



## Department of Emergency Management

1011 Turk Street, San Francisco, CA 94102

Phone: (415) 558-3800 Fax: (415) 558-3843



London Breed  
Mayor

Mary Ellen Carroll  
Executive Director

**To:** Angela Calvillo, Clerk of the Board of Supervisors

**From:** Mary Ellen Carroll  
Executive Director, Department of Emergency Management

**Date:** March 3, 2020

**RE:** **Bay Area Urban Areas Security Initiative (UASI) FY 2019 Homeland Security Grant Program Budget Revision**

---

The Department of Emergency Management is pleased to present the following Grant Report in summary of the above-referenced item submitted by the Department of Emergency Management.

**Legislation For Approval:** The proposed resolution seeks the Board of Supervisors' approval for the acceptance and expenditure of an additional \$602,500 in funds from the Federal Department of Homeland Security (DHS) through the California Office of Emergency Services (CalOES) to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from natural or human caused disasters as well as threats and terrorism.

The FY 19-20 Annual Appropriation Ordinance (No. 155-19) reflected the anticipated FY 19 grant allocation to the Bay Area UASI from the Department of Homeland Security as a pass through from the California Office of Emergency Services in the amount of \$24,140,000. This resolution amends the amount of the allocation to add an additional \$602,500 to the FY 19 UASI grant allocation for a total grant award of \$24,742,500. San Francisco will continue to act as the fiscal agent for all regional Homeland Security Grant funds.

**Departments:**

**Department of Emergency Management (DEM).** Department acts as the fiscal agent and expenditures benefit the Bay Area UASI which includes the three formerly separate UASI cities: the City and County of San Francisco, the City of Oakland, and the City of San Jose, as well as the twelve contiguous Bay Area counties and the other Bay Area governmental jurisdictions within those counties.

The Bay Area UASI was established in grant year FY2006 as a requirement of the Department of Homeland Security for regions to create a governance structure for the distribution of Urban Areas Security Initiative grant funding.

The Bay Area UASI is lead by a grant funded general manager and staff consisting of employees from the cities and counties within the Bay Area region. Based on requirements of the grant, as well as recommendations of regional working groups and committees, grants are allocated through a regional Advisory Group and under the policy direction of the Approval Authority, which consists of representatives of the Cities of San Jose, Oakland, and San Francisco, and the Counties of Alameda, Contra Costa, Marin, Monterey, Santa Clara, San Francisco, San Mateo, and Sonoma. CalOES also holds a seat on the Approval Authority. The chair of the Approval Authority is the Executive Director of the San Francisco Department of Emergency Management.

The additional FY 19 amount allocated to the Bay Area has been designated to provide funding for a statewide risk management project. As the fiscal agent, DEM would be authorized to accept and expend these additional grant funds on behalf of the Bay Area and the other Urban Areas within California, and to receive grant reimbursements for expenses incurred.

The City and County of San Francisco is the fiscal agent for all homeland security grant funds. DEM is responsible for administering the grant for the Bay Area UASI and for ensuring that the grant funds are spent according to the grant and financial guidelines.

**Amount:**

The FY 19 grant award represents an increase in funding allocated in the AAO by \$602,500 for a total FY 19 award of \$24,742,500 for the purposes of funding a statewide risk management project by agreement of the UASI Approval Authority which acts as the executive steering committee.

**Grant Period:**

September 1, 2019 through May 31, 2022

**Source of funds:**

U.S. Department of Homeland Security, through the California Office of Emergency Services. No match is required.

**Indirect Costs:**

The proposed resolution waives indirect costs, because the grant program does not allow the charging of indirect costs.

**Description:**

The proposed resolution authorizes the Department of Emergency Management to accept and expend an additional \$602,500 in federal

grant funding from the FY 2019 Homeland Security Grant Program (Award # 2019- 0035, OES # 075-95017), issued by the U.S. Department of Homeland Security (DHS) through the California Office of Emergency Services (CalOES). This reflects an increase to the \$24,140,000 originally approved in the AAO (155-19) for a total grant award of \$24,742,500.

**Budget:**

Budget by activity for the additional allocations:

(1) Existing Equipment Budget: \$5,408,369

Funds will be used for the purchase of equipment for first responders throughout the region such as: Cyber Security Enhancement equipment, Terrorism Incident Response equipment, Interoperable Communications equipment, Information Technology equipment, mobile internet trailers, mass notifications systems, rapid decon equipment, equipment upgrades to the SFPD Operations Center, and the SFFD above ground water delivery system.

No additional funds added to the Equipment Budget:

**Equipment Total:** **\$5,408,369**

(2) Existing Planning Budget: \$2,736,763

The grant's budget amount allocated funds for the regional public alert campaign called "Bay Alert", a WebEOC fusion project for Marin, an emergency planner for San Francisco to update the City's Mass Care and Shelter plan and the Earthquake Annex, the continued funding of contractors for a regional critical transportation project, a debris management plan for the North Bay, and planning positions for the training and exercise project.

No additional funds added to Planning Budget:

**Planning Total:** **\$2,736,763**

(3) Existing Training Budget: \$1,879,600

The budget amount represents the planned allocation to conduct a regional Mass Notification System Users Conference, Volunteer and Donations Management classes, Active Shooter classes, All Hazards Incident

Management classes, Disaster cost recovery classes for fiscal staffs, earthquake mitigation classes for hospitals, and community mass care and emergency assistance classes.

No additional funds added to Training Budget.

**Training Total:** **\$1,879,600**

(4) Existing Exercise Budget: **\$1,875,000**

The amount represents the planned allocation for regional exercise planning, supplies, and the conduct of exercises using HSEEP (Homeland Security Exercise and Evaluation Program) guidelines and requirements. San Mateo will conduct a full-scale county-wide medical/health preparedness exercise, Alameda County will purchase materials and supplies for mass casualty exercises.

No additional funds added to the Exercise Budget:

**Exercise Total:** **\$1,875,000**

(5) Existing Organizational Budget: **\$9,705,643**

The budget amount represents the planned allocation for information sharing and collaboration as well as critical infrastructure protection. This amount pays for salary and fringe costs of regional intelligence analysts and cyber security analysts that staff the Northern California Regional Intelligence Center (NCRIC). The San Francisco Fire, Police, and Emergency Management Departments will fund positions to oversee, coordinate, and manage projects that include the City's Hazard Mitigation Plan, Emergency Response Plans, Special Events planning, community resilience planning, a duty officer for the watch center, and training and exercise planning. The Cities of Oakland and San Jose and Monterey County will also fund planning positions for mass care, emergency communications, EOC coordination.

Additional funds added to Organizational budget: **\$2,000,000**

Cal OES has awarded funds for a statewide Risk Management Project to be managed by the Bay Area UASI. The program will provide risk management support services to sustain the current statewide risk management program, which includes analytic tools and services that enable the California urban

areas, state agencies, and fusion centers to measure, model, and prioritize risks, optimize resource allocations, and quantify and develop strategic, operational, and real-time risk plans, reports, and decisions. The program leverages local and regional risk management, and critical infrastructure assessments to create a common threat awareness picture for the State of California.

**Organizational Total:** **\$11,705,643**

(6) Management & Administration Budget: **\$1,137,125**

The budget amount for Management and Administration expenses include UASI grant administration staff, line item office expenses, Controller's Accounting, City Attorney, office and equipment maintenance and leases. The amount budgeted reflects 5% of the cash grant, which was the maximum allowable under the guidelines.

No additional funds added to the Management and Administration Budget:

**Management and Administration Total:** **\$1,137,125**

**Original AAO budget:** **\$24,140,000**

Awarded Grant Budget: **\$22,742,500**

Additional Awarded Funds: **\$2,000,000**

**Total New Grant Budget:** **\$24,742,500**