File No. _____140730_____   **Committee Item No.** _____2_____
                                     Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: _Government Audit and Oversight_   **Date** _July 24, 2014_____

Board of Supervisors Meeting   **Date** _____

Cmte Board

Cmte	Board	
☐	☐	Motion
☒	☐	Resolution
☐	☐	Ordinance
☒	☐	Legislative Digest
☐	☐	Budget and Legislative Analyst Report
☐	☐	Youth Commission Report
☐	☐	Introduction Form
☒	☐	Department/Agency Cover Letter and/or Report
☐	☐	MOU
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☒	☐	Grant Budget
☐	☐	Subcontract Budget
☐	☐	Contract/Agreement
☐	☐	Form 126 – Ethics Commission
☒	☐	Award Letter
☐	☐	Application
☐	☐	Public Correspondence

OTHER   (Use back side if additional space is needed)

☐	☐	_____
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Completed by: _Alisa Miller_____   **Date** _July 18, 2014_____
Completed by: _____   **Date** _____

1   [Accept and Expend Grant - Urban Areas Security Initiative Grant Increase: Budget Revision -

2   FY2013 - $1,012,873]

3   **Resolution retroactively authorizing the Department of Emergency Management, on**

4   **behalf of the City and County San Francisco, as the fiscal agent for the Bay Area Urban**

5   **Areas Security Initiative (UASI), to accept and expend an increase to FY2013 UASI**

6   **grant funds in the amount of $1,012,873 for a total of $23,632,173 from the U.S.**

7   **Department of Homeland Security through the California Office of Emergency Services**

8   **for the period September 1, 2013, through May 31, 2015.**

9

10   WHEREAS, The United States Department of Homeland Security ("DHS") has several

11   Homeland Security Grant Programs, which includes the Urban Areas Security Initiative

12   ("UASI"); and

13   WHEREAS, The UASI grant addresses the unique planning, equipment, training, and

14   exercise needs of high-threat, high-density Urban Areas, and assists those areas in building

15   an enhanced and sustainable capacity to prevent, protect against, respond to, and recover

16   from threats and acts of terrorism; and

17   WHEREAS, DHS distributes UASI grant funds to recipient jurisdictions in California

18   through the California Office of Emergency Services (Cal OES); and

19   WHEREAS, The Bay Area UASI Program includes the twelve contiguous Bay Area

20   counties and other governmental entities within those counties, and is governed by an

21   Approval Authority representing the largest cities and counties; and

22   WHEREAS, The City and County of San Francisco serves as both the chair and the

23   fiscal agent for the Bay Area UASI program, and disburses funds to jurisdictions in the Bay

24   Area pursuant to the direction of the UASI Approval Authority; and

25

1   WHEREAS, The Bay Area UASI program anticipated and budgeted for a FY2013 UASI

2   grant award of $21,931,312, based on the FY2012 grant award; and,

3   WHEREAS, The initial amount allocated to the Bay Area UASI in FY2013 was

4     $22,619,300, which was an increase of $687,988 over the anticipated amount, and which the

5   Board of Supervisors accepted and expended through passage of Resolution 11-14; and,

6   WHEREAS, On May 7, 2014, DHS awarded an additional $1,012,873 to the Bay Area

7   UASI program for the statewide Cyber Risk Management Project; and

8   WHEREAS, The final amount allocated to the Bay Area UASI is $23,632,173; and,

9   WHEREAS, Administrative Code, 10.170.1, requires that an increase in grant awards

10   above $100,000 be approved by the Board of Supervisors; and,

11   WHEREAS, The Bay Area UASI must expend UASI funds no later than May 31, 2015;

12   and

13   WHEREAS, The grant does not require an amendment to the Annual Salary

14   Ordinance; and

15   WHEREAS, The grant does not include any provisions for indirect costs; now, therefore

16   be it

17   RESOLVED, That the Board of Supervisors hereby authorizes DEM, on behalf of the

18   City and County of San Francisco, to accept and expend the FY 2013 UASI grant increase in

19   the amount of $1,012,873, for a total of $23,632,173; and, be it
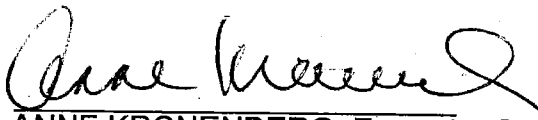
20   FURTHER RESOLVED, That the Board authorizes the DEM Executive Director, or the

21   Director's designee, to furnish whatever additional information or assurances that DHS or Cal

22   OES may request in connection with this grant, to execute any and all agreements or other

23   documents, and to take any other steps necessary to accept, distribute and expend the grant

24   funds; and, be it

25

FURTHER RESOLVED, That the grant does not include any provisions for indirect costs, and indirect costs are hereby waived.

Recommended:

Approved: _____
for EDWIN M. LEE, Mayor

ANNE KRONENBERG, Executive Director
Department of Emergency Management

BEN ROSENFIELD,
Controller

FILE NO. 140730

<u>LEGISLATIVE DIGEST</u>

[Accept and Expend Grant - Urban Areas Security Initiative Grant Increase: Budget Revision - FY2013 - $1,012,873]

Resolution retroactively authorizing the Department of Emergency Management, on behalf of the City and County San Francisco, as the fiscal agent for the Bay Area Urban Areas Security Initiative (UASI), to accept and expend an increase to FY2013 UASI grant funds i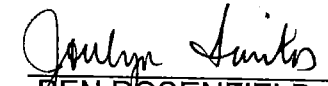n the amount of $1,012,873 for a total of $23,632,173 from the U.S. Department of Homeland Security through the California Office of Emergency Services for the period September 1, 2013, through May 31, 2015.

Background Information

The United States Department of Homeland Security ("DHS"), through its Urban Areas Security Initiative ("UASI") Grant Program, provides funding for the planning, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and assists those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from threats and acts of terrorism.

The combined Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of San Jose form the Bay Area Urban Area. The Bay Area Urban Area includes the twelve contiguous Bay Area counties and governmental entities within those counties.

The City and County of San Francisco, the City of Oakland, the City of San Jose, and the Counties of Alameda, Contra Costa, Marin, Monterey, San Mateo, Santa Clara and Sonoma have entered a Memorandum of Understanding ("MOU") regarding the Bay Area Urban Area's mission, governance and reporting structures, responsibilities, and financial arrangements, as well as processes and mechanisms to use in applying for, allocating and distributing UASI grant funds through the Bay Area Urban Area. Under the MOU, the UASI Approval Authority determines the allocation and distribution of UASI grant funds. San Francisco serves as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the Approval Authority. San Francisco disburses UASI funds pursuant to the Approval Authority's direction.

Based on the FY 12 UASI grant award, the Department of Emergency Management (DEM) included $21,931,312 in the Annual Appropriation Ordinance (AAO). However, for fiscal year 2013, the Bay Area Urban Area was awarded $22,619,300, an increase of $687,988 from the 2012 award. Resolution No. 11-14 approved the requested approval for the additional funds. On May 7, 2014, the Bay Area received an additional award of $1,012,873 to provide funding for the purchase of equipment and for planning purposes for a statewide cyber risk management project. This project will be managed by the Bay Area UASI on behalf of the Bay Area and other Urban Areas within California. The term of the grant is from September 1, 2013 through May 31, 2015.

Proposed Resolution

The proposed resolution authorizes the Department of Emergency Management (DEM), on behalf of the City and County of San Francisco as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to accept and expend an additional $1,012,873 in UASI grant funds for fiscal year 2013 from the United States Department of Homeland Security, through the California Emergency Management Agency. The proposed resolution also authorizes DEM's Executive Director, or designee, to take any steps necessary to accept, distribute and expend the grant funds.

Dep...tment of Emergency Management
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services
Phone: (415) 487-5000 Fax: (415) 487-5043

Edwin M. Lee
Mayor

SFDEM

**SAN FRANCISCO DEPARTMENT
OF EMERGENCY MANAGEMENT**

Anne Kronenberg
Executive Director

TO: **Angela Calvillo, Clerk of the Board of Supervisors**

FROM: **Amiee Alden, DEM**

DATE: **June 13, 2014**

SUBJECT: **Accept and Expend Resolution for Subject Grant**

GRANT TITLE: **FY 2013 Urban Areas Security Initiative Grant Program**

Attached please find the original and 4 copies of each of the following:

_X_ Proposed grant resolution; original signed by Department, Mayor, Controller

_X_ Grant information form, including disability checklist

_X_ Grant budget

____ Grant application

_X_ Grant award letter from funding agency

____ Ethics Form 126 (if applicable)

____ Contracts, Leases/Agreements (if applicable)

Special Timeline Requirements:

Departmental representative to receive a copy of the adopted resolution:

Name: Amiee Alden   Phone: 558-3803

Interoffice Mail Address:

Certified copy required Yes ☒   No ☐

(Note: certified copies have the seal of the City/County affixed and are occasionally required by funding agencies. In most cases ordinary copies without the seal are sufficient).

File Number: 140730

(Provided by Clerk of Board of Supervisors)

Grant Resolution Information Form
(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: FY 13 Urban Areas Security Initiative Grant

2. Department: Department of Emergency Management

3. Contact Person: Amiee Alden   Telephone: 558-3803

4. Grant Approval Status (check one):

    [X] Approved by funding agency   [ ] Not yet approved

5. Amount of Grant Funding Approved or Applied for: $23,632,173

6a. Matching Funds Required: $ N/A
  b. Source(s) of matching funds (if applicable):

7a. Grant Source Agency: U.S. Department of Homeland Security
  b. Grant Pass-Through Agency (if applicable): California Office of Emergency Services

8. Proposed Grant Project Summary: this grant provides funding to prevent, prepare for, respond to, and recover from threats of acts of terrorism.

9. Grant Project Schedule, as allowed in approval documents, or as proposed:

        Start-Date: September 1, 2013   End-Date: May 31, 2015

10a. Amount budgeted for contractual services: $ N/A

   b. Will contractual services be put out to bid? Yes

   c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements? No. Not allowed under Homeland Security Grant Guidelines.

   d. Is this likely to be a one-time or ongoing request for contracting out? One time.

11a. Does the budget include indirect costs?   [ ] Yes   [X] No

   b1. If yes, how much? $
   b2. How was the amount calculated?

   c1. If no, why are indirect costs not included?
        [X] Not allowed by granting agency   [ ] To maximize use of grant funds on direct services
        [ ] Other (please explain):

1

c2. If no indirect costs are included, what would have been the indirect costs? Unknown

12. Any other significant grant requirements or comments:

Disability Access Checklist*(Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)

13. This Grant is intended for activities at (check all that apply):

[X] Existing Site(s)   [X] Existing Structure(s)   [X] Existing Program(s) or Service(s)
[ ] Rehabilitated Site(s)   [ ] Rehabilitated Structure(s)   [X] New Program(s) or Service(s)
[X] New Site(s)   [ ] New Structure(s)

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;

2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;

3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

Comments:

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

__Sandy Chan_____
(Name)

Department ADA Coordinator_____
(Title)
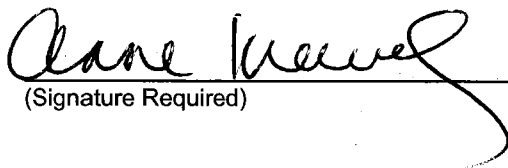
Date Reviewed: _____6/13/14_____   _Sandy Chan_____
                                                (Signature Required)

Department Head or Designee Approval of Grant Information Form:

Anne Kronenberg_____
(Name)

Acting Executive Director_____
(Title)

Date Reviewed: _____6/13/14_____   _Anne Kronenberg_____
                                                (Signature Required)

CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES (Cal OES)

PROJECT LEDGER

CFDA #: 97.067

Bay Area UASI
075-9517
2013-00110

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.

Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

Warning! Decimal usage is not allowed. Attempts to use decimals will prompt error message.

LEDGER TYPE:	Modification
Today's Date	June 5, 2014
Expenditure Period:	(Date) from:
	(Date) to:
Request #	2
Approval: Cal OES ONLY	Date & Initials (Prog. REP.): 6/5/2014 MGE

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area(s)	Solution Area/Sub Category	Total Obligated	Amount Approved Previous	Amount this Request	Match Amount Requested	Total Approved	Remaining Balance	Percentage Complete
							23,632,173					23,632,173	
1	A	Develop Regional Risk Management and Planning	HSGP-UASI	EMG	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	2,634,044					2,634,044	
2	A	Develop Regional Risk Management and Planning	HSGP-UASI	FS	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	307,459					307,459	
4	A	Develop Regional Risk Management and Planning	HSGP-UASI	EMG	HSGP-Planning	Conferences	210,000					210,000	
4	A	Develop Regional Risk Management and Planning	HSGP-UASI	LE	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	1,128,401					1,128,401	
5	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	HSGP-Training	Course Delivery and Evaluation	170,000					170,000	
6	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	EMG	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	485,220					485,220	
8	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	GA	HSGP-Organization	Info/Intel analysis and sharing/fusion center activities	268,425					268,425	
9	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	HSGP-Organization	Equip/Resource/Project Mgt	94,836					94,836	

FMFW v1.13 - 2013
Project Ledger
Modification #2
Submitted: 05-27-14
Approved: 06-05-14 MGE

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area/Sub-Category	Total Obligated	Amount Approved Previous	Amount this Request	Total Approved	Remaining Balance	Percentage Complete
10	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	EMG	Info/Intel analysis and sharing/fusion center activities	120,000				120,000	
10	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	FS	Info/Intel analysis and sharing/fusion center activities	252,650				252,650	
10	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Info/Intel analysis and sharing/fusion center activities	3,054,089				3,054,089	
11	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	FS	Information Technology	107,804				107,804	
12	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Information Technology	5,000				5,000	
13	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	PSC	Information Technology	750,000				750,000	
14	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Interoperable Communications Equipment	10,000				10,000	
15	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Terrorism Incident Prevention Equipment	74,565				74,565	
16	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Physical Security Enhancement Equipment	84,672				84,672	
17	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Intervention Equipment	209,577				209,577	
19	B	Enhance Information Analysis and Infrastructure Protection Capabilities	HSGP-UASI	LE	Other Authorized Equipment	831,435				831,435	
22	C	Strengthen Communications Capabilities	HSGP-UASI	PSC	Develop and Enhance Plans, Protocols and Systems	200,000				200,000	
22	C	Strengthen Communications Capabilities	HSGP-UASI	EMG	Develop and Enhance Plans, Protocols and Systems	415,330				415,330	
23	C	Strengthen Communications Capabilities	HSGP-UASI	EMG	Interoperable Communications Equipment	145,000				145,000	
25	C	Strengthen Communications Capabilities	HSGP-UASI	LE	Interoperable Communications Equipment	680,474				680,474	

PMPW V1.13 - 2013
Project Ledger
Modification #2
Submitted: 05-27-14
Approved: 06-05-14 MGE

Item Number	Project	Project Name	Funding Source (Discipline)	Solution Area (Category)	Solution Area/Sub-Category	Total Obligated	Amount (Approved Previous)	Amount in this Match/Amount Request	Total Approved	Remaining Balance	Percentage Complete
26	C	Strengthen Communications Capabilities	HSGP-UASI	PSC / HSGP-Equipment	Interoperable Communications Equipment	705,000				705,000	
26	C	Strengthen Communications Capabilities	HSGP-UASI	PSC / HSGP-Equipment	Other Authorized Equipment	452,272				452,272	
31	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	FS / HSGP-Equipment	Interoperable Communications Equipment	7,358				7,358	
32	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	LE / HSGP-Equipment	CBRNE Search and Rescue Equipment	149,100				149,100	
33	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	FS / HSGP-Equipment	Personal Protective Equipment	324,600				324,600	
34	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	EMG / HSGP-Equipment	Information Technology	62,000				62,000	
34	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	FS / HSGP-Equipment	Information Technology	28,085				28,085	
35	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	EMG / HSGP-Equipment	CBRNE Incident Response Vehicle	70,625				70,625	
36	D	Strengthen CBRNE Detection, Response, and Decontamination Capabilities	HSGP-UASI	FS / HSGP-Equipment	CBRNE Incident Response Vehicle	300,000				300,000	
37	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	LE / HSGP-Equipment	CBRNE Incident Response Vehicle	30,000				30,000	
38	D	Strengthen CBRNE Detectio, Response, and Decontamination Capabilities	HSGP-UASI	LE / HSGP-Equipment	CBRNE Logistical Support Equipment	19,170				19,170	
44	E	Enhance Medical, Public Health, and Mass Care Preparedness	HSGP-UASI	PH / HSGP-Exercise	Design/Develop	150,000				150,000	
44	E	Enhance Medical, Public Health, and Mass Care Preparedness	HSGP-UASI	EMG / HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	170,165				170,165	
44	E	Enhance Medical, Public Health, and Mass Care Preparedness	HSGP-UASI	PH / HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	5,000				5,000	
44	E	Enhance Medical, Public Health, and Mass Care Preparedness	HSGP-UASI	EMG / HSGP-Equipment	Medical	5,000				5,000	
44	E	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	PH / HSGP-Training	Course Delivery and Evaluation	10,000				10,000	
45	F	Strengthen Emergency Planning and Citizen Preparedness Capabilities	HSGP-UASI	EMG / HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	382,278				382,278	

FMFW v1.13 - 2013
Project Ledger
Modification #2
Submitted: 05-27-14
Approved: 06-05-14 MGE

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area Category	Solution Area/Sub-Category	Total Obligated	Amount Approved Previous	Amount this Request	Total Approved	Remaining Balance	Percentage Complete
46	F	Strengthen Emergency Planning and Citizen Preparedness Capabilities	HSGP-UASI	FS	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	65,000				65,000	
47	F	Strengthen Emergency Planning and Citizen Preparedness Capabilities	HSGP-UASI	EMG	HSGP-Equipment	Information Technology	300,000				300,000	
48	F	Strengthen Emergency Planning and Citizen Preparedness Capabilities	HSGP-UASI	EMG	HSGP-Equipment	Other Authorized Equipment	440,000				440,000	
49	G	Enhance Recovery Capabilities	HSGP-UASI	EMG	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	371,480				371,480	
50	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	EMG	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	327,613				327,613	
51	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	LE	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	1,434,608				1,434,608	
52	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	LE	HSGP-Training	Course Delivery and Evaluation	1,700,000				1,700,000	
53	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	EMS	HSGP-Exercise	Conduct/Attend/Evaluate	125,000				125,000	
54	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	FS	HSGP-Exercise	Conduct/Attend/Evaluate	200,000				200,000	
55	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	LE	HSGP-Exercise	Conduct/Attend/Evaluate	1,325,000				1,325,000	
56	H	Enhance Homeland Security Exercise, Evaluation, and Training Programs	HSGP-UASI	LE	HSGP-Exercise	Supplies/Materials/Production Costs	50,000				50,000	
57	I	Grant Management and Administration	HSGP-UASI	EMG	HSGP-M&A	Grant Admin	1,130,965				1,130,965	
58	J	Statewide Cyber Security and Data Project	HSGP-UASI	EMG	HSGP-Equipment	Other Authorized Equipment	192,873				192,873	
59	J	Statewide Cyber Security and Data Project	HSGP-UASI	EMG	HSGP-Equipment	Cyber Security Enhancement Equipment	775,000				775,000	
60	J	Statewide Cyber Security and Data Project	HSGP-UASI	EMG	HSGP-Planning	Develop and Enhance Plans, Protocols and Systems	45,000				45,000	

Alterations in this document may result in delayed application approval, modification requests, or reimbursement requests. Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

PROJECT DESCRIPTIONS

Project	Homeland Security / Investment Justification	Homeland Security Goals	Objective	Project Description	Milestones
Project A - Enhance Regional Risk Management and Planning	Investment #1: Strengthen Information Sharing and Collaboration Capabilities and Law Enforcement Investigations	Goal 1: Enhance Information Analysis and Law Enforcement Capabilities	Objective 1.1: Strengthen Information Sharing and Collaboration Among All Levels of Government, Private Industry, Non-Government and Community Based Organizations	Hire regional planning and project management personnel in the UASI Management Team for risk management, strategic planning and program management. Risk analysts will assist with the overall risk management efforts to identify gaps, focus on improvements, and inform the community; counter terrorism capabilities and response. Planners will coordinate vulnerability assessments, manage and develop grant projects that increase regional response capability. Disaster coordinators will develop and enhance emergency operational planning capabilities. The Resource Inventory Project will continue developing a secure and up to data inventory of regional resources.	Despite a strong foundation, gaps in planning and risk management remain. The region needs to collect and analyze more data to better maintain an understanding of risk, facilitate efforts to identify gaps, focus on improvements, and inform the community of actions that may reduce risk; including informing policy makers on the importance of using risk and capability data for informed decision making, updating local emergency operations plans and integrating those plans across the region. At the 6-month mark, this project will be 20% complete and $935,881 funds will be expended. At the 12-month mark, this project will be 50% complete and $2,339,702 funds will be expended. At the 18-month mark, this project will be 100% complete and $4,679,403 funds will be expended.
Project B - Information Analysis and Infrastructure Protection	Investment #2: Enhance Protection of Critical Infrastructure and Key Resources	Goal 2: Protect Critical Infrastructure and Key Resources	Objective 2.1: Implement the California Critical Infrastructure Protection Program	Develop plans related to homeland security threat information. Fund 10 analysts and other fusion center personnel at the Northern California Regional Intelligence Center (NCRIC). Purchase annual maintenance agreements; CAD to CAD interface equipment; information sharing equipment, and maintenance costs; mobile handheld information systems; electronic citation and field identification equipment; Automated License Plate Readers; laptop data cards for field incident command posts; and National Integrated Ballistic Information Network database (NIBIN), a nationwide searchable database. Provide Terrorism Liaison Officer training, suicide bombing training, and cyber security training.	Capability gaps have been identified in the NCRIC's Individual 2012 Fusion Center Assessment Report. The NCRIC continues to need to enhance and improve its ability to receive classified and unclassified information from the federal government; sustain analysts, analytical systems, databases, and information analysis services; sustain the ability to disseminate threat information to entities within their jurisdictions; and sustain the ability to gather locally-generated information through the Terrorism Liaison Officer Outreach Program, Private Sector Outreach Program, Critical Infrastructure Protection Team, Vetting and Awareness Team, Information Technology Team and Assessment and Monitoring Team. At the 6-month mark, this project will be 5% complete and $331,487 funds will be expended. At the 12-month mark, this project will be 50% complete and $1,651,895 funds will be expended. At the 18-month mark, this project will be 100% complete and $6,629,739 funds will be expended.
Project C - Strengthen Communication Capabilities	Investment #3: Strengthen Communication Capabilities	Goal 3: Strengthen Communications Capabilities	Objective 3.1: Implement the California Statewide Communications Interoperability Plan	Sustain and enhance P25 voice communications capabilities across the Bay Area through the region's "system of systems" - BayComm. Fund an Interoperability Manager to oversee numerous projects. Purchase extended warranty/maintenance services and Network Operations Center services to maintain BayLoop; obtain extended warranty/maintenance services for Mobile Data Terminals; purchase GEO satellite antenna service; authorized communications equipment to increase public safety communications capacity, add more P25 interoperable radios for regional mutual aid and purchase equipment to allow for inter and mutual communication capabilities in BART tunnels.	Recent assessments demonstrated that the region needs to provide for the capability of incorporating new and improving technologies. The BayComm project will allow the region to move faster and more efficiently to a higher level of compatible interoperability. The projects support gaps identified in the 2012 Capability Assessment as "Needs Attention". Purchases of additional standards-based radio system infrastructure will improve emergency communications for first responders. Mobile Data Terminals will provide for a continuous flow of information during an emergency operation. At the 6-month mark, this project will be 2% complete and $43,938 funds will be expended. At the 12-month mark, this project will be 50% complete and $878,768 funds will be expended. At the 18-month mark, this project will be 100% complete and $2,196,921 funds will be expended.
Project D - Enhance CBRNE Detection, Response and Decontamination Capabilities	Investment #5: Enhance Catastrophic CBRNE and All Hazards Incident Planning and Response Capabilities	Goal 5: Strengthen Catastrophic CBRNE and All Hazards Incident Planning, Detection and Response Capabilities	Objective 5.1: Strengthen All Hazards Incident Management Capabilities Across California	Project supports the purchase of equipment and equipment typing. Procure a passenger van and a heavy rescue vehicle to transport fire personnel; FireView Software to assist executive management and command staff in decision making; an elevated manned mobile surveillance tower; an unmanned aerial vehicle for use with suspicious packages/ IEDs in confined spaces; bomb robot equipment; a 20 foot underwater Remote Operated Vehicle (ROV) for CBRNE detection in low visibility, underwater searches; basic equipment for a Type III - All Hazards team; a 8'x24' animal rescue trailer; and 4 Thermal Imaging Cameras (TIC).	The region frequently needs to transport fire department personnel to the scene of an event that doesn't require apparatus. The region's robotic safety equipment is unable to maneuver in many locations, requiring personnel to be sent into harm's way. A bomb squad trailer is required to transport large equipment teams. The munity waters of San Francisco Bay require a remotely operated underwater vehicle (ROV) to close a gap in underwater explosive and radiation detection in the Bay Area. San Mateo County lacks access to thermal imaging cameras. The Bay Area wishes to ensure that the issue surrounding household pets and service animals are adequately addressed. At the 6-month mark, this project will be 10% complete and $87,669 funds will be expended. At the 12-month mark, this project will be 50% complete and $439,344 funds will be expended. At the 18-month mark, this project will be 100% complete and $876,688 funds will be expended.
Project E - Enhance Medical, Public Health and Mass Care Capabilities	Investment #6: Enhance Medical and Public Health Preparedness	Goal 6: Improve Medical and Health Capabilities	Objective 6.1: Enhance Health and Public Health Preparedness and Disaster Response Capabilities for All Hazards	Objective 6.1: Enhance Health and Medical Services, Fatality Management Services, and Public and Private Services and Resources Core Capabilities. A regional MMRS Coordinator will develop, validate and implement disaster response plans for medical mass casualty incidents in the region; a planner will develop regional multi disciplinary trainings, workshops and other activities based on vulnerabilities and coordinate the recruitment, engagement and retention of volunteers. A Bay Area regional full scale exercise to test Core and Public Health Emergency Preparedness capabilities will be conducted.	This project supports the implementation of the Public Health and Medical Services, Fatality Management Services, and Public and Private Services and Resources Core Capabilities. A regional MMRS management plan, one identified need is for specifically identified medical volunteers. A successful, video-based training modules is needed for volunteer organizations to aid in the dispensing of Medical Counter measures. A number of tasks such as logistic support functions and the administration of vaccines require training. The exercise will address the regional gap of a lack of a Multi-Agency Coordination (MAC) group for a regional response to an Anthrax release gaps in conducting regional (3IC) risk communications in a time-sensitive scenario are needed. Common standards and protocols for public messaging for all OAs are needed. Funding for the MMRS planner is needed to maintain an existing medical and health planning capability. Despite a volunteer... At the 6-month mark, this project will be 10% complete and $26,400 funds will be expended. At the 12-month mark, this project will be 50% complete and $158,400 funds will be expended. At the 18-month mark, this project will be 100% complete and $264,000 funds will be expended.

Project	Homeland Security Investment Justification	Homeland Security Category/Goal	Homeland Security Objective	Project Description	Risk	Project Milestones/Expenditures
Project F- Strengthen Emergency Planning and Community Preparedness Capabilities	Investment #4: Enhance Community Resilience	Goal 4: Enhance Planning and Community Preparedness	Objective 4.1: Enhance Citizen Preparedness While Integrating the Needs of Vulnerable Populations and	This project supports the implementation of emergency planning and community preparedness. It funds a neighborhood hub planner, a Community Engagement Manager and Planner, a Neighborhood Emergency Response Team (NERT) Program Coordinator, and a consultant to continue efforts in the area of public/private partnerships. Purchase and install "CodeRed", a text and email messaging systems; sustain text and email messaging systems in San Francisco and San Mateo; and sustain WebEOC® in the West Bay.	Residents need assistance with problem solving, information sharing, peer to peer support and resource management during a crisis. There are gaps in communications and outreach strategies for public emergency preparedness. Logistics, donations, and economic recovery in the Bay Area is an underdeveloped area. Notification systems need to coordinate their messaging systems to provide real time messaging and important updates. Neighborhood Emergency Response Teams need to develop Standard Operating Guidelines (SOG) to assist with the dissemination of emergency public information; require a curriculum to enhance basic neighborhood training; and require materials to assist monolingual individuals participate in the response in their community.	At the 6-month mark, this project will be 10% complete and $124,061 funds will be expended. At the 12-month mark, this project will be 40% complete and $496,244 funds will be expended. At the 18-month mark, this project will be 100% complete and $1,240,611 funds will be expended.
Project G- Enhance Recovery Capabilities	Investment #4: Enhance Community Resilience	Goal 4: Enhance Planning and Community Preparedness	Objective 4.1: Enhance Citizen Preparedness While Integrating the Needs of Vulnerable Populations and Objective 4.2: Strengthen Volunteer Management	This project supports recovery and resiliency. Fund an SF Recovery Support Specialist to support various planning efforts; fund a Logistics Coordinator to oversee the SF Emergency Operations Center Logistics Section; fund the continued development of SF72, a web-based tool to to assist citizens in preparing to survive for 72 hours after an emergency; and fund a Specialist and Coordinator to address the gaps in social and economic community impact and long-term community recovery.	While the region has made progress by establishing a recovery goal in its homeland security strategy, implementation is still in the early stages. The continued development of operational plans to ensure they link to the National Disaster Recovery Framework and state level recovery plans are needed. Lifelines interdependencies have been partially addressed, but more analysis is required. Logistics/planning is also required for the development of policies and procedures and the revision of existing plans. Citizens also still require assistance to prepare for an emergency. Although progress has been made in this area, gaps still exist and web-based information has become increasingly important.	At the 6-month mark, this project will be 5% complete and $19,957 funds will be expended. At the 12-month mark, this project will be 30% complete and $119,741 funds will be expended. At the 18-month mark, this project will be 100% complete and $399,135 funds will be expended.
Project H- Enhance Regional Homeland Security Exercise, Evaluation and Training Program	Investment #8: Homeland Security Exercise, Evaluation and Training Programs	Goal 8: Enhance Homeland Security Exercise, Evaluation and Training Programs	Objective 8.1: Expand Statewide Training Across All Mission Areas, While Enhancing Professional Training For Emergency Management and Homeland Security Disciplines	This project implements the Bay Area's Multi-Year Training and Exercise Plan. It funds regional and local level training personnel to develop strategic policy direction and oversee an annual training needs assessment to develop a plan for training activities and opportunities for all major emergency first responder disciplines (over 1,000 students). Funds regional and local level exercise personnel who will conduct an annual exercise needs assessment and provide a plan for the provision of exercises, as well as conducting a regional multi-disciplinary, multijurisdiction Full Scale Exercise ("Urban Shield"). Funds two Exercise and Training Planners for San Francisco to coordinate, develop, and conduct SF based training and exercises.	Specific gaps exist in bomb squad/underwater explosive teams, crowd control/mobile field force, and observation aircraft. Swift water rescue and other search and rescue gaps exist. Fire/hazardous Materials training gaps appear in technical positions as well as supervisory and management levels. Realistic exercise scenarios in last year's Urban Shield have identified gaps in critical infrastructure protection, intelligence and info sharing, search and rescue, tactical, medical, fire and explosive ordinance, and active shooter response capabilities.	At the 6-month mark, this project will be 10% complete and $510,184 funds will be expended. At the 12-month mark, this project will be 50% complete and $2,040,735 funds will be expended. At the 18-month mark, this project will be 100% complete and $5,101,837 funds will be expended.
Project I- Management and Administration	N/A	N/A	N/A	Management and Administration as allowed by the grant	Management and Administration as allowed by the grant	At the 6-month mark, this project will be 25% complete and $282,741 funds will be expended. At the 12-month mark, this project will be 50% complete and $565,482 funds will be expended. At the 18-month mark, this project will be 100% complete and $1,130,965 funds will be expended.
Project J- Statewide Cyber Security and Data Project	Investment #9: Statewide Cyber Security and Data Project	Goal 1: Enhance Information Analysis and Law Enforcement Capabilities	Objective 1.2: Strengthen California's Ability to Identify and Counter Emerging Threats	This project provides funding for a statewide cyber security project, maintenance of the existing statewide software, and funding for a statewide coordinator.	The CA UASIs have identified a need for state support to fund this project to provide for cyber security and a statewide coordinator for this project. The risk management portion of the project will provide for maintenance of the analytic tools.	At the 6-month mark, this project will be 25% complete and $253,218 funds will be expended. At the 12-month mark, this project will be 50% complete and $506,437 funds will be expended. At the 18-month mark, this project will be 100% complete and $1,012,873 funds will be expended.

CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES (Cal OES)

EQUIPMENT

Bay Area UASI

075-95017
2013-00110

CFDA #: 97.067

LEDGER TYPE:	Modification
Today's Date	June 5, 2014
Expenditure Period:	
Request #	2
Approval: Cal OES ONLY	Date & Initials (Prog./RDP.):	6/5/2014	MGE

	Project	Equipment Description (Quantity)	AEL #		Funding Source																						Total		Remaining Balance
B		CAD system for REDCOM (1)- Sonoma County	04AP-01-CADS	System, Dispatch, Computer Aided	HSGP-UASI	FS	HSGP-Information Technology													Yes	Yes	No hold Indicated			107,804		107,804
B		CAD system (1) - Monterey County	04AP-01-CADS	System, Dispatch, Computer Aided	HSGP-UASI	PSC	HSGP-Information Technology													Yes	No	No hold Indicated			750,000		750,000
B		Mobile Handheld Information System (1) - Oakland	04HW-01-HHCD	Computing Device, Handheld	HSGP-UASI	LE	HSGP-Information Technology													No	No	No hold Indicated			5,000		5,000
B		Aircards for SFPD (18)- San Francisco	06CC-02-DSAD	Device, Data Service Access	HSGP-UASI	LE	HSGP-Interoperable Communications Equipment													No	No	No hold Indicated			10,000		10,000
B		Cooper Notification/RSAN (1) - NCRIC	13IT-00-ALRT	System, Alert/Notification	HSGP-UASI	LE	HSGP-Terrorism Incident Prevention Equipment													No	Yes	No hold Indicated			13,500		13,500
B		i2 (1)- NCRIC	13IT-00-ALRT	System, Alert/Notification	HSGP-UASI	LE	HSGP-Terrorism Incident Prevention Equipment													No	Yes	No hold Indicated			23,000		23,000
B		Entersect (1) - NCRIC	13IT-00-DACQ	Data Acquisition	HSGP-UASI	LE	HSGP-Terrorism Incident Prevention Equipment													No	Yes	No hold Indicated			38,065		38,065
B		Automated vehicle identification system (3) - San Mateo	14SW-01-SIDV	Systems, Vehicle Identification	HSGP-UASI	LE	HSGP-Physical Security Enhancement Equipment													No	Yes	EHP			84,672		84,672
B		NRBW ID System (1)- Alameda	20CS-02-SUPP	Equipment and Supplies, Crime Scene Processing	HSGP-UASI	LE	HSGP-Intervention Equipment													Yes	Yes	No hold Indicated			209,577		209,577
B		Maintenance for various SBISS equipment software (1)- Gilroy	21GN-00-MAIN	Maintenance	HSGP-UASI	LE	HSGP-Other Authorized Equipment													Yes	Yes	No hold Indicated			350,000		350,000
B		Maintenance for ATRES software (1)- Contra Costa	21GN-00-MAIN	Maintenance	HSGP-UASI	LE	HSGP-Other Authorized Equipment													Yes	Yes	No hold Indicated			354,000		354,000
B		JSI Telecomm-Wirecom Maintenance- NCRIC	21GN-00-MAIN	Maintenance	HSGP-UASI	LE	HSGP-Other Authorized Equipment													No	Yes	No hold Indicated			17,990		17,990
B		Digital Sandbox - Annual maintenance- NCRIC	21GN-00-MAIN	Maintenance	HSGP-UASI	LE	HSGP-Other Authorized Equipment													Yes	Yes	No hold Indicated			60,205		60,205
B		Palantir - Annual Maintenance- NCRIC	21GN-00-MAIN	Maintenance	HSGP-UASI	LE	HSGP-Other Authorized Equipment													Yes	Yes	No hold Indicated			37,240		37,240
B		Bizhub Konica Maintenance- NCRIC	21GN-00-MAIN	Maintenance	HSGP-UASI	LE	HSGP-Other Authorized Equipment													No	Yes	No hold Indicated			12,000		12,000
C		Mobile Satellite Data Systems (2)- San Jose	06CC-04-EQSD	Equipment, Satellite Data	HSGP-UASI	EMG	HSGP-Interoperable Communications Equipment													Yes	No	No hold Indicated			145,000		145,000
C		Portable radios for SJPD (22)- San Jose	06CP-01-PORT	Radio, Portable	HSGP-UASI	LE	HSGP-Interoperable Communications Equipment													No	Yes	No hold Indicated			93,750		93,750

Project	Equipment Description (Quantity)	AEL #	Subcategory (console)	Portfolio (Grant Source)	Discipline	Enhances Regional Subcategory	Purchase to local jurisdiction?	Sole source?	Hold Status	Amount Approved	Total Approved / Remaining Balance
C	Portable P25 radios (124) - Management Team - Bay Area UASI	06CP-01-PORT	Radio, Portable	HSGP-UASI	LE	HSGP-Interoperable Communications Equipment	Yes	Yes	No hold Indicated	506,724	506,724
C	Repeaters for SVRIA (6)- Santa Clara	06CP-01-REPT	Repeaters	HSGP-UASI	PSC	HSGP-Interoperable Communications Equipment	Yes	Yes	EHP	300,000	300,000
C	Towers for SVRIA (2)- Santa Clara	06CP-03-TOWR	Systems, Antenna and Tower	HSGP-UASI	PSC	HSGP-Interoperable Communications Equipment	Yes	Yes	EHP	40,000	40,000
C	ISSI system interface to BART (1)- San Francisco	06CP-04-WADN	Network, Wide Area Digital	HSGP-UASI	PSC	HSGP-Interoperable Communications Equipment	Yes	Yes	No hold Indicated	275,000	275,000
C	ISSI system interface to San Francisco (1) - BART	06CP-04-WADN	Network, Wide Area Digital	HSGP-UASI	PSC	HSGP-Interoperable Communications Equipment	No	Yes	No hold Indicated	90,000	90,000
C	Regional Interoperable Fleet Mapping (1) - San Mateo	06CP-04-WADN	Network, Wide Area Digital	HSGP-UASI	LE	HSGP-Interoperable Communications Equipment	No	Yes	No hold Indicated	80,000	80,000
C	Installation for SVRIA (1)- Santa Clara	21GN-00-INST	Installation	HSGP-UASI	PSC	HSGP-Other Authorized Equipment	Yes	Yes	EHP	167,522	167,522
C	Maintenance contracts for BayLoop (1)- Alameda	21GN-00-MAIN	Maintenance	HSGP-UASI	PSC	HSGP-Other Authorized Equipment	Yes	Yes	No hold Indicated	240,000	240,000
C	Shipping for SVRIA (1)- Santa Clara	21GN-00-SHIP	Shipping	HSGP-UASI	PSC	HSGP-Other Authorized Equipment	Yes	Yes	No hold Indicated	15,000	15,000
C	Sales Tax for SVRIA- Santa Clara	21GN-00-STAX	Sales Tax	HSGP-UASI	PSC	HSGP-Other Authorized Equipment	Yes	Yes	No hold Indicated	29,750	29,750
D	Protective Garments PPE FD - Oakland	01PE-01-GARM	Garment, Protective, Proximity Fire Fighting, NFPA 1971	HSGP-UASI	FS	HSGP-Personal Protective Equipment	Yes	No	No hold Indicated	174,600	174,600
D	Fire Shelters FD - Oakland	01SC-01-ENSN	Ensemble, Protective, Structural Fire Fighting with Optional CBRN Protections, NFPA 1971	HSGP-UASI	FS	HSGP-Personal Protective Equipment	Yes	No	No hold Indicated	150,000	150,000
D	Thermal Imaging Cameras (4)- San Mateo	03OE-02-TILA	Optics, Thermal Imaging and/or Light Amplification	HSGP-UASI	LE	HSGP-CBRNE Search and Rescue Equipment	No	No	No hold Indicated	8,000	8,000
D	Bomb Squad Unmanned Aerial vehicle SJPD (1) - San Jose	03OE-07-RPVS	Vehicles, Remotely Piloted	HSGP-UASI	LE	HSGP-CBRNE Search and Rescue Equipment	No	No	No hold Indicated	36,850	36,850
D	Remotely operated underwater vehicle (ROV) (1)- Marin	03OE-07-RPVS	Vehicles, Remotely Piloted	HSGP-UASI	LE	HSGP-CBRNE Search and Rescue Equipment	No	Yes	No hold Indicated	85,000	85,000
D	Bomb Squad Robot Equipment for SJPD (1) - San Jose	03OE-07-UPGD	Upgrades, Robots or Remotely Piloted Vehicles	HSGP-UASI	LE	HSGP-CBRNE Search and Rescue Equipment	No	No	No hold Indicated	19,250	19,250
D	Computer and Hardware Upgrades for OFD DOC- Oakland	04HW-01-INHW	Hardware, Computer, Integrated	HSGP-UASI	FS	HSGP-Information Technology	No	No	No hold Indicated	28,085	28,085
D	Duplicating, Chkwatch, Satellite Phones - Oakland	04HW-01-INHW	Hardware, Computer, Integrated	HSGP-UASI	EMG	HSGP-Information Technology	No	No	No hold Indicated	62,000	62,000
D	NB Incident Mgmt Team Equipment- Portable radios (3)- Marin	06CP-01-PORT	Radio, Portable	HSGP-UASI	FS	HSGP-Interoperable Communications Equipment	No	No	No hold Indicated	7,358	7,358
D	Bomb Squad Trailer for SJPD- San Jose	12TR-00-TEQP	Trailer, Equipment	HSGP-UASI	LE	HSGP-CBRNE Incident Response Vehicle	No	No	No hold Indicated	30,000	30,000
D	Animal Rescue Trailer (1)- Solano	12TR-00-TEQP	Trailer, Equipment	HSGP-UASI	EMG	HSGP-CBRNE Incident Response Vehicle	No	No	No hold Indicated	70,625	70,625
D	USAR Vehicle (1)- Richmond	12VE-00-MISS	Vehicle, Specialized Mission, CBRNE	HSGP-UASI	FS	HSGP-CBRNE Incident Response Vehicle	Yes	Yes	No hold Indicated	300,000	300,000

Project	Equipment Description (Quantity)	AEL #	Title	Funding Source	Discipline	Systems/Category	Prior Approval (Federal)	Sole Source Approval	Hold Period	Approved $	Budget $	Remaining Balance
D	Transbay Tube Rescue Cars (2)- BART	19MH-00-CART	Cart, Field	HSGP-UASI	LE	HSGP-CBRNE Logistical Support Equipment	No	Yes	No hold indicated	19,170		19,170
E	Public Health Volunteer Medical Support (1) - Alameda	09TR-01-CSIM	Equipment, Training/Casualty Simulation	HSGP-UASI	EMG	HSGP-Medical	No	No	No hold indicated	5,000		5,000
F	Mass Notification System (1)- Alameda	04AP-09-ALRT	Systems, Public Notification and Warning	HSGP-UASI	EMG	HSGP-Information Technology	Yes	Yes	EHP	300,000		300,000
F	Information Sharing System Maintenance (1)- San Francisco	21GN-00-MAIN	Maintenance	HSGP-UASI	EMG	HSGP-Other Authorized Equipment	Yes	Yes	No hold indicated	300,000		300,000
F	Information Sharing System Maintenance (1)- San Mateo	21GN-00-MAIN	Maintenance	HSGP-UASI	EMG	HSGP-Other Authorized Equipment	Yes	Yes	No hold indicated	140,000		140,000
J	Statewide cyber security and data project- UASI	21GN-00-MAIN	Maintenance	HSGP-UASI	EMG	HSGP-Other Authorized Equipment	Yes	Yes	No hold indicated	192,873		192,873
J	Statewide cyber security and data project- UASI	05HP-00-SEIM	System, Security Event/Incident Management	HSGP-UASI	EMG	HSGP-Cyber Security Enhancement Equipment	Yes	Yes	No hold indicated	775,000		775,000

CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES (Cal OES)

PLANNING

Alterations to this document may result in delayed application approval, modification requests, or reimbursement requests.
Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.
Warning! Decimal usage is not allowed. Attempts to use decimals will prompt error message.

Bay Area UASI	CFDA #: 97.067
075-95017	LEDGER TYPE: Modification
2013-00110	Today's Date: June 5, 2014

Expenditure Period:	(Date) From:	(Date) To:
Request #		2

Approval: Cal OES ONLY	Date & Initials (Prog. REP.):	6/5/2014	MGE

Project	Planning Activity	Funding Source	Discipline	Solution Area/Sub-Category	Expenditure Category	Final Product	Part of a Procurement over $100k?	Sole Source Unknown/Requested?	Estimated Cost	Amount Approved/Amount this Request/REIMB REP #	Total Approved	Remaining Balance MGE
									8,181,598			8,181,598
A	UASI Metrics/Data Exchange Project- Cupertino	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Consultants	Continuation of Resource Tracking	No	No	100,000			100,000
A	Grant Effectiveness Strategy Project	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Consultants	UASI Grant Effectiveness Stategy	No	No	88,000			88,000
A	Homeland Security Unit Analyst for SFPD- San Francisco	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated critical infrastructure plans and gap analyses	No	No	115,241			115,241
A	Homeland Security Asst Deputy Chief for SFPD- San Francisco	HSGP-UASI	FS	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated policies and procedures for San Francisco Fire Dept.	No	No	245,299			245,299
A	Homeland Security Planner to Update Bio-Terrorism Annex- City of Oakland	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated Bio-Terrorism Annex for Oakland	No	No	124,319			124,319
A	Homeland Security planner to Update Information Analysis and Infrastructure Protection plans- City of Oakland	HSGP-UASI	FS	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated Infrastructure Protection plans	No	No	62,160			62,160
A	Homeland Security Planner (1 FTE)- Develop MOUs with key partners- City of Oakland	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	MOUs between Oakland and multiple agencies and organizations	No	No	127,945			127,945
A	Homeland Security Planner (1 FTE)- Develop Recovery Plan- City of Oakland	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Recovery Plan for the City of Oakland	No	No	127,945			127,945
A	Homeland Security Planner (1 FTE)- Update Terrorism Annex- City of Oakland	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated Terrorism Annex for the City of Oakland	No	No	127,946			127,946
A	Travel Expenses for Oakland Planners- Oakland	HSGP-UASI	EMG	HSGP-Conferences	Travel	Grant Eligible Travel Expenses	No	No	10,000			10,000
A	Exercise Planner for San Jose- San Jose	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Plan and develop city and regional exercises	No	No	78,000			78,000
A	Planner for San Jose- San Jose	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop logistics plan for Points of Distribution, revise EOP, and continue citywide interim housing plan	No	No	88,000			88,000
A	Planner for San Jose- San Jose	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Complete EOC Handbook revision, medical Point of Distribution plan, and update dam failure plan	No	No	54,000			54,000
A	Interoperability Planner SJPD- San Jose	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	AFR/RINS plans and procedures	Yes	No	134,000			134,000
A	Risk/Capability Planning for East Bay Hub- Contra Costa	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Coordinate Risk Assessments within the East Bay Hub	Yes	No	125,000			125,000
A	Management Team (11)- Bay Area UASI	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop, Coordinate, and Manage regional projects and plans	Yes	No	1,496,889			1,496,889
A	Management Team (11)- Bay Area UASI	HSGP-Conferences	EMG	Conference fees	Grant Eligible Conference Expenses		Yes	No	100,000			100,000

Project	Planning Activity	Funding Source	Discipline	Solution Area/Sub-Category	Expenditure Category	Final Product	Part of a Procurement over 100K?	Sole-Source Involved?	Estimated Cost	Amount Approved Previous	Amount This Request #	REIMB Request #	Total Approved	Remaining Balance
A	Management Team (11)- Bay Area UASI	HSGP-UASI	EMG	HSGP-Conferences	Travel	Grant Eligible travel Expenses	Yes	No	100,000					100,000
A	Risk/Capability Planning for North Bay Hub- Sonoma	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Coordinate Risk Assessments within the North Bay Hub	No	No	96,000					96,000
A	Risk/Capability Project Management for SFPD (Capt)- San Francisco	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Manage risk assessments in SFPD and coordinate with Bay Area UASI Management Team	Yes	No	209,080					209,080
A	Risk/Capability Planning for SFPD (Capt)- San Francisco	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Coordinate risk assessments in SFPD	Yes	No	209,080					209,080
A	Coordinate updates to policies/procedures in San Mateo Sheriff Office- San Mateo	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated policies and procedures for San Mateo Sheriff's Office	Yes	No	340,000					340,000
A	Risk/Capability Planning for West Bay Hub- San Mateo	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated needs assessments for San Mateo Sheriff's Office	Yes	No	121,000					121,000
B	WBISS West Bay Information Sharing System SO - NCRIC	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Manage risk assessments in West Bay	No	No	80,000					80,000
B	Cyber Security - NCRIC	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop, Coordinate, and Manage regional projects and plans	No	No	405,220					405,220
C	Interoperable Communications Manager- San Jose	HSGP-UASI	PSC	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Updated policies and procedures for San Jose interop projects	Yes	No	200,000					200,000
C	Management Team - Bay Area UASI - Planner	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop, Coordinate, and Manage regional projects and plans	No	No	340,330					340,330
C	Interoperable Special Projects Manager - San Francisco DEM	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop, Coordinate, and Manage regional projects and plans	No	No	75,000					75,000
E	Develop Volunteer Medical capacity- Alameda	HSGP-UASI	PH	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Program to identify volunteers with specific focus on medical volunteers	No	No	5,000					5,000
E	Management Team - Bay Area UASI - Planner	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop, Coordinate, and Manage regional projects and plans	No	No	170,165					170,165
F	Neighborhood SupportCenter Project (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Plans and protocols for Neighborhood Support Center	No	No	29,292					29,292
F	Resilience and Recovery Project Management (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Strategies and work plans for Resilience and Recovery	No	No	130,000					130,000
F	Alert Program Coordinator (SFPD)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Public Alerts and Warnings	No	No	32,440					32,440
F	Emergency Planning Project (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Plan validations for Master Improvement Plan	No	No	90,546					90,546
F	Public/Private Partnership Project (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Consultants	Develop plans and procedures for Public/Private partnerships	No	Yes	100,000					100,000
F	Community Preparedness Project (SFFD) San Francisco	HSGP-UASI	FS	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop materials for NERT program	No	No	65,000					65,000
G	Recovery Planning Contract - Management Team	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Consultants	Continuation of recovery planning efforts	Yes	Yes	254,480					254,480
G	SF72 Project (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Consultants	Continuation of website development and improvements	Yes	Yes	117,000					117,000
H	Exercise Planners (2) (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Develop plans for citywide exercises	No	No	238,537					238,537
H	Training Planner (1) (DEM)- San Francisco	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Coordinate citywide training activities	No	No	89,076					89,076
H	Regional Training and Exercise Planners (6.5)- Alameda	HSGP-UASI	LE	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Plan, coordinate, and implement regional training and exercises	Yes	No	1,434,608					1,434,608
J	Statewide Data Coordinator	HSGP-UASI	EMG	HSGP-Develop and Enhance Plans, Protocols and Systems	Staff Salaries	Plan, coordinate, and implement statewide data project	No	No	45,000					45,000

AUTHORIZED AGENT

CFDA #: | 97.067

Bay Area UASI
075-95017
2013-00110

Supporting Information for Reimbursement/Advance of State and Federal Funds

This request is for an/a:

Modification

This claim is for costs incurred within the grant expenditure period from
and does not cross fiscal years.

_____ through _____
(Beginning Expenditure Period Date)   (Ending Expenditure Period Date)

Under Penalty of Perjury I certify that:

_____2_____   _____
(REIMB or MOD Request #)   (Amount This Request)

I am the duly authorized officer of the claimant herein. This claim is true, correct, and all expenditures were made in accordance with applicable laws, rules, regulations and grant conditions and assurances.

Statement of Certification - Authorized Agent
This Grant Award consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications which are being submitted. I hereby certify I am vested with the authority to enter into this Grant Award Agreement, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or Approving Body. The Grant Recipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Award. The Grant Recipient signifies acceptance of this Grant Award and agrees to administer the grant project in accordance with the Grant Award as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidelines. The Grant Recipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget. For HSGP: All equipment and training procured under this grant must be in support of the development or maintenance of an identified team or capability.

Tristan Levardo, CFO   _____   6/5/2014
Printed Name and Title   Signature of Authorized Agent   Date

Please reference the Instructions Page under the "Authorized Agent" section for instructions/address on where to mail workbook

Cal OES
GOVERNOR'S OFFICE
OF EMERGENCY SERVICES

May 7, 2014

Mr. Craig Dziedzic
General Manager, Bay Area UASI
711 Van Ness Avenue, #420
San Francisco, CA 94102

SUBJECT: **IDENTIFICATION OF FUNDING**
Fiscal Year 2013 Homeland Security Grant Program
Grant Number 2013-00110 Cal OES ID 075-95017

Dear Mr. Dziedzic:

Congratulations, the California Governor's Office of Emergency Services (Cal OES) has identified your organization to receive funds pursuant to your application under the Homeland Security Grant Program (HSGP). The approved projects, and their respective amounts, are as follows:

Project	Funding Source	Amount
Project J Cyber Risk Management Center	UASI	$ 1,012,873

Cal OES must receive and approve the application documents and a final budget for all projects. All Aviation/Watercraft requests, Emergency Operations Center (EOC) projects, and Sole Source Procurement requests require additional approvals from Cal OES. No costs may be incurred on any project requiring Environmental Planning and Historic Preservation (EHP) review until the US Department of Homeland Security/Federal Emergency Management Agency (DHS/FEMA) issues approval.

This grant is subject to all policies and provisions set forth in HSGP Grant. The HSGP requirements are described in the DHS HSGP Guidance and Application Kit.

Please submit the final application, and Financial Management Forms Workbook (FMFW), and all other required documentation that reflect the approved projects to your Cal OES Program Representative, Maybel Garing-Espilla, at maybel.garing-espilla@caloes.ca.gov. If you have any questions, Ms. Garing-Espilla can be reached at (916) 845-8429.

Thank you for your work in protecting California. We look forward to working with you and appreciate your cooperation and support.

Sincerely,

BRENDAN A. MURPHY
Deputy Director

TO: Angela Calvillo, Clerk of the Board of Supervisors

FROM: Mayor Edwin M. Lee

RE: Increase FY 13 Urban Areas Security Initiative Grant Budget: Budget
         Revision- FY 2013 - $1,012,873.

DATE: June 24, 2014

Attached for introduction to the Board of Supervisors is the resolution retroactively
authorizing the Department of Emergency Management, on behalf of the City and
County San Francisco, as the fiscal agent for the Bay Area Urban Areas Security
Initiative (UASI), to accept and expend an increase to FY 2013 UASI grant funds in the
amount of $1,012,873 for a total of $23,632,173, from the U.S. Department of
Homeland Security through the California Office of Emergency Services for the period
September 1, 2013 through May 31, 2015.

Should you have any questions, please contact Jason Elliott (415) 554-5105.

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