

FILE NO. 140001

Petitions and Communications received from December 9, 2013, through December 30, 2013, for reference by the President to Committee considering related matters, or to be ordered filed by the Clerk on January 7, 2014.

**Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information will not be redacted.**

From Clerk of the Board, reporting the following individuals have submitted Form 700 Statements: (1)

Margaret Ruxton – Assessment Appeals Board - Leaving  
Gregory Blaine – Assessment Appeals Board - Leaving

From Supervisor Mark Farrell, submitting memo regarding Budget and Finance Committee Reports. File Nos. 130463, 130479, 130506, 130521, 130522, 130577, 130640, 131157, 131158, 131159, 131160. (2)

From Supervisor Scott Wiener, submitting memo regarding Land Use and Economic Development Committee Reports. File Nos. 131191, 131150, 131151, 131149, 131061. 5 letters. (3)

From Airport, submitting 12B Waiver Request Form for emergency roadside repair and fueling credit card from Chevron USA. Copy: Each Supervisor. (4)

\*From Building Inspection, submitting FY2012-2013 annual report. Copy: Each Supervisor. (5)

From Capital Planning Committee, regarding 2014 Earthquake Safety & Emergency Response General Obligation Bond Special Election. File No. 131190. Copy: Each Supervisor. (6)

\*From Contract Monitoring Division, submitting FY2012-2013 Local Business Enterprise Participation Annual Report. Copy: Each Supervisor. (7)

From Controller, submitting Recreation and Park benchmarking report. Copy: Each Supervisor. (8)

\*From Controller, submitting City Services Performance Measure Report for FY2012-2013. Copy: Each Supervisor. (9)

From Office of Small Business, regarding public-private partnership with kiva.org. Copy: Each Supervisor. (10)

From Public Health, submitting annual report of gifts received for FY2012-2013. Copy: Each Supervisor. (11)

From Public Health, submitting 12B Waiver Request Form for annual testing on Laguna Honda Hospital gas, vacuum, and air systems from Certified Medical Testing. Copy: Each Supervisor. (12)

\*From Public Works, submitting FY2012-2013 Annual Report. (13)

From Recreation and Park, submitting FY2012-2013 Community Report. (14)

From Violence Prevention Services, regarding Gun Buy Back 2013 event. Copy: Each Supervisor. (15)

From California Public Utilities Commission, submitting notice of Pacific Gas and Electric Company's supplemental filing. Copy: Each Supervisor. (16)

From Richelle Lieberman, regarding Marsh Theater, Mission District. Copy: Each Supervisor. (17)

From California State Lands Commission, submitting notice of modifications to text of proposed regulations. Copy: Each Supervisor. (18)

From concerned citizens, regarding butterfly releases. 10 letters. Copy: Each Supervisor. (19)

From Zacks & Freedman, submitting a letter regarding small property owners. Copy: Each Supervisor. File No. 130783. (20)

From Environment, regarding precautionary purchasing regulation. (21)

From David Khan, regarding traffic issues. (22)

From Janette Barroca, regarding free parking during the holidays. (23)

From Steven Rappolee, regarding Central Tunnel boring. (24)

From Chamber of Commerce, regarding compliance with Health Care Security Ordinance employer spending requirement. Copy: Each Supervisor. (25)

From Allen Jones, regarding plastic bag ban. (26)

From Treasurer, regarding investment report for November 2013. (27)

From David Lee, regarding bottled water legislation. File No. 131207. Copy: Each Supervisor. (28)

From Hakkasan SF LLC, regarding terminations. (29)

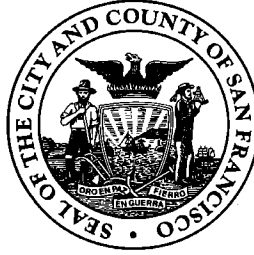
From concerned citizens, regarding the new Warriors Stadium. (30)

From Aaron Goodman, regarding West Portal Tunnel. (31)

From Controller, regarding a report issued on the Office of the Treasurer and Tax Collector. (32)

\*(An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is available at the Clerk's Office, Room 244, City Hall.)

**BOARD of SUPERVISORS**



**City Hall**  
**1 Dr. Carlton B. Goodlett Place, Room 244**  
**San Francisco 94102-4689**  
**Tel. No. 554-5184**  
**Fax No. 554-5163**  
**TDD/TTY No. 544-5227**

Date: December 17, 2013  
To: Honorable Members, Board of Supervisors  
From: Angela Calvillo, Clerk of the Board  
Subject: Form 700

This is to inform you that the following individuals have submitted a Form 700 Statement:

Margaret Ruxton - AAB - Leaving  
Gregory Blaine – AAB Leaving

Orig - Bus. Clerk  
WFB  
CPage

Member, Board of Supervisors  
District 2



City and County of San Francisco

**MARK E. FARRELL**

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 DEC 12 AM 11:26

DATE: December 12, 2013  
TO: Angela Calvillo  
Clerk of the Board of Supervisors  
FROM: Supervisor Mark Farrell  
Chairperson  
RE: Budget and Finance Committee  
COMMITTEE REPORT

Pursuant to Board Rule 4.20, as Chair of the Budget and Finance Committee, I have deemed the following matters are of an urgent nature and request they be considered by the full Board on December 17, 2013, as Committee Reports:

- 130463 - Historical Property Contract - 1772 Vallejo Street (Burr Mansion)**
- 130479 - Historical Property Contract - 2550 Webster Street**
- 130506 - Historical Property Contract - 1019 Market Street**
- 130521 - Historical Property Contract - 3769 20th Street**
- 130522 - Historical Property Contract - 50 Carmelita Street**
- 130577 - Historical Property Contract - 66 Carmelita Street**
- 130640 - Historical Property Contract - 70 Carmelita Street**
- 1301157 - Historical Property Contract - 56 Pierce Street**
- 1301158 - Historical Property Contract - 64 Pierce Street**
- 1301159 - Historical Property Contract - 56 Potomac Street**
- 1301160 - Historical Property Contract - 66 Potomac Street**

The matters will be heard in the Budget and Finance Committee on December 16, 2013, at 10:00 a.m.

2

Member, Board of Supervisors  
District 8



*Orig Comm Clerk  
C: COB, Leg Dep. Cpape*

City and County of San Francisco

**SCOTT WIENER**

威善高

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DATE: December 12, 2013

TO: Angela Calvillo  
Clerk of the Board of Supervisors

FROM: Supervisor Scott Wiener *SW*  
Chairperson

RE: Land Use and Economic Development Committee  
COMMITTEE REPORT

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RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 DEC 12 AM 11:11  
*ON*

Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on December 17, 2013, as a Committee Report:

**File No. 131191**

Ordinance amending the Administrative Code to clarify the group of employers required to comply with the Family Friendly Workplace Ordinance.

This matter will be heard in the Land Use and Economic Development Committee on December 16, 2013, at 1:30 p.m.

3

Orig. Comm Clerk  
C-COB, Leg Dep Page

Member, Board of Supervisors  
District 8



City and County of San Francisco

**SCOTT WIENER**

威善高

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DATE: December 11, 2013

TO: Angela Calvillo  
Clerk of the Board of Supervisors

FROM: Supervisor Scott Wiener *SW*  
Chairperson

RE: Land Use and Economic Development Committee  
COMMITTEE REPORT

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RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 DEC 12 AM 11:11  
*SM*

Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on December 17, 2013, as a Committee Report:

**File No. 131150**

Resolution adding the name "Harold 'Bud' Moose Lane" to Merchant Street in recognition of Bud Moose and his many contributions to San Francisco.

This matter will be heard in the Land Use and Economic Development Committee on December 16, 2013, at 1:30 p.m.

Orig: Comm Clerk  
C- COB, Leg Dep page

Member, Board of Supervisors  
District 8



City and County of San Francisco

**SCOTT WIENER**  
威善高

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DATE: December 11, 2013

TO: Angela Calvillo  
Clerk of the Board of Supervisors

FROM: Supervisor Scott Wiener *SW*  
Chairperson

RE: Land Use and Economic Development Committee  
COMMITTEE REPORT

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RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2013 DEC 12 AM 11:11  
*PR*

Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on December 17, 2013, as a Committee Report:

**File No. 131151**

Resolution adding the name "Enid Ng Lim Alley" to Bartol Street in recognition of Enid Ng Lim and her many contributions to San Francisco.

This matter will be heard in the Land Use and Economic Development Committee on December 16, 2013, at 1:30 p.m.



Member, Board of Supervisors  
District 8



*Orig. Comm Clerk  
C-COB, Leg Dep page*

City and County of San Francisco

**SCOTT WIENER**

威善高

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DATE: December 11, 2013  
TO: Angela Calvillo  
Clerk of the Board of Supervisors  
FROM: Supervisor Scott Wiener *SW*  
Chairperson  
RE: Land Use and Economic Development Committee  
COMMITTEE REPORT

---

RECEIVED  
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SAN FRANCISCO  
2013 DEC 12 AM 11:11  
*pc*

Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on December 17, 2013, as a Committee Report:

**File No. 131149**

Resolution adding the name "Donaldina Cameron Alley" to Old Chinatown Lane in recognition of Donaldina Cameron and her many contributions to San Francisco.

This matter will be heard in the Land Use and Economic Development Committee on December 16, 2013, at 1:30 p.m.

Member, Board of Supervisors  
District 8



*Orig Comm Clerk  
C: COB, Leg Dep. page*

City and County of San Francisco

**SCOTT WIENER**

**威善高**

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DATE: December 11, 2013

TO: Angela Calvillo  
Clerk of the Board of Supervisors

FROM: Supervisor Scott Wiener *SW*  
Chairperson

RE: Land Use and Economic Development Committee  
COMMITTEE REPORT

---

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 DEC 12 AM 11:11  
PAC

Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on December 17, 2013, as a Committee Report:

**File No. 131061**

Administrative Code - Hearings on Tenant Allegations of Landlord Harassment to Recover Possession of the Tenant's Unit.

This matter will be heard in the Land Use and Economic Development Committee on December 16, 2013, at 1:30 p.m.

rt  

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**From:** Cynthia Avakian [Cynthia.Avakian@flysfso.com]  
**Sent:** Thursday, December 12, 2013 5:42 PM  
**To:** Board of Supervisors  
**Subject:** Dept 27-CMD 12B request  
**Attachments:** CMD Form 201-Chevron 131212 BOS Notice.pdf

Clerk of the Board,

Attached is a waiver request being submitted to the Contract Monitoring Division.

Please let me know if you have further questions. Thanks,

Cynthia Avakian  
Contracts Administration Unit  
San Francisco International Airport  
P. O. Box 8097, San Francisco, CA 94128  
E-mail: [cynthia.avakian@flysfso.com](mailto:cynthia.avakian@flysfso.com)  
Phone: (650) 821-2014, Fax: (650) 821-2011

4



San Francisco International Airport

November 19, 2013

Ms. Angela Calvillo  
Office of the Clerk of the Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102

Subject: Chevron USA (No potential Contractors Comply Waiver)

Dear Ms. Calvillo:

The Automotive Shop at the San Francisco International Airport uses a Chevron USA credit card for emergency roadside repairs and for fueling City owned vehicles that have traveled out of the area on City business. With your approval, having this credit card available for the Automotive Shop to use in emergencies is vital to this shop

Very truly yours,

Derek Fliess  
Airport Fleet Manager

cc: File



San Francisco International Airport

November 19, 2013

Ms. Maria Cordero, Director  
Contract Monitoring Division  
Office of the City Administrator  
30 Van Ness Avenue, Suite 200  
San Francisco, CA 94102

Subject: Waiver of S.F. Administrative Code, Chapter 12B, for Chevron USA Credit Card for  
Emergency Roadside Repairs

Dear Ms. Cordero:

The purpose of this letter is to request your approval of a waiver of S.F. Administrative Code, Chapter 12B, for a Chevron USA Credit Card. The Automotive Shop at the San Francisco International Airport uses a Chevron USA Credit Card for emergency roadside repairs and for fueling City owned vehicles that have traveled out of the area on City business. According to the Airport purchaser, at this time Chevron USA is the only vendor that supplies this service to the City. Having this credit card available for the Automotive Shop to use in emergencies is vital to this shop.

The request is for a term of five (5) years in an amount not to exceed \$25,000.

Enclosed is the Contract Monitoring Division (CMD) waiver request form (201). If you have any questions, please contact Derek Fliess, SFO Automotive Shop, at (650) 821-5411.

Very truly yours,

John L. Martin  
Airport Director

Attachment

cc: Tamra Winchester, CMD

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE  
MAYOR

LARRY MAZZOLA  
PRESIDENT

LINDA S. CRAYTON  
VICE PRESIDENT

ELEANOR JOHNS

RICHARD J. GUGGENHIME

PETER A. STERN

JOHN L. MARTIN  
AIRPORT DIRECTOR



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**From:** Jayin, Carolyn [carolyn.jayin@sfgov.org] on behalf of Hui, Tom [tom.hui@sfgov.org]  
**Sent:** Friday, December 20, 2013 8:22 AM  
**To:** Lee, Mayor; BOS-Supervisors  
**Cc:** Department Heads  
**Subject:** DBI 2012-2013 Annual Report  
**Attachments:** DBI 2012-13 Annual Report FINAL.pdf

Honorable Mayor Lee, Board of Supervisors and Colleagues,

Attached for your information is the Department of Building Inspection's 2012-2013 Annual Report. Our annual report is also available via our [website](#).

We hope you find this information useful and that it reflects the hard work and dedication of all DBI staff in fulfilling the permitting and inspection needs of the citizens of the City & County of San Francisco.

On behalf of the Department of Building Inspection, I wish you and your families Happy Holidays and Best Wishes for a healthy and prosperous New Year!

Tom C. Hui, S.E., C.B.O.  
Director  
許子湯, 局長  
City & County of San Francisco  
Department of Building Inspection  
1660 Mission Street, Sixth Floor  
San Francisco CA 94103  
415-558-6131 Phone  
415-558-6225 Fax  
Email: [Tom.Hui@sfgov.org](mailto:Tom.Hui@sfgov.org)  
Web: [www.sfdbi.org](http://www.sfdbi.org)

\* (5)



BOS-11  
BTF clerk, epage

# Capital Planning Committee

Naomi M. Kelly, City Administrator, Chair

## MEMORANDUM

December 13, 2013

**To:** Supervisor David Chiu, Board President *nkelly*

**From:** Naomi Kelly, City Administrator and Capital Planning Committee Chair

**Copy:** Members of the Board of Supervisors  
Angela Calvillo, Clerk of the Board  
Capital Planning Committee

**Regarding:** Approval of the ordinance and related resolution authorizing the special election for the proposed 2014 Earthquake Safety & Emergency Response General Obligation (G.O.) Bond.

RECEIVED  
 BOARD OF SUPERVISORS  
 SAN FRANCISCO  
 2013 DEC 13 PM 2:31  
 20

In accordance with Section 3.21 of the Administrative Code, on December 09, 2013, the Capital Planning Committee (CPC) reviewed one action item to be considered by the Board of Supervisors related to the proposed 2014 Earthquake Safety & Emergency Response General Obligation Bond (ESER 2014). The CPC's recommendations are set forth below.

**1. Board File Number: 131190**      **Approval of the ordinance (and related resolution of public interest and necessity) authorizing the special election for the proposed 2014 Earthquake Safety & Emergency Response General Obligation (G.O.) Bond totaling \$400,000,000.**

**Recommendation:** Recommend the Board of Supervisors approve the authorizing ordinance and related resolution.

**Comments:** The CPC recommends approval of these items by a vote of 10-0.

Committee members or representatives in favor include: Naomi Kelly, City Administrator; Judson True, Board President's Office; Ben Rosenfield, Controller; Ed Reiskin, Director, SFMTA; Mohammed Nuru, Director, Public Works; Todd Rydstrom, SFPUC; John Rahaim, Director, Planning Department; Kate Howard, Mayor's Budget Director; Bruce Robertson, San Francisco International Airport; and Dawn Kamalanathan, Director, Recreation and Parks Department.



**To:** BOS-Supervisors  
**Subject:** CMD's 14B LBE Utilization Annual Report

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**From:** Cordero, Maria [mailto:maria.cordero@sfgov.org]  
**Sent:** Monday, December 16, 2013 3:36 PM  
**To:** Cordero, Maria  
**Subject:** CMD's 14B LBE Utilization Annual Report

Good afternoon everyone:

The Contract Monitoring Division (CMD) is pleased to present the LBE community and supporters with the Annual LBE Utilization Report.

Please go to: <http://www.sfgsa.org/modules/showdocument.aspx?documentid=11258>

As always, CMD staff is ready to discuss any questions you may have as a result of this report. Please contact me or anyone in the report for additional information.

Thank you.

Sincerely,



Maria Cordero, Director

Contract Monitoring Division (CMD)

30 Van Ness Avenue | Suite 200 | San Francisco | CA | 94102

Direct 415-581-2303 | Main 415-581-2310

Visit us at [sfgov.org/cmd](http://sfgov.org/cmd)

\* (7)

**Document is available  
at the Clerk's Office  
Room 244, City Hall**

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**From:** Chapin-Rienzo, Shanda on behalf of Reports, Controller  
**Sent:** Wednesday, December 18, 2013 1:59 PM  
**To:** Ginsburg, Phil; Petrucione, Katharine; Emerson, Taylor; Kamalanathan, Dawn; Calvillo, Angela; Nevin, Peggy; BOS-Legislative Aides; BOS-Supervisors; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; sfdocs@sfppl.info; Gabriel Metcalf; CON-Media Contact; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers  
**Subject:** Controller's Office City Services Benchmarking: Recreation and Parks

The Office of the Controller has issued the latest in a series of benchmarking reports. This report focuses on recreation and parks services. The purpose of the City Services Benchmarking Report is to share comparative city service data from San Francisco and other peer jurisdictions with the public in order to increase transparency, create dialog, and build the public's confidence regarding the City's management of public business.

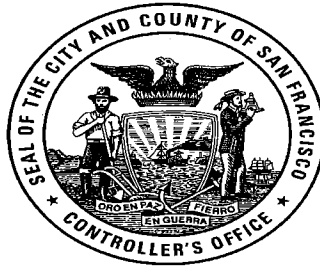
The PDF version of the report can be accessed at <http://openbook.sfgov.org/webreports/details3.aspx?id=1644>, or on the Controller's website (<http://www.sfcontroller.org/>) under the News & Events section.

For more information please contact:

Office of the Controller  
City Services Auditor Division  
Phone: 415-554-7463  
Email: [Performance.con@sfgov.org](mailto:Performance.con@sfgov.org)

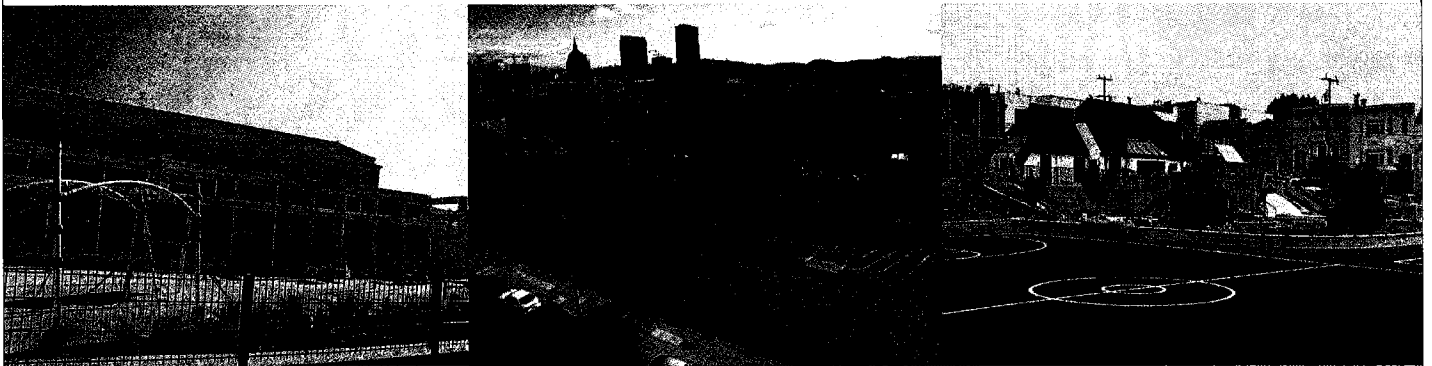
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8



# City Services Benchmarking: Recreation and Parks

City and County of San Francisco  
Office of the Controller – City Services Auditor



December 18, 2013



# City Services Benchmarking: Recreation and Parks CITY AND COUNTY OF SAN FRANCISCO

OFFICE OF THE CONTROLLER

December 18, 2013

## Summary

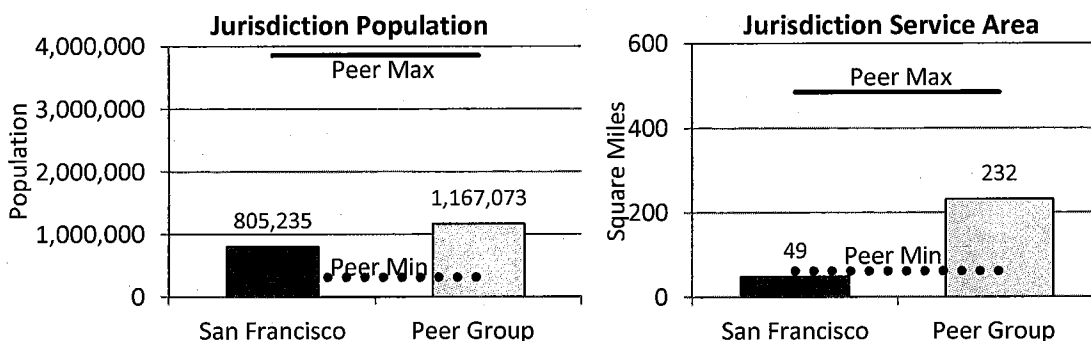
Appendix F, Section 101 of the City and County of San Francisco Charter requires that the City Services Auditor (CSA) monitor the level and effectiveness of services provided by the City and County of San Francisco. Specifically, CSA shall review performance and cost benchmarks and conduct comparisons of the cost and performance of San Francisco City government with other cities, counties and public agencies performing similar functions. This report includes 2012 data from the National Recreation and Parks Association, Park and Recreation Operating Ratio and Geographic Information System<sup>1</sup> (PRORAGIS), and provides a benchmarking analysis for recreation and parks services. Eight cities were used to build the peer group. These are Austin, TX, Denver, CO, San Diego, CA, Tucson, AZ, Washington, D.C., Cleveland, OH, Los Angeles, CA, and St. Louis, MO.

## San Francisco Recreation and Parks Department

The San Francisco Recreation and Department, overseen by the Recreation and Park Commission, administers more than 220 parks, playgrounds, and open spaces in San Francisco, including two outside the city limits. The system includes 25 recreation centers, nine swimming pools, five golf courses and numerous tennis courts, ball diamonds, soccer fields and other recreation venues. Included in the department's responsibilities are the Marina Yacht Harbor, Candlestick Park, the San Francisco Zoo, and the Lake Merced Complex<sup>2</sup>.

## Jurisdiction Profiles

San Francisco is comparable to the selected peers in terms of population size. What makes San Francisco unique as a city is its density compared to its peers. San Francisco's reported jurisdiction service area is only 49 square miles, lower than any of the peer group members. The large population and limited land space make San Francisco a unique operating environment for the Recreation and Parks Department.



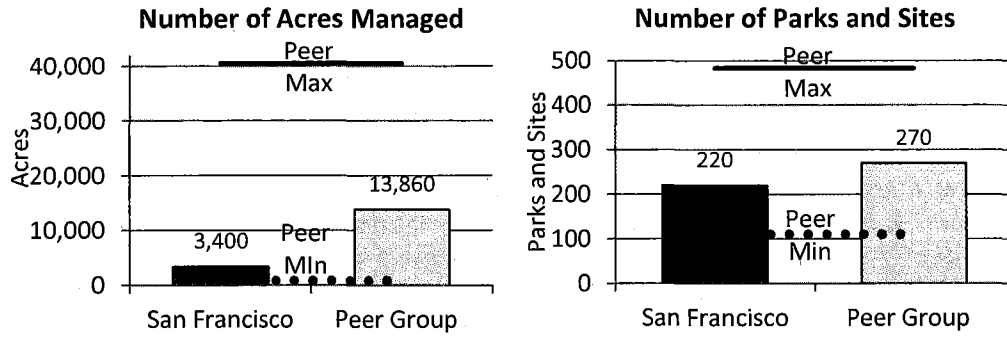
## Park System Profiles

In a jurisdiction with comparatively less land area, San Francisco has a park system with limited land space for parks. The limited land space leads to a lower acreage for San Francisco to manage compared to its peers. Although San Francisco has a lower number of acres to manage (3,400 acres<sup>3</sup>), the city is comparable to its peer group in number of park sites (220).

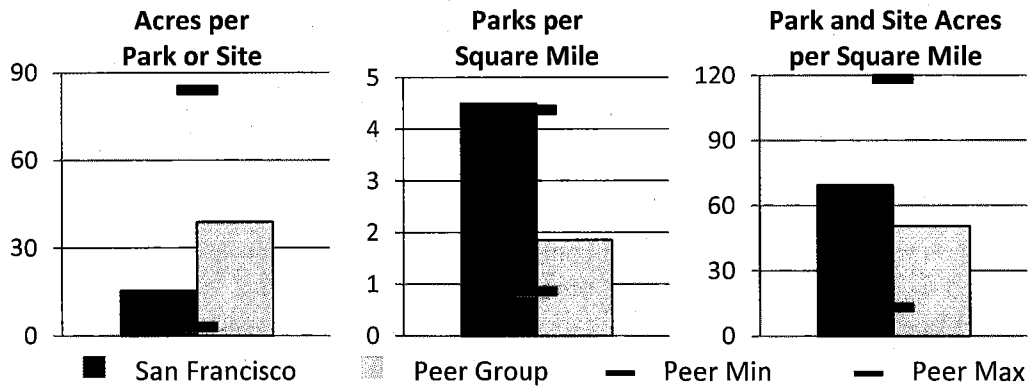
<sup>1</sup> Additional data used for context and analysis was gathered from jurisdiction websites

<sup>2</sup> <http://sfrecpark.org/about/who-we-are/>

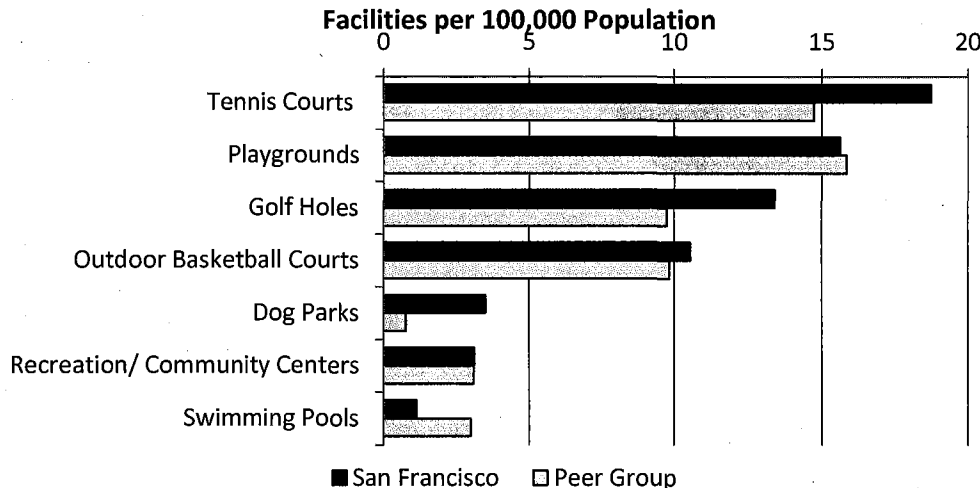
<sup>3</sup> The acreage reported represents only acreage within San Francisco city limits. Total acreage managed by SF Recreation and Parks is 4,113. Analysis in this report is based on acreage of 3,400 for San Francisco. Peer jurisdictions may also have similar acreage outside of their jurisdiction boundaries.



With less land area, San Francisco parks tend to be smaller in size than those in its peer group. However, in San Francisco there are more parks (4.5) and park acres (69) per square mile of the city compared to the peer group. Parks per square mile and acres per square mile act as measures of accessibility for residents of a city. Thus, compared to its peers as a measure of accessibility, San Francisco has on average more parks and park acres available to residents within any given square mile area of the city.

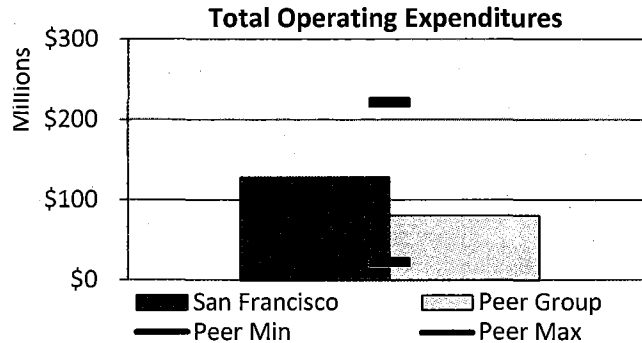


There are numerous types of facilities and features of a park system that are maintained beyond simply the number of parks and overall acreage. Facility types will vary between jurisdictions depending on different factors such as climate and citizen interests. Major facility and park feature categories are broken down by per 100,000 in population. San Francisco exceeds the peer group for tennis courts, golf holes, outdoor basketball courts, and dog parks.



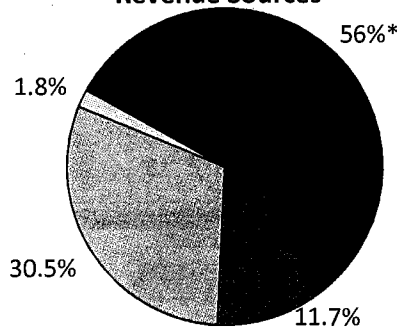
**Operating Expenditures**

Operating expenditures include all department expenses required for daily operations or maintaining normal business practices. Operating expenditures between the compared jurisdictions vary widely, ranging from as low as \$22 million (St. Louis) to as high as \$225 million (Los Angeles). The chart below shows the total operating expenditures for San Francisco compared to the peer group. Operating budgets will vary widely depending on jurisdiction population, number of parks and facilities, and numerous other factors. The chart below should be used as context to better understand the differences between jurisdictions.

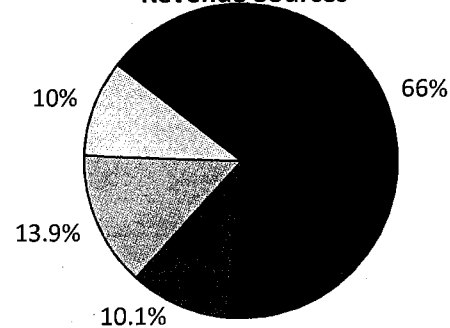


Operating funds can come from a variety of sources with the most common being general fund, fees and charges, and tax levies. Other categories of funding can include grants, donations, and endowments. The majority of San Francisco’s operating budget comes from general funds (56%) and tax levies (31%). A tax levy is defined as “Jurisdiction ballots or otherwise approved amounts of taxes specified for the expenditure of operating dollars specific to parks, recreation and open space<sup>4</sup>.” Property taxes are the primary tax levy for recreation and parks in San Francisco. San Francisco is 17 percent higher than the peer group in the amount of revenue that comes from tax levies.

**San Francisco Recreation and Parks Revenue Sources**



**Peer Group Recreation and Parks Revenue Sources**

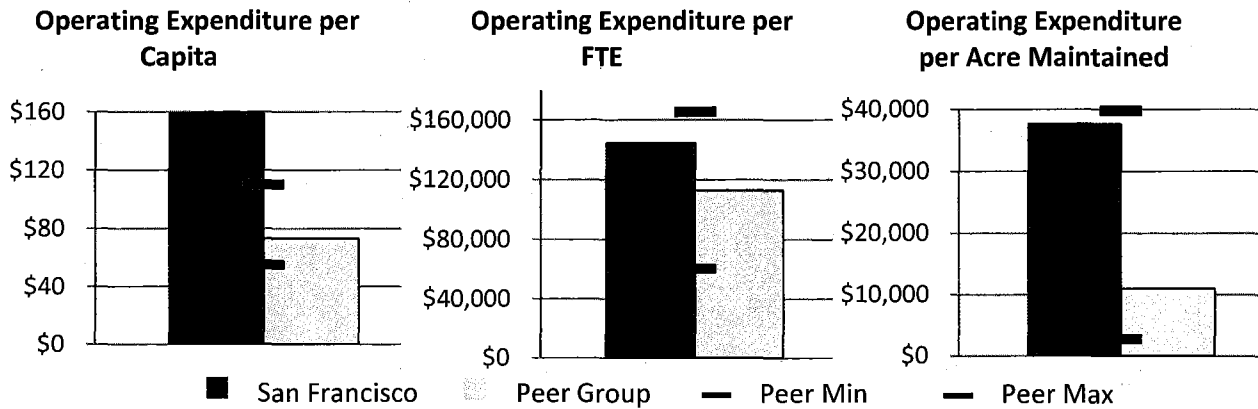


■ General Fund ■ Fees and Charges ■ Tax Levy ■ Other

\*San Francisco’s general fund revenues in the above chart include certain revenues that are recovered through department activities. If the above chart were adjusted to reflect all department generated revenues, 37 percent of department funds would come from fees and charges with general fund revenue accounting for only 29 percent. San Francisco excluded certain fees and charges to better match the PRORAGIS definition.

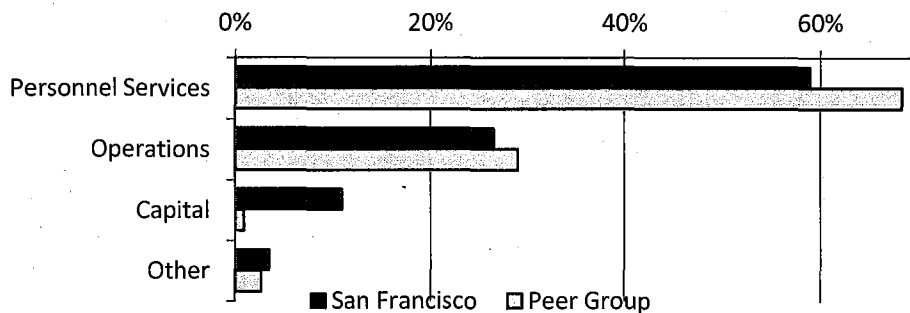
<sup>4</sup> PRORAGIS Large Agency Survey

Comparisons of operating expenditure ratios can be used as good measures of financial efficiency. The three charts below compare San Francisco's operating expenditures per capita, full time equivalent (FTE), and acre maintained. In the three cases, San Francisco's operating expenditure ratios are higher than the average of the peer group. The higher ratios can be interpreted in two ways: San Francisco park operations are better funded or more expensive to operate than their peers. The trend of San Francisco financial efficiency measures being higher than peers is not unique to Parks and Recreation and is consistent with what has been seen in previous benchmarking reports of other departments.

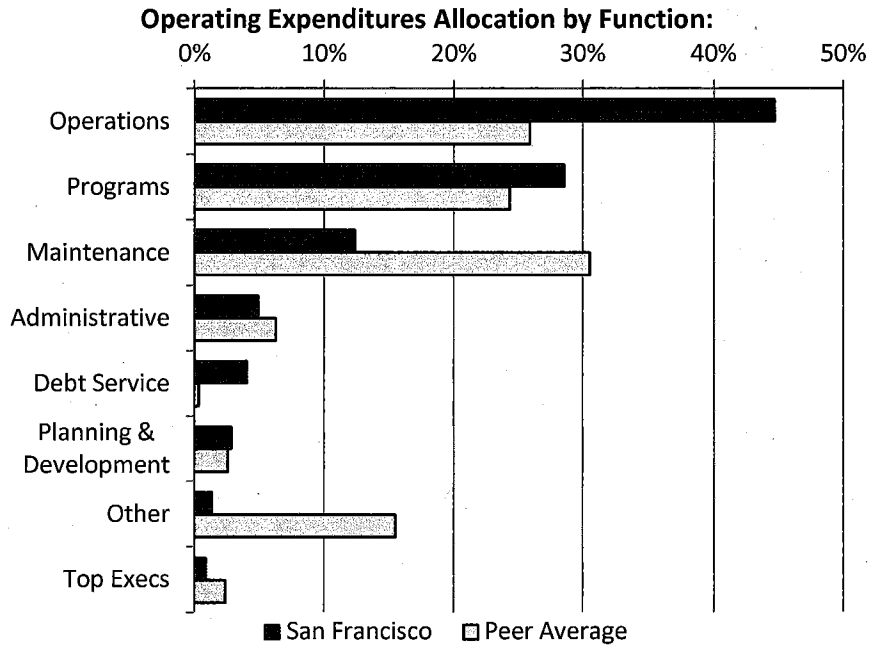


Operating expenditures can also be broken out into different categories. The chart below breaks out operating expenditures into major categories including personnel services, operations, capital, and other. San Francisco spends marginally less of its operating expenditures on personnel and operations than its peers, and more on capital needs (not including bond funds).

**Operating Expenditures Allocation by Category:**

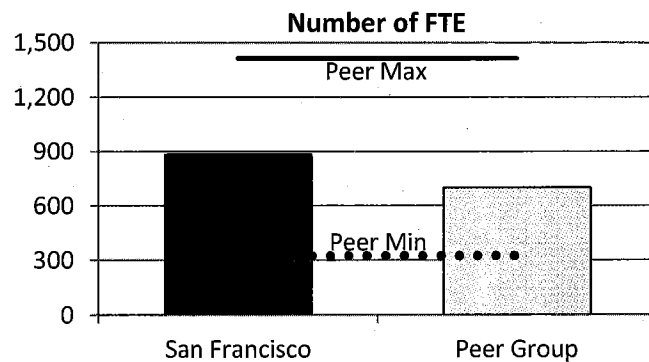


Another way operating expenditures can be broken out is by operating function. Breaking out operating expenditures by function provides insight into a department's budget allocation decisions. San Francisco spends comparatively less of its operating expenditures on maintenance, but spends more on operations and programs. Operations are defined as funding that is specific to the staffing and operating of facilities.



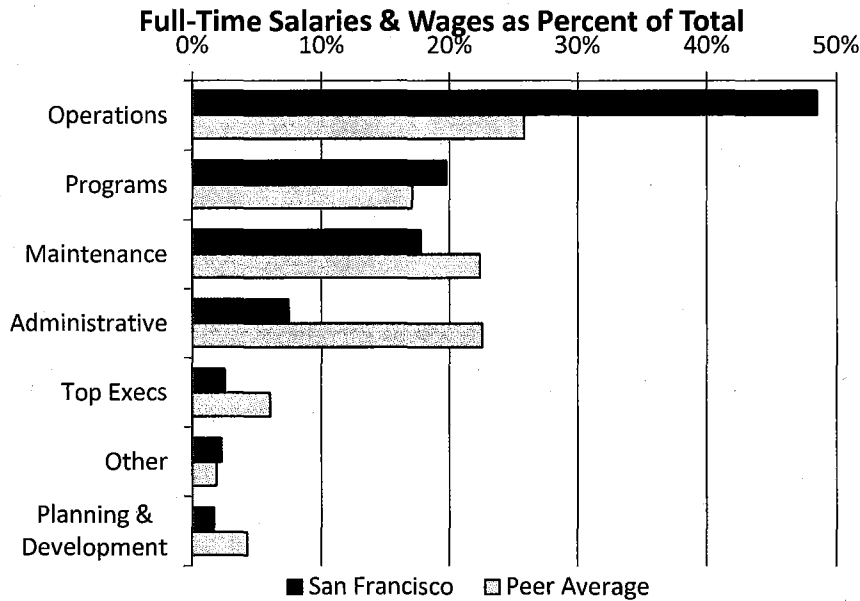
**Staffing**

Departments typically spend the largest portion of their budget on staffing related expenses, demonstrated in the operating expenditures allocation by category chart above. San Francisco is above the peer group average for the total number of FTE.

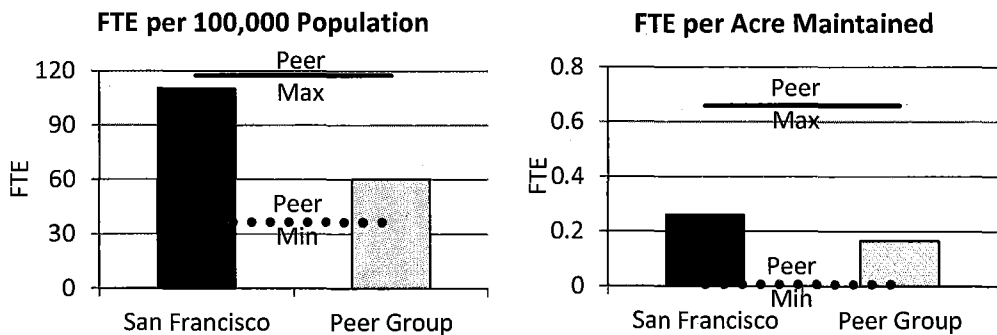




Salaries and wages for staff (FTE) make up a majority of a department’s budget. Allocation of staff resources to different departmental functions is similar to budget decisions that a department makes. The below chart demonstrates the distribution of staff salaries and wages for different department functions. San Francisco spends more of its personnel budget on operations and programs than its peers, while spending less in the other categories such as administrative, maintenance, and top executives.

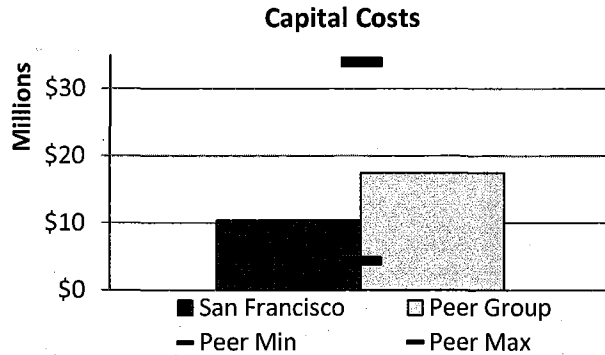


San Francisco has an average population among the peer group and is below average for the number of acres managed. With a higher than average number of FTE, San Francisco is below average in FTE per capita and acres maintained per FTE. The two measures can be used as basic indicators of staffing efficiency.

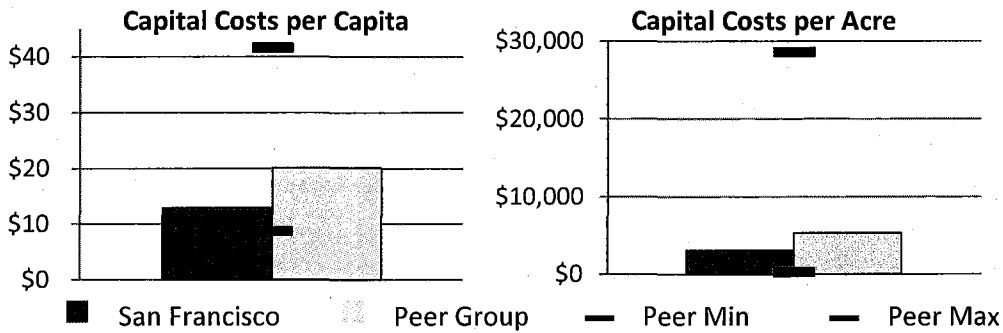


**Capital Budget**

Capital costs are expenditures for assets that have an extended life period such as land, buildings, or large pieces of equipment. Recreation and parks departments are capital intensive. Capital assets are most frequently financed through bond programs, but can also be included in a department’s yearly budget appropriation. The capital costs shown below only include annual budgeted funds for capital and do not include funds from bond financing. San Francisco voters approved Parks Bonds in 2000, 2008, and 2012 totaling over \$350 million for capital improvements not included below.



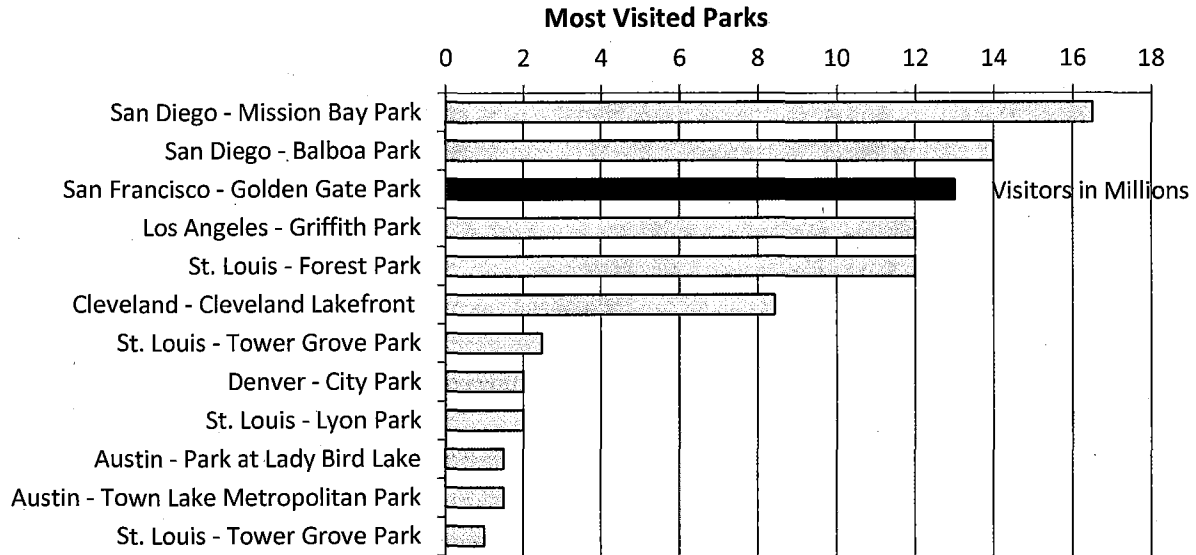
Capital costs can also be broken out as per capita and acre ratios. The ratios provide a snapshot into the department’s budget for the given year. On a year to year basis, capital costs can vary widely. The variation can come from differences in capital needs or overall budget situation.



**Park Usage**

Park usage would be best measured using visitor data for all parks within a jurisdiction’s park system. However, park visits are very difficult to quantify or measure because parks lack single entry points and standard business hours. This issue becomes more prevalent in large park systems such as those included in the peer group. To give a general measure of the scale of park visits in the chosen jurisdictions, a list of the most visited park sites in the United States was used. San Francisco’s Golden Gate Park, for example, had 13 million visitors in 2012. The below chart displays the most visited parks managed by the jurisdictions included in this report<sup>5</sup>.

<sup>5</sup> Data from the “2012 City Park Facts Report,” Trust for Public Lands



**Areas for Future Research**

The measures included in this report provide only a small snapshot of a recreation and parks operation. This report presents a starting point for comparison of the parks system and should not be used as a comprehensive analysis of San Francisco’s Recreation and Parks Department. In addition to this report, the Controller’s Office works with the Recreation and Parks Department on a variety of ongoing projects. In addition to the park maintenance standards evaluations, past projects have included a department fee analysis, maintenance data analysis, and an efficiency analysis of the maintenance yard.

**CONTROLLER'S OFFICE  
CITY SERVICES AUDITOR**

The City Services Auditor was created within the Controller's Office through an amendment to the City Charter that was approved by voters in November 2003. Under Appendix F to the City Charter, the City Services Auditor has broad authority for:

- Reporting on the level and effectiveness of San Francisco's public services and benchmarking the city to other public agencies and jurisdictions.
- Conducting financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operating a whistleblower hotline and website and investigating reports of waste, fraud, and abuse of city resources.
- Ensuring the financial integrity and improving the overall performance and efficiency of city government.

**Project Team:** Peg Stevenson, Director  
Randle McClure, Project Manager  
Kyle Burns, Senior Performance Analyst  
Faran Sikandar, City Hall Fellow

For more information, please contact Kyle Burns, Office of the Controller, City Services Auditor Division.  
Phone: 415-554-4023  
Email: [Kyle.Burns@sfgov.org](mailto:Kyle.Burns@sfgov.org)

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**From:** Chapin-Rienzo, Shanda on behalf of Reports, Controller  
**Sent:** Wednesday, December 11, 2013 1:53 PM  
**To:** Calvillo, Angela; Nevin, Peggy; BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; sfdocs@sfpl.info; Gabriel Metcalf, Con, Performance; CON-PERF DEPT CONTACTS; Robertson, Bruce; millsapsmel@yahoo.com; Rosenfield, Ben; Zmuda, Monique; Lane, Maura; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers  
**Subject:** Issued: City Services Performance Measure Report - Fiscal Year 2012-13

The Office of the Controller, City Services Auditor (CSA) has issued the City Services Performance Measure Report for FY 2012-13. The report has data for all measures currently in the Citywide Performance Measurement System—over 1,000 measures covering all City departments. The report also summarizes performance measurement highlights as well as the Citywide Performance Measurement Program's ongoing work.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=1642>

You can also access the report on the Controller's website (<http://www.sfcontroller.org>) under the News & Events section and on the Citywide Performance Measurement Program website ([www.sfgov.org/controller/performance](http://www.sfgov.org/controller/performance)) under the Performance Reports section.

For more information, please contact:

Office of the Controller  
City Services Auditor Division  
Phone: 415-554-7463  
Email: [Performance.con@sfgov.org](mailto:Performance.con@sfgov.org)

To learn more about the Citywide Performance Measurement Program, visit our website at [www.sfgov.org/controller/performance](http://www.sfgov.org/controller/performance).

**Document is available  
at the Clerk's Office  
Room 244, City Hall**

\* (9)

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**To:** BOS-Supervisors  
**Subject:** City and Kiva Zip Partnership  
**Attachments:** MEMO2BOSReKIVAZIP.doc; San Francisco Kiva Zip Loan Program Requirements.docx

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**From:** Yanez, Martha [mailto:martha.yanez@sfgov.org]  
**Sent:** Friday, December 20, 2013 3:43 PM  
**Cc:** Dick-Endrizzi, Regina; Murdock, Christian; Mendoza, Thelma; Siadatnejad, Negar  
**Subject:** City and Kiva Zip Partnership

Honorable Supervisor,  
On behalf of Regina Dick-Endrizzi, Office of Small Business Director, enclosed is a memo regarding the City's new partnership with Kiva Zip, and our Kiva Zip loan guidelines for your review.

Best,

**Martha Yañez | Business Case Manager**  
**San Francisco Office of Small Business**  
City Hall, Room 110  
1 Dr. Carlton B. Goodlett Place | San Francisco, CA 94102  
t: 415-554-6134 | d: 415-554-6181 | e: [martha.yanez@sfgov.org](mailto:martha.yanez@sfgov.org)  
[www.sfgov.org/osb](http://www.sfgov.org/osb) | [www.facebook.com/sfosb](http://www.facebook.com/sfosb) | [www.twitter.com/sfosb](http://www.twitter.com/sfosb)

*"Offering Solutions for San Francisco small businesses"*

**PLEASE NOTE**

***The Office of Small Business will be closed December 25-27; and January 1.  
City Hall will be closed December 25 and January 1.***

10

SMALL BUSINESS COMMISSION  
STEVE ADAMS, PRESIDENT  
REGINA DICK-ENDRIZZI, DIRECTOR



CITY AND COUNTY OF SAN FRANCISCO  
EDWIN M. LEE, MAYOR

## Memorandum

TO: Member Board of Supervisors  
FR: Regina Dick-Endrizzi, Director  
RE: File No. 130888 [Kiva Zip Partnership]

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### Background / Overview

The City and County of San Francisco has formed a public-private partnership with the nonprofit Kiva.org, to help small businesses gain access to capital and improve the local economy. Supervisor Mark Farrell introduced the Resolution to make the City a Trustee, enabling the city to expand financial opportunities for small businesses that otherwise cannot access capital. Now, with the help of the Office of Small Business, the City can identify and endorse entrepreneurs to gain access to 0% interest loans up to \$5,000 crowd-funded on Kiva's new program, Kiva Zip.

Kiva Zip uses "social underwriting" to screen its loan applicants. Potential borrowers are endorsed by Trustees - individuals or organizations that vouch for entrepreneurs they know and trust in their community. Once endorsed, entrepreneurs can post their loan request on [zip.kiva.org](http://zip.kiva.org) and begin crowdfunding their loan with the help of friends, community members, and Kiva's growing global community of more than one million lenders.

As a new City Trustee, we invite you to forward businesses that you have worked with and can vouch for. Potential borrowers should be of good, honorable, rock solid character. Think about individuals and small businesses in your district that you have gotten to know well over the years and trust, which you would be comfortable endorsing. We have created guidelines to assist in selecting people to endorse. The attached guidelines outline the minimum requirements for consideration. Given that endorsements are largely based on character, it is imperative that you fully vet any potential borrower before forwarding them to us for review and consideration.

We have already endorsed our first borrower who is currently fundraising on the Kiva Zip website, which you can view at: <https://zip.kiva.org/loans/2312>. We are excited about the new partnership and opportunity, and we look forward to working with you to help grow vibrant small business communities! Please feel free to contact me or my staff at (415) 554-6134 if you have any questions.



## San Francisco Kiva Zip Loan Program

*The City and County of San Francisco Office of Small Business is a new trustee on the Kiva Zip website. San Francisco small businesses now have a new way to access 0% interest loans to help them start and grow their business. Kiva Zip loans are crowd-funded by individual lenders on the Kiva Zip website, connecting small businesses with dozens of lenders who can be potential brand ambassadors, customers, and mentors. As a trustee, the Office of Small Business will work to identify and endorse qualified borrowers. See additional loan information and criteria below.*

### Loan Amount

- \$5,000 or less

### Interest Rate

- 0% interest

### Term of Loan

- 24 months or less (administered via PayPal)

### Eligible Loan Uses

- Business purpose, including but not limited to working capital, inventory purchase, equipment and machinery, startup costs, leasehold improvements, etc.

### Kiva Zip Minimum Requirements

- Borrower must not be heavily indebted (debt-to-income ratio must be less than 35%)
- Borrower cannot have any *past-due debt*
- Borrower cannot currently be in foreclosure or bankruptcy, under liens, or subject to a lawsuit
- Borrowers annual income must be less than \$100,000
- Borrower must be over 16
- Borrower must have a PayPal account

### OSB Minimum Requirements

- The Office of Small Business intends to endorse new or existing San Francisco small businesses with a strong business concept and of rock solid character. The ideal borrower is someone who has been engaged with the office and fully understands the requirements and resources available to successfully start and operate a business. The borrower is also someone who has worked with one of the city's non-profit partner organizations on the development of their business plan and financials. OSB staff will consider the overall experience working with the borrower, including the borrower's personality and character (how well we know and trust the borrower); and will work closely with the city's partner organizations and agencies to find and endorse qualified borrowers. Borrowers should be financially excluded and underserved, aim to have a positive social impact, and be in compliance with local laws and regulations.

San Francisco Office of Small Business  
City Hall, Room 110  
1 Dr. Carlton B. Goodlett Place, San Francisco, CA 94102  
(415) 554-6134 Phone [sbac@sfgov.org](mailto:sbac@sfgov.org) [www.sfgov.org/osb](http://www.sfgov.org/osb)



BOS-11, cpage



**San Francisco Department of Public Health**

Barbara A. Garcia, MPA  
Director of Health

City and County of San Francisco  
Edwin M. Lee  
Mayor

December 18, 2013

Angela Calvillo, Clerk of the Board  
Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102-4689

Ben Rosenfield, Controller  
1 Dr. Carlton B. Goodlett Place, Room 316  
San Francisco, CA 94102-4689

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 DEC 18 PM 4:18  
AK

Dear Ms. Calvillo and Mr. Rosenfield

Enclosed is the FY 2012-13

Annual Report of Gifts received by the Department of Public Health. As required by Section 10.110 of the San Francisco Administrative Code the Department of Public Health annually reports to the Board of Supervisors all gifts received. This report was reviewed and accepted by the Health Commission.

Sincerely,

Greg Wagner  
Chief Financial Officer  
Department of Public Health

11



City and County of San Francisco  
Edwin M. Lee  
Mayor


**San Francisco Department of Public Health**


Barbara A. Garcia, MPA  
Director of Health

**MEMORANDUM**

DATE: December 9, 2013

TO: Sonia Melara, President and Honorable Members of the Health Commission

THROUGH: Barbara A. Garcia, Director of Health 

FROM: Greg Wagner, Chief Financial Officer 

**RE: Annual Report of Gifts Received in FY 2012-13**

As required by section 10.100-201 of the San Francisco Administrative Code and consistent with the policy and procedure for the acceptance of gifts adopted by the Health Commission in October 1995, we are submitting our annual report. While only funds donated directly to the Laguna Honda Gift Fund is subject to this requirement, we have chosen include support from the SFGH Foundation, Public Health Foundation and direct gifts from the Friends of Laguna Honda to Laguna Honda Hospital residents so their support can be recognized by the City.

<b>Summary of Gifts Received in FY 2012-13</b>			
	<u>Amount under</u> <u>\$25,000</u>	<u>Amount over</u> <u>\$25,000</u>	<u>Total</u>
<b>San Francisco General Hospital (SFGH)</b>			
SFGH Foundation	\$11,077,408	\$6,747,578	\$17,824,986
<b>Laguna Honda Hospital (LHH)</b>			
Patient Gifts Received by LHH Gift Fund	9,133	500,000	509,133
Friends of Laguna Honda (Donation to LHH Gift Fund)	-	59,779	59,779
Patient Gifts LHH Gift Fund Subtotal	9,133	559,779	568,912
Friends of Laguna Honda (Direct Gift to LHH residents)	-	95,832	95,832
<b>Population Health &amp; Prevention</b>			
San Francisco Public Health Foundation	1,997,952	\$1,005,068	3,003,020
<b>Total All DPH Divisions</b>	<b>\$13,084,493</b>	<b>\$8,408,257</b>	<b>\$21,492,750</b>

The mission of the San Francisco Department of Public Health is to protect and promote the health of all San Franciscans.

We shall ~ Assess and research the health of the community ~ Develop and enforce health policy ~ Prevent disease and injury ~ Educate the public and train health care providers ~ Provide quality, comprehensive, culturally-proficient health services ~ Ensure equal access to all ~

barbara.garcia@sfdph.org ♦ (415) 554-2526 ♦ 101 Grove Street, Room 308, San Francisco, CA 94102

**San Francisco General Hospital**

---

The San Francisco General Hospital Foundation was established in 1994 to support programs and projects at the San Francisco General Hospital & Trauma Center. For the above period, grants and donations totaling \$17,824,986 were raised by the San Francisco General Hospital Foundation for hospital programs. Grants and gifts of \$25,000 and over amounted to \$6,747,578.

	<b>Amount under \$25,000</b>	<b>Amount over \$25,000</b>	<b>Total Received</b>
<b>SFGH Foundation</b>	\$11,077,408	\$6,747,568	\$17,824,986

**Grants and Donations \$25,000 and over were from the following donors:**

<b>Donor</b>	<b>Amount of Donation</b>
AO North America	\$ 75,000
AT&T	125,000
California HealthCare Foundation	100,000
Chevron Energy Solutions	100,000
Firedoll Foundation	25,000
Flight Attendant Medical Research Institute	4,154,553
George H Sandy Foundation	25,000
Jewish Vocational & Career Counseling	61,095
Lisa & Douglas Goldman Fund	40,000
Macy's	25,000
McKesson Foundation	50,000
Metta Fund	154,978
Mimi & Peter Haas Fund	40,000
Salesforce.com Foundation	250,000
San Francisco Community Clinic Consortium	147,690
San Francisco Health Plan	793,679
State of California - Department of Public Health	76,523
Stryker	55,516
Synthes	79,900
The Mary Wohlford Foundation	25,000
The Safeway Foundation	45,916
The San Francisco Foundation	104,592
Union Bank	25,000
United Nation	31,500
University of California, Davis	81,338
University of California, Los Angeles	55,298
<b>Total</b>	<b>\$ 6,747,578</b>

Programs and services funded in the period 7/1/12 to 6/30/13 were as follows:

Bay Area Perinatal AIDS Center	\$	57,725
Bayview Health & Wellness Center		28,167
Amputee Support		10,424
Behavioral Health Center		2,908
Cancer Awareness Resource Education		66,486
Cancer Support		5,350
Center for Vulnerable Populations		288,691
Chinatown Public Health Education		162,813
Community Consortium CME Program		6,500
Diabetes Research		14,563
Draper Nursing Education Program		10,000
Emergency Department		4,992
eReferral Specialty Care		176,790
Family Health Center		13,813
Financial Fitness Clinic		3,461
General Medicine Clinic		97,441
Medical-Legal Partnership		7,058
NeuroTrauma		28,488
Orthopedics Department		361,528
Other Projects		18,318
Palliative Care		3,148
Partners in Nursing Education		40,701
Nursing Scholarship		48,281
Pediatric Services		14,904
Positive Health Program		148,414
Potrero Hill Health Center		7,584
Prevent Heart Attacks & Strokes (PHASE Initiative)		105,031
Quality Improvement		49,689
Radiology Education Fund		16,907
Safety Net		41,367
SFGHF Hearts Grant - Adult Rigid Contact Lens		5,713
SFGHF Hearts Grant - Behavioral Health Partnership Pilot		7,482
SFGHF Hearts Grant – Breastfeeding		3,518
SFGHF Hearts Grant - Centering Pregnancy		7,190
SFGHF Hearts Grant - Clinical Guidance for Radiation Exposure		3,390
SFGHF Hearts Grant - Creating a Healing Environment in the Birth Center		4,774
SFGHF Hearts Grant - Critical Care Unit Airway Cart		22,785
SFGHF Hearts Grant - Dermatology Component of eReferral		27,894
SFGHF Hearts Grant - Early Mobility Exercise equipment		11,560

SFGHF Hearts Grant - Electroencephalography	5,000
SFGHF Hearts Grant - Food Safety Training	12,809
SFGHF Hearts Grant - Healthy Lifestyles	5,135
SFGHF Hearts Grant - HIV Patient Education	9,076
SFGHF Hearts Grant - Improving Patient Safety Through Training	31,707
SFGHF Hearts Grant - Infant/Neonate MRI Ventilator	16,215
SFGHF Hearts Grant - Interdisciplinary QI Leadership Academy	2,870
SFGHF Hearts Grant - Kidney Education and Wellness Program	8,375
SFGHF Hearts Grant - Let There Be Light	5,595
SFGHF Hearts Grant - Lymphedema Rehabilitation Education	5,764
SFGHF Hearts Grant - Nursing Education	10,500
SFGHF Hearts Grant - Other Projects	30,113
SFGHF Hearts Grant - Patient Simulator	3,263
SFGHF Hearts Grant - Pediatric IC	3,593
SFGHF Hearts Grant - Promoting Wound and Ostomy Care	2,482
SFGHF Hearts Grant - Safe and Effective Discharge for Diabetic Patients	6,775
SFGHF Hearts Grant - Shared Governance Initiative	5,500
SFGHF Hearts Grant - Studying and Modeling Efficiency at the Endoscopy Center	28,578
SFGHF Hearts Grant - Technology Upgrade for OR	22,969
SFGHF Hearts Grant - Technology Upgrade	125,900
SFGHF Hearts Grant - Telemedicine	22,250
SFGHF Hearts Grant - Tobacco Free Community Initiative	17,467
SFGHF Hearts Grant - Wellness Programs	53,049
Smart Steps	34,458
Second Hand Smoke Research	854,129
Starfish Heals Program	34,102
Trauma Recovery Center - Survivors Program	36,629
Transitional Care Program	534,959
Video Medical Interpretation	223,107
Vocational Rehabilitation	5,329
Volunteer Program	134,983
Women Health - Avon (grants booked in prior periods)	674,476
Women's Option Center	6,961
Wraparound Empowerment Center	32,382
<b>Total</b>	<b>\$ 4,952,064</b>

## Laguna Honda Hospital

### Summary of Gifts to Resident Gift Fund

Laguna Honda received monetary gifts totaling \$568,912 in FY 2012-13. Friends of Laguna Honda, a non-profit organization founded in 1956, is dedicated to enhancing the quality of life

for the residents at Laguna Honda Hospital by funding non-medical programs and services that would otherwise be unavailable. In addition to continuing support from the Friends of Laguna Honda, the Knight Marital Trust made a significant gift of \$500,000. The gifts to the Laguna Honda Resident Gift Fund consisted of:

	Amount under \$25,000	Amount over \$25,000	Total Received
<b>LHH Total</b>	\$9,133	\$559,779	\$568,912

<b>Donor</b>	<b>Amount of Donation</b>
Friends of Laguna Honda	\$ 59,779
Knight Marital Trust	500,000
Barry Schneider	5,180
Center for Students Missions	1,000
Marika Szigethy	500
Richard Morris	500
Michael Looft	500
University of Georgia, Department of Psychology	300
San Francisco Community College	200
St. Brendan School	156
Anne Hughes	150
UCSF Retirees Association	120
David Delchiaro	117
Ronnie Davis	100
Mary Devine	100
Barbara Nelson	50
Cindy Hickey	50
Jim Zelaya-Wagner	45
Maie Tam Hong	30
Edwin and Marilyn Davis	18
California Department of Public Health Survey Team	10
Crystal S. Duncan	7
<b>TOTAL</b>	<b>\$ 568,912</b>

**Gift Fund Expenditure Summary**

Art with Elders	\$ 33,600
Ball Games	13,801
Entertainment	8,228
Hospital wide Special Events	38,877
Miscellaneous for Patients' Benefit	2,651
Outings - Chartered Buses	1,113
Outings - Restaurants, Movies, Admission Tickets	31,662
Palliative Care & Hospice Neighborhood Events	9,203
Positive Care Program Events	5,227
SATS Program	212
Special Food and Beverages provided w/ Activities	14,286

Supplies, Game Prizes	7,800
Neighborhood Money Program	18,200
<b>TOTAL</b>	<b>\$ 184,859</b>

**Non-Gift Fund Donations**

In addition to donations to its Gift Fund, Laguna Honda Hospital received in-kind donations in FY 2012-13.

Dryer’s Grand Ice Cream of Oakland teamed up with the Tree Planting Foundation of Pittsburgh, Pennsylvania to donate 50 fruit trees that were planted on the grounds of the hospital during a celebration on August 13, 2012.

Donations for materials and supplies from the public are regularly received by our Volunteer Services Department. Those donations include clothing, books, etc. Most donated items are distributed directed to the neighborhoods and the residents. Items evaluated as inappropriate for the organization and the residents are either donated to other community groups or sold in our Gift Shop. Sales of donated items in the Gift Shop resulted in \$1,618 in revenue for the Gift Fund in this fiscal year.

In addition to the donations made to the Gift Fund, the Friends of Laguna Honda also pays directly for services, supplies, and materials in support of resident activities and quality of life.

Non-Gift Fund donations from the Friends of Laguna Honda

Equipment, computers, and other material & supplies	\$ 29,830
Services	18,576
Subscriptions	944
Neighborhood small purchases	13,000
Orthopedic shoes	525
Holiday gifts for residents	26,560
Volunteer recognition events	5,997
Musical Performances	400
<b>Total</b>	<b>\$ 95,832</b>

**Public Health Foundation**

Population Health and Prevention programs received gifts totaling \$3,003,020 in FY 2012-13 through the San Francisco Public Health Foundation. This represents a significant increase over the prior year’s receipts of \$1,365,590.

The San Francisco Public Health Foundation, founded in 1988, is dedicated to augmenting and expanding the services and programs of the San Francisco Department of Public Health. The Foundation provides the mechanism for individuals, corporation, foundations and organizations to support programs and fund special projects that make a meaningful contribution to the health and welfare of our city. The Foundation assists the Department in providing innovative services to San Francisco’s most vulnerable residents. Thanks to funds directed through the foundation, children and adults, in addition to being physically healthy, thrive and enjoy an improved quality

of life. The gifts help support a growing number of new and innovative community programs and services.

	<u>Gift Amounts under \$25,000</u>	<u>Gift Amounts over \$25,000</u>	<u>Total</u>
San Francisco Public Health Foundation	\$1,997,952	\$1,005,068	\$3,003,020

The sources of the gifts to the San Francisco Public Health Foundation in FY 2012-13 included:

Conference Fees	\$ 29,201
Universities	118,021
Government	710,781
Corporate	52,887
Foundation	919,432
Organizations	1,081,992
Individuals	90,706
	<b>\$ 3,003,020</b>

Expenditures totaling \$2,266,994 were used for the following programs and services:

Public Health Education & Prevention	\$ 804,070
Direct Patient Services	414,717
Communicable Disease Control/treatment/prevention	902
Outreach & Healthcare for the Homeless	608,837
Youth & Children's Services	158,522
Environmental Services	242,268
Public Outreach and Administration	37,678
<b>Total Expenses</b>	<b>\$ 2,266,994</b>

### **Foundation and Volunteer Boards**

The Board of Directors for the San Francisco General Hospital Foundation, The San Francisco Public Health Foundation, and the volunteer organizations for SFGH and LHH are listed below.

#### **San Francisco General Hospital Foundation**

Matthew Paul Carbone, President	Sue Currin, Ex-Officio
Judith Swift Guggenhome, Chair	Prisca Geeslin
Pam Baer, Vice President	Lisa Hauswirth
Kirsten Bibbins-Domingo, Vice President	Geoffrey Manley
Connie Shanahan, Vice President	Theodore Miclau
Jonathan Tsao, Vice President	Magdalen Mui
Mary Bersot, Treasurer	Ellen Magnin Newman
Helen Archer-Dusté, Secretary	Ann Paras
John Bell	Roland Pickens
Amy Busch	Laura A. Robertson
Sue Carlisle, Ex-Officio	Alex Rosenblatt
Charles Charnas	David Sanchez, Ex-Officio



Philip Schlein  
Mike Silva  
Patrick Smith  
Ruth Ann Stumpf

Leon Tuan  
Beth S. Veniar  
Jamie Whittington  
John Woods

**Friends of Laguna Honda**

Peter A. Johnson, President  
R. Porter Felton, Vice President  
Richard J. Behrendt, Vice President  
Craig B. Collins, Treasurer  
Christopher B. Escher, Secretary  
Bruce Nelson, Past President  
Peter W. Callander, M.D.  
Kathleen Cardinal  
Lisa Wilcox Corning  
Patrick Devlin  
Dwight Cochan  
Laura Fogelman  
William J. Hoehler

Joseph S. Lerer  
Terry Lowry  
Mrs. James K. McWilliams  
William C. Miller  
Morris H. Noble, Jr.  
W. Sloan Upton  
Katie A. Rafanelli  
G. Barney Schley  
Sara C. Stephens  
Stephen H. Sutro  
Larry Funk  
June R. Lilienthal (Emeritus)  
William B. MacColl, Jr.(Emeritus)

**San Francisco Public Health Foundation**

Randy Wittorp, President  
Cynthia Gomez, Vice President  
Colleen Chawla, Secretary  
Arthur Weiss, Treasurer  
Rachel Golick  
Josh Greenblatt  
Sonia Melara  
Dani Nolan  
Amanda Schmutzler  
Gayle Uchida

BOS-11 page

City and County of San Francisco



Edwin M. Lee  
Mayor

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2013 DEC 19 PM 3:33

AK

Department of Public Health  
Barbara A. Garcia, MPA  
Director of Health

December 17, 2013

Ms Angela Calvillo  
Clerk of the Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

Dear Ms Calvillo:

This is to notify the Board of Supervisors that DPH has requested the following waiver from compliance with Chapter 12B of the City's Administrative Code:

**Certified Medical Testing** – To perform annual testing on Laguna Honda Hospital gas, vacuum and air systems. These systems include Oxygen tanks, Medical air and vacuum compressors, and piping systems with Zone valves feeding 450 combined outlets of oxygen.

In accordance with National Fire Protection Association NFPA 99: Health Care Facilities Code, medical gas/vacuum systems must be tested and certified annually to comply with Environment of Care Standard 7.5 recommendations and State of California Code of Regulations - Title 22 requirements.

Certified Medical Testing is an NFPA 99, Health Care Facilities Code qualified Med-gas Maintenance firm serving health care community throughout California for over 22 years.

Currently, Certified Medical Testing is the only certified company known to PHP and LHH engineering staff that performs inspections in the San Francisco area. There are no 12B compliant vendors that perform these services.

Please contact Contracts Management and Compliance at 554-2839 should you have questions regarding this matter.

Sincerely,

Jacquie Hale  
Director, Office of Contract Management and Compliance

Attachments: 12B Waiver Request

12



CITY AND COUNTY OF SAN FRANCISCO
HUMAN RIGHTS COMMISSION

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO

S.F. ADMINISTRATIVE CODE CHAPTERS 12B and 14B
WAIVER REQUEST FORM
(HRC Form 201)

2013 DEC 19 PM 3:30

FOR HRC USE ONLY
Request Number:

Section 1. Department Information

Department Head Signature: [Signature]
Name of Department: Public Health
Department Address: 101 Grove St. Rm. 307 San Francisco, CA 94102
Contact Person: Jacquie Hale
Phone Number: 554-2607 Fax Number: 554-2555

Section 2. Contractor Information

Contractor Name: Certified Medical Testing Vendor No.: 88713
Contractor Address: 7600 N. Ingram Avenue, Suite 234. Fresno CA 93711
Contact Person: Contact Phone No.:

Section 3. Transaction information

Date Waiver Request Submitted: Type of Contract: Medical equipment testing
Contract Start Date: 12/16/2013 End Date: 12/31/2014 Dollar Amount of Contract: \$ 8,000

Section 4. Administrative Code Chapter to be Waived (please check all that apply)

[X] Chapter 12B
Chapter 14B Note: Employment and LBE subcontracting requirements may still be in force even when a 14B waiver (type A or B) is granted.

Section 5. Waiver Type (Letter of Justification must be attached, see Check List on back of page.)

[X] A. Sole Source
B. Emergency (pursuant to Administrative Code §6.60 or 21.15)
C. Public Entity
[X] D. No Potential Contractors Comply - Copy of waiver request sent to Board of Supervisors on:
E. Government Bulk Purchasing Arrangement - Copy of this request sent to Board of Supervisors on:
F. Sham/Shell Entity - Copy of waiver request sent to Board of Supervisors on:
G. Subcontracting Goals
H. Local Business Enterprise (LBE) (for contracts in excess of \$5 million; see Admin. Code §14B.7.1.3)

HRC ACTION
12B Waiver Granted: 14B Waiver Granted:
12B Waiver Denied: 14B Waiver Denied:
Reason for Action:
HRC Staff: Date:
HRC Staff: Date:
HRC Director: Date:

DEPARTMENT ACTION - This section must be completed and returned to HRC for waiver types D, E & F.
Date Waiver Granted: Contract Dollar Amount:

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**Subject:** Public Works Annual Report

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**From:** Nuru, Mohammed [mailto: Mohammed.Nuru@sfdpw.org]

**Sent:** Tuesday, December 10, 2013 9:56 AM

**To:** Pointer Department Heads

**Cc:** Gordon, Rachel; Folan, Annie

**Subject:** Public Works Annual Report

Dear Colleagues,

I'm happy to share with you the San Francisco Public Works Annual Report for 2012-13. The link can be found here:

<http://sfdpw.org/Modules/ShowDocument.aspx?documentid=3761>

This document is a great reminder of all that we have accomplished in the past year -- often times in partnership with our sister City agencies -- and provides an historical record for future generations. Please take a look, I think you'll enjoy it.

Mohammed

**Document is available  
at the Clerk's Office  
Room 244, City Hall**

\* (13)

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**From:** Ginsburg, Phil [phil.ginsburg@sfgov.org]  
**Sent:** Wednesday, December 18, 2013 3:35 PM  
**Subject:** RPD 2012-13 Community Report  
**Attachments:** 2013 RPD Holiday Card.jpg

Dear Friends and Colleagues,

Happy Holidays from the San Francisco Recreation and Park Department. We are extremely proud of the work we do each and every day to get residents out in their parks and participating in healthy, fun park activities. Your support over the past year has meant the world to us. On behalf of our entire department, I thank you for advocating for your parks, improving your parks, and using your parks.

Please take a few minutes to review our Community Report for Fiscal Year 2012-13 at:  
<http://sfrecpark.org/wp-content/uploads/community-report-2012-13-web-light.pdf>.

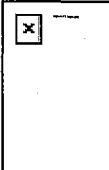
Get out and play.

Sincerely,

Philip A. Ginsburg  
General Manager

San Francisco Recreation and Park Department | City & County of San Francisco  
McLaren Lodge in Golden Gate Park | 501 Stanyan Street | San Francisco, CA | 94117

(415) 831.2701

	Visit us at <a href="http://sfrecpark.org">sfrecpark.org</a> Like us on <a href="#">Facebook</a> Follow us on <a href="#">Twitter</a> Watch us on <a href="#">sfRecParkTV</a> Sign up for our <a href="#">e-News</a>
--	--

14

City & County of San Francisco  
Mayor Edwin M. Lee



DEPARTMENT OF  
RECREATION  
& PARKS

Recreation and Park Commissioner  
Mark Bujell, President

## SAN FRANCISCO RECREATION & PARKS

# FISCAL YEAR 2012-13

### MISSION STATEMENT

"To foster the well-being of San Francisco's diverse community by maintaining beautiful parks, preserving the environment and providing enriching recreational activities."

[sfrecreation.org](http://sfrecreation.org)



## CULTIVATING VOLUNTEERS

Last year, volunteers spent **153,457 hours** of their time and energy improving our parks and programs, which, in total, amounts to \$3.8 million in in-kind donation to our parks and recreation centers. Through volunteer work days and programs like the Youth Stewardship Program and Greenagers, we are helping to build a network of park advocates and the next generation of park stewards.

# BY THE NUMBERS

- 4,113 acres of recreational and open space
- 3,400 acres within San Francisco
- 727 marina slips
- 220 neighborhood parks
- 179 playgrounds and play areas
- 151 tennis courts
- 82 recreation centers and clubhouses
- 72 basketball courts
- 59 soccer/playfields
- 44 ball fields
- 35 community gardens
- 32 Natural Areas
- 27 off-leash dog areas
- 9 aquatic centers
- 6 golf courses
- 3 stadiums

## A MESSAGE FROM OUR GENERAL MANAGER

Dear Friends,

Fiscal year 2012-13 was a banner year for the San Francisco Recreation and Park Department.

Our parks have never been cleaner, our recreation programs have never been as enriching or popular, and thanks to San Francisco voters, we are in the midst of a historic era that is allowing us to renovate and transform many of our park spaces so they remain relevant to our ever-evolving communities.

We continue to welcome more volunteers than ever into our parks and we are blessed with amazing community partners that help us provide the parks, programs, facilities and special events that help keep San Francisco families thriving and make tourists and visitors want to come back again and again.

And we continue to make our programs more accessible, affordable and relevant to those who need them the most.

Our staff members are some of the hardest working employees you'll find anywhere and I am thankful to be able to work alongside them every day.

In 2013-14, our hard work continues but with your help and support, it's a joy to steward the best big city parks system in America.

Get out and play!



Paul Ginsburg



# CLEAN, SAFE AND BEAUTIFUL PARKS



**740.5**  
tons of green waste diverted  
from our parks each year

In partnership with Laborers' Local 261, the Mayor's Office of Economic Workforce Development, City College of San Francisco, NCDCL, and the State Department of Industrial Relations, we are cultivating the next generation of gardening and horticultural professionals through the state's first-ever **Gardener Apprenticeship Program**. This three-year-old program ensures that our parks will have some of the most talented and best trained gardeners working in our parks for years to come.

The San Francisco Recreation and Park Department works hard to ensure the city's parks are clean, safe and beautiful for everyone to enjoy.

**Citywide park scores**, rating the quality of lawns, playgrounds, restrooms, sports courts and other park amenities, reached their highest level ever-- 91.1%, according to the City Controller

**Closing the Gap:** Parks in traditionally underserved neighborhoods continued to improve, ensuring that all communities have access to clean, safe and fun park spaces

Rec and Park **completed 14,747 work orders** for repairs last year and responded to all reports of graffiti and vandalism within 48 hours

In 2012, the **Trust for Public Land** named San Francisco parks the **Number One park system** in the country





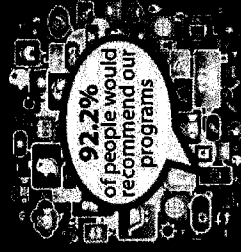
## QUALITY RECREATION PROGRAMS

**1,500** children learned to swim last year through aquatics, Learn-to-Swim and Swim Club programs

people registered for classes, programs and activities last year

kids participated in our after school programs

campers visited Camp Mather last year, including Senior Week, Teen Week and Inclusion Week



In Fiscal Year 2012-13, we offered a total of **3,496 classes** citywide to everyone from tots to seniors. Whether it's our Learn-to-Swim program, Mobile Rec, summer day camps, dance classes for seniors or free Zumba for adults, our programs have become some of the most popular choices for San Francisco families to get out and play. Special seasonal events, including Spring Eggstravaganza, Summer Play Day, Scaregrove and our annual holiday tree lighting draw thousands of eager participants to our parks each year.

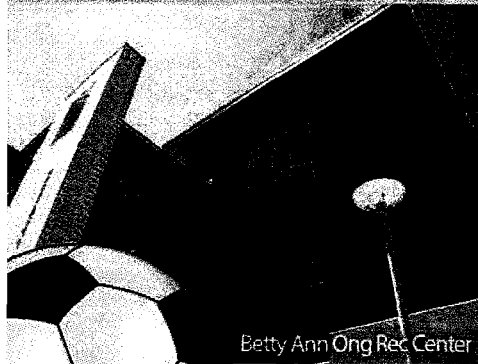
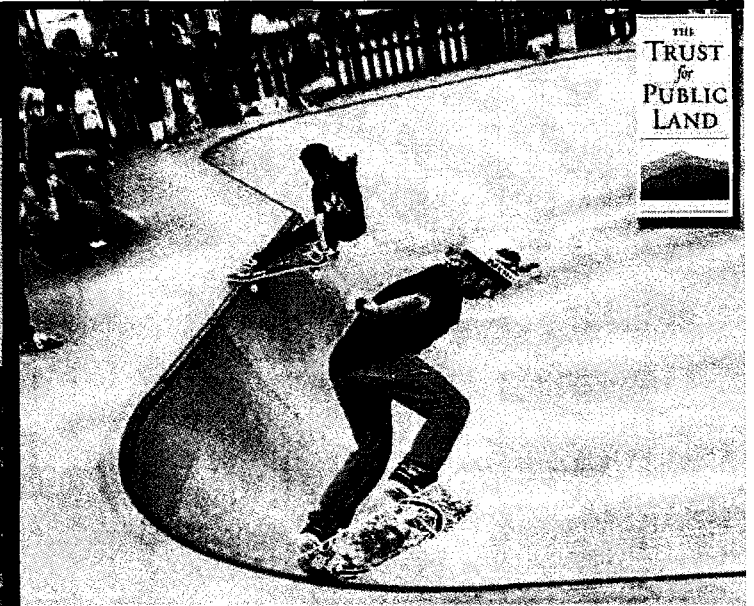
of people find our class instructors extremely or very knowledgeable



# BUILDING FOR THE FUTURE

# \$195 million

In November 2012, 72% of San Francisco voters approve the 2012 Clean & Safe Neighborhood Parks Bond, a \$195 million bond that will renovate neighborhood parks, upgrade waterfront spaces, address failing playgrounds, improve citywide parks, rehabilitate park trails and the urban forest, and implement water conservation efforts. In 2012-13, we continued work on the 2008 parks bond, completing renovations at seven park sites, including Lafayette Park, Cayuga Playground, Betty Ann Ong Chinese Rec Center, Sunset Playground and Mission Playground.



Betty Ann Ong Rec Center



Cayuga Playground



Lafayette Park

# 76,000 hours

Our ongoing partnership with the **City Fields Foundation** addresses San Francisco's shortage of athletic fields and has resulted in an additional 76,000 hours of play on city fields each year and has created enough space for 200 new youth soccer teams in the city.



The **Trust for Public Land** has been an invaluable partner, helping to renovate park spaces in neighborhoods that need it the most. Last year, TPL helped renovate heavily used Balboa Park and helped create a brand new skateboard park that neighborhood youth and teens have been thoroughly enjoying.

In addition to Balboa Park, TPL renovated Hayes Valley Playground in the Western Addition in 2011, and ground was broken at Boeddeker Park in the Tenderloin in November 2012, with an expected reopening in 2014.



## EVERYBODY DESERVES TO GET OUT AND PLAY

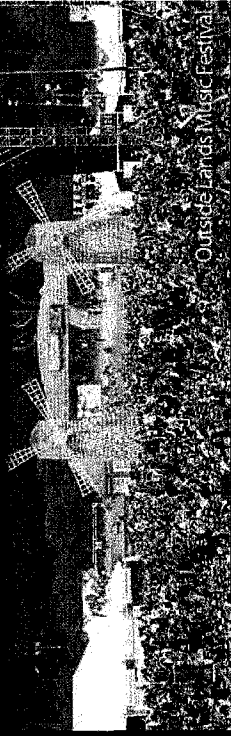
Throughout the year, San Francisco Recreation and Parks ensures that everyone has the opportunity to get out and play in our parks. In 2012-13, we awarded more than 7,700 scholarships worth nearly \$1 million, allowing city residents to participate in everything from after school and senior programs to swimming lessons, summer camps, team sports and dance classes, regardless of their ability to pay.

Approximately 51.2 million people in the U.S. have some level of disability. The Department's **Inclusion Program** ensures that people of all abilities can access our parks and programs, including summer camps, after school programs, gardening classes and special events.

This past year, the Department also provided free programming to 130 **Little League** players, partnered with Street Soccer USA on a tournament for the **Homeless**, and began new programs at Eureka Valley Recreation Center for

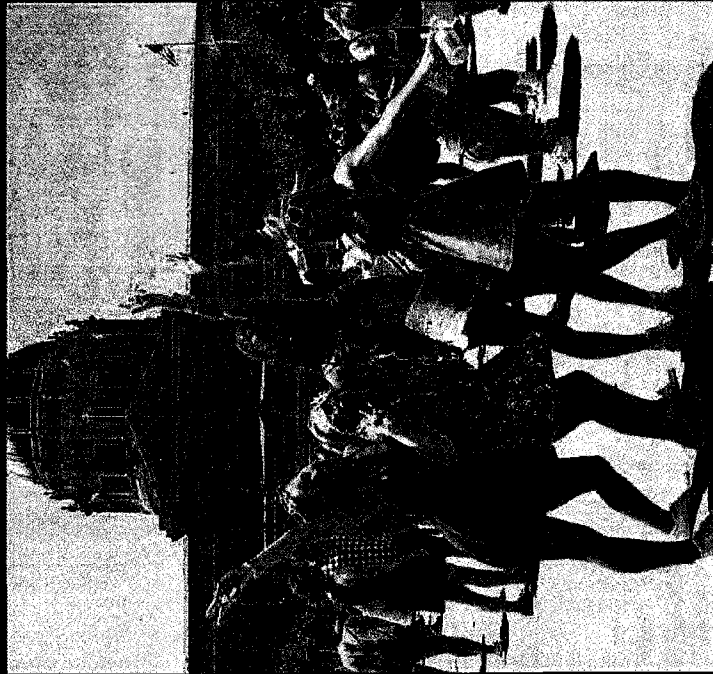


## NEW PARK AMENITIES



Outside Lands Music Festival

We are steadily adding more park amenities to our parks and improving the public's park-going experience. Did you know you can **rent a bicycle** at one park and ride to another, take a **Segway** tour of Golden Gate Park, or have a delicious, healthy snack at the new **Stow Lake Boathouse**? Our parks also play host to several fun events throughout the year, including the popular **Outside Lands** and **Hardly Strictly Bluegrass** music festivals.



## A Look Ahead to 2013-14

Here are a few things we're focusing on in the next year:

**Celebrating Camp Mather's 90th Anniversary-** Camp Mather in the High Sierras has been a favorite summer destination for generations of San Francisco families. Thanks to the efforts of the SF Fire Department, the SF Public Utilities Commission, local and state agencies, and our own camp staff, Mather largely survived the Rim Fire that devastated the Yosemite and Stanislaus National Forest area in late-summer 2013. Although impact from the fire no doubt remains, we are actively planning for the 2014 camp season and Mather's 90th Anniversary celebration.

**Saying Farewell to Candlestick-** As the 49ers era ends at historic Candlestick Park, we are working on several special events and opportunities for fans and the public to bid the stadium farewell before it is demolished and folded into the Hunter's Point Shipyard Re-development Project.

**Free WiFi in Parks-** In partnership with the Mayor's Office, Supervisor Mark Farrell and the city's Department of Technology, the City is working to expand the Community Broadband Network by providing free wireless internet access ("Wi-Fi") for visitors to 31 public parks and plazas across the City. The cost of procuring and installing this service is generously donated by sf.citi, a consortium of technology companies. Installation is expected to be completed by summer 2014.

**More Newly Renovated Parks and Playgrounds-** Groundbreakings and ribbon-cuttings will continue as we close out the 2008 Clean and Safe Neighborhood Park Bond, and planning, design and construction picks up steam for the 2012 Bond, which includes funding for 15 neighborhood parks, long-awaited investment in Golden Gate Park, McLaren Park, and Lake Merced, as well as renovations to the parks' support infrastructure.

**More Recreational Opportunities-** We'll continue to offer more exciting programs like free Zumba, pilates and fitness classes. Renovation of the Lake Merced Boathouse is nearing completion, offering the public more opportunities for waterfront activities.

zyngo.org



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**From:** Board of Supervisors  
**To:** BOS-Supervisors; BOS-Legislative Aides  
**Subject:** San Francisco Gun Buy Back, December 14th from 9am-2pm  
**Attachments:** GUN BUY BACK 2013-STATEWIDE-FULLPAGE-FINAL2.pdf

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**From:** Oliva-Aroche, Diana  
**Sent:** Tuesday, December 10, 2013 9:15 AM  
**Subject:** FW: San Francisco Gun Buy Back, December 14th from 9am-2pm

Good Morning Colleagues,

As a part of the city's violence prevention initiative-"Interrupt, Predict, and Organize for a Safer Francisco"-San Francisco will be organizing a citywide Gun Buy Back event on December 14<sup>th</sup> from 9am-2pm at the Alive & Free – Omega Boys Club | 1060 Tennessee Street, San Francisco, CA 94107. Cities across California-San Jose, Oakland and Los Angeles-will also be organizing local Gun Buy Back events. We encourage your agency to support this event by distributing the attached flyer to your networks.

Thank you for your support.

Best Regards,

Diana Oliva-Aroche, MPH  
Director of Violence Prevention Services  
Office of Mayor Edwin M. Lee  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 288  
San Francisco, CA 94102  
415-554-6613 (direct)  
[Diana.oliva-aroch@sfgov.org](mailto:Diana.oliva-aroch@sfgov.org)

# COALITION OF CALIFORNIA CITIES

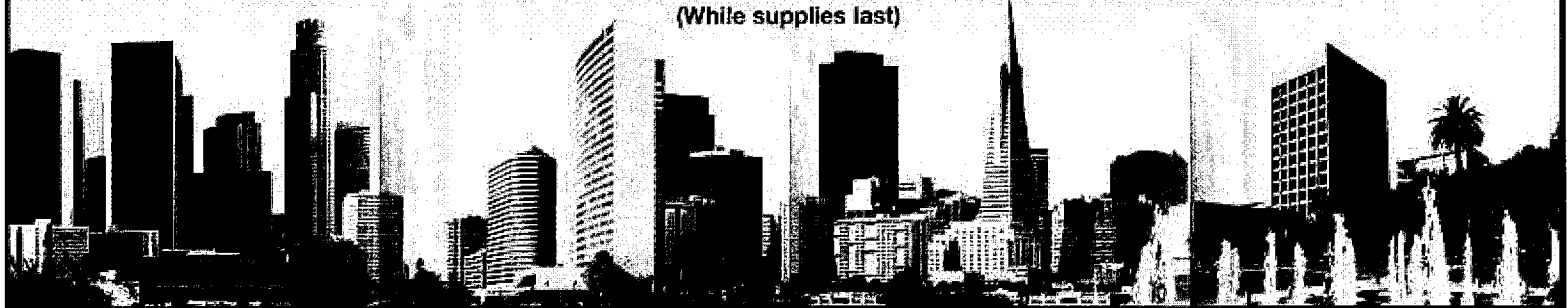
Los Angeles, Oakland, San Francisco & San Jose

# ANONYMOUS GUN BUYBACK SATURDAY DECEMBER 14th

(Individual times vary by location - please see times listed below)

## TURN IN YOUR GUNS TO RECEIVE THE FOLLOWING:

(While supplies last)



### LOS ANGELES

### OAKLAND

### SAN FRANCISCO

### SAN JOSE

**UP TO \$100**  
RALPHS GROCERY STORE  
GIFT CARD  
FOR HANDGUNS, SHOTGUNS & RIFLES

**UP TO \$100**  
CASH  
FOR HANDGUNS, SHOTGUNS & RIFLES

**UP TO \$100 CASH**  
FOR HANDGUNS, SHOTGUNS & RIFLES

**UP TO \$100**  
GIFT CARD  
FOR HANDGUNS, SHOTGUNS & RIFLES

**UP TO \$200**  
RALPHS GROCERY STORE  
GIFT CARD  
FOR ASSAULT WEAPONS AS CLASSIFIED  
IN THE STATE OF CALIFORNIA

**UP TO \$200**  
CASH  
FOR ASSAULT WEAPONS AS CLASSIFIED  
IN THE STATE OF CALIFORNIA

**UP TO \$200 CASH**  
FOR ASSAULT WEAPONS AS CLASSIFIED  
IN THE STATE OF CALIFORNIA

**UP TO \$200**  
GIFT CARD  
FOR ASSAULT WEAPONS AS CLASSIFIED  
IN THE STATE OF CALIFORNIA

*Residency limited to residents of the City of Oakland  
- Proof of residency to be checked by Youth UpRising*

*Must Bring Guns in Working Condition Limit of 5  
per Person/Vehicle - This is a Safe  
Community Based Event*

- SAN FRANCISCO RESIDENTS ONLY
- ID FOR RESIDENCE VERIFICATION REQUIRED,  
NO CRIMINAL VERIFICATION WILL BE DONE.
- DRIVE UP ONLY NO WALK UPS
- 5 GUNS PER PERSON/VEHICLE (UNLOADED & IN  
WORKING CONDITION)

*Must Bring Guns in Working Condition  
Limit of 3 per Person/Vehicle - This is a Safe  
Community Based Event.*

### LOCATIONS INCLUDE:

### LOCATION:

### LOCATION:

### LOCATION:

**LAFD FIRE ACADEMY**  
1700 Stadium Way  
Los Angeles, CA 90012

**VAN NUYS MASONIC TEMPLE**  
14750 Sherman Way  
Van Nuys CA, 91405

**PARK & RIDE PARKING LOT**  
1300 West Pacific Coast Highway  
Wilmington, CA 90744

All Locations: 8:00am - 3:00pm

**YOUTH UPRIISING**  
9:00am - 3:00pm  
8711 MacArthur Blvd,  
Oakland CA 94605

**BAYVIEW DISTRICT**  
9:00am - 2:00pm  
1060 Tennessee Street  
San Francisco, CA 94107  
(Alive & Free Omega Boys Club)

**OUR LADY OF  
GUADALUPE CHURCH**  
8:00am - 3:00pm  
2020 E. San Antonio Ave.  
San Jose, CA 95127

For more information contact:  
or visit: [www.lamayor.org](http://www.lamayor.org)

For more information contact Youth UpRising:  
MICHAEL HUNT OPD PID JOHANNA WATSON

Contact info:  
ALIVE & FREE : OPERATIONS MANAGER, ANDRE AIKINS

For more information contact:



**QUANTITIES OF THE INCENTIVES (GIFT CARDS/CASH) ARE LIMITED & SUBJECT TO AVAILABILITY.**

Each individual Gun Buyback site reserves the right to limit the number/amount of incentives an individual may receive regardless of the amount of firearms surrendered

December 9, 2013

TO: STATE, CITY AND LOCAL OFFICIALS

**NOTICE OF PACIFIC GAS AND ELECTRIC COMPANY'S SUPPLEMENTAL FILING FOR SUMMER 2014  
RESIDENTIAL ELECTRIC RATE REFORM (R.12-06-013, PHASE 2)**

805-11, cpage

BOARD RECEIVED  
SUPERVISORS  
SAN FRANCISCO  
2013 DEC 10 PM 6:16

**Summary**

On November 22, 2013, Pacific Gas and Electric Company (PG&E) filed with the California Public Utilities Commission (CPUC), a request to change certain residential rates, to be effective by the summer of 2014, as described below. The request complies with a ruling from the CPUC inviting electric utilities to file proposals that will **better align rates with the actual costs of providing electric service and to simplify rate plans.**

**About the proposals**

On October 7, 2013, Assembly Bill 327 (AB 327) was signed into law. This new law authorizes the CPUC to consider several changes to California's electricity rate structure to better serve customers. Through this filing, PG&E is requesting approval to begin reforming its residential electric rate structure consistent with AB 327.

This proposed request will not change the amount of total revenues collected by PG&E. If adopted, some residential customers would see bill decreases or bill increases, depending upon their monthly usage levels and their rate plan. PG&E proposes:

- To change the residential electric rate structure by reducing the number of electric pricing tiers for non-CARE standard residential and time-of-use rate plans, from its current 4 tiers to 3 tiers. These changes will better align rates with the costs of providing electric service to customers.

A chart presenting a more illustrative description of the current vs. proposed non-CARE standard electric structure was included in a bill insert announcing this filing that was sent directly to customers in December and January.

- An initial step to reduce the effective California Alternate Rates for Energy (CARE) discount in order to begin a transition towards the 30-35 percent discount range required by AB 327. The proposal will reduce the average discount for customers on the CARE program from the current average discount of 48 percent to 43 percent. Under the proposed changes, CARE customers would still receive a substantial overall savings compared to rates paid by non-CARE customers.
- To reduce the Public Purpose Program (PPP) charge, as a result of reducing the CARE discount. The CARE surcharge portion of the PPP surcharge that is paid by most residential and non-residential customers is expected to decrease by 26 percent from a per-unit charge of 0.844 cent per kWh to 0.626 cent per kWh.

**How will PG&E's proposals affect me?**

Most customers receive bundled electric service from PG&E, meaning that we provide electric generation, transmission and distribution service. A table presenting a more illustrative description of the impact of this application on monthly bill impacts for bundled residential customers at three usage levels, who are geographically located in baseline territory X, was included in a bill insert announcing this filing that was sent directly to customers in December and January.

**Rate and bill impacts for DA/CCA customers**

Direct Access (DA) and Community Choice Aggregation (CCA) customers only receive electric transmission and distribution service from PG&E. DA/CCA customers are charged the same electric distribution and Public Purpose Program rate as bundled service customers and likewise the CARE surcharge portion of the PPP rate is expected to decrease by 26 percent.

Another category of non-bundled customers are Departing Load (DL) customers. DL customers do not receive electric generation, transmission or distribution services from PG&E. However, like DA and CCA customers, they are required to pay certain procurement-related charges, such as the Public Purpose Program rate, as bundled electric service customers.

**How do I find out more about PG&E's application?**

If you have questions about PG&E's supplemental filing, please contact PG&E at **1-800-743-5000**. For TDD/TTY (speech-hearing impaired), call **1-800-652-4712**. Para más detalles llame al **1-800-660-6789** •詳情請致電**1-800-893-9555**

If you would like a copy of PG&E's supplemental filing and exhibits, please write to PG&E at the address below:  
Pacific Gas and Electric Company  
Summer 2014 Residential Rate Reform  
(R.12-06-013, Phase 2)  
P.O. Box 7442  
San Francisco, CA 94120

A copy of PG&E's supplemental filing and exhibits are also available for review at the CPUC, 505 Van Ness Avenue, San Francisco, CA 94102, Monday–Friday, 8 a.m.–noon. PG&E's supplemental filing (without exhibits) is available on the CPUC's website at [www.cpuc.ca.gov/puc](http://www.cpuc.ca.gov/puc).

**How does the CPUC's decision-making process work?**

This supplemental filing will be reviewed through the CPUC formal administrative law process. The filed proposals will be assigned to a CPUC Administrative Law Judge (ALJ). The ALJ presides over the proceeding, which may include evidentiary hearings often held in a proceeding to give parties of record an opportunity to present evidence or cross-examine witnesses. Members of the public may attend but not participate in these hearings. The hearings and documents submitted in the proceeding become part of the formal record that the ALJ relies upon in writing a proposed decision to present to the five-member Commission.

Any CPUC Commissioner may issue an alternate decision. The proposed and any alternate decisions are acted upon at a CPUC voting meeting. When the CPUC acts on this supplemental filing, it may adopt all or part of PG&E's request, modify them or deny the request. If you would like to follow this proceeding or any other issue before the CPUC, you may utilize the CPUC's free and confidential subscription service. Sign up at: <http://subscribecpuc.cpuc.ca.gov/>.

If you would like to learn how you can participate in this proceeding, or if you have comments or questions, you may access the CPUC's Public Advisor's website at [www.cpuc.ca.gov/puc](http://www.cpuc.ca.gov/puc) and click on "Public Advisor" from the CPUC Information menu. You can also:

<b>Email:</b> <a href="mailto:public.advisor@cpuc.ca.gov">public.advisor@cpuc.ca.gov</a> <b>Mail:</b> Public Advisor's Office 505 Van Ness Avenue, Room 2103 San Francisco, CA 94102	<b>Call:</b> 1-415-703-2074 or 1-866-849-8390 (toll-free) TTY 1-415-703-5282 or 1-866-836-7825 (toll-free)
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If you are writing or emailing the Public Advisor's Office, please include the proceeding number (R.12-06-013, Phase 2). All comments will be circulated to the commissioners, the assigned ALJ and the CPUC staff.



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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** plight of the Marsh Theater, Mission District

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**From:** Scarletbohemian@aol.com [mailto:Scarletbohemian@aol.com]  
**Sent:** Thursday, December 12, 2013 9:57 AM  
**To:** Board of Supervisors  
**Subject:** plight of the Marsh Theater, Mission District

Dear SF Board of Supervisors,

I am writing to urge all of you to consider the current plight of the Marsh Theater on Mission Street. Family owned, a small theatrical company that has brought tremendously important work to the community. I urge the rightful protection and consideration in coming months during construction of this multiple dwelling project and in how it impacts this neighborhood in the future. While gentrification is a good thing, disregarding the rights of an existing institution like The Marsh is wrong.

Since the mid 90's this venue has produced thought-provoking pieces that bring much to the community; celebrating the individualism that is the quintessential charm of San Francisco. Please don't disregard their plight during this difficult period. Part of the charm of San Francisco has always been the respect and admiration of the arts... and to ignore the future of The Marsh, both during and after the construction of this new development denies the City's history. It's ironic that a past production of the Marsh Youth Theater featured Siddhartha, based on the novel by Herman Hesse. The journey of a privileged child of the Brahmin class leaves the comfort of his bourgeoisie existence to learn the true meaning of life. The Marsh's production traced the story of Chandra, a modern day San Francisco girl who surrounded by a mass of birthday gifts, finds herself posing similar questions about the value of material things and the reasons for human suffering. Please take a good look at the issues posed here. Don't squander the value of a cultural icon like The Marsh in the name of progress. Do the right thing!

Richelle Lieberman 415.922.8420

CALIFORNIA STATE LANDS COMMISSION  
100 Howe Avenue, Suite 100-South  
Sacramento, CA 95825-8202

BOS-11  
CP  
RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 DEC 12 PM 3:52  
AK

December 10, 2013

**NOTICE OF MODIFICATIONS TO TEXT OF  
PROPOSED REGULATIONS**

Pursuant to the requirements of Government Code section 11346.8(c), and section 44 of Title 1 of the California Code of Regulations, the California State Lands Commission is providing notice of changes made to the proposed regulation sections which were the subject of a regulatory hearing on April 16, 2013. These changes are in response to comments received regarding the proposed regulations during the initial 45-day comment period which ran from February 22, 2013 to April 15, 2013 and a 15-day comment period which ran from November 4, 2013 to November 19, 2013.

If you have any comments regarding the proposed changes, the Commission will accept written comments between December 10, 2013 and January 8, 2014. All written comments must be submitted to the Commission no later than 5:00 p.m. on January 8, 2014, and addressed to:

Colin Connor  
Assistant Chief, Land Management Division  
California State Lands Commission  
100 Howe Ave., Ste. 100-South  
Sacramento, CA 95825-8202  
[colin.connor@slc.ca.gov](mailto:colin.connor@slc.ca.gov)

All written comments received by January 8, 2014, which pertain to the indicated changes will be reviewed and responded to by the Commission's staff as part of the compilation of the rulemaking file. Please limit your comments to the modifications to the text. **The modifications to the text for this comment period are only those comments that are in bold italics underline and bold italics double strikethrough in Section 1900(l) and Section 2003(e)(2).**

2 CCR § 1900

Cal. Admin. Code tit. 2, § 1900

Barclays Official California Code of Regulations Currentness

Title 2. Administration

Division 3. State Property Operations

Chapter 1. State Lands Commission

Article 1. General Provisions (Refs & Annos)

→§ 1900. Definitions.

The following definitions shall apply to this Chapter unless otherwise provided.

(a) The term "applicant" includes any person who files an application under these regulations.

(b) The term "person" includes any individual, firm, partnership, business entity, business trust, association, corporation, or governmental entity or agency.

(c) The term "lease" includes a permit, right-of-way, easement, license, compensatory agreement, or other entitlement of use.

(d) The term "structure" means any manmade construction.

(e) The term "sovereign lands" means the beds of all the State's natural, navigable waterways, and tide and submerged lands, including those adjacent to the coast and offshore islands of the State from the mean high tide line ordinary high water mark to three geographic miles offshore. On tidal waterways, the State's sovereign fee ownership extends landward to the mean high tide line ordinary high water mark, except for areas of fill or artificial accretion or where the boundary has been fixed by agreement or a court order. On navigable non-tidal waterways, including lakes, the State holds fee ownership of the bed of the waterway landward to the ordinary low water mark and a Public Trust easement landward to the ordinary high water mark, except where the boundary has been fixed by agreement or a court order.

(fe) The term "submerged lands" means the area lying below the elevation of ordinary low water in the beds of all tidal and nontidal navigable waters.

(gf) The term "tidelands" means the area lying between the elevations of ordinary low water and ordinary high water on lands subject to tidal action.

(hg) The term "uplands" shall mean lands bordering on navigable waterways.

(ih) The term "school lands" refers to all Sections 16 and 36 granted to the State for the benefit of common schools by Chapter 145 of the Federal Statutes of 1853.

(ji) The term "lieu or indemnity lands" refers to those lands acquired by the State in place of school lands it previously acquired or school lands to which it did not receive title because they were either mineral in character, had not been sectionalized, or were subject to prior established rights.

(kj) The terms "merchandise," "product" and "commodity" are interchangeable and shall include, goods, wares, chattels, personal property of every description, cargo, freight, mail, vessel's stores and supplies, articles, matter and material.

(l) The term "impact area" means a reasonable area beyond the footprint of the actual facilities or improvements occupying State land. The "impact area" is intended to reflect the additional and temporary use, as well as impacts to public access *or use*, of State land for the docking of vessels, maintenance of the facility, or other such uses.

(m) The following formula, hereafter called the "Adjustment Formula," shall be used to determine the adjusted minimum annual rent for each year subsequent to 2013:

$$\left( \frac{\text{Current CPI} - \text{Prior CPI}}{\text{Prior CPI}} + 1 \right) \times \text{Previous Year's Rent} = \text{Adjusted Annual Rent}$$

~~1. The June CPI value for All Urban Consumers in California will be used in the rent adjustment formula.~~

(n) The term "CPI" means the index published periodically by the California Department of Industrial Relations' and titled "California Consumer Price Index (1955) All Items 1982-1984 = 100," a successor index to the aforementioned, or a reasonably equivalent index acceptable to the Lessor and Lessee.

Note: Authority cited: Sections 6002, 6105, 6108, 6301, and 6501, Public Resources Code; and 3 Cal. 3d 462, 478 (tide and submerged lands).  
Reference: Sections 6301 and 6501, Public Resources Code.

## HISTORY

1. Repealer of Article 1 (Sections 1900-1914) and new Article 1 (Sections 1900-1911) filed 6-2-78; effective thirtieth day thereafter (Register 78, No. 22). For prior history, see Registers 77, No. 6; 75, No. 22; 73, No. 9; 69, No. 15; 64, No. 23; 58, No. 5; 55, Nos. 12 and 25, No. 5.

2. Repealer of Article 1 (Sections 1900-1911) and new Article 1 (Sections 1900-1910 not consecutive) filed 12-2-81; effective thirtieth day thereafter (Register 81, No. 49).

2 CCR § 1900, 2 CA ADC § 1900

This database is current through 8/3/12 Register 2012, No. 31

END OF DOCUMENT

2 CCR § 2003

Cal. Admin. Code tit. 2, § 2003

Barclays Official California Code of Regulations Currentness

Title 2. Administration

Division 3. State Property Operations

Chapter 1. State Lands Commission

Article 2. Leasing or Other Use of Public Lands (Refs & Annos)



### **§ 2002. Categories of Leases, ~~or~~ Permits, or Agreements.**

(a) General Lease: Uses may include the following:

- (1) Commercial: Income producing uses such as marinas, restaurants, hotels, clubhouses, recreation piers, recreational facilities, docks, moorings, buoys, helicopter pads, decks, mineral extraction, or gas service facilities.
- (2) Industrial: Uses such as oil terminals, piers, wharves, warehouses, stowage sites, moorings, dolphins and islands; ~~together with necessary appurtenances.~~
- (3) Right of Way: Uses such as roadways, power lines, pipelines or outfall lines, ~~except when used only as necessary appurtenances.~~
- (4) Grazing: Uses such as the feeding of livestock on forage.
- (5) Agricultural: Uses such as farming, silviculture and horticulture.
- (6) Recreational: Uses such as a fixed facility for the docking or mooring of boats, buoys, swimming floats, platforms, and swim areas. Other uses may include campsites, cabins, dwellings, arks, houseboats, decks or boathouses provided that when such uses are located on sovereign lands if such those uses are not found to be inconsistent with public trust needs. constructed for the use of the littoral landowner, as specified in Public Resources Code Section 6503.5, and does not include swimming floats or platforms, sun decks, swim areas, fishing platforms, residential, recreational dressing, storage or eating facilities or areas attached or adjacent to recreational piers, or any other facilities not constructed for the docking or mooring of boats.
- (7) Public Agency: Uses such as public roads, bridges, recreation areas or wildlife refuges having a regional or statewide public benefit.
- (8) Protective Structure: Uses such as groins, jetties, sea walls, revetments, breakwaters and bulkheads.

- (9) Dredging: Uses such as the removal of sediment to improve navigation and ensure public health and safety, and excavation.
- ~~(9) Non Income Producing: Uses such as piers, buoys, floats, boathouses, docks, waterski facilities, and campsites not qualifying for a private recreational pier permit under 2002(f). Other uses may include campsites, cabins, dwellings, arks, houseboats, or boathouses provided that when such uses are located on sovereign lands that such uses are not found to be inconsistent with public trust needs.~~
- (10) Other uses that are not specifically identified above, such as environmental preservation, mitigation, or restoration; or protection against invasive species.

(b) General Permits or Other Agreements: Uses may include the following:

- (1) Salvage Permit: Use includes all salvage operations on sovereign lands under the Commission's jurisdiction. Salvage operation means any activity, including search by electronic means, or exploration or excavation using tools or mechanical devices, with the objective of locating, and recovering, removing, or repositioning vessels, aircraft, or portions thereof, or any other cultural object from the surface or subsurface of sovereign lands.
- (2) Archaeological Permit: Activities such as surveying and identification of cultural resource sites, testing and evaluation of sites to determine eligibility for inclusion in the California Register of Historical Resources or the National Register of Historic Places, and data recovery for sites at risk of loss or damage by natural forces, vandalism, or unauthorized collection. Data recovery required as mitigation under the California Environmental Quality Act for a project approved by the Commission shall not require a separate archaeological permit.
- (3) Forest Management Agreement: Uses such as reforestation, improvement of timber growth and soil productivity, vegetation control, reduction of fire and erosion hazards, insect or disease control or any other use that enhances the value of lands subject to the agreement.

~~(1) Public agency uses such as public roads, bridges, recreation areas or wildlife refuges having a statewide public benefit;~~

~~(2) Public Resources Code Section 6321 protective structures such as groins, jetties, sea walls, breakwaters and bulkheads;~~

~~(3) Non income producing uses such as piers, buoys, floats, boathouses, docks, waterski facilities, and campsites not qualifying for a private recreational pier permit under 2002(f). Other uses may include campsites, cabins, dwellings, arks, houseboats, or boathouses provided that when such uses are located on sovereign lands that such uses are not found to be inconsistent with public trust needs.~~

~~(c) Grazing Lease: Use includes the feeding of livestock on forage.~~

~~(d) Agricultural Lease: Uses may include farming, silviculture and horticulture.~~

~~(e) Forest Management Agreement: Uses may include reforestation, improvement of timber growth and soil productivity, vegetation control, reduction of fire and erosion hazards, insect or disease control or any other use that enhances the value of lands subject to the agreement.~~

~~(f) Private Recreational Pier Permit: Use is limited to any fixed facility for the docking or mooring of boats constructed for the use of the littoral landowner, as specified in Public Resources Code Section 6503.5, and does not include swimming floats or platforms, sun decks, swim areas, fishing platforms, residential, recreational dressing, storage or eating facilities or areas attached or adjacent to recreational piers, or any other facilities not constructed for the docking or mooring of boats.~~

~~(g) Salvage Permit: Use includes the salvage of all abandoned property over and upon ungranted tide and submerged lands of the State which property belongs to the State and is under the Commission's jurisdiction pursuant to Public Resources Code Section 6309. The Commission may retain or sell any or all salvaged property or may allow the permit applicant to retain it.~~

Note: Authority cited: Sections 6105, 6108, 6201, 6210.3, 6221, 6309, 6321, 6322, 6501, 6501.1, and 6501.2, Public Resources Code. Reference: Sections 6201, 6309, 6321, 6501.1, and 6503.5, Public Resources Code.

2 CCR § 2002, 2 CA ADC § 2002

### **§ 2003. Rental or Other Consideration.**

(a) Rental or other consideration for the various categories of uses shall be in the best interest of the State and may be based on one or more of the following methods generally as follows:

(1) 9% of the appraised value of the leased land value;



- (2) A percentage of annual gross income (the percentage being based on an analysis of the market for like uses and other relevant factors);
- (3) Comparison to rents for other similar land or facilities;
- (4) \$0.05 per diameter inch per lineal foot of pipeline, conduit, or fiber optic cable;
- (5) Benchmarks for regions where there are large concentrations of similar facilities (benchmark rental rate to be based on analysis of similar or substitute facilities in the local area);
- (6) For salvage permit operations, the Commission shall agree to a division of the net value of State-owned objects recovered by the permittee, after a deduction of reasonable salvage cost. The percentage of the net value of State-owned objects retained by the Commission shall be based on the complexity of the project and may be negotiated. The State retains ownership of all items recovered until released and has a first right to select objects and may retain any or all of the objects recovered. If the State elects to retain objects with a value greater than its agreed percentage share, it shall reimburse the permittee to the extent of the agreed division of value.
- (7) For archaeological permits, artifacts collected shall remain State property, except that the Commission may authorize the transfer of title to artifacts for the purposes of research or display to museums, educational institutions, or other appropriate locations available to the public; or to a culturally affiliated Native American tribe.
- (8) For Forest Management Agreements: Rent may constitute enhancement of the land's value resulting from the use;
- (9) —Other such methods or information that are based on commonly-accepted appraisal practices and principles.
- (10) —For leases for a recreational pier or buoy, rent shall be based on local conditions and local fair annual rental values; or

(b) Notwithstanding section (a) above, minimum annual rents for the various lease/permit categories shall be as follows:

- (1) Commercial Use: \$600
- (2) Industrial Use: \$600
- (3) Right of Way Use: \$450
- (4) Grazing: \$600
- (5) Agricultural: \$600
- (6) Recreational: \$125
- (7) Public Agency: \$125;
- (8) Protective Structure: \$125;
- (9) Dredging: \$125;

(10) All other General Lease or Permits: \$125.

~~(1) Commercial Use: An annual rental based on any one or combination of the following rental methods, with a minimum rental of \$250:~~

~~(A) A percentage of annual gross income (the percentage being based on an analysis of the market for like uses and other relevant factors);~~

~~(B) 9% of the appraised value of the leased land;~~

~~(C) The volume of commodities passing over the lease premises.~~

~~(2) Industrial Use: An annual rental based on any one or combination of the following rental methods with a minimum rental of \$250:~~

~~(A) 9% of the appraised value of the leased land and/or together with 2H per diameter inch per lineal foot of pipelines and conduits on the leased premises;~~

~~(B) The volume of commodities passing over the lease premises.~~

~~(3) Right of Way Use: An annual rental based on any one or combination of the following rental methods with a minimum rental of \$100:~~

~~(A) 9% of the appraised value of the leased lands, and/or together with compensation for any damage caused to such lands;~~

~~(B) 2 cents per diameter inch per lineal foot;~~

~~(C) The volume of commodities passing over the lease premises.~~

~~(4) General Permits: Annual rental shall be based on 9% of the appraised value of the leased lands with a minimum rental of \$50.~~

(c) Effective January 1, 2014, the minimum annual rents for the various lease/permit categories will be recalculated every five (5) years, at the end of June, using the adjustment formula identified in section 1900(m). Regardless of whether the application of the Adjustment Formula results in an adjusted minimum annual rent that is greater or lesser value than the previous year's rent, the adjusted minimum annual rent will never be lower than the minimum annual rents set in section 2003(b).

(de) The following may be considered by the Commission in determining which rent method should apply:

- (1) The amount of rent the State would receive under various rental methods;
- (2) Whether relevant, reliable and comparable data is available concerning the value of the land proposed to be leased;
- (3) Whether a particular method or amount of rent would effectively cause an applicant to use more competitive substitute land or to abandon its project altogether;
- (4) Whether the land proposed to be leased has been classified as environmentally significant pursuant to Public Resources Code Section 6370.1.
- (5) The monetary value of actual or potential environmental damage anticipated from an applicant's proposed use to the extent such damage is quantifiable;
- (6) The appropriateness of the proposed rental method.

(ede) Other Factors in determining Rent or Other Consideration:

- (1) Authority for rent adjustment during the lease term shall be provided and may include application of the California Consumer Price Index.
  - (2) Lease areas may include a reasonable impact area beyond the footprint of the actual facilities or improvements occupying State land, based on local conditions. ~~The impact area is intended to reflect the additional use of State land by the lessee for the docking of vessels, maintenance of the facility, or other such uses, as well as the deterrent effect to public access and use caused by the facilities or improvements.~~ Rent may be charged **only for those impact areas directly associated with the docking and mooring of vessels, such as catwalks, boat hoists, and cleats, or where public access or use is clearly restricted by the facilities. The Commission may consider the seasonal use and other local conditions when establishing the impact area and rent for that impact area.**~~for the impact area.~~
- ~~Lease areas may include a reasonable 'public impact and use area' beyond the footprint of the actual facilities or improvements occupying State land based on local conditions. The 'public impact and use area'~~

~~is intended to reflect the additional and temporary use, as well as impacts to public access, of State land for the docking of vessels, maintenance of the facility, or other such uses. Rent may be charged for the 'public impact and use area'.~~

~~(3)~~ (3) In addition to the annual rent or other consideration, for General Lease - Commercial Use, Industrial Use, and Right-of-Way Use, the Commission may require the lessee/permittee to pay an annual administrative fee for the reimbursement of staff costs associated with, but not limited to, lease/permit compliance; enforcement; periodic rent reviews, insurance or surety review; or other such activities as may be reasonably required over the term of the lease/permit. The annual administrative fee may be charged as either a flat rate or as a percentage of the rent.

~~(1)(4)~~ (4) Rent may be discounted or waived. No rental shall be charged for public agency use of tide and submerged sovereign lands if the Commission, at its sole discretion, determines that a significant regional or statewide public benefit is provided or accrues from such use.

~~(4)~~ Monetary rental for Public Resources Code Section 6321 protective structures may be waived if the Commission determines that a significant regional or statewide public benefit accrues from the installation of such structures.

~~(5) Private Recreational Pier Permits: Pursuant to Public Resources Code Section 6503.5 a rent free permit shall be issued to those applicants demonstrating their qualifications under that section as implemented by 2002(f).~~

~~(6) Grazing: An annual rental based on appraised value for the intended use.~~

~~(7) Agricultural: An annual rental based on any one or a combination of the following rental methods with a minimum rental of \$250:~~

~~(A) A percentage of annual gross income (the percentage being based on analysis of the market for like uses and other relevant factors);~~

~~(B) 9% of appraised value of the leased lands.~~

~~(8) Forest Management Agreements: Rental shall constitute enhancement of the land's value resulting from the use.~~

~~(9) Salvage Permit: Rental shall be as follows:~~

~~(A) A rental of \$25.00 per annum per acre, computed on a whole or fractional basis, for the total acreage of the permit area; and~~

~~(B) 25% of the net salvage value up to \$25,000 and 50% of all such value over that amount for all salvaged property the salvor is permitted to retain; or~~

~~(C) The net salvage value of any property the State retains less any rental to which it is entitled; and~~

~~(D) Such other consideration as may be deemed by the Commission to be in the best interest of the State.~~

~~(db) The following factors shall be considered by the Commission in determining which rental method should apply:~~

~~(1) The amount of rental the State would receive under various rental methods;~~

~~(2) Whether relevant, reliable and comparable data is available concerning the value of the land proposed to be leased;~~

~~(3) Whether a particular method or amount of rental would effectively cause an applicant to use more competitive substitute land or to abandon its project altogether;~~

~~(4) Whether the land proposed to be leased has been classified as environmentally significant pursuant to Public Resources Code Section 6371.~~

~~(5) The monetary value of actual or potential environmental damage anticipated from an applicant's proposed use to the extent such damage is quantifiable;~~

~~(6) Other factors relating to the appropriateness of the proposed rental method.~~

~~(c) The following limitations shall apply to rental based on the volume of commodities passing over State lands:~~

~~(1) Rental shall not be imposed more than once for the identical commodity passing over the same State land if the ownership of that commodity has not changed.~~

~~(2) The rental rate for a right of way for passage of a commodity across State lands shall be made proportional to the percentage of the total length of the pipeline or conduit that such right of way comprises. For the purposes of this section, the total length of a pipeline or conduit shall be the length of the pipeline or conduit between two facilities, uninterrupted by another facility. "Facility" includes terminal, production, storage, refining, manufacturing, processing, mixing or intermixing facilities.~~

~~(d) Rent adjustment during the lease term shall be provided for as appropriate, and may include application of the California Consumer Price Index.~~

Note: Authority cited: Sections 6105, 6108, **6218**, 6309, 6321.2, 6503, and 6503.5, and ~~6504~~, Public Resources Code. Reference: Sections 6321.2, ~~6370.1~~, 6503, ~~6503.5~~, and ~~6504~~, Public Resources Code.

#### HISTORY

1. Editorial correction of printing error in subsection (a) (Register 92, No. 22).

2 CCR § 2003, **← 2 CA ADC § 2003 →**

This database is current through 8/26/11 Register 2011, No. 34

~~END OF DOCUMENT~~

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Butterfly Releases

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**From:** Diane Magill-Davis [mailto:dgmarnp16@gmail.com]  
**Sent:** Thursday, December 12, 2013 5:28 PM  
**To:** Board of Supervisors  
**Subject:** Butterfly Releases

To Whom It May Concern:

I wish to state that not allowing butterfly releases from butterfly farms is downright stupid. Having done a great deal of research on this subject, I am appalled that this would even be considered. You obviously have been fed a bunch of misinformation that is totally wrong.

First, the butterflies that are released are all native to this country. There are some available that are only for particular states as they only are found in that state. The companies that breed and sell butterflies are very careful about this. The USDA regulates this very carefully and charges an enormous fine for any violation of the regulations.

The butterflies that are raised in captivity are healthier than those born in the wild. Due to pollution and pesticides/herbicides, those born in the wild are far more likely to have diseases as those bred in captivity are from parent stock that has been checked by several universities and found to be disease free.

I have dealt with several breeders and have found them all to be warm, caring people, who love butterflies and are so very careful with them. I have one breeder that I deal with the most and she is a wonderful person. She is so generous, giving people more than is ordered. She takes the time and effort to teach adults and children about butterflies so that when she sells butterfly growing kits, that the butterflies are handled correctly. She would not sell me kits until my grandchildren were old enough to properly care for them.

Butterflies have been reduced in population over the years due to development, pollution, pesticides/herbicides, and the decrease in their natural food supply as the wild flowers have been reduced. Releasing butterflies back into nature is guaranteeing that our grandchildren and their children and grandchildren will still have the wonder of seeing a butterfly. There is a whole generation today who truly do not know the wonder of this delicate creature due to reduced numbers.

I do not grow butterflies, but have released them several times at weddings, funerals, etc. On March 23 of this year, we had a butterfly release to celebrate the life of my 90 year old mother who had left this world for the next. After the church service, the children, grandchildren, great-grandchildren, and the great-great-grandchild, my father, and other friends and family released butterflies into nature to celebrate my mother's life. Butterflies represent new life for many of us and to take that away is downright wrong.

There are individuals who mistakenly believe the lies that have been said about butterfly releases (they release disease, they upset the balance of nature, that those released are not native, that breeders are bad people, etc.) who would have this banned. If it is banned, there will be fewer of these wonderful creatures for us to enjoy and their numbers will continue to diminish until there are none left. Please do not ban butterfly releases.

Sincerely,

Diane G. Magill, MSN, ARNP



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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Butterflies

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**From:** Bill Webster [mailto:b\_webster2003@yahoo.com]  
**Sent:** Thursday, December 12, 2013 2:00 PM  
**To:** Board of Supervisors  
**Subject:** Butterflies

I am writing to you in regards to Butterfly releases. In 2009 my daughter passed away from a rare disease called Cri-du-chat syndrome. After her death we started having different fundraisers to raise money to help others. For the last two years we have held Butterfly releases. For many people, including ourselves, butterflies symbolize our lost loved ones. These release brought many of us together to share in something very beautiful and meaningful. We used the money raised to hold a couple of different community events. The butterflies flourished in the park where we let them go, and thrived, they harmed nothing, they didn't spread disease, they created a even healthier balance in the area. Please think seriously in regards to banning Butterfly releases, and research wisely, because I would hate to see a bunch of nonsense ruin something so meaningful and beautiful.

Regards

Bill Webster

[www.shaileejowebster.us](http://www.shaileejowebster.us)

" Life is music...Love is the Lyrics"

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Please don't legislate against butterflies & butterfly farms.

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**From:** Christine Nimitz [mailto:cnimitz@yahoo.com]  
**Sent:** Thursday, December 12, 2013 1:15 PM  
**To:** Board of Supervisors  
**Subject:** Please don't legislate against butterflies & butterfly farms.

Wild butterfly populations are declining precipitously, due almost entirely to the use of pesticides & other environmental & habitat destruction. Butterfly farms may be the only way we can even try to keep butterflies around. The monarch numbers are so very, very low that it is extremely worrisome. (MonarchWatch.org)

Please get the facts before making assumptions.

***False Statement: "Releasing butterflies from rearing facilities releases diseases in to nature"***

*The Truth: Disease in butterflies is already present in nature. Many breeding facilities send their butterflies to be screened at a university to prevent disease issues. If breeders were raising diseased butterflies, their stock would be wiped out and their businesses would fail.*

***False Statement: "Non-native butterflies are being released"***

*The Truth: Only 9 species of butterflies are allowed to be transported across state lines. The USDA regulates the release of those butterflies to ensure that only native species are released. The fine for shipping a non-native butterfly is \$250,000.00. Breeders understand that a non-native butterfly has no means to survive a release in a foreign region.*

*False Statement: "Breeders are bad people that only care about money"*

*The Truth: If that were true, there would be more breeders listed in the Fortune 500 magazines! We know that many of the "breeders" are hobbyists providing free caterpillars to teachers. We know that "breeders" care about butterflies. They volunteer and work locally on conservation issues and build habitat for butterflies.*

***False Statement: "Releasing farm raised butterflies upsets the balance of the native fauna."***

*The Truth: The fact is the numbers are so low right now due to the factors mentioned above, farm raised butterflies are healthy additions to the nature. Released butterflies go out and reproduce, increasing the future populations as well as providing food for birds and other animals up the food chain.*

Thank you.  
Christine Nimitz, DVM  
Master Gardener

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Christine Nimitz  
***yes, I am related***  
**cnimitz@yahoo.com**

**<http://fatcatfoto.zenfolio.com/>** (my travel photos--take a look)

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Dr. wants you to take action on "San Francisco - Save The Butterflies!"!

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**From:** [ecarpent@sfsu.edu](mailto:ecarpent@sfsu.edu) [mailto:[ecarpent@sfsu.edu](mailto:ecarpent@sfsu.edu)]  
**Sent:** Wednesday, December 11, 2013 9:04 AM  
**To:** Board of Supervisors  
**Subject:** Dr. wants you to take action on "San Francisco - Save The Butterflies!"!

Dr. Edward Carpenter has just read and signed the petition: [San Francisco - Save The Butterflies!](#)

You can view this petition at: <http://www.thepetitionsite.com/818/832/343/san-francisco-save-the-butterflies/>

Message from Dr. Edward Carpenter:

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Hi, I signed the petition "San Francisco - Save The Butterflies!". I'm asking you to sign this petition to help us reach our goal of 5,000 signatures. I care deeply about this cause, and I hope you will support our efforts.

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ThePetitionSite.com provides tools and empowers individuals to make a difference and effect positive change through online activism. Get connected with the causes you care about, take action to make the world a better place, and start your own petition at <http://www.ThePetitionSite.com>!

ThePetitionSite.com is powered by Care2, the largest and most trusted information and action site for people who care to make a difference in their lives and the world. [www.care2.com](http://www.care2.com)

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Banning butterfly releases

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**From:** CJ Boyes [mailto:cnsspots13@gmail.com]  
**Sent:** Tuesday, December 10, 2013 5:19 PM  
**To:** Board of Supervisors  
**Subject:** Banning butterfly releases

My journey started with trying to help honeybees by planting native plants. My garden soon attracted many butterflies, so I began helping them.

I plan host and nectar plants for butterflies NATIVE TO MY REGION only. I contain them in small mesh enclosures and keep instars separate from each other. Any "sickly" caterpillar is removed and placed in containment so diseases do not spread.

I have successfully released 37 Monarchs last year and only 18 this year, due to their rapid decline.

I only bring them inside so that wasps and ants do not kill them.

Bees, butterflies and bats are the largest pollinators there are. Being in California, you surely must know about the almond farmers plight of recent years.

We need to help all of the pollinators that we can!

As long as they are releasing native butterflies to that region, I don't see how it's doing any harm.

Have you researched at all what you are trying to ban?

Pollinators are responsible for helping us grow up to 70% of the food that we eat.

Please, research more and make reasonable bans, such as non-native butterflies. You are harming our habitat even more by doing this.

Sincerely,

Carisa J Boyes

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**From:** Griffin Kanter [griffin\_k@hotmail.com]  
**Sent:** Tuesday, December 10, 2013 4:42 PM  
**To:** Board of Supervisors  
**Subject:** Butterfly releases

Please do not place a ban on butterfly releases by commercial butterfly breeders. With Monarch butterflies at an all time low, commercial breeders are helping to maintain the numbers of this butterfly species as well other butterflies. At the convention of commercial butterfly breeders in Texas this year, Chip Taylor, who is one of the developer of Monarch Watch and the Monarch Wasytation program, encouraged cooperation between the breeders, butterfly gardeners, academia and citizen scientists in effort to maintain butterfly populations. Frankly, we need all the Monarchs that we can raise and release. Thank you.

Griffin Kanter  
[www.talkwiththeanimals.com](http://www.talkwiththeanimals.com)  
*'helping animals express their thoughts and emotions'*  
713/728-4985  
[griffin\\_k@hotmail.com](mailto:griffin_k@hotmail.com)

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**From:** krystal longley [soulrestorer@hotmail.com]  
**Sent:** Tuesday, December 10, 2013 4:15 PM  
**To:** Board of Supervisors  
**Subject:** Butterfly Ban

you have only heard one side of the butterfly dilemma, please release ban on butterfly release,thank you  
Krystal Longley

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Butterfly release programs

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**From:** elaine price [mailto:priceabq@aol.com]  
**Sent:** Tuesday, December 10, 2013 2:10 PM  
**To:** Board of Supervisors  
**Subject:** Butterfly release programs

Council Members

Butterfly breeding and releasing is a humane and acceptable way in which to increase their numbers in our environment - short of eliminating the harmful toxins from our environment so that Butterflies will be able to multiply "naturally" and feed safely and preventing destruction of the habitats that Butterflies need in order to live and breed

It is shortsighted to ban Butterflying breeding and release - the facts are available for your viewing - check out those sites and get behind saving something that is precious and that costs you nothing

Thank you for listening....elaine price

[animals.pawnation.com](http://animals.pawnation.com)

[www.epa.gov/espp/factsheets/karner-blue-butterfly.pdf](http://www.epa.gov/espp/factsheets/karner-blue-butterfly.pdf)

[www.fws.gov/midwest/endangered/insects/kbb/karnerbl.html](http://www.fws.gov/midwest/endangered/insects/kbb/karnerbl.html)

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[www.theguardian.com](http://www.theguardian.com)

[en.wikipedia.org/wiki/Threatened\\_species](http://en.wikipedia.org/wiki/Threatened_species)

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Butterfly FACTS

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**From:** Scott Snyder [mailto:scottmsnyder528@gmail.com]  
**Sent:** Tuesday, December 10, 2013 1:42 PM  
**To:** Board of Supervisors  
**Subject:** Butterfly FACTS

Don't be silly!

**False Statement:** "Releasing butterflies from rearing facilities releases diseases in to nature"

**The Truth:** Disease in butterflies is already present in nature. Many breeding facilities send their butterflies to be screened at a university to prevent disease issues. If breeders were raising diseased butterflies, their stock would be wiped out and their businesses would fail.

**False Statement:** "Non-native butterflies are being released"

**The Truth:** Only 9 species of butterflies are allowed to be transported across state lines. The USDA regulates the release of those butterflies to ensure that only native species are released. The fine for shipping a non-native butterfly is \$250,000.00. Breeders understand that a non-native butterfly has no means to survive a release in a foreign region.

**False Statement:** "Breeders are bad people that only care about money"

**The Truth:** If that were true, there would be more breeders listed in the Fortune 500 magazines! We know that many of the "breeders" are hobbyists providing free caterpillars to teachers. We know that "breeders" care about butterflies. They volunteer and work locally on conservation issues and build habitat for butterflies.

**False Statement:** "Releasing farm raised butterflies upsets the balance of the native fauna."

**The Truth:** The fact is the numbers are so low right now due to the factors mentioned above, farm raised butterflies are healthy additions to the nature. Released butterflies go out and reproduce, increasing the future populations as well as providing food for birds and other animals up the food chain.



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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Position on Butterfly Releases

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**From:** Brian Banker [mailto:[brianpbanker@yahoo.com](mailto:brianpbanker@yahoo.com)]  
**Sent:** Monday, December 09, 2013 3:29 PM  
**To:** Board of Supervisors  
**Subject:** Position on Butterfly Releases

To Whom This May Concern at the Board Of Supervisors;

I wish to weigh in on the proposed ban on butterfly releases in the City of San Francisco that is being supported by the North American Butterfly Association. Clearly, a lot of research has not been done in this case and ideology, not science, is ruling the day. As someone who has raised butterflies recreationally for over 25 years, I can attest to the fact that successful butterfly breeding operations require great attention to detail and health and the control of pathogens. Farm-bred butterflies are often healthier than wild stock. Jeffrey Glassberg's area of professional expertise is human DNA, not insect biology or ecology.

Who are these San Franciscans that are supporting this ban? Can we have some actual names instead of vague statements? The only result of this legislation will be to cast a pall over anybody trying to enjoy their natural world. Will simple butterfly gardening be outlawed by this proposal? Under the current language, that is indeed how it looks. People should be encouraged to interact with Lepidoptera and get to know them and their fascinating lifecycles, not discouraged.

If you want some feedback from a genuine butterfly rearer and lifelong amateur lepidopterist who truly knows firsthand the ins and outs of the process, do feel free to contact me at any time using the information provided below. Thank you for your attention.

Sincerely,

Brian Banker  
562-298-0204  
[brianpbanker@yahoo.com](mailto:brianpbanker@yahoo.com)

# ZACKS & FREEDMAN

A PROFESSIONAL CORPORATION

*BOS-11, CPA & electronically*  
235 Montgomery Street, Suite 400  
San Francisco, California 94104  
Telephone (415) 956-8100  
Facsimile (415) 288-9755  
[www.zulpc.com](http://www.zulpc.com)

December 13, 2013

The Honorable David Chiu  
President, San Francisco Board of Supervisors  
City Hall, Room 244  
San Francisco, CA 94102

RE: FILE NO. 130783  
CEQA VIOLATION

Dear President Chiu:

This letter is submitted on behalf of the Small Property Owners of San Francisco Institute, as well as a number of current clients whose properties will be adversely affected if the above-captioned Ordinance is passed. We write to state a formal objection to further action on the above matter pending compliance with the requirements of the California Environmental Quality Act and the San Francisco Charter.

The subject Ordinance was introduced as an amendment to the non-conforming use provisions of the Planning Code. The initial version of the Ordinance was referred to the Planning Department for environmental review on August 7, 2013 and was found categorically exempt from CEQA per CEQA Guidelines Section 15060(c)(2) ("The activity will not result in a direct or reasonably foreseeable indirect physical change in the environment"). This determination is erroneous as the subject Ordinance involves a significant expansion of development rights for thousands of buildings and tens of thousands of units.

Compounding this initial scoping error, the Ordinance was amended in Committee on November 24, 2013 to add exceptions based on future lawful evictions that will occur in units covered by Section 181 of the Planning Code. These exceptions will create additional adverse physical impacts, but the Ordinance as amended and ultimately referred to this Board received no further environmental evaluation as required by CEQA.

The November 24 amendments will prohibit the issuance of building permits for maintenance and repair of any dwelling unit that has been subject to San Francisco Administrative Code Sections 37.9(a)(8) through 37.9(a)(14) within the preceding ten years. Therefore, the November 24 amendments violate General Plan Objective 2, Policy 2.4 by deliberately preventing "improvements and continued

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2013 DEC 13 PM 12:02

Hon. David Chiu  
December 13, 2013  
Page 2

maintenance to existing units to ensure long term habitation and safety." These impacts "[w]ere not analyzed as significant effects in a prior EIR on the zoning action, general plan or community plan." Cal. Code Regs. tit. 14, § 15183.

Environmental review is required by CEQA for both the initial version of the Ordinance and the Ordinance as amended. Expansion of non-conforming units and buildings citywide amounts to a city-wide "upzoning" with the potential for the expansion, alteration, and intensification of thousands of multi-unit buildings. Conversely, the Ordinance as amended discriminates against units where evictions have occurred, restricting their redevelopment and maintenance and presenting a significant potential for blight and physical decay of real property. See Health & Saf. Code, § 33030 *et. seq.*

Courts have long required CEQA review where urban decay is reasonably foreseeable. Bakersfield Citizens for Local Control v. City of Bakersfield (2004) 124 Cal.App.4th 1184, 1204 [22 Cal.Rptr.3d 203, 219], citing Citizens Assn. for Sensible Development of Bishop Area v. County of Inyo (1985) 172 Cal.App.3d 151, 168-71 [217 Cal.Rptr. 893, 904-905].

We further object to a violation of City Charter Section 4.105 in the review and passage of the subject Ordinance. The Ordinance as amended requires referral to and consideration by the City Planning Commission at a duly noticed Public Hearing. No such referral was made after the substantive changes and amendments made in the Land Use Committee on November 24.

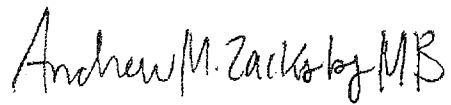
Finally, the Ordinance is preempted and defective on its face under the Ellis Act, Government Code Section 7060 *et seq.* The Ordinance expressly seeks to compel residential rental use and punish efforts to cease such use through a discriminatory and irrational moratorium on building permits. Such efforts have consistently been invalidated by California Courts. See City of Santa Monica v. Yarmark (1988) 203 Cal.App.3d 153 [249 Cal.Rptr. 732]; Javidzad v. City of Santa Monica (1988) 204 Cal.App.3d 524 [251 Cal.Rptr. 350]; Los Angeles Lincoln Place Investors, Ltd. v. City of Los Angeles (1997) 54 Cal.App.4th 53 [62 Cal.Rptr.2d 600]; Bullock v. City and County of San Francisco (1990) 221 Cal.App.3d 1072 [271 Cal.Rptr. 44]; Tom v. City and County of San Francisco (2004) 120 Cal.App.4th 674 [16 Cal.Rptr.3d 13]; First Presbyterian Church of Berkeley v. City of Berkeley (1997) 59 Cal.App.4th 1241 [69 Cal.Rptr.2d 710].

Hon. David Chiu  
December 13, 2013  
Page 3

The Board of Supervisors is respectfully requested to refrain from passage of the Ordinance based on the foregoing issues.

Respectfully submitted,

ZACKS & FREEDMAN, PC

A handwritten signature in cursive script that reads "Andrew M. Zacks by MB". The signature is written in black ink and is positioned above a horizontal line.

By: Andrew M. Zacks

cc: All members of Board of Supervisors  
Mayor Ed Lee  
Planning Department Director John Rahaim  
Department of Building Inspection Director Tom Hui  
City Attorney Dennis Herrera

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**To:** BOS-Supervisors  
**Subject:** Info: Transmittal to the Clerk of the Board Regulation Precuationary Purchasing  
**Attachments:** Transmittal to Clerk of the Board 121313 Approved Alternate Products PPO.docx; Regulation SFE 13-02-PPO Approved Alternate Products.pdf

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**From:** Fish, Monica  
**Sent:** Friday, December 13, 2013 1:55 PM  
**To:** Calvillo, Angela  
**Cc:** Nevin, Peggy; Choy, Jessian; Bhatia, Sushma; Geiger, Chris  
**Subject:** Info: Transmittal to the Clerk of the Board Regulation Precuationary Purchasing

Dear Angela,

The Transmittal and Regulations for Precautionary Purchasing Principle Adopting Approved Alternative Products is attached.

Happy Holidays!

**Monica Fish, Commission Secretary**  
San Francisco Department of the Environment  
1455 Market Street, Suite 1200, San Francisco, CA 94103  
[Monica.Fish@sfgov.org](mailto:Monica.Fish@sfgov.org) T: (415) 355-3709



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**SF Environment**  
Our home. Our city. Our planet.



EDWIN M. LEE  
Mayor

MELANIE NUTTER  
Director

December 13, 2013

**EMAIL TRANSMITTAL**

Angela Calvillo, Clerk of the Board  
Board of Supervisors  
One Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102

SUBJECT: Charter Section 4.104 Rules and Regulations to be filed with the Clerk of the Board of Supervisors

Pursuant to Charter Section 4.104 requirement that Rules and Regulations are to be filed with the Clerk of the Board of Supervisors, enclosed is the Department of the Environment's Regulation Nos. SFE-13-02-PPO – 13-07-PPO for Precautionary Purchasing Principle Approved Alternate Products, Ordinance 115-05, Environment Code Chapter 2, Section 203(d). If you have any questions, please contact Jessian Choy, City Toxics Reductions Specialist, (415) 355-3776 or by email [Jessian.Choy@sfgov.org](mailto:Jessian.Choy@sfgov.org).

Best Regards,

*Monica Fish*

Monica Fish, Commission Secretary  
Commission on the Environment

Attachments: Regulation No. SFE 13-02-07PPO

Cc: Jessian Choy, City Toxics Reductions Specialist  
Sushma Bhatia, Toxics Reduction Program Manager

**San Francisco Department of the Environment Regulations**  
Precautionary Purchasing Ordinance (Ord. No. 115-05)

Adopting Approved Alternative Products for

- |   |                             |
|---|-----------------------------|
| 1) Compostable Bags                     | Regulation # SFE-13-02-PPO; |
| 2) Computer Desktops, Laptops, Monitors | Regulation # SFE-13-03-PPO; |
| 3) Janitorial Cleaners                  | Regulation # SFE-13-04-PPO; |
| 4) Hand Dishwashing Detergents          | Regulation # SFE-13-05-PPO; |
| 5) Hand Sanitizers                      | Regulation # SFE-13-06-PPO; |
| 6) Lighting (lamps, ballasts)           | Regulation # SFE-13-07-PPO; |

Effective 12/11/13

**A. AUTHORIZATION**

The Board of Supervisors and Mayor enacted the Precautionary Purchasing Ordinance, effective July 18, 2005 (the "Ordinance"). The Ordinance is codified as Chapter 2 of the Environment Code. The Ordinance created a comprehensive new system for the City to identify and use environmentally preferable products based on goals and criteria established by the Ordinance. The Ordinance required the Director of the Department of the Environment to consolidate existing environmentally preferable purchasing requirements from other Code sections into regulations. The new regulations described here are implemented pursuant to Environment Code Section 203(d).

**B. DEFINITIONS**

Approved Alternatives List: Products with a lesser impact on human health and the environment compared to other similar products.

Green products: Environmentally preferable products as defined in the Ordinance, and listed as "REQUIRED" on [SFApproved.org](http://SFApproved.org).

Limited use products: Products which may be purchased by City departments without a special exemption only in cases where Green products are not available for a specific purpose or fixture. These products are listed as "LIMITED USE" on [SFApproved.org](http://SFApproved.org).

Laptops: Laptop, notebook, or netbook computers. Does not include tablets or smart phones.

Specialty incandescent bulbs/lamps: Defined as specialty incandescents by the federal Energy Independence and Security Act (2007), including: Appliance, black light, bug, colored lamps, infrared lamps, left-hand thread lamps, marine lamps, marine's signal service lamps, mine service, plant light, reflector, rough service, shatter-resistant lamp (including shatter-proof and shatter-protected), sign service, silver bowl, showcase, 3-way, traffic signal, vibration service, G shape (as defined in ANSI C78.20-2003 and C79.1-2002) with a diameter of 5" or more, T shape (as defined in ANSI C78.20-2003 and C79.1-2002) and that uses no more than 40W or has a length of more than 10"s, B, BA, CA, F, G16-1/2, G-25, G-30, S, or M-14 lamp (as defined in ANSI C78.20-2003 and C79.1-2002) of 40W or less.

**C. REQUIREMENTS**

The attached regulations set forth the scope and requirements pertaining to these product categories, and are subject to the requirements of the Ordinance, including the definitions, requirements pertaining to waivers, and enforcement mechanisms set forth in the Ordinance. The regulations do not duplicate the Ordinance, and must be read together with the Ordinance. Unless otherwise defined below, words used in these regulations shall have the same meanings as those words in the Ordinance.

For the product categories outlined below, City departments may purchase only those products that meet the listed specifications when using City funds. Products that meet the listed specifications are synonymous with the "approved alternatives list). These products are listed as "REQUIRED" on the City's green purchasing website at [SFAApproved.org](http://SFAApproved.org).

**D. ENFORCEMENT**

*These regulations have the full force and effect of law. A violation of these regulations is enforceable pursuant to Environment Code section 208.* The Director of the Department of the Environment hereby adopts these regulations, effective as of 12/11/13.

Melanie Nutter

Director Department of the Environment

Approved:  
Sign here



Date:

12/12/13



**Regulation #SFE-13-02-PPO**  
Approved Alternative Products for: **Compostable Bags**

PURCHASING REQUIREMENTS FOR CITY DEPARTMENTS

1. Must be certified compostable by a third-party independent verification entity such as the Biodegradable Products Institute or BPI ([bpiworld.org](http://bpiworld.org)), proving that the finished product meets ASTM D6400 standards of compostability.
2. Must not contain additives that include highly hazardous chemicals, including but not limited to: persistent, bioaccumulative, and toxic (PBT) chemicals; very persistent and very bioaccumulative (vPvB) chemicals; carcinogens; mutagens; reproductive toxins.
3. Must not contain polyvinyl chloride (PVC), polystyrene (PS), acrylonitrile butadiene styrene (ABS), polycarbonate (PC), or polyurethane (PU).
4. Must not have been manufactured with chlorine or chlorine compounds.
5. Must be readily and easily identifiable as compostable and conform to the minimum standards of the California labeling law (Public Resources Code Section 42355 et seq.) which states:
  - A. Labeled with a certification logo indicating the bag meets the ASTM D6400 standard specification if the bag has been certified as meeting that standard by a recognized third-party independent verification.
  - B. Labeled in accordance with one of the following:
    - i. The bag is made of a uniform color of green and labeled with the word "compostable" on one side of the bag, and the label shall be at least one inch in height.
    - ii. Labeled with the word "compostable" on both sides of the bag and the label shall be one of the following:
      - a. Green color lettering at least one inch in height.
      - b. Within a contrasting green color band of at least one inch in height on both sides of the bag with color contrasting lettering of at least one-half inch in height.
  - C. Notwithstanding paragraph (B) of subdivision (ii), if the bag is smaller than 14 inches by 14 inches, the lettering and stripe shall be in proportion to the size of the bag.
  - D. A compostable plastic bag sold or distributed in the state shall not display a chasing arrow resin identification code or recycling type of symbol in any form.
  - E. A manufacturer is required to comply with this section only to the extent that the labeling requirements of subdivisions (B), (C), and (D) do not conflict with the Federal Trade Commission Guides for the Use of Environmental Marketing Claims (Part 260 (commencing with Section 260.1) of Subchapter B of Chapter I of Title 16 of the Code of Federal Regulations).
6. Must not be labeled "biodegradable," "oxy-degradable," or "degradable."
7. Storage and handling instructions must be provided to each end user in order for the end user to maximize the shelf life of the compostable bag product.
8. Must maintain the same strength and integrity at least 6 months from the date of manufacture if storage and handling instructions are followed correctly.
9. Must have a wet load capacity of 30 pounds for bags that fit 7 and 10-gallon containers, 35 pounds minimum for bags which fit 23-gallon container and 40 pounds for bags which fit 32-gallon containers.

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**Regulation #SFE-13-03-PPO**

Approved Alternative Products for: **Computer desktops, laptops and monitors**

PURCHASING REQUIREMENTS FOR CITY DEPARTMENTS

Must meet San Francisco Committee on Information Technology (COIT)/SF Approved Environmentally Preferable Purchasing Requirements.

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**Regulation #SFE-13-04-PPO**

Approved Alternative Products for: **Janitorial cleaning products**

PURCHASING REQUIREMENTS FOR CITY DEPARTMENTS

Product subcategory	Specification or ecolabel certification required
1. Bathroom, tub, and tile cleaners	Green Seal GS-37
2. Carpet extraction cleaner	Ecologo CCD-148 or Green Seal GS-37
3. Cleaner degreasers	Green Seal GS-37
4. Floor care systems	Green Seal GS-40 or EcoLogo CCD-147/UL 2777
5. Furniture Polish	Ready-to-use in a trigger spray bottle. Non-aerosol. May not contain glycol ethers, phthalates, monoethanolamine or alkyl phenol ethoxylates; pH 6-10. No chlorinated compounds. No chemicals known to the state of California to cause cancer (as listed under Prop 65).
6. General Purpose/Multipurpose Cleaners	Green Seal GS-37
7. Glass Cleaners	Green Seal GS-37
8. Hand Soap	Green Seal GS-41-OR- EcoLogo CCD-104/UL 2784. Hand soap labeled "antimicrobial" or containing triclosan or triclocarban are prohibited.
9. Neutral Floor Cleaners	Green Seal GS-37 -OR- Green Seal GS-40 -OR- Ecologo CCD-147/UL 2777
10. Urinal cakes	Ecologo CCD-165/UL 2780 -OR- product does not contain paradichlorobenzene

The Department of the Environment may conduct its own review of specific products that are not formally certified by Green Seal or Ecologo, and determine whether those products substantially meet the standards established for the various categories of certification, and on that basis allow the use of those products.

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**Regulation #SFE-13-05-PPO**

Approved Alternative Products for: **Hand sanitizers**

PURCHASING REQUIREMENTS FOR CITY DEPARTMENTS

- I. **Hand sanitizers** : Must meet all of the following requirements.
- A. Must not contain triclosan or triclocarban.

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**Regulation #SFE-13-06-PPO**

Approved Alternative Products for: **Hand dishwashing detergents**

PURCHASING REQUIREMENTS FOR CITY DEPARTMENTS

- II. **Hand dishwashing detergents**: Must meet all of the following requirements.
- A. Must not be labeled as "antimicrobial" or "antibacterial."
  - B. Must not contain triclosan or triclocarban.

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**Regulation #SFE-13-07-PPO**

Approved Alternative Products for: **Lighting (Lamps and Ballasts)**

PURCHASING REQUIREMENTS FOR CITY DEPARTMENTS

- I. **Green fluorescent lamps**

a. T8 Lamps	Minimum Rated Life (Hours)*	Minimum Warranty (Years)	Minimum CRI	Maximum Mercury (mg)
(1) 48 inch, linear (including 32-watt and lower-wattage "energy-saving" models)	24,000	3	80	3.5
(2) Shorter than 48 inch, linear	18,000	3	80	3.5
(3) Longer than 48 inch, linear	18,000	2	80	10
(4) U-bent	18,000	2	80	8

b. T5 Lamps	Minimum Rated Life (hrs)*	Minimum Warranty (Years)	Minimum CRI	Maximum Mercury (mg)
(1) Standard linear T5	25,000	2	85	3
(2) High-output linear T5	25,000	2	85	3
(3) Circular T5	12,000	2	82	10

c. Compact Fluorescent Lamps (CFLs) – 4 pin	Minimum Rated Life (hrs)	Minimum Warranty (Years)	Minimum CRI	Maximum Mercury (mg)
(1) 4-pin CFLs	12,000	1	82	5.0

d. Electrodeless Lamps	Minimum Rated Life (hrs)	Minimum Warranty (Years)	Minimum CRI	Maximum Mercury (mg)
(1) Induction fluorescent	100,000	5	80	18

e. Compact Fluorescent Lamps (CFLs) – Self Ballasted	ENERGY STAR CFL v4.0 Specs	Min. Rated Life (Hrs)	Min. Warranty (Years)	Min. CRI	Maximum Mercury (mg)
(1) Self-Ballasted (<50W), Bare Spiral (Twist)	Required	10,000	1	N/A*	3.5
(2) Self-Ballasted (<50W), Other shapes (Reflectors, A-shaped, globes, bullets, candles, etc.)	Required	8,000	1	N/A*	3.5
(3) Self-Ballasted (≥50W Bare Spiral (Twist)	Required	10,000	1	N/A*	5
(4) Self-Ballasted (≥50W), Other shapes (Reflectors, A-shaped, globes, etc.)	Required	8,000	1	N/A*	5

f. Cold Cathode CFLs	Minimum Rated Life (Hours)	Minimum Warranty (Years)	Minimum CRI	Maximum Mercury (mg)
(1) Self-Ballasted Cold cathode CFLs, low-wattage (<10 watts)	18,000	2	80	3.5
(2) Self-Ballasted Cold cathode CFLs, high wattage (>10 watts)	15,000	2	80	3.5

1. **Limited Use fluorescent lamps** can be purchased without a special exemption if Green fluorescents are not available for a specific purpose or fixture.

a. Other Fluorescents	Minimum Rated Life (Hours)	Minimum Warranty (Years)	Minimum CRI	Maximum Mercury (mg)
(1) 2-pin CFLs	10,000	1	80	3.5
(2) Linear T12 Fluorescents, 4 foot, except HO and VHO	20,000	1	70	5
(3) Preheat fluorescents	No minimum (varies by type)	1	60	15, TCLP-compliant <sup>1</sup> if available from manufacturer
(4) Other T12s, including other linear and HO models	12,000	1	70	15, TCLP-compliant if available from manufacturer
(5) T12s, VHO and cold temperature rated models	10,000	1	70	30, TCLP-compliant if available from manufacturer
(6) T9 Circular Fluorescent Lamps	12,000	1	70	15, TCLP-compliant if available from manufacturer

## 2. Green High-Intensity Discharge (HID) Lamps

a. High Pressure Sodium (HPS) Lamps	Minimum Rated Life (Hours)	Minimum Warranty (Years)	Maximum Mercury (mg)
(1) Mogul base, cycling, up to 1000 watts (Limited Use)	24,000	1	TCLP-compliant
(2) Mogul base, non-cycling, up to 1000 watts (Green)	30,000	2	10 maximum, TCLP-compliant
(3) Other HPS lamps (including medium base, standby models, and other types) (Limited Use)	No minimum	1	TCLP-compliant preferred if available from manufacturer

b. Metal Halide Lamps	Minimum Rated Life (Hours)	Minimum Warranty (Years)	Maximum Mercury (mg)
(1) Ceramic and other 'pulse start' metal halide lamps	No minimum	1	TCLP compliant preferred- whenever available from manufacturer

**3. Limited Use Incandescents:** can be purchased without a special exemption if Green lamps are not available for a specific purpose or fixture. Limited Use incandescent are defined as:

- a) Specialty incandescents (see definition) -OR-
- b) General service reflector incandescent lamps that meet:
  - (1) Energy-efficiency requirements established by the US Department of Energy in its final lighting efficiency rule.  
[www1.eere.energy.gov/buildings/appliance\\_standards/pdfs/74fr34080.pdf](http://www1.eere.energy.gov/buildings/appliance_standards/pdfs/74fr34080.pdf)
  - (2) Energy-efficiency and rated life requirements established by the National Energy Independence and Security Act of 2007 and/or the US Department of Energy rules and adopted by the California Energy Commission (website:  
[http://www.energy.ca.gov/lightbulbs/lightbulb\\_faqs.html](http://www.energy.ca.gov/lightbulbs/lightbulb_faqs.html)) effective 1/1/11 (see table below).

Lumen Ranges	Maximum Wattage	Minimum Rated Life
1490-2600	72	1000 hours

<sup>1</sup> TCLP stands for Toxicity Characteristic Leaching Procedure. The TCLP test was designed by the US Environmental Protection Agency (EPA) to determine the mobility of both organic and inorganic chemicals present in liquid and solid wastes. This test is used to determine if a waste meets the definition of EPA Toxicity, that is, carrying a hazardous waste code under RCRA (40 CFR Part 261) of D004 through D052. TCLP-compliant lamps generally are designated with a code of ECO for GE's Ecolux and Sylvania's Ecologic products and ALTO for Philips' products.

1050-1489	53	1000 hours
750-1049	43	1000 hours
310-749	29	1000 hours

**4. Green Light-emitting Diode (LED) Exit Sign Lamps and Retrofit Kits**

- a) Must be UL 924-listed
- b) Should be ETL-listed
- c) Must meet current NFPA 101, NEC, and OSHA illumination standards
- d) Must meet or exceed the following:

Description	Minimum Warranty (Years)	Maximum Watts
Exit Sign LED Retrofit Kits	5	5
Individual LED Exit Sign Replacement Lamps	5	2

**5. Green Integral Light-Emitting Diode (LED) Lamps**

- a) Must be ENERGY STAR rated
- b) Must have a minimum three (3) year manufacturer's warranty, consistent with ENERGY STAR requirements; see [http://energystar.gov/ia/partners/product\\_specs/program\\_reqs/Solid-State\\_Lighting\\_Program\\_Requirements.pdf](http://energystar.gov/ia/partners/product_specs/program_reqs/Solid-State_Lighting_Program_Requirements.pdf).

**6. Ballasts** must meet or exceed the following requirements:

**a) All Ballasts**

- (1) Be UL-listed.
- (2) All ballasts should be physically interchangeable with standard electromagnetic or standard electronic ballasts, where applicable.
- (3) Meet California's Title 24 Energy Code requirements.
- (4) Contain auto restart circuitry in order to restart lamps without resetting power.
- (5) Be able to tolerate sustained open circuit and short circuit output conditions without becoming damaged.
- (6) Be rated for a starting temperature down to 32 degrees f and/or 0 degrees c or less.
- (7) Contain no exposed live parts.
- (8) To the greatest extent practicable, all ballasts should be ROHS-compliant (i.e., compliant with the European Union's Restriction on Hazardous Substances Directive).
- (9) Be made in a factory that is certified to ISO 9002 Quality System Standards or equivalent.
- (10) Not contain polychlorinated biphenyls (PCBs).

**b) Fluorescent Ballasts**

- (1) Must be electronic, instant start or program start only. (No magnetic fluorescent ballasts)
- (2) Must have a Class A sound rating.
- (3) All electronic instant start and programmed start fluorescent ballasts with standard or low-ballast factors for 32-watt 4-foot T8 lamps should be "extra efficient". (The extra-efficient ballasts are those that are labeled as "NEMA Premium Efficiency" and can be found on the following list, which is maintained by the Consortium for Energy Efficiency: [cee1.org/com/com-It/](http://cee1.org/com/com-It/))
- (4) All linear and U-bent fluorescent ballasts must have a power factor of >0.90. (According to the US Department of Energy, "Power factor indicates how effectively the input power and current are converted into usable watts of power delivered to the ballast. High-power-factor ballasts reduce current loads on building wiring and transformers.")
- (5) Must be high-frequency and operate lamps at a frequency above 42k Hz to avoid interference with infrared devices and to eliminate visible flicker.
- (6) All ballasts for fluorescent lamps must have a lamp current crest factor (ratio of peak to RMS) not to exceed 1.7 crest factor.
- (7) All linear and U-bent fluorescent ballasts should be designed to enable light output to be maintained such that it does not vary more than +/- 5% within operating ranges of +/- 10% of rated system voltage.
- (8) Instant start ballasts must run on 120V or 277V or both. All Program Start ballasts must be multi-voltage and run on 120V, 230V and 277V.

- (9) Compact fluorescent and T5 fluorescent ballasts must employ end-of-life (EOL) circuitry to shut down the circuit at the end of the lamp life. This removes power from the obsolete lamp and prevents lamp overheating.
- (10) Ballasts for T8, T5 and 4-pin compact fluorescent lamps must have a total harmonic distortion (THD) of <10% at full light output. Ballasts for induction fluorescent lamps should have a THD of <15% at full light output.
- (11) Instant start ballasts must provide independent Lamp Operation, which enables the remaining lamp or lamps to maintain full light output when one or more lamps fails.
- (12) Warranty for fluorescent ballast <70 degrees C to be a minimum of five (5) years from date of manufacture.
- (13) Warranty for fluorescent ballast >70 degrees C to be a minimum of three (3) years from date of manufacture.

c) **HID Ballasts**

- (1) Must have a Power Factor greater than 90%.
- (2) Must have a minimum efficiency of 88%
- (3) Must be designed in accordance with all applicable ANSI specifications including ANSI C82.4.
- (4) Must provide a "Lamp Current Crest Factor" of less than 1.7.
- (5) Must employ end-of-life (EOL) circuitry to shut down the circuit at the end of the lamp life.
- (6) Must have a minimum 2-year warranty from date of manufacture against defects in material or workmanship, including replacement, for operation at a maximum case temperature of 70C.

d) **Green Electronic HID Ballasts**

- (1) The electronic HID ballast input current should have Total Harmonic Distortion (THD) of less than 15%.
- (2) Must have a lamp end-of-life detection and shutdown circuit.
- (3) Must be Sound Rated A.

e) **Limited Use Magnetic HID Ballasts:** May be purchased if green ballasts are not available for a fixture.

- (1) Core and coil ballasts must be designed with class "H" (180°C) or higher insulation system
- (2) All coils must be precision wound.
- (3) Core and Coil ballasts should be designed to operate for 60,000 hours of continuous operation at their maximum rated temperature.
- (4) Core and Coil ballasts and starter combinations should be designed to provide a reliable lamp starting down to -40°C for High Pressure Sodium and -30°C for Metal Halide at nominal line voltage of plus or minus 10%.

**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** : Illegal Vs Legal and the Law

-----Original Message-----

From: David K [mailto:david\_khan415@yahoo.com]  
Sent: Saturday, December 14, 2013 1:29 AM  
To: Hayashi, Christiane; Richholt, Eric; Trevor Johnson; Board of Supervisors; Barry Korengold; Ed Healy; John Han; Mark Gruberg  
Subject: Illegal Vs Legal and the Law

Hello,

Seems like the SFPD Traffic division got no information about transportation system at all. I was dropping off a passenger at Folsom and 9th and there was traffic as stand still. I pulled into the bike lane which no one uses ( the politicians got no clue). A police knocked my window and told me that it is bike lane and not supposed to drive in or stop. I had to explain them about the sticker I have on the bumper and it is ok to pick up and drop off. That is another reason for the cab drivers to get frustrated and left the job that has no respect and benefit to drive for Uber.

A police officer told me that they do not have any training about transportation and they don't even know that Lyft, Side Car and UberX is violating the CPUC codes and state laws. It is shameful that every illegal services are getting so popular and acting like they are legal in San Francisco while the people abiding the law are struggling.

What happen to the Rule of Law?

What is the board of supervisors doing?

The traffic in the city is getting worse as the illegals are clogging the streets and contributing the pollution. The bike routes that no one uses just took away one lane of traffic to contribute more traffic while bicyclists use the major routes for drivers commute ( causing more congestion and hazard).

The city need to have some regulatory body that know and care what is the best for the city and it's citizens. The Mayor even praised the Lyft and marked as Lyft day in July.

Sunshine Ordinance and ethic commission is silence and no one has the courage to stand up against the corruptions.

The constitution is just paper written something for the law makers and regulatory body.

I am ashamed as a citizen of the United States to have these stupid ideas and corruptions in the Nation.

David Khan  
408-431-1874

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**To:** BOS-Supervisors  
**Subject:** Butterfly Emails  
**Attachments:** Let Butterflies Fly; Releasing butterflies in San Francisco; banning the release of reared butterflies; Ban on butterfly releases in San Francisco??



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**From:** Valerie Ann Ware [valannibba@icloud.com]  
**Sent:** Saturday, December 14, 2013 8:37 AM  
**To:** Board of Supervisors  
**Subject:** Let Butterflies Fly

Dear People,

I am a former resident of San Francisco and now of Maryland. I have relatives/friends who are in the Bay Area. I have also been a member of the International Butterfly Breeder's Association among other butterfly groups. I have been to two of the IBBA conferences, joined various groups including the Lepidopterist Society, The Xerces Society, The Worldwide Butterfly Association and taken numerous classes to advance my knowledge of the lives/breeding of butterflies. I am also a licensed social worker with a Masters degree in social work. Some of the organizations from which I have studied are pro butterfly release and one not. I do not have an operational release farm but after review , I am in support of the stance that butterfly releases should be allowed to continued. I know these organizations have done an exceptionally good job in teaching their members and the general public of all ages and various avenues about butterflies and how to help continue the existence of butterfly populations. They have also done wonderful things for the morale and spirit of people during ceremonial releases. They have aided in their support of programs for 9/11 and Sandy Hook post trauma healing of children and adults. Their releases have standards which involve the good interest of the butterflies and for the best interest of their survival and chances to thrive. They have always the interest of the continued survival of butterflies. They have proven their knowledge on how to raise healthy butterflies over and over and they share their knowledge.

Please support the continuance of butterfly releases to benefit the growth of the butterfly population.

Sincerely,

Val Ware

Sent from my iPad

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**From:** Linda Rogers [timshellfarm@gmail.com]  
**Sent:** Saturday, December 14, 2013 2:37 PM  
**To:** Board of Supervisors  
**Cc:** Todd Stout  
**Subject:** Releasing butterflies in San Francisco

Dear Board of Supervisors,

I cordially invite you to converse with our public contact, Dale McClung (International Butterfly Breeders Association [www.butterflybreeders.org](http://www.butterflybreeders.org)), to learn more about the commercial butterfly farms across the United States and the world that work diligently to raise healthy, vibrant butterflies for the world to enjoy. The butterflies are often used in school projects to teach children about the lifecycle and the wonder and joy of nature. The butterflies are often released at special ceremonies such as weddings and funerals, and bring great beauty and a link with nature for people. The IBBA website has a document you should read titled "The IBBA Scientific Report - Why it's OK to Release Butterflies" which covers each and every concern with answers based in science.

The USDA (Dr. Wayne Wehling and other entomologist experts there) determined over fifteen years ago that certain butterflies could be raised and shipped interstate for release to the environment, with no harm to local butterfly populations. Only certain butterflies can be shipped to specific states, with a USDA permit.

Butterfly farmers closely monitor their breeding colonies for disease and parasites, or their raising operation will collapse. Many hundreds of thousands of butterflies have been shipped and released for the last fifteen years with no problems caused to butterflies or the environment.

Please reconsider your policy to ban butterfly releases in San Francisco. You are taking butterflies away from school children and people, and the chance for humans to connect with this beautiful part of nature. You are hurting American butterfly farms and minimizing income for these small businesses without any reasons based in true science.

There has never been any damage or risk to native populations of butterflies or the environment that resulted from a butterfly release.

And it might interest you to know that reasons for imposing these types of restrictions must be based in science or the restriction is not legal. IBBA's attorney has prevailed in several instances where a rule was made that was not based in science.

Please reconsider. Thank you,

Linda Rogers, IBBA Member ([www.butterflybreeders.org](http://www.butterflybreeders.org))  
[www.butterflyboutique.net](http://www.butterflyboutique.net)

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**From:** Rick Gillmore [rickgillmore@yahoo.com]  
**Sent:** Saturday, December 14, 2013 4:17 PM  
**To:** Board of Supervisors  
**Subject:** banning the release of reared butterflies

I have read that a city ordinance is being pushed to ban the release of reared butterflies in the city of San Francisco. A ban is not serving the people of San Francisco. It is without merit and waste of the police's time to chase down and arrest the citizens of the city, when, and if, they release commercially or home rear butterflies.

I was born in Ridgecrest, CA, 1946.

Rick Gillmore

---

**From:** Wayne Whaley [WWhaley@uvu.edu]  
**Sent:** Saturday, December 14, 2013 7:44 PM  
**To:** Board of Supervisors  
**Subject:** Ban on butterfly releases in San Francisco??

Hi,  
The concern over butterfly releases is getting quite ridiculous. I would like to see the data that supports the claims that butterfly releases are bad for butterfly populations. Someone has apparently gone ballistic concerning this matter and from what I have learned there is no data that would support these "shoot in the dark, after publicity nuts" who really know little about butterflies.

Concerned citizen,  
Wayne H. Whaley, Ph.D.  
(Professor of Zoology)  
College of Science & Health  
Department of Biology  
Utah Valley University  
Orem, UT 84058  
USA

Office: (801) 863-8607  
Dept.: (801) 863-8511  
Prof. page: [http://www.uvu.edu/profpages/profiles/show/user\\_id/4782](http://www.uvu.edu/profpages/profiles/show/user_id/4782)  
Research web: <http://research.uvu.edu/whaley/>

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** FW: Butterflies

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**From:** David Romero [mailto:summerdayvid@gmail.com]  
**Sent:** Saturday, December 14, 2013 10:55 AM  
**To:** Board of Supervisors  
**Subject:**

I am urging you to NOT ban the releasing of butterflies. They help pollination of food and flowers and provide food for birds. Everyone I know loves the butterflies they are delicate flying flowers please keep releasing of them. If you have concerns do a study first before you do an outright ban. Thank you for your time. The Romero Family

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** WHAT A WONDERFUL IDEA FOR SHOPPERS AND OUR STORES . . . Bet business is booming !

---

**From:** Janette Barroca [mailto:jbb3252@yahoo.com]  
**Sent:** Saturday, December 14, 2013 11:55 PM  
**To:** SF Mayor; Presidio Trust Craig Middleton; Board of Supervisors; KSFO Sussman; Marin IJ / M Prado; Fox News /Tom Sullivan; Mike Antonini  
**Cc:** Breed, London; Mar, Eric (BOS); Farrell, Mark; Cohen, Malia; Chiu, David; Avalos, John; Tang, Katy; Supv Scott Weiner # 8; Campos, David; Yee, Norman (BOS); Lee, Edwin (Mayor); Kim, Jane  
**Subject:** WHAT A WONDERFUL IDEA FOR SHOPPERS AND OUR STORES . . . Bet business is booming !

Look at the nice message I read in the Pacific Sun (Marin paper):

**\*FREE Holiday Parking**

The Central Marin Police Authority is pleased to announce that San Anselmo is providing FREE PARKING for

holiday shoppers from Nov 29, 2013 thru January 1, 2014. During this time the parking meters will not be

operating. The disabled, red zones and other safety violations will be enforced.

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How about districts in San Francisco and other areas adopt this plan for the holidays ??

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** consider canceling the shaft and boring machine removal contract  
**Attachments:** Central Subway Contract Number 1252-Tunneling proposal.pptx

---

**From:** Steven Rappolee [mailto:strappolee@gmail.com]  
**Sent:** Monday, December 16, 2013 7:52 AM  
**To:** movesmartsf@sfcta.org; Board of Supervisors; Lee, Mayor  
**Subject:** Fwd: consider canceling the shaft and boring machine removal contract

Back in 2011 I emailed the city supervisors with the idea attached below, leaving the central tunnel boring machine in place at the end of the project and using the funds allocated to the shaft to retrieve the boring machine to further boring

Steven Torry Rappolee  
***Terrestrial & Cislunar Exploration technologies***  
***A Carbon Tax fueled Social Security Sovereign Wealth Fund***  
A Veteran owned concern  
810 334 4374  
Fax 810 449 5484  
UM Flint Student Business Incubator, #207  
423 North Saginaw Street, Flint, Michigan, 48502

----- Forwarded message -----

**From:** **steven rappolee** <strappolee@gmail.com>  
**Date:** Fri, Oct 28, 2011 at 3:24 PM  
**Subject:** Fwd: consider canceling the shaft and boring machine removal contract  
**To:** Board.of.Supervisors@sfgov.org

greetings to the board of supervisors,  
I wanted to share with you an idea I shared with the mayor, please see attached document. I am a formal San Franciscan, and I have an idea concerning the removal of the boring machines at the end of the central subway project, Dont! from the money saved by foregoing the retrieval shaft keep digging! ( see attached document) This could lead to a tunnel out to the presidio and some day MUNI over the bridge. Once the machine reaches Van Ness turn it south word to connect with Geary.  
thank you for yor time,  
Steven Rappolee

----- Forwarded message -----

**From:** **steven rappolee** <strappolee@gmail.com>  
**Date:** Fri, Oct 28, 2011 at 3:17 PM  
**Subject:** consider canceling the shaft and boring machine removal contract  
**To:** mayoredwinlee@sfgov.org

To the Honorable mayor Lee,

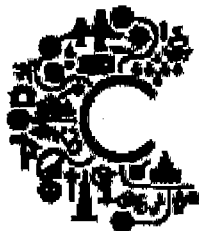


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thank you for yor time,

Steven Rappolee

BOS-11 cpage



**SAN FRANCISCO CHAMBER OF COMMERCE**  
Our City. Your Business.

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2013 DEC 16 PM 3:44

*[Signature]*

FACSIMILE TRANSMITTAL SHEET

TO: *Clerk of the Board* FROM: *SF Chamber*

COMPANY: PHONE:

FAX NUMBER: DATE:

PHONE NUMBER: TOTAL NO. OF PAGES INCLUDING COVER:

RE:

- URGENT
- FOR REVIEW
- PLEASE COMMENT
- PLEASE REPLY
- PLEASE RECYCLE

NOTES/COMMENTS:



**SAN  
FRANCISCO  
CHAMBER OF  
COMMERCE**

Our City. Your Business.

December 11, 2013

Ms. Barbara Garcia  
Ms. Sandra Hernandez  
Co-Chairs, Universal Healthcare Council 2013  
Department of Public Health  
101 Grove Street  
San Francisco, CA 94102

**RE: Compliance With Health Care Security Ordinance Employer Spending Requirement**

Dear Ms. Garcia and Ms. Hernandez:

We want to thank you for co-chairing the city's Universal Health Care Task Force, convened by the Mayor to review the city's spending requirements and federal compliance issues. The process helped to clarify the numerous issues confronting the city, its residents and employers. Though questions remain we are prepared to advise our members on how they can comply with both the Health Care Security Ordinance and the Affordable Care Act, while protecting the rights of employees.

As was set forth in the Chamber's letter of last month, employers face \$100 a day excise tax penalty for sponsoring health plans (plans are both traditional insurance as well as medical expense reimbursement arrangements) that fail to meet various requirements of the ACA. These fines can also apply to otherwise exempt small businesses. Most HRAs and direct reimbursement programs fail to meet these federal guidelines. And, any group health plan (including existing HRAs that are permitted to roll-over into 2014) that cover any essential health benefits appears per the federal regulations to disqualify those employees for subsidies on the state insurance exchange for which they would otherwise be eligible.

In light of these constraints, we are prepared to recommend to our members the following options to meet the HCSO spending requirement and, in our best judgment, not run afoul of the ACA:

- 1) Provide group insurance that complies with the ACA.
- 2) If the group insurance premiums do not meet 100% of the local spending requirement for an individual employee or if an employee has group insurance

from another employer or spouse/partner, provide a HRA integrated with that group coverage.

- 3) Provide an excepted benefits HRA that per the HCSO is "reasonably calculated to benefit the employee." Such a HRA will include adult dental and vision benefits that conform to Federal Regulation 54.9831-1(c)(3)and(4). Employers will also be encouraged to include in these HRAs other reimbursements allowed by federal law, such as long term care benefits and some non-group insurance coordinated benefits (premium reimbursements for specified disease or illness insurance). However, employees must be given the ability to opt out of this coverage and the plan must require some amount of contribution towards the cost of the benefit received.
- 4) Pay into city option. This includes enrollment of employees into either HealthySF or the city's MRA. It is our understanding that the city will only enroll individuals in HealthySF if they are excluded from the ACA individual mandate, including a legal alien in the country less than five years, undocumented residents or has a person with an ACA hardship waiver. Regarding the MRA, though the plan provides minimum essential coverage, apparently the IRS has advised the city that because this is not an employer sponsored plan it is lawful under the ACA and will not negatively impact an employee's right to purchase subsidized insurance on the California exchange. We would appreciate any written guidance you receive from the IRS.
- 5) Establish a self-funded excepted benefits plan for adult dental and vision benefits that conforms to Federal Regulation 54.9831-1(c)(3).

Based on our discussions at the Council and the assurances we have received from the city regarding legality under the ACA, protecting an employee's right to subsidized insurance under Covered California and compliance with the HCSO, we are prepared to recommend to our members these options for meeting the local employer spending requirements.

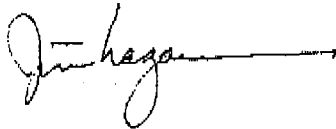
Regarding balances in HRAs rolling-over January 1, federal regulations allow existing balances to be expended per the plan's terms. However, as stated in the OLSE FAQs, if a plan reimburses for services considered to be minimum essential coverage, it is our understanding that an employee otherwise qualified may be prohibited from receiving an insurance premium subsidy on the exchange for the months during which he/she uses a HRA balance to purchase insurance. Once a pre-2014 balance is fully expended, an employee gains eligibility for a subsidy the following month.

Regarding 2014 plans, if an employee opts out, we will advise employers to maintain records of the dollar amount accrued or accruing and make those funds available at any time an employee seeks to reactivate the HRA during a roll-over period. This is similar to the city's treatment of inactive MRAs. We will also encourage employers to draft plans that toll the months an employee has opted out and add those months to the roll-over period available to the employee. For example, an employee who leaves the Covered California subsidized insurance exchange because of increased income levels or participation in group coverage received from another employer or spouse/partner could opt back into

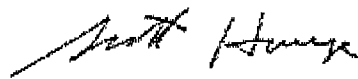
the HRA plan. Months out of the HRA plan will be added to the roll-over period, up to 24 months, with the accrued dollar amount credited to the HRA balance available to the employee. We believe this accrual of both time and dollar amount meets the employer spending requirement of the HCSO. We would appreciate confirmation that the city will accept this method of dealing with those few cases where an employee may opt out of a new HRA plan.

We recognize that 2014 will be a transitional year for many employers. The ACA employer mandate that takes effect in 2015 will significantly alter the health care landscape and will require that we take a fresh look at our local requirements. For this reason, we strongly urge the city to continue the work of the Council next year. Again, thank you for all your work on these very difficult issues.

Sincerely,



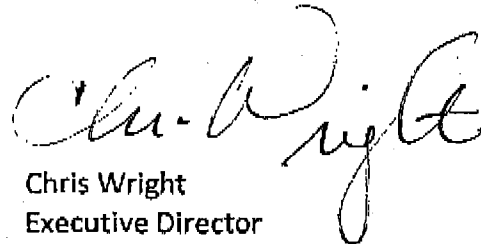
Jim Lazarus  
Sr. Vice President, Public Policy  
San Francisco Chamber of Commerce



Scott Hauge  
President, CAL Insurance  
Founder, Small Business California



Laurie Thomas  
On behalf of the Golden Gate Restaurant Association



Chris Wright  
Executive Director  
Committee on Jobs

cc. Mayor Ed Lee

Members, Board of Supervisors

Small Business Commission

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Plastic bag ban discriminates against handicap

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**From:** Allen Jones [mailto:jones-allen@att.net]  
**Sent:** Monday, December 16, 2013 3:59 PM  
**To:** Board of Supervisors  
**Subject:** Plastic bag ban discriminates against handicap

Attention All Members of the San Francisco Board of Supervisors,

As a person who has never been able to walk; without crutches, I have found the use of plastic bags to be helpful in carrying my items more than paper bags. In addition, before the San Francisco plastic bag ban went into effect, I greatly appreciated the care and understanding of cashiers who knew that plastic was better for a person with a physical handicap. Now the look on the faces of these same individuals, who now are forced by city law to charge me is a sad sight to behold.

I understand the importance of a greener environment. However, I feel that the plastic bag ban should be re-evaluated based on the fact that the only thing it has done for people with mobility issues is, it has added an extra financial burden while not reducing one plastic bag in my case, which I am sure was not the intent of this law.

In a perfect world, one would hope that everyone would have the thoughtful mind to always use re-useable bags; to cut down on the problems caused by plastic bags. However, I find that people like myself, do not have the option of carrying items from a store with no bag as I have noticed some do and therefore am forced to purchase, not paper but the higher priced plastic bags. Being forced to purchase plastic bags at times when I do not remember to bring my own re-useable bag is not right.

A person without understanding might suggest that a person with my handicap be more diligent in bringing a re-useable bag as a solution. And for take-out orders, I do not have the luxury of saying no bag. This too is an added cost to a person with a mobility problem, whether temporary or permanent.

That makes the plastic bag ban become discriminatory against the handicap because an able body individual is not required to be more diligent when all they have to do as a solution is carry their items in hand.

I hope that someone will respond to my request to revisit this issue in a timely matter.

Merry Christmas and Happy New Year!

Sincerely,

Allen Jones  
P.O. Box 410273  
San Francisco, CA 94141  
[jones-allen@att.net](mailto:jones-allen@att.net)  
(415) 756-7733

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** CCSF Monthly Pooled Fund Investment Report - November 2013  
**Attachments:** CCSF Investment Report for 2013-Nov.pdf

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**From:** Durgy, Michelle  
**Sent:** Tuesday, December 17, 2013 2:19 PM  
**To:** Aimee Brown; Board of Supervisors; Cisneros, Jose; cynthia.fong@sfcta.org; Grazioli, Joseph; Lediju, Tonia; Lu, Carol; Marx, Pauline; Morales, Richard; Rosenfield, Ben; Rydstrom, Todd; sfdocs@sfpl.info; Perl, Charles  
**Subject:** CCSF Monthly Pooled Fund Investment Report - November 2013

Hello All -

Please find the CCSF Monthly Pooled Fund Investment Report for November 2013 attached for your use.

Regards,  
Michelle

Michelle Durgy  
Chief Investment Officer  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

**Office of the Treasurer & Tax Collector  
City and County of San Francisco**



**José Cisneros, Treasurer**

Pauline Marx, Chief Assistant Treasurer  
Michelle Durgy, Chief Investment Officer

Investment Report for the month of November 2013

December 17, 2013

**The Honorable Edwin M. Lee  
Mayor of San Francisco  
City Hall, Room 200  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638**

**The Honorable Board of Supervisors  
City and County of San Francisco  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638**

Ladies and Gentlemen,

In accordance with the provisions of California State Government Code, Section 53646, we forward this report detailing the City's pooled fund portfolio as of November 30, 2013. These investments provide sufficient liquidity to meet expenditure requirements for the next six months. A review of the investments of November 30, 2013 showed that the portfolio held one investment totaling \$5 million that was in compliance with California Code, but was not in compliance with CCSF policy. As of the date of this report, this non-compliance has been corrected through normal trading activity. Other than this instance, investments are in compliance with our statement of investment policy.

This correspondence and its attachments show the investment activity for the month of November 2013 for the portfolios under the Treasurer's management. All pricing and valuation data is obtained from Interactive Data Corporation.

**CCSF Pooled Fund Investment Earnings Statistics \***

<i>(in \$ million)</i>	<b>Current Month</b>		<b>Prior Month</b>	
	<b>Fiscal YTD</b>	<b>November 2013</b>	<b>Fiscal YTD</b>	<b>October 2013</b>
Average Daily Balance	\$ 5,909	\$ 5,675	\$ 5,966	\$ 5,661
Net Earnings	18.70	3.73	14.97	3.32
Earned Income Yield	0.75%	0.80%	0.74%	0.69%

**CCSF Pooled Fund Statistics \***

<i>(in \$ million)</i>	<b>% of Portfolio</b>	<b>Book Value</b>	<b>Market Value</b>	<b>Wtd. Avg. Coupon</b>	<b>Wtd. Avg. YTM</b>	<b>WAM</b>
<b>Investment Type</b>						
U.S. Treasuries	12.0%	\$ 686	\$ 691	1.17%	1.06%	977
Federal Agencies	68.5%	3,946	3,957	1.03%	0.90%	884
State & Local Government						
Agency Obligations	2.8%	166	163	2.72%	0.71%	451
Public Time Deposits	0.01%	1	1	0.48%	0.48%	110
Negotiable CDs	2.6%	150	150	0.34%	0.34%	231
Commercial Paper	1.7%	100	100	0.00%	0.18%	23
Medium Term Notes	10.1%	596	586	1.49%	0.44%	333
Money Market Funds	2.2%	125	125	0.03%	0.03%	213
<b>Totals</b>	<b>100.0%</b>	<b>\$ 5,770</b>	<b>\$ 5,773</b>	<b>1.08%</b>	<b>0.82%</b>	<b>780</b>

In the remainder of this report, we provide additional information and analytics at the security-level and portfolio-level, as recommended by the California Debt and Investment Advisory Commission.

Very truly yours,

**José Cisneros  
Treasurer**

cc: Treasury Oversight Committee: Aimee Brown, Joe Grazioli, Charles Perl  
Ben Rosenfield, Controller, Office of the Controller  
Tonia Lediju, Internal Audit, Office of the Controller  
Cynthia Fong, Deputy Director for Finance & Administration, San Francisco County Transportation Authority  
Carol Lu, Budget Analyst  
San Francisco Public Library

\* Please see last page of this report for non-pooled funds holdings and statistics.



# Portfolio Summary

## Pooled Fund

As of November 30, 2013

<i>(in \$ million)</i>								
<b>Security Type</b>	<b>Par Value</b>	<b>Book Value</b>	<b>Market Value</b>	<b>Market/Book Price</b>	<b>Current % Allocation</b>	<b>Max. Policy Allocation</b>	<b>Compliant?</b>	
U.S. Treasuries	\$ 685	\$ 686	\$ 691	100.81	11.98%	100%	Yes	
Federal Agencies	3,932	3,946	3,957	100.28	68.54%	85%	Yes	
State & Local Government								
Agency Obligations	161	166	163	98.31	2.83%	20%	Yes	
Public Time Deposits	1	1	1	100.00	0.01%	100%	Yes	
Negotiable CDs	150	150	150	100.03	2.60%	30%	Yes	
Bankers Acceptances	-	-	-	-	0.00%	40%	Yes	
Commercial Paper	100	100	100	-	1.73%	25%	Yes	
Medium Term Notes	589	596	586	98.33	10.15%	15%	No*	
Repurchase Agreements	-	-	-	-	0.00%	100%	Yes	
Reverse Repurchase/ Securities Lending Agreements	-	-	-	-	0.00%	\$75mm	Yes	
Money Market Funds	125	125	125	-	2.17%	100%	Yes	
LAIF	-	-	-	-	0.00%	\$50mm	Yes	
<b>TOTAL</b>	<b>\$ 5,743</b>	<b>\$ 5,770</b>	<b>\$ 5,773</b>	<b>100.07</b>	<b>100.00%</b>	<b>-</b>	<b>Yes</b>	

The City and County of San Francisco uses the following methodology to determine compliance: Compliance is pre-trade and calculated on both a par and market value basis, using the result with the lowest percentage of the overall portfolio value. Cash balances are included in the City's compliance calculations.

Please note the information in this report does not include cash balances. Due to fluctuations in the market value of the securities held in the Pooled Fund and changes in the City's cash position, the allocation limits may be exceeded on a post-trade compliance basis. In these instances, no compliance violation has occurred, as the policy limits were not exceeded prior to trade execution.

The full Investment Policy can be found at <http://www.sftreasurer.org/>, in the Reports & Plans section of the About menu.

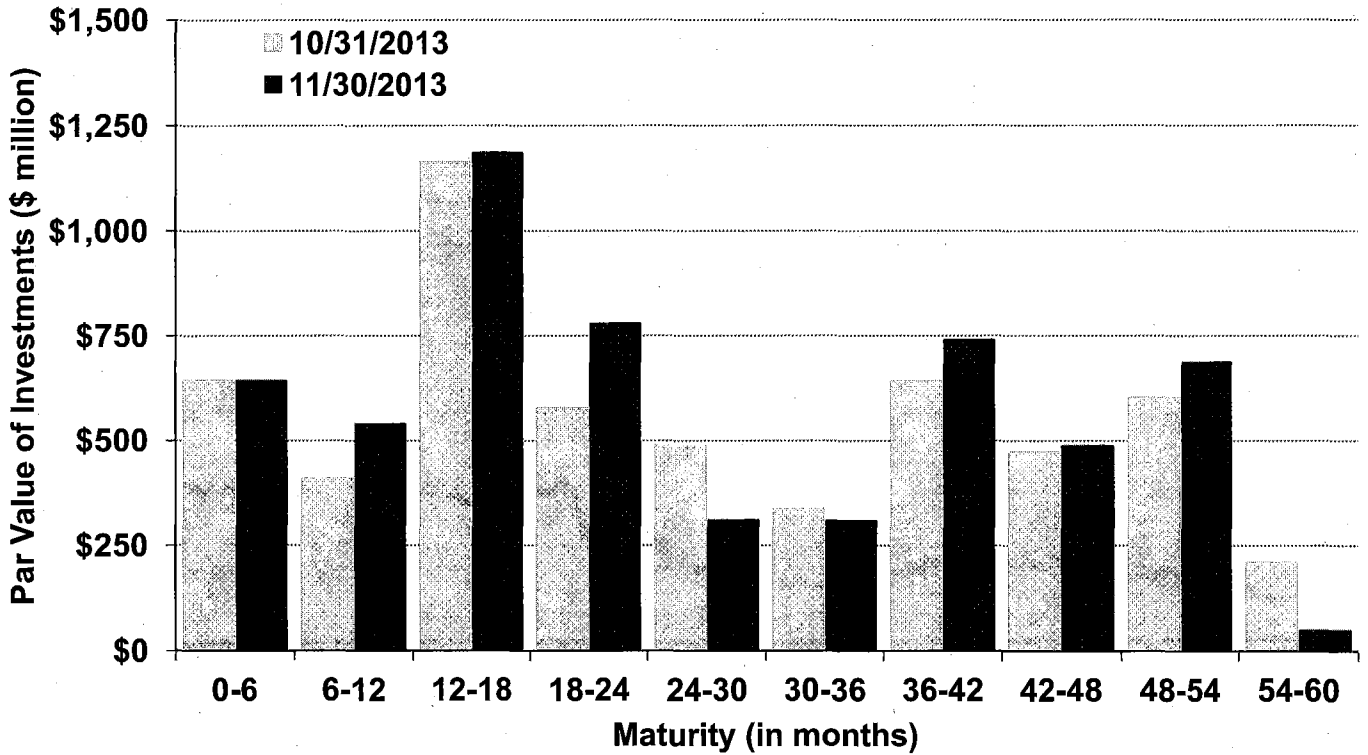
Totals may not add due to rounding.

\*\$5 million, or .09% of the pooled fund's assets, is a John Deere Capital Corp FRN (CUSIP: 24422ESA8) with maturity 1/12/15. As of the date of this report, the position has been sold through normal trading activity.

# Portfolio Analysis

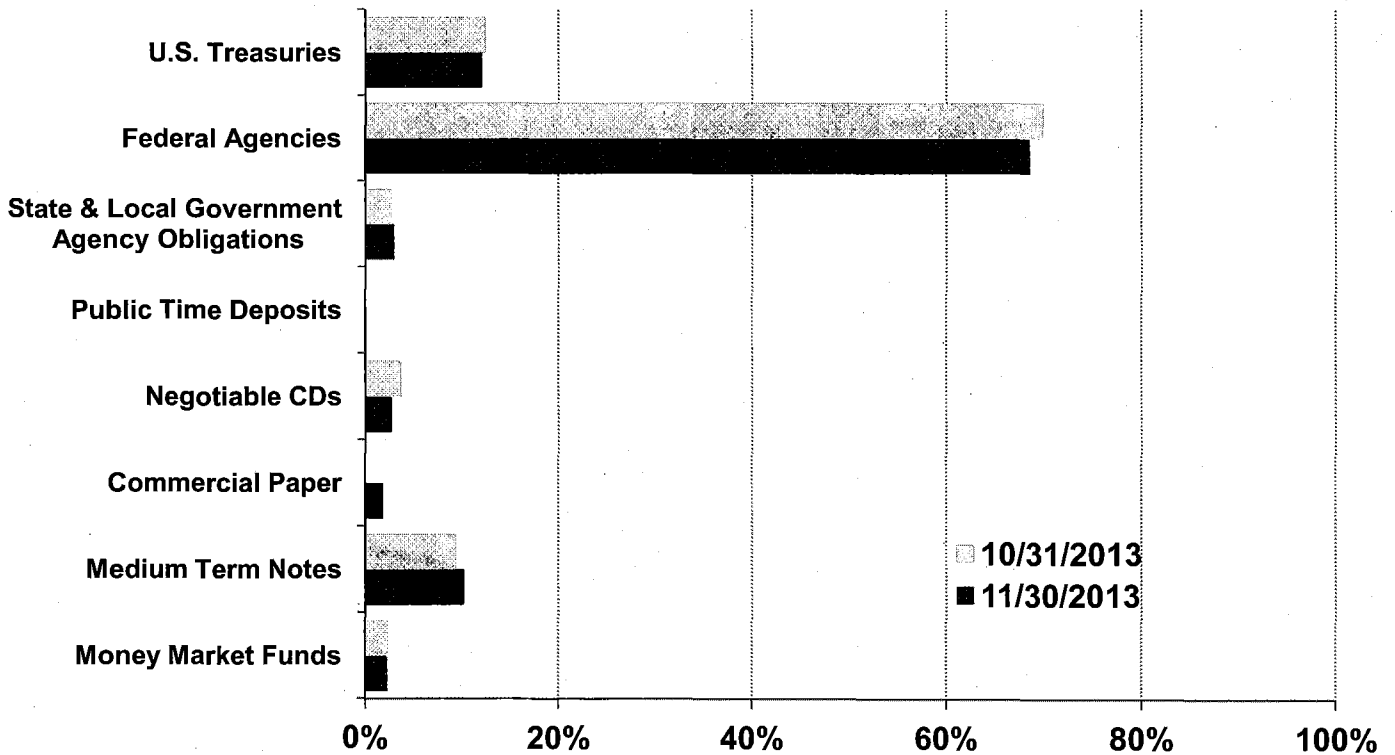
## Pooled Fund

### Par Value of Investments by Maturity



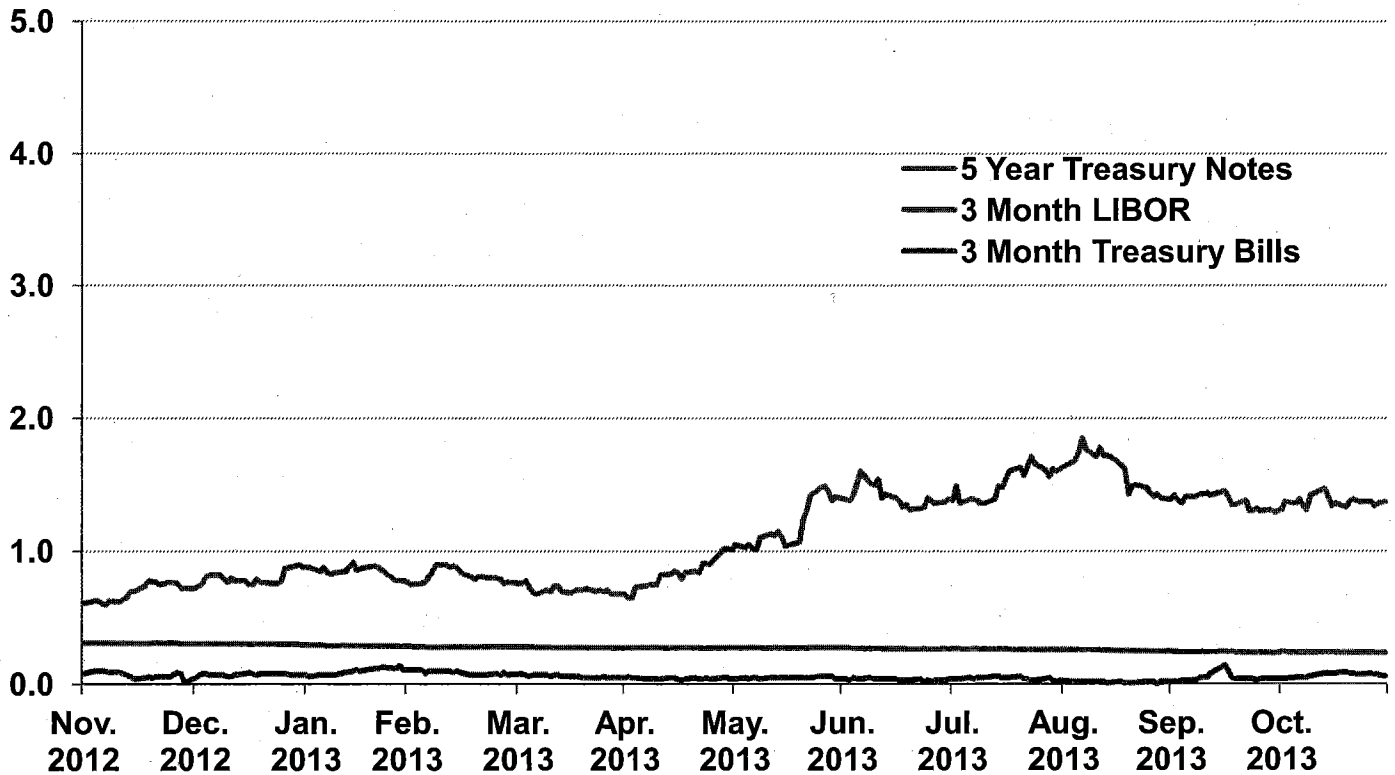
Callable bonds shown at maturity date.

### Asset Allocation by Market Value



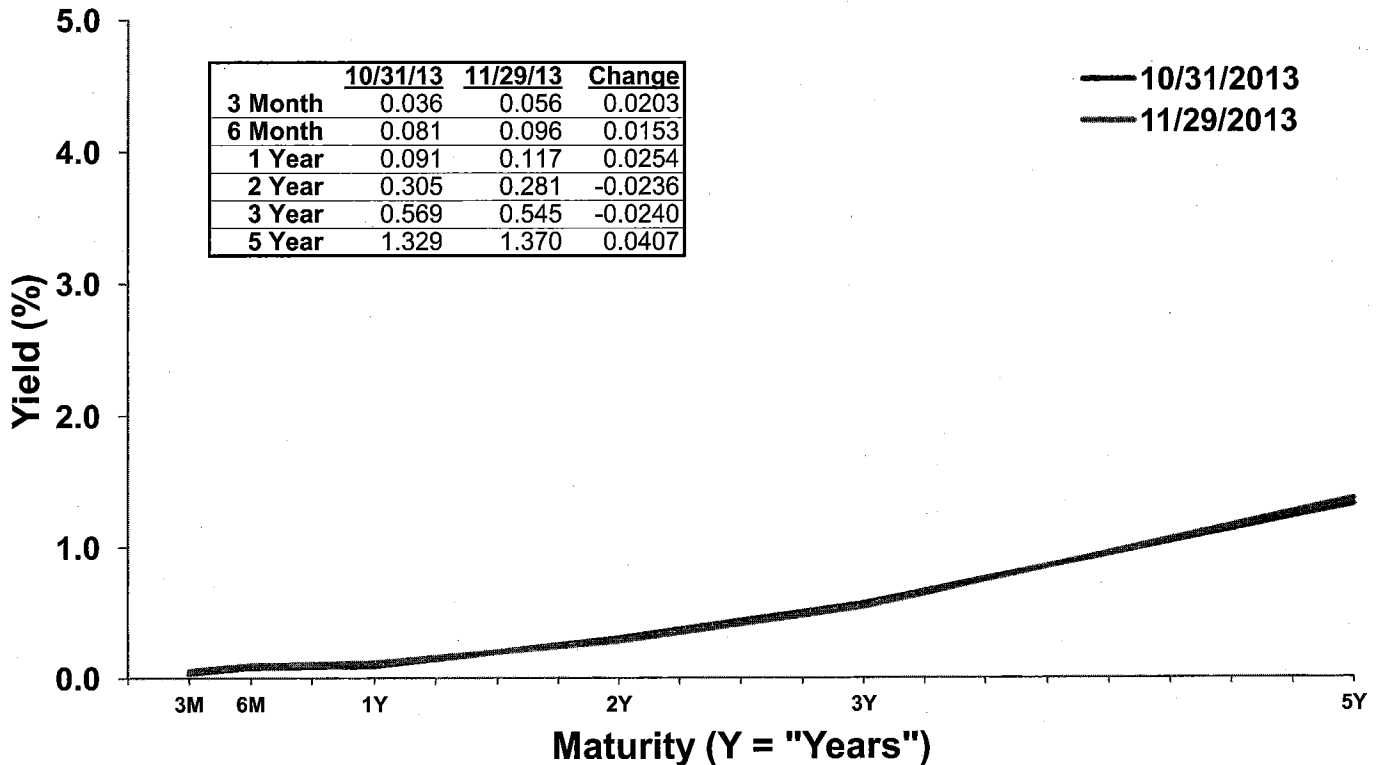
# Yield Curves

## Yields (%) on Benchmark Indices



Source: Bloomberg

## U.S. Treasury Yield Curves



Source: Bloomberg

# Investment Inventory

## Pooled Fund

As of November 30, 2013

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
U.S. Treasuries	912828PQ7	US TSY NT	6/1/11	1/15/14	0.13	1.00	\$ 25,000,000	\$ 25,226,563	\$ 25,010,631	\$ 25,027,250
U.S. Treasuries	912828LC2	US TSY NT	6/1/11	7/31/14	0.66	2.63	25,000,000	26,382,813	25,289,482	25,410,250
U.S. Treasuries	912828MW7	US TSY NT	2/24/12	3/31/15	1.32	2.50	50,000,000	53,105,469	51,331,700	51,517,500
U.S. Treasuries	912828PE4	US TSY NT	12/23/11	10/31/15	1.90	1.25	25,000,000	25,609,375	25,302,524	25,465,750
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	1.98	1.38	50,000,000	49,519,531	49,806,485	51,078,000
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	1.98	1.38	50,000,000	49,519,531	49,806,485	51,078,000
U.S. Treasuries	912828PJ3	US TSY NT	12/23/10	11/30/15	1.98	1.38	50,000,000	48,539,063	49,409,305	51,078,000
U.S. Treasuries	912828RJ1	US TSY NT	10/11/11	9/30/16	2.80	1.00	75,000,000	74,830,078	74,903,249	76,025,250
U.S. Treasuries	912828SJ0	US TSY NT	3/14/12	2/28/17	3.21	0.88	100,000,000	99,695,313	99,800,742	100,625,000
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	3.20	0.88	25,000,000	24,599,609	24,737,140	25,156,250
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	3.20	0.88	25,000,000	24,599,609	24,737,140	25,156,250
U.S. Treasuries	912828SM3	US TSY NT	4/4/12	3/31/17	3.28	1.00	50,000,000	49,835,938	49,890,505	50,465,000
U.S. Treasuries	912828TM2	US TSY NT	9/17/12	8/31/17	3.71	0.63	60,000,000	59,807,813	59,854,558	59,423,400
U.S. Treasuries	912828UE8	US TSY NT	1/4/13	12/31/17	4.02	0.75	50,000,000	49,886,719	49,907,298	49,453,000
U.S. Treasuries	912828UZ1	US TSY NT	5/24/13	4/30/18	4.36	0.63	25,000,000	24,699,219	24,731,100	24,435,500
<b>Subtotals</b>					<b>2.64</b>	<b>1.17</b>	<b>\$ 685,000,000</b>	<b>\$ 685,856,641</b>	<b>\$ 684,518,343</b>	<b>\$ 691,394,400</b>
Federal Agencies	31315PLT4	FARMER MAC	12/6/10	12/6/13	0.02	1.25	\$ 35,000,000	\$ 34,951,700	\$ 34,999,780	\$ 35,004,200
Federal Agencies	31331J6A6	FFCB	12/23/10	12/23/13	0.06	1.30	22,000,000	21,993,125	21,999,862	22,015,180
Federal Agencies	313371UC8	FHLB	11/18/10	12/27/13	0.07	0.88	40,000,000	39,928,000	39,998,351	40,021,200
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	0.01	0.26	25,000,000	24,985,000	24,998,727	25,009,000
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	0.01	0.26	25,000,000	24,992,500	24,999,364	25,009,000
Federal Agencies	313379RV3	FHLB FLT NT FF+12	6/1/11/12	3/11/14	0.01	0.20	50,000,000	49,986,700	49,997,915	50,024,000
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	11/10/10	3/21/14	0.30	1.35	24,500,000	24,564,827	24,500,000	24,591,875
Federal Agencies	31315PHX0	FARMER MAC MTN	4/10/12	6/5/14	0.51	3.15	14,080,000	14,878,195	14,268,886	14,280,077
Federal Agencies	3133XWE70	FHLB TAP	5/15/12	6/13/14	0.53	2.50	48,000,000	50,088,480	48,533,814	48,598,080
Federal Agencies	3133724E1	FHLB	12/31/10	6/30/14	0.58	1.21	50,000,000	50,000,000	50,000,000	50,306,000
Federal Agencies	3137EACU1	FHLMC BONDS	6/2/11	7/30/14	0.66	1.00	75,000,000	74,946,000	74,988,723	75,426,000
Federal Agencies	3134G2UA8	FHLMC NT	12/1/11	8/20/14	0.72	1.00	28,000,000	28,247,744	28,065,366	28,162,960
Federal Agencies	31398A3G5	FNMA EX-CALL NT	4/4/12	9/8/14	0.77	1.50	13,200,000	13,515,216	13,299,860	13,334,112
Federal Agencies	31315PRZ4	FARMER MAC MTN	4/9/13	10/1/14	0.84	0.24	18,000,000	17,996,400	17,997,973	18,009,180
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	12/12/11	11/21/14	0.01	0.47	26,500,000	26,523,585	26,507,789	26,607,325
Federal Agencies	31331J4S9	FFCB	12/16/10	12/8/14	1.01	1.40	24,000,000	23,988,000	23,996,928	24,296,400
Federal Agencies	31331J4S9	FFCB	12/8/10	12/8/14	1.01	1.40	19,000,000	18,956,680	18,988,970	19,234,650
Federal Agencies	313371W51	FHLB	12/8/10	12/12/14	1.02	1.25	75,000,000	74,391,000	74,843,697	75,741,750
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.01	2.75	25,400,000	26,848,308	25,767,949	26,076,402
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.01	2.75	2,915,000	3,079,668	2,956,835	2,992,626
Federal Agencies	3133XVNU1	FHLB	12/8/10	12/12/14	1.01	2.75	50,000,000	52,674,000	50,686,296	51,331,500
Federal Agencies	313371W93	FHLB	12/15/10	12/15/14	1.03	1.34	75,000,000	75,000,000	75,000,000	75,894,750
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	12/15/11	12/15/14	0.01	0.43	75,000,000	75,000,000	75,000,000	75,290,250
Federal Agencies	3135G0GM9	FNMA CALL NT	12/23/11	12/23/14	1.06	0.83	25,000,000	25,040,000	25,001,204	25,007,250
Federal Agencies	3135G0GM9	FNMA GLOBAL CALL	3/28/13	12/23/14	1.06	0.83	10,000,000	10,042,700	10,003,479	10,002,900
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.07	1.72	27,175,000	27,157,065	27,170,175	27,611,431
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.07	1.72	65,000,000	64,989,600	64,997,202	66,043,900
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	9/4/12	3/4/15	0.01	0.23	100,000,000	99,924,300	99,961,942	100,186,000
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	4/30/12	4/27/15	0.07	0.18	50,000,000	49,992,600	49,996,530	50,022,500
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	5/3/12	5/1/15	0.01	0.35	50,000,000	50,000,000	50,000,000	50,180,000

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	6/8/12	5/14/15	0.04	0.18	50,000,000	49,985,500	49,992,831	50,017,000
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	12/5/12	6/22/15	0.06	0.19	50,000,000	49,987,300	49,992,235	50,024,000
Federal Agencies	31315PDZ9	FAMCA	11/22/13	7/22/15	1.61	2.38	15,000,000	15,511,350	15,622,518	15,481,950
Federal Agencies	3133ECVW1	FFCB FLT NT T-BILL+14	8/5/13	8/5/15	0.01	0.21	62,500,000	62,487,500	62,489,521	62,526,875
Federal Agencies	31315PTR0	FARMER MAC MTN CALL	4/26/13	8/28/15	1.74	0.50	20,000,000	20,004,000	20,001,156	20,017,000
Federal Agencies	3137EACM9	FHLMC BONDS	12/15/10	9/10/15	1.75	1.75	50,000,000	49,050,000	49,644,162	51,285,000
Federal Agencies	313370JB5	FHLB	12/15/10	9/11/15	1.76	1.75	75,000,000	73,587,000	74,470,227	76,901,250
Federal Agencies	31315PGT0	FARMER MAC	9/15/10	9/15/15	1.76	2.13	45,000,000	44,914,950	44,969,585	46,418,400
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	4/16/13	9/18/15	0.01	0.23	50,000,000	50,000,000	50,000,000	50,039,000
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	4/24/13	9/18/15	0.01	0.23	16,200,000	16,198,073	16,198,558	16,212,636
Federal Agencies	31398A3T7	FNMA NT EX-CALL	10/14/11	9/21/15	1.78	2.00	25,000,000	25,881,000	25,403,741	25,759,000
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	11/30/12	9/22/15	0.06	0.20	27,953,000	27,941,120	27,945,358	27,968,374
Federal Agencies	31398A4M1	FNMA	12/15/10	10/26/15	1.88	1.63	25,000,000	24,317,500	24,733,302	25,615,000
Federal Agencies	31398A4M1	FNMA	12/23/10	10/26/15	1.88	1.63	42,000,000	40,924,380	41,577,783	43,033,200
Federal Agencies	3136G1LX5	FNMA NT CALL	5/15/13	11/13/15	1.95	0.32	24,610,000	24,610,000	24,610,000	24,613,199
Federal Agencies	31331J2S1	FFCB	12/15/10	11/16/15	1.94	1.50	25,000,000	24,186,981	24,676,512	25,582,750
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	5/8/13	11/19/15	0.05	0.17	25,000,000	24,997,000	24,997,671	25,000,750
Federal Agencies	313371ZY5	FHLB	12/3/10	12/11/15	1.99	1.88	25,000,000	24,982,000	24,992,737	25,805,000
Federal Agencies	313371ZY5	FHLB	12/14/10	12/11/15	1.99	1.88	50,000,000	49,871,500	49,947,839	51,610,000
Federal Agencies	3133ECP57	FFCB FLT NT 1ML+0	5/20/13	2/10/16	0.03	0.17	50,000,000	49,987,000	49,989,545	49,985,000
Federal Agencies	313375RN9	FHLB NT	4/13/12	3/11/16	2.26	1.00	22,200,000	22,357,620	22,291,724	22,499,256
Federal Agencies	3133EAJU3	FFCB NT	4/12/12	3/28/16	2.30	1.05	25,000,000	25,220,750	25,129,458	25,378,000
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	4/1/13	4/1/16	0.00	0.17	50,000,000	50,000,000	50,000,000	49,972,500
Federal Agencies	3133792Z1	FHLB NT	4/18/12	4/18/16	2.36	0.81	20,000,000	19,992,200	19,995,361	20,158,000
Federal Agencies	3133ECWT7	FFCB SA	11/20/13	5/9/16	2.44	0.65	22,650,000	22,746,489	22,749,810	22,729,502
Federal Agencies	3135G0RZ8	FNMA CALL NT	11/30/12	5/26/16	2.48	0.55	22,540,000	22,540,000	22,540,000	22,532,562
Federal Agencies	31315PB73	FAMCA NT	2/9/12	6/9/16	2.49	0.90	10,000,000	10,000,000	10,000,000	10,128,900
Federal Agencies	313771AA5	FHLB SUB NT	5/20/13	6/13/16	2.35	5.63	16,925,000	19,472,890	19,029,284	18,993,574
Federal Agencies	313771AA5	FHLB SUB NT	5/30/13	6/13/16	2.35	5.63	14,195,000	16,259,095	15,915,079	15,929,913
Federal Agencies	31315PA25	FAMCA NT	7/27/11	7/27/16	2.59	2.00	15,000,000	14,934,750	14,965,393	15,547,050
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.59	2.00	14,100,000	14,735,205	14,604,933	14,614,227
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.59	2.00	11,900,000	12,440,498	12,329,649	12,333,993
Federal Agencies	31315PQB8	FAMCA NT	10/29/13	9/1/16	2.70	1.50	7,000,000	7,156,240	7,168,190	7,173,040
Federal Agencies	313370TW8	FHLB BD	10/11/11	9/9/16	2.70	2.00	25,000,000	25,727,400	25,410,505	25,954,000
Federal Agencies	3134G3P38	FHLMC NT CALL	12/14/12	10/5/16	2.82	0.75	75,000,000	75,071,250	75,006,444	75,039,750
Federal Agencies	3134G4HK7	FHLMC CALL STEP NT	10/24/13	10/24/16	2.88	0.50	25,000,000	25,000,000	25,000,000	25,037,750
Federal Agencies	3136G1WP0	FNMA CALL NT	11/4/13	11/4/16	2.87	1.50	18,000,000	18,350,460	18,337,498	18,362,340
Federal Agencies	313381GA7	FHLB NT	11/30/12	11/30/16	2.98	0.57	23,100,000	23,104,389	23,103,290	23,044,098
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.05	0.63	13,500,000	13,500,000	13,500,000	13,467,870
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.05	0.63	9,000,000	9,000,000	9,000,000	8,978,580
Federal Agencies	3136FTUZ0	FNMA CALL NT	12/30/11	12/30/16	3.01	1.40	50,000,000	49,975,000	49,984,606	50,043,500
Federal Agencies	3134G33C2	FHLMC NT	1/3/13	1/3/17	3.06	0.60	50,000,000	50,000,000	50,000,000	49,856,000
Federal Agencies	3133ECB37	FFCB NT	12/20/12	11/12/17	3.09	0.58	14,000,000	14,000,000	14,000,000	13,969,760
Federal Agencies	31315PWW5	FARMER MAC MTN	5/4/12	1/17/17	3.08	1.01	49,500,000	49,475,250	49,483,543	50,037,570
Federal Agencies	3136FTL31	FNMA STEP BD CALL	4/30/12	2/7/17	3.15	0.75	30,765,000	30,872,678	30,776,299	30,789,612
Federal Agencies	3133786Q9	FHLB NT	1/10/13	2/13/17	3.15	1.00	67,780,000	68,546,456	68,379,835	68,516,091
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.23	0.88	14,845,000	14,698,035	14,748,715	14,891,613
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.23	0.88	55,660,000	55,157,087	55,330,515	55,834,772
Federal Agencies	31315PTQ2	FARMER MAC MTN	4/10/12	4/10/17	3.30	1.26	12,500,000	12,439,250	12,459,212	12,640,125
Federal Agencies	3133ECLL6	FFCB NT	4/17/13	4/17/17	3.35	0.60	10,000,000	10,000,000	10,000,000	9,933,100

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Federal Agencies	3136G0CC3	FNMA STRNT	4/18/12	4/18/17	3.34	0.85	30,000,000	30,000,000	30,000,000	30,081,600
Federal Agencies	31315PUQ0	FARMER MAC MTN	4/26/12	4/26/17	3.35	1.13	10,500,000	10,500,000	10,500,000	10,584,105
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	5/9/12	5/9/17	3.41	0.50	25,000,000	25,000,000	25,000,000	24,982,750
Federal Agencies	3137EADF3	FHLMC NT	5/14/12	5/12/17	3.39	1.25	25,000,000	25,133,000	25,091,729	25,359,250
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	6/11/12	5/23/17	3.44	0.85	50,000,000	50,290,500	50,070,684	50,132,000
Federal Agencies	31315PZQ5	FARMER MAC MTN	12/28/12	6/5/17	3.44	1.11	9,000,000	9,122,130	9,096,649	9,065,070
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	6/19/12	6/19/17	0.01	0.31	50,000,000	50,000,000	50,000,000	50,169,500
Federal Agencies	3133ECV92	FFCB FLT NT 1ML+4	7/24/13	7/24/17	0.07	0.21	50,000,000	50,000,000	50,000,000	49,934,500
Federal Agencies	3133ECVG6	FFCB FLT NT 3ML+0	8/5/13	7/26/17	0.16	0.24	23,520,000	23,520,000	23,520,000	23,456,731
Federal Agencies	3136G0ZA2	FNMA STEP NT	9/12/12	9/12/17	3.73	0.75	15,000,000	15,000,000	15,000,000	15,002,700
Federal Agencies	3136G0B59	FNMA STEP NT	9/20/12	9/20/17	3.76	0.70	64,750,000	64,750,000	64,750,000	64,696,905
Federal Agencies	3136G0D81	FNMA STEP NT	9/27/12	9/27/17	3.78	0.72	100,000,000	100,000,000	100,000,000	99,974,000
Federal Agencies	3136G0Y39	FNMA STEP NT	11/8/12	11/8/17	3.90	0.63	50,000,000	50,000,000	50,000,000	49,565,000
Federal Agencies	3134G44F2	FHLMC CALL MTN	5/21/13	11/21/17	3.92	0.80	50,000,000	50,000,000	50,000,000	49,224,000
Federal Agencies	3135G0RT2	FNMA NT	1/10/13	12/20/17	3.98	0.88	50,000,000	49,917,500	49,932,355	49,523,000
Federal Agencies	3135G0RT2	FNMA GLOBAL	1/29/13	12/20/17	3.98	0.88	50,000,000	49,645,370	49,706,130	49,523,000
Federal Agencies	3136G13T4	FNMA STEP NT	12/26/12	12/26/17	4.01	0.75	39,000,000	39,000,000	39,000,000	39,018,330
Federal Agencies	3136G13Q0	FNMA STEP NT	12/26/12	12/26/17	4.01	0.75	29,000,000	29,000,000	29,000,000	28,895,310
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	3.96	1.25	33,600,000	33,991,272	33,748,068	33,615,456
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	3.96	1.25	50,000,000	50,605,000	50,228,949	50,023,000
Federal Agencies	3134G32M1	FHLMC CALL NT	12/28/12	12/28/17	3.99	1.00	50,000,000	50,000,000	50,000,000	49,273,000
Federal Agencies	3136G1FK0	FNMA NT CALL	3/13/13	3/13/18	4.15	1.60	21,500,000	21,744,240	21,568,253	21,579,120
Federal Agencies	3136G1GG8	FNMA NT CALL	3/19/13	3/19/18	4.17	1.50	17,900,000	18,079,000	17,952,964	17,960,681
Federal Agencies	3136G1J67	FNMA NT CALL	4/9/13	4/9/18	4.23	1.50	25,000,000	25,249,000	25,088,003	24,999,250
Federal Agencies	3136G1KN8	FNMA NT CALL	4/24/13	4/24/18	4.27	1.50	50,000,000	50,903,000	50,629,626	50,182,000
Federal Agencies	3136G1K81	FNMA NT STEP	4/30/13	4/30/18	4.35	0.75	12,600,000	12,600,000	12,600,000	12,457,746
Federal Agencies	31315PZM4	FARMER MAC STEP NT	5/3/13	5/3/18	4.36	0.70	24,600,000	24,600,000	24,600,000	24,394,344
Federal Agencies	313382XK4	FHLB STEP NT	5/7/13	5/7/18	4.39	0.50	25,000,000	25,000,000	25,000,000	24,808,500
Federal Agencies	3133ECPB4	FFCB NT	5/23/13	5/14/18	4.38	0.88	10,000,000	9,934,600	9,941,511	9,778,100
Federal Agencies	313383AS0	FHLB NT CALL	5/21/13	5/21/18	4.35	1.40	50,000,000	50,374,000	50,334,265	50,006,000
Federal Agencies	3135G0WJ8	FNMA NT	5/23/13	5/21/18	4.40	0.88	25,000,000	24,786,500	24,808,974	24,531,750
Federal Agencies	3133834P3	FHLB STEP NT	5/22/13	5/22/18	4.43	0.50	50,000,000	50,000,000	50,000,000	49,397,500
Federal Agencies	3136G1WF2	FNMA STEP NT	10/30/13	10/30/18	4.81	1.00	25,000,000	25,000,000	25,000,000	25,020,250
Federal Agencies	3136G1XY0	FNMA CALL	11/27/13	11/27/18	4.75	2.25	25,000,000	25,327,000	25,322,209	25,257,000
<b>Subtotals</b>					<b>2.00</b>	<b>1.03</b>	<b>\$ 3,932,163,000</b>	<b>\$ 3,945,950,650</b>	<b>\$ 3,940,512,608</b>	<b>\$ 3,957,064,378</b>

State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	3/29/12	3/15/14	0.29	2.61	\$ 15,000,000	\$ 15,606,300	\$ 15,088,066	\$ 15,098,100
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	0.29	2.61	11,115,000	11,542,594	11,183,945	11,187,692
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	0.29	2.61	8,150,000	8,463,531	8,200,554	8,203,301
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	4/29/13	3/15/14	0.29	2.61	2,000,000	2,040,000	2,013,000	2,013,080
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/2/12	4/1/14	0.33	5.25	2,820,000	3,044,359	2,858,838	2,863,879
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	4/8/13	4/1/14	0.33	5.25	10,000,000	10,469,000	10,158,517	10,155,600
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/3/13	4/1/14	0.33	5.25	7,270,000	7,590,971	7,386,629	7,383,121
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7/29/13	4/1/14	0.33	5.25	1,250,000	1,289,350	1,269,355	1,269,450
State/Local Agencies	13063CEA4	CALIFORNIA ST RAN	8/22/13	5/28/14	0.49	2.00	27,000,000	27,368,820	27,235,305	27,243,810
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	7/24/12	8/1/14	0.67	0.75	1,125,000	1,125,000	1,125,000	1,124,415
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	5/7/13	8/1/14	0.67	0.43	310,000	310,000	310,000	310,040
State/Local Agencies	64966DPC7	NEW YORK CITY GO	6/7/12	11/1/14	0.91	4.75	8,000,000	8,774,720	8,295,931	8,304,000
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/15	1.16	0.85	10,000,000	10,038,000	10,024,003	10,019,600

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized			
			Date	Date					Book Value	Market Value		
State/Local Agencies	64966DPC7	NEW YORK CITY GO	6/7/12	11/1/14	0.91	4.75	8,000,000	8,774,720	8,295,931	8,304,000		
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/15	1.16	0.85	10,000,000	10,038,000	10,024,003	10,019,600		
State/Local Agencies	649791JS0	NEW YORK ST TAXABLE GO	3/21/13	3/1/15	1.25	0.39	4,620,000	4,619,076	4,619,408	4,618,244		
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BC	3/14/13	5/15/15	1.46	0.39	5,000,000	5,000,000	5,000,000	4,973,550		
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	5/7/13	8/1/15	1.66	0.63	315,000	315,000	315,000	315,372		
State/Local Agencies	64966GXS6	NEW YORK CITY TAXABLE GO	4/1/13	12/1/15	1.89	5.13	12,255,000	13,700,477	13,338,366	13,324,371		
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/16	2.15	1.05	11,000,000	11,037,180	11,028,287	11,072,820		
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	5/7/13	8/1/16	2.63	0.98	2,670,000	2,670,000	2,670,000	2,668,585		
State/Local Agencies	797712AD8	SFRDA SOUTH BEACH HARBOR	1/20/12	12/1/16	2.83	3.50	4,500,000	4,500,000	4,500,000	4,500,000		
State/Local Agencies	13063CFC9	CALIFORNIA ST GO BD	11/5/13	11/1/17	3.80	1.75	16,500,000	16,558,905	16,557,854	16,612,035		
<b>Subtotals</b>							<b>1.20</b>	<b>2.72</b>	<b>\$ 160,900,000</b>	<b>\$ 166,063,283</b>	<b>\$ 163,178,056</b>	<b>\$ 163,261,066</b>
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	2/7/13	2/7/14	0.19	0.49	\$ 240,000	\$ 240,000	\$ 240,000	\$ 240,000		
Public Time Deposits		BANK OF SAN FRANCISCO PTD	4/9/13	4/9/14	0.36	0.47	240,000	240,000	240,000	240,000		
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PT	4/9/13	4/9/14	0.36	0.48	240,000	240,000	240,000	240,000		
<b>Subtotals</b>							<b>0.30</b>	<b>0.48</b>	<b>\$ 720,000</b>	<b>\$ 720,000</b>	<b>\$ 720,000</b>	<b>\$ 720,000</b>
Negotiable CDs	78009NMC7	RBC YCD FF+22	3/26/13	3/26/14	0.01	0.31	\$ 75,000,000	\$ 75,000,000	\$ 75,000,000	\$ 75,042,273		
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	6/24/13	6/24/14	0.07	0.28	25,000,000	25,000,000	25,000,000	25,005,146		
Negotiable CDs	06417FB58	BANK OF NOVA SCOTIA YCD 3ML+1	7/17/13	1/20/15	0.13	0.42	50,000,000	50,000,000	50,000,000	50,000,000		
<b>Subtotals</b>							<b>0.06</b>	<b>0.34</b>	<b>\$ 150,000,000</b>	<b>\$ 150,000,000</b>	<b>\$ 150,000,000</b>	<b>\$ 150,047,419</b>
Commercial Paper	06538BZP1	BANK OF TOKYO-MITSUBISHI CP	11/22/13	12/23/13	0.06	0.00	\$ 50,000,000	\$ 49,992,250	\$ 49,992,250	\$ 49,995,722		
Commercial Paper	06538BZP1	BANK OF TOKYO-MITSUBISHI CP	11/22/13	12/23/13	0.06	0.00	50,000,000	49,992,250	49,992,250	49,995,722		
<b>Subtotals</b>							<b>0.04</b>	<b>0.00</b>	<b>\$ 100,000,000</b>	<b>\$ 99,984,500</b>	<b>\$ 99,984,500</b>	<b>\$ 99,991,444</b>
Medium Term Notes	78008KNA7	RBC MTN	1/30/13	1/15/14	0.13	1.13	\$ 30,580,000	\$ 30,820,022	\$ 30,610,860	\$ 30,612,721		
Medium Term Notes	46623ECT4	JP MORGAN CHASE MTN	3/13/13	1/15/14	0.13	5.38	12,345,000	12,864,725	12,420,934	12,416,231		
Medium Term Notes	46623EJE0	JPMORGAN CHASE MTN	3/1/13	1/24/14	0.15	2.05	32,755,000	33,245,310	32,835,476	32,841,146		
Medium Term Notes	46623EJE0	JP MORGAN CHASE MTN	3/13/13	1/24/14	0.15	2.05	2,050,000	2,080,094	2,055,126	2,055,392		
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.42	3.63	6,500,000	6,720,350	6,589,927	6,589,310		
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.42	3.63	5,000,000	5,169,500	5,069,174	5,068,700		
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	5/2/13	5/2/14	0.04	1.00	27,475,000	27,669,221	27,555,881	27,460,988		
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN	8/2/13	5/2/14	0.04	1.00	20,000,000	20,106,250	20,059,158	19,989,800		
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	4/9/13	6/9/14	0.51	5.65	25,000,000	26,515,000	25,675,704	25,690,500		
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	11/13/12	6/10/14	0.52	5.13	10,000,000	10,725,948	10,241,561	10,246,800		
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3/27/13	7/30/14	0.67	0.27	3,000,000	3,000,630	3,000,310	3,000,690		
Medium Term Notes	78008TXA7	RBC MTN	11/1/13	10/30/14	0.91	1.45	10,000,000	10,117,152	10,107,873	10,107,200		
Medium Term Notes	459200GZ8	IBM MTN	11/5/13	10/31/14	0.91	0.88	31,814,000	32,008,702	31,998,506	31,988,341		
Medium Term Notes	36962G4G6	GE CAPITAL CORP MTN	8/7/13	11/14/14	0.95	3.75	2,920,000	3,039,340	3,009,505	3,014,988		
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	1/28/13	12/5/14	0.01	0.43	10,000,000	10,004,700	10,002,566	10,012,000		
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	1/10/13	1/9/15	0.11	0.62	25,000,000	25,000,000	25,000,000	25,081,250		
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	7/12/13	1/9/15	1.09	2.15	87,824,000	89,617,366	89,166,695	89,506,708		
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	8/7/13	1/9/15	1.09	2.15	4,820,000	4,926,667	4,910,932	4,912,351		
Medium Term Notes	24422ESA8	DE FLOAT 01-12-15	11/21/13	1/12/15	0.12	0.31	5,000,000	5,002,600	5,004,149	4,998,950		
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	1/22/13	1/22/15	1.14	0.50	100,000,000	100,000,000	100,000,000	93,297,000		
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	1/23/13	1/23/15	0.15	0.41	35,000,000	35,000,000	35,000,000	35,054,600		
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	2/4/13	2/4/15	1.18	0.99	25,000,000	25,000,000	25,000,000	24,755,250		
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3MI	4/12/13	4/8/15	0.11	0.39	50,000,000	50,000,000	50,000,000	50,069,500		
Medium Term Notes	36962G5Z3	GE CAPITAL CORP MTN	8/19/13	7/2/15	1.57	1.63	5,000,000	5,075,250	5,074,383	5,078,100		

## Investment Inventory Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Medium Term Notes	36962G4M3	GE CORP MTN FLT	11/25/13	7/9/15	0.11	0.99	8,565,000	8,624,955	8,635,218	8,608,853
Medium Term Notes	89233P6J0	TOYOTA MTN	11/15/13	7/17/15	1.62	0.88	10,000,000	10,072,000	10,098,789	10,068,700
Medium Term Notes	594918AG9	MICROSOFT MTN	10/30/13	9/25/15	1.80	1.63	3,186,000	3,260,266	3,261,880	3,260,425
<b>Subtotals</b>					<b>0.62</b>	<b>1.49</b>	<b>\$ 588,834,000</b>	<b>\$ 595,666,047</b>	<b>\$ 592,384,606</b>	<b>\$ 585,786,491</b>
Money Market Funds	61747C707	MS INSTL GOVT FUND	11/29/13	12/2/13	0.00	0.04	\$ 75,066,087	\$ 75,066,087	\$ 75,066,087	\$ 75,066,087
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	11/29/13	12/2/13	0.00	0.01	25,000,000	25,000,000	25,000,000	25,000,000
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	11/29/13	12/2/13	0.00	0.01	25,001,850	25,001,850	25,001,850	25,001,850
<b>Subtotals</b>					<b>0.00</b>	<b>0.03</b>	<b>\$ 125,067,937</b>	<b>\$ 125,067,937</b>	<b>\$ 125,067,937</b>	<b>\$ 125,067,937</b>
<b>Grand Totals</b>					<b>1.79</b>	<b>1.08</b>	<b>\$ 5,742,684,937</b>	<b>\$ 5,769,309,057</b>	<b>\$ 5,756,366,050</b>	<b>\$ 5,773,333,135</b>



# Monthly Investment Earnings

## Pooled Fund

For month ended November 30, 2013

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle	Maturity	Earned	Amort.	Realized	Earned Income
						Date	Date	Interest	Expense	Gain/(Loss)	/Net Earnings
U.S. Treasuries	912828PQ7	US TSY NT	\$ 25,000,000	1.00	0.65	6/1/11	1/15/14	\$ 20,380	\$ (7,087)	\$ -	\$ 13,293
U.S. Treasuries	912828LC2	US TSY NT	25,000,000	2.63	0.85	6/1/11	7/31/14	53,499	(35,886)	-	17,613
U.S. Treasuries	912828MW7	US TSY NT	50,000,000	2.50	0.48	2/24/12	3/31/15	103,022	(82,373)	-	20,649
U.S. Treasuries	912828PE4	US TSY NT	25,000,000	1.25	0.61	12/23/11	10/31/15	25,898	(12,984)	-	12,914
U.S. Treasuries	912828P3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	56,363	7,964	-	64,326
U.S. Treasuries	912828P3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	56,363	7,964	-	64,326
U.S. Treasuries	912828P3	US TSY NT	50,000,000	1.38	2.00	12/23/10	11/30/15	56,363	24,308	-	80,671
U.S. Treasuries	912828R1	US TSY NT	75,000,000	1.00	1.05	10/11/11	9/30/16	61,813	2,807	-	64,620
U.S. Treasuries	912828S0	US TSY NT	100,000,000	0.88	0.94	3/14/12	2/28/17	72,514	5,045	-	77,558
U.S. Treasuries	912828S0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	18,128	6,655	-	24,783
U.S. Treasuries	912828S0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	18,128	6,655	-	24,783
U.S. Treasuries	912828SM3	US TSY NT	50,000,000	1.00	1.07	4/4/12	3/31/17	41,209	2,701	-	43,910
U.S. Treasuries	912828TM2	US TSY NT	60,000,000	0.63	0.69	9/17/12	8/31/17	31,077	3,187	-	34,265
U.S. Treasuries	912828UE8	US TSY NT	50,000,000	0.75	0.80	1/4/13	12/31/17	30,571	1,865	-	32,436
U.S. Treasuries	912828UZ1	US TSY NT	25,000,000	0.63	0.87	5/24/13	4/30/18	12,949	5,007	-	17,956
<b>Subtotals</b>			<b>\$ 685,000,000</b>					<b>\$ 658,277</b>	<b>\$ (64,173)</b>	<b>\$ -</b>	<b>\$ 594,104</b>
Federal Agencies	31315PLT4	FARMER MAC	\$ 35,000,000	1.25	1.30	12/6/10	12/6/13	\$ 36,458	\$ 1,322	\$ -	\$ 37,780
Federal Agencies	31331J6A6	FFCB	22,000,000	1.30	1.31	12/23/10	12/23/13	23,833	188	-	24,022
Federal Agencies	313371UC8	FHLB	40,000,000	0.88	0.93	11/18/10	12/27/13	29,167	1,903	-	31,070
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.26	0.40	3/4/11	3/4/14	5,373	411	-	5,784
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.26	0.33	3/4/11	3/4/14	5,373	205	-	5,578
Federal Agencies	313379RV3	FHLB FLT NT FF+12	50,000,000	0.20	0.28	6/11/12	3/11/14	8,514	625	-	9,139
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	24,500,000	1.35	1.27	11/10/10	3/21/14	27,563	-	-	27,563
Federal Agencies	31315PHX0	FARMER MAC MTN	14,080,000	3.15	0.50	4/10/12	6/5/14	36,960	(30,465)	-	6,495
Federal Agencies	3133XWE70	FHLB TAP	48,000,000	2.50	0.40	5/15/12	6/13/14	100,000	(82,549)	-	17,451
Federal Agencies	3133724E1	FHLB	50,000,000	1.21	1.21	12/31/10	6/30/14	50,417	-	-	50,417
Federal Agencies	3137EACU1	FHLMC BONDS	75,000,000	1.00	1.02	6/2/11	7/30/14	62,500	1,404	-	63,904
Federal Agencies	3134G2UA8	FHLMC NT	28,000,000	1.00	0.67	12/1/11	8/20/14	23,333	(7,485)	-	15,849
Federal Agencies	31398A3G5	FNMA EX-CALL NT	13,200,000	1.50	0.51	4/4/12	9/8/14	16,500	(10,661)	-	5,839
Federal Agencies	31315PRZ4	FARMER MAC MTN	18,000,000	0.24	0.26	4/9/13	10/1/14	3,638	200	-	3,838
Federal Agencies	3136FTFR8	FNMA FLT QTR FF+39	26,500,000	0.47	0.39	12/12/11	11/21/14	10,423	(658)	-	9,765
Federal Agencies	31331J4S9	FFCB	24,000,000	1.40	1.41	12/16/10	12/8/14	28,000	248	-	28,248
Federal Agencies	31331J4S9	FFCB	19,000,000	1.40	1.46	12/8/10	12/8/14	22,167	890	-	23,056
Federal Agencies	313371W51	FHLB	75,000,000	1.25	1.46	12/8/10	12/12/14	78,125	12,471	-	90,596
Federal Agencies	3133XVNU1	FHLB	25,400,000	2.75	1.30	11/23/10	12/12/14	58,208	(29,358)	-	28,851
Federal Agencies	3133XVNU1	FHLB	2,915,000	2.75	1.31	11/23/10	12/12/14	6,680	(3,338)	-	3,342
Federal Agencies	3133XVNU1	FHLB	50,000,000	2.75	1.37	12/8/10	12/12/14	114,583	(54,758)	-	59,826
Federal Agencies	313371W93	FHLB	75,000,000	1.34	1.34	12/15/10	12/15/14	83,750	-	-	83,750
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	75,000,000	0.43	0.43	12/15/11	12/15/14	27,146	-	-	27,146
Federal Agencies	3135G0GM9	FNMA CALL NT	25,000,000	0.83	0.77	12/23/11	12/23/14	17,188	(1,642)	-	15,546
Federal Agencies	3135G0GM9	FNMA GLOBAL CALL	10,000,000	0.83	0.58	3/28/13	12/23/14	6,875	(4,744)	-	2,131
Federal Agencies	31331J6Q1	FFCB	27,175,000	1.72	1.74	12/29/10	12/29/14	38,951	368	-	39,319
Federal Agencies	31331J6Q1	FFCB	65,000,000	1.72	1.72	12/29/10	12/29/14	93,167	214	-	93,380
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	100,000,000	0.23	0.28	9/4/12	3/4/15	18,817	2,493	-	21,310
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	50,000,000	0.18	0.19	4/30/12	4/27/15	7,458	203	-	7,662
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	50,000,000	0.35	0.35	5/3/12	5/1/15	14,389	-	-	14,389

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	50,000,000	0.18	0.20	6/8/12	5/14/15	7,438	407	-	7,844
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	50,000,000	0.19	0.20	12/5/12	6/22/15	7,771	410	-	8,181
Federal Agencies	31315PDZ9	FAMCA	15,000,000	2.38	0.32	11/22/13	7/22/15	8,906	(7,582)	-	1,324
Federal Agencies	3133ECVW1	FFCB FLT NT T-BILL+14	62,500,000	0.21	0.22	8/5/13	8/5/15	10,582	514	-	11,096
Federal Agencies	31315PTR0	FARMER MAC MTN CALL	20,000,000	0.50	0.49	4/26/13	8/28/15	8,333	(390)	-	7,944
Federal Agencies	3137EACM9	FHLMC BONDS	50,000,000	1.75	2.17	12/15/10	9/10/15	72,917	16,474	-	89,391
Federal Agencies	313370JB5	FHLB	75,000,000	1.75	2.31	12/15/10	9/11/15	109,375	24,489	-	133,864
Federal Agencies	31315PGT0	FARMER MAC	45,000,000	2.13	2.17	9/15/10	9/15/15	79,688	1,397	-	81,085
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	50,000,000	0.23	0.23	4/16/13	9/18/15	9,342	-	-	9,342
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	16,200,000	0.23	0.23	4/24/13	9/18/15	3,027	66	-	3,093
Federal Agencies	31398A3T7	FNMA NT EX-CALL	25,000,000	2.00	1.08	10/14/11	9/21/15	41,667	(18,380)	-	23,287
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	27,953,000	0.20	0.22	11/30/12	9/22/15	4,589	347	-	4,936
Federal Agencies	31398A4M1	FNMA	25,000,000	1.63	2.22	12/15/10	10/26/15	33,854	11,529	-	45,383
Federal Agencies	31398A4M1	FNMA	42,000,000	1.63	2.19	12/23/10	10/26/15	56,875	18,251	-	75,126
Federal Agencies	3136G1LX5	FNMA NT CALL	24,610,000	0.32	0.32	5/15/13	11/13/15	6,563	-	-	6,563
Federal Agencies	31331J2S1	FFCB	25,000,000	1.50	2.20	12/15/10	11/16/15	31,250	13,573	-	44,823
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	25,000,000	0.17	0.17	5/8/13	11/19/15	3,490	97	-	3,587
Federal Agencies	313371ZY5	FHLB	25,000,000	1.88	1.89	12/3/10	12/11/15	39,063	294	-	39,357
Federal Agencies	313371ZY5	FHLB	50,000,000	1.88	1.93	12/14/10	12/11/15	78,125	2,115	-	80,240
Federal Agencies	3133ECP57	FFCB FLT NT 1ML+0	50,000,000	0.17	0.19	5/20/13	2/10/16	7,250	392	-	7,642
Federal Agencies	313375RN9	FHLB NT	22,200,000	1.00	0.82	4/13/12	3/11/16	18,500	(3,311)	-	15,189
Federal Agencies	3133EAJU3	FFCB NT	25,000,000	1.05	0.82	4/12/12	3/28/16	21,875	(4,580)	-	17,295
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	50,000,000	0.17	0.17	4/1/13	4/1/16	7,233	-	-	7,233
Federal Agencies	313379Z31	FHLB NT	20,000,000	0.81	0.82	4/18/12	4/18/16	13,500	160	-	13,660
Federal Agencies	3133ECWT7	FFCB SA	22,650,000	0.65	0.48	11/20/13	5/9/16	4,499	(1,178)	-	3,321
Federal Agencies	3135G0RZ8	FNMA CALL NT	22,540,000	0.55	0.55	11/30/12	5/26/16	10,331	-	-	10,331
Federal Agencies	31315PB73	FAMCA NT	10,000,000	0.90	0.90	2/9/12	6/9/16	7,500	-	-	7,500
Federal Agencies	313771AA5	FHLB SUB NT	16,925,000	5.63	0.65	5/20/13	6/13/16	79,336	(68,247)	-	11,089
Federal Agencies	313771AA5	FHLB SUB NT	14,195,000	5.63	0.77	5/30/13	6/13/16	66,539	(55,786)	-	10,753
Federal Agencies	31315PA25	FAMCA NT	15,000,000	2.00	2.09	7/27/11	7/27/16	25,000	1,071	-	26,071
Federal Agencies	31315PA25	FAMCA MTN	14,100,000	2.00	0.63	3/26/13	7/27/16	23,500	(15,633)	-	7,867
Federal Agencies	31315PA25	FAMCA MTN	11,900,000	2.00	0.62	3/26/13	7/27/16	19,833	(13,302)	-	6,532
Federal Agencies	313383TP6	FHLB STEP NT	-	0.50	0.50	8/22/13	8/22/16	7,292	-	-	7,292
Federal Agencies	31315PQB8	FAMCA NT	7,000,000	1.50	0.70	10/29/13	9/1/16	8,750	(4,516)	-	4,234
Federal Agencies	313370TW8	FHLB BD	25,000,000	2.00	1.39	10/11/11	9/9/16	41,667	(12,157)	-	29,510
Federal Agencies	3134G3P38	FHLMC NT CALL	75,000,000	0.75	0.72	12/14/12	10/5/16	46,875	(5,523)	-	41,352
Federal Agencies	3134G4HK7	FHLMC CALL STEP NT	25,000,000	0.50	0.50	10/24/13	10/24/16	10,417	-	-	10,417
Federal Agencies	3136G1WP0	FNMA CALL NT	18,000,000	1.50	0.84	11/4/13	11/4/16	20,250	(12,962)	-	7,288
Federal Agencies	313381GA7	FHLB NT	23,100,000	0.57	0.57	11/30/12	11/30/16	10,973	(90)	-	10,882
Federal Agencies	313381KR5	FHLB NT CALL	13,500,000	0.63	0.63	12/28/12	12/28/16	7,031	-	-	7,031
Federal Agencies	313381KR5	FHLB NT CALL	9,000,000	0.63	0.63	12/28/12	12/28/16	4,688	-	-	4,688
Federal Agencies	3136FTUZ0	FNMA CALL NT	50,000,000	1.40	1.41	12/30/11	12/30/16	58,333	411	-	58,744
Federal Agencies	3134G33C2	FHLMC NT	50,000,000	0.60	0.60	1/3/13	1/3/17	25,000	-	-	25,000
Federal Agencies	3133ECB37	FFCB NT	14,000,000	0.58	0.58	12/20/12	1/12/17	6,767	-	-	6,767
Federal Agencies	31315PWW5	FARMER MAC MTN	49,500,000	1.01	1.02	5/4/12	1/17/17	41,663	432	-	42,094
Federal Agencies	3136FTL31	FNMA STEP BD CALL	30,765,000	0.75	0.68	4/30/12	2/7/17	19,228	(4,985)	-	14,243
Federal Agencies	3133786Q9	FHLB NT	67,780,000	1.00	0.72	1/10/13	2/13/17	56,483	(15,380)	-	41,103
Federal Agencies	3133782N0	FHLB NT	14,845,000	0.88	1.08	3/12/12	3/10/17	10,824	2,417	-	13,242
Federal Agencies	3133782N0	FHLB NT	55,660,000	0.88	1.06	3/12/12	3/10/17	40,585	8,272	-	48,857

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	31315PTQ2	FARMER MAC MTN	12,500,000	1.26	1.36	4/10/12	4/10/17	13,125	998	-	14,123
Federal Agencies	3133ECLL6	FFCB NT	10,000,000	0.60	0.60	4/17/13	4/17/17	5,000	-	-	5,000
Federal Agencies	3136G0CC3	FNMA STRNT	30,000,000	0.85	0.85	4/18/12	4/18/17	21,250	-	-	21,250
Federal Agencies	31315PUQ0	FARMER MAC MTN	10,500,000	1.13	1.13	4/26/12	4/26/17	9,844	-	-	9,844
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	25,000,000	0.50	0.50	5/9/12	5/9/17	10,417	-	-	10,417
Federal Agencies	3137EADF3	FHLMC NT	25,000,000	1.25	1.14	5/14/12	5/12/17	26,042	(2,188)	-	23,854
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	50,000,000	0.85	0.73	6/11/12	5/23/17	35,417	(12,257)	-	23,159
Federal Agencies	31315PZQ5	FARMER MAC MTN	9,000,000	1.11	0.80	12/28/12	6/5/17	8,325	(2,262)	-	6,063
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	50,000,000	0.31	0.31	6/19/12	6/19/17	12,708	-	-	12,708
Federal Agencies	3133ECV92	FFCB FLT NT 1ML+4	50,000,000	0.21	0.21	7/24/13	7/24/17	8,583	-	-	8,583
Federal Agencies	3133ECVG6	FFCB FLT NT 3ML+0	23,520,000	0.24	0.24	8/5/13	7/26/17	4,667	-	-	4,667
Federal Agencies	3136G0ZA2	FNMA STEP NT	15,000,000	0.75	0.75	9/12/12	9/12/17	9,375	-	-	9,375
Federal Agencies	3136G0B59	FNMA STEP NT	64,750,000	0.70	0.70	9/20/12	9/20/17	37,771	-	-	37,771
Federal Agencies	3136G0D81	FNMA STEP NT	100,000,000	0.72	0.72	9/27/12	9/27/17	60,000	-	-	60,000
Federal Agencies	3136G0Y39	FNMA STEP NT	50,000,000	0.63	0.63	11/8/12	11/8/17	26,042	-	-	26,042
Federal Agencies	3134G44F2	FHLMC CALL MTN	50,000,000	0.80	0.80	5/21/13	11/21/17	33,333	-	-	33,333
Federal Agencies	3135G0RT2	FNMA NT	50,000,000	0.88	0.91	1/10/13	12/20/17	36,458	1,371	-	37,830
Federal Agencies	3135G0RT2	FNMA GLOBAL	50,000,000	0.88	1.02	1/29/13	12/20/17	36,458	5,957	-	42,415
Federal Agencies	3136G13T4	FNMA STEP NT	39,000,000	0.75	0.75	12/26/12	12/26/17	24,375	-	-	24,375
Federal Agencies	3136G13Q0	FNMA STEP NT	29,000,000	0.75	0.75	12/26/12	12/26/17	18,125	-	-	18,125
Federal Agencies	3134G32W9	FHLMC MTN CALL	33,600,000	1.25	1.01	12/26/12	12/26/17	35,000	(21,459)	-	13,541
Federal Agencies	3134G32W9	FHLMC MTN CALL	50,000,000	1.25	1.00	12/26/12	12/26/17	52,083	(33,181)	-	18,902
Federal Agencies	3134G32M1	FHLMC CALL NT	50,000,000	1.00	1.00	12/28/12	12/28/17	41,667	-	-	41,667
Federal Agencies	3136G1FK0	FNMA NT CALL	21,500,000	1.60	1.36	3/13/13	3/13/18	28,667	(20,075)	-	8,592
Federal Agencies	3136G1GG8	FNMA NT CALL	17,900,000	1.50	1.29	3/19/13	3/19/18	22,375	(14,712)	-	7,663
Federal Agencies	3136G1J67	FNMA NT CALL	25,000,000	1.50	1.29	4/9/13	4/9/18	31,250	(20,466)	-	10,784
Federal Agencies	3136G1KN8	FNMA NT CALL	50,000,000	1.50	1.13	4/24/13	4/24/18	62,500	(37,110)	-	25,390
Federal Agencies	3136G1K81	FNMA NT STEP	12,600,000	0.75	0.75	4/30/13	4/30/18	7,875	-	-	7,875
Federal Agencies	31315PZM4	FARMER MAC STEP NT	24,600,000	0.70	0.70	5/3/13	5/3/18	14,350	-	-	14,350
Federal Agencies	313382XK4	FHLB STEP NT	25,000,000	0.50	0.50	5/7/13	5/7/18	10,417	-	-	10,417
Federal Agencies	3133ECPB4	FFCB NT	10,000,000	0.88	1.01	5/23/13	5/14/18	7,292	1,080	-	8,371
Federal Agencies	313383AS0	FHLB NT CALL	50,000,000	1.40	1.25	5/21/13	5/21/18	58,333	(6,145)	-	52,189
Federal Agencies	3135G0WJ8	FNMA NT	25,000,000	0.88	1.05	5/23/13	5/21/18	18,229	3,512	-	21,741
Federal Agencies	3133834P3	FHLB STEP NT	50,000,000	0.50	0.50	5/22/13	5/22/18	20,833	-	-	20,833
Federal Agencies	3136G1WF2	FNMA STEP NT	25,000,000	1.00	1.00	10/30/13	10/30/18	20,833	-	-	20,833
Federal Agencies	3136G1XY0	FNMA CALL	25,000,000	2.25	1.97	11/27/13	11/27/18	6,250	(4,791)	-	1,459
<b>Subtotals</b>			<b>\$3,932,163,000</b>					<b>\$ 3,265,398</b>	<b>\$ (505,124)</b>	<b>\$ -</b>	<b>\$ 2,760,274</b>
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	\$ 15,000,000	2.61	0.53	3/29/12	3/15/14	\$ 32,563	\$ (25,404)	\$ -	\$ 7,159
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	11,115,000	2.61	0.42	6/8/12	3/15/14	24,129	(19,888)	-	4,241
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	8,150,000	2.61	0.42	6/8/12	3/15/14	17,692	(14,583)	-	3,109
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	2,000,000	2.61	0.32	4/29/13	3/15/14	4,342	(3,750)	-	592
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	2,820,000	5.25	1.04	5/2/12	4/1/14	12,338	(9,629)	-	2,708
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	10,000,000	5.25	0.45	4/8/13	4/1/14	43,750	(39,302)	-	4,448
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7,270,000	5.25	0.39	5/3/13	4/1/14	31,806	(28,916)	-	2,890
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	1,250,000	5.25	0.55	7/29/13	4/1/14	5,469	(4,799)	-	670
State/Local Agencies	13063CEA4	CALIFORNIA ST RAN	27,000,000	2.00	0.21	8/22/13	5/28/14	44,384	(39,658)	-	4,726
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	1,125,000	0.75	0.75	7/24/12	8/1/14	704	-	-	704
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	310,000	0.43	0.43	5/7/13	8/1/14	111	-	-	111

# Monthly Investment Earnings

## Pooled Fund

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State/Local Agencies	64966DPC7	NEW YORK CITY GO	8,000,000	4.75	0.68	6/7/12	11/1/14	31,667	(26,501)	-	5,165
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	10,000,000	0.85	0.64	3/27/13	2/1/15	7,083	(1,686)	-	5,397
State/Local Agencies	649791JS0	NEW YORK ST TAXABLE GO	4,620,000	0.39	0.40	3/21/13	3/1/15	1,502	39	-	1,541
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BC	5,000,000	0.39	0.39	3/14/13	5/15/15	1,633	-	-	1,633
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	315,000	0.63	0.63	5/7/13	8/1/15	165	-	-	165
State/Local Agencies	64966GXS6	NEW YORK CITY TAXABLE GO	12,255,000	5.13	0.66	4/1/13	12/1/15	52,390	(44,522)	-	7,868
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	11,000,000	1.05	0.93	3/27/13	2/1/16	9,625	(1,071)	-	8,554
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	2,670,000	0.98	0.98	5/7/13	8/1/16	2,185	-	-	2,185
State/Local Agencies	13063CFC9	CALIFORNIA ST GO BD	16,500,000	1.75	1.66	11/5/13	11/1/17	20,854	(1,051)	-	19,803
<b>Subtotals</b>			<b>\$ 156,400,000</b>					<b>\$ 344,391</b>	<b>\$ (260,722)</b>	<b>\$ -</b>	<b>\$ 83,669</b>
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	\$ 240,000	0.49	0.49	2/7/13	2/7/14	\$ 96	\$ -	\$ -	\$ 96
Public Time Deposits		BANK OF SAN FRANCISCO PTD	240,000	0.47	0.47	4/9/13	4/9/14	94	-	-	94
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PT	240,000	0.48	0.48	4/9/13	4/9/14	96	-	-	96
<b>Subtotals</b>			<b>\$ 720,000</b>					<b>\$ 286</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 286</b>
Negotiable CDs	96121TQW1	WESTPAC NY FLT YCD 1ML+14	\$ -	0.31	-0.47	3/25/13	11/21/13	\$ 8,681	\$ (2,780)	\$ -	\$ 5,900
Negotiable CDs	78009NMC7	RBC YCD FF+22	75,000,000	0.31	0.31	3/26/13	3/26/14	19,063	-	-	19,063
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	25,000,000	0.28	0.28	6/24/13	6/24/14	5,750	-	-	5,750
Negotiable CDs	06417FB58	BANK OF NOVA SCOTIA YCD 3ML+1	50,000,000	0.42	0.42	7/17/13	1/20/15	17,648	-	-	17,648
<b>Subtotals</b>			<b>\$ 150,000,000</b>					<b>\$ 51,141</b>	<b>\$ (2,780)</b>	<b>\$ -</b>	<b>\$ 48,361</b>
Commercial Paper	06538BZP1	BANK OF TOKYO-MITSUBISHI CP	\$ 50,000,000	0.00	0.18	11/22/13	12/23/13	\$ 2,250	\$ -	\$ -	\$ 2,250
Commercial Paper	06538BZP1	BANK OF TOKYO-MITSUBISHI CP	50,000,000	0.00	0.18	11/22/13	12/23/13	2,250	-	-	2,250
<b>Subtotals</b>			<b>\$ 100,000,000</b>					<b>\$ 4,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,500</b>
Medium Term Notes	78008KNA7	RBC MTN	\$ 30,580,000	1.13	0.30	1/30/13	1/15/14	\$ 28,669	\$ (20,573)	\$ -	\$ 8,095
Medium Term Notes	46623ECT4	JP MORGAN CHASE MTN	12,345,000	5.38	0.34	3/13/13	1/15/14	55,295	(50,623)	-	4,673
Medium Term Notes	46623EJE0	JPMORGAN CHASE MTN	32,755,000	2.05	0.38	3/1/13	1/24/14	55,956	(44,709)	-	11,247
Medium Term Notes	46623EJE0	JP MORGAN CHASE MTN	2,050,000	2.05	0.35	3/13/13	1/24/14	3,502	(2,848)	-	654
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	6,500,000	3.63	0.27	4/26/13	5/1/14	19,635	(17,866)	-	1,769
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	5,000,000	3.63	0.27	4/26/13	5/1/14	15,104	(13,743)	-	1,361
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	27,475,000	1.00	-0.40	5/2/13	5/2/14	22,997	(15,963)	-	7,033
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN	20,000,000	1.00	-0.05	8/2/13	5/2/14	16,740	(11,676)	-	5,064
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	25,000,000	5.65	0.44	4/9/13	6/9/14	117,708	(106,690)	-	11,018
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	10,000,000	5.13	0.49	11/13/12	6/10/14	42,708	(37,942)	-	4,767
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3,000,000	0.27	0.24	3/27/13	7/30/14	663	(39)	-	624
Medium Term Notes	78008TXA7	RBC MTN	10,000,000	1.45	0.27	11/1/13	10/30/14	12,083	(9,682)	-	2,401
Medium Term Notes	459200GZ8	IBM MTN	31,814,000	0.88	0.25	11/5/13	10/31/14	20,105	(14,062)	-	6,043
Medium Term Notes	36962G4G6	GE CAPITAL CORP MTN	2,920,000	3.75	0.52	8/7/13	11/14/14	9,125	(7,716)	-	1,409
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	10,000,000	0.43	0.39	1/28/13	12/5/14	3,579	(209)	-	3,371
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	25,000,000	0.62	0.62	1/10/13	1/9/15	12,986	-	-	12,986
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	87,824,000	2.15	0.77	7/12/13	1/9/15	157,351	(98,537)	-	58,815
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	4,820,000	2.15	0.59	8/7/13	1/9/15	8,636	(6,154)	-	2,482
Medium Term Notes	24422ESA8	DE FLOAT 01-12-15	5,000,000	0.31	0.27	11/21/13	1/12/15	436	(62)	-	373
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	100,000,000	0.50	0.50	1/22/13	1/22/15	41,667	-	-	41,667
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	35,000,000	0.41	0.41	1/23/13	1/23/15	11,918	-	-	11,918
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	25,000,000	0.61	0.99	2/4/13	2/4/15	12,472	-	-	12,472
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3M	50,000,000	0.39	0.39	4/12/13	4/8/15	16,369	-	-	16,369

## Monthly Investment Earnings Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Medium Term Notes	36962G5Z3	GE CAPITAL CORP MTN	5,000,000	1.63	0.81	8/19/13	7/2/15	6,771	(3,310)	-	3,461
Medium Term Notes	36962G4M3	GE CORP MTN FLT	8,565,000	0.99	0.56	11/25/13	7/9/15	1,418	(609)	-	809
Medium Term Notes	89233P6J0	Toyota MTN	10,000,000	0.88	0.44	11/15/13	7/17/15	3,889	(1,892)	-	1,997
Medium Term Notes	594918AG9	MICROSOFT MTN	3,186,000	1.63	0.39	10/30/13	9/25/15	4,314	(3,206)	-	1,109
<b>Subtotals</b>			<b>\$ 588,834,000</b>					<b>\$ 702,097</b>	<b>\$ (468,109)</b>	<b>\$ -</b>	<b>\$ 233,987</b>
Money Market Funds	61747C707	MS INSTL GOVT FUND	\$ 75,066,087	0.04	0.04	11/29/13	12/2/13	\$ 2,468	\$ -	\$ -	2,468
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	25,000,000	0.01	0.01	11/29/13	12/2/13	206	-	-	206
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	25,001,850	0.01	0.01	11/29/13	12/2/13	206	-	-	206
<b>Subtotals</b>			<b>\$ 125,067,937</b>					<b>\$ 2,879</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,879</b>
<b>Grand Totals</b>			<b>\$ 5,738,184,937</b>					<b>\$ 5,028,969</b>	<b>\$ (1,300,908)</b>	<b>\$ -</b>	<b>\$ 3,728,061</b>

<sup>1</sup> Yield to maturity is calculated at purchase

# Investment Transactions

## Pooled Fund

For month ended November 30, 2013

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Purchase	11/1/2013	10/30/2014	Medium Term Notes	RBC MTN	78008TXA7	\$ 10,000,000	1.45	0.27	\$ 101.17	\$ -	\$ 10,117,555
Purchase	11/4/2013	11/4/2016	Federal Agencies	FNMA CALL NT	3136G1WP0	18,000,000	1.50	0.84	101.95	-	18,350,460
Purchase	11/5/2013	11/1/2017	State/Local Agencies	CALIFORNIA ST GO BD	13063CFC9	16,500,000	1.75	1.66	100.36	-	16,558,905
Purchase	11/5/2013	10/31/2014	Medium Term Notes	IBM MTN	459200GZ8	31,814,000	0.88	0.25	100.61	-	32,012,568
Purchase	11/15/2013	7/17/2015	Medium Term Notes	Toyota MTN	89233P6J0	10,000,000	0.88	0.44	100.72	-	10,100,681
Purchase	11/20/2013	5/9/2016	Federal Agencies	FFCB SA	3133ECW77	22,650,000	0.65	0.48	100.43	-	22,750,988
Purchase	11/21/2013	1/12/2015	Medium Term Notes	DE FLOAT 01-12-15	24422ESA8	5,000,000	0.31	0.27	100.05	-	5,004,212
Purchase	11/22/2013	7/22/2015	Federal Agencies	FAMCA	31315PDZ9	15,000,000	2.38	0.32	103.41	-	15,630,100
Purchase	11/22/2013	12/23/2013	Commercial Paper	BANK OF TOKYO-MITSUBISHI	06538BZP1	50,000,000	0.00	0.18	99.98	-	49,992,250
Purchase	11/22/2013	12/23/2013	Commercial Paper	BANK OF TOKYO-MITSUBISHI	06538BZP1	50,000,000	0.00	0.18	99.98	-	49,992,250
Purchase	11/25/2013	7/9/2015	Medium Term Notes	GE CORP MTN FLT	36962G4M3	8,565,000	0.99	0.56	100.70	-	8,635,826
Purchase	11/27/2013	11/27/2018	Federal Agencies	FNMA CALL	3136G1XY0	25,000,000	2.25	1.97	101.31	-	25,327,000
Purchase	11/29/2013	12/2/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	2,468	0.04	0.04	100.00	-	2,468
Purchase	11/29/2013	12/2/2013	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	206	0.01	0.01	100.00	-	206
<b>Subtotals</b>						<b>\$ 262,531,674</b>	<b>0.85</b>	<b>0.56</b>	<b>\$ 100.68</b>	<b>\$ -</b>	<b>\$ 264,475,468</b>
Sale	11/22/2013	8/22/2016	Federal Agencies	FHLB STEP NT	313383TP6	\$ 25,000,000	0.50	0.50	\$ 100.00	\$ 31,250	\$ 25,031,250
<b>Subtotals</b>						<b>\$ 25,000,000</b>	<b>0.50</b>	<b>0.50</b>	<b>\$ 100.00</b>	<b>\$ 31,250</b>	<b>\$ 25,031,250</b>
Maturity	11/21/2013	11/21/2013	Negotiable CDs	WESTPAC NY FLT YCD 1ML+1	96121TQW1	\$ 50,000,000	0.31	-0.47	\$ 100.07	\$ 13,455	\$ 50,013,455
<b>Subtotals</b>						<b>\$ 50,000,000</b>	<b>0.31</b>	<b>-0.47</b>	<b>\$ 100.07</b>	<b>\$ 13,455</b>	<b>\$ 50,013,455</b>
Interest	11/1/2013	11/1/2014	State/Local Agencies	NEW YORK CITY GO	64966DPC7	\$ 8,000,000	4.75	0.68	\$ 109.68	\$ 190,000	\$ 190,000
Interest	11/1/2013	11/2/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	25,000,000	0.01	0.01	100.00	212	212
Interest	11/1/2013	4/1/2016	Federal Agencies	FAMCA FLT MTN 1ML+0	31315PTF6	50,000,000	0.17	0.17	100.00	7,316	7,316
Interest	11/1/2013	5/1/2014	Medium Term Notes	STANFORD UNIVERSITY MTN	854403AA0	6,500,000	3.63	0.27	103.39	117,813	117,813
Interest	11/1/2013	5/1/2014	Medium Term Notes	STANFORD UNIVERSITY MTN	854403AA0	5,000,000	3.63	0.27	103.39	90,625	90,625
Interest	11/3/2013	5/1/2015	Federal Agencies	FARMER MAC FLT NT FF+26	31315PWJ4	50,000,000	0.35	0.35	100.00	43,444	43,444
Interest	11/3/2013	5/3/2018	Federal Agencies	FARMER MAC STEP NT	31315PZM4	24,600,000	0.70	0.70	100.00	86,100	86,100
Interest	11/4/2013	2/4/2015	Medium Term Notes	TOYOTA MTN FIX-TO-FLOAT	89233P7L4	25,000,000	0.50	0.50	100.00	31,250	31,250
Interest	11/5/2013	8/5/2015	Federal Agencies	FFCB FLT NT T-BILL+14	3133ECVW1	62,500,000	0.21	0.22	99.98	28,276	28,276
Interest	11/7/2013	2/7/2014	Public Time Deposits	TRANS PACIFIC NATIONAL B		240,000	0.49	0.49	100.00	294	294
Interest	11/7/2013	5/7/2018	Federal Agencies	FHLB STEP NT	313382XK4	25,000,000	0.50	0.50	100.00	62,500	62,500
Interest	11/8/2013	11/8/2017	Federal Agencies	FNMA STEP NT	3136G0Y39	50,000,000	0.63	0.63	100.00	156,250	156,250
Interest	11/9/2013	5/9/2017	Federal Agencies	FHLB FIX-TO-FLOAT CALL N	3133794Y2	25,000,000	0.50	0.50	100.00	31,250	31,250
Interest	11/10/2013	2/10/2016	Federal Agencies	FFCB FLT NT 1ML+0	3133ECP57	50,000,000	0.17	0.19	99.97	7,492	7,492
Interest	11/12/2013	5/12/2017	Federal Agencies	FHLMC NT	3137EADF3	25,000,000	1.25	1.14	100.53	156,250	156,250
Interest	11/13/2013	11/13/2015	Federal Agencies	FNMA NT CALL	3136G1LX5	24,610,000	0.32	0.32	100.00	38,938	38,938
Interest	11/14/2013	5/14/2015	Federal Agencies	FFCB FLT NT 1ML+1	3133EAQC5	50,000,000	0.18	0.20	99.97	7,922	7,922
Interest	11/14/2013	5/14/2018	Federal Agencies	FFCB NT	3133ECPB4	10,000,000	0.88	1.01	99.35	41,563	43,750
Interest	11/14/2013	11/14/2014	Medium Term Notes	GE CAPITAL CORP MTN	36962G4G6	2,920,000	3.75	0.52	104.09	29,504	54,750
Interest	11/15/2013	5/15/2015	State/Local Agencies	UNIV OF CALIFORNIA REVEN	91412GPW9	5,000,000	0.39	0.39	100.00	13,121	13,121
Interest	11/16/2013	11/16/2015	Federal Agencies	FFCB	31331J2S1	25,000,000	1.50	2.20	96.75	187,500	187,500
Interest	11/19/2013	11/19/2015	Federal Agencies	FFCB FLT NT MONTHLY 1ML+	3133ECLZ5	25,000,000	0.17	0.17	99.99	3,714	3,714
Interest	11/21/2013	11/21/2014	Federal Agencies	FNMA FLT QTR FF+39	3136FTRF8	26,500,000	0.47	0.39	100.09	31,969	31,969
Interest	11/21/2013	11/21/2017	Federal Agencies	FHLMC CALL MTN	3134G44F2	50,000,000	0.80	0.80	100.00	200,000	200,000
Interest	11/21/2013	5/21/2018	Federal Agencies	FHLB NT CALL	313383AS0	50,000,000	1.40	1.25	100.75	350,000	350,000
Interest	11/21/2013	5/21/2018	Federal Agencies	FNMA NT	3135G0WJ8	25,000,000	0.88	1.05	99.15	108,160	109,375

# Investment Transactions

## Pooled Fund

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Interest	11/21/2013	11/21/2013	Negotiable CDs	WESTPAC NY FLT YCD 1ML+1	96121TQW1	50,000,000	0.31	-0.47	100.07	-	-
Interest	11/22/2013	9/22/2015	Federal Agencies	FFCB FLT NT 1ML+2.5	3133EAJF6	27,953,000	0.20	0.22	99.96	4,742	4,742
Interest	11/22/2013	6/22/2015	Federal Agencies	FFCB FLT NT 1ML+2	3133EAVE5	50,000,000	0.19	0.20	99.97	8,267	8,267
Interest	11/22/2013	5/22/2018	Federal Agencies	FHLB STEP NT	3133834P3	50,000,000	0.50	0.50	100.00	125,000	125,000
Interest	11/23/2013	5/23/2017	Federal Agencies	FNMA STEP NT CALL	3136G0GW5	50,000,000	0.85	0.73	100.58	212,500	212,500
Interest	11/24/2013	6/24/2014	Negotiable CDs	RBC FLT YCD 1ML+11	78009NNK8	25,000,000	0.28	0.28	100.00	6,222	6,222
Interest	11/24/2013	7/24/2017	Federal Agencies	FFCB FLT NT 1ML+4	3133ECV92	50,000,000	0.21	0.21	100.00	9,042	9,042
Interest	11/26/2013	5/26/2016	Federal Agencies	FNMA CALL NT	3135G0RZ8	22,540,000	0.55	0.55	100.00	61,985	61,985
Interest	11/27/2013	4/27/2015	Federal Agencies	FFCB FLT NT 1ML+1.5	3133EAJP4	50,000,000	0.18	0.19	99.99	8,008	8,008
Interest	11/29/2013	12/2/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	75,063,619	0.04	0.04	100.00	2,468	2,468
Interest	11/29/2013	12/2/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	25,000,000	0.01	0.01	100.00	751	751
Interest	11/29/2013	12/2/2013	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	25,001,644	0.01	0.01	100.00	206	206
Interest	11/30/2013	11/30/2015	U.S. Treasuries	US TSY NT	912828PJ3	50,000,000	1.38	1.58	99.04	343,750	343,750
Interest	11/30/2013	11/30/2015	U.S. Treasuries	US TSY NT	912828PJ3	50,000,000	1.38	1.58	99.04	343,750	343,750
Interest	11/30/2013	11/30/2015	U.S. Treasuries	US TSY NT	912828PJ3	50,000,000	1.38	2.00	97.08	343,750	343,750
Interest	11/30/2013	11/30/2016	Federal Agencies	FHLB NT	313381GA7	23,100,000	0.57	0.57	100.02	65,835	65,835
<b>Subtotals</b>						<b>\$ 1,399,528,263</b>	<b>0.59</b>	<b>0.55</b>	<b>\$ 99.90</b>	<b>\$ 3,557,739</b>	<b>\$ 3,586,388</b>

<b>Grand Totals</b>	<b>14</b>	<b>Purchases</b>									
	<b>1</b>	<b>Sales</b>									
	<b>1</b>	<b>Maturities / Calls</b>									
	<b>12</b>	<b>Change in number of positions</b>									

# Non-Pooled Investments

As of November 30, 2013

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
State/Local Agencies	797712AD8	SFRDA SOUTH BEACH HARBOR	1/20/12	12/1/16	2.91	3.50	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
<b>Subtotals</b>					<b>2.91</b>	<b>3.50</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>
<b>Grand Totals</b>					<b>2.91</b>	<b>3.50</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>

### NON-POOLED FUNDS PORTFOLIO STATISTICS

	Current Month		Prior Month	
	Fiscal YTD	November 2013	Fiscal YTD	October 2013
Average Daily Balance	\$ 32,534,372	\$ 4,500,000	\$ 41,980,737	\$ 28,510,329
Net Earnings	\$ 54,415	\$ 13,125	\$ 41,291	\$ 13,127
Earned Income Yield	0.50%	3.43%	0.39%	3.44%

Note: All non-pooled securities were inherited by the City and County of San Francisco as successor agency to the San Francisco Redevelopment Agency. Book value and amortized book value are derived from limited information received from the SFRDA and are subject to verification.



File 131207

Nature of Request: \* **Request for Service**

C: BOS 11, (epage)  
orig LU clerk

**ADDITIONAL REQUEST DETAILS:**

Additional Request  
Details: \*

**I just want to make a comment to the BOS. The BOS is trying to ban SF from buying water in plastic bottles. We live in earthquake area. During emergencies, if this is going to happen, because of political correctness ...there will be busted pipes. For emergency purposes the City should keep buying water in plastic bottles. If we have a big earthquake there will be no water at all. If they do pass the ordinance they should take that into consideration.**

**BACK**

**OFFICE USE \*\*\*\*\***

**Source**

**Agency**

**Request Number:**

**Responsible**

**Agency**

**Request Number:**

**Service**

**Request Work**

**Status:**

**Work**

**Status**

**Updated:**

**Media URL:**

Submit Cancel

28

Date/ Time: 2013-12-18 13:08:06.52

Service Request  
Number: 3178937

### Request for City Services

#### CUSTOMER CONTACT INFORMATION:

Name: **David Lee**  
Phone: **415-613-8936**  
Address:  
Email: **dleejr1948@yahoo.com**

#### DEPARTMENTS:

Department: \* **Board of Supervisors (BOS)**  
Sub-Division:\* **Clerk of the Board**

#### PROPERTY ADDRESS:

Point of Interest:   
Street Number:   
Street Name:   
Street Name 2:   
City:   
ZIP Code:   
X coordinate:   
Y coordinate:   
Latitude:   
Longitude:   
CNN:   
Unverified Address:

#### ADDITIONAL LOCATION INFORMATION:

Location Description:

(e.g. 600-block of Market St. or in front of Main Library entrance)

#### REQUEST DETAILS:



RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

BOS-11

2013 DEC 26 AM 10:26

*[Handwritten signature]*

December 23, 2013

**SENT VIA FEDERAL EXPRESS**

City and County of San Francisco Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102-4689

Dear Sir / Madam:

Please disregard our prior letter dated November 1, 2013 regarding January 4, 2014 terminations that were intended to take place relating to a change in management at Hakkasan SF, LLC's restaurant located at 1 Kearny Street, San Francisco, CA 94108. Such terminations will not take place at this time.

For further information, please contact me at (702) 212-8804 x314.

Very truly yours,

*Staci Haskins*

Staci Haskins  
Director of Human Resources US

BOS II, Cpage  
Mayor's office

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2013 DEC 26 AM 11:57

*[Handwritten signature]*

12/19/13

Jackson Saavedra, Jasmine Denny, Noah Clark, and J.T. Balano

1327 Sir Francis Drake Blvd, San Anselmo, California 94960

Robert Reiter, City Hall Building Manager

City Hall Building Management Room 008

1 Dr. Carlton B. Goodlett Place

San Francisco, CA 94102

Dear San Francisco City Council members,

We believe that the new Warriors Stadium should be built in SF because the stadium would create a beautiful community space for people to enjoy the bay, it would also be the beginning of a new era in basketball history, and would boost the city's economy exponentially. However, with the amazing scenery and environment, there comes some precautions that must be taken in order for the arena to be as eco-friendly as humanly possible. We think that this will be possible to do, and will become a great hangout spot for everyone living inside and out the city of San Francisco. The stadium would bring together many different kinds of people into one big Warriors community.

One reason that we think that the Warriors stadium should be build in S.F., is because it would create a beautiful community space for people to enjoy the bay. The new plans for the building include a public plaza, a deep water berth, and best of all, 60% of the site will be open space. One main argument for not building the Warriors stadium in San Francisco on piers 30-32 is that it will restrict public access to the piers and to the bay. Ann Killion from the San Francisco Chronicle states, "Rather, this is a simple thought about the thing that makes San Francisco uniquely San Francisco: our beautiful waterfront. Why - on so many environmental

and aesthetic levels - would you want to build an enormous structure directly in the bay?" As you know and have seen, the new stadium would be a beautiful building and would create almost four acres of open space at pier level. As of now, the pier is a ugly, crumbling parking lot that has little use to citizens of San Francisco. We hope that this stadium will help bring more open space, access to the piers, and access to the bay for the people of San Francisco.

This new stadium would be extremely beneficial to the economy of the city of San Francisco. Not only would the stadium attract tourism from all parts of the state and the country, and according to Mayor Edwin Lee, the project would be "preserving the maritime history of the site by providing a new home for the San Francisco fire boats and creating new infrastructure for water taxis, ferries and cruise-ships (Mayor Lee, 2013)". This means that there will be many taxi-boats in that area, which will bring people back and forth between piers. Says Lee, "this project will provide millions of dollars in new tax revenue for San Francisco over the long term for services local residents need, including public safety, parks, public transit, pothole repair, youth programs and senior services (Mayor Lee, 2013)". This new stadium would be a very beneficial project for the economy of San Francisco and would bring more commerce and tourism to the piers.

The new Warriors stadium would be an amazing addition to the already amazing city of San Francisco. Not only would the stadium be a great place for fans that attended games at Oracle arena, but it would be able to generate a new fan base within the city. Many people, including myself, that live closer to the new arena than Oracle, would attend many more games because it isn't as far to go to enjoy a game. People against the project feel that it would block views of the bay, but the stadium would create a new way to view the bay from an even closer and higher viewing point ([sanfrancisco.cbslocal.com](http://sanfrancisco.cbslocal.com)). People who oppose the idea also say that it would create "grid-lock traffic" along the Embarcadero, but according to Rick Welts, it would be the opposite. There would be even more options for fans to access the venue including water taxis, BART which is the same distance to the new arena as it is to Oracle arena and the muni station is on site. It would provide an easy walking distance, and you would be able to just drive as well. ([sanfrancisco.cbslocal.com](http://sanfrancisco.cbslocal.com)). With all of these different ways for people to access the stadium, "grid-lock traffic" is less of a problem. Overall, the stadium would bring in a new fan base to San Francisco, and would be a new, hip spot to hang out with your friends and show off to friends from out of town.

The Warriors Stadium will be a great contribution to San Francisco, but will obviously be dangerous to balance of the bay's ecosystem. We've found many pro and con articles on this subject, but one that caught our eye stated "the crumbling, 13-acre pier is owned by the Port of San Francisco, which now uses it for parking." (SFGate.com) This quote caught our eye because it states that all they use the pier for is parking, parking is extremely hard to find in SF, but with the new stadium I'm sure they will have a solution to that issue. Also the pier was built in 1912-1913, it is crumbling, and needs to be remodeled. So if the contractors, and site managers take certain precautions keeping hold of all the trash that the stadium produces, cleaning and watching all the trash that went into the bay, and while during construction the contractors should be carefully watched and work around ecosystems and organisms. The new stadium will affect traffic, organisms, and people, but not if the hired contractors take a lot of precaution and revise plans to keep the community of San Francisco happy and excited for the new stadium.

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In conclusion, we believe that the new Warriors Stadium should be built in SF because the stadium would create a beautiful community space for people to enjoy the bay and it would be the beginning of a new era in basketball history. However, with the amazing scenery and environment, some precautions must be taken in order for the arena to be as eco-friendly as humanly possible. One option would be to make the trash cans basketball themed so it would be more fun for fans to throw away the trash instead of throwing it into the bay. We hope you take our opinion into consideration.

Sincerely,

Sir Francis Drake High School Students

Jackson Saavedra

Jasmine Denny

Noah Clark

JT Balano

Sources:

(1)[http://sanfrancisco.cbslocal.com/2013/11/11/kcbs-in-depth-the-pros-and-cons-of-a-warriors-](http://sanfrancisco.cbslocal.com/2013/11/11/kcbs-in-depth-the-pros-and-cons-of-a-warriors-arena-in-san-francisco/)

(2)[arena-in-san-francisco/](#)

(3)Edwin Lee, 2013 [www.sfmayor.org/index.aspx?recordid=409&page=846](http://www.sfmayor.org/index.aspx?recordid=409&page=846)

(4)SFGate.com,

[http://www.sfgate.com/bayarea/matier-ross/article/Warriors-to-build-new-arena-\(5\)move-back-to](http://www.sfgate.com/bayarea/matier-ross/article/Warriors-to-build-new-arena-(5)move-back-to-S-F-3575560.php)

[S-F-3575560.php](#)

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(6)<http://www.nba.com/warriors/news/20131112/sf>

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** West Portal rebuilds but to what density? / Building on a resevoir or City Owned Land / BMR Units vs. 50% rental units in each development.

---

**From:** Aaron Goodman [mailto:amgodman@yahoo.com]  
**Sent:** Thursday, December 26, 2013 12:27 PM  
**To:** examiner  
**Cc:** sftimes@mac.com; rebecca@sfbg.com; Board of Supervisors  
**Subject:** West Portal rebuilds but to what density? / Building on a resevoir or City Owned Land / BMR Units vs. 50% rental units in each development.

The West Portal Monthly in their December 2013 edition showed an old photo of the West Portal Tunnel entrance while the article by Glenn Gullmes discusses the West Portal merchants gearing up for a big Holiday spending season. What is missing is the real need to discuss the future of West Portal and the business and housing needs of the district. The examiner on December 25-26th article discusses the fact that the mayor and city departments are taking inventory to explore accelerated development of below-market-rate units and the use of public lands for housing "relief." When you look at the City College Ocean Avenue suggested site for development above the Balboa Reservoir what is ignored is the fact that the current redevelopment of the Kragen Auto Parts store, and recent new housing development on the Phelan bus turn-around ignored painfully the issue of transit improvement and access to new infrastructural needs of the district in the build-out of market rate rental housing at Avalon, and the future Balboa Park Area Plans. New housing regardless of its BMR rate, pushes more families and existing residents out as pressures mount to densify and develop available spaces. BMR units do not address the need for rental housing and the flexibility to rent vs. to own. The current push for BMR units also ignores the impacts of such density when they do not mandate on-site units, and essential workforce housing being built within higher-end enclaves like West Portal and St. Francis Woods. 800 Brotherhood Way is all market rate housing, where is the BMR units for that project were those units paid off, or built elsewhere and where was their an EIR to contemplate how much and in what ways housing being built at 800 Brotherhood Way was accessible to existing transit, and open-space? The city also forgets what occurred with the Frederick Burke Elementary Site (SFUSD) land sold off to a developer who never built housing units selling back to the SFSU-CSU masterplan, while ruining the open-space amenities of Parkmerced Tenants! Meanwhile you have hundreds of single story business buildings along Ocean and West Portal that sit under-developed. The McDonalds site and across the street eastward an empty site adjacent to a power station site. On West Portal you have 3-4 large scale banks with large footprints that could easily be downsized to micro-banks with housing above and more smaller scaled retail below. The need is for foot-traffic and housing development when scaled and densified on multiple sites can provide more beneficial development and housing opportunities when done in smaller doses. I spoke to some of the business owners along West Portal and they too sense the lacking foot-traffic but feel all too well the increases in rents. The fact that the KLM lines all exit the tunnel at West Portal means change must be focused along this street. Howard Strassner (Sierra Club) and Joel Engardio (SF Examiner Columnist) discussed briefly the need for senior housing along west portal, along with many other WOTPCC members realize that added density is needed on West Portal, but in the meantime La Boulangerie, and Squat and Gobble are pushing forward with plans while the air-development rights above are curtailed and not assisted by the Mayor's office and housing organizations are blocked by neighborhood groups on the efforts to densify and build up West Portal. The West Portal area, along with Ocean Avenue are long stretches of low-scale buildings. The need is to envision through street-studies and development and purchasing of land by the city, through even eminent domain the land needed to densify and provide market and bmr rental units. The problem is that it needs to be built with and at the same time as the infrastructural changes and improvements to be meaningful. Ocean Ave is in gridlock, and West Portal is pretty much in the same boat. Without



serious implementation of transit improvements such as a suggested extension of the L-Taraval back up Sloat to West Portal, we do not have the linkage and systems in place to densify and develop the outer neighborhoods of SF. You cannot rely on Parkmerced's proposed donation of 80 Million while they are in court, and SFSU-CSU and Stonestown suggest a paltry 2 million in co-expenditures on transit. Avalon and other developers that may work with the city per Ed Lee on prioritizing more density, must be reviewed and studied per CEQA to bring to light the real impacts on open-space loss, and development pressures impacts on communities existing. Nothing can be done without proper study and informed decision making, which will not happen when the mayor pushes through policies with SPUR and private development and the tech-industry. The development type required must be neighborhood based, understanding of the micro-business and housing needs of the individual streets, and small to medium in scale to allow for multiple site development with city financial assistance so that some of the housing is market rate, while at least 50% of the density allowed or incentivized becomes new rental housing stock for students, seniors, families, and the working class of SF. The need to build rental housing, with amenities has far exceeded the housing being built in the last 10-40 years. This cannot be built overnight, and it cannot succeed when quick decision making in room 200 at city hall ignores the real issue of planning by neighborhoods and for existing neighborhoods. The planning department needs to be changed so that planning is done from the neighborhoods to downtown and not the downtown interests to the neighborhoods. By allowing the privatized meetings with city agencies on bulldozing public sites, we lose the real creative solutions that can come from open-space redevelopment, and carving out and building up sites in a more dispersed method, vs. such large scale re-zoned projects like Parkmerced that do lip service to the real housing issues and impacts of institutional growth, and transit infrastructure problems the district faces due to such institutions like SFSU-CSU and the Stonestown current lack of a vision for the future.

Sincerely

Aaron Goodman  
[amgodman@yahoo.com](mailto:amgodman@yahoo.com)  
c: 415.786.6929

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**To:** BOS-Supervisors  
**Subject:** Report Issued: Office of the Treasurer and Tax Collector: Agreed-Upon Procedures for the Year Ended June 30, 2013

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**From:** McGuire, Kristen **On Behalf Of** Reports, Controller

**Sent:** Thursday, December 26, 2013 1:17 PM

**To:** Calvillo, Angela; Nevin, Peggy; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; Rose, Harvey; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers; Cisneros, Jose; Marx, Pauline; Durgy, Michelle; alouie@mgocpa.com

**Subject:** Report Issued: Office of the Treasurer and Tax Collector: Agreed-Upon Procedures for the Year Ended June 30, 2013

The City and County of San Francisco (City), Office of the Treasurer and Tax Collector (Treasurer) coordinates with the Office of the Controller's City Services Auditor Division (CSA) to conduct quarterly reviews and an annual audit of the City's investment fund including agreed-upon procedures. CSA has engaged Macias Gini & O'Connell LLP (Macias) to perform these services.

CSA today issued a report of the agreed-upon procedures for the year ended June 30, 2013.

Macias found that the Treasurer complied with the investment requirements in the California Government Code, Sections 27130 through 27137, and with the City's investment policy.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=1647>

This is a send-only email address.

For questions about this report, please contact Director of City Audits Tonia Lediju at [tonia.lediju@sfgov.org](mailto:tonia.lediju@sfgov.org) or 415-554-5393 or the CSA Audits Unit at 415-554-7469.

Follow us on Twitter [@sfcontroller](https://twitter.com/sfcontroller)

**City and County of San Francisco**

**Office of the Controller – City Services Auditor**

**OFFICE OF THE TREASURER  
AND TAX COLLECTOR:**

**The Treasurer Complied With the  
Investment Requirements in State  
Law and the City's Investment  
Policy for the Year Ended  
June 30, 2013**



*December 26, 2013*

**OFFICE OF THE CONTROLLER  
CITY SERVICES AUDITOR**

The City Services Auditor Division (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Charter Appendix F grants CSA broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmarking the city to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigating reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA may conduct financial audits, attestation engagements, and performance audits. Financial audits address the financial integrity of both city departments and contractors and provide reasonable assurance about whether financial statements are presented fairly in all material aspects in conformity with generally accepted accounting principles. Attestation engagements examine, review, or perform procedures on a broad range of subjects such as internal controls; compliance with requirements of specified laws, regulations, rules, contracts, or grants; and the reliability of performance measures. Performance audits focus primarily on assessment of city services and processes, providing recommendations to improve department operations.

CSA conducts its audits in accordance with the Government Auditing Standards published by the U.S. Government Accountability Office (GAO). These standards require:

- Independence of audit staff and the audit organization.
- Objectivity of the auditors performing the work.
- Competent staff, including continuing professional education.
- Quality control procedures to provide reasonable assurance of compliance with the auditing standards.

For questions regarding the report, please contact Director of City Audits Tonia Lediju at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or 415-554-5393 or CSA at 415-554-7469.

Audit Team:            Kate Chalk, Acting Audit Manager  
                               Sandeep Rajbhandari, Staff Auditor

Audit Consultants:   Macias Gini & O'Connell LLP



**CITY AND COUNTY OF SAN FRANCISCO**  
**OFFICE OF THE CONTROLLER**

**Ben Rosenfield**  
**Controller**

**Monique Zmuda**  
**Deputy Controller**

December 26, 2013

Mr. José Cisneros  
Treasurer  
Office of the Treasurer and Tax Collector  
City Hall, Room 140  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

Dear Mr. Cisneros:

The Office of the Controller's City Services Auditor Division (CSA) presents the results of the agreed-upon procedures evaluating the compliance of the Treasurer and Tax Collector (Treasurer) of the City and County of San Francisco (City) with the California Government Code (Code), sections 27130 through 21737, for the year ended June 30, 2013. The Treasurer complied with the investment requirements in the Code and with the City's investment policy.

This engagement was performed under contract by Macias Gini & O'Connell LLP. For this contract, CSA performed the department liaison duties of project management and contractor invoice approval.

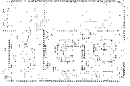
CSA appreciates the assistance and cooperation of Treasurer staff during the project. For questions regarding the report, please contact me at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or 415-554-5393 or CSA at 415-554-7469.

Respectfully,

A handwritten signature in black ink, appearing to read "Tonia Lediju", written over a faint circular stamp.

Tonia Lediju  
Director of City Audits

cc: Mayor  
Board of Supervisors  
Budget Analyst  
Citizens Audit Review Board  
City Attorney  
Civil Grand Jury  
Public Library



## Certified Public Accountants.

Walnut Creek  
2121 N. California Blvd., Suite 750  
Walnut Creek, CA 94596  
925.274.0190

Sacramento

Oakland

LA/Century City

Newport Beach

San Diego

Seattle

The Honorable Mayor Edwin M. Lee  
The Honorable Members of the Board of Supervisors  
City and County of San Francisco, California

### Independent Accountant's Report on Applying Agreed-Upon Procedures

We have performed the procedures enumerated below, which were agreed to by the Office of the Treasurer and Tax Collector (Treasury) of the City and County of San Francisco (City), solely to assist the specified parties in evaluating the Treasury's compliance with the California Government Code (Code) Section 27130 through 27137, which addresses requirements for the Treasury Oversight Committee (Committee), for the year ended June 30, 2013. Treasury's management and the Committee are responsible for the Treasury's compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in the report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

The procedures performed and our observations and findings are summarized as follows:

1. We obtained a listing of the current members of the Committee to determine whether the members meet the requirements outlined in Article 6, Section 27132 of the Code.

**Finding:** No compliance exceptions were noted as a result of our procedures.

2. We obtained confirmations from the Committee members that they are in compliance with Article 6, Section 27132.1 through 27132.3 of the Code.

**Finding:** No compliance exceptions were noted as a result of our procedures.

3. We obtained the Investment Policy dated October 2012 and verified that it was reviewed by the Committee on October 19, 2012 and included authorized investments; maximum security term; brokers and dealers selection; limits on the receipt of gifts; investment report; cost calculation and apportionment policy; deposit terms and conditions; and funds withdrawal criteria pursuant to Article 6, Section 27133 of the Code.

**Finding:** No compliance exceptions were noted as a result of our procedures.

4. We verified that City's funds were used to pay for the costs incurred to comply with the investment compliance requirements pursuant to Article 6, Section 27135 of the Code.

**Finding:** No compliance exceptions were noted as a result of our procedures.

5. We read the City's withdrawal policy in the Investment Policy dated October 2012, which reads as follows:

"The Treasurer will honor all requests to withdraw funds for normal cash flow purposes that are approved by the San Francisco Controller. Any requests to withdraw funds for purposes other than cash flow, such as for external investing, shall be subject to the consent of the Treasurer. In accordance with California Government Code Sections 27136 et seq. and 27133(h) et seq., such requests for withdrawals must first be made in writing to the Treasurer. These requests are subject to the Treasurer's consideration for the stability and predictability of the Pooled Investment Fund, or the adverse effect on the interests of the other depositors in the Pooled Investment Fund. Any withdrawal for such purposes shall be at the value shown on the Controller's books as of the date of withdrawal."

For requests to withdraw funds for purposes other than cash flow, verify that such requests were made in writing to and were approved by the Treasurer.

**Finding:** Treasury management represented that no such withdrawals were made for purposes other than cash flow, such as external investing, during the period July 1, 2012 through June 30, 2013. Accordingly, we did not perform any verification procedures.

6. We read the Committee's quarterly minutes to determine that the Committee was not directing individual investment decisions, selecting individual investment advisors, brokers or dealers or impinging on the day-to-day operations of the City's Treasury pursuant to Article 6, Section 27137 of the Code.

**Finding:** No compliance exceptions were noted as a result of our procedures.

7. We read the Investment Policy dated October 2012 to verify that it states "the Pooled Investment Fund (Fund) shall be prudently invested to meet the specific objectives of (1) Safety of Principal, (2) Liquidity, and (3) Yield."

**Finding:** No compliance exceptions were noted as a result of our procedures.

8. We selected the June 2013 investment listing and compared the investments listed to the types of investments authorized per the Code Sections 53600 et seq.

**Finding:** No compliance exceptions were noted as a result of our procedures.

9. We then summarized the investments listed in the June 30, 2013 investment listing by issuer and by investment type and computed percentages of each to the total portfolio. We compared those percentages to the limits stated in the Investment Policy dated October 2012 to determine the City's compliance. In addition, we summarized investments by type and days to maturity and compared the number of days to the limits stated in the Policy to determine the City's compliance.

**Finding:** No compliance exceptions were noted as a result of our procedures.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Treasury's management, Treasury Oversight Committee, the Board of Supervisors, and others within the City, and is not intended to be and should not be used by anyone other than those specified parties.

*Macias Gini & Counsel LLP*

Walnut Creek, California  
December 13, 2013