| File No  | 250291   | Committee Item No3 Board Item No |
|----------|--|----------------------------------|
|          |  | D OF SUPERVISORS T CONTENTS LIST |
|          | : <u>Budget and Finance Cor</u><br>upervisors Meeting  | Date May 14, 2025 Date           |
| Cmte Boa | Motion Resolution Ordinance Legislative Digest Budget and Legislative A Youth Commission Repolation Form Department/Agency County MOU Grant Information Form Grant Budget Subcontract Budget Contract/Agreement Form 126 – Ethics Command Letter Application Public Correspondence | ort ver Letter and/or Report     |
| OTHER    | (Use back side if additio  | nal space is needed)             |
|          |  |                                  |
|          |  |                                  |
|          |  |                                  |
|          |  |                                  |
| H        |  |                                  |
| H        |  |                                  |
| H        |  |                                  |
| H        |  |                                  |
| H        |  |                                  |

Date May 8, 2025

Date\_\_\_\_\_

Completed by: Brent Jalipa
Completed by: Brent Jalipa

| 1  | [Accept and Expend Grant - Retroactive - Department of Homeland Security - FEMA - Regional Catastrophic Preparedness Grant Program - \$2,696,215] |
|----|---|
| 2  | Negional Catastrophic Freparedness Grant Frogram - \$2,090,210]   |
| 3  | Resolution retroactively authorizing the Bay Area Urban Areas Security Initiative,  |
| 4  | through the Department of Emergency Management, to accept and expend a Regional   |
| 5  | Catastrophic Preparedness Grant in the amount of \$2,696,215 for the period of  |
| 6  | October 1, 2024, through September 30, 2027, from the Department of Homeland  |
| 7  | Security through the Federal Emergency Management Agency (FEMA); and authorizing  |
| 8  | the Department of Emergency Management to execute the Grant Agreement.  |
| 9  |   |
| 10 | WHEREAS, The Bay Area Urban Areas Security Initiative (Bay Area UASI) is a  |
| 11 | regional agency charged with applying for, receiving, managing, and expending federal and   |
| 12 | state funding to analyze regional risks; identify capability gaps; and build a secure, prepared,  |
| 13 | and resilient region; and   |
| 14 | WHEREAS, The City and County of San Francisco through the Department of   |
| 15 | Emergency Management acts as the fiscal agent for the Bay Area UASI; and  |
| 16 | WHEREAS, Since 2006, the core cities and counties of the Bay Area UASI have   |
| 17 | maintained an updated Memorandum of Understanding that outlines the objectives,   |
| 18 | governance structure, membership, responsibilities, reporting structure, and financial  |
| 19 | arrangements used by the Bay Area UASI in applying for, allocating, and distributing UASI   |
| 20 | Program grant funding on behalf of the San Francisco Bay Area which is on file with the Clerk   |
| 21 | of the Board of Supervisors in File No. 220132; and   |
| 22 | WHEREAS, The City and County of San Francisco encourages initiatives that   |
| 23 | strengthen emergency planning, first responder preparedness, and community resilience; and  |
| 24 | WHEREAS, The United States Department of Homeland Security provides grants to   |
| 25 | state, local, tribal, and territorial jurisdictions that can be used for training, exercises, planning,   |

| 1  | and personnel to prepare for many threats and hazards through preparedness and other            |
|----|---|
| 2  | grants; and   |
| 3  | WHEREAS, The Regional Catastrophic Preparedness Grant Program (RCPGP)                           |
| 4  | provides funding to close known capability gaps, encourage innovative regional solutions to     |
| 5  | issues related to catastrophic incidents, and build on existing regional preparedness efforts;  |
| 6  | and   |
| 7  | WHEREAS, The Bay Area UASI received RCPGP awards from the Department of                         |
| 8  | Homeland Security in Fiscal Years 2022 and 2023 for \$1,309,398 and \$1,500,000,                |
| 9  | respectively, to support Phases 1 and 2 of the Equitable Community Resilience project on        |
| 10 | behalf of the San Francisco Bay Area; and   |
| 11 | WHEREAS, On July 15, 2024, the Bay Area UASI applied to the Department of                       |
| 12 | Homeland Security for an RCPGP grant to support the third and final phase of the Equitable      |
| 13 | Community Resilience project on behalf of the San Francisco Bay Area; and                       |
| 14 | WHEREAS, On September 25, 2024, the Bay Area UASI received an award letter for                  |
| 15 | \$2,696,215 for a performance period of October 1, 2024, through September 30, 2027; and        |
| 16 | WHEREAS, The RCPGP Grant award does not require any match from the City and                     |
| 17 | County of San Francisco General Fund nor an Annual Salary Ordinance amendment; and              |
| 18 | WHEREAS, The RCPGP Grant award includes personnel, fringe, and other costs                      |
| 19 | associated with administration of the RCPGP Grant and does not establish any indirect costs     |
| 20 | to the City and County of San Francisco; and  |
| 21 | WHEREAS, The Bay Area UASI intends to use the RCPGP grant to build on previous                  |
| 22 | regional investments, with equity and climate resiliency as its foundation and community        |
| 23 | resilience and long-term vulnerability reduction as its focus, by implementing local planning   |
| 24 | activities, including the creation of local roadmaps to climate resilience and environmental    |
| 25 | justice, regional activities, including the development of a crisis communications platform and |

| 1  | climate resilience toolkit, and other organizational and exercise tasks associated with these  |
|----|--|
| 2  | priorities; and  |
| 3  | WHEREAS, The Bay Area UASI has not yet spent any of the awarded funding to date;               |
| 4  | and  |
| 5  | WHEREAS, The Bay Area UASI is committed to ensuring all grant funds are utilized in            |
| 6  | a compliant, appropriate, and transparent manner, including programs that respect the civil    |
| 7  | rights and liberties of all San Francisco Bay Area residents; now, therefore, be it            |
| 8  | RESOLVED, That the Bay Area UASI can accept and expend the RCPGP Grant in                      |
| 9  | accordance with the purposes and goals of the funding as outlined in the award letter; and, be |
| 10 | it   |
| 11 | FURTHER RESOLVED, That the award letter serves as the Grant Agreement between                  |
| 12 | the parties, and, as party representing the City and County of San Francisco, the Bay Area     |
| 13 | UASI is authorized to submit any extensions or amendments to the grant agreement; and, be      |
| 14 | it   |
| 15 | FURTHER RESOLVED, That the Board of Supervisors hereby waives inclusion of                     |
| 16 | indirect costs in the grant budget.  |
| 17 |  |
| 18 |  |
| 19 |  |
| 20 |  |
| 21 |  |
| 22 |  |
| 23 |  |
| 24 |  |
| 25 |  |

| 1  | Recommended:   |
|----|--|
| 2  |  |
| 3  |  |
| 4  | <u>/s/</u>   |
| 5  | Mary Ellen Carroll Executive Director                |
| 6  | Department of Emergency Management                   |
| 7  |  |
| 8  |  |
| 9  | <u>/s/</u><br>Greg Wagner                            |
| 10 | Controller   |
| 11 | City and County of San Francisco Controller's Office |
| 12 |  |
| 13 | /s/  |
| 14 | Daniel Lurie   |
| 15 | Mayor City and County of San Francisco               |
| 16 |  |
| 17 |  |
| 18 |  |
| 19 |  |
| 20 |  |
| 21 |  |
| 22 |  |
| 23 |  |
| 24 |  |
| 25 |  |

File Number: 250291
(Provided by Clerk of Board of Supervisors)

#### **Grant Resolution Information Form**

(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

- 1. Grant Title: FY24 Regional Catastrophic Preparedness Grant Program
- 2. Department: Department of Emergency Management

3. Contact Person: Adrienne Bechelli Telephone: 415-353-5221

**4.** Grant Approval Status (check one):

[X] Approved by funding agency [] Not yet approved

- 5. Amount of Grant Funding Approved or Applied for: \$2,696,215.00
- **6.** a. Matching Funds Required: **\$0** 
  - b. Source(s) of matching funds (if applicable): N/A
- 7. a. Grant Source Agency: Federal Emergency Management Agency (FEMA)
  - b. Grant Pass-Through Agency (if applicable): N/A
- 8. Proposed Grant Project Summary:

The FY 2024 Regional Catastrophic Preparedness Grant Program (RCPGP) provides funding to close known capability gaps, encourage innovative regional solutions to issues related to catastrophic incidents, and build on existing regional preparedness efforts. The purpose of the RCPGP is to build regional capacity to manage catastrophic incidents by improving and expanding collaboration for catastrophic incident preparedness.

The proposed project "Equitable Community Resilience – Phase 3" seeks to build readiness capacity that is community generated, owned, and sustained. Building on the work performed with previous investments (RCPGP FY22 - Phase 1, and RCPGP FY23 - Phase 2), the Phase 3 project will continue to empower disadvantaged communities and local government to better understand the unique risks posed by climate change and address the identified capability gaps.

**9.** Grant Project Schedule, as allowed in approval documents, or as proposed:

Start-Date: October 1, 2024 End-Date: September 30, 2027

- **10.** a. Amount budgeted for contractual services: **\$2,483,645.00** 
  - b. Will contractual services be put out to bid? Yes
  - c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements? **Yes**
  - d. Is this likely to be a one-time or ongoing request for contracting out? **One-time**

[] Other (please explain):

| <b>11.</b> a. | Does the budget include indired [] Yes [X] No | ct costs?                                    |
|---------------|---|--|
| b.1.          | If yes, how much? \$                          |  |
| b.2.          | How was the amount calculate                  | d?   |
| c.1.          | If no, why are indirect costs not             | t included?                                  |
| [] No         | t allowed by granting agency                  | [X] To maximize use of grant funds on direct |

c.2. If no indirect costs are included, what would have been the indirect costs?

Up to 10% indirect costs are allowable under § 2 CFR 200; however the City and County of San Francisco through the Department of Emergency Management as the approved fiscal agent for the Bay Area UASI assumes those indirect costs as per internal policy to maximize the use of grants for direct services and programs for all 12 Bay Area counties.

**12.** Any other significant grant requirements or comments:

Project/Investment # WX05791N2024T - 001 - Project Equitable Community Resilience: Due to limited availability of funds, FEMA has awarded an overall award amount that is less than requested in the application.

Requested amount: \$3,000,000.00 (maximum application allowable)

Award amount: \$2,696,215.00

This accept and expend resolution is requested retroactively due to the award letter being issued on 9/25/24, only 6 days prior to the performance period start date of 10/1/24. Further, turnover at the Bay Area UASI leadership level and the Board of Supervisors delayed submittal of the Accept and Expend Resolution until early 2025.

This is a reimbursement grant. No expenditures against this grant have yet occurred.

services

| **Disability Access Checklist***(Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability) |   |  |  |  |
|---|---|--|--|--|
| 13. This Grant is intended f  | or activities at (check all that apply):  |  |  |  |
| <ul><li>[X] Existing Site(s)</li><li>[] Rehabilitated Site(s)</li><li>[] New Site(s)</li></ul>  | <ul><li>[ ] Existing Structure(s)</li><li>[ ] Rehabilitated Structure(s)</li><li>[ ] New Structure(s)</li></ul> | <ul><li>[X] Existing Program(s) or Service(s)</li><li>[] New Program(s) or Service(s)</li></ul>  |  |  |
| concluded that the project a other Federal, State and loc   | as proposed will be in compliance wi  | n Disability have reviewed the proposal and the the Americans with Disabilities Act and all ons and will allow the full inclusion of persons d to: |  |  |
| 1. Having staff trained in  | how to provide reasonable modifica  | tions in policies, practices and procedures;   |  |  |
| 2. Having auxiliary aids a  | and services available in a timely ma   | nner in order to ensure communication access;  |  |  |
|   | approved by the DPW Access Com  | to the public are architecturally accessible and pliance Officer or the Mayor's Office on  |  |  |
| If such access would be ted   | chnically infeasible, this is described   | in the comments section below:   |  |  |
| Comments: N/A   |   |  |  |  |
| Mary Ellen Carroll (Name)  Executive Director   | ator or Mayor's Office of Disability R  | Reviewer:  |  |  |
| (Title) 3/12/202  | 5   | Docusigned by:<br>Carroll, Mary Ellen  |  |  |
| Date Reviewed:  | <u> </u>  | (Signature Required)   |  |  |
|   |   | (Oignature Required)   |  |  |
| Department Head or Design   | gnee Approval of Grant Informatio   | on Form:   |  |  |
| Mary Ellen Carroll<br>(Name)  |   |  |  |  |
| Executive Director  |   |  |  |  |
| (Title) — DocuSigned by:  |   |  |  |  |
| Date Reviewed:  | ;<br>   | Carroll, Mary Ellen  |  |  |
|   |   | (Stg๊ท็ลีใน็โล๊ะโซ๊ล์ซีนิired)   |  |  |

## **Award Letter**

U.S. Department of Homeland Security Washington, D.C. 20472

Effective date: 09/25/2024

Sally Chan
CITY AND COUNTY OF SAN FRANCISCO
1 DR. CARLTON B. GOODLETT PL ROOM 300
SAN FRANCISCO, CA 94102

EMW-2024-CA-05047

Dear Sally Chan,



Congratulations on behalf of the Department of Homeland Security, your application submitted for the FY 2024 Regional Catastrophic Preparedness Grant Program, has been approved in the amount of \$2,696,215.00 in Federal funding. This award of federal assistance is executed as a Grant.

Before you request and receive any of the Federal funds awarded to you, you must establish acceptance of the award through the FEMA Grants Outcomes (FEMA GO) system. By accepting this award, you acknowledge that the terms of the following documents are incorporated into the terms of your award:

- · Award Summary included in this document
- · Agreement Articles included in this document
- · Obligating Document included in this document
- FY 2024 Regional Catastrophic Preparedness Grant Program Notice of Funding Opportunity
- FEMA Preparedness Grants Manual

Please make sure you read, understand, and maintain a copy of these documents in your official file for this award.

Sincerely,

Anjo Samuela

ANGELICA SAAVEORA

Angelica Saavedra Grants Management Division Director Region 9

## **Award Summary**

Program: Fiscal Year 2024 Regional Catastrophic Preparedness Grant Program

Recipient: CITY AND COUNTY OF SAN FRANCISCO

**UEI-EFT:** MYM4VNNBN6T9 **DUNS number:** 070384255

Award number: EMW-2024-CA-05047

## **Summary description of award**

The FY 2024 Regional Catastrophic Preparedness Grant Program (RCPGP) provides funding to close known capability gaps, encourage innovative regional solutions to issues related to catastrophic incidents, and build on existing regional preparedness efforts. The purpose of the RCPGP is to build regional capacity to manage catastrophic incidents by improving and expanding collaboration for catastrophic incident preparedness.

#### Amount awarded table

The amount of the award is detailed in the attached Obligating Document for Award.

The following are the budgeted estimates for object classes for this award (including Federal share plus your cost share, if applicable):

| Object Class     | Total          |
|------------------|----------------|
| Personnel        | \$144,753.00   |
| Fringe benefits  | \$43,426.00    |
| Travel           | \$8,391.00     |
| Equipment        | \$0.00         |
| Supplies         | \$1,000.00     |
| Contractual      | \$2,483,645.00 |
| Construction     | \$0.00         |
| Other            | \$15,000.00    |
| Indirect charges | \$0.00         |
| Federal          | \$2,696,215.00 |
| Non-federal      | \$0.00         |
| Total            | \$2,696,215.00 |
| Program Income   | \$0.00         |

## Approved scope of work

After review of your application, FEMA has approved the below scope of work. Justifications are provided for any differences between the scope of work in the original application and the approved scope of work under this award. You must submit scope or budget revision requests for FEMA's prior approval, via an amendment request, as appropriate per 2 C.F.R. § 200.308 and the FY2024 RCPGP NOFO.

Approved request details:

## **Project**

#### Contractual

#### **DESCRIPTION**

A. Local Planning Activities - \$700,000 B. Regional Planning Activities - \$600,000 C. Regional Studies & Strategies - \$200,000

QUANTITY UNIT PRICE TOTAL BUDGET

CLASS

Cost 1 \$1,196,215.00 \$1,196,215.00 Contractual

#### **CHANGE FROM APPLICATION**

Unit price from \$1,500,000.00 to \$1,196,215.00

#### **JUSTIFICATION**

Funding reduction.

| т | ro | 1/ | ام       |  |
|---|----|----|----------|--|
| - |    | w  | <b>T</b> |  |

#### **DESCRIPTION**

M&A - Travel

| QUANTITY | UNIT PRICE | TOTAL      | BUDGET<br>CLASS |
|----------|------------|------------|-----------------|
| 1        | \$8,391.00 | \$8,391.00 | Travel          |

#### Other

Cost 1

#### **DESCRIPTION**

Rent

| QUANTITY | UNIT PRICE | TOTAL | BUDGET |
|----------|------------|-------|--------|
|          |            |       | CLASS  |

Cost 1 1 \$15,000.00 \$15,000.00 Other

| Supplies                  |          |            |            |                 |
|---------------------------|----------|------------|------------|-----------------|
| DESCRIPTION Misc supplies |          |            |            |                 |
|                           | QUANTITY | UNIT PRICE | TOTAL      | BUDGET<br>CLASS |
| Cost 1                    | 1        | \$1,000.00 | \$1,000.00 | Supplies        |

#### Contractual

#### **DESCRIPTION**

Exercises: Climate Resilience Seminar Series - \$150,000 Emergency Communications (Radio) full-scale exercise series - \$150,000 Climate Resilience Symposium - \$500,000

|        | QUANTITY | UNIT PRICE   | TOTAL        | BUDGET      |
|--------|----------|--------------|--------------|-------------|
|        |          |              |              | CLASS       |
| Cost 1 | 1        | \$800,000.00 | \$800,000.00 | Contractual |

## Fringe benefits

#### **DESCRIPTION**

Program Manager \$16,663 Fiscal Analyst (Administrative Specialist) \$12,402 Community Preparedness Analyst \$14,361

|        | QUANTITY | UNIT PRICE  | TOTAL       | BUDGET<br>CLASS |
|--------|----------|-------------|-------------|-----------------|
| Cost 1 | 1        | \$43,426.00 | \$43,426.00 | Fringe benefits |

#### Contractual

#### **DESCRIPTION**

Training Courses - \$487,430

|        | QUANTITY | UNIT PRICE   | TOTAL        | BUDGET<br>CLASS |
|--------|----------|--------------|--------------|-----------------|
| Cost 1 | 1        | \$487,430.00 | \$487,430.00 | Contractual     |

# Personnel DESCRIPTION 1 - Program Manager \$55,544 1 - Fiscal Analyst (Administrative Specialist) \$41,340 1 - Community Preparedness Analyst \$47,869 QUANTITY UNIT PRICE TOTAL BUDGET CLASS Cost 1 1 \$144,753.00 \$144,753.00 Personnel

Of the total Federal funds, \$2696215.00 has been placed on hold. See the following terms in the Agreement Articles for more details:

| Article number | Title  | Payment hold |
|----------------|--|--------------|
| Article 48     | Funding Hold: Detailed Cost Breakdown & Justification Required | \$2696215.00 |

# **Agreement Articles**

**Program:** Fiscal Year 2024 Regional Catastrophic Preparedness Grant Program

Recipient: CITY AND COUNTY OF SAN FRANCISCO

**UEI-EFT:** MYM4VNNBN6T9 **DUNS number:** 070384255

Award number: EMW-2024-CA-05047

## **Table of contents**

```
Article Assurances, Administrative Requirements, Cost Principles, Representations, and
        Certifications
Article General Acknowledgements and Assurances
Article Acknowledgement of Federal Funding from DHS
Article Activities Conducted Abroad
Article Age Discrimination Act of 1975
Article Americans with Disabilities Act of 1990
Article Best Practices for Collection and Use of Personally Identifiable Information
Article Civil Rights Act of 1964 – Title VI
Article Civil Rights Act of 1968
Article Copyright
Article Debarment and Suspension
Article Drug-Free Workplace Regulations
Article Duplicative Costs
Article Education Amendments of 1972 (Equal Opportunity in Education Act) – Title IX
Article E.O. 14074 – Advancing Effective, Accountable Policing and Criminal Justice
        Practices to Enhance Public Trust and Public Safety
Article Energy Policy and Conservation Act
Article False Claims Act and Program Fraud Civil Remedies
Article Federal Debt Status
Article Federal Leadership on Reducing Text Messaging while Driving
Article Fly America Act of 1974
Article Hotel and Motel Fire Safety Act of 1990
Article John S. McCain National Defense Authorization Act of Fiscal Year 2019
Article Limited English Proficiency (Civil Rights Act of 1964, Title VI)
Article Lobbying Prohibitions
Article National Environmental Policy Act
Article Nondiscrimination in Matters Pertaining to Faith-Based Organizations
26
```

**Article Non-Supplanting Requirement** 27 **Article Notice of Funding Opportunity Requirements** Article Patents and Intellectual Property Rights **Article Procurement of Recovered Materials** Article Rehabilitation Act of 1973 Article Reporting of Matters Related to Recipient Integrity and Performance Article Reporting Subawards and Executive Compensation Article Required Use of American Iron, Steel, Manufactured Products, and Construction 34 Materials Article SAFECOM 35 **Article Terrorist Financing** Article Trafficking Victims Protection Act of 2000 (TVPA) Article Universal Identifier and System of Award Management Article USA PATRIOT Act of 2001 Article Use of DHS Seal, Logo and Flags Article Whistleblower Protection Act 41 Article Environmental Planning and Historic Preservation (EHP) Review Article Applicability of DHS Standard Terms and Conditions to Tribes Article Acceptance of Post Award Changes Article Disposition of Equipment Acquired Under the Federal Award Article Prior Approval for Modification of Approved Budget **Article Indirect Cost Rate** Article Funding Hold: Detailed Cost Breakdown & Justification Required 48

# Article 1 Assurances, Administrative Requirements, Cost Principles, Representations, and Certifications

I. Recipients must complete either the Office of Management and Budget (OMB) Standard Form 424B Assurances – Non- Construction Programs, or OMB Standard Form 424D Assurances – Construction Programs, as applicable. Certain assurances in these documents may not be applicable to your program and the DHS financial assistance office (DHS FAO) may require applicants to certify additional assurances. Applicants are required to fill out the assurances as instructed by the federal awarding agency.

#### Article 2 General Acknowledgements and Assurances

Recipients are required to follow the applicable provisions of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in effect as of the federal award date and located at 2 C.F.R. Part 200 and adopted by DHS at 2 C.F.R. § 3002.10. All recipients and subrecipients must acknowledge and agree to provide DHS access to records, accounts, documents, information, facilities, and staff pursuant to 2 C.F.R. § 200.337. I. Recipients must cooperate with any DHS compliance reviews or compliance investigations. II. Recipients must give DHS access to examine and copy records, accounts, and other documents and sources of information related to the federal financial assistance award and permit access to facilities and personnel. III. Recipients must submit timely, complete, and accurate reports to the appropriate DHS officials and maintain appropriate backup documentation to support the reports. IV. Recipients must comply with all other special reporting, data collection, and evaluation requirements required by law, federal regulation, Notice of Funding Opportunity, federal award specific terms and conditions, and/or federal awarding agency program guidance. V. Recipients must complete the DHS Civil Rights Evaluation Tool within thirty (30) days of receiving the Notice of Award for the first award under which this term applies. Recipients of multiple federal awards from DHS should only submit one completed tool for their organization, not per federal award. After the initial submission, recipients are required to complete the tool once every two (2) years if they have an active federal award, not every time a federal award is made. Recipients must submit the completed tool, including supporting materials, to CivilRightsEvaluation@hq.dhs.gov. This tool clarifies the civil rights obligations and related reporting requirements contained in these DHS Standard Terms and Conditions. Subrecipients are not required to complete and submit this tool to DHS. The evaluation tool can be found at https://www.dhs.gov/publication/dhs-civilrights-evaluation-tool. DHS Civil Rights Evaluation Tool | Homeland Security. The DHS Office for Civil Rights and Civil Liberties will consider, in its discretion, granting an extension to the 30-day deadline if the recipient identifies steps and a timeline for completing the tool. Recipients must request extensions by emailing the request to CivilRightsEvaluation@hq.dhs.gov prior to expiration of the 30-day deadline.

#### Article 3 Acknowledgement of Federal Funding from DHS

Recipients must acknowledge their use of federal award funding when issuing statements, press releases, requests for proposal, bid invitations, and other documents describing projects or programs funded in whole or in part with federal award funds.

#### Article 4 Activities Conducted Abroad

Recipients must coordinate with appropriate government authorities when performing project activities outside the United States obtain all appropriate licenses, permits, or approvals.

#### Article 5 Age Discrimination Act of 1975

Recipients must comply with the requirements of the Age Discrimination Act of 1975, Pub. L. No. 94-135 (codified as amended at 42 U.S.C. § 6101 et seq.), which prohibits discrimination on the basis of age in any program or activity receiving federal financial assistance.

#### Article 6 Americans with Disabilities Act of 1990

Recipients must comply with the requirements of Titles I, II, and III of the Americans with Disabilities Act, Pub. L. No. 101-336 (1990) (codified as amended at 42 U.S.C. §§ 12101– 12213), which prohibits recipients from discriminating on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities.

## Article 7 Best Practices for Collection and Use of Personally Identifiable Information

Recipients who collect personally identifiable information (PII) as part of carrying out the scope of work under a federal award are required to have a publicly available privacy policy that describes standards on the usage and maintenance of the PII they collect. DHS defines PII as any information that permits the identity of an individual to be directly or indirectly inferred, including any information that is linked or linkable to that individual. Recipients may also find the DHS Privacy Impact Assessments: Privacy Guidance and Privacy Template as useful resources respectively.

#### Article 8 Civil Rights Act of 1964 – Title VI

Recipients must comply with the requirements of Title VI of the Civil Rights Act of 1964, Pub. L. No. 88-352 (codified as amended at 42 U.S.C. § 2000d et seq.), which provides that no person in the United States will, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance. DHS implementing regulations for the Act are found at 6 C.F.R. Part 21. Recipients of an award from the Federal Emergency Management Agency (FEMA) must also comply with FEMA's implementing regulations at 44 C.F.R. Part 7.

#### Article 9 Civil Rights Act of 1968

Recipients must comply with Title VIII of the Civil Rights Act of 1968, Pub. L. No. 90-284 (codified as amended at 42 U.S.C. § 3601 et seq.) which prohibits recipients from discriminating in the sale, rental, financing, and advertising of dwellings, or in the provision of services in connection. therewith, on the basis of race, color, national origin, religion, disability, familial status, and sex, as implemented by the U.S. Department of Housing and Urban Development at 24 C.F.R. Part 100. The prohibition on disability discrimination includes the requirement that new multifamily housing with four or more dwelling units— i.e., the public and common use areas and individual apartment units (all units in buildings with elevators and ground-floor units in buildings without elevators)—be designed and constructed with certain accessible features. (See 24 C.F.R. Part 100, Subpart D.)

#### Article 10 Copyright

Recipients must affix the applicable copyright notices of 17 U.S.C. §§ 401 or 402 to any work first produced under federal awards and also include an acknowledgement that the work was produced under a federal award (including the federal award number and federal awarding agency). As detailed in 2 C.F.R. § 200.315, a federal awarding agency reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes and to authorize others to do so.

#### Article 11 Debarment and Suspension

Recipients must comply with the non-procurement debarment and suspension regulations implementing Executive Orders (E.O.) 12549 and 12689 set forth at 2 C.F.R. Part 180 as implemented by DHS at 2 C.F.R. Part 3000. These regulations prohibit recipients from entering into covered transactions (such as subawards and contracts) with certain parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in federal assistance programs or activities.

#### Article 12 Drug-Free Workplace Regulations

Recipients must comply with drug-free workplace requirements in Subpart B (or Subpart C, if the recipient is an individual) of 2 C.F.R. Part 3001, which adopts the Government- wide implementation (2 C.F.R. Part 182) of the Drug-Free Workplace Act of 1988 (41 U.S.C. §§ 8101-8106).

#### Article 13 Duplicative Costs

Recipients are prohibited from charging any cost to this federal award that will be included as a cost or used to meet cost sharing or matching requirements of any other federal award in either the current or a prior budget period. (See 2 C.F.R. § 200.403(f)). However, recipients may shift costs that are allowable under two or more federal awards where otherwise permitted by federal statutes, regulations, or the federal financial assistance award terms and conditions.

## Article 14 Education Amendments of 1972 (Equal Opportunity in Education Act) – Title IX

Recipients must comply with the requirements of Title IX of the Education Amendments of 1972, Pub. L. No. 92-318 (codified as amended at 20 U.S.C. § 1681 et seq.), which provide that no person in the United States will, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any educational program or activity receiving federal financial assistance. DHS implementing regulations are codified at 6 C.F.R. Part 17. Recipients of an award from the Federal Emergency Management Agency (FEMA) must also comply with FEMA's implementing regulations at 44 C.F.R. Part 19.

# Article 15 E.O. 14074 – Advancing Effective, Accountable Policing and Criminal Justice Practices to Enhance Public Trust and Public Safety

Recipient State, Tribal, local, or territorial law enforcement agencies must comply with the requirements of section 12(c) of E.O. 14074. Recipient State, Tribal, local, or territorial law enforcement agencies are also encouraged to adopt and enforce policies consistent with E.O. 14074 to support safe and effective policing.

#### Article 16 Energy Policy and Conservation Act

Recipients must comply with the requirements of the Energy Policy and Conservation Act, Pub. L. No. 94-163 (1975) (codified as amended at 42 U.S.C. § 6201 et seq.), which contain policies relating to energy efficiency that are defined in the state energy conservation plan issued in compliance with this Act.

#### Article 17 False Claims Act and Program Fraud Civil Remedies

Recipients must comply with the requirements of the False Claims Act, 31 U.S.C. §§ 3729- 3733, which prohibit the submission of false or fraudulent claims for payment to the Federal Government. (See 31 U.S.C. §§ 3801-3812, which details the administrative remedies for false claims and statements made.)

#### Article 18 Federal Debt Status

All recipients are required to be non-delinquent in their repayment of any federal debt. Examples of relevant debt include delinquent payroll and other taxes, audit disallowances, and benefit overpayments. (See OMB Circular A-129.)

#### Article 19 Federal Leadership on Reducing Text Messaging while Driving

Recipients are encouraged to adopt and enforce policies that ban text messaging while driving recipient-owned, recipient-rented, or privately owned vehicles when on official government business or when performing any work for or on behalf of the Federal Government. Recipients are also encouraged to conduct the initiatives of the type described in Section 3(a) of E.O. 13513.

#### Article 20 Fly America Act of 1974

Recipients must comply with Preference for U.S. Flag Air Carriers (a list of certified air carriers can be found at: Certificated Air Carriers List | US Department of Transportation, https://www.transportation.gov/policy/aviation-policy/certificated-air-carriers-list)for international air transportation of people and property to the extent that such service is available, in accordance with the International Air Transportation Fair Competitive Practices Act of 1974, 49 U.S.C. § 40118, and the interpretative guidelines issued by the Comptroller General of the United States in the March 31, 1981, amendment to Comptroller General Decision B-138942.

#### Article 21 Hotel and Motel Fire Safety Act of 1990

Recipients must ensure that all conference, meeting, convention, or training space funded entirely or in part by federal award funds complies with the fire prevention and control guidelines of Section 6 of the Hotel and Motel Fire Safety Act of 1990, 15 U.S.C. § 2225a.

#### Article 22 John S. McCain National Defense Authorization Act of Fiscal Year 2019

Recipients, subrecipients, and their contractors and subcontractors are subject to the prohibitions described in section 889 of the John S. McCain National Defense Authorization Act for Fiscal Year 2019, Pub. L. No. 115-232 (2018) and 2 C.F.R. §§ 200.216, 200.327, 200.471, and Appendix II to 2 C.F.R. Part 200. The statute – as it applies to DHS recipients, subrecipients, and their contractors and subcontractors – prohibits obligating or expending federal award funds on certain telecommunications and video surveillance products and contracting with certain entities for national security reasons.

#### Article 23 Limited English Proficiency (Civil Rights Act of 1964, Title VI)

Recipients must comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.) prohibition against discrimination on the basis of national origin, which requires that recipients of federal financial assistance take reasonable steps to provide meaningful access to persons with limited English proficiency (LEP) to their programs and services. For additional assistance and information regarding language access obligations, please refer to the DHS Recipient Guidance: https://www.dhs.gov/guidance-published-help- department-supported-organizations-provide-meaningful-access-people-limited and additional resources on http://www.lep.gov.

#### Article 24 Lobbying Prohibitions

Recipients must comply with 31 U.S.C. § 1352 and 6 C.F.R. Part 9, which provide that none of the funds provided under a federal award may be expended by the recipient to pay any person to influence, or attempt to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any federal action related to a federal award or contract, including any extension, continuation, renewal, amendment, or modification. Per 6 C.F.R. Part 9, recipients must file a lobbying certification form as described in Appendix A to 6 C.F.R. Part 9 or available on Grants.gov as the Grants.gov Lobbying Form and file a lobbying disclosure form as described in Appendix B to 6 C.F.R. Part 9 or available on Grants.gov as the Disclosure of Lobbying Activities (SF-LLL).

#### **Article 25** National Environmental Policy Act

Recipients must comply with the requirements of the National Environmental Policy Act of 1969, Pub. L. No. 91-190 (1970) (codified as amended at 42 U.S.C. § 4321 et seq.) (NEPA) and the Council on Environmental Quality (CEQ) Regulations for Implementing the Procedural Provisions of NEPA, which require recipients to use all practicable means within their authority, and consistent with other essential considerations of national policy, to create and maintain conditions under which people and nature can exist in productive harmony and fulfill the social, economic, and other needs of present and future generations of Americans.

#### Article 26 Nondiscrimination in Matters Pertaining to Faith-Based Organizations

It is DHS policy to ensure the equal treatment of faith-based organizations in social service programs administered or supported by DHS or its component agencies, enabling those organizations to participate in providing important social services to beneficiaries. Recipients must comply with the equal treatment policies and requirements contained in 6 C.F.R. Part 19 and other applicable statues, regulations, and guidance governing the participations of faith-based organizations in individual DHS programs.

#### Article 27 Non-Supplanting Requirement

Recipients of federal awards under programs that prohibit supplanting by law must ensure that federal funds supplement but do not supplant non-federal funds that, in the absence of such federal funds, would otherwise have been made available for the same purpose.

#### Article 28 Notice of Funding Opportunity Requirements

All the instructions, guidance, limitations, scope of work, and other conditions set forth in the Notice of Funding Opportunity (NOFO) for this federal award are incorporated by reference. All recipients must comply with any such requirements set forth in the NOFO. If a condition of the NOFO is inconsistent with these terms and conditions and any such terms of the Award, the condition in the NOFO shall be invalid to the extent of the inconsistency. The remainder of that condition and all other conditions set forth in the NOFO shall remain in effect.

#### Article 29 Patents and Intellectual Property Rights

Recipients are subject to the Bayh-Dole Act, 35 U.S.C. § 200 et seq. and applicable regulations governing inventions and patents, including the regulations issued by the Department of Commerce at 37 C.F.R. Part 401 (Rights to Inventions Made by Nonprofit Organizations and Small Business Firms under Government Awards, Contracts, and Cooperative Agreements) and the standard patent rights clause set forth at 37 C.F.R. § 401.14.

#### **Article 30** Procurement of Recovered Materials

States, political subdivisions of states, and their contractors must comply with Section 6002 of the Solid Waste Disposal Act, Pub. L. No. 89-272 (1965) (codified as amended by the Resource Conservation and Recovery Act at 42 U.S.C. § 6962) and 2 C.F.R. § 200.323. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 C.F.R. Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition.

#### Article 31 Rehabilitation Act of 1973

Recipients must comply with the requirements of Section 504 of the Rehabilitation Act of 1973, Pub. L. No. 93-112 (codified as amended at 29 U.S.C. § 794), which provides that no otherwise qualified handicapped individuals in the United States will, solely by reason of the handicap, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

#### Article 32 Reporting of Matters Related to Recipient Integrity and Performance

If the total value of any currently active grants, cooperative agreements, and procurement contracts from all federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of the federal award, then the recipient must comply with the requirements set forth in the government-wide Award Term and Condition for Recipient Integrity and Performance Matters located at 2 C.F.R. Part 200, Appendix XII, the full text of which is incorporated by reference.

#### Article 33 Reporting Subawards and Executive Compensation

For federal awards that equal or exceed \$30,000, recipients are required to comply with the requirements set forth in the government-wide award term on Reporting Subawards and Executive Compensation set forth at 2 C.F.R. Part 170, Appendix A, the full text of which is incorporated by reference.

# Article 34 Required Use of American Iron, Steel, Manufactured Products, and Construction Materials

Recipients of an award of Federal financial assistance from a program for infrastructure are hereby notified that none of the funds provided under this award may be used for a project for infrastructure unless: (1) all iron and steel used in the project are produced in the United States—this means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States; (2) all manufactured products used in the project are produced in the United States—this means the manufactured product was manufactured in the United States; and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation; and (3) all construction materials are manufactured in the United States—this means that all manufacturing processes for the construction material occurred in the United States. The Buy America preference only applies to articles, materials, and supplies that are consumed in, incorporated into, or affixed to an infrastructure project. As such, it does not apply to tools, equipment, and supplies, such as temporary scaffolding, brought to the construction site and removed at or before the completion of the infrastructure project. Nor does a Buy America preference apply to equipment and furnishings, such as movable chairs, desks, and portable computer equipment, that are used at or within the finished infrastructure project but are not an integral part of the structure or permanently affixed to the infrastructure project. Waivers When necessary, recipients may apply for, and the agency may grant, a waiver from these requirements. The agency should notify the recipient for information on the process for requesting a waiver from these requirements. (a) When the Federal agency has determined that one of the following exceptions applies, the awarding official may waive the application of the domestic content procurement preference in any case in which the agency determines that: (1) applying the domestic content procurement preference would be inconsistent with the public interest; (2) the types of iron, steel, manufactured products, or construction materials are not produced in the United States in sufficient and reasonably available quantities or of a satisfactory quality; or (3) the inclusion of iron, steel, manufactured products, or construction materials produced in the United States will increase the cost of the overall project by more than 25 percent. A request to waive the application of the domestic content procurement preference must be in writing. The agency will provide instructions on the format, contents, and supporting materials required for any waiver request. Waiver requests are subject to public comment periods of no less than 15 days and must be reviewed by the Made in America Office. There may be instances where an award qualifies, in whole or in part, for an existing waiver described at "Buy America" Preference in FEMA Financial Assistance Programs for Infrastructure | FEMA.gov. Definitions The definitions applicable to this term are set forth at 2 C.F.R. § 184.3, the full text of which is incorporated by reference.

#### Article 35 SAFECOM

Recipients receiving federal financial assistance awards made under programs that provide emergency communication equipment and its related activities must comply with the SAFECOM Guidance for Emergency Communication Grants, including provisions on technical standards that ensure and enhance interoperable communications. The SAFECOM Guidance is updated annually and can be found at Funding and Sustainment | CISA.

#### **Article 36** Terrorist Financing

Recipients must comply with E.O. 13224 and applicable statutory prohibitions on transactions with, and the provisions of resources and support to, individuals and organizations associated with terrorism. Recipients are legally responsible for ensuring compliance with the E.O. and laws.

#### Article 37 Trafficking Victims Protection Act of 2000 (TVPA)

Recipients must comply with the requirements of the government-wide financial assistance award term which implements Trafficking Victims Protection Act of 2000, Pub. L. No. 106-386, § 106 (codified as amended at 22 U.S.C. § 7104). The award term is located at 2 C.F.R. § 175.15, the full text of which is incorporated by reference.

#### Article 38 Universal Identifier and System of Award Management

Recipients are required to comply with the requirements set forth in the government-wide financial assistance award term regarding the System for Award Management and Universal Identifier Requirements located at 2 C.F.R. Part 25, Appendix A, the full text of which is incorporated reference.

#### Article 39 USA PATRIOT Act of 2001

Recipients must comply with requirements of Section 817 of the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2001 (USA PATRIOT Act), which amends 18 U.S.C. §§ 175–175c.

#### Article 40 Use of DHS Seal, Logo and Flags

Recipients must obtain written permission from DHS prior to using the DHS seals, logos, crests, or reproductions of flags, or likenesses of DHS agency officials. This includes use of DHS component (e.g., FEMA, CISA, etc.) seals, logos, crests, or reproductions of flags, or likenesses of component officials.

#### **Article 41** Whistleblower Protection Act

Recipients must comply with the statutory requirements for whistleblower protections at 10 U.S.C § 470141 U.S.C. § 4712.

#### Article 42 Environmental Planning and Historic Preservation (EHP) Review

DHS/FEMA funded activities that may require an Environmental Planning and Historic Preservation (EHP) review are subject to the FEMA EHP review process. This review does not address all federal, state, and local requirements. Acceptance of federal funding requires the recipient to comply with all federal, state and local laws. DHS/FEMA is required to consider the potential impacts to natural and cultural resources of all projects funded by DHS/FEMA grant funds, through its EHP review process, as mandated by: the National Environmental Policy Act; National Historic Preservation Act of 1966, as amended; National Flood Insurance Program regulations; and any other applicable laws and executive orders. General guidance for FEMA's EHP process is available on the DHS/FEMA Website at: https://www.fema.gov/grants/guidance-tools/environmental-historic. Specific applicant guidance on how to submit information for EHP review depends on the individual grant program and applicants should contact their grant Program Officer to be put into contact with EHP staff responsible for assisting their specific grant program. The EHP review process must be completed before funds are released to carry out the proposed project; otherwise, DHS/FEMA may not be able to fund the project due to noncompliance with EHP laws, executive orders, regulations, and policies. If ground disturbing activities occur during construction, applicant will monitor ground disturbance, and if any potential archaeological resources are discovered the applicant will immediately cease work in that area and notify the pass-through entity, if applicable, and DHS/FEMA.

#### Article 43 Applicability of DHS Standard Terms and Conditions to Tribes

The DHS Standard Terms and Conditions are a restatement of general requirements imposed upon recipients and flow down to sub-recipients as a matter of law, regulation, or executive order. If the requirement does not apply to Indian tribes or there is a federal law or regulation exempting its application to Indian tribes, then the acceptance by Tribes of, or acquiescence to, DHS Standard Terms and Conditions does not change or alter its inapplicability to an Indian tribe. The execution of grant documents is not intended to change, alter, amend, or impose additional liability or responsibility upon the Tribe where it does not already exist.

#### Article 44 Acceptance of Post Award Changes

In the event FEMA determines that an error in the award package has been made, or if an administrative change must be made to the award package, recipients will be notified of the change in writing. Once the notification has been made, any subsequent requests for funds will indicate recipient acceptance of the changes to the award. Please call FEMA Grant Management Operations at (866) 927-5646 or via e-mail to: ASK-GMD@fema.dhs.gov if you have any questions.

#### Article 45 Disposition of Equipment Acquired Under the Federal Award

For purposes of original or replacement equipment acquired under this award by a non-state recipient or non-state sub-recipients, when that equipment is no longer needed for the original project or program or for other activities currently or previously supported by a federal awarding agency, you must request instructions from FEMA to make proper disposition of the equipment pursuant to 2 C.F.R. section 200.313. State recipients and state sub-recipients must follow the disposition requirements in accordance with state laws and procedures.

#### Article 46 Prior Approval for Modification of Approved Budget

Before making any change to the FEMA approved budget for this award, you must request prior written approval from FEMA where required by 2 C.F.R. section 200.308. For purposes of non-construction projects, FEMA is utilizing its discretion to impose an additional restriction under 2 C.F.R. section 200.308(f) regarding the transfer of funds among direct cost categories, programs, functions, or activities. Therefore, for awards with an approved budget where the federal share is greater than the simplified acquisition threshold (currently \$250,000), you may not transfer funds among direct cost categories, programs, functions, or activities without prior written approval from FEMA where the cumulative amount of such transfers exceeds or is expected to exceed ten percent (10%) of the total budget FEMA last approved. For purposes of awards that support both construction and nonconstruction work, FEMA is utilizing its discretion under 2 C.F.R. section 200.308(h)(5) to require the recipient to obtain prior written approval from FEMA before making any fund or budget transfers between the two types of work. You must report any deviations from your FEMA approved budget in the first Federal Financial Report (SF-425) you submit following any budget deviation, regardless of whether the budget deviation requires prior written approval.

#### Article 47 Indirect Cost Rate

2 C.F.R. section 200.211(b)(15) requires the terms of the award to include the indirect cost rate for the federal award. If applicable, the indirect cost rate for this award is stated in the budget documents or other materials approved by FEMA and included in the award file.

#### Article 48 Funding Hold: Detailed Cost Breakdown & Justification Required

FEMA has placed a funding hold on this award, and \$2,696,215.00 is on hold in the FEMA financial systems. The CITY AND COUNTY OF SAN FRANCISCO is prohibited from obligating, expending, or drawing down the federal funds associated with the following projects/investments.

Project/Investment # WX05791N2024T - 001 - Project Equitable
 Community Resilience: \$2,696,215.00, Due to limited availability of funds,
 FEMA has awarded an overall award amount that is less than requested in
 the application.

To release the funding hold, the recipient must provide a detailed cost breakdown and justification for the projects/investments listed above. FEMA will rescind the funding hold upon its review and approval of the detailed cost breakdown and justification.

If you believe this funding hold was placed in error, please contact the relevant Program Analyst or Grants Management Specialist.

## **Obligating document**

| <b>1. Agreement No. 2. A No.</b> 05047 N/A   |  | No.                                    | ecipient<br>000417  | 4. Type<br>Action<br>AWARD |  |  | <b>ntrol No.</b><br>791N2024T |
|--|--|--|---|----------------------------|--|--|-------------------------------|
| 6. Recipient Name and Address CITY AND COUNTY OF SAN FRANCISCO 1 CARLTON B GOODLETT PL STE 316 SAN FRANCISCO, CA 94102 |  | d Add<br>ant Pro<br>0 C Str<br>ashingt | g FEMA Oress<br>ograms Direct, S.W.<br>on DC, 205<br>7-5646                                       | ectorate                   | Addre<br>FEMA,<br>Service<br>500 C<br>Room | <b>ss</b><br>Finances Bran<br>Street,<br>723 | ich                           |
| 9. Name of Recipient<br>Project Officer<br>Sally Chan  | 9a. Phone No. Coo 4155547418 Regi      |  | Name of FEMA Project<br>ordinator<br>jional Catastrophic Preparedness<br>nt Program Grant Program |                            | Iness                                      | 10a.<br>Phone<br>No.<br>1-877-585-<br>3242   |                               |
| 11. Effective Date of<br>This Action<br>09/25/2024   | 12. Method of Payment  OTHER - FEMA GO |  | 13. Assist<br>Arrangem<br>OTHER   |                            | Pe<br>10<br>09<br>Bu<br>10                 | eriod<br>)/01/20<br>)/30/20                  | 27<br><b>Period</b><br>24 to  |

15. Description of Action a. (Indicate funding data for awards or financial changes)

| Program<br>Name<br>Abbreviation | Listing No. | Accounting Data (ACCS Code)                | Total  | This Astion    | Current Total<br>Award | Cumulative<br>Non-Federal<br>Commitment |
|---------------------------------|-------------|--|--------|----------------|------------------------|---|
| RCPGP                           | 97.111      | 2024-FA-<br>GN10 -<br>P410-xxxx-<br>4101-D | \$0.00 | \$2,696,215.00 | \$2,696,215.00         | See Totals                              |
|                                 |             | Totals                                     | \$0.00 | \$2,696,215.00 | \$2,696,215.00         | \$0.00                                  |

b. To describe changes other than funding data or financial changes, attach schedule and check here:

N/A

16.FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

This field is not applicable for digitally signed grant agreements

| 17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)               | DATE       |
|---|------------|
| 18. FEMA SIGNATORY OFFICIAL (Name and Title)                    | DATE       |
| Angelica Saavedra, Grants Management Division Director Region 9 | 09/25/2024 |

#### **FY24 Application**

#### **Background**

Name of Primary Applicant: Bay Area UASI, a Division of SF DEM

Name/Title of Lead POC: Craig Dziedzic, General Manager POC mailing address: 1663 Mission Street, Suite 320

San Francisco, CA 94103

*POC telephone number:* (415) 601-3229

POC email address: Craig.Dziedzic@sfgov.org

Name/Title of AOR: Craig Dziedzic, General Manager AOR mailing address: 1663 Mission Street, Suite 320

San Francisco, CA 94103

*AOR telephone number:* (415) 601-3229

AOR email address: Craig.Dziedzic@sfgov.org

Project Title: Equitable Community Resilience – Phase 3

Project Description:

With equity and climate resiliency as its foundation, and a focus on community resilience and long-term vulnerability reduction, the proposed project "Equitable Community Resilience – Phase 3" seeks to build readiness capacity that is community generated, owned, and sustained. Building on the work performed with previous investments (RCPGP FY22 - Phase 1, and RCPGP FY23 - Phase 2), the Phase 3 project will continue to empower disadvantaged communities and local government to better understand the unique risks posed by climate change and address the identified capability gaps. Moreover, Phase 3 is transformational in that it makes significant efforts in developing innovative approaches & tools for system-based, community-wide projects that enhance the region's climate resilience, as well as overcoming barriers due to language access in disadvantaged communities throughout the San Francisco Bay Area. The products developed in Phase 3 will be made publicly available and will contribute to the Nation's preparedness.

Collectively, the Bay Area UASI's communities established these overarching strategic objectives for the Equitable Community Resilience project. Funding for Phase 3 is critical to continuing progress toward these objectives.

#### Strategic Objectives

SO1. Understand existing barriers to equity and identify specific climate resilience & readiness vulnerabilities in the region.

SO2. Build & strengthen relationships between local government and disadvantaged communities.

SO3. Empower disadvantaged communities to address gaps in readiness.

SO4. Empower local government to address identified gaps in equity and climate resilience.

SO5. Develop innovative approaches & tools to address equity, climate resilience, and readiness in the region.

#### Partner Agencies / Participants:

This project does not include subrecipients. The Bay Area UASI project team will work directly with its member jurisdictions and the identified disadvantaged communities. Following is a list of member jurisdictions. Please refer to Annex A for a complete listing of disadvantaged

communities within the member jurisdictions including the correlating census tract numbers based on the CEJST.

#### **Bay Area UASI Member Jurisdictions**

City & County of San Francisco
City of San Jose
City of Oakland
County of San Mateo
County of Alameda
County of Contra Costa
County of Marin
County of Monterey
County of Monterey
County of San Mateo
County of Santa Clara
County of Santa Cruz
County of Solano
County of Monterey
County of Sonoma

Annexes:

The following annexes are provided in support of this application.

- A. List of Counties and Disadvantaged Communities involved in this project
- B. List of all CDRZs involved in this project
- C. Letters of Support
- D. Bay Area UASI's Equitable Community Resilience Strategy
- E. Custom Performance Measure: Long-term Vulnerability Reduction Core Capability
- F. Vulnerabilities & Needs
- G. RCPGP FY22 Project Deliverables

#### **Statement of Need**

The Bay Area Urban Areas Security Initiative (UASI) region is home to 12 counties, 8,200,000 people and 390 Census Tracts associated with disadvantaged communities based on the Climate Economic Justice Screening Tool (CEJST). The Bay Area is one of the most diverse regions in the United States encompassing the two Metropolitan Statistical Areas of San Francisco-Oakland-Berkely, and San Jose-Sunnyvale-Santa Clara, along with smaller urban and rural areas. According to the Bay Area Equity Atlas in 2020 64% of residents identified as people of color, more than 160 languages are spoken, and by 2050 33% of the population is expected to identify as Latinx.

While this region is considered one of the wealthiest regions in the United States, the wealth is concentrated and does not reach most residents. 46% of all Bay Area families are considered low income or very low income. Of these families, Black and Latinx residents comprise a disproportionate amount of the very low-income earners<sup>1</sup>. It is important to note that while family incomes in the Bay Area may appear significantly above the federal poverty level compared to other parts of the county, when considering the high cost of living in the area, many families actually qualify as very low-income.

In the past several years, rising temperatures contributed to more frequent and severe heatwaves, altered precipitation patterns and increased the frequency of extreme weather events such as wildfires, atmospheric rivers, and flooding. These have demonstrated the destructive impacts of climate change in California impacting the lives of people in the Bay Area UASI region. According to the California Department of Forestry and Fire Protection (Cal FIRE) records, 14 of the 20 most destructive wildfires in state history have occurred within the last 9 years. In a recent 6-month period from late December 2022 to early April 2023, California experienced at least 12 atmospheric river storms, which caused landslides, flooding, sinkholes, and downed trees. One of these storms devastated the Bay Area UASI Region's town of Pajaro, comprised mostly of low-income Latino farmworkers, 3,000 people were evacuated and every home incurred damage.

While climate change affects everyone, not all people are impacted equally. People of color, immigrants, refugees, and lower-income populations experience increased exposure and sensitivity to climate hazards and a reduced capacity to adapt<sup>2</sup>. Some ways that this manifests in the region's underserved communities are that outdoor workers and people with preexisting health conditions are at greater risk from heat events, and those with lower incomes have fewer resources to repair flood damage and may live in poor housing conditions. Socially isolated people may not have a personal network to help them during an emergency, and people with limited English language proficiency are less likely to access programs that could help during or after an extreme weather event<sup>3</sup>.

According to the Bay Area Equity Atlas, nearly one in 10 households in the Bay Area does not speak English well or at all. This statistic underscores the importance and challenge of ensuring

<sup>&</sup>lt;sup>1</sup>https://bayareaequityatlas.org/distribution-of-incomes

<sup>&</sup>lt;sup>2</sup>https://www.usdn.org/uploads/cms/documents/usdn\_guide\_to\_equitable\_community-driven\_climate\_preparedness-high res.pdf

<sup>&</sup>lt;sup>3</sup>https://www.usdn.org/uploads/cms/documents/usdn\_guide\_to\_equitable\_community-driven\_climate\_preparedness\_high\_res.pdf

that public safety communications are accessible to all communities. "[When you speak an indigenous language, you feel vulnerable – like you don't get the message and you don't feel safe. When you get a translation in your own tongue, then you feel there's safety." – Maria Salinas, with Movimiento Cultural de la Union Indígena. Maria lives in The Bay Area UASI region and advocates for the health and safety of her fellow farmworkers, especially those who speak indigenous languages. Maria and her fellow organizers surveyed farmworkers in the region and compiled a list of all the different languages they spoke. They used this list to show local ranchers and policymakers the broad range of languages spoken and how additional translations were needed to ensure the safety of workers<sup>4</sup>. Linguistic isolation is also a common urban phenomenon in the Bay Area. For example, the community of Deep East Oakland (census tract 06001409000) that underwent the development of a Local Roadmap to Community Resilience in the RCPGP FY22 project, ranked in the 90<sup>th</sup> percentile for linguistic isolation. Community members noted that some community members have very low English proficiency (LEP) and can only communicate in Spanish, indigenous languages (in which some do not use written language), Farsi, and Russian.

The Bay Area UASI used various resources (*please refer to Annex F*) to validate the aforementioned needs and vulnerabilities, this included analyzing data using the BA UASI's regional vulnerability tool, and findings from: the BA UASI's regional vulnerability report, Local Roadmaps to Community Resilience, jurisdictional-level climate resilience and equity gaps & needs analyses, after-action reports from various exercises, as well as the regional THIRA/SPR; all of which corroborate the need for enhanced climate resilience and improved language access & communications.

The Bay Area UASI has selected two core capabilities to address through the proposed project. These are shown in Table 1 along with the Bay Area UASI region's most recent capability assessment per the 2023 Bay Area UASI Threat and Hazard Identification and Risk Assessment (THIRA) and Stakeholder Preparedness Review (SPR) reports.

TABLE 1 – Summary of Current Capability Assessment

| Core Capability | Capability Assessment per 2023 Bay Area UASI THIRA/SPR   |  |  |  |
|-----------------|--|--|--|--|
| Community       | Capability Target:   | Estimated Current Capability:  |  |  |
| Resilience      | Every 1 year, conduct 2000 outreach events or activities to increase awareness of locally significant threats and hazards to help the residents be more prepared to prevent, protect against, mitigate, respond to, and recover from those events. | Every 1 year, conduct 1100 outreach events or activities to increase awareness of locally significant threats and hazards to help the residents be more prepared to prevent, protect against, mitigate, respond to, and recover from those events. |  |  |

#### Identified Capability Gaps and Focus Areas:

The estimated capability gap is 900 outreach events and activities every year. This can be partially attributed to:

- **P** = lack of guidance (ESF) for integrating the community when the EOC is activated. Need better metrics and coordination to understand which individuals are receiving messages and where outreach is needed, including identification of where the community gathers and what types of events they will attend.
- **O** = lack of resources for outreach events, need translation support for timely dispersal of preparedness and emergency messages, lack of adequate translation from English to other languages, lack of clear coordination between public sector (Emergency Management) and community organizations (VOAD, CBOs, NGOs, faith-based groups, etc.).

<sup>&</sup>lt;sup>4</sup>https://bayareaequityatlas.org/indicators/linguistic-isolation/feature-story

- **E** = Need translation platform to support preparedness and emergency messages, no regional database or calendar of outreach activities, need consistent use of GIS mapping of AFN populations in communities to target outreach and engagement.
- T = Need to better understand what trainings communities want/need and how/where they need them delivered. Community organizations often lack time and money to adequately participate in public sector sponsored training programs.
- **E** = Community organizations often lack time and money to adequately participate in UASI sponsored exercises. Lacking inclusion of meaningful concrete community objectives in existing exercises.

**Other Functional Areas:** Communication and outreach, education and skill building, partnership building, understanding the community, collaborative planning, and decision-making.

Long-Term Vulnerability Reduction



#### Capability Target:

\*Custom Performance Measure

Every 3 years, 14 jurisdictional-level offices of emergency services (OES) review their climate resilience / adaptation strategies and update their relevant plans.

#### **Estimated Current Capability:**

\*Custom Performance Measure

Every 3 years, 0 jurisdictional-level offices of emergency services (OES) review their climate resilience / adaptation strategies and update their relevant plans.

### Identified Capability Gaps and Focus Areas:

The estimated capability gap is 14 jurisdictional-level OESs not reviewing climate resilience / adaptation strategies and update their relevant plans every 3 years. This gap can partially be attributed to:

- **P** = Lack of climate action/resilience plans. Lack of climate action/resilience strategies.
- **O** = Lack of engagement/participation with building departments and resilience organizations

The Bay Area UASI assessed the custom performance measure through direct interviews with the member jurisdictions. The findings indicated that OESs do not have an overarching strategy for reducing long-term vulnerabilities caused by climate change; however, a few jurisdictions have specific plans for addressing a specific climate related hazard, for example CCSF heat & air quality resilience plan<sup>5</sup>.

\*Through this project the Bay Area UASI is supporting its member jurisdictions to adopt and engage in the process of developing climate resilience / adaptation strategies and providing them with all the tools to review them periodically thereafter.

Other Functional Areas: developing neighborhood civic organizations, individual and family preparedness

In line with the Bay Area UASI's Equitable Community Resilience Strategy (Annex C) the proposed project "Equitable Community Resilience – Phase 3" will continue to empower disadvantaged communities and local governments to address identified gaps in equity, climate resilience, and readiness, as well as fostering an environment for enhanced collaboration to strengthen their relationships. In efforts to close the identified gaps stated in the above capability assessment, the proposed project will place special emphasis on the development of innovative equitable approaches & tools to address climate resilience, and language access/communications.

<sup>&</sup>lt;sup>5</sup> https://www.sf.gov/sites/default/files/2024-06/HAQR-230522%5B1%5D 0.pdf

#### Project Design – Equitable Community Resilience – Phase 3

#### 1. Proposed Activities

The activities proposed in this project are calculated components of the Bay Area UASI's broader Equitable Community Resilience Program Strategy (please refer to annex. D) to operationalize FEMA's strategic priorities: Equity, Climate Resilience, and Readiness over the course of 5 years. The proposed activities build on previous investments providing holistic support to communities to become more prepared and resilient to potential disasters. The proposed FY24 RCPGP funded project will make the following activities available:

#### Planning Tasks

#### A. Local Planning Activities

#### Local Roadmaps to Climate Resilience & Environmental Justice

A highly successful and universally well-received initiative in previous investments was the development & implementation of <u>Local Roadmaps to Community Resilience</u> that prioritized equity in disaster preparedness for disadvantaged communities throughout the Bay Area. This project proposes to use this proven methodology, and work with disadvantaged communities to develop & implement Local Roadmaps to Climate Resilience & Environmental Justice, this time focusing primarily on identifying climate related hazards and implementing low-cost nature-based solutions to address them.

#### Testimonials of the RCPGP FY22 Local Roadmaps to Community Resilience initiative:

"Thank you so much for working with us on this project and completing this deliverable. I truly appreciate everyone's participation on this project and commitment to our community's resilience. I look forward to the next steps and our continued partnerships" – OES staff from the City of Gilroy, Santa Clara County

"Thank-you all. This has been an empowering experience" - Community member from San Mateo County

#### Local Customized Planning

Recognizing that local government may lack the capacity (resources, staffing, etc.) to develop and operationalize preparedness/mitigation plans & strategies, this activity proposes to offer local offices of emergency services (OES) technical assistance for developing and implementing customized planning initiatives, for example:

- To develop a climate resilience/adaptation strategy for their jurisdiction.
- To develop a collaboration strategy for bridging community partners to the OES/EOC.
- To develop and implement<sup>6</sup> a locally customized public preparedness outreach strategy based on findings from the FY23 funded Effective Community Outreach Study.
- To develop a strategy to close the equity / climate resilience gaps identified in the FY22 funded gap & needs analysis of jurisdictional level plans.
- Develop an internal to operational area communication strategy & plan.

<sup>&</sup>lt;sup>6</sup> Partnering with local universities (paid student internships) to operationalize strategy and conduct preparedness outreach in disadvantaged communities, using mediums (ex: social media, radio, poster contests, etc.) outlined in that jurisdictions customized strategy.

Testimonial of the RCPGP FY22 local customized support / technical assistance provided to the San Francisco Department of Emergency Management to conduct a gaps & needs analysis related to equity and climate resilience (*Annex G*):

"The assessment and recommendations are very helpful for us as we continue moving our EOP (emergency operations plan) forward. Thank you again for all the hard work on this! Thank you!" – Planner from the Department of Emergency Management, the City & County of San Francisco.

#### **B.** Regional Planning Activities

#### Regional Online Platforms & Toolkits

- i. The project proposes to expand and enhance regional platforms developed with previous RCPGP investments, ensuring they are interoperable and can interact seamlessly with existing websites. Platforms & toolkits include:
  - <u>Crisis Communications Tool</u> originally developed with RCPGP FY20 funds, this online platform is a multi-lingual, multi-hazard, pre-scripted, and pre-vetted crisis messaging repository. It features customizable templates designed to communicate with linguistically isolated communities throughout the Bay Area. This platform would be expanded to include new messages in additional languages, and incorporate messaging in pictorial & video formats to improve language accessibility.
  - Regional Vulnerability Tool developed with RCPGP FY22 funds, this online ArcGIS platform highlights vulnerabilities and risks at a community-level, county-level, and regional level, merging data sets from various sources (CEJST, NRI, etc.). This platform would be expanded to include additional data sets related to climate change such as heat index, rising tides, air quality index. Additionally, it will have new functions for uploading resilience initiatives undertaken within the Bay Area to reduce exposure and risks. This will allow local governments to be aware of ongoing efforts (also allow to showcase any efforts) and reduce redundant efforts. The tool would be re-branded as the "Regional Resilience Tool" (written as such in the Strategy).
  - CERT Platform development of an all-in-one platform that catalogues and inventories
     CERT members (at a jurisdictional level). This would include capabilities for registration
     in CERT training classes and activities; communications through SMS, Whatsapp, email,
     etc. and would also have calendar<sup>2</sup> functions.
  - Climate Resilience Toolkit This proposed toolkit would include guidance, training materials, and customizable templates for UASI jurisdictions. These resources would cover climate resilience review and assessment methodology, checklists and survey questions for conducting assessments, data input forms for updating the online platform, and guidance on reviewing jurisdictional level plans using findings, among other things. Please note that this toolkit is intricately linked to several of the other deliverables (the local roadmaps to climate resilience & environmental justice, the Regional Climate Resilience Tool, Climate Resilience Seminar Series, Climate Resilience Symposium, and the Regional Climate Resilience Study) and will also serve as the resource library for all of these.

-

<sup>&</sup>lt;sup>7</sup> Example of a calendar app to develop/use would be <a href="https://bewith.io/">https://bewith.io/</a>

• Emergency Communications (Radios) Toolkit – Findings from the Local Roadmaps to Community Resilience and after-action reports from several years of RCPGP & UASI funded exercises, highlight gaps in emergency communication plans between local offices of emergency services and communities. In efforts to bridge this gap, the Bay Area UASI is proposing to develop a Regional Emergency Communication Toolkit. The toolkit will include, but will not be limited to, customizable jurisdictional level emergency operation plans, guidance for operational area communication protocols, guidance for community-level radio use, and multi-lingual instructional/training videos. Please note that this deliverable is intricately linked to proposed training and exercise activities outlined in following sections.

#### Regional Study & Strategy

- ii. The project proposes the following regional study & strategy:
  - Regional Climate Resilience Study will serve as the foundation and building-block for jurisdictions to advance their long-term vulnerability capabilities. The study will include mapping and defining jurisdictional criteria for the following:
    - o Climate resilience actors
    - o Existing climate resilience initiatives
    - o Disadvantaged communities with the strongest cases for environmental justice
    - o Public facilities (communal infrastructure) that need climate-resilient adaptations
    - Climate resilience mitigation strategies (for top 5 climate related hazards)
       The data will be uploaded into an ArcGIS platform (regional vulnerability tool—please note that if expanded the tool will be rebranded as the regional resilience tool).
    - Regional Climate Resilience Strategy will include development of key performance indicators to evaluate equity & climate resilience achievements via federally funded programs as well as a capability assessment.

#### **Organization Tasks**

The Bay Area UASI will sustain a Program Manager at .30 full-time equivalent to provide contractual oversight and serve as a coordinator / discussion facilitator with the stakeholders engaged in this project.

The Bay Area UASI will sustain an Administrative Specialist .30 full-time equivalent who will perform organizational support and manage procurements (i.e., training vendors, exercise contractors, technical assistance contractors).

The Bay Area UASI will sustain a Community Preparedness Analyst .30 full-time equivalent who will coordinate community preparedness trainings & exercises, as well as provide support with outreach and coordination of community initiatives (ex: focus group discussions, assessments, technical assistance, community events, etc.), and chair the Bay Area UASI Community Preparedness Focus Group.

# **Training Tasks**

The Bay Area UASI is proposing to offer the following three categories of trainings:

- i. Emergency Communication (HAM/GMSR/FSR radios) Training for community partners and Office of Emergency Services staff (including field operators and radio operators). Training materials developed for this initiative would be uploaded onto the regional Emergency Communications Toolkit and made available via the Bay Area Training and Exercise Program (BATEP).
- ii. Climate Resilience for Emergency Management leveraging the BATEP, and its partnership with California Specialized Training Institute (CSTI), the Bay Area UASI would create a series of climate resilience "add-ons" to relevant Emergency Management training courses, with the goal of raising awareness of forthcoming climate hazards and possible mitigation strategies resulting from climate change.
- Community Preparedness Training As part of the RCPGP FY22 project, a Communityiii. led Preparedness Initiatives Catalogue is being developed; this catalogue highlights various community-level preparedness programs across the Bay Area and provides contact details for training opportunities on initiatives. The Bay Area UASI proposes to support community members to share knowledge with one another by promoting learning opportunities and knowledge building through inter-community exchanges. The Bay Area UASI will facilitate local community trainers to conduct trainings vulnerable/disadvantaged communities, as well as facilitate intra-community trainers from local community groups to conduct individual/household preparedness trainings and outreach.

#### **Exercise Tasks**

The following exercise activities are proposed by the Bay Area UASI:

- i. Climate Resilience Seminar Series
  - The proposed seminar series would use data and maps to highlight climate-related hazards specific to the Bay Area, such as extreme weather, rising tides, and air quality issues. It will serve as a forum for emergency managers and local planners to discuss, reflect on, and develop preparedness and mitigation strategies, including establishing protocols and early warning systems (EWS) for forthcoming climate change induced hazards. The series would also cover topics like environmental justice and equity. The series would be recorded and made publicly available on the Bay Area UASI website.
- ii. Climate Resilience Symposium
  - Building on the findings and momentum of the Climate Resilience Seminar Series and other project activities, the Bay Area UASI proposes to organize a Climate Resilience Symposium focusing on the intersection of climate change & emergency management. This event would include keynote speakers, discussion panels, presentations, trainings, etc.
- iii. Emergency Communications Exercise Series

  The Bay Area UASI proposes a series of emergency communications (radio) exercises for local jurisdictions and community partners. The primary objective of this exercise series is to test and validate emergency communications plans, developed as part of the aforementioned planning activities, and the capabilities developed through the listed training activities for radio use.

# 2. Best Approach

The proposed project is the best approach to build upon current capabilities as it utilizes data gathered and accomplishments from RCPGP FY22 & FY23 investments to address identified gaps/needs. More specifically, the project is designed to:

- Breakdown barriers to equity by implementing tailored activities that are identified by disadvantaged communities to address their specific needs/gaps during the development of Local Roadmaps.
- Provide support/technical assistance to offices of emergency services (OES) to develop and implement strategies to close capability gaps identified during the jurisdictional-level needs & gap analysis conducted with RCPGP FY22 funds.
- Offer the deliverables outlined in Phase 3 of the Bay Area UASI's <u>Equitable Community Resilience strategy</u>. These deliverables were collectively identified by the Bay Area UASI's members (including emergency managers, subject matter experts, resilience officers, community liaison officers, VOAD representatives, etc.) as the most logical and relevant actions for the region to address remaining capability gaps.

The proposed project aims to address core capability gaps both locally and regionally. At the local level, it focuses on working with individual jurisdictions and disadvantaged communities to address their specific needs. At the regional level, it enhances the overall readiness by developing online platforms, toolkits, studies, trainings, and exercises, which are made publicly available to all members.

#### 3. Project Selection and Impacts to Disadvantaged Communities

This project was selected by the Bay Area UASI Approval Authority and its designees representing the 14 member jurisdictions through a series of participatory consultations. Findings from afteraction reports, THIRA/SPR, and data driven assessments (ex: local roadmaps to community resilience, needs & gap analysis, etc.) were presented to the UASI membership during the consultations. Per grant requirements, the vulnerable/disadvantaged communities for this project are identified using the Climate and Economic Justice Screening Tool (CEJST).

To maximize the impact on disadvantaged communities, the proposed project employs a proven methodology from the RCPGP FY22 project. In this approach, community representatives from disadvantaged communities collaborate with local governments to conduct a self-assessment (vulnerability and capacity assessment). This process first identifies the needs and gaps within these communities and then develops action plans (roadmaps) to address them. By participating in this collaborative process, disadvantaged communities become more aware of the risks they face. Using empirical data sources<sup>8</sup> and ArcGIS mapping, local communities will be able to make data-driven informed decisions. Additionally, the project approach is designed to build trust and establish lasting relationships between historically marginalized or segregated communities and local governments.

The development of regional tools, as well as the deliverables targeting local jurisdictions are also designed maximize the impacts to disadvantaged communities as they aim to eliminate barriers to

<sup>&</sup>lt;sup>8</sup> With RCPGP FY22 funding the UASI built an ArcGIS platform (regional vulnerability tool) that combines data from the following sources: CEJST, NRI, CDRZ, RAPT, and adapting to rising tides.

equity. Please note, disadvantaged communities, emergency managers, and partners throughout the Bay Area will benefit from the activities in this project.

# 4. Project Partners

Through a Master MOU, the Bay Area UASI's primary project partners include its 14 member jurisdictions, which includes 2 MSAs and over 100 incorporated cities. The Bay Area UASI also currently works with the region's special districts, military, private sector, and community-based organizations to prepare for disasters. Roles and anticipated contributions of project partners are articulated in Table 2.

# **TABLE 2 – Primary Project Partners**

Community Level Resilience Teams<sup>9</sup>: disadvantaged/vulnerable community representatives serve as the link to all community level activities for this proposed project (i.e. development of roadmaps, implementation of community level strategic action plans, outreach activities, conducting trainings, etc.)

*UASI Community Preparedness Focus Group*: members serve as a liaison to organizations/agencies within their jurisdictions ensuring coordination and whole community engagement.

*UASI Emergency Management Work Group (EMWG) & Task Forces*: members participate in the development of products and deliverables by providing guidance/expertise and feedback during iterative review of project deliverables. The Task Forces contribute subject matter expertise.

*UASI Approval Authority*: serves as a governing board and approves higher-level decisions (staffing, overall project design/objectives, budget, etc.)

*UASI Management Team*: Manages and implements the grant (including reporting), coordinates with partners/stakeholders (including convening work group meetings)

**State and Federal Partners**: ongoing coordination with Cal OES and FEMA to ensure that project deliverables and products are integrated into regional preparedness plans and shared widely beyond the region.

The project will leverage subject matter expertise through partnerships with relevant California-based networks/agencies.

#### 5. Anticipated Partnership Outcomes to Meet Regional Needs

By engaging community groups and local governments this project will strengthen resilience in the region. Disaster preparedness needs of disadvantaged communities, offices of emergency services, and other public safety agencies will be directly addressed. Furthering trust and providing space to enhance partnerships between the local communities and government agencies will build localized and regional resilience. Local community level needs and gaps will be amplified in a regional forum and addressed through regional frameworks, strategies, policies, etc. This project includes a roll up of best practices and sharing of experiences into several tools for future expansion and regional coordination. Furthermore, the proposed project seeks to build state and local capacity to manage catastrophic incidents by improving and expanding regional collaboration among emergency managers and resilience stakeholder through deliverables like the climate resilience symposium, and the climate resilience exercise series.

# 6. Executive Support

Annex C includes a Letter of Support from San Francisco Mayor London Breed certifying that this is the City's single application for consideration by DHS/FEMA. A Letter of support from San Jose Mayor Matt Mahan is included as well. In addition, letters from all Bay Area UASI Approval

<sup>&</sup>lt;sup>9</sup> For example: in the RCPGP FY22 project, community level resilience teams were established in 10 disadvantaged communities across the Bay Area. The teams were made up of 34 subject matter experts from community-based organizations and 24 subject matter experts from local government agencies.

Authority Members and OES managers from the Bay Area UASI member jurisdictions are included.

#### 7. Timeline and Milestones

The Bay Area UASI Program Team provides strong leadership and ensures a high level of professional outcomes throughout all phases of project management. A contractor team will be enlisted to support this project within the grant performance period of October 1<sup>st</sup>, 2024 – September 30<sup>th</sup>, 2027. A summary timeline and proposed milestones are depicted in Table 3. Please note that when relevant, execution of planned deliverables for this project follows the preparedness cycle (1- plan, 2- train, 3- exercise, and 4- evaluate/improve).

**TABLE 3 – Timeline and Proposed Milestones** 

Please note: the Regional Vulnerability Tool and the CERT Platform have been removed due to the reduction in proposed budget.

| Bailectones                                |    | 24 2025 |    |    | 2026 |    |    |    | 2027 |    |    |    |
|--|----|---------|----|----|------|----|----|----|------|----|----|----|
| Milestones                                 | Q4 | Q1      | Q2 | Q3 | Q4   | Q1 | Q2 | Q3 | Q4   | Q1 | Q2 | Q3 |
| 1 - Project Initiation                     |    |         |    |    |      |    |    |    |      |    |    |    |
| Hire contractor team                       |    |         |    |    |      |    |    |    |      |    |    |    |
| Project kick-off meeting                   |    |         |    |    |      |    |    |    |      |    |    |    |
| Stakeholder focus group meetings           |    |         |    |    |      |    |    |    |      |    |    |    |
| 2 - Planning                               |    |         |    |    |      |    |    |    |      |    |    |    |
| Local Roadmaps to Climate Resilience &     |    |         |    |    |      |    |    |    |      |    |    |    |
| Environmental Justice                      |    |         |    |    |      |    |    |    |      |    |    |    |
| Local Customized Planning (technical       |    |         |    |    |      |    |    |    |      |    |    |    |
| assistance)                                |    |         |    |    |      |    |    |    |      |    |    |    |
| Crisis Communications Tool (online         |    |         |    |    |      |    |    |    |      |    |    |    |
| platform)                                  |    |         |    |    |      |    |    |    |      |    |    |    |
| Regional Vulnerability Tool (online ArcGIS |    |         |    |    |      |    |    |    |      |    |    |    |
| platform)                                  |    |         |    |    |      |    |    |    |      |    |    |    |
| Cert Platform (online platform with App)   |    |         |    |    |      |    |    |    |      |    |    |    |
| Climate Resilience Toolkit                 |    |         |    |    |      |    |    |    |      |    |    |    |
| Emergency Communications (radios)          |    |         |    |    |      |    |    |    |      |    |    |    |
| Toolkit                                    |    |         |    |    |      |    |    |    |      |    |    |    |
| Regional Climate Resilience Study          |    |         |    |    |      |    |    |    |      |    |    |    |
| Regional Climate Resilience Strategy       |    |         |    |    |      |    |    |    |      |    |    |    |
| 3 - Training                               |    |         |    |    |      |    |    |    |      |    |    |    |
| Emergency Communications (radios)          |    |         |    |    |      |    |    |    |      |    |    |    |
| Trainings                                  |    |         |    |    |      |    |    |    |      |    |    |    |
| Climate Resilience for Emergency           |    |         |    |    |      |    |    |    |      |    |    |    |
| Managers Training "add-ons"                |    |         |    |    |      |    |    |    |      |    |    |    |
| Community Preparedness Trainings           |    |         |    |    |      |    |    |    |      |    |    |    |
| 4 - Exercise                               |    |         |    |    |      |    |    |    |      |    |    |    |
| Climate Resilience Seminar Series          |    |         |    |    |      |    |    |    |      |    |    |    |
| Emergency Communications (radios)          |    |         |    |    |      |    |    |    |      |    |    |    |
| Exercise Series                            |    |         |    |    |      |    |    |    |      |    |    |    |
| Regional Climate Resilience Symposium      |    |         |    |    |      |    |    |    |      |    |    |    |
| 5 - Project Closeout                       |    |         |    |    |      |    |    |    |      |    |    |    |

# **Project Impact**

#### 1. Performance Measures

The project will result in the following performance measures:

#### **Planning**

- Ten (10) jurisdictions develop Local Roadmaps to Climate Resilience
- Ten (10) initiatives outlined in the community-level strategic action plans (local roadmaps to climate resilience) implemented
- Ten (10) OES strategies developed and implemented through customized local planning assistance
- One hundred (100) messages translated into ten (10) Bay Area spoken languages
- Twenty (20) messages converted into pictorial format, and five (5) videos created & available in at least three (3) languages.
- Development of one (1) Regional Resilience Tool (online ArcGIS platform)
- Development of one (1) CERT Platform.
- Conduct one (1) regional study and develop one (1) regional strategy report on Climate Resilience (long-term vulnerability reduction).
- Conduct a regionwide custom capability assessment, including development of KPIs for equity and climate-resilience accomplishments via federally funded programming.

### Organization

- Sustainment of program staff: One (1) Project Manager at 30% FTE, One (1) Administrative Specialist at 30% FTE, One (1) Community Preparedness Analyst at 30% FTE
- Five (5) task forces sustained and/or created around identified issues

#### **Training**

- 2,000 people trained / reached by outreach sessions

#### Exercise

- Eight (8) discussion-based workshops (climate resilience seminar series) / with one (1) after-action report compiling the findings from the series.
- Eight (8) workshop recommendations and summary reports
- Ten (10) emergency communications (radios) exercises with ten (10) individual after-action reports.
- Three hundred and fifty (350) individuals attend the regional 2-day Climate Resilience Symposium.

#### 2. Capability Assessment

After the project, the Bay Area expects to achieve enhanced capabilities as described in Table 4.

TABLE 4 - Projected Capability Assessment Upon Project Completion

| TABLE 1 Trojected Capability Assessment Opon Troject Completion |  |  |  |  |
|---|--|--|--|--|
| Core Capability   | Estimated Capability Assessments Upon Project Completion                                   |  |  |  |
| <b>Community Resilience</b>                                     | Every 1 year, conduct 1200 outreach events or activities to increase awareness of locally  |  |  |  |
|   | significant threats and hazards to help the residents be more prepared to prevent, protect |  |  |  |
|   | against, mitigate, respond to, and recover from those events.                              |  |  |  |
|   | This estimates an additional <b>100</b> outreach events/activities per year.               |  |  |  |
|   | This project will close 10% of the identified gap.   |  |  |  |
| Other functional areas of                                       | Communication and outreach, education and skill building, partnership building,            |  |  |  |
| Community Resilience  | understanding the community, collaborative planning and decision-making                    |  |  |  |

| Specific improvements:    | Examples:  |
|---------------------------|--|
|                           | Disadvantaged communities will be supported to develop and implement the strategies identified by their community level resilience teams to enhance their community's climate resilience. (Local Roadmaps)   |
|                           | Local jurisdictions will develop risk-informed mitigation plans and strategies (Local Customized Planning)   |
|                           | Community members and organizations will see their resilience increase as they are more prepared for surviving a disaster due to participation in preparedness training activities, meaningfully engage in exercises, and outreach sessions.   |
|                           | New relationships & partnerships will be developed which will in turn strengthen collaboration among community members/groups and governments thereby increasing their resilience.   |
| Long-Term                 | Every 3 years, 14 jurisdictional-level offices of emergency services (OES) review their  |
| Vulnerability             | climate resilience / adaptation strategies and update their relevant plans.  |
| Reduction                 | This project will close 100% of the identified gap by having jurisdictions adopt and   |
| *Custom Performance       | engage in the process of developing climate resilience/adaptation strategies and   |
| Measure                   | providing them with all the tools to review and act on them periodically thereafter.   |
| Other functional areas of | developing neighborhood civic organizations, individual and family preparedness  |
| Long-term vulnerability   |  |
| reduction                 |  |
| Specific improvements:    | Examples:  |
|                           | Local community leaders analyze long-term vulnerabilities, including the predicted effects of ongoing climate change, affecting disadvantaged communities across the region and develop plans for mitigating those vulnerabilities. This allows communities to better focus investments on the most transformational projects as they better understand the unique risks posed by climate change. (Local Roadmaps) |
|                           | A comprehensive Regional Climate Resilience Study & Strategy presents the needs of disadvantaged communities and other socially vulnerable populations. It serves as a foundation for local jurisdictions (and regional efforts) to close capability gaps.   |
|                           | Please see <u>Annex E: Custom Performance Measure - Long-term vulnerability Reduction</u> <u>Core Capability</u> to see how the proposed project deliverables contribute to this custom Performance Measurement  |

# 3. Benefitting Disadvantaged Communities and Equity in Emergency Management

Consistent with previous years, the Bay Area UASI recognizes that natural disasters often disproportionately affect people in disadvantaged communities where weakened infrastructure, fewer resources, and less support invested in hazard mitigation can compound a disaster's impact thus worsening inequalities already present in society. This proposed project focuses on these communities (identified using CEJST and other data sets<sup>10</sup>). More specifically, local planning activities such as the development of roadmaps are done directly with members of the disadvantaged communities. Trainings aim to build capacity of the members of these communities. The other local and regional activities are designed to support local government and emergency managers to incorporate disadvantaged communities in planning and to break down barriers to

\_

<sup>&</sup>lt;sup>10</sup> With RCPGP FY22 funding the UASI developed a Regional Vulnerability Tool (online ArcGIS platform that compiles data sets from the CJEST, NRI, RAPT, CDRZ, and others).

equity affecting disadvantaged communities. Thus, the entire project (100%) will benefit disadvantaged communities as it implements strategies to maximize benefits and respond to community priorities and values, while addressing disparities in resource allocation and local vulnerability.

In line with FY22 & FY23 RCPGP grants, the proposed project will advance the goal of equity in regional emergency management by intentionally directing resources to communities most in need (focusing on disadvantaged communities), thus counteracting historic procedural, distributional, and structural inequities in disaster management. The jurisdictional level local roadmaps to community resilience, training and exercise activities outlined in the proposed project prioritize equity for communities that have historically been underserved or disproportionately affected by disasters. Through the Bay Area UASI Community Preparedness Focus Group, the emergency management community will commit itself to reducing existing barriers to access and deliver equitable resilience outcomes for the communities they serve. Partnerships across local emergency management and their whole communities will be improved as a result of this project.

# 4. Scalability and Benefit to National Preparedness

The findings and deliverables from the proposed project can be scaled, replicated, or otherwise benefit national preparedness in the following ways:

- Methodologies, guidance, tools, and templates developed with this funding are made publicly available via toolkits & online platforms. These toolkits and platforms are available for viewing and download from the Bay Area UASI website. Moreover, toolkits contain tutorial videos and specific trainings to improve the end-user experience. Thus, these resources can be replicated, customized, and utilized by any jurisdiction in the Nation.
- As part of the Regional Climate Resilience Study & Strategy, the Bay Area UASI is proposing to develop key performance indicators (KPIs) for effectively measuring climate resilience & equity accomplishments via federally funded grants. These KPIs will be shared with FEMA and will also be made publicly available on our website.
- The Bay Area UASI will share the above-mentioned products with the other RCPGP recipients during the regular national RCPGP programmatic coordination calls.
- The Bay Area UASI will, if FEMA is interested, produce a comprehensive case study of how it used 3 consecutive RCPGP awards to operationalize FEMA's strategic priorities (equity, climate resilience, and readiness) across the Bay Area focusing specifically on disadvantaged communities. Links to all the tools, methodologies, and guidance can be added for nationwide access to these resources.

Depending on levels of funding, project activities can be scaled down.

<sup>11</sup> Procedural (creating processes that are transparent, fair, and inclusive / ensuring all people are treated openly and fairly / increase civic engagement in disadvantaged communities), Distributional (fairly distribute resources, benefits, burdens / prioritize resources for disadvantaged communities). Structural (correct past barms, address

# **Budget Narrative**

Below, in Table 5, is a narrative description of estimated expenses by Planning, Organizing, Training, and Exercises. The attached budget worksheet provides detailed budget information requesting a total grant award of \$3,000,000 \$2,696,215.

**TABLE 5 – Detailed Budget Information** 

| TABLE 5 – Detailed Budget Information     |  |  |  |  |
|---|--|--|--|--|
| Activity                                  | Description  |  |  |  |
| Planning  Total  \$1,500,000  \$1,196,215 | Local Planning Activities  Provide support to disadvantaged communities to develop and implement local roadmaps to climate resilience, as well as provide technical assistance to local jurisdictions / offices of emergency services to develop & implement strategies to close gaps identified in the needs & gaps analysis for an estimated cost of \$700,000 \$600,000.  |  |  |  |
|   | Regional Planning Activities Expand/enhance regional platforms built with previous RCPGP investments and ensure their interoperability and the interface interactivity with existing websites; activities include crisis communications tool, climate resilience toolkit, and an emergency communications (radios) toolkit for an estimated cost of \$600,000 \$496,215. As well as to conduct regional study & develop regional strategy (Regional Climate Resilience Study) to support closing the community resilience capability gaps for an estimated cost of \$200,000 \$100,000.                  |  |  |  |
| Organization                              | FY23 RCPGP will sustain the Program Manager, Administrative Specialist, and Community Preparedness Analyst, each as a 0.30 full-time equivalent, as described below:   |  |  |  |
| Total<br><b>\$212,570</b>                 | • The Program Manager position has an annual salary of \$185,146 with mandatory fringe benefit of \$16,663 based on the latest approved compensation package for the City and County of San Francisco. Projected budget for this project is \$72,207.  |  |  |  |
|   | • The Administrative Specialist position has an annual salary of \$137,800 with mandatory fringe benefit of \$12,402. Projected budget for this project is \$53,742.   |  |  |  |
|   | • The Community Preparedness Analyst position has an annual salary of \$159,562 with mandatory fringe benefit of \$14,361. Projected budget for this project is <b>\$62,230</b> .  |  |  |  |
|   | Regional work requires the Program manager, Community Preparedness Analyst, and Climate Resilience Analyst to travel within the Bay Area UASI region to perform collaborative work with partners. This program will also require materials and supplies in addition to office rent. The estimated budget for office rent, parking pass, travel, and supplies is \$24,391.  |  |  |  |
| Training  Total \$487,430                 | Develop, and in coordination with the Bay Area Training and Exercise Program (BATEP) administer and conduct multiple offerings of Emergency Communication (Radio) Training for community partners and office of emergency services staff in multiple languages for an approximate cost of \$200,000. Additionally, the project proposes to create climate-resilience "add-ons" to standard emergency management trainings curricula (ex: ICS 300) for an estimated cost of \$100,000, as well as provide inter-community individual/household preparedness trainings for an estimated cost of \$187,430. |  |  |  |
| Exercise  Total \$800,000                 | Design and conduct an eight (8) session climate resilience seminar series serving as a nexus for emergency managers and local planner to come up with strategies to tackle environmental justice issues and forthcoming climate change induced hazards for an estimated cost of \$150,000.   |  |  |  |
|   | Develop and conduct ten (10) jurisdictional-level emergency communications (radios) full-scale exercises which include office of emergency services staff and community partners for an estimated cost of \$150,000.   |  |  |  |

Organize and put on a regional Climate Resilience symposium focusing on the intersection of climate change & emergency management. This event would gather at least 350 individuals over 2 days, and would include keynote speakers, discussion panels, presentations, trainings, etc. for an estimated cost of \$500,000.

#### **Sustaining Capabilities**

The following strategies will be used to sustain activities funded through the FY24 RCPGP award:

- The Bay Area UASI will utilize UASI grant funding to continue facilitating the UASI Community Preparedness Focus Group as long as it remains a national priority via the Homeland Security Grant Programs.
- Community preparedness training will continue to be offered through the Bay Area Training and Exercise Program and funded by the UASI grant if RCPGP is discontinued or not awarded.
- All products and planning tools will be posted on the Bay Area UASI website and made publicly available for future efforts to address similar issues.
- Community resilience and long-term vulnerability reduction will be integrated into local government plans and procedures.

# **Grant Management Experience**

The Bay Area UASI is especially experienced in grant management. Since 2006, when the three formerly separate Urban Areas of Oakland, San Francisco, and San Jose merged into one urban area, the twelve counties of the Bay Area UASI developed a governance and management framework that appointed the City and County of San Francisco to act as the fiscal agent for all grant funds awarded to the region.

In the last 18 years, the Bay Area UASI has received \$615 million dollars from various grant funding sources, including: Urban Areas Security Initiative (UASI), Buffer Zone Protection Program (BZPP), Community Oriented Policing Services Technology Program (COPS Tech), Interoperable Emergency Communications Grant Program (IECGP), Public Safety Interoperable Communications (PSIC), Securing the Cities (STC), Targeted Violence and Terrorism Prevention Grant Program (TVTP) and the Regional Catastrophic Preparedness Grant Program (RCPGP). Historically, the BAUASI has successfully spent down grant funds and completed audits in a timely basis.

The Bay Area UASI Management Team, a division of the San Francisco Department of Emergency Management, will continue serving as the lead agency for RCPGP and be responsible for the implementation of all statutory, regulatory, or other requirements of this grant. The Team has significant experience with Federal grant funds and a proven record of accomplishment in successfully leading initiatives, managing regional projects, and expending grant funds allocated to a variety of Bay Area UASI region agencies. Recent audits yielded no findings/recommendations, proving overall compliance to the grant requirements.

| TO:   | Angela Calvillo, Clerk of the Board of Supervisors                         |                     |  |  |  |  |
|---|--|---------------------|--|--|--|--|
| FROM:   | Mary Ellen Carroll, Executive Director, Department of Emergency Management |                     |  |  |  |  |
| DATE:   | March 12, 2025   |                     |  |  |  |  |
| SUBJECT:  | Accept and Expend Resolution for Subject Grant                             |                     |  |  |  |  |
| GRANT TITLE:  | FY 2024 Regional Catastrophic Program (RCPGP)                              | Preparedness Grant  |  |  |  |  |
| Attached please fine  | d the original* and 1 copy of each   | of the following:   |  |  |  |  |
| _X_ Proposed grant resolution; original* signed by Department, Mayor, Controller  |  |                     |  |  |  |  |
| X Grant information form, including disability checklist  |  |                     |  |  |  |  |
| X Grant budget  |  |                     |  |  |  |  |
| X Grant application   |  |                     |  |  |  |  |
| X Grant award letter from funding agency  |  |                     |  |  |  |  |
| Ethics Form 126 (if applicable)   |  |                     |  |  |  |  |
| Contracts, Leases/Agreements (if applicable)  |  |                     |  |  |  |  |
| Other (Explain):  |  |                     |  |  |  |  |
| Special Timeline Requirements: As soon as possible – the grant award occurred in September 2024 and the performance period began October 2024. The Bay Area UASI will not incur expenses for this grant until the Accept & Expend Resolution is approved. |  |                     |  |  |  |  |
| Departmental representative to receive a copy of the adopted resolution:  |  |                     |  |  |  |  |
| Name: Adrienne Be   | echelli  | Phone: 415-353-5221 |  |  |  |  |
| Interoffice Mail Address: 1663 Mission Street, Suite 320, SF CA 94103   |  |                     |  |  |  |  |
| Certified copy requi  | red Yes 🗌  | No 🖂                |  |  |  |  |
| (Note: certified copies have the seal of the City/County affixed and are occasionally required by funding agencies. In most cases ordinary copies without the seal are sufficient).   |  |                     |  |  |  |  |

# Office of the Mayor San Francisco



# DANIEL LURIE Mayor

TO: Angela Calvillo, Clerk of the Board of Supervisors FROM: Adam Thongsavat, Liaison to the Board of Supervisors

RE: [Accept and Expend Funds - Retroactive - Regional Catastrophic Preparedness Grant Program -

\$2,696,215] DATE: April 8, 2025

• ,

Resolution retroactively authorizing the Bay Area Urban Areas Security Initiative, through the Department of Emergency Management, to accept and expend a Regional Catastrophic Preparedness Grant in the amount of \$2,696,215 for the period of October 1, 2024 through September 30, 2027 from the Department of Homeland Security through the Federal Emergency Management Agency (FEMA).

Should you have any questions, please contact Adam Thongsavat at adam.thongsavat@sfgov.org