

1 [Agreement - Peninsula Corridor Joint Powers Board - Administration of Capital Funding -  
2 Peninsula Corridor Electrification Project - Up to \$39,000,000]

3 **Resolution authorizing the Director of Transportation to execute an agreement between**  
4 **the City and County of San Francisco and the Peninsula Corridor Joint Powers Board**  
5 **regarding administration of capital funding for the design and construction of the**  
6 **Communications-Based Overlay Signal System Positive Train Control Project and the**  
7 **Peninsula Corridor Electrification Project.**

8

9 WHEREAS, In 1988, the Santa Clara County Transit District (now known as the Santa  
10 Clara Valley Transportation Authority ), the San Mateo County Transit District, and the City  
11 (collectively, Member Agencies) entered into a Joint Powers Agreement (JPA) creating the  
12 Peninsula Corridor Joint Powers Board (JPB) for the purpose of conducting planning studies  
13 related to Peninsula commute service (PCS); and

14 WHEREAS, In 1991, the Member Agencies expanded the powers of the JPB to enable  
15 it to plan, oversee and operate the PCS following transfer of the system assets from the State  
16 of California to local control, and allocate among the parties the administrative, capital and  
17 operating expenses attendant to ownership of the Peninsula Corridor right-of-way and  
18 operation; and

19 WHEREAS, The JPB is proceeding with the design and installation of the  
20 Communications-Based Overlay Signal System Positive Train Control Project (CBOSS-PTC),  
21 which will track train locations and prevent unsafe train movements through the use of  
22 equipment on board the locomotives and in the field; and

23 WHEREAS, The JPB is also designing and plans to install the Peninsula Corridor  
24 Electrification Project (PCEP), which would electrify the Caltrain Corridor from the 4th and  
25 King Station in San Francisco to approximately the Tamien Station in San Jose, convert

1 diesel-hauled to electric multiple unit trains, and thereby increase service by up to six Caltrain  
2 trains per peak hour per direction; and

3 WHEREAS, The JPA provides that the three Member Agencies of the JPB share  
4 equally the costs of capital projects when those costs are not covered by outside sources; and

5 WHEREAS, In furtherance of the Initial Investment Strategy set forth in a Memorandum  
6 of Understanding among the Member Agencies, dated January 25, 2013, the City agreed to  
7 work with the other Member Agencies to identify the appropriate amounts and types of local  
8 resources that may be used to support the completion of the CBOSS-PTC and PCEP  
9 projects; and

10 WHEREAS, In November 2014, the voters approved a \$500,000,000 Transportation  
11 and Road Improvement General Obligation Bond (2014 GO Bond); and

12 WHEREAS, The City has designated \$39,000,000 for CBOSS-PTC and PCEP using  
13 the 2014 GO Bond sale proceeds; and

14 WHEREAS, In May 2015, the SFMTA Board of Directors adopted Resolution No.  
15 15-070, which recommended, among other things, that the Board of Supervisors appropriate  
16 \$7,800,000 from GO Bond sale proceeds to the SFMTA to be used to satisfy a portion the  
17 City's contribution to Caltrain for upgrades for the CBOSS-PTC Project; and

18 WHEREAS, On June 18, 2015, the City approved Ordinance No. 93-15, which  
19 appropriated \$7,760,000 from the first issuance and sale of the 2014 GO Bond to satisfy a  
20 portion of the City's contribution to the JPB for the CBOSS-PTC project; and

21 WHEREAS, While this initial disbursement of \$7,760,000 will be used by the JPB for  
22 CBOSS-PTC, other disbursements of GO Bond proceeds for PCEP are planned for the future  
23 as additional GO Bonds are issued and sold; and

24 WHEREAS, The SFMTA will be the fiscal agent for disbursement of the GO Bond  
25 proceeds; and

1           WHEREAS, The proposed Agreement sets forth the terms and conditions for  
2 disbursement of the already issued GO Bond proceeds for CBOSS-PTC and future  
3 disbursements of PCEP up to a total of \$39,000,000 pending City Board of Supervisors  
4 approval and appropriation of future issuances of GO Bonds; and

5           WHEREAS, On November 3, 2015, the SFMTA Board of Directors authorized the  
6 Director of Transportation to execute the Agreement between the City and County of San  
7 Francisco and the Peninsula Corridor Joint Powers Board Regarding Administration of Capital  
8 Funding for the Design and Construction of the Communications-Based Overlay Signal  
9 System Positive Train Control Project and the Peninsula Corridor Electrification Project; and

10           WHEREAS, On November 3, 2015, the SFMTA Board recommended that the Board of  
11 Supervisors approve this agreement; now, therefore, be it

12           RESOLVED, That the Board of Supervisors authorizes the Director of Transportation to  
13 execute the Agreement between the City and County of San Francisco and the Peninsula  
14 Corridor Joint Powers Board Regarding Administration of Capital Funding for the Design and  
15 Construction of the Communications-Based Overlay Signal System Positive Train Control  
16 Project and the Peninsula Corridor Electrification Project.