

File No. 180253

Committee Item No. 1

Board Item No. 23

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: RULES
Board of Supervisors Meeting

Date: May 1, 2018

Date: _____

Cmte Board

- | | | |
|-------------------------------------|-------------------------------------|----------------------------------------------|
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Motion |
| <input type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Introduction Form |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Form 700 |
| <input type="checkbox"/> | <input type="checkbox"/> | Vacancy Notice |
| <input type="checkbox"/> | <input type="checkbox"/> | Information Sheet |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER

<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____

Prepared by: John Carroll

Date: April 20, 2018

Prepared by: Alisa Somera

Date: April 26, 2018

1 [Mayoral Reappointment, Controller - Ben Rosenfield]

2
3 **Motion approving the Mayor’s reappointment of Ben Rosenfield as the Controller for**
4 **the City and County of San Francisco, for a ten-year term, pursuant to Charter, Section**
5 **3.105.**

6
7 WHEREAS, Charter, Section 3.105, empowers the Mayor to appoint a Controller for a
8 ten-year term, subject to confirmation by the Board of Supervisors; and

9 WHEREAS, On March 25, 2008, the Board of Supervisors confirmed the appointment
10 of Ben Rosenfield for a ten-year term by Motion No. M08-56; and

11 WHEREAS, On March 14, 2018, Mayor Mark Farrell submitted a communication
12 notifying the Board of Supervisors of the reappointment of Ben Rosenfield as the Controller,
13 for an additional ten-year term; and

14 WHEREAS, The Board of Supervisors wishes to confirm the reappointment of Ben
15 Rosenfield as the Controller, for a ten-year term to begin on the approval date of this Motion;
16 now, therefore, be it

17 MOVED, That the Board of Supervisors hereby approves the Mayor's reappointment of
18 Ben Rosenfield as the Controller for the City and County of San Francisco, for a ten-year term
19 ending _____, 2018.

20
21
22
23
24
25

BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 554-5227

MEMORANDUM

Date: March 14, 2018
To: Members of the Board of Supervisors
From: ~~By~~ Angela Calvillo, Clerk of the Board
Subject: APPOINTMENT BY THE MAYOR

On March 13, 2018, the Mayor submitted the following reappointment package, pursuant to Charter, Section 3.105.

Ben Rosenfield as the Controller for a ten year term, to commence upon Board Approval.

Mr. Rosenfield's current seat expires March 25, 2018. Pursuant to Board Rule 2.18.1, The Clerk of the Board has opened File No. 180253 for this nomination and will schedule a public hearing at a Committee of the Whole on March 20, 2018.

(Attachments)

c: Alisa Somera - Rules Clerk
Jason Elliott – Chief of Staff
Jon Givner - Deputy City Attorney

OFFICE OF THE MAYOR
SAN FRANCISCO



MARK E. FARRELL
MAYOR

605-1)
Rules Clerk
COB, Files

March 13, 2018

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2018 MAR 13 PM 3:26
BY ALK

Dear Ms. Calvillo:

Pursuant to the Section 3.105 of the Charter of the City and County of San Francisco, it is my pleasure to notify you of my reappointment of Ben Rosenfield as Controller.

Mr. Rosenfield's reappointment is for a term ending ten years from the date of confirmation by the Board of Supervisors.

I am pleased to advise you of this nomination for reappointment and encourage the support of the Board of Supervisors.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff, Francis Tsang, at 415-554-6467.

Sincerely,

Mark E. Farrell
Mayor

Ben Rosenfield, Controller

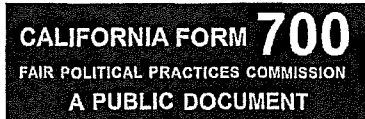
Benjamin Rosenfield serves as the City Controller. Mr. Rosenfield was appointed to a 10-year term as Controller by Mayor Gavin Newsom and confirmed by the Board of Supervisors in Spring 2008.

As Chief Fiscal Officer and Auditor, he provides fiscal management oversight of a \$10 billion budget and is responsible for budgetary planning and monitoring of the receipt, collection and disbursement of City funds. The City Controller is responsible for the City's financial management systems, internal controls and reports, provides public policy and economic analyses, estimates the cost of ballot measures, provides payroll services for the City's employees and directs performance and financial audits of City activities.

Before becoming Controller, Mr. Rosenfield served as the Deputy City Administrator under City Administrator Edwin Lee from 2005 to 2008, during which time we charged with the preparation of the City's first 10-year capital plan and oversight of the implementation of the City's 311 non-emergency customer service center. From 2001 to 2005 Mr. Rosenfield worked as the Budget Director for Mayor Willie L. Brown, Jr. and Mayor Gavin Newsom. As Budget Director, Mr. Rosenfield prepared the City's proposed budget for each fiscal year and served on behalf of the Mayor to manage city spending during the course of each year. From 1997 to 2001 Mr. Rosenfield performed as an analyst in the Mayor's Budget Office and as a project leader in the Controller's Office.

During his time as Controller, Mr. Rosenfield has worked with policymakers on a number of initiatives to improve management of the City's finances. He has supported the development of voter-approved ballot measures to revise the City's financial planning processes, shift the City to a two-year budget, and better manage retiree pension and healthcare costs. He supervised the development of complex financings required to expand the City's Moscone Convention Center, complete construction of the Transbay Transit Center, and restructure the Asian Art Museum's strained debt portfolio. During his term, the Controller's Office has replaced the City's legacy payroll & benefits systems and is underway with the replacement and modernization of the City's financial and procurement systems. He has participated in the preparation and review of the last twenty City operating budgets during his career with the City.

Mr. Rosenfield succeeded Edward Harrington as the Controller, following Mr. Harrington's appointment to the position of General Manager of the San Francisco Public Utilities Commission in 2008.



STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Initial Filing
Received
Official Use Only

E-Filed
03/28/2017
10:27:51

Filing ID:
164317158

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Rosenfield, Benjamin Lewis

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Controller Office of the

Controller

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: *SEE ATTACHED FOR ADDITIONAL POSITIONS

Position:

2. Jurisdiction of Office (Check at least one box)

State

Judge or Court Commissioner (Statewide Jurisdiction)

Multi-County

County of San Francisco

City of San Francisco

Other

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2016, through December 31, 2016

Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is ____/____/____, through December 31, 2016

The period covered is January 1, 2016, through the date of leaving office.

Assuming Office: Date assumed ____/____/____

The period covered is ____/____/____, through the date of leaving office.

Candidate: Election Year _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete)

► Total number of pages including this cover page: 3

Schedules attached

Schedule A-1 - Investments - schedule attached

Schedule C - Income, Loans, & Business Positions - schedule attached

Schedule A-2 - Investments - schedule attached

Schedule D - Income - Gifts - schedule attached

Schedule B - Real Property - schedule attached

Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

San Francisco CA 94114

DAYTIME TELEPHONE NUMBER

E-MAIL ADDRESS

()

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/28/2017

(month, day, year)

Signature Benjamin Lewis Rosenfield

(File the originally signed statement with your filing official)

**STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
Expanded Statement Attachment**

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name Benjamin Lewis

* This table lists all positions including the primary position listed in the Office, Agency, or Court section of the Cover Page.

Agency	Division/Board/Dept/District	Position	Type of Statement
City and County of San Francisco	Controller Office of the	Controller	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	PUC Rate Fairness Board	Board Member	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Treasury Oversight Committee	Member	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Retiree Health Trust Fund Board	Trustee	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Rate Fairness Board	Board Member	Annual 1/1/2016 - 12/31/2016

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Rosenfield, Benjamin Lewis</u>

▶ **NAME OF BUSINESS ENTITY**
SPDR DOW JONES INDL ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Dow Jones fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
SPDR S&P 500 TRUST

GENERAL DESCRIPTION OF THIS BUSINESS
S&P trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Powershares QQQ Series 1 ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Multicompany NASDAQ investment fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other ETF
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
ISHARES MSCI ASIA EX-JAPAN ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Multiple company investment fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Vale SA

GENERAL DESCRIPTION OF THIS BUSINESS
Mining and metalurgy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Stock
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
07 / 01 / 16 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
ISHARES MSCI BRAZIL CAPPED ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment Fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

Print Form

Introduction Form

By a Member of the Board of Supervisors or Mayor

Time stamp
or meeting date

I hereby submit the following item for introduction (select only one):

- 1. For reference to Committee. (An Ordinance, Resolution, Motion or Charter Amendment).
- 2. Request for next printed agenda Without Reference to Committee.
- 3. Request for hearing on a subject matter at Committee.
- 4. Request for letter beginning : "Supervisor [] inquiries"
- 5. City Attorney Request.
- 6. Call File No. [] from Committee.
- 7. Budget Analyst request (attached written motion).
- 8. Substitute Legislation File No. []
- 9. Reactivate File No. []
- 10. Question(s) submitted for Mayoral Appearance before the BOS on []

Please check the appropriate boxes. The proposed legislation should be forwarded to the following:

- Small Business Commission
- Youth Commission
- Ethics Commission
- Planning Commission
- Building Inspection Commission

Note: For the Imperative Agenda (a resolution not on the printed agenda), use the Imperative Form.

Sponsor(s):

Clerk of the Board

Subject:

Mayoral Reappointment, Controller - Ben Rosenfield

The text is listed:

Motion approving/rejecting the Mayor's reappointment of Ben Rosenfield as the Controller for the City and County of San Francisco, for a ten-year term, pursuant to Charter, Section 3.105.

Signature of Sponsoring Supervisor:

Alice Gomez

For Clerk's Use Only

180253

