File No. 130627

## FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information (Please print clearly.)

City Licetive Ciffeet Information (Ficuse print electry.)	
Name of City elective officer(s):	City elective office(s) held:
Members, Board of Supervisors	Members, Board of Supervisors
Contractor Information (Please print clearly.)	
Name of contractor: State Street Bank and Trust Co	
Please list the names of (1) members of the contractor's board of diffinancial officer and chief operating officer; (3) any person who has any subcontractor listed in the bid or contract; and (5) any political additional pages as necessary.  Mimi K. Li, Director	s an ownership of 20 percent or more in the contractor; (4)
Contractor address: One Lincoln Street, 5 <sup>th</sup> Fl, Boston, MA 02111	
Date that contract was approved: (By the SF Board of Supervisors)	Amount of contracts: \$500,000
Describe the nature of the contract that was approved: Resolution Tax-Exempt (Series 3 and Series 4) and Taxable Lease Revenue C and Series 4-T), in a aggregate principal amount not to exceed \$10 capital projects; and approving and authorizing execution of a trust a reimbursement agreement, a fee agreement and certain other finance RECEIVED AND ASSIGNED to Budget and Finance Committee.	ommercial Paper Certificates of Participation (Series 3-T 0,000,000, to provide interim financing for certain City agreement, a site lease, a sublease, a letter of credit, and
Comments: N/A	
This contract was approved by (check applicable):  □the City elective officer(s) identified on this form  ☑ a board on which the City elective officer(s) serves: San Fr	rancisco Board of Supervisors
	Print Name of Board
☐ the board of a state agency (Health Authority, Housing Auth Board, Parking Authority, Redevelopment Agency Commission Development Authority) on which an appointee of the City elec-	on, Relocation Appeals Board, Treasure Island
Print Name of Board	
Filer Information (Please print clearly.)	
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: (415) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco,	CA 94102 E-mail: Board.of.Supervisors@sfgov.org
Signature of City Elective Officer (if submitted by City elective officer	Cer) Date Signed
Signature of Board Secretary or Clerk (if submitted by Board Secret	ary or Clerk) Date Signed

### FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information (Please print clearly.)			
Name of City elective officer(s):	City elective office(s) held:		
Members, Board of Supervisors	Members, Board of Supervisors		
Contractor Information (Please print clearly.)			
Name of contractor: State Street Bank and Trust Company			
Please list the names of (1) members of the contractor's board of a	lirectors; (2) the contractor's chief executive officer, chief		
financial officer and chief operating officer; (3) any person who ha			
any subcontractor listed in the bid or contract; and (5) any politice	al committee sponsored or controlled by the contractor. <b>Use</b>		
additional pages as necessary.			
Board of Directors			
Joseph (Jay) L. Hooley			
Kennett F. Burnes			
Peter Coym			
Patrick de Saint-Aignan			
Dame Amelia C. Fawcett Linda A. Hill			
Robert S. Kaplan			
Richard P. Sergel			
Ronald L. Skates			
Gregory L. Summe			
Thomas J. Wilson			
Chairman, President and Chief Executive Officer: Joseph (Jay) L. Hooley Chief Financial Officer: Edward Resch and Michael W. Bell Vice Chairman: Joseph C. Antonellis			
One Lincoln St, Boston, MA 02111			
Date that contract was approved:	Amount of contracts: \$100,000,000 of maximum		
(By the SF Board of Supervisors)	authorized CP amount plus \$8,876,713 of accrued		
	interest		
La CO Para Cal CEMEA Call III			
Letter of Credit in support of the SFMTA Commercial Paper Notes Series A-1 (tax-exempt) and Series A-2 (taxable)			
Comments: N/A			
This contract consequence that (about a continuity)			
This contract was approved by (check applicable):			
□the City elective officer(s) identified on this form			
a board on which the City elective officer(s) serves: San Francisco Board of Supervisors			
Print Name of Board			
☐ the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island			
	* *		
Development Authority) on which an appointee of the City elective officer(s) identified on this form sits			

Filer Information (Please print clearly.)			
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: (415) 554-5184		
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: Board.of.Supervisors@sfgov.org		
Signature of City Elective Officer (if submitted by City elective officer)	Date Signed		
Signature of Board Secretary or C			

File No. 130626

# FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information (Please print clearly.)				
Name of City elective officer(s):		ive office(s) held:		
Members, Board of Supervisors	Memb	pers, Board of Supervisors		
Contractor Information (Please print clearly.)				
Name of contractor: Loop Capital Markets LLC				
Please list the names of $(1)$ members of the contractor's board of dir				
financial officer and chief operating officer; (3) any person who has				
any subcontractor listed in the bid or contract; and (5) any political	committee s	ponsored or controlled by the contractor. <b>Use</b>		
additional pages as necessary.  * Jim Reynolds, Chairman & CEO,	779/- owner			
Tasha Henderson, Chief Financial O		•		
Peter Bynoe, Chief Operating Officer	ilicci			
*Indirectly through 98% ownership of	Loop Capit	al Holdings LLC.		
Contractor address: 12100 Wilshire Blvd., Suite 430, Los Angeles, C	'A 90025			
Contractor address. 12100 Whishire Biva., Butter 150, 2057 Higeres, C	11 70025			
Date that contract was approved:	Amount	of contracts: A maximum of \$50,000,		
(By the SF Board of Supervisors)		5.0 basis points per annum x \$100,000,000 of		
	commerc	ial paper under management		
		1.1		
Describe the nature of the contract that was approved: Primary comn	iercial pape	r dealer		
•				
Comments: N/A				
This contract was approved by (check applicable):				
☐the City elective officer(s) identified on this form				
☑ a board on which the City elective officer(s) serves: San Fra	ncisco Boa	ard of Supervisors		
	nt Name of Bo			
☐ the board of a state agency (Health Authority, Housing Autho	rity Comm	ission, Industrial Development Authority		
Board, Parking Authority, Redevelopment Agency Commission	•			
Development Authority) on which an appointee of the City elective officer(s) identified on this form sits				
Print Name of Board				
Filon Information (Plages point alegaly)				
Filer Information (Please print clearly.)  Name of filer:		Contact telephone number:		
Angela Calvillo, Clerk of the Board		(415) 554-5184		
Address:		E-mail:		
City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, C	A 94102	Board.of.Supervisors@sfgov.org		
	>.102			
Signature of City Elective Officer (if submitted by City elective office	er)	Date Signed		
a g and the training and the training of the t	,	~.6		
Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)		Date Signed		

### FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information (*Please print clearly*.)

Name of City elective officer(s):	City elective office(s) held:		
Members, Board of Supervisors	Members, Board of Supervisors		
Contractor Information (Please print clearly.)			
Name of contractor: Morgan Stanley and Co, LLC			
Please list the names of (1) members of the contractor's board of dire			
financial officer and chief operating officer; (3) any person who has a any subcontractor listed in the bid or contract; and (5) any political of the bid or contract.			
additional pages as necessary.	commutee sponsored or controlled by the contractor. Ose		
(1) See Attachment I			
(2) James P. Gorman, CEO			
Ruth Porat, CFO			
Jim Rosenthal, COO			
(3) None			
(4) None			
(5) Morgan Stanley Political Action Committee			
Contractor address: Morgan Stanley 555 California Street, Floor 21			
San Francisco, CA 94104			
Date that contract was approved:	Amount of contracts: a maximum of \$50,000, assuming		
(By the SF Board of Supervisors)	5.0 basis points per annum x \$100,000,000 of		
	commercial paper under management		
Describe the nature of the contract that was approved:			
Commercial Paper Dealer			
Comments: N/A			
This contract was approved by (check applicable):			
the City elective officer(s) identified on this form			
	· D 1 60		
a board on which the City elective officer(s) serves: San Francisco	1CISCO Board of Supervisors It Name of Board		
☐ the board of a state agency (Health Authority, Housing Author	•		
Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island			
Development Authority) on which an appointee of the City elective officer(s) identified on this form sits			
Print Name of Board			
Filer Information (Please print clearly.)			
Name of filer:	Contact telephone number:		
Angela Calvillo, Clerk of the Board	(415) 554-5184		
Address:	E-mail:		
City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, C.	A 94102 Board.of.Supervisors@sfgov.org		
Signature of City Elective Officer (if submitted by City elective officer	r) Date Signed		
Give the CD and Great and CH 1 (for 1 the H 12 Decrees)			
Signature of Board Secretary or Clerk (if submitted by Board Secretary	y or Clerk) Date Signed		

#### **ATTACHMENT I**

#### Morgan Stanley Board of Directors:

James P. Gorman, Chairman of the Board and Chief Executive Officer

Erskine B. Bowles, Director

Sir Howard J. Davies, Director

Thomas H. Glocer, Director

Robert H. Herz, Director

C. Robert Kidder, Director

Klaus Kleinfeld, Director

Donald T Nicolaisen, Director

Hutham S. Olayan, Director

James W. Owens, Director

O Griffith Sexton, Director

Ryosuke Tamakoshi, Director

Masaaki Tanaka, Director

Dr. Laura D. Tyson, Director

### FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information (Please print clearly.)			
Name of City elective officer(s):	City elective office(s) held:		
Members, Board of Supervisors	Members, Board of Supervisors		
Contractor Information (Please print clearly.)			
Name of contractor: State Street Bank and Trust Company			
Please list the names of (1) members of the contractor's board of d.	irectors; (2) the contractor's chief executive officer, chief		
financial officer and chief operating officer; (3) any person who ha	s an ownership of 20 percent or more in the contractor; (4)		
any subcontractor listed in the bid or contract; and (5) any politica	l committee sponsored or controlled by the contractor. <b>Use</b>		
additional pages as necessary.			
Board of Directors			
Joseph (Jay) L. Hooley			
Kennett F. Burnes			
Peter Coym			
Patrick de Saint-Aignan			
Dame Amelia C. Fawcett			
Linda A. Hill Robert S. Kaplan			
Richard P. Sergel			
Ronald L. Skates			
Gregory L. Summe			
Thomas J. Wilson			
Chairman, President and Chief Executive Officer: Joseph (Jay) L. Hooley Chief Financial Officer: Edward Resch and Michael W. Bell Vice Chairman: Joseph C. Antonellis			
One Lincoln St, Boston, MA 02111			
Date that contract was approved:	Amount of contracts: \$100,000,000 of maximum		
(By the SF Board of Supervisors)	authorized CP amount plus \$8,876,713 of accrued		
	interest		
Letton of Credit in support of the SEMTA Commental Description	Source A 1 (toy example) and Source A 2 (towards)		
Letter of Credit in support of the SFMTA Commercial Paper Notes Series A-1 (tax-exempt) and Series A-2 (taxable)			
Comments N/A			
Comments: N/A			
This contract was approved by (check applicable):			
□the City elective officer(s) identified on this form			
a board on which the City elective officer(s) serves: San Francisco Board of Supervisors			
Print Name of Board			
□ the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority			
Board, Parking Authority, Redevelopment Agency Commission	* *		
Development Authority) on which an appointee of the City ele	ective officer(s) identified on this form sits		

Filer Information (Please print clearly.)	
Name of filer:	Contact telephone number:
Angela Calvillo, Clerk of the Board	(415) 554-5184
Address:	E-mail:
City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	Board.of.Supervisors@sfgov.org
Signature of City Elective Officer (if submitted by City elective officer)	Date Signed
Signature of Board Secretary or Clerk (if submitted by Board Secretary or C	lerk)