

**FORM SFEC-126:**  
**NOTIFICATION OF CONTRACT APPROVAL**  
(S.F. Campaign and Governmental Conduct Code § 1.126)

<b>City Elective Officer Information</b> <i>(Please print clearly.)</i>	
Name of City elective officer(s): Members, Board of Supervisors	City elective office(s) held: Members, Board of Supervisors
<b>Contractor Information</b> <i>(Please print clearly.)</i>	
Name of contractor: State Street Bank and Trust Co	
Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use <b>additional pages as necessary.</b>	
Mimi K. Li, Director	
Contractor address: One Lincoln Street, 5 <sup>th</sup> Fl, Boston, MA 02111	
Date that contract was approved: <i>(By the SF Board of Supervisors)</i>	Amount of contracts: \$500,000
Describe the nature of the contract that was approved: Resolution authorizing the execution and delivery from time to time of Tax-Exempt (Series 3 and Series 4) and Taxable Lease Revenue Commercial Paper Certificates of Participation (Series 3-T and Series 4-T), in a aggregate principal amount not to exceed \$100,000,000, to provide interim financing for certain City capital projects; and approving and authorizing execution of a trust agreement, a site lease, a sublease, a letter of credit, and reimbursement agreement, a fee agreement and certain other financing documents, and authorizing other related actions. RECEIVED AND ASSIGNED to Budget and Finance Committee.	
Comments: N/A	

This contract was approved by (check applicable):

the City elective officer(s) identified on this form

a board on which the City elective officer(s) serves: San Francisco Board of Supervisors  
Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

<b>Filer Information</b> <i>(Please print clearly.)</i>	
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: ( 415 ) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: Board.of.Supervisors@sfgov.org

\_\_\_\_\_  
Signature of City Elective Officer (if submitted by City elective officer)

\_\_\_\_\_  
Date Signed

\_\_\_\_\_  
Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

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Name of contractor: State Street Bank and Trust Company	
<p><i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i></p> <p><b>Board of Directors</b>                  Joseph (Jay) L. Hooley                  Kennett F. Burnes                  Peter Coym                  Patrick de Saint-Aignan                  Dame Amelia C. Fawcett                  Linda A. Hill                  Robert S. Kaplan                  Richard P. Sergel                  Ronald L. Skates                  Gregory L. Summe                  Thomas J. Wilson</p> <p>Chairman, President and Chief Executive Officer: Joseph (Jay) L. Hooley                  Chief Financial Officer: Edward Resch and Michael W. Bell                  Vice Chairman: Joseph C. Antonellis</p>	
One Lincoln St, Boston, MA 02111	
Date that contract was approved: <i>(By the SF Board of Supervisors)</i>	Amount of contracts: \$100,000,000 of maximum authorized CP amount plus \$8,876,713 of accrued interest
Letter of Credit in support of the SFMTA Commercial Paper Notes Series A-1 (tax-exempt) and Series A-2 (taxable)	
Comments: N/A	

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<b>Contractor Information</b> <i>(Please print clearly.)</i>	
Name of contractor: Loop Capital Markets LLC	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
<b>* Jim Reynolds, Chairman &amp; CEO, 77% owner.</b> <b>Tasha Henderson, Chief Financial Officer</b> <b>Peter Bynoe, Chief Operating Officer</b> <b>*Indirectly through 98% ownership of Loop Capital Holdings LLC.</b>	
Contractor address: 12100 Wilshire Blvd., Suite 430, Los Angeles, CA 90025	
Date that contract was approved: <i>(By the SF Board of Supervisors)</i>	Amount of contracts: A maximum of \$50,000, assuming 5.0 basis points per annum x \$100,000,000 of commercial paper under management
Describe the nature of the contract that was approved: Primary commercial paper dealer .	
Comments: N/A	

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Name of City elective officer(s): Members, Board of Supervisors	City elective office(s) held: Members, Board of Supervisors

<b>Contractor Information</b> <i>(Please print clearly.)</i>	
Name of contractor: Morgan Stanley and Co, LLC	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
(1) See Attachment I (2) James P. Gorman, CEO Ruth Porat, CFO Jim Rosenthal, COO (3) None (4) None (5) Morgan Stanley Political Action Committee	
Contractor address: Morgan Stanley 555 California Street, Floor 21 San Francisco, CA 94104	
Date that contract was approved: <i>(By the SF Board of Supervisors)</i>	Amount of contracts: a maximum of \$50,000, assuming 5.0 basis points per annum x \$100,000,000 of commercial paper under management
Describe the nature of the contract that was approved: Commercial Paper Dealer	
Comments: N/A	

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## ATTACHMENT I

### *Morgan Stanley Board of Directors:*

James P. Gorman, Chairman of the Board and Chief Executive Officer  
Erskine B. Bowles, Director  
Sir Howard J. Davies, Director  
Thomas H. Glocer, Director  
Robert H. Herz, Director  
C. Robert Kidder, Director  
Klaus Kleinfeld, Director  
Donald T. Nicolaisen, Director  
Hutham S. Olayan, Director  
James W. Owens, Director  
O. Griffith Sexton, Director  
Ryosuke Tamakoshi, Director  
Masaaki Tanaka, Director  
Dr. Laura D. Tyson, Director

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