

| FDP Subaward Amendment | | | |
|--|--|---|---|
| Amendment No 02 | | Subaward No 14171sc | |
| Pass-Through Entity (PTE) | | Subrecipient | |
| The Regents of the University of California, San Francisco | | Entity Name City & County of San Francisco | |
| CGSubOutTeam@ucsf.edu | | Contact Email willi.mcfarland@sfdph.org; | |
| Ali Mirzazadeh, MD | | Principal Investigator Dr. William McFarland | |
| Project Title Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program | | | |
| PTE/Prime Award No. 5R25MH129290-03 | | Awarding Agency National Institutes of Health (NIH) | |
| Cumulative Budget Period(s) <small>(Agreement Start Date) (End Date of Latest Budget Period)</small> Start Date: 10/01/2022 End Date: 05/31/2025 | | Amount Funded This Action \$ 26,259.00 | Total Amount of Funds Obligated to Date \$ 78,777.00 |
| Subrecipient Cost Share <input type="checkbox"/> | Subject to FFATA <input checked="" type="checkbox"/> | Subrecipient UEI <small>(Unique Entity Identifier - May leave blank if unchanged from prior Agreement)</small> | DCTNHRGU1K75 |
| Amendment(s) to Original Terms and Conditions This Amendment revises the above-referenced Subaward Agreement as follows: | | | |
| <input checked="" type="checkbox"/> Additional Budget Period Additional budget period 06/01/2024 - 05/31/2025 is hereby added to this Subaward. | | | |
| <input type="checkbox"/> No Cost Extension | | | |
| <input checked="" type="checkbox"/> Additional Funding Additional funding in the amount of \$ 26,259.00 is hereby obligated to this Subaward. | | | |
| <input type="checkbox"/> Deobligation | | | |
| Carryover is Automatic Carryover is allowed across all budget periods. | | | |
| <input type="checkbox"/> Carryover Authorized | | | |
| <input checked="" type="checkbox"/> Detailed Budget/Scope of Work/Notice of Award Attached <small>(Specify if the Budget and Scope of Work are "New", "Revised", or "Supplemental" in dropdown or "Other")</small> A Notice of Award and Budget is incorporated by attachment to this Amendment. | | | |
| <input checked="" type="checkbox"/> Other (See Below) | | | |
| The attached budget combines additional budget period funding, \$26,259, with the Carry Forward amount of \$3,826.00 from 06/01/2023 - 05/31/2024 to current budget period ending 05/31/2025. | | | |
| For clarity: all amounts stated in this amendment are in United States Dollars. | | | |
| All other terms and conditions of this Subaward Agreement remain in full force and effect. | | | |
| By an Authorized Official of PTE: | | By an Authorized Official of Subrecipient: | |
| Date | | Date | |
| Name | | Name | |
| Title | | Title | |

San Francisco Department of Public Health Subcontract
Budget Period: 6/1/2024-5/31/2025

A. Salaries and Wages

Personnel

McFarland, Willi

| Position Title | Current Salary | % effort | # mos. | (A) Salary | (B) Benefits | Total |
|--------------------------|----------------|----------|--------|---------------|-----------------|----------|
| Director of Surveillance | \$221,900 | 9% | 12 | \$19,716 | \$7,147 | \$26,862 |

Total Personnel

| | | | |
|----|----------|---------|----------|
| 9% | \$19,716 | \$7,147 | \$26,862 |
|----|----------|---------|----------|

Total Direct Costs

Overhead at 12%

Grand Total

| |
|-----------------|
| \$26,862 |
| <u>\$3,223</u> |
| \$30,085 |

SFDPH Subcontract

Budget Justification:

We request a total of \$30,085 for a subaward with San Francisco Department of Public Health (SFDPH).

Personnel:

Willi, McFarland, MD, PhD, MPH&TM, (pronouns: he/him/his) is the Director of the Center for Public Health Research at SFDPH and Professor of Epidemiology and Biostatistics at UCSF. He is Board certified in Preventive Medicine. Dr. McFarland was Joint-PI for a NIH-funded Summer HIV/AIDS Research Program for under-represented minority undergraduate students (SHARP). He is co-investigator with Dr. Arayasirikul (PI) on the recent NIH 25-funded SHINE Strong, a mentoring program committed to the long-term development of transgender scientists. Dr. McFarland was co-director of the NIH T32-supported post-doctoral Traineeship in AIDS Prevention Studies (TAPS) at UCSF for 15 years. He is co-investigator of the NIH R25-funded International Traineeship in AIDS Prevention (ITAPS), and mentor to undergraduate and graduate students at the MPH and PhD levels. He has led numerous short courses in sampling methods, PSE, and the analysis of survey data worldwide. His current research focus is on the epidemiology and prevention of HIV in hard-to-reach populations, including sexual and gender minorities and persons who use drugs. He has 437 peer-reviewed publications, the vast majority on studies that include sampling hard-to-reach populations. In 2014, he was awarded the UCSF AIDS Research Institute's Award for Mentoring Excellence. **Dr. McFarland has mentored 251 undergraduate, graduate and post-doctoral scholars, domestically and internationally, over the past 29 years. Among US mentees, 61 are under-represented minorities.** Dr. McFarland will serve as a H2R program faculty mentor and lecturer in this training program and will provide access to data from local and international studies. Dr. McFarland will serve as MPI to provide oversight, support, and mentoring to Dr. Mirzazadeh in leading H2R program. He will also serve as program faculty, primary mentor to scholars, and directly teach courses for the program. In particular, he will be the course director of **Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations**.

We request 9% (\$19,716) salary support for this position for 12 months.

Subaward Fringe Benefit:

The fringe benefit rate is calculated as 36.25% (\$7,147) of Willi McFarland' salary.

Indirect Cost Rates:

The indirect cost rate is 12% (\$3,223) of total direct expenses.



| Recipient Information | Federal Award Information |
|--|---|
| 1. Recipient Name REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, THE 1855 FOLSOM ST STE 425 SAN FRANCISCO, CA 94103 | 11. Award Number 5R25MH129290-03 |
| 2. Congressional District of Recipient 11 | 12. Unique Federal Award Identification Number (FAIN) R25MH129290 |
| 3. Payment System Identifier (ID) 1946036493A6 | 13. Statutory Authority 42 USC 241 42 CFR 52 |
| 4. Employer Identification Number (EIN) 946036493 | 14. Federal Award Project Title Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program |
| 5. Data Universal Numbering System (DUNS) 094878337 | 15. Assistance Listing Number 93.242 |
| 6. Recipient's Unique Entity Identifier KMH5K9V7S518 | 16. Assistance Listing Program Title Mental Health Research Grants |
| 7. Project Director or Principal Investigator Ali Mirzazadeh, MD (Contact) ali.mirzazadeh@ucsf.edu 415-866-4234 | 17. Award Action Type Non-Competing Continuation |
| 8. Authorized Official Magdalene Cho maggie.cho@ucsf.edu 415-502-8752 | 18. Is the Award R&D? Yes |
| Federal Agency Information | Summary Federal Award Financial Information |
| 9. Awarding Agency Contact Information Joyce Tso Grants Management Specialist NATIONAL INSTITUTE OF MENTAL HEALTH joyce.tso@nih.gov | 19. Budget Period Start Date 06/01/2024 – End Date 05/31/2025 |
| 10. Program Official Contact Information Susannah Allison NATIONAL INSTITUTE OF MENTAL HEALTH allisonsu@mail.nih.gov 240-627-3861 | 20. Total Amount of Federal Funds Obligated by this Action \$216,249 20 a. Direct Cost Amount \$203,010 20 b. Indirect Cost Amount \$13,239 |
| | 21. Authorized Carryover |
| | 22. Offset |
| | 23. Total Amount of Federal Funds Obligated this budget period \$216,249 |
| | 24. Total Approved Cost Sharing or Matching, where applicable \$0 |
| | 25. Total Federal and Non-Federal Approved this Budget Period \$216,249 |
| | 26. Project Period Start Date 08/01/2022 – End Date 05/31/2026 |
| | 27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period \$651,999 |
| | 28. Authorized Treatment of Program Income Additional Costs |
| | 29. Grants Management Officer - Signature Heather Weiss |
| 30. Remarks Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system. | |



RESEARCH EDUCATION AWARD
Department of Health and Human Services
National Institutes of Health

Notice of Award



NATIONAL INSTITUTE OF MENTAL HEALTH

SECTION I – AWARD DATA – 5R25MH129290-03

Principal Investigator(s):

Sean Arayasirikul, PHD
Thomas Hoffmann, PHD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$216,249 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Heather Weiss
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

| | |
|--|------------------|
| Salaries and Wages | \$59,612 |
| Fringe Benefits | \$21,673 |
| Personnel Costs (Subtotal) | \$81,285 |
| Travel | \$2,000 |
| Other | \$59,083 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |
| | |
| Federal Direct Costs | \$203,010 |
| Federal F&A Costs | \$13,239 |
| Approved Budget | \$216,249 |
| Total Amount of Federal Funds Authorized (Federal Share) | \$216,249 |
| TOTAL FEDERAL AWARD AMOUNT | \$216,249 |
| | |
| AMOUNT OF THIS ACTION (FEDERAL SHARE) | \$216,249 |

| SUMMARY TOTALS FOR ALL YEARS (for this Document Number) | | |
|---|------------|-------------------|
| YR | THIS AWARD | CUMULATIVE TOTALS |
| 3 | \$216,249 | \$216,249 |
| 4 | \$216,001 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2024

| | | | |
|----|---------|-----------|-----------|
| IC | CAN | 2024 | 2025 |
| OD | 8055729 | \$216,249 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41032 / **Released:** 06/27/2024
Award Processed: 06/28/2024 12:21:19 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 5R25MH129290-03

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 5R25MH129290-03

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 75.
- National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

| |
|--|
| Office Of The Director, National Institutes Of Health (OD) |
|--|

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws requires taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <https://www.hhs.gov/civil-rights/for-providers/provider-obligations/index.html> and <https://www.hhs.gov/>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals, see <https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html> and <https://www.lep.gov>.
- For information on an institution's specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>.
- HHS funded health and education programs must be administered in an environment free of sexual harassment; see <https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html>. For information about NIH's commitment to supporting a safe and respectful work environment,

who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see

<https://grants.nih.gov/grants/policy/harassment.htm>.

- For guidance on administering programs in compliance with applicable federal religious nondiscrimination laws and applicable federal conscience protection and associated anti-discrimination laws, see <https://www.hhs.gov/conscience/conscience-protections/index.html> and <https://www.hhs.gov/conscience/religious-freedom/index.html>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 5R25MH129290-03

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement OD21-005 which can be referenced at: <https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health** and **Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY**AWARD NUMBER:** 5R25MH129290-03**INSTITUTION:** The Regents of the UCSF

| Budget | Year 3 | Year 4 |
|--|-----------|-----------|
| Salaries and Wages | \$59,612 | \$59,609 |
| Fringe Benefits | \$21,673 | \$21,672 |
| Personnel Costs (Subtotal) | \$81,285 | \$81,281 |
| Travel | \$2,000 | \$2,000 |
| Other | \$59,083 | \$59,088 |
| Subawards/Consortium/Contractual Costs | \$40,642 | \$40,642 |
| Participant Other | \$20,000 | \$20,000 |
| TOTAL FEDERAL DC | \$203,010 | \$203,011 |
| TOTAL FEDERAL F&A | \$13,239 | \$12,990 |
| TOTAL COST | \$216,249 | \$216,001 |

| Facilities and Administrative Costs | Year 3 | Year 4 |
|-------------------------------------|-----------|-----------|
| F&A Cost Rate 1 | 8% | 8% |
| F&A Cost Base 1 | \$165,492 | \$162,369 |
| F&A Costs 1 | \$13,239 | \$12,990 |