

**DEPARTMENT OF TRANSPORTATION**

Division of Local Assistance  
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P.O. BOX 942874, MS# 1  
Sacramento, CA 94274-0001  
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(916) 654-3883  
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RECEIVED  
16 JAN 11 PM 1:40  
DEPT. PUBLIC WORKS  
DIRECTOR'S OFFICE



File : 04-SF-0-CR

BRLS-5934(177)

Third Street Bridge on Third Street  
over Mission Creek Channel

January 8, 2016

Mr. Mohammed Nuru  
Director of Public Works  
San Francisco County  
City Hall, Room 348, #1 Dr, Carlton Goodlett Place  
San Francisco, CA 94102-4645

Dear Mr. Nuru:

Enclosed are two originals of the Program Supplement Agreement No. 096-N to Administering Agency-State Agreement No. 04-5934R and an approved Finance Letter for the subject project. Please retain the signed Finance Letter for your records.

**Please note that federal funding will be lost if you proceed with future phase(s) of the project prior to getting the "Authorization to Proceed" with that phase.**

Please review the covenants and sign both copies of this Agreement and return both to this office, Office of Project Implementation - MS1 within 90 days from the receipt of this letter. If the signed Agreements are not received back in this office within 90 days, funds will be disencumbered and/or deobligated. Alterations should not be made to the agreement language or funding. ATTACH YOUR LOCAL AGENCY'S CERTIFIED AUTHORIZING RESOLUTION THAT CLEARLY IDENTIFIES THE PROJECT AND THE OFFICIAL AUTHORIZED TO EXECUTE THE AGREEMENT. A fully executed copy of the agreement will be returned to you upon ratification by Caltrans. No invoices for reimbursement can be processed until the agreement is fully executed.

The State budget authority supporting the encumbered funds is only available for liquidation up to specific deadlines. These deadlines are shown on the attached Finance letter as the "Reversion Date". Please ensure that your invoices are submitted at least 60 days prior to the reversion date to avoid any lapse of funds. If your agency is unable to seek reimbursement by this date you may request an extension through a Cooperative Work Agreement (CWA). A CWA is subject to the final approval of the State Department of Finance. If approved, the CWA may extend the deadline for up to two years.

Your prompt action is requested. If you have questions, please contact your District Local Assistance Engineer.

Sincerely,

A handwritten signature in blue ink that reads "Winton Emmett".

WINTON EMMETT, Chief  
Office of Project Implementation - North  
Division of Local Assistance

Enclosure

c: DLA AE Project Files  
(04) DLAE - Sylvia Fung

Attention: San Francisco Department of Public Works

| FINANCE ITEMS                  | PRO RATA OR LUMP SUM | TOTAL COST OF WORK  | FEDERAL PART. COST  | FED. REIMB % | FEDERAL FUNDS MODE1 | LOCAL FUNDS        |
|--------------------------------|----------------------|---------------------|---------------------|--------------|---------------------|--------------------|
| Agency Preliminary Engineering | Pro Rate             | \$750,000.00        | \$750,000.00        | 88.53%       | \$663,975.00        | \$86,025.00        |
| <b>Totals:</b>                 |                      | <b>\$750,000.00</b> | <b>\$750,000.00</b> | <b>0.00%</b> | <b>\$663,975.00</b> | <b>\$86,025.00</b> |

Fed. Partic: 100.00% This Finance Letter was created based on specific financial information provided by the responsible local agency. The following encumbrance history is prepared by Local Assistance Accounting Office and is provided here for local agency's information and action.

Signature:



Title: HQ Sr Area Engineer

For questions regarding finance letter, contact:  
 Printed Name : Adam Ambrosini  
 Telephone No: 916-653-3840

Remarks: SEQ 1 authorizing PE.

AGREEMENT END DATE = 09/30/2026

| ACCOUNTING INFORMATION |              |             |           |                    |             |                    | BRLS-5934(177)      |                | Cooperative Work Agreement |                 |
|------------------------|--------------|-------------|-----------|--------------------|-------------|--------------------|---------------------|----------------|----------------------------|-----------------|
| ADV. PROJ. ID          | APPROP. UNIT | STATE PROG. | FED/STATE | ENCUMBRANCE AMOUNT | APPROP YEAR | EXPENDITURE AMOUNT | ENCUMBRANCE BALANCE | REVERSION DATE | APPROVED AMOUNT            | EXPIRATION DATE |
| 0416000101             | 16102F       | 2030010300  | F         | \$663,975.00       | 1516        | \$0.00             | \$663,975.00        | 06/30/21       |                            |                 |

**PROGRAM SUPPLEMENT NO. N096**  
to  
**ADMINISTERING AGENCY-STATE AGREEMENT**  
**FOR FEDERAL-AID PROJECTS NO 04-5934R**

**Adv Project ID**                      **Date:** January 4, 2016  
0416000101                      **Location:** 04-SF-0-CR  
**Project Number:** BRLS-5934(177)  
**E.A. Number:**  
**Locode:** 5934

This Program Supplement hereby adopts and incorporates the Administering Agency-State Agreement for Federal Aid which was entered into between the Administering Agency and the State on 08/28/07 and is subject to all the terms and conditions thereof. This Program Supplement is executed in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. \_\_\_\_\_ approved by the Administering Agency on \_\_\_\_\_ (See copy attached).

The Administering Agency further stipulates that as a condition to the payment by the State of any funds derived from sources noted below obligated to this PROJECT, the Administering Agency accepts and will comply with the special covenants or remarks set forth on the following pages.

**PROJECT LOCATION:**

Third Street Bridge on Third Street over Mission Creek Channel

**TYPE OF WORK:** Bridge Rehabilitation

**LENGTH:** 0.0(MILES)

| Estimated Cost | Federal Funds |  | Matching Funds |        |
|----------------|---------------|--|----------------|--------|
|                | MOE1          |  | LOCAL          | OTHER  |
| \$750,000.00   | \$663,975.00  |  | \$86,025.00    | \$0.00 |

**COUNTY OF SAN FRANCISCO**

**STATE OF CALIFORNIA**  
Department of Transportation

By \_\_\_\_\_

By \_\_\_\_\_  
Chief, Office of Project Implementation  
Division of Local Assistance

Title \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

Attest \_\_\_\_\_

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer  Date 1/4/16 \$663,975.00

| Chapter | Statutes | Item | Year | Program | BC | Category | Fund Source | AMOUNT |
|---------|----------|------|------|---------|----|----------|-------------|--------|
|         |          |      |      |         |    |          |             |        |
|         |          |      |      |         |    |          |             |        |
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|         |          |      |      |         |    |          |             |        |
|         |          |      |      |         |    |          |             |        |



**SPECIAL COVENANTS OR REMARKS**

1. A. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the current published Local Assistance Procedures Manual.

B. ADMINISTERING AGENCY agrees that it will only proceed with work authorized for specific phase(s) with an "Authorization to Proceed" and will not proceed with future phase(s) of this project prior to receiving an "Authorization to Proceed" from the STATE for that phase(s) unless no further State or Federal funds are needed for those future phase(s).

C. STATE and ADMINISTERING AGENCY agree that any additional funds which might be made available by future Federal obligations will be encumbered on this PROJECT by use of a STATE-approved "Authorization to Proceed" and Finance Letter. ADMINISTERING AGENCY agrees that Federal funds available for reimbursement will be limited to the amounts obligated by the Federal Highway Administration.

D. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer within 60 days of project contract award and prior to the submittal of the ADMINISTERING AGENCY'S first invoice for the construction contract.

Failure to do so will cause a delay in the State processing invoices for the construction phase. Attention is directed to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.

E. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE'S approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumbrances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.

F. Administering Agency shall not discriminate on the basis of race, religion, age, disability, color, national origin, or sex in the award and performance of any Federal-

**SPECIAL COVENANTS OR REMARKS**

assisted contract or in the administration of its DBE Program Implementation Agreement. The Administering Agency shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of Federal-assisted contracts. The Administering Agency's DBE Implementation Agreement is incorporated by reference in this Agreement. Implementation of the DBE Implementation Agreement, including but not limited to timely reporting of DBE commitments and utilization, is a legal obligation and failure to carry out its terms shall be treated as a violation of this Agreement. Upon notification to the Administering Agency of its failure to carry out its DBE Implementation Agreement, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).

G. Any State and Federal funds that may have been encumbered for this project are available for disbursement for limited periods of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act to the applicable fund Reversion Date shown on the State approved project finance letter. Per Government Code Section 16304, all project funds not liquidated within these periods will revert unless an executed Cooperative Work Agreement extending these dates is requested by the ADMINISTERING AGENCY and approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's Office and the Department of Finance; in order for payment to be made, the last date the District Local Assistance Engineer can forward an invoice for payment to the Department's Local Programs Accounting Office for reimbursable work for funds that are going to revert at the end of a particular fiscal year is May 15th of the particular fiscal year. Notwithstanding the unliquidated sums of project specific State and Federal funding remaining and available to fund project work, any invoice for reimbursement involving applicable funds that is not received by the Department's Local Programs Accounting Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid. These unexpended funds will be irrevocably reverted by the Department's Division of Accounting on the applicable fund Reversion Date.

H. As a condition for receiving federal-aid highway funds for the PROJECT, the Administering Agency certifies that NO members of the elected board, council, or other key decision makers are on the Federal Government Exclusion List. Exclusions can be found at [www.sam.gov](http://www.sam.gov).

2. In the event that right of way acquisition for or construction of this project of the initial federal authorization for preliminary engineering is not started by the close of the tenth fiscal year following the fiscal year in which the project is authorized, the ADMINISTERING AGENCY shall repay the Federal Highway Administration through

**SPECIAL COVENANTS OR REMARKS**

Caltrans the sum of Federal funds paid under the terms of this agreement.