

**FORM SFEC-126:**  
**NOTIFICATION OF CONTRACT APPROVAL**  
(S.F. Campaign and Governmental Conduct Code § 1.126)

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|---|--|
| <b>City Elective Officer Information</b> <i>(Please print clearly.)</i>   |  |
| Name of City elective officer(s):<br>Members, Board of Supervisors  | City elective office(s) held:<br>Members, Board of Supervisors |
| <b>Contractor Information</b> <i>(Please print clearly.)</i>  |  |
| Name of contractor:<br><b>Mercy Housing California 51, a California Limited Partnership</b>   |  |
| Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.   |  |
| (1) and (2) – The partnership consists of Mercy Housing California Family Properties (GP) and the tax credit investor, Union Bank of California MUFG (LP). Please see attached Board of Directors / Officers of the Board and Corporation for Mercy Housing California Family Properties and Union Bank of California MUFG as well as the Board List for Union Bank of California MUFG's parent company Mitsubishi UFJ Financial Group (MUFG).<br>(3), (4) and (5) not applicable |  |
| Contractor address: <b>1360 Mission Street, Suite 300, San Francisco, CA 94103</b>  |  |
| Date that contract was approved:<br><i>(By the SF Board of Supervisors)</i>   | Amount of contract: <b>\$25,000,000</b>                        |
| Describe the nature of the contract that was approved:<br><b>Funds will be used for the development of 67 new affordable, family-rental housing units in the South of Market neighborhood.</b>  |  |
| Comments:   |  |

This contract was approved by (check applicable):

the City elective officer(s) identified on this form

a board on which the City elective officer(s) serves: San Francisco Board of Supervisors  
Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

|   |   |
|---|---|
| <b>Filer Information</b> <i>(Please print clearly.)</i>                                 |   |
| Name of filer:<br>Angela Calvillo, Clerk of the Board                                   | Contact telephone number:<br>( 415 ) 554-5184 |
| Address:<br>City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102 | E-mail:<br>Board.of.Supervisors@sfgov.org     |

\_\_\_\_\_  
Signature of City Elective Officer (if submitted by City elective officer)

\_\_\_\_\_  
Date Signed

# BOARD OF DIRECTORS MERCY HOUSING CALIFORNIA FAMILY PROPERTIES

Year 2014

**Member:  
Mercy Housing California.**

| CURRENT BOARD & TERM  | OFFICERS              | MEMBER(S)                   |
|---|-----------------------|-----------------------------|
| 1) Val Agostino (VCh)                      05-19            | <i>President</i>      | Mercy Housing<br>California |
| 2) <b>Jane Graf</b> (Ch)                              07-15 | D. Shoemaker          |                             |
| 3) Amy Bayley                                      07-15    | <i>Vice President</i> |                             |
| 4) Barbara Gualco                                13-18      | J. Graf               |                             |
| 5) Chris Burckhardt                              14-19      | V. Agostino           |                             |
|   | E. Holder             |                             |
|   | B. Gualco             |                             |
|   | S. Daues              |                             |
|   | B. Phillips           |                             |
|   | S. Spears             |                             |
|   | M. Clayton            |                             |
|   | B. Saab               |                             |
|   | <i>J. Dolin</i>       |                             |
|   | Treasurer             |                             |
|   | V. Dodds              |                             |
|   | Secretary             |                             |
|   | J. Rosenblum          |                             |
|   | Asst. Secretary       |                             |
|   | A. Bayley             |                             |

## Mercy Housing California Family Properties - Officers of the Board and Corporation

### Current Board

|  |   |
|--|---|
| <p><b>Jane Graf - Chair</b><br/>                 Mercy Housing Inc.<br/>                 1360 Mission Street, Suite 300<br/>                 San Francisco, CA 94103<br/>                 Bus: 415-355-7146<br/>                 Fax: 415-355-7101<br/>                 E-Mail: <a href="mailto:jgraf@mercyhousing.org">jgraf@mercyhousing.org</a></p> | <p><b>Valerie Agostino – Vice Chair</b><br/>                 Vice President of the Corporation<br/>                 Mercy Housing California<br/>                 1360 Mission Street, Suite 300<br/>                 San Francisco, CA 94103<br/>                 Bus: 415-355-7150<br/>                 Fax: 415-355-7101<br/>                 E-mail: <a href="mailto:agostino@mercyhousing.org">agostino@mercyhousing.org</a></p> |
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|---|--|
| <p><b>Barbara Gualco</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7148</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:bgualco@mercyhousing.org">bgualco@mercyhousing.org</a></b></p> | <p><b>Amy Bayley, RSM</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7148</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:abayley@mercyhousing.org">abayley@mercyhousing.org</a></b></p> |
|---|--|

**Chris Burckhardt**  
**Mercy Housing, Inc.**  
**1999 Broadway, Suite 1000**  
**Denver, CO 80202**  
**Bus: 303-830-6621**  
**Fax: 303-830-3301**  
**E-mail: [cburckhardt@mercyhousing.org](mailto:cburckhardt@mercyhousing.org)**

### Officers of the Board and Corporation

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|--|--|
| <p><b>Jane Graf</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing Inc.</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7146</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-Mail: <a href="mailto:jgraf@mercyhousing.org">jgraf@mercyhousing.org</a></b></p> | <p><b>Doug Shoemaker</b><br/> <b>President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7151</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:dshoemaker@mercyhousing.org">dshoemaker@mercyhousing.org</a></b></p> |
| <p><b>Steve Spears</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing, Inc.</b><br/> <b>1999 Broadway, Suite 1000</b><br/> <b>Denver, CO 80202</b><br/> <b>Bus: 303-830-6621</b><br/> <b>Fax: 303-830-3301</b><br/> <b>E-mail: <a href="mailto:sspears@mercyhousing.org">sspears@mercyhousing.org</a></b></p>     | <p><b>Melissa Clayton</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing, Inc.</b><br/> <b>1999 Broadway, Suite 1000</b><br/> <b>Denver, CO 80202</b><br/> <b>Bus: 303-830-6621</b><br/> <b>Fax: 303-830-3301</b><br/> <b>E-mail: <a href="mailto:mclayton@mercyhousing.org">mclayton@mercyhousing.org</a></b></p>                |
| <p><b>Bruce Saab</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing, Inc.</b><br/> <b>1999 Broadway, Suite 1000</b><br/> <b>Denver, CO 80202</b><br/> <b>Bus: 303-830-6621</b><br/> <b>Fax: 303-830-3301</b><br/> <b>E-mail: <a href="mailto:bsaab@mercyhousing.org">bsaab@mercyhousing.org</a></b></p>           | <p><b>Jennifer Dolin</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7148</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:jdolin@mercyhousing.org">jdolin@mercyhousing.org</a></b></p>    |

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| <p><b>Valerie Agostino</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7150</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:agostino@mercyhousing.org">agostino@mercyhousing.org</a></b></p> | <p><b>Stephen Daues</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>3120 Freeboard Drive, Suite 202</b><br/> <b>West Sacramento, CA 95691</b><br/> <b>Bus: 916-414-4440</b><br/> <b>Fax: 916-414-4490</b><br/> <b>E-mail: <a href="mailto:gsparks@mercyhousing.org">gsparks@mercyhousing.org</a></b></p> |
| <p><b>Ben Phillips</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1500 South Grand Avenue, Suite 100</b><br/> <b>Los Angeles, CA 90015</b><br/> <b>Bus: 213-743-5821</b><br/> <b>Fax: 213-743-5828</b><br/> <b>E-mail: <a href="mailto:bphillips@mercyhousing.org">bphillips@mercyhousing.org</a></b></p> | <p><b>Barbara Gualco</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7148</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:bgualco@mercyhousing.org">bgualco@mercyhousing.org</a></b></p>   |
| <p><b>Ed Holder</b><br/> <b>Vice President of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1500 South Grand Avenue, Suite 100</b><br/> <b>Los Angeles, CA 90015</b><br/> <b>Bus: 213-743-5830</b><br/> <b>Fax: 213-743-5828</b><br/> <b>E-mail: <a href="mailto:eholder@mercyhousing.org">eholder@mercyhousing.org</a></b></p>        | <p><b>Amy Bayley, RSM</b><br/> <b>Asst. Secretary of the Corporation</b><br/> <b>Mercy Housing California</b><br/> <b>1360 Mission Street, Suite 300</b><br/> <b>San Francisco, CA 94103</b><br/> <b>Bus: 415-355-7148</b><br/> <b>Fax: 415-355-7101</b><br/> <b>E-mail: <a href="mailto:abayley@mercyhousing.org">abayley@mercyhousing.org</a></b></p> |
| <p><b>Vince Dodds</b><br/> <b>Treasurer of the Corporation</b><br/> <b>Mercy Housing, Inc.</b><br/> <b>1999 Broadway, Suite 1000</b><br/> <b>Denver, CO 80202</b><br/> <b>Bus: 303-830-6621</b><br/> <b>Fax: 303-830-3301</b><br/> <b>E-mail: <a href="mailto:vdodds@mercyhousing.org">vdodds@mercyhousing.org</a></b></p>                                | <p><b>Joe Rosenblum</b><br/> <b>Secretary of the Corporation</b><br/> <b>Mercy Housing, Inc.</b><br/> <b>1999 Broadway, Suite 1000</b><br/> <b>Denver, CO 80202</b><br/> <b>Bus: 303-830-6621</b><br/> <b>Fax: 303-830-3301</b><br/> <b>E-mail: <a href="mailto:jrosenblum@mercyhousing.org">jrosenblum@mercyhousing.org</a></b></p>                    |

**Union Bank of California MUFJ's Parent Company Board of Directors**  
**(Mitsubishi UFJ Financial Group)**

Kiyoshi Sono - **Chairman**

Tatsuo Wakabayashi - **Deputy Chairman and Chief Audit Officer**

President, Mitsubishi - **UFJ Trust and Banking Corporation**

Nobuyuki Hirano - **President & CEO**

**President, Bank of Tokyo-Mitsubishi UFJ**

Masaaki Tanaka - **Deputy President**

Taihei Yuki - **Senior Managing Director and Chief Financial Officer**

Akihiko Kagawa - **Senior Managing Director and Chief Compliance and Risk Officer**

Tadashi Kuroda - **Managing Director and Chief Planning Officer**

Takashi Nagaoka - **President & CEO, Mitsubishi UFJ Securities Holdings Company**

**President & CEO, Mitsubishi UFJ Morgan Stanley Securities Company**

Junichi Okamoto - **Group Head of Integrated Trust Assets Business Group**

**Deputy President, Mitsubishi UFJ Trust and Banking Corporation**  
Hiroyuki Noguchi - **Managing Director, Mitsubishi UFJ Securities Holdings Company and Mitsubishi UFJ Morgan Stanley Securities Company**  
Ryuji Araki - **Advisor of Toyota Motor Corporation**  
Yuko Kawamoto - **Professor, Waseda Graduate School of Finance, Accounting and Law**  
Tsutomu Okuda - **Senior Advisor of J. Front Retailing Co., Ltd.**  
Kunie Okamoto - **Chairman of Nippon Life Insurance Company**  
Haruka Matsuyama - **Partner of Hibiya Park Law Offices**

**Union Bank of California MUFG : Officers of the Corporation**

Masashi Oka - **Executive Chairman**  
Katsumi Hatao - **CEO for the Americas**  
Hironori Kamezawa - **Deputy CEO for the Americas**  
Takayuki Akita - **Head of Asian Corporate Banking**  
Ranjana Clark - **Head of Transaction Banking**  
Michael F. Coyne - **General Counsel**  
Kevin Cronin - **Head of U.S. Corporate Banking**  
Michael Gotimer - **Co-Chief Information & Operations Officer**  
John Itokazu - **Co-Chief Information and Operations Officer**  
Kazuo (Kaz) Koshi - **Chief of Staff**  
Anthony S. Marino - **Co-Chief Human Resources Officer**  
Mark W. Midkiff - **Chief Risk Officer for the Americas**  
J. Michael Stedman - **Head of Commercial Banking**  
Timothy H. Wennes - **West Coast President and Head of Retail Banking and Wealth Markets**  
Annemieke van der Werff - **Co-Chief Human Resources Officer**  
John F. Woods - **Chief Financial Officer**  
Johannes Worsoe - **Head of Investment Banking & Markets**