

Bay Area Trauma Informed Systems of Care (BATISC)
Budget for Year 1 (09/30/14-09/29/15)
Notice of Award vs. Revised Budget

Budget Categories	Notice of Award dated 1/9/15	Revised Budget	Variance
Salaries and Wages	\$ 115,999	\$ 135,408	\$ 19,409
Fringe Benefits	\$ 48,720	\$ 56,872	\$ 8,152
Personnel costs Subtotal	\$ 164,719	\$ 192,280	\$ 27,561
Supplies	\$ 7,798	\$ 7,798	\$ -
Consortium/Contractual Cost	\$ 783,773	\$ 771,904	\$ (11,869)
Travel Costs	\$ 14,477	\$ 14,477	\$ -
Other	\$ -	\$ -	\$ -
Direct Cost	\$ 970,767	\$ 986,459	\$ 15,692
Indirect Cost	\$ 29,233	\$ 13,541	\$ (15,692)
Approved Budget	\$ 1,000,000	\$ 1,000,000	\$ -
Federal Share	\$ 1,000,000	\$ 1,000,000	\$ -
Non Federal Share	\$ 382,957	\$ 333,333	\$ (49,624)

*Budget variances are within the 25% limit for fund reallocation between budget categories. See attached e-mail from SAMHSA confirming the policy.

Subject: RE: Request Reply: SFDPH BATISC: 1U79SM061641-01

From: Simpson, Gwendolyn G. (SAMHSA/OFR) [<mailto:Gwendolyn.Simpson@samhsa.hhs.gov>]
Sent: Tuesday, January 13, 2015 11:32 AM
To: Rickerson, Jana (DPH)
Cc: Rubenstein, Lisa (SAMHSA/CMHS)
Subject: RE: Request Reply: SFDPH BATISC: 1U79SM061641-01

The grants policy is any budget changes within and between cost categories below or up to 25% of the total award amount do not require either prior approval or changes by SAMHSA Grants Management to the award notices.

Gwendolyn Simpson
CMHS Team Leader/Lead Grants Management Specialist
SAMHSA/OFR/Division of Grants Management
1 Choke Cherry Road, 7-1085
240-276-1408
Gwendolyn.simpson@samhsa.hhs.gov

From: Rickerson, Jana (DPH) [<mailto:jana.rickerson@sfdph.org>]
Sent: Tuesday, January 13, 2015 1:37 PM
To: Simpson, Gwendolyn G. (SAMHSA/OFR)
Cc: Rubenstein, Lisa (SAMHSA/CMHS); Rickerson, Jana (DPH)
Subject: Request Reply: SFDPH BATISC: 1U79SM061641-01
Importance: High

Hello Ms. Simpson: Thank you for the revised NOA of 1/9/15. I noticed that the Award Calculation area (summary of our budget) was not changed. After speaking with you today about the revised budget we submitted back in October 2014, I understand that our changes were within the 25% federal guideline for grantees to make changes. I am assuming we did not get a NOA that reflected our revised out budget was because of the federal policy. Is this correct and can you tell me what the Federal policy is in this case.

Thank you for your time and quick reply to this email.
Best,
Jana

Jana Rickerson, LCSW
Grants Administrator, Grants Unit
Department of Public Health
1380 Howard Street, Rm 407-E
San Francisco, CA 94103

Bay Area Trauma Informed Systems of Care (BATISC)
Budget for Year 1 (09/30/14-09/29/15)

FEDERAL

A. Personnel

Position	Salary	FTE	Year 1
2593 Health Program Coordinator 3	\$ 108,576	1.00	\$ 108,576
2574 Clinical Psychologist	\$ 107,328	0.25	\$ 26,832
Total Personnel			<u>\$ 135,408</u>

B. Fringe \$ 56,872

C. Travel \$ 14,477

D. Equipment \$ -

E. Supplies \$ 7,798

F. Contract:

Regional TIS (TBD)	\$ 494,608
Learning for Action	\$ 150,000
SF Public Health Foundation	\$ 127,296
	<u>\$ 771,904</u>

G. Construction

H. Other: \$ -

Total Direct Cost \$ 986,459

Indirect Cost \$ 13,541

Total Cost **\$ 1,000,000**

Bay Area Trauma Informed Systems of Care (BATISC)
4-Year Grant Budget
(09/30/14-09/29/18)

FEDERAL

A. Personnel

Position	Salary	FTE	Year 1	Year 2	Year 3	Year 4	Total
2593 Health Program Coordinator 3	\$108,576	1.00	\$ 108,576	\$ 108,576	\$ 108,576	\$ 108,576	\$ 434,304
2574 Clinical Psychologist	\$107,328	0.25	\$ 26,832	\$ 26,832	\$ 26,832	\$ 26,832	\$ 107,328

Total Personnel			\$ 135,408	\$ 135,408	\$ 135,408	\$ 135,408	\$ 541,632
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B. Fringe			\$ 56,872	\$ 56,872	\$ 56,872	\$ 56,872	\$ 227,488
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C. Travel			\$ 14,477	\$ 14,477	\$ 14,477	\$ 14,477	\$ 57,908
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D. Equipment			\$ -	\$ -	\$ -	\$ -	\$ -
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E. Supplies			\$ 7,798	\$ 7,798	\$ 7,798	\$ 7,798	\$ 31,192
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F. Contract:

Regional TIS (TBD)			\$ 494,608	\$ 621,904	\$ 621,904	\$ 621,904	\$ 2,360,320
Learning for Action			\$ 150,000	\$ 150,000	\$ 150,000	\$ 150,000	\$ 600,000
HealthRight360			\$ 127,296	\$ -	\$ -	\$ -	\$ 127,296
			\$ 771,904	\$ 771,904	\$ 771,904	\$ 771,904	\$ 3,087,616

G. Construction

H. Other:			\$ -	\$ -	\$ -	\$ -	\$ -
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Total Direct Cost			\$ 986,459	\$ 986,459	\$ 986,459	\$ 986,459	\$ 3,945,836
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Indirect Cost			\$ 13,541	\$ 13,541	\$ 13,541	\$ 13,541	\$ 54,164
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Total Cost			\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 4,000,000
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Bay Area Trauma Informed Systems of Care (BATISC)
4-Year Grant Budget
(09/30/14-09/29/18)

NON FEDERAL MATCH

	Year 1	Year 2	Year 3	Year 4	Total
A. Personnel	\$ 220,545	\$ 220,545	\$ 220,545	\$ 253,872	\$ 915,507
B. Fringe	\$ 92,628	\$ 92,628	\$ 92,628	\$ 106,627	\$ 384,511
C. Travel	\$ 20,160	\$ 20,160	\$ 20,160	\$ 20,160	\$ 80,640
D. Equipment	\$ -	\$ -	\$ -	\$ -	\$ -
E. Supplies	\$ -	\$ -	\$ -	\$ -	\$ -
F. Contract:					
HR360-FI	\$ -	\$ -	\$ -	\$ 438,272	\$ 438,272
IFR	\$ -	\$ -	\$ -	\$ 129,600	\$ 129,600
Seneca	\$ -	\$ -	\$ -	\$ 5,780	\$ 5,780
UC Child Trauma	\$ -	\$ -	\$ -	\$ 15,689	\$ 15,689
UC CAS	\$ -	\$ -	\$ -	\$ 30,000	\$ 30,000
	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 619,341</u>	<u>\$ 619,341</u>
G. Construction					
H. Other:	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Total Direct Cost	\$ 333,333	\$ 333,333	\$ 333,333	\$ 1,000,000	\$ 1,999,999
Indirect Cost	\$ -	\$ -	\$ -	\$ -	\$ -
Total Cost	<u><u>\$ 333,333</u></u>	<u><u>\$ 333,333</u></u>	<u><u>\$ 333,333</u></u>	<u><u>\$ 1,000,000</u></u>	<u><u>\$ 1,999,999</u></u>

announcement (Appendix L). The statutory authority for this program prohibits grants to for-profit agencies.

2. **COST SHARING and MATCH REQUIREMENTS**

Cost sharing/match is required by statutory mandate to provide matching funds from other nonfederal sources, either directly or through donations from public or private entities:

- For the first, second, and third fiscal years of the cooperative agreement, you must provide at least \$1 for each \$3 of Federal funds; and
- For the fourth fiscal year of the cooperative agreement, you must provide at least \$1 for each \$1 of Federal funds.

Matching resources may be in cash or in-kind, including facilities, equipment, or services and must be derived from nonfederal sources (e.g., state or sub-state nonfederal revenues, foundation grants).

It is expected that nonfederal match dollars will include contributions from various child-serving systems (e.g., education, child welfare, and juvenile justice). You must specify the names of the expected sources, the types of sources (e.g., education, child welfare, and juvenile justice) and the amount of matching funds, to show evidence of your potential to sustain the system of care as you bring it to scale in your state/territory/tribe.

There is concern that the federal funds for this program might be used to replace existing nonfederal funds. Therefore, applicants may only include as nonfederal match, contributions in excess of the average amount of nonfederal funds available to the applicant public entity over the 2 fiscal years preceding the fiscal year when the Federal award is made. Nonfederal public contributions, whether from State, county or city governments, must be dedicated to the community(ies) served by the cooperative agreement.

Federal grant funds must be used for the new expenses of the program carried out by the grantee. That is, Federal grant funds must be used to supplement and not supplant any funds available for carrying out existing services and activities, (e.g., college suicide prevention activities).

A letter from the director of the agency applying for the grant should certify that matching funds for the proposed initiative are available and are non-federal funds. The letter must be included in Attachment 5 of the application, Non-Federal Match Certification. This letter should also indicate that proposed changes in funding streams required for the match or other funding innovations necessary for implementation of the proposed initiative will be allowed. Additional letters from other related recovery support agency directors (e.g., education, child welfare, juvenile justice and Medicaid) at the state, county, or city levels, must also be included in Attachment 5 of the application as applicable.



City and County of San Francisco
Edwin M. Lee, Mayor

Department of Public Health
Barbara A. Garcia, MPA
Director of Health

February 21, 2014

Gary M. Blau, PhD
Chief, Division of Service and Systems Improvement
Center for Mental Health Service
Substance Abuse and Mental Health Services Administration
U.S. Department of Health and Human Services
1 Choke Cherry Road, Room 6-1043
Rockville, Maryland 20857

Re: Non-Federal Match Certification for RFA: No. SM-14-002 – System of Care Expansion
Implementation Cooperative Agreements

Dear Mr. Blau:

The City and County of San Francisco Department of Public Health will provide local governmental appropriations as matching funds for the above referenced Request for Application proposal in excess of the RFA cost-sharing requirement as follows:

- “For the first, second and third fiscal years of the cooperative agreement, you must provide at least \$1 for each \$3 of Federal funds; and
- For the fourth fiscal year of the cooperative agreement, you must provide at least \$1 for each \$1 of Federal funds.”

Matching funds from the Department of Public Health budgeted for this proposal will be local City and County of San Francisco General Funds and will not be derived from any Federal sources.

The City and County of San Francisco Department of Public Health is committed to build upon the progress made in developing our comprehensive strategic plans to expand and sustain our System of Care (SOC) for the children and youth with serious emotional disturbances and their families in our city and county. We look forward to expanding our SOC capacity to support our families with the help of this opportunity.

Sincerely,

A handwritten signature in blue ink, appearing to read "B. Garcia".

Barbara A. Garcia, MPA
Director of Health