

File No. 221109

Committee Item No. 6

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance Committee

Date November 30, 2022

Board of Supervisors Meeting

Date _____

Cmte Board

- | | | |
|-------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

- | | | |
|-------------------------------------|--------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>2018 Repurposed Earmark Request and Approved List</u> |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>Sloat Infrastructure Improvements Project Programming</u> |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>PLN CEQA Checklist/CalTrans CEQA Determination Form</u> |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
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| <input type="checkbox"/> | <input type="checkbox"/> | _____ |

Completed by: Brent Jalipa

Date November 22, 2022

Completed by: Brent Jalipa

Date _____

1 [Accept and Expend Grant - Retroactive - 2018 Repurposed Federal Earmark - Sloat
2 Infrastructure Improvements - \$163,513]

3 **Resolution retroactively authorizing the Department of Public Works to accept and**
4 **expend an earmark grant of \$163,513 from the Federal Highway Administration 2018**
5 **Earmark Repurposing Final Approved List to fund the construction of the Sloat**
6 **Infrastructure Improvements project for a term of September 16, 2021, through**
7 **December 1, 2023; authorizing Public Works to execute all required documents for the**
8 **project; and affirming the Planning Department’s Determination under the California**
9 **Environmental Quality Act.**

10

11 WHEREAS, On March 13, 2007, the City and County of San Francisco Board of
12 Supervisors adopted Resolution No. 134-07, a copy of which is on file with the Clerk of the
13 Board of Supervisors in File No. 070261, and is incorporated herein by reference as though
14 fully set forth; and

15 WHEREAS Resolution No. 134-07 authorizes the Director of Public Works to execute
16 Master Agreements, Program Supplemental Agreements, Fund Exchange Agreements, Fund
17 Transfer Agreements, and any amendments thereto with the California Department of
18 Transportation; and

19 WHEREAS, The Department of Transportation Appropriations Act, 2018 allows states
20 to repurpose any earmark that was designated on or before September 30, 2007, and is less
21 than 10 percent obligated or final vouchered and closed; and

22 WHEREAS, On May 9, 2018, the Federal Highway Administration (FHWA) issued
23 guidance on the implementation of earmark repurposing provisions contained in the
24 Department of Transportation Appropriations Act, 2018; and

25

1 WHEREAS, The repurposed funds may be obligated on a new or existing project in the
2 state within 50 miles of the earmark designation; and

3 WHEREAS, On August 13, 2018, the Department of Public Works (“Public Works”)
4 submitted a request to repurpose \$163,513 of federal grant funds originally designated and
5 budgeted for the 19th Avenue Streetscape Improvement project to be used for the Great
6 Highway Restoration and Reconfiguration project (“Project”); and

7 WHEREAS, The 2018 Earmark Repurposing Final Approved List by FHWA, on file with
8 the Clerk of the Board of Supervisors in File No. 221109, includes \$163,513 for the Project
9 (“2018 Repurposed Earmark”); and

10 WHEREAS, The second phase of the Project includes improved pedestrian
11 accessibility on Sloat Boulevard from Great Highway to Skyline Boulevard; and

12 WHEREAS, The Planning Department has determined that the actions contemplated in
13 this Resolution comply with the California Environmental Quality Act (California Public
14 Resources Code Sections 21000 et seq.) (“CEQA Determination”); said CEQA Determination
15 is on file with the Clerk of the Board of Supervisors in File No. 221109 and is incorporated
16 herein by reference; and

17 WHEREAS, The Project budget includes matching funds of \$32,703 which will be
18 provided through Transportation Development Act Article 3 funds; and

19 WHEREAS, The Project budget includes \$18,906 for the reimbursement of the City’s
20 indirect costs now, therefore be it

21 RESOLVED, That the Board of Supervisors adopts the CEQA Determination as its
22 own; and, be it

23 FURTHER RESOLVED, That the Board of Supervisors retroactively authorizes Public
24 Works to accept and expend up to \$163,513 in 2018 Repurposed Earmark for the Project
25 described above for a term of September 16, 2021, through December 1, 2023; and, be it

1 FURTHER RESOLVED, That the Director of Public Works or the Director's designee is
2 authorized to execute all required documents for receipt of 2018 Repurposed Earmark funds
3 and to take further action in accordance with the 2018 Repurposed Earmark requirements;
4 and, be it

5 FURTHER RESOLVED, That within 30 days of the 2018 Repurposed Earmark
6 agreement being executed by all parties, Public Works shall provide the final agreement to the
7 Clerk of the Board for inclusion in File No. 221109.

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Recommended:

_____ /s/

Carla Short

Interim Director, San Francisco Public Works

Approved: _____ /s/

Mayor

Approved: _____ /s/

Controller

c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements?
Yes

d. Is this likely to be a one-time or ongoing request for contracting out?
One-time request.

11. a. Does the budget include indirect costs?
 Yes No

b. 1. If yes, how much?
\$18,906

b. 2. How was the amount calculated?
San Francisco Public Works FY21/22 Indirect Cost Plan

c. 1. If no, why are indirect costs not included?

Not allowed by granting agency To maximize use of grant funds on direct services
 Other (please explain):

c. 2. If no indirect costs are included, what would have been the indirect costs?
Not Applicable

12. Any other significant grant requirements or comments:
None

****Disability Access Checklist***(Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)**

13. This Grant is intended for activities at (check all that apply):

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Existing Site(s) | <input type="checkbox"/> Existing Structure(s) | <input checked="" type="checkbox"/> Existing Program(s) or Service(s) |
| <input type="checkbox"/> Rehabilitated Site(s) | <input type="checkbox"/> Rehabilitated Structure(s) | <input type="checkbox"/> New Program(s) or Service(s) |
| <input type="checkbox"/> New Site(s) | <input checked="" type="checkbox"/> New Structure(s) | |

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;
2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;
3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

Comments:

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

Kevin Jensen

(Name)

Disability Access Coordinator

(Title)

Date Reviewed: 9/15/2022



(Signature Required)

Department Head or Designee Approval of Grant Information Form:

Carla Short

(Name)

Interim Director, San Francisco Public Works

(Title)

9/19/2022 | 11:23:03 AM PDT

Date Reviewed: _____



(Signature Required)



Sloat Infrastructure Improvements
Construction Budget

Fund Source	Amount
2018 Repurposed Earmark	\$ 163,513
Transportation Development Act, Article 3	\$ 171,086
Construction Total	\$ 334,599

PROGRAM SUPPLEMENT NO. F101
 to
 ADMINISTERING AGENCY-STATE AGREEMENT
 FOR FEDERAL-AID PROJECTS NO 04-5934F15

Adv. Project ID
 0421000184

Date: October 04, 2021
 Location: 04-SF-0-CR
 Project Number: FERPL18-5934(186)
 E.A. Number:
 Locode: 5934

This Program Supplement hereby adopts and incorporates the Administering Agency-State Agreement for Federal Aid which was entered into between the Administering Agency and the State on 08/30/2016 and is subject to all the terms and conditions thereof. This Program Supplement is executed in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. _____ approved by the Administering Agency on (See copy attached).

The Administering Agency further stipulates that as a condition to the payment by the State of any funds derived from sources noted below obligated to this PROJECT, the Administering Agency accepts and will comply with the special covenants or remarks set forth on the following pages.

PROJECT LOCATION: Sloat Blvd at intersections with 45th and 46th Avenue

TYPE OF WORK: Pedestrian and Bike Path

LENGTH: 0.0(MILES)

Estimated Cost	Federal Funds		Matching Funds	
	RPS1		LOCAL	OTHER
\$281,131.00	\$163,512.93		\$117,618.07	\$0.00

COUNTY OF SAN FRANCISCO

STATE OF CALIFORNIA
 Department of Transportation

By _____

By _____

Title _____

Chief, Office of Project Implementation
 Division of Local Assistance

Date _____

Attest _____

Date _____

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer 

Date 10/12/21

\$163,512.93

SPECIAL COVENANTS OR REMARKS

1.
 - A. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the current published Local Assistance Procedures Manual.
 - B. ADMINISTERING AGENCY agrees that it will only proceed with work authorized for specific phase(s) with an "Authorization to Proceed" and will not proceed with future phase(s) of this project prior to receiving an "Authorization to Proceed" from the STATE for that phase(s) unless no further State or Federal funds are needed for those future phase(s).
 - C. STATE and ADMINISTERING AGENCY agree that any additional funds which might be made available by future Federal obligations will be encumbered on this PROJECT by use of a STATE-approved "Authorization to Proceed" and Finance Letter. ADMINISTERING AGENCY agrees that Federal funds available for reimbursement will be limited to the amounts obligated by the Federal Highway Administration.
 - D. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer within 60 days of project contract award and prior to the submittal of the ADMINISTERING AGENCY'S first invoice for the construction contract.

Failure to do so will cause a delay in the State processing invoices for the construction phase. Attention is directed to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.

E. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE's approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumbrances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.

SPECIAL COVENANTS OR REMARKS

F. Administering Agency shall not discriminate on the basis of race, religion, age, disability, color, national origin, or sex in the award and performance of any Federal-assisted contract or in the administration of its DBE Program Implementation Agreement. The Administering Agency shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of Federal-assisted contracts. The Administering Agency's DBE Implementation Agreement is incorporated by reference in this Agreement. Implementation of the DBE Implementation Agreement, including but not limited to timely reporting of DBE commitments and utilization, is a legal obligation and failure to carry out its terms shall be treated as a violation of this Agreement. Upon notification to the Administering Agency of its failure to carry out its DBE Implementation Agreement, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).

G. Any State and Federal funds that may have been encumbered for this project are available for disbursement for limited periods of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act to the applicable fund Reversion Date shown on the State approved project finance letter. Per Government Code Section 16304, all project funds not liquidated within these periods will revert unless an executed Cooperative Work Agreement extending these dates is requested by the ADMINISTERING AGENCY and approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's Office and the Department of Finance; in order for payment to be made, the last date the District Local Assistance Engineer can forward an invoice for payment to the Department's Local Programs Accounting Office for reimbursable work for funds that are going to revert at the end of a particular fiscal year is May 15th of the particular fiscal year. Notwithstanding the unliquidated sums of project specific State and Federal funding remaining and available to fund project work, any invoice for reimbursement involving applicable funds that is not received by the Department's Local Programs Accounting Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid. These unexpended funds will be irrevocably reverted by the Department's Division of Accounting on the applicable fund Reversion Date.

H. As a condition for receiving federal-aid highway funds for the PROJECT, the Administering Agency certifies that NO members of the elected board, council, or other key decision makers are on the Federal Government Exclusion List. Exclusions can be found at www.sam.gov.

SPECIAL COVENANTS OR REMARKS

2.
 - A. ADMINISTERING AGENCY shall conform to all State statutes, regulations and procedures (including those set forth in the Local Assistance Procedures Manual and the Local Assistance Program Guidelines, hereafter collectively referred to as "LOCAL ASSISTANCE PROCEDURES") relating to the federal-aid program, all Title 23 Code of Federal Regulation (CFR) and 2 CFR Part 200 federal requirements, and all applicable federal laws, regulations, and policy and procedural or instructional memoranda, unless otherwise specifically waived as designated in the executed project-specific PROGRAM SUPPLEMENT.
 - B. Invoices shall be formatted in accordance with LOCAL ASSISTANCE PROCEDURES.
 - C. ADMINISTERING AGENCY must have at least one copy of supporting backup documentation for costs incurred and claimed for reimbursement by ADMINISTERING AGENCY. ADMINISTERING AGENCY agrees to submit supporting backup documentation with invoices if requested by State. Acceptable backup documentation includes, but is not limited to, agency's progress payment to the contractors, copies of cancelled checks showing amounts made payable to vendors and contractors, and/or a computerized summary of PROJECT costs.
 - D. Indirect Cost Allocation Plan/Indirect Cost Rate Proposals (ICAP/ICRP), Central Service Cost Allocation Plans and related documentation are to be prepared and provided to STATE (Caltrans Audits & Investigations) for review and approval prior to ADMINISTERING AGENCY seeking reimbursement of indirect costs incurred within each fiscal year being claimed for State and federal reimbursement. ICAPs/ICRPs must be prepared in accordance with the requirements set forth in 2 CFR, Part 200, Chapter 5 of the Local Assistance Procedural Manual, and the ICAP/ICRP approval procedures established by STATE.
 - E. STATE will withhold the greater of either two (2) percent of the total of all federal funds encumbered for each PROGRAM SUPPLEMENT or \$40,000 until ADMINISTERING AGENCY submits the Final Report of Expenditures for each completed PROGRAM SUPPLEMENT PROJECT.
 - F. Payments to ADMINISTERING AGENCY for PROJECT-related travel and subsistence (per diem) expenses of ADMINISTERING AGENCY forces and its contractors and subcontractors claimed for reimbursement or as local match credit shall not exceed rates authorized to be paid rank and file STATE employees under current State Department of Personnel Administration (DPA) rules. If the rates invoiced by ADMINISTERING AGENCY are in excess of DPA rates, ADMINISTERING AGENCY is responsible for the cost difference, and any overpayments inadvertently paid by STATE shall be reimbursed to STATE by ADMINISTERING AGENCY on demand within thirty (30) days of such invoice.

SPECIAL COVENANTS OR REMARKS

G. ADMINISTERING AGENCY agrees to comply with 2 CFR, Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirement for Federal Awards.

H. ADMINISTERING AGENCY agrees, and will assure that its contractors and subcontractors will be obligated to agree, that Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31, et seq., shall be used to determine the allowability of individual PROJECT cost items.

I. Every sub-recipient receiving PROJECT funds under this AGREEMENT shall comply with 2 CFR, Part 200, 23 CFR, 48 CFR Chapter 1, Part 31, Local Assistance Procedures, Public Contract Code (PCC) 10300-10334 (procurement of goods), PCC 10335-10381 (non-A&E services), and other applicable STATE and FEDERAL regulations.

J. Any PROJECT costs for which ADMINISTERING AGENCY has received payment or credit that are determined by subsequent audit to be unallowable under 2 CFR, Part 200, 23 CFR, 48 CFR, Chapter 1, Part 31, and other applicable STATE and FEDERAL regulations, are subject to repayment by ADMINISTERING AGENCY to STATE.

K. STATE reserves the right to conduct technical and financial audits of PROJECT WORK and records and ADMINISTERING AGENCY agrees, and shall require its contractors and subcontractors to agree, to cooperate with STATE by making all appropriate and relevant PROJECT records available for audit and copying as required by the following paragraph:

ADMINISTERING AGENCY, ADMINISTERING AGENCY'S contractors and subcontractors, and STATE shall each maintain and make available for inspection and audit by STATE, the California State Auditor, or any duly authorized representative of STATE or the United States all books, documents, papers, accounting records, and other evidence pertaining to the performance of such contracts, including, but not limited to, the costs of administering those various contracts and ADMINISTERING AGENCY shall furnish copies thereof if requested. All of the above referenced parties shall make such AGREEMENT, PROGRAM SUPPLEMENT, and contract materials available at their respective offices at all reasonable times during the entire PROJECT period and for three (3) years from the date of submission of the final expenditure report by the STATE to the FHWA.

L. ADMINISTERING AGENCY, its contractors and subcontractors shall establish and maintain a financial management system and records that properly accumulate and segregate reasonable, allowable, and allocable incurred PROJECT costs and matching funds by line item for the PROJECT. The financial management system

SPECIAL COVENANTS OR REMARKS

of ADMINISTERING AGENCY, its contractors and all subcontractors shall conform to Generally Accepted Accounting Principles, enable the determination of incurred costs at interim points of completion, and provide support for reimbursement payment vouchers or invoices set to or paid by STATE.

M. ADMINISTERING AGENCY is required to have an audit in accordance with the Single Audit Act of 2 CFR 200 if it expends \$750,000 or more in Federal Funds in a single fiscal year of the Catalogue of Federal Domestic Assistance.

N. ADMINISTERING AGENCY agrees to include all PROGRAM SUPPLEMENTS adopting the terms of this AGREEMENT in the schedule of projects to be examined in ADMINISTERING AGENCY's annual audit and in the schedule of projects to be examined under its single audit prepared in accordance with 2 CFR, Part 200.

O. ADMINISTERING AGENCY shall not award a non-A&E contract over \$5,000, construction contracts over \$10,000, or other contracts over \$25,000 [excluding professional service contracts of the type which are required to be procured in accordance with Government Code sections 4525 (d), (e) and (f)] on the basis of a noncompetitive negotiation for work to be performed under this AGREEMENT without the prior written approval of STATE. Contracts awarded by ADMINISTERING AGENCY, if intended as local match credit, must meet the requirements set forth in this AGREEMENT regarding local match funds.

P. Any subcontract entered into by ADMINISTERING AGENCY as a result of this AGREEMENT shall contain provisions B, C, F, H, I, K, and L under Section 2 of this agreement.

3. Appendix E of the Title VI Assurances (US DOT Order 1050.2A)

During the performance of this agreement, the ADMINISTERING AGENCY, ADMINISTERING AGENCY'S contractors and subcontractor, (hereinafter referred to as the "contractor") agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

Pertinent Nondiscrimination Authorities:

A. Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.

B. The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);

C. Federal-Aid Highway Act of 1973, (23 U.S.C. 324 et seq.), (prohibits discrimination on the basis of sex);

D. Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. 794 et seq.), as

SPECIAL COVENANTS OR REMARKS

amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;

E. The Age Discrimination Act of 1975, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of age);

F. Airport and Airway Improvement Act of 1982, (49 U.S.C. 4 71, Section 4 7123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);

G. The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not);

H. Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;

I. The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);

J. Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;

K. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

L. Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

2018 EARMARK REPURPOSING FINAL APPROVED LIST BY FHWA

District	RTPA	Sponsoring Agency	New Project Description	Demo ID	RPS1	RPF1
04	Metropolitan Transportation Commission	San Francisco City/County Department of Public Works	Great Highway Restoration & Reconfiguration	CA786	\$163,512.93	\$0.00
04	Metropolitan Transportation Commission	Santa Clara Valley Transportation Authority	US 101 Express Lanes Phase 5	CA254	\$352,000.00	\$0.00
04	Metropolitan Transportation Commission	Santa Clara Valley Transportation Authority		CA515	\$2,926,274.74	\$650,080.00
05	Santa Barbara County Association of Governments	County of Santa Barbara		Rehabilitate sections of Alamo Pintado, Ocean Avenue, Constellation Road, Jalama Road, Harris Grade Road, San Miguelito Road and/or Santa Rosa Road	CA090	\$6,595.47
05	Santa Barbara County Association of Governments	County of Santa Barbara	CA115		\$1,809.84	\$97,794.00
05	Santa Barbara County Association of Governments	County of Santa Barbara	CA156		\$3,621.61	\$32,599.00
05	Santa Barbara County Association of Governments	County of Santa Barbara	CA284		\$28,348.19	\$0.00
05	Santa Barbara County Association of Governments	County of Santa Barbara	CA310		\$119,026.25	\$406,299.00
05	Santa Barbara County Association of Governments	County of Santa Barbara	CA523		\$1,277.76	\$8,126.00
11	San Diego Association of Governments	City of San Diego	State Route 163 Friars Road Interchange and adjacent ramps and roadways		CA771	\$86,891.36
					\$3,602,466.79	\$1,292,692.00

**2018 Earmark Repurposing
RTPA Programming Information
Proposed Project: Great Highway Restoration**

Project Schedule and Funding

Schedule	Date (mm/yy)
Project Study Report Approved	N/A
Begin Environmental Phase	04/16
Circulate Draft Environmental Document	N/A
Draft Project Report	N/A
End Environmental Phase	12/16
Begin Design Phase	07/17
End Design Phase	08/18
Begin Right-of-Way Phase	07/17
End Right-of-Way Phase	09/17
Begin Construction Phase	03/19
End Construction Phase	09/19
Begin Closeout Phase	09/19
End Closeout Phase	10/19

Preliminary Engineering

* Add additional lines as necessary

Fund Source	Amount	Planned Federal Fiscal Year	Authorizing Agency	Date Approved
Federal Emergency Relief	\$795,000	15/16	FHWA	7/11/2016
Prop K	\$103,001	15/16	SFCTA	7/13/2016
TOTAL:	\$898,001			

Right-of-Way

* Add additional lines as necessary

Fund Source	Amount	Planned Federal Fiscal Year	Authorizing Agency	Date Approved
N/A				
TOTAL:	\$0			

Construction/Non-Infrastructure

* Add additional lines as necessary

Fund Source	Amount	Planned Federal Fiscal Year	Authorizing Agency	Date Approved
Federal Emergency Relief	\$3,074,865	18/19	FHWA	TBD
Repurposed Earmark	\$163,513	18/19	FHWA	TBD
Prop K	\$1,105,067	18/19	SFCTA	TBD
General Fund	\$158,094	18/19	San Francisco Public Works	18/19
TOTAL:	\$4,501,539			

2018 Earmark Repurposing Fact Sheet

Proposed Project: Great Highway Restoration

Sponsor: San Francisco Public Works
Implementing Agency: San Francisco Public Works
Location (City/County/etc): City and County of San Francisco
Limits (A to B/Varioues): Great Highway between Sloat Boulevard and Skyline Boulevard
Type of Work: Permanent Restoration includes reconfiguring the existing northbound lanes into a northbound/southbound configuration. This will preserve the roadway's function while restoring the roadway to its predisaster condition and improving the resiliency to prevent future damage. The roadway may be widened to create the shoulder and some utility relocation may be needed.

Is this an existing Federally Programmed Project? (Y/N) Yes No **TIP ID:** SF-110005

Phase(s) of Work to Utilize	PE	No	Con	Yes
	R/W	No	N/I	No

Repurposed Earmark Projects (Source of Funds for this proposed project)

Demo ID #	Leg. Reimburse Rate	Program Code(s)	Dist to Proposed Project (Miles)	Allocated Amount*	Obligated Amount*	Unobligated Balance*	Special Obligational Authority*	Additional Apportionment*
CA786	100.00%	LY60	1.7	\$990,000.00	\$826,487.07	\$163,512.93	\$163,512.93	\$0.00

Add additional lines as necessary
 * See Earmark Project Lists (Greater than 10% and Less than 10%) at:
<http://www.dot.ca.gov/hg/LocalPrograms/earmark/earmark2018.htm>

Approval:
 I certify that the information is accurate and correct. The repurposed earmark project was designated on or before September 30, 2007, and is less than 10% obligated or final vouchered and closed. The proposed project is STBGP eligible, is within 50 miles of the original earmark description, will be obligated on or before September 30, 2021, and meets all requirements of Earmark Repurposing.

Local Sponsor Agency:
 San Francisco Public Works Bruce Robertson *B. Robertson* 8/13/18
Agency **Name** **Signature/Date**

RTPA Representative Authorized to Commit Repurposing:
 Metropolitan Transportation Commission Alix A. Bockelman *Alix A. Bockelman* 8/14/18
Agency **Name** **Signature/Date**

DLAE Concurrence:

Name **Signature/Date**

Transportation Improvement Program (TIP)
Programming Information for Federal Request for Authorization (RFA)

- To Be Submitted To Caltrans With Request For Authorization Of Federal Highway Funding -

Great Highway Restoration **ACTIVE** **CTIPS ID: 20600004629**

TIP ID: SF-110005 **TIP Status:** ACTIVE **Version:** 11 **FMS ID:** 4659.00 **TIP Revision:** 2021-03 **TIP Revision Approval Date:** 05-21-2021
Sponsor: San Francisco Dept of Public Works (SFDPW) **Implementing Agency:** San Francisco Dept of Public Works (SFDPW)

County: San Francisco **Project Type:** Other **ITS Project:** No **State Highway Rte:**
Trans. System: Local Road **Purpose:** Maintenance/Rehabilitation/Replacement **Project Review:** AA
Primary Mode: AUTO:100% **Sub Mode:** AUTO:100%

Project Name: Great Highway Restoration
Project Description: San Francisco: Great Hwy from Sloat to Skyline (Phase 1): Restore and stabilize roadway, stop bluff slides, and protect infrastructure; Sloat from Great Hwy to Skyline (Phase 2): Restore and improve ped accessibility
Expanded Description: San Francisco: Great Hwy from Sloat to Skyline (Phase 1): Restore and stabilize roadway, stop bluff slides, and protect infrastructure. Use permanent means, acceptable to the National Park Service, to stabilize the toe, top and face of the slope and restore vegetation (erosion control). Restore pavement as necessary. First phase of Emergency work is complete. Emergency measures included placing 1- to 4-ton armor rock at the toe of the ocean bluff in the area of the slide and stabilizing the bluff face/top by piles, soil improvement, buried seawall, etc; Sloat from Great Hwy to Skyline (Phase 2): Restore and improve ped accessibility. With the planned closure of the Great Highway (southbound) between Sloat Blvd and Skyline Blvd, there will be impacts to the existing traffic circulation in the Ocean Beach area. Traffic volumes are anticipated to increase along Sloat Blvd as a result of the closure. Restoring pedestrian accessibility infrastructure (e.g., curb ramps, detectable tiles, sid

RTP Description: Local and Streets and Roads - Existing Conditions
RTP ID: 17-10-0022 **RTP Cycle:** Plan Bay Area 2040 **RTP Page No:**

Regional Air Quality Status: EXEMPT (40 CFR 93.126) - Projects that correct, improve, or eliminate a hazardous location or feature

Air Basin / Air District Information	CMAQ Emissions Reduction Benefit (kg/day)	Project Air Quality Conformity Summary Information
Air Basin: San Francisco Bay Area Air District: Bay Area AQMD	VOC: 0 PM25: 0 CO: 0 NOX: 0 PM10: 0 CO2: 0	Next Step: Project Conformity Analysis complete Responsible Party:

TIP Funding: (All Funding in Whole Dollars)

Fund Code	Phase	Prog Year	Total	Prior	TIP 4-Year Period				Later	Obligation Information				Toll Credits
					FY 20/21	FY 21/22	FY 22/23	FY 23/24		Fund No.	Fed Proj No.	Date	Amount	
TDA3	PSE	2021	\$75,000		\$75,000									
ERS-T2	PE	2016	\$795,000	\$795,000							ER-4802(002)	07/11/16	\$795,000	
SALESTAX-MEASURE-SF	PE	2016	\$103,000	\$103,000										
OTHER LOCAL	ROW	2015	-	-										
EARMARK-T5-RE	CON	2021	\$163,513		\$163,513					CA786				
ERS-T2	CON	2011	\$2,898,100	\$2,898,100										
ERS-T2	CON	2017	-	-										
GENERAL FUND	CON	2021	\$197,000		\$197,000									
OTHER LOCAL	CON	2016	-	-										
SALESTAX-MEASURE-SF	CON	2017	\$347,495	\$347,495										
Project Totals			\$4,579,108	\$4,143,595	\$435,513	\$0	\$0	\$0	\$0				\$795,000	

Contact Information	Name & Title	Agency	Address	Phone	Email
Project Sponsor Contact:	Elizabeth Ramos Robles, Budget Analyst	SF DPW	1155 Market St. 4th Floor San Francisco	415-554-4069	elizabeth.ramos@sfdpw.org
Implementing Agency Contact:	Elizabeth Ramos Robles, Budget Analyst	SF DPW	1155 Market St. 4th Floor San Francisco	415-554-4069	elizabeth.ramos@sfdpw.org
Sponsor Single Point of Contact:	Oscar Quintanilla	SF DPW	1155 Market St. 4th Floor San Francisco	415-554-5847	oscar.quintanilla@sfdpw.org

End of Project Version: 11

End of TIP ID: SF-110005

California Department of Transportation

DISTRICT 4 OFFICE OF LOCAL ASSISTANCE
P.O. BOX 23660, MS-10B | OAKLAND, CA 94623-0660
(510) 960-0803 | FAX (510) 286-5229 | TTY 711
www.dot.ca.gov



September 16, 2021

Ms. Carla Short
Interim Director
San Francisco Public Works
49 South Van Ness Avenue, Suite 1600
San Francisco, CA 94103

Attention: Mr. Edmund Lee, Project Manager

Dear Director:

The Authorization to Proceed for construction phase has been approved for the Sloat Infrastructure Improvements Project, FERPL18-5934(186). A copy of the authorization document (E-76) is attached for your project files. The effective date of approval is September 16, 2021.

Please refer to Chapter 10, Consultant Selection, and Chapter 15, Advertise and Award Project, of the Local Assistance Procedures Manual (LAPM) that sets forth the procedures for consultant/construction contract procurements as well as project advertisement and award of the construction contract. You can find the current version of the LAPM as well as Office Bulletins, the latest policy and procedural changes, at the Division of Local Assistance's website (<https://dot.ca.gov/programs/local-assistance/>). For consultant contract estimates over \$500,000 and construction contract estimates over \$2 million, Exhibit 9-D, "DBE Contract Goal Methodology", of the LAPM must be submitted to <dbegoal.gfe@dot.ca.gov> and approved prior to advertising.

After advertising the project for bids, please send us one copy of the "as advertised" plans and special provisions. A minimum 21-day advertisement period is required for all projects. Any addenda must be approved by your agency and documented in your project files. Exhibit 15-C, "Local Agency Project Advertising Checklist", and Exhibit 15-I, "Local Agency Bid Opening Checklist", of the LAPM will be helpful during this period and must be in your project files. You must award the contract to the lowest responsive and responsible bidder. If award is not to the lowest bidder, you must provide written documentation in your project files. Federal law prohibits local preferences to bidders as well as requiring any bidder to obtain a license before submitting a bid. The contractor must have a valid State contractor's license for

award of the contract. The Form FHWA-1273 must be physically incorporated into the construction contract, subcontracts and lower-tier subcontracts; referencing Form FHWA-1273 separately or as part of project's other contract document(s) is not acceptable. Immediately after award of the contract and prior to the first invoice of the construction phase you must submit us a complete award package including the following documents:

- Local Agency Contract Award Checklist (Exhibit 15-L)
- Engineer's Estimate
- Low Bidder's Proposal
- Construction Contract DBE Commitment (Exhibit 15-G)
- If DBE Goal is not attained, "Proposer/Contractor Good Faith Efforts" (Exhibit 15-H) filled out by the contractor and your Agency's "Evaluation of Good Faith Efforts" Memorandum (Exhibit 9-E)
- Bid Tabulation Summary Sheet (Exhibit 15-D)
- Detail Estimate (Exhibit 15-M)
- Project Authorization/Adjustment Request (LAPM 3-A)
- Resident Engineer's Construction Contract Administration Checklist (Exhibit 15-B)

A sample award transmittal letter is attached to help you in reporting the above documents. Failure to submit complete and accurate project documentation may result in the de-obligation of funds on this project.

In addition to the above award package submittal, immediately after issuing a Notice to Proceed to the contractor, e-mail a copy of the notice to Jimmy Panmai, Area Engineer, at <Jimmy.Panmai@dot.ca.gov> and Siobhan Saunders, Construction Oversight Engineer, at <siobhan.saunders@dot.ca.gov>.

It is imperative that records for this project are clear, well organized, up to date, and complete. All contract documentation and backup records must be available at any time for review by Caltrans and FHWA personnel. Use of a uniform project filing system, together with diligent maintenance of the system, greatly facilitates a process review and minimizes negative findings. The project files must be available at a single location using the uniform project filing system. Also, material sampling and testing requirements must follow your approved Quality Assurance Program per Chapter 16, Administer Construction Contracts, of the LAPM.

Any unforeseen or additional work to be added to the contract must be within the project scope/limits as defined in the approved NEPA document and right of way

certification regardless of its funding sources. Non-compliance will jeopardize the federal eligibility of the entire project. All change orders are to be approved by your agency in advance of any work being done on the change. Procedures for administering a contract change order (CCO) can be found in Chapter 16 of the LAPM. If a CCO requires additional federal funds beyond that shown on the E-76 authorization, you must receive additional authorization of funds before you approve the change order, otherwise, reimbursement for the additional work cannot be claimed.

Prior to invoicing us for eligible project costs, you must assure that the master and project supplemental agreements are fully executed. During Covid-19 exemption period and until notified otherwise, please submit invoices via email to Mr. Michael Hufana <Michael.Hufana@dot.ca.gov> and copy me <Jimmy.Panmai@dot.ca.gov>.

Please note that you must submit an invoice for this project at least every six months to avoid inactivity and loss of federal funds.

If you have any questions, please contact me at (510) 507-9943.

Sincerely,



TEPPITAK PANMAI
Senior Transportation Engineer (Limited Term)

Enclosures

1. Authorization/Agreement Summary – (E-76)
2. Top Five Local Agency Issues – Reminder
3. Sample Award Transmittal Letter

AUTHORIZATION / AGREEMENT SUMMARY - (E-76)

CALIFORNIA DEPARTMENT OF TRANSPORTATION

FEDERAL AID PROGRAM

DLA LOCATOR: 04-SF-0-CR
 PREFIX: FERPL18
 PROJECT NO: 5934(186)
 SEQ NO: 1
 STATE PROJ NO: 0421000184L-N
 ALT. PROJ NO:
 AGENCY: SAN FRANCISCO
 ROUTE:
 DISASTER NO:

PROJECT LOCATION:
 SLOAT BOULEVARD AT INTERSECTIONS WITH 45TH AND 46TH AVENUE
 TYPE OF WORK:
 PEDESTRIAN PATHWAY, INCLUDING SIDEWALK, CURB RAMPS, AND
 FED RR NO'S:
 PUC CODES:
 PROJ OVERSIGHT: ASSUMED/LOCAL ADMIN
 ENV STATUS / DT: 05/12/2021
 RW STATUS / DT: 1 07/26/2021
 INV RTE:
 BEG MP:
 END MP:
 BRIDGE NO:

PREV AUTH / AGREE DATES:

PE:
 R/W:
 CON:
 SPR:
 MCS:
 OTH:

PROJECT END DATE (PED): 12/01/2023

TIP DATA

MPO: MTC
 FSTIP YR: 20/21
 STIP REF: 206-0000-4629
 FSTIP ID NO: SF-110005

<u>PROG CODE</u>	<u>LINE NO</u>	<u>IMPV TYPE</u>	<u>FUNC SYS</u>	<u>URBAN AREA</u>	<u>URB/RURAL</u>	<u>DEMO ID</u>
RPS1	30	06				CA786
RPS1	31	17				CA786

FUNDING SUMMARY

<u>PHASE</u>		<u>PROJECT COST</u>	<u>FEDERAL COST</u>	<u>AC COST</u>
PE	PE PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	PE THIS REQUEST	\$0.00	\$0.00	\$0.00
	PE SUBTOTAL	\$0.00	\$0.00	\$0.00
R/W	RW PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	RW THIS REQUEST	\$0.00	\$0.00	\$0.00
	RW SUBTOTAL	\$0.00	\$0.00	\$0.00
CON	CON PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	CON THIS REQUEST	\$281,131.00	\$163,512.93	\$0.00
	CON SUBTOTAL	\$281,131.00	\$163,512.93	\$0.00
OTH	OTH PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	OTH THIS REQUEST	\$0.00	\$0.00	\$0.00
	OTH SUBTOTAL	\$0.00	\$0.00	\$0.00
TOTAL:		\$281,131.00	\$163,512.93	\$0.00

STATE REMARKS

08/30/2021 this sequence is for CON and CE
 09/02/2021 SEQ 1: Authorizing Earmark Repurposing funds Demo ID (CA786) for Construction and CE. Non-participating utility cost: \$500.

FEDERAL REMARKS

AUTHORIZATION

AUTHORIZATION TO PROCEED WITH REQUEST: CON
 FOR: CONSTRUCTION & CE
 DOCUMENT TYPE: AAGR

PREPARED IN FADS BY: MILITANTE, MARCO
 REVIEWED IN FADS BY: SAFAIE, FRANK
 SUBMITTED IN FADS BY: AMBROSINI, ADAM
 PROCESSED IN FADS BY: SIGNATURE, NOT_REQUIRED
 E-76 AUTHORIZED DATE IN FMIS BY: TASIA PAPAJOHN

ON 2021-08-31 622-5919
 ON 2021-09-02 653-5345
 ON 2021-09-03 FOR CALTRANS
 ON 2021-09-03 FOR FHWA
 ON 2021-09-15 18:38:44.0

SIGNATURE HISTORY FOR PROJECT NUMBER 5934(186) AS OF 09/16/2021

FHWA FMIS SIGNATURE HISTORY

<u>MOD #</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
0	SHUN HUEY	09/10/2021
	SHUN HUEY	09/10/2021
	TASIA PAPAJOHN	09/15/2021

FHWA FMIS 3.0 SIGNATURE HISTORY

CALTRANS SIGNATURE HISTORY

<u>DOCUMENT TYPE</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
AUTH/AGREE	AMBROSINI, ADAM	09/03/2021

****DON'T LOSE YOUR FEDERAL MONEY****

Top FIVE LOCAL AGENCY ISSUES

1. **Improper Procurement Practices**- Failure to follow required consultant contract procurement process per federal regulations and outlined in Caltrans Local Assistance Procedures Manual. Significant violations include:
 - Missing key documents in project procurement file, i.e. proof of advertising, all score sheets, profit negotiations, cost estimates etc.
 - Selection criteria's weighted values are not included in the RFPs/RFQs.
 - Selection of consultant was not based on the RFP/RFQ stated selection criteria and stated selection criteria's weighted values.
 - A detailed Independent cost estimate was not prepared before proposals are received.
 - Profit was not negotiated as a separate cost item.

2. **Contract Administration for Consultant's Services Contracts**- Failure to maintain contract administration systems and proper management to ensure consultants perform in accordance with the terms and conditions of contracts. Significant violations include:
 - Amending an expired contract.
 - Making significant changes to the original scope of a contract.
 - Charging rates not consistent with the contract cost proposal.
 - Making changes in key personnel without prior approval.
 - Adding classifications or personnel without prior approval.
 - Method of payment is inconsistent with method of payment stated in the RFP and the signed contract.

3. **Contract Administration for Construction Contracts** – Failure to maintain a contract administration system to ensure payments to contractors are supported by project records. Significant violations include:
 - Line Item quantities are not supported by project records
 - Construction Change Orders (CCO) are not supported by independent cost estimates, were not properly approved and/or costs claimed are not properly documented.
 - CCO's work is not within the scope of the original contract, i.e. Contractor caused damage and repair.

4. **Conflict of Interest**- Agency employees and consultants potentially violate conflict of interest laws and regulations by making decisions for which the Agency employee, or consultant acting on behalf of the Agency, could benefit financially.

5. **Improper Charge of Indirect Costs**- You are in violation when you charge indirect costs without an approved Indirect Cost Rate from Caltrans Audits & Investigations. This includes any indirect cost charged by the Agency, or Division/Department etc. of the Agency. This also includes any Cognizant approved rates received by the Agency from someone other than Caltrans Audits & Investigations.

Note: Caltrans Division of Local Assistance (DLA) reminds you to avoid these common mistakes that have resulted in the loss of federal fund by local agencies.

LOCAL AGENCY AWARD PACKAGE TRANSMITTAL

(Local Agency Letterhead)

(Today's Date)

Mr./Ms. Xxxx Xxxx

Local Assistance Area Engineer
Caltrans, District 4, Office of Local Assistance
Mail Station 10-B
111 Grand Avenue/Mail: P.O. Box 23660
Oakland, CA 94623-0660

Dear Mr./Ms. Xxxx:

Re: (Project Number, Location and Description)

We have awarded the construction contract for the subject federal-aid project to (Contractor's Name) for the amount of \$(Dollar Amount).

Attached are copies of the award information as required by the Local Assistance Procedures Manual:

- Local Agency Contract Award Checklist (Exhibit 15-L)
- Engineer's Estimate
- Low Bidder's Proposal
- Local Agency Bidder's Construction Contracts DBE Commitment (Exhibit 15-G)
- DBE Information – Good Faith Efforts (Exhibit 15-H) filled out by the contractor and the agency's "Evaluation of Good Faith Efforts" memorandum (Exhibit 9-E)
- Bid Tabulation Summary Sheet (Exhibit 15-D)
- Detail Estimate (Exhibit 15-M)
- Project Authorization/Adjustment Request (LAPM 3-A)
- Resident Engineer's Construction Contract Administration Checklist (Exhibit 15-B)

Please review and process these documents so that the E-76 can be revised as necessary to reflect project cost. We will submit progress invoices as well as our Final Invoice and Final Report of Expenditures (FROE) for review and processing.

If during construction the participating costs overrun this estimate and there are federal funds available, we will submit a revised request for authorization (RFA) package to modify the E-76 authorization.

You may direct any questions to (Your Name) at (Email Address) or (Phone Number).

Sincerely,

Signature and Title



ABBREVIATED CEQA CHECKLIST FOR Better Streets Plan Improvement Projects

Please include the following supporting materials with this checklist:

- Project Description and scope of work
- Existing and Proposed* Site plans
- Site photos
- Scope of work for: Air Quality Analysis Tech Memo *(if applicable)*¹
- Green House Gas Emission Checklist² *(if applicable)*

I - PROJECT INFORMATION	
DATE	
PROJECT NAME	
LOCATION/ NEIGHBORHOOD	
CONSTRUCTION DURATION	
II - PROJECT CONTACT	
RESPONSIBLE AGENCY	
NAME	
ADDRESS	
PHONE	
EMAIL	
III - PROJECT CHARACTERISTICS	
STREET TYPE ³	<input type="checkbox"/> Varies (See attachment _____) OR Provide a description: Residential Thoroughway
STREET NAME	
⁴ FROM (CROSS-STREET 1) TO (CROSS-STREET 2)	

¹ Individual projects prepared pursuant to the BSP would be required to undergo a separate environmental review that would consider whether the Proposed Project's location and construction plan could affect nearby sensitive receptors - p. 123 of the BSP's PMND - [Contact EP planner for a copy of scope of work outline].

² Individual streetscape projects would be required to undergo a separate environmental review pursuant to CEQA. The environmental review would include an analysis of the individual project's potential to emit GHGs. p.128 of the BSP's PMND. [Contact EP planner for a copy of GHG Checklist].

³ See Table 1 in PMND and verify final list of street types with the online version of the BSP.

⁴ Street type determines what elements are appropriate for a design element. Different blocks of the same street may be characterized as different street types pursuant to BSP. Therefore, need to provide boundaries for project segments.

PROJECT NAME:

PROJECT SCREENING PART I

(On the table below, please identify BSP's design elements that are part of the proposed project)

DETAILED DESIGNED ELEMENTS

STANDARD IMPROVEMENTS

BSP NUMBER/ NAME	PROJECT ELEMENT	Requires Subsequent Environmental Review⁵ (EP PLANNER DETERMINATION ONLY)
SI-1 Accessible curb ramps	<input type="checkbox"/>	<input type="checkbox"/>
SI-2 Marked crosswalks	<input type="checkbox"/>	<input type="checkbox"/>
SI-3 Pedestrian signal timing	<input type="checkbox"/>	<input type="checkbox"/>
SI-4 Curb radii guidelines	<input type="checkbox"/>	<input type="checkbox"/>
SI-5 Corner curb extensions	<input type="checkbox"/>	<input type="checkbox"/>
SI-6 Street trees	<input type="checkbox"/>	<input type="checkbox"/>
SI-7 Tree basin furnishing	<input type="checkbox"/>	<input type="checkbox"/>
SI-8 Sidewalk planters	<input type="checkbox"/>	<input type="checkbox"/>
SI-9 Stormwater management tools	<input type="checkbox"/>	<input type="checkbox"/>
SI-10 Street lighting	<input type="checkbox"/>	<input type="checkbox"/>
SI-11 Special paving	<input type="checkbox"/>	<input type="checkbox"/>
SI-12 Site furnishings	<input type="checkbox"/>	<input type="checkbox"/>

CASE-BY-CASE IMPROVEMENTS

CBC-1 High-visibility crosswalk	<input type="checkbox"/>	<input type="checkbox"/>
CBC-2 Special crosswalk	<input type="checkbox"/>	<input type="checkbox"/>
CBC-3 Vehicle turning movements	<input type="checkbox"/>	<input type="checkbox"/>
CBC-4 Removal or reduction of permanent crosswalk closures	<input type="checkbox"/>	<input type="checkbox"/>

⁵ Please check analysis in PMND to determine if design element has been cleared under CEQA. For example, as stated in p.89 of the BSP's PMND the implementation of RTOR prohibition at intersections that experience high volumes of right-turning movements (greater than 300 vehicles in the peak hour) or have near-side bus stops would require additional study and environmental review.

PROJECT NAME:

PROJECT SCREENING PART I CONT.

NUMBER/ NAME	PROJECT ELEMENT	REQUIRES SUBSEQUENT ENVIRONMENTAL REVIEW ⁶ (DO NOT FILL IN, THIS SECTION IS FOR EP PLANNER DETERMINATION ONLY)
CBC-5 Mid-block crosswalks	<input type="checkbox"/>	<input type="checkbox"/>
CBC-6 Raised crosswalks	<input type="checkbox"/>	<input type="checkbox"/>
CBC-7 Extended bulb-outs	<input type="checkbox"/>	<input type="checkbox"/>
CBC-8 Mid-block blub-out	<input type="checkbox"/>	<input type="checkbox"/>
CBC-9 Center or side medians	<input type="checkbox"/>	<input type="checkbox"/>
CBC-10 Pedestrian refugee islands	<input type="checkbox"/>	<input type="checkbox"/>
CBC-11 Transit bulb-out	<input type="checkbox"/>	<input type="checkbox"/>
CBC-12 Transit boarding islands	<input type="checkbox"/>	<input type="checkbox"/>
CBC-13 Perpendicular or angled parking	<input type="checkbox"/>	<input type="checkbox"/>
CBC-14 Flexible use of parking	<input type="checkbox"/>	<input type="checkbox"/>
CBC-15 Parking lane planters	<input type="checkbox"/>	<input type="checkbox"/>
CBC-16 Chicanes	<input type="checkbox"/>	<input type="checkbox"/>
CBC-17 Traffic calming circles	<input type="checkbox"/>	<input type="checkbox"/>
CBC-18 Roundabouts	<input type="checkbox"/>	<input type="checkbox"/>
CBC-19 Pocket parks	<input type="checkbox"/>	<input type="checkbox"/>
CBC-20 Reuse of 'pork chops'	<input type="checkbox"/>	<input type="checkbox"/>
CBC-21 Boulevard treatments	<input type="checkbox"/>	<input type="checkbox"/>

⁶ Please check analysis in PMND to determine if design element has been cleared under CEQA. For example, as stated in p.89 of the BSP's PMND the implementation of RTOR prohibition at intersections that experience high volumes of right-turning movements (greater than 300 vehicles in the peak hour) or have near-side bus stops would require additional study and environmental review.

PROJECT NAME:

PROJECT SCREENING PART I CONT.

NUMBER/ NAME	PROJECT ELEMENT	REQUIRES SUBSEQUENT ENVIRONMENTAL REVIEW ⁷ <i>(DO NOT FILL IN, THIS SECTION IS FOR EP PLANNER DETERMINATION ONLY)</i>
CBC-22 Shared public ways	<input type="checkbox"/>	<input type="checkbox"/>
CBC-23 Pedestrian-only streets	<input type="checkbox"/>	<input type="checkbox"/>
CBC-24 Public stairs	<input type="checkbox"/>	<input type="checkbox"/>
CBC-25 Multi-use paths	<input type="checkbox"/>	<input type="checkbox"/>
CBC-26 Above-ground landscaping	<input type="checkbox"/>	<input type="checkbox"/>

OTHER DESIGN IMPROVEMENTS IN THE BETTER STREETS PLAN (BSP)
(Not identified above)

DESIGN ELEMENT NAME	BSP PAGE NUMBER	
		<input type="checkbox"/>

(EP PLANNER COMMENTS):

⁷ Please check analysis in PMND to determine if design element has been cleared under CEQA. For example, as stated in p.89 of the BSP's PMND the implementation of RTOR prohibition at intersections that experience high volumes of right-turning movements (greater than 300 vehicles in the peak hour) or have near-side bus stops would require additional study and environmental review.

PROJECT NAME:

PROJECT SCREENING PART I CONT.
(On the table below, please identify BSP's design elements that are part of the proposed project. If any of the questions listed below pertain to this project, please answer "YES". If none apply, indicate so by checking the red box below.)

IDENTIFY STORM WATER FACILITIES THAT ARE PART OF THE PROJECT

	Project Element	Requires Subsequent Environmental Review ⁸ (FOR EP PLANNER DETERMINATION ONLY)
Permeable Paving	<input type="checkbox"/>	<input type="checkbox"/>
Bioretention Facilities	<input type="checkbox"/>	<input type="checkbox"/>
Swales	<input type="checkbox"/>	<input type="checkbox"/>
Infiltration Boardwalks	<input type="checkbox"/>	<input type="checkbox"/>
Infiltration and Soakage Trench	<input type="checkbox"/>	<input type="checkbox"/>
Channels and Runnels	<input type="checkbox"/>	<input type="checkbox"/>
Vegetated Buffer Strip	<input type="checkbox"/>	<input type="checkbox"/>
Vegetated Gutter	<input type="checkbox"/>	<input type="checkbox"/>
Other (describe stormwater improvements)	<input type="checkbox"/>	<input type="checkbox"/>

If none of the above BSP design elements apply, please indicate so by checking this box

(EP PLANNER COMMENTS):

⁸ Please check analysis in PMND to determine if design element has been cleared under CEQA. For example, as stated in p.89 of the BSP's PMND the implementation of RTOR prohibition at intersections that experience high volumes of right-turning movements (greater than 300 vehicles in the peak hour) or have near-side bus stops would require additional study and environmental review.

PROJECT NAME:

PROJECT SCREENING PART II
(If any of the questions listed below pertain to this project, please answer "YES". If none apply, indicate so by checking the red box below.
Note: If you answer "YES" to any of the questions listed below, this checklist may not be utilized, and therefore, and Environmental Evaluation application must be filled.)

TRANSPORTATION/CIRCULATION

Does the project include right turn on red (RTOR) at locations where the peak hour right-turning traffic volume exceeds 300 vehicles per hour; or require any removal of multiple turn lanes; or the bus stop is located in the near side?	Yes
Does the project include removal of crosswalk closures?	Yes
Does the project include mid-block crosswalks on a two-way street where traffic volumes exceed 500 vehicles per hour in either direction during the peak hour?	Yes
Does the project include roundabouts?	Yes
Does the project include pedestrian-only streets on a street where through traffic is greater than 100 vehicles per hour in the peak hour, or there is transit service, or there are driveways or parking garages, or loading activities cannot be accommodated during off-peak hours?	Yes
Does the project include multi-use paths? ⁹	Yes
Does the project include shared public ways on streets with park garages with parking spaces > 100, or through traffic > 100 cars per hours, or transit service?	Yes

PROJECT ELEMENTS THAT WILL REQUIRE TECH SPEC EVALUATION:¹⁰
(If the project includes any of the elements listed below, the project will require Tech Spec Evaluation).

HISTORICAL/ARCHEO RESOURCES
(All applications need preliminary review for potential impacts to archeological resources pursuant to EP practice.)

Is the proposed project located within a potential historic district or on a street adjacent to a historic landmark? Please state the name of the historic district or historic landmark: _____	Yes
Does the proposed project involve an identified historic resource among the following: street furniture, light standards, signage, curbs, places, bricks, walls, and other paving materials? Please identify the historic elements that are part of the proposed project: _____	Yes
Does the proposed project involve removal of trees adjacent to historic resources?	Yes

If none of the above BSP design elements apply, please indicate so by checking this box

⁹ The BSP does not provide guidance on the location or design of Multi-use Paths. Therefore, at the time a location for implementation is proposed, it would be subject to site-specific environmental review.

¹⁰ EP NEEDS TO DETERMINE HOW COORDINATION WILL OCCUR

PROJECT NAME:

PROJECT SCREENING PART III					
<i>Project elements that would require implementation of Mitigation Measures and Monitoring Reports organized by CEQA Topic.</i>					
CEQA Topic	Sub-topic	Meet criteria/threshold: ¹¹ Yes/No or N/A	Requires mitigation measure: Yes/No	Potential impacts differ from PMND analysis (Y/N). If "Yes" briefly describe on a separate sheet.	Project Sponsor Agrees to Implement Mitigation Measures
Aesthetics					
Does the proposed project involve removal of significant trees? Yes <input type="checkbox"/> No <input type="checkbox"/>	Significant trees	N/A			<input type="checkbox"/>
Does the project involve tree root trimming? Yes <input type="checkbox"/> No <input type="checkbox"/> If so, is tree root trimming greater than two inches? Yes <input type="checkbox"/> No <input type="checkbox"/>		N/A	Aesthetics Tree Root Protection Mitigation Measure M-AE-1 applies if trimming of roots are greater than two (2) inches in diameter (p.53).		<input type="checkbox"/>
<input type="checkbox"/> None of the above CEQA topics apply to the project					
Historical/Archeological Resources					
Does the project require excavation depth greater than two (2) feet? Yes <input type="checkbox"/> No <input type="checkbox"/>	Accidental discovery		Archeological Accidental Discovery mitigation measure Cul-1 applies to all projects except for those occurs in an area within Hispanic Period Archeological District (p.64).		<input type="checkbox"/>
Does the project occur in an area within the Hispanic Period Archeological District? ¹² Yes <input type="checkbox"/> No <input type="checkbox"/>	Hispanic Period District		Archeological Monitoring Hispanic Period mitigation measure Cul-2 applies (p.64).		<input type="checkbox"/>
<input type="checkbox"/> None of the above CEQA topics apply to the project					
Transportation and Circulation					
Does the project include removal of loading spaces? Yes <input type="checkbox"/> No <input type="checkbox"/>	Loading		Provision of New Loading Space, Mitigation Measure TR-1 (p.78).		<input type="checkbox"/>

¹¹ The Project sponsor should discuss with EP planner how to proceed with projects that do not meet the PMND's thresholds.

¹² **TO BE EVALUATED BY EP PLANNER.** The Spanish Period Map is not available for public review due to the sensitivity of the archeological resources encountered in the area.

PROJECT NAME:

PROJECT SCREENING PART III CONT.					
<i>Project elements that would require implementation of Mitigation Measures and Monitoring Reports organized by CEQA Topic.</i>					
Air Quality					
	Construction impacts		Dust Control Plan, Mitigation Measure AQ-1 applies to ALL projects (p.120).		
Biological Resources					
Does the project include tree removal? Yes <input type="checkbox"/> No <input type="checkbox"/>	Nesting birds		Nesting Birds Mitigation Measure M-Bio-1 (p.151).		
Biological Resources (Cont.)					
What is the expected duration period of construction? _____	Nesting birds		Nesting Birds Mitigation Measure M-Bio-1 (p.151).		
Which months would construction occur? _____	Nesting birds		Nesting Birds Mitigation Measure M-Bio-1 (p.151).		
Hazardous Materials					
Does the project occur in an area within the Maher-designated area? ¹³ Yes <input type="checkbox"/> No <input type="checkbox"/>	Determination of contaminated soil		Hazardous Materials Mitigation Measure M-HAZ-1 (p.161).		
(EP PLANNER COMMENTS):					

¹³ www.sfdph.org/dph/EH/HazWaste/MaherSiteMap.asp

PROJECT NAME:

This section is to be filled by EP Planner. Use check boxes to indicate type of review conducted (as applicable). Leave blank if not applicable to the Project.

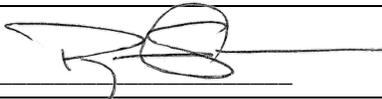
- Project was screened for potential impacts to archeological resources pursuant to EP practice.
- Project was screened by a Tech Spec for potential impacts to historical resources pursuant to EP practice.
- Applicable Mitigation Measures are applied to the project.
- Green House Gas analysis performed and approved by EP.
- Air Quality Memo approved by EP.
- The project was reviewed by DPH and DTSC, and a memo of concurrence was submitted to EP (for projects within the Maher Layer only).
- PMND was reviewed and no items were identified that would require subsequent environmental review.

CEQA Determination

- Note to file, contingent upon regulatory agency approval or other information, as follows:
 - Note to file (no additional documentation required)
 - Addendum
 - Supplemental EIR or MND

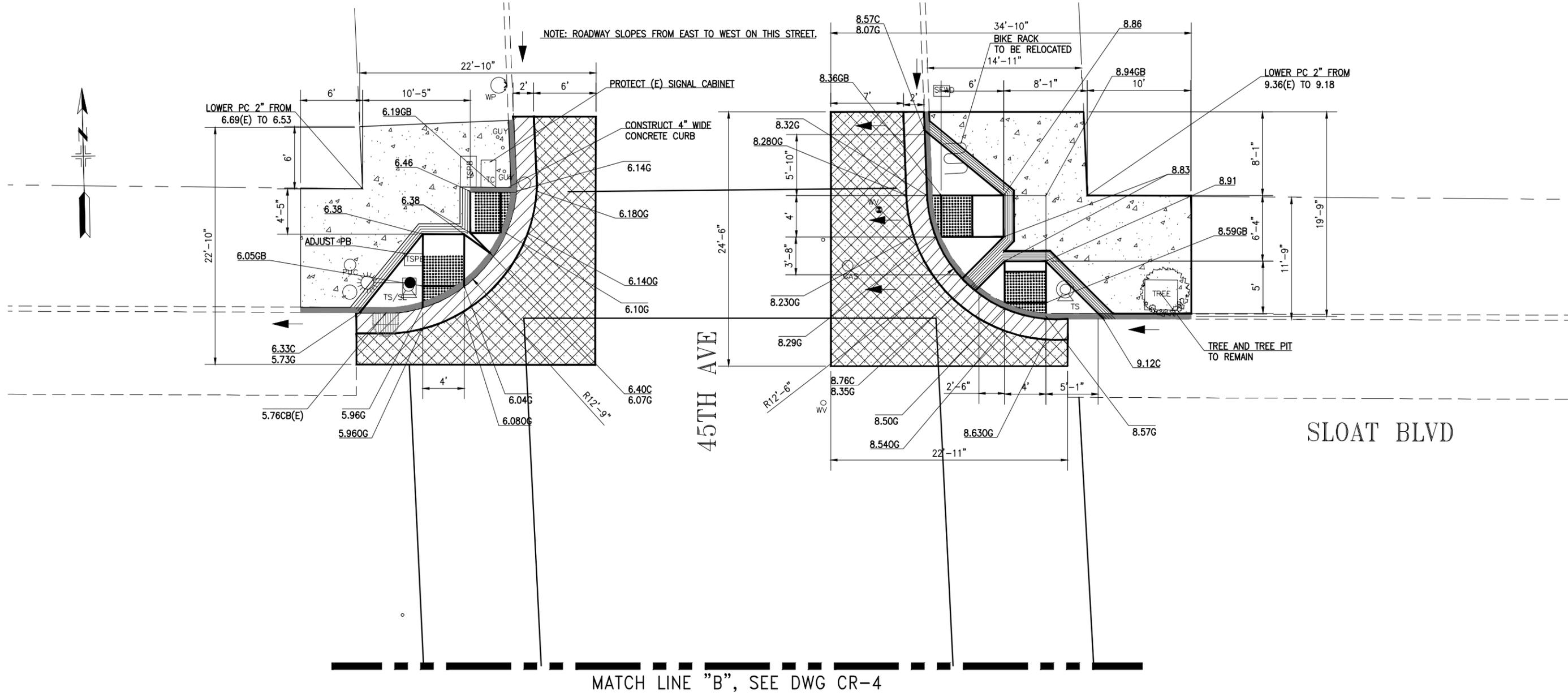
Notes:

Planner Signature

Signee (print name): _____  _____ Date: _____

At both intersections; construction of new ADA compliant curb ramps with concrete detectable warning tiles, grooved warning bands, 3.5' thick concrete sidewalk, combined 6' wide concrete curb and 2' wide concrete gutter, removal and replacement of concrete base around the curb return area, and ACWS, existing 8" road base would be removed, the sub-base excavated to a depth of 2", backfilled, and compacted, and new 8" road base constructed and covered with 2" ACWS, remove and salvage/reset granite curb where it exists. Depth of excavation for the curb ramp will be approximately 0.5'. Existing utility vaults and boxes will be reset, with adjustments as needed. Existing trees will be protected in place.

A B C D E F G H I J K L M N O P



**PRELIMINARY
NOT FOR CONSTRUCTION USE**

NO.	DATE	DESCRIPTION	BY	APP.
TABLE OF REVISIONS				
THIS DRAWING WAS LAST MODIFIED: 12/09/20 10:27, BY: ty				

REFERENCE INFORMATION
& FILE NO. OF SURVEYS



BUREAU OF ENGINEERING
CITY & COUNTY OF SAN FRANCISCO
SAN FRANCISCO PUBLIC WORKS
49 SOUTH VAN NESS AVENUE, SUITE 800
SAN FRANCISCO, CA 94103

Date:

Acting Section Mgr: ERIC KJELSBERG

Deputy Bureau Mgr: FERNANDO CISNEROS

Acting Bureau Mgr: IQBAL DHAPA

DESIGNED: DATE: T.YILMAZ 12/20

DRAWN: DATE: M. BAUTISTA 12/20

CHECKED: DATE: R.CHIRCOP 12/20



SCALE:
1" = 5'

SHEET OF SHEETS
OF

SLOAT INFRASTRUCTURE IMPROVEMENTS

SLOAT BLVD AND 45TH AVENUE NORTH
CURB RAMP DETAILS

CONTRACT NO. 10037211

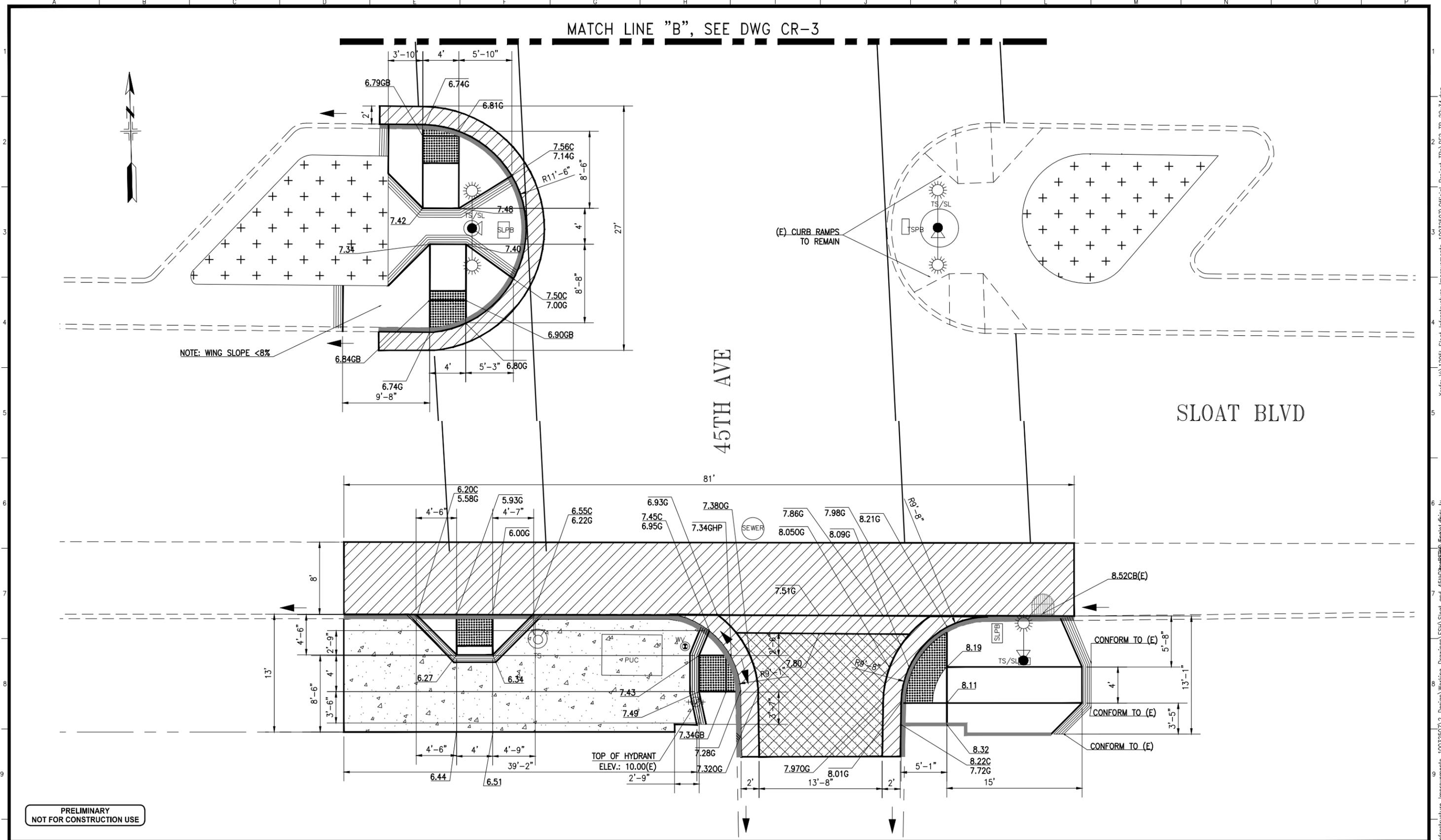
DRAWING NO. CR-3

FILE NO. xxxxxx

REV. NO.

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 V:\12951_Sloat_Infrastructure_Improvements_10032507\Official_Project_TB_22x34.dwg
 Model Units: Feet
 Plot Time: Fri, 11 Dec 2020 - 7:47am

MATCH LINE "B", SEE DWG CR-3



PRELIMINARY
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TABLE OF REVISIONS				
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Acting Section Mgr: ERIC KJELSBERG
Deputy Bureau Mgr: FERNANDO CISNEROS
Acting Bureau Mgr: IQBAL DHAPA

DESIGNED: T.YILMAZ 12/20
DRAWN: M. BAUTISTA 12/20
CHECKED: R.CHIRCOP 12/20

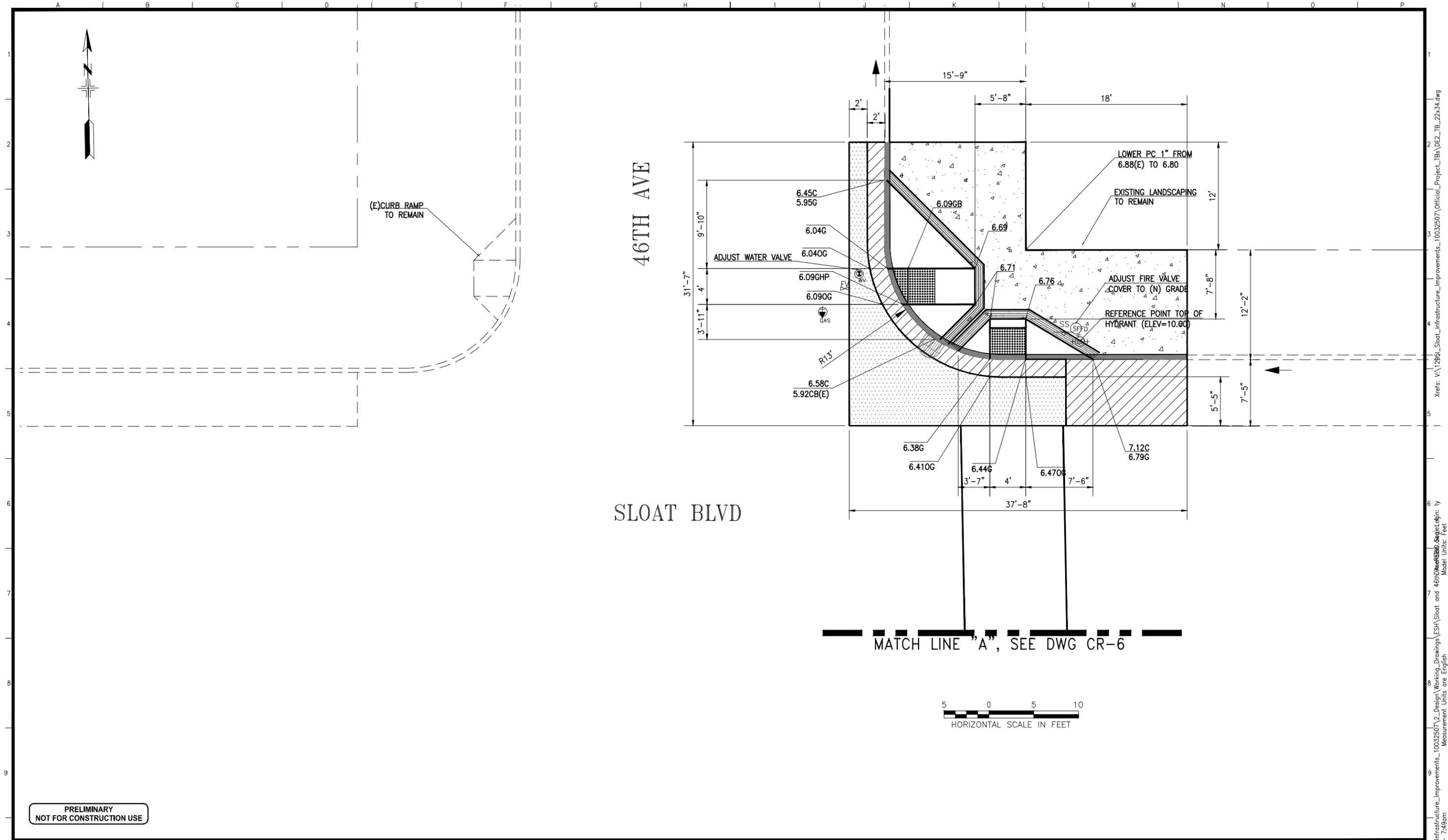


SCALE: 1" = 5'
SHEET OF SHEETS OF

SLOAT INFRASTRUCTURE IMPROVEMENTS
SLOAT BLVD AND 45TH AVENUE SOUTH
CURB RAMP DETAILS

CONTRACT NO. 10037211
DRAWING NO. CR-4
FILE NO. xxxxxx
REV. NO.

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 Drawing Path: V:\12951_Sloat_Infrastructure_Improvements_10032507\2_Design_Working_Drawings\ESH\Sloat and 45th\Drawings\Bldg_Signage.dwg
 Plot Time: Fri, 11 Dec 2020 - 7:47am



**PRELIMINARY
NOT FOR CONSTRUCTION USE**

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REFERENCE INFORMATION
& FILE NO. OF SURVEYS



BUREAU OF ENGINEERING
CITY & COUNTY OF SAN FRANCISCO
SAN FRANCISCO PUBLIC WORKS
49 SOUTH VAN NESS AVENUE, SUITE 800
SAN FRANCISCO, CA 94103

Acting Section Mgr:	ERIC KJELSBERG	Date:	
Deputy Bureau Mgr:	FERNANDO CISNEROS	DESIGNED:	T.YILMAZ 12/20
Acting Bureau Mgr:	IQBAL DHAPA	DRAWN:	M. BAUTISTA 2/20
		CHECKED:	R.CHIRCOP 12/20

DESIGNED: DATE: T.YILMAZ 12/20
DRAWN: DATE: M. BAUTISTA 2/20
CHECKED: DATE: R.CHIRCOP 12/20

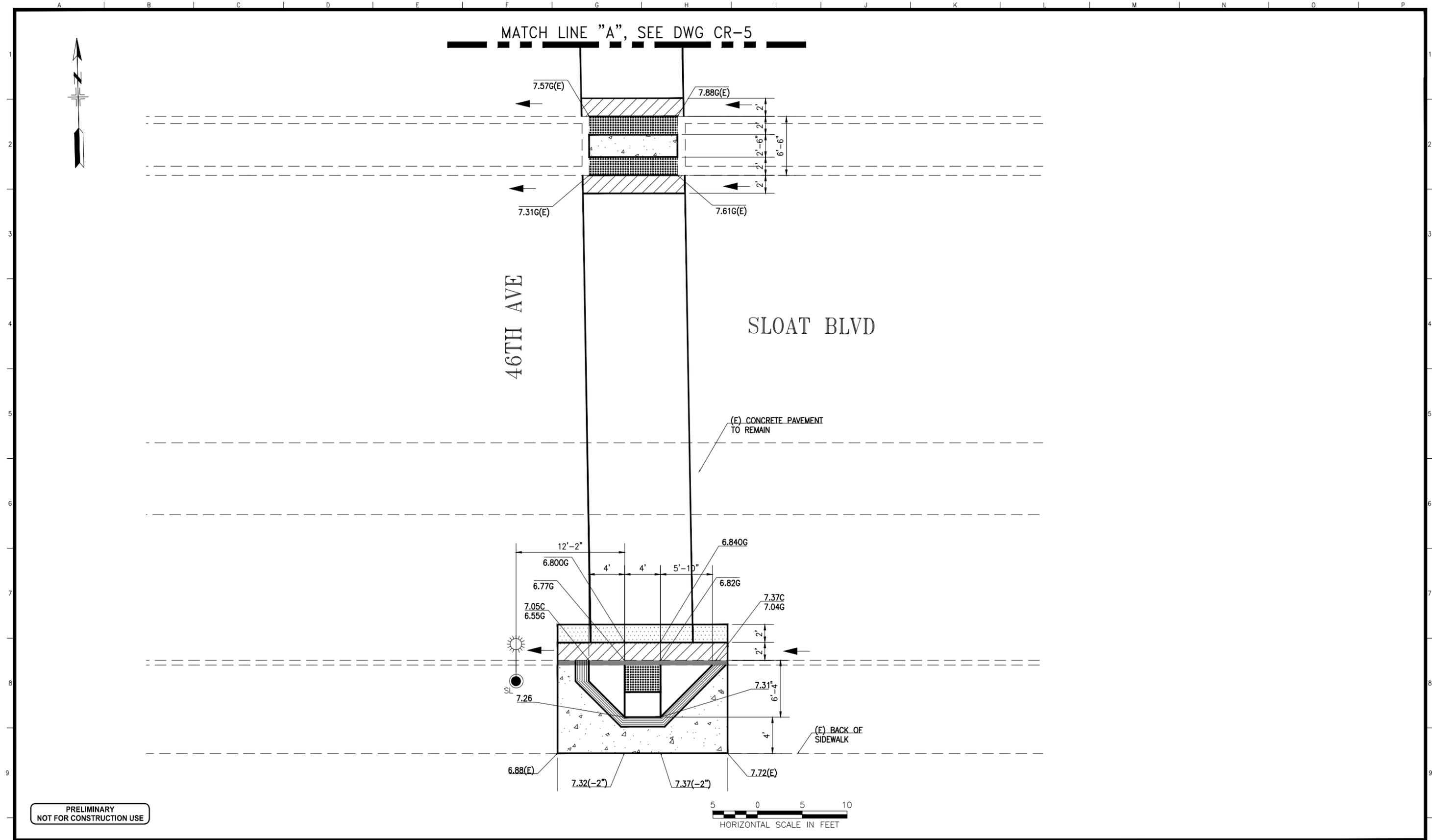


SCALE:
1" = 5'
SHEET OF SHEETS
OF

SLOAT INFRASTRUCTURE IMPROVEMENTS
**SLOAT BLVD AND 46TH AVENUE NORTH
CURB RAMP DETAILS**

CONTRACT NO.	10037211
DRAWING NO.	CR-5
FILE NO.	XXXXXX
REV. NO.	

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 Model Units: Feet
 Plot Time: Fri, 11 Dec 2020 - 7:49am



**PRELIMINARY
NOT FOR CONSTRUCTION USE**



NO.	DATE	DESCRIPTION	BY	APP.
TABLE OF REVISIONS				
THIS DRAWING WAS LAST MODIFIED: 12/09/20 10:23, BY: ty				

REFERENCE INFORMATION
& FILE NO. OF SURVEYS



BUREAU OF ENGINEERING
CITY & COUNTY OF SAN FRANCISCO
SAN FRANCISCO PUBLIC WORKS
49 SOUTH VAN NESS AVENUE, SUITE 800
SAN FRANCISCO, CA 94103

Date: _____
Acting Section Mgr: ERIC KJELSBORG
Deputy Bureau Mgr: FERNANDO CISNEROS
Acting Bureau Mgr: IQBAL DHAPA

DESIGNED: DATE: T.YILMAZ 12/20
DRAWN: DATE: M. BAUTISTA 12/20
CHECKED: DATE: R.CHIRCOP 12/20



SCALE:
1" = 5'
SHEET OF SHEETS
OF

SLOAT INFRASTRUCTURE IMPROVEMENTS
**SLOAT BLVD AND 46TH AVENUE SOUTH
CURB RAMP DETAILS**

CONTRACT NO. 10037211
DRAWING NO. CR-6
FILE NO. xxxxxx
REV. NO.

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 Project: 10037211
 Title: SLOAT BLVD AND 46TH AVENUE SOUTH CURB RAMP DETAILS
 Date: 12/09/20 10:23
 Author: ty
 Model Units: Feet

Maher Ordinance Screening Request

For a project to which you have been assigned as a Public Works project manager, complete the top of this form and submit to SAR, with plan showing the limits of excavation and of known Maher locations in the work area.

Project Name: _____ JO# _____ Date submitted: _____

Submitted by: _____ Date requested by (minimum of 20 working days): _____

Describe the general project scope, and give details of ground-disturbing activities:

Describe the project location(s). For work in parcels, provide street addresses. For work in the public right-of-way, provide street addresses for the beginning and ends of each street segment in which work will be done:

Estimated volume of excavated native material or earthen fill that the project will generate: yd³ Does the project require a building or grading permit from DBI? Yes No

FOR SITE ASSESSMENT & REMEDIATION USE

SA&R: Complete this section, initial, and forward to Project Manager and Regulatory Affairs Manager:

Date returned to PM: _____ Initial: _____ Date forwarded to RA: _____ Initial: _____

- Project does not meet excavation-volume threshold and/or intersect with a known Maher site. Maher does not apply.
- Project does not require a building or grading permit from the Department of Building Inspection. This includes all projects for the repair and replacement (“R&R”) of existing structures in the public right-of-way for end-of-life replacement and/or to address structural inadequacies found during regular inspection. Per Health Code §22A.3 and Building Code §106A.2.4, the Maher Ordinance does not apply.
- Project does not require a building or grading permit and Maher does not apply, but the project will require construction specifications for protection for workers and the public, and for hazardous-materials handling and disposal to meet state and federal regulatory requirements. Please budget an estimated \$ _____ for specification development.
- Project requires a building permit and/or grading permit and will bring to the surface 50 or more cubic yards of native material or earthen fill. A Maher application is required. Please budget an initial \$ _____ in SFPH fees. We anticipate that the following will also be required:
 - Site history (Phase I ESA).
 - Phase II / Phase II workplan.
 - With site mitigation plan.
 - With site mitigation report/ Environmental inspection.

Recommended by:

Signature

Print Name

Date

To complete this form, you will need the following information:

You will need to know that approximate total amount of excavated earth and earthen fill your project will bring to the surface, both permanent excavation and excavation that later will be backfilled. The key to whether or not activities add to your Maher total is whether or not the material brought up is earth or earthen fill -- roadway base, for example, does not count -- and whether or not it is brought to the surface -- pile driving does not count, but the spoils of holes drilled for piles will.

The easiest way to arrive at an approximate total is to classify excavations by type. For example, your project may have 12 pole footings, and two linear trenches. Each footing requires excavation of an area approximately 5' x 5' to a depth of 5'. There are 12 of these, so $5' \times 5' \times 5' \times 12 = 1,500 \text{ ft}^3$. For the trenches, one is 10' deep, 5' wide, and 40' long, and the other is 8' deep, 5' wide, and 20' long. This would be $(10' \times 5' \times 40') + (8' \times 5' \times 20') = 2,800 \text{ ft}^3$. Together, the total excavation for Maher is about 150 yd³, which would go over the 50 yd³ limit that triggers Maher screening.

You'll need to provide a brief description of your project. Provide a general scope of your project (whether it is a streetscape project, a building-rehabilitation project, etc.) and provide details on the construction activities that will disturb the soil. For example, discuss the pole footings and the excavation that will accompany their construction. Provide identifiable project location(s). If your project is on a parcel, give the project address. If the project is in the public right-of-way, give, at a minimum, the street addresses at the beginning and end of each street segment. If the project is on a large public parcel (such as a park/open space), give enough information so that the location can clearly be identified.

You will need to provide mapping of your excavations with the Maher mapping overlain in order to facilitate SAR's presentation of your project information to San Francisco Public Health (SFPH), who oversee Maher compliance. Present the layers of your plans that contain the bulk of your excavation activities, and overlay the Maher Map. Maher mapping in GIS and DWG form can be found on the Public Works GIS server at \\dpwhyd1\boe5m\sfgGeology\MaherSitesAndBlocks. (You may have \\dpwhyd1\boe5m mapped as the K: drive.)

Email this mapping along with the filled-out (top section only) digital version of the PDF form to the Site Assessment and Remediation (SAR) section. SAR will respond (after a minimum of 20 working days) with an assessment of whether or not your project requires further action, and what this action will be.

SAR: Robert Begley <robert.c.begley@sfdpw.org>

Regulatory Affairs: Boris Deunert <boris.deunert@sfdpw.org>



Image capture: Aug 2014 © 2021 Google

San Francisco, California



Street View

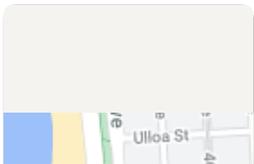


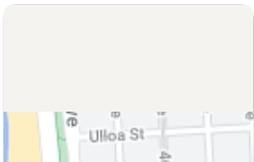


Image capture: Jun 2019 © 2021 Google

San Francisco, California



Street View





**CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION
DETERMINATION FORM (rev. 11/2020)**

Project Information

Project Name (if applicable): Sloat Boulevard Infrastructure Improvements

DIST-CO-RTE: 04-SF-0-CR

PM/PM: N/A

EA: N/A

Federal-Aid Project Number: FERPL18-5934(186)

Project Description

San Francisco Public Works proposes to construct improvements on Sloat Boulevard at the intersections of 45th Avenue and 46th Avenue in the City of San Francisco. Project work includes: construction of new ADA-compliant curb ramps with concrete detectable warning tiles; grooved warning bands; 3.5' thick concrete sidewalk; combined 6' wide concrete curb and 2' wide concrete gutter; removal and replacement of concrete base around the curb return area; removal and replacement of 8" road base and 2" sub-base with 2" ACWS cover; removal and salvage/reset of granite curb; and resetting existing utility vaults and boxes with adjustments as needed. All work will occur within existing right of way.

Caltrans CEQA Determination (Check one)

- Not Applicable** – Caltrans is not the CEQA Lead Agency
- Not Applicable** – Caltrans has prepared an IS or EIR under CEQA

Based on an examination of this proposal and supporting information, the project is:

- Exempt by Statute.** (PRC 21080[b]; 14 CCR 15260 et seq.)
- Categorically Exempt. Class** Enter class. (PRC 21084; 14 CCR 15300 et seq.)
 - No exceptions apply that would bar the use of a categorical exemption (PRC 21084 and 14 CCR 15300.2). See the [SER Chapter 34](#) for exceptions.
- Covered by the Common Sense Exemption.** This project does not fall within an exempt class, but it can be seen with certainty that there is no possibility that the activity may have a significant effect on the environment (14 CCR 15061[b][3].)

Senior Environmental Planner or Environmental Branch Chief

Print Name	Signature	Date
------------	-----------	------

Project Manager

Print Name	Signature	Date
------------	-----------	------



**CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION
DETERMINATION FORM**

Caltrans NEPA Determination (Check one)

Not Applicable

Caltrans has determined that this project has no significant impacts on the environment as defined by NEPA, and that there are no unusual circumstances as described in 23 CFR 771.117(b). See [SER Chapter 30](#) for unusual circumstances. As such, the project is categorically excluded from the requirements to prepare an EA or EIS under NEPA and is included under the following:

23 USC 326: Caltrans has been assigned, and hereby certifies that it has carried out the responsibility to make this determination pursuant to 23 USC 326 and the Memorandum of Understanding dated April 18, 2019, executed between FHWA and Caltrans. Caltrans has determined that the project is a Categorical Exclusion under:

23 CFR 771.117(c): activity (c)(3)

23 CFR 771.117(d): activity (d)(Enter activity number)

Activity Enter activity number listed in Appendix A of the MOU between FHWA and Caltrans

23 USC 327: Based on an examination of this proposal and supporting information, Caltrans has determined that the project is a Categorical Exclusion under 23 USC 327. The environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 USC 327 and the Memorandum of Understanding dated December 23, 2016 and executed by FHWA and Caltrans.

Senior Environmental Planner or Environmental Branch Chief

Tom Holstein		12 May 2021
Print Name	Signature	Date

Project Manager/ DLA Engineer

Jimmy Panmai		5/12/2021
Print Name	Signature	Date

Date of Categorical Exclusion Checklist completion: 4/20/2021

Date of Environmental Commitment Record or equivalent: N/A

Briefly list environmental commitments on continuation sheet if needed (i.e., not necessary if included on an attached ECR). Reference additional information, as appropriate (e.g., additional studies and design conditions).



CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION DETERMINATION FORM



London N. Breed
Mayor

Alaric Degrafinried
Acting Director

Patrick Rivera, PE
Acting Bureau Manager

Bureau of Project Management
49 South Van Ness, 7th Floor
San Francisco, CA 94103

sfpublicworks.org
facebook.com/sfpublicworks
twitter.com/sfpublicworks

Mr. Dan Rivas
Associate Environmental Planner
Caltrans Office of Local Assistance, District 4
dan.rivas@dot.ca.gov; 510-286-5743

Date: April 7, 2021

Regarding: San Francisco Public Works: FERPL18-5934(186)
Sloat Infrastructure Improvement Project
NEPA Clearance Mitigation Measures Letter

Dear Mr. Rivas,

We are writing to you regarding the Sloat Infrastructure Improvement Project, FERPL18-5934(186). This letter is our written commitment to include the following Standard Mitigation Measures in the project:

Check	Number	Mitigation
Traffic		
X	T4	There will be advance notification of construction work to the community and stakeholders in accordance with Local Agency procedures.
Noise		
X	N1	Construction activity will only occur during the Local Agency's allowable daytime construction hours.
X	N2	Construction activity will comply with Section 14-8 of the Caltrans Standard Specifications (Noise Control).
X	N3	Construction activity will comply with all applicable local noise ordinances and regulations.
Water Quality		
X	W1	Best Management Practices will be used to prevent construction-related debris entering drainage inlets or indirectly into any other water resources.
Biology		
X	B2	Tree protection fencing will be used to protect the trees that are not to be removed during construction
X	B5	All work will be contained within the existing paved area



CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION DETERMINATION FORM

Archaeology		
X	A1	If cultural materials are discovered during construction, work shall be halted in that area until a qualified archaeologist has assessed the potential discovery and determined the need for further action.
Equipment Staging		
X	E1	Equipment staging will be left to the contractor. The specifications will require the following: <ul style="list-style-type: none">• The staging area will be located on an existing asphalt or concrete surface area. Excavation below the asphalt or concrete will not be permitted.• The staging area will be included in any SWPPP or WPCP prepared for the project.• The staging area will not be located adjacent to environmentally or culturally sensitive areas (eg wildlife sites, wetlands, archaeological sites).• The staging area will not be located in a regulatory floodway or within the base floodplain (100-year).• The staging area will not affect access to properties or roadways.

The above measures will be added to the construction contract. We appreciate your assistance on this project. Please feel free to call me at (415) 554-8258 or by email at edmund.lee@sfdpw.org.

Sincerely,

Edmund Lee
San Francisco Public Works
Project Manager



Carla Short, Interim Director | Director's Office

carla.short@sfdpw.org | T. 628.271.3078 | 49 South Van Ness Ave. Suite 1600, San Francisco, CA 94103

TO: Angela Calvillo, Clerk of the Board of Supervisors
FROM: Carla Short, Interim Director of Public Works
DATE: October 26, 2022
SUBJECT: Accept and Expend Resolution for Federal Grant
GRANT TITLE: 2018 Repurposed Earmark

Attached please find the following:

- Proposed grant resolution; original signed by Department
- Grant information form, including disability checklist
- Grant budget
- 2018 Earmark Repurposing request, final approved list, and programming document

Departmental representative to receive a copy of the adopted resolution:

Name: Victoria Chan (victoria.w.chan@sfdpw.org)

Interoffice Mail Address: San Francisco Public Works, 49 South Van Ness, 16th Floor

Certified copy required: Yes

No