



Edwin M. Lee  
Mayor

Mohammed Nuru  
Director

San Francisco Public Works  
1 Dr. Carlton B. Goodlett Pl.  
Room 348  
San Francisco, CA 94102  
tel 415-554-6920

sfpublicworks.org  
facebook.com/sfpublicworks  
twitter.com/sfpublicworks  
twitter.com/mrcleansf

March 30, 2015

Angela Calvillo, Clerk of the Board  
Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Rm. 244  
San Francisco, Ca 94102-4689

RE: Notice of Commencement of Emergency Work (Section 6.60(D))

Dear Ms. Calvillo:

Pursuant to Section 6.60 of the Administrative Code, San Francisco Public Works is submitting this letter to notify the Board of Supervisors that emergency work for four projects with a value over \$250,000 has commenced. Please note that for two of the projects, Security and Electronic System for County Jails #1 and #2 and 170 Otis Street, Elevator Repair/Overhaul, the emergencies were initially declared by other City Departments and were later assigned to Public Works when the work was determined to be construction rather than professional or general services.

Sincerely,

Mohammed Nuru

Attachment

cc: Ben Rosenfield, Controller

Bas II, COB, City atty,  
B+F, Leg Dup. Copage

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2015 MAR 30 PM 4:12

Project Name	Date of Public Works Emergency Declaration	Description of Work	Contractor(s)	Total Contract Amount
Telegraph Hill Stabilization	7/7/2014	Scaling and securing the northwest face of Telegraph Hill	Cotton, Shires and Associates, Inc.; ARUP North America Limited; Geostabilization International, Inc.	\$4,541,153.20
Repair of Security and Electronic System for County Jails #1 and #2	11/21/2014	Emergency design and repair for County Jails #1 and #2 central control	Alta Consulting Services, Inc.; Siemens Industry, Inc.; and Sierra Detention Systems	\$1,074,098.00
170 Otis Street, Elevator Repair and Overhaul	12/8/2014	Repair and overhaul of the elevators at Human Services Agency Offices, 170 Otis Street.	Kone Corporation	\$460,160.00
Navigation Center, 1950 Mission Street	1/26/2015	Construction and renovation of former San Francisco Unified School District property into a center for homeless services and support	W. Wong Construction, Inc.	\$358,825.00

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**To:** BOS Legislation (BOS)  
**Subject:** File 150126 FW: Letter from UFW Vice President Armando Elenes  
**Attachments:** SF Board of Supervisors 3-30-15.pdf

**From:** Giovanni Uribe [<mailto:guribe@ufw.org>]  
**Sent:** Monday, March 30, 2015 11:07 PM  
**To:** Board of Supervisors, (BOS); BOS-Legislative Aides; Angela Calvillo  
**Cc:** irv hershenbaum; Armando Elenes  
**Subject:** Letter from UFW Vice President Armando Elenes

Dear Board of Supervisors of San Francisco,

I have attached a letter by Armando Elenes, Vice President of United Farm Workers, in regards to the recent dishonest actions of Gerawan Farming.

Thank you for your continued support on behalf thousands of farm workers in the Central Valley.

Regards,

***Giovanni Uribe***

National Public Action Coordinator  
United Farm Workers  
C. (424)-283-2460  
[guribe@ufw.org](mailto:guribe@ufw.org)





# UNITED FARM WORKERS

30172 Garces Hwy • P.O. Box 130 • Delano, CA 93216  
Telephone: (661) 725-9730 • Fax: (661) 725-2135 • [www.ufw.org](http://www.ufw.org)

March 30, 2015

San Francisco Board of Supervisors  
San Francisco, CA 94102

*Re: File No, 150126 No. \_\_\_, "Resolution supporting Gerawan farm workers their right to be represented by the United Farm Workers, and urging Gerawan Farming to implement the terms of their contract."*

To the members of the San Francisco Board of Supervisors:

Earlier today, you received a letter from Dan Gerawan, co-owner of Gerawan Farming, urging you to vote against Supervisor David Campos' above-noted resolution. I now write to you on behalf of the United Farm Workers of America, urging you to disregard Mr. Gerawan's letter.

Mr. Gerawan claims that the UFW "completely abandoned" Gerawan workers for over twenty years. This claim is *false*. For over twenty years, the UFW has marched side-by-side with Gerawan workers in achieving great victories for farmworkers at state and national level, in areas such as workplace health and safety, labor, and immigration. To date, the ALRB recognizes the UFW as certified bargaining representative of Gerawan's non-supervisory agricultural workers. As such, we will continue fighting so that Gerawan workers can enjoy the benefits of a union contract.

We invite the Board to look at the true history of this labor struggle. After voting for the UFW in 1990, workers' attempts to obtain a union contract were repeatedly thwarted by the Gerawans. The Gerawans fired workers and their employer provided housing was closed by the Gerawans in retaliation for early organizing efforts. Workers tried organizing again after Gov. Gray Davis signed a landmark 2002 mandatory mediation law letting neutral state mediators hammer out contracts when growers refuse to sign them. Workers again organized for a contract after Gov. Brown signed a 2011 measure with new remedies when employers retaliate for union activities. Finally, in 2013, a neutral state mediator selected by the Gerawans issued a contract that was approved by the ALRB. The Gerawans are avoiding millions of dollars in pay increases and other benefits by refusing to implement the contract.

Mr. Gerawan also claims that his employees have "earned the industry's highest wages since long before" the UFW's renewed request for contract negotiations in 2012, and that Gerawan employees "would earn less" under the union contract. These claims are *false*. Again, we invite the Board to look at the facts. Gerawan did not pay many workers above the state minimum wage until after 2010. Since the UFW's renewed request for negotiations and due to the UFW's





## UNITED FARM WORKERS

30172 Garces Hwy • P.O. Box 130 • Delano, CA 93216  
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efforts, Gerawan employees have received substantial wage increases. But even with those recent wage increases, the company still owes many Gerawan workers close to \$1,800 in wage increases and other benefits *each*. Again, Gerawan Farming is avoiding millions of dollars in pay increases and other benefits by refusing to honor the union contract.

Since the 1960's, growers have always made the same types of claims against the UFW. They say that the UFW is imposing itself on workers without their consent, that a union contract is unnecessary because they pay high wages, or that a union is unnecessary because the employer already has the workers' best interests in mind. For over fifty years, employers have made every type of false claim in order to avoid union representation for farmworkers. Here we are in 2015, and the song remains the same. The truth is that for over fifty years, the UFW has stood on the side of farmworkers, fighting for better working conditions in the fields, and representing farmworkers using the power of a union contract.

Tomorrow is Cesar Chavez Day. Tomorrow you will debate and vote on a resolution telling Gerawan, one of America's largest tree fruit producers, to honor a union contract issued in 2013 by a neutral mediator and approved by the state of California. As in the days of Cesar Chavez, Gerawan workers are taking their fight for justice out of the Central Valley and into the cities. San Francisco's example can serve as a beacon to cities across the nation. We ask that tomorrow you honor Cesar's legacy by being among the first cities to support the Gerawan workers whose grapes and tree fruit are sold in the City by the Bay.

Sincerely,

A handwritten signature in black ink, appearing to read "Armando Elenes", is positioned below the word "Sincerely,".

Armando Elenes  
National Vice-President, UFW

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**From:** Board of Supervisors, (BOS)  
**To:** BOS-Supervisors; Evans, Derek  
**Subject:** File 150165 FW: Public Hearing Open SF Watershed at SF City Hall Thur April 2, 2015

**From:** info@sfposc.org [mailto:info@sfposc.org]  
**Sent:** Monday, March 30, 2015 4:10 PM  
**To:** info@sfposc.org  
**Subject:** Public Hearing Open SF Watershed at SF City Hall Thur April 2, 2015

March 30, 2015

For Immediate Release  
Contact: Angela Silva  
Telephone: (650) 201-5834  
Email: [Info@sfposc.org](mailto:Info@sfposc.org)

## San Francisco Supervisors to hold public hearing on access reform in the San Francisco Watershed

SAN FRANCISCO, Calif., March 17, 2015 The efforts of the grassroots organization Open San Francisco Watershed (OSFW) has resulted in a public hearing set for Thursday April 2, 2015 at 2:00pm in the San Francisco City Hall, Room 205 at the request of San Francisco County Supervisors John Avalos and Scott Weiner to take up the matter of access reform for the 23,000 public acres within the San Francisco Watershed which is under stewardship of the San Francisco Public Utilities Commission (SFPUC). Citing other local watershed open access, OSFW member Andy Howse said "If this area was opened to the public it would be for the SF Peninsula what Pt. Reyes National Seashore or Mt. Tamalpais is to Marin."

As the San Francisco peninsula continues to see a boom in development opening the existing trails and roads for public use would allow those living and working in the northern peninsula to reduce their carbon footprint exploring local open space areas. "We live in the midst of this historical treasure which we should be using for recreation and not be forced to drive to other counties for this experience." said member Kamala Silva Wolfe. The rich history within this area shows how the San Francisco peninsula prospered with the Spring Valley Water Company and the relics of the Stone Dam and Pilarcitos Dam along the Pilarcitos Creek still remain to this day.

Progress has been made thus far; The SFPUC has agreed that water safety is not a concern in keeping the area closed from the public. In addition the SFPUC has agreed to open the North-South Ridge trail by the end of 2016. The goal is now to access reform on the east/ west connectors allowing responsible hiking, cycling, and recreation on existing roads.

The group is encouraging outdoor enthusiasts to attend this meeting and to contact their local elected official's requesting their support in this effort. Contact information is cited below.

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### ***About Open The SF Watershed***

The SF Watershed is a 23,000 acre open space on the SF Peninsula that is currently closed to the public. 'Open the SF Watershed' is an organization of advocates promoting responsible access to the network of historical roads in the (Crystal Springs) SF Watershed. 'Open the Watershed' is working with the SFPUC, local and state officials, and the public, to see the current roadways opened for responsible public hiking, cycling and equestrians. For more information you can also contact Andy of OSFW at [openthesfwatershed@gmail.com](mailto:openthesfwatershed@gmail.com) or [info@openthewatershed.org](mailto:info@openthewatershed.org)

### ***About San Francisco Peninsula Open Space Coalition***

The San Francisco Peninsula Open Space Coalition is dedicated to ensuring local open space access for responsible use within San Francisco and San Mateo Counties. We promote education and exploration in our own backyard thus lessening our carbon footprint in traveling to other areas for outdoor activity. We realize today's busy life style must include local access to wide open spaces for people to re-create and replenish their spirits and their bodies. Our local history is alive and needs to be accessible to all people through exploration. We support preservation, education, accessibility, and connectivity in the areas north of Highway 92.  
[www.SFPOSC.org](http://www.SFPOSC.org)

### **Letter writing campaign addresses**

#### **Email addresses of Elected supportive of opening the SF Watershed:**

SF Supervisor John Avalos - [John.Avalos@sfgov.org](mailto:John.Avalos@sfgov.org)  
SF Supervisor Scott Wiener - [Scott.Wiener@sfgov.org](mailto:Scott.Wiener@sfgov.org)  
SM Supervisor Dave Pine - [dpine@smcgov.org](mailto:dpine@smcgov.org)  
SM Supervisor Don Horsley - [DHorsley@smcgov.org](mailto:DHorsley@smcgov.org)

#### **Emails addresses of Elected not yet supportive of opening the SF Watershed:**

SF Mayor Ed Lee - [mayoredwinlee@sfgov.org](mailto:mayoredwinlee@sfgov.org)  
SF Supervisor David Campos - [David.Campos@sfgov.org](mailto:David.Campos@sfgov.org)  
SF Supervisor Malia Cohen - [Malia.Cohen@sfgov.org](mailto:Malia.Cohen@sfgov.org)  
SF Supervisor Jane Kim - [Jane.Kim@sfgov.org](mailto:Jane.Kim@sfgov.org)  
SF Supervisor Katy Tang - [Katy.Tang@sfgov.org](mailto:Katy.Tang@sfgov.org)  
SF Supervisor Norman Yee - [Norman.Yee@sfgov.org](mailto:Norman.Yee@sfgov.org)  
SF Supervisor London Breed - [London.Breed@sfgov.org](mailto:London.Breed@sfgov.org)  
SF Supervisor Julie Christensen - [Julie.Christensen@sfgov.org](mailto:Julie.Christensen@sfgov.org)  
SF Supervisor Mark Farrell - [Mark.Farrell@sfgov.org](mailto:Mark.Farrell@sfgov.org)  
SF Supervisor Eric Marr - [Eric.L.Mar@sfgov.org](mailto:Eric.L.Mar@sfgov.org)

SF Board of Supervisors General Email - [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)

SM Supervisor Carole Groom - [cgroom@smcgov.org](mailto:cgroom@smcgov.org)

SM Supervisor Warren Slocum - [wslocum@smcgov.org](mailto:wslocum@smcgov.org)

SM Supervisor Adrienne Tissier - [atissier@smcgov.org](mailto:atissier@smcgov.org)

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**From:** Board of Supervisors, (BOS)  
**Sent:** Wednesday, April 01, 2015 4:45 PM  
**To:** BOS-Supervisors  
**Cc:** Evans, Derek  
**Subject:** File 150165 FW: April 2 2015 Public Safety Committee meeting Agenda Item#2  
**Attachments:** SF BOS letter 2015-4-1.pdf

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**From:** Bern Smith [<mailto:bernsmith@ridgetrail.org>]  
**Sent:** Wednesday, April 01, 2015 4:19 PM  
**To:** Board of Supervisors, (BOS)  
**Cc:** Evans, Derek  
**Subject:** April 2 2015 Public Safety Committee meeting Agenda Item#2

San Francisco Public Safety and Neighborhood Services Committee

1 April 2015

City Hall, 1 Dr. Carlton B. Goodlett Place

San Francisco, California 94102

Re: Thursday, April 2, 2015 Meeting

Agenda Item#2. 150165

[Hearing - Public Access to the Public Utilities Commission Peninsula Watershed]

Honorable Members of the Board,

The Bay Area Ridge Trail Council (Council) is proud to have been instrumental in opening the Fifield/Cahill Ridge Trail in the Peninsula Watershed in 2003. In addition to our advocacy for responsible public access, the Council helped the SFPUC secure Coastal Conservancy funding for developing that trail, and for planning and construction of the future Upper Crystal Springs Ridge Trail.

The Council supports the SFPUC's plan to review expanded access options for the Peninsula Watershed within the upcoming environmental review for the Upper Crystal Springs Ridge Trail. We believe this is an appropriate way to include a review of environmental, regulatory and public access issues and alternatives.

We are pleased that the Upper Crystal Springs Trail plan indicates that the PUC intends to operate the new trail similar to a typical park trail – that is, open dawn to dusk, 7 days/week. We think that this trail management policy can be extended to all the Ridge Trail in the Watershed.

We look forward to participating in the public process, including a look at some successful models for public access to managed properties.

Regards –

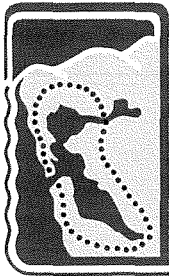
Bern Smith

Peninsula, South Bay & East Bay Trail Director

The Bay Area Ridge Trail Council's mission is to create a continuous 550+-mile trail for hikers, mountain bicyclists, and equestrians along the ridgelines overlooking San Francisco Bay.

--

Bern Smith  
South Bay Trail Director  
Bay Area Ridge Trail Council  
[bernsmith@ridgetrail.org](mailto:bernsmith@ridgetrail.org)  
415 561 2595 office  
650 868 5467 cell  
1007 General Kennedy #3  
San Francisco 94129



Bay Area  
**Ridge  
Trail**  
Council

San Francisco Public Safety and Neighborhood Services Committee  
City Hall, 1 Dr. Carlton B. Goodlett Place  
San Francisco, California 94102  
Re: Thursday, April 2, 2015 Meeting  
Agenda Item#2. 150165 [Hearing - Public Access to the Public Utilities Commission Peninsula Watershed]

1 April 2015

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Regards –

A handwritten signature in black ink, appearing to read "Bern Smith", with a long horizontal flourish extending to the right.

Bern Smith  
Peninsula, South Bay & East Bay Trail Director

The Bay Area Ridge Trail Council's mission is to create a continuous 550+-mile trail for hikers, mountain bicyclists, and equestrians along the ridgelines overlooking San Francisco Bay.



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**From:** Board of Supervisors, (BOS)  
**To:** BOS-Supervisors; Evans, Derek  
**Subject:** File 150165 FW: Please support the opening of the SF watershed to the public

**Importance:** High

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**From:** Maxence Nachury [<mailto:nachury@gmail.com>]  
**Sent:** Wednesday, April 01, 2015 2:50 PM  
**To:** Campos, David (BOS)  
**Cc:** Lee, Mayor (MYR); Board of Supervisors, (BOS); Kelly, Jr, Harlan (PUC)  
**Subject:** Please support the opening of the SF watershed to the public  
**Importance:** High

Dear Mr Campos,

Despite being a Bernal resident for the past 10 years, this is only the first time that I write to you. Because there is an issue near and dear to my heart and YOU can make a difference.

I am an avid cyclist and so are my wife and 6-year old daughter. We would all love to gain access to the trails controlled by the SFPUC. Already, the planned opening of the Fifield Cahill ridge trail is a step in the right direction. Spending peaceful hours riding the trails of the SF watershed would become a wonderful activity for all my family.

Please support full public access of the SF watershed trails at the next Board of Supervisor meeting on Thursday.

Sincerely,  
Maxence Nachury  
1634 Alabama St.  
San Francisco, CA 94110  
[nachury@gmail.com](mailto:nachury@gmail.com)



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**From:** Board of Supervisors, (BOS)  
**To:** BOS-Supervisors  
**Subject:** FW: Uber CEO: Lyft pickups are criminal - Video - Technology

-----Original Message-----

From: Carl Macmurdo [<mailto:cmac906@yahoo.com>]  
Sent: Monday, March 30, 2015 11:01 PM  
To: Board of Supervisors, (BOS)  
Subject: Uber CEO: Lyft pickups are criminal - Video - Technology

Dear Supervisors,

In the two-minute video linked below, Uber CEO Travis Kalanick decries that Lyft drivers (who toil on behalf of that Transportation Network Company recognizable by its pink moustache trade dress) commit a misdemeanor crime on every ride due to the associated insurance fraud. He notes that commercial for-hire transportation vehicles are required to have commercial insurance and commercial license plates.

The date of the video is July 24, 2013, exactly eleven days after Mayor Ed Lee declared and celebrated a "Lyft Day in San Francisco." Kalanick further states that if an individual city exhibits "regulatory ambiguity" by not enforcing the law over a 30-day period, that Uber will enter the same market with a similar operation. That subsequently launched model, known as Uber X, combines with Lyft, Sidecar, and other outfits to put an estimated 20,000 extra vehicles on San Francisco streets on weekend nights. Aside from various public safety risks, the vast over-supply of for-hire vehicles is devastating the income of both licensed taxi drivers and the unlicensed TNC drivers.

Many nations have outlawed TNCs, including China, Spain, France, Germany, Thailand, Vietnam, the Netherlands, and South Korea.

Hopefully, you will take the time to view this video and also to consider taking regulatory steps to control this out-of-hand situation.

Thank you.

Carl Macmurdo  
taxi activist

<http://money.cnn.com/video/technology/2013/07/24/t-bst-uber-lyft.fortune/>



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**From:** Board of Supervisors, (BOS)  
**To:** BOS-Supervisors  
**Subject:** Taxi Medallion Renewal Fee 2015-2016

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**From:** Marcelo Fonseca [mailto:mdf1389@hotmail.com]  
**Sent:** Sunday, April 05, 2015 6:11 PM  
**To:** MTA Board; Ed Reiskin; Toran, Kate  
**Cc:** Lee, Mayor (MYR); Board of Supervisors, (BOS); Cityattorney, (CAT); SFPD, Commission (POL)  
**Subject:** Taxi Medallion Renewal Fee 2015-2016

Members of the Board of Directors - SFMTA  
Ed Reiskin, Director of Transportation - SFMTA  
Kate Toran, Director of Taxis & Accessible Services - SFMTA

cc:  
Mayor Ed Lee  
Board of Supervisors  
City Attorney's Office  
SFPD Commission

Dear Sirs/Madams,

As a medallion holder, I herein request the SFMTA Board to waive the renewal medallion fee of \$1,003.50 for the 2015-2016 year. Uber, Lyft and SideCar have added more vehicles to our streets, reaching numbers of epic proportions, unfairly taking 50% of taxi operators' income. This vast over-supply of 20,000 for-hire-vehicles has been devastating to the San Francisco taxi industry. TNCs continue to offer \$5 rides across town, paid for by venture capital, illegally taking business from taxi drivers and MUNI and continue to flood taxi zones without any oversight.

It's not OK for our Municipal Transportation Agency (MTA) to nickel and dime taxi drivers, medallion holders and cab companies at the same time Mayor Lee promotes and facilitates unfair competition. It's not OK to charge a quarter of a million dollars per taxi permit and have medallion purchasers fault their payments to the San Francisco Federal Credit Union after Mayor Lee proclaimed July 13 as LYFT DAY.

In this two-minute video from July 24, 2013, just eleven days after Mayor Lee declared and celebrated "Lyft Day" in San Francisco, Uber CEO Travis Kalanick condemns Lyft operations as a misdemeanor crime and states that, if individual cities exhibit "regulatory ambiguity" and don't enforce the law over a 30-day period, Uber would enter the very same market with the very same business model.

<http://money.cnn.com/video/technology/2013/07/24/t-bst-uber-lyft.fortune/>

Your lack of action and Mayor Lee's promotion of Lyft brought us a bonus called UberX!

Many cities across the country and many countries around the world have either regulated or outlawed TNCs for operating as taxis. Until the City and County of San Francisco takes similar regulatory steps to handle this out-of-control-situation, it is fair to request this Board to waive this very expensive renewal fee.

Your complacency to this uncontrolled environment of venture capital from Silicon Valley swaying the decision-making in public transportation has undermined our government and it is undermining the medallion sales program.

Thank you for your consideration.

Marcelo Fonseca  
[mdf1389@hotmail.com](mailto:mdf1389@hotmail.com)  
415-238-7554

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO**NOTICE OF MASS LAYOFF****Sutton Place Management, LLC; Forward Management, LLC; Forward Securities, LLC**

2015 MAR 31 AM 10:57

BT

Date: March 30, 2015

Mr. Todd Rufo, Director  
Workforce Development  
San Francisco Office of Economic and Workforce  
Development  
1 South Van Ness Avenue, 5th Floor  
San Francisco, CA 94103  
(415) 701-4848  
FAX (415) 701-4897

WARN Act Coordinator  
Program Communications Unit  
Workforce Services Division  
Employment Development Department  
P.O. Box 826880, MIC 50  
Sacramento, CA 94280-0001  
eddwarnnotice@edd.ca.gov

Mayor Ed Lee  
1 Dr. Carlton B. Goodlett Place, Rm. 200  
San Francisco, CA 94102  
(415) 554-6141  
FAX (415) 554-6671

San Francisco County Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Rm. 244  
San Francisco, CA 94102  
(415) 554-5184

This notice is provided to you on March 30, 2015 pursuant to the Worker Adjustment and Retraining Notification Act of 1988 ("WARN Act") and the California WARN Act (California Labor Code Section 1400 *et seq.*), which require employers to give official notice to certain government agencies of a pending mass layoff, facility shutdown, or relocation. Sutton Place Management, LLC (Sutton), Forward Management, LLC (Management) and Forward Securities, LLC (Securities) (together, the Group) have decided to enter into a transaction under which all of Management's equity interests will be sold to Salient Partners, L.P. (the Transaction). As a result of the Transaction, Sutton's operations will close and all Sutton employees will be terminated. The affected employees are located at:

- Sutton Place Management Headquarters 101 California Street Suite 1600 and Suite 1700, San Francisco, CA 94111

We currently anticipate that a total of 98 employees will be affected by the Transaction. We are pleased to inform you that we expect Salient to offer all, or substantially all Sutton employees, positions with Salient following the Transaction. The remainder of the affected employees will be laid off. The first layoff will occur on May 31, 2015 and all layoffs will be completed by June 13, 2015.

Affected employees do not have bumping rights. None of the employees are represented by a union. A list of the affected job titles, the number of employees in each classification, and the anticipated schedule for terminations is attached as Exhibit A. We will retain updated and additional information on site (such as the actual number of transfers offered and accepted), and will provide it to you at your request.

If you have any questions regarding the layoff, the relocations, or this notice, please contact:

Teal Backus, HR Director  
Sutton Place Management, LLC  
101 California Street, Suite 1600 San Francisco, CA 94111  
(415) 869-6325

6

Exhibit A

Prepared As Of March 30, 2015

Job Classification	Number of Affected Employees in Job Classification	Anticipated Last Day of Employment With Group
Accounting Associate	1	5/31/2015
Administrative Assistant	1	5/31/2015
Analyst	1	5/31/2015
Assistant Controller	1	5/31/2015
Assistant Equity Trader	1	5/31/2015
Assistant Portfolio Manager	1	5/31/2015
Assistant Vice President, Operations	1	5/31/2015
Associate Analyst	1	5/31/2015
Business Analyst	1	5/31/2015
Chief Compliance Officer	1	5/31/2015
Chief Executive Officer	1	5/31/2015
Chief Investment Officer	1	5/31/2015
Chief of Staff	1	5/31/2015
Chief Operating Officer	1	5/31/2015
Client Portfolio Manager	1	5/31/2015
Compliance Manager	1	5/31/2015
Content Manager	1	5/31/2015
Creative Director	1	5/31/2015
Dir. of Investments & Sr. Market Strategist	1	5/31/2015
Director of Private Funds Distribution	1	5/31/2015
Director, Hedge Funds	1	5/31/2015
Events Coordinator	1	5/31/2015
Events Manager	1	5/31/2015
Executive Assistant	1	5/31/2015
Facilities Assistant	1	5/31/2015
Group Manager	1	5/31/2015
Head Trader	1	5/31/2015
HR Director	1	5/31/2015
Institutional Sales Associate	1	5/31/2015
Interim CFO	1	5/31/2015
Man. Dir., Chief Administrative & Risk Officer	1	5/31/2015
Manager Hedge Fund Administration	1	5/31/2015
Manager, Digital Communications	1	5/31/2015
Managing Director, Head of Distribution	1	5/31/2015
Marketing Assistant	1	5/31/2015
Network Administrator	2	5/31/2015

Operations Associate	2	5/31/2015
Operations Specialist	1	5/31/2015
Operations Specialist III	1	5/31/2015
Paralegal, Law Department	1	5/31/2015
Portfolio Manager	11	5/31/2015
Product Marketing Manager	1	5/31/2015
Programmer	1	5/31/2015
Project Manager	1	5/31/2015
Receptionist, Assist to Inv., Finance & Risk	1	5/31/2015
Regional Sales Director	2	5/31/2015
Risk Analyst (E)	1	5/31/2015
Sales Assistant	1	5/31/2015
Sales Associate	5	5/31/2015
Senior Business Analyst	1	5/31/2015
Senior Programmer, Business Intelligence	1	5/31/2015
Social Media Specialist	1	5/31/2015
Sr. Accountant	1	5/31/2015
Sr. Analyst	5	5/31/2015
Sr. Manager, Partner Marketing	1	5/31/2015
Trader	2	5/31/2015
Vice President, National Strategic Accounts	1	5/31/2015
VP	1	5/31/2015
VP, Compliance	1	5/31/2015
VP, Dir. Fund Accounting & Ops, FF Treasurer	1	5/31/2015
VP, Institutional Sales	2	5/31/2015
VP, IT & Facilities	1	5/31/2015
VP, Marketing	1	5/31/2015
VP, Operations	1	5/31/2015
VP, Regional Sales Director	6	5/31/2015
VP, Sales (e)	2	5/31/2015
VP, Strategic Information Group	1	5/31/2015
VP, Transfer Agency Operations	1	5/31/2015
Web Site Program Manager	1	5/31/2015
Web/Graphic Designer	1	5/31/2015

---

**To:** BOS-Supervisors  
**Subject:** FW: Local Hire Annual Report - Chapter 6.22g  
**Attachments:** 2014-2015 Local Hiring Policy fo Construction Annual Report\_FINAL.pdf

**From:** Mulligan, Pat (ECN)  
**Sent:** Tuesday, March 31, 2015 5:57 PM  
**To:** Calvillo, Angela (BOS)  
**Cc:** Nim, Ken (ECN)  
**Subject:** Local Hire Annual Report - Chapter 6.22g

Ms. Calvillo,

Attached is the 2014-2015 Annual Report for the Chapter 6.22g: Mandatory Local Hiring Policy for Construction. We will be printing a hard copy to provide to each of the Board of Supervisors. Please contact me if you have any further questions. Thank you.

-Pat Mulligan  
415-701-4853

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**From:** Mulligan, Pat (MYR)  
**Sent:** Tuesday, March 03, 2015 11:50 AM  
**To:** Calvillo, Angela (BOS)  
**Cc:** Nim, Ken  
**Subject:** Extension to Submit Local Hire Annual Report - Chapter 6.22g

Ms. Calvillo,

Attached is the request for extension to submit the Annual Report per Chapter 6.22g. Please let me know if you have any questions. Thank you.

-Pat Mulligan  
415-701-4853



# **SAN FRANCISCO LOCAL HIRING POLICY FOR CONSTRUCTION**

**2014** **ANNUAL REPORT**  
**2015** TO THE SAN FRANCISCO  
BOARD OF SUPERVISORS





**Office of the Mayor**  
City & County of San Francisco



**Edwin M. Lee**



## **GREETINGS FROM THE MAYOR**

On behalf of the City and County of San Francisco, I am pleased to present to you the fourth Annual Report for the San Francisco Local Hiring Policy for Construction. Supporting the local economy and putting San Franciscans to work has been at the forefront of my major initiatives. San Francisco is proud of the results from the Policy's first four years and optimistic about its positive impact on our residents.

Last year, San Francisco once again led the nation in job growth, providing opportunities in every sector, including construction. Today, we have a record low unemployment rate thanks in part to growth in the construction industry. The cranes that populate the City's skyline and the hundreds of public works projects revitalizing local neighborhoods are a sign of the City's rising prosperity and an indication that construction jobs will continue to provide opportunities for residents and local businesses.

We'll never take our eye off the ball when it comes to creating and keeping jobs for our people. Just four years ago, the need to attract jobs and investment in our City and kick-start the economy was urgent. We had a crisis in joblessness. I'm very proud that as a result of our policies, people in San Francisco are working again, economic opportunity is back, and we've given people a paycheck to support themselves and their families. Creating and maintaining San Francisco jobs benefits all our residents and local businesses, and I'm looking forward to another year of fueling our economy and keeping our City moving forward.

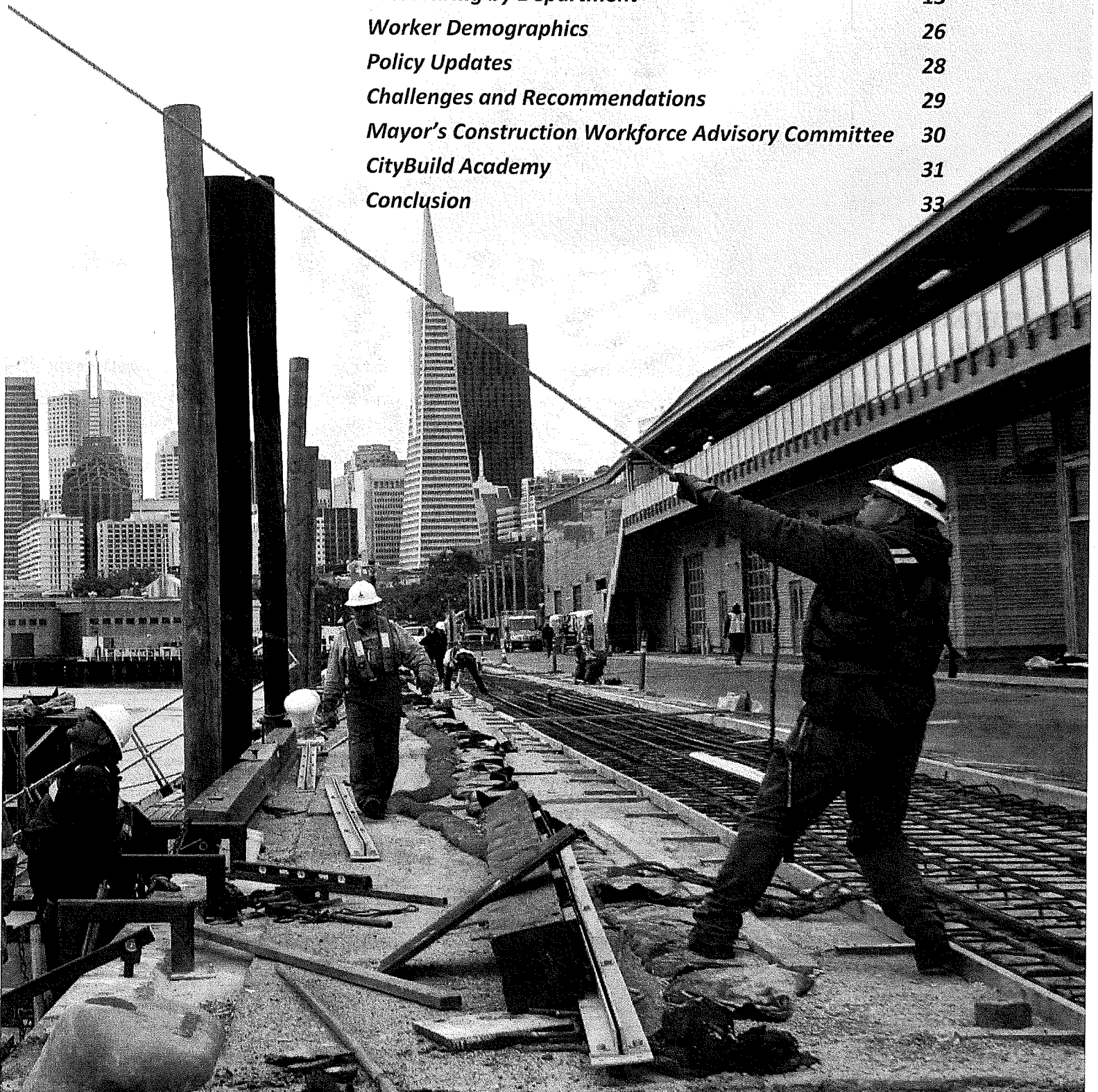
All the best,

A handwritten signature in cursive script that reads "Edwin M. Lee".

Edwin M. Lee

# TABLE OF CONTENTS

<i>About the Local Hiring Policy</i>	4
<i>About OEWD</i>	5
<i>Executive Summary</i>	6
<i>Overview of 20% Projects</i>	7
<i>Overview of 25% Projects</i>	9
<i>Overview of 30% Projects</i>	11
<i>Local Hiring by Department</i>	13
<i>Worker Demographics</i>	26
<i>Policy Updates</i>	28
<i>Challenges and Recommendations</i>	29
<i>Mayor's Construction Workforce Advisory Committee</i>	30
<i>CityBuild Academy</i>	31
<i>Conclusion</i>	33





# ABOUT THE SAN FRANCISCO LOCAL HIRING POLICY FOR CONSTRUCTION

In December of 2010, the San Francisco Board of Supervisors approved amendments to Chapter 6.22(g) of the San Francisco Administrative Code and adopted the San Francisco Local Hiring Policy for Construction ("Policy"). The Policy is recognized as one of the strongest pieces of legislation in the country to promote the utilization of resident-hiring on locally sponsored projects.

In the Policy's first year, the mandatory local hiring requirement was 20% by trade. The local hiring requirement increased by 5% each of the subsequent two years on March 25<sup>th</sup>. In its third year, the Policy entered a 12-month legislative review period and maintained a 30% mandate. In March 2015, the Board of Supervisors adopted the recommendations of the Mayor's Construction Workforce Advisory Committee to further extend the review period and the 30% requirement through March 2017. The local resident apprenticeship requirement has remained unchanged at 50%.

The Office of Economic and Workforce Development (OEWD) is charged with the administration of this Policy and is responsible for producing this Annual Report to the Board of Supervisors.



# ABOUT THE OFFICE OF ECONOMIC AND WORKFORCE DEVELOPMENT

The mission of the Office of Economic and Workforce Development is to support the ongoing economic vitality of San Francisco. Under the direction of Mayor Edwin M. Lee, OEWD provides city-wide leadership for workforce development, business attraction and retention, neighborhood commercial revitalization, international business and development planning.

The role of OEWD's Workforce Development Division is to expand employment opportunities for San Francisco residents by providing employers with skilled workers to meet the demands of sustainable and growing industries.



# EXECUTIVE SUMMARY

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## **PURPOSE**

The fourth Annual Report on the San Francisco Local Hiring Policy for Construction was produced to inform the Board of Supervisors of the progress achieved during the Policy's first four years. The report highlights department and trade performance data, discusses workforce demographics, and identifies priorities for the coming year.

## **METHODS OF ANALYSIS**

OEWD utilizes certified payroll records from the City's Project Reporting System (PRS)<sup>1</sup> to verify hours worked by San Francisco residents on projects covered by the Policy. Payroll data entered into the City's PRS between March 25, 2011 and March 1, 2015 was used to produce this report. The data in this report does not include hours that were credited toward local hiring deficiencies through policy off-ramps.

## **REPORT OVERVIEW**

Between March 25, 2011 and March 1, 2015, a total of 263 projects have been subject to the Local Hiring Policy for Construction. Of these projects, 79 have been subject to the 20% requirement, 84 subject to the 25% requirement, and 100 projects to the 30% requirement. Projects included in this report are awarded and managed by six departments within the City and County of San Francisco: San Francisco Public Works (SFPW), Municipal Transportation Agency (MTA), Port of San Francisco (Port), Public Utilities Commission (PUC), Recreation and Parks Department (RPD), and San Francisco International Airport (SFO). Projects subject to the 20% local hiring requirement reported an overall local hiring performance of 34%; projects subject to the 25% local hiring requirement reported an overall local hiring performance of 38%; and for projects subject to the 30% local hiring requirement, an overall local hiring performance of 45% was reported.

## **THE MAYOR'S CONSTRUCTION WORKFORCE ADVISORY COMMITTEE**

The Mayor's Construction Workforce Advisory Committee was first convened in July 2012 to evaluate the performance of the Policy and to develop recommendations during the Policy's legislative review period. The Committee's recommendations were adopted by the Board of Supervisors in March 2015 and are outlined in this report.

## **PRIORITIES**

OEWD's goal is to ensure that the Local Hiring Policy for Construction remains beneficial to local workers and the San Francisco economy. Further analysis of the Policy's impact, and assessment of the availability of qualified workers, will be conducted as additional data becomes available. OEWD will continue to build on existing industry partnerships to respond the changing workforce needs of the construction industry.

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<sup>1</sup> Elation Systems

# 20% OVERVIEW

## OVERVIEW OF PROJECTS

Projects advertised between March 25, 2011 and March 24, 2012 are subject to the 20% local hiring requirement. A total of 79 active projects subject to the 20% requirement were tracked across six departments. The total value of these projects is \$424 million. Table 1 distinguishes the number of projects and total award amount by department.

**TABLE 1: NUMBER AND VALUE OF PROJECTS SUBJECT TO 20% REQUIREMENT BY DEPARTMENT**

Department	SFPW	MTA	Port	PUC	RPD	SFO	Total
Number of Active Projects	25	1	9	26	8	10	79
Total Award Amount	\$39M	\$1M	\$117M	\$78M	\$30M	\$157M	\$424M

## LOCAL HIRING PERFORMANCE

As shown in Table 2, a total of 1,626,079 work hours were reported for projects subject to the 20% local hiring requirement. Of this total, 544,999 hours (34%) were performed by San Francisco residents. Inclusive of these hours are 201,408 apprentice hours, of which 120,426 (60%) were performed by San Francisco residents.

**TABLE 2: WORK HOURS BY DEPARTMENT FOR PROJECTS SUBJECT TO 20% REQUIREMENT**

Department	Total Hours			Apprentice Hours		
	Total	Local	Local %	Total	Local	Local %
SFPW	227,633	93,655	41%	18,610	13,236	71%
MTA	6,813	2,940	43%	1,112	817.5	74%
Port	377,790	94,535	25%	53,362	20,482	38%
PUC	380,577	137,119	36%	37,698	29,792	79%
RPD	192,384	63,223	33%	20,773	13,417	65%
SFO	440,883	153,528	35%	69,904	42,682	61%
<b>TOTAL</b>	<b>1,626,079</b>	<b>544,999</b>	<b>34%</b>	<b>201,458</b>	<b>120,426</b>	<b>60%</b>



# 20% OVERVIEW BY TRADE

Work hours reported by trade on the 79 projects subject to the 20% local hiring requirement are listed in Table 3. Most trades met or exceeded the local hiring requirement for overall work hours and apprentice hours. On a project-by-project basis, trades that represent less than 5% of gross work hours are exempted from the requirement.

**TABLE 3: WORK HOURS BY TRADE FOR PROJECTS SUBJECT TO 20% REQUIREMENT**

Trade	Total Hours			Apprentice Hours		
	Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	114,311	47,116	41%	16,071	8,308	52%
Carpet, Linoleum, Soft Floor Layer	10,884	1,625	15%	1,813	710	39%
Cement Mason	59,578	14,974	25%	6,034	5,032	83%
Drywall Installer/Lather	63,600	9,271	15%	7,956	3,738	47%
Electrician	204,350	96,607	47%	35,732	23,165	65%
Glazier	13,659	2,190	16%	1,945	641	33%
Iron Worker	85,910	25,963	30%	16,019	8,125	51%
Laborer And Related Classifications	627,668	223,653	36%	61,639	45,834	74%
Operating Engineer	131,394	46,458	35%	5,618	4,540	81%
Painter	29,105	7,113	24%	2,744	854	31%
Pile Driver	43,111	5,206	12%	10,751	1,936	18%
Plaster Tender	12,125	1,571	13%	0	0	0%
Plasterer	11,622	2,496	21%	2,940	1,454	49%
Plumber	60,380	22,556	37%	16,677	8,672	52%
Roofer	14,007	1,706	12%	2,603	1,262	48%
Sheet Metal Worker	37,998	10,387	27%	6,491	3,226	50%
Tile Finisher	4,087	1,656	41%	1,817	1,206	66%
Other Trades*	102,293	24,455	24%	4,612	1,726	37%
<b>TOTAL</b>	<b>1,626,079</b>	<b>544,999</b>	<b>34%</b>	<b>201,458</b>	<b>120,426</b>	<b>60%</b>

\* Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Boilermaker, Brick Tender, Bricklayer/Blocklayer, Building/Construction Inspector And Field Soils And Material Tester, Dredger Operating Engineer, Driver, Electrical Utility Lineman, Elevator Constructor, Field Surveyor, Landscape Laborer, Landscape Maintenance Laborer, Marble Finisher, Metal Roofing Systems Installer, Modular Furniture Installer, Parking And Highway Improvement Painter, Slurry Seal Worker, Steel Erector And Fabricator, Teamster, Terrazzo Finisher, Terrazzo Worker, Tile Setter, Traffic Control/Lane Closure, Tunnel And Shaft Laborers.



# 25% OVERVIEW

## OVERVIEW OF PROJECTS

Projects advertised between March 25, 2012 and March 24, 2013 are subject to the 25% local hiring requirement. A total of 84 active projects subject to the 25% requirement were tracked across six departments. The total value of these projects is \$600 million. Table 4 distinguishes the number of projects and total award amount by department.

**TABLE 4: NUMBER AND VALUE OF PROJECTS SUBJECT TO 25% REQUIREMENT BY DEPARTMENT**

Department	SFPW	MTA	Port	PUC	RPD	SFO	Total
Number of Active Projects	37	1	1	28	8	9	84
Total Award Amount	\$190M	\$4M	\$0.4M	\$140M	\$18M	\$247M	\$600M

## LOCAL HIRING PERFORMANCE

As shown in Table 5, a total of 1,908,475 work hours were reported for projects subject to the 25% local hiring requirement. Of this total, 717,437 hours (38%) were performed by San Francisco residents. Inclusive of these hours are 275,909 apprentice hours, of which 158,110 (57%) were performed by San Francisco residents.

**TABLE 5: WORK HOURS BY DEPARTMENT FOR PROJECTS SUBJECT TO 25% REQUIREMENT**

Department	Total Hours			Apprentice Hours		
	Total	Local	Local %	Total	Local	Local %
SFPW	848,640	297,527	35%	130,930	69,408	53%
MTA	24,096	9,161	38%	8,537	3,771	44%
Port	1,370	903	66%	215	0	0%
PUC	444,296	168,312	38%	49,568	33,070	67%
RPD	73,493	32,526	44%	6,549	3,604	55%
SFO	516,581	209,008	40%	80,110	48,257	60%
<b>TOTAL</b>	<b>1,908,475</b>	<b>717,437</b>	<b>38%</b>	<b>275,909</b>	<b>158,110</b>	<b>57%</b>

# 25% OVERVIEW BY TRADE

Work hours reported by trade on the 84 projects subject to the 25% local hiring requirement are listed in Table 6. Most trades met or exceeded the hiring requirement for local overall work hours and local apprentice hours. On a project-by-project basis, trades that represent less than 5% of overall work hours are exempted from the requirement.

**TABLE 6: WORK HOURS BY TRADE FOR PROJECTS SUBJECT TO 25% REQUIREMENT**

Trade	Total Hours			Apprentice Hours		
	Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	145,921	62,814	43%	19,946	10,291	52%
Carpet, Linoleum, Soft Floor Layer	3,038	700	23%	159	8	5%
Cement Mason	50,731	13,833	27%	6,168	5,072	82%
Drywall Installer/Lather	50,056	12,094	24%	5,440	1,990	37%
Electrician	255,588	141,689	55%	57,330	37,220	65%
Glazier	26,524	8,581	32%	7,100	5,086	72%
Iron Worker	100,821	45,157	45%	27,527	18,462	67%
Laborer And Related Classifications	686,449	251,276	37%	61,446	42,869	70%
Operating Engineer	144,032	51,585	36%	4,091	2,851	70%
Painter	51,665	11,921	23%	7,374	1,797	24%
Pile Driver	21,827	6,994	32%	2,435	1,423	58%
Plasterer	8,298	574	7%	6	0	0%
Plumber	67,755	35,449	52%	26,962	15,468	57%
Roofer	51,698	13,371	26%	18,814	6,740	36%
Sheet Metal Worker	55,531	18,825	34%	12,938	5,125	40%
Tile Finisher	6,477	2,240	35%	1,524	570	37%
Tile Setter	4,120	2,202	53%	114	91	80%
Other Trades*	177,944	38,136	21%	16,535	3,051	18%
<b>TOTAL</b>	<b>1,908,475</b>	<b>717,437</b>	<b>38%</b>	<b>275,909</b>	<b>158,110</b>	<b>57%</b>

\*Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Boilermaker, Brick Tender, Bricklayer/Blocklayer, Building/Construction Inspector And Field Soils And Material Tester, Driver, Electrical Utility Lineman, Elevator Constructor, Field Surveyor, Landscape Maintenance Laborer, Marble Mason, Marble Setter, Metal Roofing Systems Installer, Modular Furniture Installer, Mover, Parking And Highway Improvement Painter, Slurry Seal Worker, Steel Erector And Fabricator, Teamster, Telecommunications Technician, Terrazzo Finisher, Terrazzo Worker, Traffic Control/Lane Closure, Tree Trimmer, Water Well Driller.

# 30% OVERVIEW

## OVERVIEW OF PROJECTS

Projects advertised since March 25, 2013 are subject to the 30% local hiring requirement. A total of 100 active projects subject to the 30% requirement were tracked across six departments as of March 1, 2015 (23 month period). The total value of these projects is \$276 million. Table 7 distinguishes the number of projects and award amount by department.

**TABLE 7: NUMBER AND VALUE OF PROJECTS SUBJECT TO 30% REQUIREMENT BY DEPARTMENT**

Department	SFPW	MTA	Port	PUC	RPD	SFO	Total
Number of Active Projects	41	0	3	30	17	9	100
Total Award Amount	\$89M	-	\$6M	\$114M	\$36M	\$30M	\$276M

## LOCAL HIRING PERFORMANCE

As shown in Table 8, a total of 817,583 work hours were reported for projects subject to the 30% local hiring requirement. Of this total, 364,988 hours (45%) were performed by San Francisco residents. Inclusive of these hours are 81,797 apprentice hours, of which 57,196 (70%) were performed by San Francisco residents.

**TABLE 8: WORK HOURS BY DEPARTMENT FOR PROJECTS SUBJECT TO 30% REQUIREMENT**

Department	Total Hours			Apprentice Hours		
	Total	Local	Local %	Total	Local	Local %
SFPW	308,569	130,544	42%	28,756	21,871	76%
MTA	0	0	0%	0	0	0%
Port	10,341	4,085	40%	2,158	1,513	70%
PUC	317,521	156,724	49%	23,759	19,732	83%
RPD	133,863	49,196	37%	14,299	6,843	48%
SFO	47,290	24,439	52%	12,825	7,237	56%
<b>TOTAL</b>	<b>817,583</b>	<b>364,988</b>	<b>45%</b>	<b>81,797</b>	<b>57,196</b>	<b>70%</b>

# 30% OVERVIEW BY TRADE

Work hours reported by trade on the 100 projects subject to the 30% local hiring requirement are listed in Table 9. Trades that have reported hours exceeding 5% of the total work hours have met the 30% requirement.

**TABLE 9: WORK HOURS BY TRADE FOR PROJECTS SUBJECT TO 30% REQUIREMENT**

Trade	Total Hours			Apprentice Hours		
	Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	45,617	30,025	66%	6,929	5,004	72%
Carpet, Linoleum, Soft Floor Layer	11,341	2,224	20%	4,502	1,805	40%
Cement Mason	40,746	19,779	49%	5,647	4,234	75%
Drywall Installer/Lather	4,056	1,732	43%	417	253	61%
Electrician	44,323	29,093	66%	14,617	9,111	62%
Glazier	1,353	426	31%	241	156	65%
Iron Worker	13,121	3,251	25%	1,240	728	59%
Laborer And Related Classifications	439,892	195,088	44%	36,725	29,345	80%
Operating Engineer	118,604	57,729	49%	4,869	3,643	75%
Painter	15,065	6,042	40%	747	681	91%
Pile Driver	1,144	323	28%	852	323	38%
Plasterer	328	144	44%	16	0	0%
Plumber	6,893	4,493	65%	620	522	84%
Roofer	7,607	3,103	41%	2,857	1,046	37%
Sheet Metal Worker	3,901	1,235	32%	876	181	21%
Tile Finisher	586	37	6%	154	13	8%
Tile Setter	742	236	32%	22	0	0%
Other Trades*	62,265	10,030	16%	469	154	33%
<b>TOTAL</b>	<b>817,583</b>	<b>364,988</b>	<b>45%</b>	<b>81,797</b>	<b>57,196</b>	<b>70%</b>

\*Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Brick Tender, Bricklayer/Blocklayer, Dredger Operating Engineer, Driver, Electrical Utility Lineman, Elevator Constructor, Field Surveyor, Landscape Maintenance Laborer, Metal Roofing Systems Installer, Modular Furniture Installer, Parking And Highway Improvement Painter, Plaster Tender, Slurry Seal Worker, Teamster, Terrazzo Finisher, Terrazzo Worker.

# **LOCAL HIRING BY DEPARTMENT**

*San Francisco Public Works*

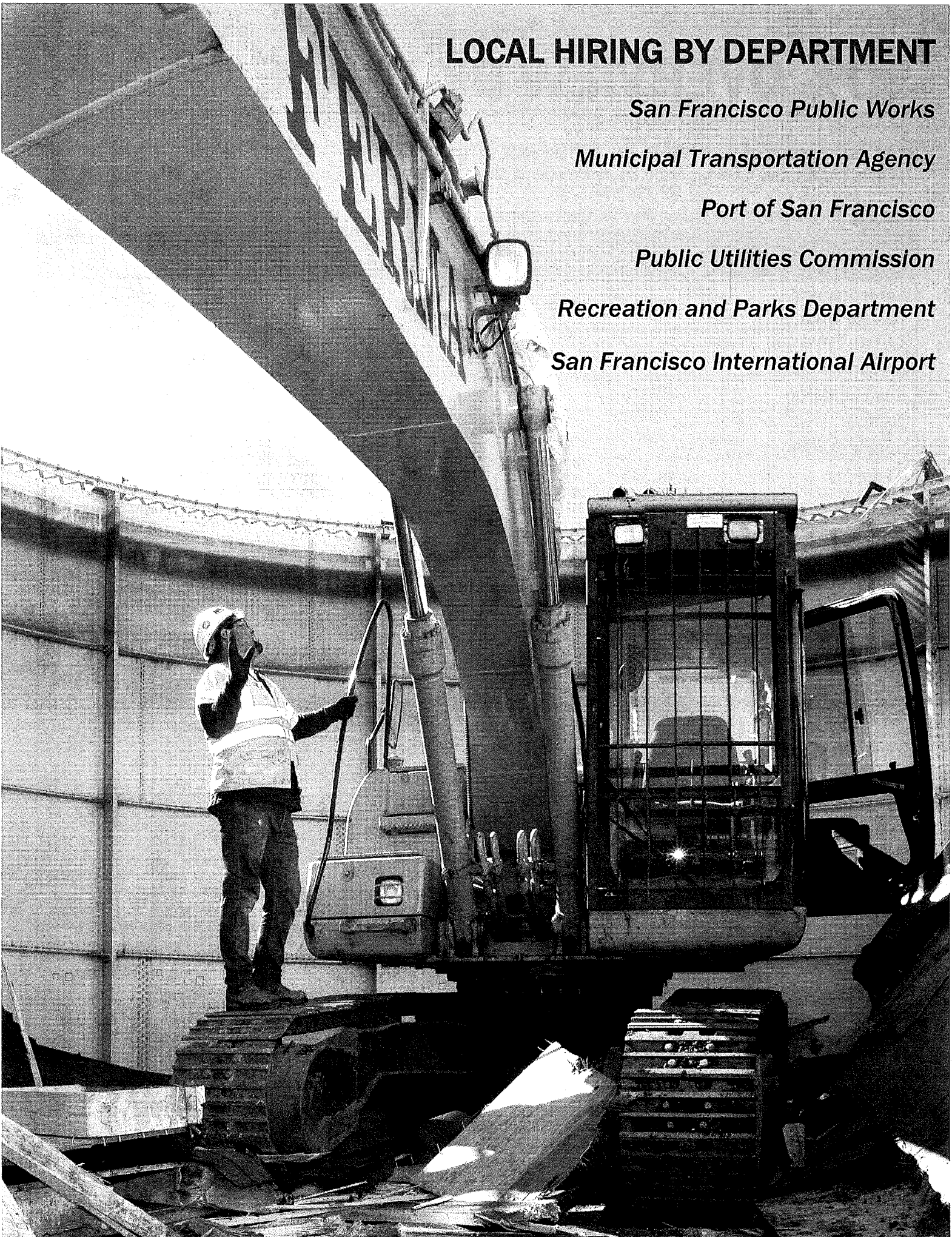
*Municipal Transportation Agency*

*Port of San Francisco*

*Public Utilities Commission*

*Recreation and Parks Department*

*San Francisco International Airport*





# LOCAL HIRING BY DEPARTMENT

## San Francisco Public Works



### ABOUT PUBLIC WORKS PROJECTS

San Francisco Public Works (SFPW) projects include street improvements as well as the renovation and new construction of public facilities. Table 10 displays the total work hours for Public Works projects by local hiring requirement, while Table 11 displays work hours by trade and local hiring requirement.

**TABLE 10: WORK HOURS REPORTED ON SFPW PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Requirement	Number of Projects	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
20%	25	227,633	93,655	41%	18,610	13,236	71%
25%	37	848,640	297,527	35%	130,930	69,408	53%
30%	41	308,569	130,544	42%	28,756	21,871	76%
<b>TOTAL</b>	<b>103</b>	<b>1,384,841</b>	<b>521,726</b>	<b>38%</b>	<b>178,295</b>	<b>104,516</b>	<b>59%</b>



**TABLE 11: WORK HOURS BY TRADE ON SFPW PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	20%	8,223	4,758	58%	241	232	96%
	25%	69,512	26,806	39%	12,695	6,344	50%
	30%	8,776	6,450	73%	909	578	64%
Carpet, Linoleum, Soft Floor Layer	20%	64	32	50%	0	0	0%
	25%	735	209	28%	32	0	0%
	30%	368	0	0%	0	0	0%
Cement Mason	20%	15,685	7,733	49%	3,242	3,238	100%
	25%	31,319	8,882	28%	4,495	3,620	81%
	30%	29,067	13,704	47%	4,379	3,054	70%
Drywall Installer/Lather	20%	535	0	0%	0	0	0%
	25%	19,403	2,407	12%	3,762	855	23%
	30%	2,539	979	39%	140	90	64%

(Table 11 continues)

(Table 11 continued)

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Electrician	20%	8,365	4,138	49%	955	843	88%
	25%	49,610	22,541	45%	14,747	7,715	52%
	30%	9,799	6,132	63%	2,877	1,952	68%
Glazier	20%	108	0	0%	15	0	0%
	25%	11,905	4,813	40%	3,496	2,930	84%
	30%	525	0	0%	69	0	0%
Iron Worker	20%	378	104	28%	99	28	28%
	25%	44,742	31,705	71%	16,454	14,156	86%
	30%	1,860	419	23%	54	48	89%
Laborer And Related Classifications	20%	142,308	61,329	43%	12,751	8,315	65%
	25%	356,219	131,893	37%	26,540	17,217	65%
	30%	174,256	76,157	44%	15,296	13,108	86%
Operating Engineer	20%	14,070	5,691	40%	212	180	85%
	25%	63,048	22,872	36%	2,248	1,458	65%
	30%	42,263	17,311	41%	3,151	2,661	84%
Painter	20%	766	344	45%	8	8	100%
	25%	13,620	2,689	20%	1,738	0	0%
	30%	6,963	2,588	37%	100	60	60%
Pile Driver	20%	585	92	16%	92	92	100%
	25%	104	0	0%	0	0	0%
	30%	40	0	0%	8	0	0%
Plumber	20%	2,868	732	26%	399	16	4%
	25%	28,004	12,609	45%	17,654	9,587	54%
	30%	1,961	1,851	94%	28	0	0%
Roofer	20%	3,576	275	8%	477	275	58%
	25%	25,581	5,870	23%	8,168	2,696	33%
	30%	2,739	814	30%	997	308	31%
Sheet Metal Worker	20%	2,190	634	29%	84	0	0%
	25%	25,735	4,355	17%	6,924	2,231	32%
	30%	2,261	717	32%	463	0	0%
Other Trades*	20%	27,913	7,794	28%	36	10	28%
	25%	109,104	19,878	18%	14,367	601	4%
	30%	25,153	3,424	14%	3,558	13	0%

\*20% Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Driver, Landscape Maintenance Laborer, Modular Furniture Installer, Parking And Highway Improvement Painter, Plasterer, Slurry Seal Worker, Terrazzo Worker, Tile Finisher, Tile Setter, Teamster, Traffic Control/Lane Closure.

25% Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Boilermaker, Brick Tender, Bricklayer/Blocklayer, Building/Construction Inspector And Field Soils And Material Tester, Driver, Electrical Utility Lineman, Elevator Constructor, Field Surveyor Landscape Maintenance Laborer, Marble Mason, Marble Setter, Metal Roofing Systems Installer, Modular Furniture Installer, Plaster Tender, Plasterer, Steel Erector And Fabricator, Teamster, Slurry Seal Worker, Tile Finisher, Tile Setter, Traffic Control/Lane Closure.

30% Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Bricklayer/Blocklayer, Driver, Elevator Constructor, Field Surveyor, Landscape Maintenance Laborer, Modular Furniture Installer, Plaster Tender, Plasterer, Slurry Seal Worker, Terrazzo Finisher, Tile Finisher, Tile Setter, Brick Tender, Teamster.





# LOCAL HIRING BY DEPARTMENT

## Municipal Transportation Agency



### ABOUT MTA PROJECTS

San Francisco Municipal Transportation Agency (MTA) projects focus on the improvement of San Francisco's street landscapes and traffic conditions. Table 12 displays the total work hours for MTA projects by local hiring requirement, while Table 13 displays work hours by trade and local hiring requirement. At the time of reporting, no 30% requirement MTA projects had reported hours.

**TABLE 12: WORK HOURS REPORTED ON MTA PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Requirement	Number of Projects	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
20%	1	6,813	2,940	43%	1,112	818	74%
25%	1	24,096	9,161	38%	8,537	3,771	44%
30%	0	0	0	0%	0	0	0%
<b>TOTAL</b>	<b>2</b>	<b>30,908</b>	<b>12,101</b>	<b>39%</b>	<b>9,649</b>	<b>4,588</b>	<b>48%</b>

**TABLE 13: WORK HOURS BY TRADE ON MTA PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Cement Mason	20%	785	182	23%	9	9	100%
	25%	0	0	0%	0	0	0%
Electrician	20%	1,132	860	76%	476	215	45%
	25%	1,974	1,024	52%	157	157	100%
Glazier	20%	0	0	0%	0	0	0%
	25%		70	0%	70	70	100%
Iron Worker	20%	0	0	0%	0	0	0%
	25%	231	112	48%	0	0	0%
Laborer and Related Classifications	20%	4,471	1,705	38%	627	594	95%
	25%	0	0	0%	0	0	0%
Operating Engineer	20%	401	171	43%	0	0	0%
	25%	352	0	0%	0	0	0%
Plumber	20%	0	0	0%	0	0	0%
	25%	42	42	100%	0	0	0%
Roofer	20%	0	0	0%	0	0	0%
	25%	16,451	5,831	35%	7,801	3,544	45%
Sheet Metal Worker	20%	0	0	0%	0	0	0%
	25%	4,846	2,083	43%	510	0	0%
Other Trades*	20%	25	22	88%	0	0	0%
	25%	0	0	0%	0	0	0%

\*20% Other Trades: Driver.

# LOCAL HIRING BY DEPARTMENT

## Port of San Francisco



### ABOUT PORT PROJECTS

Port of San Francisco projects support maritime operations, environmental and historic preservation, and public recreation. Table 14 displays the total work hours for Port projects by policy, while Table 15 displays work hours by trade and local hiring requirement.

**TABLE 14: WORK HOURS REPORTED ON PORT PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Requirement	Number of Projects	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
20%	9	377,790	94,535	25%	53,362	20,482	38%
25%	1	1,370	903	66%	215	0	0%
30%	3	10,341	4,085	40%	2,158	1,513	70%
<b>TOTAL</b>	<b>13</b>	<b>389,500</b>	<b>99,523</b>	<b>26%</b>	<b>55,735</b>	<b>21,995</b>	<b>39%</b>

**TABLE 15: WORK HOURS BY TRADE ON PORT PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	20%	30,012	11,563	39%	3,416	1,210	35%
	25%	0	0	0%	0	0	0%
	30%	2,615	1,615	62%	796	796	100%
Carpet, Linoleum, Soft Floor Layer	20%	1,506	128	8%	324	90	28%
	25%	0	0	0%	0	0	0%
	30%	0	0	0%	0	0	0%
Cement Mason	20%	15,839	2,786	18%	966	124	13%
	25%		0	0%	0	0	0%
	30%	262	144	55%	0	0	0%
Drywall Installer/Lather	20%	18,137	3,202	18%	923	913	99%
	25%	0	0	0%	0	0	0%
	30%	0	0	0%	0	0	0%
Electrician	20%	61,661	10,565	17%	9,604	3,126	33%
	25%	927	648	70%	215	0	0%
	30%	12	8	67%	4	0	0%
Glazier	20%	4,789	1,245	26%	474	148	31%
	25%	0	0	0%	0	0	0%
	30%	112	0	0%	0	0	0%
Iron Worker	20%	42,125	12,640	30%	8,057	4,644	58%
	25%	0	0	0%	0	0	0%
	30%	256	72	28%	30	24	80%

(Table 15 continues)

(Table 15 continued)

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Laborer And Related Classifications Operating Engineer	20%	68,442	25,784	38%	2,492	1,949	78%
	25%	339	256	75%	0	0	0%
	30%	2,096	438	21%	130	122	94%
Operating Engineer Painter	20%	24,349	4,674	19%	1,141	1,089	95%
	25%	104	0	0%	0	0	0%
	30%	934	247	26%	25	25	100%
Painter Pile Driver	20%	12,569	2,908	23%	1,675	397	24%
	25%	0	0	0%	0	0	0%
	30%	494	273	55%	0	0	0%
Pile Driver Plumber	20%	41,671	4,870	12%	10,205	1,844	18%
	25%	0	0	0%	0	0	0%
	30%	393	41	10%	171	41	24%
Plumber Roofer	20%	26,293	7,982	30%	9,694	4,371	45%
	25%	0	0	0%	0	0	0%
	30%	0	0	0%	0	0	0%
Roofer Sheet Metal Worker	20%	1,771	42	2%	271	40	15%
	25%	0	0	0%	0	0	0%
	30%	1,915	864	45%	1,003	505	50%
Sheet Metal Worker Other Trades*	20%	13,648	3,017	22%	2,581	290	11%
	25%	0	0	0%	0	0	0%
	30%	0	0	0%	0	0	0%
Other Trades*	20%	14,980	3,133	21%	1,542	248	16%
	25%	0	0	0%	0	0	0%
	30%	1,253	384	31%	0	0	0%

\*20% Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Boilermaker, Brick Tender, Bricklayer/Blocklayer, Building/ Construction Inspector And Field Soils And Material Tester, Dredger Operating Engineer, Driver, Electrical Utility Lineman, Elevator Constructor, Field Surveyor, Landscape Maintenance Laborer, Metal Roofing Systems Installer, Modular Furniture Installer, Plasterer, Parking And Highway Improvement Painter, Teamster, Tile Finisher, Tile Setter.

\*30% Other Trades: Asbestos Removal Worker, Dredger Operating Engineer, Teamster.



# LOCAL HIRING BY DEPARTMENT

## Public Utilities Commission



### ABOUT PUC PROJECTS

San Francisco Public Utilities Commission (PUC) projects include infrastructure upgrades and repairs that maintain sustainable operations of the City's wastewater and clean water delivery systems. Table 16 displays the total work hours for PUC projects by local hiring requirement, while Table 17 displays work hours by trade and local hiring requirement.

**TABLE 16: WORK HOURS REPORTED ON PUC PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Requirement	Number of Projects	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
20%	26	380,577	137,119	36%	37,698	29,792	79%
25%	28	444,296	168,312	38%	49,568	33,070	67%
30%	30	317,521	156,724	49%	23,758	19,732	83%
<b>TOTAL</b>	<b>84</b>	<b>1,142,394</b>	<b>462,155</b>	<b>40%</b>	<b>111,025</b>	<b>82,594</b>	<b>74%</b>

**TABLE 17: WORK HOURS BY TRADE ON PUC PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	20%	3,752	2,370	63%	424	424	100%
	25%	26,756	9,372	35%	4,377	2,361	54%
	30%	12,429	8,797	71%	1,109	1,067	96%
Carpet, Linoleum, Soft Floor Layer	20%	0	0	0%	0	0	0%
	25%	418	154	37%	7	0	0%
	30%	0	0	0%	0	0	0%
Cement Mason	20%	7,732	2,208	29%	1,495	1,495	100%
	25%	6,885	2,000	29%	825	818	99%
	30%	6,131	3,180	52%	972	884	91%
Drywall Installer/Lather	20%	0	0	0%	0	0	0%
	25%	1,348	228	17%	0	0	0%
	30%	0	0	0%	0	0	0%
Electrician	20%	13,352	2,553	19%	1,753	294	17%
	25%	45,446	23,217	51%	7,926	5,587	70%
	30%	8,291	5,372	65%	1,223	1,030	84%
Glazier	20%	0	0	0%	0	0	0%
	25%	319	177	56%	0	0	0%
	30%	0	0	0%	0	0	0%
Iron Worker	20%	841	20	2%	173	0	0%
	25%	11,024	3,720	34%	3,179	1,691	53%
	30%	7,734	1,837	24%	744	377	51%

(Table 17 continues)

(Table 17 continued)

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Laborer And Related Classifications	20%	252,828	92,604	37%	29,649	24,408	82%
	25%	207,023	82,534	40%	22,315	17,310	78%
	30%	194,008	94,972	49%	17,024	14,288	84%
Operating Engineer	20%	65,212	28,507	44%	2,150	2,106	98%
	25%	61,777	24,371	39%	1,345	1,308	97%
	30%	54,042	34,633	64%	886	886	100%
Painter	20%	335	0	0%	41	0	0%
	25%	22,402	2,865	13%	4,978	1,634	33%
	30%	2,975	1,125	38%	585	585	100%
Pile Driver	20%	135	64	47%	63	0	0%
	25%	15,622	6,003	38%	1,284	1,021	80%
	30%	335	202	60%	297	202	68%
Plumber	20%	4,556	867	19%	568	279	49%
	25%	5,826	2,341	40%	1,262	1,197	95%
	30%	2,415	1,041	43%	236	229	97%
Roofer	20%	76	6	8%	31	6	19%
	25%	3,935	1,071	27%	1,414	12	1%
	30%	1,971	1,244	63%	531	174	33%
Sheet Metal Worker	20%	5,545	379	7%	464	0	0%
	25%	1,647	828	50%	188	134	71%
	30%	782	0	0%	140	0	0%
Other Trades*	20%	26,214	7,543	29%	889	781	88%
	25%	33,872	9,434	28%	470	0	0%
	30%	26,412	4,323	16%	14	14	100%

\*20% Other Trades: Asbestos Removal Worker, Asbestos Worker- Heat And Frost Insulator, Boilermaker, Brick Tender, Bricklayer/Blocklayer, Building/Construction Inspector And Field Soils And Material Tester, Driver, Field Surveyor, Slurry Seal Worker, Steel Erector And Fabricator, Teamster, Tile Setter, Tunnel And Shaft Laborers.

25% Other Trades: Asbestos Removal Worker, Boilermaker, Brick Tender, Bricklayer, Blocklayer, Building/Construction Inspector And Field Soils And Material Tester, Driver, Electrical Utility Lineman, Field Surveyor, Landscape Maintenance Laborer, Metal Roofing Systems Installer, Modular Furniture Installer, Mover, Teamster, Tile Finisher, Tile Setter, Water Well Driller.

30% Other Trades: Asbestos Removal Worker, Brick Tender, Bricklayer, Blocklayer, Driver, Electrical Utility Lineman, Teamster.



# LOCAL HIRING BY DEPARTMENT

## Recreation and Parks Department



### ABOUT RPD PROJECTS

Recreation and Parks Department (RPD) projects include improvements to and new construction of recreation and park facilities. Table 18 displays the total work hours for RPD projects by local hiring requirement, while Table 19 displays work hours by trade and local hiring requirement.

**TABLE 18: WORK HOURS REPORTED ON RPD PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Requirement	Number of Projects	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
20%	8	192,384	63,223	33%	20,773	13,417	65%
25%	8	73,493	32,526	44%	6,549	3,604	55%
30%	17	133,863	49,196	37%	14,299	6,843	48%
<b>TOTAL</b>	<b>33</b>	<b>399,740</b>	<b>144,945</b>	<b>36%</b>	<b>41,620</b>	<b>23,864</b>	<b>57%</b>



**TABLE 19: WORK HOURS BY TRADE ON RPD PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	20%	31,712	16,821	53%	6,760	3,210	47%
	25%	18,618	12,887	69%	1,620	724	45%
	30%	19,601	11,977	61%	3,890	2,563	66%
Carpet, Linoleum, Soft Floor Layer	20%	1,557	158	10%	72	40	56%
	25%	327	0	0%	0	0	0%
	30%	4,387	263	6%	1,783	263	15%
Cement Mason	20%	10,034	1,624	16%	12	0	0%
	25%	6,312	2,561	41%	424	424	100%
	30%	4,865	2,614	54%	296	296	100%
Drywall Installer/Lather	20%	2,050	291	14%	509	147	29%
	25%	359	192	53%	0	0	0%
	30%	704	404	57%	50	50	100%

(Table 19 continues)

(Table 19 continued)

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Electrician	20%	8,956	4,746	53%	1,045	501	48%
	25%	2,204	1,066	48%	283	225	79%
	30%	5,214	3,171	61%	1,466	985	67%
Glazier	20%	2,736	257	9%	308	0	0%
	25%	396	22	5%	55	0	0%
	30%	83	0	0%	16	0	0%
Iron Worker	20%	8,703	3,224	37%	429	125	29%
	25%	3,313	887	27%	72	40	56%
	30%	2,849	923	32%	352	279	79%
Laborer And Related Classifications	20%	84,789	24,998	29%	7,848	7,156	91%
	25%	26,313	10,001	38%	2,225	1,492	67%
	30%	59,858	19,508	33%	4,130	1,723	42%
Operating Engineer	20%	13,713	4,247	31%	1,623	673	41%
	25%	5,255	1,656	32%	232	77	33%
	30%	18,414	4,871	26%	781	72	9%
Painter	20%	4,948	1,889	38%	480	325	68%
	25%	677	422	62%	0	0	0%
	30%	3,870	1,885	49%	36	36	100%
Pile Driver	20%	0	0	0%	0	0	0%
	25%	134	0	0%	134	0	0%
	30%	376	80	21%	376	80	21%
Plumber	20%	4,965	2,185	44%	880	880	100%
	25%	673	381	57%	45	45	100%
	30%	2,381	1,552	65%	320	273	85%
Roofer	20%	2,485	427	17%	667	276	41%
	25%	2,444	301	12%	695	189	27%
	30%	983	181	18%	328	60	18%
Sheet Metal Worker	20%	2,028	436	21%	58	19	32%
	25%	165	104	63%	59	0	0%
	30%	403	63	15%	117	25	21%
Other Trades*	20%	13,710	1,923	14%	85	68	80%
	25%	6,305	2,048	32%	708	390	55%
	30%	9,876	1,706	17%	360	140	39%

\*20% Other Trades: Asbestos Removal Worker, Brick Tender, Bricklayer/Blocklayer, Driver, Field Surveyor, Modular Furniture Installer, Parking And Highway Improvement Painter, Plaster Tender, Plasterer, Slurry Seal Worker, Teamster, Terrazzo Finisher, Terrazzo Worker, Tile Finisher, Tile Setter.

25% Other Trades: Asbestos Removal Worker, Brick Tender, Driver, Elevator Constructor, Field Surveyor, Metal Roofing Systems Installer, Modular Furniture Installer, Parking And Highway Improvement Painter, Plasterer, Teamster, Tile Finisher, Tile Setter, Tree Trimmer, Water Well Driller.

30% Other Trades: Asbestos Removal Worker, Brick Tender, Bricklayer/Blocklayer, Driver, Electrical Utility Lineman, Field Surveyor, Landscape Maintenance Laborer, Metal Roofing Systems Installer, Modular Furniture Installer, Parking And Highway Improvement Painter, Plasterer, Teamster, Tile Finisher, Tile Setter.



# LOCAL HIRING BY DEPARTMENT

## San Francisco Airport



### ABOUT SFO PROJECTS

San Francisco International Airport (SFO) projects covered by the Policy include the ongoing improvements to Terminal 3. In accordance with a reciprocity agreement between the City and County of San Francisco and San Mateo County, both San Francisco and San Mateo County residents working on public works projects at SFO are considered local workers. The overall local hiring requirement for SFO projects was 7% by trade for projects advertised in the first year of the Policy, 8% for projects advertised in the second year, and 11% for projects advertised since March 25, 2013. The local hiring requirement for SFO is calculated based upon the amount of revenue generated by San Francisco and San Mateo County resident passengers. As with other departments, the local hiring requirement for apprentice hours is 50%.

Table 20 displays the total work hours for SFO projects by local hiring requirement, while Table 21 displays work hours by trade and local hiring requirement.

**TABLE 20: WORK HOURS REPORTED ON SFO PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Requirement	Number of Projects	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
7%	10	440,883	153,528	35%	69,904	42,682	61%
8%	9	516,581	209,008	40%	80,110	48,257	60%
11%	9	47,290	24,439	52%	12,825	7,237	56%
<b>TOTAL</b>	<b>28</b>	<b>1,004,755</b>	<b>386,975</b>	<b>39%</b>	<b>162,839</b>	<b>98,176</b>	<b>60%</b>

**TABLE 21: WORK HOURS BY TRADE ON SFO PROJECTS SUBJECT TO 20%, 25%, AND 30% REQUIREMENTS**

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Carpenter And Related Trades	7%	40,612	11,604	29%	5,231	3,233	62%
	8%	31,035	13,749	44%	1,255	864	69%
	11%	2,197	1,187	54%	226	0	0%
Carpet, Linoleum, Soft Floor Layer	7%	7,757	1,307	17%	1,417	580	41%
	8%	1,558	337	22%	120	8	6%
	11%	6,587	1,961	30%	2,719	1,542	57%
Cement Mason	7%	9,504	442	5%	311	167	54%
	8%	6,216	390	6%	425	210	49%
	11%	423	138	33%	0	0	0%
Drywall Installer/Lather	7%	42,878	5,778	13%	6,524	2,678	41%
	8%	28,946	9,268	32%	1,678	1,135	68%
	11%	813	350	43%	227	113	50%
Electrician	7%	110,884	73,746	67%	21,899	18,186	83%
	8%	155,429	93,195	60%	34,003	23,537	69%
	11%	21,008	14,411	69%	9,047	5,145	57%

(Table 21 continues)



(Table 21 continued)

Trade	Requirement	Total Hours			Apprentice Hours		
		Total	Local	Local %	Total	Local	Local %
Glazier	7%	6,027	689	11%	1,148	493	43%
	8%	13,704	3,499	26%	3,480	2,087	60%
	11%	634	426	67%	156	156	100%
Iron Worker	7%	33,864	9,975	29%	7,262	3,329	46%
	8%	41,512	8,733	21%	7,823	2,575	33%
	11%	422	0	0%	60	0	0%
Laborer And Related Classifications	7%	74,830	17,234	23%	8,273	3,413	41%
	8%	96,556	26,593	28%	10,367	6,850	66%
	11%	9,674	4,013	41%	145	105	72%
Operating Engineer	7%	13,649	3,170	23%	493	493	100%
	8%	13,497	2,686	20%	267	9	3%
	11%	2,952	668	23%	27	0	0%
Painter	7%	10,488	1,973	19%	540	124	23%
	8%	14,967	5,945	40%	659	163	25%
	11%	764	172	23%	26	0	0%
Pile Driver	7%	721	181	25%	392	0	0%
	8%	5,968	992	17%	1,018	402	39%
	11%	0	0	0%	0	0	0%
Plumber	7%	21,699	10,791	50%	5,137	3,127	61%
	8%	33,210	20,076	60%	8,001	4,639	58%
	11%	137	50	36%	36	20	56%
Roofer	7%	6,100	956	16%	1,158	665	57%
	8%	3,288	300	9%	737	300	41%
	11%	0	0	0%	0	0	0%
Sheet Metal Worker	7%	14,588	5,922	41%	3,304	2,917	88%
	8%	23,139	11,456	50%	5,259	2,761	53%
	11%	456	456	100%	157	157	100%
Other Trades*	7%	47,283	9,763	21%	6,817	3,280	48%
	8%	47,558	11,792	25%	5,023	2,721	54%
	11%	1,227	610	50%	0	0	0%

\*7% Other Trades: Asbestos Removal Worker, Asbestos Worker - Heat And Frost Insulator, Brick Tender, Bricklayer/Blocklayer, Building/Construction Inspector And Field Soils And Material Tester, Driver, Elevator Constructor, Field Surveyor, Marble Finisher, Modular Furniture Installer, Parking And Highway Improvement, Parking And Highway Improvement Painter, Plaster Tender, Plasterer, Teamster, Terrazzo Finisher, Terrazzo Worker, Tile Finisher, Tile Setter.

8% Other Trades: Asbestos Removal Worker, Asbestos Worker- Heat And Frost Insulator, Bricklayer/Blocklayer, Driver, Elevator Constructor, Field Surveyor, Marble Mason, Modular Furniture Installer, Parking And Highway Improvement Painter, Plaster Tender, Plasterer, Teamster, Telecommunications Technician, Terrazzo Finisher, Terrazzo Worker, Water Well Driller, Tile Finisher, Tile Setter, Traffic Control/Lane Closure.

11% Other Trades: Modular Furniture Installer, Parking And Highway Improvement Painter, Teamster, Terrazzo Worker, Tile Finisher.



# WORKER DEMOGRAPHICS

The following charts illustrate residency, race and ethnicity, and gender data for all workers on all active covered projects between March 25, 2011 and March 1, 2015. Demographic data is quantified in total workers, rather than in hours, and is self-reported by workers through the City's PRS.

**FIGURE 1: WORKERS BY COUNTY OF RESIDENCE**

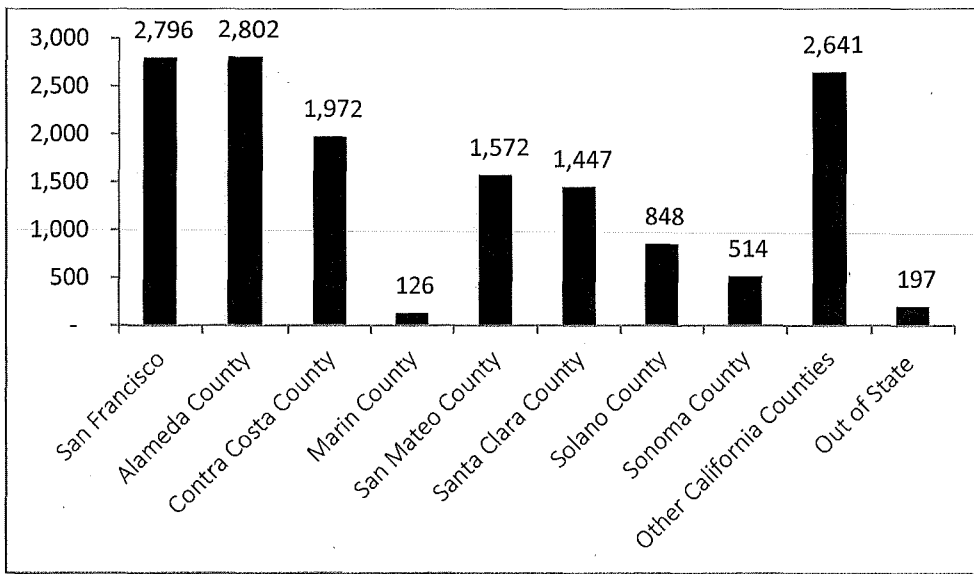


Figure 1 shows the county of residence for workers on all covered projects. Approximately 19% of workers on covered projects are San Francisco residents.

**FIGURE 2: SAN FRANCISCO WORKERS BY ZIP CODE**

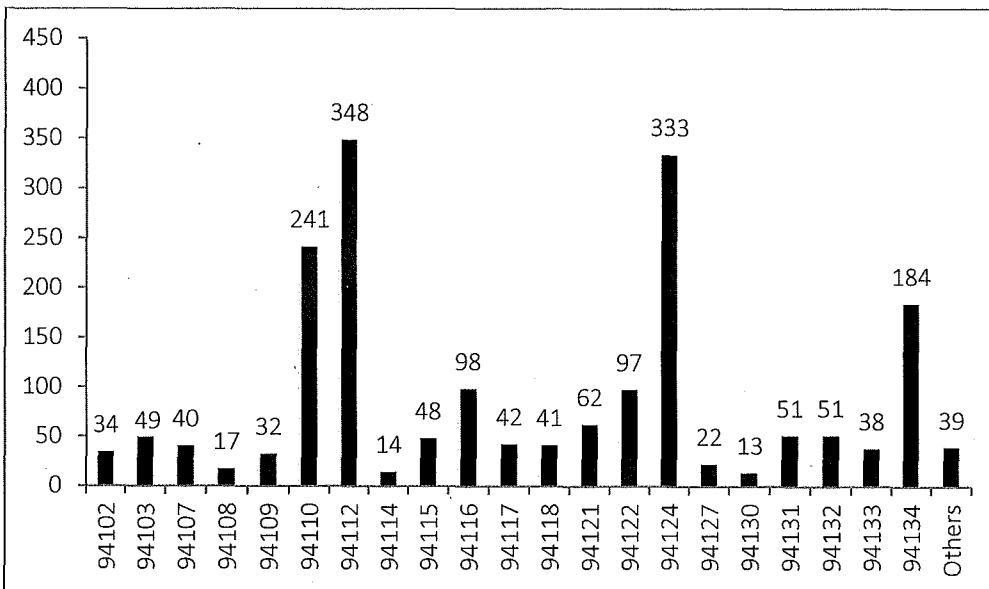


Figure 2 displays San Francisco resident worker residency by zip code, and demonstrates that workers from most San Francisco zip codes are represented on covered projects.

**FIGURE 3: ALL WORKERS BY RACE AND ETHNICITY**

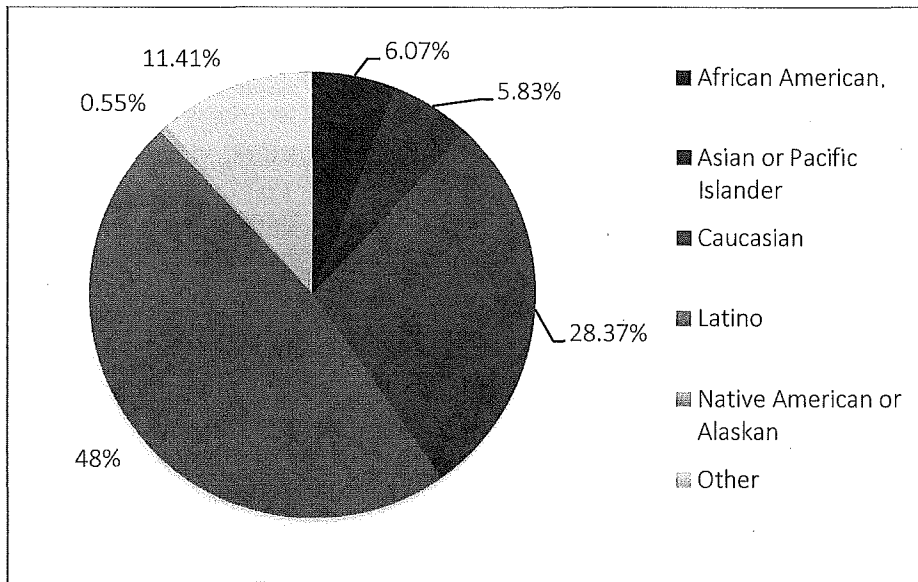
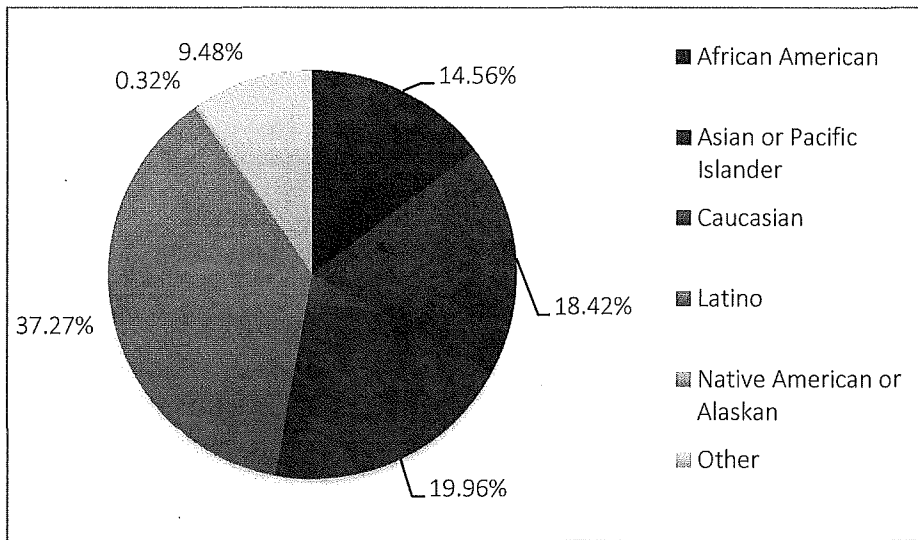


Figure 3 illustrates the race and ethnicity of all workers on all covered projects. Latino workers represent the largest percentage of the total workforce, followed by Caucasian and Asian or Pacific Islander workers.

**FIGURE 4: SAN FRANCISCO WORKERS BY RACE AND ETHNICITY**



As Figure 4 demonstrates, when race and ethnicity data for San Francisco resident workers alone is examined, worker diversity increases. While Latino workers continue to represent the largest share of workers, the percentage of Asian or Pacific Islander and African American workers is greater.

**TABLE 22: WORKERS BY GENDER, OVERALL AND SAN FRANCISCO**

	All Workers	San Francisco Workers
Male	14,602	2,675
Female	265	115
Data Not Available	48	6
<b>Total</b>	<b>14,915</b>	<b>2,796</b>
<i>Female Percentage</i>	1.8%	4.1%

Table 22 provides gender information for workers on covered projects. Female workers comprise 4.1% of San Francisco residents on covered projects as compared to 1.8% of all workers.

# POLICY UPDATES

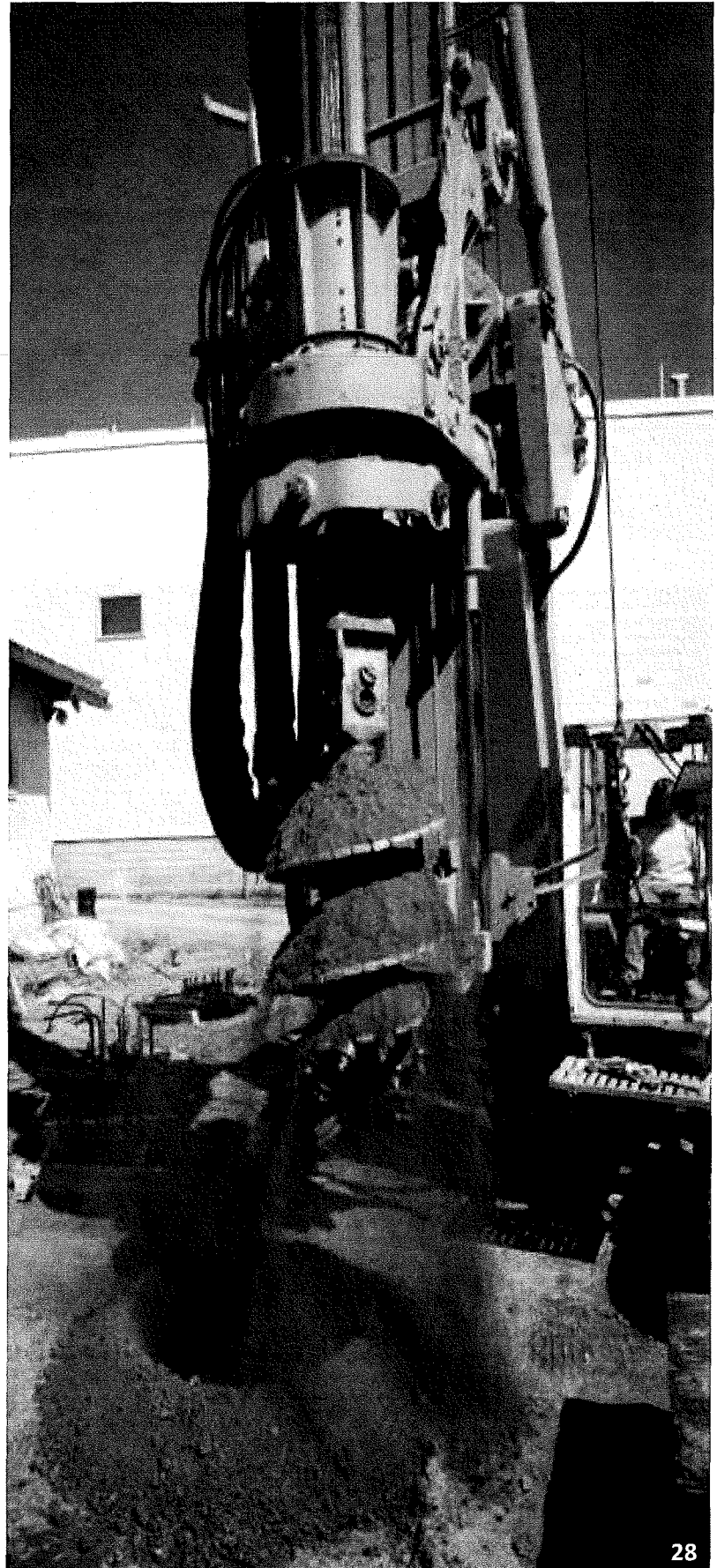
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Over the past twelve months, there have been several changes to the Local Hiring Policy for Construction.

In June 2014, the Board of Supervisors amended the Policy to cover private development and tenant improvement work on City-owned property, as well as temporary construction associated with special events lasting four or more days. To date, no hours have been recorded on private construction projects subject to the expanded Policy. As projects are initiated, OEWD will pay close attention to the impacts of the expansion.

More recently, in March 2015, the Board of Supervisors voted to extend the legislative review period of the Local Hiring Policy for Construction an additional 24 months and maintain the current requirement of 30% during the extended review period. The Mayor's Construction Workforce Advisory Committee had recommended the extension to allow for further analysis of the Policy's impact, including the Policy's recent expansion to cover private development on City-owned property.

On a national level, recent changes in federal regulations may broaden the types of construction projects subject to local hiring in San Francisco. This February, the US Department of Transportation (USDOT) announced a one-year pilot program for grant recipients to request permission to include local hiring requirements on federally funded infrastructure projects. Previously, federal contracting prohibited such provisions. OEWD is working with local grant recipients to establish local hiring on federally funded transportation projects eligible for the pilot program.



# CHALLENGES AND RECOMMENDATIONS

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Developing a strong pipeline of skilled workers to meet the growing demands of the construction industry remains the primary challenge of the Local Hiring Policy for Construction. Overall, local workforce participation across most trades has continued to meet the Policy's requirements. However, there is the potential for a shortage of skilled local workers in the coming years, due to the rapid increase in construction activity. Already, there are indicators that local apprentice numbers are stagnating. Pressure from private development, the initiation of additional projects subject to the 30% local hiring requirement and the expansion of Local Hire have all reinforced the need to expand workforce training citywide.

To meet industry demand, OEWD will work to strengthen construction career pathways by building on its relationships with industry and training partners. Working with San Francisco Unified School District and the San Francisco Community College District, OEWD will enhance and expand training in specialized trades for local residents.





# THE MAYOR'S CONSTRUCTION WORKFORCE ADVISORY COMMITTEE

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## ABOUT THE ADVISORY COMMITTEE

In July 2012, Mayor Lee established the Mayor's Construction Workforce Advisory Committee to evaluate the impact of and guide the San Francisco Local Hiring Policy for Construction. Stakeholders in the local construction industry are represented by twelve committee members from local construction companies, trade unions, community organizations, and City departments.

### Chair

**Naomi Kelly**, City Administrator, City and County of San Francisco

### Committee Members

**Bob Alvarado**, Executive Officer, Northern California Carpenters Regional Council

**Josh Arce**, Executive Director, Brightline Defense Project

**James Bryant**, Western Regional Director, A. Philip Randolph Institute

**Oscar De La Torre**, Business Manager, Northern California District Council of Laborers

**John Doherty**, Business Manager, IBEW Local 6

**Harlan Kelly, Jr.**, General Manager, San Francisco Public Utilities Commission

**Florence Kong**, President, Build Bayview

**Kent M. Lim**, President, Kent M. Lim & Company, Inc.

**Bob Nibbi**, President, Nibbi Brothers General Contractors

**Mohammed Nuru**, Director, San Francisco Public Works

**Jes Pedersen**, Chief Executive Officer, Webcor Builders

**Ed Reiskin**, Director, San Francisco Municipal Transportation Agency



# CITYBUILD ACADEMY

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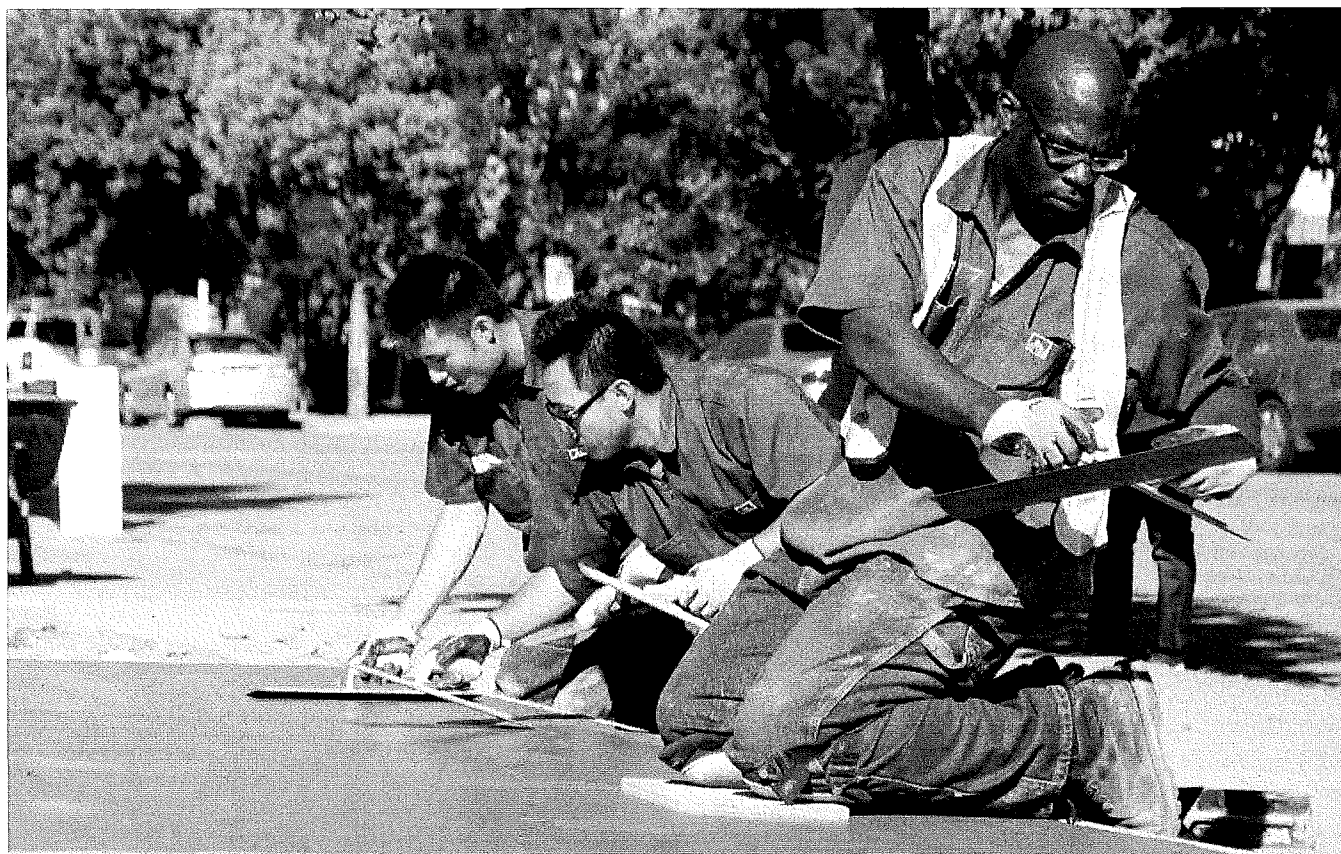
CityBuild Academy aims to meet the demands of the construction industry by providing comprehensive pre-apprenticeship and construction administration training to San Francisco residents. CityBuild began in 2006 as an effort to coordinate City-wide construction training and employment programs. CityBuild Academy is administered by OEWD in partnership with City College of San Francisco, various community non-profit organizations, labor unions, and industry employers.

## **CITYBUILD ACADEMY**

CityBuild Academy offers an 18-week pre-apprenticeship and construction skills training program at the City College of San Francisco, Evans Campus. Trainees can earn up to 15 college credits while learning foundational skills and knowledge to enter the construction trades and succeed as new apprentices. Trainees are given the opportunity to obtain construction-related certifications such as OSHA 10, Forklift, Skid Steer, CPR and First Aid. Several program instructors are construction industry specialists with years of field experience. Since 2006, 753 San Francisco residents have graduated from CityBuild Academy and 658 graduates have secured employment programs in various construction trades. In addition, CBA partners with Habitat for Humanity, and the San Francisco Fire Department's Neighborhood Emergency Response Training.

## **CONSTRUCTION ADMINISTRATION AND PROFESSIONAL SERVICES ACADEMY (CAPSA)**

The Construction Administration and Professional Service Academy (CAPSA) is a semester-long program offered at the City College of San Francisco, Mission Campus. The program prepares San Francisco residents for entry-level careers as professional construction office administrators. The bi-annual training is intensive and participants graduate with knowledge of the construction sequence of work, construction office accounting cycle, role of the Construction Project Coordinator and other professional skills. Since 2010, 181 San Francisco residents have completed the program and 121 graduates have been placed in administrative positions.



# ACADEMY PARTNERSHIPS



In an ongoing effort to strengthen and expand the Academy curriculum, CityBuild partners with various union apprenticeship programs:

- Carpenters' Training Committee of Northern California
  - Carpenters' training curriculum is incorporated into the CityBuild Academy training
  - Trainees have opportunity to enter the Carpenters' apprenticeship program through a direct-entry agreement
- Northern California Laborers' Training Center (NCLTC)
  - CBA lead instructor is an NCLTC apprenticeship instructor and MC3 certified
  - Additional 2-week certification training in Confined Space, Scaffold Safety and Trench and Excavation Safety for graduates entering the Laborers' apprenticeship program
  - Trainees have opportunity for direct entry into the Laborers' apprenticeship program with 450 hours of OJT that will go toward the 500 hours required to move to first period apprentice
- Cement Mason Pre-Apprenticeship Training Program
  - Concurrent 15-week intensive hands-on masonry training offered three days per week
  - Trainees have opportunity for direct entry into the Cement Mason apprenticeship program upon completion of training
- Bay Area Plastering Industry Joint Apprenticeship Training Committee
  - Concurrent 9-week intensive hands-on training specific to the plastering industry offered weeknights throughout Academy program
  - Trainees have opportunity for direct entry into the Plasterers' apprenticeship program with 6 months of classroom hours toward their apprenticeship requirements
- Ironworkers Apprenticeship Training
  - Concurrent 10-week Welding Plug Certification class offered on Saturdays throughout CBA
  - "Gladiators Training" program prepares participants to work with reinforced concrete and rebar – direct entry to work upon successful completion
  - "Women in Welding" program provides women in the trades with specialized skills to be competitive in the field
  - Trainees have opportunity to enter the Ironworkers' apprenticeship program upon completion of training
- San Francisco Fire Department's Neighborhood Emergency Response Team Training (NERT)
  - Concurrent 6-week hands-on disaster response skills training that prepares residents to assist first responders in an emergency situation



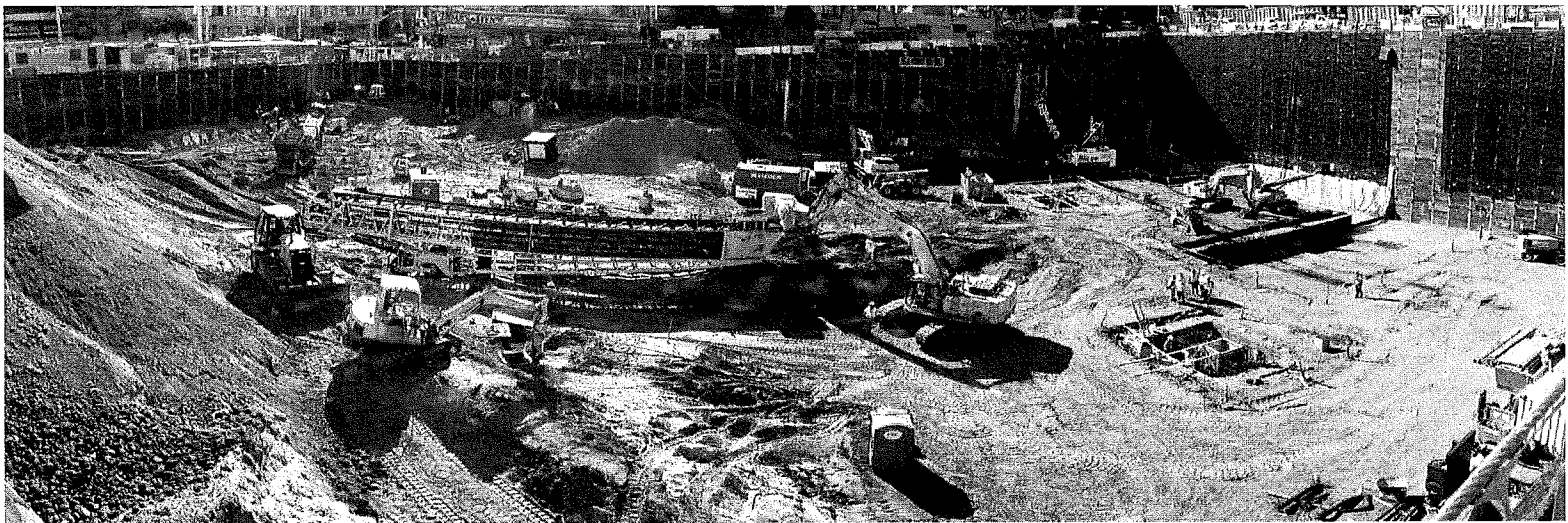
# CONCLUSION

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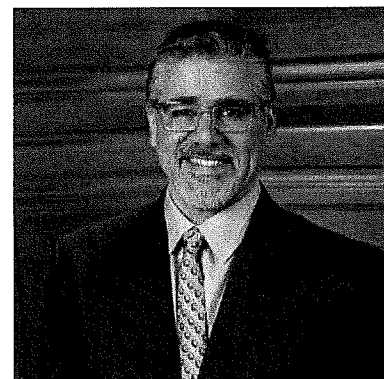
The fourth year of the Local Hiring Policy was marked by continued growth in the local construction industry. Over the past two years, Local Hire projects have supported more than 3 million work hours—almost three times the number of work hours recorded during the Policy’s first two years. In spite of this rapid expansion, payroll data through March 2015 indicates that requirements are being met across all departments and major trades. Workforce information from projects subject to the Policy will continue to be reviewed during the extended legislative review period.

During the past year, OEWD worked closely with the Mayor’s Construction Workforce Advisory Committee, the City Administrator, and Supervisor John Avalos to develop Policy recommendations for legislative consideration. The San Francisco Board of Supervisors adopted the recommendation to extend the legislative review period through March 2017 for further analysis of the Policy’s impact. The local hiring requirement will remain at 30% during this extended legislative review period.

OEWD will continue to partner with industry stakeholders to ensure that the Policy is an effective workforce tool for local businesses, communities and residents.



“In its fourth year, the Local Hiring Policy for Construction continued to provide quality jobs for San Francisco residents in the construction industry. Thanks to the leadership of our Mayor and the successful partnership between City departments, community and labor organizations, area builders and other stakeholders, the Policy remains a critical job creation tool and keeps local dollars moving through our economy.”



*Supervisor John Avalos, District 11*

# THE OFFICE OF ECONOMIC AND WORKFORCE DEVELOPMENT WOULD LIKE TO THANK OUR PARTNERS IN THIS EFFORT

## San Francisco Board of Supervisors

### Office of Mayor Edwin M. Lee

Office of the City Administrator

Office of the City Attorney

Office of the Controller

Port of San Francisco

San Francisco International Airport

San Francisco Municipal Transportation Agency

San Francisco Public Utilities Commission

San Francisco Public Works

San Francisco Recreation & Parks Department

#### Community Organizations

A. Philip Randolph Institute

Anders and Anders Foundation

Asian Neighborhood Design

Brightline Defense Project

Charity Cultural Services Center

Chinese for Affirmative Action

Mission Hiring Hall

San Francisco Conservation Corps

Young Community Developers, Inc.

#### Contractor Associations

Associated General Contractors

Construction Employers' Association

United Contractors

Wall and Ceiling Alliance

#### Labor Organizations

Carpenters Local 22

Cement Masons Local 300

International Brotherhood of Electrical Workers Local 6

Ironworkers Local 377

Laborers' Local 261

Northern California Carpenters Regional Council (NCCRC)

Northern California District Council of Laborers (NCDCL)

Operating Engineers Local 3

Pile Drivers Local 34

Plasterers and Shophands Union Local 66

Roofers and Waterproofers Local 40

Sheet Metal Workers' Local 104

#### Photo Credits

San Francisco Public Utilities Commission; Robin Scheswohl  
(cover photo, pages 4, 5, 13, 21, 28)

Dave Rauenbuehler (inside cover photo, table of contents,  
page 19)

Recreation and Parks Department

SFMTA | Central Subway

San Francisco International Airport

San Francisco Public Works

**2014-2015 SAN FRANCISCO LOCAL HIRING POLICY FOR CONSTRUCTION  
ANNUAL REPORT TO THE SAN FRANCISCO BOARD OF SUPERVISORS**

**Office of Economic and Workforce Development  
Workforce Development Division  
[www.workforcedevelopmentsf.org](http://www.workforcedevelopmentsf.org)  
[local.hire.ordinance@sfgov.org](mailto:local.hire.ordinance@sfgov.org)**



RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2015 APR - 1 AM 10:02

*SLB*



Entertainment Commission

Clerk of the Board  
San Francisco Board of Supervisors

March 31, 2015

As mandated in section 1070.35 of the Police Code, please find the Extended Hours Premises quarterly report from January 1 through March 31, 2015.

**BACKGROUND**

Extended Hours Premises Quarterly Report

Ordinance #238-09 passed in November 2009. The Extended Hours Premises permits from the date of passage and prior total 76:

- 33 food establishments
- 26 nightclubs
- 2 adult entertainment
- 5 event spaces
- 3 music halls
- 1 billiard parlor
- 6 hotels

Since 2009, there have been 34 EHP permits issued. Below is a break out on permits by type and the annual increase in EHP permits by percentage.

Year	number	venue type		increase
2010	3 permits issued	2 clubs	1 event space	4% increase
2011	5 permits issued	4 clubs	1 event space	6% increase
2012	16 permits issued	3 clubs	13 food	16% increase
2013	11 permits issued	1 club	1 event space      9 food	9% increase
2014	3 permits issued	1 club	1 event space      1 food	4% increase

9

**CURRENT BREAK OUT OF EHP PERMITS**

As of March 30, 2015, we have one pending application for Extended Hours Premises permit for a restaurant. This brings the new total of EHP permits to 94. The table below shows the current EHP permits broken down by type:

Food establishments	49
Nightclubs	31
Adult entertainment	1
Event spaces	4
Music halls	5
Hotels	4

I hope this information is helpful. Please let me know should you like any additional information.

Regards,



Cammy Blackstone, Deputy Director  
San Francisco Entertainment Commission

---

**From:** Board of Supervisors, (BOS)  
**Sent:** Monday, April 06, 2015 9:42 AM  
**To:** BOS-Supervisors  
**Subject:** FW: San Francisco Municipal Pier

---

**From:** [Dolly94804@aol.com](mailto:Dolly94804@aol.com) [<mailto:Dolly94804@aol.com>]  
**Sent:** Sunday, April 05, 2015 3:13 PM  
**To:** Board of Supervisors, (BOS)  
**Subject:** Re: San Francisco Municipal Pier

To the Board of Supervisors:

A petition is open at [Change.org](http://Change.org) asking Nancy Pelosi and the National Park Service to secure an emergency allocation of funds to support the restoration of the San Francisco Municipal Pier. This historical landmark (1930's) is falling apart; it is the responsibility of NPS to repair it although they lack the funds...more than \$70 million needed.

It is now easier to find the petition. Use the bitly URL: [chn.ge/1CIME0r](http://chn.ge/1CIME0r). (L/C L, number 0). More info on the facebook page, [lovethepier2015](https://www.facebook.com/lovethepier2015), including photos and a link to the San Francisco Chronicle published August 14, 2014.

Whatever support you could offer this project would be much appreciated.

Thank you,  
Carol Walker

C-Page

**From:** Board of Supervisors, (BOS)  
**To:** BOS-Supervisors  
**Subject:** FW: Require SFPD to wear Body Cameras

**From:** Charles Byrd [<mailto:byrd247@mail.com>]  
**Sent:** Saturday, April 04, 2015 4:38 PM  
**To:** Board of Supervisors, (BOS)  
**Subject:** Require SFPD to wear Body Cameras

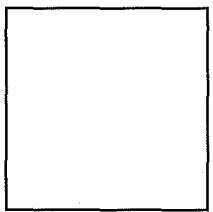
Supervisor,

The San Francisco Police Department is out of control.

- Sending despicable racists texts;
- Illegally entering and seraching the homes of poor apartment dwellers;
- Targeting African Americans for felony drug busts; and,
- Using excessive force to kill a man who was allegedly reaching for a taser.

Why has the City succumb to pressure from the police union to only "test" a couple cameras as part of a pilot program.  
Instead, the City should immediately take action to REQUIRE SFPD to fully implement a body camera program.

Below is a survey that I located online regarding use of body cams by other police departments  
( <http://www.vocativ.com/usa/justice-usa/police-force-wearing-body-cameras/> )



In response to the Ferguson killing and corruption charges regarding SFPD searches of single-room occupancy units, body cameras should be mandatory; there is not need to evaluate, test and/or pilot.

Why is the the City allowing the Police to lead it by the nose? I have seen the SFPD in action. The City is fortunate that there have not need many questionable killings by SFPD. But, I have personally witnessed MANY instances where their conduct was far below professional standards.

SFPD is corrupt and is fighting body cameras because there is a LOT of dirt that they want to hide. Here is the fact: San Francisco Police Officers are City employees who need to be better supervised through use of today's technology.

I request your reply to this email.

Sincerely,

Charles Byrd

10



OFFICE OF THE MAYOR  
SAN FRANCISCO



Orig: Leg Clerk  
c: COB, Leg Dep.  
RECEIVED EDWIN M. LEE  
BOARD OF SUPERVISORS  
SAN FRANCISCO MAYOR  
2015 APR -3 PM 3:27  
BT  
Dep City Attorney,  
Rules Clerk,  
CPA, AC file

**Notice of Appointment**

April 2, 2015

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Carlton B. Goodlett Place  
San Francisco, California 94102

Honorable Board of Supervisors:

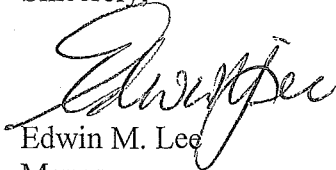
Pursuant to Section 3.100(18) of the Charter of the City and County of San Francisco, I hereby make the following appointment:

Debbie Mesloh to the Commission on the Status of Women, filling the seat formerly held by Becca Prowda, for a four-year term ending November 18, 2018

I am confident that Ms. Mesloh, an elector of the City and County, will serve our community well. Attached herein for your reference are her qualifications to serve.

Should you have any questions related to this appointment, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

  
Edwin M. Lee  
Mayor

OFFICE OF THE MAYOR  
SAN FRANCISCO



EDWIN M. LEE  
MAYOR

April 2, 2015

Angela Calvillo  
Clerk of the Board, Board of Supervisors  
San Francisco City Hall  
1 Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Section 3.100(18) of the Charter of the City and County of San Francisco, I hereby make the following appointment:

Debbie Mesloh to the Commission on the Status of Women, filling the seat formerly held by Becca Prowda, for a four-year term ending November 18, 2018

I am confident that Ms. Mesloh, an elector of the City and County, will serve our community well. Attached herein for your reference are her qualifications to serve.

Should you have any questions related to this appointment, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

A handwritten signature in cursive script that reads "Edwin M. Lee".

Edwin M. Lee  
Mayor

**DEBBIE MESLOH**

180 Corwin, #1  
San Francisco, CA 94114  
415-867-2243  
[debmesloh@yahoo.com](mailto:debmesloh@yahoo.com)

---

EXPERIENCE:

**Gap Inc.**

**Senior Director, Global Government and Public Affairs**

*5/11 to Present*, San Francisco, California

- Lead Gap Inc.'s global government and public affairs divisions
- Drive communications strategy and initiatives in support of the company's social responsibility work throughout the company's 40-country supply chain
- Create and implement Gap Inc.'s global government relations strategy for legislative and regulatory issues at the international, federal, state and local levels
- Manage a team of five and oversee a budget of \$1.5 million
- Manage public affairs agency and outside team of consultants

**California Attorney General Kamala Harris**

**Senior Advisor**

*1/11 to 5/11*, San Francisco, California

- Served as senior advisor for California Attorney General Kamala Harris
- Created long-range strategic plan for communications, community affairs and statewide issues management

**Kamala Harris for Attorney General**

**Senior Advisor**

*2/10 to 1/11*, California

- Served as senior strategist for statewide campaign to elect Kamala Harris as California Attorney General
- Led communications strategy, earned media and rapid response
- Served as Chief of Staff for Attorney General elect's transition team

**Executive Office of the President**

**Deputy United States Trade Representative for Public Affairs**

**United States Trade Representative**

*2/09 to 2/10*, Washington, DC

- Presidential appointee to the Office of the United States Trade Representative (USTR)
- Served as communications advisor, speech writer and spokeswoman
- Led communications for agency's issue management in China and Asia
- Served as White House point person on interagency communications regarding global trade with Commerce, State and National Security Council

**President-elect Obama, Presidential Inaugural Committee**

**Regional Communications Director and Spokeswoman**

*12/08 to 2/09*, Washington, DC

- Served as spokeswoman for Presidential Inaugural Committee

**Obama for President**

**Director of Communications and Spokeswoman**

*8/07 to 11/08*, Missouri (general campaign) California, Texas, Pennsylvania and West Virginia (primary campaign)

- Communications Director, Missouri
  - Led all communications strategy for Obama for President campaign in Missouri and served as chief spokeswoman in key swing state

- Defined message for the campaign based on state issues, polling and demographics
- Managed staff of ten
- Communications Director, California
  - Led all communications strategy for Obama for President campaign in California and served as chief spokeswoman
  - Developed and implemented earned plan for Latino press, Asian press and African American press throughout California
- Communications Director, West Virginia
- Spokeswoman, Texas
- Spokeswoman, Pennsylvania

**San Francisco District Attorney Kamala Harris**

**Director of Communications**

*1/04 to 10/08, San Francisco, California*

- Served as chief spokeswoman, communications counsel and writer for San Francisco District Attorney Kamala Harris
- DA Harris re-elected without opposition in 2007

**DIRECTV DSL**

**Director of Corporate Communications**

*11/00 to 12/03, Cupertino, California*

- Directed corporate communications team responsible for all external and internal communications
- Managed staff of four as well as outside public relations firm

**United States Senator Dianne Feinstein**

**Field Representative**

*2/99 to 11/00, San Francisco, California*

- Served as Senator Feinstein's Bay Area field representative
- Served as primary liaison with Silicon Valley

**Solem & Associates, Public Affairs Account Executive, 2/98 to 2/99, San Francisco, California**

**Atlanta City Council, Press Secretary, 11/93 to 1/98, Atlanta, Georgia**

**Mayor Bob Knight, Wichita Kansas, Press Secretary, 5/92 to 6/93, Wichita, Kansas**

**EDUCATION:**

**Bachelor of Science in Journalism, May 1992, University of Kansas**

BOARD of SUPERVISORS



City Hall  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco 94102-4689  
Tel. No. 554-5184  
Fax No. 554-5163  
TDD/TTY No. 544-5227

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**MEMORANDUM**

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Date: March 31, 2015  
To: Honorable Members, Board of Supervisors  
From: Angela Calvillo, Clerk of the Board  
Subject: Form 700

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This is to inform you that the following individual has submitted a Form 700 Statement:

Lauterborn, Peter George – Legislative Aide - Annual  
Goosen, Carolyn Ji Jong – Legislative Aide - Annual  
Cretan, Jeffrey Vahan – Legislative Aide - Annual  
Scanlon, Olivia Siobhan – Legislative Aide – Annual  
Fried, Jason –LAFCo - Annual  
Low, Jennifer – Legislative Aide - Annual  
Chan, Yoyo – Legislative Aide - Annual  
Tugbenyoh, Mawuli Kofi-Doe – Legislative Aide - Annual  
Taylor, Adam Carvey - Legislative Aide – Annual  
Louie, Arthur – Budget Analyst  
Young, Victor – Administrator, Sunshine Ordinance Task Force

12

BRETT GLADSTONE  
PARTNER  
DIRECT DIAL (415) 995-5065  
DIRECT FAX (415) 995-3517  
E-MAIL B.Gladstone@hansonbridgett.com

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2015 APR -3 PM 3:07

*HB*



**HansonBridgett**

*Original: Leg Clerk  
Copy: COB, BOS-11, Leg Dep,  
Dep. City Atty, C-Page*

*File No. 150167*

April 2, 2015

BY EMAIL (bos.legislation@sfgov.org) AND HAND DELIVERY

President London Breed  
c/o Ms. Angela Calvillo, Clerk of the Board  
Board of Supervisors of the City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102-4689

Re: Hearing This Tuesday: Appeal of Approval of Categorical Exemption  
Determination of 53 States Street San Francisco, CEQA Categorical  
Exemption Case No. 2014.0177E

Dear President Breed and Honorable Members of the Board of Supervisors:

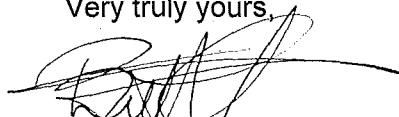
On behalf of 53 States Street, LLC, the project sponsor for the project, enclosed please find some of the many letters from neighbors expressing their support for the project (including letter of support from the other adjacent neighbor).

We oppose a continuance.

I also enclose (1) a list of changes to the project our client is making and (2) summary of our failed attempt to mediate.

We appreciate your consideration of this matter.

Very truly yours,



M. Brett Gladstone

Enclosures

cc: Supervisor John Avalos  
Supervisor David Campos  
Supervisor Julie Christensen  
Supervisor Malia Cohen  
Supervisor Mark Farrell  
Supervisor Jane Kim  
Supervisor Eric Mar  
Supervisor Katy Tang  
Supervisor Scott Wiener

13

Supervisor Norman Yee  
Jeanie Poling, Planning Department  
Hector Martinez, Appellant  
Via E-mail only  
John Lum  
Marvin Tien



## **Transmittal to Board of Supervisors Objecting to Another Continuance**

**From** Brett Gladstone

**Sent:** Friday, April 03, 2015 11:38 AM

**To:** 'david.campos@sfgov.org'; 'mark.farrell@sfgov.org'; 'Tang, Katy (BOS)'; 'norman.yee@sfgov.org'; 'scott.wiener@sfgov.org'; 'jane.kim@sfgov.org'; 'john.avalos@sfgov.org'; 'malia.cohen@sfgov.org'; 'julie.christensen@sfgov.org'; 'eric.mar@sfgov.org'; 'london.breed@sfgov.org.'

**Cc:** 'hillary.rosen@sfgov.org'

**Subject:** Tuesday's Hearing on 53 States Street Objection to Another Continuance

I just learned that the appellant, Hector Martinez, has requested another continuance. There has already been a two week continuance. As the representative of the property owner, we would oppose any more continuances, for several reasons:

1. We agreed to mediate and agreed to a continuance of two weeks for that. As indicated in the email below, we attended a mediation, but the Appellant walked out. Attempts to reschedule have been unsuccessful (see below).
2. Based on what we heard the Appellant wants to change in the project, we feel that that project would not be feasible to stay as a two units. The zoning encourages two units. As a result, we do not believe that another mediation would accomplish anything.
3. We were prepared to offer some compromises at the mediation, which Appellants attended but then walked out before we could explain them. Nonetheless, we are prepared to submit those compromises to Appellant anyway before the Tuesday hearing. We do not need to be in a mediation to offer those compromises. We are doing it through emails.

Brett Gladstone

Cell 601-3178

**From:** Brett Gladstone

**Sent:** Friday, April 03, 2015 9:42 AM

**To:** 'Hector Martinez'

**Cc:** [mac\\_mcgilbray@communityboards.org](mailto:mac_mcgilbray@communityboards.org)

**Subject:** RE: Mediation Times

You asked several weeks ago that my client mediate this dispute, so that it may be settled without going to the Board of Supervisors. I told you that we could, but that there was a limited number of days thereafter that we could have our client there, as he would be in Taiwan attending to his father who has had a heart attached.

We agreed on a date about ten days ago. As you may recall, and was witnessed by Cordell the mediation staff person at Community Boards, you and your wife and the other condominium owners in your building walked out when our team showed up. You told me you would not meet unless my client was there.

When I mentioned my client had to suddenly go to Asia, as his father was having heart surgery, you told me I was lying. I offered to give you an Affidavit signed under penalty of perjury in which my client would confirm where he was at the date we attended a mediation. You did not respond.

I and the mediation staff suggested we go forwards anyway, but you refused to and you and your group walked out.

In emails and calls over the next twelve days, I gave you at least five dates that were convenient for our team, including dates that my client could attend a mediation. You told me that neighbors opposing the project needed to be there with you, and you found that none of the dates we gave you were you able to get the neighbors to the mediation.

I mentioned that you are the Appellant, not the neighbors and not your fellow condo owner, and that a mediation can occur with just you there. You worried you could not make an agreement there without discussing with neighbors. I then mentioned that you did not need to agree to anything during the mediation. I mentioned that we could discuss the matter with you at a mediation, and that you could go back to the neighbors the following day and let us know after that if we had a deal.

For reasons none of us understand, you were not willing to do that.

For that reason alone, we are not convinced that you really wished to settle this in a mediation. My client wonder if the lack of willingness to mediation in a meeting between you and my client and me indicates that your request for mediation was about delay.

We planned to offer some compromises in a mediation. You did not give us the opportunity to do so. The compromises were to be contingent on your removing your appeal.

Nonetheless, I have asked my client to consider sending you a letter today offering those compromises, and not requiring your to remove your appeal.

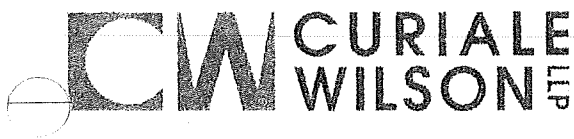
I hope you will respond to those proposed changes when I send them to you.

Brett Gladstone

Additional Project Modifications To Be Made In Plans to be Attached to Building Permit for 53 States Street

[These do not need approval of the Planning Commission or additional environmental approval due to the limited scope].

1. Add a glass privacy screen alongside the east property line starting at the top of the solid railing of the deck facing Appellant, to increase privacy.
2. Paint side of building facing Appellant in a way that will reflect light the most, and in a color chosen by Appellant.
3. Pay for a new skylight to go into roof of Appellant's building to regain any small light loss to his adjacent windows.
4. Add planters to the deck closest to Appellant for more greenery.
5. And a 24 gallon tree instead of the smaller one required by Code.
6. Leaving the rear yard tree in place if that is what the owners of the rear condo wish.
7. A preconstruction meeting between Appellant and the second condominium owner in Appellant's building to coordinate hours of construction.



One Maritime Plaza, Suite 1600  
San Francisco, California 94111

RICHARD J. CURIALE  
T 415.684.9468  
E rcuriale@curialewilson.com

January 6, 2015

JOSEPH C. WILSON  
T 415.684.9469  
E jwilson@curialewilson.com

CHRISTOPHER J. KELLER  
T 415.684.9470  
E ckeller@curialewilson.com

Cindy Wu  
Commission President  
Planning Commissioners  
San Francisco Planning Department  
1650 Mission Street, Suite 400  
San Francisco, CA 94103

MICHELLE T. DUVAL  
T 415.684.9471  
E mduval@curialewilson.com

Dear President Wu and Planning Commissioners,

I live at 101 States Street, #7 and have owned my home there for 10 years.

I am writing to express my strong support for the proposed two-unit building at 53 States Street. I saw the notice that was posted on the street, and was curious about the project as I heard some of my neighbors were protesting the project due to what they are calling it "massive size and inappropriateness" to the neighborhood.

I have reviewed the plans, and I not only think the project fits in but also am puzzled at what my neighbors are contesting. They claim that the building is too large, and are demanding that the units be made smaller. Looking at the plans and also the perspective of the building, I cannot understand how they came to that conclusion. I think it fits in exceptionally well and believe it will be a big improvement to the street.

I urge you to approve the project.

Sincerely,  


Christopher Keller  
101 States Street, Unit 7  
San Francisco, CA 94111

William E. Roberts

2370 Market Street, #145

San Francisco, CA 94114

<<<<.....>>>>

**Re: Development of 53 States Street, San Francisco, CA 94114**

To whom it may concern:

I lived at 53 States Street for almost a year with my former girlfriend and her two teenage children. She and her former husband had done almost \$500,000 worth of work over the 16 years they lived in the home, and was only about 90% completed. But, unfortunately, it was all done in the hopes to create a downstairs rental unit, with a full bath and kitchen. No work was done on the original home of over 100 years old (it doesn't even have a functioning bathroom). It would take somewhere from \$750K to \$1Million to complete the downstairs unit and repair the upstairs home.

I have seen the project, and have been to several of the meetings at City Hall. I completely support the 2 unit project that is being proposed. I also believe it is in character with the neighborhood, and feel that it is not over scaled, like some others feel. As opposed to what is there now, it will be a beautiful home for not just one family, but two.

Sincerely,



William E. Roberts

California Real Estate Broker

DRE #00991220 ..... NMLS #324996

Dear Ms. Chang:

I am writing in regard to the 53 States Street project adjacent to our property to the east.

Regrettably, we are unable to attend the planning commission meeting on 6 January 2015 in person due to work obligations. Nonetheless, we would like you to know that there does not seem to be a consensus in the Corona Heights neighborhood with respect to this development. In our view, that lot has been a blight on the neighborhood for many years. Therefore, although we do not look forward to many months of disruption from the construction, we welcome a sensibly planned and well thought out multi-family dwelling of moderate size in that space. States Street contains widely diverse properties along its length, as a quick drive through will confirm. The latest plans (30 Dec 2014) from Mr. Lum and his firm, as we understand them, would seem to be reasonably well in character with these criteria.

Please also note that the existing abandoned structure is basically uninhabitable. As it stands, it is both an eyesore and a potential problem area. The process has been on hold for over a year, awaiting approval, while several other projects on States Street have moved forward and are even nearing completion. During this time, the architect, John Lum and his colleagues have met with persons from the neighborhood numerous times to review the plans, and to gather input and feedback. This input has largely been incorporated (within reason) into the revisions, while also striving to satisfy their client's requirements as well as all building and zoning codes. I would like to go on record to say that in my view, John Lum and his firm have been very responsive to neighbors' concerns and requests regarding their properties. In our case, we appreciate that the property line windows in the rear will not be blocked and that the developers have committed to assisting with the adjacent landscaping in the front (tree and shrub removal from our property line planters) and in the rear (removal of the property line fence and installation of a more visually appealing fence on the 53 States side of the property line). The developer also responded to our issues with the unmanaged foliage in the rear that had spilled over onto our property (pruning and removal) and the homeless problem that had developed in front of 53 States installation of (timed and motion sensor activated lighting). We assume that during construction, appropriate care will be taken to minimize noise and disruption to the greatest extent possible.

We understand that the construction of a multistory building in the 53 States space will largely close our now open light-well to the east. However, we understood that as a risk when we purchased our property (*caveat emptor*). Fortunately, we believe that the development of the 53 States Street space will have an overall positive effect on property values in the neighborhood. Given this, we look forward to the completion of this project without further delay.

If you have any questions or require additional information or clarification, please do not hesitate to contact me.

Best regards,

Christopher J. Struck  
57 States Street  
San Francisco, CA 94114-1401  
Tel: +1 415 923-9535

**From:** Stuart Hills [stuarthills@me.com](mailto:stuarthills@me.com)  
**Subject:** 53 States Street  
**Date:** January 7, 2015 at 8:29 AM  
**To:** [tina.chang@sfgov.org](mailto:tina.chang@sfgov.org)  
**Cc:** John Lum [john@johnlumarchitecture.com](mailto:john@johnlumarchitecture.com)

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Dear Ms. Chang,

I live at 173 States Street and have reviewed the plans for the proposed structure at 53 States Street. Although I am out of the notification area I am familiar with the subject property. I support the project as shown in the plans dated 12.24.14

Sincerely,  
Stuart Hills

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Orig: Leg Clerk, c: BOS -11, COB,  
Leg Dep, Dep City Atty, C-Page

BRETT GLADSTONE  
PARTNER  
DIRECT DIAL (415) 995-5065  
DIRECT FAX (415) 995-3517  
E-MAIL BGLadstone@hansonbridgett.com



2015 APR -3 AM 10:51

*BJ*

*File 150167*

April 3, 2015

BY HAND DELIVERY.

Ms. Angela Calvillo, Clerk of the Board  
Board of Supervisors of the City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102-4689

Re: Appeal of Approval and Categorical Exemption Determination of 53 States Street  
Demolition and Construction Project, San Francisco, CEQA Categorical  
Exemption Case No. 2014.0177E  
Planning Discretionary Review Case No. 2014.0177D/2014.0178D  
Building Permit Applications 2014.0130.7476 and 2014.0130.7472 as modified.

Dear Ms. Calvillo:

The original is for your file.

Please distribute today the 18 copies to members of the Board of Supervisors. We will email you the electronic copy later today.

Thank you.

Very truly yours,

*Brett Gladstone*  
M. Brett Gladstone

Enclosures



BRETT GLADSTONE  
PARTNER  
DIRECT DIAL (415) 995-5065  
DIRECT FAX (415) 995-3517  
E-MAIL B.Gladstone@hansonbridgett.com



April 2, 2015

BY EMAIL AND HAND DELIVERY

President London Breed and Honorable Members of the Board of Supervisors  
c/o Ms. Angela Calvillo, Clerk of the Board  
Board of Supervisors of the City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102-4689

Re: **Appeal of Approval and Categorical Exemption Determination of 53 States Street Demolition and Construction Project, San Francisco, CEQA Categorical Exemption Case No. 2014.0177E**

Dear President Breed and Honorable Members of the Board of Supervisors:

We represent 53 States Street, LLC (the "Project Sponsor"), the owner of 53 States Street. The Project Sponsor has planned a project that would create two, three-bedroom dwelling units on the property. The Appellant (attorney Hector Martinez, Esq.) resides next to the property and has expressed concerns that the size of the units and the building are too large, and that the current small home should be renovated or the new building should be a great amount smaller. Although our client has reduced the overall size of the two unit building by over a 1600 gross square feet and one floor has been removed, and even though there was a unanimous Planning Commission approval of a much smaller project the Appellant is unsatisfied and is now challenging the project's environmental review.

The Appellant has asked the City to do an EIR because the project lies near an area that has temporary zoning controls your Board created recently at the request of Supervisor Wiener. However, the proposed building is about 400 feet away from being in that area. Even if it was within its boundaries, the interim controls do not prohibit this building but merely give the building a longer approval process. Even if it were in that area, the level of environmental review does not change since the environmental effect on the building does not change if a longer approval process exists.

The new occupants of the two units will most likely resemble Appellant and his wife in that they will be hard working professionals, perhaps with advanced degrees. For example, Appellant and his wife are both attorneys and they both live in a condominium, and Appellant and the occupants of the new building will live in places

that are not affordable by the City's standards of affordability. Appellant's condominium next door is reported by the well known Zillow service as presently being worth \$1,347,925. Appellant's condominium building (it has two condo units) could never be built today, as it covers the great majority of its lot, leaving very limited yard, and on one side of the lot only (with the side facing the subject property extending the full length of the lot).

### **Description of the Parties Who Are Developing This Lot.**

Marvin and his business partner Vicky, who is the other member of the LLC that holds title, both live in the area. Marvin rents a unit in an apartment building with his wife Elizabeth and their two children on 16<sup>th</sup> Street, perhaps within a hundred yards of this site. They like the neighborhood and planned to live in one of these proposed new units at 53 States street as it is within the neighborhood they like. Vicky is about to, or has just moved into, a home on 19<sup>th</sup> Street. They are not out of town developers. Marvin and his family were proposing a building with a unit large enough for them and visiting relatives. The second unit was intended to be rented out for rental income, or to be used for visiting relatives, or to be sold to a family member.

However, two things occurred that caused them to want to stay in their rental units and look for a place to occupy elsewhere from this lot. At the very contentious hearing at the Planning Commission, certain neighbors made extremely personal remarks.<sup>1</sup> Marvin and wife Elizabeth realized that upon moving in they would feel uncomfortable with the neighbors given all the strong feelings; moreover, the Planning Commission cut out the fourth bedroom that would be used for both sets of visiting grandparents.

The Tiens wanted a second and third bedroom as the planned rooms were small and so they wanted each of their kids to have their own. The approved three bedroom units could not accommodate a bedroom for visiting relatives, a bedroom for each child, and enough storage space for an extended family.

Because the two new units do not offer more than a couple hundred square feet more space than their current rental unit and because a move takes a good deal of time

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<sup>1</sup> This re-occurred at the recent mediation at Community Boards which the new project team attended on behalf of Marvin Tien, who had to go to Taiwan for the heart surgery of his father. When we arrived, we told Appellant why our client could not be there. In front of the mediation staff there, Appellant accused our team of lying to him about that, and walked out of the mediation. The mediation staff urged him to stay and discuss the matter, as we had authority from the client to make project changes. However, Appellant, his wife and the other condominium owner in the building refused to stay. We made ourselves available on five other days but Appellant could not arrange those, because he maintained that although he was the sole Appellant, many neighbors had to attend.

and is very disruptive, Mr. & Mrs. Tien are looking to move into a home elsewhere in the City.

### **I. Project Description.**

The property contains a dilapidated two-story single family home even though the zoning is for two dwellings. It is a substantial underutilization of its lot at a time where there is a housing crisis; the house is approximately 1,554 square feet and is in very substandard condition. Even so, current prices for Appellant's condominium (estimated at \$1,347,925) and the existing building on our client's lot (appraised at \$1,550,000) are expensive. See Exhibit 1. In fact, the Planning Commission accepted this \$1,550,000 value determination and found that the existing house is not affordable and that keeping it would not preserve affordable housing resources. Any renovation (an expensive one would be needed to make this habitable) would make it even less affordable.

The property does not contain rental housing. The house was last occupied by the former owner who sold it to the Project Sponsor in 2013 as it was extremely dilapidated. It has remained vacant since then. The City has determined that this is not a historic resource. (See historical report at Exhibit 2.)

The new 2-unit building would have four stories, with only three viewable from the street as one will be at the rear which is lower than the street frontage. One floor was removed by the Project Sponsor in response to the Planning Commission's comments. The new units will be only 2,220 square feet and 2,125 square feet and each will have three bedrooms. (See drawing of proposed street view approved by the Planning Commission at Exhibit 3 and relevant pages of approved plans at Exhibit 4.)

### **II. Supervisor Wiener's Resolution Imposing Temporary Zoning Controls for Certain Building Sites Does Not Apply to this Project.**

The Board of Supervisors passed Resolution No. 76-15 which imposes a longer approval process (a conditional use permit) on very large residential development in the area. The subject property is not within the boundaries of that area.

### **III. History of this Project.**

No variances are needed and the project fully complies with the Planning Code. The Appellant did not request Discretionary Review, but now has second thoughts about the project. This is a little hard to understand as the Project Sponsor and Planning Commission removed a full floor and a very large corner of the building where the building would most affect Appellant. The only reason there was a Discretionary Review hearing was because it is required by the Planning Department whenever there is a demolition of a residential unit in a RH-2 District, even if the unit is considered non-historic or unaffordable. Attached at Exhibit 5 is a copy of the Planning Commission's

decision known as the Discretionary Review Action, which approved the project by a unanimous vote.

The adjacent neighbor on the opposite side of the new project supports the project. Attached at Exhibit 6 is a list of those who appeared at a hearing, or sent emails or letters, in support of the project.

The fact that Appellant's appeal is not just about the creation of a significant negative environmental effect can be seen by looking at his letter to our client dated August 6, 2014. In that, he mentions among other things that he would have a loss of view out of his side window (although City law does not protect view); and he worries that the value of his property would go down (although City law does not protect property values). Most important, neither views nor property values have any significant negative effect on the environment. The Commission considered environmental issues, but also made its decision (in a unanimous vote) on issues that are not environmental issues (neighborhood character, potential removal of light to interior rooms, etc.) and found no negative effects on neighborhood character and light. If Appellant is still unhappy with his view or that his property value will decrease he may appeal to the Board of Appeals when the demolition permit or new building permit issues.

#### **IV. Modifications to Project Have Already Addressed Appellant's Concerns.**

The Project Sponsor made well over a dozen modifications *in three phases* to address the Planning Department and neighbors' concerns. First, before the Project Sponsor submitted final plans to the Planning Department, the Project Sponsor made neighbor oriented changes requested at meetings with the neighbors. That was after taking into account what the architect John Lum heard during four meetings with the neighbors before filing an application with the City. Second, after submitting plans to the Planning Department, the Project Sponsor made neighbor requested changes in response to Planning staff comments. Third, at the Planning Commission hearing, the Planning Commission requested a number of neighbor requested changes reducing the size, which the Project Sponsor made. Appellant is being misleading when he quotes the negative Planning Commissioners' comments --- those negative comments disappeared once the Project Sponsor reduced the overall massing, and the gross square footage of the building by 1,245 sq. ft. and required new setbacks facing Appellant. Exhibit 7 is a summary of the many changes made to reduce the size of the project (mostly for Appellants benefit), including the removal of an entire floor.

#### **V. The Categorical Exemption is Appropriate for the Project.**

The Planning Department gave the project a Class 1(d) and Class 3(b) exemption from further environmental review. This exemption was made part of the law to cover demolition of a non historic building such as this one, and to cover the new

construction of up to three new single-family residences or six dwelling units in one building. (See Exhibit 8.)

The Planning Department's historic preservation team agreed with the conclusions of a historic report prepared by Tim Kelley (a highly respected historic consultant and former Landmark Board Member). His report says the building does not have historic integrity because the building facade and inside has been significantly altered by both permitted and unpermitted work. It also is not associated with any historic events or important persons. (See portions of the historic report at Exhibit 2.)

1. **Appellant contends that the existence of the interim controls initiated by Supervisor Weiner (and which cover an area more than 400 feet away from this site) is proof that this new building will create a cumulative impact that is a significant negative effect on the environment.** However, State law says that a Categorical Exemption is only inappropriate if it can be demonstrated that the cumulative impact of successive projects of the "same type" in the "same place" over time would have a significant impact. (CEQA Guidelines Section 15300.2(b)). For that argument to prevail, the Appellant must not only identify projects *already proposed* in the area but also Appellant has to demonstrate those projects cumulatively may have a significant impact on the environment. (*Hines v California Coastal Commission (2010) 186 CA 4th 830, 857*). He provides no facts as to what the significant effects are.

Further, the City already contemplated a development of two units when it crafted two unit zoning for this lot. The law states that if a project is permitted under the zoning and consistent with the General Plan then it already has been determined through previous City environmental review (during passage of the General Plan) that the development would not have a significant effect on the environment. Furthermore, Appellant is wrong in stating that the project does not comply with the General Plan. The General Plan encourages the construction of two units where permitted by the zoning. (See Exhibit 9.) And the fact that the project did not trigger any variances and was Code complying (even in its originally larger form) is evidence that the project is in compliance with the General Plan.

2. **The new requirements of Supervisor Wiener's Resolution No. 76-15 do not address environmental issues, but rather neighborhood character issues.** Moreover, the project is outside the boundaries of this Resolution's district and therefore is not considered a property that contributes to the problem being addressed in the Resolution.

3. **Appellant states that the project will change the socioeconomic character of the neighborhood because the new units will attract only the most wealthy.** However, CEQA considers the *physical effect on the environment* and does not consider impacts to an individual. A court in the well known decision Topanga Beach Renters Assn. v. Department of General Services (1976) 58 Cal.App.3d 188 at 195 states "All government activity has some direct or indirect adverse effect on some

persons. The issue is not whether (the project) will adversely affect particular persons but whether [the project] will adversely affect the environment (Wolford v. Thomas (1987) 190 Cal.App.3d 347 at 358)." Appellant does not mention that the Planning Commission already considered the design impacts to the adjoining properties during its hearing and greatly reduced the project size (including removing the top floor), and thus made the project compatible with the neighborhood. And as mentioned above, it would not be surprising if the new residents of the building were similar to Appellant.

4. **The Appellant contends the demolition of the existing single family home will remove affordable housing that drives the value of properties and this is inconsistent with the City's policies.** First, no eviction occurred. The prior owner occupied the home and sold it partly because it is in such poor condition and too expensive to just renovate. Second, the Planning Commission accepted evidence that the existing home of 1554 square feet exceeds a value of \$1.5 million, which is considered unaffordable. Third, Appellant's argument is that new supply of market rate housing increases the price of rental units nearby and of homes to purchase in the area and in the City. However, all recent research has proven that the construction of new housing does not increase the cost to rent or own elsewhere. The more the supply, the more prices go down.

Finally, the Appellant fails to consider the value the home would have if it is brought up to Code and today's living standards. In order to do so, a person would have to invest approximately \$650,000 (\$400/sq. ft.) in the property and would turn around and sell the property for over \$1.75 million.

5. **Appellant states that there are significant environmental effects that consist of the loss of open space, trees and wildlife.** The Appellant does not specify the wildlife that will be displaced or whether it includes an endangered species. The Appellant lives in a two-unit building that occupies all of the lot and provides no space for trees or wildlife. The proposed project leaves a large open rear yard, and a front yard, so that wildlife will return. (See Site Plan attached as Exhibit 4.) The Planning Code allows the proposed project to occupy a larger portion of the front yard than proposed, but it has been set back to allow the open space that exists to be preserved (although with less of it). The rear yard will be re-landscaped or the existing tree there will remain depending on the neighbors' wishes. The street tree must be removed because it is dead. The Project Sponsor is required to plant a new street tree of 15 gallons, but the Project Sponsor intends to plant a tree that is at least 24 gallons. Appellant claims that the fact that each unit will have one parking space is a significant negative environmental effect; however City rules do not recognize parking conditions as having any environmental effect. Traffic circulation and delays are recognized by the law, but not alleged here.

6. **Appellant states unusual circumstances are present here that would make the Categorical Exemption inappropriate under CEQA.** No unusual circumstances exist here. The project fits into the scale and density of the

neighborhood. Appellants own adjacent property to the east (51 States Street) also contains a two-unit building. It occupies all of the length of its lot with a small width reduction at rear. The buildings located across the street contain two to four units and have facades ranging from three to six stories. Thus, the project is typical of the neighborhood. Further, the fact that there are several other nearby developments that are proposed is not an unusual circumstance.

What is most important is that your Board did not consider this lot to be one that needed the protection of your new interim legislation making project approvals within the zone boundaries more challenging. Also, the law of California recently changed when last month, our State Supreme Court (see Exhibit 10) ruled that parties such as Appellant (1) have the burden of proof to show that a Categorical Exemption should not be given; and (2) should not be able to challenge a Categorical Exemption without "substantial" evidence. No such substantial evidence was presented here by Appellant.

Based on the foregoing, we respectfully request that you uphold the Categorical Exemption and deny the Appeal.

Very truly yours,



M. Brett Gladstone

Enclosures

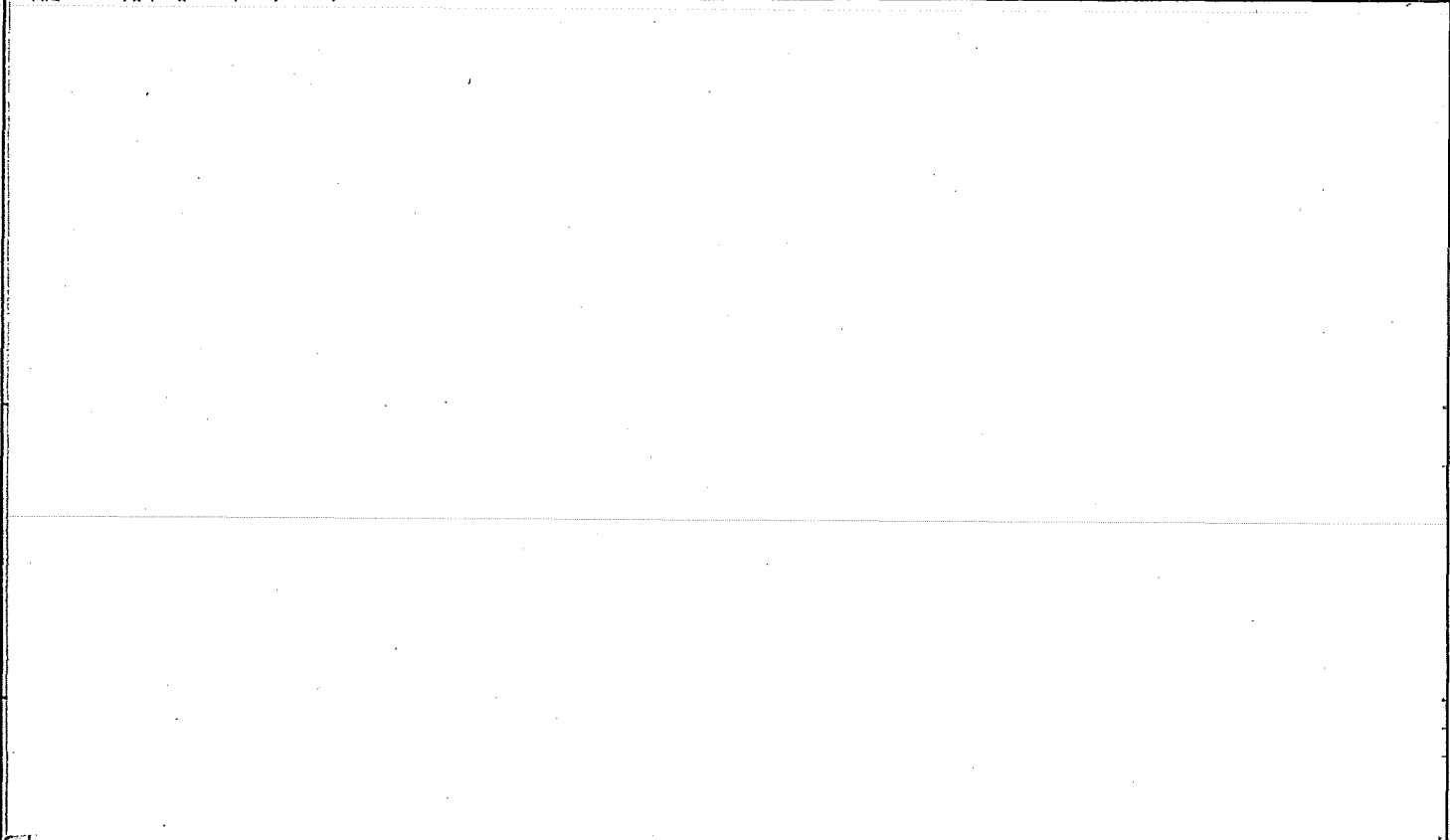
- cc: 53 States Street, LLC (Mr. Tien)  
John Lum, Architect (By Hand Delivery)  
Tim Kelley, Historic Consultant (By Hand Delivery)  
Scott Sanchez, Planning Department (By Hand Delivery)  
Sarah B. Jones, Planning Department (By Hand Delivery)  
Jeanie Poling, Planning Department (By Hand Delivery)  
Joy Navarette, Planning Department (By Hand Delivery)  
Hector Martinez, Appellant (By Hand Delivery to Residence)





# LAND APPRAISAL REPORT

File No.: 53States09-2014



Indicated Value by: Sales Comparison Approach \$ 1,550,000

Final Reconciliation In developing this appraisal, the appraiser has incorporated only the Sales Comparison approach. The appraiser has excluded the Cost and Income approaches. The appraiser has determined that this appraisal process is not so limited that the results of the assignment are no longer credible. Emphasis is on #1 which is a recent sale of a similar type property. The listings provide support.

This appraisal is made  "as is", or  subject to the following conditions:


This report is also subject to other Hypothetical Conditions and/or Extraordinary Assumptions as specified in the attached addenda.

Based upon an inspection of the subject property, defined Scope of Work, Statement of Assumptions and Limiting Conditions, and Appraiser's Certifications, my (our) Opinion of the Market Value (or other specified value type), as defined herein, of the real property that is the subject of this report is: \$ 1,550,000, as of: 01/06/2015, which is the effective date of this appraisal. If indicated above, this Opinion of Value is subject to Hypothetical Conditions and/or Extraordinary Assumptions included in this report. See attached addenda.

A true and complete copy of this report contains 12 pages, including exhibits which are considered an integral part of the report. This appraisal report may not be properly understood without reference to the information contained in the complete report, which contains the following attached exhibits:

- Limiting cond./Certifications
- Narrative Addendum
- Location Map(s)
- Flood Addendum
- Additional Sales
- Photo Addenda
- Parcel Map
- Hypothetical Conditions
- Extraordinary Assumptions
- Income/Expense Analysis

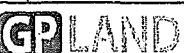
Client Contact: \_\_\_\_\_ Client Name: Marvin Tien  
 E-Mail: marvin.tien@nantaicapital.com Address: 3796 16th St, Suite 3585, San Francisco, CA 94114

<p>APPRaiser</p> <p style="text-align: center;"></p> <p>Appraiser Name: <u>Paula L Saling</u>                  Company: <u>Bridge to Bridge Appraisers</u>                  Phone: <u>(415) 640-0916</u> Fax: <u>(800) 499-1489</u>                  E-Mail: <u>info@arc4value.com</u>                  Date of Report (Signature): <u>01/09/2015</u>                  License or Certification #: <u>AG016454</u> State: <u>CA</u>                  Designation: <u>Certified General Appraiser</u>                  Expiration Date of License or Certification: <u>02/23/2016</u>                  Inspection of Subject: <input checked="" type="checkbox"/> Did Inspect <input type="checkbox"/> Did Not Inspect (Desktop)                  Date of Inspection: <u>01/06/2015</u></p>	<p>SUPERVISORY APPRAISER (if required)                  or CO-APPRAISER (if applicable)</p> <p>Supervisory or                  Co-Appraiser Name: _____                  Company: _____                  Phone: _____ Fax: _____                  E-Mail: _____                  Date of Report (Signature): _____                  License or Certification #: _____ State: _____                  Designation: _____                  Expiration Date of License or Certification: _____                  Inspection of Subject: <input type="checkbox"/> Did Inspect <input type="checkbox"/> Did Not Inspect                  Date of Inspection: _____</p>
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RECONCILIATION

ATTACH.

SIGNATURES





## PART I HISTORICAL RESOURCE

53 STATES STREET

SAN FRANCISCO, CALIFORNIA



TIM KELLEY CONSULTING, LLC

HISTORICAL RESOURCES

2912 DIAMOND STREET #330

SAN FRANCISCO, CA 94131

415.337-5824

TIM@TIMKELLEYCONSULTING.COM

## VI. EVALUATION OF HISTORIC STATUS

The subject property was evaluated to determine if it was eligible for listing in the California Register of Historical Resources, either individually or as a contributor to an historic district. The California Register is an authoritative guide to significant architectural, archaeological and historical resources in the State of California. Resources can be listed in the California Register through a number of methods. State Historical Landmarks and National Register-eligible properties (both listed and formal determinations of eligibility) are automatically listed. Properties can also be nominated to the California Register by local governments, private organizations or citizens. This includes properties identified in historical resource surveys with Status Codes of 1 to 5 and resources designated as local landmarks or listed by city or county ordinance. The evaluative criteria used by the California Register for determining eligibility are closely based on those developed for use by the National Park Service for the National Register. In order to be eligible for listing in the California Register a property must be demonstrated to be significant under one or more of the following criteria:

*Criterion 1 (Event):* Resources that are associated with events that have made a significant contribution to the broad patterns of local or regional history, or the cultural heritage of California or the United States.

*Criterion 2 (Person):* Resources that are associated with the lives of persons important to local, California, or national history.

*Criterion 3 (Architecture):* Resources that embody the distinctive characteristics of a type, period, region, or method of construction, or represent the work of a master, or possess high artistic values.

*Criterion 4 (Information Potential):* Resources or sites that have yielded or have the potential to yield information important to the prehistory or history of the local area, California or the nation.

The following section examines the eligibility of the subject property for listing in the California Register under those criteria.

#### *A. Individual Eligibility*

- Criterion 1 (Events)

The property is not eligible for listing in the California Register under Criterion 1. This building was constructed circa 1910, however, that date is an estimate. The building is not associated with any significant development pattern in the neighborhood. There is no evidence that the building was in any way associated with the quarry that created the streets surrounding it. The building did not make a significant contribution to the broad patterns of local or regional history, or the cultural heritage of California or the United States. Thus, it is not eligible for listing in the California Register under Criterion 1.

- Criterion 2 (Persons)

This building does not appear to be eligible for listing in the California Register under Criterion 2. The building is associated with artist Henry Dietrich. Henry Dietrich was primarily an illustrator for the San Francisco Chronicle for 30 years. Additionally, he sold and exhibited original artwork from the 1950s through the 1970s.<sup>1</sup> He did not continue selling or showing his work after that, but maintained a private collection of approximately 400 pieces. After his death

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<sup>1</sup> Thomas J. Lonner, "The World of Henry J. Dietrich 1918-2000," Unpublished, Internet: <http://henrydietrichcollection.com>.

in 2000, his collection was donated and has recently been exhibited in a small gallery in Washington State.

While well known due to his many years work at the San Francisco Chronicle, Dietrich does not appear to be important to local, California, or national history. He exhibited paintings at various galleries over the years, but "his original artwork did not provide him with reliable income".<sup>2</sup> His finest work was produced from 1973 to his death in 2000<sup>3</sup> after his 1953 to 1969 residence in the subject building.

None of the other owners or occupants of the property were listed in the San Francisco Biography Collection, San Francisco Public Library or otherwise indicated to be important to the history of San Francisco or the State of California. Thus the property is not eligible for listing in the California Register under Criterion 2.

- Criterion 3 (Architecture)

This property is not eligible for listing in the California Register under Criterion 3. The building's construction date could not be determined and a builder or architect could not be identified. This building does not embody distinctive characteristics of a type, period, region, or method of construction, or represent the work of a master, or possess high artistic value. Thus the property is not eligible for listing in the California Register under Criterion 3.

- Criterion 4 (Information Potential)

This criterion ordinarily refers to potential archeological value. A full analysis of archeological value is beyond the scope of this report. The property does not appear eligible for listing on the California Register under Criterion 4.

### *B. District*

A property may also become eligible for listing on the California Register as a contributor to an historic district. Guidelines define a district as an area that "possesses a significant

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<sup>2</sup> ibid

<sup>3</sup> ibid

concentration, linkage, or continuity of sites, buildings, structures, or objects united historically or aesthetically by plan or physical development."<sup>4</sup> To be listed on the California Register, the district itself must be eligible under the criteria already discussed. The documentation of the district must enumerate all properties within it, identifying each as a contributor or non-contributor. The district itself, as well as each of its contributors, then become historical resources.

The area in which the subject property is located is not currently formally identified as an historic district. The potential for a district existing that has not yet been identified was investigated by a visual examination of the area defined in the scoping discussion of October 30, 2013. The area examined was States Street west of Castro Street to the edge of the playground.

The area examined contains 28 residential buildings constructed between 1900 and 2002 and ranging in height from one to six stories (Appendix). The architectural styles range from Victorian Era to Modern Era with no consistent or dominating style. The following table lists the property address/parcel number, age (directional order east to west), and use of the buildings examined:

340-344 Castro Street	2623/001	1908	Multiple-family
15-17 States Street	2623/082	1957	Multiple-family
25 States Street	2623/081	1929	Multiple-family
27 States Street	2623/080	1910	Single-family
37 States Street	2623/086	1961	Multiple-family
41 States Street	2623/077	1906	Single-family
45 States Street	2623/076	1904	Single-family
51A States Street	2623/215	1928	Multiple-family
53 States Street	2623/074	1900	Single-family
57-59 States Street	2623/205	1966	Multiple-family
61 States Street	2623/072	1910	Single-family

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<sup>4</sup> Office of Historic Preservation. "Instructions for Recording Historical Resources," Sacramento. 1995

65 States Street	2623/071	1909	Single-family
69-71 States Street	2623/070	1923	Multiple-family
83 States Street	2623/069	1925	Multiple-family
85-87 States Street	2623/107	1986	Multiple-family
336 Castro Street	2622/107	1916	Multiple-family
	2622/083		Vacant
32 States Street	2622/084	1990	Multiple-family
36-38 States Street	2622/068	2001	Multiple-family
40-42 States Street	2622/070	2001	Multiple-family
44-46 States Street	2622/072	2002	Multiple-family
3759 16 <sup>th</sup> Street	2622/015	1963	Multiple-family
54 States Street	2622/016	1963	Multiple-family
60-62 States Street	2622/046	1965	Multiple-family
66-68 States Street	2622/057	1976	Multiple-family
74-76 States Street	2622/058	1976	Multiple-family
3785-87 16 <sup>th</sup> Street	2622/085	1966	Multiple-family
3809 16 <sup>th</sup> Street	2622/022	1922	Single-family

In summary, this area has no overall consistent pattern of style, type or period of construction. There does not appear to be a district of architecturally or historically cohesive buildings.

## VII. INTEGRITY

In addition to being determined eligible under at least one of the four California Register criteria, a property deemed to be significant must also retain sufficient historical integrity. The concept of integrity is essential to identifying the important physical characteristics of historical resources and hence, evaluating adverse change. For the purposes of the California Register, integrity is defined as "the authenticity of an historical resource's physical identity evidenced by the survival of characteristics that existed during the resource's period of significance" (California Code of Regulations Title 14, Chapter 11.5). A property is examined for seven variables or aspects that together comprise integrity. These aspects, which are based closely on the National Register, are location, design, setting, materials, workmanship, feeling and



association. *National Register Bulletin 15, How to Apply the National Register Criteria for Evaluation* defines these seven characteristics:

- *Location* is the place where the historic property was constructed.
- *Design* is the combination of elements that create the form, plans, space, structure and style of the property.
- *Setting* addresses the physical environment of the historic property inclusive of the landscape and spatial relationships of the building/s.
- *Materials* refer to the physical elements that were combined or deposited during a particular period of time and in a particular pattern of configuration to form the historic property.
- *Workmanship* is the physical evidence of the crafts of a particular culture or people during any given period in history.
- *Feeling* is the property's expression of the aesthetic or historic sense of a particular period of time.
- *Association* is the direct link between an important historic event or person and a historic property.

Since this building is not eligible for listing in the California Register, no period of significance is established and integrity cannot be determined.

## VIII. CONCLUSION

53 States Street is not individually eligible for listing in the California Register. The building is not located in a potential historic district.





TEN-STATES RESIDENCE  
 53 STATES ST  
 SAN FRANCISCO, CA 94114  
 53 States St  
 San Francisco, CA 94114

REDUCED SET SCALE = 50%

DATE	ISSUE / REVISION	BY
12.12.13	Project Review	AL
12.12.13	Pre-Set Meeting	AL
12.12.13	Pre-Set Meeting	AL
01.05.14	Pre-Set Meeting	AL
01.05.14	Pre-Set Meeting	AL
02.05.14	Permit 1	AL
02.05.14	Permit 2	AL
02.05.14	Permit 3	AL
02.05.14	Permit 4	AL
02.05.14	Permit 5	AL
02.05.14	Permit 6	AL
02.05.14	Permit 7	AL
02.05.14	Permit 8	AL
02.05.14	Permit 9	AL
02.05.14	Permit 10	AL
02.05.14	Permit 11	AL
02.05.14	Permit 12	AL
02.05.14	Permit 13	AL
02.05.14	Permit 14	AL
02.05.14	Permit 15	AL
02.05.14	Permit 16	AL
02.05.14	Permit 17	AL
02.05.14	Permit 18	AL
02.05.14	Permit 19	AL
02.05.14	Permit 20	AL

Project Name: TEN-STATES  
 Project Number: 0000  
 Scale: AS NOTED



3 PROPOSED REDESIGN - STREET PERSPECTIVE  
 Scale: 1/8" = 1'-0"

2 COMPARATIVE STUDY  
 Scale: 1" = 30'-0"

5 PROPOSED REDESIGN - BIRD'S EYE PERSPECTIVE  
 Scale: 1/4" = 1'-0"

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**EXHIBIT 4**

# TIEN RESIDENCE

53 STATES STREET  
SAN FRANCISCO, CA 94114



JOHN LUM ARCHITECTURE INC.  
3246 SEVENTEENTH STREET SAN FRANCISCO, CA 94110  
TEL. 415 558 9550 FAX 415 558 0554

## PROJECT DATA:

### CODES:

2013 CALIFORNIA BUILDING CODE  
2013 CALIFORNIA MECHANICAL CODE  
2013 CALIFORNIA ELECTRICAL CODE  
2013 CALIFORNIA PLUMBING CODE  
2013 CALIFORNIA FIRE CODE  
2013 CALIFORNIA RESIDENTIAL CODE  
2013 CALIFORNIA ENERGY CODE  
2013 CALIFORNIA GREEN BUILDING STANDARDS CODE  
APPLICABLE SAN FRANCISCO MUNICIPAL CODES

### PROJECT ADDRESS:

53 STATES STREET  
SAN FRANCISCO, CA 94114

### PROJECT DESCRIPTION:

- DEMOLITION OF A SINGLE-FAMILY RESIDENCE
- NEW CONSTRUCTION OF 3-STORY (PLUS GARAGE LEVEL) TWO-UNIT BUILDING; ONE UNIT TO HAVE 3 BEDROOMS & 3.5 BATHS; THE SECOND UNIT TO HAVE 3 BEDROOMS & 2.5 BATHS
- BOTTOM LEVEL IS GARAGE WITH TWO PARKING SPACES
- ENTIRE BUILDING TO BE SPRINKLERED PER CRC 15.06.040 SECTION R313.2

### PLANNING INFORMATION:

**BLOCK / LOT:** 2623/074  
**ZONING DISTRICT:** RH-2  
**LOT SIZE:** 2,642 SQ. FT.  
**BUILDING HEIGHT:** 40 FEET MAX. / 30 FEET AT FRONT OF PROPERTY

**SETBACKS:** FRONT: BASED ON AVERAGE OF ADJ. BUILDINGS; UP TO 15 FT OR 15% OF LOT DEPTH

SIDE: NONE

REAR: 45% OF LOT DEPTH OR AVERAGE OF ADJ. BLDGS. IF AVERAGED, LAST 10 FT IS LIMITED TO HEIGHT OF 30 FT AND MIN. OF 25% OF LOT DEPTH, BUT NO LESS THAN 15 FT

**GROSS FLOOR AREA:**

EXISTING:	
1ST FLOOR:	848 G.S.F.
2ND FLOOR:	568 G.S.F.
TOTAL:	1,554 G.S.F.
PROPOSED:	
GARAGE:	760 G.S.F.
LOWER UNIT:	2,125 G.S.F.
UPPER UNIT:	2,220 G.S.F.
CIRCULATION:	375 G.S.F.
TOTAL:	5,480 G.S.F.
NET CHANGE:	+ 3,926 G.S.F.
(PREVIOUS TOTAL:	6,725 G.S.F.)

### BUILDING INFORMATION:

**OCCUPANCY:** GROUP R, DIVISION 3  
**CONSTRUCTION TYPE:** TYPE 5B (PER C.B.C. TABLE 601)  
**MINIMUM ROOF CLASS:** CLASS B ROOF

## PROJECT PARTICIPANTS:

**OWNER:**  
MARVIN & ELISABETH TIEN  
3796 18TH ST.  
SAN FRANCISCO, CA 94114

T. 310 . 963 . 8087

**ARCHITECT:**  
JOHN LUM ARCHITECTURE  
3246 17TH STREET  
SAN FRANCISCO, CA 94110

T. 415 . 558 . 9550 x.21  
T. 415 . 558 . 0554

**GENERAL CONTRACTOR**  
T.B.D.

**STRUCTURAL ENGINEER:**  
T.B.D.

## DRAWING INDEX:

### ARCHITECTURAL

- A0.0 TITLE SHEET
- A0.2 PROPOSED SITE/ROOF PLAN
- A2.0 PROPOSED FIRST FLOOR/GARAGE PLAN
- A2.1 PROPOSED SECOND FLOOR PLAN
- A2.2 PROPOSED THIRD FLOOR PLAN
- A2.3 PROPOSED FOURTH FLOOR PLAN
- A3.1 PROPOSED NORTH ELEVATION
- A3.2 PROPOSED WEST ELEVATION
- A3.3 PROPOSED SOUTH ELEVATION
- A3.4 PROPOSED EAST ELEVATION
- A3.5 SECTION
- A3.9 PROPOSED BUILDING PERSPECTIVES - REDESIGN



TIEN-STATES RESIDENCE

53 STATES ST  
SAN FRANCISCO, CA 94114

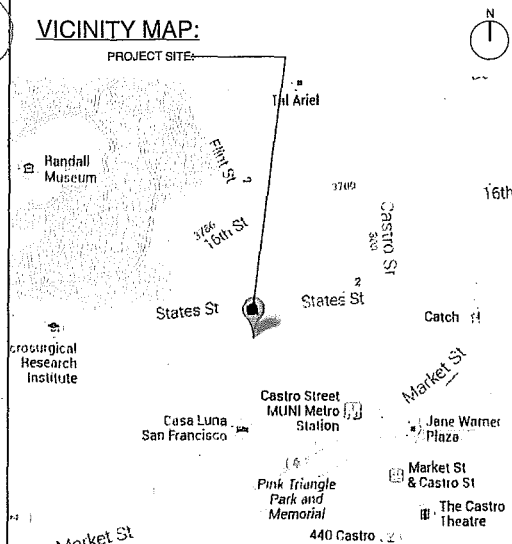
John Lum  
53 States St  
San Francisco, CA 94114

REDUCED SET SCALE = 50%

date:	Issues/ revisions:	by:
11.19.13	Project Review	ja
11.26.13	Pre-App Meeting	ja
12.11.13	Pre-App Meeting	ja
01.08.14	Pre-App Meeting	ja
01.31.14	Demo/311 Submittal	ja
08.24.14	Revision 1	ja
07.22.14	Revision 2	ja
11.10.14	Discretionary Review	hm
12.08.14	Discretionary Review	as
12.22.14	Redesign	aa
12.24.14	Redesign Revisions	aa

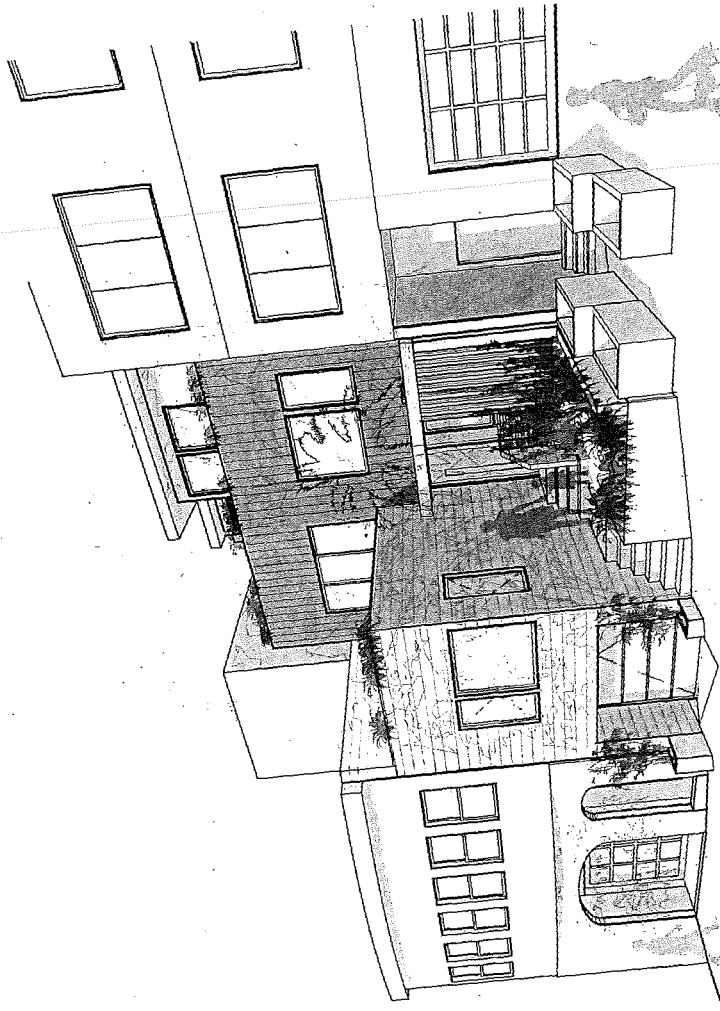
project name: TIEN-STATES  
project number: 00000  
scale: AS NOTED

## VICINITY MAP:



TITLE SHEET

A0.0



B - CURRENT SCHEME B.D.P. HEARING JANUARY 8, 2015



1 PROPOSED NORTH ELEVATION  
A3.1 1/8" = 1'-0"

**LUMI**

JOHN LUM ARCHITECTURE INC.  
100 CALIFORNIA STREET, SUITE 400  
SAN FRANCISCO, CA 94111  
TEL: 415.508.7000 FAX: 415.508.7004



TIEN-STATES RESIDENCE  
51 STATES ST  
SAN FRANCISCO, CA 94114

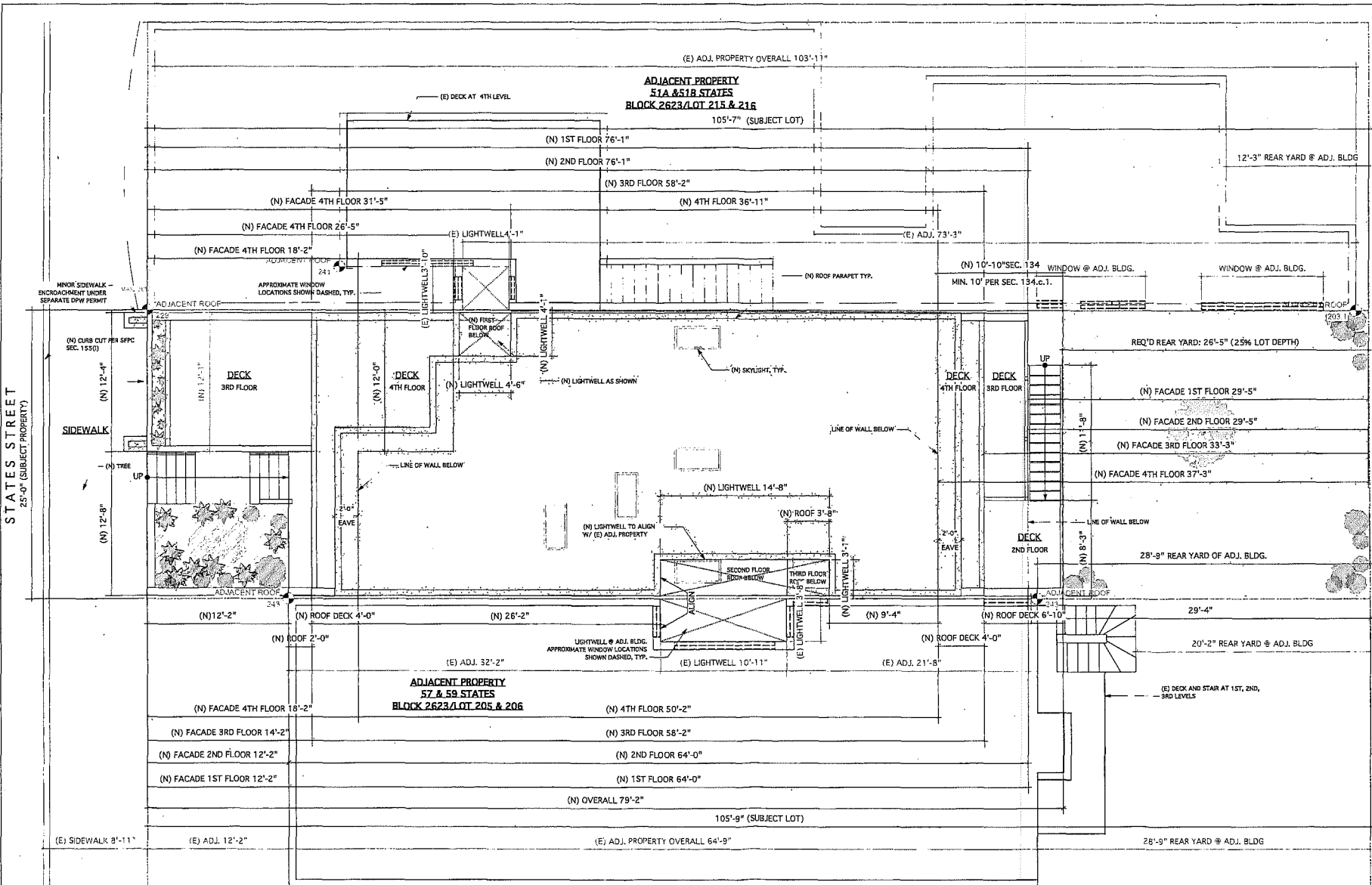
REDUCED SET SCALE = 50%

Date:	Issued/revision:	By:
11.19.13	Project Review	JK
11.26.13	Pre-App Meeting	JK
12.11.13	Pre-App Meeting	JK
01.08.14	Pre-App Meeting	JK
01.31.14	Demolition Submittal	JK
06.24.14	Revision 1	JK
07.22.14	Revision 2	JK
11.01.14	Disciplinary Review	JK
12.02.14	Disciplinary Review	AK
12.22.14	Redesign	AK
12.24.14	Redesign Revisions	AK

project name: TIEN-STATES  
project number: 00000  
scale: AS NOTED

PROPOSED ELEVATIONS

A3.1



**LUMI**

JOHN LUMI ARCHITECTURE INC.  
1000 MARKET STREET, SUITE 400  
SAN FRANCISCO, CA 94102  
TEL: 415.558.8500 FAX: 415.558.8504



**TIEN-STATES RESIDENCE**  
57 STATES ST  
SAN FRANCISCO, CA 94114

REDUCED SET SCALE = 50%

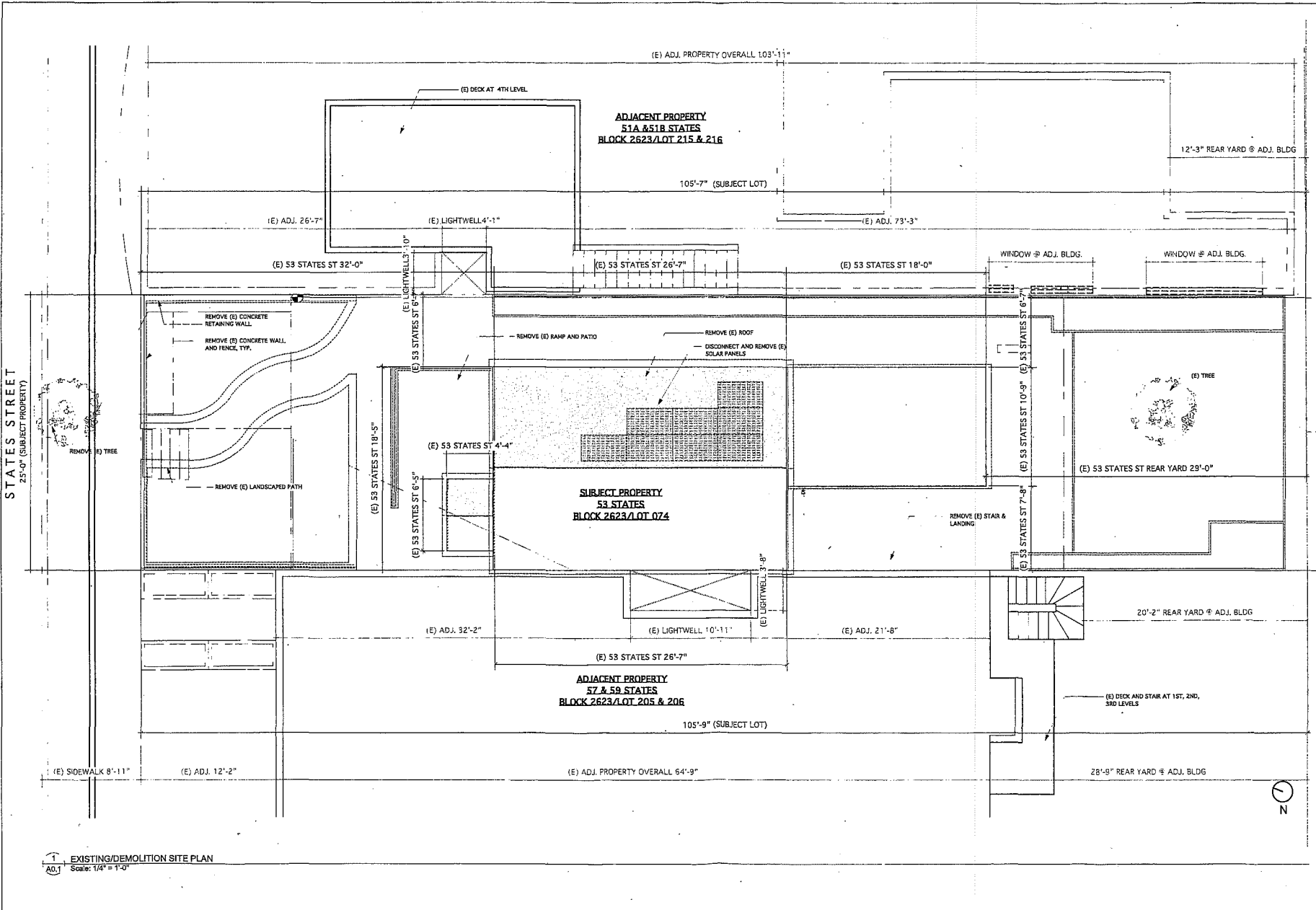
DATE	ISSUE/REVISIONS	BY
11.16.13	Project Review	JA
11.26.13	Pre-App Meeting	JA
12.11.13	Pre-App Meeting	JA
01.28.14	Pre-App Meeting	JA
01.31.14	Client Meeting	JA
02.24.14	Revision 1	JA
07.22.14	Revision 2	JA
11.10.14	Discretionary Review	JLN
12.09.14	Discretionary Review	AA
12.29.14	Redesign	AA
12.29.14	Redesign Revisions	AA

project name: TIEN-STATES  
project number: 0000  
scale: AS NOTED

PROPOSED  
SITE PLAN

A0.2





JOHN LUM ARCHITECTURE INC.  
 100 CALIFORNIA STREET, SUITE 300  
 SAN FRANCISCO, CA 94111  
 TEL: 415.558.1828 FAX: 415.558.1824



**TIEN-STATES RESIDENCE**  
 53 STATES ST  
 SAN FRANCISCO, CA 94111

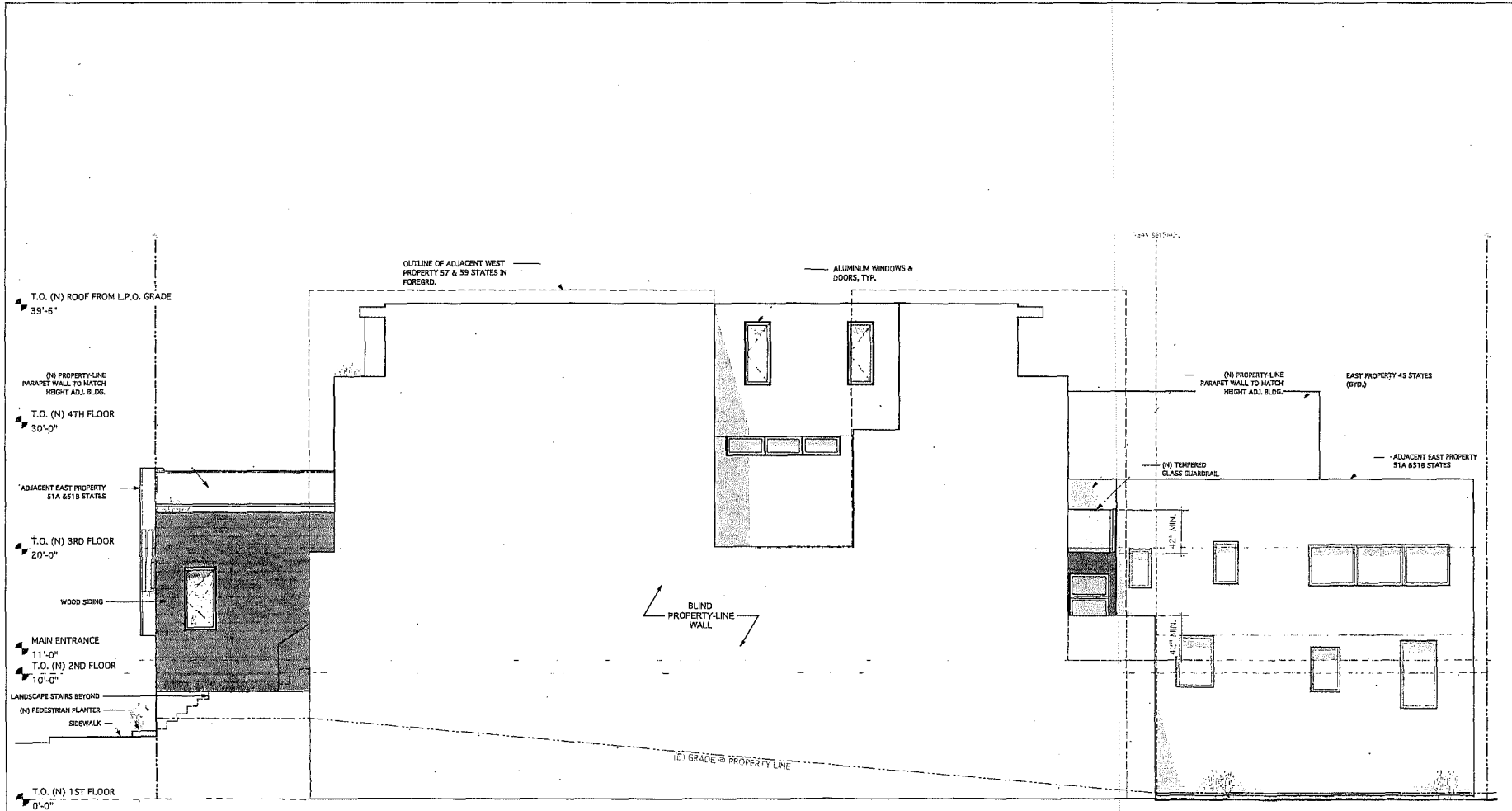
REDUCED SET SCALE = 50%

DATE	ISSUED / REVISIONS	BY
11.18.19	Project Review	JA
11.28.19	Pre-App Meeting	JA
12.11.19	Pre-App Meeting	JA
01.06.24	Pre-App Meeting	JA
01.31.24	Demolition Submittal	JA
08.24.24	Revision 1	JA
07.22.24	Revision 2	JA
11.16.24	Site/Historical Review	JP
12.02.24	Site/Historical Review	AA
12.22.24	Redesign	AA
12.24.24	Redesign Revisions	AA

project name: TIEN-STATES  
 project number: 08000  
 scale: AS NOTED

EXISTING / DEMO  
 SITE PLAN

A0.1



1 PROPOSED WEST ELEVATION  
A3.2 1/8" = 1'-0"

**LUMI**

JOHN LUM ARCHITECTURE, INC.  
224 SEVENTH STREET SAN FRANCISCO, CA 94103  
TEL: 415 398 6000 FAX: 415 398 0084



TIEN-STATES RESIDENCE

5127 STREET  
SAN FRANCISCO, CA 94115

JOHN LUM  
ARCHITECT

REDUCED SET SCALE = 50%

date:	revised revisions:	by:
11.18.13	Project Review	JA
11.28.13	Pre-App Meeting	JA
12.11.13	Pre-App Meeting	JA
01.06.14	Pre-App Meeting	JA
01.31.14	Client/CI Submittal	JA
06.24.14	Revision 1	JA
07.22.14	Revision 2	JA
11.10.14	Disciplinary Review	JA
12.08.14	Disciplinary Review	JA
12.22.14	Redesign	JA
12.24.14	Redesign Revisions	JA

project name : TIEN-STATES  
project number : 00000  
scale : AS NOTED

PROPOSED EXTERIOR ELEVATIONS

A3.2

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**EXHIBIT 5**



# SAN FRANCISCO PLANNING DEPARTMENT

## Discretionary Review Action DRA-0399

HEARING DATE: JANUARY 8, 2015

1650 Mission St.  
Suite 400  
San Francisco,  
CA 94103-2479

*Date:* January 12, 2015  
*Case No.:* 2014.0177D / 2014.0178D  
*Project Address:* 53 STATES STREET  
*Permit Application:* 2014.0130.7476  
2014.0130.7472  
*Zoning:* RH-2 (Residential House, Two-Family)  
40-X Height and Bulk District  
*Block/Lot:* 2623/074  
*Project Sponsor:* John Lum, John Lum Architecture  
3246 17<sup>th</sup> Street  
San Francisco, CA 94110  
*Staff Contact:* Tina Chang – (415) 575-9197  
[tina.chang@sfgov.org](mailto:tina.chang@sfgov.org)  
[tina.chang@sfgov.org](mailto:tina.chang@sfgov.org)

Reception:  
415.558.6378

Fax:  
415.558.6409

Planning  
Information:  
415.558.6377

**ADOPTING FINDINGS RELATED TO TAKING DISCRETIONARY REVIEW OF CASE NO. 2014.0177D / 2014.0178D, AND THE APPROVAL OF BUILDING PERMIT APPLICATIONS 2014.0130.7476 AND 2014.0130.7472 PROPOSING THE DEMOLITION OF AN EXISTING, VACANT, 1,554 SQUARE FOOT SINGLE-FAMILY DWELLING UNIT AND THE NEW CONSTRUCTION OF A THREE-STORY, TWO-UNIT STRUCTURE WITHIN AN RH-2 (RESIDENTIAL, HOUSE, TWO-FAMILY) AND 40-X HEIGHT AND BULK ZONING DISTRICT.**

### PREAMBLE

On January 30, 2014, James Barker on behalf of Marvin and Elizabeth Tien (hereinafter "project sponsor") filed Building Permit Application Numbers 2014.0130.7476 and 2014.0130.7472, and associated Mandatory Discretionary Review Cases 2014.0177D and 2014.0178D on January 31, 2014, proposing the demolition of an existing, single-family dwelling and the new construction of a three-story (four level), two-unit building.

The Project is exempt from the California Environmental Quality Act ("CEQA") as a Class 1 categorical exemption).

On November 20, 2014, the San Francisco Planning Commission (hereinafter "Commission") conducted a duly noticed public hearing at a regularly scheduled meeting on Mandatory Discretionary Review Applications 2014.0177D and 2014.0178D on Building Permit Applications 2014.0130.7476 and 2014.0130.7472. After public testimony opposing the project, the Commissioners voted to continue the item to January 8, 2015, allowing time to the Project Sponsor to make several changes to increase the

project's compatibility with the neighborhood, including the removal of the proposed stair penthouse and roof deck, and the reduction in scale and massing of the overall structure.

The following changes were made to the project:

- Removal of car lift for a subterranean garage reducing the gross square footage of the structure by approximately 1,000 square feet, the number of parking spaces from four to two, and the scale of the proposed building from five levels to four
- Removal of the proposed roof deck and stair penthouse
- Additional setback of the fourth level from 13'-9" to approximately 18'-2" from the front building wall on the west side of the building and 26'-11" on the east side of the building
- Reduction in size of the lower unit from 2,357 square feet to 2,125 square feet
- Reduction in size of the upper unit from 2,620 square feet to 2,220 square feet
- Reduction of building's gross square feet from approximately 7,103 to 5,480 square feet

The Commission has heard and considered the testimony presented to it at the public hearing and has further considered written materials and oral testimony presented on behalf of the applicant, Department Staff and other interested parties.

## **ACTION**

The Commission hereby took Discretionary Review requested in Application No. 2014.0177D/2014.0178D and approved Building Permit Applications 2014.0130.7476 and 2014.0130.7472 as modified.

## **BASIS FOR RECOMMENDATION:**

The reason(s) the Commission took the action described above include:

1. The Commission determined that the proposed units were consistent and compatible with the neighborhood character.
2. The demolition of the existing single family structure was not found to be affordable.

**APPEAL AND EFFECTIVE DATE OF ACTION:** Any aggrieved person may appeal the decision for this Building Permit Application to the Board of Appeals within fifteen (15) days after the date the permit is approved. For further information, please contact the Board of Appeals at (415) 575-6881, 1650 Mission Street #304, San Francisco, CA 94103-2481.

**Protest of Fee or Exaction:** You may protest any fee or exaction subject to Government Code Section 66000 that is imposed as a condition of approval by following the procedures set forth in Government Code Section 66020. The protest must satisfy the requirements of Government Code Section 66020(a) and must be filed within 90 days of the date of the first approval or conditional approval of the development referencing the challenged fee or exaction. For purposes of Government Code Section 66020, the date of imposition of the fee shall be the date of the earliest discretionary approval by the City of the subject development.

If the City has not previously given Notice of an earlier discretionary approval of the project, the Planning Commission's adoption of this Motion, Resolution, Discretionary Review Action or the Zoning Administrator's Variance Decision Letter constitutes the approval or conditional approval of the development and the City hereby gives NOTICE that the 90-day protest period under Government Code Section 66020 has begun. If the City has already given Notice that the 90-day approval period has begun for the subject development, then this document does not re-commence the 90-day approval period.

I hereby certify that the Planning Commission took Discretionary Review and approved the project as referenced in this action memo on January 8, 2015.

Jonas P. Ionin  
Commission Secretary

AYES: Commissioners Antonini, Fong, Hillis, Moore, and Richards

NAYS:

ABSENT: Commissioner Wu

ADOPTED: January 8, 2015.

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EXHIBIT 6

53 States Street

Neighbor Letters of Support

1. Christopher Struck – 57 States Street (adjacent property to the east)
  2. William Roberts – 2370 Market Street, #145 (previous resident at subject property)
  3. Christopher Keller – 101 States Street (neighbor)
  4. Stuart Hills – 173 States Street (neighbor)
-





### **List of Modifications to Project**

1. Removal of garage level resulting in a 4-story building; previous proposal was 5 stories. The full basement floor has been removed, resulting in a substantial reduction in excavation.
2. Removal of proposed roof deck and fifth-floor staircase penthouse.
3. Rear of building brought forward (lot coverage reduced) to prevent blocking windows on adjacent properties at 51 States Street (Appellant) and 57-59 States Street.
4. Building height reduced from 39'-6" to 33'-7" to match averaging line properties between 57-59 States Street and 51 States Street (Appellant).
5. Building Gross floor Area (GFA) reduced by 1,623 sq. ft.
6. 4<sup>th</sup> Floor plan altered to prevent blocking window at adjacent property 57-59 States Street.
7. Windows removed along east property-line, facing adjacent property 51 States Street (Appellant).
8. Light-well added to plan to match light-well at adjacent property 57-59 States Street.
9. Entryway modified to read as single entrance, rather than 2 separate entrances to apartments.
10. Setback from front property line next to Appellant's building is about 31'-5" (Original setback was only 14'-2"). 4th Floor stepped back further at front from main façade to be less visible from the street level.

EXHIBIT 8



**SAN FRANCISCO  
PLANNING DEPARTMENT**

**CEQA Categorical Exemption Determination**

PROPERTY INFORMATION/PROJECT DESCRIPTION

Project Address		Block/Lot(s)	
53 States St		2623/074	
Case No.	Permit No.	Plans Dated	
2014.0177E		1/31/14	
<input checked="" type="checkbox"/> Addition/ Alteration	<input checked="" type="checkbox"/> Demolition (requires HRER if over 45 years old)	<input type="checkbox"/> New Construction	<input type="checkbox"/> Project Modification (GO TO STEP 7)
Project description for Planning Department approval. Demolition of a single-family dwelling and new construction of a two-residential-unit building with parking.			

**STEP 1: EXEMPTION CLASS**

TO BE COMPLETED BY PROJECT PLANNER

<i>*Note: If neither class applies, an Environmental Evaluation Application is required.*</i>	
<input checked="" type="checkbox"/>	Class 1 – Existing Facilities. Interior and exterior alterations; additions under 10,000 sq. ft.
<input checked="" type="checkbox"/>	Class 3 – New Construction/ Conversion of Small Structures. Up to three (3) new single-family residences or six (6) dwelling units in one building; commercial/office structures; utility extensions; change of use under 10,000 sq. ft. if principally permitted or with a CU.
<input type="checkbox"/>	Class__

**STEP 2: CEQA IMPACTS**

TO BE COMPLETED BY PROJECT PLANNER

If any box is checked below, an <i>Environmental Evaluation Application</i> is required.	
<input type="checkbox"/>	<b>Transportation:</b> Does the project create six (6) or more net new parking spaces or residential units? Does the project have the potential to adversely affect transit, pedestrian and/or bicycle safety (hazards) or the adequacy of nearby transit, pedestrian and/or bicycle facilities?
<input type="checkbox"/>	<b>Air Quality:</b> Would the project add new sensitive receptors (specifically, schools, day care facilities, hospitals, residential dwellings, and senior-care facilities within an Air Pollution Exposure Zone? Does the project have the potential to emit substantial pollutant concentrations (e.g., backup diesel generators, heavy industry, diesel trucks, etc.)? (refer to EP_ArcMap > CEQA Catex Determination Layers > Air Pollution Exposure Zone)
<input type="checkbox"/>	<b>Hazardous Materials:</b> If the project site is located on the Maher map or is suspected of containing hazardous materials (based on a previous use such as gas station, auto repair, dry cleaners, or heavy manufacturing, or a site with underground storage tanks): Would the project involve 50 cubic yards or more of soil disturbance - or a change of use from industrial to residential? If yes, this box must be checked and the project applicant must submit an Environmental Application with a Phase I Environmental Site Assessment. <i>Exceptions: do not check box if the applicant presents documentation of enrollment in the San Francisco Department of Public Health (DPH) Maher program, a DPH waiver from the</i>

	<i> Maher program, or other documentation from Environmental Planning staff that hazardous material effects would be less than significant (refer to EP_ArcMap &gt; Maher layer).</i>
<input checked="" type="checkbox"/>	<b>Soil Disturbance/Modification:</b> Would the project result in soil disturbance/modification greater than two (2) feet below grade in an archeological sensitive area or eight (8) feet in a non-archeological sensitive area? (refer to EP_ArcMap > CEQA Catex Determination Layers > Archeological Sensitive Area)
<input type="checkbox"/>	<b>Noise:</b> Does the project include new noise-sensitive receptors (schools, day care facilities, hospitals, residential dwellings, and senior-care facilities) fronting roadways located in the noise mitigation area? (refer to EP_ArcMap > CEQA Catex Determination Layers > Noise Mitigation Area)
<input type="checkbox"/>	<b>Subdivision/Lot Line Adjustment:</b> Does the project site involve a subdivision or lot line adjustment on a lot with a slope average of 20% or more? (refer to EP_ArcMap > CEQA Catex Determination Layers > Topography)
<input type="checkbox"/>	<b>Slope = or &gt; 20%:</b> Does the project involve excavation of 50 cubic yards of soil or more, square footage expansion greater than 1,000 sq. ft., shoring, underpinning, retaining wall work, or grading on a lot with a slope average of 20% or more? <i>Exceptions: do not check box for work performed on a previously developed portion of site, stairs, patio, deck, or fence work.</i> (refer to EP_ArcMap > CEQA Catex Determination Layers > Topography) If box is checked, a geotechnical report is required and a Certificate or higher level CEQA document required
<input type="checkbox"/>	<b>Seismic: Landslide Zone:</b> Does the project involve excavation of 50 cubic yards of soil or more, square footage expansion greater than 1,000 sq. ft., shoring, underpinning, retaining wall work, grading –including excavation and fill on a landslide zone – as identified in the San Francisco General Plan? <i>Exceptions: do not check box for work performed on a previously developed portion of the site, stairs, patio, deck, or fence work.</i> (refer to EP_ArcMap > CEQA Catex Determination Layers > Seismic Hazard Zones) If box is checked, a geotechnical report is required and a Certificate or higher level CEQA document required
<input type="checkbox"/>	<b>Seismic: Liquefaction Zone:</b> Does the project involve excavation of 50 cubic yards of soil or more, square footage expansion greater than 1000 sq ft, shoring, underpinning, retaining wall work, or grading on a lot in a liquefaction zone? <i>Exceptions: do not check box for work performed on a previously developed portion of the site, stairs, patio, deck, or fence work.</i> (refer to EP_ArcMap > CEQA Catex Determination Layers > Seismic Hazard Zones) If box is checked, a geotechnical report will likely be required
<input type="checkbox"/>	<b>Serpentine Rock:</b> Does the project involve any excavation on a property containing serpentine rock? <i>Exceptions: do not check box for stairs, patio, deck, retaining walls, or fence work.</i> (refer to EP_ArcMap > CEQA Catex Determination Layers > Serpentine)
<b>*If no boxes are checked above, GO TO STEP 3. If one or more boxes are checked above, an <u>Environmental Evaluation Application</u> is required, unless reviewed by an Environmental Planner.</b>	
<input checked="" type="checkbox"/>	Project can proceed with categorical exemption review. The project does not trigger any of the CEQA impacts listed above.
Comments and Planner Signature (optional): Jean Poling Archeo clearance 3/6/14	

**STEP 3: PROPERTY STATUS – HISTORIC RESOURCE  
TO BE COMPLETED BY PROJECT PLANNER**

<b>PROPERTY IS ONE OF THE FOLLOWING:</b> (refer to Parcel Information Map)	
<input type="checkbox"/>	Category A: Known Historical Resource. GO TO STEP 5.
<input checked="" type="checkbox"/>	Category B: Potential Historical Resource (over 45 years of age). GO TO STEP 4.
<input type="checkbox"/>	Category C: Not a Historical Resource or Not Age Eligible (under 45 years of age). GO TO STEP 6.

**STEP 4: PROPOSED WORK CHECKLIST  
TO BE COMPLETED BY PROJECT PLANNER**

Check all that apply to the project.	
<input type="checkbox"/>	1. Change of use and new construction. Tenant improvements not included.
<input type="checkbox"/>	2. Regular maintenance or repair to correct or repair deterioration, decay, or damage to building.
<input type="checkbox"/>	3. Window replacement that meets the Department's <i>Window Replacement Standards</i> . Does not include storefront window alterations.
<input type="checkbox"/>	4. Garage work. A new opening that meets the <i>Guidelines for Adding Garages and Curb Cuts</i> , and/or replacement of a garage door in an existing opening that meets the <i>Residential Design Guidelines</i> .
<input type="checkbox"/>	5. Deck, terrace construction, or fences not visible from any immediately adjacent public right-of-way.
<input type="checkbox"/>	6. Mechanical equipment installation that is not visible from any immediately adjacent public right-of-way.
<input type="checkbox"/>	7. Dormer installation that meets the requirements for exemption from public notification under <i>Zoning Administrator Bulletin No. 3: Dormer Windows</i> .
<input type="checkbox"/>	8. Addition(s) that are not visible from any immediately adjacent public right-of-way for 150 feet in each direction; does not extend vertically beyond the floor level of the top story of the structure or is only a single story in height; does not have a footprint that is more than 50% larger than that of the original building; and does not cause the removal of architectural significant roofing features.
<b>Note: Project Planner must check box below before proceeding.</b>	
<input checked="" type="checkbox"/>	Project is not listed. <b>GO TO STEP 5.</b>
<input type="checkbox"/>	Project does not conform to the scopes of work. <b>GO TO STEP 5.</b>
<input type="checkbox"/>	Project involves four or more work descriptions. <b>GO TO STEP 5.</b>
<input type="checkbox"/>	Project involves less than four work descriptions. <b>GO TO STEP 6.</b>

**STEP 5: CEQA IMPACTS – ADVANCED HISTORICAL REVIEW  
TO BE COMPLETED BY PRESERVATION PLANNER**

Check all that apply to the project.	
<input type="checkbox"/>	1. Project involves a known historical resource (CEQA Category A) as determined by Step 3 and conforms entirely to proposed work checklist in Step 4.
<input type="checkbox"/>	2. Interior alterations to publicly accessible spaces.
<input type="checkbox"/>	3. Window replacement of original/historic windows that are not "in-kind" but are consistent with existing historic character.
<input type="checkbox"/>	4. Façade/storefront alterations that do not remove, alter, or obscure character-defining features.
<input type="checkbox"/>	5. Raising the building in a manner that does not remove, alter, or obscure character-defining features.
<input type="checkbox"/>	6. Restoration based upon documented evidence of a building's historic condition, such as historic photographs, plans, physical evidence, or similar buildings.
<input type="checkbox"/>	7. Addition(s), including mechanical equipment that are minimally visible from a public right-of-way and meet the <i>Secretary of the Interior's Standards for Rehabilitation</i> .

<input type="checkbox"/>	8. Other work consistent with the <i>Secretary of the Interior Standards for the Treatment of Historic Properties</i> (specify or add comments):
<input checked="" type="checkbox"/>	9. Other work that would not materially impair a historic district (specify or add comments): per PTR Form dated 5/16/2014  (Requires approval by Senior Preservation Planner/Preservation Coordinator) _____
<input checked="" type="checkbox"/>	10. Reclassification of property status to Category C. (Requires approval by Senior Preservation Planner/Preservation Coordinator) a. Per HRER dated: _____ (attach HRER) b. Other (specify): Per PTR form dated 5/16/14.
<b>Note: If ANY box in STEP 5 above is checked, a Preservation Planner MUST check one box below.</b>	
<input type="checkbox"/>	Further environmental review required. Based on the information provided, the project requires an <i>Environmental Evaluation Application</i> to be submitted. <b>GO TO STEP 6.</b>
<input checked="" type="checkbox"/>	Project can proceed with categorical exemption review. The project has been reviewed by the Preservation Planner and can proceed with categorical exemption review. <b>GO TO STEP 6.</b>
Comments (optional):	
Preservation Planner Signature: Gretchen Hilyard <small>San Francisco Planning Department 2014-2015 Date: 11/18/2014</small>	

**STEP 6: CATEGORICAL EXEMPTION DETERMINATION**  
TO BE COMPLETED BY PROJECT PLANNER

<input type="checkbox"/>	Further environmental review required. Proposed project does not meet scopes of work in either (check all that apply): <input type="checkbox"/> Step 2 – CEQA Impacts <input type="checkbox"/> Step 5 – Advanced Historical Review <b>STOP! Must file an <i>Environmental Evaluation Application</i>.</b>				
<input type="checkbox"/>	No further environmental review is required. The project is categorically exempt under CEQA.				
	<table border="1"> <tr> <td>Planner Name:</td> <td>Signature:</td> </tr> <tr> <td>Project Approval Action: Select One *If Discretionary Review before the Planning Commission is requested, the Discretionary Review hearing is the Approval Action for the project.</td> <td></td> </tr> </table>	Planner Name:	Signature:	Project Approval Action: Select One *If Discretionary Review before the Planning Commission is requested, the Discretionary Review hearing is the Approval Action for the project.	
Planner Name:	Signature:				
Project Approval Action: Select One *If Discretionary Review before the Planning Commission is requested, the Discretionary Review hearing is the Approval Action for the project.					
Once signed or stamped and dated, this document constitutes a categorical exemption pursuant to CEQA Guidelines and Chapter 31 of the Administrative Code. In accordance with Chapter 31 of the San Francisco Administrative Code, an appeal of an exemption determination can only be filed within 30 days of the project receiving the first approval action.					



# SAN FRANCISCO PLANNING DEPARTMENT

## PRESERVATION TEAM REVIEW FORM

1650 Mission St.  
Suite 400  
San Francisco,  
CA 94103-2479

Reception:  
415.558.6378

Fax:  
415.558.6409

Planning  
Information:  
415.558.6377

Preservation Team Meeting Date:		Date of Form Completion	5/16/2014
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<b>PROJECT INFORMATION:</b>		
Planner:	Address:	
Gretchen Hilyard	53 States Street	
Block/Lot:	Cross Streets:	
2623/074	Castro Street	
CEQA Category:	Art. 10/11:	BPA/Case No.:
B	n/a	2014.0177E

<b>PURPOSE OF REVIEW:</b>			<b>PROJECT DESCRIPTION:</b>	
<input checked="" type="radio"/> CEQA	<input type="radio"/> Article 10/11	<input type="radio"/> Preliminary/PIC	<input checked="" type="radio"/> Alteration	<input type="radio"/> Demo/New Construction

<b>DATE OF PLANS UNDER REVIEW:</b>	1/31/2014
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<b>PROJECT ISSUES:</b>
<input checked="" type="checkbox"/> Is the subject Property an eligible historic resource?
<input type="checkbox"/> If so, are the proposed changes a significant impact?
Additional Notes:
Submitted: Supplemental Information Form prepared by Tim Kelley Consulting (dated November 2013).
Proposed project: demolition of existing single-family residence and construction of a two-unit residential building with parking.

<b>PRESERVATION TEAM REVIEW:</b>				
Historic Resource Present		<input type="radio"/> Yes	<input checked="" type="radio"/> No *	<input type="radio"/> N/A
Individual		Historic District/Context		
Property is individually eligible for inclusion in a California Register under one or more of the following Criteria:		Property is in an eligible California Register Historic District/Context under one or more of the following Criteria:		
Criterion 1 - Event:	<input type="radio"/> Yes <input checked="" type="radio"/> No	Criterion 1 - Event:	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Criterion 2 - Persons:	<input type="radio"/> Yes <input checked="" type="radio"/> No	Criterion 2 - Persons:	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Criterion 3 - Architecture:	<input type="radio"/> Yes <input checked="" type="radio"/> No	Criterion 3 - Architecture:	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Criterion 4 - Info. Potential:	<input type="radio"/> Yes <input checked="" type="radio"/> No	Criterion 4 - Info. Potential:	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Period of Significance:	<input type="text"/>	Period of Significance:	<input type="text"/>	
		<input type="radio"/> Contributor <input type="radio"/> Non-Contributor		



Complies with the Secretary's Standards/Art. 10/Art. 15	<input type="radio"/> Yes	<input type="radio"/> No	<input checked="" type="radio"/> N/A
CEQA Material Impairment	<input type="radio"/> Yes	<input checked="" type="radio"/> No	
Needs more information	<input type="radio"/> Yes	<input checked="" type="radio"/> No	
Requires Design Revisions	<input type="radio"/> Yes	<input checked="" type="radio"/> No	
Defer to Residential Design Team	<input checked="" type="radio"/> Yes	<input type="radio"/> No	

\* If No is selected for Historic Resource per CEQA, a signature from Senior Preservation Planner or Preservation Coordinator is required.

PRESERVATION TEAM COMMENTS	
<p>According to the Supplemental Information Form for Historic Resource Determination prepared by Tim Kelley Consulting (dated November 2013) and information found in the Planning Department files, the subject property at 53 States Street contains a 1-story-over basement; wood frame single-family residence constructed in 1911 in a Vernacular architectural style. The original architect is unknown. Known alterations to the property include: recladding the front with wood shingles (1956), foundation work (2008, 2009), retaining wall work (2009), and convert existing storage space on lower level to living space, new windows (2009). Unpermitted alterations include: enclosure of the entry porch (unknown date), construction of a rear addition (between 1913 and 1938).</p> <p>No known historic events occurred at the property (Criterion 1). None of the owners or occupants have been identified as important to history (Criterion 2). The subject building has been altered from its original appearance and represents a vernacular single-family residence. The building is not architecturally distinct such that it would qualify individually for listing in the California Register under Criterion 3.</p> <p>The subject property is not located within the boundaries of any identified historic districts. The subject property is located within the Castro/Upper Market and Corona Heights neighborhood on a block that exhibits a great variety of architectural styles, construction dates, and subsequent alterations that compromise historic integrity. The area surrounding the subject property does not contain a significant concentration of historically or aesthetically unified buildings.</p> <p>Therefore, the subject property is not eligible for listing in the California Register under any criteria individually or as part of a historic district.</p>	

Signature of a Senior Preservation Planner/ Preservation Coordinator	Date
<i>Jmda</i>	5-23-14



## San Francisco General Plan Residential Element

POLICY 11.4 Continue to utilize zoning districts which conform to a generalized residential land use and density plan and the General Plan. ***The parameters contained in the Planning Code under each zoning district can help ensure that new housing does not overcrowd or adversely affect the prevailing character of existing neighborhoods.***

POLICY 4.1 Develop new housing, and encourage the remodeling of existing housing, for families with children.

POLICY 11.1 Promote the construction and rehabilitation of well-designed housing that emphasizes beauty, flexibility, and innovative design, and respects existing neighborhood character.



**Recent California Supreme Court Case: Berkeley Hillside Preservation v. City of Berkeley**

**March 2, 2015.**

Conclusions: [emphasis added]

1. "CEQA specifies that environmental review through a preparation of an EIR is required only if there is *substantial evidence*....that the project may have a significant effect on the environment."
2. "As to projects that meet the requirements of a Categorical Exemption, a party challenging the exemption has the *burden of producing evidence* supporting an exception [to issuance of a categorical exemption]."
3. "Under these provisions, where there is no *substantial evidence* that a proposed project may have a significant environmental effect, further CEQA review is unnecessary....]"

BOARD of SUPERVISORS



City Hall  
Dr. Carlton B. Goodlett Place, Room 244  
San Francisco 94102-4689  
Tel. No. 554-5184  
Fax No. 554-5163  
TDD/TTY No. 544-5227

CPage

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**MEMORANDUM**

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Date: April 1, 2015  
To: Naomi M. Kelly, City Administrator  
From: *ACC* Angela Calvillo, Clerk of The Board  
Subject: Surplus City Property

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Section 23A.5 of the Administrative Code requires departments to compile and deliver by April 1 of each year to the City Administrator a list of all real property that it occupies or is otherwise under its control.

The Board of Supervisors/Office of the Clerk of the Board does not have any real City property under its jurisdiction and/or control.

(14)

Member, Board of Supervisors  
District 7



NOB, Dep Director  
CPAGE - orig  
City and County of San Francisco

**NORMAN YEE**

March 31, 2015

Angela Calvillo  
Clerk of the Board of Supervisor  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2015 MAR 31 PM 12:20  
RLS

Dear Ms. Calvillo,

I will be out of the country on March 31, 2015 and will not be able to attend the Board of Supervisors meeting, please excuse me from the meeting .

Thanks for your consideration.

Sincerely,

A handwritten signature in cursive script that reads "Norman Yee".

Supervisor Norman Yee  
District 7  
San Francisco Board of Supervisors

15

SUNSHINE ORDINANCE  
TASK FORCE



City Hall  
1 Dr. Carlton B. Goodlett Place Room 244  
San Francisco CA 94102-4689  
Tel. No. (415) 554-7724  
Fax No. (415) 554-7854  
TDD/TTY No. (415) 554-5227

MEMORANDUM

**Date:** March 30, 2015  
**To:** Honorable Members of the Board of Supervisors  
**From:** Allyson Washburn, Chair *Allyson Washburn*  
Sunshine Ordinance Task Force  
**Subject:** Notice of Sunshine Ordinance Task Force Members Excessive Absences

The following notice regarding the attendance of members of the Sunshine Ordinance Task Force (Task Force) is being transmitted pursuant Task Force Bylaw, Article VII, regarding "Membership and Attendance". The Task Force members have been reminded of the attendance requirements.

For your information please note that the following Task Force members have exceeded the number of absences, from regular meetings, acceptable in a twelve-month time period under the Task Force Bylaws Article VII:

David Pilpel, Seat 6  
Absent from the following regular Sunshine Ordinance Task Force meetings:  
December 3, 2014  
August 6, 2014  
March 5, 2014

Ali Winston, Seat 2  
Absent from the following regular Sunshine Ordinance Task Force meetings:  
March 4, 2015  
December 3, 2014  
September 3, 2014  
July 2, 2014

16



STATE BOARD OF EQUALIZATION

TAXPAYERS' RIGHTS ADVOCATE OFFICE MIC: 70  
450 N STREET, SACRAMENTO, CALIFORNIA 95814-0070  
PO BOX 942879, SACRAMENTO, CALIFORNIA 94279-0070  
916-324-2798 • FAX 916-323-3319  
TOLL-FREE 888-324-2798  
www.boe.ca.gov

RECEIVED  
BOARD OF SUPERVISORS  
SACRAMENTO  
2015 MAR 30 PM 4:03

March 27, 2015

CAB Administrator  
BOSII, CPA  
COB



SEN. GEORGE RUNNER (RET.)  
First District, Lancaster

FIONA MA, CPA  
Second District, San Francisco

JEROME E. HORTON  
Third District, Los Angeles County

DIANE L. HARKEY  
Fourth District, Orange County

BETTY T. YEE  
State Controller

CYNTHIA BRIDGES  
Executive Director

TO: ASSESSMENT APPEALS BOARDS COUNTY COUNSELS  
COUNTY ASSESSORS COUNTY RECORDERS  
COUNTY AUDITOR-CONTROLLERS COUNTY TAX COLLECTORS  
COUNTY BOARDS OF SUPERVISORS COUNTY CLERKS

**RE: TAXPAYERS' BILL OF RIGHTS HEARINGS**

I am pleased to invite you to attend our annual Taxpayers' Bill of Rights public hearings before the Members of the California State Board of Equalization. The hearings will provide you, other local agency representatives and taxpayers with the opportunity to address the issues identified in the Taxpayers' Rights Advocate's Annual Report, to discuss means to correct problems described in the Report, and to comment on all Board-administered revenue programs or local property tax issues. Individuals can present their verbal or written proposals for changes to laws or to the Board's procedures, policies, or rules, including suggestions that may improve voluntary taxpayer compliance and the relationship between citizens and the state and local government employees who serve them.

The hearings will be held at the locations listed below starting at approximately 1:30 p.m., and may be viewed via a live-streamed or archived webcast.

**Wednesday  
May 27, 2015**

State Board of Equalization  
1<sup>st</sup> Floor, Board Room  
450 N Street, Sacramento, CA 95814

**Tuesday  
June 23, 2015**

State Board of Equalization  
5901 Green Valley Circle, 2<sup>nd</sup> Floor  
Culver City, CA 90230

I have enclosed flyers and/or posters for this year's hearings. Please display the posters in public areas of your office(s) and make the flyers available to taxpayers and other interested parties. You may download a copy of our current Annual Report from the Board's website at [www.boe.ca.gov/tra/tra.htm](http://www.boe.ca.gov/tra/tra.htm).

If you have any questions regarding the hearings or would like to be scheduled as a speaker, please contact Mr. Mark Sutter at 916-324-2797 ([Mark.Sutter@boe.ca.gov](mailto:Mark.Sutter@boe.ca.gov)). Please let Mr. Sutter know if you would like additional copies of the flyer, poster, or Annual Report.

Sincerely,

Todd Gilman  
Taxpayers' Rights Advocate

TG: ls  
Counties letter 2015.docx  
Enclosures

17

# JOIN US!

## STATE BOARD OF EQUALIZATION'S 2015 TAXPAYERS' BILL OF RIGHTS HEARINGS

Present Your Ideas and Concerns at Our  
Business and Property Taxes Annual Hearings



Wednesday, May 27, 2015  
State Board of Equalization  
450 N Street  
1<sup>st</sup> Floor, Board Room  
Sacramento, CA 95814

Tuesday, June 23, 2015  
State Board of Equalization  
5901 Green Valley Circle  
2<sup>nd</sup> Floor  
Culver City, CA 90230

The Bill of Rights Hearings will start at approximately 1:30 p.m.

#### BOARD MEMBERS

SEN. GEORGE RUNNER (Ret.)  
First District  
Lancaster

FIONA MA, CPA  
Second District  
San Francisco

JEROME E. HORTON  
Third District  
Los Angeles County

DIANE L. HARKEY  
Fourth District  
Orange County

BETTY T. YEE  
State Controller

CYNTHIA BRIDGES  
Executive Director

For more information or copies of the Advocate's Annual Report, visit our website at [www.boe.ca.gov/tra/tra.htm](http://www.boe.ca.gov/tra/tra.htm) or contact the Taxpayers' Rights Advocate Office toll-free at 1-888-324-2798 or TTY:711.



Location is accessible to people with disabilities. For assistance call 1-916-322-1931.

Publication 317 (2-15)



**OFFICE OF THE SHERIFF  
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE  
ROOM 456, CITY HALL  
SAN FRANCISCO, CALIFORNIA 94102



**Ross Mirkarimi  
SHERIFF**

*BOS- 11, Leg. Aides  
Cpage*

March 31, 2015  
Reference # CFO 2015-006

To: Angela Calvillo  
Clerk of the Board of Supervisors

From: Mylan Luong *[Signature]*  
Acting Deputy Director/CFO

Re: Waiver Request – Praeses, LLC.

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2015 APR -6 AM 9:57  
*[Handwritten initials]*

Pursuant to the San Francisco Administrative code Chapters 12B & 14B attached is a copy the Waiver Request Form (HRC Form 201) sent to the Contract Monitoring Division on 3/31/15.

The Sheriff's Department is requesting a waiver from Administrative Code Chapters 12B and 12C requirement for Praeses, LLC.

Praeses, LLC will monitor the Sheriff's Department's Inmate Telephone System to assess the accuracy of SFSD's records and to provide a basis for evaluating service equality and appropriate revenue under existing contract with Inmate Telephone Service Provider. In addition, Praeses will ensure the Inmate Telephone Service is in compliance with the Federal Communications Commission.

The San Francisco Sheriff's Department issued a Request for Proposal (RFP), SHF2014-07, to the City's Bid Website on April 25, 2014. On May 9, 2014, SFSD received two proposals; from Fidelis and Praeses respectively. Fidelis' proposal did not meet the Minimum Qualifications required on the RFP and were notified of their non-responsive bid. Praeses submitted the only proposal meeting the Minimum Qualifications as well as the experience to perform the scope of work required of the RFP to which SFSD posted a notification letter of intent to award on June 19, 2014.

If you have any questions about this request, please contact Henry Gong at (415) 554-7241. Thanks you for your consideration of this matter.



# CITY AND COUNTY OF SAN FRANCISCO HUMAN RIGHTS COMMISSION

## S.F. ADMINISTRATIVE CODE CHAPTERS 12B and 14B

### WAIVER REQUEST FORM

(HRC Form 201)

FOR HRC USE ONLY
Request Number: _____

#### ► Section 1. Department Information

Department Head Signature: [Signature]  
 Name of Department: San Francisco Sheriff's Department  
 Department Address: 1 Dr Carlton B. Goodlett Place, Rm#456, San Francisco, CA  
 Contact Person: Henry Gong  
 Phone Number: 554-7241 Fax Number: 554-7050

#### ► Section 2. Contractor Information

Contractor Name: Praeses, LLC. Contact Person: Ann O'Boyle  
 Contractor Address: 330 Marshall Street, Suite 800, Shreveport, LA 71101  
 Vendor Number (if known): 93427 Contact Phone No.:(318) 655-4804

#### ► Section 3. Transaction Information

Date Waiver Request Submitted: 07/16/14 Type of Contract: Service  
 Contract Start Date: 5/01/2015 End Date: 4/30/2020 Dollar Amount of Contract:  
 \$305,757 (pymt to Praeses will be netted from Revenue Agreement with Inmate Telephone Service Provider)

#### ► Section 4. Administrative Code Chapter to be Waived (please check all that apply)

- Chapter 12B
- Chapter 14B *Note:* Employment and LBE subcontracting requirements may still be in force even when a 14B waiver (type A or B) is granted.

#### ► Section 5. Waiver Type (Letter of Justification *must* be attached, see Check List on back of page.)

- A. Sole Source
- B. Emergency (pursuant to Administrative Code §6.60 or 21.15)
- C. Public Entity
- D. No Potential Contractors Comply – Copy of waiver request sent to Board of Supervisors on: 3/31/15
- E. Government Bulk Purchasing Arrangement – Copy of waiver request sent to Board of Supervisors on:
- F. Sham/Shell Entity – Copy of waiver request sent to Board of Supervisors on:
- G. Local Business Enterprise (LBE) (for contracts in excess of \$5 million; see Admin. Code §14B.7.1.3)
- H. Subcontracting Goals

<b>HRC ACTION</b>	
12B Waiver Granted: _____	14B Waiver Granted: _____
12B Waiver Denied: _____	14B Waiver Denied: _____
Reason for Action: _____	
HRC Staff: _____	Date: _____
HRC Staff: _____	Date: _____
HRC Director: _____	Date: _____

<b>DEPARTMENT ACTION – This section must be completed and returned to HRC for waiver types D, E &amp; F.</b>	
Date Waiver Granted: _____	Contract Dollar Amount: _____



**OFFICE OF THE SHERIFF  
CITY AND COUNTY OF SAN FRANCISCO**

**1 DR. CARLTON B. GOODLETT PLACE  
ROOM 456, CITY HALL  
SAN FRANCISCO, CALIFORNIA 94102**



**Ross Mirkarimi  
SHERIFF**

March 31, 2015

To: Veronica Ng  
Director, Contract Monitoring Division

Cc: Maria Camua  
12B Equal Benefits, Contract Monitoring Division

From: Mylan Luong   
Acting CFO, San Francisco Sheriff's Department

Re: Request for Waiver of Applicable San Francisco Administrative Code Requirements to enter into contract with Praeses to provide compliance monitoring of the inmate telephone system.

The Sheriff's Department is requesting a Sole Source waiver from Administrative Code Chapters 12B and 14B requirement for Praeses, LLC.

Praeses, the incumbent Inmate Telephone System Monitor, would provide independent and objective management, consulting, and reconciliation services to manage and oversee the San Francisco Sheriff's Departments inmate telecommunications services. Praeses will monitor the inmate telephone service at SFSD correctional facilities where inmates are held to assess the accuracy of SFSD's records and to provide a basis for evaluating service quality and appropriate revenue under existing contract with inmate telephone service provider. Praeses will ensure the inmate telephone service is in compliance with the Federal Communications Commission. This is a unique service that they are currently providing for over 200 correctional facilities throughout the United States, spanning from California to Florida, in private, county and state DOC facilities. Additionally, Praeses is the owner of its internally developed, proprietary software, Transaction Management Database, which is the backbone of its inmate telecommunications management, consulting, and reconciliation services.

PHONE: 415-554-7225 FAX: 415-554-7050

WEBSITE: WWW.SFSHERIFF.COM

EMAIL: SHERIFF@SFGOV.ORG

The San Francisco Sheriff's Department issued a Request for Proposal (RFP), SHF2014-07, to the City's Bid Website on April 25, 2014. On May 9, 2014, SFSD received two proposals; from Fidelis and Praeses respectively. Fidelis' proposal did not meet the Minimum Qualifications required on the RFP and were notified of their non-responsive bid. Praeses submitted the only proposal meeting the Minimum Qualifications as well as the experience to perform the scope of work required of the RFP to which SFSD posted a notification letter of intent to award on June 19, 2014.

Whether research was previously conducted by the correctional agency to determine whether there were any other qualified companies that competes directly with Praeses, LLC., or actual Requests for Proposals were released to obtain competitive bids from competing parties, no other companies have emerged that provide the niche services that Praeses, LLC provide.

Below are various agencies that released Request for Proposals with no other companies replying with a proposal submission:

- Virginia Peninsula Regional Jail (VA)
- Jackson County (MO)
- Blue Ridge Regional Jail Authority (VA)
- Kansas Department of Corrections (KS)

These agencies may be contacted relative to the limited responses they received.

If you have any questions about this request, please contact Henry Gong at (415) 554-7241.

Thank you for your consideration of this matter.

---

**To:** Major, Erica (BOS); Wong, Linda (BOS)  
**Subject:** FW: Issued: City Services Auditor Summary of Implementation Statuses for Recommendations Followed Up on in Fiscal Year 2014-15, Second Quarter

Attn: GAO and Budget

**From:** Reports, Controller (CON)  
**Sent:** Thursday, April 02, 2015 11:48 AM  
**To:** Calvillo, Angela (BOS); BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve (MYR); Howard, Kate (MYR); Falvey, Christine (MYR); Elliott, Jason (MYR); Steeves, Asja (CON); Campbell, Severin (BUD); Newman, Debra (BUD); Rose, Harvey (BUD); [gmetcalf@spur.org](mailto:gmetcalf@spur.org); [jballesteros@sanfrancisco.travel](mailto:jballesteros@sanfrancisco.travel); CON-EVERYONE; CON-CCSF Dept Heads  
**Subject:** Issued: City Services Auditor Summary of Implementation Statuses for Recommendations Followed Up on in Fiscal Year 2014-15, Second Quarter

The Office of the Controller's City Services Auditor Division (CSA) today issued a report on the implementation statuses of its recommendations. CSA follows up on open and contested recommendations every six months after its reports are issued. In the second quarter of fiscal year 2014-15, CSA followed up on 117 recommendations from 14 reports or memorandums issued to 7 departments. Of those 117 recommendations, 49 are newly closed. The report discusses the risks associated with the remaining 68 open recommendations.

To view the full report, please visit our Web site at: <http://openbook.sfgov.org/webreports/details3.aspx?id=1903>

This is a send-only email address. For questions about the report, please contact Dandy Wong at [dandy.wong@sfgov.org](mailto:dandy.wong@sfgov.org) or 415-554-7646.

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**CITY AND COUNTY OF SAN FRANCISCO**  
**OFFICE OF THE CONTROLLER**

**Ben Rosenfield**  
**Controller**

**Todd Rydstrom**  
**Deputy Controller**

**MEMORANDUM**

**TO:** Government Audit and Oversight Committee  
 San Francisco Board of Supervisors

**FROM:** Tonia Lediju, Director of City Audits  
 City Services Auditor Division 

**DATE:** April 2, 2015

**SUBJECT:** City Services Auditor Summary of Implementation Statuses for  
 Recommendations Followed Up on in Fiscal Year 2014-15, Second Quarter

The City Services Auditor Division (CSA) of the Office of the Controller (Controller) follows up on all recommendations it issues to city departments every six months after original issuance. CSA reports on the results of its follow-up activity to the Board of Supervisors' Government Audit and Oversight Committee (GAO). This process fulfills the requirement of the San Francisco Charter, Section F1.105, for the auditee to report on its efforts to address the Controller's findings, report any costs or savings attributable to recommendation implementation reflected in the department's proposed budget, and, if relevant, report the basis for deciding not to implement a recommendation.

The regular follow-up begins with CSA sending a questionnaire to the responsible department requesting an update on the implementation status of each recommendation. CSA then assigns a follow-up status to the report or memorandum for each responsible department according to whether or not the department responded to the questionnaire and the audit determination status of each recommendation. The follow-up statuses are described in the table below.

<b>Summary of Follow-Up Statuses</b>		
<b>Status</b>	<b>Audit Determination Status of Recommendations</b>	<b>Further Regular Follow-Up?</b>
<i>Closed</i>	All closed	No
<i>Open</i>	At least one open or contested	Yes
<i>Elapsed</i>	At least one open or contested	Yes. Open recommendations will be reported to GAO in CSA's annual report, <i>Recommendations Not Implemented After More Than Two Years</i> , and considered when planning future audits.



Based on its review of the department's response, CSA assigns an audit determination status to each recommendation. A status of:

- **Open** indicates that the recommendation has not yet been fully implemented.
- **Contested** indicates that the department has chosen not to implement the recommendation for some reason.
- **Closed** indicates that the response described sufficient action to fully implement the recommendation or an acceptable alternative, or that some change occurred to make the recommendation no longer applicable.

Also, CSA periodically selects reports or memorandums resulting in high-risk findings for a more in-depth field follow-up assessment in which CSA tests to verify the implementation status of the recommendations.

## CONTENTS

<b>Department Abbreviations .....</b>	<b>4</b>
<b>CSA’s Regular Follow-Up Activity in the Second Quarter of Fiscal Year 2014-15.....</b>	<b>5</b>
Exhibit 1 - Overall Status of Follow-Ups, by Status and Department, in the Second Quarter of Fiscal Year 2014-15 .....	5
Exhibit 2 - Status of Recommendations Followed Up on in the Second Quarter of Fiscal Year 2014-15 .....	5
Exhibit 3 - Timeliness of Departments’ Responses to Follow-up Requests in the Second Quarter of Fiscal Year 2014-15 .....	6
Exhibit 4 - Summary of Follow-Ups Closed in the Second Quarter of Fiscal Year 2014-15...	7
<b>Summary of Unimplemented Recommendations by Department .....</b>	<b>8</b>
Contract Administration .....	8
Port .....	9
Public Health .....	10
Public Works .....	11
Recorder .....	13
SFMTA.....	14
SFPUC.....	17
<b>CSA’s Field Follow-Up Activity in the Second Quarter of Fiscal Year 2014-15.....</b>	<b>20</b>
Audits With Field Follow-Up in Progress on 12/31/14.....	20

<b>Department Abbreviations</b>	
<b>Abbreviated Name</b>	<b>Full Name</b>
CSA	City Services Auditor Division of the Office of the Controller
Contract Administration	Office of Contract Administration within General Services Agency General Services
GAO	Board of Supervisors' Government Audit and Oversight Committee
Port	Port Commission
Public Health	Department of Public Health
Public Works	Department of Public Works
Recorder	Office of the Assessor-Recorder
SFMTA	San Francisco Municipal Transportation Agency
SFPUC	San Francisco Public Utilities Commission

**CSA's Regular Follow-Up Activity in the Second Quarter of Fiscal Year 2014-15**

During the second quarter of fiscal year 2014-15 CSA followed up on 117 open and contested recommendations from 14 reports or memorandums (documents). Exhibit 1 summarizes the current status of those follow-ups.

**Exhibit 1 - Overall Status of Follow-Ups, by Status and Department, in the Second Quarter of Fiscal Year 2014-15**

Department	Open	Elapsed*	Closed	Total
Department of Public Health (Public Health)		1	1	2
Department of Public Works (Public Works)	2		1	3
Office of the Assessor-Recorder (Recorder)	1			1
Office of Contract Administration (Contract Administration)		1		1
Municipal Transportation Agency (SFMTA)	2	1		3
Port Commission (Port)	1			1
Public Utilities Commission (SFPUC)	1	2		3
<b>Total</b>	<b>7</b>	<b>5</b>	<b>2</b>	<b>14</b>

Note: \*Elapsed recommendations are those more than two years old that have not been implemented. CSA follows up on elapsed recommendations until they can be closed.

In some cases, a department has implemented few or none of CSA's recommendations. This does not necessarily indicate that the department is not making an effort to resolve the underlying issues. In some instances, the department has not yet had the opportunity because the recommendations relate to events that happen only periodically, such as labor agreement negotiations, or because the recommendations were issued too recently for the department to have achieved full implementation.

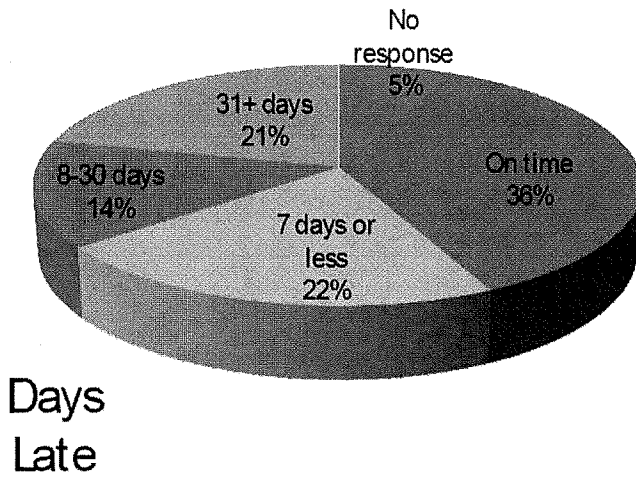
Exhibit 2 shows the number of recommendations CSA followed up on and their resulting statuses during the quarter.

**Exhibit 2 - Status of Recommendations Followed Up on in the Second Quarter of Fiscal Year 2014-15**

Department	Newly Closed	Open	Contested	Now Elapsed	Total Followed Up On
Contract Administration	1			3	4
Port		5			5
Public Health	23			1	24
Public Works	6	15	2		23
Recorder		7			7
SFMTA	19	7		7	33
SFPUC		9		12	21
<b>Total</b>	<b>49</b>	<b>43</b>	<b>2</b>	<b>23</b>	<b>117</b>

Exhibit 3 shows departments' responsiveness to CSA's follow-up requests.

**Exhibit 3 - Timeliness of Departments' Responses to Follow-up Requests in the Second Quarter of Fiscal Year 2014-15**



- Public Health provided one response on time and one response 7 days late.
- Public Works provided one response on time and two responses 65 days late.
- Contract Administration provided its response 20 days late.
- SFMTA provided two responses on time and one response 5 days late.
- The Port provided its response 7 days late.
- SFPUC provided one response on time, one response late, and did not provide a response to CSA's other request.

All other departments responded to CSA's requests on time.

Exhibit 4 summarizes the follow-ups CSA closed in the quarter.

Exhibit 4 - Summary of Follow-Ups Closed in the Second Quarter of Fiscal Year 2014-15	
Dept.	Document
Public Health	<b>Title:</b> <u>Department of Public Health: San Francisco General Hospital's Materials Management Department Must Improve Controls to Better Manage Assets</u>
	<b>Issue Date:</b> 6/3/14 <b>Total Recommendations:</b> 23
	<b>Summary:</b> The inventory and materials management processes at San Francisco General Hospital and Trauma Center (General Hospital) had multiple weaknesses. There were numerous errors in the sampled inventory records, a lack of internal controls for security system access and daily inventory counts, no policies and procedures to guide inventory cycle counts, and dispensing of expired and obsolete items. The department reports having fully implemented all recommendations that address these issues.
Public Works	<b>Title:</b> <u>Department of Public Works: Controls Over Billings and Payments for the General Hospital Rebuild Project Are Effective, but Some Contract Requirements Need Clarification</u>
	<b>Issue Date:</b> 5/21/14 <b>Total Recommendations:</b> 7
	<b>Summary:</b> CSA assessed the controls over progress billings and contractor payments for the General Hospital Rebuild Project. The audit found that controls over progress billings generally complied with best practices and contract requirements and that Public Works' procedures for progress billings and payment applications were effective. However, some instances of noncompliance existed, including the contracted project manager's noncompliance with reimbursable expense requirements and Public Works' early release of retention payments before work was completed. The department reports having fully implemented all recommendations, including the last recommendation to recover printing discounts claimed as reimbursable expenses.

**Summary of Unimplemented Recommendations by Department**

Below are summaries of the open and contested recommendations from all follow-ups CSA sent requests for that have a status of open or elapsed. They are organized by department and original issuance.

**Contract Administration**

<b>Title:</b> Department of Public Health: Audit of \$6 Million Citywide Konica Minolta Business Solutions USA, Inc., Contract		<b>Date Issued:</b> 3/13/13	<b>Summary Status:</b> Elapsed
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	4	All recommendations	
<i>Contested</i>	0		
<i>Closed</i>	0		
<b>Total</b>	<b>4</b>		

**Summary:** The City contracts with Konica Minolta Business Solutions USA, Inc., (Konica Minolta) to provide copy machine services. The Office of Contract Administration is responsible for administering citywide contracts including the one with Konica Minolta. The audit found that the department’s administration of the contract could be improved by requiring better documentation from the vendor and addressing performance issues reported by user departments.

**Overall Risk of Open and Contested Recommendations:** Low  
 Poor contract monitoring puts the city at risk of misuse of funds. Further, not requiring sufficient documentation and reporting from the vendor makes it difficult for user departments to adequately monitor their own use of the citywide contract.

**Open Recommendations:**

- **Recommendations 1, 6, and 7** ask the department to require the vendor to provide more frequent and detailed invoices and uptime reports to ensure that machines are in working order.
- **Recommendation 8** asks the department to develop procedures for soliciting feedback from user departments on vendor performance and addressing issues uncovered through that feedback process.

**Other Notes:** This document contains eight recommendations directed toward Public Health and Contract Administration. This follow-up includes only the recommendations directed toward Contract Administration.

**Port**

<b>Title:</b> Port Commission: The Bay Institute Aquarium Foundation Underpaid Rent by \$39,309 and Needs to Improve Internal Controls Over the Reporting of Gross Receipts to the Port for 2010 Through 2012	<b>Date Issued:</b> 6/25/14	<b>Summary Status:</b> Active
---	--------------------------------	----------------------------------

Recommendation Status	Number of Recommendations With Each Status	Recommendation Number(s) in Report
<i>Open</i>	5	All recommendations
<i>Contested</i>	0	
<i>Closed</i>	0	
<b>Total</b>	<b>5</b>	

**Summary:** The tenant underreported gross receipts to the Port by incorrectly deducting from gross receipts the cost of sales related to its photography services and lacks internal controls to ensure the accuracy of its gross receipts reporting, resulting in a net underpayment of \$39,309 in rent.

**Overall Risk of Open Recommendations: Low**

The Port is determining the correct amount of rent due from the tenant during the audit period and for other periods.

**Open Recommendations:**

- **Recommendations 1, 2, and 4** ask the department to ensure that the tenant accurately pays the Port the correct amount of rent due.
- **Recommendations 3 and 5** ask the department to improve the procedures for reporting and collecting rent accurately and in compliance with lease provisions.



**Public Health**

<b>Title:</b> Department of Public Health and the Office of Contract Administration: Audit of \$6 Million Citywide Konica Minolta Business Solutions USA, Inc., Contract		<b>Date Issued:</b> 6/28/12	<b>Summary Status:</b> Elapsed
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	1	5	
<i>Contested</i>	0		
<i>Closed</i>	3	All other recommendations	
<b>Total</b>	<b>4</b>		

**Summary:** Public Health did not always maintain support for usage amounts reported to the vendor, which are then used to verify the usage amounts invoiced, and did not consistently ensure that rates and usage amounts agree with approved and reported amounts. Also, oversight and monitoring of the contract by Public Health and Contract Administration need improvement.

**Overall Risk of Open Recommendation:** Medium

Poor contract monitoring puts the department at increased risk of misuse of city funds.

**Open Recommendation:**

- **Recommendation 5** asks the department to implement overall contract monitoring procedures, such as quarterly and annual trend analysis, and to document its contract monitoring system to ensure consistency in its application. The department reports that it is creating a strong central business office that will be tasked with, among other things, implementing a departmentwide contract monitoring system.

**Other Notes:** This document contains eight recommendations directed toward Public Health and Contract Administration. This follow-up includes only the recommendations directed toward Public Health.

**Public Works**

<b>Title:</b> <u>Department of Public Works: Controls Over the Public Safety Building Project Should Be Strengthened to Improve Project Scheduling and the Change Management Process</u>		<b>Date Issued:</b> 4/16/14	<b>Summary Status:</b> Open
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	4	6, 9, 10, 11	
<i>Contested</i>	2	3, 8	
<i>Closed</i>	5	All other recommendations	
<b>Total</b>	<b>11</b>		

**Summary:** Public Works needs to improve its oversight and controls over the Public Safety Building project to ensure the contractors adheres to contract requirements and that all applicable Public Works procedures and requirements are followed.

**Overall Risk of Open Recommendations:** Low

Public Works has indicated that it is working on developing solutions for the remaining open recommendations, which will require changes to departmental policy.

**Open Recommendations:**

- **Recommendation 6** asks the department to revise its contract change order provisions to allow contractors to recover additional costs or time for work performed related to an approved and completed change order.
- **Recommendations 9, 10, and 11** ask the department to improve the City's contracting process by developing better procurement procedures based on standard industry practices and better project monitoring procedures to ensure that deliverables are met according to the contract's terms.

<b>Title:</b> <u>City and County of San Francisco: Adopting Leading Practices Could Improve the City's Construction Contractor Bid Pool</u>		<b>Date Issued:</b> 5/20/14	<b>Summary Status:</b> Open
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	11	All other recommendations	
<i>Contested</i>	0		
<i>Closed</i>	1	12	
<b>Total</b>	<b>12</b>		

**Summary:** City departments do not adequately assess contractor performance and do not consider past performance in the construction contract award process. The recommendations call for increased stakeholder collaboration to amend Chapter 6 of the City's Administrative Code to require completion of contractor performance evaluations and require that the evaluations be considered in the contract award process. The recommendations also ask the City to adopt leading practices to develop and implement policies and procedures for contractor performance evaluation. Also, the report urges the City to design and develop a centralized database to track contractor performance evaluations.

**Overall Risk of Open Recommendations:** Medium

A working group comprising the Mayor's Office, Board of Supervisors, City Attorney, Office of Contract Administration, Real Estate Division, and Controller's Office is actively engaged to amend the Administrative Code to implement these recommendations.

**Open Recommendations:**

- **Recommendations 1, 2, and 3** ask six key departments to collaborate with other city stakeholders to amend relevant sections of the Administrative Code.
- **Recommendations 4, 5, 6, 7, 8, 9, and 10** ask the six key departments to develop policies and procedures that will improve the construction contract award and evaluation process.
- **Recommendation 11** asks the six key departments to develop a citywide, centralized database to track and monitor contractor information and performance evaluation results.

**Recorder**

<b>Title:</b> Office of the Assessor-Recorder: Audit of the Department's Social Security Number Truncation Program		<b>Date Issued:</b> 12/31/13	<b>Summary Status:</b> Open
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	7	All other recommendations	
<i>Contested</i>	0		
<i>Closed</i>	2	5, 8	
<b>Total</b>	<b>9</b>		

**Summary:** The Recorder correctly documents truncation program revenue and truncated Social Security numbers on official records recorded on and after January 1, 2009. However, the Recorder has not truncated Social Security numbers on all official records recorded from 1980 through 2008 and did not properly allocate expenses to the truncation program.

**Overall Risk of Open Recommendations:** Medium

The department reports being on target to fully comply with the statutory deadline for state compliance for truncating Social Security numbers of official records. However, until the recommendations are fully implemented, the department is at risk of being out of compliance and not having enough money in its budget to complete the implementation.

**Open Recommendations:**

- **Recommendations 1 and 2** ask the department to expand its truncation program to cover official records recorded from 1980 through 2008. The department will work with its current record management system vendor for records from 2000-2008 and procure contracted services for records from 1980-1999.
- **Recommendations 3, 4, and 6** ask the department to identify and reallocate any truncation program funds used to pay for Recorder division expenses not directly related to the program, accurately record program revenues and expenditures, and implement a method of allocating the program's indirect costs.
- **Recommendation 7** asks the department to estimate the costs of truncating records from 1980-2008 and adjust the program's budget as needed.
- **Recommendation 9** asks the department to implement written policies and procedures to enhance the security of documents in its possession.

**SFMTA**

<b>Title:</b> <u>San Francisco Municipal Transportation Agency: The Sustainable Streets Division Could Improve Its Operations</u>		<b>Date Issued:</b> 6/9/11	<b>Summary Status:</b> Elapsed
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	7	4, 5, 7, 8, 9, 16, 24	
<i>Contested</i>	0		
<i>Closed</i>	31	All other recommendations	
<b>Total</b>	<b>38</b>		

**Summary:** Leasing six parking garages to five nonprofit corporations is unnecessarily costly to the City. The divisions responsible for maintaining traffic signs, traffic signals, and street markings had backlogs of two weeks, three months, and four to six months, respectively, for responding to requests for work. Also, these divisions were not doing preventive maintenance and will need to do more in the future to comply with new federal standards. The department also does not have systems to manage and prioritize work on traffic signals, signs, and street markings. The recommendations call for better management of parking garage leases; implementing systems to facilitate better management of traffic sign, signal, and street marking maintenance; and ensuring that the division resolves the backlog in needed work and starts preventive maintenance to improve road safety and ensure compliance with federal requirements that will take effect in the coming years.

**Overall Risk of Open Recommendations:** Medium

Recommendations 7, 8, 9, and 16 relate to operations that help assure public safety and federal regulation compliance. Failure to implement these recommendations could result in the withdrawal of federal funds and increased tort liability. However, the department is in the process of implementing a database, as called for by Recommendation 16, and assessment and maintenance programs, as called for by recommendations 7, 8, and 9. The department also reported that it was in the process of implementing the remaining, lower-risk, open recommendations.

**Open Recommendations:**

- **Recommendations 4 and 5** ask the department to develop a standard or minimum job description and a compensation scale for nonprofit parking corporations' corporate manager positions.
- **Recommendations 7 and 8** ask the department to adopt a method for assessing whether traffic signs meet new federal reflectivity requirements and replace all signs that do not.
- **Recommendation 9** asks the department to establish a systematic, documented method for inspecting and maintaining traffic signs to ensure motorists' safety, not limited to sign reflectivity.
- **Recommendation 16** asks the department to maintain a database of all installed traffic signs and pavement markings.
- **Recommendation 24** asks the department to develop an operations manual for projects that details staff duties and responsibilities and reporting of project status.

<b>Title:</b> San Francisco Municipal Transportation Agency: Overhead Rates of Two Central Subway Project Management Consultants Must Be Reduced		<b>Date Issued:</b> 6/25/13	<b>Summary Status:</b> Open
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	1	3	
<i>Contested</i>	0		
<i>Closed</i>	2	All other recommendations	
<b>Total</b>	<b>3</b>		

**Summary:** The overhead rates included in the consultants' original proposals were found to include unallowable costs. The desk review calculated adjusted overhead rates to be applied to the consultants' actual invoices. The difference resulted in two of the three consultants reviewed owing the department an estimated combined total of \$26,843.

**Overall Risk of Open Recommendation: Low**

The department calculated that the actual amount due was \$23,506 and reported that it is in the process of recovering this amount.

**Open Recommendation:**

- **Recommendation 3** asks the department to adjust the overhead rate applied by one of the contractors and recover overpayments.

<b>Title:</b> <u>San Francisco Municipal Transportation Agency: The Taxis and Accessible Services Division Needs to Better Manage Its Paratransit Contract and Improve Controls Over Its Taxi Complaint and Taxi Driver Permit Processes</u>		<b>Date Issued:</b> 4/24/14	<b>Summary Status:</b> Open
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	6	5, 26, 33, 34, 39, 41	
<i>Contested</i>	0		
<i>Closed</i>	36	All other recommendations	
<b>Total</b>	<b>42</b>		

**Summary:** SFMTA does not provide adequate oversight to verify whether its paratransit broker ensures the delivery of safe, prompt, and high-quality paratransit services. Also, SFMTA does not verify support for paratransit invoices and cannot ensure that all costs are valid. Furthermore, SFMTA lacks adequate oversight and documented processes and procedures over the eligibility process to ensure that only eligible patrons receive paratransit services. The paratransit broker’s information technology systems should be better secured. Last, SFMTA must strengthen controls over its taxi complaint and taxi driver permit processes.

**Overall Risk of Open Recommendations:** Medium

SFMTA reports that it has implemented many of the recommendations. Two of the open recommendations require modifications to technology applications. The remaining four open recommendations may require amendments to the Transportation Code in order to fully implement them.

**Open Recommendations:**

- **Recommendation 5** asks the department to implement the use of improved technology to better manage and monitor the on-time performance of paratransit vendor services.
- **Recommendation 26** asks the department to improve the security of its information technology applications to meet leading industry best practices.
- **Recommendations 33, 34, 39, and 41** ask the department to implement stronger safety policies and procedures for the approval of taxi driver permits and a review process for expired taxi driver permits to ensure public safety.

**SFPUC**

<b>Title:</b> San Francisco Public Utilities Commission: Audit of Crystal Springs Golf Partners, L.P.		<b>Date Issued:</b> 12/1/10	<b>Summary Status:</b> Elapsed
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	7	10a, 5, 6, 9, 20, 21, 22	
<i>Contested</i>	0		
<i>Closed</i>	19	All other recommendations	
<b>Total</b>	<b>26</b>		

SFPUC did not respond to CSA's follow-up request.

**Summary:** CSA conducted a field follow-up of the original 22 recommendations from its 2010 audit of the lease of land by SFPUC to Crystal Springs Golf Partners, L.P. (Crystal Springs) and found that 3 were no longer applicable and 8 were fully implemented. The field follow-up assessment made an additional 4 recommendations to clarify corrective actions needed to resolve all underlying issues. The 16 of the original 22 recommendations and 3 of the 4 new recommendations that are now closed include improving lease management, recovering \$23,693 in additional rent, and amending the lease for more efficient reconciliation of base and percentage rent at each year's end.

**Overall Risk of Open Recommendations:** Medium

The department has made major organizational and policy changes to address underlying systemic issues in its Real Estate Section to improve overall lease management. The department also reports being in the process of implementing all recommendations. The overall risk is not low because the final amount owed by the vendor has not been determined and may be significant.

**Open Recommendations:**

- **Recommendations 10a, 5, 6, and 9** ask the department to recover additional rent, late fees, and penalties, some of which the vendor disputes. The department reports that it intends to negotiate a partial or full payment of these funds during lease modification negotiations.
- **Recommendations 20, 21, and 22** ask the department to have more transparency in its water rates and to refund past overcharges to Crystal Springs based on water rates. The department reported that it is in the process of implementing these recommendations.



<b>Title:</b> <u>San Francisco Public Utilities Commission: Water Enterprise Should Continue to Improve Its Inventory Management</u>		<b>Date Issued:</b> 4/12/11	<b>Summary Status:</b> Elapsed
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	5	4, 5, 10, 12,13	
<i>Contested</i>	0		
<i>Closed</i>	8	All other recommendations	
<b>Total</b>	<b>13</b>		

**Summary:** The Water Enterprise had mostly adequate processes and controls over its warehouses at the City Distribution Division (CDD), Hetch Hetchy Water and Power unit (HHWP), and Water Supply and Treatment unit (WST). Inventory policies and procedures have been completed for all three warehouses, auditors' counts revealed low error rates, and auditors' observations in the warehouses revealed well-organized inventory and secure premises. However, the report's 13 recommendations suggest ways the enterprise should improve its inventory processes and controls.

**Overall Risk of Open Recommendations:** Low

The audit found no significant discrepancies in tool inventories or indication of lost or stolen tools, and the recommendations are designed to improve already mostly adequate controls. SFPUC is also actively pursuing implementation of remaining open recommendations.

**Open Recommendations:**

- **Recommendation 4** asks the department to resolve discrepancies and ensure the accuracy of fuel inventory.
- **Recommendation 5** asks the department to implement an electronic inventory system.
- **Recommendations 10 and 12** ask the CDD and WST warehouses to develop policies, assign responsibility for tool inventories and management, and take inventory of tools and document these inventories.
- **Recommendation 13** asks the HHWP and CDD warehouses to establish a dollar threshold for tools that should be secured in locked cabinets or drawers until needed. HHWP has implemented this recommendation.

<b>Title:</b> <u>San Francisco Public Utilities Commission: The Department's Power Enterprise Lacks Adequate Controls to Safeguard Its Inventory</u>		<b>Date Issued:</b> 10/29/12	<b>Summary Status:</b> Open
<b>Recommendation Status</b>	<b>Number of Recommendations With Each Status</b>	<b>Recommendation Number(s) in Report</b>	
<i>Open</i>	9	All other recommendations	
<i>Contested</i>	0		
<i>Closed</i>	2	3, 11	
<b>Total</b>	<b>11</b>		

**Summary:** The warehouse and inventory processes and controls at SFPUC's Power Enterprise need improvement to mitigate the risks associated with inventory. SFPUC does not regularly count the inventory in its two Power Enterprise warehouse locations and does not always indicate in its inventory system when items are checked out, which increases their susceptibility to theft.

**Overall Risk of Open Recommendations:** Medium

The Power Enterprise has weaknesses in inventory controls that should be addressed to ensure the security of items in its warehouses. SFPUC reported that it is in the process of implementing the remaining recommendations and anticipated that many would be fully implemented by August 2014.

**Open Recommendations:**

- **Recommendations 1, 2, 4, 6, 9, and 10** ask the department to ensure that its inventory list is accurate, including tool costs, and remains accurate.
- **Recommendation 5** asks the department to determine optimal reorder points for relevant inventory items.
- **Recommendation 7** asks the department to account for inventory correctly, including expensing inventory when it is consumed.
- **Recommendation 8** asks the department to create policies and procedures to fit its unique conditions, similar to those of the WST warehouse.

<b>CSA's Field Follow-Up Activity in the Second Quarter of Fiscal Year 2014-15</b>
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Any audit report or memorandum may be selected for a more in-depth field follow-up regardless of summary status. Field follow-ups result in memorandums that are also subject to CSA's two-year regular follow-up period.

<b>Audits With Field Follow-Up in Progress on 12/31/14</b>		
<b>Audit or Assessment</b>	<b>Issue Date</b>	<b>Recommendations</b>
<u>Airport Commision: The Airport Needs to Enhance Procedures Over Tenants' Build-out Close-out Compliance</u>	5/17/12	21
<u>San Francisco Public Utilities Commission: The Community Assistance Program's Significant Operational Weaknesses Make It Susceptible to Customer Abuse</u>	9/14/12	28
<u>San Francisco Public Utilities Commission: The Job Order Contract Program Lacks Sufficient Oversight to Ensure Program Effectiveness</u>	12/26/12	19
<u>Department of Public Works: The Job Order Contract Program Is Generally Effective but Requires Improvements to Ensure Accountability and Consistency</u>	7/16/13	13

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**To:** BOS-Supervisors; Wong, Linda (BOS)  
**Subject:** FW: Grant Budget Revision for HCDC24/-15 CENTER FOR AIDS PREVENTION STUDIES-ECHPP  
**Attachments:** Memo to BOS for Budget Revision.docx; 8533SC-01 Contract Amendment.pdf

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**From:** Vasilevitsky, Victoria (DPH)  
**Sent:** Thursday, April 02, 2015 9:45 AM  
**To:** Gosiengfiao, Rachel (BOS)  
**Subject:** Grant Budget Revision for HCDC24/-15 CENTER FOR AIDS PREVENTION STUDIES-ECHPP

Hello,

Attached is the memo of grant budget revision for the line item exceeding 15% and Contract Amendment.

Thank you.

Victoria Vasilevitsky  
Fiscal – Grant Unit  
1380 Howard St., Rm. 413  
San Francisco, CA 94103  
Phone (415) 255-3462  
Fax (415) 252-3063



**City and County of San Francisco**  
**Department of Public Health**  
POPULATION HEALTH AND PREVENTION

1380 Howard Street, Rm. 448  
San Francisco, CA 94103-2614  
415.255.3450 FAX 415.255.3675

March 30, 2015

To: Clerk of the Board of Supervisors

From: Victoria Vasilevitsky  
(415) 255-3462  
[victoria.vasilevitsky@sfdph.org](mailto:victoria.vasilevitsky@sfdph.org)

Cc: Controller's Office AOSD

Subject: Grant Budget Revision  
Grant Name: CENTER FOR AIDS PREVENTION STUDIES-ECHPP

In accordance with Administrative Code Section 10.170-1(F), this memo serves to notify the Board of Supervisors of a State grant line item budget revision in excess of 15% requiring funding agency approval.

A signed copy of budget revision is attached for your review.

Please feel free to contact me @ (415) 255-3462 or [victoria.vasilevitsky@sfdph.org](mailto:victoria.vasilevitsky@sfdph.org) if you have any questions.

Thank you.


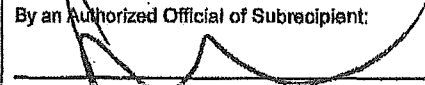
## Research Subaward Agreement Amendment

Prime Recipient	Subrecipient
Institution/Organization ("University") Name: <b>The Regents of the University of California</b> Office of Sponsored Research Division of Contracts and Grants University of California, San Francisco 3333 California Street, Suite 315 San Francisco, CA 94143-0962	Institution/Organization ("Subrecipient") Name: <b>City &amp; County of San Francisco, Department of Public Health</b> Address: 1380 Howard Street, 4 <sup>th</sup> Floor San Francisco, CA 94103  EIN: 94-6000417
Prime Award No. <b>5P30 MH062246-14</b>	Subaward No. <b>8533sc</b> Principal Investigator <span style="margin-left: 100px;">Dr. Stephanie Cohen</span>
UCSF Department ID/FUND Number: <b>136342-4000</b>	Amendment No. <b>1</b>

### Amendment(s) to Original Terms and Conditions

- Period of Performance: is from **September 1, 2014** through **August 31, 2015**.
- This amendment is to **adjust** the indirect cost from 24.03% to 12% as itemized in attachment A. This replaces the budget that was attached to the Initial Agreement as Attachment 6. This amount shall not be exceeded nor shall any portion be carried forward to future years without the University Principal Investigator's written authorization and subsequent formal amendment to this Agreement.

All other terms and conditions of this Subaward Agreement remain in full force and effect.

By an Authorized Official of University:  <span style="margin-left: 250px;"><u>3/23/15</u></span> Name: <b>Regnier Jurado</b> <i>Delnorah Howard</i> Title: <b>Associate Director</b> <i>Subaward Manager</i> Research Management Services (RMS) Office of Sponsored Research	By an Authorized Official of Subrecipient:  <span style="margin-left: 250px;"><u>3/23/15</u></span> Name: <b>STEPHANIE COHEN, MD, MPH</b> Title: <b>MEDICAL DIRECTOR, &amp; CITY CLINIC</b>
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ATTACHMENT A

Principal Investigator/Program Director (Last, first, middle):

Scheer, Andy & Cohen, Stephanie

PERSONNEL (Applicant organization only)				DOLLAR AMOUNT REQUESTED (total costs)				
NAME	ROLE ON PROJECT	TYPE APPT. (position)	% EFFORT ON PROJ.	INST. BASE SALARY	SALARY REQUESTED	Fringe Rate	FRINGE BENEFITS	TOTALS
Andy Scheer	Co-Investigator	6	0.410	\$80,388	\$18,806	42.00%	\$7,088	\$23,894
Stephanie Cohen	Co-Investigator	12	0.060	\$0	\$0	0.00%	\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
					\$0		\$0	\$0
SUBTOTALS					\$18,806		\$7,088	\$23,894
CONSULTANT COSTS								\$0
EQUIPMENT (itemize)								\$0
SUPPLIES (itemize by category)								\$0
TRAVEL								\$0
OTHER EXPENSES (itemize by category)								\$0
CONSORTIUM/CONTRACTUAL								\$0
Total Over 25K								
<b>TOTAL DIRECT COSTS FOR NEXT BUDGET PERIOD</b>								<b>\$23,894</b>
Modified Direct Costs								\$23,894
Indirect Cost (12%)								\$2,884
<b>TOTAL COSTS YEAR</b>								<b>\$26,778</b>

**Prime Award (UCSF):**

# 5P30MH082246-14

Principal Investigator: Marguerita Lightfoot, PhD, MPH

**Subcontract to:**

San Francisco Department of Public Health

Subcontract Principal Investigator: Stephanie Cohen, MD, MPH

**Performance period: 09/01/2014 - 08/31/2015**

Category	Original	New	Difference
Personnel	\$21,550	\$23,864	\$2,314
<b>Total Direct Costs</b>	<b>\$21,550</b>	<b>\$23,864</b>	<b>\$2,314</b>
Indirect Cost Rate	24.03%	12.00%	-12.03%
Indirect Costs	\$5,178	\$2,864	-\$2,314
<b>TOTAL COSTS</b>	<b>\$26,728</b>	<b>\$26,728</b>	<b>\$0</b>



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**To:** BOS-Supervisors; Wong, Linda (BOS)  
**Subject:** FW: Grant Budget Revision for HMM005-15, HRSA TITLE IV SERVICES  
**Attachments:** Board of Supervisors Memo.pdf

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**From:** Vasilevitsky, Victoria (DPH)  
**Sent:** Thursday, April 02, 2015 9:38 AM  
**To:** Gosiengfiao, Rachel (BOS)  
**Subject:** Grant Budget Revision for HMM005-15, HRSA TITLE IV SERVICES

Hello,

Attached is the memo of grant budget revision for the line item exceeding 15% and requiring agency approval .

Thank you.

Victoria Vasilevitsky  
Fiscal – Grant Unit  
1380 Howard St., Rm. 413  
San Francisco, CA 94103  
Phone (415) 255-3462  
Fax (415) 252-3063

(21)

HMM005-15 HRSA TITLE IV HIV SERVICES  
 Grant Period: August 1, 2014 through July 31, 2015  
 HMMHRCGRANTS  
 BUDGET

Sub-object	Description	FAMIS BPREP	FAMIS Awarded	GEHM15000125	FAMIS Ammendment	GEHM15000545	GEHM15000602	
44931	FEDERAL GRANTS PASSED THRU STATE/OTHER	97,531	89,728	(7,803)	97,531	7,803	97,531	
	<b>Total Revenue</b>	<b>97,531</b>	<b>89,728</b>	<b>(7,803)</b>				
00101	SALARIES	68,922	78,051	9,129	78,051	0	65,851	(12,200)
01301	MANDATORY FRINGE BEN	28,609	11,677	(16,932)	19,480	7,803	31,680	12,200
03500	OTHER CURRENT EXPENSES	0	0	0	0	0	0	0
	<b>Total Direct Costs</b>	<b>97,531</b>	<b>89,728</b>	<b>(7,803)</b>	<b>97,531</b>	<b>7,803</b>	<b>97,531</b>	<b>0</b>

39%

## Vasilevitsky, Victoria (DPH)

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**From:** Harris, Chris <Chris.Harris@ucsf.edu>  
**Sent:** Wednesday, March 25, 2015 2:44 PM  
**To:** Vasilevitsky, Victoria (DPH)  
**Subject:** RE: Amending SVNABHS sbc budget

Hi---yes, this is fine to transfer some from salaries to cover fringe.--Chris

Please note change in Ph.#, fax#, and floor address

---

Chris Harris, Women's Programs Manager; Division of HIV/AIDS, Positive Health Program  
UCSF/SF General campus/995 Potrero Avenue/Building 80, Ward 82, Box 0874/San Francisco, CA 94110  
Ph: 415.206.2436 Fax: 415.502.9566 E-mail: HarrisC@php.ucsf.edu

**From:** Vasilevitsky, Victoria (DPH) [mailto:victoria.vasilevitsky@sfdph.org]  
**Sent:** Wednesday, March 25, 2015 1:13 PM  
**To:** Harris, Chris  
**Cc:** Shaikh, Sajid (DPH)  
**Subject:** RE: Amending SVNABHS sbc budget

This message was sent securely using ZixCorp.

Hello,

According to our projection, there will be approx. \$12,200 shortage in fringes. Can we transfer this amount from salaries to fringes?

Thank you.

Victoria Vasilevitsky  
Fiscal – Grant Unit  
1380 Howard St., Rm. 413  
San Francisco, CA 94103  
Phone (415) 255-3462  
Fax (415) 252-3063

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**From:** Harris, Chris [mailto:Chris.Harris@ucsf.edu]  
**Sent:** Thursday, February 12, 2015 10:07 PM  
**To:** Vasilevitsky, Victoria (DPH)  
**Subject:** RE: Amending SVNABHS sbc budget

Hi—here's what we suggest-----

Submit your January invoice up to allowable/funds you have----and then we are going to do a Budget revision in March/April for whole Part D grant/we can revise what you need then for remainder of year.

Sorry---just found this in my draft email folder from earlier today----Chris



**City and County of San Francisco**  
**Department of Public Health**  
**POPULATION HEALTH AND PREVENTION**

1380 Howard Street, Rm. 448  
San Francisco, CA 94103-2614  
415.255.3450 FAX 415.255.3675

March 26, 2015

To: Clerk of the Board of Supervisors

From: Victoria Vasilevitsky  
(415) 255-3462  
victoria.vasilevitsky@sfdph.org

Cc: Controller's Office AOSD

Subject: Grant Budget Revision  
Grant Name: HRSA TITLE HIV SERVICES

In accordance with Administrative Code Section 10.170-1(F), this memo serves to notify the Board of Supervisors of a State grant line item budget revision in excess of 15% requiring funding agency approval.

A signed copy of budget revision is attached for your review.

Please feel free to contact me @ (415) 255-3462 or [victoria.vasilevitsky@sfdph.org](mailto:victoria.vasilevitsky@sfdph.org) if you have any questions.

Thank you.

BOARD of SUPERVISORS



City Hall  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco 94102-4689  
Tel. No. 554-5184  
Fax No. 554-5163  
TDD/TTY No. 544-5227

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MEMORANDUM

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Date: April 6, 2015  
To: Honorable Members, Board of Supervisors  
From: Angela Calvillo, Clerk of the Board  
Subject: Form 700

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This is to inform you that the following individuals have submitted a Form 700 Statement:

Ivy Lee – Legislative Aide – Annual  
Andres Power – Legislative Aide – Annual

22