


BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 554-5227

MEMORANDUM

Date: February 22, 2019
To: Members, Board of Supervisors
From:  Angela Calvillo, Clerk of the Board
Subject: Appointment by the Mayor

On February 22, 2019, the Mayor submitted the following complete (re)appointment packages for the Historic Preservation Commission, pursuant to Charter, Section 4.135:

- **Richard Johns** - term ending December 31, 2022 (reappointment)
- **Kate Black** - term ending December 31, 2022 (new appointment)
- **Andrew Wolfram** - term ending December 31, 2022 (reappointment)

Pursuant to Charter, Section 4.135, these appointments are subject to approval by the Board of Supervisors and shall be subject to a hearing and vote within 60 days from the date the notice of appointment is transmitted to the Clerk of the Board. If the Board fails to act on the appointment within 60 days, the appointments shall be deemed approved.

These appointments will be scheduled for a Rules Committee hearing and considered for approval within 60 days from when the notice of appointment was received by the Clerk of the Board.

(Attachments)

c: Alisa Somera - Legislative Deputy
Jon Givner - Deputy City Attorney
Mawuli Tugbenyoh - Mayor's Legislative Liaison

OFFICE OF THE MAYOR
SAN FRANCISCO



LONDON N. BREED
MAYOR

Notice of Reappointment

February 21, 2019

San Francisco Board of Supervisors
City Hall, Room 244
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

RECEIVED
2019 FEB 22 PM 4:43
SAN FRANCISCO
BOARD OF SUPERVISORS

Honorable Board of Supervisors:

Pursuant to Charter Section 4.135, of the City and County of San Francisco, I make the following reappointments:

Richard Johns to Seat 4 of the Historic Preservation Commission for a four year term ending December 31, 2022.

Kate Black to Seat 6 of the Historic Preservation Commission for a four year term ending December 31, 2022.

Andrew Wolfram to Seat 2 of the Historic Preservation Commission for a four year term ending December 31, 2022.

I am confident that these individuals will serve our community well. Attached are their qualifications to serve, which demonstrate how their reappointments represent the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any question about these appointments, please contact my Director of Appointments, Mawuli Tugbenyoh, at 415.554.6298.

Sincerely,

A handwritten signature in blue ink that reads "London Breed".

London N.
Mayor

Kate Black

██████████ Street
San Francisco, CA 94114

C ██████████
H ██████████
██████████@aol.com

Related
Experience

Commissioner, San Francisco Historic Preservation Commission

March 2018-Present *City and County of San Francisco, San Francisco, CA*

Planing Director/City Planner/Acting City Planner

2001-2015 *City of Piedmont, Piedmont, CA*

Department head and manager of staff providing development services (design review and zoning compliance) to residents and businesses; staff to City Council and Planning Commission; outside agency participation to address regional housing, transportation, energy, waste management and economic development requirements and opportunities; comprehensive General Plan update, two certified Housing Elements (2015 APA award); development and management of city's recycling, trash & organics collection services franchise agreement; code, policy and guidelines revisions; comprehensive pedestrian and bicycle master plan

Architectural History and Planning Consulting Services

1998-2001 *San Francisco, CA*

Planning consulting services: primary client - City of Piedmont; private client house histories

Planning and Projects Manager/Zoning Administrator/Deputy Zoning Administrator

1988-1996 *City of Mountain View, Mountain View, CA*

Managed large new development projects (corporate headquarters, multi-unit residential); staff to City Council and Planning Commission; Current Planning Division Head and manager of staff providing development services to Mountain View businesses and residents; staff to Site Plan and Architectural Review Committee

Historic Preservation and Planning Planner

1986-1988 *City of Somerville, Somerville, MA*

Executive Director of Somerville Historic Preservation Committee, expansion of historic districts, creation and management of City's Certified Local Government program, preparation of staff reports for certificates of appropriateness applications; staff to newly formed planning department, design review applications, preparation of staff reports and zoning code revisions, presentations to Board of Aldermen and Planning Board

Education

Massachusetts Institute of Technology, Center for Real Estate, Cambridge, MA

1985-1986 *Master of Science, Real Estate Development*

University of Virginia, School of Architecture, Charlottesville, VA

1981-1984 *Masters Degree Candidate, Architectural History/Historic Preservation Certificate*

Boston University, School for the Arts, Boston, MA

1975-1979 *Bachelor of Fine Art, Painting*

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Initial Filing Received
Official Use Only

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
BLACK KATHERINE

1. Office, Agency, or Court

Agency Name (Do not use acronyms) SAN FRANCISCO HISTORIC PRESERVATION COMMISSION COMMISSIONER
Division, Board, Department, District, If applicable Your Position

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County _____ County of _____
 City of SAN FRANCISCO Other _____

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2017, through December 31, 2017.
-OR-
 Leaving Office: Date Left _____ (Check one)
○ The period covered is January 1, 2017, through the date of leaving office.
-OR-
○ The period covered is _____, through the date of leaving office.
 Assuming Office: Date assumed _____
 Candidate: Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 4

Schedules attached

Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
 Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-OR-
 None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE

DAYTIME TELEPHONE NUMBER (415) _____ EMAIL ADDRESS _____

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 2/17/18 (month, day, year) Signature Katherine Black (File the originally signed statement with your filing official.)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name: KATHERINE BLACK

NAME OF BUSINESS ENTITY: APPLE INC.

GENERAL DESCRIPTION OF THIS BUSINESS: TECH - COMPUTERS +

FAIR MARKET VALUE:
 \$2,000 - \$10,000
 \$100,001 - \$1,000,000
 \$10,001 - \$100,000
 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock
 Other (Describe) _____
 Partnership
 Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 17 / / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: CINTAS CORP

GENERAL DESCRIPTION OF THIS BUSINESS: UNIFORMS

FAIR MARKET VALUE:
 \$2,000 - \$10,000
 \$100,001 - \$1,000,000
 \$10,001 - \$100,000
 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock
 Other (Describe) _____
 Partnership
 Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 17 / / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: AT&T

GENERAL DESCRIPTION OF THIS BUSINESS: TELECOM +

FAIR MARKET VALUE:
 \$2,000 - \$10,000
 \$100,001 - \$1,000,000
 \$10,001 - \$100,000
 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock
 Other (Describe) _____
 Partnership
 Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 17 / / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: BREX

GENERAL DESCRIPTION OF THIS BUSINESS: ON-LINE AUCTIONS

FAIR MARKET VALUE:
 \$2,000 - \$10,000
 \$100,001 - \$1,000,000
 \$10,001 - \$100,000
 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock
 Other (Describe) _____
 Partnership
 Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 17 / / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: BROADSHIRE HATHAWAY

GENERAL DESCRIPTION OF THIS BUSINESS: CONGLOMERATE HOLDINGS CO

FAIR MARKET VALUE:
 \$2,000 - \$10,000
 \$100,001 - \$1,000,000
 \$10,001 - \$100,000
 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock
 Other (Describe) _____
 Partnership
 Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 17 / / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: EXXON MOBIL

GENERAL DESCRIPTION OF THIS BUSINESS: OL/GAS

FAIR MARKET VALUE:
 \$2,000 - \$10,000
 \$100,001 - \$1,000,000
 \$10,001 - \$100,000
 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock
 Other (Describe) _____
 Partnership
 Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 17 / / 17
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name: KATHLEEN E. BLACK

NAME OF BUSINESS ENTITY: JOHNSON & JOHNSON
 GENERAL DESCRIPTION OF THIS BUSINESS: CONSUMER PRODUCTS / PHARMACEUTICALS
 FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: MICROSOFT
 GENERAL DESCRIPTION OF THIS BUSINESS: TECH / COMPUTERS
 FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: VERICOR INC
 GENERAL DESCRIPTION OF THIS BUSINESS: PHARMACEUTICALS
 FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: PAPER INC
 GENERAL DESCRIPTION OF THIS BUSINESS: PHARMACEUTICALS
 FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: DAVITA HOLDINGS
 GENERAL DESCRIPTION OF THIS BUSINESS: ON-LINE PAYMENTS
 FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: QUICKCOM INC
 GENERAL DESCRIPTION OF THIS BUSINESS: TRACOM
 FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

Comments:

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name: KATHERINE BLACK

▶ NAME OF BUSINESS ENTITY: UPS

GENERAL DESCRIPTION OF THIS BUSINESS: SHIPPING

FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY:

GENERAL DESCRIPTION OF THIS BUSINESS:

FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY:

GENERAL DESCRIPTION OF THIS BUSINESS:

FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY:

GENERAL DESCRIPTION OF THIS BUSINESS:

FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY:

GENERAL DESCRIPTION OF THIS BUSINESS:

FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY:

GENERAL DESCRIPTION OF THIS BUSINESS:

FAIR MARKET VALUE:
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT:
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 17 _____ / _____ / 17
 ACQUIRED DISPOSED

Comments: _____

RICHARD S. E. JOHNS

Law Offices Of Richard S. E. Johns

██████ 9th AVENUE
SAN FRANCISCO, CALIFORNIA 94118
(415) ██████
e-mail: ██████@yahoo.com

Overview

Forty-five years of experience in litigation and dispute resolution, including jury trials and appearances before California and United States' administrative agencies, in actions involving real estate disputes, antitrust violations, securities laws, fraudulent activity, and various tort actions.

Represent and advise small- to medium-sized businesses in organization, acquisition of other entities, asset purchases and sales, and day-to-day business matters including the problems related to growing a new business. Participated in the founding of several start-up companies involving new products.

Have written musical productions and performed in various plays for The Family. Have participated in many Concordia-Argonaut musicals as an actor, dancer, and singer. In 2014 co-authored a club musical: "Wilkes Bashford and the Mystery of the Missing Yamulkas."

Have assembled an extensive collection of music about San Francisco

Education

1971: J.D., University of California, Hastings College of the Law.
1968: B.A., University of California, Santa Barbara, English Major.

Memberships

State Bar of California, American Bar Association, Bar Association of San Francisco. Admitted before all Federal District Courts in California and the Ninth Circuit Court of Appeals. Formerly a member of the Illinois State Bar. Concordia-Argonaut, 1975 to present. The Family, 2015 to present.

Honors

2015 Board of Governors, Hastings College of The Law
2010 Appointed by Mayor Newsom and later Mayor Lee to be a Member of the San Francisco Historic Preservation Commission, reappointed 2014

2006 to 2008 President of the San Francisco Museum and Historical Society

2002 to 2004 President of the San Francisco Bay Area Chapter of the American Jewish Committee

2002: Mayor's Task Force on the San Francisco Old Mint

1987 to date: Rated AV by Martindale-Hubbell; Listed in Directory of Preeminent Counsel

1990 to date: Who's Who In American Law.

1992 to date: Who's Who Of Emerging Leaders In America.

1994 to date: Who's Who In America

1994 to 1999: Vice President of the Museum of the City of San Francisco

1981: Authored "Guidelines For Proof Of Concerted Action Under The Sherman Act." Eastern Trans. Law Seminar, Association of ICC Practitioners.

1972: Bigelow Fellow and Instructor, University of Chicago Law School. Authored "The After-Acquired Surety: Commercial Paper" 59 Calif. L. Rev. 1459 (with Roscoe T. Steffen).

1971: Hastings Law Journal: Board of Editors.

PROFESSIONAL EXPERIENCE

1998 to present

Retained by Willie L. Brown, Jr., Trustee, to act as attorney for the multi-million dollar Estate of Wilkes Bashford.

Chairman of the Liquidation Oversight Committee in the bankruptcy of Coudert Brothers, the oldest international law firm in America, pending in the Southern District of New York.

Successfully represented the seller of an apartment complex in Hayward in overturning a judicial arbitration award for breach of contract for \$372,000, winning an award of substantial costs and attorney fees for client; and resolving a very complicated and contentious commercial dispute. Coordinated sale of \$4.5 million residence covered by multiple liens and conflicting creditor claims, while avoiding litigation.

Represented Scott Salyer and related interests in defense of a vastly complex bankruptcy case related to federal indictments, and coordinated the defense of multiple Superior Court actions in related litigation.

Trustee of the Jane Chapin Fontana Trust, in charge of operating and administering at trust with a value of over \$13,000,000.

Retained by the Wilkes Bashford Company in pre-bankruptcy reorganization to supervise negotiation and reduction of accounts payable

to vendors. Represented the Wilkes Bashford Company in discretely collecting accounts receivable from prominent customers.

Represented (successfully) a skincare products manufacturing company in complex trade secrets litigation, including claims of defamation and other torts, at trial and on appeal.

General Counsel for: The San Francisco Cannery, LLC, G. S. Cosmeceutical USA, Inc. American Realty & Construction, Webster Tower, McCoy's Patrol Services, 1001 California Street Homeowners Association, in a wide variety of business litigation and transactional advice.

Representing, on an ongoing basis, estate development brokerages in San Mateo County, Contra Costa County, and San Francisco.

Represented a large San Francisco owner of residential apartments in upholding a settlement agreement attacked under the San Francisco rent Control ordinance, resulting in the decision known as *Kaufman v. Goldman* (2011) 195 Cal.App.4th 734.

Retained to successfully represent the interests of insurance carriers in preventing a forced assignment of insurance policies to a judgment creditor.

Retained as an expert witness by Winston & Strawn in a complex real estate development litigation.

Successfully represented members of a mosque in a fight with the Imam over ownership of the congregation's property.

Overtured a series of real estate conveyances on the West Bank in Palestine that had been based on forged powers of attorney procured in Texas. s

Successfully represented a large developer of residential housing (1900 Bryant Street Developers) in a dispute over the project's exemption from San Francisco Rent Control Ordinance.

Successfully represented Primary Steel Company, Inc. in litigation seeking damages due to disruption of its ability to receive steel shipments when a BNSF siding was damaged by a third party.

Represented Danzas, a major worldwide transportation company, now DHL, in defense of claim for damage to property transported from France to California.

Successfully completed a three-week jury trial in San Diego defending a software development company and its investors against tort claims made by a terminated executive.

Recovered funds a lender embezzled from a borrower through the use of forged signatures on checks paid through an escrow account on a refinancing of property.

Successfully represented an international import-export company seeking to recovery money stolen by a former employee in a complicated scheme by which he sold the employer's inventory.

Successfully defended the owner of rental property in Berkeley against claims of wrongful eviction.

Represented former Oakland Raider Marquez Pope in a business case involving a personal guarantee he was defrauded into signing and in litigation against his business manager and attorney for malpractice and fraud.

Successfully defended Theodore Brown & Associates in an architect malpractice suit, and as a plaintiff in a separate dispute over the lease of several floors in a commercial building he owns.

Successfully represented one of the partners in a retail clothing store in a partnership dissolution suit.

Successfully defended a seller of telephone calling cards in fraud action.

Successfully represented First Union Baptist Church in defending an action by Lanier Worldwide who was seeking to enforce a \$60,000 based upon a sister-state judgment. Obtained a reversal on appeal.

Represented Doll-McGinnis Publications in dealing with claims of defamation.

Represented Con-Bay Group Inc., a large Texas-based construction company (Northern California only), and Sierra Electric Company.

Successfully represented employer in a trial of sexual harassment case.

Successfully represented one of the heirs in the distribution of a major estate in Litchfield, Connecticut.

Counseled property developer regarding land use litigation in San Francisco.

Successfully defended a guarantor sole shareholder or a corporation against an alleged obligation on a lease amended in violation of the surety's rights.

Represented the California Pollution Control Financing Authority in concluding fraud litigation and various bankruptcy proceedings; recovered \$17,000,000.

Local counsel for Berger & Montague (Philadelphia) in various class-action securities suits.

Provided ongoing counsel to a nationwide developer of self-storage facilities.

Extricated the Prentis Cobb Hale Trust from a difficult commercial lease.

Successfully represented a real estate broker in litigation to enforce commission agreement.

Handled various liquor license matters before California ABC.

Successfully defended of surety on lease of commercial recording studio (trial and appeal).

Successfully prosecuted legal malpractice case based upon negligent estate planning by attorneys and accountants.

Obtained defense jury verdict for the California Municipal Bond Advisor in libel action involving a critical review of certificates of deposit issued by the College Savings Bank of Princeton, New Jersey.

Successfully represented well-known jazz musician Ricardo Scales in litigation brought under the Talent Agencies Act.

Obtained substantial settlement for Willie Brown in litigation with Hilton Hotels over its cancellation of the venue for a major fund-raising event.

Successfully defended intouch group, inc., a major multi-media music sampling company, in fraud, RICO, libel and employment litigation.

Represented Adventures Unlimited, the world-famous San Francisco travel agency located at Gump's, in winning major litigation against Royal Viking Lines for double-booking suites on world cruises.

With Willie Brown, assisted The Alley Group in successfully asserting claims for breach of construction contracts against Kaiser Hospitals.

Responsible for legal portion of several conduit refinancings (through the San Francisco Redevelopment Agency) of a \$28,000,000 apartment and commercial complex in San Francisco.

Defended CALA Foods in litigation resulting from a failed real estate development in San Francisco.

Represented the California Pollution Control Financing Authority (Plaintiff) in major RICO, securities fraud, and breach of contract litigation in Los Angeles, resulting in two jury trials ending in multi-million dollar verdicts for plaintiff.

2007 – Present Law Offices of Richard S. E. Johns

1998 – 2007 Kipperman & Johns

1985 - 1988 Rubenstein, Bohachek & Johns

While with this firm I represented National Semiconductor, GTE Sprint, and Honey Hill Farms in various commercial and real estate matters, as well as several commercial landlords in disputes over the acquisition and development of commercial space in San Francisco.

1975 - 1984 Furth, Fahrner & Mason

Represented plaintiffs and defendants in major securities fraud and antitrust litigation in both California and federal courts, as well as before regulatory agencies.

Represented defendants in a RICO action brought by IBM against Hitachi and National Semiconductor and was Co-Lead Counsel in the West Coast Department Stores Antitrust Litigation.

Through litigation and negotiation, saved a major manufacturer and shipper (Kellogg Company) \$3.5 million annually in rail freight charges.

Successfully represented the California Pollution Control Financing Authority when \$40,000,000 in bonds issued for the benefit of Kaiser Steel were imperiled by a proposed merger.

Counsel in *Guild Wineries and Distilleries v. J. Sosnick & Son, Inc.*, 102 Cal.App.3d 627, which established that a dual-distributorship distribution system may be a per se violation of the California Antitrust laws.

1972 - 1975 Santa Fe Industries, Chicago

Represented a diversified transportation, real estate, and natural resources company in the development of real estate and in litigation of a wide variety of matters before state and federal regulatory agencies, and in state and federal courts in Illinois and in California.

Richard Johns Resume

Commissioner

San Francisco Historic Preservation Commission

January 2011 – Present (4 years 1 month)

The San Francisco Historic Preservation Commission is a Charter commission that oversees designation of historic districts and landmarks in San Francisco, and approves exterior modifications to buildings in those districts or that have been landmarked. I am not employed by the Historic Preservation Commission.

Owner

Law Offices of Richard S. E. Johns

2004 – Present (11 years)

President

San Francisco Museum & Historical Society

2006 – 2010 (4 years)

President

San Francisco Museum & Historical Society

2005 – 2009 (4 years)

Owner

Kipperman & Johns

1987 – 2006 (19 years)

This was a law firm engaged in transactional and real estate advice, and business litigation.

Attorney

Furth Fahrner & Mason

1976 – 1984 (8 years)

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

Date Initial Filing
Received
Official Use Only

E-Filed
03/20/2018
17:36:08

Filing ID:
169992751

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Johns, Richard Seth Ellis

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
City and County of San Francisco
Division, Board, Department, District, if applicable
Historic Preservation Commission
Your Position
Commissioner

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County _____ County of San Francisco
 City of _____ Other _____

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2017, through December 31, 2017
-or-
The period covered is _____, through December 31, 2017
 Assuming Office: Date assumed _____
 Leaving Office: Date Left _____ (Check one)
 The period covered is January 1, 2017, through the date of leaving office.
 The period covered is _____, through the date of leaving office.
 Candidate: Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 6

Schedules attached

Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
 Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-
 None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
San Francisco CA 94103
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
()

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/20/2018
(month, day, year)

Signature Richard Seth Ellis Johns
(File the originally signed statement with your filing official.)

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Johns, Richard Seth Ellis

▶ NAME OF BUSINESS ENTITY
IBM

GENERAL DESCRIPTION OF THIS BUSINESS
computers

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2

Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name _____

Johns, Richard Seth Ellis

▶ 1. BUSINESS ENTITY OR TRUST

Law Offices of Richard S.E. Johns
 Name _____
 San Francisco, CA 94115
 Address (Business Address Acceptable) _____
 Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

Law Practice

| | |
|---|----------------------------------|
| FAIR MARKET VALUE | IF APPLICABLE, LIST DATE: |
| <input checked="" type="checkbox"/> \$0 - \$1,999 | _____/_____/_____/_____/_____ |
| <input type="checkbox"/> \$2,000 - \$10,000 | ACQUIRED DISPOSED |
| <input type="checkbox"/> \$10,001 - \$100,000 | |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | |
| <input type="checkbox"/> Over \$1,000,000 | |

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION owner

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____

Description of Business Activity or City or Other Precise Location of Real Property _____

| | |
|--|----------------------------------|
| FAIR MARKET VALUE | IF APPLICABLE, LIST DATE: |
| <input type="checkbox"/> \$2,000 - \$10,000 | _____/_____/_____/_____/_____ |
| <input type="checkbox"/> \$10,001 - \$100,000 | ACQUIRED DISPOSED |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | |
| <input type="checkbox"/> Over \$1,000,000 | |

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership
 Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

Comments: _____

▶ 1. BUSINESS ENTITY OR TRUST

Eureka Trust 2000
 Name _____
 San Francisco, CA 94118
 Address (Business Address Acceptable) _____
 Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

| | |
|--|----------------------------------|
| FAIR MARKET VALUE | IF APPLICABLE, LIST DATE: |
| <input type="checkbox"/> \$0 - \$1,999 | _____/_____/_____/_____/_____ |
| <input type="checkbox"/> \$2,000 - \$10,000 | ACQUIRED DISPOSED |
| <input type="checkbox"/> \$10,001 - \$100,000 | |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | |
| <input type="checkbox"/> Over \$1,000,000 | |

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

Helen King and Joanne Samples

Jeffrey Eisenberg and Melinda Aquino

Virginia Brilliant

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____

rental units

Description of Business Activity or City or Other Precise Location of Real Property _____

| | |
|--|----------------------------------|
| FAIR MARKET VALUE | IF APPLICABLE, LIST DATE: |
| <input type="checkbox"/> \$2,000 - \$10,000 | _____/_____/_____/_____/_____ |
| <input type="checkbox"/> \$10,001 - \$100,000 | ACQUIRED DISPOSED |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | |
| <input checked="" type="checkbox"/> Over \$1,000,000 | |

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership
 Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

SCHEDULE A-2

Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____
Johns, Richard Seth Ellis

▶ 1. BUSINESS ENTITY OR TRUST

Eureka Trust 2000 (CONTINUATION)
Name _____

Address (Business Address Acceptable) _____

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999 / / / /
 \$2,000 - \$10,000 ACQUIRED DISPOSED
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

ETF's and Mutual Funds _____

Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property _____

security investments _____

Description of Business Activity or
City or Other Precise Location of Real Property _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000 / / / /
 \$10,001 - \$100,000 ACQUIRED DISPOSED
 \$100,001 - \$1,000,000
 Over \$1,000,000

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Other ETF's and mutual funds
Yrs. remaining

Check box if additional schedules reporting investments or real property are attached

▶ 1. BUSINESS ENTITY OR TRUST

Name _____

Address (Business Address Acceptable) _____

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999 / / / /
 \$2,000 - \$10,000 ACQUIRED DISPOSED
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property _____

Description of Business Activity or
City or Other Precise Location of Real Property _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000 / / / /
 \$10,001 - \$100,000 ACQUIRED DISPOSED
 \$100,001 - \$1,000,000
 Over \$1,000,000

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Other _____
Yrs. remaining

Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE A-2

Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Johns, Richard Seth Ellis

▶ 1. BUSINESS ENTITY OR TRUST

Eureka Trust 2000 (CONTINUATION)
Name _____
Address (Business Address Acceptable) _____
Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

| | | |
|--|-----------------------|--|
| <input type="checkbox"/> \$0 - \$1,999 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$2,000 - \$10,000 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$10,001 - \$100,000 | _____ / _____ / _____ | ACQUIRED DISPOSED |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | _____ / _____ / _____ | |
| <input type="checkbox"/> Over \$1,000,000 | _____ / _____ / _____ | |

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

| | |
|---|---|
| <input type="checkbox"/> \$0 - \$499 | <input type="checkbox"/> \$10,001 - \$100,000 |
| <input type="checkbox"/> \$500 - \$1,000 | <input type="checkbox"/> OVER \$100,000 |
| <input type="checkbox"/> \$1,001 - \$10,000 | |

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
San Francisco

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

| | | |
|---|-----------------------|--|
| <input type="checkbox"/> \$2,000 - \$10,000 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$10,001 - \$100,000 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 | _____ / _____ / _____ | ACQUIRED DISPOSED |
| <input type="checkbox"/> Over \$1,000,000 | _____ / _____ / _____ | |

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

▶ 1. BUSINESS ENTITY OR TRUST

Name _____
Address (Business Address Acceptable) _____
Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

| | | |
|--|-----------------------|--|
| <input type="checkbox"/> \$0 - \$1,999 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$2,000 - \$10,000 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$10,001 - \$100,000 | _____ / _____ / _____ | ACQUIRED DISPOSED |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | _____ / _____ / _____ | |
| <input type="checkbox"/> Over \$1,000,000 | _____ / _____ / _____ | |

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

| | |
|---|---|
| <input type="checkbox"/> \$0 - \$499 | <input type="checkbox"/> \$10,001 - \$100,000 |
| <input type="checkbox"/> \$500 - \$1,000 | <input type="checkbox"/> OVER \$100,000 |
| <input type="checkbox"/> \$1,001 - \$10,000 | |

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

| | | |
|--|-----------------------|--|
| <input type="checkbox"/> \$2,000 - \$10,000 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$10,001 - \$100,000 | _____ / _____ / _____ | _____ / _____ / _____ |
| <input type="checkbox"/> \$100,001 - \$1,000,000 | _____ / _____ / _____ | ACQUIRED DISPOSED |
| <input type="checkbox"/> Over \$1,000,000 | _____ / _____ / _____ | |

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE C

Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Johns, Richard Seth Ellis

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Willie Brown Institute
ADDRESS (Business Address Acceptable)
San Francisco, CA 94133
BUSINESS ACTIVITY, IF ANY, OF SOURCE
Non Profit
YOUR BUSINESS POSITION
None

GROSS INCOME RECEIVED No Income - Business Position Only
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)
 Sale of _____ (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

(Describe)
 Other _____
(Describe)

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED No Income - Business Position Only
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)
 Sale of _____ (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

(Describe)
 Other _____
(Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____
ADDRESS (Business Address Acceptable) _____
BUSINESS ACTIVITY, IF ANY, OF LENDER _____
HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None
TERM (Months/Years) _____
SECURITY FOR LOAN
 None Personal residence
 Real Property _____
Street address _____
City _____
 Guarantor _____
 Other _____
(Describe)

Comments: _____



ANDREW WOLFRAM, AIA, LEED AP

Andrew Wolfram is a passionate advocate of the regeneration of existing buildings and urban architecture. As an architect, he has over 30 years of experience with the adaptive reuse of significant historic buildings in complex settings. To every commission he integrates innovative architectural methods and building systems with design acumen and a powerful commitment to environmental and social sustainability. Appointed by the Mayor of San Francisco to serve on the City's Historic Preservation Commission, and a longtime activist with DOCOMOMO, he works to educate the public about the importance and meaning of historically significant sites, and their role in providing cultural vitality to our cities and neighborhoods. Andrew is a Principal at TEF Design, a San Francisco architecture and interiors firm with a focus on adaptive reuse projects in the Bay Area.

EDUCATION

Columbia University, New York, NY
Graduate School of Architecture,
Planning and Preservation
Master of Architecture, 1988

Columbia College, New York, NY
Bachelor of Arts, Architecture, 1985

Cambridge University, Clare College, 1983-1984

REGISTRATIONS

Licensed Architect: California and New York

LEED Accredited Professional, BD+C

PROFESSIONAL AFFILIATIONS

President, San Francisco Historic Preservation
Commission, 2015-2018

Commissioner, San Francisco Historic Preservation
Commission, 2009-Present

President, Lambda Alpha International, Golden
Gate Chapter

Trustee, California Preservation Foundation, 2014-
2018

President, DOCOMOMO US
Northern California Chapter, 2004-2009

Secretary, DOCOMOMO US, 1996-2001

Member, San Francisco Heritage

Member, SPUR

PROFESSIONAL EXPERIENCE

TEF Design, San Francisco, CA

Principal, 2014-present

Perkins+Will, San Francisco, CA

Principal, Global Leader, Preservation+Reuse
Practice. Western Region Leader, Social
Responsibility Initiative.
2008-2013

SMWM, San Francisco, CA

Director, Preservation + Adaptive Reuse,
1999-2008

Buttrick White & Burtis, New York, NY

Associate, 1993-1998

Cecil, Pierce & Associates, New York, NY

Associate, 1988-1993

University of Naples, School of Architecture, Naples, Italy

Design Assistant for the San Lorenzo
Archaeological Interpretation Study, 1985

LANGUAGES

Fluent: Italian and Polish

Reading Comprehension: Portuguese and French

PROJECT EXPERIENCE

PROJECTS BUILT OR UNDER CONSTRUCTION

140 New Montgomery
San Francisco, California
Principal-in-Charge

The rehabilitation and transformation of San Francisco's first skyscraper, the landmark Art Deco Pacific Telephone Building, into a state-of-the-art facility for high-tech companies

Bay Area Regional Agency Headquarters
San Francisco, California
Principal-in-Charge

The adaptive reuse of a 500,000 sf Army warehouse to accommodate government agencies focused on regional planning, transportation and air quality

Ferry Building Renovation
San Francisco, California
Project Architect

The adaptive reuse of the iconic landmarked Ferry Building into a mixed-use organic marketplace, office and transportation center

Presidio Officers' Club Rehabilitation
San Francisco, California
Principal-in-Charge

The transformation of a complex that includes the oldest buildings in San Francisco, the original Spanish adobe fort, to house the Presidio Heritage and Events Center

Presidio Landmark Adaptive Reuse Housing
Presidio of San Francisco, California
Principal-in-Charge

The adaptive reuse of a long-defunct historic hospital complex into multi-family apartments and related amenities

Presidio Archaeology Center
San Francisco, California
Principal-in-Charge

The adaptive reuse of several historic structures and the construction of a new connecting building to house laboratories, galleries, collection storage and offices

Tenderloin Museum
San Francisco, CA
Principal-in-Charge

This museum features interactive exhibitions, a cafe, and a performance space, all devoted to uncovering the hidden history of the Uptown Tenderloin neighborhood

FEASIBILITY, PLANNING AND URBAN DESIGN STUDIES

San Francisco Seawall Safety Program
San Francisco, California
Principal-in-Charge

TEF is responsible for historic evaluation, analysis and assistance with development of alternatives for the strengthening of the San Francisco Seawall.

Blue Wing Adobe Strategic Plan
Sonoma, California
Principal-in-Charge

Bay Bridge Gateway Park
Oakland, California
Principal-in-Charge

First Presbyterian Church Master Plan
New York, New York
Project Architect

Hearst Memorial Gymnasium Renovation
University of California, Berkeley, California
Project Director

Lower Sproul Student Center
University of California, Berkeley, California
Project Director

Sacramento Intermodal Transit Facility
Sacramento, California
Principal-in-Charge

San Jose Diridon High Speed Rail Station
San Jose, California
Principal-in-Charge

Santa Fe Cathedral Master Plan
Santa Fe, New Mexico
Project Manager

Slow Food Nation Master Plan
San Francisco, California
Project Architect

University of Utah, College of Sciences (Adaptive Reuse of the George Thomas Library)
Salt Lake City, Utah
Principal-in-Charge

Washington Navy Yard Reuse Plan (Southeast Federal Center)
Washington, D.C.
Adaptive Reuse Expert

CULTURAL RESOURCE STUDIES

Fort Scott Cultural Landscape Assessment
San Francisco, California
Project Manager

Hearst Memorial Gymnasium Historic Structure Report
University of California, Berkeley, California
Project Director

Fulton-Nassau Historic Design Guidelines
New York, New York
Historic Architect

Presidio Officers' Club Historic Structure Report
San Francisco, California
Principal-in-Charge

Public Health Service Hospital Cultural Landscape Assessment
San Francisco, California
Project Manager

PROFESSIONAL ACTIVITIES

Speaker, "Presidio Officers' Club Rehabilitation: Integrity Over the Centuries", California Preservation Foundation Workshop, October 2014

Speaker, "San Francisco Regulations and Design Guidelines for Realtors", California Preservation Foundation Webinar, June 2014

Speaker, "Transformative Adaptations: Finding a New Life for Old Buildings", Diablo Valley College Lecture Series, April 2014

Speaker, "Integrity in Modern Landscapes and Structures". California Preservation Foundation Workshop, January 2014

Juror, San Francisco Business Times Deal of the Year Awards, 2013

Speaker, "Creative Transformations: the Secrets of Successful Adaptive Reuse", San Francisco Design Center Student Career Forum, 2013

Adviser to City Arts and Lectures, Nourse Theater Renovation

Juror, California Preservation Foundation Design Awards, 2012

Tour Leader, "San Francisco Waterfront Revival," International Greenbuild Conference, 2012

Speaker, "The Secretary of the Interior's Standards from a Facility Management Perspective," California Preservation Foundation Workshop, March 2012

Tour Leader, "Mid-Century Modern Diamond Heights," AIA-SF Architecture + the City Festival, 2012

Speaker, "Design Roundtable, Tending the Crop," California Preservation Foundation Conference, 2012

Moderator, "Pruning a hospital to grow apartments at the Presidio of San Francisco," California Preservation Foundation Conference, 2012

Author, "Embracing Social Responsibility at Perkins+Will," American Institute of Architects: Practice Management Digest, November 2011

Speaker, "The Presidio Landmark: A Development Case Study," USC Lusk Center, Ross Program in Real Estate, December, 2010

Speaker, "Rehabilitation of the Sacramento Southern Pacific Depot: Keeping a Multi-Phase Project on the Right Track," Association for Preservation Technology Conference, 2010

Tour Leader, "A New Neighborhood in a National Park," AIA-SF Architecture + the City Festival, 2010

Juror, Virginia AIA Preservation Design Awards, 2010

Speaker, "Adaptive Reuse, a Major Focus in Today's Economy," CREW Conference, 2010

Speaker, "Forgotten Modern Masters," Lecture Series, San Francisco Architectural Heritage, 2010

Author, "Hidden Treasures: Analysis and Research Are Keys to a Successful Sustainable Renovation Project," Environmental Design and Construction, June 2010

Speaker, "The San Francisco Ferry Building: A Sustainable Success Story," Business for Social Responsibility Conference, 2009

Tour Leader, "The Mid-Century City: Modernism on Cathedral Hill," San Francisco AIA Architecture and the City Festival, 2009

Tour Leader, "The Many Facets of Diamond Heights," Docomomo North American Tour Day, 2009

Speaker, "From Ranch House Tracts to Superblocks: Preserving Modern Housing," California Preservation Foundation Conference, 2009

Speaker, "Public Private Partnerships: Risks and Rewards," National AIA Convention, 2009

Committee Member, San Francisco Planning Department Working Group on Articles 10 and 11, 2009

Speaker, "Modern Architecture of the San Francisco Bay Area," Palm Springs Art Museum Architecture and Design Council, 2008

Tour Leader, "Greenwood Common: A Modern Enclave," Docomomo 10th Anniversary Tour, Berkeley, CA, 2008

Committee Member, San Francisco Planning Department Advisory Panel on Golden Gate Bridge Suicide Barriers, 2008

Speaker, "Connecting People With the Waterfront: Piers 27-31 Case Study," International Waterfront Expo, Liverpool, UK, 2008

Tour Leader, "Exploring Mid-Century Downtown San Francisco," AIA SF Architecture+the City Festival, 2008

Committee Member, Conference Planning Committee, California Preservation Foundation Conference, Hollywood, CA, 2007

Author, "The Technical Challenges of Preserving Modern Buildings," arcCA, Issue #3, 2006

Committee Member, Conference Planning Committee, California Preservation Foundation Conference, Sacramento, CA, 2006

Author, "Settlement Patterns, Williamsburg Houses," Perspecta 30, 1999

Editor, DOCOMOMO US Newsletter, Spring 1999 and Fall 1999 Issues

Speaker, "Modernism in San Francisco," San Francisco AIA, 2006

Tour Leader, "The Modern Movement - San Francisco" Frank Lloyd Wright Foundation Conference, 2006

Speaker, "Lesser-known Modern Architects of the Bay Area," California Preservation Conference, 2004

Committee Member, Conference Planning Committee, DOCOMOMO International Conference, New York, 2004

Speaker, "Social Housing in New York: The Standardization of Innovation," DOCOMOMO International Conference, Stockholm, 1998

Speaker, "Is It In or Is It Out: Landmarking Modern Buildings in New York," DOCOMOMO International Conference, Bratislava, 1996

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

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| Wolfram, Andrew | | | |

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Historic Preservation Commission

Commissioner

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box) State Judge or Court Commissioner (Statewide Jurisdiction) Multi-County _____ County of San Francisco City of San Francisco Other _____**3. Type of Statement (Check at least one box)** Annual: The period covered is January 1, 2017, through December 31, 2017 Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is ____/____/____, through December 31, 2017

 The period covered is January 1, 2017, through the date of leaving office. Assuming Office: Date assumed ____/____/____ The period covered is ____/____/____, through the date of leaving office. Candidate: Date of Election _____ and office sought, if different than Part 1: _____**4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: 15****Schedules attached** Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

 None - No reportable interests on any schedule**5. Verification**

| MAILING ADDRESS | STREET | CITY | STATE | ZIP CODE |
|--|----------------|---------------|-------|----------|
| (Business or Agency Address Recommended - Public Document) | | | | |
| | | San Francisco | CA | 94103 |
| DAYTIME TELEPHONE NUMBER | E-MAIL ADDRESS | | | |
| () | | | | |

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/26/2018
(month, day, year)Signature Andrew Wolfram
(File the originally signed statement with your filing official.)

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) *Do not attach brokerage or financial statements.*

| |
|-------------------------------------|
| CALIFORNIA FORM 700 |
| FAIR POLITICAL PRACTICES COMMISSION |
| Name <u>Wolfram, Andrew</u> |

▶ NAME OF BUSINESS ENTITY
State of California 5.95%18

GENERAL DESCRIPTION OF THIS BUSINESS
State Municipal Bond

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Municipal Bond
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
State of California 6.65%22

GENERAL DESCRIPTION OF THIS BUSINESS
State Municipal Bond

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Municipal Bond
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
State of California 5.7%21

GENERAL DESCRIPTION OF THIS BUSINESS
State Municipal Bond

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Municipal Bond
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Altria Group

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Goods

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Apple

GENERAL DESCRIPTION OF THIS BUSINESS
Technology and computers

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Bristol Myers Squibb

GENERAL DESCRIPTION OF THIS BUSINESS
Pharmaceuticals

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name _____
Wolfram, Andrew

▶ NAME OF BUSINESS ENTITY
Chevron

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Comcast

GENERAL DESCRIPTION OF THIS BUSINESS
Communications

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Conoco Phillips

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Discover Finl 6.5% PFD

GENERAL DESCRIPTION OF THIS BUSINESS
Mutual Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ecolab Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Food safety

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
General Electric

GENERAL DESCRIPTION OF THIS BUSINESS
Consumers gods, technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) *Do not attach brokerage or financial statements.*

| |
|-------------------------------------|
| CALIFORNIA FORM 700 |
| FAIR POLITICAL PRACTICES COMMISSION |
| Name <u>Wolfram, Andrew</u> |

▶ **NAME OF BUSINESS ENTITY**
Genuine Parts Co

GENERAL DESCRIPTION OF THIS BUSINESS
Automotive

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Home Depot

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Goods

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Kimberly Clark

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Goods and Paper

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Proctor and Gamble

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer goods

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Regency Centers 6% PFD

GENERAL DESCRIPTION OF THIS BUSINESS
Mutual Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Stanley Black and Decker

GENERAL DESCRIPTION OF THIS BUSINESS
Hardware

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

| |
|--|
| CALIFORNIA FORM 700 |
| <small>FAIR POLITICAL PRACTICES COMMISSION</small> |
| Name <u>Wolfram, Andrew</u> |

▶ **NAME OF BUSINESS ENTITY**
Target

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Goods

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Waste Management

GENERAL DESCRIPTION OF THIS BUSINESS
waste management

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Whole Foods Mkt

GENERAL DESCRIPTION OF THIS BUSINESS
Grocery

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Wisconsin Egy Holding Co

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Zimmer Holdings

GENERAL DESCRIPTION OF THIS BUSINESS
Medical Equipment

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Realty Income Corp

GENERAL DESCRIPTION OF THIS BUSINESS
REIT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Wolfram, Andrew

▶ **NAME OF BUSINESS ENTITY**
Solar Capital 6.75% PFD

GENERAL DESCRIPTION OF THIS BUSINESS
Investment company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
TEF Architecture and Interior Design

GENERAL DESCRIPTION OF THIS BUSINESS
Architectural Services

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Shares
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Alied Capital Corp

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Management Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
CentruyLin Quest

GENERAL DESCRIPTION OF THIS BUSINESS
Telecom Services

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Oxford Lane Capital

GENERAL DESCRIPTION OF THIS BUSINESS
Investment management Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Nike Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Apparel

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

| |
|-------------------------------------|
| CALIFORNIA FORM 700 |
| FAIR POLITICAL PRACTICES COMMISSION |
| Name <u>Wolfram, Andrew</u> |

▶ NAME OF BUSINESS ENTITY
Walgreens Boots Alliance

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Clorox Co

GENERAL DESCRIPTION OF THIS BUSINESS
Household and personal products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Colgate Palmolive

GENERAL DESCRIPTION OF THIS BUSINESS
Household and Personal products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Williams Co

GENERAL DESCRIPTION OF THIS BUSINESS
Oil and Gas Services

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Carlyle Group

GENERAL DESCRIPTION OF THIS BUSINESS
Investment management

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
iShares Nasdaq Biotech Fund

GENERAL DESCRIPTION OF THIS BUSINESS
Exchange Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Wolfram, Andrew

▶ NAME OF BUSINESS ENTITY
Mondolez

GENERAL DESCRIPTION OF THIS BUSINESS
Food & Beverage

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Kinder Morgan

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Preferred Apartment Communities

GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Johnson & Johnson

GENERAL DESCRIPTION OF THIS BUSINESS
Healthcare

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Crown Castle

GENERAL DESCRIPTION OF THIS BUSINESS
Telecom

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
First Republic Bank 6.2%

GENERAL DESCRIPTION OF THIS BUSINESS
Financial bond fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) *Do not attach brokerage or financial statements.*

| |
|--|
| CALIFORNIA FORM 700 |
| <small>FAIR POLITICAL PRACTICES COMMISSION</small> |
| Name <u>Wolfram, Andrew</u> |

▶ NAME OF BUSINESS ENTITY
Disney Co

GENERAL DESCRIPTION OF THIS BUSINESS
Media

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
United Parcel Service

GENERAL DESCRIPTION OF THIS BUSINESS
Transportation

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Automatic Data Processing

GENERAL DESCRIPTION OF THIS BUSINESS
IT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Eversource

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Equity Commonwealth

GENERAL DESCRIPTION OF THIS BUSINESS
REIT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Microsoft

GENERAL DESCRIPTION OF THIS BUSINESS
Information Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

| |
|---|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Wolfram, Andrew</u> |
|---|

▶ NAME OF BUSINESS ENTITY
Hersha Hospitality 6.5%

GENERAL DESCRIPTION OF THIS BUSINESS
Preferred Stock Real Estate

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Argo Group

GENERAL DESCRIPTION OF THIS BUSINESS
Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
HCP REIT

GENERAL DESCRIPTION OF THIS BUSINESS
real Estate Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Vornado

GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Hanover Insurance

GENERAL DESCRIPTION OF THIS BUSINESS
Insurance

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
3M

GENERAL DESCRIPTION OF THIS BUSINESS
Manufacturing

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

| |
|---|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Wolfram, Andrew</u> |
|---|

▶ NAME OF BUSINESS ENTITY
Alphabet

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Lasalle Hotel FFund

GENERAL DESCRIPTION OF THIS BUSINESS
Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Nextera Energy

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SL Green Realty

GENERAL DESCRIPTION OF THIS BUSINESS
realty Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Qwest

GENERAL DESCRIPTION OF THIS BUSINESS
Telecom

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ishares Nasdaq

GENERAL DESCRIPTION OF THIS BUSINESS
technology Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Wolfram, Andrew

▶ NAME OF BUSINESS ENTITY
Amazon

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
DowDupont

GENERAL DESCRIPTION OF THIS BUSINESS
Chemicals

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Edison International

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Walt Disney

GENERAL DESCRIPTION OF THIS BUSINESS
Entertainment

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Williams Sonoma

GENERAL DESCRIPTION OF THIS BUSINESS
Home

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WEC energy Group

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

| |
|---|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Wolfram, Andrew</u> |
|---|

▶ NAME OF BUSINESS ENTITY
Powershares Preferred

GENERAL DESCRIPTION OF THIS BUSINESS
Energy Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SPDR S&P Regional

GENERAL DESCRIPTION OF THIS BUSINESS
Banking Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ares Cap Corp Fund

GENERAL DESCRIPTION OF THIS BUSINESS
Bond fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Hersha Hospitality Fund

GENERAL DESCRIPTION OF THIS BUSINESS
Bond fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE C

Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

| |
|-------------------------------------|
| CALIFORNIA FORM 700 |
| FAIR POLITICAL PRACTICES COMMISSION |
| Name _____ |
| Wolfram, Andrew _____ |

| ▶ 1. INCOME RECEIVED | ▶ 1. INCOME RECEIVED |
|--|--|
| <p>NAME OF SOURCE OF INCOME <u>SWA Group</u></p> <p>ADDRESS (Business Address Acceptable) <u>Sausalito, CA 94965</u></p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE <u>Landscape Architecture</u></p> <p>YOUR BUSINESS POSITION _____</p> | <p>NAME OF SOURCE OF INCOME <u>Seventh Avenue Presbyterian Church</u></p> <p>ADDRESS (Business Address Acceptable) <u>San Francisco, CA 94122</u></p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE <u>Church</u></p> <p>YOUR BUSINESS POSITION _____</p> |
| <p>GROSS INCOME RECEIVED <input type="checkbox"/> No Income - Business Position Only</p> <p><input type="checkbox"/> \$500 - \$1,000 <input checked="" type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000</p> | <p>GROSS INCOME RECEIVED <input type="checkbox"/> No Income - Business Position Only</p> <p><input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000</p> <p><input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000</p> |
| <p>CONSIDERATION FOR WHICH INCOME WAS RECEIVED</p> <p><input type="checkbox"/> Salary <input checked="" type="checkbox"/> Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)</p> <p><input type="checkbox"/> Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)</p> <p><input type="checkbox"/> Sale of _____ (Real property, car, boat, etc.)</p> <p><input type="checkbox"/> Loan repayment</p> <p><input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more _____ (Describe)</p> <p><input type="checkbox"/> Other _____ (Describe)</p> | <p>CONSIDERATION FOR WHICH INCOME WAS RECEIVED</p> <p><input type="checkbox"/> Salary <input checked="" type="checkbox"/> Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)</p> <p><input type="checkbox"/> Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)</p> <p><input type="checkbox"/> Sale of _____ (Real property, car, boat, etc.)</p> <p><input type="checkbox"/> Loan repayment</p> <p><input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more _____ (Describe)</p> <p><input type="checkbox"/> Other _____ (Describe)</p> |

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

| | |
|---|---|
| <p>NAME OF LENDER* _____</p> <p>ADDRESS (Business Address Acceptable) _____</p> <p>BUSINESS ACTIVITY, IF ANY, OF LENDER _____</p> <p>HIGHEST BALANCE DURING REPORTING PERIOD</p> <p><input type="checkbox"/> \$500 - \$1,000</p> <p><input type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000</p> <p><input type="checkbox"/> OVER \$100,000</p> | <p>INTEREST RATE _____% <input type="checkbox"/> None</p> <p>TERM (Months/Years) _____</p> <p>SECURITY FOR LOAN</p> <p><input type="checkbox"/> None <input type="checkbox"/> Personal residence</p> <p><input type="checkbox"/> Real Property _____ Street address _____ City _____</p> <p><input type="checkbox"/> Guarantor _____</p> <p><input type="checkbox"/> Other _____ (Describe)</p> |
|---|---|

Comments: _____