

File No. 180674

Committee Item No. 5

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Rules Committee

Date July 25, 2018

Board of Supervisors Meeting

Date _____

Cmte Board

- | | | |
|-------------------------------------|--------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Memorandum of Understanding (MOU) |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 - Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Application |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Form 700 |
| <input type="checkbox"/> | <input type="checkbox"/> | Vacancy Notice |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Information Sheet |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
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<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____

Completed by: Victor Young Date July 19, 2018

Completed by: _____ Date _____

1 [Appointments, Treasury Oversight Committee - Ben Rosenfield, Reeta Madhavan, Eric
2 Sandler, Kevin Kone, and Aimee Brown]

3 **Motion approving/rejecting the Treasurer's nominations of Ben Rosenfield, Reeta**
4 **Madhavan, Kevin Kone, Eric Sandler, and Aimee Brown, terms ending June 17, 2022, to**
5 **the Treasury Oversight Committee.**

6
7 WHEREAS, Pursuant to Administrative Code, Section 5.9-3, the Treasurer of the City
8 and County of San Francisco has submitted notification to the the Clerk of the Board of
9 Supervisors of the nominations of Ben Rosenfield, Reeta Madhavan, Eric Sandler, Kevin
10 Kone, and Aimee Brown to the Treasury Oversight Committee, received by the Clerk of the
11 Board on June 28, 2018; now, therefore, be it

12 MOVED, That the Board of Supervisors hereby approves/rejects the Treasurer of the
13 City and County of San Francisco's nominations of Ben Rosenfield, Reeta Madhavan, Eric
14 Sandler, Kevin Kone, and Aimee Brown to the Treasury Oversight Committee, for the
15 unexpired portion of four-year terms ending June 17, 2022.

Office of the Treasurer & Tax Collector
City and County of San Francisco



José Cisneros, Treasurer

June 28, 2018

Ms. Angela Calvillo
Clerk of the Board of Supervisors
1 Dr. Carlton B. Goodlett Place
City Hall, Room 244

Re: Nominations for the Treasury Oversight Committee

Dear Clerk of the Board:

In accordance with the City and County of San Francisco Administrative Code 10.80-3(a)(4), I hereby nominate the following members to the Treasury Oversight Committee to a four-year term:

Seat 1 – Ben Rosenfield, Controller
Seat 2 – Reeta Madhavan, CFO San Francisco Unified School District
Seat 4 – Eric Sandler, CFO San Francisco Public Utilities Commission
Seat 5 – Kevin Kone, San Francisco International Airport
Seat 6 – Aimee Brown, Public Member

Please feel free to contact Amanda Fried at Amanda.fried@sfgov.org if you require additional information.

Sincerely,

A handwritten signature in black ink, appearing to read "José Cisneros". The signature is stylized with loops and a long horizontal stroke at the bottom.

José Cisneros
Treasurer
City and County of San Francisco



Board of Supervisors
 City and County of San Francisco
 1 Dr. Carlton B. Goodlett Place, Room 244
 (415) 554-5184 FAX (415) 554-5163

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): 1 District: _____

Name: Ben Rosenfield

Home Address: 18th Street, San Francisco Zip: 94110

Home Phone: _____ Occupation: City Controller

Work Phone: (415) 554-7500 Employer: City and County of San Francisco

Business Address: 1 Dr. Carlton B. Goodlett Place, Room 316, San Francisco Zip: 94102

Business E-Mail: ben.rosenfield@sfgov.org Home E-Mail: _____

Pursuant to Charter, Section 4.101(a)(2), Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Resident of San Francisco: Yes No If No, place of residence: _____

Registered Voter in San Francisco: Yes No If No, where registered: _____

Pursuant to Charter, Section 4.101(a)(1), please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

Requires that the City Controller assumes the seat.

Business and/or professional experience:

Civic Activities:

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

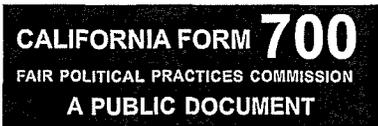
Appointments confirmed by the Board of Supervisors require an appearance before the Rules Committee. Once your application is received, the Rules Committee Clerk will contact you when a hearing is scheduled. *(Please submit your application 10 days before the scheduled hearing.)*

Date: 6/28/2018 Applicant's Signature: (required) Ben Rosenfield

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:
Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____



STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Initial Filing
Received
Official Use Only

E-Filed
03/30/2018
16:14:26
Filing ID:
170515176

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Rosenfield, Benjamin Lewis

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
City and County of San Francisco
Division, Board, Department, District, if applicable Your Position
Controller Office of the Controller
If filing for multiple positions, list below or on an attachment. (Do not use acronyms)
Agency: *SEE ATTACHED FOR ADDITIONAL POSITIONS Position:

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County County of San Francisco
 City of San Francisco Other

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2017, through December 31, 2017
-or-
The period covered is ____/____/____, through December 31, 2017
 Leaving Office: Date Left ____/____/____ (Check one)
 The period covered is January 1, 2017, through the date of leaving office.
 The period covered is ____/____/____, through the date of leaving office.
 Assuming Office: Date assumed ____/____/____
 Candidate: Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete)

Total number of pages including this cover page: 4

Schedules attached

Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
 Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
San Francisco CA 94114
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
()

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/30/2018 Signature Benjamin Lewis Rosenfield
(month, day, year) (File the originally signed statement with your filing official.)

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
Expanded Statement Attachment

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name Benjamin Lewis

* This table lists all positions including the primary position listed in the Office, Agency, or Court section of the Cover Page.

Agency	Division/Board/Dept/District	Position	Type of Statement
City and County of San Francisco	Controller Office of the	Controller	Annual 1/1/2017 - 12/31/2017
City and County of San Francisco	PUC Rate Fairness Board	Board Member	Annual 1/1/2017 - 12/31/2017
City and County of San Francisco	Treasury Oversight Committee	Member	Annual 1/1/2017 - 12/31/2017
City and County of San Francisco	Retiree Health Trust Fund Board	Trustee	Annual 1/1/2017 - 12/31/2017

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Rosenfield, Benjamin Lewis</u>

▶ NAME OF BUSINESS ENTITY
SPDR DOW JONES INDL ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Dow Jones fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SPDR S&P 500 TRUST

GENERAL DESCRIPTION OF THIS BUSINESS
S&P trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ISHARES MSCI ASIA EX-JAPAN ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Multiple company investment fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Vale SA

GENERAL DESCRIPTION OF THIS BUSINESS
Mining and metalurgy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Stock
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ISHARES MSCI BRAZIL CAPPED ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment Fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Powershares QQQ Series 1 ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Multicompany NASDAQ investment fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other ETF
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name
Rosenfield, Benjamin Lewis

SCHEDULE D
Income – Gifts

▶ NAME OF SOURCE (Not an Acronym)
John Noguchi
 ADDRESS (Business Address Acceptable)
San Francisco, CA 94114
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>01 / 15 / 18</u>	<u>\$ 100.00</u>	<u>Jacket</u>
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)
 ADDRESS (Business Address Acceptable)
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)
 ADDRESS (Business Address Acceptable)
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)
 ADDRESS (Business Address Acceptable)
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)
 ADDRESS (Business Address Acceptable)
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE (Not an Acronym)
 ADDRESS (Business Address Acceptable)
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>
<u> / / </u>	<u>\$</u>	<u></u>

Comments: _____



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): 4 District: SFUSD

Name: Reeta Madhavan

Home Address: Lord Ivelson Lane, Foster City, CA Zip: 94404

Home Phone: Occupation: Chief Financial Officer

Work Phone: (415) 241-6187 Employer: San Francisco Unified School District

Business Address: 135 Van Ness Avenue, Room 315, San Francisco Zip: 94102

Business E-Mail: madhavanr@sfusd.edu Home E-Mail:

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes No If No, where registered: San Mateo County

Resident of San Francisco Yes No If No, place of residence: Foster City

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

Please refer to the City and County of San Francisco Treasury Oversight Committee Administration Code Section 10.80-3, (a)(4)

Business and/or professional experience:

Please refer to the City and County of San Francisco Treasury Oversight Committee Administration Code Section 10:80-3, (a)(4)

I have been an employee of the San Francisco Unified School District for fifteen years, in which time I have assumed increasingly responsible positions within the Budget/Finance department. I have held the position of Director, then Executive Director, of Budget Services for a combined total of six years, and my current position as Chief Financial Officer for over two years. Prior to joining SFUSD, I was an Assistant Vice-President of Commercial Real Estate Banking at the Cambridge Savings Bank in Cambridge, MA. I hold a Master of Arts degree from the State University of New York at Stony Brook and a Master of Business Administration degree in Finance and Accounting from Babson College, Wellesley, MA.

Civic Activities:

Please refer to the City and County of San Francisco Treasury Oversight Committee Administration Code Section 10.80-3, (a)(4)

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. *(Applications must be received 10 days before the scheduled hearing.)*

Date: 06/27/2018 Applicant's Signature: (required) Reeta Madhavan

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:
Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

1169447

Please type or print in ink.

NAME OF FILER	(LAST)	(FIRST)	(MIDDLE)
Madhavan, Reeta			

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Treasury Oversight Committee

Member

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____

Position: _____

2. Jurisdiction of Office (Check at least one box) State Judge or Court Commissioner (Statewide Jurisdiction) Multi-County _____ County of San Francisco City of _____ Other _____**3. Type of Statement (Check at least one box)** Annual: The period covered is January 1, 2017, through December 31, 2017

-or-

The period covered is ____/____/____, through December 31, 2017

 Leaving Office: Date Left ____/____/____
(Check one) The period covered is January 1, 2017, through the date of leaving office. Assuming Office: Date assumed ____/____/____ The period covered is ____/____/____, through the date of leaving office. Candidate: Date of Election _____ and office sought, if different than Part 1: _____**4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: 2****Schedules attached** Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

 None - No reportable interests on any schedule**5. Verification**

MAILING ADDRESS	STREET	CITY	STATE	ZIP CODE
(Business or Agency Address Recommended - Public Document)				
135 Van Ness Avenue, Room 300 Attn: Chief Financial Officer		SFUSD	CA	94102

DAYTIME TELEPHONE NUMBER

(415) 241-6542

E-MAIL ADDRESS

madhavanr@sfusd.edu

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/12/2018
(month, day, year)Signature Reeta Madhavan
(File the originally signed statement with your filing official.)

SCHEDULE C

Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Madhavan, Reeta

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
San Francisco Unified School District

ADDRESS (Business Address Acceptable)
135 Van Ness Avenue
San Francisco, CA 94102

BUSINESS ACTIVITY, IF ANY, OF SOURCE
School District

YOUR BUSINESS POSITION
CFO

GROSS INCOME RECEIVED No Income - Business Position Only
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

 (Describe)
 Other _____
 (Describe)

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED No Income - Business Position Only
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

 (Describe)
 Other _____
 (Describe)

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence
 Real Property _____
 Street address _____
 City _____
 Guarantor _____
 Other _____
 (Describe)

Comments: _____



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

Section 27132.1 - Member Employment by Campaign Contributors

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance. NO, I am not in compliance.

Section 27132.2 - Fundraising by Committee Members for County Officials

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance. NO, I am not in compliance.

Section 27132.3 - Member Employment in the Financial Services Industry

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance. NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature: Reeta Madhavan

Date: June 26, 2018

Printed Name: Reeta Madhavan
Member, San Francisco Treasury Oversight Committee



SFUSD SAN FRANCISCO
PUBLIC SCHOOLS

Vincent Matthews, Ed.D.
Superintendent of Schools

555 Franklin Street, Room 301 | San Francisco, CA 94102
PH: (415) 241-6121 | Email: matthewsv@sfusd.edu

June 26, 2018

Jose Cisneros, Treasurer
San Francisco Treasury Oversight Committee
City Hall, Room 316
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4638

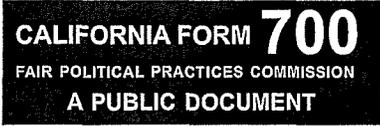
Appointment of Reeta Madhavan to the Treasury Oversight Committee

Dear Mr. Cisneros:

In accordance with the City and County of San Francisco Treasury Oversight Committee Administrative Code §10.80-3(a) (4), I respectfully request that my position on the Treasury Oversight Committee for the City and County of San Francisco be delegated to Ms. Reeta Madhavan. Ms. Madhavan serves as the Chief Financial Officer of the San Francisco Unified School District.

Sincerely,

Dr. Vincent Matthews
Superintendent



STATEMENT OF ECONOMIC INTERESTS

Received Official Use Only

COVER PAGE

E-Filed 03/12/2018 18:56:00

Filing ID: 169610113

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE) Madhavan, Reeta

1. Office, Agency, or Court

Agency Name (Do not use acronyms) City and County of San Francisco Division, Board, Department, District, if applicable Treasury Oversight Committee Your Position Member

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State Multi-County City of Judge or Court Commissioner (Statewide Jurisdiction) County of San Francisco Other

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2017, through December 31, 2017 Leaving Office: Date Left (Check one) The period covered is January 1, 2017, through the date of leaving office. The period covered is through the date of leaving office. Assuming Office: Date assumed Candidate: Date of Election and office sought, if different than Part 1:

4. Schedule Summary (must complete)

Total number of pages including this cover page: 2

Schedules attached

- Schedule A-1 - Investments - schedule attached Schedule A-2 - Investments - schedule attached Schedule B - Real Property - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule D - Income - Gifts - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE San Francisco CA 94102 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/12/2018 (month, day, year)

Signature Reeta Madhavan (File the originally signed statement with your filing official.)

SCHEDULE C

Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Madhavan, Reeta

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
San Francisco Unified School District

ADDRESS (Business Address Acceptable)
San Francisco, CA 94102

BUSINESS ACTIVITY, IF ANY, OF SOURCE
School District

YOUR BUSINESS POSITION
CFO

GROSS INCOME RECEIVED No Income - Business Position Only
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

 (Describe)
 Other: _____
 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED No Income - Business Position Only
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

 (Describe)
 Other: _____
 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence
 Real Property _____
 Street address _____
 City _____
 Guarantor _____
 Other _____
 (Describe)

Comments: _____



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): _____ District: _____

Name: Kevin Kone

Home Address: Bay Court, S. San Francisco, CA Zip: 94080

Home Phone: _____ Occupation: Finance Director

Work Phone: 650-821-2888 Employer: San Francisco Airport Commission

Business Address: P.O. Box 8097 San Francisco, CA Zip: 94128

Business E-Mail: kevin.kone@flysfo.com Home E-Mail: _____

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes No If No, where registered: San Mateo County

Resident of San Francisco Yes No If No, place of residence: S. San Francisco

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

As a native San Franciscan, with early San Francisco Public Schools education, born and raised in the Excelsior Neighborhood of San Francisco along with my Chinese American heritage, I believe I am an ideal candidate to serve on the Treasury Oversight Committee.

Business and/or professional experience:

I have worked for the City and County of San Francisco, Airport Commission for 28 years serving in various financial management roles for the Airport. Currently, I am the Managing Director of Finance for SFO overseeing an annual operating budget of \$1.3 billion and a debt portfolio of \$6.3 billion dollars. My office is responsible for working closely with the Treasurers Office to ensure that the bond proceeds are invested properly and to yield the highest earnings possible according to the Airport's investment policy.

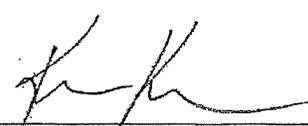
Civic Activities:

I currently serve as the Chair of the San Francisco Federal Credit Union managing over a \$1.0 billion in assets with over 45,000 members. The San Francisco Federal Credit Union serves the employees of the City and County of San Francisco as well as residents that work, live or worship in San Mateo or San Francisco County. My volunteer time helps ensure that the members of the credit union in our community are able to reach their financial goals.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. *(Applications must be received 10 days before the scheduled hearing.)*

Date: 6-18-19 Applicant's Signature: (required) _____

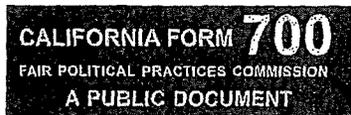


(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date, Seat was Vacated: _____



STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

1181424

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Kone, Kevin

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
City and County of San Francisco
Division, Board, Department, District, if applicable
Your Position
Treasury Oversight Committee Member

► If filling for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County _____ County of San Francisco
 City of _____ Other _____

3. Type of Statement (Check at least one box).

Annual: The period covered is January 1, 2017, through December 31, 2017
-or-
The period covered is _____, through December 31, 2017
 Assuming Office: Date assumed 12 / 08 / 2018
 Leaving Office: Date Left _____ (Check one)
 The period covered is January 1, 2017, through the date of leaving office.
 The period covered is _____, through the date of leaving office.
 Candidate: Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete)

► Total number of pages including this cover page: 3

Schedules attached

Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
 Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
P.O. Box 8097 San Francisco CA 94128
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
(650) 821-2888 kevin.kone@fypsfo.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/30/2018
(month, day, year)

Signature Kevin Kone
(File the originally signed statement with your filing official.)

SCHEDULE D Income – Gifts

CALIFORNIA FORM 700
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name _____
Kone, Kevin

<p>▶ NAME OF SOURCE (Not an Acronym)</p> <p><u>San Francisco Federal Credit Union</u></p> <p>ADDRESS (Business Address Acceptable) <u>770 Golden Gate Ave</u> <u>San Francisco, CA 94102</u></p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE _____</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 15%;">DATE (mm/dd/yy)</th> <th style="width: 15%;">VALUE</th> <th style="width: 70%;">DESCRIPTION OF GIFT(S)</th> </tr> </thead> <tbody> <tr> <td><u>03 / 11 / 18</u></td> <td><u>\$ 293.50</u></td> <td><u>Officals dinner</u></td> </tr> <tr> <td><u>11 / 17 / 17</u></td> <td><u>\$ 223.50</u></td> <td><u>cell phone data reimbursement</u></td> </tr> <tr> <td><u>08 / 11 / 17</u></td> <td><u>\$ 223.50</u></td> <td><u>cellphone data reimbursement</u></td> </tr> </tbody> </table>	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)	<u>03 / 11 / 18</u>	<u>\$ 293.50</u>	<u>Officals dinner</u>	<u>11 / 17 / 17</u>	<u>\$ 223.50</u>	<u>cell phone data reimbursement</u>	<u>08 / 11 / 17</u>	<u>\$ 223.50</u>	<u>cellphone data reimbursement</u>	<p>▶ NAME OF SOURCE (Not an Acronym)</p> <p>ADDRESS (Business Address Acceptable) _____</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE _____</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 15%;">DATE (mm/dd/yy)</th> <th style="width: 15%;">VALUE</th> <th style="width: 70%;">DESCRIPTION OF GIFT(S)</th> </tr> </thead> <tbody> <tr> <td><u> / / </u></td> <td><u>\$ _____</u></td> <td><u> </u></td> </tr> <tr> <td><u> / / </u></td> <td><u>\$ _____</u></td> <td><u> </u></td> </tr> <tr> <td><u> / / </u></td> <td><u>\$ _____</u></td> <td><u> </u></td> </tr> </tbody> </table>	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)	<u> / / </u>	<u>\$ _____</u>	<u> </u>	<u> / / </u>	<u>\$ _____</u>	<u> </u>	<u> / / </u>	<u>\$ _____</u>	<u> </u>
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Comments: _____

060600029-NFH-0029

Additional Schedule D Gifts from San Francisco Federal Credit Union

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05/11/2017	\$223.50	Cell Phone Data Reimbursement
02/16/2017	\$223.50	Cell Phone Data Reimbursement



San Francisco International Airport

June 18, 2018

Mr. Jose Cisneros
San Francisco Treasury Oversight Committee
City Hall, Room 316
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4638

Subject: San Francisco Treasury Oversight Committee

Dear Mr. Cisneros:

In accordance with Board of Supervisors Ordinance No. 119-18, which amends and renumbers provisions of the City and County of San Francisco Administrative Code relating to the San Francisco Oversight Committee (the "Committee"), I respectfully request that any nomination to the Committee of a San Francisco Airport Commission employee be delegated to Mr. Kevin Kone. Mr. Kone serves as Managing Director, Finance, of San Francisco International Airport.

Very truly yours,

Ivar C. Satero
Airport Director

cc: Leo Fermin, Chief Business & Finance Officer
Kevin Kone, SFO-Finance

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

MARK FARRELL
MAYOR

LARRY MAZZOLA
PRESIDENT

LINDA S. CRAYTON
VICE PRESIDENT

ELEANOR JOHNS

RICHARD J. GUGGENHIME

PETER A. STERN

IVAR C. SATERO
AIRPORT DIRECTOR



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

Section 27132.1 - Member Employment by Campaign Contributors

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance. NO, I am not in compliance.

Section 27132.2 - Fundraising by Committee Members for County Officials

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance. NO, I am not in compliance.

Section 27132.3 - Member Employment in the Financial Services Industry

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance. NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature: _____

Date: _____

Printed Name: _____

Member, San Francisco Treasury Oversight Committee



San Francisco Ethics Commission
 25 Van Ness Avenue, Suite 220, San Francisco, CA 94102
 Phone: 415.252.3100 . Fax: 415.252.3112
ethics.commission@sfgov.org . www.sfethics.org

Received on:

ETHICS AND SUNSHINE TRAINING DECLARATION FORM

A Public Document

City officials, department heads and employees who are required to file an annual Statement of Economic Interests under San Francisco Campaign & Governmental Conduct Code Section 3.1-103(a)(1) and (b)(1) with the Ethics Commission must complete the Ethics training required by California Government Code Section 53235 (AB 1234) and the Sunshine Ordinance training required by San Francisco Administrative Code Section 67.33.

Please complete this form to certify that you have fulfilled the Ethics and Sunshine training requirements. You must submit this form within 30 days of the date that you were sworn into office or assumed employment, and annually thereafter by April 1. If you assumed office between October 1 and March 30 and timely filed this form, you are not required to file the annual declaration on April 1 immediately following your assumption of office. For more information visit www.sfethics.org.

TYPE OF FILING		DATE ASSUMED
Annual		
SELECT APPLICABLE DECLARATION FOR THE TRAINING COMPLETED		
<input checked="" type="checkbox"/>	<p>By signing below, I certify under penalty of perjury that:</p> <p>I have completed the Ethics and Sunshine Ordinance training course provided by the City Attorney's office. Date of completion: 03/30/2018</p>	
<input type="checkbox"/>	<p>By signing below, I certify under penalty of perjury that:</p> <p>I have completed an Ethics training course provided by another entity. The course complies with the standards recommended by the Fair Political Practices Commission and the California Attorney General. Date of completion: <i>Training certificate attached.</i></p> <p style="text-align: center;">AND</p> <p>I have completed the Sunshine Ordinance training course provided by the City Attorney's office. Date of completion:</p>	
NAME		TITLE
Kevin Kone		Managing Director, Finance
NAME OF AGENCY, DEPARTMENT, BOARD OR COMMISSION		DATE SIGNED
Treasury Oversight Committee, Airport		03/30/2018



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): _____ District: 5

Name: Eric Sandler

Home Address: _____ Gough St. Zip: 94102

Home Phone: _____ Occupation: CFO/Assistant General Manager

Work Phone: 415-934-5707 Employer: San Francisco PUC

Business Address: 525 Golden Gate Ave., 13th Floor Zip: 94102

Business E-Mail: ESandler@sfgwater.org Home E-Mail: _____

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes No If No, where registered: _____

Resident of San Francisco Yes No If No; place of residence: _____

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

I've been a resident of the City for much of my adult life. Since 1987, I've lived in a number of the City's neighborhoods including the Sunset, Mission, Potrero Hill, Richmond, Sunnyside and most recently Cathedral Hill. I've been an active participant in a number of LGBT community organizations including as a board member of the LGBT Community Center and a singing member of the San Francisco Gay Men's Chorus.

Business and/or professional experience:

Please see attached resume for details. Most of my career has been in the area of municipal financial management with an emphasis on enterprises with a large degree of capital investment activity. I've been the CFO of three large public utilities including the San Francisco Public Utilities Commission, East Bay Municipal Utility District (EBMUD), and the San Diego County Water Authority (SDCWA). As treasurer at both SDCWA and EBMUD, I was responsible for the direct management of sizable public fund portfolios ranging from \$500 million to over \$1 billion. At EBMUD, I also was responsible for administering and managing \$1.3 billion in employee retirement system pension fund monies invested in a full range of asset classes.

Civic Activities:

As mentioned earlier, I've served as a board member of the San Francisco LGBT Community Center. I've also served as a Commissioner on the SFPUC Revenue Bond Oversight Committee.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. *(Applications must be received 10 days before the scheduled hearing.)*

Date: 6/5/18 Applicant's Signature: (required) Eric L. Sandler

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:
Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

ERIC L. SANDLER
Gough Street,
San Francisco, CA 92109

MUNICIPAL, INFRASTRUCTURE & PROJECT FINANCE EXPERIENCE

- 6/15 – present **SAN FRANCISCO PUBLIC UTILITIES COMMISSION** **SAN FRANCISCO, CA**
6/01 – 10/05 **Assistant General Manager of Business Services/CFO**
Financial Planning Director
Manage the finance, customer service, and information technology functions of a combined public utility—water, wastewater and electricity—that provides retail and wholesale service to over 2.6 million end users in the San Francisco Bay Area. Direct a 300-person team responsible for budgeting, accounting, financial planning, capital financing, rate administration, customer service, information technology, risk management and internal audit activities.
- Responsible for all financing activities related to the Commission’s water, wastewater and power capital improvement programs totaling over \$12 billion including managing relationships with rating agencies, underwriters and investors.
 - As director the financial planning group, established the financial framework to support the historic \$4.8 billion re-build of the Hetch Hetchy Regional Water System, including the development of a long-range financing plan, principles for renegotiating long-term water supply agreements with wholesale water customers, and key working relationships with important stakeholder groups essential to the success of the project.
- 03/12 – 4/15 **EAST BAY MUNICIPAL UTILITY DISTRICT** **OAKLAND, CA**
Finance Director/Treasurer
Managed the finance and information technology departments of a combined public utility—water and wastewater—that provides service to 1.3 million end users in the San Francisco Bay Area. Directed a 175-person team responsible for budgeting, accounting, capital financing, rate administration, treasury, information technology, purchasing, risk management and internal audit activities. Serve as Treasurer of the EBMUD Employee Retirement System.
- Responsible for managing a \$1.45 billion biennial budget, \$3.0 billion in outstanding indebtedness, and a \$500 million investment portfolio.
 - Executed eight financing transactions totaling approximately \$1.0 billion. Transactions yielded significant debt service savings to the District and reduced Water Enterprise swap exposure by 46% on a cost neutral basis.
- 10/07 – 2/12 **SAN DIEGO COUNTY WATER AUTHORITY** **SAN DIEGO, CA**
12/05 – 9/07 **Finance Director/Treasurer**
Deputy Finance Director
Managed the finance department of a wholesale public water utility comprised of 24 retail member agencies that provides service to over 3.2 million end users in San Diego County. Directed a 21-person team responsible for budgeting, accounting, capital financing, rate administration and treasury activities.
- Responsible for managing a \$1.65 billion biennial budget, \$2.5 billion outstanding indebtedness, and \$950 million investment portfolio.
 - Managed Board committees and member agency work groups in a range of planning efforts, including the development and adoption of enhanced financial policies, a comprehensive review of the Authority’s capital plan, and an update of the long-range financing plan.
 - Issued over \$1.5 billion in public, bonded debt. Responsible for relationships with credit rating agencies, investors and underwriters.
 - Negotiation team member for pricing terms of a water purchase agreement that will support a non-recourse project financing for the 50mgd Carlsbad Desalination Project.

- 1995 - 1996 **KENETECH ENERGY SYSTEMS** **SAN FRANCISCO, CA**
Senior Analyst, Project Finance
Responsible for energy project finance and development activities in the US, Europe, and Latin America. Completed projects included windplants in Spain and the Netherlands with capital costs ranging from US\$15 million to US\$65 million.
- Evaluated project opportunities for economic, technical, legal, tax and financial risks.
 - Negotiated project agreements including loan agreements and turnkey construction contracts.
 - Marketed and structured debt and equity investments to lenders and investors.
- 1992 - 1994 **ARGENBUR S.A.** **BUENOS AIRES, ARGENTINA**
Associate, Merchant Banking
Managed the firm's bidding for electric generation and distribution assets being privatized by the Argentine government. Provided analytic support for the firm's financial advisory engagement with the Argentine government's \$2.0 billion privatization of the state natural gas monopoly.
- Analyzed newly deregulated wholesale electricity and natural gas markets.
 - Developed simulation/optimization model for forecasting electricity prices.
 - Created cash flow and funding analyses for potential investments and acquisitions.
 - Developed joint venture opportunities with international partners.
- 1988 - 1991 **LEHMAN BROTHERS** **SAN FRANCISCO, CA**
Analyst, Investment Banking
Provided analytic support for all aspects of the firm's negotiated municipal underwriting activity in California. Developed financial and legal strategies for municipal project funding. Performed credit analysis and prepared disclosure documentation. Designed and implemented marketing campaigns for municipal bonds targeting both institutional and retail investors.

INFORMATION TECHNOLOGY & VENTURE FINANCE EXPERIENCE

- 1999 - 2000 **CRUEL WORLD (Originally Career Central)** **SUNNYVALE, CA**
Director, Technical Member Marketing
Day-to-day management responsibility for direct marketing of career management services to IT professionals.
- Doubled membership and halved average member acquisition cost; \$1.5 million budget.
 - Managed member acquisition and retention activities—designed and executed on and offline marketing programs, developed new acquisition channels, and directed content development.
 - Supported sales, operations, and strategic alliances groups to monetize membership base.
- 1997 - 1999 **IDG VENTURES** **SAN FRANCISCO, CA**
Associate, Venture Finance
Sourced, evaluated, and managed private equity investment opportunities for a start-up \$80 million information technology venture fund. Early stage investments included Spinner.com (AOL), Service Metrics (Exodus), and Babycenter (E-Toys).
- Prospected for investment opportunities and recommended partner action.
 - Conducted due diligence regarding prospective investments.
 - Consulted with portfolio companies on strategic and operational issues.

- EDUCATION** **UNIVERSITY OF CALIFORNIA, BERKELEY**
Walter A. Haas School of Business
Masters of Business Administration, 1998
- STANFORD UNIVERSITY**
Bachelor of Science degree in Biological Sciences, 1988

- ADDITIONAL**
- Speak fluent Spanish.
 - Nominated for CFO of the year by the San Diego Business Journal (2008)
 - Regular presenter at municipal finance and investor conferences
 - Presented "Financing Environmental Improvements," Woodrow Wilson Center, China Environment Forum, Washington, DC (2004)

STATEMENT OF ECONOMIC INTERESTS

Date Initial Filing Received
 Official Use Only

COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST)	(FIRST)	(MIDDLE)
Sandler	Eric	Louis

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

San Francisco Public Utilities Commission

Division, Board, Department, District, if applicable

Business Services

Your Position

CFO/Assistant General Manager Business Service

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- | | |
|--|---|
| <input type="checkbox"/> State | <input type="checkbox"/> Judge or Court Commissioner (Statewide Jurisdiction) |
| <input type="checkbox"/> Multi-County _____ | <input type="checkbox"/> County of <u>San Francisco</u> |
| <input checked="" type="checkbox"/> City of <u>San Francisco</u> | <input type="checkbox"/> Other _____ |

3. Type of Statement (Check at least one box)

- | | |
|---|---|
| <input checked="" type="checkbox"/> Annual: The period covered is January 1, 2017, through December 31, 2017. | <input type="checkbox"/> Leaving Office: Date Left ____/____/____
(Check one) |
| -or- | <input type="checkbox"/> The period covered is January 1, 2017, through the date of leaving office. |
| The period covered is ____/____/____, through December 31, 2017. | -or- |
| <input type="checkbox"/> Assuming Office: Date assumed ____/____/____ | <input type="checkbox"/> The period covered is ____/____/____ through the date of leaving office. |
| <input type="checkbox"/> Candidate: Date of Election _____ and office sought, if different than Part 1: _____ | |

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 1

Schedules attached

- | | |
|---|---|
| <input type="checkbox"/> Schedule A-1 - Investments - schedule attached | <input type="checkbox"/> Schedule C - Income, Loans, & Business Positions - schedule attached |
| <input type="checkbox"/> Schedule A-2 - Investments - schedule attached | <input type="checkbox"/> Schedule D - Income - Gifts - schedule attached |
| <input type="checkbox"/> Schedule B - Real Property - schedule attached | <input type="checkbox"/> Schedule E - Income - Gifts - Travel Payments - schedule attached |

-or-

- None** - No reportable interests on any schedule

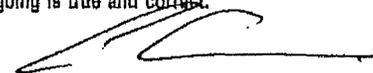
5. Verification

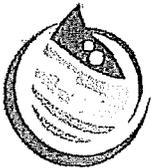
MAILING ADDRESS (Business or Agency Address Recommended - Public Document)	STREET	CITY	STATE	ZIP CODE
525 Golden Gate Ave		San Francisco	CA	94102
DAYTIME TELEPHONE NUMBER	E-MAIL ADDRESS			
(415) 934-5707	esandler@sfgwater.org			

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: 5/7/19
 (month, day, year)

Signature: 
 (File the originally signed statement with your filing official.)



**San Francisco
Water Power Sewer**
Services of the San Francisco Public Utilities Commission

525 Golden Gate Avenue, 13th Floor
San Francisco, CA 94102
T 415.554.3155
F 415.554.3161
TTY 415.554.3488

June 5, 2018

To: Angela Calvillo, Clerk of the Board

From: Harlan L. Kelly, Jr., General Manager 

CC: Honorable Supervisor Ahsha Safai
Honorable Supervisor Norman Yee
Honorable Supervisor Catherine Stefani

Re: Appointment of Eric Sandler to Treasury Oversight Committee

I am writing to express my support for the appointment of Eric Sandler as a representative on the Treasury Oversight Committee. Mr. Sandler is the Chief Financial Officer (CFO) and Assistant General Manager of Business Services for the San Francisco Public Utilities Commission (SFPUC). The seat he is being considered for was previously held by Charles Perl, Deputy CFO of the SFPUC. I believe that Mr. Sandler's proximity to this position will allow for continuity and effective representation.

Additionally, Mr. Sandler has many qualifications that will enable him to successfully represent San Francisco in this post. Mr. Sandler holds a Masters of Business Administration from University of California, Berkeley and a Bachelor of Science degree in Biological Sciences from Stanford University. The majority of Mr. Sandler's career has been in municipal financial management with an emphasis on enterprises with a large degree of capital investment activity. He has been the CFO of three large public utilities including the SFPUC, East Bay Municipal Utility District (EBMUD), and the San Diego County Water Authority (SDCWA). As treasurer at both SDCWA and EBMUD, Mr. Sandler was responsible for the direct management of sizable public fund portfolios ranging from \$500 million to over \$1 billion. At EBMUD, he also was responsible for administering and managing \$1.3 billion in employee retirement system pension fund monies invested in a full range of asset classes.

Mark Farrell
Mayor

Ike Iwona
President

Vince Courtney
Vice President

Ann Moller Caen
Commissioner

Francesca Vietor
Commissioner

Anson Moran
Commissioner

Harlan L. Kelly, Jr.
General Manager

OUR MISSION: To provide our customers with high-quality, efficient and reliable water, power and sewer services in a manner that values environmental and community interests and sustains the resources entrusted to our care.



Mr. Sandler is a longtime resident of San Francisco living in many of the City's neighborhoods including the Sunset, Mission, Potrero Hill, Richmond, Sunnyside and most recently Cathedral Hill. Mr. Sandler has been an active participant in several LGBT community organizations including as a board member of the LGBT Community Center and a singing member of the San Francisco Gay Men's Chorus.

I whole heartedly support Eric's nomination for this role and encourage you to appoint him to represent San Francisco as a member of the Treasury Oversight Committee.



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

Section 27132.1 - Member Employment by Campaign Contributors

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance. NO, I am not in compliance.

Section 27132.2 - Fundraising by Committee Members for County Officials

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance. NO, I am not in compliance.

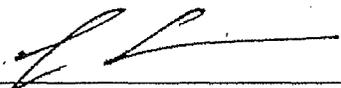
Section 27132.3 - Member Employment in the Financial Services Industry

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance. NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature:  Date: 10/5/13

Printed Name: ERIC SANDLER
Member, San Francisco Treasury Oversight Committee



**Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714**

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): Public Member _____ District: _____

Name: Aimee Brown

Home Address: _____ Laguna Street, San Francisco, CA _____ Zip: 94123

Home Phone: _____ Occupation: Retired Business Owner and Member of Corporate, Civic and Non-Profit Boards

Work Phone: 415-377-9025 Employer: Self

Business Address: 2624 Laguna Street, San Francisco, CA _____ Zip: 94123

Business E-Mail: aimeebrown@mindspring.com Home E-Mail: _____

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes No If No, where registered: _____

Resident of San Francisco Yes No If No, place of residence: _____

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

35-year resident of San Francisco living in second, single family home in Pacific Heights. Single mother of daughter and serve on corporate, civic and non-profit boards. Previously, owner of a national woman-owned and SF-LBE investment banking firm which employed 7-10 employees locally.

Business and/or professional experience:

Artemis Capital Group, Inc. – Founding Principal from start-up through successful sale

- Founder, national women-owned investment banking firm ranked among top 10 municipal securities underwriters
- Grew firm to 6 offices and 50 people; SF office of 7-10 professionals was second largest
- Significant experience with elected officials and City management, public-private partnerships and project finance

Royal Bank of Canada/Dain Rauscher, Inc. – Senior Executive and Regional Manager

- Managing Director-Manager, Public Finance Department's West Coast operations (budget management, recruitment and personnel development and liaison with underwriting, sales and trading)
- Fixed Income- Public Finance Operating Committee

Goldman, Sachs & Co. – Vice President and Airport/Port Finance Group Leader

- Experience with all aspects of financing public utility, redevelopment, public building, and lease projects
- Transferred to SF Office to help integrate new finance office with NY headquarter operations

Civic Activities:

The Bay Institute and the Aquarium of the Bay (Bay.org now Bay Ecotarium)

- Elected Board member in 2012 to recently combined non-profit with the mission to protect, restore and inspire the conservation of San Francisco Bay and its watershed, from the Sierra to the sea.
- Serves on Finance and Development Committees for umbrella organization and Program Committee for AOTB

Angel Island Conservancy

- Elected Board member and served as 2010 Co-Chair of the Angel Lights Benefit
- Led collaboration with Haas Business School in developing a short and long-term strategic plan
- Chair of Board Governance Committee and serves on Development Committee. Prior Chair of Grants Committee

Revenue Bond Oversight Committee for the Public Utilities Commission of San Francisco (*termed out 4/12*)

- Appointed to start-up of the Committee by Mayor Brown and reappointed by Mayor Newsom
- Elected Chair for 5 years and led monthly meetings

▪ Detailed involvement with consultant process and reported results and recommendation to senior management, the Commissioners of the PUC, the Board of Supervisors and the Mayor; served as contact for citizen questions and comments; directed committee staffing resources; and drafted the Annual Report to the Mayor, Board of Supervisors and various Committee constituents

Board of Fellows, Trinity College, Hartford, CT

- 2 terms on the 150-year-old Strategic Advisory Board that provides counsel to the College by advising the administration and Board of Trustees on curriculum, finances and programs that will increase on-campus and local community
- Appointed to National Alumnae Council and leader in SF National Women's Leadership Council

Women's Presidents Organization

- National and San Francisco Chapters; assists 18 local woman-owned small and medium sized businesses as a peer adviser/advisory board member
- Treasurer of San Francisco Chapter for 7 years

Women's Forum West and Women Impacting Public Policy

Previous:

- Board of International Bank & Trust and the Holding Company; 2004-2009 Started and Chaired Audit Committee.
- Municipal Securities Rulemaking Board, the industry's regulatory organization 1993-1997.
- Chair of the National Association of Securities Dealers Fixed Income Committee (2000) during her three-year tenure.
- State of California Debt Advisory Commission's Technical Advisory Committee 1990-1994.
- Commissioner of the California Intercity High-Speed Rail Transportation Commission 1993-1997.
- Board of Directors for the California Public Securities Association 1995-2001.
- Aided Clinton transition team for the Department of Transportation in screening and evaluating candidates for Federal Aviation Administration Administrator and Mass Transit Administrator.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (*Applications must be received 10 days before the scheduled hearing.*)

Date: 01/27/18 Applicant's Signature: (required) *Aimee Brown*

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:
Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

Section 27132.1 - Member Employment by Campaign Contributors

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

YES, in compliance. NO, I am not in compliance.

Section 27132.2 - Fundraising by Committee Members for County Officials

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

YES, in compliance. NO, I am not in compliance.

Section 27132.3 - Member Employment in the Financial Services Industry

A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

YES, in compliance. NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature: Aimee Brown Date: 6/27/18

Printed Name: Aimee Brown
Member, San Francisco Treasury Oversight Committee

From: Aimee Brown [mailto:aim@netfile.com]
Subject: Successful e-filing-- Filing ID# 170349990
Date: March 28, 2018 at 2:06 PM
To: aim@netfile.com

Hi,

On 03/28/2018 at 2:06:29 PM, an E-filing was submitted to the NetFile system on behalf of filer 'Brown, Aimee (SFO-1530)' (filer id# SFO-1530). The filing was successful.

Your confirmation for this e-filing is:

FPPC700_2018 - Annual

Filing ID# 170349990

Period 01/01/2017 through 12/31/2017

If you need assistance, please contact NetFile technical support staff at filerhelp@netfile.com.

Sincerely,

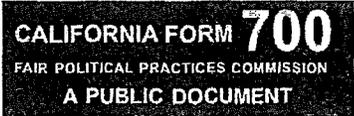
NetFile Technical Support

VALIDATION RESULTS:

Errors : 0
Warnings : 0
Info : 0

PASSED VALIDATION

For NetFile Support Only: Job ID = 5b271e45-30d2-a370-ff93-60a19c9c5e6d



STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

1178954

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Brown, Aimee

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
City and County of San Francisco
Division, Board, Department, District, if applicable
Treasury Oversight Committee
Your Position
Member

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
Multi-County County of San Francisco
City of Other

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2017, through December 31, 2017
-or- The period covered is through December 31, 2017
Assuming Office: Date assumed
Leaving Office: Date Left
The period covered is January 1, 2017, through the date of leaving office.
The period covered is through the date of leaving office.
Candidate: Date of Election and office sought, if different than Part 1:

4. Schedule Summary (must complete)

Total number of pages including this cover page: 15

Schedules attached

Schedule A-1 - Investments - schedule attached
Schedule A-2 - Investments - schedule attached
Schedule B - Real Property - schedule attached
Schedule C - Income, Loans, & Business Positions - schedule attached
Schedule D - Income - Gifts - schedule attached
Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
2624 Laguna Street San Francisco CA 94123
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
(415) 567-9409

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/28/2018
(month, day, year)

Signature Aimee Brown
(File the originally signed statement with your filing official.)

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ **NAME OF BUSINESS ENTITY**
Comcast

GENERAL DESCRIPTION OF THIS BUSINESS
Entertainment and Communications

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Duke Energy

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Nisource Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Distributes electricity, natural gas and water in the Midwest and Northeast United States

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Sonic Automotive

GENERAL DESCRIPTION OF THIS BUSINESS
Sells and services cars

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Sprint

GENERAL DESCRIPTION OF THIS BUSINESS
Telecomm

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Corporate Property Associates Global Inc.

GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate Investment Trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other REIT
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
<u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY
Qualcomm

GENERAL DESCRIPTION OF THIS BUSINESS
Chip Manufacturer

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Apple

GENERAL DESCRIPTION OF THIS BUSINESS
Technology products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Teva

GENERAL DESCRIPTION OF THIS BUSINESS
Pharmaceutical

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Bonds
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ford Motor Company

GENERAL DESCRIPTION OF THIS BUSINESS
Cars and services

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Perrigo Co

GENERAL DESCRIPTION OF THIS BUSINESS
Over the counter consumer goods and pharmaceuticals

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Amgen

GENERAL DESCRIPTION OF THIS BUSINESS
Biotech

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY
Cisco Systems

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Oracle

GENERAL DESCRIPTION OF THIS BUSINESS
Computer technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Verizon Communication

GENERAL DESCRIPTION OF THIS BUSINESS
Telecomm

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Pfizer

GENERAL DESCRIPTION OF THIS BUSINESS
Pharmaceutical-discovers, develops, manufactures, and sells healthcare products worldwide

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Centurylink

GENERAL DESCRIPTION OF THIS BUSINESS
Communications

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ashland Global Holdings

GENERAL DESCRIPTION OF THIS BUSINESS
Basic Materials

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / 12 / 18 / 17
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.



Name
Brown, Aimee

▶ NAME OF BUSINESS ENTITY
Spectra Energy

GENERAL DESCRIPTION OF THIS BUSINESS
pipeline and midstream company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Telstra Corop

GENERAL DESCRIPTION OF THIS BUSINESS
provider of mobile phones, mobile devices, home phones and broadband internet.

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ares Capital Corp

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Blackrock Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Management

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Energy Transfer Partners LP

GENERAL DESCRIPTION OF THIS BUSINESS
Energy Pipeline

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Procter&Gamble

GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Goods

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Brown, Aimee

▶ NAME OF BUSINESS ENTITY
Columbia Pipeline Group

GENERAL DESCRIPTION OF THIS BUSINESS
pipeline, gathering and processing integrated with underground natural gas storage system

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Alphabet, Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Google

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Facebook

GENERAL DESCRIPTION OF THIS BUSINESS
Social media

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Buckeye Partners

GENERAL DESCRIPTION OF THIS BUSINESS
Pipeline

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Marathon Petroleum Corp

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Williams Companies

GENERAL DESCRIPTION OF THIS BUSINESS
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY
Communication Sales and Leasing

GENERAL DESCRIPTION OF THIS BUSINESS
REIT Communications distribution systems

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Conagra

GENERAL DESCRIPTION OF THIS BUSINESS
Packaged Food leader

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Fluor Corporation

GENERAL DESCRIPTION OF THIS BUSINESS
Industrial Goods and Heavy Construction

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Lamb Weston Holdings

GENERAL DESCRIPTION OF THIS BUSINESS
Global Foodservice

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Red Hat

GENERAL DESCRIPTION OF THIS BUSINESS
Opensource Software products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Vodafone Group

GENERAL DESCRIPTION OF THIS BUSINESS
Multinational Telecommunications

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ 12 / 18 / 17
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Brown, Aimee

▶ NAME OF BUSINESS ENTITY
Windstream Holdings

GENERAL DESCRIPTION OF THIS BUSINESS
Voice and Data network

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Alcatel Lucent

GENERAL DESCRIPTION OF THIS BUSINESS
IP and cloud networking, ultra-broadband fixed and wireless access.

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 18 / 17
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Novozymes

GENERAL DESCRIPTION OF THIS BUSINESS
Industrial enzymes and microorganisms

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ingram Micro Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Wholesale provider of technology products and supply chain services

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ 12 / 06 / 16
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Zoetis

GENERAL DESCRIPTION OF THIS BUSINESS
Medicine and vaccines for pets and livestock

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Allstate

GENERAL DESCRIPTION OF THIS BUSINESS
Insurance

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____ _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY
Alphabet Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Diversified technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Micron Technology

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Western Digital Corp

GENERAL DESCRIPTION OF THIS BUSINESS
Data storage

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
07 / 05 / 17 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
JP Morgan Chase

GENERAL DESCRIPTION OF THIS BUSINESS
Banking

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Lockheed Martin

GENERAL DESCRIPTION OF THIS BUSINESS
Aerospace and Defense

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
McDonalds Corp

GENERAL DESCRIPTION OF THIS BUSINESS
Food Service

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
<small>FAIR POLITICAL PRACTICES COMMISSION</small>
Name <u>Brown, Aimee</u>

▶ NAME OF BUSINESS ENTITY
MetLife

GENERAL DESCRIPTION OF THIS BUSINESS
Insurance and Financial

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Enbridge

GENERAL DESCRIPTION OF THIS BUSINESS
Natural Gas Distribution

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Verizon

GENERAL DESCRIPTION OF THIS BUSINESS
Telecommunication

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ensco

GENERAL DESCRIPTION OF THIS BUSINESS
Drilling Contractor

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ingredion

GENERAL DESCRIPTION OF THIS BUSINESS
Integrated Food provider

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Amazon

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / _____
 ACQUIRED DISPOSED

Comments: _____

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.



Name
Brown, Aimee

▶ NAME OF BUSINESS ENTITY
Nvidia Corp

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WP Carey

GENERAL DESCRIPTION OF THIS BUSINESS
REIT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Texas Instruments

GENERAL DESCRIPTION OF THIS BUSINESS
Technology and semiconductors

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Goldman Sachs Group

GENERAL DESCRIPTION OF THIS BUSINESS
Financial and Banking

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Bond _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
Brown, Aimee

1. BUSINESS ENTITY OR TRUST

Brown Family Trust
Name
2624 Laguna Street
San Francisco, CA 94123
Address (Business Address Acceptable)

Check one
[X] Trust, go to 2
[] Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$0 - \$1,999
\$2,000 - \$10,000
\$10,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000
ACQUIRED DISPOSED
NATURE OF INVESTMENT
[] Partnership [] Sole Proprietorship [] Other
YOUR BUSINESS POSITION

1. BUSINESS ENTITY OR TRUST

Name
Address (Business Address Acceptable)

Check one
[] Trust, go to 2
[] Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$0 - \$1,999
\$2,000 - \$10,000
\$10,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000
ACQUIRED DISPOSED
NATURE OF INVESTMENT
[] Partnership [] Sole Proprietorship [] Other
YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

[] \$0 - \$499 [X] \$10,001 - \$100,000
[] \$500 - \$1,000 [] OVER \$100,000
[] \$1,001 - \$10,000

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

[] \$0 - \$499 [] \$10,001 - \$100,000
[] \$500 - \$1,000 [] OVER \$100,000
[] \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

[X] None or [] Names listed below

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

[] None or [] Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
[] INVESTMENT [] REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$2,000 - \$10,000
\$10,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000
ACQUIRED DISPOSED

NATURE OF INTEREST
[] Property Ownership/Deed of Trust [] Stock [] Partnership

[] Leasehold [] Other
Yrs. remaining

[] Check box if additional schedules reporting investments or real property are attached

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
[] INVESTMENT [] REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$2,000 - \$10,000
\$10,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000
ACQUIRED DISPOSED

NATURE OF INTEREST
[] Property Ownership/Deed of Trust [] Stock [] Partnership

[] Leasehold [] Other
Yrs. remaining

[] Check box if additional schedules reporting investments or real property are attached

Comments:

SCHEDULE B Interests in Real Property (Including Rental Income)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Brown, Aimee</u>

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
2624 Laguna Street

CITY
San Francisco

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000	/ /	/ /
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input checked="" type="checkbox"/> Over \$1,000,000		

NATURE OF INTEREST

Ownership/Deed of Trust Easement

Leasehold _____ Yrs. remaining _____ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

CITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000	/ /	/ /
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INTEREST

Ownership/Deed of Trust Easement

Leasehold _____ Yrs. remaining _____ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

None

* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*
Eric J. Brown

ADDRESS (Business Address Acceptable)
1921 Vallejo Street
San Francisco, CA 94123

BUSINESS ACTIVITY, IF ANY, OF LENDER
Research Doctor

INTEREST RATE TERM (Months/Years)

4 % None 5 Years

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

Guarantor, if applicable

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE TERM (Months/Years)

_____% None

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

Guarantor, if applicable

Comments: _____

San Francisco
BOARD OF SUPERVISORS

Date Printed: July 19, 2018

Date Established:

April 9, 1997

Active

TREASURY OVERSIGHT COMMITTEE

Contact and Address:

Maura Lane
City Hall, Room 316
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Phone: (415) 554-7502

Fax: (415) 554-7466

Email: maura.lane@sfgov.org

Authority:

Administrative Code, Article IX, Sections 5.9-1 et seq. (Ordinance Nos. 125-97, 316-00, 291-10, and 119-18)

Board Qualifications:

The Treasury Oversight Committee consists of a total of seven (7) members, all of whom are nominated by the Treasurer and confirmed by the Board of Supervisors. Membership is drawn from the following:

- 1) Controller, or the Controller's designee;
- 2) County Superintendent of Schools, or the Superintendent's designee (if the Superintendent declines to serve or designate a person and the seat remains vacant for 60 days or longer, the Treasurer may nominate and the Board may appoint);
- 3) Chancellor of the Community College District, or the Chancellor's designee (if the Superintendent declines to serve or designate a person and the seat remains vacant for 60 days or longer, the Treasurer may nominate and the Board may appoint);
- 4) Employee of City department or local agency that participates in the City's pooled fund under California Government Code Sections 53630 et seq.;
- 5) Employee of City department or local agency that participates in the City's pooled fund under California Government Code Sections 53630 et seq.;
- 6) Members of the public who have expertise in, or an academic background in, public finance, and are economically diverse and bipartisan in political registration; and
- 7) Members of the public who have expertise in, or an academic background in, public finance, and are economically diverse and bipartisan in political registration.

Each member of the Committee shall serve for a term of four years.

San Francisco
BOARD OF SUPERVISORS

A Committee member MAY NOT:

- > Be employed by an entity that had contributed to a reelection campaign of the Treasurer or a member of the Board of Supervisors in the previous three years;
- > Directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee; or
- > Secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the committee.

The Committee's purpose is to involve depositors of funds into the City and County Treasury in reviewing the policies for investment of surplus funds that guide the management of their funds and to enhance the security and investment return on those funds by providing a more stable and predictable balance for investment by establishing criteria for the withdrawal of funds.

Sunset Date: None referenced.

Reports: None referenced.

