

File No. 200335

Committee Item No. 2

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget & Finance Committee

Date April 29, 2020

Board of Supervisors Meeting

Date _____

Cmte Board

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- Form 126 – Ethics Commission
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OTHER (Use back side if additional space is needed)

- Certificate of Liability Insurance _____
- _____
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- _____

Completed by: Linda Wong

Date April 24, 2020

Completed by: Linda Wong

Date _____

1 [Grant Agreement Amendment - San Francisco Pretrial Diversion Project, Inc. - Pretrial
2 Incarceration Alternatives - Not to Exceed \$15,864,317]

3 **Resolution approving a fifth amendment to the agreement between the Sheriff's**
4 **Office and the San Francisco Pretrial Diversion Project, Inc. to extend the**
5 **agreement by one year for a total term of October 1, 2017, through June 30, 2021,**
6 **and increase the agreement amount by \$5,905,402 for a total amount not to exceed**
7 **\$15,864,317.**

8
9 WHEREAS, Through a Request for Proposals in 2017, the Sheriff's Office selected
10 the San Francisco Pretrial Diversion Project, Inc. (SF Pretrial) to provide pretrial services
11 for a term of October 01, 2017, through June 30, 2020, for an initial not to exceed amount
12 of \$7,007,275; and

13 WHEREAS, The agreement was subsequently amended four times prior to
14 requiring Board of Supervisors approval, resulting in a total agreement amount not to
15 exceed \$9,958,915; and

16 WHEREAS, The Sheriff's Office wishes to extend the term of the agreement by an
17 additional one year, through June 30, 2021, with a corresponding increase of \$5,905,402
18 for a total agreement amount not to exceed \$15,864,317; and

19 WHEREAS, Charter, Section 9.118, requires that agreements entered into by a
20 department or commission having a term in excess of ten years or requiring anticipated
21 expenditures of ten million dollars or more be approved by the Board of Supervisors; and

22 WHEREAS, The increased expenditures and extended term under the amendment
23 will enable SF Pretrial to continue to handle the increased workload following the court's
24 decision in *re Humphrey* and the *Buffin* settlement and resulting changes in detention
25 practices; and

1 WHEREAS, The proposed contract amendment (“Amendment”) is on file with the Clerk
2 of the Board of Supervisors in File No. 200335, which is hereby declared to be a
3 part of this resolution as if set forth fully herein; now, therefore, be it

4 RESOLVED, that the Board of Supervisors authorizes the Sheriff’s Office to execute
5 the Amendment, which is on file with the Clerk of the Board of Supervisors in File No.
6 200335, to increase the contract amount, extend the contract term by one year, and update
7 standard contract clauses; and be it

8 FURTHER RESOLVED, That within thirty (30) days of the Amendment being fully
9 executed by all parties the Sheriff shall provide the final Amendment to the Clerk of the Board
10 for inclusion in Board of Supervisors in File No. 200335.

<p>Item 2 Files 20-0335</p>	<p>Department: Sheriff's Department</p>
<p>EXECUTIVE SUMMARY</p>	
<p style="text-align: center;">Legislative Objectives</p> <ul style="list-style-type: none"> • The proposed resolution would approve a fifth amendment to the contract between the Sheriff's Department and the San Francisco Pretrial Diversion Project, Inc. to (1) exercise the first of the two one-year extension options for a total term of October 1, 2017 through June 30, 2021, and (2) increase the contract amount by \$5,905,402 from \$9,958,915 for a total amount not to exceed \$15,864,317. <p style="text-align: center;">Key Points</p> <ul style="list-style-type: none"> • San Francisco Pretrial Diversion has provided pretrial services to the Sheriff's Department since the 1980s. In October 2017, the Sheriff's Department awarded a new contract to the San Francisco Pretrial Diversion Project following a Request for Proposals in which San Francisco Pretrial Diversion was the only respondent. Because the contract was less than \$10 million and less than 10 years, the contract did not require Board of Supervisors' approval. • Under the proposed contract, the San Francisco Pretrial Diversion Project will provide services in the following areas: probable cause facilitation, pretrial risk assessment, the continuum of pretrial supervision levels, in-custody referral and release program, and data collection and reporting. • According to Sheriff's Department staff, the increased contract expenditures and extended term under the contract amendment will enable the San Francisco Pretrial Diversion Project to continue to manage the increased workload following California's First District Court of Appeal decision in the "Re Humphrey" ruling and the Buffin settlement and resulting changes in detention practices. Department staff also state that as the criminal justice system develops safe social distancing protocols as a result of the COVID19 public health emergency, the average daily jail count has decreased by approximately 250 to 300 individuals since February, with a significant percentage of individuals being released to pretrial services. <p style="text-align: center;">Fiscal Impact</p> <ul style="list-style-type: none"> • The proposed resolution would increase the not-to-exceed amount of the contract by \$5,905,402 from \$9,958,915 for a total amount not to exceed \$15,864,317. The proposed expenditures during the one-year extension include an increase of 10 positions, from 43 to 53, and a cost of living increase of 2.5 percent. The source of funds for the proposed expenditures is the General Fund. <p style="text-align: center;">Recommendation</p> <ul style="list-style-type: none"> • Approve the proposed resolution. 	

MANDATE STATEMENT

City Charter Section 9.118(b) states that any contract entered into by a department, board or commission that (1) has a term of more than ten years, (2) requires expenditures of \$10 million or more, or (3) requires a modification of more than \$500,000 is subject to Board of Supervisors approval.

BACKGROUND

The Sheriff's Department has contracted with San Francisco Pretrial Diversion Project, Inc. ("San Francisco Pretrial Diversion"), a nonprofit organization, for pretrial diversion services since the 1980s. In June 2017, the Sheriff's Department selected San Francisco Pretrial Diversion to provide pretrial services after issuing a competitive Request for Proposals (RFP) in April 2017. San Francisco Pretrial Diversion was the only vendor who submitted a proposal. According to Mr. Crispin Hollings, Chief Financial Officer at the Sheriff's Department, the Department reviewed the proposal for minimum qualifications and services requested but did not assign scores per San Francisco Administrative Code Section 21.6¹. Consequently, in October 2017, the Sheriff's Department awarded a new contract to San Francisco Pretrial Diversion to provide pretrial services for (1) a term of October 01, 2017 through June 30, 2020, for an initial not to exceed amount of \$7,007,275 and, (2) two one-year options to extend with a maximum contract period of not more than five years². Because the contract was less than \$10 million and less than 10 years, the contract did not require Board of Supervisors' approval.

The Sheriff's Department modified the contract four times, as shown in Table 1 below. Modifications did not require Board of Supervisors' approval because the total contract amount did not exceed \$10 million.

¹ When a Contracting Officer issues a Solicitation for Commodities and/or Services and no responsive and responsible Offers are received, the Contracting Officer shall review the Solicitation to determine whether the Solicitation could be altered and reissued in a manner that would be likely to attract responsive offers. If the Contracting Officer determines that the lack of responsive Offers is not due to the content of the Solicitation, the Contracting Officer may purchase the Commodities or Services called for from any source.

² The contract term specified in the RFP is July 1, 2017 to June 30, 2020 with two one-year options to extend with a maximum contract period of five years.

Table 1: San Francisco Pretrial Diversion Project Contract Modifications

No.	Date	Description	Not-to-Exceed Amount
1	3/1/2018	Added a Bureau of Justice Assistance (BJA) Grant – Pretrial Mental Health Enhancement Project	\$7,128,915
2	3/2/2018	Added In-Custody Referral (ICR) Case Manager	\$7,239,371
3	7/1/2018	Reduced the term of the contract to two years with two one-year extension options. Increased the contract amount in response to the January 2018 Court of Appeal ruling, “Re Humphrey,” which banned detention based solely on a defendant’s ability to pay money bail.	\$5,468,352
4	7/1/2019	Extended the contract term by one year with two one-year extension options. Increased the contract amount in response to ongoing increases in case load resulting from the January 2018 Court of Appeal ruling, “Re Humphrey”. Added an annual Cost of Doing Business (CODB) of 2.5 percent.	\$9,958,915

DETAILS OF PROPOSED LEGISLATION

The proposed resolution would approve a fifth amendment to the contract between the Sheriff’s Department and the San Francisco Pretrial Diversion Project, Inc. to (1) exercise the first of the two one-year extension options for a total term of October 1, 2017 through June 30, 2021, and (2) increase the contract amount by \$5,905,402 from \$9,958,915 to \$15,864,317.

Under the proposed contract, the San Francisco Pretrial Diversion Project will provide services in the following areas:

- Probable cause³ facilitation
- Pretrial risk assessment⁴
- Continuum of supervision levels: This entails providing three levels of pretrial supervision to which the Court may order for clients as a condition of their release on Own Recognizance⁵:
 - 1) No Active Supervision (OR-NAS): Provide court date reminders only;
 - 2) Minimum Supervision (OR-MS): Provide court date reminders and twice per week phone reporting;
 - 3) Assertive Case Management (ACM): Up to four weekly in-person client meetings, review of adherence to medication schedules, ongoing review of court-ordered

³ Probable cause refers to the process by which an arrest report is presented to the Duty Officer within 48 hours of arrest for a probable cause determination in compliance with the Supreme Court decision *Riverside vs. McLaughlin* (500 U.S.44), which held those arrested without a warrant are entitled to judicial determination of probable cause within 48 hours including weekends and holidays.

⁴ This entails San Francisco Pretrial Diversion staff assessing eligible in-custody defendants utilizing the Public Safety Assessment (PSA) and presenting their cases to a judicial officer for possible pre arraignment release.

⁵ Own Recognizance is the basis for a judge allowing a person accused of a crime to be free while awaiting trial, without posting bail, on the defendant's own promise to appear and his/her reputation.

release conditions including participation in treatment groups including those to anger-management and/or substance use, accompanying clients to court appearances, connecting clients with long-term community-based resources. These are intensive needs clients with serious and/or violent cases.

- In-custody referral and release program
- Data collection and reporting

Buffin Settlement and Humphrey Ruling

Mr. Hollings states that the increased expenditures and extended term under the contract amendment will enable the San Francisco Pretrial Diversion Project to continue to manage the increased workload following California's First District Court of Appeal decision in the "Re Humphrey" ruling and the Buffin settlement and resulting changes in detention practices. A January 2018 Court of Appeal ruling, "Re Humphrey" banned detention based solely on a defendant's ability to pay money bail. According to Mr. Hollings, this ruling increased the workload of San Francisco Pretrial Diversion Project staff by adding clients who otherwise would have remained in custody (absent their ability to post bail). In addition, a March 2019 settlement in the case of Buffin v. City and County of San Francisco et al., (Case No. C15-04959 YGR) followed a U.S. District Court ruling that the use of a cash bail schedule as required by state law unconstitutionally discriminates against people who are unable to pay. Under the terms of the settlement, the Sheriff's Department will provide the San Francisco Superior Court with a public safety assessment for eligible pre-arraignment detainees within eight hours of the person's identity being confirmed in the jail, and will not enforce the San Francisco Superior Court's bail schedule. Consequently, the timeline for completion of Public Safety Assessments changed from twenty-four to eight hours. Mr. Hollings states that like the Humphrey ruling, the Buffin settlement resulted in an increase in staff workload by adding clients who otherwise would have been released on bail. Also, the settlement requires the need for additional off-shift staff in order to operate 24 hours a day, seven days a week to comply with the eight-hour time limit. Specifically, the terms of the Buffin settlement requires the following services:

- Expand Own Recognizance staff work hours for 24-hour, 7-days per week coverage;
- Print, process, analyze, and summarize criminal history information, ensuring completeness and accuracy;
- Submit Public Safety Assessment workups for eligible cases within eight hours of confirmation of identification;
- Monitor of the 18-hour timeline for judicial release determinations;
- Monitor of the submission of affidavits submitted by law enforcement agencies that may extend the 18-hour timeline to 30-hours;
- Make routine contact with San Francisco County Jail inmates and post-release clients to communicate conditions of release and gather contact information;
- Wraparound support

Pretrial Services Caseload

According to Mr. Hollings, as the criminal justice system develops safe social distancing protocols as a result of the COVID19 public health emergency, the average daily jail count has decreased

by approximately 250 to 300 individuals since February, with a significant percentage of individuals being released to pretrial services.

As shown in Table 2 below, from July 2019 to January 2020, pretrial services had a combined total average monthly caseload of 928 for the three levels of pretrial supervision (No Active Supervision – OR-NAS, Minimum Supervision – OR-MS, and Assertive Case Management – ACM). This is projected to increase by approximately 143, or 15.4 percent, to a combined total average monthly caseload of 1,071 from February 2020 to June 2020. Because the majority of the increase comes from ACM, which requires more time per client than OR-NAS and OR-MS, the projected increase in total Pretrial workload hours is 35.3 percent. From July 2020 to June 2021, combined total average monthly caseload is projected to be 1,224, which is an increase of approximately 296, or 31.9 percent, from the caseload of 928 from July 2019 to January 2020. Because the majority of the increase comes from ACM, the projected increase in total Pretrial workload hours is 57.6 percent. Mr. Hollings states that the increase in caseload is driven largely, in roughly equal parts, by the COVID19 public health emergency and the Buffin settlement requirements. In addition, some of the increase in caseload is also a result of the Humphrey ruling which expanded the eligibility criteria for pretrial services.

Table 2. Pretrial Services Monthly Caseload and Workload Hours from July 2019 to June 2021

	OR-NAS Avg Monthly Case Count	OR-MS Avg Monthly Case Count	ACM Avg Monthly Case Count	Total Avg Monthly Case Count	Percentage Increase from Jul 2019 - Jan 2020
Jul 2019 - Jan 2020	399	169	360	928	
Feb 2020 - Jun 2020	397	173	500	1,070	15.4%
Jul 2020 - Jun 2021	437	204	583	1,224	31.9%
	Monthly OR-NAS Workload (0.5 hrs./case)	Monthly OR-MS Workload (2 hrs./case)	Monthly ACM Workload (14 hrs./case)	Total Avg Monthly Workload	Percentage Increase from Jul 2019 - Jan 2020
Jul 2019 - Jan 2020	200	338	5,040	5,578	
Feb 2020 - Jun 2020	199	346	7,000	7,545	35.3%
Jul 2020 - Jun 2021	219	408	8,162	8,789	57.6%

Performance Metrics

The primary performance measures monitored for the proposed contract include the following:

- Appearance Rate: the percentage of pretrial clients who appeared at all court hearings in that quarter.
- Safety Rate: the percentage of pretrial clients who did not have a new filed charge in that quarter.

According to Mr. Hollings, since the May 2016 implementation of the Public Safety Assessment tool, San Francisco Pretrial Diversion has been working with criminal-justice stakeholders to improve performance on both of these metrics. These metrics also serve as a feedback loop to San Francisco Pretrial Diversion and stakeholders to understand the impact of release decisions which are ultimately made by the courts (courts may release individuals to San Francisco Pretrial Diversion even when San Francisco Pretrial Diversion recommends no release). The current performance target for both measures for No Active Supervision (OR-NAS) and Minimum Supervision (OR-MS) clients is 90 percent. The current performance target for both measures for Assertive Case Management (ACM) clients is 85 percent. Both appearance and safety rates are reviewed quarterly as part of a formal continuous improvement process.

According to a performance measures monitoring report from the Sheriff's Department, the contractor failed to meet the appearance rate target of 90 percent for No Active Supervision (OR-NAS) and Minimum Supervision (OR-MS) clients from May 2016 to December 2018. However, from January 2019 to December 2019, the contractor achieved or exceeded the appearance rate target for No Active Supervision (OR-NAS) and Minimum Supervision (OR-MS) clients. Except for April to June 2017 and April to June 2018, the contractor achieved or exceeded the safety rate target of 90 percent for No Active Supervision (OR-NAS) and Minimum Supervision (OR-MS) clients.

For Assertive Case Management (ACM) clients, the contractor failed to meet the appearance rate target of 85 percent from May 2016 to December 2018 but achieved or exceeded the appearance rate target from January 2019 to December 2019. Except for April to June 2017 and January to March 2018, the contractor achieved or exceeded the safety rate target of 85 percent for Assertive Case Management (ACM) clients.

FISCAL IMPACT

The proposed resolution would increase the not-to-exceed amount of the contract by \$5,905,402 from \$9,958,915 for a total amount not to exceed \$15,864,317. The sources and uses of funds for the proposed contract to the San Francisco Pretrial Diversion Project are shown in Table 3 below.

Table 3. Sources and Uses of Funds for Proposed San Francisco Pretrial Diversion Project Contract

Sources of Funds	FY 2017-18 (through February)	FY 2018-19 (Actual)	FY 2019-20 (Budget)		Existing Agreement	FY 2020-21 (Proposed Modification)	Total
General Fund	\$2,034,150	\$3,331,768	\$4,471,357		\$9,837,275	\$5,905,402	\$15,742,677
Bureau of Justice Assistance Grant	25,610	76,823	19,207		121,640	0	121,640
Total Sources	\$2,059,760	\$3,408,591	\$4,490,564		\$9,958,915	\$5,905,402	\$15,864,317

Uses of Funds	FY 2017-18 (through February)	FY 2018-19 (Actual)	FY 2019-20 (Actual) ⁶	FY 2019-20 (Projected)	Existing Agreement	FY 2020-21 (Proposed Modification)	Total
Salaries & Benefits	\$1,325,902	\$2,469,958	\$2,178,798	\$1,265,536	\$7,240,194	\$4,303,191	\$11,543,385
Operating Expenses	228,415	146,875	175,790	7,754	558,834	579,573	1,138,407
Sub-Contractual Services	187,886	36,043	123,661	38,339	385,929	162,000	547,929
Equipment Purchases	35,385	200,955	0	15,000	251,340	0	251,340
Indirect Cost ⁷	256,562	477,937	421,598	244,881	1,400,978	860,638	2,261,616
Subtotal	\$2,034,150	\$3,331,768	\$2,899,847	\$1,571,510	\$9,837,275	\$5,905,402	\$15,742,677
Bureau of Justice Assistance Grant	25,610	76,823	19,207	0	121,640	0	121,640
Total Uses	\$2,059,760	\$3,408,591	\$2,919,054	\$1,571,510	\$9,958,915	\$5,905,402	\$15,864,317

Source: Appendix B-2 to Proposed Fifth Modification

The proposed expenditures during the one-year extension includes an increase of 10 positions, from 43 to 53, and a cost of living increase of 2.5 percent. According to Mr. Hollings, operating expenditures in the proposed one-year term extension are higher than the current fiscal year because the amount of rent expenses was re-allocated to this proposed contract instead of the department's other Pretrial Diversion Project contract, which previously included the rent expense. The "sub-contractual services" account includes funding for IT managed services, strategic and financial planning, outcomes analysis and reporting and database development.

⁶ Expenditures through February 2020

⁷ The indirect cost percentage is 19.35 percent from FY 2017-18 through FY 2019-20. For FY 2020-21, the indirect cost percentage is 20 percent. Per the Sheriff's Department, indirect/administrative/overhead (non-program) costs cannot exceed 20 percent of Program Salaries & Fringe Benefits without sufficient justification and the approval of the Chief Financial Officer of the Sheriff Department. indirect/administrative/overhead is only allowed for Program Salaries & Fringe Benefits.

Hazard Pay

According to Mr. Hollings, the proposed contract allows for hazard pay. Non-exempt workers can receive hazard pay ranging from \$2.50 per hour to \$5.00 per hour depending on how much time is spent working at the office versus working at home.

RECOMMENDATION

Approve the proposed resolution.

**CITY AND COUNTY OF SAN FRANCISCO
SAN FRANCISCO SHERIFF'S OFFICE**

FIFTH AMENDMENT TO GRANT AGREEMENT

BETWEEN

CITY AND COUNTY OF
SAN FRANCISCO

AND

SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.

FIFTH AMENDMENT

This AMENDMENT of the, **October 01, 2017 Grant Agreement** (the "Agreement") is dated as of **July 01, 2020** and is made in the City and County of San Francisco, State of California, by and between **SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.** ("Grantee") and the **CITY AND COUNTY OF SAN FRANCISCO**, a municipal corporation ("City") acting by and through **SAN FRANCISCO SHERIFF'S OFFICE** ("Department").

RECITALS

WHEREAS, City and Grantee have entered into the Grant Agreement for fiscal year **FY 2017-2018**; and

WHEREAS, Grantee has submitted to the Agency the Application Documents (as hereinafter defined) seeking a grant to provide a **Pretrial Incarceration Alternatives (PIA)** for the purpose of funding the matters set forth in the Grant Plan (as defined in the Agreement); and

WHEREAS, the Agreement was competitively procured as required by San Francisco Administrative Code Chapter 21.1 through **RFP 2017-03 Pretrial Services** issued on **April 06, 2017** and this modification is consistent therewith; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to **extend the term of the contract by one year, increase the contract amount, and add a Cost of Doing Business (CODB) for FY2020-2021**; and

WHEREAS, City and Grantee desire to execute this amendment to update the prior Agreement;

NOW, THEREFORE, City and Grantee agree to amend said Grant Agreement as follows:

1. Definitions. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Grant Agreement.

2. Modifications to the Agreement. The Grant Agreement is hereby modified as follows:

Article 1
DEFINITIONS

(a) **Agreement.** The term “Agreement” shall mean the Agreement dated **October 01, 2017** between Grantee and City, as amended by the:

First amendment	dated March 01, 2018 , and
Second amendment	dated March 02, 2018 , and
Third amendment	dated June 01, 2018 , and
Fourth amendment	dated July 01, 2019 .

Article 3
TERM

(c) **Section 3.2** Section **3.2 Duration of Term** of the Agreement currently reads as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) **OCTOBER 01, 2017** and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on **JUNE 30, 2020**.

Such section is hereby amended in its entirety to read as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) **OCTOBER 01, 2017** and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on **JUNE 30, 2021**.

Article 5
Use and Disbursement of Grant Funds

(e) **Section 5.1.** Section **5.1 Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **NINE MILLION NINE HUNDRED FIFTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$9,958,915)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-ONE DOLLARS (\$3,408,591)**.

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **FOUR MILLION FOUR HUNDRED NINETY THOUSAND FIVE HUNDRED SIXTY-FOUR DOLLARS (\$4,490,564)**.

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **FIFTEEN MILLION EIGHT HUNDRED SIXTY FOUR THOUSAND THREE HUNDRED SEVENTEEN DOLLARS (\$15,864,317).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-ONE DOLLARS (\$3,408,591).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **FOUR MILLION FOUR HUNDRED NINETY THOUSAND FIVE HUNDRED SIXTY-FOUR DOLLARS (\$4,490,564).**

Funding for the fiscal year 2020-2021 which will commence July 01, 2020 through June 30, 2021 will be **FIVE MILLION NINE HUNDRED FIVE THOUSAND FOUR HUNDRED TWO DOLLARS (\$5,905,402)** (\$5,786,471 plus \$118,931 CODB increase).

**Appendix B
DEFINITION OF GRANT PLAN**

(l) Appendix B. Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 20-21 – Pretrial Incarceration Alternatives (PIA)	B-1D	B-1E
Summary of Program Budget Changes	C-4	C-5

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after the date of this Amendment.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Grant Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Amendment to the Grant Agreement to be duly executed as of the date first specified herein.

CITY:

GRANTEE:

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**

Paul Miyamoto
Sheriff
San Francisco Sheriff's Office

David Mauroff
Chief Executive Officer
236 – 8th Street
San Francisco, CA 94103

City Vendor Number: **0000011529**

Approved as to Form:

Dennis J. Herrera
City Attorney

By: _____
Jana J. Clark
Deputy City Attorney

San Francisco Sheriff's Department
Financial Services
FY 2020-2021 Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

3/11/2020

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2020-6/30/2021

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 4,303,191
B) Direct Program Operating Expenses	\$ 579,573
C) Program [Sub-]Contract Services	\$ 162,000
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs**	\$ 860,638
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 5,905,402

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)

Indirect/Admin/Overhead ("Non-Program") costs cannot exceed **20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer

Date:

FOR SHERIFF USE ONLY

Program Budget Approved

Sheriff's Chief Financial Officer

Date:

Data entry of Approved Budget

Date:

Accounting Codes (and amounts if more than one): _____

**San Francisco Sheriff's Department
Financial Services
FY 2020-2021 Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

3/11/2020

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2020-6/30/2021**A) Program Salary and Fringe Benefits Budget Summary**

Position/Title	FTE Equivalent	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request	
1	Chief Executive Officer	0.675	27	52	\$75.07	\$105,397	24%	\$25,295	\$130,692
2	Chief Operating Officer	0.675	27	52	\$56.67	\$79,566	24%	\$19,096	\$98,661
3	Chief Programs Officer	0.675	27	52	\$61.60	\$86,484	24%	\$20,756	\$107,241
4	Director of Programs	0.675	27	52	\$44.45	\$62,407	24%	\$14,978	\$77,385
5	Director of Policy and Evaluation	0.180	7.2	52	\$41.89	\$15,683	24%	\$3,764	\$19,446
6	OR Manager	1.000	40	52	\$39.42	\$82,000	24%	\$19,680	\$101,680
7	OR Assistant Manager	1.000	40	52	\$36.22	\$75,338	24%	\$18,081	\$93,419
8	OR Shift Supervisor	3.500	140	52	\$33.83	\$246,282	24%	\$59,108	\$305,390
9	Pretrial Release Specialist Swing/Mid 2	3.525	141	52	\$32.29	\$236,750	24%	\$56,820	\$293,570
10	Pretrial Release Specialist 2	4.025	161	52	\$31.07	\$260,118	24%	\$62,428	\$322,546
11	Pretrial Release Specialist Swing/Mid 1	3.525	141	52	\$27.16	\$199,137	24%	\$47,793	\$246,930
12	Pretrial Release Specialist 1	2.025	81	52	\$25.89	\$109,049	24%	\$26,172	\$135,220
13	Judicial Liaison	0.200	8	52	\$60.40	\$25,126	24%	\$6,030	\$31,157
14	ACM Manager	1.000	40	52	\$38.93	\$80,975	24%	\$19,434	\$100,409
15	ACM Assistant Manager	1.000	40	52	\$35.48	\$73,800	24%	\$17,712	\$91,512
16	Lead ACM Case Manager	2.000	80	52	\$31.54	\$131,206	24%	\$31,490	\$162,696
17	ACM Case Manager	12.750	510	52	\$28.98	\$768,550	24%	\$184,452	\$953,002
18	ACM Case Manager / Therapist	0.200	8	52	\$101.33	\$42,153	24%	\$10,117	\$52,270
19	Court Team Manager	1.000	40	52	\$38.44	\$79,950	24%	\$19,188	\$99,138
20	Court Team Assistant Manager	1.000	40	52	\$34.99	\$72,775	24%	\$17,466	\$90,241
21	Lead Court Liaison	2.000	40	52	\$31.54	\$65,603	24%	\$15,745	\$81,348
22	Court Liaison	4.000	160	52	\$28.98	\$241,114	24%	\$57,867	\$298,981
23	ICR Case Manager	2.000	80	52	\$29.21	\$121,514	24%	\$29,163	\$150,677
24	Court Compliance Specialist	2.000	80	52	\$25.89	\$107,702	24%	\$25,849	\$133,551
25	Program Specialist	1.350	54	52	\$21.01	\$58,996	24%	\$14,159	\$73,155
26	Safety Specialist	1.000	40	52	\$20.50	\$42,640	24%	\$10,234	\$52,874
27									
TOTAL FTEs		52.980							\$ 4,303,190.78
Subtotal Salary & Fringe Benefits:									

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2020-2021 Budget Request

Agency Name:	Date:
San Francisco Pretrial Diversion Project, Inc.	3/11/2020
Program Title:	Grant/Contract Period:
Pretrial Incarceration Alternatives (PIA)	7/1/2020-6/30/2021

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Office Supplies	\$ 1,125.00	12	\$ 13,500
Printing	\$ 750.00	12	\$ 9,000
Postage	\$ 150.00	12	\$ 1,800
Office Equipment Lease	\$ 1,875.00	12	\$ 22,500
Rent	\$ 31,350.85	12	\$ 376,210
Utilities	\$ 2,500.00	12	\$ 30,000
Telephone/Cell/Internet	\$ 1,350.00	12	\$ 16,200
Program Staff Training	\$ 7,112.98	1	\$ 7,113
Program Staff Conferences	\$ 17,000.00	1	\$ 17,000
Program Staff Travel	\$ 187.50	12	\$ 2,250
Other (describe):			
Client Flex Fund	\$ 7,000.00	12	\$ 84,000
Other (describe):			\$ -
			\$ -
			\$ -
Accounting Codes (and amounts if more than one):	Subtotal Other Current Expenses:		\$ 579,573

San Francisco Sheriff's Department
Financial Services
FY 2020-2021 Budget Request

Agency Name:

Date:

San Francisco Pretrial Diversion Project, Inc.**3/11/2020**

Program Title:

Grant/Contract Period:

Pretrial Incarceration Alternatives (PIA)**7/1/2020-6/30/2021****C) Program [Sub-]Contract Services**

Consultants/Professional Services*					
Name	Agency	Description of Services	Estimated Cost Per Hour	Estimated Hours	Request Amount
		IT Managed Services			\$ 117,000
		Strategic & Financial Planning			\$ 9,000
		Outcomes Analysis & Reporting			\$ 18,000
		Database Development			\$ 18,000
					\$ -
					\$ -
Other [Sub-]Contract Services (provide description):					
Item (Example):	Description:		Estimated Cost Per Hour	Estimated Hours	Request Amount
					\$ -
					\$ -
					\$ -
					\$ -
Agency's Financial Officer			Subtotal for [Sub-]Contractual Services:		\$ 162,000

*Please submit to SFSD Financial Services a copy of your agency's contract or agreement with each [sub-]contractor/consultant identified in your budget. This is normally a one-time submission. Please contact SFSD Financial Services with any questions regarding this.

Please insert additional lines as needed for all [sub-]contractors/consultants in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

**San Francisco Sheriff's Department
Financial Services
FY 2020-2021 Budget Request**

Agency Name: San Francisco Pretrial Diversion Project, Inc.	Date: 3/11/2020
Program Title: Pretrial Incarceration Alternatives (PIA)	Grant/Contract Period: 7/1/2020-6/30/2021

D) Program Equipment Purchase

Equipment to be purchased	Purpose for Equipment	Request Amount
		\$ -
		\$ -
		\$ -
Subtotal for Equipment Purchases:		\$ -

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 5**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract			
Pretrial Incarceration Alternatives (PIA)	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 17-18	03/01/2018 - 06/30/2020	\$ 25,610
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-19	03/01/2018 - 06/30/2020	\$ 76,823
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ 19,207
Amendment # 2			
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 17-18	03/02/2018 - 06/30/2018	\$ 28,403
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 18-19	07/01/2018 - 06/30/2019	\$ 82,053
Amendment # 3			
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2019	\$ 748,951
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ (2,500,764)
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ (19,207)
Amendment # 4			
Pretrial Release and Diversion Services	FY 19-20	07/01/2019 - 06/30/2020	\$ 3,976,193
FY 19-20 - CODB - 2.5%	FY 19-20	07/01/2019 - 06/30/2020	\$ 99,405
Pretrial Release and Diversion Services - Additional Funding Pending Approval in Mayor's FY19-20 Proposed Budget	FY 19-20	07/01/2019 - 06/30/2020	\$ 395,759
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ 19,207
Amendment # 5			
Pretrial Incarceration Alternatives (PIA)	FY 20-21	07/01/2020 - 06/30/2021	\$ 5,786,471
FY 20-21 - CODB - 2.5%	FY 20-21	07/01/2020 - 06/30/2021	\$ 118,931
TOTALS			\$ 15,864,317



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

October 01, 2017

San Francisco Pretrial Diversion
Project, Inc.
925 Harrison Street
San Francisco, CA 94107

Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA)** Grant Agreement effective **October 01, 2017**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**CITY AND COUNTY OF SAN FRANCISCO
SHERIFF'S DEPARTMENT**

GRANT AGREEMENT

between

CITY AND COUNTY OF SAN FRANCISCO

and

SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.

THIS GRANT AGREEMENT (this "Agreement") is made this **OCTOBER 01, 2017**, in the City and County of San Francisco, State of California, by and between **SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.** ("Grantee") and the **CITY AND COUNTY OF SAN FRANCISCO**, a municipal corporation ("City") acting by and through the Agency (as hereinafter defined),

WITNESSETH:

WHEREAS, Grantee has submitted to the Agency the Application Documents (as hereinafter defined) seeking a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan (as hereinafter defined); and summarized briefly as follows:

The intended outcome of pretrial incarceration alternatives is to maximize appearances in court and minimize risk to public safety pending trial; and

WHEREAS, City desires to provide such a grant on the terms and conditions set forth herein:

NOW, THEREFORE, in consideration of the premises and the mutual covenants contained in this Agreement and for other good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, the parties hereto agree as follows:

**ARTICLE 1
DEFINITIONS**

1.1 Specific Terms. Unless the context otherwise requires, the following capitalized terms (whether singular or plural) shall have the meanings set forth below:

(a) "**ADA**" shall mean the Americans with Disabilities Act (including all rules and regulations thereunder) and all other applicable federal, state and local disability rights legislation, as the same may be amended, modified or supplemented from time to time.

(b) "**Agency**" shall mean **SAN FRANCISCO SHERIFF'S DEPARTMENT**.

(c) "**Application Documents**" shall mean collectively: (i) the grant application submitted by Grantee, including all exhibits, schedules, appendices and attachments thereto; (ii) all documents,

correspondence and other written materials submitted in respect of such grant application; and (iii) all amendments, modifications or supplements to any of the foregoing approved in writing by City.

(d) “**Budget**” shall mean either the budget attached hereto as part of Appendix B, if any, or the budget included in the Application Documents, to the extent expressly approved by the Agency.

(e) “**Charter**” shall mean the Charter of City.

(f) “**Controller**” shall mean the Controller of City.

(g) “**Eligible Expenses**” shall have the meaning set forth in Appendix A.

(h) “**Event of Default**” shall have the meaning set forth in Section 11.1.

(i) “**Fiscal Quarter**” shall mean each period of three (3) calendar months commencing on July 1, October 1, January 1 and April 1, respectively.

(j) “**Fiscal Year**” shall mean each period of twelve (12) calendar months commencing on July 1 and ending on June 30 during all or any portion of which this Agreement is in effect.

(k) “**Funding Request**” shall have the meaning set forth in Section 5.3(a).

(l) “**Grant Funds**” shall mean any and all funds allocated or disbursed to Grantee under this Agreement.

(m) “**Grant Plan**” shall have the meaning set forth in Appendix B

or

shall mean the plans, performances, events, exhibitions, acquisitions or other activities or matter described in the Application documents; provided, however, that in the event of any inconsistency in such description, the most recent of the conflicting documents shall govern.

(n) “**HRC**” shall mean the Human Rights Commission of City, or, in light of legal changes in the governing structure, shall mean “**CMD**” or the Contract Monitoring Division of the City.

(o) “**Indemnified Parties**” shall mean: (i) City, including the Agency and all commissions, departments, agencies and other subdivisions of City; (ii) City's elected officials, directors, officers, employees, agents, successors and assigns; and (iii) all persons or entities acting on behalf of any of the foregoing.

(p) “**Losses**” shall mean any and all liabilities, obligations, losses, damages, penalties, claims, actions, suits, judgments, fees, expenses and costs of whatsoever kind and nature (including legal fees and expenses and costs of investigation, of prosecuting or defending any Loss described above) whether or not such Loss be founded or unfounded, of whatsoever kind and nature.

(q) “**Publication**” shall mean any report, article, educational material, handbook, brochure, pamphlet, press release, public service announcement, web page, audio or visual material or other communication for public dissemination, which relates to all or any portion of the Grant Plan or is paid for in whole or in part using Grant Funds.

(r) “**Contractor**” shall mean “**Grantee**” as certain City Contracting requirements also apply to Grants of the City of San Francisco.

1.2 Additional Terms. The terms “as directed,” “as required” or “as permitted” and similar terms shall refer to the direction, requirement, or permission of the Agency. The terms “sufficient,” “necessary” or “proper” and similar terms shall mean sufficient, necessary or proper in the sole judgment of the Agency. The terms “approval,” “acceptable” or “satisfactory” or similar terms shall mean approved by, or acceptable to, or satisfactory to the Agency. The terms “include,” “included” or “including” and similar terms shall be deemed to be followed by the words “without limitation”. The use of the term “subcontractor,” “successor” or “assign” herein refers only to a subcontractor (“subgrantee”), successor or assign expressly permitted under Article 13.

1.3 References to this Agreement. References to this Agreement include: (a) any and all appendices, exhibits, schedules, attachments hereto; (b) any and all statutes, ordinances, regulations or other documents expressly incorporated by reference herein; and (c) any and all amendments, modifications or supplements hereto made in accordance with Section 17.2. References to articles, sections, subsections or appendices refer to articles, sections or subsections of or appendices to this Agreement, unless otherwise expressly stated. Terms such as “hereunder,” herein or “hereto” refer to this Agreement as a whole.

ARTICLE 2 APPROPRIATION AND CERTIFICATION OF GRANT FUNDS; LIMITATIONS ON CITY'S OBLIGATIONS

2.1 Risk of Non-Appropriation of Grant Funds. This Agreement is subject to the budget and fiscal provisions of the Charter. City shall have no obligation to make appropriations for this Agreement in lieu of appropriations for new or other agreements. Grantee acknowledges that City budget decisions are subject to the discretion of its Mayor and Board of Supervisors. Grantee assumes all risk of possible non-appropriation or non-certification of funds, and such assumption is part of the consideration for this Agreement.

2.2 Certification of Controller; Guaranteed Maximum Costs. No funds shall be available under this Agreement until prior written authorization certified by the Controller. In addition, as set forth in Section 21.10-1 of the San Francisco Administrative Code: City's obligations hereunder shall not at any time exceed the amount certified by the Controller for the purpose and period stated in such certification. Except as may be provided by City ordinances governing emergency conditions, City and its employees and officers are not authorized to request Grantee to perform services or to provide materials, equipment and supplies that would result in Grantee performing services or providing materials, equipment and supplies that are beyond the scope of the services, materials, equipment and supplies specified in this Agreement unless this Agreement is amended in writing and approved as required by law to authorize the additional services, materials, equipment or supplies. City is not required to pay Grantee for services, materials, equipment or supplies that are provided by Grantee which are beyond the scope of the services, materials, equipment and supplies agreed upon herein and which were not approved by a written amendment to this Agreement having been lawfully executed by City. City and its employees and officers are not authorized to offer or promise to Grantee additional funding for this Agreement which would exceed the maximum amount of funding provided for herein. Additional funding for this Agreement in excess of the maximum provided herein shall require lawful approval and certification by the Controller. City is not required to honor any offered or promised additional funding which exceeds the maximum provided in this Agreement which requires lawful approval and certification of the Controller when the lawful approval and certification by the Controller has not been obtained. The Controller is not authorized to make payments on any agreement for which funds have not been certified as available in the budget or by supplemental appropriation.

2.3 Automatic Termination for Nonappropriation of Funds. This Agreement shall automatically terminate, without penalty, liability or expense of any kind to City, at the end of any Fiscal Year if funds are not appropriated for the next succeeding Fiscal Year. If funds are appropriated for a portion of any Fiscal Year, this Agreement shall terminate, without penalty, liability or expense of any kind to City, at the end of such portion of the Fiscal Year.

2.4 SUPERSEDURE OF CONFLICTING PROVISIONS. IN THE EVENT OF ANY CONFLICT BETWEEN ANY OF THE PROVISIONS OF THIS ARTICLE 2 AND ANY OTHER PROVISION OF THIS AGREEMENT, THE APPLICATION DOCUMENTS OR ANY OTHER DOCUMENT OR COMMUNICATION RELATING TO THIS AGREEMENT, THE TERMS OF THIS ARTICLE 2 SHALL GOVERN.

ARTICLE 3 TERM

3.1 Effective Date. This Agreement shall become effective when the Controller has certified to the availability of funds as set forth in Section 2.2 and the Agency has notified Grantee thereof in writing.

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) OCTOBER 01, 2017 and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on JUNE 30, 2020. In addition, the Sheriff shall have two options to extend the term, for a period of one year each, by mutual agreement in writing. In the event such extension rights are exercised, all terms and conditions, requirements and specifications of the Agreement shall remain the same and apply during the renewal terms. The maximum contract period shall not be more than five (5) years.

ARTICLE 4 IMPLEMENTATION OF GRANT PLAN

4.1 Implementation of Grant Plan; Cooperation with Monitoring. Grantee shall, in good faith and with diligence, implement the Grant Plan on the terms and conditions set forth in this Agreement and the Application Documents. Grantee shall not materially change the nature or scope of the Grant Plan during the term of this Agreement without the prior written consent of City. Grantee shall promptly comply with all standards, specifications and formats of City, as they may from time to time exist, related to evaluation, planning and monitoring of the Grant Plan and shall cooperate in good faith with City in any evaluation, planning or monitoring activities conducted or authorized by City.

4.2 Grantee's Personnel. The Grant Plan shall be implemented only by competent personnel under the direction and supervision of Grantee.

4.3 Grantee's Board of Directors. Grantee shall at all times be governed by a legally constituted and fiscally responsible board of directors. Such board of directors shall meet regularly and maintain appropriate membership, as established in Grantee's bylaws and other governing documents and shall adhere to applicable provisions of federal, state and local laws governing nonprofit corporations. Grantee's board of directors shall exercise such oversight responsibility with regard to this Agreement as is necessary to ensure full and prompt performance by Grantee of its obligations under this Agreement.

4.4 Publications and Work Product.

(a) Grantee understands and agrees that City has the right to review, approve, disapprove or conditionally approve, in its sole discretion, the work and property funded in whole or part with the Grant Funds, whether those elements are written, oral or in any other medium. Grantee has the burden of

demonstrating to City that each element of work or property funded in whole or part with the Grant Funds is directly and integrally related to the Grant Plan as approved by City. City shall have the sole and final discretion to determine whether Grantee has met this burden.

(b) Without limiting the obligations of Grantee set forth in subsection (a) above, Grantee shall submit to City for City's prior written approval any Publication, and Grantee shall not disseminate any such Publication unless and until it receives City's consent. In addition, Grantee shall submit to City for approval, if City so requests, any other program material or form that Grantee uses or proposes to use in furtherance of the Grant Plan, and Grantee shall promptly provide to City one copy of all such materials or forms within two (2) days following City's request. The City's approval of any material hereunder shall not be deemed an endorsement of, or agreement with, the contents of such material, and the City shall have no liability or responsibility for any such contents. The City reserves the right to disapprove any material covered by this section at any time, notwithstanding a prior approval by the City of such material. Grantee shall not charge for the use or distribution of any Publication funded all or in part with the Grant Funds, without first obtaining City's written consent, which City may give or withhold in its sole discretion.

(c) Grantee shall distribute any Publication solely within San Francisco, unless City otherwise gives its prior written consent, which City may give or withhold in its sole discretion. In addition, Grantee shall furnish any services funded in whole or part with the Grant Funds under this Agreement solely within San Francisco, unless City otherwise gives its prior written consent, which City may give or withhold in its sole discretion.

(d) City may disapprove any element of work or property funded in whole or part by the Grant Funds that City determines, in its sole discretion, has any of the following characteristics: is divisive or discriminatory; undermines the purpose of the Grant Plan; discourages otherwise qualified potential employees or volunteers or any clients from participating in activities covered under the Grant Plan; undermines the effective delivery of services to clients of Grantee; hinders the achievement of any other purpose of City in making the Grant under this Agreement; or violates any other provision of this Agreement or applicable law. If City disapproves any element of the Grant Plan as implemented, or requires any change to it, Grantee shall immediately eliminate the disapproved portions and make the required changes. If City disapproves any materials, activities or services provided by third parties, Grantee shall immediately cease using the materials and terminate the activities or services and shall, at City's request, require that Grantee obtain the return of materials from recipients or deliver such materials to City or destroy them.

(e) City has the right to monitor from time to time the administration by Grantee or any of its subcontractors of any programs or other work, including, without limitation, educational programs or trainings, funded in whole or part by the Grant Funds, to ensure that Grantee is performing such element of the Grant Plan, or causing such element of the Grant Plan to be performed, consistent with the terms and conditions of this Agreement.

(f) Grantee shall acknowledge City's funding under this Agreement in all Publications. Such acknowledgment shall conspicuously state that the activities are sponsored in whole or in part through a grant from the Agency. Except as set forth in this Section, Grantee shall not use the name of the Agency or City (as a reference to the municipal corporation as opposed to location) in any Publication without prior written approval of City.

**ARTICLE 5
USE AND DISBURSEMENT OF GRANT FUNDS**

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION SEVEN THOUSAND TWO HUNDRED SEVENTY-FIVE DOLLARS (\$7,007,275)**. Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIVE THOUSAND SEVEN HUNDRED FORTY-SEVEN DOLLARS (\$2,005,747)**. Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED THOUSAND SEVEN HUNDRED SIXTY-FOUR DOLLARS (\$2,500,764)**. Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED THOUSAND SEVEN HUNDRED SIXTY-FOUR DOLLARS (\$2,500,764)**.

5.2 Use of Grant Funds. Grantee shall use the Grant Funds only for Eligible Expenses as set forth in Appendix A and for no other purpose. Grantee shall expend the Grant Funds in accordance with the Budget, if any, and shall obtain the prior approval of City before transferring expenditures from one line item to another within the Budget.

5.3 Disbursement Procedures. Grant Funds shall be disbursed to Grantee as follows:

(a) Grantee shall submit to the Agency, in the manner specified for notices pursuant to Article 15, a document (a "Funding Request") substantially in the form attached as Appendix C. Any Funding Request that is submitted and is not approved by the Agency shall be returned by the Agency to Grantee with a brief statement of the reason for the Agency's rejection of such Funding Request. If any such rejection relates only to a portion of Eligible Expenses itemized in such Funding Request, the Agency shall have no obligation to disburse any Grant Funds for any other Eligible Expenses itemized in such Funding Request unless and until Grantee submits a Funding Request that is in all respects acceptable to the Agency.

(b) The Agency shall make all disbursements of Grant Funds pursuant to this Section by check payable to Grantee, sent via U.S. mail in accordance with Article 15, unless the Agency otherwise agrees in writing, in its sole discretion. The Agency shall make disbursements of Grant Funds no more than once during each MONTH.

**ARTICLE 6
REPORTING REQUIREMENTS; AUDITS;
PENALTIES FOR FALSE CLAIMS**

6.1 Regular Reports. Grantee shall provide, in a prompt and timely manner, financial, operational and other reports, as requested by the Agency, in form and substance satisfactory to the Agency. Such reports, including any copies, shall be submitted on recycled paper and printed on double-sided pages, to the maximum extent possible.

6.2 Organizational Documents. If requested by City, on or before the date of this Agreement, Grantee shall provide to City the names of its current officers and directors and certified copies of its Articles of Incorporation and Bylaws as well as satisfactory evidence of the valid nonprofit status described in Section 8.1.

6.3 Notification of Defaults or Changes in Circumstances. Grantee shall notify City immediately of (a) any Event of Default or event that, with the passage of time, would constitute an Event of Default; and (b) any change of circumstances that would cause any of the representations and warranties contained in Article 8 to be false or misleading at any time during the term of this Agreement.

6.4 Financial Statements. Within sixty (60) days following the end of each Fiscal Year, Grantee shall deliver to City an unaudited balance sheet and the related statement of income and cash flows for such Fiscal Year, all in reasonable detail acceptable to City, certified by an appropriate financial officer of Grantee as accurately presenting the financial position of Grantee. If requested by City, Grantee shall also deliver to City, no later than one hundred twenty (120) days following the end of any Fiscal Year, an audited balance sheet and the related statement of income and cash flows for such Fiscal Year, certified by a reputable accounting firm as accurately presenting the financial position of Grantee.

6.5 Books and Records. Grantee shall establish and maintain accurate files and records of all aspects of the Grant Plan and the matters funded in whole or in part with Grant Funds during the term of this Agreement. Without limiting the scope of the foregoing, Grantee shall establish and maintain accurate financial books and accounting records relating to Eligible Expenses incurred and Grant Funds received and expended under this Agreement, together with all invoices, documents, payrolls, time records and other data related to the matters covered by this Agreement, whether funded in whole or in part with Grant Funds. Grantee shall maintain all of the files, records, books, invoices, documents, payrolls and other data required to be maintained under this Section in a readily accessible location and condition for a period of not less than five (5) years after final payment under this Agreement or until any final audit has been fully completed, whichever is later.

6.6 Inspection and Audit. Grantee shall make available to City, its employees and authorized representatives, during regular business hours all of the files, records, books, invoices, documents, payrolls and other data required to be established and maintained by Grantee under Section 6.5. Grantee shall permit City, its employees and authorized representatives to inspect, audit, examine and make excerpts and transcripts from any of the foregoing. The rights of City pursuant to this Section shall remain in effect so long as Grantee has the obligation to maintain such files, records, books, invoices, documents, payrolls and other data under this Article 6.

6.7 Submitting False Claims; Monetary Penalties. Any contractor, subcontractor or consultant who submits a false claim shall be liable to the City for the statutory penalties set forth in that section. A contractor, subcontractor or consultant will be deemed to have submitted a false claim to the City if the contractor, subcontractor or consultant: (a) knowingly presents or causes to be presented to an officer or employee of the City a false claim or request for payment or approval; (b) knowingly makes, uses, or causes to be made or used a false record or statement to get a false claim paid or approved by the City; (c) conspires to defraud the City by getting a false claim allowed or paid by the City; (d) knowingly makes, uses, or causes to be made or used a false record or statement to conceal, avoid, or decrease an obligation to pay or transmit money or property to the City; or (e) is a beneficiary of an inadvertent submission of a false claim to the City, subsequently discovers the falsity of the claim, and fails to disclose the false claim to the City within a reasonable time after discovery of the false claim.

6.8 Ownership of Results. Any interest of Grantee or any subgrantee, in drawings, plans, specifications, studies, reports, memoranda, computation sheets, the contents of computer diskettes, or other documents or Publications prepared by Grantee or any subgrantee in connection with this Agreement or the implementation of the Grant Plan or the services to be performed under this Agreement, shall become the property of and be promptly transmitted to City. Notwithstanding the foregoing, Grantee may retain and use copies for reference and as documentation of its experience and capabilities.

6.9 Works for Hire. If, in connection with this Agreement or the implementation of the Grant Plan, Grantee or any subgrantee creates artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any other original works of authorship or Publications, such creations shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in such creations shall be the property of City. If it is ever determined that any such creations are not works for hire under applicable law, Grantee hereby assigns all copyrights thereto to City, and agrees to provide any material, execute such documents and take such other actions as may be necessary or desirable to effect such assignment. With the prior written approval of City, Grantee may retain and use copies of such creations for reference and as documentation of its experience and capabilities. Grantee shall obtain all releases, assignments or other agreements from subgrantees or other persons or entities implementing the Grant Plan to ensure that City obtains the rights set forth in this Article 6.

ARTICLE 7 TAXES

7.1 Grantee to Pay All Taxes. Grantee shall pay to the appropriate governmental authority, as and when due, any and all taxes, fees, assessments or other governmental charges, including possessory interest taxes and California sales and use taxes, levied upon or in connection with this Agreement, the Grant Plan, the Grant Funds or any of the activities contemplated by this Agreement.

7.2 Use of City Real Property. If at any time this Agreement entitles Grantee to the possession, occupancy or use of City real property for private gain, the following provisions shall apply:

(a) Grantee, on behalf of itself and any subgrantees, successors and assigns, recognizes and understands that this Agreement may create a possessory interest subject to property taxation and Grantee, and any subgrantee, successor or assign, may be subject to the payment of such taxes.

(b) Grantee, on behalf of itself and any subgrantees, successors and assigns, further recognizes and understands that any assignment permitted hereunder and any exercise of any option to renew or other extension of this Agreement may constitute a change in ownership for purposes of property taxation and therefore may result in a revaluation of any possessory interest created hereunder. Grantee shall report any assignment or other transfer of any interest in this Agreement or any renewal or extension thereof to the County Assessor within sixty (60) days after such assignment, transfer, renewal or extension.

(c) Grantee shall provide such other information as may be requested by City to enable City to comply with any reporting requirements under applicable law with respect to possessory interests.

7.3. Earned Income Credit (EIC) Forms. Reserved.

ARTICLE 8 REPRESENTATIONS AND WARRANTIES

Grantee represents and warrants each of the following as of the date of this Agreement and at all times throughout the term of this Agreement:

8.1 Organization; Authorization. Grantee is a nonprofit corporation, duly organized and validly existing and in good standing under the laws of the jurisdiction in which it was formed. Grantee has established and maintains valid nonprofit status under Section 501(c)(3) of the United States Internal Revenue Code of 1986, as amended, and all rules and regulations promulgated under such Section.

Grantee has duly authorized by all necessary action the execution, delivery and performance of this Agreement. Grantee has duly executed and delivered this Agreement and this Agreement constitutes a legal, valid and binding obligation of Grantee, enforceable against Grantee in accordance with the terms hereof.

8.2 Location. Grantee's operations, offices and headquarters are located at the address for notices set forth in Section 15. All aspects of the Grant Plan will be implemented at the geographic location(s), if any, specified in the Grant Plan.

8.3 No Misstatements. No document furnished or to be furnished by Grantee to City or City in connection with the Application Documents, this Agreement, any Funding Request or any other document relating to any of the foregoing, contains or will contain any untrue statement of material fact or omits or will omit a material fact necessary to make the statements contained therein not misleading, under the circumstances under which any such statement shall have been made.

8.4 Conflict of Interest.

(a) Through its execution of this Agreement, Grantee acknowledges that it is familiar with the provision of Section 15.103 of the City's Charter, Article III, Chapter 2 of the City's Campaign and Governmental Conduct Code, and Section 87100 et seq. and Section 1090 et seq. of the Government Code of the State of California, and certifies that it does not know of any facts which constitutes a violation of said provisions and agrees that it will immediately notify the City if it becomes aware of any such fact during the term of this Agreement.

8.5 No Other Agreements with City. Except as expressly itemized in Appendix D, neither Grantee nor any of Grantee's affiliates, officers, directors or employees has any interest, however remote, in any other agreement with City including any commission, department or other subdivision thereof).

8.6 Subcontracts. Except as may be permitted under Section 13.3, Grantee has not entered into any agreement, arrangement or understanding with any other person or entity pursuant to which such person or entity will implement or assist in implementing all or any portion of the Grant Plan

8.7 Eligibility to Receive Federal Funds. By executing this Agreement, Grantee certifies that Grantee is not suspended, debarred or otherwise excluded from participation in federal assistance programs. Grantee acknowledges that this certification of eligibility to receive federal funds is a material term of the Agreement.

**ARTICLE 9
INDEMNIFICATION AND GENERAL LIABILITY**

9.1 Indemnification. Grantee shall indemnify, protect, defend and hold harmless each of the Indemnified Parties from and against any and all Losses arising from, in connection with or caused by: (a) a material breach of this Agreement by Grantee; (b) a material breach of any representation or warranty of Grantee contained in this Agreement; (c) any personal injury caused, directly or indirectly, by any act or omission of Grantee or its employees, subgrantees or agents; (d) any property damage caused, directly or indirectly by any act or omission of Grantee or its employees, subgrantees or agents; (e) the use, misuse or failure of any equipment or facility used by Grantee, or by any of its employees, subgrantees or agents, regardless of whether such equipment or facility is furnished, rented or loaned to Grantee by an Indemnified Party; (f) any tax, fee, assessment or other charge for which Grantee is responsible under Article 7; or (g) any infringement of patent rights, copyright, trade secret or any other

proprietary right or trademark of any person or entity in consequence of the use by any Indemnified Party of any goods or services furnished to such Indemnified Party in connection with this Agreement. Grantee's obligations under the immediately preceding sentence shall apply to any Loss that is caused in whole or in part by the active or passive negligence of any Indemnified Party, but shall exclude any Loss caused solely by the willful misconduct of the Indemnified Party. The foregoing indemnity shall include, without limitation, consultants and experts and related costs and City's costs of investigating any claims against the City.

9.2 Duty to Defend; Notice of Loss. Grantee acknowledges and agrees that its obligation to defend the Indemnified Parties under Section 9.1: (a) is an immediate obligation, independent of its other obligations hereunder; (b) applies to any Loss which actually or potentially falls within the scope of Section 9.1, regardless of whether the allegations asserted in connection with such Loss are or may be groundless, false or fraudulent; and (c) arises at the time the Loss is tendered to Grantee by the Indemnified Party and continues at all times thereafter. The Indemnified Party shall give Grantee prompt notice of any Loss under Section 9.1 and Grantee shall have the right to defend, settle and compromise any such Loss; provided, however, that the Indemnified Party shall have the right to retain its own counsel at the expense of Grantee if representation of such Indemnified Party by the counsel retained by Grantee would be inappropriate due to conflicts of interest between such Indemnified Party and Grantee. An Indemnified Party's failure to notify Grantee promptly of any Loss shall not relieve Grantee of any liability to such Indemnified Party pursuant to Section 9.1, unless such failure materially impairs Grantee's ability to defend such Loss. Grantee shall seek the Indemnified Party's prior written consent to settle or compromise any Loss if Grantee contends that such Indemnified Party shares in liability with respect thereto.

9.3 Incidental and Consequential Damages. Losses covered under this Article 9 shall include any and all incidental and consequential damages resulting in whole or in part from Grantee's acts or omissions. Nothing in this Agreement shall constitute a waiver or limitation of any rights that any Indemnified Party may have under applicable law with respect to such damages.

9.4 LIMITATION ON LIABILITY OF CITY. CITY'S OBLIGATIONS UNDER THIS AGREEMENT SHALL BE LIMITED TO THE AGGREGATE AMOUNT OF GRANT FUNDS ACTUALLY DISBURSED HEREUNDER. NOTWITHSTANDING ANY OTHER PROVISION CONTAINED IN THIS AGREEMENT, THE APPLICATION DOCUMENTS OR ANY OTHER DOCUMENT OR COMMUNICATION RELATING TO THIS AGREEMENT, IN NO EVENT SHALL CITY BE LIABLE, REGARDLESS OF WHETHER ANY CLAIM IS BASED ON CONTRACT OR TORT, FOR ANY SPECIAL, CONSEQUENTIAL, INDIRECT OR INCIDENTAL DAMAGES, INCLUDING LOST PROFITS, ARISING OUT OF OR IN CONNECTION WITH THIS AGREEMENT, THE GRANT FUNDS, THE GRANT PLAN OR ANY ACTIVITIES PERFORMED IN CONNECTION WITH THIS AGREEMENT.

ARTICLE 10 INSURANCE

10.1 Types and Amounts of Coverage. Without limiting Grantee's liability pursuant to Article 9, Grantee shall maintain in force, during the full term of this Agreement, insurance in the following amounts and coverages:

(a) Workers' Compensation, in statutory amounts, with Employers' Liability Limits not less than one million dollars (\$1,000,000) each accident, injury, or illness.

(b) Commercial General Liability Insurance with limits not less than one million dollars (\$1,000,000) each occurrence Combined Single Limit for Bodily Injury and Property Damage, including Contractual Liability, Personal Injury, Products and Completed Operations, and

(c) Commercial Automobile Liability Insurance with limits not less than one million dollars (\$1,000,000) each occurrence Combined Single Limit for Bodily Injury and Property Damage, including Owned, Non-Owned and Hired auto coverage, as applicable.

(d) Professional liability insurance for negligent acts, errors or omission with respect to professional or technical services, if any, required in the performance of this Agreement with limits not less than one million dollars (\$1,000,000) each claim.

10.2 Additional Requirements for General and Automobile Coverage. Commercial General Liability and Commercial Automobile Liability insurance policies shall:

(a) Name as additional insured City and its officers, agents and employees.

(b) Provide that such policies are primary insurance to any other insurance available to the Additional Insureds, with respect to any claims arising out of this Agreement, and that insurance applies separately to each insured against whom claim is made or suit is brought, except with respect to limits of liability.

10.3 Additional Requirements for All Policies. All policies shall be endorsed to provide at least thirty (30) days' advance written notice to City of cancellation of policy for any reason, nonrenewal or reduction in coverage and specific notice mailed to City's address for notices pursuant to Article 15.

10.4 Required Post-Expiration Coverage. Should any of the insurance required hereunder be provided under a claims-made form, Grantee shall maintain such coverage continuously throughout the term of this Agreement and, without lapse, for a period of three (3) years beyond the expiration or termination of this Agreement, to the effect that, should occurrences during the term hereof give rise to claims made after expiration or termination of the Agreement, such claims shall be covered by such claims-made policies.

10.5 General Annual Aggregate Limit/Inclusion of Claims Investigation or Legal Defense Costs. Should any of the insurance required hereunder be provided under a form of coverage that includes a general annual aggregate limit or provides that claims investigation or legal defense costs be included in such general annual aggregate limit, such general annual aggregate limit shall be double the occurrence or claims limits specified above.

10.6 Evidence of Insurance. Before commencing any operations under this Agreement, Grantee shall furnish to City certificates of insurance, and additional insured policy endorsements, in form and with insurers satisfactory to City, evidencing all coverages set forth above, and shall furnish complete copies of policies promptly upon City's request. Before commencing any operations under this Agreement, Grantee shall furnish to City certificates of insurance and additional insured policy endorsements with insurers with ratings comparable to A-, VIII or higher, that are authorized to do business in the State of California, and that are satisfactory to City, in form evidencing all coverages set forth above. Failure to maintain insurance shall constitute a material breach of this Agreement.

10.7 Effect of Approval. Approval of any insurance by City shall not relieve or decrease the liability of Grantee hereunder.

10.8 Insurance for Subcontractors and Evidence of this Insurance. If a subcontractor will be used to complete any portion of this agreement, the grantee shall ensure that the subcontractor shall provide all necessary insurance and shall name the City and County of San Francisco, its officers, agents, and employees and the grantee listed as additional insureds.

ARTICLE 11 EVENTS OF DEFAULT AND REMEDIES

11.1 Events of Default. The occurrence of any one or more of the following events shall constitute an “Event of Default” under this Agreement:

(a) **False Statement.** Any statement, representation or warranty contained in this Agreement, in the Application Documents, in any Funding Request or in any other document submitted to City under this Agreement is found by City to be false or misleading.

(b) **Failure to Provide Insurance.** Grantee fails to provide or maintain in effect any policy of insurance required in Article 10.

(c) **Failure to Comply with Applicable Laws.** Grantee fails to perform or breaches any of the terms or provisions of Article 16.

(d) **Failure to Perform Other Covenants.** Grantee fails to perform or breaches any other agreement or covenant of this Agreement to be performed or observed by Grantee as and when performance or observance is due and such failure or breach continues for a period of ten (10) days after the date on which such performance or observance is due.

(e) **Cross Default.** Grantee defaults under any other agreement between Grantee and City (after expiration of any grace period expressly stated in such agreement).

(f) **Voluntary Insolvency.** Grantee (i) is generally not paying its debts as they become due, (ii) files, or consents by answer or otherwise to the filing against it of, a petition for relief or reorganization or arrangement or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law of any jurisdiction, (iii) makes an assignment for the benefit of its creditors, (iv) consents to the appointment of a custodian, receiver, trustee or other officer with similar powers of Grantee or of any substantial part of Grantee's property or (v) takes action for the purpose of any of the foregoing.

(g) **Involuntary Insolvency.** Without consent by Grantee, a court or government authority enters an order, and such order is not vacated within ten (10) days, (i) appointing a custodian, receiver, trustee or other officer with similar powers with respect to Grantee or with respect to any substantial part of Grantee's property, (ii) constituting an order for relief or approving a petition for relief or reorganization or arrangement or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law of any jurisdiction or (iii) ordering the dissolution, winding-up or liquidation of Grantee.

11.2 Remedies Upon Event of Default. Upon and during the continuance of an Event of Default, City may do any of the following, individually or in combination with any other remedy:

(a) **Termination.** City may terminate this Agreement by giving a written termination notice to Grantee and, on the date specified in such notice, this Agreement shall terminate and all rights of Grantee

hereunder shall be extinguished. In the event of such termination, Grantee will be paid for Eligible Expenses in any Funding Request that was submitted and approved by City prior to the date of termination specified in such notice.

(b) **Withholding of Grant Funds.** City may withhold all or any portion of Grant Funds not yet disbursed hereunder, regardless of whether Grantee has previously submitted a Funding Request or whether City has approved the disbursement of the Grant Funds requested in any Funding Request. Any Grant Funds withheld pursuant to this Section and subsequently disbursed to Grantee after cure of applicable Events of Default shall be disbursed without interest.

(c) **Offset.** City may offset against all or any portion of undisbursed Grant Funds hereunder or against any payments due to Grantee under any other agreement between Grantee and City the amount of any outstanding Loss incurred by any Indemnified Party, including any Loss incurred as a result of the Event of Default.

(d) **Return of Grant Funds.** City may demand the immediate return of any previously disbursed Grant Funds that have been claimed or expended by Grantee in breach of the terms of this Agreement, together with interest thereon from the date of disbursement at the maximum rate permitted under applicable law.

11.3 Remedies Nonexclusive. Each of the remedies provided for in this Agreement may be exercised individually or in combination with any other remedy available hereunder or under applicable laws, rules and regulations. The remedies contained herein are in addition to all other remedies available to City at law or in equity by statute or otherwise and the exercise of any such remedy shall not preclude or in any way be deemed to waive any other remedy.

ARTICLE 12 DISCLOSURE OF INFORMATION AND DOCUMENTS

12.1 Proprietary or Confidential Information of City. Grantee understands and acknowledges that, in the performance of this Agreement or in contemplation thereof, Grantee may have access to private or confidential information that may be owned or controlled by City and that such information may contain proprietary or confidential information, the disclosure of which to third parties may be damaging to City. Grantee agrees that all information disclosed by City to Grantee shall be held in confidence and used only in the performance of this Agreement. Grantee shall exercise the same standard of care to protect such information as a reasonably prudent nonprofit entity would use to protect its own proprietary or confidential data.

12.2 Sunshine Ordinance. Grantee acknowledges and agrees that this Agreement and the Application Documents are subject to Section 67.24(e) of the San Francisco Administrative Code, which provides that contracts, including this Agreement, grantee's bids, responses to Requests for Proposals (RFPs) and all other records of communications between City and persons or entities seeking contracts, shall be open to inspection immediately after a contract has been awarded. Nothing in such Section 67.24(e) (as it exists on the date hereof) requires the disclosure of a private person's or organization's net worth or other proprietary financial data submitted for qualification for a contract or other benefit until and unless that person or organization is awarded the contract or benefit. All information provided by Grantee that is covered by such Section 67.24(e) (as it may be amended from time to time) will be made available to the public upon request.

12.3 Financial Projections. Pursuant to San Francisco Administrative Code Section 67.32, Grantee has on or before the date hereof provided to City financial projections, including profit and loss figures, for

the Project. For the term of the Agreement, Grantee shall within **30 days** after the end of **Grantee's fiscal year end** provide to City annual financial statements for the Project certified by the Grantee as complete and accurate and audited by an independent accounting firm. The Grantee acknowledges and agrees that the financial projections and audited financial statements shall be public records subject to disclosure upon request.

ARTICLE 13 ASSIGNMENTS AND SUBCONTRACTING

13.1 No Assignment by Grantee. Grantee shall not, either directly or indirectly, assign, transfer, hypothecate, subcontract or delegate all or any portion of this Agreement or any rights, duties or obligations of Grantee hereunder without the prior written consent of City. This Agreement shall not, nor shall any interest herein, be assignable as to the interest of Grantee involuntarily or by operation of law without the prior written consent of City. A change of ownership or control of Grantee or a sale or transfer of substantially all of the assets of Grantee shall be deemed an assignment for purposes of this Agreement.

13.2 Agreement Made in Violation of this Article. Any agreement made in violation of Section 13.1 shall confer no rights on any person or entity and shall automatically be null and void.

13.3 Subcontracting. If Appendix E lists any permitted subgrantees, then notwithstanding any other provision of this Agreement to the contrary, Grantee shall have the right to subcontract on the terms set forth in this Section. If Appendix E is blank or specifies that there are no permitted subgrantees, then Grantee shall have no rights under this Section.

(a) **Limitations.** In no event shall Grantee subcontract or delegate the whole of the Grant Plan. Grantee may subcontract with any of the permitted subgrantees set forth on Appendix E without the prior consent of City; provided, however, that Grantee shall not thereby be relieved from any liability or obligation under this Agreement and, as between City and Grantee, Grantee shall be responsible for the acts, defaults and omissions of any subgrantee or its agents or employees as fully as if they were the acts, defaults or omissions of Grantee. Grantee shall ensure that its subgrantees comply with all of the terms of this Agreement, insofar as they apply to the subcontracted portion of the Grant Plan. All references herein to duties and obligations of Grantee shall be deemed to pertain also to all subgrantees to the extent applicable. A default by any subgrantee shall be deemed to be an Event of Default hereunder. Nothing contained in this Agreement shall create any contractual relationship between any subgrantee and City.

(b) **Terms of Subcontract.** Each subcontract shall be in form and substance acceptable to City and shall expressly provide that it may be assigned to City without the prior consent of the subgrantee. In addition, each subcontract shall incorporate all of the terms of this Agreement, insofar as they apply to the subcontracted portion of the Grant Plan. Without limiting the scope of the foregoing, each subcontract shall provide City, with respect to the subgrantee, the audit and inspection rights set forth in Section 6.6. Upon the request of City, Grantee shall promptly furnish to City true and correct copies of each subcontract permitted hereunder.

13.4 Grantee Retains Responsibility. Grantee shall in all events remain liable for the performance by any assignee or subgrantee of all of the covenants terms and conditions contained in this Agreement.

**ARTICLE 14
INDEPENDENT CONTRACTOR STATUS**

14.1 Nature of Agreement. Grantee shall be deemed at all times to be an independent contractor and is solely responsible for the manner in which Grantee implements the Grant Plan and uses the Grant Funds. Grantee shall at all times remain solely liable for the acts and omissions of Grantee, its officers and directors, employees and agents. Nothing in this Agreement shall be construed as creating a partnership, joint venture, employment or agency relationship between City and Grantee.

14.2 Direction. Any terms in this Agreement referring to direction or instruction from the Agency or City shall be construed as providing for direction as to policy and the result of Grantee's work only, and not as to the means by which such a result is obtained.

14.3 Consequences of Recharacterization.

(a) Should City, in its discretion, or a relevant taxing authority such as the Internal Revenue Service or the State Employment Development Division, or both, determine that Grantee is an employee for purposes of collection of any employment taxes, the amounts payable under this Agreement shall be reduced by amounts equal to both the employee and employer portions of the tax due (and offsetting any credits for amounts already paid by Grantee which can be applied against this liability). City shall subsequently forward such amounts to the relevant taxing authority.

(b) Should a relevant taxing authority determine a liability for past services performed by Grantee for City, upon notification of such fact by City, Grantee shall promptly remit such amount due or arrange with City to have the amount due withheld from future payments to Grantee under this Agreement (again, offsetting any amounts already paid by Grantee which can be applied as a credit against such liability).

(c) A determination of employment status pursuant to either subsection (a) or (b) of this Section 14.3 shall be solely for the purposes of the particular tax in question, and for all other purposes of this Agreement, Grantee shall not be considered an employee of City. Notwithstanding the foregoing, if any court, arbitrator, or administrative authority determine that Grantee is an employee for any other purpose, Grantee agrees to a reduction in City's financial liability hereunder such that the aggregate amount of Grant Funds under this Agreement does not exceed what would have been the amount of such Grant Funds had the court, arbitrator, or administrative authority had not determined that Grantee was an employee.

**ARTICLE 15
NOTICES AND OTHER COMMUNICATIONS**

15.1 Requirements. Unless otherwise specifically provided herein, all notices, consents, directions, approvals, instructions, requests and other communications hereunder shall be in writing, shall be addressed to the person and address set forth below and shall be (a) deposited in the U.S. mail, first class,

certified with return receipt requested and with appropriate postage, (b) hand delivered or (c) sent via facsimile (if a facsimile number is provided below):

If to the Agency or City: **SAN FRANCISCO SHERIFF'S DEPARTMENT**
1 DR. CARLTON B. GOODLETT PLACE, RM #456
Attn: **SHERIFF VICKI HENNESSY**
SAN FRANCISCO, CA 94102
Facsimile No. **(415) 554-7050**

If to Grantee: **SAN FRANCISCO PRETRIAL DIVERSION**
PROJECT, INC.
925 HARRISON STREET
SAN FRANCISCO, CA 94107
Attn: **NANCY RUBIN,**
INTERIM CHIEF EXECUTIVE DIRECTOR
Facsimile No. **(415) 572-0991**

15.2 Effective Date. All communications sent in accordance with Section 15.1 shall become effective on the date of receipt. Such date of receipt shall be determined by: (a) if mailed, the return receipt, completed by the U.S. postal service; (b) if sent via hand delivery, a receipt executed by a duly authorized agent of the party to whom the notice was sent; or (c) if sent via facsimile, the date of telephonic confirmation of receipt by a duly authorized agent of the party to whom the notice was sent or, if such confirmation is not reasonably practicable, the date indicated in the facsimile machine transmission report of the party giving such notice.

15.3 Change of Address. From time to time any party hereto may designate a new address for purposes of this Article 15 by notice to the other party.

ARTICLE 16

COMPLIANCE

16.1 Local Business Enterprise Utilization; Liquidated Damages. RESERVED

16.2 Nondiscrimination; Penalties.

(a) **Grantee Shall Not Discriminate.** In the performance of this Agreement, Grantee agrees not to discriminate against any employee, City and County employee working with such grantee or subgrantee, applicant for employment with such grantee or subgrantee, or against any person seeking accommodations, advantages, facilities, privileges, services, or membership in all business, social, or other establishments or organizations, on the basis of the fact or perception of a person's race, color, creed, religion, national origin, ancestry, age, height, weight, sex, sexual orientation, gender identity, domestic partner status, marital status, disability or Acquired Immune Deficiency Syndrome or HIV status (AIDS/HIV status), or association with members of such protected classes, or in retaliation for opposition to discrimination against such classes.

(b) **Subcontracts.** Grantee shall incorporate by reference in all subcontracts the provisions of Sections 12B.2(a), 12B.2(c)-(k), and 12C.3 of the San Francisco Administrative Code and shall require all subgrantees to comply with such provisions. Grantee's failure to comply with the obligations in this subsection shall constitute a material breach of this Agreement.

(c) **Non-Discrimination in Benefits.** Grantee does not as of the date of this Agreement and will not during the term of this Agreement, in any of its operations in San Francisco or where the work is being performed for the City or elsewhere within the United States, discriminate in the provision of bereavement leave, family medical leave, health benefits, membership or membership discounts, moving expenses, pension and retirement benefits or travel benefits, as well as any benefits other than the benefits specified above, between employees with domestic partners and employees with spouses, and/or between the domestic partners and spouses of such employees, where the domestic partnership has been registered with a governmental entity pursuant to state or local law authorizing such registration, subject to the conditions set forth in Section 12B.2(b) of the San Francisco Administrative Code.

(d) **Condition to Contract.** As a condition to this Agreement, Grantee shall execute the "Chapter 12B Declaration: Nondiscrimination in Contracts and Benefits" form (Form CMD-12B-101) with supporting documentation and secure the approval of the form by the San Francisco Contract Monitoring Division.

(e) **Incorporation of Administrative Code Provisions by Reference.** The provisions of Chapters 12B and 12C of the San Francisco Administrative Code are incorporated in this Section by reference and made a part of this Agreement as though fully set forth herein. Grantee shall comply fully with and be bound by all of the provisions that apply to this Agreement under such Chapters of the Administrative Code, including the remedies provided in such Chapters. Without limiting the foregoing, Grantee understands that pursuant to Sections 12B.2(h) and 12C.3(g) of the San Francisco Administrative Code, a penalty of fifty dollars (\$50) for each person for each calendar day during which such person was discriminated against in violation of the provisions of this Agreement may be assessed against Grantee and/or deducted from any payments due Grantee.

16.3 MacBride Principles--Northern Ireland. Pursuant to San Francisco Administrative Code Section 12F.5, City urges companies doing business in Northern Ireland to move towards resolving employment inequities, and encourages such companies to abide by the MacBride Principles. City urges San Francisco companies to do business with corporations that abide by the MacBride Principles. By signing below, the person executing this agreement on behalf of Grantee acknowledges and agrees that he or she has read and understood this section

16.4 Tropical Hardwood and Virgin Redwood Ban. Pursuant to § 804(b) of the San Francisco Environment Code, City urges all grantees not to import, purchase, obtain, or use for any purpose, any tropical hardwood, tropical hardwood wood product, virgin redwood or virgin redwood wood product.

16.5 Drug-Free Workplace Policy. Grantee acknowledges that pursuant to the Federal Drug-Free Workplace Act of 1989, the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited on City premises. Grantee and its employees, agents or assigns shall comply with all terms and provisions of such Act and the rules and regulations promulgated thereunder.

16.6 Resource Conservation; Liquidated Damages. Chapter 5 of the San Francisco Environment Code (Resource Conservation) is incorporated herein by reference. Failure by Grantee to comply with any of the applicable requirements of Chapter 5 will be deemed a material breach of contract. If Grantee fails to comply in good faith with any of the provisions of Chapter 5, Grantee shall be liable for liquidated damages in an amount equal to Grantee's net profit under this Agreement, or five percent (5%) of the total contract amount, whichever is greater. Grantee acknowledges and agrees that the liquidated damages assessed shall be payable to City upon demand and may be offset against any monies due to Grantee from any contract with City.

16.7 Compliance with ADA. Grantee acknowledges that, pursuant to the ADA, programs, services and other activities provided by a public entity to the public, whether directly or through a grantee or contractor, must be accessible to the disabled public. Grantee shall not discriminate against any person protected under the ADA in connection with all or any portion of the Grant Plan and shall comply at all times with the provisions of the ADA.

16.8. Requiring Minimum Compensation for Employees.

(a) Contractor agrees to comply fully with and be bound by all of the provisions of the Minimum Compensation Ordinance (MCO), as set forth in San Francisco Administrative Code Chapter 12P (Chapter 12P), including the remedies provided, and implementing guidelines and rules. The provisions of Sections 12P.5 and 12P.5.1 of Chapter 12P are incorporated herein by reference and made a part of this Agreement as though fully set forth. The text of the MCO is available on the web at www.sfgov.org/olse/mco. A partial listing of some of Contractor's obligations under the MCO is set forth in this Section. Contractor is required to comply with all the provisions of the MCO, irrespective of the listing of obligations in this Section.

(b) The MCO requires Contractor to pay Contractor's employees a minimum hourly gross compensation wage rate and to provide minimum compensated and uncompensated time off. The minimum wage rate may change from year to year and Contractor is obligated to keep informed of the then-current requirements. Any subcontract entered into by Contractor shall require the subcontractor to comply with the requirements of the MCO and shall contain contractual obligations substantially the same as those set forth in this Section. It is Contractor's obligation to ensure that any subcontractors of any tier under this Agreement comply with the requirements of the MCO. If any subcontractor under this Agreement fails to comply, City may pursue any of the remedies set forth in this Section against Contractor.

(c) Contractor shall not take adverse action or otherwise discriminate against an employee or other person for the exercise or attempted exercise of rights under the MCO. Such actions, if taken within 90 days of the exercise or attempted exercise of such rights, will be rebuttably presumed to be retaliation prohibited by the MCO.

(d) Contractor shall maintain employee and payroll records as required by the MCO. If Contractor fails to do so, it shall be presumed that the Contractor paid no more than the minimum wage required under State law.

(e) The City is authorized to inspect Contractor's job sites and conduct interviews with employees and conduct audits of Contractor

(f) Contractor's commitment to provide the Minimum Compensation is a material element of the City's consideration for this Agreement. The City in its sole discretion shall determine whether such a breach has occurred. The City and the public will suffer actual damage that will be impractical or extremely difficult to determine if the Contractor fails to comply with these requirements. Contractor agrees that the sums set forth in Section 12P.6.1 of the MCO as liquidated damages are not a penalty, but are reasonable estimates of the loss that the City and the public will incur for Contractor's noncompliance. The procedures governing the assessment of liquidated damages shall be those set forth in Section 12P.6.2 of Chapter 12P.

(g) Contractor understands and agrees that if it fails to comply with the requirements of the MCO, the City shall have the right to pursue any rights or remedies available under Chapter 12P (including liquidated damages), under the terms of the contract, and under applicable law. If, within 30

days after receiving written notice of a breach of this Agreement for violating the MCO, Contractor fails to cure such breach or, if such breach cannot reasonably be cured within such period of 30 days, Contractor fails to commence efforts to cure within such period, or thereafter fails diligently to pursue such cure to completion, the City shall have the right to pursue any rights or remedies available under applicable law, including those set forth in Section 12P.6(c) of Chapter 12P. Each of these remedies shall be exercisable individually or in combination with any other rights or remedies available to the City.

(h) Contractor represents and warrants that it is not an entity that was set up, or is being used, for the purpose of evading the intent of the MCO.

(i) If Contractor is exempt from the MCO when this Agreement is executed because the cumulative amount of agreements with this department for the fiscal year is less than \$25,000, but Contractor later enters into an agreement or agreements that cause contractor to exceed that amount in a fiscal year, Contractor shall thereafter be required to comply with the MCO under this Agreement. This obligation arises on the effective date of the agreement that causes the cumulative amount of agreements between the Contractor and this department to exceed \$25,000 in the fiscal year.

16.9 Limitations on Contributions. Through execution of this Agreement, Contractor acknowledges that it is familiar with section 1.126 of the City's Campaign and Governmental Conduct Code, which prohibits any person who contracts with the City for the rendition of personal services, for the furnishing of any material, supplies or equipment, for the sale or lease of any land or building, or for a grant, loan or loan guarantee, from making any campaign contribution to (1) an individual holding a City elective office if the contract must be approved by the individual, a board on which that individual serves, or the board of a state agency on which an appointee of that individual serves, (2) a candidate for the office held by such individual, or (3) a committee controlled by such individual, at any time from the commencement of negotiations for the contract until the later of either the termination of negotiations for such contract or six months after the date the contract is approved. Contractor acknowledges that the foregoing restriction applies only if the contract or a combination or series of contracts approved by the same individual or board in a fiscal year have a total anticipated or actual value of \$50,000 or more. Contractor further acknowledges that the prohibition on contributions applies to each prospective party to the contract; each member of Contractor's board of directors; Contractor's chairperson, chief executive officer, chief financial officer and chief operating officer; any person with an ownership interest of more than 20 percent in Contractor; any subcontractor listed in the bid or contract; and any committee that is sponsored or controlled by Contractor. Additionally, Contractor acknowledges that Contractor must inform each of the persons described in the preceding sentence of the prohibitions contained in Section 1.126. Contractor further agrees to provide to City the names of each person, entity or committee described above.

16.10 First Source Hiring Program.

(a) **Incorporation of Administrative Code Provisions by Reference.** The provisions of Chapter 83 of the San Francisco Administrative Code are incorporated in this Section by reference and made a part of this Agreement as though fully set forth herein. Contractor shall comply fully with, and be bound by, all of the provisions that apply to this Agreement under such Chapter, including but not limited to the remedies provided therein. Capitalized terms used in this Section and not defined in this Agreement shall have the meanings assigned to such terms in Chapter 83.

(b) **First Source Hiring Agreement.** As an essential term of, and consideration for, any contract or property contract with the City, not exempted by the FSHA, the Contractor shall enter into a first source hiring agreement ("agreement") with the City, on or before the effective date of the contract

or property contract. Contractors shall also enter into an agreement with the City for any other work that it performs in the City. Such agreement shall:

(1) Set appropriate hiring and retention goals for entry level positions. The employer shall agree to achieve these hiring and retention goals, or, if unable to achieve these goals, to establish good faith efforts as to its attempts to do so, as set forth in the agreement. The agreement shall take into consideration the employer's participation in existing job training, referral and/or brokerage programs. Within the discretion of the FSHA, subject to appropriate modifications, participation in such programs maybe certified as meeting the requirements of this Chapter. Failure either to achieve the specified goal, or to establish good faith efforts will constitute noncompliance and will subject the employer to the provisions of Section 83.10 of this Chapter.

(2) Set first source interviewing, recruitment and hiring requirements, which will provide the San Francisco Workforce Development System with the first opportunity to provide qualified economically disadvantaged individuals for consideration for employment for entry level positions. Employers shall consider all applications of qualified economically disadvantaged individuals referred by the System for employment; provided however, if the employer utilizes nondiscriminatory screening criteria, the employer shall have the sole discretion to interview and/or hire individuals referred or certified by the San Francisco Workforce Development System as being qualified economically disadvantaged individuals. The duration of the first source interviewing requirement shall be determined by the FSHA and shall be set forth in each agreement, but shall not exceed 10 days. During that period, the employer may publicize the entry level positions in accordance with the agreement. A need for urgent or temporary hires must be evaluated, and appropriate provisions for such a situation must be made in the agreement.

(3) Set appropriate requirements for providing notification of available entry level positions to the San Francisco Workforce Development System so that the System may train and refer an adequate pool of qualified economically disadvantaged individuals to participating employers. Notification should include such information as employment needs by occupational title, skills, and/or experience required, the hours required, wage scale and duration of employment, identification of entry level and training positions, identification of English language proficiency requirements, or absence thereof, and the projected schedule and procedures for hiring for each occupation. Employers should provide both long-term job need projections and notice before initiating the interviewing and hiring process. These notification requirements will take into consideration any need to protect the employer's proprietary information.

(4) Set appropriate record keeping and monitoring requirements. The First Source Hiring Administration shall develop easy-to-use forms and record keeping requirements for documenting compliance with the agreement. To the greatest extent possible, these requirements shall utilize the employer's existing record keeping systems, be nonduplicative, and facilitate a coordinated flow of information and referrals.

(5) Establish guidelines for employer good faith efforts to comply with the first source hiring requirements of this Chapter. The FSHA will work with City departments to develop employer good faith effort requirements appropriate to the types of contracts and property contracts handled by each department. Employers shall appoint a liaison for dealing with the development and implementation of the employer's agreement. In the event that the FSHA finds that the employer under a City contract or property contract has taken actions primarily for the purpose of circumventing the requirements of this Chapter, that employer shall be subject to the sanctions set forth in Section 83.10 of this Chapter.

(6) Set the term of the requirements.

(7) Set appropriate enforcement and sanctioning standards consistent with this Chapter.

(8) Set forth the City's obligations to develop training programs, job applicant referrals, technical assistance, and information systems that assist the employer in complying with this Chapter.

(9) Require the developer to include notice of the requirements of this Chapter in leases, subleases, and other occupancy contracts.

(c) **Hiring Decisions.** Contractor shall make the final determination of whether an Economically Disadvantaged Individual referred by the System is "qualified" for the position.

(d) **Exceptions.** Upon application by Employer, the First Source Hiring Administration may grant an exception to any or all of the requirements of Chapter 83 in any situation where it concludes that compliance with this Chapter would cause economic hardship.

(e) **Liquidated Damages.** Contractor agrees:

(1) To be liable to the City for liquidated damages as provided in this section;

(2) To be subject to the procedures governing enforcement of breaches of contracts based on violations of contract provisions required by this Chapter as set forth in this section;

(3) That the contractor's commitment to comply with this Chapter is a material element of the City's consideration for this contract; that the failure of the contractor to comply with the contract provisions required by this Chapter will cause harm to the City and the public which is significant and substantial but extremely difficult to quantify; that the harm to the City includes not only the financial cost of funding public assistance programs but also the insidious but impossible to quantify harm that this community and its families suffer as a result of unemployment; and that the assessment of liquidated damages of up to \$5,000 for every notice of a new hire for an entry level position improperly withheld by the contractor from the first source hiring process, as determined by the FSHA during its first investigation of a contractor, does not exceed a fair estimate of the financial and other damages that the City suffers as a result of the contractor's failure to comply with its first source referral contractual obligations.

(4) That the continued failure by a contractor to comply with its first source referral contractual obligations will cause further significant and substantial harm to the City and the public, and that a second assessment of liquidated damages of up to \$10,000 for each entry level position improperly withheld from the FSHA, from the time of the conclusion of the first investigation forward, does not exceed the financial and other damages that the City suffers as a result of the contractor's continued failure to comply with its first source referral contractual obligations;

(5) That in addition to the cost of investigating alleged violations under this Section, the computation of liquidated damages for purposes of this section is based on the following data:

A. The average length of stay on public assistance in San Francisco's County Adult Assistance Program is approximately 41 months at an average monthly grant of \$348 per month, totaling approximately \$14,379; and

B. In 2004, the retention rate of adults placed in employment programs funded under the Workforce Investment Act for at least the first six months of employment was 84.4%. Since

qualified individuals under the First Source program face far fewer barriers to employment than their counterparts in programs funded by the Workforce Investment Act, it is reasonable to conclude that the average length of employment for an individual whom the First Source Program refers to an employer and who is hired in an entry level position is at least one year;

therefore, liquidated damages that total \$5,000 for first violations and \$10,000 for subsequent violations as determined by FSHA constitute a fair, reasonable, and conservative attempt to quantify the harm caused to the City by the failure of a contractor to comply with its first source referral contractual obligations.

(6) That the failure of contractors to comply with this Chapter, except property contractors, may be subject to the debarment and monetary penalties set forth in Sections 6.80 et seq. of the San Francisco Administrative Code, as well as any other remedies available under the contract or at law; and

Violation of the requirements of Chapter 83 is subject to an assessment of liquidated damages in the amount of \$5,000 for every new hire for an Entry Level Position improperly withheld from the first source hiring process. The assessment of liquidated damages and the evaluation of any defenses or mitigating factors shall be made by the FSHA.

(f) Subcontracts. Any subcontract entered into by Contractor shall require the subcontractor to comply with the requirements of Chapter 83 and shall contain contractual obligations substantially the same as those set forth in this Section.

16.11 Prohibition on Political Activity with City Funds. In accordance with S. F. Administrative Code Chapter 12.G, no funds appropriated by the City and County of San Francisco for this Agreement may be expended for organizing, creating, funding, participating in, supporting, or attempting to influence any political campaign for a candidate or for a ballot measure (collectively, "Political Activity"). The terms of San Francisco Administrative Code Chapter 12.G are incorporated herein by this reference.

Accordingly, an employee working in any position funded under this Agreement shall not engage in any Political Activity during the work hours funded hereunder, nor shall any equipment or resource funded by this Agreement be used for any Political Activity. In the event Grantee, or any staff member in association with Grantee, engages in any Political Activity, then (i) Grantee shall keep and maintain appropriate records to evidence compliance with this section, and (ii) Grantee shall have the burden to prove that no funding from this Agreement has been used for such Political Activity. Grantee agrees to cooperate with any audit by the City or its designee in order to ensure compliance with this section. In the event Grantee violates the provisions of this section, the City may, in addition to any other rights or remedies available hereunder, (i) terminate this Agreement and any other agreements between Grantee and City, (ii) prohibit Grantee from bidding on or receiving any new City contract for a period of two (2) years, and (iii) obtain reimbursement of all funds previously disbursed to Grantee under this Agreement.

16.12 Preservative-treated Wood Containing Arsenic. Grantee may not purchase preservative-treated wood products containing arsenic in the performance of this Agreement unless an exemption from the requirements of Chapter 13 of the San Francisco Environment Code is obtained from the Department of the Environment under Section 1304 of the Code. The term "preservative-treated wood containing arsenic" shall mean wood treated with a preservative that contains arsenic, elemental arsenic, or an arsenic copper combination, including, but not limited to, chromated copper arsenate preservative, ammoniacal copper zinc arsenate preservative, or ammoniacal copper arsenate preservative. Grantee may purchase preservative-treated wood products on the list of environmentally preferable alternatives prepared and adopted by the Department of the Environment. This provision does not preclude Grantee from purchasing preservative-treated wood containing arsenic for saltwater immersion. The term

“saltwater immersion” shall mean a pressure-treated wood that is used for construction purposes or facilities that are partially or totally immersed in saltwater.

16.13 Supervision of Minors. RESERVED.

16.14 Protection of Private Information. Grantee has read and agrees to the terms set forth in San Francisco Administrative Code Sections 12M.2, “Nondisclosure of Private Information,” and 12M.3, “Enforcement” of Administrative Code Chapter 12M, “Protection of Private Information,” which are incorporated herein as if fully set forth. Grantee agrees that any failure of Grantee to comply with the requirements of Section 12M.2 of this Chapter shall be a material breach of the Agreement. In such an event, in addition to any other remedies available to it under equity or law, the City may terminate the Agreement, bring a false claim action against the Grantee pursuant to Chapter 6 or Chapter 21 of the Administrative Code, or debar the Grantee.

16.15 Public Access to Meetings and Records. If the Grantee receives a cumulative total per year of at least \$250,000 in City funds or City-administered funds and is a non-profit organization as defined in Chapter 12L of the San Francisco Administrative Code, the Grantee shall comply with and be bound by all the applicable provisions of that Chapter. By executing this Agreement, the Grantee agrees to open its meetings and records to the public in the manner set forth in Sections 12L.4 and 12L.5 of the Administrative Code. The Grantee further agrees to make good-faith efforts to promote community membership on its Board of Directors in the manner set forth in Section 12L.6 of the Administrative Code. The Grantee acknowledges that its material failure to comply with any of the provisions of this paragraph shall constitute a material breach of this Agreement. The Grantee further acknowledges that such material breach of the Agreement shall be grounds for the City to terminate and/or not renew the Agreement, partially or in its entirety.

16.16 Consideration of Criminal History in Hiring and Employment Decisions.

(a) Contractor agrees to comply fully with and be bound by all of the provisions of Chapter 12T “City Contractor/Subcontractor Consideration of Criminal History in Hiring and Employment Decisions,” of the San Francisco Administrative Code (Chapter 12T), including the remedies provided, and implementing regulations, as may be amended from time to time. The provisions of Chapter 12T are incorporated by reference and made a part of this Agreement as though fully set forth herein. The text of the Chapter 12T is available on the web at www.sfgov.org/olse/fco. A partial listing of some of Contractor’s obligations under Chapter 12T is set forth in this Section. Contractor is required to comply with all of the applicable provisions of 12T, irrespective of the listing of obligations in this Section. Capitalized terms used in this Section and not defined in this Agreement shall have the meanings assigned to such terms in Chapter 12T.

(b) The requirements of Chapter 12T shall only apply to a Contractor’s or Subcontractor’s operations to the extent those operations are in furtherance of the performance of this Agreement, shall apply only to applicants and employees who would be or are performing work in furtherance of this Agreement, shall apply only when the physical location of the employment or prospective employment of an individual is wholly or substantially within the City of San Francisco, and shall not apply when the application in a particular context would conflict with federal or state law or with a requirement of a government agency implementing federal or state law.

(c) Contractor shall incorporate by reference in all subcontracts the provisions of Chapter 12T, and shall require all subcontractors to comply with such provisions. Contractor's failure to comply with the obligations in this subsection shall constitute a material breach of this Agreement.

(d) Contractor or Subcontractor shall not inquire about, require disclosure of, or if such information is received base an Adverse Action on an applicant's or potential applicant for employment, or employee's: (1) Arrest not leading to a Conviction, unless the Arrest is undergoing an active pending criminal investigation or trial that has not yet been resolved; (2) participation in or completion of a diversion or a deferral of judgment program; (3) a Conviction that has been judicially dismissed, expunged, voided, invalidated, or otherwise rendered inoperative; (4) a Conviction or any other adjudication in the juvenile justice system; (5) a Conviction that is more than seven years old, from the date of sentencing; or (6) information pertaining to an offense other than a felony or misdemeanor, such as an infraction.

(e) Contractor or Subcontractor shall not inquire about or require applicants, potential applicants for employment, or employees to disclose on any employment application the facts or details of any conviction history, unresolved arrest, or any matter identified in subsection 16.16(d), above. Contractor or Subcontractor shall not require such disclosure or make such inquiry until either after the first live interview with the person, or after a conditional offer of employment.

(f) Contractor or Subcontractor shall state in all solicitations or advertisements for employees that are reasonably likely to reach persons who are reasonably likely to seek employment to be performed under this Agreement, that the Contractor or Subcontractor will consider for employment qualified applicants with criminal histories in a manner consistent with the requirements of Chapter 12T.

(g) Contractor and Subcontractors shall post the notice prepared by the Office of Labor Standards Enforcement (OLSE), available on OLSE's website, in a conspicuous place at every workplace, job site, or other location under the Contractor or Subcontractor's control at which work is being done or will be done in furtherance of the performance of this Agreement. The notice shall be posted in English, Spanish, Chinese, and any language spoken by at least 5% of the employees at the workplace, job site, or other location at which it is posted.

(h) Contractor understands and agrees that if it fails to comply with the requirements of Chapter 12T, the City shall have the right to pursue any rights or remedies available under Chapter 12T, including but not limited to, a penalty of \$50 for a second violation and \$100 for a subsequent violation for each employee, applicant or other person as to whom a violation occurred or continued, termination or suspension in whole or in part of this Agreement.

16.17 Food Service Waste Reduction Requirements. Grantee agrees to comply fully with and be bound by all of the provisions of the Food Service Waste Reduction Ordinance, as set forth in San Francisco Environment Code Chapter 16, including the remedies provided, and implementing guidelines and rules. The provisions of Chapter 16 are incorporated herein by reference and made a part of this Agreement as though fully set forth. This provision is a material term of this Agreement. By entering into this Agreement, Grantee agrees that if it breaches this provision, City will suffer actual damages that will be impractical or extremely difficult to determine; further, Grantee agrees that the sum of one hundred dollars (\$100) liquidated damages for the first breach, two hundred dollars (\$200) liquidated damages for the second breach in the same year, and five hundred dollars (\$500) liquidated damages for subsequent breaches in the same year is reasonable estimate of the damage that City will incur based on the violation, established in light of the circumstances existing at the time this Agreement was made. Such amount shall not be considered a penalty, but rather agreed monetary damages sustained by City because of Grantee's failure to comply with this provision.

16.18 Slavery Era Disclosure. RESERVED.

16.19 Sugar-Sweetened Beverage Prohibition. RESERVED.

16.20 Compliance with Other Laws. Without limiting the scope of any of the preceding sections of this Article 16, Grantee shall keep itself fully informed of City's Charter, codes, ordinances and regulations and all state, and federal laws, rules and regulations affecting the performance of this Agreement and shall at all times comply with such Charter codes, ordinances, and regulations rules and laws.

ARTICLE 17 MISCELLANEOUS

17.1 No Waiver. No waiver by the Agency or City of any default or breach of this Agreement shall be implied from any failure by the Agency or City to take action on account of such default if such default persists or is repeated. No express waiver by the Agency or City shall affect any default other than the default specified in the waiver and shall be operative only for the time and to the extent therein stated. Waivers by City or the Agency of any covenant, term or condition contained herein shall not be construed as a waiver of any subsequent breach of the same covenant, term or condition. The consent or approval by the Agency or City of any action requiring further consent or approval shall not be deemed to waive or render unnecessary the consent or approval to or of any subsequent similar act.

17.2 Modification. This Agreement may not be modified, nor may compliance with any of its terms be waived, except by written instrument executed and approved in the same manner as this Agreement.

17.3 Administrative Remedy for Agreement Interpretation. Should any question arise as to the meaning or intent of this Agreement, the question shall, prior to any other action or resort to any other legal remedy, be referred to the director or president, as the case may be, of the Agency who shall decide the true meaning and intent of the Agreement. Such decision shall be final and conclusive.

17.4 Governing Law; Venue. The formation, interpretation and performance of this Agreement shall be governed by the laws of the State of California, without regard to its conflict of laws principles. Venue for all litigation relative to the formation, interpretation and performance of this Agreement shall be in San Francisco.

17.5 Headings. All article and section headings and captions contained in this Agreement are for reference only and shall not be considered in construing this Agreement.

17.6 Entire Agreement. This Agreement and the Application Documents set forth the entire Agreement between the parties, and supersede all other oral or written provisions. If there is any conflict between the terms of this Agreement and the Application Documents, the terms of this Agreement shall govern. The following appendices are attached to and a part of this Agreement:

- Appendix A, Definition of Eligible Expenses
- Appendix B, Definition of Grant Plan
- Appendix C, Form of Funding Request
- Appendix D, Interests in Other City Contracts
- Appendix E, Permitted Subgrantees

17.7 Certified Resolution of Signatory Authority. Upon request of City, Grantee shall deliver to City a copy of the corporate resolution(s) authorizing the execution, delivery and performance of this Agreement, certified as true, accurate and complete by the secretary or assistant secretary of Grantee.

17.8 Severability. Should the application of any provision of this Agreement to any particular facts or circumstances be found by a court of competent jurisdiction to be invalid or unenforceable, then (a) the validity of other provisions of this Agreement shall not be affected or impaired thereby, and (b) such provision shall be enforced to the maximum extent possible so as to effect the intent of the parties and shall be reformed without further action by the parties to the extent necessary to make such provision valid and enforceable.

17.9 Successors; No Third-Party Beneficiaries. Subject to the terms of Article 13, the terms of this Agreement shall be binding upon, and inure to the benefit of, the parties hereto and their successors and assigns. Nothing in this Agreement, whether express or implied, shall be construed to give any person or entity (other than the parties hereto and their respective successors and assigns and, in the case of Article 9, the Indemnified Parties) any legal or equitable right, remedy or claim under or in respect of this Agreement or any covenants, conditions or provisions contained herein.

17.10 Survival of Terms. The obligations of Grantee and the terms of the following provisions of this Agreement shall survive and continue following expiration or termination of this Agreement:

Section 6.4 Financial Statements.	Article 12 Disclosure of Information and Documents
Section 6.5 Books and Records.	Section 13.4 Grantee Retains Responsibility.
Section 6.6 Inspection and Audit.	Section 14.3 Consequences of Recharacterization.
Section 6.7 Submitting False Claims; Monetary Penalties	This Article 17 Miscellaneous
Section 6.8 Ownership of Results.	
Article 7 Taxes	
Article 9 Indemnification and General Liability	
Section 10.4 Required Post-Expiration Coverage.	

17.11 Further Assurances. From and after the date of this Agreement, Grantee agrees to do such things, perform such acts, and make, execute, acknowledge and deliver such documents as may be reasonably necessary or proper and usual to complete the transactions contemplated by this Agreement and to carry out the purpose of this Agreement in accordance with this Agreement.

17.12 Cooperative Drafting. This Agreement has been drafted through a cooperative effort of both parties, and both parties have had an opportunity to have the Agreement reviewed and revised by legal counsel. No party shall be considered the drafter of this Agreement, and no presumption or rule that an ambiguity shall be construed against the party drafting the clause shall apply to the interpretation or enforcement of this Agreement.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed as of the date first specified herein.

CITY

**SAN FRANCISCO SHERIFF'S
DEPARTMENT**

By: 
**VICKI HENNESSY,
SHERIFF**

GRANTEE:

By signing this Agreement, I certify that I comply with the requirements of the Minimum Compensation Ordinance, which entitle Covered Employees to certain minimum hourly wages and compensated and uncompensated time off.

I have read and understood paragraph 16.3, the City's statement urging companies doing business in Northern Ireland to move towards resolving employment inequities, encouraging compliance with the MacBride Principles, and urging San Francisco companies to do business with corporations that abide by the MacBride Principles.

**SAN FRANCISCO PRETRIAL DIVERSION
PROJECT, INC.**

By: 
Print Name: **NANCY RUBIN**

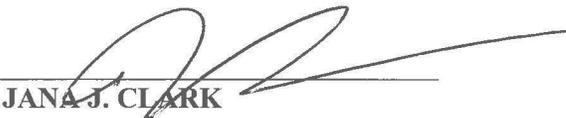
Approved as to Form:

Dennis J. Herrera
City Attorney

Title: **INTERIM CHIEF EXECUTIVE
DIRECTOR**

Federal Tax ID #: **94-2333038**

City Vendor Number: **0000011529**

By: 
JANA J. CLARK
Deputy City Attorney

Appendix A--Definition of Eligible Expenses

The term "Eligible Expenses" shall mean expenses incurred and paid by Grantee during the term of this Agreement in implementing the terms of the Grant Plan.

All Eligible Expenses *must* be:

- (a) paid by Grantee prior to the submission of the applicable Funding Request (no advances of Grant Funds shall be made);
- (b) direct out-of-pocket expenses incurred by Grantee or its officers, directors and employees;
- (c) operating (as opposed to capital) expenses;
- (d) within the scope of the applicable Budget line item; and
- (e) directly related to activities performed within the physical boundaries of the City and County of San Francisco.

Eligible Expenses shall *include*:

- (1) net salaries and wages
- (2) rent or related fees for equipment, performance or meeting halls or studios;
- (3) telephone charges, stationery and office supplies; and
- (4) advertising and publicity costs.

Eligible Expenses shall specifically *exclude*:

- (1) personal or business-related costs or expenses related to meals, catering, transportation, lodging, fundraising or educational activities;
- (2) capital expenses;
- (3) any costs or expenses which are prohibited under the terms and conditions of any federal or state grant supplying all or any portion of the Grant Funds;
- (4) penalties, late charges or interest on any late payments; or
- (5) taxes or other amounts withheld from wages or salaries which have not actually been paid by Grantee during the term of this Agreement or which relate to periods before or after the term of this Agreement.
- (6) any cost of having substitute providers perform services required under this Agreement when the usual providers are absent due to illness, vacation, etc., unless such costs are included in the itemized budget in Appendix B.

Appendix B--Definition of Grant Plan

Pretrial Incarceration Alternatives

The term "Grant Plan" shall mean the Pretrial Incarceration Alternatives Grant Plan (Attachment B), and Annual Budget for Pretrial Incarceration Alternatives (Attachment B-1, B-1A, and B-1B) attached hereto and incorporated by reference into this Appendix B of the Grant Agreement as though fully set forth herein.

REPORT: Grantee shall submit written reports as requested by the City and County of San Francisco Sheriff's Department ("SFSD"). Format for the content of such reports shall be determined by the SFSD and Grantee will be informed of such format. The timely submission of all reports is a necessary and material term and condition of this Agreement. The reports, including any copies, shall be submitted on recycled paper and printed on double-sided pages to maximum extent possible.

INVOICE SUBMISSION: Invoice must be submitted to the attention of Alissa Riker, Director of Programs at Community Programs, 70 Oak Grove, San Francisco, CA 94107.

DEPARTMENT LIASION: In performing the services provided for in this Agreement, Grantee's liaison with the SFSD will be Alissa Riker, Director of Programs.

Appendix C--Form of Funding Request

FUNDING REQUEST

Pretrial Incarceration Alternatives

_____, 201____

**SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.
925 HARRISON STREET
SAN FRANCISCO, CA 94107**

Re: Grant No. **SHF 2017-03A**

Pursuant to Section 5.3 of the Grant Agreement (the "Grant Agreement") dated as of **OCTOBER 01, 2017**, between the undersigned ("Grantee") and the City and County of San Francisco (all capitalized terms defined in the Grant Agreement shall have the same meaning when used herein), Grantee hereby requests a disbursement of Grant Funds as follows:

Total Amount Requested
in this Request: \$ _____

Maximum Amount of
Grant Funds Specified in
Section 5.1 of the Grant
Agreement: \$ _____

Total of All Grant Funds
Disbursed Prior to this
Request: \$ _____

Grantee certifies that:

(a) The total amount of Grant Funds requested pursuant to this Funding Request will be used to pay Eligible Expenses, which Eligible Expenses are set forth on the attached Schedule 1, to which is attached true and correct copies of all required documentation of such Eligible Expenses.

(b) After giving effect to the disbursement requested pursuant to this Funding Request, the Grant Funds disbursed as of the date of this disbursement will not exceed the maximum amount set forth in Section 5.1.

(c) The representations and warranties made in the Agreement are true and correct in all material respects as if made on the date hereof;

(d) No Event of Default has occurred and is continuing; and

(e) The undersigned is an officer of Grantee authorized to execute this Funding Request on behalf of Grantee.

By signing this Agreement, I certify that I comply with the requirements of the Minimum Compensation Ordinance, which entitle Covered Employees to certain minimum hourly wages and compensated and uncompensated time off.

I have read and understood paragraph 16.3, the City's statement urging companies doing business in Northern Ireland to move towards resolving employment inequities, encouraging compliance with the MacBride Principles, and urging San Francisco companies to do business with corporations that abide by the MacBride Principles.

SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.

By 

Print Name: **Nancy Rubin**

Title: **Interim Executive Director**

SCHEDULE 1 TO REQUEST FOR FUNDING

The following is an itemized list of Eligible Expenses for which Grant Funds are requested:

Payee	Amount	Description
--------------	---------------	--------------------

The following are attached as part of this Schedule 1:

- (1) an invoice for each item of Eligible Expense for which Grant Funds are requested;
- (2) the front and the back of canceled checks or other written evidence documenting the payment of each invoice;
- (3) for Eligible Expenses which are wages or salaries, payroll registers containing a detailed breakdown of earnings and withholdings, together with both sides of canceled payroll checks evidencing payment thereof (unless payment has been made electronically).

Appendix D--Interests In Other City Contracts

City Department or Commission	Date of Contract	Amount of Contract
Parole Revocation Contract/Superior Court	7/1/2017	\$ 87,110
Veterans Justice Court/Superior Court	8/1/2017	\$ 81,720
Neighborhood Courts/District Attorney	7/1/2017	\$184,130
Street Environmental Services/DPW	7/1/2017	\$ 35,875

Appendix E--Permitted Subgrantees

San Francisco Pretrial Diversion Project, Inc.

None.

Appendix F – Business Associate Agreement

San Francisco Pretrial Diversion Project, Inc.

This Business Associate Agreement (“BAA”) supplements and is made a part of the agreement by and between the City and County of San Francisco, the Covered Entity (“CE”), and San Francisco Pretrial Diversion Project (“Contractor”), the Business Associate (“BA”), dated October 1, 2017 (G-100) (“Agreement”). To the extent that the terms of the Agreement are inconsistent with the terms of this BAA, the terms of this BAA shall control.

RECITALS

A. CE, by and through the San Francisco Sheriff’s Department (SFSD), wishes to disclose certain information to BA pursuant to the terms of the Agreement, some of which may constitute Protected Health Information (“PHI”) (defined below).

B. For purposes of the Agreement and this BAA, CE requires Contractor, even if Contractor is also a covered entity under HIPAA, to comply with the terms and conditions of this BAA as a BA of CE.

C. CE and BA intend to protect the privacy and provide for the security of PHI disclosed to BA pursuant to the Agreement in compliance with the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 (“HIPAA”), the Health Information Technology for Economic and Clinical Health Act, Public Law 111-005 (“the HITECH Act”), and regulations promulgated there under by the U.S. Department of Health and Human Services (the “HIPAA Regulations”) and other applicable laws, including, but not limited to, California Civil Code §§ 56, et seq., California Health and Safety Code § 1280.15, California Civil Code §§ 1798, et seq., California Welfare & Institutions Code §§5328, et seq., and the regulations promulgated there under (the “California Regulations”).

D. As part of the HIPAA Regulations, the Privacy Rule and the Security Rule (defined below) require CE to enter into an agreement containing specific requirements with BA prior to the disclosure of PHI, as set forth in, but not limited to, Title 45, Sections 164.314(a), 164.502(a) and (e) and 164.504(e) of the Code of Federal Regulations (“C.F.R.”) and contained in this BAA.

E. BA enters into agreements with CE that require the CE to disclose certain identifiable health information to BA. The parties desire to enter into this BAA to permit BA to have access to such information and comply with the BA requirements of HIPAA, the HITECH Act, and the corresponding Regulations.

In consideration of the mutual promises below and the exchange of information pursuant to this BAA, the parties agree as follows:

1. Definitions.

a. **Breach** means the unauthorized acquisition, access, use, or disclosure of PHI that compromises the security or privacy of such information, except where an unauthorized person to whom such information is disclosed would not reasonably have been able to retain such information, and shall have the meaning given to such term under the HITECH Act and HIPAA Regulations [42 U.S.C. Section 17921 and 45 C.F.R. Section 164.402], as well as California Civil Code Sections 1798.29 and 1798.82.

b. Breach Notification Rule shall mean the HIPAA Regulation that is codified at 45 C.F.R. Part 164, Subpart D.

c. Business Associate is a person or entity that performs certain functions or activities that involve the use or disclosure of protected health information received from a covered entity, but other than in the capacity of a member of the workforce of such covered entity or arrangement, and shall have the meaning given to such term under the Privacy Rule, the Security Rule, and the HITECH Act, including, but not limited to, 42 U.S.C. Section 17938 and 45 C.F.R. Section 160.103.

d. Covered Entity means a health plan, a health care clearinghouse, or a health care provider who transmits any information in electronic form in connection with a transaction covered under HIPAA Regulations, and shall have the meaning given to such term under the Privacy Rule and the Security Rule, including, but not limited to, 45 C.F.R. Section 160.103.

e. Data Aggregation means the combining of Protected Information by the BA with the Protected Information received by the BA in its capacity as a BA of another CE, to permit data analyses that relate to the health care operations of the respective covered entities, and shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501.

f. Designated Record Set means a group of records maintained by or for a CE, and shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501.

g. Electronic Protected Health Information means Protected Health Information that is maintained in or transmitted by electronic media and shall have the meaning given to such term under HIPAA and the HIPAA Regulations, including, but not limited to, 45 C.F.R. Section 160.103. For the purposes of this BAA, Electronic PHI includes all computerized data, as defined in California Civil Code Sections 1798.29 and 1798.82.

h. Electronic Health Record means an electronic record of health-related information on an individual that is created, gathered, managed, and consulted by authorized health care clinicians and staff, and shall have the meaning given to such term under the HITECH Act, including, but not limited to, 42 U.S.C. Section 17921.

i. Health Care Operations shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501.

j. Privacy Rule shall mean the HIPAA Regulation that is codified at 45 C.F.R. Parts 160 and 164, Subparts A and E.

k. Protected Health Information or PHI means any information, including electronic PHI, whether oral or recorded in any form or medium: (i) that relates to the past, present or future physical or mental condition of an individual; the provision of health care to an individual; or the past, present or future payment for the provision of health care to an individual; and (ii) that identifies the individual or with respect to which there is a reasonable basis to believe the information can be used to identify the individual, and shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Sections 160.103 and 164.501. For the purposes of this BAA, PHI includes all medical information and health insurance information as defined in California Civil Code Sections 56.05 and 1798.82.

l. Protected Information shall mean PHI provided by CE to BA or created, maintained, received or transmitted by BA on CE's behalf.

m. Security Incident means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations in an information system, and shall have the meaning given to such term under the Security Rule, including, but not limited to, 45 C.F.R. Section 164.304.

n. Security Rule shall mean the HIPAA Regulation that is codified at 45 C.F.R. Parts 160 and 164, Subparts A and C.

o. Unsecured PHI means PHI that is not secured by a technology standard that renders PHI unusable, unreadable, or indecipherable to unauthorized individuals and is developed or endorsed by a standards developing organization that is accredited by the American National Standards Institute, and shall have the meaning given to such term under the HITECH Act and any guidance issued pursuant to such Act including, but not limited to, 42 U.S.C. Section 17932(h) and 45 C.F.R. Section 164.402.

2. Obligations of Business Associate.

a. User Training. The BA shall provide, and shall ensure that BA subcontractors provide, training on PHI privacy and security, including HIPAA and HITECH and its regulations, to each employee or agent that will access, use or disclose Protected Information, upon hire and/or prior to accessing, using or disclosing Protected Information for the first time, and at least annually thereafter during the term of the Agreement. BA shall maintain, and shall ensure that BA subcontractors maintain, records indicating the name of each employee or agent and date on which the PHI privacy and security trainings were completed. BA shall retain, and ensure that BA subcontractors retain, such records for a period of seven years after the Agreement terminates and shall make all such records available to CE within 15 calendar days of a written request by CE.

b. Permitted Uses. BA may use, access, and/or disclose Protected Information only for the purpose of performing BA's obligations for, or on behalf of, the City and as permitted or required under the Agreement and BAA, or as required by law. Further, BA shall not use Protected Information in any manner that would constitute a violation of the Privacy Rule or the HITECH Act if so used by CE. However, BA may use Protected Information as necessary (i) for the proper management and administration of BA; (ii) to carry out the legal responsibilities of BA; (iii) as required by law; or (iv) for Data Aggregation purposes relating to the Health Care Operations of CE [45 C.F.R. Sections 164.502, 164.504(e)(2), and 164.504(e)(4)(i)].

c. Permitted Disclosures. BA shall disclose Protected Information only for the purpose of performing BA's obligations for, or on behalf of, the City and as permitted or required under the Agreement and BAA, or as required by law. BA shall not disclose Protected Information in any manner that would constitute a violation of the Privacy Rule or the HITECH Act if so disclosed by CE. However, BA may disclose Protected Information as necessary (i) for the proper management and administration of BA; (ii) to carry out the legal responsibilities of BA; (iii) as required by law; or (iv) for Data Aggregation purposes relating to the Health Care Operations of CE. If BA discloses Protected Information to a third party, BA must obtain, prior to making any such disclosure, (i) reasonable written assurances from such third party that such Protected Information will be held confidential as provided pursuant to this BAA and used or disclosed only as required by law or for the purposes for which it was disclosed to such third party, and (ii) a written agreement from such third

party to immediately notify BA of any breaches, security incidents, or unauthorized uses or disclosures of the Protected Information in accordance with paragraph 2 (n) of this BAA, to the extent it has obtained knowledge of such occurrences [42 U.S.C. Section 17932; 45 C.F.R. Section 164.504(e)]. BA may disclose PHI to a BA that is a subcontractor and may allow the subcontractor to create, receive, maintain, or transmit Protected Information on its behalf, if the BA obtains satisfactory assurances, in accordance with 45 C.F.R. Section 164.504(e)(1), that the subcontractor will appropriately safeguard the information [45 C.F.R. Section 164.502(e)(1)(ii)].

d. Prohibited Uses and Disclosures. BA shall not use or disclose Protected Information other than as permitted or required by the Agreement and BAA, or as required by law. BA shall not use or disclose Protected Information for fundraising or marketing purposes. BA shall not disclose Protected Information to a health plan for payment or health care operations purposes if the patient has requested this special restriction, and has paid out of pocket in full for the health care item or service to which the Protected Information solely relates [42 U.S.C. Section 17935(a) and 45 C.F.R. Section 164.522(a)(1)(vi)]. BA shall not directly or indirectly receive remuneration in exchange for Protected Information, except with the prior written consent of CE and as permitted by the HITECH Act, 42 U.S.C. Section 17935(d)(2), and the HIPAA regulations, 45 C.F.R. Section 164.502(a)(5)(ii); however, this prohibition shall not affect payment by CE to BA for services provided pursuant to the Agreement.

e. Appropriate Safeguards. BA shall take the appropriate security measures to protect the confidentiality, integrity and availability of PHI that it creates, receives, maintains, or transmits on behalf of the CE, and shall prevent any use or disclosure of PHI other than as permitted by the Agreement or this BAA, including, but not limited to, administrative, physical and technical safeguards in accordance with the Security Rule, including, but not limited to, 45 C.F.R. Sections 164.306, 164.308, 164.310, 164.312, 164.314 164.316, and 164.504(e)(2)(ii)(B). BA shall comply with the policies and procedures and documentation requirements of the Security Rule, including, but not limited to, 45 C.F.R. Section 164.316, and 42 U.S.C. Section 17931. BA is responsible for any civil penalties assessed due to an audit or investigation of BA, in accordance with 42 U.S.C. Section 17934(c).

f. Business Associate's Subcontractors and Agents. BA shall ensure that any agents and subcontractors that create, receive, maintain or transmit Protected Information on behalf of BA, agree in writing to the same restrictions and conditions that apply to BA with respect to such PHI and implement the safeguards required by paragraph 2.f. above with respect to Electronic PHI [45 C.F.R. Section 164.504(e)(2) through (e)(5); 45 C.F.R. Section 164.308(b)]. BA shall mitigate the effects of any such violation.

g. Accounting of Disclosures. Within ten (10) calendar days of a request by CE for an accounting of disclosures of Protected Information or upon any disclosure of Protected Information for which CE is required to account to an individual, BA and its agents and subcontractors shall make available to CE the information required to provide an accounting of disclosures to enable CE to fulfill its obligations under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.528, and the HITECH Act, including but not limited to 42 U.S.C. Section 17935 (c), as determined by CE. BA agrees to implement a process that allows for an accounting to be collected and maintained by BA and its agents and subcontractors for at least six (6) years prior to the request. However, accounting of disclosures from an Electronic Health Record for treatment, payment or health care operations purposes are required to be collected and maintained for only three

(3) years prior to the request, and only to the extent that BA maintains an Electronic Health Record. At a minimum, the information collected and maintained shall include: (i) the date of disclosure; (ii) the name of the entity or person who received Protected Information and, if known, the address of the entity or person; (iii) a brief description of Protected Information disclosed; and (iv) a brief statement of purpose of the disclosure that reasonably informs the individual of the basis for the disclosure, or a copy of the individual's authorization, or a copy of the written request for disclosure [45 C.F.R. 164.528(b)(2)]. If an individual or an individual's representative submits a request for an accounting directly to BA or its agents or subcontractors, BA shall forward the request to CE in writing within five (5) calendar days.

h. Access to Protected Information. BA shall make Protected Information maintained by BA or its agents or subcontractors in Designated Record Sets available to CE for inspection and copying within (5) days of request by CE to enable CE to fulfill its obligations under state law [Health and Safety Code Section 123110] and the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.524 [45 C.F.R. Section 164.504(e)(2)(ii)(E)]. If BA maintains Protected Information in electronic format, BA shall provide such information in electronic format as necessary to enable CE to fulfill its obligations under the HITECH Act and HIPAA Regulations, including, but not limited to, 42 U.S.C. Section 17935(e) and 45 C.F.R. 164.524.

i. Amendment of Protected Information. Within ten (10) days of a request by CE for an amendment of Protected Information or a record about an individual contained in a Designated Record Set, BA and its agents and subcontractors shall make such Protected Information available to CE for amendment and incorporate any such amendment or other documentation to enable CE to fulfill its obligations under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.526. If an individual requests an amendment of Protected Information directly from BA or its agents or subcontractors, BA must notify CE in writing within five (5) days of the request and of any approval or denial of amendment of Protected Information maintained by BA or its agents or subcontractors [45 C.F.R. Section 164.504(e)(2)(ii)(F)].

j. Governmental Access to Records. BA shall make its internal practices, books and records relating to the use and disclosure of Protected Information available to CE and to the Secretary of the U.S. Department of Health and Human Services (the "Secretary") for purposes of determining BA's compliance with HIPAA [45 C.F.R. Section 164.504(e)(2)(ii)(1)]. BA shall provide CE a copy of any Protected Information and other documents and records that BA provides to the Secretary concurrently with providing such Protected Information to the Secretary.

k. Minimum Necessary. BA, its agents and subcontractors shall request, use and disclose only the minimum amount of Protected Information necessary to accomplish the intended purpose of such use, disclosure, or request. [42 U.S.C. Section 17935(b); 45 C.F.R. Section 164.514(d)]. BA understands and agrees that the definition of "minimum necessary" is in flux and shall keep itself informed of guidance issued by the Secretary with respect to what constitutes "minimum necessary" to accomplish the intended purpose in accordance with HIPAA and HIPAA Regulations.

l. Data Ownership. BA acknowledges that BA has no ownership rights with respect to the Protected Information.

m. Notification of Breach. BA shall notify CE within 5 calendar days of any breach of Protected Information; any use or disclosure of Protected Information not permitted by the BAA;

any Security Incident (except as otherwise provided below) related to Protected Information, and any use or disclosure of data in violation of any applicable federal or state laws by BA or its agents or subcontractors. The notification shall include, to the extent possible, the identification of each individual whose unsecured Protected Information has been, or is reasonably believed by the BA to have been, accessed, acquired, used, or disclosed, as well as any other available information that CE is required to include in notification to the individual, the media, the Secretary, and any other entity under the Breach Notification Rule and any other applicable state or federal laws, including, but not limited, to 45 C.F.R. Section 164.404 through 45 C.F.R. Section 164.408, at the time of the notification required by this paragraph or promptly thereafter as information becomes available. BA shall take (i) prompt corrective action to cure any deficiencies and (ii) any action pertaining to unauthorized uses or disclosures required by applicable federal and state laws. [42 U.S.C. Section 17921; 42 U.S.C. Section 17932; 45 C.F.R. 164.410; 45 C.F.R. Section 164.504(e)(2)(ii)(C); 45 C.F.R. Section 164.308(b)]

n. Breach Pattern or Practice by Business Associate's Subcontractors and Agents. Pursuant to 42 U.S.C. Section 17934(b) and 45 C.F.R. Section 164.504(e)(1)(iii), if the BA knows of a pattern of activity or practice of a subcontractor or agent that constitutes a material breach or violation of the subcontractor or agent's obligations under the Agreement or this BAA, the BA must take reasonable steps to cure the breach or end the violation. If the steps are unsuccessful, the BA must terminate the contractual arrangement with its subcontractor or agent, if feasible. BA shall provide written notice to CE of any pattern of activity or practice of a subcontractor or agent that BA believes constitutes a material breach or violation of the subcontractor or agent's obligations under the Contract or this BAA within five (5) calendar days of discovery and shall meet with CE to discuss and attempt to resolve the problem as one of the reasonable steps to cure the breach or end the violation.

3. Termination.

a. Material Breach. A breach by BA of any provision of this BAA, as determined by CE, shall constitute a material breach of the Agreement and this BAA and shall provide grounds for immediate termination of the Agreement and this BAA, any provision in the AGREEMENT to the contrary notwithstanding. [45 C.F.R. Section 164.504(e)(2)(iii).]

b. Judicial or Administrative Proceedings. CE may terminate the Agreement and this BAA, effective immediately, if (i) BA is named as defendant in a criminal proceeding for a violation of HIPAA, the HITECH Act, the HIPAA Regulations or other security or privacy laws or (ii) a finding or stipulation that the BA has violated any standard or requirement of HIPAA, the HITECH Act, the HIPAA Regulations or other security or privacy laws is made in any administrative or civil proceeding in which the party has been joined.

c. Effect of Termination. Upon termination of the Agreement and this BAA for any reason, BA shall, at the option of CE, return or destroy all Protected Information that BA and its agents and subcontractors still maintain in any form, and shall retain no copies of such Protected Information. If return or destruction is not feasible, as determined by CE, BA shall continue to extend the protections and satisfy the obligations of Section 2 of this BAA to such information, and limit further use and disclosure of such PHI to those purposes that make the return or destruction of the information infeasible [45 C.F.R. Section 164.504(e)(2)(ii)(J)]. If CE elects destruction of the PHI, BA shall certify in writing to CE that such PHI has been destroyed in accordance with the Secretary's guidance regarding proper destruction of PHI.

d. Civil and Criminal Penalties. BA understands and agrees that it is subject to civil or criminal penalties applicable to BA for unauthorized use, access or disclosure or Protected Information in accordance with the HIPAA Regulations and the HITECH Act including, but not limited to, 42 U.S.C. 17934 (c).

e. Disclaimer. CE makes no warranty or representation that compliance by BA with this BAA, HIPAA, the HITECH Act, or the HIPAA Regulations or corresponding California law provisions will be adequate or satisfactory for BA's own purposes. BA is solely responsible for all decisions made by BA regarding the safeguarding of PHI.

4. Amendment to Comply with Law.

The parties acknowledge that state and federal laws relating to data security and privacy are rapidly evolving and that amendment of the Agreement or this BAA may be required to provide for procedures to ensure compliance with such developments. The parties specifically agree to take such action as is necessary to implement the standards and requirements of HIPAA, the HITECH Act, the HIPAA regulations and other applicable state or federal laws relating to the security or confidentiality of PHI. The parties understand and agree that CE must receive satisfactory written assurance from BA that BA will adequately safeguard all Protected Information. Upon the request of either party, the other party agrees to promptly enter into negotiations concerning the terms of an amendment to this BAA embodying written assurances consistent with the updated standards and requirements of HIPAA, the HITECH Act, the HIPAA regulations or other applicable state or federal laws. CE may terminate the Agreement upon thirty (30) days written notice in the event (i) BA does not promptly enter into negotiations to amend the Agreement or this BAA when requested by CE pursuant to this section or (ii) BA does not enter into an amendment to the Agreement or this BAA providing assurances regarding the safeguarding of PHI that CE, in its sole discretion, deems sufficient to satisfy the standards and requirements of applicable laws.

5. Reimbursement for Fines or Penalties.

In the event that CE pays a fine to a state or federal regulatory agency, and/or is assessed civil penalties or damages through private rights of action, based on an impermissible use or disclosure of PHI by BA or its subcontractors or agents, then BA shall reimburse CE in the amount of such fine or penalties or damages within thirty (30) calendar days from City's written notice to BA of such fines, penalties or damages.

Attachment B

San Francisco Diversion Project, Inc. – Scope of Work

Program Name: Pretrial Incarceration Alternatives

Contract Number: SHF 2017-03A

The intended outcome of pretrial incarceration alternatives is to maximize appearances in court and minimize risk to public safety pending trial.

Probable Cause Review and Pretrial Risk Assessment

SFPDP will facilitate the Court's review of law enforcement's bookings into County Jail for Probable Cause through a process that has been developed over the years in collaboration with the Superior Court, Sheriff, and Police Department. 1) Daily, SFPDP Pretrial Services Officers compile a list of arrested people who remain in custody within 48 hours of arrest. 2) Pretrial Services Officers collect relevant Police Incident Reports from the arresting agency and subsequently prepare workups for Superior Court's review by on-duty judge/commissioner within 48 hours of person's arrest. 3) Pretrial Services Officers and the Superior Court Clerk's Office jointly execute and file finalized paperwork using the Own Recognizance online system created for this purpose. In the event of no Probable Cause, a certified copy will be presented to the Sheriff's Department and Room 101 at the Hall of Justice. Additionally, a notification will be made to the District Attorney's Office. SFPDP has the capacity to complete approximately 18 Probable Cause workups per day. If arrests that require Probable Cause review trend upward, SFPDP will give SFSD and the Court 30 days' notice that Pretrial Services Officers will be diverted from PSA workups to help complete Probable Cause review as legally mandated.

SFPDP's Pretrial Services Officers identify all people booked into jail who are eligible for consideration for release on Own Recognizance under state law, 7 days a week. For those identified as eligible for pre-arraignment OR consideration, per state law, the Pretrial Services Officers expedite presentation to ensure that the Court reviews those OR Workups via OR Online within 24 hours of the person's identification confirmation. For all those eligible for either pre-Arraignment OR or Arraignment OR consideration, the Pretrial Services Officers analyze city, state, and federal Record of Arrest and Prosecution (RAP) sheets and the Court Management System. The San Francisco Sheriff's Department and Superior Court have adopted the Laura and John Arnold Foundation's Public Safety Assessment Tool (PSA) to be provided to the Court for OR consideration. Pretrial Services staff will conduct a PSA by inputting 9 factors into an algorithm that produces three risk scores: likelihood to commit a new crime (NCA), likelihood to commit a new violent crime (NVCA), and likelihood of failure to appear in Court (FTA).

While the PSA *measures* pretrial risk, the San Francisco Decision Making Framework (DMF) is the local policy guidance for *managing* pretrial risk and developing

recommendations consistently. The DMF contains four steps: *Step 1*) Pretrial Services Officers will complete a PSA on all bookings for a new offense eligible for Pre-arraignment OR within 24 hours of the Sheriff's Department completing fingerprinting. Those not eligible for Pre-arraignment OR and who have charges filed at Rebooking, will be completed prior to Arraignment Court. Pretrial Services Officers will utilize the Sheriff's Department fingerprinting logs to ensure that Step 1 is completed as required. *Step 2*) The Pretrial Services Officers consider the predetermined circumstances and booked offenses contained in Step 2 of the DMF that require an automatic recommendation of "release not recommended." *Step 3*) The NCA and FTA scores are plotted on a matrix that indicates which recommendation will be made: OR-No Active Supervision, OR-Minimum Supervision, SFPDP-Assertive Case Management, or Release Not Recommended. *Step 4*) Pretrial Services staff will consider the predetermined circumstances and booked offenses that would increase the level of supervision ("bump up"). Steps 2 through 4 are programmed into SFPDP's data management system to maximize fidelity.

After completing the PSAs and generating PSA Court Reports in SFPDP's unique data management system, PSA Court Reports are printed for judges in pre-arraignment cases and for judges, public defenders, and district attorneys in arraignment cases. Additionally, Pretrial Services staff will develop an OR Workup packet containing individual and criminal history summary information for each person eligible for pre-arraignment OR and arraignment OR.

SFPDP staff who utilize the criminal history databases will be required to complete an extensive background review by the Sheriff's Department. Staff must biennially pass the California Law Enforcement Telecommunications System Less Than Full Access User examination. Staff must also attain access to the San Francisco Police Department Criminal Justice Database, the Court Management System and the Jail Management System. Furthermore, staff are required by state and federal law to report secondary dissemination of criminal history information by submitting the CORI log (Criminal Offender Record Information) to the Sheriff's Department.

Current capacity allows 12 PSAs per day, if those eligible for pre-arraignment OR exceeds 12 per day, SFPDP will notify Court and Sheriff of delay in uploading to the duty officer. This will also impact the ability to complete arraignment PSAs in time. If staffing impacts arraignment PSAs, SFPDP will notify Court and Sheriff of delay. SFPDP will complete PSA workups in booked chronological order by court number until daily capacity is full, prioritizing pre-arraignment eligible ORs.

Alternatives to Pretrial Incarceration: NAS, MS, and ACM (Pretrial Services)

SFPDP will provide three levels of pretrial supervision to which the Court may order for clients as a condition of their release on OR:

1. *No Active Supervision (OR-NAS)*: Provide court date reminders only.
2. *Minimum Supervision (OR-MS)*: Provide court date reminders and twice per week phone reporting.
3. *Assertive Case Management (SFPDP-ACM)*: Release to ACM case managers, provide court date reminders, up to 4 times per week reporting (2 to 4 in person), and an out of custody assessment of needs.

All clients released under SFPDP's continuum of supervision will receive court reminders prior to each court date. SFPDP will utilize an automated SMS text-messaging service for clients who provide their cellular phone number, or a phone call reminder for those who do not. SFPDP will also provide additional reminders to clients including emails and letters. Minimum Supervision clients will be required to contact SFPDP office 2 times per week by phone. At each contact, clients are asked about their court information, staff confirm contact information, and a general check-in is performed. For the clients released on both No Active Supervision and Minimum Supervision, no other conditions will be introduced by SFPDP unless imposed by the Court.

Clients granted OR release under the Assertive Case Management supervision level will be released from custody directly to an ACM case manager at County Jail #1. ACM case managers will complete a needs assessment and orientation for these clients that includes: collecting current contact information; completing a Triage Assessment; collecting a treatment history summary; introducing clients to the program and services; and providing an understanding of client and case manager expectations.

The ACM case managers will utilize the Triage Score to prioritize the deployment of case management and related resources and assign the client to a specific caseload of Low-ACM, Medium ACM, or Intensive-ACM.

SFPDP maintains a current client capacity of 160 ACM clients. SFPDP will maintain:

- 2 FTE ACM case managers to manage Low-ACM clients at a ratio of 35:1
- 1.5 FTE ACM case managers to manage Medium ACM clients at a ratio of 25:1
- 4 FTE ACM case managers to manage Intensive-ACM clients at a ratio of 12:1

Based on this designation and the client's assessed needs and capacity, the case managers will collaborate with the client to create a Treatment Plan, designed with the least restrictive conditions necessary, while at the same time ensuring community safety and court appearances as well as encompassing the minimum level of supervision ordered by the Court. If ACM releases trend upward, SFPDP will give SFSD 30 days' notice and submit requests to the Court to divert compliant lower need ACM clients to a lower level of supervision to shift the case management resources for higher need ACM clients.

SFPDP's Court Accountable Homeless Services (CAHS) program will provide additional resources and services to the Intensive Needs ACM clients identified by the Triage Scores and Initial Assessment information. CAHS staff will escort clients to court and community appointments, provide short-term stabilization housing, and transportation assistance. Clients may also be scheduled for individual counseling or medication assessments with clinical staff. CAHS Case managers will provide outreach services to individuals who miss court dates or other mandated requirements.

Performance Measures

On a monthly basis, SFPDP will provide SFSD with:

- Number of PSAs presented pre-arraignment per month.
- Number of releases pre arraignment per month.
- Number PSAs presented at arraignment per month.
- Number of releases which occur at arraignment per month.
- Average daily count and number of new releases per month for each supervision level: NAS, MS, ACM and CAHS.
- Appearance Rate for each supervision level as defined by percentage of supervised defendants per month who do not have a bench warrant issued for failure to appear

On a quarterly basis, SFPDP will provide SFSD with:

- Safety Rate for each supervision level as defined by percentage of defendants per quarter who are not arraigned on a new offense or held on probation or parole violations during pretrial stage.
- Average length of stay on pretrial supervision for completed and terminated clients.

On a biannual basis, SFPDP will provide SFSD with:

- PSA scores and mandated supervision levels for those who fail to appear or who are arraigned on a new offense or held on probation or parole violations during pretrial stage.

GRANT REQUIREMENTS

All providers must share data and treatment plans with other providers and SFSD staff. Data is not the proprietary property of any provider.

Providers must assist SFSD staff or designated evaluators with data collection and program analysis.

Providers will provide sufficient cross-training so that services are not interrupted due to employee illness and/or vacation.

Providers are required to use JMS.

Provider must execute and adhere to the CLETS private contract management agreement.

Providers must submit data related to outcomes and performance with each monthly invoice. Invoices submitted without data will not be paid.

The monthly Performance Measures are due the 5th day of the new reporting period.

The quarterly Performance Measures are due on the 25th of the month following the end of the Quarterly e.g. October 25th, January 25th, April 25th, and July 25th.

Grantee shall annually have its books audited by a Certified Public Accountant and a copy of said audit report and associated management letter shall be transmitted to the Sheriff or his designee within 180 days of the end of each fiscal year. If Grantee expends \$500,000 or more in Federal funding per year, from any and all Federal grants, said audit shall be conducted in accordance with OMB Circular A-133.

**San Francisco Sheriff's Department
Financial Services
FY 2017-2018 Original Budget Request**

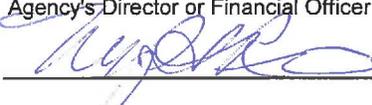
Agency Name: San Francisco Pretrial Diversion Project, Inc. Date: 9/13/2017

Program Title: Pretrial Incarceration Alternatives (PIA) Grant/Contract Period: 10/01/2017 - 06/30/2018

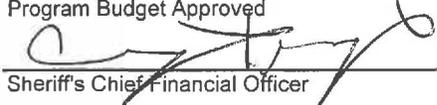
Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 1,397,747
B) Direct Program Operating Expenses	\$ 205,711
C) Program [Sub-]Contract Services	\$ 131,825
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 270,464
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 2,005,747

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer  Date: 10/5/17

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 10.5.2017
 Sheriff's Chief Financial Officer

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

**San Francisco Sheriff's Department
Financial Services
FY 2017-2018 Original Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

10/01/2017 - 06/30/2018

A) Program Salary and Fringe Benefits Budget Summary

Employee Name	Position/Title	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request	Retro SAL Inc	TOTAL SAL Req	
1	Alverenga, Daniel	Pretrial Services Officer 1	40	39	\$ 28.85	\$ 45,000	18.67%	\$ 8,401	\$ 53,401	\$ 3,238	\$ 56,640
2	Beiso, Anthony	Outreach Assertive Case Manager / CAHS	36	39	\$ 26.44	\$ 37,125	29.56%	\$ 10,974	\$ 48,099	\$ 1,789	\$ 49,888
3	TBD	Assertive Case Manager / CAHS	37	39	\$ 26.44	\$ 38,156	25.00%	\$ 9,539	\$ 47,695	\$ -	\$ 47,695
4	Jackson, Raven	Pretrial Services Officer 2	40	39	\$ 28.85	\$ 45,000	16.56%	\$ 8,352	\$ 53,352	\$ 3,238	\$ 56,590
5	Martinez, Dylan	Pretrial Services Officer 1	40	39	\$ 28.85	\$ 45,000	18.92%	\$ 8,514	\$ 53,514	\$ 3,238	\$ 56,752
6	Miller, Matthew	Pretrial Services Officer 1 / Project Specialist	40	39	\$ 28.85	\$ 45,000	18.92%	\$ 8,514	\$ 53,514	\$ 3,238	\$ 56,752
7	TBD	ACM Supervisor	40	39	\$ 31.73	\$ 49,500	25.00%	\$ 12,375	\$ 61,875	\$ -	\$ 61,875
8	Quinn, Agnes	Clinical Therapist	8.2	39	\$ 94.10	\$ 30,093	9.08%	\$ 2,732	\$ 32,826	\$ -	\$ 32,826
9	Reynolds, Andrea	Pretrial Services Officer 2	40	39	\$ 28.85	\$ 45,000	18.58%	\$ 8,352	\$ 53,352	\$ 3,238	\$ 56,590
10	Richardson, Aisha	Assertive Case Manager	40	39	\$ 26.44	\$ 41,250	22.15%	\$ 9,137	\$ 50,387	\$ 1,988	\$ 52,375
11	Rodriguez, David	Pretrial Release Services Manager	40	39	\$ 36.06	\$ 56,250	10.88%	\$ 6,120	\$ 62,370	\$ 813	\$ 63,182
12	Taula, Jayzyl	Pretrial Services Officer 1	40	39	\$ 28.85	\$ 45,000	19.89%	\$ 8,950	\$ 53,950	\$ 3,238	\$ 57,189
13	Valdiviez, Monica	Pretrial Services Officer 1	40	39	\$ 28.85	\$ 45,000	11.56%	\$ 5,202	\$ 50,202	\$ 3,238	\$ 53,440
14	Washington, Kai	ACM Case Manager/Court Liaison	37	39	\$ 26.44	\$ 38,156	19.50%	\$ 7,440	\$ 45,597	\$ 994	\$ 46,591
15	White, Gerald	ACM Case Manager/Court Liaison	37	39	\$ 26.44	\$ 38,156	30.99%	\$ 11,825	\$ 49,981	\$ 623	\$ 50,604
16	TBD	ACM Case Manager / CAHS	40	39	\$ 26.44	\$ 41,250	25.00%	\$ 10,312	\$ 51,562	\$ -	\$ 51,562
17	TBD	ACM Case Manager	40	39	\$ 26.44	\$ 41,250	25.00%	\$ 10,312	\$ 51,562	\$ -	\$ 51,562
18	TBD	ACM Case Manager	40	39	\$ 26.44	\$ 41,250	25.00%	\$ 10,312	\$ 51,562	\$ -	\$ 51,562
19	Wong, Derek	Pretrial Services Officer 3	40	39	\$ 29.57	\$ 46,125	19.73%	\$ 9,100	\$ 55,225	\$ 20	\$ 55,245
20	Nancy Rubin	Interim Executive Director	15.1	26	\$ 72.12	\$ 28,322	20.00%	\$ 5,664	\$ 33,987	\$ -	\$ 33,987
21	TBD	Executive Director	30.2	13	\$ 72.12	\$ 28,324	20.00%	\$ 5,665	\$ 33,989	\$ -	\$ 33,989
22	Allison McCovey	Director of Programs	27.5	39	\$ 42.04	\$ 45,029	28.35%	\$ 12,766	\$ 57,795	\$ -	\$ 57,795
23	TBD	IT Director	32.4	39	\$ 57.69	\$ 72,962	20.00%	\$ 14,592	\$ 87,554	\$ -	\$ 87,554
24	Katrina Criado	HR Specialist	30.2	39	\$ 25.63	\$ 30,198	21.79%	\$ 6,580	\$ 36,778	\$ -	\$ 36,778
25	Kerith Pickett	Accounting Supervisor	30.2	39	\$ 29.81	\$ 35,122	24.75%	\$ 8,693	\$ 43,815	\$ -	\$ 43,815
26	Alcantar, Alisha	Director of Analytics & Development	40.0	39	\$ 35.10	\$ 54,750	19.78%	\$ 10,830	\$ 65,580	\$ 2,873	\$ 68,453
27	Asotaua Alotaua	Triage	33.1	39	\$ 16.40	\$ 21,164	25.00%	\$ 5,291	\$ 26,455	\$ -	\$ 26,455

25% of hours for Probable Casue = Total 70 Hours

Subtotal Salary & Fringe Benefits:

\$ 1,365,980	\$ 31,766	\$ 1,397,747
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Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2017-2018 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

10/01/2017 - 06/30/2018

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Program Materials/Supplies:			
Office Supplies	\$ -		\$ -
Printing	\$ 38.55	9	\$ 347
Educational/Training Materials	\$ -		\$ -
Client travel (public transportation)	\$ -		\$ -
Postage	\$ -		\$ -
Rent and Moving Expenses	\$ 16,790	9	\$ 151,110
Office Equipment Lease	\$ -		\$ -
Telephone/Cell	\$ -		\$ -
Utilities	\$ -		\$ -
Insurance (Compr Genl Liab/Auto/Prof)	\$ -		\$ -
Program Staff Training	\$ -		\$ 2,500
ACA Program Staff Travel	\$ -		\$ -
ACM/OR Program Staff Travel	\$ -		\$ -
CAHS Client Flex Fund	\$ 5,500	9	\$ 49,500
Conference Attendance & Related Travel			\$ 2,254

Subtotal Other Current Expenses: **\$ 205,711**

**San Francisco Sheriff's Department
Financial Services
FY 2017-2018 Original Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

10/01/2017 - 06/30/2018**C) Program [Sub-]Contract Services**

Consultants/Professional Services*					
Name	Agency	Description of Services	Estimated Cost Per Hour	Estimated Hours	Request Amount
Josiane Kristensen	Kristensen HR Consulting	HR Consulting	\$ 150.00	100	\$ 15,000
Lisa M. van Krieken	Folger Levin LLP	Lawyer	\$ 325.00	100	\$ 32,500
John Brim	John Brim, MD	Psychiatric Services	\$ 150.00	100	\$ 15,000
Jayanthi Bhandarkar	Jones, Henle & Schunk	Certified Public Accountants	\$ 140.00	240	\$ 33,600
TBD	TBD	FileMaker	\$ 145.00	5	\$ 725
TBD	TBD	Executive Director Search			\$ 35,000

Other [Sub-]Contract Services (provide description):					
Item (Example):	Description:	Estimated Cost Per Hour	Estimated Hours	Request Amount	
				\$	-
				\$	-
				\$	-
				\$	-
Subtotal for [Sub-]Contractual Services:				\$	131,825

*Please submit to SFSD Financial Services a copy of your agency's contract or agreement with each [sub-]contractor/consultant identified in your budget. This is normally a one-time submission. Please contact SFSD Financial Services with any questions regarding this.

Please insert additional lines as needed for all [sub-]contractors/consultants in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

07/01/2018 - 06/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 1,866,629
B) Direct Program Operating Expenses	\$ 272,943
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 361,193
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 2,500,764

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)

**Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer



Date:

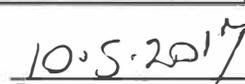


FOR SHERIFF USE ONLY

Program Budget Approved



Sheriff's Chief Financial Officer



Date:

Data entry of Approved Budget

Date:

Index Codes (and amounts if more than one): _____

**San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Original Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

07/01/2018 - 06/30/2019

A) Program Salary and Fringe Benefits Budget Summary

Employee Name	Position/Title	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request	
1	Alverenga, Daniel	Pretrial Services Officer 1	40	52	\$ 28.85	\$ 60,000	18.67%	\$ 11,202.00	\$ 71,202.00
2	Beliso, Anthony	Outreach Assertive Case Manager / CAHS	36	52	\$ 26.44	\$ 49,500	29.56%	\$ 14,632	\$ 64,132
3	TBD	Assertive Case Manager / CAHS	37	52	\$ 26.44	\$ 50,875	25.00%	\$ 12,719	\$ 63,594
4	Jackson, Raven	Pretrial Services Officer 2	40	52	\$ 28.85	\$ 60,000	18.56%	\$ 11,136	\$ 71,136
5	Martinez, Dvylan	Pretrial Services Officer 1	40	52	\$ 28.85	\$ 60,000	18.92%	\$ 11,352	\$ 71,352
6	Miller, Matthew	Pretrial Services Officer 1 / Project Specialist	40	52	\$ 28.85	\$ 60,000	18.92%	\$ 11,352	\$ 71,352
7	TBD	ACM Supervisor	40	52	\$ 31.73	\$ 66,000	25.00%	\$ 16,500	\$ 82,500
8	Quinn, Agnes	Clinical Therapist	8.2	52	\$ 94.10	\$ 40,124	9.08%	\$ 3,643	\$ 43,768
9	Reynolds, Andrea	Pretrial Services Officer 2	40	52	\$ 28.85	\$ 60,000	18.56%	\$ 11,136	\$ 71,136
10	Richardson, Aisha	Assertive Case Manager	40	52	\$ 26.44	\$ 55,000	22.15%	\$ 12,182	\$ 67,182
11	Rodriguez, David	Pretrial Release Services Manager	40	52	\$ 36.06	\$ 75,000	10.88%	\$ 8,160	\$ 83,160
12	Taula, Jayzyl	Pretrial Services Officer 1	40	52	\$ 28.85	\$ 60,000	19.89%	\$ 11,934	\$ 71,934
13	Valdiviez, Monica	Pretrial Services Officer 1	40	52	\$ 28.85	\$ 60,000	11.56%	\$ 6,936	\$ 66,936
14	Washington, Kai	ACM Case Manager/Court Liaison	37	52	\$ 26.44	\$ 50,875	19.50%	\$ 9,921	\$ 60,796
15	White, Gerald	ACM Case Manager/Court Liaison	37	52	\$ 26.44	\$ 50,875	30.99%	\$ 15,766	\$ 66,641
16	TBD	ACM Case Manager / CAHS	40	52	\$ 26.44	\$ 55,000	25.00%	\$ 13,750	\$ 68,750
17	TBD	ACM Case Manager	40	52	\$ 26.44	\$ 55,000	25.00%	\$ 13,750	\$ 68,750
18	TBD	ACM Case Manager	40	52	\$ 26.44	\$ 55,000	25.00%	\$ 13,750	\$ 68,750
19	Wong, Derek	Pretrial Services Officer 3	40	52	\$ 29.57	\$ 61,500	19.73%	\$ 12,134	\$ 73,634
20	TBD	Executive Director	30.2	52	\$ 72.12	\$ 113,297	20.00%	\$ 22,659	\$ 135,956
21	Allison McCovey	Director of Programs	27.5	52	\$ 42.04	\$ 60,039	28.35%	\$ 17,021	\$ 77,060
22	TBD	IT Director	32.4	52	\$ 57.69	\$ 97,282	20.00%	\$ 19,456	\$ 116,739
23	Katrina Criado	HR Specialist	30.2	52	\$ 25.63	\$ 40,263	21.79%	\$ 8,773	\$ 49,037
24	Kerith Pickett	Accounting Supervisor	30.2	52	\$ 29.81	\$ 46,830	24.75%	\$ 11,590	\$ 58,420
25	Alcantar, Alisha	Director of Analytics & Development	40.0	52	\$ 35.10	\$ 73,000	19.78%	\$ 14,439	\$ 87,439
26	Asotaua Alotaua	Triage	33.1	52	\$ 16.40	\$ 28,219	25.00%	\$ 7,055	\$ 35,273

Subtotal Salary & Fringe Benefits:

\$ 1,866,629

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

07/01/2018 - 06/30/2019

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Program Materials/Supplies:			
Office Supplies	\$ -		\$ -
Printing	\$ 38.55	12	\$ 462.60
Educational/Training Materials	\$ -		\$ -
Client travel (public transportation)	\$ -		\$ -
Postage	\$ -		\$ -
Rent and Moving Expenses	\$ 16,790.00	12	\$ 201,480.00
Office Equipment Lease	\$ -		\$ -
Telephone/Cell	\$ -		\$ -
Utilities	\$ -		\$ -
Insurance (Compr Genl Liab/Auto/Prof)	\$ -		\$ -
Program Staff Training	\$ -		\$ 2,500.00
ACA Program Staff Travel	\$ -		\$ -
ACM/OR Program Staff Travel	\$ -		\$ -
CAHS Client Flex Fund	\$ 5,500.00	12	\$ 66,000.00
Conference Attendance & Related Travel			\$ 2,500.00

Subtotal Other Current Expenses: **\$ 272,942.60**

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

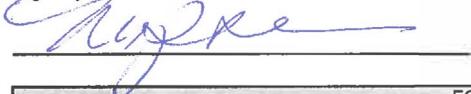
07/01/2019 - 06/30/2020

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 1,866,629
B) Direct Program Operating Expenses	\$ 272,943
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 361,193
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 2,500,764

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer



Date:

10/5/17

FOR SHERIFF USE ONLY

Program Budget Approved



Sheriff's Chief Financial Officer

Date:

10.5.2017

Data entry of Approved Budget

Date:

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

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Grant/Contract Period:

07/01/2019 - 06/30/2020

A) Program Salary and Fringe Benefits Budget Summary

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2	Beliso, Anthony	36	52	\$ 26.44	\$ 49,500	29.56%	\$ 14,632	\$ 64,132
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5	Martinez, Dylan	40	52	\$ 28.85	\$ 60,000	18.92%	\$ 11,352	\$ 71,352
6	Miller, Matthew	40	52	\$ 28.85	\$ 60,000	18.92%	\$ 11,352	\$ 71,352
7	TBD	40	52	\$ 31.73	\$ 66,000	25.00%	\$ 16,500	\$ 82,500
8	Quinn, Agnes	8.2	52	\$ 94.10	\$ 40,124	9.08%	\$ 3,643	\$ 43,768
9	Reynolds, Andrea	40	52	\$ 28.85	\$ 60,000	18.56%	\$ 11,136	\$ 71,136
10	Richardson, Aisha	40	52	\$ 26.44	\$ 55,000	22.15%	\$ 12,182	\$ 67,182
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12	Taula, Jayzyl	40	52	\$ 28.85	\$ 60,000	19.89%	\$ 11,934	\$ 71,934
13	Valdiviez, Monica	40	52	\$ 28.85	\$ 60,000	11.56%	\$ 6,936	\$ 66,936
14	Washington, Kai	37	52	\$ 26.44	\$ 50,875	19.50%	\$ 9,921	\$ 60,796
15	White, Gerald	37	52	\$ 26.44	\$ 50,875	30.99%	\$ 15,766	\$ 66,641
16	TBD	40	52	\$ 26.44	\$ 55,000	25.00%	\$ 13,750	\$ 68,750
17	TBD	40	52	\$ 26.44	\$ 55,000	25.00%	\$ 13,750	\$ 68,750
18	TBD	40	52	\$ 26.44	\$ 55,000	25.00%	\$ 13,750	\$ 68,750
19	Wong, Derek	40	52	\$ 29.57	\$ 61,500	19.73%	\$ 12,134	\$ 73,634
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23	Katrina Criado	30.2	52	\$ 25.63	\$ 40,263	21.79%	\$ 8,773	\$ 49,037
24	Kerith Pickett	30.2	52	\$ 29.81	\$ 46,830	24.75%	\$ 11,590	\$ 58,420
25	Alcantar, Alisha	40.0	52	\$ 35.10	\$ 73,000	19.78%	\$ 14,439	\$ 87,439
26	Asotaua Alotaua	33.1	52	\$ 16.40	\$ 28,219	25.00%	\$ 7,055	\$ 35,273

Subtotal Salary & Fringe Benefits:

\$ 1,866,629

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project, Inc.

Date:

9/13/2017

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

07/01/2019 - 06/30/2020

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Program Materials/Supplies:			
Office Supplies	\$ -		\$ -
Printing	\$ 38.55	12	\$ 462.60
Educational/Training Materials	\$ -		\$ -
Client travel (public transportation)	\$ -		\$ -
Postage	\$ -		\$ -
Rent and Moving Expenses	\$ 16,790.00	12	\$ 201,480.00
Office Equipment Lease	\$ -		\$ -
Telephone/Cell	\$ -		\$ -
Utilities	\$ -		\$ -
Insurance (Compr Genl Liab/Auto/Prof)	\$ -		\$ -
Program Staff Training	\$ -		\$ 2,500.00
ACA Program Staff Travel	\$ -		\$ -
ACM/OR Program Staff Travel	\$ -		\$ -
CAHS Client Flex Fund	\$ 5,500.00	12	\$ 66,000.00
Conference Attendance & Related Travel			\$ 2,500.00

Subtotal Other Current Expenses: \$ **272,942.60**



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

June 14, 2018

San Francisco Pretrial Diversion Project, Inc.
925 Harrison Street
San Francisco, CA 94107

Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA) – Pretrial Mental Health Enhancement Project**, Grant Agreement, 1st Amendment effective **March 01, 2018**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**City and County of San Francisco
San Francisco Sheriff's Department**

First Amendment

THIS AMENDMENT (this "Amendment") is made as of **March 01, 2018**, in San Francisco, California, by and between **San Francisco Pretrial Diversion Project, Inc.** ("Grantee"), and the City and County of San Francisco, a municipal corporation ("City").

RECITALS

WHEREAS, City and Grantee have entered into the Agreement (as defined below) for a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to increase the contract amount and amend the scope of work to include a Pretrial Mental Health Enhancement Project, and update standard contractual clauses;

NOW, THEREFORE, Grantee and the City agree as follows:

1. Definitions. The following definitions shall apply to this Amendment:

1a. Agreement. The term "Agreement" shall mean the Agreement dated **October 01, 2017** between Grantee and City.

1b. Other Terms. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Agreement.

2. Modifications to the Agreement. The Agreement is hereby modified as follows:

2a. Section 5.1. Section 5.1 **Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION SEVEN THOUSAND TWO HUNDRED SEVENTY-FIVE DOLLARS (\$7,007,275)**. Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIVE THOUSAND SEVEN HUNDRED FORTY-SEVEN DOLLARS (\$2,005,747)**. Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED THOUSAND SEVEN HUNDRED SIXTY-FOUR DOLLARS (\$2,500,764)**. Funding for the fiscal year 2019-2020 which will

commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED THOUSAND SEVEN HUNDRED SIXTY-FOUR DOLLARS (\$2,500,764)**.

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION ONE HUNDRED TWENTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$7,128,915)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION THIRTY-ONE THOUSAND THREE HUNDRED FIFTY-SIX DOLLARS (\$2,031,356)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED SEVENTY-SEVEN THOUSAND FIVE HUNDRED EIGHTY-NINE DOLLARS (\$2,577,589)**.

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY DOLLARS (\$2,519,970)**.

2b. Appendix B. Appendix B of the Original Agreement is amended to replace budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 18-20 –Pretrial Mental Health Enhancement Project	--	B-2
Summary of Budget Changes	--	C-1

Attached hereto and incorporated by reference are the additional Attachment B-2 and C-1 to be added to Appendix B.

2c. Appendix B – San Francisco Pretrial Diversion Project, Inc. - Scope of Work

Appendix B of the Original Agreement is amended by adding Attachment B-A (Addendum #1) to add a Pretrial Mental Health Enhancement Project.

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **March 01, 2018**.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, Grantee and City have executed this Amendment as of the date first referenced above.

CITY

GRANTEE

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department



Nancy Rubin
Interim Chief Executive Director
925 Harrison Street
San Francisco, CA 94107

Approved as to Form:

City vendor number: **0000011529**

Dennis J. Herrera
City Attorney

By: 

Jana J. Clark
Deputy City Attorney

Attachment B-A

San Francisco Pretrial Diversion Project, Inc. – Scope of Work (Addendum #1)

Project Name: **Pretrial Mental Health Enhancement Project**

Contract Number: **SHF 2017-03A**

Term: **March 01, 2018 through September 30, 2019**

Quality Control, Data Management and Evaluation

In October of 2017, the San Francisco Sheriff's Department (SFSD) was awarded additional funds from the Bureau of Justice Assistance (BJA) to support the identification and treatment needs of Pretrial Assertive Case Management (ACM) clients with mental illness by embedding a UCSF Clinician who will provide in-depth behavioral health assessments for mentally ill persons released pretrial, along with ongoing counseling, support, referral, and placement advocacy services. The Quality Control and Data Management Contract to the San Francisco Pretrial Diversion Project (SFPDP) will support a percentage of salary and benefits for SFPDPs Director of Analytics and Development position, throughout the project.

The Director of Analytics and Development will be responsible for modifying data collection systems to accommodate new required input fields related to BJA project tracking and evaluation, and for providing ongoing support to the project in data entry, reporting, and IT management issues. The subcontract will also include a Pretrial Client Emergency Housing Fund in the amount of \$88,000 to provide emergency housing vouchers for pretrial clients in order to ensure their stability and likelihood of success in the pretrial program. Housing funds will be distributed following parameters and qualification standards developed during the six-month planning phase, and will be closely tracked by the agency, along with tracking of outcomes for clients who utilize emergency housing funds.

SFPDP will also contract with the Project Evaluator, Barry Zack, from the Oakland-based Bridging Group, an organization specifically dedicated to conducting evaluation studies, training, technical assistance and capacity building services for agencies working in correctional facilities. The Project Evaluator will support project data tracking, quality assurance, and reporting throughout the two-year project period. During the initial six-month planning phase, the Evaluator will support the team by identifying appropriate project indicators; developing data entry and reporting systems and procedures; working with the Pretrial Director of Analytics and Development to adapt existing reporting systems to accommodate new fields; and providing training as needed to staff. During the

Attachment B-A

18-month implementation phase, the Evaluator will conduct ongoing quality assurance data reviews on at least a quarterly basis and will assist in the preparation of project reports while providing data analysis services as needed.

All expenses for the evaluation, housing and staff for these services shall be submitted as a separate expense report clearly identified as BJA expenses.

**San Francisco Sheriff's Department
Financial Services
FY 2018-2020 Original Budget Request**

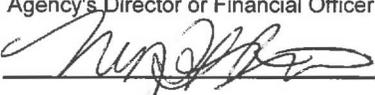
Agency Name: San Francisco Pretrial Diversion Project, Inc. Date: 2/5/2018

Program Title: Pretrial Mental Health Enhancement Project Grant/Contract Period: 03/01/2018 - 09/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 12,832
B) Direct Program Operating Expenses	\$ 88,000
C) Program [Sub-]Contract Services	\$ 9,750
<hr/>	
X) Non-Program Indirect/Admin/Overhead Costs based on 10%**	\$ 11,058
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 121,640

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 10% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer


Date: 2/13/18

FOR SHERIFF USE ONLY

Program Budget Approved

 Sheriff's Chief Financial Officer

Date: 2-16-2018

Data entry of Approved Budget

Date:

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2018-2020 Original Budget Request

Agency Name:

Date:

San Francisco Pretrial Diversion Project, Inc.2/5/2018

Program Title:

Grant/Contract Period:

Pretrial Mental Health Enhancement Project03/01/2018 - 09/30/2019

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Program Materials/Supplies:			
Office Supplies			\$ -
Printing			\$ -
Educational/Training Materials			\$ -
Client travel (public transportation)			\$ -
Postage			\$ -
Rent and Moving Expenses			\$ -
Office Equipment Lease			\$ -
Telephone/Cell			\$ -
Utilities			\$ -
Insurance (Compr Genl Liab/Auto/Prof)			\$ -
Program Staff Training			\$ -
ACA Program Staff Travel			\$ -
ACM/OR Program Staff Travel			\$ -
CAHS Client Flex Fund	\$ 4,400	\$ 20	\$ 88,000
Conference Attendance & Related Travel			
Advance			
Subtotal Other Current Expenses:			\$ 88,000

**San Francisco Sheriff's Department
Financial Services
FY 2018-2020 Original Budget Request**

Agency Name:
San Francisco Pretrial Diversion Project, Inc.

Date:

2/5/2018

Program Title:
Pretrial Mental Health Enhancement Project

Grant/Contract Period:

03/01/2018 - 09/30/2019

C) Program [Sub-]Contract Services

Consultants/Professional Services*					
Name	Agency	Description of Services	Estimated Cost Per Day	Estimated Days	Request Amount
The Bridging Group	Barry Zack	Program Evaluation Consultant	\$ 650	\$ 15	\$ 9,750
		Sub-contract via Pre-trial			\$ -
					\$ -
					\$ -
					\$ -
					\$ -

Other [Sub-]Contract Services (provide description):				
Item (Example):	Description:	Estimated Cost Per Hour	Estimated Hours	Request Amount
				\$ -
				\$ -
				\$ -
				\$ -
Subtotal for [Sub-]Contractual Services:				\$ 9,750

*Please submit to SFSD Financial Services a copy of your agency's contract or agreement with each [sub-]contractor/consultant identified in your budget. This is normally a one-time submission. Please contact SFSD Financial Services with any questions regarding this.

Please insert additional lines as needed for all [sub-]contractors/consultants in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 1**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-20	03/01/2018 - 09/30/2019	\$ 121,640
TOTALS			\$ 7,128,915



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

March 02, 2018

San Francisco Pretrial Diversion Project, Inc.
925 Harrison Street
San Francisco, CA 94107

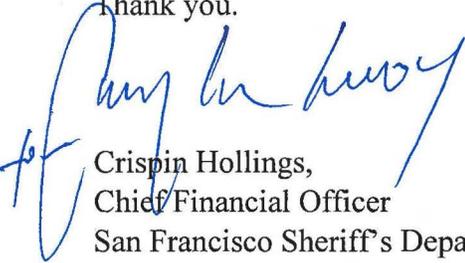
Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA) – In-Custody Referral (ICR) Case Management**, Grant Agreement, 2nd Amendment effective **March 02, 2018**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.


Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**City and County of San Francisco
San Francisco Sheriff's Department**

Second Amendment

THIS AMENDMENT (this "Amendment") is made as of **March 02, 2018**, in San Francisco, California, by and between **San Francisco Pretrial Diversion Project, Inc.** ("Grantee"), and the City and County of San Francisco, a municipal corporation ("City").

RECITALS

WHEREAS, City and Grantee have entered into the Agreement (as defined below) for a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to increase the contract amount and amend the scope of work to include **In-Custody Referral (ICR) Case Management**, and update standard contractual clauses;

NOW, THEREFORE, Grantee and the City agree as follows:

1. Definitions. The following definitions shall apply to this Amendment:

1a. Agreement. The term "Agreement" shall mean the Agreement dated **October 01, 2017** between Grantee and City, as amended by the:

First amendment, date March 01, 2018

1b. Other Terms. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Agreement.

2. Modifications to the Agreement. The Agreement is hereby modified as follows:

2a. Section 5.1. Section **5.1 Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION ONE HUNDRED TWENTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$7,128,915).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION THIRTY-ONE THOUSAND THREE HUNDRED FIFTY-SIX DOLLARS (\$2,031,356).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED SEVENTY-SEVEN THOUSAND FIVE HUNDRED EIGHTY-NINE DOLLARS (\$2,577,589).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY DOLLARS (\$2,519,970).**

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION TWO HUNDRED THIRTY-NINE THOUSAND THREE HUNDRED SEVENTY-ONE DOLLARS (\$7,239,371).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED FIFTY-EIGHT DOLLARS (\$2,059,758).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION SIX HUNDRED FIFTY-NINE THOUSAND SIX HUNDRED FORTY-TWO DOLLARS (\$2,659,642).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY-ONE DOLLARS (\$2,519,971).**

2b. Appendix B. Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 17-18 – ICR Case Management	--	B-3
FY 18-19 – ICR Case Management	--	B-3A
Summary of Budget Changes	C-1	C-2

Attached hereto and incorporated by reference are the additional Attachment B-3, B-3A and C-2 to be added to Appendix B.

2c. Appendix B – San Francisco Pretrial Diversion Project, Inc. - Scope of Work

Appendix B of the Original Agreement is amended by adding Attachment B-B (Addendum #2) to add In-Custody Referral (ICR) Case Management.

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **March 02, 2018**.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Agreement shall remain unchanged and in full force and effect.

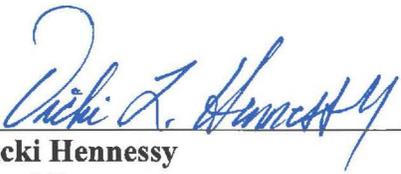
IN WITNESS WHEREOF, Grantee and City have executed this Amendment as of the date first referenced above.

CITY

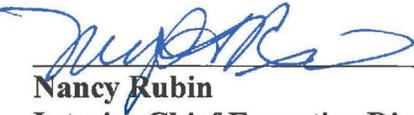
GRANTEE

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department



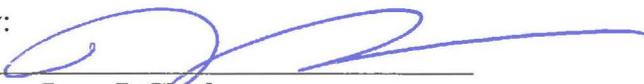
Nancy Rubin
Interim Chief Executive Director
925 Harrison Street
San Francisco, CA 94107

Approved as to Form:

City vendor number: **0000011529**

Dennis J. Herrera
City Attorney

By:



Jana J. Clark
Deputy City Attorney

Attachment B-B

San Francisco Pretrial Diversion Project, Inc. – Scope of Work (Addendum #2)

Project Name: **ICR Case Management**

Term: **March 02, 2018 through June 30, 2019**

In-Custody Referrals

Defendants not granted release under the continuum of supervision levels at pre-arraignment or arraignment may be referred to the Assertive Case Management (ACM) Program for an In-Custody Referral (ICR). The San Francisco Pretrial Diversion Project, Inc. (SFPDP) will maintain 1.0 FTE – ICR Case Manager to manage these ICRs and will perform an in-custody needs assessment that includes: collecting current contact and outreach information; completing a Triage and safety assessment; collecting a treatment history summary; determining personal service needs; and providing an understanding of client and case manager expectations if recommended to the ACM Program.

If clients are determined amenable to case management, the ICR Case Manager will create a re-entry plan with recommended supervision requirements for the Court. If the release recommendation is granted by the Court, the ICR Case Manager will coordinate with Jail Behavioral Health and community providers to ensure service linkage upon release. Additionally, the ICR Case Manager will coordinate placement for pretrial defendants referred by the Court for transport to residential treatment. The ICR Case Manager will complete a Triage and safety assessment, collaborate with Jail Behavioral Health regarding any prescription medications and coordinate the jail release and intake date with the treatment program. The ICR Case Manager will work with San Francisco Sheriff's Department (SFSD) and SFPDP staff to ensure the defendant is picked up from custody and escorted to the program. SFPDP will also provide the Court with a Transport Report at the next calling of the case and may ask to be relieved of supervision.

Performance Measures

On a monthly basis SFPDP will provide SFSD with:

- Number of defendants referred post arraignment
- Number recommended to the Court for release
- Number released
- Number referred for transport to residential treatment
- Number released and escorted to treatment

**San Francisco Sheriff's Department
Financial Services
FY 2017-2018 Original Budget Request**

Agency Name: San Francisco Pretrial Diversion Project Date: 2/9/2018
 Program Title: ICR Case Management Grant/Contract Period: 3/2/2018 - 6/30/2018

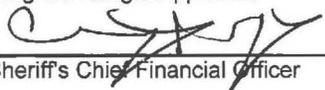
Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 23,798
B) Direct Program Operating Expenses	\$ -
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 4,605
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 28,403

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer  Date: 2/26/18

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 2.26.18
 Sheriff's Chief Financial Officer

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

2/9/2018

Program Title:

ICR Case Management

Grant/Contract Period:

7/1/2018 - 6/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 68,750
B) Direct Program Operating Expenses	\$ -
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 13,303
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 82,053

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)

**Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer

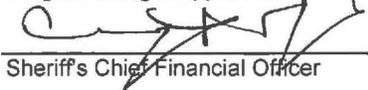


Date:

2/26/18

FOR SHERIFF USE ONLY

Program Budget Approved



Sheriff's Chief Financial Officer

2.26.18

Date:

Data entry of Approved Budget

Date:

Index Codes (and amounts if more than one): _____

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 2**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-20	03/01/2018 - 09/30/2019	\$ 121,640
Amendment # 2			
ICR Case Management	FY 17-18	03/02/2018 - 06/30/2018	\$ 28,403
	FY 18-19	07/01/2018 - 06/30/2019	\$ 82,053
TOTALS			\$ 7,239,371



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

July 01, 2018

San Francisco Pretrial Diversion Project, Inc.
925 Harrison Street
San Francisco, CA 94107

Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA)** – Grant Agreement, 3rd Amendment effective **July 01, 2018**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**City and County of San Francisco
San Francisco Sheriff's Department**

Third Amendment

THIS AMENDMENT (this "Amendment") is made as of **June 01, 2018**, in San Francisco, California, by and between **San Francisco Pretrial Diversion Project, Inc.** ("Grantee"), and the City and County of San Francisco, a municipal corporation ("City").

RECITALS

WHEREAS, City and Grantee have entered into the Agreement (as defined below) for a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to reduce the term of the contract, increase the FY18-19 PIA budget, and update standard contractual clauses;

NOW, THEREFORE, Grantee and the City agree as follows:

1. Definitions. The following definitions shall apply to this Amendment:

1a. Agreement. The term "Agreement" shall mean the Agreement dated **October 01, 2017** between Grantee and City, as amended by the:

First amendment, date March 01, 2018
Second amendment, date March 02, 2018

1b. Other Terms. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Agreement.

2. Modifications to the Agreement. The Agreement is hereby modified as follows:

2a. Section 3.2. Section 3.2 **Duration of Term** of the Agreement currently reads as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) **OCTOBER 01, 2017** and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on **JUNE 30, 2020**. In addition, the Sheriff shall have two options to extend the term, for a period of one year each, by mutual agreement in writing. In the event such extension rights are exercised, all terms and conditions, requirements and specifications of the Agreement shall remain the same and apply during the renewal terms. The maximum contract period shall not be more than five (5) years.

Such section is hereby amended in its entirety to read as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) **OCTOBER 01, 2017** and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on **JUNE 30, 2019**.

2b. Section 5.1. Section 5.1 **Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION TWO HUNDRED THIRTY-NINE THOUSAND THREE HUNDRED SEVENTY-ONE DOLLARS (\$7,239,371)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED FIFTY-EIGHT DOLLARS (\$2,059,758)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION SIX HUNDRED FIFTY-NINE THOUSAND SIX HUNDRED FORTY-TWO DOLLARS (\$2,659,642)**.

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY-ONE DOLLARS (\$2,519,971)**.

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **FIVE MILLION FOUR HUNDRED SIXTY-EIGHT THOUSAND THREE HUNDRED FIFTY-TWO DOLLARS (\$5,468,352)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$3,408,592)**.

2b. Appendix B. Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 18-19 – Pretrial Incarceration Alternatives (PIA)	B-1A	B-1C
Summary of Budget Changes	C-2	C-3

Attached hereto and incorporated by reference are the additional Attachment B-1C and C-3 to be added to Appendix B.

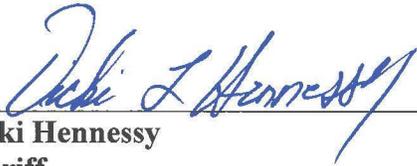
3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **June 01, 2018**.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, Grantee and City have executed this Amendment as of the date first referenced above.

CITY

Recommended by:



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department

Approved as to Form:

Dennis J. Herrera
City Attorney

By: 

Jana J. Clark
Deputy City Attorney

GRANTEE

**San Francisco Pretrial Diversion
Project, Inc.**



Nancy Rubin
Interim Chief Executive Director
925 Harrison Street
San Francisco, CA 94107

City vendor number: 0000011529

**San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request**

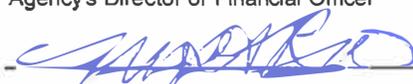
Agency Name: San Francisco Pretrial Diversion Project Date: 4/22/2018

Program Title: Pretrial Incarceration Alternatives - (PIA) (ACM/OR) Grant/Contract Period: 7/1/2018 - 6/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 2,731,718
B) Direct Program Operating Expenses	\$ 71,463
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 528,587
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 3,331,768

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer  Date: 7/10/18

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 7.10.2018
 Sheriff's Chief Financial Officer

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

4/22/2018

Program Title:

Pretrial Incarceration Alternatives - (PIA) (ACM/OR)

Grant/Contract Period:

7/1/2018 - 6/30/2019

A) Program Salary and Fringe Benefits Budget Summary

#	Employee Name	Position/Title	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request
1	Farkas, Leah	ACM Case Supervisor	40.00	52	\$ 31.25	\$ 65,000.00	20.21%	\$ 13,136.50	\$ 78,137
2	Beliso, Anthony	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	30.35%	\$ 16,692.50	\$ 71,692
3	Davis, Kimberley	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.19	21.71%	\$ 11,940.54	\$ 66,941
4	Richardson, Aisha	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	22.49%	\$ 12,369.50	\$ 67,369
5	Nettles-Tutwiler, Wondelyn	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.19	13.34%	\$ 7,337.03	\$ 62,337
6	Padilla, Vanessa	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	25.02%	\$ 13,761.00	\$ 68,761
7	Holly, Earl	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.19	23.21%	\$ 12,765.54	\$ 67,766
8	New ACM Supervisor	ACM Supervisor	40.00	52	\$ 31.25	\$ 65,000.00	25.00%	\$ 16,250.00	\$ 81,250
9	New ACM 1	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
10	New ACM 2	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
11	New ACM 3	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
12	New ACM 4	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
13	New ACM 5	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
14	New ACM 6	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
15	New ACM 7	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
16	New ACM 8	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
17	New ACM 9	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
18	New ACM 10	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
19	Vargas, Carolina	ICR Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	21.08%	\$ 11,594.00	\$ 66,594
20	New ICR	ICR Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
21	White, Gerald	ACM Manager / Court Supervisor	29.00	52	\$ 36.06	\$ 54,378.48	27.71%	\$ 15,068.28	\$ 69,447
22	Washington, Kai	ACM Case Manager / Court Liaison	37.00	52	\$ 26.44	\$ 50,875.00	20.05%	\$ 10,200.44	\$ 61,075
23	Quinn, Agnes	Clinical Therapist / Case Manager	8.20	52	\$ 94.10	\$ 40,122.11	9.08%	\$ 3,643.09	\$ 43,765
24	Jones, Devin / Waters, Annie	Court Report Writer	40.00	52	\$ 24.17	\$ 50,273.60	25.00%	\$ 12,568.40	\$ 62,842
25	Valdiviez, Monica	Pretrial Services Supervisor	40.00	52	\$ 33.65	\$ 69,992.00	11.08%	\$ 7,755.11	\$ 77,747
26	Wong, Derek	Pretrial Services Supervisor	40.00	52	\$ 33.65	\$ 69,992.00	18.55%	\$ 12,983.52	\$ 82,976
27	Balasyan, Boris	Pretrial Services Officer	40.00	52	\$ 24.04	\$ 50,003.20	20.70%	\$ 10,350.66	\$ 60,354
28	Jackson, Raven	Pretrial Services Officer	40.00	52	\$ 30.05	\$ 62,504.00	18.63%	\$ 11,644.50	\$ 74,148
29	Lee, Dana	Pretrial Services Officer	32.00	52	\$ 24.04	\$ 40,002.56	21.03%	\$ 8,412.54	\$ 48,415

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request

Agency Name:
San Francisco Pretrial Diversion Project

Date:
4/22/2018

Program Title:
Pretrial Incarceration Alternatives - (PIA) (ACM/OR)

Grant/Contract Period:
7/1/2018 - 6/30/2019

A) Program Salary and Fringe Benefits Budget Summary

#	Employee Name	Position/Title	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request
30	Li, Ruowen	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	12.10%	\$ 6,050.39	\$ 56,054
31	Rhymes, Marshae	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	21.03%	\$ 10,515.67	\$ 60,519
32	Taula, Jayzyl	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	23.02%	\$ 11,510.74	\$ 61,514
33	TBD PSO 1	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	25.00%	\$ 12,500.80	\$ 62,504
34	New PSO 1	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	28.00%	\$ 14,000.90	\$ 64,004
35	New PSO 2	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	28.00%	\$ 14,000.90	\$ 64,004
36	New PSO 3	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	28.00%	\$ 14,000.90	\$ 64,004
37	TBD ED	Executive Director	18.00	52	\$72.12	\$ 67,500.00	20.00%	\$ 13,500.00	\$ 81,000
38	Alcantar, Alisha	Director of Programs	27.50	52	\$40.87	\$ 58,437.50	16.61%	\$ 9,706.47	\$ 68,144
39	DuBois, Jeff	Chief Operating Officer	18.00	52	\$45.67	\$ 42,750.00	20.29%	\$ 8,673.97	\$ 51,424
40	Pickett, Kerith	Accounting Supervisor	18.00	52	\$33.65	\$ 31,500.05	23.36%	\$ 7,358.41	\$ 38,858
41	Miller, Matthew	Training and Development Manager	11.94	52	\$32.45	\$ 20,144.68	18.03%	\$ 3,632.09	\$ 23,777
42	Alotaua, Asotaua	Triage	33.10	52	\$16.40	\$ 28,227.68	26.51%	\$ 7,483.16	\$ 35,711
43	Louie, Vincent	Training Specialist	18.00	52	\$27.88	\$ 26,099.89	23.89%	\$ 6,235.26	\$ 32,335
44									
45									
			FTE:	38.27	Subtotal Salary & Fringe Benefits:			\$	2,731,718

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

4/22/2018

Program Title:

Pretrial Incarceration Alternatives - (PIA) (ACM/OR)

Grant/Contract Period:

7/1/2018 - 6/30/2019

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Office Supplies			\$ -
Printing	\$ 38.55	12	\$ 463
Educational/Training Materials			\$ -
Client travel (public transportation)			\$ -
Postage			\$ -
Rent and Moving Expenses			\$ -
Office Equipment Lease			\$ -
Telephone/Cell			\$ -
Utilities			\$ -
Insurance (Compr Genl Liab/Auto/Prof)			\$ -
Program Staff Training			\$ 2,500
Program Staff Travel			
Conference Attendance & Related Travel			\$ 2,500
			\$ -
Other			\$ -
CAHS Client Flex Fund	\$ 5,500.00	12	\$ 66,000
Advance			\$ -
Subtotal Other Current Expenses:			\$ 71,463

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 3**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract			
Pretrial Incarceration Alternatives (PIA)	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 17-18	03/01/2018 - 06/30/2019	\$ 25,608
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-19	03/01/2018 - 06/30/2019	\$ 76,825
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2019	\$ 19,206
Amendment # 2			
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 17-18	03/02/2018 - 06/30/2018	\$ 28,403
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 18-19	07/01/2018 - 06/30/2019	\$ 82,053
Amendment # 3			
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2019	\$ 748,951
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ (2,519,970)
TOTALS			\$ 5,468,351



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

June 24, 2019

San Francisco Pretrial Diversion Project, Inc.
236 – 8th Street, Suite E
San Francisco, CA 94113

Attention: David Mauroff

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA)** Grant Agreement effective **July 01, 2019**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**CITY AND COUNTY OF SAN FRANCISCO
SAN FRANCISCO SHERIFF'S DEPARTMENT**

FOURTH AMENDMENT TO GRANT AGREEMENT

BETWEEN

CITY AND COUNTY OF
SAN FRANCISCO

AND

SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.

FOURTH AMENDMENT

This AMENDMENT of the, October 01, 2017 Grant Agreement (the "Agreement") is dated as of July 01, 2019 and is made in the City and County of San Francisco, State of California, by and between SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC. ("Grantee") and the CITY AND COUNTY OF SAN FRANCISCO, a municipal corporation ("City") acting by and through SAN FRANCISCO SHERIFF'S DEPARTMENT ("Department").

RECITALS

WHEREAS, City and Grantee have entered into the Grant Agreement for fiscal year FY 2017-2018; and

WHEREAS, Grantee has submitted to the Agency the Application Documents (as hereinafter defined) seeking a grant to provide a **Pretrial Incarceration Alternatives (PIA)** for the purpose of funding the matters set forth in the Grant Plan (as defined in the Agreement); and

WHEREAS, the Agreement was competitively procured as required by San Francisco Administrative Code Chapter 21.1 through **RFP 2017-03 Pretrial Services** issued on **April 06, 2017** and this modification is consistent therewith; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein **to extend the term of the contract by one year, increase the contract amount, add a Cost of Doing Business (CODB) for FY2019-2020 and revise the Scope of Work**; and to insert updated provisions currently required in the City's standard Grant Agreement form;

WHEREAS, City and Grantee desire to execute this amendment to update the prior Agreement;

NOW, THEREFORE, City and Grantee agree to amend said Grant Agreement as follows:

1. Definitions. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Grant Agreement.

2. **Modifications to the Agreement.** The Grant Agreement is hereby modified as follows:

**Article 1
DEFINITIONS**

(a) **Agreement.** The term “Agreement” shall mean the Agreement dated **October 01, 2015** between Grantee and City, as amended by the:

First amendment	dated March 01, 2018 , and
Second amendment	dated March 02, 2018 , and
Third amendment	dated June 01, 2018 .

**Article 2
APPROPRIATION AND CERTIFICATION OF GRANT FUNDS;
LIMITATIONS ON CITY’S OBLIGATIONS**

(b) **Section 2.** Section 2.2 of the Agreement is amended in its entirety to read as follows:

2.2 Certification of Controller; Maximum Costs. Charges will accrue only after prior written authorization certified by the Controller, and the amount of City’s obligation shall not at any time exceed the amount certified for the purpose and period stated in such advance authorization. Except as may be provided by City ordinances governing emergency conditions, City and its employees and officers are not authorized to request Grantee to perform services or to provide materials, equipment and supplies that would result in Grantee performing services or providing materials, equipment and supplies that are beyond the scope of the services, materials, equipment and supplies specified in this Agreement unless this Agreement is amended in writing and approved as required by law to authorize the additional services, materials, equipment or supplies. City is not required to pay Grantee for services, materials, equipment or supplies provided by Grantee that are beyond the scope of the services, materials, equipment and supplies agreed upon herein and not approved by a written amendment to this Agreement lawfully executed by City. City and its employees and officers are not authorized to offer or promise to Grantee additional funding for this Agreement that exceeds the maximum amount of funding provided for herein. Additional funding for this Agreement in excess of the maximum provided herein shall require lawful approval and certification by the Controller. City is not required to honor any offered or promised additional funding which exceeds the maximum provided in this Agreement which requires lawful approval and certification of the Controller when the lawful approval and certification by the Controller has not been obtained. The Controller is not authorized to make payments on any agreement for which funds have not been certified as available in the budget or by supplemental appropriation.

**Article 3
TERM**

(c) **Section 3.2** Section 3.2 **Duration of Term** of the Agreement currently reads as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) OCTOBER 01, 2017 and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on JUNE 30, 2019.

Such section is hereby amended in its entirety to read as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) OCTOBER 01, 2017 and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on JUNE 30, 2020.

Article 4 IMPLEMENTATION OF GRANT PLAN

(d) **Section 4.** Section 4 of the Agreement is amended in its entirety to read as follows:

4.1 Implementation of Grant Plan; Cooperation with Monitoring. Grantee shall diligently and in good faith implement the Grant Plan on the terms and conditions set forth in this Agreement and, to the extent that they do not differ from this Agreement, the Application Documents. Grantee shall not materially change the nature or scope of the Grant Plan during the term of this Agreement without the prior written consent of City. Grantee shall promptly comply with all standards, specifications and formats of City, as they may from time to time exist, related to evaluation, planning and monitoring of the Grant Plan and shall cooperate in good faith with City in any evaluation, planning or monitoring activities conducted or authorized by City.

4.2 Grantee's Personnel. The Grant Plan shall be implemented only by competent personnel under the direction and supervision of Grantee.

4.3 Ownership of Results. Any interest of Grantee or any subgrantee, in drawings, plans, specifications, studies, reports, memoranda, computation sheets, the contents of computer diskettes, or other documents or Publications prepared by Grantee or any subgrantee in connection with this Agreement or the implementation of the Grant Plan or the services to be performed under this Agreement, shall become the property of and be promptly transmitted to City. Notwithstanding the foregoing, Grantee may retain and use copies for reference and as documentation of its experience and capabilities.

4.4 Works for Hire. If, in connection with this Agreement or the implementation of the Grant Plan, Grantee or any subgrantee creates artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any other original works of authorship or Publications, such creations shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in such creations shall be the property of City. If it is ever determined that any such creations are not works for hire under applicable law, Grantee hereby assigns all copyrights thereto to City, and agrees to provide any material, execute such documents and take such other actions as may be necessary or desirable to effect such assignment. With the prior written approval of City, Grantee may retain and use copies of such creations for reference and as documentation of its experience and capabilities. Grantee shall obtain all releases, assignments or other agreements from subgrantees or other persons or entities implementing the Grant Plan to ensure that City obtains the rights set forth in this Grant.

4.5 Publications and Work Product.

a. Grantee understands and agrees that City has the right to review, approve, disapprove or conditionally approve, in its sole discretion, the work and property funded in whole or part with the Grant Funds, whether those elements are written, oral or in any other medium. Grantee has the burden of demonstrating to City that each element of work or property funded in whole or part with the Grant Funds is directly and integrally related to the Grant Plan as approved by City. City shall have the sole and final discretion to determine whether Grantee has met this burden.

b. Without limiting the obligations of Grantee set forth in subsection (a) above, Grantee shall submit to City for City's prior written approval any Publication, and Grantee shall not disseminate any such Publication unless and until it receives City's consent. In addition, Grantee shall submit to City for approval, if City so requests, any other program material or form that Grantee uses or proposes to use in furtherance of the Grant Plan, and Grantee shall promptly provide to City one copy of all such materials or forms within two (2) days following City's request. The City's approval of any material hereunder shall not be deemed an endorsement of, or agreement with, the contents of such material, and the City shall have no liability or responsibility for any such contents. The City reserves the right to disapprove any material covered by this section at any time, notwithstanding a prior approval by the City of such material. Grantee shall not charge for the use or distribution of any Publication funded all or in part with the Grant Funds, without first obtaining City's written consent, which City may give or withhold in its sole discretion.

c. Grantee shall distribute any Publication solely within San Francisco, unless City otherwise gives its prior written consent, which City may give or withhold in its sole discretion. In addition, Grantee shall furnish any services funded in whole or part with the Grant Funds under this Agreement solely within San Francisco, unless City otherwise gives its prior written consent, which City may give or withhold in its sole discretion.

d. City may disapprove any element of work or property funded in whole or part by the Grant Funds that City determines, in its sole discretion, has any of the following characteristics: is divisive or discriminatory; undermines the purpose of the Grant Plan; discourages otherwise qualified potential employees or volunteers or any clients from participating in activities covered under the Grant Plan; undermines the effective delivery of services to clients of Grantee; hinders the achievement of any other purpose of City in making the Grant under this Agreement; or violates any other provision of this Agreement or applicable law. If City disapproves any element of the Grant Plan as implemented, or requires any change to it, Grantee shall immediately eliminate the disapproved portions and make the required changes. If City disapproves any materials, activities or services provided by third parties, Grantee shall immediately cease using the materials and terminate the activities or services and shall, at City's request, require that Grantee obtain the return of materials from recipients or deliver such materials to City or destroy them.

e. City has the right to monitor from time to time the administration by Grantee or any of its subcontractors of any programs or other work, including, without limitation, educational programs or trainings, funded in whole or part by the Grant Funds, to ensure that Grantee is performing such element of the Grant Plan, or causing such element of the Grant Plan to be performed, consistent with the terms and conditions of this Agreement.

f. Grantee shall acknowledge City's funding under this Agreement in all Publications. Such acknowledgment shall conspicuously state that the activities are sponsored in whole or in part through a grant from the Department. Except as set forth in this subsection, Grantee shall not use the name of the Department or City (as a reference to the municipal corporation as opposed to location) in any Publication without prior written approval of City.

Article 5 Use and Disbursement of Grant Funds

(e) **Section 5.1.** Section 5.1 **Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **FIVE MILLION FOUR HUNDRED SIXTY-EIGHT THOUSAND THREE HUNDRED FIFTY-TWO DOLLARS (\$5,468,352).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$3,408,592).**

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **NINE MILLION NINE HUNDRED FIFTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$9,958,915).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$3,408,591).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **FOUR MILLION FOUR HUNDRED NINETY THOUSAND FIVE HUNDRED SIXTY-FOUR DOLLARS (\$4,490,564).**

Article 6 REPORTING REQUIREMENTS; AUDITS; PENALTIES FOR FALSE CLAIMS

(f) **Section 6.** Section 6.7 is amended in its entirety to read as follows:

6.7 Submitting False Claims Grantee shall at all times deal in good faith with the City, shall only submit a Funding Request to the City upon a good faith and honest determination that the funds sought are for Eligible Expenses under the Grant, and shall only use Grant Funds for payment of Eligible Expenses as set forth in Appendix A. Any Grantee who commits any of the following false acts shall be liable to the City for three times the amount of damages the City sustains because of the Grantee's act. A Grantee will be deemed to have submitted a false claim to the City if the Grantee: (a) knowingly presents or causes to be presented to an officer or employee of the City a false Funding Request; (b) knowingly disburses Grants Funds for expenses that are not Eligible Expenses; (c) knowingly makes, uses, or causes to be made or used a false record or statement to get a false Funding Request paid or approved by the City; (d) conspires to defraud the City by getting a false Funding Request allowed or paid by the City; or (e) is a beneficiary of an inadvertent submission of a false claim to the City, subsequently discovers the falsity of the claim, and fails to disclose the false claim to the City within a reasonable time after discovery of the false claim.

**Article 7
TAXES**

(g) **Section 7.** The following is hereby added and incorporated into Section 7 of the Agreement:

7.4 Withholding. Grantee agrees that it is obligated to pay all amounts due to the City under the San Francisco Business and Tax Regulations Code during the term of this Agreement. Pursuant to Section 6.10-2 of the San Francisco Business and Tax Regulations Code, Grantee further acknowledges and agrees that City may withhold any payments due to Grantee under this Agreement if Grantee is delinquent in the payment of any amount required to be paid to the City under the San Francisco Business and Tax Regulations Code. Any payments withheld under this paragraph shall be made to Grantee, without interest, upon Grantee coming back into compliance with its obligations.

**Article 8
REPRESENTATIONS AND WARRANTIES**

(h) **Section 8.** Section 8.4 is amended in its entirety to read as follows:

8.4 Conflict of Interest.

a. Through its execution of this Agreement, Grantee acknowledges that it is familiar with the provision of Section 15.103 of the City's Charter, Article III, Chapter 2 of the City's Campaign and Governmental Conduct Code, and Section 87100 et seq. and Section 1090 et seq. of the Government Code of the State of California, and certifies that it does not know of any facts which constitutes a violation of said provisions and agrees that it will immediately notify the City if it becomes aware of any such fact during the term of this Agreement.

b. Not more than one member of an immediate family serves or will serve as an officer, director or employee of Grantee, without the prior written consent of City. For purposes of this subsection, "immediate family" shall include husband, wife, domestic partners, brothers, sisters, children and parents (both legal parents and step-parents).

**Article 10
INSURANCE**

(i) **Section 10.** The following is hereby added and incorporated into Section 10 of the Agreement:

10.9 Worker's Compensation. The Workers' Compensation policy(ies) shall be endorsed with a waiver of subrogation in favor of the City for all work performed by the Contractor, its employees, agents and subcontractors.

**Article 11
EVENTS OF DEFAULT AND REMEDIES**

(j) **Section 11.** Section 11 is amended in its entirety to read as follows:

11.1 Events of Default. The occurrence of any one or more of the following events shall constitute an “Event of Default” under this Agreement:

- a. **False Statement.** Any statement, representation or warranty contained in this Agreement, in the Application Documents, in any Funding Request or in any other document submitted to City under this Agreement is found by City to be false or misleading.
- b. **Failure to Provide Insurance.** Grantee fails to provide or maintain in effect any policy of insurance required in Article 10.
- c. **Failure to Comply with Representations and Warranties or Applicable Laws.** Grantee fails to perform or breaches any of the terms or provisions of Article 8 or 16.
- d. **Failure to Perform Other Covenants.** Grantee fails to perform or breaches any other agreement or covenant of this Agreement to be performed or observed by Grantee as and when performance or observance is due and such failure or breach continues for a period of ten (10) days after the date on which such performance or observance is due.
- e. **Cross Default.** Grantee defaults under any other agreement between Grantee and City (after expiration of any grace period expressly stated in such agreement).
- f. **Voluntary Insolvency.** Grantee (i) is generally not paying its debts as they become due, (ii) files, or consents by answer or otherwise to the filing against it of, a petition for relief or reorganization or arrangement or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law of any jurisdiction, (iii) makes an assignment for the benefit of its creditors, (iv) consents to the appointment of a custodian, receiver, trustee or other officer with similar powers of Grantee or of any substantial part of Grantee's property or (v) takes action for the purpose of any of the foregoing.
- g. **Involuntary Insolvency.** Without consent by Grantee, a court or government authority enters an order, and such order is not vacated within ten (10) days, (i) appointing a custodian, receiver, trustee or other officer with similar powers with respect to Grantee or with respect to any substantial part of Grantee's property, (ii) constituting an order for relief or approving a petition for relief or reorganization or arrangement or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law of any jurisdiction or (iii) ordering the dissolution, winding-up or liquidation of Grantee.

11.2 Remedies upon Event of Default. Upon and during the continuance of an Event of Default, City may do any of the following, individually or in combination with any other remedy:

- a. **Termination.** City may terminate this Agreement by giving a written termination notice to Grantee of the Event of Default and that, on the date specified in the notice, this Agreement shall terminate and all rights of Grantee hereunder shall be extinguished. In the sole discretion of the City, Grantee may be allowed ten (10) days to cure the default. In the event of termination for default, Grantee will be paid for Eligible Expenses in any Funding Request that was submitted and approved by City prior to the date of termination specified in such notice.
- b. **Withholding of Grant Funds.** City may withhold all or any portion of Grant Funds not yet disbursed hereunder, regardless of whether Grantee has previously submitted a Funding Request or whether City has approved the disbursement of the Grant Funds requested in any Funding Request. Any Grant Funds withheld pursuant to this Section and subsequently disbursed to Grantee after cure of applicable Events of Default, if granted by the City in its sole discretion, shall be disbursed without interest.

c. **Offset.** City may offset against all or any portion of undisbursed Grant Funds hereunder or against any payments due to Grantee under any other agreement between Grantee and City the amount of any outstanding Loss incurred by any Indemnified Party, including any Loss incurred as a result of the Event of Default.

d. **Return of Grant Funds.** City may demand the immediate return of any previously disbursed Grant Funds that have been claimed or expended by Grantee in breach of the terms of this Agreement, together with interest thereon from the date of disbursement at the maximum rate permitted under applicable law.

11.3 Termination for Convenience. City shall have the option, in its sole discretion, to terminate this Agreement at any time for convenience and without cause. City shall exercise this option by giving Grantee written notice that specifies the effective date of termination. Upon receipt of the notice of termination, Grantee shall undertake with diligence all necessary actions to effect the termination of this Agreement on the date specified by City and minimize the liability of Grantee and City to third parties. Such actions shall include, without limitation:

a. Halting the performance of all work under this Agreement on the date(s) and in the manner specified by City;

b. Terminating all existing orders and subcontracts, and not placing any further orders or subcontracts for materials, services, equipment or other items; and

c. Completing performance of any work that City designates to be completed prior to the date of termination specified by City.

In no event shall City be liable for costs incurred by Grantee or any of its subcontractors after the termination date specified by City, except for those costs incurred at the request of City pursuant to this section.

11.4 Remedies Nonexclusive. Each of the remedies provided for in this Agreement may be exercised individually or in combination with any other remedy available hereunder or under applicable laws, rules and regulations. The remedies contained herein are in addition to all other remedies available to City at law or in equity by statute or otherwise and the exercise of any such remedy shall not preclude or in any way be deemed to waive any other remedy.

Article 16 COMPLIANCE

(k) **Section 16.** Section 16 is amended in its entirety to read as follows:

16.1 RESERVED.

16.2 Nondiscrimination; Penalties.

a. **Grantee Shall Not Discriminate.** In the performance of this Agreement, Grantee agrees not to discriminate against any employee, City and County employee working with such grantee or subgrantee, applicant for employment with such grantee or subgrantee, or against any person seeking accommodations, advantages, facilities, privileges, services, or membership in all business, social, or other establishments or organizations, on the basis of the fact or perception of a person's race, color, creed, religion, national origin, ancestry, age, height, weight, sex, sexual orientation, gender identity,

domestic partner status, marital status, disability or Acquired Immune Deficiency Syndrome or HIV status (AIDS/HIV status), or association with members of such protected classes, or in retaliation for opposition to discrimination against such classes.

b. **Subcontracts.** Grantee shall incorporate by reference in all subcontracts the provisions of Sections 12B.2(a), 12B.2(c)-(k), and 12C.3 of the San Francisco Administrative Code and shall require all subgrantees to comply with such provisions. Grantee's failure to comply with the obligations in this subsection shall constitute a material breach of this Agreement.

c. **Non-Discrimination in Benefits.** Grantee does not as of the date of this Agreement and will not during the term of this Agreement, in any of its operations in San Francisco or where the work is being performed for the City or elsewhere within the United States, discriminate in the provision of bereavement leave, family medical leave, health benefits, membership or membership discounts, moving expenses, pension and retirement benefits or travel benefits, as well as any benefits other than the benefits specified above, between employees with domestic partners and employees with spouses, and/or between the domestic partners and spouses of such employees, where the domestic partnership has been registered with a governmental entity pursuant to state or local law authorizing such registration, subject to the conditions set forth in Section 12B.2(b) of the San Francisco Administrative Code.

d. **Condition to Contract.** As a condition to this Agreement, Grantee shall execute the "Chapter 12B Declaration: Nondiscrimination in Contracts and Benefits" form (Form CMD-12B-101) with supporting documentation and secure the approval of the form by the San Francisco Contract Monitoring Division.

e. **Incorporation of Administrative Code Provisions by Reference.** The provisions of Chapters 12B and 12C of the San Francisco Administrative Code are incorporated in this Section by reference and made a part of this Agreement as though fully set forth herein. Grantee shall comply fully with and be bound by all of the provisions that apply to this Agreement under such Chapters of the Administrative Code, including the remedies provided in such Chapters. Without limiting the foregoing, Grantee understands that pursuant to Sections 12B.2(h) and 12C.3(g) of the San Francisco Administrative Code, a penalty of fifty dollars (\$50) for each person for each calendar day during which such person was discriminated against in violation of the provisions of this Agreement may be assessed against Grantee and/or deducted from any payments due Grantee.

16.3 RESERVED.

16.4 Tropical Hardwood and Virgin Redwood Ban. Pursuant to § 804(b) of the San Francisco Environment Code, City urges all grantees not to import, purchase, obtain, or use for any purpose, any tropical hardwood, tropical hardwood wood product, virgin redwood or virgin redwood wood product.

16.5 Drug-Free Workplace Policy. Grantee acknowledges that pursuant to the Federal Drug-Free Workplace Act of 1989, the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited on City premises. Grantee and its employees, agents or assigns shall comply with all terms and provisions of such Act and the rules and regulations promulgated thereunder.

16.6 Resource Conservation; Liquidated Damages. Chapter 5 of the San Francisco Environment Code (Resource Conservation) is incorporated herein by reference. Failure by Grantee to comply with any of the applicable requirements of Chapter 5 will be deemed a material breach of contract. If Grantee fails to comply in good faith with any of the provisions of

Chapter 5, Grantee shall be liable for liquidated damages in an amount equal to Grantee's net profit under this Agreement, or five percent (5%) of the total contract amount, whichever is greater. Grantee acknowledges and agrees that the liquidated damages assessed shall be payable to City upon demand and may be offset against any monies due to Grantee from any contract with City.

16.7 Compliance with ADA. Grantee acknowledges that, pursuant to the ADA, programs, services and other activities provided by a public entity to the public, whether directly or through a grantee or contractor, must be accessible to the disabled public. Grantee shall not discriminate against any person protected under the ADA in connection with all or any portion of the Grant Plan and shall comply at all times with the provisions of the ADA.

16.8. Requiring Minimum Compensation for Employees. Grantee shall pay covered employees no less than the minimum compensation required by San Francisco Administrative Code Chapter 12P, including a minimum hourly gross compensation, compensated time off, and uncompensated time off. Grantee is subject to the enforcement and penalty provisions in Chapter 12P. Information about and the text of the Chapter 12P is available on the web at <http://sfgov.org/olse/mco>. Grantee is required to comply with all of the applicable provisions of 12P, irrespective of the listing of obligations in this Section. By signing and executing this Agreement, Grantee certifies that it complies with Chapter 12P.

16.9 Limitations on Contributions. By executing this Agreement, Grantee acknowledges its obligations under section 1.126 of the City's Campaign and Governmental Conduct Code, which prohibits any person who contracts with, or is seeking a contract with, any department of the City for the rendition of personal services, for the furnishing of any material, supplies or equipment, for the sale or lease of any land or building, for a grant, loan or loan guarantee, or for a development agreement, from making any campaign contribution to (j) a City elected official if the contract must be approved by that official, a board on which that official serves, or the board of a state agency on which an appointee of that official serves, (ii) a candidate for that City elective office, or (iii) a committee controlled by such elected official or a candidate for that office, at any time from the submission of a proposal for the contract until the later of either the termination of negotiations for such contract or twelve months after the date the City approves the contract. The prohibition on contributions applies to each prospective party to the contract; each member of Grantee's board of directors; Grantee's chairperson, chief executive officer, chief financial officer and chief operating officer; any person with an ownership interest of more than 10 % in Grantee; any subcontractor listed in the bid or contract; and any committee that is sponsored or controlled by Grantee. Grantee certifies that it has informed each such person of the limitation on contributions imposed by Section 1.126 by the time it submitted a proposal for the grant, and has provided the names of the persons required to be informed to the City department with whom it is contracting.

16.10 First Source Hiring Program. Contractor must comply with all of the provisions of the First Source Hiring Program, Chapter 83 of the San Francisco Administrative Code, that apply to this Agreement, and Contractor is subject to the enforcement and penalty provisions in Chapter 83.

16.11 Prohibition on Political Activity with City Funds. In accordance with San Francisco Administrative Code Chapter 12.G, no funds appropriated by the City and County of San Francisco for this Agreement may be expended for organizing, creating, funding, participating in, supporting, or attempting to influence any political campaign for a candidate or for a ballot measure (collectively, "Political Activity"). The terms of San Francisco Administrative Code Chapter 12.G are incorporated herein by this reference. Accordingly, an employee working in any position funded under this Agreement shall not engage in any Political

Activity during the work hours funded hereunder, nor shall any equipment or resource funded by this Agreement be used for any Political Activity. In the event Grantee, or any staff member in association with Grantee, engages in any Political Activity, then (i) Grantee shall keep and maintain appropriate records to evidence compliance with this section, and (ii) Grantee shall have the burden to prove that no funding from this Agreement has been used for such Political Activity. Grantee agrees to cooperate with any audit by the City or its designee in order to ensure compliance with this section. In the event Grantee violates the provisions of this section, the City may, in addition to any other rights or remedies available hereunder, (i) terminate this Agreement and any other agreements between Grantee and City, (ii) prohibit Grantee from bidding on or receiving any new City contract for a period of two (2) years, and (iii) obtain reimbursement of all funds previously disbursed to Grantee under this Agreement.

16.12 Preservative-treated Wood Containing Arsenic. Grantee may not purchase preservative-treated wood products containing arsenic in the performance of this Agreement unless an exemption from the requirements of Chapter 13 of the San Francisco Environment Code is obtained from the Department of the Environment under Section 1304 of the Code. The term “preservative-treated wood containing arsenic” shall mean wood treated with a preservative that contains arsenic, elemental arsenic, or an arsenic copper combination, including, but not limited to, chromated copper arsenate preservative, ammoniacal copper zinc arsenate preservative, or ammoniacal copper arsenate preservative. Grantee may purchase preservative-treated wood products on the list of environmentally preferable alternatives prepared and adopted by the Department of the Environment. This provision does not preclude Grantee from purchasing preservative-treated wood containing arsenic for saltwater immersion. The term “saltwater immersion” shall mean a pressure-treated wood that is used for construction purposes or facilities that are partially or totally immersed in saltwater.

16.13 Working with Minors. RESERVED.

16.14 Protection of Private Information. Grantee has read and agrees to the terms set forth in San Francisco Administrative Code Sections 12M.2, “Nondisclosure of Private Information,” and 12M.3, “Enforcement” of Administrative Code Chapter 12M, “Protection of Private Information,” which are incorporated herein as if fully set forth. Grantee agrees that any failure of Grantee to comply with the requirements of Section 12M.2 of this Chapter shall be a material breach of the Agreement. In such an event, in addition to any other remedies available to it under equity or law, the City may terminate the Agreement, bring a false claim action against the Grantee pursuant to Chapter 6 or Chapter 21 of the Administrative Code, or debar the Grantee.

16.15 Public Access to Meetings and Records. If Grantee receives a cumulative total per year of at least \$250,000 in City funds or City-administered funds and is a non-profit organization as defined in Chapter 12L of the San Francisco Administrative Code, Grantee shall comply with and be bound by all the applicable provisions of that Chapter. By executing this Agreement, Grantee agrees to open its meetings and records to the public in the manner set forth in Sections 12L.4 and 12L.5 of the Administrative Code. Grantee further agrees to make good-faith efforts to promote community membership on its Board of Directors in the manner set forth in Section 12L.6 of the Administrative Code. Grantee acknowledges that its material failure to comply with any of the provisions of this paragraph shall constitute a material breach of this Agreement. Grantee further acknowledges that such material breach of the Agreement shall be grounds for the City to terminate and/or not renew the Agreement, partially or in its entirety.

16.16 Consideration of Criminal History in Hiring and Employment Decisions.

(a) Contractor agrees to comply fully with and be bound by all of the provisions of Chapter 12T, “City Contractor/Subcontractor Consideration of Criminal History in Hiring and Employment Decisions,” of the San Francisco Administrative Code (“Chapter 12T”), including the remedies provided, and implementing regulations, as may be amended from time to time.

The provisions of Chapter 12T are incorporated by reference and made a part of this Agreement as though fully set forth herein. The text of the Chapter 12T is available on the web at <http://sfgov.org/olse/fco>. Contractor is required to comply with all of the applicable provisions of 12T, irrespective of the listing of obligations in this Section. Capitalized terms used in this Section and not defined in this Agreement shall have the meanings assigned to such terms in Chapter 12T.

(b) The requirements of Chapter 12T shall only apply to a Contractor's or Subcontractor's operations to the extent those operations are in furtherance of the performance of this Agreement, shall apply only to applicants and employees who would be or are performing work in furtherance of this Agreement, and shall apply when the physical location of the employment or prospective employment of an individual is wholly or substantially within the City of San Francisco. Chapter 12T shall not apply when the application in a particular context would conflict with federal or state law or with a requirement of a government agency implementing federal or state law.

16.17 Food Service Waste Reduction Requirements. Grantee agrees to comply fully with and be bound by all of the provisions of the Food Service Waste Reduction Ordinance, as set forth in San Francisco Environment Code Chapter 16, including the remedies provided, and implementing guidelines and rules. The provisions of Chapter 16 are incorporated herein by reference and made a part of this Agreement as though fully set forth. This provision is a material term of this Agreement. By entering into this Agreement, Grantee agrees that if it breaches this provision, City will suffer actual damages that will be impractical or extremely difficult to determine; further, Grantee agrees that the sum of one hundred dollars (\$100) liquidated damages for the first breach, two hundred dollars (\$200) liquidated damages for the second breach in the same year, and five hundred dollars (\$500) liquidated damages for subsequent breaches in the same year is reasonable estimate of the damage that City will incur based on the violation, established in light of the circumstances existing at the time this Agreement was made. Such amount shall not be considered a penalty, but rather agreed monetary damages sustained by City because of Grantee's failure to comply with this provision.

16.18 Slavery Era Disclosure. RESERVED.

16.19 Distribution of Beverages and Water.

(a) **Sugar-Sweetened Beverage Prohibition.** Grantee agrees that it shall not sell, provide, or otherwise distribute Sugar-Sweetened Beverages, as defined by San Francisco Administrative Code Chapter 101, as part of its performance of this Agreement.

(b) **Packaged Water Prohibition.** Grantee agrees that it shall not sell, provide, or otherwise distribute Packaged Water, as defined by San Francisco Environment Code Chapter 24, as part of its performance of this Agreement.

16.20 RESERVED.

16.21 Compliance with Other Laws. Without limiting the scope of any of the preceding sections of this Article 16, Grantee shall keep itself fully informed of City's Charter, codes, ordinances and regulations and all state, and federal laws, rules and regulations affecting the performance of this Agreement and shall at all times comply with such Charter codes, ordinances, and regulations rules and laws.

**Appendix B
DEFINITION OF GRANT PLAN**

(l) **Appendix B.** Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 19-20 – Pretrial Incarceration Alternatives (PIA)	B-1B	B-1D
FY 19-20 – BJA Grant Pretrial Mental Health Enhancement Project	B-2	B-2A
Summary of Program Budget Changes	C-3	C-4

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **July 01, 2019.**

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Grant Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Amendment to the Grant Agreement to be duly executed as of the date first specified herein.

CITY:

GRANTEE:

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department



David Mauroff
Chief Executive Officer
236 – 8th Street, Suite E
San Francisco, CA 94103

City Vendor Number: **0000011529**

Approved as to Form:

Dennis J. Herrera
City Attorney

By:



Jana J. Clark
Deputy City Attorney

Attachment B

San Francisco Diversion Project, Inc. – Scope of Work (REVISED)

Program Name: Pretrial Incarceration Alternatives (PIA)

The intended outcome of Pretrial Release Services is to maximize appearances in court and minimize risk to public safety pending trial.

Probable Cause Review and Pretrial Risk Assessment

SFPDP will facilitate the Court's review of law enforcement's bookings into County Jail for Probable Cause through a process that has been developed over the years in collaboration with the Superior Court, Sheriff, and Police Department. 1) Daily, SFPDP Pretrial Release Specialists compile a list of arrested people who remain in custody within 48 hours of arrest. 2) Pretrial Release Specialists collect relevant Police Incident Reports from the arresting agency, and if available, Probable Cause Statements, and subsequently prepare workups for Superior Court's review by on-duty judge within 48 hours of person's arrest. 3) Pretrial Release Specialists and the Superior Court Clerk's Office jointly execute and file finalized paperwork using the Own Recognizance online system created for this purpose. In the event of no Probable Cause, a certified copy will be presented to the Sheriff's Department and Room 101 at the Hall of Justice. Additionally, a notification will be made to the District Attorney's Office. In the event that a judicial officer has reviewed the workup and has not declared Probable Cause within 48 hours of arrest, Pretrial Release Specialists will notify the Sheriff's Department Records Unit. SFPDP has the capacity to complete approximately 23 Probable Cause workups per day. If arrests that require Probable Cause review trends upward, SFPDP will give SFSD and the Court 30 days' notice that Pretrial Release Specialists will be diverted from PSA workups to help complete Probable Cause review as legally mandated.

SFPDP's Pretrial Release Specialists identify all people booked into jail who are eligible for consideration for release on Own Recognizance (OR) under state law, 7 days a week. For those identified as eligible for pre-arraignment OR consideration, per state law, the Pretrial Release Specialists expedite presentation to ensure that the Court reviews those OR Reports via OR Online within 24 hours of the person's identification confirmation. For all those eligible for either pre-Arraignment OR or Arraignment OR consideration, the Pretrial Release Specialists analyze city, state, and federal Record of Arrest and Prosecution (RAP) sheets and the Court Management System. The San Francisco Sheriff's Department and Superior Court have adopted the Laura and John Arnold Foundation's Public Safety Assessment Tool (PSA) to be provided to the Court for OR consideration. Pretrial Release Specialists will complete a PSA by entering 9 factors into an algorithm that produces three risk scores: likelihood to commit a new crime (NCA), likelihood to commit a new violent crime (NVCA), and likelihood of failure to appear in Court (FTA).

While the PSA *measures* pretrial risk, the San Francisco Decision Making Framework (DMF) is the local policy guidance for *managing* pretrial risk and providing consistent recommendations. The DMF contains four steps: *Step 1*) Pretrial Release Specialists will complete a PSA on all bookings for a new offense eligible for Pre-arraignment OR within 24 hours of the Sheriff's Department completing fingerprinting. For those not eligible for Pre-arraignment OR and have charges filed at Rebooking, a PSA will be completed prior to Arraignment Court. Pretrial Release Specialists will utilize the Sheriff's Department fingerprinting logs to ensure that Step 1 is completed as required. *Step 2*) The Pretrial Release Specialists consider the predetermined circumstances and booked offenses contained in Step 2 of the DMF that require an automatic recommendation of "release not recommended." *Step 3*) The NCA and FTA scores are plotted on a matrix that indicates which recommendation will be made: OR-No Active Supervision, OR-Minimum Supervision, SFPDP-Assertive Case Management, or Release Not Recommended. *Step 4*) Pretrial Services staff will consider the predetermined circumstances and booked offenses that would increase the level of supervision ("bump up"). Steps 2 through 4 are programmed into SFPDP's data management system to maximize fidelity.

After completing the PSAs and generating PSA Court Reports in SFPDP's unique data management system, PSA Court Reports are printed for judges in pre-arraignment cases and for judges, public defenders, and district attorneys in arraignment cases. Additionally, Pretrial Services staff will develop an OR Report containing individual and criminal history summary information for each person eligible for pre-arraignment OR and arraignment OR.

SFPDP staff who utilize the criminal history databases will be required to complete an extensive background review by the Sheriff's Department. Staff must biennially pass the California Law Enforcement Telecommunications System Less Than Full Access User examination. Staff must also attain access to the San Francisco Police Department Criminal Justice Database, the Court Management System and the Jail Management System. Furthermore, staff are required by state and federal law to report secondary dissemination of criminal history information by submitting a daily CORI log (Criminal Offender Record Information) to the Sheriff's Department.

Current capacity allows 22 PSAs per day, if those eligible for pre-arraignment OR exceeds 225 per day, SFPDP will notify Court and Sheriff of delay in uploading to the duty officer. This will also impact the ability to complete arraignment PSAs in time. If staffing impacts arraignment PSAs, SFPDP will notify Court and Sheriff of delay. SFPDP will complete PSA Court Reports and OR Reports in booked chronological order by court number, prioritizing pre-arraignment eligible ORs.

Alternatives to Pretrial Incarceration: NAS, MS, and ACM (Pretrial Services)

SFPDP will provide three levels of pretrial monitoring and supervision to which the Court may order for clients as a condition of their release on OR:

1. *No Active Supervision (OR-NAS)*: Provide court date reminders only.
2. *Minimum Supervision (OR-MS)*: Provide court date reminders and monitor twice per week phone reporting.
3. *Assertive Case Management (SFPDP-ACM)*: Release to ACM case managers for supervision, provide court date reminders, monitor up to

4 times per week reporting (2 to 4 in person), and an out of custody assessment of needs.

All clients released under SFPDP's continuum of supervision will receive court reminders prior to each court date. SFPDP will utilize an automated SMS text-messaging service for clients who provide their cellular phone number, or a phone call reminder for those who do not. SFPDP will also provide additional reminders to clients including emails and letters. Minimum Supervision clients will be required to contact SFPDP office 2 times per week by phone. At each contact, clients are asked about their court information, staff confirm contact information, and a general check-in is performed. For the clients released on both No Active Supervision and Minimum Supervision, no other conditions will be introduced by SFPDP unless imposed by the Court.

Clients granted OR release under the Assertive Case Management supervision level will be released from custody directly to an ACM case manager at County Jail #1. ACM case managers will complete a needs assessment and orientation for these clients that includes: collecting current contact information; completing a Triage Assessment; collecting a treatment history summary; introducing clients to the program and services; and providing an understanding of client and case manager expectations.

The ACM case managers will utilize the Triage Score to prioritize the deployment of case management and related resources and assign the client to a specific caseload of Low-ACM, Medium ACM, Intensive-ACM,

SFPDP shall attempt to maintain the following staff to client ratios based on client needs:

- 6 FTE ACM case managers to manage Intensive needs-ACM clients at a ratio of 15:1
- 6 FTE ACM case managers to manage Medium, and Low ACM clients at a ratio of 45:1.
- 1FTE ACM court compliance specialist to monitor compliance with court conditions, provide administrative assistance, and light case management.

Based on this designation and the client's assessed needs and capacity, the case managers will collaborate with the client to create a Treatment Plan, designed with the least restrictive conditions necessary, while at the same time ensuring community safety and court appearances as well as encompassing the minimum level of supervision ordered by the Court. If ACM releases trend upward, SFPDP will give SFSD 30 days' notice and submit requests to the Court to divert compliant lower need ACM clients to a lower level of supervision to shift the case management resources for higher need ACM clients.

ACM Case managers assigned to the Intensive Need clients will escort clients to court and community appointments, provide short-term stabilization housing, and transportation assistance. Clients may also be scheduled for individual counseling or medication assessments with clinical staff. These case managers will also provide outreach services to individuals who miss court dates or other mandated requirements.

In-Custody Referral and Release Program

Defendants not granted release under the continuum of supervision levels at pre-arraignment or arraignment may be referred to ACM for an In-Custody Referral (ICR). SFPDP will

maintain 2 FTEs to manage these ICRs and will perform an in-custody needs assessment that will : collect current contact and outreach information; complete a Triage and safety assessment; collect a treatment history summary; determine personal service needs; and provide an understanding of client and case manager expectations if recommended to our ACM program.

The ICR Case Manager will then perform a safety assessment and create a re-entry plan for clients determined amenable to case management. A release recommendation will be made to the Court within 7 business days that includes this re-entry plan and recommended supervision requirements. If the release recommendation is granted by the Court, the ICR Case Manager will coordinate with Jail Re-entry and outside service providers to maintain continuity of services such as: coordinating prescription medication supply for dispersal at release, coordinating intake date to program with jail release date, coordinating Medi-Cal insurance coverage if necessary, or preparing short-term housing for post-release stabilization.

The ICR Case Managers will manage a caseload ratio of 12:1, and at release, will assign the client to our Low-ACM, Medium ACM, or Intensive-ACM caseload, or (if necessary) keep the client on the ICR caseload if not stabilized in treatment. If the client is designated to the Intensive ACM or ICR caseload, SFPDP will provide additional resources and services to the Intensive Needs ACM clients identified by the Triage Scores and assessment information. ACM or ICR staff will escort clients to court and community appointments, provide short-term stabilization housing with allocated emergency SFSD funds, and transportation assistance through MUNI tokens or by escorting clients to any appointments. Clients may also be scheduled for individual counseling or medication assessments with clinical staff. ACM and ICR Case managers will provide outreach services to individuals who miss court dates or other mandated requirements.

Additionally, the ICR Case Manager will coordinate treatment placement for Transport-Only referrals. They will perform a safety assessment and coordinate with Jail Re-entry and outside service providers to maintain continuity of services such as: coordinating prescription medication supply for dispersal at release, coordinating intake date to program with jail release date, and coordinating Medi-Cal insurance coverage if necessary. ICR staff or the SFSD Community Health Worker will escort the Transport-Only client at release and SFPDP will request to the Court to be relieved of supervision after Transport Report is delivered

Performance Measures

On a monthly basis, SFPDP will provide SFSD with:

- Number of PSAs presented pre-arraignment per month.
- Number of releases pre arraignment per month.
- Number PSAs presented at arraignment per month.
- Number of releases which occur at arraignment per month.
- Average daily count for each supervision level: NAS, MS, ACM ,
- Number of new releases per month for each supervision level: NAS, MS, ACM, including numbers for each ACM client need category (low, medium, intensive).
- **Number of ICR referrals and number released to ACM and number released as transport only.**
- Appearance Rate for each supervision level as defined by percentage of supervised defendants per month who do not have a bench warrant issued for failure to appear.

On a quarterly basis, SFPDP will provide SFSD with:

- Safety Rate for each supervision level as defined by percentage of defendants per quarter who are not arraigned on a new offense or held on probation or parole violations **in San Francisco** during pretrial stage.
- Average length of stay on pretrial supervision for completed and terminated clients.

On a biannual basis, SFPDP will provide SFSD with:

- PSA scores and mandated supervision levels for those who fail to appear or who are arraigned on a new offense or held on probation or parole violations during pretrial stage.

GRANT REQUIREMENTS

All providers must share data and treatment plans with other providers and SFSD staff. Data is not the proprietary property of any provider.

Providers must assist SFSD staff or designated evaluators with data collection and program analysis.

Providers will provide sufficient cross-training so that services are not interrupted due to employee illness and/or vacation.

Providers are required to use Jail Management System (JMS).

Providers must submit data related to outcomes and performance with each monthly invoice. Invoices submitted without data will not be paid.

The monthly Performance Measures are due the 5th day of the new reporting period.

The quarterly Performance Measures are due on the 25th of the month following the end of the Quarterly e.g. October 25th, January 25th, April 25th, and July 25th.

Grantee shall annually have its books audited by a Certified Public Accountant and a copy of said audit report and associated management letter shall be transmitted to the Sheriff or his designee within 180 days of the end of each fiscal year. If Grantee expense \$500,000 or more in Federal funding per year, from any and all Federal grants, said audit shall be conducted in accordance with OMB Circular A-133.

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request**

Agency Name: San Francisco Pretrial Diversion Project Date: 6/7/2019
 Program Title: Pretrial Incarceration Alternatives (PIA) Grant/Contract Period: 7/1/2019-6/30/2020

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 3,444,334
B) Direct Program Operating Expenses	\$ 183,544
C) Program [Sub-]Contract Services	\$ 162,000
D) Program Equipment Purchase	\$ 15,000
X) Non-Program Indirect/Admin/Overhead Costs** (19.35%)	\$ 666,479
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 4,471,357

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Salaries & Fringe Benefits without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs. Please note Indirect/Admin/Overhead is only allowed for Program Salaries & Fringe Benefits.

Agency's Director or Financial Officer  Date: 06.19.19

FOR SHERIFF USE ONLY

Program Budget Approved  6.20.2019
 Sheriff's Chief Financial Officer Date:

Data entry of Approved Budget _____ Date: _____

Accounting Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

A) Program Salary and Fringe Benefits Budget Summary

Position/Title	FTE Equivalent	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request
1 Chief Executive Officer	0.675	27	52	73.24	\$102,829	25%	\$25,707	\$ 128,536
2 Chief Operating Officer	0.675	27	52	47.99	\$67,378	25%	\$16,844	\$ 84,222
3 Chief Programs Officer	0.675	27	52	47.99	\$67,378	25%	\$16,844	\$ 84,222
4 Director of Programs (new)	0.675	27	52	42.31	\$59,400	25%	\$14,850	\$ 74,250
5 Training and Development Manager	0.18	7.2	52	34.5	\$12,917	25%	\$3,229	\$ 16,146
6 Pretrial Release Services Supervisor	1	40	52	35.36	\$73,549	25%	\$18,387	\$ 91,936
7 Pretrial Release Services Assistant Supervisor	1	40	52	33.17	\$69,000	25%	\$17,250	\$ 86,250
8 Pretrial Release Specialist 1	6	240	52	25.88	\$322,998	25%	\$80,750	\$ 403,748
9 Pretrial Release Specialist 2 **	6	240	52	32.52	\$405,826	25%	\$101,457	\$ 507,283
10 Judicial Liaison	0.2	8	52	58.93	\$24,515	25%	\$6,129	\$ 30,644
11 ACM Case Manager Supervisor	1	40	52	32.83	\$68,286	25%	\$17,072	\$ 85,358
12 ACM Case Manager Assistant Supervisor	1	40	52	30.77	\$64,000	25%	\$16,000	\$ 80,000
13 Lead ACM Case Manager	1	40	52	30.24	\$62,899	25%	\$15,725	\$ 78,624
14 ACM Case Manager **	12	480	52	27.78	\$693,389	25%	\$173,347	\$ 866,736
15 Court Compliance Specialist **	2	80	52	25.26	\$105,082	25%	\$26,270	\$ 131,352
16 Program Assistant	0.9	36	52	18.96	\$35,498	25%	\$8,874	\$ 44,372
17 Court Team Supervisor	1	40	52	34.50	\$71,760	25%	\$17,940	\$ 89,700
18 Lead Court Liaison	1	40	52	29.30	\$60,944	25%	\$15,236	\$ 76,180
19 Court Liaison	4	160	52	27.78	\$231,130	25%	\$57,782	\$ 288,912
20 ICR Case Manager	2	80	52	27.78	\$115,565	25%	\$28,891	\$ 144,456
21 Clinical Therapist / Case Manager	0.2	8	52	98.86	\$41,126	25%	\$10,281	\$ 51,407
22	0		52		\$0	25%	\$0	\$ -
23	0		52		\$0	25%	\$0	\$ -
24	0		52		\$0	25%	\$0	\$ -
TOTAL FTEs	43.18						Subtotal Salary & Fringe Benefits:	\$ 3,444,334

** Funding Pending Approval in Mayor's FY 19-20 Proposed Budget.

** Pretrial Release Specialist 2 (2.2 FTE's) / ACM Case Manager (1 FTE) / Court Compliance Specialist (1 FTE) = \$324,054
+ Indirect Cost @ 19.34% = \$ 62,705 = TOTAL \$386,759

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Office Supplies	\$ 1,125.00	12	\$ 13,500
Printing	\$ 750.00	12	\$ 9,000
Postage	\$ 150.00	12	\$ 1,800
Office Equipment Lease	\$ 1,875.00	12	\$ 22,500
Rent	\$ -	12	\$ -
Utilities	\$ 2,137.50	12	\$ 25,650
Telephone/Cell/Internet	\$ 1,350.00	12	\$ 16,200
Program Staff Training	\$ 1,053.65	12	\$ 12,644
Program Staff Conferences	\$ 1,166.67	12	\$ 14,000
Program Staff Travel	\$ 187.50	12	\$ 2,250
Other (describe):			
Client Flex Fund	\$ 5,500.00	12	\$ 66,000
Other (describe):			\$ -
			\$ -
			\$ -
Accounting Codes (and amounts if more than one):	Subtotal Other Current Expenses:		\$ 183,544

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

C) Program [Sub-]Contract Services

Consultants/Professional Services*					
Name	Agency	Description of Services	Estimated Cost Per Hour	Estimated Hours	Request Amount
		IT Managed Services			\$ 108,000
		Strategic & Financial Planning			\$ 13,500
		Outcomes Analysis & Reporting			\$ 22,500
		Database Development			\$ 18,000
					\$ -
					\$ -
Other [Sub-]Contract Services (provide description):					
Item (Example):	Description:		Estimated Cost Per Hour	Estimated Hours	Request Amount
					\$ -
					\$ -
					\$ -
					\$ -
Agency's Financial Officer			Subtotal for [Sub-]Contractual Services:		\$ 162,000

*Please submit to SFSD Financial Services a copy of your agency's contract or agreement with each [sub-]contractor/consultant identified in your budget. This is normally a one-time submission. Please contact SFSD Financial Services with any questions regarding this.

Please insert additional lines as needed for all [sub-]contractors/consultants in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

D) Program Equipment Purchase

Equipment to be purchased	Purpose for Equipment	Request Amount
5 Comp/Monitors	Replacement of Existing \$ 1,200	\$ 6,000
4 Comp/Monitors **	New staff \$ 1,200	\$ 4,800
4 Desk and chairs **	New staff \$ 1,050	\$ 4,200
Subtotal for Equipment Purchases:		\$ 15,000

** Funding Pending Approval in Mayor's FY19-20 Proposed Budget.

** Comp/Monitors / Desk and Chairs = \$9,000

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Revised Budget Request**

Agency Name: San Francisco Pretrial Diversion Project Date: 6/7/2019

Program Title: BJA Grant - Pretrial Mental Health Enhancement Project Grant/Contract Period: 7/1/2019 - 6/30/2020

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 1,970
B) Direct Program Operating Expenses	\$ 16,856
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs** (19.35%)	\$ 381
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 19,207

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Salaries & Fringe Benefits without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs. Please note Indirect/Admin/Overhead is only allowed for Program Salaries & Fringe Benefits.

Agency's Financial Officer  Date: 06.19.19

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 06-20-19

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 4**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract			
Pretrial Incarceration Alternatives (PIA)	FY 17-18	10/1/2017 - 06/30/2020	\$ 2,005,747
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2020	\$ 2,500,764
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 17-18	03/01/2018 - 06/30/2020	\$ 25,610
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-19	03/01/2018 - 06/30/2020	\$ 76,823
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ 19,207
Amendment # 2			
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 17-18	03/02/2018 - 06/30/2020	\$ 28,403
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 18-19	07/01/2018 - 06/30/2020	\$ 82,053
Amendment # 3			
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2020	\$ 748,952
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ (2,500,764)
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ (19,207)
Amendment # 4			
Pretrial Release and Diversion Services	FY 19-20	07/01/2019 - 06/30/2020	\$ 3,976,193
FY 19-20 - CODB - 2.5%	FY 19-20	07/01/2019 - 06/30/2020	\$ 99,405
Pretrial Release and Diversion Services - Additional Funding Pending Approval in Mayor's FY19-20 Proposed Budget	FY 19-20	07/01/2019 - 06/30/2020	\$ 395,759
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ 19,207
TOTALS			\$ 9,958,915



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

June 14, 2018

San Francisco Pretrial Diversion Project, Inc.
925 Harrison Street
San Francisco, CA 94107

Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA) – Pretrial Mental Health Enhancement Project**, Grant Agreement, 1st Amendment effective **March 01, 2018**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**City and County of San Francisco
San Francisco Sheriff's Department**

First Amendment

THIS AMENDMENT (this "Amendment") is made as of **March 01, 2018**, in San Francisco, California, by and between **San Francisco Pretrial Diversion Project, Inc.** ("Grantee"), and the City and County of San Francisco, a municipal corporation ("City").

RECITALS

WHEREAS, City and Grantee have entered into the Agreement (as defined below) for a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to increase the contract amount and amend the scope of work to include a Pretrial Mental Health Enhancement Project, and update standard contractual clauses;

NOW, THEREFORE, Grantee and the City agree as follows:

1. Definitions. The following definitions shall apply to this Amendment:

1a. Agreement. The term "Agreement" shall mean the Agreement dated **October 01, 2017** between Grantee and City.

1b. Other Terms. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Agreement.

2. Modifications to the Agreement. The Agreement is hereby modified as follows:

2a. Section 5.1. Section 5.1 **Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION SEVEN THOUSAND TWO HUNDRED SEVENTY-FIVE DOLLARS (\$7,007,275)**. Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIVE THOUSAND SEVEN HUNDRED FORTY-SEVEN DOLLARS (\$2,005,747)**. Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED THOUSAND SEVEN HUNDRED SIXTY-FOUR DOLLARS (\$2,500,764)**. Funding for the fiscal year 2019-2020 which will

commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED THOUSAND SEVEN HUNDRED SIXTY-FOUR DOLLARS (\$2,500,764)**.

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION ONE HUNDRED TWENTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$7,128,915)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION THIRTY-ONE THOUSAND THREE HUNDRED FIFTY-SIX DOLLARS (\$2,031,356)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED SEVENTY-SEVEN THOUSAND FIVE HUNDRED EIGHTY-NINE DOLLARS (\$2,577,589)**.

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY DOLLARS (\$2,519,970)**.

2b. Appendix B. Appendix B of the Original Agreement is amended to replace budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 18-20 –Pretrial Mental Health Enhancement Project	--	B-2
Summary of Budget Changes	--	C-1

Attached hereto and incorporated by reference are the additional Attachment B-2 and C-1 to be added to Appendix B.

2c. Appendix B – San Francisco Pretrial Diversion Project, Inc. - Scope of Work

Appendix B of the Original Agreement is amended by adding Attachment B-A (Addendum #1) to add a Pretrial Mental Health Enhancement Project.

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **March 01, 2018**.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, Grantee and City have executed this Amendment as of the date first referenced above.

CITY

GRANTEE

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department



Nancy Rubin
Interim Chief Executive Director
925 Harrison Street
San Francisco, CA 94107

Approved as to Form:

City vendor number: **0000011529**

Dennis J. Herrera
City Attorney

By: 

Jana J. Clark
Deputy City Attorney

Attachment B-A

San Francisco Pretrial Diversion Project, Inc. – Scope of Work (Addendum #1)

Project Name: **Pretrial Mental Health Enhancement Project**

Contract Number: **SHF 2017-03A**

Term: **March 01, 2018 through September 30, 2019**

Quality Control, Data Management and Evaluation

In October of 2017, the San Francisco Sheriff's Department (SFSD) was awarded additional funds from the Bureau of Justice Assistance (BJA) to support the identification and treatment needs of Pretrial Assertive Case Management (ACM) clients with mental illness by embedding a UCSF Clinician who will provide in-depth behavioral health assessments for mentally ill persons released pretrial, along with ongoing counseling, support, referral, and placement advocacy services. The Quality Control and Data Management Contract to the San Francisco Pretrial Diversion Project (SFPDP) will support a percentage of salary and benefits for SFPDPs Director of Analytics and Development position, throughout the project.

The Director of Analytics and Development will be responsible for modifying data collection systems to accommodate new required input fields related to BJA project tracking and evaluation, and for providing ongoing support to the project in data entry, reporting, and IT management issues. The subcontract will also include a Pretrial Client Emergency Housing Fund in the amount of \$88,000 to provide emergency housing vouchers for pretrial clients in order to ensure their stability and likelihood of success in the pretrial program. Housing funds will be distributed following parameters and qualification standards developed during the six-month planning phase, and will be closely tracked by the agency, along with tracking of outcomes for clients who utilize emergency housing funds.

SFPDP will also contract with the Project Evaluator, Barry Zack, from the Oakland-based Bridging Group, an organization specifically dedicated to conducting evaluation studies, training, technical assistance and capacity building services for agencies working in correctional facilities. The Project Evaluator will support project data tracking, quality assurance, and reporting throughout the two-year project period. During the initial six-month planning phase, the Evaluator will support the team by identifying appropriate project indicators; developing data entry and reporting systems and procedures; working with the Pretrial Director of Analytics and Development to adapt existing reporting systems to accommodate new fields; and providing training as needed to staff. During the

Attachment B-A

18-month implementation phase, the Evaluator will conduct ongoing quality assurance data reviews on at least a quarterly basis and will assist in the preparation of project reports while providing data analysis services as needed.

All expenses for the evaluation, housing and staff for these services shall be submitted as a separate expense report clearly identified as BJA expenses.

**San Francisco Sheriff's Department
Financial Services
FY 2018-2020 Original Budget Request**

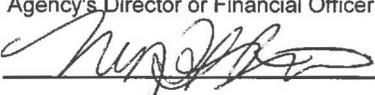
Agency Name: San Francisco Pretrial Diversion Project, Inc. Date: 2/5/2018

Program Title: Pretrial Mental Health Enhancement Project Grant/Contract Period: 03/01/2018 - 09/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 12,832
B) Direct Program Operating Expenses	\$ 88,000
C) Program [Sub-]Contract Services	\$ 9,750
<hr/>	
X) Non-Program Indirect/Admin/Overhead Costs based on 10%**	\$ 11,058
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 121,640

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 10% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer


Date: 2/13/18

FOR SHERIFF USE ONLY

Program Budget Approved

 Sheriff's Chief Financial Officer

Date: 2-16-2018

Data entry of Approved Budget

Date:

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2018-2020 Original Budget Request

Agency Name:

Date:

San Francisco Pretrial Diversion Project, Inc.2/5/2018

Program Title:

Grant/Contract Period:

Pretrial Mental Health Enhancement Project03/01/2018 - 09/30/2019

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Program Materials/Supplies:			
Office Supplies			\$ -
Printing			\$ -
Educational/Training Materials			\$ -
Client travel (public transportation)			\$ -
Postage			\$ -
Rent and Moving Expenses			\$ -
Office Equipment Lease			\$ -
Telephone/Cell			\$ -
Utilities			\$ -
Insurance (Compr Genl Liab/Auto/Prof)			\$ -
Program Staff Training			\$ -
ACA Program Staff Travel			\$ -
ACM/OR Program Staff Travel			\$ -
CAHS Client Flex Fund	\$ 4,400	\$ 20	\$ 88,000
Conference Attendance & Related Travel			
Advance			
Subtotal Other Current Expenses:			\$ 88,000

San Francisco Sheriff's Department
Financial Services
FY 2018-2020 Original Budget Request

Agency Name:
San Francisco Pretrial Diversion Project, Inc.

Date:

2/5/2018

Program Title:
Pretrial Mental Health Enhancement Project

Grant/Contract Period:

03/01/2018 - 09/30/2019

C) Program [Sub-]Contract Services

Consultants/Professional Services*					
Name	Agency	Description of Services	Estimated Cost Per Day	Estimated Days	Request Amount
The Bridging Group	Barry Zack	Program Evaluation Consultant	\$ 650	\$ 15	\$ 9,750
		Sub-contract via Pre-trial			\$ -
					\$ -
					\$ -
					\$ -
					\$ -
					\$ -

Other [Sub-]Contract Services (provide description):					
Item (Example):	Description:	Estimated Cost Per Hour	Estimated Hours	Request Amount	
				\$	-
				\$	-
				\$	-
				\$	-
Subtotal for [Sub-]Contractual Services:				\$	9,750

*Please submit to SFSD Financial Services a copy of your agency's contract or agreement with each [sub-]contractor/consultant identified in your budget. This is normally a one-time submission. Please contact SFSD Financial Services with any questions regarding this.

Please insert additional lines as needed for all [sub-]contractors/consultants in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 1**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-20	03/01/2018 - 09/30/2019	\$ 121,640
TOTALS			\$ 7,128,915



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

March 02, 2018

San Francisco Pretrial Diversion Project, Inc.
925 Harrison Street
San Francisco, CA 94107

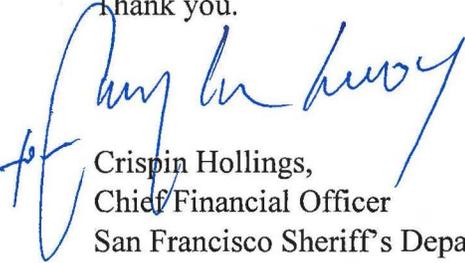
Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA) – In-Custody Referral (ICR) Case Management**, Grant Agreement, 2nd Amendment effective **March 02, 2018**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.


Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**City and County of San Francisco
San Francisco Sheriff's Department**

Second Amendment

THIS AMENDMENT (this "Amendment") is made as of **March 02, 2018**, in San Francisco, California, by and between **San Francisco Pretrial Diversion Project, Inc.** ("Grantee"), and the City and County of San Francisco, a municipal corporation ("City").

RECITALS

WHEREAS, City and Grantee have entered into the Agreement (as defined below) for a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to increase the contract amount and amend the scope of work to include **In-Custody Referral (ICR) Case Management**, and update standard contractual clauses;

NOW, THEREFORE, Grantee and the City agree as follows:

1. Definitions. The following definitions shall apply to this Amendment:

1a. Agreement. The term "Agreement" shall mean the Agreement dated **October 01, 2017** between Grantee and City, as amended by the:

First amendment, date March 01, 2018

1b. Other Terms. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Agreement.

2. Modifications to the Agreement. The Agreement is hereby modified as follows:

2a. Section 5.1. Section **5.1 Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION ONE HUNDRED TWENTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$7,128,915).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION THIRTY-ONE THOUSAND THREE HUNDRED FIFTY-SIX DOLLARS (\$2,031,356).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION FIVE HUNDRED SEVENTY-SEVEN THOUSAND FIVE HUNDRED EIGHTY-NINE DOLLARS (\$2,577,589).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY DOLLARS (\$2,519,970).**

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION TWO HUNDRED THIRTY-NINE THOUSAND THREE HUNDRED SEVENTY-ONE DOLLARS (\$7,239,371).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED FIFTY-EIGHT DOLLARS (\$2,059,758).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION SIX HUNDRED FIFTY-NINE THOUSAND SIX HUNDRED FORTY-TWO DOLLARS (\$2,659,642).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY-ONE DOLLARS (\$2,519,971).**

2b. Appendix B. Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 17-18 – ICR Case Management	--	B-3
FY 18-19 – ICR Case Management	--	B-3A
Summary of Budget Changes	C-1	C-2

Attached hereto and incorporated by reference are the additional Attachment B-3, B-3A and C-2 to be added to Appendix B.

2c. Appendix B – San Francisco Pretrial Diversion Project, Inc. - Scope of Work

Appendix B of the Original Agreement is amended by adding Attachment B-B (Addendum #2) to add In-Custody Referral (ICR) Case Management.

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **March 02, 2018**.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Agreement shall remain unchanged and in full force and effect.

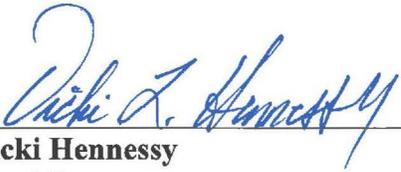
IN WITNESS WHEREOF, Grantee and City have executed this Amendment as of the date first referenced above.

CITY

GRANTEE

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department



Nancy Rubin
Interim Chief Executive Director
925 Harrison Street
San Francisco, CA 94107

Approved as to Form:

City vendor number: **0000011529**

Dennis J. Herrera
City Attorney

By: 

Jana J. Clark
Deputy City Attorney

Attachment B-B

San Francisco Pretrial Diversion Project, Inc. – Scope of Work (Addendum #2)

Project Name: **ICR Case Management**

Term: **March 02, 2018 through June 30, 2019**

In-Custody Referrals

Defendants not granted release under the continuum of supervision levels at pre-arraignment or arraignment may be referred to the Assertive Case Management (ACM) Program for an In-Custody Referral (ICR). The San Francisco Pretrial Diversion Project, Inc. (SFPDP) will maintain 1.0 FTE – ICR Case Manager to manage these ICRs and will perform an in-custody needs assessment that includes: collecting current contact and outreach information; completing a Triage and safety assessment; collecting a treatment history summary; determining personal service needs; and providing an understanding of client and case manager expectations if recommended to the ACM Program.

If clients are determined amenable to case management, the ICR Case Manager will create a re-entry plan with recommended supervision requirements for the Court. If the release recommendation is granted by the Court, the ICR Case Manager will coordinate with Jail Behavioral Health and community providers to ensure service linkage upon release. Additionally, the ICR Case Manager will coordinate placement for pretrial defendants referred by the Court for transport to residential treatment. The ICR Case Manager will complete a Triage and safety assessment, collaborate with Jail Behavioral Health regarding any prescription medications and coordinate the jail release and intake date with the treatment program. The ICR Case Manager will work with San Francisco Sheriff's Department (SFSD) and SFPDP staff to ensure the defendant is picked up from custody and escorted to the program. SFPDP will also provide the Court with a Transport Report at the next calling of the case and may ask to be relieved of supervision.

Performance Measures

On a monthly basis SFPDP will provide SFSD with:

- Number of defendants referred post arraignment
- Number recommended to the Court for release
- Number released
- Number referred for transport to residential treatment
- Number released and escorted to treatment

**San Francisco Sheriff's Department
Financial Services
FY 2017-2018 Original Budget Request**

Agency Name: San Francisco Pretrial Diversion Project Date: 2/9/2018
 Program Title: ICR Case Management Grant/Contract Period: 3/2/2018 - 6/30/2018

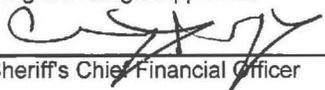
Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 23,798
B) Direct Program Operating Expenses	\$ -
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 4,605
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 28,403

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer  Date: 2/26/18

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 2.26.18
 Sheriff's Chief Financial Officer

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Original Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

2/9/2018

Program Title:

ICR Case Management

Grant/Contract Period:

7/1/2018 - 6/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 68,750
B) Direct Program Operating Expenses	\$ -
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 13,303
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 82,053

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)

**Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer

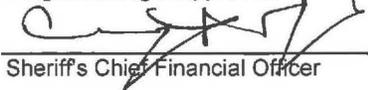


Date:

2/26/18

FOR SHERIFF USE ONLY

Program Budget Approved



Sheriff's Chief Financial Officer

2.26.18

Date:

Data entry of Approved Budget

Date:

Index Codes (and amounts if more than one): _____

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 2**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-20	03/01/2018 - 09/30/2019	\$ 121,640
Amendment # 2			
ICR Case Management	FY 17-18	03/02/2018 - 06/30/2018	\$ 28,403
	FY 18-19	07/01/2018 - 06/30/2019	\$ 82,053
TOTALS			\$ 7,239,371



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

July 01, 2018

San Francisco Pretrial Diversion Project, Inc.
925 Harrison Street
San Francisco, CA 94107

Attention: Nancy Rubin

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA)** – Grant Agreement, 3rd Amendment effective **July 01, 2018**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**City and County of San Francisco
San Francisco Sheriff's Department**

Third Amendment

THIS AMENDMENT (this "Amendment") is made as of **June 01, 2018**, in San Francisco, California, by and between **San Francisco Pretrial Diversion Project, Inc.** ("Grantee"), and the City and County of San Francisco, a municipal corporation ("City").

RECITALS

WHEREAS, City and Grantee have entered into the Agreement (as defined below) for a **Pretrial Incarceration Alternatives (PIA)** grant for the purpose of funding the matters set forth in the Grant Plan; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein to reduce the term of the contract, increase the FY18-19 PIA budget, and update standard contractual clauses;

NOW, THEREFORE, Grantee and the City agree as follows:

1. Definitions. The following definitions shall apply to this Amendment:

1a. Agreement. The term "Agreement" shall mean the Agreement dated **October 01, 2017** between Grantee and City, as amended by the:

First amendment, date March 01, 2018
Second amendment, date March 02, 2018

1b. Other Terms. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Agreement.

2. Modifications to the Agreement. The Agreement is hereby modified as follows:

2a. Section 3.2. Section 3.2 **Duration of Term** of the Agreement currently reads as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) **OCTOBER 01, 2017** and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on **JUNE 30, 2020**. In addition, the Sheriff shall have two options to extend the term, for a period of one year each, by mutual agreement in writing. In the event such extension rights are exercised, all terms and conditions, requirements and specifications of the Agreement shall remain the same and apply during the renewal terms. The maximum contract period shall not be more than five (5) years.

Such section is hereby amended in its entirety to read as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) **OCTOBER 01, 2017** and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on **JUNE 30, 2019**.

2b. Section 5.1. Section 5.1 **Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **SEVEN MILLION TWO HUNDRED THIRTY-NINE THOUSAND THREE HUNDRED SEVENTY-ONE DOLLARS (\$7,239,371)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED FIFTY-EIGHT DOLLARS (\$2,059,758)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **TWO MILLION SIX HUNDRED FIFTY-NINE THOUSAND SIX HUNDRED FORTY-TWO DOLLARS (\$2,659,642)**.

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **TWO MILLION FIVE HUNDRED NINETEEN THOUSAND NINE HUNDRED SEVENTY-ONE DOLLARS (\$2,519,971)**.

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **FIVE MILLION FOUR HUNDRED SIXTY-EIGHT THOUSAND THREE HUNDRED FIFTY-TWO DOLLARS (\$5,468,352)**.

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760)**.

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$3,408,592)**.

2b. Appendix B. Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 18-19 – Pretrial Incarceration Alternatives (PIA)	B-1A	B-1C
Summary of Budget Changes	C-2	C-3

Attached hereto and incorporated by reference are the additional Attachment B-1C and C-3 to be added to Appendix B.

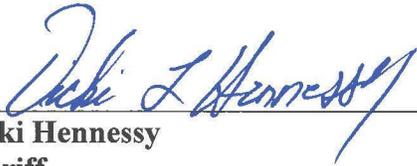
3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **June 01, 2018**.

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, Grantee and City have executed this Amendment as of the date first referenced above.

CITY

Recommended by:



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department

Approved as to Form:

Dennis J. Herrera
City Attorney

By: 

Jana J. Clark
Deputy City Attorney

GRANTEE

**San Francisco Pretrial Diversion
Project, Inc.**



Nancy Rubin
Interim Chief Executive Director
925 Harrison Street
San Francisco, CA 94107

City vendor number: 0000011529

**San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request**

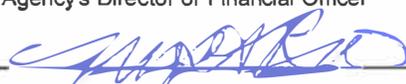
Agency Name: San Francisco Pretrial Diversion Project Date: 4/22/2018

Program Title: Pretrial Incarceration Alternatives - (PIA) (ACM/OR) Grant/Contract Period: 7/1/2018 - 6/30/2019

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 2,731,718
B) Direct Program Operating Expenses	\$ 71,463
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs based on 19.35%**	\$ 528,587
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 3,331,768

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Costs without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs.

Agency's Director or Financial Officer  Date: 7/10/18

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 7.10.2018
 Sheriff's Chief Financial Officer

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

4/22/2018

Program Title:

Pretrial Incarceration Alternatives - (PIA) (ACM/OR)

Grant/Contract Period:

7/1/2018 - 6/30/2019

A) Program Salary and Fringe Benefits Budget Summary

#	Employee Name	Position/Title	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request
1	Farkas, Leah	ACM Case Supervisor	40.00	52	\$ 31.25	\$ 65,000.00	20.21%	\$ 13,136.50	\$ 78,137
2	Beliso, Anthony	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	30.35%	\$ 16,692.50	\$ 71,692
3	Davis, Kimberley	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.19	21.71%	\$ 11,940.54	\$ 66,941
4	Richardson, Aisha	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	22.49%	\$ 12,369.50	\$ 67,369
5	Nettles-Tutwiler, Wondelyn	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.19	13.34%	\$ 7,337.03	\$ 62,337
6	Padilla, Vanessa	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	25.02%	\$ 13,761.00	\$ 68,761
7	Holly, Earl	ACM Case Manager	40.00	52	\$ 26.44	\$ 55,000.19	23.21%	\$ 12,765.54	\$ 67,766
8	New ACM Supervisor	ACM Supervisor	40.00	52	\$ 31.25	\$ 65,000.00	25.00%	\$ 16,250.00	\$ 81,250
9	New ACM 1	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
10	New ACM 2	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
11	New ACM 3	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
12	New ACM 4	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
13	New ACM 5	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
14	New ACM 6	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
15	New ACM 7	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
16	New ACM 8	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
17	New ACM 9	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
18	New ACM 10	ACM Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
19	Vargas, Carolina	ICR Case Manager	40.00	52	\$ 26.44	\$ 55,000.00	21.08%	\$ 11,594.00	\$ 66,594
20	New ICR	ICR Case Manager	40.00	52	\$ 26.44	\$ 54,999.98	25.00%	\$ 13,750.00	\$ 68,750
21	White, Gerald	ACM Manager / Court Supervisor	29.00	52	\$ 36.06	\$ 54,378.48	27.71%	\$ 15,068.28	\$ 69,447
22	Washington, Kai	ACM Case Manager / Court Liaison	37.00	52	\$ 26.44	\$ 50,875.00	20.05%	\$ 10,200.44	\$ 61,075
23	Quinn, Agnes	Clinical Therapist / Case Manager	8.20	52	\$ 94.10	\$ 40,122.11	9.08%	\$ 3,643.09	\$ 43,765
24	Jones, Devin / Waters, Annie	Court Report Writer	40.00	52	\$ 24.17	\$ 50,273.60	25.00%	\$ 12,568.40	\$ 62,842
25	Valdiviez, Monica	Pretrial Services Supervisor	40.00	52	\$ 33.65	\$ 69,992.00	11.08%	\$ 7,755.11	\$ 77,747
26	Wong, Derek	Pretrial Services Supervisor	40.00	52	\$ 33.65	\$ 69,992.00	18.55%	\$ 12,983.52	\$ 82,976
27	Balasyan, Boris	Pretrial Services Officer	40.00	52	\$ 24.04	\$ 50,003.20	20.70%	\$ 10,350.66	\$ 60,354
28	Jackson, Raven	Pretrial Services Officer	40.00	52	\$ 30.05	\$ 62,504.00	18.63%	\$ 11,644.50	\$ 74,148
29	Lee, Dana	Pretrial Services Officer	32.00	52	\$ 24.04	\$ 40,002.56	21.03%	\$ 8,412.54	\$ 48,415

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request

Agency Name:
San Francisco Pretrial Diversion Project

Date:
4/22/2018

Program Title:
Pretrial Incarceration Alternatives - (PIA) (ACM/OR)

Grant/Contract Period:
7/1/2018 - 6/30/2019

A) Program Salary and Fringe Benefits Budget Summary

#	Employee Name	Position/Title	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request
30	Li, Ruowen	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	12.10%	\$ 6,050.39	\$ 56,054
31	Rhymes, Marshae	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	21.03%	\$ 10,515.67	\$ 60,519
32	Taula, Jayzyl	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	23.02%	\$ 11,510.74	\$ 61,514
33	TBD PSO 1	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	25.00%	\$ 12,500.80	\$ 62,504
34	New PSO 1	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	28.00%	\$ 14,000.90	\$ 64,004
35	New PSO 2	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	28.00%	\$ 14,000.90	\$ 64,004
36	New PSO 3	Pretrial Services Officer	40.00	52	\$24.04	\$ 50,003.20	28.00%	\$ 14,000.90	\$ 64,004
37	TBD ED	Executive Director	18.00	52	\$72.12	\$ 67,500.00	20.00%	\$ 13,500.00	\$ 81,000
38	Alcantar, Alisha	Director of Programs	27.50	52	\$40.87	\$ 58,437.50	16.61%	\$ 9,706.47	\$ 68,144
39	DuBois, Jeff	Chief Operating Officer	18.00	52	\$45.67	\$ 42,750.00	20.29%	\$ 8,673.97	\$ 51,424
40	Pickett, Kerith	Accounting Supervisor	18.00	52	\$33.65	\$ 31,500.05	23.36%	\$ 7,358.41	\$ 38,858
41	Miller, Matthew	Training and Development Manager	11.94	52	\$32.45	\$ 20,144.68	18.03%	\$ 3,632.09	\$ 23,777
42	Alotaua, Asotaua	Triage	33.10	52	\$16.40	\$ 28,227.68	26.51%	\$ 7,483.16	\$ 35,711
43	Louie, Vincent	Training Specialist	18.00	52	\$27.88	\$ 26,099.89	23.89%	\$ 6,235.26	\$ 32,335
44									
45									
			FTE:	38.27	Subtotal Salary & Fringe Benefits:			\$	2,731,718

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2018-2019 Revised Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

4/22/2018

Program Title:

Pretrial Incarceration Alternatives - (PIA) (ACM/OR)

Grant/Contract Period:

7/1/2018 - 6/30/2019

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Office Supplies			\$ -
Printing	\$ 38.55	12	\$ 463
Educational/Training Materials			\$ -
Client travel (public transportation)			\$ -
Postage			\$ -
Rent and Moving Expenses			\$ -
Office Equipment Lease			\$ -
Telephone/Cell			\$ -
Utilities			\$ -
Insurance (Compr Genl Liab/Auto/Prof)			\$ -
Program Staff Training			\$ 2,500
Program Staff Travel			
Conference Attendance & Related Travel			\$ 2,500
			\$ -
Other			\$ -
CAHS Client Flex Fund	\$ 5,500.00	12	\$ 66,000
Advance			\$ -
Subtotal Other Current Expenses:			\$ 71,463

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 3**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract			
Pretrial Incarceration Alternatives (PIA)	FY 17-18	10/1/2017 - 06/30/2018	\$ 2,005,747
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2019	\$ 2,500,764
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 17-18	03/01/2018 - 06/30/2019	\$ 25,608
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-19	03/01/2018 - 06/30/2019	\$ 76,825
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2019	\$ 19,206
Amendment # 2			
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 17-18	03/02/2018 - 06/30/2018	\$ 28,403
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 18-19	07/01/2018 - 06/30/2019	\$ 82,053
Amendment # 3			
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2019	\$ 748,951
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ (2,519,970)
TOTALS			\$ 5,468,351



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

June 24, 2019

San Francisco Pretrial Diversion Project, Inc.
236 – 8th Street, Suite E
San Francisco, CA 94113

Attention: David Mauroff

Notice to Proceed

This letter is a Notice to Proceed for the **Pretrial Incarceration Alternatives (PIA)** Grant Agreement effective **July 01, 2019**. Enclosed is the fully Executed Agreement for your records.

Should you have any questions, please do not hesitate to contact me at (415) 554-4316 or contact Lorena Marquez at (415) 554-7427.

Thank you.

A handwritten signature in black ink, appearing to read "Crispin Hollings".

Crispin Hollings,
Chief Financial Officer
San Francisco Sheriff's Department

Enclosure: Executed Agreement

cc: Alissa Riker – Program Director
/file

**CITY AND COUNTY OF SAN FRANCISCO
SAN FRANCISCO SHERIFF'S DEPARTMENT**

FOURTH AMENDMENT TO GRANT AGREEMENT

BETWEEN

CITY AND COUNTY OF
SAN FRANCISCO

AND

SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC.

FOURTH AMENDMENT

This AMENDMENT of the, October 01, 2017 Grant Agreement (the "Agreement") is dated as of July 01, 2019 and is made in the City and County of San Francisco, State of California, by and between SAN FRANCISCO PRETRIAL DIVERSION PROJECT, INC. ("Grantee") and the CITY AND COUNTY OF SAN FRANCISCO, a municipal corporation ("City") acting by and through SAN FRANCISCO SHERIFF'S DEPARTMENT ("Department").

RECITALS

WHEREAS, City and Grantee have entered into the Grant Agreement for fiscal year FY 2017-2018; and

WHEREAS, Grantee has submitted to the Agency the Application Documents (as hereinafter defined) seeking a grant to provide a **Pretrial Incarceration Alternatives (PIA)** for the purpose of funding the matters set forth in the Grant Plan (as defined in the Agreement); and

WHEREAS, the Agreement was competitively procured as required by San Francisco Administrative Code Chapter 21.1 through **RFP 2017-03 Pretrial Services** issued on **April 06, 2017** and this modification is consistent therewith; and

WHEREAS, City and Grantee desire to modify the Agreement on the terms and conditions set forth herein **to extend the term of the contract by one year, increase the contract amount, add a Cost of Doing Business (CODB) for FY2019-2020 and revise the Scope of Work**; and to insert updated provisions currently required in the City's standard Grant Agreement form;

WHEREAS, City and Grantee desire to execute this amendment to update the prior Agreement;

NOW, THEREFORE, City and Grantee agree to amend said Grant Agreement as follows:

1. Definitions. Terms used and not defined in this Amendment shall have the meanings assigned to such terms in the Grant Agreement.

2. **Modifications to the Agreement.** The Grant Agreement is hereby modified as follows:

**Article 1
DEFINITIONS**

(a) **Agreement.** The term “Agreement” shall mean the Agreement dated **October 01, 2015** between Grantee and City, as amended by the:

First amendment	dated March 01, 2018 , and
Second amendment	dated March 02, 2018 , and
Third amendment	dated June 01, 2018 .

**Article 2
APPROPRIATION AND CERTIFICATION OF GRANT FUNDS;
LIMITATIONS ON CITY’S OBLIGATIONS**

(b) **Section 2.** Section 2.2 of the Agreement is amended in its entirety to read as follows:

2.2 Certification of Controller; Maximum Costs. Charges will accrue only after prior written authorization certified by the Controller, and the amount of City’s obligation shall not at any time exceed the amount certified for the purpose and period stated in such advance authorization. Except as may be provided by City ordinances governing emergency conditions, City and its employees and officers are not authorized to request Grantee to perform services or to provide materials, equipment and supplies that would result in Grantee performing services or providing materials, equipment and supplies that are beyond the scope of the services, materials, equipment and supplies specified in this Agreement unless this Agreement is amended in writing and approved as required by law to authorize the additional services, materials, equipment or supplies. City is not required to pay Grantee for services, materials, equipment or supplies provided by Grantee that are beyond the scope of the services, materials, equipment and supplies agreed upon herein and not approved by a written amendment to this Agreement lawfully executed by City. City and its employees and officers are not authorized to offer or promise to Grantee additional funding for this Agreement that exceeds the maximum amount of funding provided for herein. Additional funding for this Agreement in excess of the maximum provided herein shall require lawful approval and certification by the Controller. City is not required to honor any offered or promised additional funding which exceeds the maximum provided in this Agreement which requires lawful approval and certification of the Controller when the lawful approval and certification by the Controller has not been obtained. The Controller is not authorized to make payments on any agreement for which funds have not been certified as available in the budget or by supplemental appropriation.

**Article 3
TERM**

(c) **Section 3.2** Section 3.2 **Duration of Term** of the Agreement currently reads as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) OCTOBER 01, 2017 and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on JUNE 30, 2019.

Such section is hereby amended in its entirety to read as follows:

3.2 Duration of Term. The term of this Agreement shall commence on the later of (a) OCTOBER 01, 2017 and (b) the effective date specified in Section 3.1. Such term shall end at 11:59 p.m. San Francisco time on JUNE 30, 2020.

Article 4 IMPLEMENTATION OF GRANT PLAN

(d) **Section 4.** Section 4 of the Agreement is amended in its entirety to read as follows:

4.1 Implementation of Grant Plan; Cooperation with Monitoring. Grantee shall diligently and in good faith implement the Grant Plan on the terms and conditions set forth in this Agreement and, to the extent that they do not differ from this Agreement, the Application Documents. Grantee shall not materially change the nature or scope of the Grant Plan during the term of this Agreement without the prior written consent of City. Grantee shall promptly comply with all standards, specifications and formats of City, as they may from time to time exist, related to evaluation, planning and monitoring of the Grant Plan and shall cooperate in good faith with City in any evaluation, planning or monitoring activities conducted or authorized by City.

4.2 Grantee's Personnel. The Grant Plan shall be implemented only by competent personnel under the direction and supervision of Grantee.

4.3 Ownership of Results. Any interest of Grantee or any subgrantee, in drawings, plans, specifications, studies, reports, memoranda, computation sheets, the contents of computer diskettes, or other documents or Publications prepared by Grantee or any subgrantee in connection with this Agreement or the implementation of the Grant Plan or the services to be performed under this Agreement, shall become the property of and be promptly transmitted to City. Notwithstanding the foregoing, Grantee may retain and use copies for reference and as documentation of its experience and capabilities.

4.4 Works for Hire. If, in connection with this Agreement or the implementation of the Grant Plan, Grantee or any subgrantee creates artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any other original works of authorship or Publications, such creations shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in such creations shall be the property of City. If it is ever determined that any such creations are not works for hire under applicable law, Grantee hereby assigns all copyrights thereto to City, and agrees to provide any material, execute such documents and take such other actions as may be necessary or desirable to effect such assignment. With the prior written approval of City, Grantee may retain and use copies of such creations for reference and as documentation of its experience and capabilities. Grantee shall obtain all releases, assignments or other agreements from subgrantees or other persons or entities implementing the Grant Plan to ensure that City obtains the rights set forth in this Grant.

4.5 Publications and Work Product.

a. Grantee understands and agrees that City has the right to review, approve, disapprove or conditionally approve, in its sole discretion, the work and property funded in whole or part with the Grant Funds, whether those elements are written, oral or in any other medium. Grantee has the burden of demonstrating to City that each element of work or property funded in whole or part with the Grant Funds is directly and integrally related to the Grant Plan as approved by City. City shall have the sole and final discretion to determine whether Grantee has met this burden.

b. Without limiting the obligations of Grantee set forth in subsection (a) above, Grantee shall submit to City for City's prior written approval any Publication, and Grantee shall not disseminate any such Publication unless and until it receives City's consent. In addition, Grantee shall submit to City for approval, if City so requests, any other program material or form that Grantee uses or proposes to use in furtherance of the Grant Plan, and Grantee shall promptly provide to City one copy of all such materials or forms within two (2) days following City's request. The City's approval of any material hereunder shall not be deemed an endorsement of, or agreement with, the contents of such material, and the City shall have no liability or responsibility for any such contents. The City reserves the right to disapprove any material covered by this section at any time, notwithstanding a prior approval by the City of such material. Grantee shall not charge for the use or distribution of any Publication funded all or in part with the Grant Funds, without first obtaining City's written consent, which City may give or withhold in its sole discretion.

c. Grantee shall distribute any Publication solely within San Francisco, unless City otherwise gives its prior written consent, which City may give or withhold in its sole discretion. In addition, Grantee shall furnish any services funded in whole or part with the Grant Funds under this Agreement solely within San Francisco, unless City otherwise gives its prior written consent, which City may give or withhold in its sole discretion.

d. City may disapprove any element of work or property funded in whole or part by the Grant Funds that City determines, in its sole discretion, has any of the following characteristics: is divisive or discriminatory; undermines the purpose of the Grant Plan; discourages otherwise qualified potential employees or volunteers or any clients from participating in activities covered under the Grant Plan; undermines the effective delivery of services to clients of Grantee; hinders the achievement of any other purpose of City in making the Grant under this Agreement; or violates any other provision of this Agreement or applicable law. If City disapproves any element of the Grant Plan as implemented, or requires any change to it, Grantee shall immediately eliminate the disapproved portions and make the required changes. If City disapproves any materials, activities or services provided by third parties, Grantee shall immediately cease using the materials and terminate the activities or services and shall, at City's request, require that Grantee obtain the return of materials from recipients or deliver such materials to City or destroy them.

e. City has the right to monitor from time to time the administration by Grantee or any of its subcontractors of any programs or other work, including, without limitation, educational programs or trainings, funded in whole or part by the Grant Funds, to ensure that Grantee is performing such element of the Grant Plan, or causing such element of the Grant Plan to be performed, consistent with the terms and conditions of this Agreement.

f. Grantee shall acknowledge City's funding under this Agreement in all Publications. Such acknowledgment shall conspicuously state that the activities are sponsored in whole or in part through a grant from the Department. Except as set forth in this subsection, Grantee shall not use the name of the Department or City (as a reference to the municipal corporation as opposed to location) in any Publication without prior written approval of City.

Article 5 Use and Disbursement of Grant Funds

(e) **Section 5.1.** Section 5.1 **Maximum Amount of Grant Funds** of the Agreement currently reads as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **FIVE MILLION FOUR HUNDRED SIXTY-EIGHT THOUSAND THREE HUNDRED FIFTY-TWO DOLLARS (\$5,468,352).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$3,408,592).**

Such section is hereby amended in its entirety to read as follows:

5.1 Maximum Amount of Grant Funds. In no event shall the amount of Grant Funds disbursed hereunder exceed **NINE MILLION NINE HUNDRED FIFTY-EIGHT THOUSAND NINE HUNDRED FIFTEEN DOLLARS (\$9,958,915).**

Funding for the fiscal year 2017-2018 which will commence October 01, 2017 through June 30, 2018 will be **TWO MILLION FIFTY-NINE THOUSAND SEVEN HUNDRED SIXTY DOLLARS (\$2,059,760).**

Funding for the fiscal year 2018-2019 which will commence July 01, 2018 through June 30, 2019 will be **THREE MILLION FOUR HUNDRED EIGHT THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$3,408,591).**

Funding for the fiscal year 2019-2020 which will commence July 01, 2019 through June 30, 2020 will be **FOUR MILLION FOUR HUNDRED NINETY THOUSAND FIVE HUNDRED SIXTY-FOUR DOLLARS (\$4,490,564).**

Article 6 REPORTING REQUIREMENTS; AUDITS; PENALTIES FOR FALSE CLAIMS

(f) **Section 6.** Section 6.7 is amended in its entirety to read as follows:

6.7 Submitting False Claims Grantee shall at all times deal in good faith with the City, shall only submit a Funding Request to the City upon a good faith and honest determination that the funds sought are for Eligible Expenses under the Grant, and shall only use Grant Funds for payment of Eligible Expenses as set forth in Appendix A. Any Grantee who commits any of the following false acts shall be liable to the City for three times the amount of damages the City sustains because of the Grantee's act. A Grantee will be deemed to have submitted a false claim to the City if the Grantee: (a) knowingly presents or causes to be presented to an officer or employee of the City a false Funding Request; (b) knowingly disburses Grants Funds for expenses that are not Eligible Expenses; (c) knowingly makes, uses, or causes to be made or used a false record or statement to get a false Funding Request paid or approved by the City; (d) conspires to defraud the City by getting a false Funding Request allowed or paid by the City; or (e) is a beneficiary of an inadvertent submission of a false claim to the City, subsequently discovers the falsity of the claim, and fails to disclose the false claim to the City within a reasonable time after discovery of the false claim.

**Article 7
TAXES**

(g) **Section 7.** The following is hereby added and incorporated into Section 7 of the Agreement:

7.4 Withholding. Grantee agrees that it is obligated to pay all amounts due to the City under the San Francisco Business and Tax Regulations Code during the term of this Agreement. Pursuant to Section 6.10-2 of the San Francisco Business and Tax Regulations Code, Grantee further acknowledges and agrees that City may withhold any payments due to Grantee under this Agreement if Grantee is delinquent in the payment of any amount required to be paid to the City under the San Francisco Business and Tax Regulations Code. Any payments withheld under this paragraph shall be made to Grantee, without interest, upon Grantee coming back into compliance with its obligations.

**Article 8
REPRESENTATIONS AND WARRANTIES**

(h) **Section 8.** Section 8.4 is amended in its entirety to read as follows:

8.4 Conflict of Interest.

a. Through its execution of this Agreement, Grantee acknowledges that it is familiar with the provision of Section 15.103 of the City's Charter, Article III, Chapter 2 of the City's Campaign and Governmental Conduct Code, and Section 87100 et seq. and Section 1090 et seq. of the Government Code of the State of California, and certifies that it does not know of any facts which constitutes a violation of said provisions and agrees that it will immediately notify the City if it becomes aware of any such fact during the term of this Agreement.

b. Not more than one member of an immediate family serves or will serve as an officer, director or employee of Grantee, without the prior written consent of City. For purposes of this subsection, "immediate family" shall include husband, wife, domestic partners, brothers, sisters, children and parents (both legal parents and step-parents).

**Article 10
INSURANCE**

(i) **Section 10.** The following is hereby added and incorporated into Section 10 of the Agreement:

10.9 Worker's Compensation. The Workers' Compensation policy(ies) shall be endorsed with a waiver of subrogation in favor of the City for all work performed by the Contractor, its employees, agents and subcontractors.

**Article 11
EVENTS OF DEFAULT AND REMEDIES**

(j) **Section 11.** Section 11 is amended in its entirety to read as follows:

11.1 Events of Default. The occurrence of any one or more of the following events shall constitute an “Event of Default” under this Agreement:

- a. **False Statement.** Any statement, representation or warranty contained in this Agreement, in the Application Documents, in any Funding Request or in any other document submitted to City under this Agreement is found by City to be false or misleading.
- b. **Failure to Provide Insurance.** Grantee fails to provide or maintain in effect any policy of insurance required in Article 10.
- c. **Failure to Comply with Representations and Warranties or Applicable Laws.** Grantee fails to perform or breaches any of the terms or provisions of Article 8 or 16.
- d. **Failure to Perform Other Covenants.** Grantee fails to perform or breaches any other agreement or covenant of this Agreement to be performed or observed by Grantee as and when performance or observance is due and such failure or breach continues for a period of ten (10) days after the date on which such performance or observance is due.
- e. **Cross Default.** Grantee defaults under any other agreement between Grantee and City (after expiration of any grace period expressly stated in such agreement).
- f. **Voluntary Insolvency.** Grantee (i) is generally not paying its debts as they become due, (ii) files, or consents by answer or otherwise to the filing against it of, a petition for relief or reorganization or arrangement or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law of any jurisdiction, (iii) makes an assignment for the benefit of its creditors, (iv) consents to the appointment of a custodian, receiver, trustee or other officer with similar powers of Grantee or of any substantial part of Grantee's property or (v) takes action for the purpose of any of the foregoing.
- g. **Involuntary Insolvency.** Without consent by Grantee, a court or government authority enters an order, and such order is not vacated within ten (10) days, (i) appointing a custodian, receiver, trustee or other officer with similar powers with respect to Grantee or with respect to any substantial part of Grantee's property, (ii) constituting an order for relief or approving a petition for relief or reorganization or arrangement or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law of any jurisdiction or (iii) ordering the dissolution, winding-up or liquidation of Grantee.

11.2 Remedies upon Event of Default. Upon and during the continuance of an Event of Default, City may do any of the following, individually or in combination with any other remedy:

- a. **Termination.** City may terminate this Agreement by giving a written termination notice to Grantee of the Event of Default and that, on the date specified in the notice, this Agreement shall terminate and all rights of Grantee hereunder shall be extinguished. In the sole discretion of the City, Grantee may be allowed ten (10) days to cure the default. In the event of termination for default, Grantee will be paid for Eligible Expenses in any Funding Request that was submitted and approved by City prior to the date of termination specified in such notice.
- b. **Withholding of Grant Funds.** City may withhold all or any portion of Grant Funds not yet disbursed hereunder, regardless of whether Grantee has previously submitted a Funding Request or whether City has approved the disbursement of the Grant Funds requested in any Funding Request. Any Grant Funds withheld pursuant to this Section and subsequently disbursed to Grantee after cure of applicable Events of Default, if granted by the City in its sole discretion, shall be disbursed without interest.

c. **Offset.** City may offset against all or any portion of undisbursed Grant Funds hereunder or against any payments due to Grantee under any other agreement between Grantee and City the amount of any outstanding Loss incurred by any Indemnified Party, including any Loss incurred as a result of the Event of Default.

d. **Return of Grant Funds.** City may demand the immediate return of any previously disbursed Grant Funds that have been claimed or expended by Grantee in breach of the terms of this Agreement, together with interest thereon from the date of disbursement at the maximum rate permitted under applicable law.

11.3 Termination for Convenience. City shall have the option, in its sole discretion, to terminate this Agreement at any time for convenience and without cause. City shall exercise this option by giving Grantee written notice that specifies the effective date of termination. Upon receipt of the notice of termination, Grantee shall undertake with diligence all necessary actions to effect the termination of this Agreement on the date specified by City and minimize the liability of Grantee and City to third parties. Such actions shall include, without limitation:

a. Halting the performance of all work under this Agreement on the date(s) and in the manner specified by City;

b. Terminating all existing orders and subcontracts, and not placing any further orders or subcontracts for materials, services, equipment or other items; and

c. Completing performance of any work that City designates to be completed prior to the date of termination specified by City.

In no event shall City be liable for costs incurred by Grantee or any of its subcontractors after the termination date specified by City, except for those costs incurred at the request of City pursuant to this section.

11.4 Remedies Nonexclusive. Each of the remedies provided for in this Agreement may be exercised individually or in combination with any other remedy available hereunder or under applicable laws, rules and regulations. The remedies contained herein are in addition to all other remedies available to City at law or in equity by statute or otherwise and the exercise of any such remedy shall not preclude or in any way be deemed to waive any other remedy.

Article 16 COMPLIANCE

(k) **Section 16.** Section 16 is amended in its entirety to read as follows:

16.1 RESERVED.

16.2 Nondiscrimination; Penalties.

a. **Grantee Shall Not Discriminate.** In the performance of this Agreement, Grantee agrees not to discriminate against any employee, City and County employee working with such grantee or subgrantee, applicant for employment with such grantee or subgrantee, or against any person seeking accommodations, advantages, facilities, privileges, services, or membership in all business, social, or other establishments or organizations, on the basis of the fact or perception of a person's race, color, creed, religion, national origin, ancestry, age, height, weight, sex, sexual orientation, gender identity,

domestic partner status, marital status, disability or Acquired Immune Deficiency Syndrome or HIV status (AIDS/HIV status), or association with members of such protected classes, or in retaliation for opposition to discrimination against such classes.

b. **Subcontracts.** Grantee shall incorporate by reference in all subcontracts the provisions of Sections 12B.2(a), 12B.2(c)-(k), and 12C.3 of the San Francisco Administrative Code and shall require all subgrantees to comply with such provisions. Grantee's failure to comply with the obligations in this subsection shall constitute a material breach of this Agreement.

c. **Non-Discrimination in Benefits.** Grantee does not as of the date of this Agreement and will not during the term of this Agreement, in any of its operations in San Francisco or where the work is being performed for the City or elsewhere within the United States, discriminate in the provision of bereavement leave, family medical leave, health benefits, membership or membership discounts, moving expenses, pension and retirement benefits or travel benefits, as well as any benefits other than the benefits specified above, between employees with domestic partners and employees with spouses, and/or between the domestic partners and spouses of such employees, where the domestic partnership has been registered with a governmental entity pursuant to state or local law authorizing such registration, subject to the conditions set forth in Section 12B.2(b) of the San Francisco Administrative Code.

d. **Condition to Contract.** As a condition to this Agreement, Grantee shall execute the "Chapter 12B Declaration: Nondiscrimination in Contracts and Benefits" form (Form CMD-12B-101) with supporting documentation and secure the approval of the form by the San Francisco Contract Monitoring Division.

e. **Incorporation of Administrative Code Provisions by Reference.** The provisions of Chapters 12B and 12C of the San Francisco Administrative Code are incorporated in this Section by reference and made a part of this Agreement as though fully set forth herein. Grantee shall comply fully with and be bound by all of the provisions that apply to this Agreement under such Chapters of the Administrative Code, including the remedies provided in such Chapters. Without limiting the foregoing, Grantee understands that pursuant to Sections 12B.2(h) and 12C.3(g) of the San Francisco Administrative Code, a penalty of fifty dollars (\$50) for each person for each calendar day during which such person was discriminated against in violation of the provisions of this Agreement may be assessed against Grantee and/or deducted from any payments due Grantee.

16.3 RESERVED.

16.4 Tropical Hardwood and Virgin Redwood Ban. Pursuant to § 804(b) of the San Francisco Environment Code, City urges all grantees not to import, purchase, obtain, or use for any purpose, any tropical hardwood, tropical hardwood wood product, virgin redwood or virgin redwood wood product.

16.5 Drug-Free Workplace Policy. Grantee acknowledges that pursuant to the Federal Drug-Free Workplace Act of 1989, the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited on City premises. Grantee and its employees, agents or assigns shall comply with all terms and provisions of such Act and the rules and regulations promulgated thereunder.

16.6 Resource Conservation; Liquidated Damages. Chapter 5 of the San Francisco Environment Code (Resource Conservation) is incorporated herein by reference. Failure by Grantee to comply with any of the applicable requirements of Chapter 5 will be deemed a material breach of contract. If Grantee fails to comply in good faith with any of the provisions of

Chapter 5, Grantee shall be liable for liquidated damages in an amount equal to Grantee's net profit under this Agreement, or five percent (5%) of the total contract amount, whichever is greater. Grantee acknowledges and agrees that the liquidated damages assessed shall be payable to City upon demand and may be offset against any monies due to Grantee from any contract with City.

16.7 Compliance with ADA. Grantee acknowledges that, pursuant to the ADA, programs, services and other activities provided by a public entity to the public, whether directly or through a grantee or contractor, must be accessible to the disabled public. Grantee shall not discriminate against any person protected under the ADA in connection with all or any portion of the Grant Plan and shall comply at all times with the provisions of the ADA.

16.8. Requiring Minimum Compensation for Employees. Grantee shall pay covered employees no less than the minimum compensation required by San Francisco Administrative Code Chapter 12P, including a minimum hourly gross compensation, compensated time off, and uncompensated time off. Grantee is subject to the enforcement and penalty provisions in Chapter 12P. Information about and the text of the Chapter 12P is available on the web at <http://sfgov.org/olse/mco>. Grantee is required to comply with all of the applicable provisions of 12P, irrespective of the listing of obligations in this Section. By signing and executing this Agreement, Grantee certifies that it complies with Chapter 12P.

16.9 Limitations on Contributions. By executing this Agreement, Grantee acknowledges its obligations under section 1.126 of the City's Campaign and Governmental Conduct Code, which prohibits any person who contracts with, or is seeking a contract with, any department of the City for the rendition of personal services, for the furnishing of any material, supplies or equipment, for the sale or lease of any land or building, for a grant, loan or loan guarantee, or for a development agreement, from making any campaign contribution to (j) a City elected official if the contract must be approved by that official, a board on which that official serves, or the board of a state agency on which an appointee of that official serves, (ii) a candidate for that City elective office, or (iii) a committee controlled by such elected official or a candidate for that office, at any time from the submission of a proposal for the contract until the later of either the termination of negotiations for such contract or twelve months after the date the City approves the contract. The prohibition on contributions applies to each prospective party to the contract; each member of Grantee's board of directors; Grantee's chairperson, chief executive officer, chief financial officer and chief operating officer; any person with an ownership interest of more than 10 % in Grantee; any subcontractor listed in the bid or contract; and any committee that is sponsored or controlled by Grantee. Grantee certifies that it has informed each such person of the limitation on contributions imposed by Section 1.126 by the time it submitted a proposal for the grant, and has provided the names of the persons required to be informed to the City department with whom it is contracting.

16.10 First Source Hiring Program. Contractor must comply with all of the provisions of the First Source Hiring Program, Chapter 83 of the San Francisco Administrative Code, that apply to this Agreement, and Contractor is subject to the enforcement and penalty provisions in Chapter 83.

16.11 Prohibition on Political Activity with City Funds. In accordance with San Francisco Administrative Code Chapter 12.G, no funds appropriated by the City and County of San Francisco for this Agreement may be expended for organizing, creating, funding, participating in, supporting, or attempting to influence any political campaign for a candidate or for a ballot measure (collectively, "Political Activity"). The terms of San Francisco Administrative Code Chapter 12.G are incorporated herein by this reference. Accordingly, an employee working in any position funded under this Agreement shall not engage in any Political

Activity during the work hours funded hereunder, nor shall any equipment or resource funded by this Agreement be used for any Political Activity. In the event Grantee, or any staff member in association with Grantee, engages in any Political Activity, then (i) Grantee shall keep and maintain appropriate records to evidence compliance with this section, and (ii) Grantee shall have the burden to prove that no funding from this Agreement has been used for such Political Activity. Grantee agrees to cooperate with any audit by the City or its designee in order to ensure compliance with this section. In the event Grantee violates the provisions of this section, the City may, in addition to any other rights or remedies available hereunder, (i) terminate this Agreement and any other agreements between Grantee and City, (ii) prohibit Grantee from bidding on or receiving any new City contract for a period of two (2) years, and (iii) obtain reimbursement of all funds previously disbursed to Grantee under this Agreement.

16.12 Preservative-treated Wood Containing Arsenic. Grantee may not purchase preservative-treated wood products containing arsenic in the performance of this Agreement unless an exemption from the requirements of Chapter 13 of the San Francisco Environment Code is obtained from the Department of the Environment under Section 1304 of the Code. The term “preservative-treated wood containing arsenic” shall mean wood treated with a preservative that contains arsenic, elemental arsenic, or an arsenic copper combination, including, but not limited to, chromated copper arsenate preservative, ammoniacal copper zinc arsenate preservative, or ammoniacal copper arsenate preservative. Grantee may purchase preservative-treated wood products on the list of environmentally preferable alternatives prepared and adopted by the Department of the Environment. This provision does not preclude Grantee from purchasing preservative-treated wood containing arsenic for saltwater immersion. The term “saltwater immersion” shall mean a pressure-treated wood that is used for construction purposes or facilities that are partially or totally immersed in saltwater.

16.13 Working with Minors. RESERVED.

16.14 Protection of Private Information. Grantee has read and agrees to the terms set forth in San Francisco Administrative Code Sections 12M.2, “Nondisclosure of Private Information,” and 12M.3, “Enforcement” of Administrative Code Chapter 12M, “Protection of Private Information,” which are incorporated herein as if fully set forth. Grantee agrees that any failure of Grantee to comply with the requirements of Section 12M.2 of this Chapter shall be a material breach of the Agreement. In such an event, in addition to any other remedies available to it under equity or law, the City may terminate the Agreement, bring a false claim action against the Grantee pursuant to Chapter 6 or Chapter 21 of the Administrative Code, or debar the Grantee.

16.15 Public Access to Meetings and Records. If Grantee receives a cumulative total per year of at least \$250,000 in City funds or City-administered funds and is a non-profit organization as defined in Chapter 12L of the San Francisco Administrative Code, Grantee shall comply with and be bound by all the applicable provisions of that Chapter. By executing this Agreement, Grantee agrees to open its meetings and records to the public in the manner set forth in Sections 12L.4 and 12L.5 of the Administrative Code. Grantee further agrees to make good-faith efforts to promote community membership on its Board of Directors in the manner set forth in Section 12L.6 of the Administrative Code. Grantee acknowledges that its material failure to comply with any of the provisions of this paragraph shall constitute a material breach of this Agreement. Grantee further acknowledges that such material breach of the Agreement shall be grounds for the City to terminate and/or not renew the Agreement, partially or in its entirety.

16.16 Consideration of Criminal History in Hiring and Employment Decisions.

(a) Contractor agrees to comply fully with and be bound by all of the provisions of Chapter 12T, “City Contractor/Subcontractor Consideration of Criminal History in Hiring and Employment Decisions,” of the San Francisco Administrative Code (“Chapter 12T”), including the remedies provided, and implementing regulations, as may be amended from time to time.

The provisions of Chapter 12T are incorporated by reference and made a part of this Agreement as though fully set forth herein. The text of the Chapter 12T is available on the web at <http://sfgov.org/olse/fco>. Contractor is required to comply with all of the applicable provisions of 12T, irrespective of the listing of obligations in this Section. Capitalized terms used in this Section and not defined in this Agreement shall have the meanings assigned to such terms in Chapter 12T.

(b) The requirements of Chapter 12T shall only apply to a Contractor's or Subcontractor's operations to the extent those operations are in furtherance of the performance of this Agreement, shall apply only to applicants and employees who would be or are performing work in furtherance of this Agreement, and shall apply when the physical location of the employment or prospective employment of an individual is wholly or substantially within the City of San Francisco. Chapter 12T shall not apply when the application in a particular context would conflict with federal or state law or with a requirement of a government agency implementing federal or state law.

16.17 Food Service Waste Reduction Requirements. Grantee agrees to comply fully with and be bound by all of the provisions of the Food Service Waste Reduction Ordinance, as set forth in San Francisco Environment Code Chapter 16, including the remedies provided, and implementing guidelines and rules. The provisions of Chapter 16 are incorporated herein by reference and made a part of this Agreement as though fully set forth. This provision is a material term of this Agreement. By entering into this Agreement, Grantee agrees that if it breaches this provision, City will suffer actual damages that will be impractical or extremely difficult to determine; further, Grantee agrees that the sum of one hundred dollars (\$100) liquidated damages for the first breach, two hundred dollars (\$200) liquidated damages for the second breach in the same year, and five hundred dollars (\$500) liquidated damages for subsequent breaches in the same year is reasonable estimate of the damage that City will incur based on the violation, established in light of the circumstances existing at the time this Agreement was made. Such amount shall not be considered a penalty, but rather agreed monetary damages sustained by City because of Grantee's failure to comply with this provision.

16.18 Slavery Era Disclosure. RESERVED.

16.19 Distribution of Beverages and Water.

(a) **Sugar-Sweetened Beverage Prohibition.** Grantee agrees that it shall not sell, provide, or otherwise distribute Sugar-Sweetened Beverages, as defined by San Francisco Administrative Code Chapter 101, as part of its performance of this Agreement.

(b) **Packaged Water Prohibition.** Grantee agrees that it shall not sell, provide, or otherwise distribute Packaged Water, as defined by San Francisco Environment Code Chapter 24, as part of its performance of this Agreement.

16.20 RESERVED.

16.21 Compliance with Other Laws. Without limiting the scope of any of the preceding sections of this Article 16, Grantee shall keep itself fully informed of City's Charter, codes, ordinances and regulations and all state, and federal laws, rules and regulations affecting the performance of this Agreement and shall at all times comply with such Charter codes, ordinances, and regulations rules and laws.

**Appendix B
DEFINITION OF GRANT PLAN**

(l) **Appendix B.** Appendix B of the Original Agreement is amended to replace and add budget pages as follows:

Budgets	Original Attachment #	Amended Attachment #
FY 19-20 – Pretrial Incarceration Alternatives (PIA)	B-1B	B-1D
FY 19-20 – BJA Grant Pretrial Mental Health Enhancement Project	B-2	B-2A
Summary of Program Budget Changes	C-3	C-4

3. Effective Date. Each of the modifications set forth in Section 2 shall be effective on and after **July 01, 2019.**

4. Legal Effect. Except as expressly modified by this Amendment, all of the terms and conditions of the Grant Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Amendment to the Grant Agreement to be duly executed as of the date first specified herein.

CITY:

GRANTEE:

Recommended by:

**San Francisco Pretrial Diversion
Project, Inc.**



Vicki Hennessy
Sheriff
San Francisco Sheriff's Department



David Mauroff
Chief Executive Officer
236 – 8th Street, Suite E
San Francisco, CA 94103

City Vendor Number: **0000011529**

Approved as to Form:

Dennis J. Herrera
City Attorney

By:



Jana J. Clark
Deputy City Attorney

Attachment B

San Francisco Diversion Project, Inc. – Scope of Work (REVISED)

Program Name: Pretrial Incarceration Alternatives (PIA)

The intended outcome of Pretrial Release Services is to maximize appearances in court and minimize risk to public safety pending trial.

Probable Cause Review and Pretrial Risk Assessment

SFPDP will facilitate the Court's review of law enforcement's bookings into County Jail for Probable Cause through a process that has been developed over the years in collaboration with the Superior Court, Sheriff, and Police Department. 1) Daily, SFPDP Pretrial Release Specialists compile a list of arrested people who remain in custody within 48 hours of arrest. 2) Pretrial Release Specialists collect relevant Police Incident Reports from the arresting agency, and if available, Probable Cause Statements, and subsequently prepare workups for Superior Court's review by on-duty judge within 48 hours of person's arrest. 3) Pretrial Release Specialists and the Superior Court Clerk's Office jointly execute and file finalized paperwork using the Own Recognizance online system created for this purpose. In the event of no Probable Cause, a certified copy will be presented to the Sheriff's Department and Room 101 at the Hall of Justice. Additionally, a notification will be made to the District Attorney's Office. In the event that a judicial officer has reviewed the workup and has not declared Probable Cause within 48 hours of arrest, Pretrial Release Specialists will notify the Sheriff's Department Records Unit. SFPDP has the capacity to complete approximately 23 Probable Cause workups per day. If arrests that require Probable Cause review trends upward, SFPDP will give SFSD and the Court 30 days' notice that Pretrial Release Specialists will be diverted from PSA workups to help complete Probable Cause review as legally mandated.

SFPDP's Pretrial Release Specialists identify all people booked into jail who are eligible for consideration for release on Own Recognizance (OR) under state law, 7 days a week. For those identified as eligible for pre-arraignment OR consideration, per state law, the Pretrial Release Specialists expedite presentation to ensure that the Court reviews those OR Reports via OR Online within 24 hours of the person's identification confirmation. For all those eligible for either pre-Arraignment OR or Arraignment OR consideration, the Pretrial Release Specialists analyze city, state, and federal Record of Arrest and Prosecution (RAP) sheets and the Court Management System. The San Francisco Sheriff's Department and Superior Court have adopted the Laura and John Arnold Foundation's Public Safety Assessment Tool (PSA) to be provided to the Court for OR consideration. Pretrial Release Specialists will complete a PSA by entering 9 factors into an algorithm that produces three risk scores: likelihood to commit a new crime (NCA), likelihood to commit a new violent crime (NVCA), and likelihood of failure to appear in Court (FTA).

While the PSA *measures* pretrial risk, the San Francisco Decision Making Framework (DMF) is the local policy guidance for *managing* pretrial risk and providing consistent recommendations. The DMF contains four steps: *Step 1*) Pretrial Release Specialists will complete a PSA on all bookings for a new offense eligible for Pre-arraignment OR within 24 hours of the Sheriff's Department completing fingerprinting. For those not eligible for Pre-arraignment OR and have charges filed at Rebooking, a PSA will be completed prior to Arraignment Court. Pretrial Release Specialists will utilize the Sheriff's Department fingerprinting logs to ensure that Step 1 is completed as required. *Step 2*) The Pretrial Release Specialists consider the predetermined circumstances and booked offenses contained in Step 2 of the DMF that require an automatic recommendation of "release not recommended." *Step 3*) The NCA and FTA scores are plotted on a matrix that indicates which recommendation will be made: OR-No Active Supervision, OR-Minimum Supervision, SFPDP-Assertive Case Management, or Release Not Recommended. *Step 4*) Pretrial Services staff will consider the predetermined circumstances and booked offenses that would increase the level of supervision ("bump up"). Steps 2 through 4 are programmed into SFPDP's data management system to maximize fidelity.

After completing the PSAs and generating PSA Court Reports in SFPDP's unique data management system, PSA Court Reports are printed for judges in pre-arraignment cases and for judges, public defenders, and district attorneys in arraignment cases. Additionally, Pretrial Services staff will develop an OR Report containing individual and criminal history summary information for each person eligible for pre-arraignment OR and arraignment OR.

SFPDP staff who utilize the criminal history databases will be required to complete an extensive background review by the Sheriff's Department. Staff must biennially pass the California Law Enforcement Telecommunications System Less Than Full Access User examination. Staff must also attain access to the San Francisco Police Department Criminal Justice Database, the Court Management System and the Jail Management System. Furthermore, staff are required by state and federal law to report secondary dissemination of criminal history information by submitting a daily CORI log (Criminal Offender Record Information) to the Sheriff's Department.

Current capacity allows 22 PSAs per day, if those eligible for pre-arraignment OR exceeds 225 per day, SFPDP will notify Court and Sheriff of delay in uploading to the duty officer. This will also impact the ability to complete arraignment PSAs in time. If staffing impacts arraignment PSAs, SFPDP will notify Court and Sheriff of delay. SFPDP will complete PSA Court Reports and OR Reports in booked chronological order by court number, prioritizing pre-arraignment eligible ORs.

Alternatives to Pretrial Incarceration: NAS, MS, and ACM (Pretrial Services)

SFPDP will provide three levels of pretrial monitoring and supervision to which the Court may order for clients as a condition of their release on OR:

1. *No Active Supervision (OR-NAS)*: Provide court date reminders only.
2. *Minimum Supervision (OR-MS)*: Provide court date reminders and monitor twice per week phone reporting.
3. *Assertive Case Management (SFPDP-ACM)*: Release to ACM case managers for supervision, provide court date reminders, monitor up to

4 times per week reporting (2 to 4 in person), and an out of custody assessment of needs.

All clients released under SFPDP's continuum of supervision will receive court reminders prior to each court date. SFPDP will utilize an automated SMS text-messaging service for clients who provide their cellular phone number, or a phone call reminder for those who do not. SFPDP will also provide additional reminders to clients including emails and letters. Minimum Supervision clients will be required to contact SFPDP office 2 times per week by phone. At each contact, clients are asked about their court information, staff confirm contact information, and a general check-in is performed. For the clients released on both No Active Supervision and Minimum Supervision, no other conditions will be introduced by SFPDP unless imposed by the Court.

Clients granted OR release under the Assertive Case Management supervision level will be released from custody directly to an ACM case manager at County Jail #1. ACM case managers will complete a needs assessment and orientation for these clients that includes: collecting current contact information; completing a Triage Assessment; collecting a treatment history summary; introducing clients to the program and services; and providing an understanding of client and case manager expectations.

The ACM case managers will utilize the Triage Score to prioritize the deployment of case management and related resources and assign the client to a specific caseload of Low-ACM, Medium ACM, Intensive-ACM,

SFPDP shall attempt to maintain the following staff to client ratios based on client needs:

- 6 FTE ACM case managers to manage Intensive needs-ACM clients at a ratio of 15:1
- 6 FTE ACM case managers to manage Medium, and Low ACM clients at a ratio of 45:1.
- 1FTE ACM court compliance specialist to monitor compliance with court conditions, provide administrative assistance, and light case management.

Based on this designation and the client's assessed needs and capacity, the case managers will collaborate with the client to create a Treatment Plan, designed with the least restrictive conditions necessary, while at the same time ensuring community safety and court appearances as well as encompassing the minimum level of supervision ordered by the Court. If ACM releases trend upward, SFPDP will give SFSD 30 days' notice and submit requests to the Court to divert compliant lower need ACM clients to a lower level of supervision to shift the case management resources for higher need ACM clients.

ACM Case managers assigned to the Intensive Need clients will escort clients to court and community appointments, provide short-term stabilization housing, and transportation assistance. Clients may also be scheduled for individual counseling or medication assessments with clinical staff. These case managers will also provide outreach services to individuals who miss court dates or other mandated requirements.

In-Custody Referral and Release Program

Defendants not granted release under the continuum of supervision levels at pre-arraignment or arraignment may be referred to ACM for an In-Custody Referral (ICR). SFPDP will

maintain 2 FTEs to manage these ICRs and will perform an in-custody needs assessment that will : collect current contact and outreach information; complete a Triage and safety assessment; collect a treatment history summary; determine personal service needs; and provide an understanding of client and case manager expectations if recommended to our ACM program.

The ICR Case Manager will then perform a safety assessment and create a re-entry plan for clients determined amenable to case management. A release recommendation will be made to the Court within 7 business days that includes this re-entry plan and recommended supervision requirements. If the release recommendation is granted by the Court, the ICR Case Manager will coordinate with Jail Re-entry and outside service providers to maintain continuity of services such as: coordinating prescription medication supply for dispersal at release, coordinating intake date to program with jail release date, coordinating Medi-Cal insurance coverage if necessary, or preparing short-term housing for post-release stabilization.

The ICR Case Managers will manage a caseload ratio of 12:1, and at release, will assign the client to our Low-ACM, Medium ACM, or Intensive-ACM caseload, or (if necessary) keep the client on the ICR caseload if not stabilized in treatment. If the client is designated to the Intensive ACM or ICR caseload, SFPDP will provide additional resources and services to the Intensive Needs ACM clients identified by the Triage Scores and assessment information. ACM or ICR staff will escort clients to court and community appointments, provide short-term stabilization housing with allocated emergency SFSD funds, and transportation assistance through MUNI tokens or by escorting clients to any appointments. Clients may also be scheduled for individual counseling or medication assessments with clinical staff. ACM and ICR Case managers will provide outreach services to individuals who miss court dates or other mandated requirements.

Additionally, the ICR Case Manager will coordinate treatment placement for Transport-Only referrals. They will perform a safety assessment and coordinate with Jail Re-entry and outside service providers to maintain continuity of services such as: coordinating prescription medication supply for dispersal at release, coordinating intake date to program with jail release date, and coordinating Medi-Cal insurance coverage if necessary. ICR staff or the SFSD Community Health Worker will escort the Transport-Only client at release and SFPDP will request to the Court to be relieved of supervision after Transport Report is delivered

Performance Measures

On a monthly basis, SFPDP will provide SFSD with:

- Number of PSAs presented pre-arraignment per month.
- Number of releases pre arraignment per month.
- Number PSAs presented at arraignment per month.
- Number of releases which occur at arraignment per month.
- Average daily count for each supervision level: NAS, MS, ACM ,
- Number of new releases per month for each supervision level: NAS, MS, ACM, including numbers for each ACM client need category (low, medium, intensive).
- **Number of ICR referrals and number released to ACM and number released as transport only.**
- Appearance Rate for each supervision level as defined by percentage of supervised defendants per month who do not have a bench warrant issued for failure to appear.

On a quarterly basis, SFPDP will provide SFSD with:

- Safety Rate for each supervision level as defined by percentage of defendants per quarter who are not arraigned on a new offense or held on probation or parole violations **in San Francisco** during pretrial stage.
- Average length of stay on pretrial supervision for completed and terminated clients.

On a biannual basis, SFPDP will provide SFSD with:

- PSA scores and mandated supervision levels for those who fail to appear or who are arraigned on a new offense or held on probation or parole violations during pretrial stage.

GRANT REQUIREMENTS

All providers must share data and treatment plans with other providers and SFSD staff. Data is not the proprietary property of any provider.

Providers must assist SFSD staff or designated evaluators with data collection and program analysis.

Providers will provide sufficient cross-training so that services are not interrupted due to employee illness and/or vacation.

Providers are required to use Jail Management System (JMS).

Providers must submit data related to outcomes and performance with each monthly invoice. Invoices submitted without data will not be paid.

The monthly Performance Measures are due the 5th day of the new reporting period.

The quarterly Performance Measures are due on the 25th of the month following the end of the Quarterly e.g. October 25th, January 25th, April 25th, and July 25th.

Grantee shall annually have its books audited by a Certified Public Accountant and a copy of said audit report and associated management letter shall be transmitted to the Sheriff or his designee within 180 days of the end of each fiscal year. If Grantee expense \$500,000 or more in Federal funding per year, from any and all Federal grants, said audit shall be conducted in accordance with OMB Circular A-133.

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request**

Agency Name: San Francisco Pretrial Diversion Project Date: 6/7/2019
 Program Title: Pretrial Incarceration Alternatives (PIA) Grant/Contract Period: 7/1/2019-6/30/2020

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 3,444,334
B) Direct Program Operating Expenses	\$ 183,544
C) Program [Sub-]Contract Services	\$ 162,000
D) Program Equipment Purchase	\$ 15,000
X) Non-Program Indirect/Admin/Overhead Costs** (19.35%)	\$ 666,479
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 4,471,357

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Salaries & Fringe Benefits without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs. Please note Indirect/Admin/Overhead is only allowed for Program Salaries & Fringe Benefits.

Agency's Director or Financial Officer  Date: 06.19.19

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Program Budget Approved  6.20.2019
 Sheriff's Chief Financial Officer Date:

Data entry of Approved Budget _____ Date: _____

Accounting Codes (and amounts if more than one): _____

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

A) Program Salary and Fringe Benefits Budget Summary

Position/Title	FTE Equivalent	Hrs/ Wk	Wks/ Yr	Hourly Salary	Salary Amt	Fringe %	Fringe Amt	Total Salary Request
1 Chief Executive Officer	0.675	27	52	73.24	\$102,829	25%	\$25,707	\$ 128,536
2 Chief Operating Officer	0.675	27	52	47.99	\$67,378	25%	\$16,844	\$ 84,222
3 Chief Programs Officer	0.675	27	52	47.99	\$67,378	25%	\$16,844	\$ 84,222
4 Director of Programs (new)	0.675	27	52	42.31	\$59,400	25%	\$14,850	\$ 74,250
5 Training and Development Manager	0.18	7.2	52	34.5	\$12,917	25%	\$3,229	\$ 16,146
6 Pretrial Release Services Supervisor	1	40	52	35.36	\$73,549	25%	\$18,387	\$ 91,936
7 Pretrial Release Services Assistant Supervisor	1	40	52	33.17	\$69,000	25%	\$17,250	\$ 86,250
8 Pretrial Release Specialist 1	6	240	52	25.88	\$322,998	25%	\$80,750	\$ 403,748
9 Pretrial Release Specialist 2 **	6	240	52	32.52	\$405,826	25%	\$101,457	\$ 507,283
10 Judicial Liaison	0.2	8	52	58.93	\$24,515	25%	\$6,129	\$ 30,644
11 ACM Case Manager Supervisor	1	40	52	32.83	\$68,286	25%	\$17,072	\$ 85,358
12 ACM Case Manager Assistant Supervisor	1	40	52	30.77	\$64,000	25%	\$16,000	\$ 80,000
13 Lead ACM Case Manager	1	40	52	30.24	\$62,899	25%	\$15,725	\$ 78,624
14 ACM Case Manager **	12	480	52	27.78	\$693,389	25%	\$173,347	\$ 866,736
15 Court Compliance Specialist **	2	80	52	25.26	\$105,082	25%	\$26,270	\$ 131,352
16 Program Assistant	0.9	36	52	18.96	\$35,498	25%	\$8,874	\$ 44,372
17 Court Team Supervisor	1	40	52	34.50	\$71,760	25%	\$17,940	\$ 89,700
18 Lead Court Liaison	1	40	52	29.30	\$60,944	25%	\$15,236	\$ 76,180
19 Court Liaison	4	160	52	27.78	\$231,130	25%	\$57,782	\$ 288,912
20 ICR Case Manager	2	80	52	27.78	\$115,565	25%	\$28,891	\$ 144,456
21 Clinical Therapist / Case Manager	0.2	8	52	98.86	\$41,126	25%	\$10,281	\$ 51,407
22	0		52		\$0	25%	\$0	\$ -
23	0		52		\$0	25%	\$0	\$ -
24	0		52		\$0	25%	\$0	\$ -
TOTAL FTEs	43.18			Subtotal Salary & Fringe Benefits:				\$ 3,444,334

** Funding Pending Approval in Mayor's FY 19-20 Proposed Budget.

** Pretrial Release Specialist 2 (2.2 FTE's) / ACM Case Manager (1 FTE) / Court Compliance Specialist (1 FTE) = \$324,054
+ Indirect Cost @ 19.34% = \$ 62,705 = TOTAL \$386,759

Please insert additional lines as needed for all positions in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

B) Direct Program Operating Expenses Summary

Expense Item	Description/Purpose		Request Amount
	Monthly Amount (or Cost per Mile)	# of Months (or Miles)	
Office Supplies	\$ 1,125.00	12	\$ 13,500
Printing	\$ 750.00	12	\$ 9,000
Postage	\$ 150.00	12	\$ 1,800
Office Equipment Lease	\$ 1,875.00	12	\$ 22,500
Rent	\$ -	12	\$ -
Utilities	\$ 2,137.50	12	\$ 25,650
Telephone/Cell/Internet	\$ 1,350.00	12	\$ 16,200
Program Staff Training	\$ 1,053.65	12	\$ 12,644
Program Staff Conferences	\$ 1,166.67	12	\$ 14,000
Program Staff Travel	\$ 187.50	12	\$ 2,250
Other (describe):			
Client Flex Fund	\$ 5,500.00	12	\$ 66,000
Other (describe):			\$ -
			\$ -
			\$ -
Accounting Codes (and amounts if more than one):	Subtotal Other Current Expenses:		\$ 183,544

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request**

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

C) Program [Sub-]Contract Services

Consultants/Professional Services*					
Name	Agency	Description of Services	Estimated Cost Per Hour	Estimated Hours	Request Amount
		IT Managed Services			\$ 108,000
		Strategic & Financial Planning			\$ 13,500
		Outcomes Analysis & Reporting			\$ 22,500
		Database Development			\$ 18,000
					\$ -
					\$ -
Other [Sub-]Contract Services (provide description):					
Item (Example):	Description:		Estimated Cost Per Hour	Estimated Hours	Request Amount
					\$ -
					\$ -
					\$ -
					\$ -
Agency's Financial Officer			Subtotal for [Sub-]Contractual Services:		\$ 162,000

*Please submit to SFSD Financial Services a copy of your agency's contract or agreement with each [sub-]contractor/consultant identified in your budget. This is normally a one-time submission. Please contact SFSD Financial Services with any questions regarding this.

Please insert additional lines as needed for all [sub-]contractors/consultants in your program budget and double-check the Subtotal line to verify that the formula includes all applicable cells in range.

San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Budget Request

Agency Name:

San Francisco Pretrial Diversion Project

Date:

6/7/2019

Program Title:

Pretrial Incarceration Alternatives (PIA)

Grant/Contract Period:

7/1/2019-6/30/2020

D) Program Equipment Purchase

Equipment to be purchased	Purpose for Equipment	Request Amount
5 Comp/Monitors	Replacement of Existing \$ 1,200	\$ 6,000
4 Comp/Monitors **	New staff \$ 1,200	\$ 4,800
4 Desk and chairs **	New staff \$ 1,050	\$ 4,200
Subtotal for Equipment Purchases:		\$ 15,000

** Funding Pending Approval in Mayor's FY19-20 Proposed Budget.

** Comp/Monitors / Desk and Chairs = \$9,000

**San Francisco Sheriff's Department
Financial Services
FY 2019-2020 Revised Budget Request**

Agency Name: San Francisco Pretrial Diversion Project Date: 6/7/2019

Program Title: BJA Grant - Pretrial Mental Health Enhancement Project Grant/Contract Period: 7/1/2019 - 6/30/2020

Total Program Budget Summary

Budget Expense Line Items:	Total Budget Request*
A) Program Salaries & Fringe Benefits	\$ 1,970
B) Direct Program Operating Expenses	\$ 16,856
C) Program [Sub-]Contract Services	\$ -
D) Program Equipment Purchase	\$ -
X) Non-Program Indirect/Admin/Overhead Costs** (19.35%)	\$ 381
TOTAL PROGRAM [& NON-PROGRAM] AMOUNT:	\$ 19,207

*Totals must match subtotals on corresponding budget pages. (Hint: complete those pages first and the totals from each page will populate this summary page.)
 **Indirect/Admin/Overhead ("Non-Program") costs cannot exceed 20% of Program Salaries & Fringe Benefits without sufficient justification and SFSD CFO's approval. There is no corresponding budget page for Indirect/Admin/Overhead costs. Please note Indirect/Admin/Overhead is only allowed for Program Salaries & Fringe Benefits.

Agency's Financial Officer  Date: 06.19.19

FOR SHERIFF USE ONLY

Program Budget Approved  Date: 06-20-19
 Sheriff's Chief Financial Officer

Data entry of Approved Budget _____ Date: _____

Index Codes (and amounts if more than one): _____

**San Francisco Pretrial Diversion Project, Inc.
Summary of Program Budget Changes
Amendment # 4**

Pretrial Incarceration Alternatives (PIA)

	Fiscal Year	Contract Term	Contract Amount
Original Contract			
Pretrial Incarceration Alternatives (PIA)	FY 17-18	10/1/2017 - 06/30/2020	\$ 2,005,747
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2020	\$ 2,500,764
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ 2,500,764
Amendment # 1			
BJA Grant - Pretrial Mental Health Enhancement Project	FY 17-18	03/01/2018 - 06/30/2020	\$ 25,610
BJA Grant - Pretrial Mental Health Enhancement Project	FY 18-19	03/01/2018 - 06/30/2020	\$ 76,823
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ 19,207
Amendment # 2			
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 17-18	03/02/2018 - 06/30/2020	\$ 28,403
Pretrial Incarceration Alternatives (PIA) - ICR Case Management	FY 18-19	07/01/2018 - 06/30/2020	\$ 82,053
Amendment # 3			
Pretrial Incarceration Alternatives (PIA)	FY 18-19	07/1/2018 - 06/30/2020	\$ 748,952
Pretrial Incarceration Alternatives (PIA)	FY 19-20	07/01/2019 - 06/30/2020	\$ (2,500,764)
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ (19,207)
Amendment # 4			
Pretrial Release and Diversion Services	FY 19-20	07/01/2019 - 06/30/2020	\$ 3,976,193
FY 19-20 - CODB - 2.5%	FY 19-20	07/01/2019 - 06/30/2020	\$ 99,405
Pretrial Release and Diversion Services - Additional Funding Pending Approval in Mayor's FY19-20 Proposed Budget	FY 19-20	07/01/2019 - 06/30/2020	\$ 395,759
BJA Grant - Pretrial Mental Health Enhancement Project	FY 19-20	03/01/2018 - 06/30/2020	\$ 19,207
TOTALS			\$ 9,958,915



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

2/20/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Pinnacle Brokers Insurance Solutions 2125 Ygnacio Valley Rd. Suite 200 Walnut Creek, CA 94598 www.pinnbrokers.com 0M93299	CONTACT NAME: Pinnacle Brokers Insurance Solutions PHONE (A/C No, Ext): 925-952-8680 E-MAIL ADDRESS: certs@pinnbrokers.com	FAX (A/C No): 925-952-8681	
	INSURER(S) AFFORDING COVERAGE		NAIC #
INSURED San Francisco Pretrial Diversion Project Inc. 236 8th Street Suite D & E San Francisco CA 94103	INSURER A: Scottsdale Insurance Company		41297
	INSURER B: Valley Forge Insurance Company		20508
	INSURER C: Navigators Insurance Company		42307
	INSURER D:		
	INSURER E:		
INSURER F:			

COVERAGES

CERTIFICATE NUMBER: 54207413

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input checked="" type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER	<input checked="" type="checkbox"/>		OPS1585610	9/1/2019	9/1/2020	EACH OCCURRENCE \$2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$300,000 MED EXP (Any one person) \$5,000 PERSONAL & ADV INJURY \$2,000,000 GENERAL AGGREGATE \$2,000,000 PRODUCTS - COMP/OP AGG \$2,000,000 \$
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY			OPS1585610	9/1/2019	9/1/2020	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						<input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE EACH OCCURRENCE \$ AGGREGATE \$ \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	<input type="checkbox"/>	N/A	WC588099697	3/1/2020	3/1/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
A	Professional Liability			OPS1585610	9/1/2019	9/1/2020	\$2,000,000; Aggregate/Each Claim
C	Directors & Officers Liability			NY19DOLV03527NV	9/13/2019	9/13/2020	Limit: \$1,000,000 Retention: D&O - \$10,000 Retention: EPLI - \$25,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

City and County of San Francisco, its officers, agents and employees are named additional insured per the attached endorsement.

CERTIFICATE HOLDER**CANCELLATION**

City and County of San Francisco Attn: Tessie Tina 1 Dr. Carlton B. Goodlett Place City Hall Room 456 San Francisco CA 94102	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE  Carl Canaparo
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ACORD 25 (2016/03)

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09/01/2019

09/01/2020

POLICY NUMBER: OPS1585610

COMMERCIAL GENERAL LIABILITY
CG 20 26 07 04

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – DESIGNATED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

**COMMERCIAL GENERAL LIABILITY COVERAGE PART
SCHEDULE**

Name of Additional Insured Person(s) or Organization(s)

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

Section II - Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by your acts or omissions or the acts or omissions of those acting on your behalf:

- A.** In the performance of your ongoing operations;
or
- B.** In connection with your premises owned by or rented to you.



ATTACHED TO AND FORMING A PART OF POLICY NUMBER	ENDORSEMENT EFFECTIVE DATE (12:01 A.M. STANDARD TIME)	NAMED INSURED	AGENT NO.
		San Francisco Pretrial Diversion Project	Negley Associates 29518

In consideration of the premium charged the following is added to form CG 20 26 07 04:

City & County of San Francisco - San Francisco
 Sheriff's Department,
 1 Dr. Carlton B. Goodlett Pl. Suite #456
 San Francisco, CA 84102

Alameda County Superior Court
 c/o Lori Rodekohr
 661 Washington St.
 Oakland, CA 94607

CA Community Dispute Services
 Attn: Paula Bullock
 149 Natoma St., Suite #300
 San Francisco, CA 94102-4514

Superior Court of California
 Attn: Susan Patrick
 400 McAllister St., Room 205
 San Francisco, CA 94102-4514

City & County of San Francisco
 its officers, agents and employees
 850 Bryant St., Room 322
 San Francisco, CA 94103

The Office of District Attorney of George Gasco
 City and County of San Francisco
 850 Bryant St., Room 322
 San Francisco, CA 94103



SCOTTSDALE INSURANCE COMPANY[®]

**ENDORSEMENT
NO.**

ATTACHED TO AND FORMING A PART OF POLICY NUMBER	ENDORSEMENT EFFECTIVE DATE (12:01 A.M. STANDARD TIME)	NAMED INSURED	AGENT NO.
		San Francisco Pretrial Diversion Project	Negley Associates 29518

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED (VICARIOUS)—DESIGNATED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

**PROFESSIONAL LIABILITY COVERAGE PART
PROFESSIONAL LIABILITY COVERAGE FORM**

SCHEDULE

Name of Person or Organization:

In consideration of the premium charged, the coverage afforded under the Coverage Part/Form is extended to the Person or Organization designated above as an Additional Insured but only for any vicarious liability imposed upon the Additional Insured for the negligence of the Named Insured. There is no coverage for the Person or Organization listed above for its sole negligence or any other negligence unless it is the negligence of the Named Insured and such negligence arises directly from the Named Insured's activities performed for the Additional Insured.



ATTACHED TO AND FORMING A PART OF POLICY NUMBER	ENDORSEMENT EFFECTIVE DATE (12:01 A.M. STANDARD TIME)	NAMED INSURED	AGENT NO.
		San Francisco Pretrial Diversion Project	Negley Associates 29518

In consideration of the premium charged the following is added to form CLS-59s (4-10):

City & County of San Francisco - San Francisco
 Sheriff's Department,
 1 Dr. Carlton B. Goodlett Pl. Suite #456
 San Francisco , CA 84102

CA Community Dispute Services
 Attn: Paula Bullock
 149 Natoma St., Suite #300
 San Francisco, CA 94102-4514

Superior Court of California
 Attn: Susan Patrick
 400 McAllister St., Room 205
 San Francisco, CA 94102-4514

City & County of San Francisco
 its officers, agents and employees
 850 Bryant St., Room 322
 San Francisco, CA 94103

The Office of District Attorney of George Gasco
 City and County of San Francisco
 850 Bryant St., Room 322
 San Francisco, CA 94103

Pinnacle Brokers Insurance Solutions
2125 Ygnacio Valley Rd, Suite 200
Walnut Creek, CA 94598

MAIL DOCUMENT

Certificate of Insurance Delivery by **ecertsonline™**

City and County of San Francisco
Attn: Tessie Tina
1 Dr. Carlton B. Goodlett Place
City Hall Room 456
San Francisco CA 94102

Sender: Mary Montserrat

Phone: 925-952-8680

Subject: Cert No. 54207413 - Certificate of Liability: San Francisco Pretrial Diversion Project Inc. -

Date: 2/20/2020

No. of Pages: 6

URL: www.pinnbrokers.com

Hello

Attached please, find our renewal certificate of insurance.

Thank you

THIS MESSAGE IS INTENDED FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THE MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA REGULAR POSTAL SERVICE.



OFFICE OF THE SHERIFF CITY AND COUNTY OF SAN FRANCISCO

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**PAUL MIYAMOTO
SHERIFF**

March 19, 2020
Reference: CFO 2020-004

Angela Calvillo, Clerk of the Board
Board of Supervisors
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102-4689

Re: 5th Amendment – San Francisco Pretrial Diversion Project, Inc. (SF Pretrial)

Dear Ms. Calvillo,

Attached please find a proposed resolution for review and approval by the Board of Supervisors.

The resolution authorizes the Sheriff's Office to enter into a 5th amendment to the existing grant agreement between the City and County of San Francisco and SF Pretrial, and to extend the agreement by one year for a total term of October 01, 2017, through June 30, 2021, and increase the agreement amount by \$5,905,402 for a total amount not to exceed \$15,864,317, pursuant to Charter Section 9.118(b).

The following is a list of accompanying documents:

- Proposed Resolution
- 5th Amendment with SF Pretrial
- Pretrial Incarceration Alternatives (PIA) – Copy of original agreement and four amendments
- SFEC Form 126(f)4
- Certificate of Insurance

Please contact Crispin Hollings (415) 554-4316 or Lorena Marquez (415) 554-7427 if you require more information. Thank you.

Sincerely,



Crispin Hollings
Chief Financial Officer



San Francisco Ethics Commission

25 Van Ness Avenue, Suite 220, San Francisco, CA 94102

Phone: 415.252.3100 . Fax: 415.252.3112

ethics.commission@sfgov.org . www.sfethics.org

Received On:

File #:

200335

Bid/RFP #:

RFP SHF 2017-03

Notification of Contract Approval

SFEC Form 126(f)4

(S.F. Campaign and Governmental Conduct Code § 1.126(f)4)

A Public Document

Each City elective officer who approves a contract that has a total anticipated or actual value of \$100,000 or more must file this form with the Ethics Commission within five business days of approval by: (a) the City elective officer, (b) any board on which the City elective officer serves, or (c) the board of any state agency on which an appointee of the City elective officer serves. For more information, see: <https://sfethics.org/compliance/city-officers/contract-approval-city-officers>

1. FILING INFORMATION

TYPE OF FILING	DATE OF ORIGINAL FILING (for amendment only)
Original	
AMENDMENT DESCRIPTION – Explain reason for amendment	

2. CITY ELECTIVE OFFICE OR BOARD

OFFICE OR BOARD	NAME OF CITY ELECTIVE OFFICER
Board of Supervisors	Members

3. FILER'S CONTACT

NAME OF FILER'S CONTACT	TELEPHONE NUMBER
Angela Calvillo	415-554-5184
FULL DEPARTMENT NAME	EMAIL
office of the clerk of the Board	Board.of.Supervisors@sfgov.org

4. CONTRACTING DEPARTMENT CONTACT

NAME OF DEPARTMENTAL CONTACT	DEPARTMENT CONTACT TELEPHONE NUMBER
Lorena Marquez	415-554-7427
FULL DEPARTMENT NAME	DEPARTMENT CONTACT EMAIL
SHF San Francisco Sheriff's Office	Lorena.Marquez@sfgov.orgSa

5. CONTRACTOR	
NAME OF CONTRACTOR San Francisco Pretrial Diversion Project, Inc.	TELEPHONE NUMBER 415-626-4995
STREET ADDRESS (including City, State and Zip Code) 236 - 8th Street, Suite E San Francisco, CA 94103	EMAIL davidm@sfpretrial.org

6. CONTRACT		
DATE CONTRACT WAS APPROVED BY THE CITY ELECTIVE OFFICER(S)	ORIGINAL BID/RFP NUMBER RFP SHF 2017-03	FILE NUMBER (If applicable) 200335
DESCRIPTION OF AMOUNT OF CONTRACT \$15,864,317		
NATURE OF THE CONTRACT (Please describe) Pretrial Incarceration Alternatives (PIA) The 5th Grant Agreement Amendment between the San Francisco Sheriff's Office and San Francisco Pretrial Diversion Project, Inc. is to extend the agreement by one year for a total term of October 01, 2017 through June 30, 2021, and increase the agreement amount by \$5,905,402 for a total amount not to exceed \$15,864,317. The increased expenditures and extended term under the amendment will enable SF Pretrial to continue to handle the increased workload following the court's decision in re Humphrey and the Buffin settlement and resulting changes in detention practices.		

7. COMMENTS

8. CONTRACT APPROVAL	
This contract was approved by:	
<input type="checkbox"/>	THE CITY ELECTIVE OFFICER(S) IDENTIFIED ON THIS FORM
<input checked="" type="checkbox"/>	A BOARD ON WHICH THE CITY ELECTIVE OFFICER(S) SERVES Board of Supervisors
<input type="checkbox"/>	THE BOARD OF A STATE AGENCY ON WHICH AN APPOINTEE OF THE CITY ELECTIVE OFFICER(S) IDENTIFIED ON THIS FORM SITS

9. AFFILIATES AND SUBCONTRACTORS

List the names of (A) members of the contractor's board of directors; (B) the contractor's principal officers, including chief executive officer, chief financial officer, chief operating officer, or other persons with similar titles; (C) any individual or entity who has an ownership interest of 10 percent or more in the contractor; and (D) any subcontractor listed in the bid or contract.

#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
1	Mauroff	David	CEO
2	Bubois	Jeff	Other Principal Officer
3	Pickett	Kerith	CFO
4	Ahluwalia	Mamta	Board of Directors
5	wolkin	Betsy	Board of Directors
6	Monico Klein	Kate	Board of Directors
7	Amrathithada	David	Board of Directors
8	Ford	Isela	Board of Directors
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9. AFFILIATES AND SUBCONTRACTORS

List the names of (A) members of the contractor’s board of directors; (B) the contractor’s principal officers, including chief executive officer, chief financial officer, chief operating officer, or other persons with similar titles; (C) any individual or entity who has an ownership interest of 10 percent or more in the contractor; and (D) any subcontractor listed in the bid or contract.

#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
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9. AFFILIATES AND SUBCONTRACTORS

List the names of (A) members of the contractor’s board of directors; (B) the contractor’s principal officers, including chief executive officer, chief financial officer, chief operating officer, or other persons with similar titles; (C) any individual or entity who has an ownership interest of 10 percent or more in the contractor; and (D) any subcontractor listed in the bid or contract.

#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
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Check this box if you need to include additional names. Please submit a separate form with complete information. Select “Supplemental” for filing type.

10. VERIFICATION

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information I have provided here is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

<p>SIGNATURE OF CITY ELECTIVE OFFICER OR BOARD SECRETARY OR CLERK</p> <p>BOS Clerk of the Board</p>	<p>DATE SIGNED</p>
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