

FDP Subaward Amendment

Amendment No

02

Subaward No

14171sc

Pass-Through Entity (PTE)

Subrecipient

The Regents of the University of California, San Francisco

Entity Name

City & County of San Francisco

CGSubOutTeam@ucsf.edu

Contact Email

willi.mcfarland@sfdph.org;

Ali Mirzazadeh, MD

Principal Investigator

Dr. William McFarland

Project Title

Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program

PTE/Prime Award No.

5R25MH129290-03

Awarding Agency

National Institutes of Health (NIH)

Cumulative Budget Period(s)

(Agreement Start Date)

(End Date of Latest Budget Period)

Amount Funded This Action

Total Amount of Funds Obligated to Date

Start Date:

10/01/2022

End Date:

05/31/2025

\$ 26,259.00

\$ 78,777.00

Subrecipient Cost Share

Subject to FFATA

Subrecipient UEI (Unique Entity Identifier - May leave blank if unchanged from prior Agreement)

DCTNHRGU1K75

Amendment(s) to Original Terms and Conditions

This Amendment revises the above-referenced Subaward Agreement as follows:

Additional Budget Period

Additional budget period 06/01/2024 - 05/31/2025 is hereby added to this Subaward.

No Cost Extension

Additional Funding

Additional funding in the amount of \$ 26,259.00 is hereby obligated to this Subaward.

Deobligation

Carryover is Automatic

Carryover is allowed across all budget periods.

Carryover Authorized

Detailed Budget/Scope of Work/Notice of Award Attached (Specify if the Budget and Scope of Work are "New", "Revised", or "Supplemental" in dropdown or "Other")

A Notice of Award and Budget is incorporated by attachment to this Amendment.

Other (See Below)

The attached budget combines additional budget period funding, \$26,259, with the Carry Forward amount of \$3,826.00 from 06/01/2023 - 05/31/2024 to current budget period ending 05/31/2025.

For clarity: all amounts stated in this amendment are in United States Dollars.

All other terms and conditions of this Subaward Agreement remain in full force and effect.

By an Authorized Official of PTE:

Date

By an Authorized Official of Subrecipient:

Date

Name

Name

Title

Title

San Francisco Department of Public Health Subcontract
Budget Period: 6/1/2024-5/31/2025

<u>A. Salaries and Wages</u>		<u>B. Benefits</u>			<u>Total</u>	
<u>Position Title</u>	<u>Current Salary</u>	<u>% effort</u>	<u># mos.</u>	<u>(A) Salary</u>	<u>(B) Benefits</u>	<u>Total</u>
Director of Surveillance	\$221,900	9%	12	\$19,716	\$7,147	\$26,862
McFarland, Willi						
Total Personnel		9%		\$19,716	\$7,147	\$26,862
Total Direct Costs						
Overhead at 12%						
Grand Total						

SFDPH Subcontract

Budget Justification:

We request a total of \$30,085 for a subaward with San Francisco Department of Public Health (SFDPH).

Personnel:

Willi, McFarland, MD, PhD, MPH&TM, (pronouns: he/him/his) is the Director of the Center for Public Health Research at SFDPH and Professor of Epidemiology and Biostatistics at UCSF. He is Board certified in Preventive Medicine. Dr. McFarland was Joint-PI for a NIH-funded Summer HIV/AIDS Research Program for under-represented minority undergraduate students (SHARP). He is co-investigator with Dr. Arayasirikul (PI) on the recent NIH 25-funded SHINE Strong, a mentoring program committed to the long-term development of transgender scientists. Dr. McFarland was co-director of the NIH T32-supported post-doctoral Traineeship in AIDS Prevention Studies (TAPS) at UCSF for 15 years. He is co-investigator of the NIH R25-funded International Traineeship in AIDS Prevention (ITAPS), and mentor to undergraduate and graduate students at the MPH and PhD levels. He has led numerous short courses in sampling methods, PSE, and the analysis of survey data worldwide. His current research focus is on the epidemiology and prevention of HIV in hard-to-reach populations, including sexual and gender minorities and persons who use drugs. He has 437 peer-reviewed publications, the vast majority on studies that include sampling hard-to-reach populations. In 2014, he was awarded the UCSF AIDS Research Institute's Award for Mentoring Excellence. **Dr. McFarland has mentored 251 undergraduate, graduate and post-doctoral scholars, domestically and internationally, over the past 29 years. Among US mentees, 61 are under-represented minorities.** Dr. McFarland will serve as a H2R program faculty mentor and lecturer in this training program and will provide access to data from local and international studies. Dr. McFarland will serve as MPI to provide oversight, support, and mentoring to Dr. Mirzazadeh in leading H2R program. He will also serve as program faculty, primary mentor to scholars, and directly teach courses for the program. In particular, he will be the course director of **Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations.**

We request 9% (\$19,716) salary support for this position for 12 months.

Subaward Fringe Benefit:

The fringe benefit rate is calculated as 36.25% (\$7,147) of Willi McFarland' salary.

Indirect Cost Rates:

The indirect cost rate is 12% (\$3,223) of total direct expenses.



Department of Health and Human Services
National Institutes of Health
NATIONAL INSTITUTE OF MENTAL HEALTH

Notice of Award
FAIN# R25MH129290
Federal Award Date
06/28/2024

Recipient Information

1. Recipient Name

REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, THE 1855 FOLSOM ST STE 425 SAN FRANCISCO, CA 94103

2. Congressional District of Recipient

11

3. Payment System Identifier (ID)

1946036493A6

4. Employer Identification Number (EIN)

946036493

5. Data Universal Numbering System (DUNS)

094878337

6. Recipient's Unique Entity Identifier

KMH5K9V7S518

7. Project Director or Principal Investigator

Ali Mirzazadeh, MD (Contact)

ali.mirzazadeh@ucsf.edu
415-866-4234

8. Authorized Official

Magdalene Cho
maggie.cho@ucsf.edu
415-502-8752

Federal Agency Information

9. Awarding Agency Contact Information

Joyce Tso
Grants Management Specialist
NATIONAL INSTITUTE OF MENTAL HEALTH
joyce.tso@nih.gov

10. Program Official Contact Information

Susannah Allison

NATIONAL INSTITUTE OF MENTAL HEALTH
allisonsu@mail.nih.gov
240-627-3861

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Federal Award Information

11. Award Number

5R25MH129290-03

12. Unique Federal Award Identification Number (FAIN)

R25MH129290

13. Statutory Authority

42 USC 241 42 CFR 52

14. Federal Award Project Title

Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program

15. Assistance Listing Number

93.242

16. Assistance Listing Program Title

Mental Health Research Grants

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

Yes

Summary Federal Award Financial Information

19. Budget Period Start Date 06/01/2024 – End Date 05/31/2025

20. Total Amount of Federal Funds Obligated by this Action	\$216,249
20 a. Direct Cost Amount	\$203,010
20 b. Indirect Cost Amount	\$13,239

21. Authorized Carryover

22. Offset

23. Total Amount of Federal Funds Obligated this budget period \$216,249

24. Total Approved Cost Sharing or Matching, where applicable

\$0

25. Total Federal and Non-Federal Approved this Budget Period

\$216,249

26. Project Period Start Date 08/01/2022 – End Date 05/31/2026

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period \$651,999

28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Heather Weiss

**RESEARCH EDUCATION AWARD**

Department of Health and Human Services
National Institutes of Health

Notice of Award

NATIONAL INSTITUTE OF MENTAL HEALTH

SECTION I – AWARD DATA – 5R25MH129290-03

Principal Investigator(s):

Sean Arayasirikul, PhD
Thomas Hoffmann, PhD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$216,249 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Heather Weiss
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)	
Salaries and Wages	\$59,612
Fringe Benefits	\$21,673
Personnel Costs (Subtotal)	\$81,285
Travel	\$2,000
Other	\$59,083
Subawards/Consortium/Contractual Costs	\$40,642
Participant Other	\$20,000
Federal Direct Costs	\$203,010
Federal F&A Costs	\$13,239
Approved Budget	\$216,249
Total Amount of Federal Funds Authorized (Federal Share)	\$216,249
TOTAL FEDERAL AWARD AMOUNT	\$216,249
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$216,249

SUMMARY TOTALS FOR ALL YEARS (for this Document Number)		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$216,249	\$216,249
4	\$216,001	\$216,001

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2024

IC	CAN	2024	2025
OD	8055729	\$216,249	\$216,001

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41032 / **Released:** 06/27/2024
Award Processed: 06/28/2024 12:21:19 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 5R25MH129290-03

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 5R25MH129290-03

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, awardees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the awardee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Office Of The Director, National Institutes Of Health (OD)

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws requires taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <https://www.hhs.gov/civil-rights/for-providers/provider-obligations/index.html> and <https://www.hhs.gov/>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals, see <https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html> and <https://www.lep.gov>.
- For information on an institution's specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>.
- HHS funded health and education programs must be administered in an environment free of sexual harassment; see <https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html>. For information about NIH's commitment to supporting a safe and respectful work environment,

who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see <https://grants.nih.gov/grants/policy/harassment.htm>.

- For guidance on administering programs in compliance with applicable federal religious nondiscrimination laws and applicable federal conscience protection and associated anti-discrimination laws, see <https://www.hhs.gov/conscience/conscience-protections/index.html> and <https://www.hhs.gov/conscience/religious-freedom/index.html>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:
Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 5R25MH129290-03

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement OD21-005 which can be referenced at: <https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health** and **Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at:

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY
AWARD NUMBER: 5R25MH129290-03

INSTITUTION: The Regents of the UCSF

Budget	Year 3	Year 4
Salaries and Wages	\$59,612	\$59,609
Fringe Benefits	\$21,673	\$21,672
Personnel Costs (Subtotal)	\$81,285	\$81,281
Travel	\$2,000	\$2,000
Other	\$59,083	\$59,088
Subawards/Consortium/Co ntractual Costs	\$40,642	\$40,642
Participant Other	\$20,000	\$20,000
TOTAL FEDERAL DC	\$203,010	\$203,011
TOTAL FEDERAL F&A	\$13,239	\$12,990
TOTAL COST	\$216,249	\$216,001

Facilities and Administrative Costs	Year 3	Year 4
F&A Cost Rate 1	8%	8%
F&A Cost Base 1	\$165,492	\$162,369
F&A Costs 1	\$13,239	\$12,990