNIA FORM** FAIR POLITICAL PRACTICES COMMISSION Income, Loans, & Business Name Positions (Other than Gifts and Travel Payments) Swig, Jr, Richard Lewis 1. INCOME RECEIVED 1. INCOME RECEIVED NAME OF SOURCE OF INCOME NAME OF SOURCE OF INCOME RSBA & Associates Article 3 Advisors ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable) San Francisco, CA 94105 San Francisco, CA 94147 BUSINESS ACTIVITY, IF ANY, OF SOURCE BUSINESS ACTIVITY, IF ANY, OF SOURCE Hospitality consulting Consulting YOUR BUSINESS POSITION YOUR BUSINESS POSITION President GROSS INCOME RECEIVED GROSS INCOME RECEIVED \$500 - \$1,000 1,001 - \$10,000 \$500 - \$1,000 \$1,001 - \$10,000 OVER \$100,000 X OVER \$100,000 X \$10,001 - \$100,000 [] \$10,001 - \$100,000 CONSIDERATION FOR WHICH INCOME WAS RECEIVED CONSIDERATION FOR WHICH INCOME WAS RECEIVED Spouse's or registered domestic partner's income X Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.) X Salary Salary (For self-employed use Schedule A-2.) Partnership (Less than 10% ownership. For 10% or greater use Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.) Schedule A-2.)

Sale of \_

Loan repayment

(Real property, car, boat, etc.)

Commission or Rental Income, list each source of \$10,000 or more

(Describe)
 (

Sale of \_

Loan repayment

| NAME OF LENDER*                                | INTEREST RATE     | TERM (Months/Years)  |
|--|-------------------|--|
| ADDRESS (Business Address Acceptable)          | % 🔲 None          |  |
| BUSINESS ACTIVITY, IF ANY, OF LENDER           | SECURITY FOR LOAN | rsonal residence   |
| HIGHEST BALANCE DURING REPORTING PERIOD        | Real Property     | Street address   |
| <b>\$500 - \$1,000</b>                         |                   | City   |
| ☐ \$1,001 - \$10,000<br>□ \$10,001 - \$100,000 | Guarantor         | -<br>  |
| OVER \$100,000                                 | Other             | (Describe)   |
| Comments:                                      |                   |  |
|  | FI                | FPPC Form 700 (2015/2016) Sch. (<br>PC Advice Email: advice@fppc.ca.go |

FPPC Toil-Free Helpline: 866/275-3772 www.fppc.ca.gov

(Real property, car, boat, etc.)

Commission or Rental Income, list each source of \$10,000 or more

| SCHEDU<br>Income, Loans<br>Positi<br>(Other than Gifts and  | , & Business FAIR POLITICAL PRACTICES COMMISSION Name   |  |  |
|---|---|--|--|
| 1. INCOME RECEIVED  | ► 1. INCOME RECEIVED  |  |  |
| NAME OF SOURCE OF INCOME  | NAME OF SOURCE OF INCOME  |  |  |
| RSMC Investment Company   | Swig Investment Company   |  |  |
| ADDRESS (Business Address Acceptable)   | ADDRESS (Business Address Acceptable)   |  |  |
| San Francisco, CA 94105   | San Francisco, CA 94104   |  |  |
| BUSINESS ACTIVITY, IF ANY, OF SOURCE  | BUSINESS ACTIVITY, IF ANY, OF SOURCE  |  |  |
|   | Investing in real estate and securities   |  |  |
| YOUR BUSINESS POSITION  | YOUR BUSINESS POSITION  |  |  |
| GROSS INCOME RECEIVED<br>\$500 - \$1,000 \$1,001 - \$10,000<br>\$10,001 - \$100,000 \$\$ OVER \$100,000 | GROSS INCOME RECEIVED .<br>\$500 - \$1,000 \$1,001 - \$10,000<br>\$10,001 - \$100,000 OVER \$100,000  |  |  |
| CONSIDERATION FOR WHICH INCOME WAS RECEIVED   | CONSIDERATION FOR WHICH INCOME WAS RECEIVED   |  |  |
| Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)                  | Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)   |  |  |
| Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)                             | Partnership (Less than 10% ownership. For 10% or greater use<br>Schedule A-2.)  |  |  |
| Sale of (Real property, car, boat, etc.)  | [ Sale of   |  |  |
| Loan repayment  | Loan repayment  |  |  |
| Commission or Rental Income, list each source of \$10,000 or more                                       | Commission or X Rental Income, list each source of \$10,000 or more<br>555 DeHaro St, San Francisco (Via Mr. Swig's<br>indirect interest of under 10% in Swig Invest. |  |  |
| (Describe)  | (Describe)  |  |  |
| X Other Distribution from partnership   | Other   |  |  |
| (Describe)  | (Describe)  |  |  |

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

| NAME OF LENDER*                         | INTEREST RATE TERM (M    | onths/Years)   |
|---|--------------------------|--|
| ADDRESS (Business Address Acceptable)   |                          | -  |
| BUSINESS ACTIVITY, IF ANY, OF LENDER    | SECURITY FOR LOAN        |  |
| HIGHEST BALANCE DURING REPORTING PERIOD | Real Property Street add | 855  |
| <b>\$500 - \$1,000</b>                  | Сйу                      |  |
| []] \$1,001 - \$10,000                  | Guarantor                |  |
| <b>\$10,001 - \$100,000</b>             |                          |  |
| OVER \$100,000                          | Describe)                |  |
| Comments:                               | ·                        |  |
|   |                          | 00 (2015/2016) Sch. C<br>: advice@fppc.ca.gov<br>772 www.fppc.ca.gov |

Additional Sources of Income of \$10,000 or more for Swig Investment Company:

350 Treat St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 274 Brannan St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 501 2nd St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 533 Folsom St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 830 Powell St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 901 Battery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 913 Folsom St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 935 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 936 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 937 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 938 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 939 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 939 Leavenworth, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 950 O'Farrell, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 925 Post St., San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 939 Leavenworth, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 930 Porrell, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 935 Post St., San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 936 Post St., San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 937 Gough, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) 938 Larkin, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.) .