

File No. 180674

Committee Item No. 5

Board Item No. 12

### COMMITTEE/BOARD OF SUPERVISORS

#### AGENDA PACKET CONTENTS LIST

Committee: Rules Committee

Date July 25, 2018

Board of Supervisors Meeting

Date July 31, 2018

#### Cmte Board

- |                                     |                                     |  |
|-------------------------------------|-------------------------------------|--|
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Motion                                       |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Resolution                                   |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Ordinance                                    |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Legislative Digest                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Budget and Legislative Analyst Report        |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Youth Commission Report                      |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Introduction Form                            |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Memorandum of Understanding (MOU)            |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Grant Information Form                       |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Grant Budget                                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Subcontract Budget                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Contract/Agreement                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Form 126 - Ethics Commission                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Award Letter                                 |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Application                                  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Form 700                                     |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Vacancy Notice                               |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Information Sheet                            |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Public Correspondence                        |

OTHER (Use back side if additional space is needed)

<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
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<input type="checkbox"/>	<input type="checkbox"/>	_____

Completed by: Victor Young Date July 19, 2018

Completed by: V/Young Date 7/26/17

1 [Appointments, Treasury Oversight Committee - Ben Rosenfield, Reeta Madhavan, Eric  
2 Sandler, and Kevin Kone]

3 **Motion approving the Treasurer's nominations of Ben Rosenfield, Reeta Madhavan,**  
4 **Kevin Kone, and Eric Sandler, terms ending June 17, 2022, to the Treasury Oversight**  
5 **Committee.**

6  
7 WHEREAS, Pursuant to Administrative Code, Section 5.9-3, the Treasurer of the City  
8 and County of San Francisco has submitted notification to the the Clerk of the Board of  
9 Supervisors of the nominations of Ben Rosenfield, Reeta Madhavan, Eric Sandler, Kevin  
10 Kone, and Aimee Brown to the Treasury Oversight Committee, received by the Clerk of the  
11 Board on June 28, 2018; now, therefore, be it

12 MOVED, That the Board of Supervisors hereby approves the Treasurer of the City and  
13 County of San Francisco's nominations of Ben Rosenfield, Reeta Madhavan, Eric Sandler,  
14 and Kevin Kone to the Treasury Oversight Committee, for the unexpired portion of four-year  
15 terms ending June 17, 2022.



June 28, 2018

Ms. Angela Calvillo  
Clerk of the Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244

**Re: Nominations for the Treasury Oversight Committee**

Dear Clerk of the Board:

In accordance with the City and County of San Francisco Administrative Code 10.80-3(a)(4), I hereby nominate the following members to the Treasury Oversight Committee to a four-year term:

- Seat 1 – Ben Rosenfield, Controller
- Seat 2 – Reeta Madhavan, CFO San Francisco Unified School District
- Seat 4 – Eric Sandler, CFO San Francisco Public Utilities Commission
- Seat 5 – Kevin Kone, San Francisco International Airport
- Seat 6 – Aimee Brown, Public Member

Please feel free to contact Amanda Fried at [Amanda.fried@sfgov.org](mailto:Amanda.fried@sfgov.org) if you require additional information.

Sincerely,

José Cisneros  
Treasurer  
City and County of San Francisco



Board of Supervisors  
 City and County of San Francisco  
 1 Dr. Carlton B. Goodlett Place, Room 244  
 (415) 554-5184 FAX (415) 554-5163

**Application for Boards, Commissions, Committees, & Task Forces**

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): 1 District: \_\_\_\_\_

Name: Ben Rosenfield

Home Address: 18th Street, San Francisco Zip: 94110

Home Phone: \_\_\_\_\_ Occupation: City Controller

Work Phone: (415) 554-7500 Employer: City and County of San Francisco

Business Address: 1 Dr. Carlton B. Goodlett Place, Room 316, San Francisco Zip: 94102

Business E-Mail: ben.rosenfield@sfgov.org Home E-Mail: \_\_\_\_\_

**Pursuant to Charter, Section 4.101(a)(2), Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.**

Check All That Apply:

Resident of San Francisco: Yes  No  If No, place of residence: \_\_\_\_\_

Registered Voter in San Francisco: Yes  No  If No, where registered: \_\_\_\_\_

**Pursuant to Charter, Section 4.101(a)(1); please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:**

Requires that the City Controller assumes the seat.

**Business and/or professional experience:**

**Civic Activities:**

Have you attended any meetings of the Board/Commission to which you wish appointment?    Yes     No

---

Appointments confirmed by the Board of Supervisors require an appearance before the Rules Committee. Once your application is received, the Rules Committee Clerk will contact you when a hearing is scheduled. *(Please submit your application 10 days before the scheduled hearing.)*

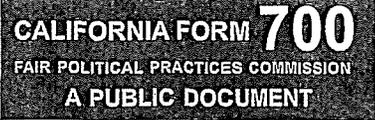
Date: 6/28/2018    Applicant's Signature: (required) Ben Rosenfield

(Manually sign or type your complete name.  
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

**Please Note:** Your application will be retained for one year. Once completed, this form, including all attachments, become public record.

---

FOR OFFICE USE ONLY:  
Appointed to Seat #: \_\_\_\_\_ Term Expires: \_\_\_\_\_ Date Seat was Vacated: \_\_\_\_\_



STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

E-Filed  
03/30/2018  
16:14:26

Filing ID:  
170515176

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
Rosenfield, Benjamin Lewis

1. Office, Agency, or Court

Agency Name (Do not use acronyms)  
City and County of San Francisco  
Division, Board, Department, District, if applicable Your Position  
Controller Office of the Controller

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: \*SEE ATTACHED FOR ADDITIONAL POSITIONS Position:

2. Jurisdiction of Office (Check at least one box)

[X] State [ ] Judge or Court Commissioner (Statewide Jurisdiction)  
[ ] Multi-County [X] County of San Francisco  
[X] City of San Francisco [ ] Other

3. Type of Statement (Check at least one box)

[X] Annual: The period covered is January 1, 2017, through December 31, 2017  
-or-  
The period covered is / / , through December 31, 2017  
[ ] Leaving Office: Date Left / / (Check one)  
[ ] Assuming Office: Date assumed / /  
[ ] Candidate: Date of Election / / and office sought, if different than Part 1:  
[ ] The period covered is January 1, 2017, through the date of leaving office.  
[ ] The period covered is / / , through the date of leaving office.

4. Schedule Summary (must complete)

► Total number of pages including this cover page: 4

Schedules attached

[X] Schedule A-1 - Investments - schedule attached [ ] Schedule C - Income, Loans, & Business Positions - schedule attached  
[ ] Schedule A-2 - Investments - schedule attached [X] Schedule D - Income - Gifts - schedule attached  
[ ] Schedule B - Real Property - schedule attached [ ] Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

[ ] None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE  
(Business or Agency Address Recommended - Public Document)  
San Francisco CA 94114  
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS  
( )

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/30/2018 Signature Benjamin Lewis Rosenfield  
(month, day, year) (File the originally signed statement with your filing official.)

**STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE  
Expanded Statement Attachment**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name  Benjamin Lewis

\* This table lists all positions including the primary position listed in the Office, Agency, or Court section of the Cover Page.

Agency	Division/Board/Dept/District	Position	Type of Statement
City and County of San Francisco	Controller Office of the	Controller	Annual 1/1/2017 - 12/31/2017
City and County of San Francisco	PUC Rate Fairness Board	Board Member	Annual 1/1/2017 - 12/31/2017
City and County of San Francisco	Treasury Oversight Committee	Member	Annual 1/1/2017 - 12/31/2017
City and County of San Francisco	Retiree Health Trust Fund Board	Trustee	Annual 1/1/2017 - 12/31/2017

## SCHEDULE A-1 Investments

### Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*



Name  
Rosenfield, Benjamin Lewis

▶ NAME OF BUSINESS ENTITY  
SPDR DOW JONES INDL ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Dow Jones fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Investment fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SPDR S&P 500 TRUST

GENERAL DESCRIPTION OF THIS BUSINESS  
S&P trust

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Investment fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ISHARES MSCI ASIA EX-JAPAN ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Multiple company investment fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Investment fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Vale SA

GENERAL DESCRIPTION OF THIS BUSINESS  
Mining and metalurgy

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Stock  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ISHARES MSCI BRAZIL CAPPED ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Investment Fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Investment Fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Powershares QQQ Series 1 ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Multicompany NASDAQ investment fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other ETF  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
Rosenfield, Benjamin Lewis

**SCHEDULE D**  
**Income – Gifts**

▶ NAME OF SOURCE (Not an Acronym)  
John Noguchi  
 ADDRESS (Business Address Acceptable)  
San Francisco, CA 94114  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>01 / 15 / 18</u>	<u>\$ 100.00</u>	<u>Jacket</u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
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▶ NAME OF SOURCE (Not an Acronym)  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
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<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

Comments: \_\_\_\_\_



**Business and/or professional experience:**

Please refer to the City and County of San Francisco Treasury Oversight Committee Administration Code Section 10:80-3, (a)(4)

I have been an employee of the San Francisco Unified School District for fifteen years, in which time I have assumed increasingly responsible positions within the Budget/Finance department. I have held the position of Director, then Executive Director, of Budget Services for a combined total of six years, and my current position as Chief Financial Officer for over two years. Prior to joining SFUSD, I was an Assistant Vice-President of Commercial Real Estate Banking at the Cambridge Savings Bank in Cambridge, MA. I hold a Master of Arts degree from the State University of New York at Stony Brook and a Master of Business Administration degree in Finance and Accounting from Babson College, Wellesley, MA.

**Civic Activities:**

Please refer to the City and County of San Francisco Treasury Oversight Committee Administration Code Section 10.80-3, (a)(4)

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes  No

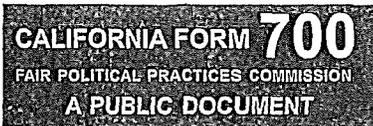
For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. *(Applications must be received 10 days before the scheduled hearing.)*

Date: 06/27/2018 Applicant's Signature: (required) Reeta Madhavan

(Manually sign or type your complete name.  
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

**Please Note:** Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:  
Appointed to Seat #: \_\_\_\_\_ Term Expires: \_\_\_\_\_ Date Seat was Vacated: \_\_\_\_\_



## STATEMENT OF ECONOMIC INTERESTS

## COVER PAGE

1169447

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
 Madhavan, Reeta

## 1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Treasury Oversight Committee

Member

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: \_\_\_\_\_ Position: \_\_\_\_\_

## 2. Jurisdiction of Office (Check at least one box)

- State  Judge or Court Commissioner (Statewide Jurisdiction)  
 Multi-County \_\_\_\_\_  County of San Francisco  
 City of \_\_\_\_\_  Other \_\_\_\_\_

## 3. Type of Statement (Check at least one box)

 Annual: The period covered is January 1, 2017, through December 31, 2017

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2017

 Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_ (Check one) The period covered is January 1, 2017, through the date of leaving office. The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office. Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_ Candidate: Date of Election \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

## 4. Schedule Summary (must complete)

▶ Total number of pages including this cover page: 2

## Schedules attached

- Schedule A-1 - Investments - schedule attached  Schedule C - Income, Loans, & Business Positions - schedule attached  
 Schedule A-2 - Investments - schedule attached  Schedule D - Income - Gifts - schedule attached  
 Schedule B - Real Property - schedule attached  Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

 None - No reportable interests on any schedule

## 5. Verification

MAILING ADDRESS	STREET	CITY	STATE	ZIP CODE
<i>(Business or Agency Address Recommended - Public Document)</i>				
135 Van Ness Avenue, Room 300 Attn: Chief Financial Officer SFUSD CA 94102				
DAYTIME TELEPHONE NUMBER			E-MAIL ADDRESS	
( 415 ) 241-6542			madhavanr@sfsusd.edu	

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/12/2018  
(month, day, year)Signature Reeta Madhavan  
(File the originally signed statement with your filing official.)

## SCHEDULE C

### Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
Madhavan, Reeta

**1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME  
San Francisco Unified School District

ADDRESS (Business Address Acceptable)  
135 Van Ness Avenue  
San Francisco, CA 94102

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
School District

YOUR BUSINESS POSITION  
CFO

GROSS INCOME RECEIVED  No Income - Business Position Only

\$500 - \$1,000       \$1,001 - \$10,000

\$10,001 - \$100,000       OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

Salary     Spouse's or registered domestic partner's income  
(For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)

Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)

Loan repayment

Commission or  Rental Income, list each source of \$10,000 or more

\_\_\_\_\_  
(Describe)

Other \_\_\_\_\_  
(Describe)

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED  No Income - Business Position Only

\$500 - \$1,000       \$1,001 - \$10,000

\$10,001 - \$100,000       OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

Salary     Spouse's or registered domestic partner's income  
(For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)

Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)

Loan repayment

Commission or  Rental Income, list each source of \$10,000 or more

\_\_\_\_\_  
(Describe)

Other \_\_\_\_\_  
(Describe)

**2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\* \_\_\_\_\_

ADDRESS (Business Address Acceptable) \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000

\$1,001 - \$10,000

\$10,001 - \$100,000

OVER \$100,000

INTEREST RATE \_\_\_\_\_ %     None

TERM (Months/Years) \_\_\_\_\_

SECURITY FOR LOAN

None       Personal residence

Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_

Guarantor \_\_\_\_\_

Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE  
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

**Section 27132.1 - Member Employment by Campaign Contributors**

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance.                      NO, I am not in compliance.

**Section 27132.2 - Fundraising by Committee Members for County Officials**

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance.                      NO, I am not in compliance.

**Section 27132.3 - Member Employment in the Financial Services Industry**

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance.                      NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature: Reeta Madhavan                      Date: June 26, 2018

Printed Name: Reeta Madhavan  
Member, San Francisco Treasury Oversight Committee



**SFUSD** SAN FRANCISCO  
PUBLIC SCHOOLS

**Vincent Matthews, Ed.D.**  
**Superintendent of Schools**

555 Franklin Street, Room 301 | San Francisco, CA 94102  
PH: (415) 241-6121 | Email: matthewsv@sfusd.edu

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June 26, 2018

Jose Cisneros, Treasurer  
San Francisco Treasury Oversight Committee  
City Hall, Room 316  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

Appointment of Reeta Madhavan to the Treasury Oversight Committee

Dear Mr. Cisneros:

In accordance with the City and County of San Francisco Treasury Oversight Committee Administrative Code §10.80-3(a) (4), I respectfully request that my position on the Treasury Oversight Committee for the City and County of San Francisco be delegated to Ms. Reeta Madhavan. Ms. Madhavan serves as the Chief Financial Officer of the San Francisco Unified School District.

Sincerely,

Dr. Vincent Matthews  
Superintendent



# STATEMENT OF ECONOMIC INTERESTS

## COVER PAGE

E-Filed  
03/12/2018  
18:56:00  
Filing ID:  
169610113

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
Madhavan, Reeta

**1. Office, Agency, or Court**

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Treasury Oversight Committee

Member

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: \_\_\_\_\_ Position: \_\_\_\_\_

**2. Jurisdiction of Office (Check at least one box)** State Judge or Court Commissioner (Statewide Jurisdiction) Multi-County \_\_\_\_\_ County of San Francisco City of \_\_\_\_\_ Other \_\_\_\_\_**3. Type of Statement (Check at least one box)** Annual: The period covered is January 1, 2017, through December 31, 2017 Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2017

 The period covered is January 1, 2017, through the date of leaving office. Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office. Candidate: Date of Election \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_**4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: 2****Schedules attached** Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

 None - No reportable interests on any schedule**5. Verification**

MAILING ADDRESS STREET CITY STATE ZIP CODE  
(Business or Agency Address Recommended - Public Document)  
San Francisco CA 94102

DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS  
( )

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/12/2018  
(month, day, year)Signature Reeta Madhavan  
(File the originally signed statement with your filing official.)





Board of Supervisors  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place, Room 244  
(415) 554-5184 FAX (415) 554-7714

**Application for Boards, Commissions, Committees, & Task Forces**

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): \_\_\_\_\_ District: \_\_\_\_\_

Name: Kevin Kone

Home Address: Bay Court, S. San Francisco, CA Zip: 94080

Home Phone: \_\_\_\_\_ Occupation: Finance Director

Work Phone: 650-821-2888 Employer: San Francisco Airport Commission

Business Address: P.O. Box 8097 San Francisco, CA Zip: 94128

Business E-Mail: kevin.kone@flysfo.com Home E-Mail: \_\_\_\_\_

**Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.**

Check All That Apply:

Registered voter in San Francisco: Yes  No  If No, where registered: San Mateo County

Resident of San Francisco  Yes  No If No, place of residence: S. San Francisco

**Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:**

As a native San Franciscan, with early San Francisco Public Schools education, born and raised in the Excelsior Neighborhood of San Francisco along with my Chinese American heritage, I believe I am an ideal candidate to serve on the Treasury Oversight Committee.

**Business and/or professional experience:**

I have worked for the City and County of San Francisco, Airport Commission for 28 years serving in various financial management roles for the Airport. Currently, I am the Managing Director of Finance for SFO overseeing an annual operating budget of \$1.3 billion and a debt portfolio of \$6.3 billion dollars. My office is responsible for working closely with the Treasurers Office to ensure that the bond proceeds are invested properly and to yield the highest earnings possible according to the Airport's investment policy.

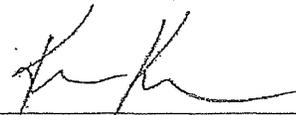
**Civic Activities:**

I currently serve as the Chair of the San Francisco Federal Credit Union managing over a \$1.0 billion in assets with over 45,000 members. The San Francisco Federal Credit Union serves the employees of the City and County of San Francisco as well as residents that work, live or worship in San Mateo or San Francisco County. My volunteer time helps ensure that the members of the credit union in our community are able to reach their financial goals.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes  No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)

Date: 6-18-19 Applicant's Signature: (required)



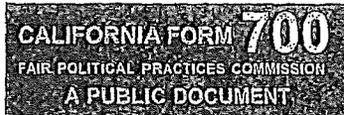
(Manually sign or type your complete name.  
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

**Please Note:** Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

**FOR OFFICE USE ONLY:**

Appointed to Seat #: \_\_\_\_\_ Term Expires: \_\_\_\_\_ Date Seat was Vacated: \_\_\_\_\_

01/20/12



STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE

Date Initial Filing  
Received  
Official Use Only

1181424

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
Kone, Kevin

## 1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Treasury Oversight Committee

Member

▶ If filling for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

## 2. Jurisdiction of Office (Check at least one box)

 State Judge or Court Commissioner (Statewide Jurisdiction) Multi-County \_\_\_\_\_ County of San Francisco City of \_\_\_\_\_ Other \_\_\_\_\_

## 3. Type of Statement (Check at least one box).

 Annual: The period covered is January 1, 2017, through December 31, 2017 Leaving Office: Date Left \_\_\_\_\_ (Check one)

-or-

The period covered is \_\_\_\_\_, through December 31, 2017

 The period covered is January 1, 2017, through the date of leaving office. Assuming Office: Date assumed 12 / 08 / 2018 The period covered is \_\_\_\_\_, through the date of leaving office. Candidate: Date of Election \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

## 4. Schedule Summary (must complete)

▶ Total number of pages including this cover page: 3

Schedules attached

 Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

 None - No reportable interests on any schedule

## 5. Verification

MAILING ADDRESS (Business or Agency Address Recommended - Public Document) STREET CITY STATE ZIP CODE  
P.O. Box 8097 San Francisco CA 94128  
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS  
( 650 ) 821-2888 kevin.kone@flysf0.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/30/2018  
(month, day, year)

Signature Kevin Kone  
(File the originally signed statement with your filing official)

## SCHEDULE D Income – Gifts

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
Kone, Kevin

▶ NAME OF SOURCE (Not an Acronym)

San Francisco Federal Credit Union

ADDRESS (Business Address Acceptable)  
770 Golden Gate Ave  
San Francisco, CA 94102

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>03 / 11 / 18</u>	<u>\$ 293.50</u>	<u>Officials dinner</u>
<u>11 / 17 / 17</u>	<u>\$ 223.50</u>	<u>cell phone data reimbursement</u>
<u>08 / 11 / 17</u>	<u>\$ 223.50</u>	<u>cellphone data reimbursement</u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>
<u>  /  /  </u>	<u>\$</u>	<u> </u>

Comments: \_\_\_\_\_

Additional Schedule D Gifts from San Francisco Federal Credit Union

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05/11/2017	\$223.50	Cell Phone Data Reimbursement
02/16/2017	\$223.50	Cell Phone Data Reimbursement



San Francisco International Airport

June 18, 2018

Mr. Jose Cisneros  
San Francisco Treasury Oversight Committee  
City Hall, Room 316  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

**Subject: San Francisco Treasury Oversight Committee**

Dear Mr. Cisneros:

In accordance with Board of Supervisors Ordinance No. 119-18, which amends and renumbers provisions of the City and County of San Francisco Administrative Code relating to the San Francisco Oversight Committee (the "Committee"), I respectfully request that any nomination to the Committee of a San Francisco Airport Commission employee be delegated to Mr. Kevin Kone. Mr. Kone serves as Managing Director, Finance, of San Francisco International Airport.

Very truly yours,

A handwritten signature in dark ink, appearing to read "Ivar C. Satero", is written over a circular stamp or seal.

Ivar C. Satero  
Airport Director

cc: Leo Fermin, Chief Business & Finance Officer  
Kevin Kone, SFO-Finance

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

MARK FARRELL  
MAYOR

LARRY MAZZOLA  
PRESIDENT

LINDA S. CRAYTON  
VICE PRESIDENT

ELEANOR JOHNS

RICHARD J. GUGGENHIME

PETER A. STERN

IVAR C. SATERO  
AIRPORT DIRECTOR

Post Office Box 8097 San Francisco, California 94128 Tel 650.821.5000 Fax 650.821.5005 www.flysfo.com



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE  
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

**Section 27132.1 - Member Employment by Campaign Contributors**

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance.                       NO, I am not in compliance.

**Section 27132.2 - Fundraising by Committee Members for County Officials**

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance.                       NO, I am not in compliance.

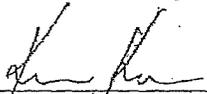
**Section 27132.3 - Member Employment in the Financial Services Industry**

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance.                       NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature:  Date: 6-18-18

Printed Name: Kevin Kowe  
Member, San Francisco Treasury Oversight Committee



**San Francisco Ethics Commission**  
 25 Van Ness Avenue, Suite 220, San Francisco, CA 94102  
 Phone: 415.252.3100 . Fax: 415.252.3112  
[ethics.commission@sfgov.org](mailto:ethics.commission@sfgov.org) . [www.sfethics.org](http://www.sfethics.org)

Received on:

**ETHICS AND SUNSHINE TRAINING DECLARATION FORM**

A Public Document

City officials, department heads and employees who are required to file an annual Statement of Economic Interests under San Francisco Campaign & Governmental Conduct Code Section 3.1-103(a)(1) and (b)(1) with the Ethics Commission must complete the Ethics training required by California Government Code Section 53235 (AB 1234) and the Sunshine Ordinance training required by San Francisco Administrative Code Section 67.33.

Please complete this form to certify that you have fulfilled the Ethics and Sunshine training requirements. You must submit this form within 30 days of the date that you were sworn into office or assumed employment, and annually thereafter by April 1. If you assumed office between October 1 and March 30 and timely filed this form, you are not required to file the annual declaration on April 1 immediately following your assumption of office. For more information visit [www.sfethics.org](http://www.sfethics.org).

TYPE OF FILING		DATE ASSUMED
Annual		
SELECT APPLICABLE DECLARATION FOR THE TRAINING COMPLETED		
<input checked="" type="checkbox"/>	By signing below, I certify under penalty of perjury that:  I have completed the Ethics and Sunshine Ordinance training course provided by the City Attorney's office. Date of completion: 03/30/2018	
<input type="checkbox"/>	By signing below, I certify under penalty of perjury that:  I have completed an Ethics training course provided by another entity. The course complies with the standards recommended by the Fair Political Practices Commission and the California Attorney General. Date of completion: <i>Training certificate attached.</i>	
AND		
	I have completed the Sunshine Ordinance training course provided by the City Attorney's office. Date of completion:	
NAME		TITLE
Kevin Kone		Managing Director, Finance
NAME OF AGENCY, DEPARTMENT, BOARD OR COMMISSION		DATE SIGNED
Treasury Oversight Committee, Airport		03/30/2018



Board of Supervisors  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place, Room 244  
(415) 554-5184 FAX (415) 554-7714

**Application for Boards, Commissions, Committees, & Task Forces**

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): \_\_\_\_\_ District: 5

Name: Eric Sandler

Home Address: \_\_\_\_\_ Gough St. Zip: 94102

Home Phone: \_\_\_\_\_ Occupation: CFO/Assistant General Manager

Work Phone: 415-934-5707 Employer: San Francisco PUC

Business Address: 525 Golden Gate Ave., 13th Floor Zip: 94102

Business E-Mail: ESandler@sfgwater.org Home E-Mail: \_\_\_\_\_

**Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.**

Check All That Apply:

Registered voter in San Francisco: Yes  No  If No, where registered: \_\_\_\_\_

Resident of San Francisco  Yes  No If No; place of residence: \_\_\_\_\_

**Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:**

I've been a resident of the City for much of my adult life. Since 1987, I've lived in a number of the City's neighborhoods including the Sunset, Mission, Potrero Hill, Richmond, Sunnyside and most recently Cathedral Hill. I've been an active participant in a number of LGBT community organizations including as a board member of the LGBT Community Center and a singing member of the San Francisco Gay Men's Chorus.

**Business and/or professional experience:**

Please see attached resume for details. Most of my career has been in the area of municipal financial management with an emphasis on enterprises with a large degree of capital investment activity. I've been the CFO of three large public utilities including the San Francisco Public Utilities Commission, East Bay Municipal Utility District (EBMUD), and the San Diego County Water Authority (SDCWA). As treasurer at both SDCWA and EBMUD, I was responsible for the direct management of sizable public fund portfolios ranging from \$500 million to over \$1 billion. At EBMUD, I also was responsible for administering and managing \$1.3 billion in employee retirement system pension fund monies invested in a full range of asset classes.

**Civic Activities:**

As mentioned earlier, I've served as a board member of the San Francisco LGBT Community Center. I've also served as a Commissioner on the SFPUC Revenue Bond Oversight Committee.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes  No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (*Applications must be received 10 days before the scheduled hearing.*)

Date: 6/5/18 Applicant's Signature: (required) Eric L. Sandler

(Manually sign or type your complete name.  
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

**Please Note:** Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:  
Appointed to Seat #: \_\_\_\_\_ Term Expires: \_\_\_\_\_ Date Seat was Vacated: \_\_\_\_\_

ERIC L. SANDLER  
Gough Street,  
San Francisco, CA 92109

---

**MUNICIPAL, INFRASTRUCTURE & PROJECT FINANCE EXPERIENCE**

- 6/15 – present      **SAN FRANCISCO PUBLIC UTILITIES COMMISSION**      **SAN FRANCISCO, CA**  
6/01 – 10/05      **Assistant General Manager of Business Services/CFO**  
**Financial Planning Director**  
Manage the finance, customer service, and information technology functions of a combined public utility—water, wastewater and electricity—that provides retail and wholesale service to over 2.6 million end users in the San Francisco Bay Area. Direct a 300-person team responsible for budgeting, accounting, financial planning, capital financing, rate administration, customer service, information technology, risk management and internal audit activities.
- Responsible for all financing activities related to the Commission's water, wastewater and power capital improvement programs totaling over \$12 billion including managing relationships with rating agencies, underwriters and investors.
  - As director the financial planning group, established the financial framework to support the historic \$4.8 billion re-build of the Hetch Hetchy Regional Water System, including the development of a long-range financing plan, principles for renegotiating long-term water supply agreements with wholesale water customers, and key working relationships with important stakeholder groups essential to the success of the project.
- 03/12 – 4/15      **EAST BAY MUNICIPAL UTILITY DISTRICT**      **OAKLAND, CA**  
**Finance Director/Treasurer**  
Managed the finance and information technology departments of a combined public utility—water and wastewater—that provides service to 1.3 million end users in the San Francisco Bay Area. Directed a 175-person team responsible for budgeting, accounting, capital financing, rate administration, treasury, information technology, purchasing, risk management and internal audit activities. Serve as Treasurer of the EBMUD Employee Retirement System.
- Responsible for managing a \$1.45 billion biennial budget, \$3.0 billion in outstanding indebtedness, and a \$500 million investment portfolio.
  - Executed eight financing transactions totaling approximately \$1.0 billion. Transactions yielded significant debt service savings to the District and reduced Water Enterprise swap exposure by 46% on a cost neutral basis.
- 10/07 – 2/12      **SAN DIEGO COUNTY WATER AUTHORITY**      **SAN DIEGO, CA**  
12/05 – 9/07      **Finance Director/Treasurer**  
**Deputy Finance Director**  
Managed the finance department of a wholesale public water utility comprised of 24 retail member agencies that provides service to over 3.2 million end users in San Diego County. Directed a 21-person team responsible for budgeting, accounting, capital financing, rate administration and treasury activities.
- Responsible for managing a \$1.65 billion biennial budget, \$2.5 billion outstanding indebtedness, and \$950 million investment portfolio.
  - Managed Board committees and member agency work groups in a range of planning efforts, including the development and adoption of enhanced financial policies, a comprehensive review of the Authority's capital plan, and an update of the long-range financing plan.
  - Issued over \$1.5 billion in public, bonded debt. Responsible for relationships with credit rating agencies, investors and underwriters.
  - Negotiation team member for pricing terms of a water purchase agreement that will support a non-recourse project financing for the 50mgd Carlsbad Desalination Project.

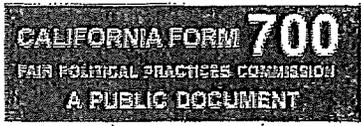
- 1995 - 1996      **KENETECH ENERGY SYSTEMS**      **SAN FRANCISCO, CA**  
**Senior Analyst, Project Finance**  
Responsible for energy project finance and development activities in the US, Europe, and Latin America. Completed projects included windplants in Spain and the Netherlands with capital costs ranging from US\$15 million to US\$65 million.
- Evaluated project opportunities for economic, technical, legal, tax and financial risks.
  - Negotiated project agreements including loan agreements and turnkey construction contracts.
  - Marketed and structured debt and equity investments to lenders and investors.
- 1992 - 1994      **ARGENBUR S.A.**      **BUENOS AIRES, ARGENTINA**  
**Associate, Merchant Banking**  
Managed the firm's bidding for electric generation and distribution assets being privatized by the Argentine government. Provided analytic support for the firm's financial advisory engagement with the Argentine government's \$2.0 billion privatization of the state natural gas monopoly.
- Analyzed newly deregulated wholesale electricity and natural gas markets.
  - Developed simulation/optimization model for forecasting electricity prices.
  - Created cash flow and funding analyses for potential investments and acquisitions.
  - Developed joint venture opportunities with international partners.
- 1988 - 1991      **LEHMAN BROTHERS**      **SAN FRANCISCO, CA**  
**Analyst, Investment Banking**  
Provided analytic support for all aspects of the firm's negotiated municipal underwriting activity in California. Developed financial and legal strategies for municipal project funding. Performed credit analysis and prepared disclosure documentation. Designed and implemented marketing campaigns for municipal bonds targeting both institutional and retail investors.

**INFORMATION TECHNOLOGY & VENTURE FINANCE EXPERIENCE**

- 1999 - 2000      **CRUEL WORLD (Originally Career Central)**      **SUNNYVALE, CA**  
**Director, Technical Member Marketing**  
Day-to-day management responsibility for direct marketing of career management services to IT professionals.
- Doubled membership and halved average member acquisition cost; \$1.5 million budget.
  - Managed member acquisition and retention activities—designed and executed on and offline marketing programs, developed new acquisition channels, and directed content development.
  - Supported sales, operations, and strategic alliances groups to monetize membership base.
- 1997 - 1999      **IDG VENTURES**      **SAN FRANCISCO, CA**  
**Associate, Venture Finance**  
Sourced, evaluated, and managed private equity investment opportunities for a start-up \$80 million information technology venture fund. Early stage investments included Spinner.com (AOL), Service Metrics (Exodus), and Babycenter (E-Toys).
- Prospected for investment opportunities and recommended partner action.
  - Conducted due diligence regarding prospective investments.
  - Consulted with portfolio companies on strategic and operational issues.

- EDUCATION**      **UNIVERSITY OF CALIFORNIA, BERKELEY**  
Walter A. Haas School of Business  
Masters of Business Administration, 1998
- STANFORD UNIVERSITY**  
Bachelor of Science degree in Biological Sciences, 1988

- ADDITIONAL**
- Speak fluent Spanish.
  - Nominated for CFO of the year by the San Diego Business Journal (2008)
  - Regular presenter at municipal finance and investor conferences
  - Presented "Financing Environmental Improvements," Woodrow Wilson Center, China Environment Forum, Washington, DC (2004)



STATEMENT OF ECONOMIC INTERESTS

Date Initial Filing Received Official Use Only

COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE) Sandler Eric Louis

1. Office, Agency, or Court

Agency Name (Do not use acronyms) San Francisco Public Utilities Commission
Division, Board, Department, District, if applicable Business Services
Your Position CFO/Assistant General Manager Business Service

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State, Multi-County, City of San Francisco, Judge or Court Commissioner, County of San Francisco, Other

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2017, through December 31, 2017.
Assuming Office: Date assumed
Candidate: Date of Election
Leaving Office: Date Left
The period covered is January 1, 2017, through the date of leaving office.

4. Schedule Summary (must complete) Total number of pages including this cover page: 1
Schedules attached
Schedule A-1 - Investments - schedule attached
Schedule A-2 - Investments - schedule attached
Schedule B - Real Property - schedule attached
Schedule C - Income, Loans, & Business Positions - schedule attached
Schedule D - Income - Gifts - schedule attached
Schedule E - Income - Gifts - Travel Payments - schedule attached
None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
525 Golden Gate Ave San Francisco CA 94102
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
( 415 ) 934-5707 esandler@sfgwater.org

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: 3/7/19 Signature (File the originally signed statement with your filing official.)



San Francisco  
Water Power Sewer

Services of the San Francisco Public Utilities Commission

525 Golden Gate Avenue, 13th Floor  
San Francisco, CA 94102  
T 415.554.3155  
F 415.554.3161  
TTY 415.554.3488

June 5, 2018

To: Angela Calvillo, Clerk of the Board

From: Harlan L. Kelly, Jr., General Manager

CC: Honorable Supervisor Ahsha Safai  
Honorable Supervisor Norman Yee  
Honorable Supervisor Catherine Stefani

Re: Appointment of Eric Sandler to Treasury Oversight Committee

I am writing to express my support for the appointment of Eric Sandler as a representative on the Treasury Oversight Committee. Mr. Sandler is the Chief Financial Officer (CFO) and Assistant General Manager of Business Services for the San Francisco Public Utilities Commission (SFPUC). The seat he is being considered for was previously held by Charles Perl, Deputy CFO of the SFPUC. I believe that Mr. Sandler's proximity to this position will allow for continuity and effective representation.

Additionally, Mr. Sandler has many qualifications that will enable him to successfully represent San Francisco in this post. Mr. Sandler holds a Masters of Business Administration from University of California, Berkeley and a Bachelor of Science degree in Biological Sciences from Stanford University. The majority of Mr. Sandler's career has been in municipal financial management with an emphasis on enterprises with a large degree of capital investment activity. He has been the CFO of three large public utilities including the SFPUC, East Bay Municipal Utility District (EBMUD), and the San Diego County Water Authority (SDCWA). As treasurer at both SDCWA and EBMUD, Mr. Sandler was responsible for the direct management of sizable public fund portfolios ranging from \$500 million to over \$1 billion. At EBMUD, he also was responsible for administering and managing \$1.3 billion in employee retirement system pension fund monies invested in a full range of asset classes.

Mark Farrell  
Mayor

Eric Kwon  
President

Vince Courtney  
Vice President

Ann Moller Caen  
Commissioner

Francesca Vietor  
Commissioner

Anson Moran  
Commissioner

Harlan L. Kelly, Jr.  
General Manager



Mr. Sandler is a longtime resident of San Francisco living in many of the City's neighborhoods including the Sunset, Mission, Potrero Hill, Richmond, Sunnyside and most recently Cathedral Hill. Mr. Sandler has been an active participant in several LGBT community organizations including as a board member of the LGBT Community Center and a singing member of the San Francisco Gay Men's Chorus.

I whole heartedly support Eric's nomination for this role and encourage you to appoint him to represent San Francisco as a member of the Treasury Oversight Committee.



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE  
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

**Section 27132.1 - Member Employment by Campaign Contributors**

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance.       NO, I am not in compliance.

**Section 27132.2 - Fundraising by Committee Members for County Officials**

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance.       NO, I am not in compliance.

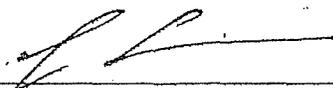
**Section 27132.3 - Member Employment in the Financial Services Industry**

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance.       NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature:  Date: 6/5/18

Printed Name: ERIC SANDLER  
Member, San Francisco Treasury Oversight Committee



**Board of Supervisors  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place, Room 244  
(415) 554-5184 FAX (415) 554-7714**

**Application for Boards, Commissions, Committees, & Task Forces**

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): Public Member \_\_\_\_\_ District: \_\_\_\_\_

Name: Aimee Brown

Home Address: \_\_\_\_\_ Laguna Street, San Francisco, CA \_\_\_\_\_ Zip: 94123

Home Phone: \_\_\_\_\_ Occupation: Retired Business Owner and Member of Corporate, Civic and Non-Profit Boards

Work Phone: 415-377-9025 Employer: Self

Business Address: 2624 Laguna Street, San Francisco, CA \_\_\_\_\_ Zip: 94123

Business E-Mail: aimeebrown@mindspring.com Home E-Mail: \_\_\_\_\_

**Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.**

Check All That Apply:

Registered voter in San Francisco: Yes  No  If No, where registered: \_\_\_\_\_

Resident of San Francisco  Yes  No If No, place of residence: \_\_\_\_\_

**Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:**

35-year resident of San Francisco living in second, single family home in Pacific Heights. Single mother of daughter and serve on corporate, civic and non-profit boards. Previously, owner of a national woman-owned and SF-LBE investment banking firm which employed 7-10 employees locally.

## Business and/or professional experience:

- Artemis Capital Group, Inc. – Founding Principal from start-up through successful sale
- Founder, national women-owned investment banking firm ranked among top 10 municipal securities underwriters
  - Grew firm to 6 offices and 50 people; SF office of 7-10 professionals was second largest
  - Significant experience with elected officials and City management, public-private partnerships and project finance
- Royal Bank of Canada/Dain Rauscher, Inc. – Senior Executive and Regional Manager
- Managing Director-Manager, Public Finance Department's West Coast operations (budget management, recruitment and personnel development and liaison with underwriting, sales and trading)
  - Fixed Income- Public Finance Operating Committee
- Goldman, Sachs & Co. – Vice President and Airport/Port Finance Group Leader
- Experience with all aspects of financing public utility, redevelopment, public building, and lease projects
  - Transferred to SF Office to help integrate new finance office with NY headquarter operations

## Civic Activities:

- The Bay Institute and the Aquarium of the Bay (Bay.org now Bay Ecotarium)
- Elected Board member in 2012 to recently combined non-profit with the mission to protect, restore and inspire the conservation of San Francisco Bay and its watershed, from the Sierra to the sea.
  - Serves on Finance and Development Committees for umbrella organization and Program Committee for AOTB
- Angel Island Conservancy
- Elected Board member and served as 2010 Co-Chair of the Angel Lights Benefit
  - Led collaboration with Haas Business School in developing a short and long-term strategic plan
  - Chair of Board Governance Committee and serves on Development Committee. Prior Chair of Grants Committee
- Revenue Bond Oversight Committee for the Public Utilities Commission of San Francisco (termed out 4/12)
- Appointed to start-up of the Committee by Mayor Brown and reappointed by Mayor Newsom
  - Elected Chair for 5 years and led monthly meetings
  - Detailed involvement with consultant process and reported results and recommendation to senior management, the Commissioners of the PUC, the Board of Supervisors and the Mayor; served as contact for citizen questions and comments; directed committee staffing resources; and drafted the Annual Report to the Mayor, Board of Supervisors and various Committee constituents
- Board of Fellows, Trinity College, Hartford, CT
- 2 terms on the 150-year-old Strategic Advisory Board that provides counsel to the College by advising the administration and Board of Trustees on curriculum, finances and programs that will increase on-campus and local community
  - Appointed to National Alumnae Council and leader in SF National Women's Leadership Council
- Women's Presidents Organization
- National and San Francisco Chapters; assists 18 local woman-owned small and medium sized businesses as a peer adviser/advisory board member
  - Treasurer of San Francisco Chapter for 7 years
- Women's Forum West and Women Impacting Public Policy

### Previous:

- Board of International Bank & Trust and the Holding Company; 2004-2009 Started and Chaired Audit Committee.
- Municipal Securities Rulemaking Board, the industry's regulatory organization 1993-1997.
- Chair of the National Association of Securities Dealers Fixed Income Committee (2000) during her three-year tenure.
- State of California Debt Advisory Commission's Technical Advisory Committee 1990-1994.
- Commissioner of the California Intercity High-Speed Rail Transportation Commission 1993-1997.
- Board of Directors for the California Public Securities Association 1995-2001.
- Aided Clinton transition team for the Department of Transportation in screening and evaluating candidates for Federal Aviation Administration Administrator and Mass Transit Administrator.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes  No

---

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (*Applications must be received 10 days before the scheduled hearing.*)

Date: 01/27/18 Applicant's Signature: (required) *Gemee Brown*

**Please Note:** Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

---

**FOR OFFICE USE ONLY:**

Appointed to Seat #: \_\_\_\_\_ Term Expires: \_\_\_\_\_ Date Seat was Vacated: \_\_\_\_\_



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE  
CURRENT FISCAL YEAR**

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A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance.                       NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature: Aimee Brown                      Date: 6/27/18

Printed Name: Aimee Brown  
Member, San Francisco Treasury Oversight Committee

From: [redacted]  
Subject: Successful e-filing-- Filing ID# 170349990  
Date: March 28, 2018 at 2:06 PM  
To: [redacted]

Hi,

On 03/28/2018 at 2:06:29 PM, an E-filing was submitted to the NetFile system on behalf of filer 'Brown, Aimee (SFO-1530)' (filer id# SFO-1530). The filing was successful.

Your confirmation for this e-filing is:

FPPC700\_2018 - Annual

Filing ID# 170349990

Period 01/01/2017 through 12/31/2017

If you need assistance, please contact NetFile technical support staff at [filerhelp@netfile.com](mailto:filerhelp@netfile.com).

Sincerely,

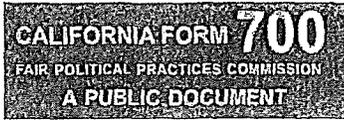
NetFile Technical Support

VALIDATION RESULTS:

Errors : 0  
Warnings : 0  
Info : 0

PASSED VALIDATION

For NetFile Support Only: Job ID = 5b271e45-30d2-a370-ff93-60a19c9c5e6d



STATEMENT OF ECONOMIC INTERESTS COVER PAGE

Date Initial Filing Received Official Use Only

117B954

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE) Brown, Aimee

1. Office, Agency, or Court

Agency Name (Do not use acronyms) City and County of San Francisco Division, Board, Department, District, if applicable Treasury Oversight Committee Your Position Member

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

State Multi-County City of Judge or Court Commissioner (Statewide Jurisdiction) County of San Francisco Other

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2017, through December 31, 2017 Leaving Office: Date Left (Check one) The period covered is January 1, 2017, through the date of leaving office. Assuming Office: Date assumed The period covered is through the date of leaving office. Candidate: Date of Election and office sought, if different than Part 1:

4. Schedule Summary (must complete) Total number of pages including this cover page: 15 Schedules attached Schedule A-1 - Investments - schedule attached Schedule A-2 - Investments - schedule attached Schedule B - Real Property - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule D - Income - Gifts - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE 2624 Laguna Street San Francisco CA 94123 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (415) 567-9409

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/28/2018 Signature Aimee Brown (month, day, year) (File the originally signed statement with your filing official)

## SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b> <small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Comcast

GENERAL DESCRIPTION OF THIS BUSINESS  
Entertainment and Communications

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Duke Energy

GENERAL DESCRIPTION OF THIS BUSINESS  
Energy

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Nisource Inc

GENERAL DESCRIPTION OF THIS BUSINESS  
Distributes electricity, natural gas and water in the Midwest and Northeast United States

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Sonic Automotive

GENERAL DESCRIPTION OF THIS BUSINESS  
Sells and services cars

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Sprint

GENERAL DESCRIPTION OF THIS BUSINESS  
Telecomm

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Corporate Property Associates Global Inc.

GENERAL DESCRIPTION OF THIS BUSINESS  
Real Estate Investment Trust

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other REIT  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b> <small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name
<u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Qualcomm

GENERAL DESCRIPTION OF THIS BUSINESS  
Chip Manufacturer

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Apple

GENERAL DESCRIPTION OF THIS BUSINESS  
Technology products

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Teva

GENERAL DESCRIPTION OF THIS BUSINESS  
Pharmaceutical

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Bonds  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Ford Motor Company

GENERAL DESCRIPTION OF THIS BUSINESS  
Cars and services

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Perrigo Co

GENERAL DESCRIPTION OF THIS BUSINESS  
Over the counter consumer goods and pharmaceuticals

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Amgen

GENERAL DESCRIPTION OF THIS BUSINESS  
Biotech

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_  
 ACQUIRED                      DISPOSED

Comments:

## SCHEDULE A-1 Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Cisco Systems

GENERAL DESCRIPTION OF THIS BUSINESS  
Technology

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Oracle

GENERAL DESCRIPTION OF THIS BUSINESS  
Computer technology

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Verizon Communication

GENERAL DESCRIPTION OF THIS BUSINESS  
Telecomm

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Pfizer

GENERAL DESCRIPTION OF THIS BUSINESS  
Pharmaceutical-discovers, develops, manufactures, and sells healthcare products worldwide

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
CenturyLink

GENERAL DESCRIPTION OF THIS BUSINESS  
Communications

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Ashland Global Holdings

GENERAL DESCRIPTION OF THIS BUSINESS  
Basic Materials

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / 12 / 18 / 17 / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

## SCHEDULE A-1 Investments

### Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b> <small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Spectra Energy

GENERAL DESCRIPTION OF THIS BUSINESS  
pipeline and midstream company

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Telstra Corop

GENERAL DESCRIPTION OF THIS BUSINESS  
provider of mobile phones, mobile devices, home phones and broadband internet.

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_    \_\_\_\_\_  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Ares Capital Corp

GENERAL DESCRIPTION OF THIS BUSINESS  
Investment Company

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Blackrock Inc

GENERAL DESCRIPTION OF THIS BUSINESS  
Investment Management

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Energy Transfer Partners LP

GENERAL DESCRIPTION OF THIS BUSINESS  
Energy Pipeline

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Procter&Gamble

GENERAL DESCRIPTION OF THIS BUSINESS  
Consumer Goods

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_    \_\_\_\_\_  
 ACQUIRED                  DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b>
FAIR POLITICAL PRACTICES COMMISSION
Name
<u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Columbia Pipeline Group

GENERAL DESCRIPTION OF THIS BUSINESS  
pipeline, gathering and processing integrated with underground natural gas storage system

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Alphabet, Inc

GENERAL DESCRIPTION OF THIS BUSINESS  
Google

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Facebook

GENERAL DESCRIPTION OF THIS BUSINESS  
Social media

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Buckeye Partners

GENERAL DESCRIPTION OF THIS BUSINESS  
Pipeline

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Marathon Petroleum Corp

GENERAL DESCRIPTION OF THIS BUSINESS  
Energy

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Williams Companies

GENERAL DESCRIPTION OF THIS BUSINESS  
Energy

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    12 / 18 / 17  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)**

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b>
FAIR POLITICAL PRACTICES COMMISSION
Name
<u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Communication Sales and Leasing

GENERAL DESCRIPTION OF THIS BUSINESS  
REIT Communications distribution systems

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      12 / 18 / 17  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Conagra

GENERAL DESCRIPTION OF THIS BUSINESS  
Packaged Food leader

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Fluor Corporation

GENERAL DESCRIPTION OF THIS BUSINESS  
Industrial Goods and Heavy Construction

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Lamb Weston Holdings

GENERAL DESCRIPTION OF THIS BUSINESS  
Global Foodservice

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      12 / 18 / 17  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Red Hat

GENERAL DESCRIPTION OF THIS BUSINESS  
Opensource Software products

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
Vodafone Group

GENERAL DESCRIPTION OF THIS BUSINESS  
Multinational Telecommunications

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      12 / 18 / 17  
 ACQUIRED                                  DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b>
FAIR POLITICAL PRACTICES COMMISSION
Name
Brown, Aimee

▶ NAME OF BUSINESS ENTITY  
Windstream Holdings

GENERAL DESCRIPTION OF THIS BUSINESS  
Voice and Data network

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      12 / 18 / 17  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Alcatel Lucent

GENERAL DESCRIPTION OF THIS BUSINESS  
IP and cloud networking, ultra-broadband fixed and wireless access.

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      12 / 18 / 17  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Novozymes

GENERAL DESCRIPTION OF THIS BUSINESS  
Industrial enzymes and microorganisms

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Ingram Micro Inc

GENERAL DESCRIPTION OF THIS BUSINESS  
Wholesale provider of technology products and supply chain services

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      12 / 06 / 16  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Zoetis

GENERAL DESCRIPTION OF THIS BUSINESS  
Medicine and vaccines for pets and livestock

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Allstate

GENERAL DESCRIPTION OF THIS BUSINESS  
Insurance

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b>
FAIR POLITICAL PRACTICES COMMISSION
Name
<u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Alphabet Inc

GENERAL DESCRIPTION OF THIS BUSINESS  
Diversified technology

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Micron Technology

GENERAL DESCRIPTION OF THIS BUSINESS  
Technology

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Western Digital Corp

GENERAL DESCRIPTION OF THIS BUSINESS  
Data storage

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
07 / 05 / 17  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
JF Morgan Chase

GENERAL DESCRIPTION OF THIS BUSINESS  
Banking

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Lockheed Martin

GENERAL DESCRIPTION OF THIS BUSINESS  
Aerospace and Defense

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
McDonalds Corp

GENERAL DESCRIPTION OF THIS BUSINESS  
Food Service

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED      DISPOSED

Comments:

### SCHEDULE A-1 Investments

### Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION	
Name	
Brown, Aimee	

▶ NAME OF BUSINESS ENTITY  
MetLife

GENERAL DESCRIPTION OF THIS BUSINESS  
Insurance and Financial

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Enbridge

GENERAL DESCRIPTION OF THIS BUSINESS  
Natural Gas Distribution

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Verizon

GENERAL DESCRIPTION OF THIS BUSINESS  
Telecommunication

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Ensco

GENERAL DESCRIPTION OF THIS BUSINESS  
Drilling Contractor

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Ingredion

GENERAL DESCRIPTION OF THIS BUSINESS  
Integrated Food provider

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Amazon

GENERAL DESCRIPTION OF THIS BUSINESS  
Technology

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                                      DISPOSED

Comments: \_\_\_\_\_

## SCHEDULE A-1 Investments

### Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

<b>CALIFORNIA FORM 700</b>
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY  
Nvidia Corp

GENERAL DESCRIPTION OF THIS BUSINESS  
Technology

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
WP Carey

GENERAL DESCRIPTION OF THIS BUSINESS  
REIT

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Texas Instruments

GENERAL DESCRIPTION OF THIS BUSINESS  
Technology and semiconductors

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Goldman Sachs Group

GENERAL DESCRIPTION OF THIS BUSINESS  
Financial and Banking

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other Bond \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

## SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b>
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name  
Brown, Aimee

**1. BUSINESS ENTITY OR TRUST**

Aimee Brown Revocable Trust  
 Name  
 2624 Laguna Street  
 San Francisco, CA 94123  
 Address (Business Address Acceptable)  
 Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF THIS BUSINESS**

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:
<input type="checkbox"/> \$0 - \$1,999	____/____/____    ____/____/____
<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED      DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000	
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

None    or     Names listed below

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:
<input type="checkbox"/> \$2,000 - \$10,000	____/____/____    ____/____/____
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED      DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

**1. BUSINESS ENTITY OR TRUST**

Corinna Anderson Trust  
 Name  
 2624 Laguna Street  
 San Francisco, CA 94123  
 Address (Business Address Acceptable)  
 Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF THIS BUSINESS**

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:
<input type="checkbox"/> \$0 - \$1,999	____/____/____    ____/____/____
<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED      DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000	
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

None    or     Names listed below

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:
<input type="checkbox"/> \$2,000 - \$10,000	____/____/____    ____/____/____
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED      DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

## SCHEDULE A-2

### Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name \_\_\_\_\_

Brown, Aimee

**1 BUSINESS ENTITY OR TRUST**

Corinna Brown Anderson UGMA/NJ

Name  
2624 Laguna Street  
San Francisco, CA 94123

Address (Business Address Acceptable)

Check one  
 Trust, go to 2     Business Entity, complete (the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: <table style="width: 100%; text-align: center;"> <tr> <td>____/____/____</td> <td>____/____/____</td> </tr> <tr> <td>ACQUIRED</td> <td>DISPOSED</td> </tr> </table>	____/____/____	____/____/____	ACQUIRED	DISPOSED
____/____/____	____/____/____				
ACQUIRED	DISPOSED				

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2 IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**3 LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

None    or     Names listed below

**4 INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: <table style="width: 100%; text-align: center;"> <tr> <td>____/____/____</td> <td>____/____/____</td> </tr> <tr> <td>ACQUIRED</td> <td>DISPOSED</td> </tr> </table>	____/____/____	____/____/____	ACQUIRED	DISPOSED
____/____/____	____/____/____				
ACQUIRED	DISPOSED				

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**1 BUSINESS ENTITY OR TRUST**

Estate of Bernard Brown

Name  
2624 Laguna Street  
San Francisco, CA 94123

Address (Business Address Acceptable)

Check one  
 Trust, go to 2     Business Entity, complete (the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: <table style="width: 100%; text-align: center;"> <tr> <td>____/____/____</td> <td>____/____/____</td> </tr> <tr> <td>ACQUIRED</td> <td>DISPOSED</td> </tr> </table>	____/____/____	____/____/____	ACQUIRED	DISPOSED
____/____/____	____/____/____				
ACQUIRED	DISPOSED				

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2 IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**3 LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

None    or     Names listed below

**4 INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: <table style="width: 100%; text-align: center;"> <tr> <td>____/____/____</td> <td>____/____/____</td> </tr> <tr> <td>ACQUIRED</td> <td>DISPOSED</td> </tr> </table>	____/____/____	____/____/____	ACQUIRED	DISPOSED
____/____/____	____/____/____				
ACQUIRED	DISPOSED				

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_



## SCHEDULE B Interests in Real Property (Including Rental Income)

<b>CALIFORNIA FORM 700</b>
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
2624 Laguna Street

CITY  
San Francisco

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED      /      /      DISPOSED      /      /     

NATURE OF INTEREST  
 Ownership/Deed of Trust       Easement  
 Leasehold       Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499     \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
\_\_\_\_\_

CITY  
\_\_\_\_\_

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED      /      /      DISPOSED      /      /     

NATURE OF INTEREST  
 Ownership/Deed of Trust       Easement  
 Leasehold       Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499     \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*  
Eric J. Brown

ADDRESS (Business Address Acceptable)  
1921 Vallejo Street  
San Francisco, CA 94123

BUSINESS ACTIVITY, IF ANY, OF LENDER  
Research Doctor

INTEREST RATE      TERM (Months/Years)  
4 %       None      5 Years

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

Guarantor, if applicable

NAME OF LENDER\*  
\_\_\_\_\_

ADDRESS (Business Address Acceptable)  
\_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER  
\_\_\_\_\_

INTEREST RATE      TERM (Months/Years)  
\_\_\_\_\_%       None      \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

Guarantor, if applicable

Comments: \_\_\_\_\_

San Francisco  
BOARD OF SUPERVISORS

Date Printed: July 19, 2018

Date Established:

April 9, 1997

Active

**TREASURY OVERSIGHT COMMITTEE**

**Contact and Address:**

Maura Lane  
City Hall, Room 316  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Phone: (415) 554-7502

Fax: (415) 554-7466

Email: [maura.lane@sfgov.org](mailto:maura.lane@sfgov.org)

**Authority:**

Administrative Code, Article IX, Sections 5.9-1 et seq. (Ordinance Nos. 125-97, 316-00, 291-10, and 119-18)

**Board Qualifications:**

The Treasury Oversight Committee consists of a total of seven (7) members, all of whom are nominated by the Treasurer and confirmed by the Board of Supervisors. Membership is drawn from the following:

- 1) Controller, or the Controller's designee;
- 2) County Superintendent of Schools, or the Superintendent's designee (if the Superintendent declines to serve or designate a person and the seat remains vacant for 60 days or longer, the Treasurer may nominate and the Board may appoint);
- 3) Chancellor of the Community College District, or the Chancellor's designee (if the Superintendent declines to serve or designate a person and the seat remains vacant for 60 days or longer, the Treasurer may nominate and the Board may appoint);
- 4) Employee of City department or local agency that participates in the City's pooled fund under California Government Code Sections 53630 et seq.;
- 5) Employee of City department or local agency that participates in the City's pooled fund under California Government Code Sections 53630 et seq.;
- 6) Members of the public who have expertise in, or an academic background in, public finance, and are economically diverse and bipartisan in political registration; and
- 7) Members of the public who have expertise in, or an academic background in, public finance, and are economically diverse and bipartisan in political registration.

Each member of the Committee shall serve for a term of four years.

"R Board Description" (Screen Print)

San Francisco  
BOARD OF SUPERVISORS

A Committee member MAY NOT:

- > Be employed by an entity that had contributed to a reelection campaign of the Treasurer or a member of the Board of Supervisors in the previous three years;
- > Directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee; or
- > Secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the committee.

The Committee's purpose is to involve depositors of funds into the City and County Treasury in reviewing the policies for investment of surplus funds that guide the management of their funds and to enhance the security and investment return on those funds by providing a more stable and predictable balance for investment by establishing criteria for the withdrawal of funds.

Sunset Date: None referenced.

Reports: None referenced.

