

File No. 170224

Committee Item No. 1

Board Item No. 8

### COMMITTEE/BOARD OF SUPERVISORS

#### AGENDA PACKET CONTENTS LIST

Committee: Budget & Finance Sub-Committee

Date April 13, 2017

Board of Supervisors Meeting

Date April 25, 2017

#### Cmte Board

- |                                     |                                     |  |
|-------------------------------------|-------------------------------------|--|
| <input type="checkbox"/>            | <input type="checkbox"/>            | Motion                                       |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Resolution                                   |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Ordinance                                    |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Legislative Digest                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Budget and Legislative Analyst Report        |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Youth Commission Report                      |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Introduction Form                            |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/>            | <input type="checkbox"/>            | MOU  |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Grant Information Form                       |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Grant Budget                                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Subcontract Budget                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Contract/Agreement                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Form 126 – Ethics Commission                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Award Letter                                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Application                                  |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Public Correspondence                        |

OTHER (Use back side if additional space is needed)

<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
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<input type="checkbox"/>	<input type="checkbox"/>	_____

Completed by: Linda Wong Date April 7, 2017

Completed by: Linda Wong Date April 18, 2017

1 [Annual Fundraising Drive - 2017]

2

3 **Resolution designating those agencies qualified to participate in the 2017 Annual Joint**  
4 **Fundraising Drive for officers and employees of the City and County of San Francisco.**

5

6 WHEREAS, City and County of San Francisco Administrative Code, Section 16.93-4  
7 requires that by May 1st of each year, the Board of Supervisors, by resolution, shall designate  
8 those agencies that qualify to participate in the City's Annual Fundraising Drive for that year;  
9 and

10 WHEREAS, The agencies referred to below have each submitted an application for  
11 participation in the 2017 Annual Fundraising Drive; and

12 WHEREAS, Applicants are qualified to participate in the Annual Fundraising Drive if  
13 they meet the requirements contained in Administrative Code, Section 16.93-2; now,  
14 therefore, be it

15 RESOLVED, That the Board of Supervisors of the City and County of San Francisco  
16 finds that applicants who participate in the City's Annual Fundraising Drive must meet the  
17 following criteria contained in Administrative Code, Section 16.93-2:

- 18 1. An applicant must be a federated agency representing 10 or more charitable  
19 organizations, of which at least 50 percent shall represent organizations located in  
20 the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa,  
21 and Marin;
- 22 2. The federated agency must certify to the Board that the Internal Revenue Service  
23 has determined that contributions to all of the represented charitable organizations  
24 are tax deductible;

25

- 1           3. The federated agency must have been in existence with 10 or more qualified  
2 charities for at least one year prior to the date of application and provide satisfactory  
3 evidence to that effect at the time of filing an application with the Board;  
4           4. The federated agency must submit its most recent certified audit at the time of filing  
5 an application with the Board;  
6           5. The federated agency must submit an application to the Board that includes all  
7 information that may be relevant to the criteria listed above; and, be it

8           FURTHER RESOLVED, That the Board of Supervisors hereby finds and determines  
9 that the requirements of Administrative Code, Section 16.93-2 have been met by the following  
10 applicants:

11           Asian Pacific Fund; Bay Area Black United Fund; America's Best Local Charities  
12 (formerly Local Independent Charities of America); EarthShare California; Global Impact;  
13 United Way of the Bay Area; Community Health Charities California; and, be it

14           FURTHER RESOLVED, That the Board of Supervisors hereby designates the following  
15 agencies as agencies that qualify to participate in the City's Annual Fundraising Drive for  
16 2017:

17           Asian Pacific Fund; Bay Area Black United Fund; America's Best Local Charities  
18 (formerly Local Independent Charities of America); EarthShare California; Global Impact;  
19 United Way of the Bay Area; Community Health Charities California; and, be it

20           FURTHER RESOLVED, That the designated agencies shall fulfill all obligations and  
21 responsibilities required of participants in the City's Annual Fundraising Drive.  
22  
23  
24  
25



**ASIAN  
PACIFIC  
FUND**

A Community Foundation

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2017 FEB 21 AM 10:29

57

CM

February 16, 2017

Ms. Angela Calvillo  
Clerk of the Board  
San Francisco Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Ms. Calvillo:

The Asian Pacific Fund is pleased to apply for participation in the 2017 San Francisco City and County Employees Combined Charities Campaign.

Founded in 1993, the Asian Pacific Fund is a charitable community foundation that is a federation representing 25 organizations who serve the Asian and Pacific Islander community in the Bay Area. Given that over 36% of the population in San Francisco is Asian or Pacific Islander American, we believe that many donors would appreciate having options that directly benefit this substantial constituent of our San Francisco community.

Enclosed please find all required materials in accordance with our understanding of the Administrative Code set forth by the Board of Supervisors in Section 16.93-2, which includes:

1. A list of current Asian Pacific Fund affiliate organizations, all of which are located in one of the 6 counties listed in Section 16.93-2. (Criteria A)
2. A copy of our IRS 501(c)(3) Tax Exempt letter (Criteria B)
3. A copy of the Asian Pacific Fund's 2015-16 Annual Report (Criteria C-1)
4. A copy of the Asian Pacific Fund's most recent Form 990 (Criteria C-2)
5. A copy of the Asian Pacific Fund's most recent certified audit (Criteria D)

Any additional information we can present in order to support this application, please let us know.

Best regards,

Audrey Yamamoto  
President & Executive Director

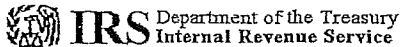
Board of Directors

- Andrew Ly - Chair  
*President & CEO, Sugar Bowl Bakery*
  - Andrew Cuyugan McCullough - Treasurer  
*General Counsel, Syufy Enterprises*
  - Nelson Ishiyama - Secretary  
*President, Ishiyama Corporation*
  - Christina Bui  
*Vice President, Enterprise Solutions, Robert Half International*
  - Huifen Chan  
*Managing Director, YongHeng Partners*
  - Steve Chen  
*Co-Founder, YouTube & Nom*
  - Laura Ching  
*Co-Founder, Tiny Prints*
  - Kathy Chou  
*Vice President, Global Research and Development Operations and Central Services, VMware*
  - David Chun  
*CEO & Founder, Equilar*
  - Peter Y. Chung  
*Managing Director & CEO, Summit Partners*
  - Tom Cole  
*Managing Partner, CSC Venture Capital*
  - Satish Rishi  
*Former Chief Financial Officer, Rambus*
  - Leo Soong  
*Co-Founder, Crystal Geyser Water Company*
  - Amy Yao  
*Senior Vice President & Chief Actuary, Blue Shield of California*
  - Emerald Yeh  
*Journalist*
  - Michael A. Yoshikami  
*CEO & Founder, Destination Wealth Management*
- Emeritus Board of Directors
- Robert Lee  
*Chairman of the Board, Blue Shield of California*
  - Raymond L. Ocampo Jr.  
*President & CEO, Samurai Surfer LLC*
  - Jerry Yang  
*Co-Founder, Yahoo!*
- President & Executive Director  
Audrey Yamamoto

Asian Pacific Fund  
2017 Affiliate List

Code	Organization Name	Organization Phone	Website
A800	Asian Pacific Fund	(415) 395-9985	www.asianpacificfund.org
A801	APA Family Support Services	(415) 617-0061	www.apafss.org
A802	Asian & Pacific Islander American Health Forum	(415) 954-9988	www.apiahf.org
A807	Asian Pacific Environmental Network	(510) 834-8920	www.apen4ej.org
A808	Asian Pacific Islander Legal Outreach	(415) 567-6255	www.apilegaloutreach.org
A809	Center for Asian American Media	(415)863-0814	www.caamedia.org
A811	Chinatown YMCA	(415) 576-9622	www.ymcasf.org/chinatown
A813	Chinese Newcomers Service Center	(415) 421-2111	www.chinesenewcomers.org
A814	Chinese Progressive Association	(415) 391-6986	www.cpasf.org
A817	Donaldina Cameron House	(415) 781-0401	www.cameronhouse.org
A818	Filipino Community Center (Fiscal Sponsor: Filipino-American Development Foundation)	(415) 333-6267	www.filipinocc.org
A819	Friends of Children With Special Needs	(510) 739-6900	www.fcsn1996.org
A823	Japanese Community Youth Council	(415) 202-7909	www.jcyc.org
A825	Kimochi, Inc.	(415) 931-2294	www.kimochi-inc.org
A826	Kokoro Assisted Living Inc.	(415) 776-8066	www.kokoroassistedliving.org
A828	Korean Community Center of the East Bay	(510) 547-2662	www.kcceb.org
A830	Lotus Bloom	(510) 735-9222	www.lotusbloomfamily.org
A831	Narika	(510) 444-6068	www.narika.org
A833	North East Medical Services	(415) 391-9686	www.nems.org
A834	Oakland Asian Cultural Center	(510) 637-0455	www.oacc.cc
A835	Richmond Area Multi-Services, Inc.	(415) 800-0699	www.ramsinc.org
A837	SteppingStone	(415) 974-6784	www.steppingstonehealth.org
A838	Vietnamese American Community Center of the East Bay	(510) 891-9999	www.vacceb.net
A839	Vietnamese Youth Development Center	(415) 771-2600	www.vydc.org
A840	Yu-Ai Kai / Japanese American Community Senior Service	(408) 294-2505	www.yuaikai.org





OGDEN UT 84201-0038

In reply refer to: 0437874133  
June 27, 2012 LTR 4168C 0  
94-3201522 000000 00  
00040052  
BODC: TE

ASIAN PACIFIC FUND  
225 BUSH ST STE 590  
SAN FRANCISCO CA 94104-4294

Employer Identification Number: 94-3201522  
Person to Contact: M. Pritchett  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your June 18, 2012, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in December 1994.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

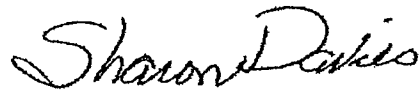
Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

0437874133  
June 27, 2012 LTR 4168C 0  
94-3201522 000000 00  
00040053

ASIAN PACIFIC FUND  
225 BUSH ST STE 590  
SAN FRANCISCO CA 94104-4294

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Sharon Davies  
Accounts Management I



Form **990**

## Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

**2014**

▶ Do not enter social security numbers on this form as it may be made public.

Open to Public Inspection

▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**A** For the 2014 calendar year, or tax year beginning **JUL 1, 2014** and ending **JUN 30, 2015**

<b>B</b> Check if applicable:  <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <p align="center"><b>ASIAN PACIFIC FUND</b></p> Doing business as Number and street (or P.O. box if mail is not delivered to street address) Room/suite <p align="center"><b>465 CALIFORNIA STREET, SUITE 809</b></p> City or town, state or province, country, and ZIP or foreign postal code <p align="center"><b>SAN FRANCISCO, CA 94104</b></p> F Name and address of principal officer: <b>AUDREY YAMAMOTO</b> <b>SAME AS C ABOVE</b>	<b>D</b> Employer identification number <p align="center"><b>94-3201522</b></p> <b>E</b> Telephone number <p align="center"><b>415-395-9985</b></p> <b>G</b> Gross receipts \$ <b>4,328,129.</b> <b>H(a)</b> Is this a group return for subordinates? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <b>H(b)</b> Are all subordinates included? Yes <input type="checkbox"/> No <input type="checkbox"/> If "No," attach a list. (see instructions) <b>H(c)</b> Group exemption number ▶
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c)( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
<b>J</b> Website: ▶ <b>WWW.ASIANPACIFICFUND.ORG</b>		
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		<b>L</b> Year of formation: <b>1993</b> <b>M</b> State of legal domicile: <b>CA</b>

**Part I Summary**

Activities & Governance	<b>1</b> Briefly describe the organization's mission or most significant activities: <b>THE MISSION OF THE ASIAN PACIFIC FUND IS TO STRENGTHEN THE ASIAN AND PACIFIC ISLANDER COMMUNITY IN</b> <b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets. <b>3</b> Number of voting members of the governing body (Part VI, line 1a) ..... <b>3</b> <span style="float:right"><b>14</b></span> <b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) ..... <b>4</b> <span style="float:right"><b>14</b></span> <b>5</b> Total number of individuals employed in calendar year 2014 (Part V, line 2a) ..... <b>5</b> <span style="float:right"><b>8</b></span> <b>6</b> Total number of volunteers (estimate if necessary) ..... <b>6</b> <span style="float:right"><b>30</b></span> <b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12 ..... <b>7a</b> <span style="float:right"><b>0.</b></span> <b>b</b> Net unrelated business taxable income from Form 990-T, line 34 ..... <b>7b</b> <span style="float:right"><b>0.</b></span>																									
Revenue	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th align="center">Prior Year</th> <th align="center">Current Year</th> </tr> </thead> <tbody> <tr> <td><b>8</b> Contributions and grants (Part VIII, line 1h) .....</td> <td align="right">1,242,684.</td> <td align="right">1,551,976.</td> </tr> <tr> <td><b>9</b> Program service revenue (Part VIII, line 2g) .....</td> <td align="right">0.</td> <td align="right">0.</td> </tr> <tr> <td><b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....</td> <td align="right">1,168,180.</td> <td align="right">551,594.</td> </tr> <tr> <td><b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) .....</td> <td align="right">0.</td> <td align="right">46,077.</td> </tr> <tr> <td><b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) .....</td> <td align="right">2,410,864.</td> <td align="right">2,149,647.</td> </tr> </tbody> </table>		Prior Year	Current Year	<b>8</b> Contributions and grants (Part VIII, line 1h) .....	1,242,684.	1,551,976.	<b>9</b> Program service revenue (Part VIII, line 2g) .....	0.	0.	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....	1,168,180.	551,594.	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) .....	0.	46,077.	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) .....	2,410,864.	2,149,647.							
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Expenses	<table border="1" style="width:100%; border-collapse: collapse;"> <tbody> <tr> <td><b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....</td> <td align="right">1,282,153.</td> <td align="right">511,229.</td> </tr> <tr> <td><b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) .....</td> <td align="right">0.</td> <td align="right">0.</td> </tr> <tr> <td><b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....</td> <td align="right">395,213.</td> <td align="right">371,271.</td> </tr> <tr> <td><b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) .....</td> <td align="right">0.</td> <td align="right">0.</td> </tr> <tr> <td><b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>14,548.</b></td> <td></td> <td></td> </tr> <tr> <td><b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....</td> <td align="right">293,578.</td> <td align="right">297,655.</td> </tr> <tr> <td><b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....</td> <td align="right">1,970,944.</td> <td align="right">1,180,155.</td> </tr> <tr> <td><b>19</b> Revenue less expenses. Subtract line 18 from line 12 .....</td> <td align="right">439,920.</td> <td align="right">969,492.</td> </tr> </tbody> </table>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....	1,282,153.	511,229.	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) .....	0.	0.	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....	395,213.	371,271.	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) .....	0.	0.	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>14,548.</b>			<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....	293,578.	297,655.	<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....	1,970,944.	1,180,155.	<b>19</b> Revenue less expenses. Subtract line 18 from line 12 .....	439,920.	969,492.	
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Net Assets or Fund Balances	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th align="center">Beginning of Current Year</th> <th align="center">End of Year</th> </tr> </thead> <tbody> <tr> <td><b>20</b> Total assets (Part X, line 16) .....</td> <td align="right">12,919,327.</td> <td align="right">13,460,264.</td> </tr> <tr> <td><b>21</b> Total liabilities (Part X, line 26) .....</td> <td align="right">1,366,608.</td> <td align="right">998,919.</td> </tr> <tr> <td><b>22</b> Net assets or fund balances. Subtract line 21 from line 20 .....</td> <td align="right">11,552,719.</td> <td align="right">12,461,345.</td> </tr> </tbody> </table>		Beginning of Current Year	End of Year	<b>20</b> Total assets (Part X, line 16) .....	12,919,327.	13,460,264.	<b>21</b> Total liabilities (Part X, line 26) .....	1,366,608.	998,919.	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20 .....	11,552,719.	12,461,345.													
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**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer <p align="center"><b>AUDREY YAMAMOTO, PRESIDENT/ED</b></p> Type or print name and title	Date
<b>Paid Preparer Use Only</b>	Print/Type preparer's name <p><b>JAMES PETRAY</b></p> Preparer's signature <p><b>JAMES PETRAY</b></p> Date Check if self-employed <input type="checkbox"/> PTIN <p><b>P00351215</b></p> Firm's name ▶ <b>BURR PILGER MAYER, INC.</b> Firm's EIN ▶ <b>26-3839190</b> Firm's address ▶ <b>110 STONY POINT ROAD, #210</b> <p align="center"><b>SANTA ROSA, CA 95401</b></p> Phone no. (707) <b>544-4078</b>	

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: THE ASIAN PACIFIC FUND'S MISSION IS TO STRENGTHEN THE BAY AREA'S ASIAN AND PACIFIC ISLANDER COMMUNITY BY INCREASING PHILANTHROPY AND SUPPORTING THE ORGANIZATIONS THAT SERVE OUR MOST VULNERABLE.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 511,229. including grants of \$ 511,229. ) (Revenue \$ ) SEE SCHEDULE O.

4b (Code: ) (Expenses \$ 148,279. including grants of \$ ) (Revenue \$ ) SEE SCHEDULE O.

4c (Code: ) (Expenses \$ 317,733. including grants of \$ ) (Revenue \$ ) SEE SCHEDULE O.

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 977,241.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> .....	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? .....	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> .....		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> .....		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> .....		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> .....	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> .....		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> .....		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> .....		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> .....	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> .....	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> .....		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> .....		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> .....	X	
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> .....	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> .....		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> .....	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> .....		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> .....		X
14a Did the organization maintain an office, employees, or agents outside of the United States? .....		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> .....		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> .....		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> .....		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> .....		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> .....	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> .....		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> .....		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		

Form 990 (2014)

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> .....	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i> .....		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> .....		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....	X	
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? .....	X	
Note. All Form 990 filers are required to complete Schedule O .....	X	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		X
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
a	Did the sponsoring organization make any taxable distributions under section 4966?		X
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		X
<b>10 Section 501(c)(7) organizations. Enter:</b>			
a	Initiation fees and capital contributions included on Part VIII, line 12		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
<b>11 Section 501(c)(12) organizations. Enter:</b>			
a	Gross income from members or shareholders		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?			
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

		Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year	1a 14		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent	1b 14		
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
<b>6</b> Did the organization have members or stockholders?	6		X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
<b>a</b> The governing body?	8a	X	
<b>b</b> Each committee with authority to act on behalf of the governing body?	8b	X	
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?	10a	X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
<b>13</b> Did the organization have a written whistleblower policy?	13	X
<b>14</b> Did the organization have a written document retention and destruction policy?	14	X
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official	15a	X
<b>b</b> Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

**Section C. Disclosure**

- 17** List the states with which a copy of this Form 990 is required to be filed **CA**
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website  Another's website  Upon request  Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, address, and telephone number of the person who possesses the organization's books and records: **CECILIA ENG - 415-395-9985**  
**465 CALIFORNIA ST., SUITE 809, SAN FRANCISCO, CA 94104-1820**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) EMERALD YEH CHAIR	1.00	X		X				0.	0.	0.
(2) NELSON ISHIYAMA SECRETARY	0.50	X		X				0.	0.	0.
(3) ANDREW MCCULLOUGH TREASURER	0.50	X		X				0.	0.	0.
(4) LAURA CHING DIRECTOR	0.30	X						0.	0.	0.
(5) KATHRYN KO CHOU DIRECTOR	0.30	X						0.	0.	0.
(6) DAVID CHUN DIRECTOR	0.30	X						0.	0.	0.
(7) PETER Y. CHUNG DIRECTOR	0.30	X						0.	0.	0.
(8) ANDREW LY DIRECTOR	0.30	X						0.	0.	0.
(9) RAYMOND L. OCAMPO JR. DIRECTOR	0.30	X						0.	0.	0.
(10) SATISH RISHI DIRECTOR	0.30	X						0.	0.	0.
(11) LEO SOONG DIRECTOR	0.30	X						0.	0.	0.
(12) HUIFEN CHEN DIRECTOR	0.30	X						0.	0.	0.
(13) TOM COLE DIRECTOR	0.30	X						0.	0.	0.
(14) MICHAEL YOSHIKAMI DIRECTOR	0.30	X						0.	0.	0.
(15) AUDREY YAMAMOTO PRESIDENT & EXECUTIVE DIRE	40.00			X				150,883.	0.	6,415.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

Table with 6 main columns: (A) Name and title, (B) Average hours per week, (C) Position, (D) Reportable compensation from the organization, (E) Reportable compensation from related organizations, (F) Estimated amount of other compensation. Includes sub-totals for lines 1b, 1c, and 1d.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 1

Table with 3 columns: Question number, Question text, and Yes/No columns. Contains questions 3, 4, and 5 regarding compensation reporting.

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

Table with 3 columns: (A) Name and business address, (B) Description of services, (C) Compensation. Row 1 contains 'NONE' in column A.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0



**Part VIII** Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c	163,895.			
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	1,388,081.			
	g Noncash contributions included in lines 1a-1f: \$		247,831.			
	<b>h Total. Add lines 1a-1f</b>		<b>1,551,976.</b>			
	Program Service Revenue	Business Code				
2 a						
b						
c						
d						
e						
f All other program service revenue						
<b>g Total. Add lines 2a-2f</b>						
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		281,633.		281,633.	
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real				
		(ii) Personal				
		b Less: rental expenses				
		c Rental income or (loss)				
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
		b Less: cost or other basis and sales expenses				
		c Gain or (loss)				
	d Net gain or (loss)		269,961.		269,961.	
	8 a Gross income from fundraising events (not including \$ 163,895. of contributions reported on line 1c). See Part IV, line 18	a	143,051.			
		b Less: direct expenses	b	97,647.		
c Net income or (loss) from fundraising events			45,404.		45,404.	
9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory					
Miscellaneous Revenue		Business Code				
11 a OTHER INCOME		900099	673.	673.		
b						
c						
d All other revenue						
e Total. Add lines 11a-11d			673.			
<b>12 Total revenue. See instructions.</b>			<b>2,149,647.</b>	<b>673.</b>	<b>0.</b>	
					<b>596,998.</b>	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	343,020.	343,020.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	168,209.	168,209.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	143,424.	122,014.	7,640.	13,770.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	187,332.	178,774.	8,558.	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	17,907.	15,543.	2,364.	
10 Payroll taxes	22,608.	21,249.	581.	778.
11 Fees for services (non-employees):				
a Management				
b Legal	8,478.	6,550.	1,928.	
c Accounting	28,808.		28,808.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	84,032.		84,032.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	24,410.	9,128.	15,282.	
12 Advertising and promotion	1,861.	1,835.	26.	
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	55,992.	51,521.	4,471.	
17 Travel	25,793.	16,039.	9,754.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	5,555.		5,555.	
23 Insurance	3,124.	2,874.	250.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>DUES, LICENSES, AND FEE</b>	13,373.	2,867.	10,506.	
b <b>PRINTING AND PRODUCTION</b>	13,235.	12,264.	971.	
c <b>EQUIPMENT RENTAL AND MA</b>	10,024.	9,222.	802.	
d <b>SUPPLIES</b>	6,982.	5,927.	1,055.	
e All other expenses	15,988.	10,205.	5,783.	
25 Total functional expenses. Add lines 1 through 24e	1,180,155.	977,241.	188,366.	14,548.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing .....		1	
	2	Savings and temporary cash investments .....	259,057.	2	104,760.
	3	Pledges and grants receivable, net .....	1,495,452.	3	132,320.
	4	Accounts receivable, net .....		4	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		6	
	7	Notes and loans receivable, net .....		7	
	8	Inventories for sale or use .....		8	
	9	Prepaid expenses and deferred charges .....	39,836.	9	41,139.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	10a 33,291.		
	b	Less: accumulated depreciation .....	10b 25,832.	10c	7,459.
	11	Investments - publicly traded securities .....	10,353,494.	11	12,465,607.
	12	Investments - other securities. See Part IV, line 11 .....		12	
	13	Investments - program-related. See Part IV, line 11 .....		13	
	14	Intangible assets .....		14	
	15	Other assets. See Part IV, line 11 .....	758,474.	15	708,979.
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	12,919,327.	16	13,460,264.	
Liabilities	17	Accounts payable and accrued expenses .....	61,281.	17	25,721.
	18	Grants payable .....	957,034.	18	659,808.
	19	Deferred revenue .....		19	
	20	Tax-exempt bond liabilities .....		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	23	Secured mortgages and notes payable to unrelated third parties .....		23	
	24	Unsecured notes and loans payable to unrelated third parties .....		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	348,293.	25	313,390.
	26	<b>Total liabilities.</b> Add lines 17 through 25 .....	1,366,608.	26	998,919.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets .....	-1,318,730.	27	-1,028,115.
	28	Temporarily restricted net assets .....	2,109,652.	28	2,294,027.
	29	Permanently restricted net assets .....	10,761,797.	29	11,195,433.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds .....		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	32	Retained earnings, endowment, accumulated income, or other funds .....		32	
33	<b>Total net assets or fund balances</b> .....	11,552,719.	33	12,461,345.	
34	<b>Total liabilities and net assets/fund balances</b> .....	12,919,327.	34	13,460,264.	

Form 990 (2014)

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	2,149,647.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,180,155.
3	Revenue less expenses. Subtract line 2 from line 1	3	969,492.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	11,552,719.
5	Net unrealized gains (losses) on investments	5	-46,274.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-14,592.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	12,461,345.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b	Were the organization's financial statements audited by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits _____		

Form 990 (2014)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Public Charity Status and Public Support**  
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047

**2014**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations .....
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see Instructions)	(vi) Amount of other support (see Instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	3595326.	1399690.	1845374.	1242684.	1551976.	9635050.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
3 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
4 <b>Total.</b> Add lines 1 through 3 .....	3595326.	1399690.	1845374.	1242684.	1551976.	9635050.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						6381373.
6 <b>Public support.</b> Subtract line 5 from line 4.						3253677.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4 .....	3595326.	1399690.	1845374.	1242684.	1551976.	9635050.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....	100,850.	112,027.	150,420.	179,139.	281,633.	824,069.
9 Net income from unrelated business activities, whether or not the business is regularly carried on .....						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....					143,724.	143,724.
11 <b>Total support.</b> Add lines 7 through 10 .....						10602843.
12 Gross receipts from related activities, etc. (see instructions) .....					12	
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f)) .....	14	30.69 %
15 Public support percentage from 2013 Schedule A, Part II, line 14 .....	15	26.07 %
16a <b>33 1/3% support test - 2014.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
b <b>33 1/3% support test - 2013.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
17a <b>10% -facts-and-circumstances test - 2014.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		<input checked="" type="checkbox"/>
b <b>10% -facts-and-circumstances test - 2013.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....		<input type="checkbox"/>

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
6 Total. Add lines 1 through 5 .....						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
c Add lines 7a and 7b .....						
8 Public support. (Subtract line 7c from line 6.) .....						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9 Amounts from line 6 .....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
c Add lines 10a and 10b .....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
13 Total support. (Add lines 9, 10c, 11, and 12.) .....						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f)) .....	15	%
16 Public support percentage from 2013 Schedule A, Part III, line 15 .....	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2014 (line 10c, column (f) divided by line 13, column (f)) .....	17	%
18 Investment income percentage from 2013 Schedule A, Part III, line 17 .....	18	%

19a 33 1/3% support tests - 2014. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2013. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No" describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.
- b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).
- b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations; (b) individuals that are part of the charitable class benefited by one or more of its supported organizations; or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer (b) below.
- b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

	Yes	No
1		
2		
3a		
3b		
3c		
4a		
4b		
4c		
5a		
5b		
5c		
6		
7		
8		
9a		
9b		
9c		
10a		
10b		



**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b> A family member of a person described in (a) above?		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

**Section D. Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
<b>2</b> Activities Test. Answer (a) and (b) below.		
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	Yes	No
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.		
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.		
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

7  Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations *(continued)*

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2014 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2014	(iii) Distributable Amount for 2014
1 Distributable amount for 2014 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2014 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2014:			
a			
b			
c			
d			
e From 2013			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2014 distributable amount			
i Carryover from 2009 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2014 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2014 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2014, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2014. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 <b>Excess distributions carryover to 2015.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b			
c			
d Excess from 2013			
e Excess from 2014			

**Part VI. Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

**PART II, SECTION C, LINE 17A, FACTS AND CIRCUMSTANCES TEST:**

AS REPORTED ON 2014 SCHEDULE A, ASIAN PACIFIC FUND'S ("APF") PUBLIC SUPPORT PERCENTAGE IS 30.69%. DURING 2010, APF RECEIVED TWO LARGE GRANTS IN THE AMOUNTS \$1,540,000 AND \$1,250,000, WHICH CAUSED THE PUBLIC SUPPORT PERCENTAGE TO DIP BELOW THE REQUIRED THRESHOLD IN 2010, 2011, 2012, 2013 AND 2014. HAD THE ORGANIZATION NOT RECEIVED THESE TWO GRANTS, IT WOULD HAVE MET THE PUBLIC SUPPORT TEST FOR ALL RELEVANT YEARS.

THE FOUNDATION SATISFIES THE FACTS AND CIRCUMSTANCES TEST BASED ON TREASURY REGULATIONS SECTION 1.170A-9(E)(3), AND, THEREFORE, QUALIFIES AS A PUBLIC CHARITY BECAUSE:

- 1) ITS PUBLIC SUPPORT IS WELL IN EXCESS OF THE 10% REQUIREMENT;
- 2) IT MAINTAINS AN ACTIVE PROGRAM TO SOLICIT GRANTS;
- 3) ITS BOARD OF DIRECTORS IS REPRESENTATIVE OF THE PUBLIC, RATHER THAN ANY DONORS' INTEREST; AND,
- 4) ITS PROGRAMS ARE AVAILABLE TO THE PUBLIC.

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

OMB No. 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2014**

Name of the organization  <p align="center">ASIAN PACIFIC FUND</p>	Employer identification number  <p align="center">94-3201522</p>
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Organization type (check one):

- |                    |                                     |  |
|--------------------|-------------------------------------|--|
| Filers of:         | Section:                            |  |
| Form 990 or 990-EZ | <input checked="" type="checkbox"/> | 501(c)( 3 ) (enter number) organization  |
|                    | <input type="checkbox"/>            | 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation |
|                    | <input type="checkbox"/>            | 527 political organization   |
| Form 990-PF        | <input type="checkbox"/>            | 501(c)(3) exempt private foundation  |
|                    | <input type="checkbox"/>            | 4947(a)(1) nonexempt charitable trust treated as a private foundation            |
|                    | <input type="checkbox"/>            | 501(c)(3) taxable private foundation   |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ <u>250,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2		\$ <u>120,987.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3		\$ <u>105,968.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
4		\$ <u>100,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5		\$ <u>100,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6		\$ <u>89,773.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7		\$ <u>60,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8		\$ <u>55,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9		\$ <u>50,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10		\$ <u>50,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11		\$ <u>45,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12		\$ <u>27,600.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	 <hr/> <hr/> <hr/>	\$ 27,058.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
14	 <hr/> <hr/> <hr/>	\$ 25,100.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
15	 <hr/> <hr/> <hr/>	\$ 25,032.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
16	 <hr/> <hr/> <hr/>	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
17	 <hr/> <hr/> <hr/>	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
18	 <hr/> <hr/> <hr/>	\$ 24,250.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19		\$ 24,120.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
20		\$ 20,100.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
21		\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
22		\$ 19,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
23		\$ 17,675.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
24		\$ 17,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
25		\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
26		\$ 12,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
27		\$ 12,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
28		\$ 11,300.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
29		\$ 10,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
30		\$ 10,324.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

**ASIAN PACIFIC FUND**

**94-3201522**

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
31		\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
32		\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
33		\$ 9,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
34		\$ 8,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
35		\$ 7,822.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
36		\$ 6,600.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
37		\$ <u>6,557.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
38		\$ <u>6,400.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
39		\$ <u>6,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
40		\$ <u>6,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
41		\$ <u>5,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
42		\$ <u>5,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
43		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
44		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
45		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
46		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
47		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
48		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
49	<hr/> <hr/> <hr/> <hr/>	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	PUBLICLY TRADED SECURITIES _____ _____ _____	\$ <u>105,968.</u>	<u>12/11/14</u>
6	PUBLICLY TRADED SECURITIES _____ _____ _____	\$ <u>89,773.</u>	<u>05/21/15</u>
13	PUBLICLY TRADED SECURITIES _____ _____ _____	\$ <u>27,058.</u>	<u>09/16/14</u>
15	PUBLICLY TRADED SECURITIES _____ _____ _____	\$ <u>25,032.</u>	<u>04/16/15</u>
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____

Name of organization <b>ASIAN PACIFIC FUND</b>	Employer identification number <b>94-3201522</b>
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<hr/> <hr/> <hr/>		<hr/> <hr/> <hr/>	
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<hr/> <hr/> <hr/>		<hr/> <hr/> <hr/>	
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<hr/> <hr/> <hr/>		<hr/> <hr/> <hr/>	
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<hr/> <hr/> <hr/>		<hr/> <hr/> <hr/>	
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<hr/> <hr/> <hr/>		<hr/> <hr/> <hr/>	
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>
	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>



**SCHEDULE D**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public Inspection

Name of the organization **ASIAN PACIFIC FUND** Employer identification number **94-3201522**

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....	14	
2 Aggregate value of contributions to (during year) .....	448,218.	
3 Aggregate value of grants from (during year) .....	181,218.	
4 Aggregate value at end of year .....	705,340.	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  Yes  No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  Yes  No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  Yes  No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  Yes  No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included in Form 990, Part VIII, line 1 ..... ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X ..... ▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included in Form 990, Part VIII, line 1 ..... ▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X ..... ▶ \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	10,843,652.	10,608,159.	9,952,793.	9,383,603.	6,764,844.
b Contributions	433,636.	471,498.	1,283,506.	569,190.	2,618,759.
c Net investment earnings, gains, and losses	485,891.	1,050,250.			
d Grants or scholarships					
e Other expenditures for facilities and programs	305,224.	1,256,409.	1,000,000.		
f Administrative expenses	39,130.	28,846.			
g End of year balance	11,418,825.	10,844,652.	10,236,299.	9,952,793.	9,383,603.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  .00 %
- b Permanent endowment  92.75 %
- c Temporarily restricted endowment  7.25 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations		X
(ii) related organizations		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		25,666.	22,337.	3,329.
e Other		7,625.	3,495.	4,130.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				7,459.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) CHARITABLE REMAINDER TRUST INVESTMENTS	708,979.
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	708,979.

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) LIABILITY SPLIT INTEREST GIFT	
(3) AGREEMENT	31,903.
(4) LIABILITY UNDER CRTS	281,487.
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	313,390.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	2,193,116.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a	-46,274.	
b	Donated services and use of facilities	2b	6,688.	
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	-14,592.	
e	Add lines 2a through 2d	2e	-54,178.	
3	Subtract line 2e from line 1	3	2,247,294.	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	-97,647.	
c	Add lines 4a and 4b	4c	-97,647.	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	2,149,647.	

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	1,284,490.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a	6,688.	
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	97,647.	
e	Add lines 2a through 2d	2e	104,335.	
3	Subtract line 2e from line 1	3	1,180,155.	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c	0.	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,180,155.	

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART XI, LINE 2D - OTHER ADJUSTMENTS:**

CHANGE IN VALUE OF CHARITABLE REMAINDER TRUSTS -14,592.

**PART XI, LINE 4B - OTHER ADJUSTMENTS:**

FUNDRAISING EVENT EXPENSES -97,647.

**PART XII, LINE 2D - OTHER ADJUSTMENTS:**

FUNDRAISING EVENT EXPENSES 97,647.

**SCHEDULE D, PART V, LINE 1A**

06.30.2014 BALANCE HAS BEEN RESTATED IN THE AUDITED FINANCIAL STATEMENTS.



**SCHEDULE G**  
(Form 990 or 990-EZ)

**Supplemental Information Regarding Fundraising or Gaming Activities**  
Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

ASIAN PACIFIC FUND

Employer identification number  
94-3201522

**Part I**

**Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a  Mail solicitations
- b  Internet and email solicitations
- c  Phone solicitations
- d  In-person solicitations
- e  Solicitation of non-government grants
- f  Solicitation of government grants
- g  Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		ANNUAL GALA (event type)	(event type)	NONE (total number)	
Revenue	1	Gross receipts	306,946.		306,946.
	2	Less: Contributions	163,895.		163,895.
	3	Gross income (line 1 minus line 2)	143,051.		143,051.
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs	76,217.		76,217.
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	21,430.		21,430.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			
11	Net income summary. Subtract line 10 from line 3, column (d)				45,404.

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))	
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Noncash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7	Direct expense summary. Add lines 2 through 5 in column (d)				
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

b If "No," explain: \_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

b If "Yes," explain: \_\_\_\_\_  
\_\_\_\_\_

11 Does the organization conduct gaming activities with nonmembers?  Yes  No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No

13 Indicate the percentage of gaming activity conducted in:
a The organization's facility 13a %
b An outside facility 13b %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:
Name
Address

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c If "Yes," enter name and address of the third party:

Name
Address

16 Gaming manager information:

Name
Gaming manager compensation \$
Description of services provided

Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Multiple horizontal lines for supplemental information.





SCHEDULE I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public  
Inspection

Name of the organization **ASIAN PACIFIC FUND** Employer identification number **94-3201522**

**Part I** General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II** Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SELF-HELP FOR THE ELDERLY 407 SANSOME ST. SAN FRANCISCO, CA 94111	94-1750717	501(C)(3)	50,000.	0.			CITIZENSHIP COLLABORATIVE GRANT
ASIAN AMERICANS FOR COMMUNITY INVOLVEMENT - 2400 MOORPARK AVE., SUITE 300 - SAN JOSE, CA 95128	94-2292491	501(C)(3)	49,500.	0.			AACI LEAD PROGRAM
CHINESE AMERICAN INTERNATIONAL SCHOOL - 150 OAK ST. - SAN FRANCISCO, CA 94102	94-2786958	501(C)(3)	27,203.	0.			GENERAL SUPPORT
KOREAN COMMUNITY CTR OF THE EB 1700 BROADWAY, SUITE 400 OAKLAND, CA 94612	94-2503925	501(C)(3)	25,085.	0.			CAPACITY BUILDING
ASIAN WOMEN'S SHELTER 3543 - 18TH ST., #19 SAN FRANCISCO, CA 94110	94-3030212	501(C)(3)	20,000.	0.			SOFTWARE/TECHNOLOGY UPGRADE
CHINATOWN YOUTH CENTER 1038 POST ST. SAN FRANCISCO, CA 94109	94-1728818	501(C)(3)	20,000.	0.			CAPACITY BUILDING GRANT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **15.**
- 3 Enter total number of other organizations listed in the line 1 table **0.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2014)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HOOD COLLEGE 401 ROSEMONT AVE. FREDERICK, MD 21701	52-0591608	501(c)(3)	20,000.	0.			FELLOWSHIP
AABA LAW FOUNDATION C/O AABA 575 MARKET ST., SUITE 212 SAN FRANCISCO, CA 94105	94-3159500	501(c)(3)	10,000.	0.			SCHOLARSHIP
ASIAN AMERICANS ADVANCING JUSTICE ASIAN LAW CAUCUS 55 COLUMBUS AVENUE SAN FRANCISCO, CA 94111	94-2176139	501(c)(3)	10,000.	0.			GENERAL SUPPORT
ASIAN ART MUSEUM 200 LARKIN ST. SAN FRANCISCO, CA 94102	94-1704765	501(c)(3)	10,000.	0.			ANNUAL GIFT & CONNOISSEURS' COUNCIL
BROWN UNIVERSITY BROWN SERVICE CENTER OFFICE OF THE PROVOST BOX 1990 - PROVIDENCE, RI 02912	05-0258809	501(c)(3)	10,000.	0.			GENERAL SUPPORT
NIHONMACHI LITTLE FRIENDS 1830 SUTTER ST. SAN FRANCISCO, CA 94115	94-2325686	501(c)(3)	10,000.	0.			CAPITAL CAMPAIGN
ASIAN PACIFIC ISLANDER LEGAL OUTREACH - 1121 MISSION ST. - SAN FRANCISCO, CA 94103	94-2583284	501(c)(3)	9,634.	0.			GENERAL SUPPORT
LICK WILMERDING HIGH SCHOOL 755 OCEAN AVE. SAN FRANCISCO, CA 94112	94-1186156	501(c)(3)	7,000.	0.			ANNUAL FUND
SAN FRANCISCO FRIENDS SCHOOL 250 VALENCIA ST. SAN FRANCISCO, CA 94103	94-3397589	501(c)(3)	6,000.	0.			ANNUAL FUND

Schedule I (Form 990)

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
SCHOLARSHIPS AND FELLOWSHIPS	91	168,209.	0.		

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

**PART I, LINE 2:**

ORGANIZATIONS THAT RECEIVE GRANTS TO SUPPORT PROJECTS OR ACHIEVE PARTICULAR GOALS ARE REQUIRED TO SUBMIT A GRANT APPLICATION, PROJECT OR PROGRAM BUDGET AND AGENCY BUDGET. THEY ARE ALSO REQUIRED TO SUBMIT A COPY OF THE MOST RECENT FORM 990 AND INDEPENDENT AUDIT, WHICH ARE REVIEWED TO COMPLETE THE DUE DILIGENCE PROCESS.

AFTER THE GRANT PERIOD IS ENDED, THE ORGANIZATION IS REQUIRED TO SUBMIT A REPORT DESCRIBING WHAT HAS BEEN ACHIEVED WITH THE GRANT.

Part IV Supplemental Information

NEW GRANTEEES ARE ALSO CHECKED TO CONFIRM THEY ARE LISTED IN THE IRS ON-LINE  
EO SELECT CHECK.

**SCHEDULE J  
(Form 990)**

**Compensation Information**

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**2014**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

ASIAN PACIFIC FUND

Employer identification number

94-3201522

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |  |   |
|--|---|
| <input type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations     | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7	X	
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2014

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) AUDREY YAMAMOTO PRESIDENT & EXECUTIVE DIRE	(i)	123,883.	27,000.	0.	0.	6,415.	157,298.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
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	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**PART I, LINE 7:**

A PERFORMANCE BONUS WAS PAID IN CALENDAR YEAR 2014. THE AMOUNT PAID WAS APPROVED BY THE BOARD AND BASED ON A PERCENTAGE OF BASE PAY SET FORTH IN THE TERMS OF EMPLOYMENT.



**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2014**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Open To Public  
Inspection

Name of the organization **ASIAN PACIFIC FUND** Employer identification number **94-3201522**

Part I	Types of Property	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art - Works of art .....				
2	Art - Historical treasures .....				
3	Art - Fractional interests .....				
4	Books and publications .....				
5	Clothing and household goods .....				
6	Cars and other vehicles .....				
7	Boats and planes .....				
8	Intellectual property .....				
9	Securities - Publicly traded .....	X	8	247,831.	FMV
10	Securities - Closely held stock .....				
11	Securities - Partnership, LLC, or trust interests .....				
12	Securities - Miscellaneous .....				
13	Qualified conservation contribution - Historic structures .....				
14	Qualified conservation contribution - Other .....				
15	Real estate - Residential .....				
16	Real estate - Commercial .....				
17	Real estate - Other .....				
18	Collectibles .....				
19	Food inventory .....				
20	Drugs and medical supplies .....				
21	Taxidermy .....				
22	Historical artifacts .....				
23	Scientific specimens .....				
24	Archeological artifacts .....				
25	Other ( )				
26	Other ( )				
27	Other ( )				
28	Other ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement ..... **29**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? .....		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? .....		X
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? .....		X
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2014)

**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

THE ORGANIZATION REPORTS NUMBER OF CONTRIBUTIONS.

Multiple horizontal lines for supplemental information.

SCHEDULE O  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

2014

Open to Public  
Inspection

Name of the organization

ASIAN PACIFIC FUND

Employer identification number

94-3201522

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE BAY AREA BY INCREASING PHILANTHROPY AND SUPPORTING THE  
ORGANIZATIONS THAT SERVE OUR MOST VULNERABLE COMMUNITY MEMBERS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

GRANTS AND SCHOLARSHIPS TO NON-PROFIT ORGANIZATIONS SERVING THE BAY  
AREA'S MOST VULNERABLE ASIAN AND PACIFIC ISLANDERS.

GRANTS: DURING THE REPORTING PERIOD, THE ASIAN PACIFIC FUND DISTRIBUTED  
\$350K IN GRANTS TO A DIVERSE GROUP OF ASIAN ORGANIZATIONS IN THE BAY  
AREA AND OTHER NON-PROFIT ORGANIZATIONS. THESE GRANTS INCLUDED CAPACITY  
BUILDING SUPPORT FOCUSED LEADERSHIP DEVELOPMENT, FUNDRAISING AND  
TECHNOLOGY FOR ITS AFFILIATE ORGANIZATIONS, AND FUNDING FOR A NEW  
COLLABORATIVE INITIATIVE CALLED SAN FRANCISCO PATHWAYS TO CITIZENSHIP  
WHICH AIMS TO PROMOTE CITIZENSHIP AND CIVIC ENGAGEMENT.

SCHOLARSHIPS AND AWARDS: 67 STUDENTS RECEIVED SCHOLARSHIPS, ALL  
SUPPORTED BY INDIVIDUAL DONORS. SCHOLARSHIPS ARE DISTRIBUTED ON A  
COMPETITIVE BASIS.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

SERVICES TO DONORS (AND THEIR LEGAL AND FINANCIAL ADVISORS) PROVIDE  
INFORMATION ABOUT THE NEED IN THE ASIAN COMMUNITY WHICH IS OFTEN HIDDEN  
FROM PUBLIC VIEW, AND NOW CHARITABLE GIVING CAN BECOME PART OF ESTATE  
AND FINANCIAL PLANNING. THE ASIAN PACIFIC FUND ASSISTED DONORS THROUGH  
SCHOLARSHIP PROGRAMS, DONOR ADVISED FUNDS AND WORKPLACE GIVING

Name of the organization

ASIAN PACIFIC FUND

Employer identification number

94-3201522

## CAMPAIGNS.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

SERVICES FOR AFFILIATE ORGANIZATIONS INCLUDE HELPING 70 SAN FRANCISCO

BAY AREA ORGANIZATIONS WITH INFORMATION, CONSULTATIONS, AND WORKSHOPS

TO STRENGTHEN THEIR ORGANIZATIONAL CAPACITY IN AREAS SUCH AS FUND

DEVELOPMENT, DATA MANAGEMENT, PROGRAM EXPANSION AND SUCCESSION

PLANNING. SERVICE TO AFFILIATE ORGANIZATIONS ALSO INCLUDES REGULAR

OUTREACH TO BRING INFORMATION ABOUT THE NEEDS OF NON-PROFITS TO THE

ATTENTION OF POTENTIAL DONORS. TO BUILD COMMUNITY AMONG AFFILIATE

ORGANIZATIONS, AN ANNUAL WORKSHOP IS CONDUCTED THAT FOCUSES ON BUILDING

THEIR CAPACITY.

FORM 990, PART VI, SECTION B, LINE 11:

THE RETURN WILL BE SENT ELECTRONICALLY TO THE ENTIRE BOARD OF DIRECTORS

WITH A DEADLINE TO RESPOND WITH QUESTIONS OR COMMENTS.

FORM 990, PART VI, SECTION B, LINE 12C:

WE REGULARLY MONITOR AND ENFORCE COMPLIANCE WITH OUR CONFLICT OF INTEREST

POLICY. AT THE ANNUAL RETREAT OF THE BOARD OF DIRECTORS, EACH DIRECTOR IS

ASKED TO REVIEW AND SIGN A PERSONAL STATEMENT. THE RESPONSES ARE REVIEWED.

NO DIRECTOR HAS YET REPORTED A CONFLICT OF INTEREST. IF ONE WERE NOTED,

THIS WOULD BE DISCUSSED WITH THAT INDIVIDUAL DIRECTOR, DISCLOSED TO THE

CHAIRMAN AND STEPS TAKEN TO ELIMINATE THE CONFLICT FORTHWITH. THE SIGNED

STATEMENTS ARE RETAINED AS PART OF CORPORATE RECORDS.

FORM 990, PART VI, SECTION B, LINE 15A:

AN ANNUAL PERFORMANCE REVIEW FOR THE PRESIDENT/EXECUTIVE DIRECTOR IS

Name of the organization

ASIAN PACIFIC FUND

Employer identification number

94-3201522

CREATED THAT INCLUDES FEEDBACK FROM ALL BOARD MEMBERS AND STAFF.

ANY CHANGES IN COMPENSATION INCLUDE A CONSIDERATION OF COMPARABLES AND THE ANNUAL PERFORMANCE REVIEW.

FORM 990, PART VI, SECTION C, LINE 19:

GOVERNING DOCUMENTS AND THE CONFLICT OF INTEREST POLICY ARE MADE AVAILABLE ON REQUEST. A SUMMARY OF THE FINANCIAL STATEMENT IS PUBLISHED AS PART OF OUR ANNUAL REPORT AND MAILED TO ALL DONORS SUPPORTERS. IT IS ALSO POSTED ON-LINE ON THE ORGANIZATION'S WEBSITE.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

CHANGE IN VALUE OF CHARITABLE REMAINDER TRUSTS -14,592.

SCHEDULE R  
(Form 990)

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.  
▶ Attach to Form 990.

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **ASIAN PACIFIC FUND** Employer identification number **94-3201522**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

For Paperwork Reduction Act Notice, see the instructions for Form 990.

Schedule R (Form 990) 2014

**Part III** Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

**Part IV** Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
CHARITABLE REMAINDER TRUST (2)	TRUST	CA	ASIAN PACIFIC FUND						X
CHARITABLE REMAINDER UNITRUST	TRUST	CA	ASIAN PACIFIC FUND						X

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		X
b Gift, grant, or capital contribution to related organization(s)		X
c Gift, grant, or capital contribution from related organization(s)		X
d Loans or loan guarantees to or for related organization(s)		X
e Loans or loan guarantees by related organization(s)		X
f Dividends from related organization(s)		X
g Sale of assets to related organization(s)		X
h Purchase of assets from related organization(s)		X
i Exchange of assets with related organization(s)		X
j Lease of facilities, equipment, or other assets to related organization(s)		X
k Lease of facilities, equipment, or other assets from related organization(s)		X
l Performance of services or membership or fundraising solicitations for related organization(s)		X
m Performance of services or membership or fundraising solicitations by related organization(s)		X
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		X
o Sharing of paid employees with related organization(s)		X
p Reimbursement paid to related organization(s) for expenses		X
q Reimbursement paid by related organization(s) for expenses		X
r Other transfer of cash or property to related organization(s)		X
s Other transfer of cash or property from related organization(s)		X

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

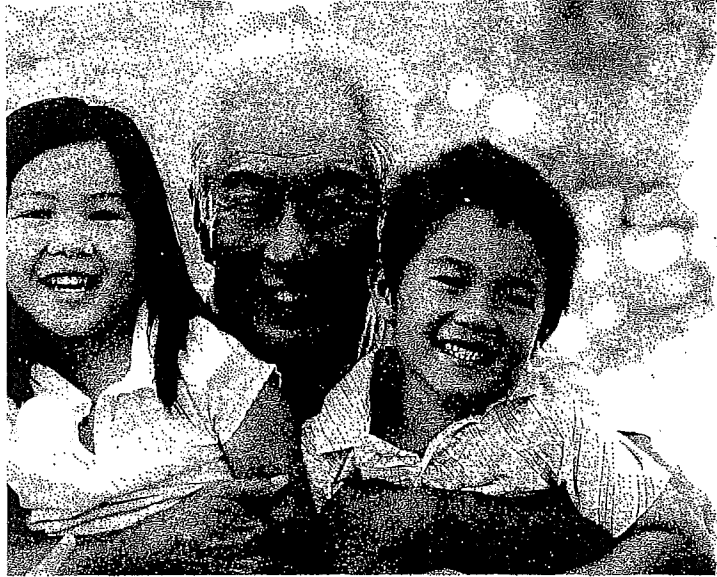


**Part VI** Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners sec. 501(c)(3) orgs.?		(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropor- tionals allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	





The Asian Pacific Fund is a nonprofit foundation dedicated to strengthening the Bay Area's Asian and Pacific Islander communities. We help donors achieve their philanthropic goals; support organizations that serve our most vulnerable and raise awareness about pressing community needs.

## BOARD OF DIRECTORS

Andrew Ly  
Chair  
President & CEO,  
Sugar Bowl Bakery

Andrew McCullough  
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General Counsel,  
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Nelson Ishiyama  
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President,  
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Managing Director,  
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Satish Rishi  
Former CFO, Rambus

Leo Soong  
Co-Founder,  
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Amy Yao  
Senior VP & Chief Actuary,  
Blue Shield of California

Emerald Yeh  
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Board Emeritus  
Raymond L. Ocampo Jr.  
President & CEO,  
Samurai Surfer LLC

Board Emeritus  
Jerry Yang  
Co-Founder, Yahoo!

## ADVISORY COUNCIL

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U.S. Bank

Tracy Chan  
First Republic Bank

Jani Kang  
Bromium, Inc.

Tony Kim  
BlackRock

Jill Kitazaki  
Denning & Company LLC

Yul Kwon  
Facebook

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William Park  
DeepDive, Inc

Junna Ro  
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Anthony Soohoo  
Dot and Bo

Jessica Tien  
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Tim Vi Tran  
The Ivy Group

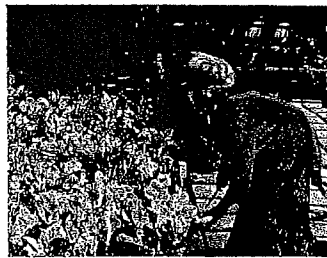
Ken Yeung  
Prince of Peace Enterprises, Inc.

## CAPACITY BUILDING:

### *Strengthening Organizations to Increase Impact*

The vast majority of funding for nonprofits goes to support specific programs. The Asian Pacific Fund fills a unique need by providing grants that help organizations become more effective and sustainable in the long term. Each year, for the last three years, the Fund invested capacity building grants of \$20,000 to \$30,000 in each of 11 organizations to help them strengthen their infrastructure and increase their impact. A portion of these grants also enabled them to receive training and strategic consulting to support their civic engagement activities.

- ◆ Nearly \$1M distributed over the last three years
- ◆ More than 23,000 people served by 11 grantees
- ◆ Services provided in 41 Asian languages
- ◆ 16 Asian and Pacific Islander ethnic communities served



## WHAT OUR PARTNERS ARE SAYING

*We really appreciate the thoughtfulness of the Asian Pacific Fund's approach to resourcing its grantee partners. It provides not only grants but also trainings, access to technical assistance, invitations to conferences and other learning opportunities to which we might not otherwise have access. Thank you!*

— Christen Lee  
Deputy Director, Asian Immigrant Women's Advocates

*APF is one of those unique foundations that does not hold a traditional funder's perspective. Instead, they look at the relationship between funder and grantee as a close partnership working towards a shared mission and vision.*

— Rama Jalan  
Program Director, Maitri

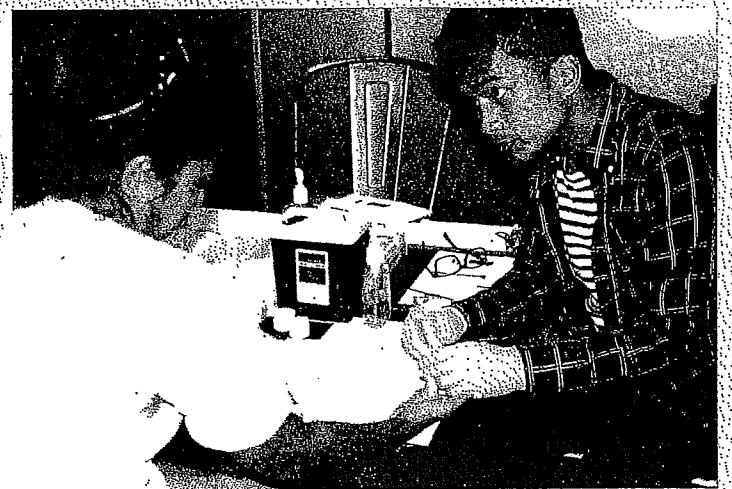
## COMMUNITY SPOTLIGHT: ASIAN PACIFIC ISLANDER WELLNESS CENTER

Through the Asian Pacific Fund's most recent round of capacity building grants, the Asian Pacific Islander (API) Wellness Center achieved a new milestone: it became one of only five federally qualified health centers (FQHC) in San Francisco. To qualify for this important distinction, the API Wellness Center used its grant to make key improvements. It renovated clinic space to increase the number of exam rooms and expanded its electronic health record system to track health outcomes and quality measures.

As an FQHC, the API Wellness Center will now receive approximately \$650,000 annually from the U.S. government to increase access to high quality primary care and mental health and support services for thousands of LGBTQ San Franciscans, people of color and people living in the Tenderloin neighborhood.

The API Wellness Center is an LGBTQ and people of color health organization started three decades ago as a grassroots response to the HIV/AIDS crisis hitting Asian and Pacific Islander communities. It has since expanded to provide quality health care to the most disenfranchised API individuals through culturally and linguistically responsive services.

An appreciative client, Juliette-Marie said, "Since API Wellness Center was awarded its new FQHC status, health services have been enhanced exponentially. The clinic has been able to have a full-time chief medical officer and brings together a team of highly trained health care professionals."



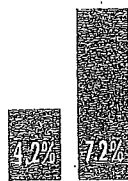
*The Asian Pacific Fund's grant couldn't have come at a better time. As an organization, we were trying to figure out how to play a larger and more significant role in the health care safety net in San Francisco. Core capacity build support enables an organization like ours to have the resources to support strategic thinking while transforming to meet changing needs,* reflected API Wellness Center Executive Director Lance Toma.

The Asian Pacific Fund is committed to supporting our most vulnerable Asian and Pacific Islander (API) community members living in the Bay Area. We do this in three ways:

- ◆ **Philanthropy:** Increasing and directing donations to strengthen our nonprofit affiliates
- ◆ **Community:** Supporting API organizations through grants, trainings and other services
- ◆ **Leadership:** Investing in the next generation of API leaders

Asian Americans are often depicted as the most successful, wealthy and well-educated ethnic group. Although the “model minority” is a component of our identity, it also deflects attention from the very real needs of the most vulnerable populations under the broader Asian and Pacific Islander umbrella:

## UNEMPLOYMENT

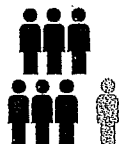


Bay Area API unemployment increased by 3% to 7.2% in the last decade.



Nearly one in ten Bay Area Asian and Pacific Islanders is poor.

## DISCONNECTED YOUTH



One in seven Bay Area youth who are neither in school nor working is Asian.



## CHRONIC DISEASE

The Bay Area's Asian community is the racial group with the region's second highest rate of diabetes.

Sources: API Council, PolicyLink



## DONOR SPOTLIGHT: WILLIAM PARK

For more than 25 years, William “Bill” Park has been leading and building technology companies in Silicon Valley. Currently, he is CEO of DecpDye, which is the largest online rental service for scientific and scholarly research. In addition to being at the helm of technology firms, Bill has made time to serve in leadership roles as an officer for the Young Presidents Organization, a board member of the Korean American Society of Engineers and a member of the finance committee for Spirit Rock. He is also a founding Advisory Council member and major donor of the Asian Pacific Fund.

According to Bill, “I am proud to work with the API, a remarkable organization that has had tremendous impact on the lives of our Asian Pacific Islander community.”



## SCHOLAR SPOTLIGHT: ALICE GIANG

*Recipient of the Sang Chul Lee and Donald O. Cameron Memorial Scholarship*

Alice Giang's parents are Chinese refugees who fled South Vietnam during the war. When they came to California, Alice's family lived below the poverty line: Her parents worked multiple jobs to make ends meet; they drove second-hand cars; and they used food stamps to supplement their household income. Neither of Alice's parents speak English, and Alice often had to figure out things such as how to access social services and how to do her school work on her own.

Having the experience that many immigrants have of trying to figure things out on her own, Alice decided to do what she could to help children like her. Volunteering as a counselor at Oakland's Lincoln Square Recreation Center gave Alice an opportunity to be a role model for elementary school-aged youth.

Alice was able to land a job at a dental office after word of her community work spread. The experience gave her a taste for what it is like to advocate for the most vulnerable in Oakland's Chinatown community as she helped dental patients access insurance services.

With the support of the Sang Chul Lee and Donald O. Cameron Memorial Scholarship, Alice is one year away from completing her Bachelors of Arts in political science from UCLA. "Without this scholarship, my family and I would not be able to afford such a quality education for me," Alice says. And with two more siblings in college behind her, every bit of support helps.

When she graduates, Alice wants to focus on criminal justice to help Asian Americans who are incarcerated to access the legal services they need.

*In 2016, the Asian Pacific Fund distributed nearly \$200,000 in scholarships to more than 70 students, most from low-income communities.*

## GROWING UP ASIAN IN AMERICA: GIVE SOMEONE A GOLD MEDAL

The annual Growing Up Asian in America program provides Bay Area K-12 students with an opportunity to tell their inspiring stories through art, essay and video. This year's theme, "Give Someone a Gold Medal," commemorated the 2016 Summer Olympics and asked students to identify someone to whom they would give a gold medal and why. We received more than 600 entries through outreach to over 2,000 public and private schools, ethnic churches and temples, and community-based organizations. Here are some of this year's winners:

*My parents are the anchors that keep me from drifting away from the waters that are my nationality but still allowing me to reach the blue skies of opportunities in the land of America, and for that I honor them with gold medals.*

*My Anchor*

Khin Su L., Grade 7, Oakland

*My mother renounced her homeland for me, her savings, her days and weeks, and a hundred other things I'll forever be grateful for. Is it worth it? Yes, she tells me over the kitchen sink one day, it's all worth it. To give is the greatest gift, after all.*

*Of Rice Porridge and Sacrifice*

Jacqueline H., Grade 10, San Jose

*Our gratitude to the following Advisory Committee members who helped us have another successful year of this program: Lina Blanco, Asian Art Museum; Sally Carlson, Carlson Beck; Garrett Kuramoto, San Mateo County Library; Lance J. Lew, NBC Bay Area; Mina Li, KTSF Television; Andrea Yamazaki Williamson, Multicultural Radio Broadcasting, Inc., and Vicky Wong, DAE Advertising.*



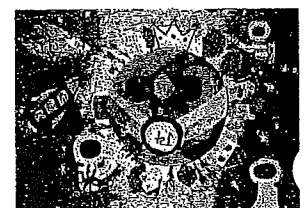
*My Culture, My Roots*

Bailey F., Grade 7, Los Altos



*My Road of Opportunity Constructed  
By My Parent's Diligence*

Christy Y., Grade 11, San Francisco



*Gold Medal to Earth*

Chloe Z., Grade 2, San Jose

# OUR SUPPORTERS AND FINANCIALS

## 2015-16 CORPORATE & FOUNDATION SUPPORTERS

### \$25,000 AND ABOVE

Koret Foundation  
PG&E  
Wallace H. Coulter Foundation

### \$10,000 - \$24,999

California Health Care Foundation  
The Coca-Cola Company  
Destination Wealth Management  
East West Bank  
Summit Partners

### \$5,000 - \$9,999

Credit Suisse  
CSAA Insurance Group, a AAA Insurer  
Ernst & Young LLP  
Equilar  
Kaiser Permanente  
PricewaterhouseCoopers  
Silicon Valley Bank  
Sugar Bowl Bakery  
Walgreens

### \$2,500 - \$4,999

Alston & Bird LLP  
AOptix Technologies, Inc.  
Bank of America  
Charles Schwab  
Frank, Rimerman + Co. LLP  
Minami Tamaki LLP  
Prince of Peace Enterprises, Inc.  
Robert Half  
Union Bank  
U.S. Bank

### \$1,000 - \$2,499

Aka Chan LLP  
DAE Advertising  
Deloitte & Touche LLP / Anna Mok  
Harold L. Lee & Sons, Inc. Insurance Services  
H&N Foods International  
J.P. Morgan  
K. Iwata Associates, Inc.  
Lee's Sandwiches International  
Wilson Sonsini Goodrich & Rosati Foundation

### MEDIA SPONSOR

NBC Bay Area

## STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS

REVENUES AND SUPPORT	2016 Total	2015 Total
Contributions	\$1,159,180	\$948,568
Foundation and corporate grants	\$1,236,316	\$582,563
Net realized and unrealized gains on investments	(\$467,778)	\$223,688
Fundraising and special event income	\$177,650	\$163,895
In-kind donations	\$4,250	6,688
Interest and dividend income	\$336,383	\$281,633
Other income	\$0	\$673
Change in value of charitable remainder trusts	(\$24,645)	(\$14,592)
<b>Total revenues and support</b>	<b>\$2,421,356</b>	<b>\$2,193,116</b>
<b>EXPENSES</b>		
Program services	\$1,243,761	\$977,241
Management and general	\$192,766	\$195,054
Fundraising-special event expense	\$159,385	\$112,195
<b>Total expenses</b>	<b>\$1,595,912</b>	<b>\$1,284,490</b>
<b>CHANGE IN NET ASSETS</b>	<b>\$825,444</b>	<b>\$908,626</b>
<b>NET ASSETS</b>		
Beginning of year	\$12,461,345	\$11,552,719
End of year	\$13,286,789	\$12,461,345

The condensed financial information above has been derived from audited financial statements for years ended June 30, 2015 and 2016 as reported by Burr Pilger Mayer, Inc. For a complete copy of our audited financials, please contact us.

## PROFESSIONAL ADVISERS

John Muranishi, *OUM & Co. LLP*  
Peter Namkung, *Fisher Investments*  
Jeff C. Nguyen, *Monatt, Phelps & Phillips, LLP*  
Lawrence K.Y. Pon, *Pon & Associates*  
Richard Pon, *Morrison & Foerster*  
Quynh T. Tran, *Bay Wealth Legal Group*  
Salina W. Yeung, *Hall Capital Partners LLC*



STAFF (FROM LEFT TO RIGHT)

Michael Nobleza, *Vice President*  
Thanh Huynh, *Development & Administrative Coordinator*  
Sue May, *Scholarship & Donor Relations Manager*  
Audrey Yamamoto, *President & Executive Director*  
Joan Van, *Corporate & Community Liaison*  
Rod Kyle R. Paras, *Program & Events Manager*

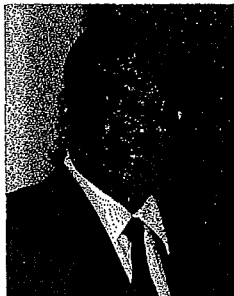


**ASIAN  
PACIFIC  
FUND**

A Community Foundation

465 California Street, Suite 809  
San Francisco, CA 94104  
415.395.9985  
[www.asianpacificfund.org](http://www.asianpacificfund.org)

## MESSAGE FROM THE CHAIR



Dear Friends and Supporters,

I am truly honored to be taking on the role of board chair. I look forward to partnering with our exceptional board members, dedicated staff and generous supporters like you as we take the Asian Pacific Fund to new heights.

In addition to looking forward, I have been reflecting on the personal experience that drew me to the Fund nearly ten years ago. One evening, my wife, Cindy, and I were walking down Market Street in San Francisco. We passed a panhandler who sat by the sidewalk with her child, asking for help. After we went by them, Cindy turned back and put a \$50 bill in her basket. I was stunned. I looked at my wife and told her that \$50 was a lot of money for a panhandler. Then I looked at the panhandler more closely and realized she and her child were Asian. She could have been my sister, and her child could have been my niece or nephew. They were in desperate need of help. Cindy saw that, and because of her, so did I.

About a year after that experience, my good friend Thuy Vu, who was a board member at the time, invited me to join her table at the Asian Pacific Fund's annual gala. I was moved by the Fund's mission to help the most vulnerable Asians in our community, people like the woman and little child sitting in the cold. It touched my heart to hear how the Fund helps new immigrants who are struggling to survive, for as a refugee who fled Vietnam, I know how hard it is to establish a new life in America. And I was impressed by the Fund's support of nonprofits, students and current leaders through grants, scholarships and awards.

After the gala, I was so inspired by the unique role of the Fund in our community that I decided to become a board member. Six years later, I am extremely proud of what we have accomplished and excited to share some of those accomplishments with you in this report. I look forward to creating the next chapter of our growth and evolution with you.

Sincerely yours,

Andrew Ly  
Asian Pacific Fund Board Chair  
President & CEO, Sugar Bowl Bakery



*Hands of Destiny*  
Arjun P., Grade 9,  
San Ramon



*Giving Back to Grandpa*  
Brian O., Grade 8, Sunnyvale

# Building Our Community Together

*Annual Report 2015-2016*





**ASIAN PACIFIC FUND**

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**FINANCIAL STATEMENTS AND  
SUPPLEMENTARY INFORMATION**

June 30, 2016 and 2015



**ASIAN  
PACIFIC  
FUND**

# ASIAN PACIFIC FUND

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## C O N T E N T S

	Page
Independent Auditors' Report	1-2
Financial Statements:	
Statements of Financial Position	3
Statements of Activities and Changes in Net Assets	4
Statements of Cash Flows	5
Notes to Financial Statements	6-22
Supplementary Information:	
Schedules of Functional Expenses	23

## INDEPENDENT AUDITORS' REPORT

To the Board of Directors  
Asian Pacific Fund  
San Francisco, California

We have audited the accompanying financial statements of Asian Pacific Fund (a nonprofit organization), which comprise the statements of financial position as of June 30, 2016 and 2015, and the related statements of activities and changes in net assets, and cash flows for the years then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Asian Pacific Fund as of June 30, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

To the Board of Directors  
Asian Pacific Fund

### Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The Schedules of Functional Expenses on page 23 is presented for the purpose of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, such information is fairly stated in all material respects in relation to the financial statements as a whole.

*Sam Pilger Meyer, Inc.*

San Francisco, California  
December 7, 2016

**ASIAN PACIFIC FUND**  
**STATEMENTS OF FINANCIAL POSITION**  
June 30, 2016 and 2015

	2016	2015
<b>ASSETS</b>		
Cash and cash equivalents	\$ 258,944	\$ 104,760
Prepaid expenses and other assets	44,425	41,139
Pledges receivable, net	50,500	132,320
Investments	12,682,234	12,465,607
Investments held in charitable remainder trusts	645,201	708,979
Property and equipment, net	2,605	7,459
Total assets	\$ 13,683,909	\$ 13,460,264
<b>LIABILITIES AND NET ASSETS</b>		
Liabilities:		
Accounts payable and accrued expenses	\$ 26,797	\$ 25,721
Scholarships and grants payable, net	96,065	659,808
Liabilities under charitable remainder trusts	245,125	281,487
Liabilities under split-interest agreements	29,133	31,903
Total liabilities	397,120	998,919
Net assets:		
Unrestricted	(724,069)	(1,028,115)
Temporarily restricted	2,980,096	2,294,027
Permanently restricted	11,030,762	11,195,433
Total net assets	13,286,789	12,461,345
Total liabilities and net assets	\$ 13,683,909	\$ 13,460,264

The accompanying notes are an integral  
part of these financial statements.

ASIAN PACIFIC FUND

STATEMENTS OF ACTIVITIES AND CHANGES IN NET ASSETS

For the years ended June 30, 2016 and 2015

	2016				2015			
	Unrestricted	Temporarily Restricted	Permanently Restricted	Total	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Revenues and support:								
Contributions	\$ 129,067	\$ 964,871	\$ 65,242	\$ 1,159,180	\$ 143,365	\$ 684,077	\$ 121,126	\$ 948,568
Foundation and corporate grants	96,035	1,132,781	7,500	1,236,316	155,551	114,502	312,510	582,563
Net realized and unrealized gains/(loss) on investments	2,400	(470,177)	-	(467,777)	27,778	195,910	-	223,688
Fundraising and special event income	177,650	-	-	177,650	163,895	-	-	163,895
In-kind donations	4,250	-	-	4,250	6,688	-	-	6,688
Interest and dividend income	72	336,311	-	336,383	4,739	276,894	-	281,633
Other income	-	-	-	-	673	-	-	673
Change in value of charitable remainder trusts	-	(24,646)	-	(24,646)	-	(14,592)	-	(14,592)
Release of permanently restricted net assets	-	237,413	(237,413)	-	-	-	-	-
Net assets released from restrictions	1,490,484	(1,490,484)	-	-	1,072,416	(1,072,416)	-	-
Total revenues and support	1,899,958	686,069	(164,671)	2,421,356	1,575,105	184,375	433,636	2,193,116
Expenses:								
Program services	1,243,761	-	-	1,243,761	977,241	-	-	977,241
Management and general	192,766	-	-	192,766	195,054	-	-	195,054
Fundraising and special event income	159,385	-	-	159,385	112,195	-	-	112,195
Total expenses	1,595,912	-	-	1,595,912	1,284,490	-	-	1,284,490
Change in net assets	304,046	686,069	(164,671)	825,444	290,615	184,375	433,636	908,626
Net assets, beginning of year	(1,028,115)	2,294,027	11,195,433	12,461,345	(1,318,730)	2,109,652	10,761,797	11,552,719
Net assets, end of year	\$ (724,069)	\$ 2,980,096	\$ 11,030,762	\$ 13,286,789	\$ (1,028,115)	\$ 2,294,027	\$ 11,195,433	\$ 12,461,345

The accompanying notes are an integral part of these financial statements.

## ASIAN PACIFIC FUND

### STATEMENTS OF CASH FLOWS

For the years ended June 30, 2016 and 2015

	2016	2015
Cash flows from operating activities:		
Change in net assets	\$ 825,444	\$ 908,626
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	4,854	5,555
Bad debt expense	12,500	2,500
Net realized and unrealized (loss)/gains on investments	467,777	(223,688)
Donated securities	(727,856)	(1,632,388)
Change in value of charitable remainder trusts and split-interest agreement	24,646	14,592
Changes in operating assets and liabilities:		
Prepaid expenses and other assets	(3,286)	(1,303)
Pledges receivable (gross)	69,320	1,360,632
Accounts payable and accrued expenses	1,076	(35,560)
Scholarships and grants payable, net	(563,743)	(297,226)
Net cash provided by operating activities	110,732	101,740
Cash flows from investing activities:		
Proceeds from sales of investments	14,836,097	2,350,796
Purchases of investments	(14,792,645)	(2,606,833)
Net cash provided by (used in) investing activities	43,452	(256,037)
Net increase (decrease) in cash and cash equivalents	154,184	(154,297)
Cash and cash equivalents, beginning of year	104,760	259,057
Cash and cash equivalents, end of year	\$ 258,944	\$ 104,760

The accompanying notes are an integral part of these financial statements.

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

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**1. Organization**

Asian Pacific Fund (the "Fund") is a California nonprofit public benefit corporation organized in 1993. The Fund is a community fund established to improve the health and well-being of Asian Americans in the Bay Area through grants and services to agencies and collaboration with donors to fulfill their charitable goals.

**2. Summary of Significant Accounting Policies**

***Basis of Accounting and Presentation***

The financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("GAAP"). Net assets and revenues, expenses, gains, and losses are classified based on the existence or absence of donor-imposed restrictions.

The Fund is required to report information regarding its financial position and activities in accordance with three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets.

**Unrestricted**

Those net assets and activities which represent the portion of expendable funds that are available to support the Fund's operations. The Board of Directors may designate a portion of these net assets for specified purposes.

**Temporarily Restricted**

Those net assets and activities which are donor-restricted for (a) support for specific operating activities; (b) investment for a specified term; (c) use in a future period; or (d) acquisition of long-lived assets.

**Permanently Restricted**

Net assets that are subject to donor-imposed restrictions requiring that they be retained permanently by the Fund as donor restricted endowments. Some or all of the income and appreciation from such endowments, once appropriated for distribution, is available for general operations or specific programs as specified by the donor.

***Use of Estimates***

Preparation of financial statements, in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect reported amounts of assets, liabilities, revenues, and expenses and to disclose any material contingent amounts. Accordingly, actual results could differ from such estimates.

***Cash and Cash Equivalents***

Cash and cash equivalents include cash balances and highly liquid investments with original maturities of three months or less at acquisition which are not managed as part of long-term investment strategies and are not legally restricted.



**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

---

2. **Summary of Significant Accounting Policies, continued**

***Pledges Receivable, Net***

Pledges receivable, net which are expected to be collected within one year are recorded at net realizable value. Pledges receivable, net which are expected to be collected in future years are recorded at the present value of their estimated future cash flows. Amortization of these discounts is included in contributions revenue in the accompanying statement of activities.

***Investments***

Investments are stated at fair value. The Fund has engaged professional investment advisors to manage its portfolio. The Board of Directors has provided the firms with guidelines consistent with a socially responsible prudent investment policy and the conservative nature of the Fund. Gains and losses that result from market fluctuations are recognized in the period in which such fluctuation occurs.

The Fund has ten endowment funds that are pooled for investment purposes in one investment account. The value of donated securities is recorded at market price on the date of transfer. Donated securities are liquidated shortly after receipt.

***Charitable Remainder Trusts***

The Fund has been designated as the trustee for three irrevocable charitable remainder trusts. These were previously administered by Wells Fargo Bank, but were transferred to J.P. Morgan Chase Bank during fiscal year 2016. The trust agreements generally require the Fund to make annual payments to the trust beneficiaries based on stipulated payment rates ranging from 5% to 10%, applied to the fair value of the trust assets, as determined annually. Upon the death of the beneficiaries, or other termination of the trusts as may be defined in the individual agreements, the remaining trust assets will be distributed by the Fund to itself (and to other beneficiaries, as applicable), as stipulated in the trust agreements.

The fair value of the trust assets has been included in the Fund's statements of financial position. A corresponding liability, reported as liabilities under charitable remainder trusts in the accompanying statements of financial position, has been recorded to reflect the present value of required lifetime payments to the named income beneficiaries using discount rate of approximately 2% for each of the years ended June 30, 2016 and 2015. Management calculates valuations annually by updating life expectancy of the income beneficiaries and investment values.

Liabilities under split-interest agreements represent the present value of the investments held in charitable remainder trusts owed to remainder beneficiaries other than the Fund, at the settlement of the trusts. These liabilities are calculated as a percentage of the present value of the investments held in charitable remainder trusts. Split-interest agreements are charitable remainder trust agreements that name the Fund and one or more other charities as remainder beneficiaries.

The difference between the fair value of the assets received and present value of the obligation to named beneficiaries under the agreements is recognized as contribution revenue in the year the agreement is signed. Realized and unrealized gains and losses, interest and dividend income from the investments and payments of the obligations are reflected as adjustments to obligations under split-interest agreements in the accompanying statements of financial position. Amortization of discounts and changes in actuarial assumptions are reflected in the statements of activities and changes in net assets as a change in value of charitable remainder trusts.

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

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2. **Summary of Significant Accounting Policies, continued**

***Property and Equipment***

All acquisitions and major improvements of property and equipment in excess of \$1,000 are capitalized; maintenance and repairs which do not extend the useful life of the respective assets are expensed. Property and equipment are stated at cost or, if donated, at the approximate fair value at the date of donation. Depreciation is computed using the straight-line method over the estimated useful lives on the property and equipment. Estimated useful lives range from three to seven years.

***Scholarships and Grants Payable***

Grants and scholarships are recognized in the period the grant or scholarship is approved. Grants and scholarships payable that are expected to be paid in future years are recorded at the present value of expected future payments.

***Fair Value of Financial Instruments***

Financial instruments included in the Fund's statements of financial position include cash and cash equivalents, pledges receivable, net, investments, investments held in charitable remainder trusts, accounts payable and accrued expenses, scholarships and grants payable, net, liabilities under charitable remainder trusts and liabilities under split-interest agreements. For cash and cash equivalents, pledges receivable, net, accounts payable and accrued expenses and scholarships and grants payable, net, the carrying amounts represent a reasonable estimate of the corresponding fair values. Investments, investments held in charitable remainder trusts, liabilities under charitable remainder trusts and liabilities under split-interest agreements are reflected in the accompanying statements of financial position at their estimated fair values using methodologies described below.

***Fair Value Measurements***

The Fund carries certain assets and liabilities at fair value. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In addition, the Fund reports certain investments using the Net Asset Value ("NAV") per share as determined by investment managers under the so-called "practical expedient." The practical expedient allows net asset value per share to represent fair value for reporting purposes when the criteria for using this method are met.

Fair value measurement standards also require the Fund to classify these financial instruments into a three-level hierarchy, based on the priority of inputs to the valuation technique or in accordance with net asset value practical expedient rules, which allow for either Level 2 or Level 3 reporting depending on lock up and notice periods associated with the underlying funds. The Fund classifies its financial assets and liabilities according to three levels, and maximizes the use of observable inputs and minimizes the use of unobservable inputs when measuring fair value.

*Level 1* – quoted prices in active markets for identical investments

*Level 2* – other significant observable inputs (including quoted prices for similar instruments, interest rates, prepayment speeds, credit risk, etc.).

*Level 3* – significant unobservable inputs (including the Fund's own assumptions in determining fair value instruments).

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

**2. Summary of Significant Accounting Policies, continued**

***Endowment Funds***

**Interpretation of Relevant Law**

The Board of Directors of the Fund has interpreted California's enacted version of the Uniform Prudent Management of Institutional Funds Act ("UPMIFA") as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment fund, absent explicit donor stipulations to the contrary. As a result, the Fund classifies as permanently restricted net assets (1) the original value of gifts donated to the permanent endowment, (2) the original value of subsequent gifts donated to the permanent endowment, and (3) additions to the permanent endowment in accordance with donor directions. The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the Fund in a manner consistent with the standard of prudence prescribed by the enacted version of UPMIFA.

In accordance with the State of California's enacted version of UPMIFA, the Fund considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- (1) The duration and preservation of the fund
- (2) The purposes of the Fund and the endowment funds
- (3) General economic conditions
- (4) The possible effect of inflation and deflation
- (5) The expected total return from income and the appreciation of investments
- (6) Other resources of the Fund
- (7) The investment policies of the Fund

**Return Objectives and Risk Parameters**

The Fund has adopted investment and spending policies for endowment assets that attempt to achieve a growth in principal that will support a continuing rise in charitable distributions from its endowments, avoid a high degree of risk and ensure endowment funds will operate in perpetuity. Accordingly, the investment process seeks to achieve an after-cost total real rate of return, including investment income as well as capital appreciation, which exceeds the annual distribution with acceptable levels of risk. Endowment assets are invested in a well-diversified asset mix, which includes equity and debt securities, that is intended to result in a consistent inflation-protected rate of return that has sufficient liquidity to make an annual distribution of 5%, while growing the funds if possible. Actual returns in any given year may vary from this amount. Investment risk is measured in terms of the total endowment fund; investment assets and allocation between asset classes and strategies are managed to not expose the Fund to unacceptable levels of risk.

**Strategies Employed for Achieving Objectives**

To satisfy its long-term rate-of-return objectives, the Fund relies on a total return strategy in which investment returns achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Fund targets a diversified asset allocation that places a greater emphasis on equity-based investments to achieve its long-term return objectives within prudent risk constraints.

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

---

2. **Summary of Significant Accounting Policies, continued**

***Endowment Funds, continued***

**Spending Policy**

The spending rate is set each year as part of the annual budget process for the subsequent fiscal year and is calculated every quarter as a percentage of the average endowed fund balance over the previous 36 months. In accordance with donor instructions, this amount is expendable for either general or specific purposes. Appropriations made from the endowment for the years ended June 30, 2016 and 2015 were \$473,262 and \$326,553, respectively.

**Funds with Deficiencies**

From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the original endowment corpus. In accordance with accounting principles generally accepted in the United States of America, deficiencies of this nature that are reported in unrestricted net assets were \$615,418 and \$604,169 as of June 30, 2016 and 2015, respectively. These deficiencies resulted from unfavorable market fluctuations.

***Contributions***

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions. Unrestricted contributions are recognized as revenue when received or receivable if the amount to be received can be reasonably estimated and collection is reasonably assured. Temporarily restricted contributions are recorded to recognize donor-imposed or timing restrictions, including bequests and split-interest agreements. Permanently restricted contributions are recorded where the donor has permanently restricted the gift. In the event that the Fund receives donated securities, the securities are liquidated shortly after receipt.

***Functional Expense***

The costs of the Fund's various activities have been summarized on a functional basis in the accompanying statements of activities and changes in net assets and functional expenses. Expenses are allocated to program and supporting services based on the purpose of each expenditure, services provided for each program, and the respective usage of the Fund's assets. Expenses relating to more than one function are allocated to program service, management and general and fundraising costs based on employee time and expense studies or other appropriate usage factors.

***Restricted Contributions***

Donor-restricted contributions whose restrictions are met in the same reporting period are reported as unrestricted support.

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

2. **Summary of Significant Accounting Policies, continued**

*Grants and Scholarships Expense*

Grant and scholarship expenditures are recognized in the period the grant or scholarship is approved provided the grant or scholarship is not subject to significant future conditions. Conditional grants and scholarships are recognized as grants and scholarships expense and as grants and scholarships payable in the period in which the grantee or student meets the terms of the conditions. Grants and scholarships are returned to the Fund if certain conditions are not met. Returned grants and scholarships are included as a reduction of grants and scholarship expense in the accompanying statements of activities and changes in net assets.

*Advertising Costs*

Advertising costs are expensed as incurred. Advertising expense for the years ended June 30, 2016 and 2015 was \$198 and \$1,861, respectively.

*Income Taxes*

The Fund is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code and from California franchise taxes under Section 23701d of the Revenue and Taxation Code. In addition, the Fund qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization that is not a private foundation under Section 509(a).

3. **Pledges Receivable, Net**

Pledges receivable, net as of June 30, 2016 and 2015, consist of the following:

	2016	2015
Unconditional promise to give for endowment, due in one year	\$ 63,000	\$ 132,320
Less allowance for uncollectible pledges	63,000 (12,500)	132,320 -
	\$ 50,500	\$ 132,320

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

**4. Investments and Fair Value Measurements**

The Fund's investment portfolio at June 30, 2016, consisted of the following:

	Fair Value	Cost	Cumulative Unrealized Gains/(Loss)
Money market	\$ 352,589	\$ 352,589	\$ -
Equity securities:			
US Large Cap Equity	3,402,815	3,348,306	54,509
US Mid Cap Equity	413,651	405,808	7,843
EAFE Equity	1,967,891	2,148,394	(180,503)
European Large Cap Equity	125,049	138,524	(13,475)
Japanese Large Cap Equity	209,544	274,725	(65,181)
Global Equity	761,052	775,369	(14,317)
Fixed income:			
Short Term	527,534	523,601	3,933
US Fixed Income	3,023,374	2,916,462	106,912
Global Fixed Income	290,297	282,728	7,569
Hedge funds:			
Large Blend Funds	1,056,071	1,021,374	34,697
Large Value Funds	131,218	127,466	3,752
Nontraditional Bond Funds	421,149	440,250	(19,101)
	<u>\$ 12,682,234</u>	<u>\$ 12,755,596</u>	<u>\$ (73,362)</u>

The Fund's investment portfolio at June 30, 2015, consisted of the following:

	Fair Value	Cost	Cumulative Unrealized Gains/(Loss)
Money market	\$ 679,238	\$ 679,238	\$ -
Equity securities	6,940,757	6,505,269	435,488
Fixed income:			
Mutual funds - international and domestic	1,344,674	1,390,825	(46,151)
U.S. government bonds	148,442	149,911	(1,469)
Corporate and foreign bonds	2,310,715	2,317,185	(6,470)
Real estate investment trusts	531,108	558,188	(27,080)
Merger fund	242,719	250,000	(7,281)
Long-term return hedge fund	267,954	250,000	17,954
	<u>\$ 12,465,607</u>	<u>\$ 12,100,616</u>	<u>\$ 364,991</u>

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**  
June 30, 2016 and 2015

4. **Investments and Fair Value Measurements, continued**

The following table summarizes the valuation of the Fund's investments by the fair value hierarchy levels as of June 30, 2016:

	Level 1	Total
Money market	\$ 352,589	\$ 352,589
Equity securities:		
US Large Cap Equity	3,402,815	3,402,815
US Mid Cap Equity	413,651	413,651
EAFE Equity	1,967,891	1,967,891
European Large Cap Equity	125,049	125,049
Japanese Large Cap Equity	209,544	209,544
Global Equity	761,052	761,052
Fixed income:		
Short Term	527,534	527,534
US Fixed Income	3,023,374	3,023,374
Global Fixed Income	290,297	290,297
Hedge funds:		
Large Blend Funds	1,056,071	1,056,071
Large Value Funds	131,218	131,218
Nontraditional Bond Funds	421,149	421,149
	\$ 12,682,234	\$ 12,682,234
Total investments at fair value		

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

**4. Investments and Fair Value Measurements, continued**

The following table summarizes the valuation of the Fund's investments by the fair value hierarchy levels as of June 30, 2015:

	Level 1	Total
Money market	\$ 679,238	\$ 679,238
Equity securities:		
Consumer Discretionary	678,843	678,843
Consumer Staples	198,411	198,411
Energy	108,746	108,746
Financials	476,217	476,217
Health Care	441,479	441,479
Industrials	284,599	284,599
Information Technology	1,310,960	1,310,960
Materials	94,332	94,332
Telecommunication Services	80,241	80,241
International Equities	566,536	566,536
Domestic Mutual Funds	636,769	636,769
International Mutual Funds	2,063,624	2,063,624
Fixed income:		
Government Obligations	148,442	148,442
Corporate Obligations	2,310,715	2,310,715
Domestic Mutual Funds	890,855	890,855
International Mutual Funds	453,819	453,819
Real assets:		
Real Estate Investment Trusts	24,068	24,068
Real Asset Funds	507,040	507,040
Merger fund	242,719	242,719
Long-term return hedge fund	267,954	267,954
	\$ 12,465,607	\$ 12,465,607



**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**  
June 30, 2016 and 2015

**5. Property and Equipment**

Property and equipment as of June 30, 2016 and 2015, consists of the following:

	2016	2015
Furniture	\$ 7,625	\$ 7,625
Equipment	25,666	25,666
	33,291	33,291
Accumulated depreciation	(30,686)	(25,832)
	\$ 2,605	\$ 7,459

Depreciation expense for the years ended June 30, 2016 and 2015 was \$4,854 and \$5,555, respectively.

**6. Charitable Remainder Trusts and Fair Value Disclosures**

Investments held in charitable remainder trusts as of June 30, 2016, consist of the following:

	2016
Equities:	
US Large Cap Equity	\$ 158,785
US Mid Cap Equity	17,926
US Small Cap Equity	13,453
EAFE Equity	94,595
European Large Cap Equity	5,833
Japanese Large Cap Equity	10,281
Global Equity	30,679
Fixed income securities:	
US Fixed Income	225,005
Global Fixed Income	12,997
Cash and cash equivalents:	
Cash and cash equivalents	75,647
	\$ 645,201

Continued.

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

**6. Charitable Remainder Trusts and Fair Value Disclosures, continued**

Investments held in charitable remainder trusts as of June 30, 2015, consist of the following:

	2015
Equities:	
Domestic Mutual Funds	\$ 273,176
International Mutual Funds	114,138
Fixed income securities:	
Domestic Mutual Funds	200,586
International Mutual Funds	51,998
Real estate:	
Real Assets Fund	41,513
Cash and cash equivalents:	
Cash and cash equivalents	27,568
	\$ 708,979

The following tables present the fair value of charitable remainder trust's assets and liabilities on the accompanying statements of financial position, as of June 30, by fair value hierarchy. There have been no changes in valuation techniques and related inputs during the years ended June 30, 2016 and 2015.

	2016		
	Level 1	Level 3	Total
Investments held in charitable remainder trusts	\$ 645,201	\$ -	\$ 645,201
Liabilities under charitable remainder trusts	-	(245,125)	(245,125)
Liabilities under split-interest agreements	-	(29,133)	(29,133)
	2015		
	Level 1	Level 3	Total
Investments held in charitable remainder trusts	\$ 708,979	\$ -	\$ 708,979
Liabilities under charitable remainder trusts	-	(281,487)	(281,487)
Liabilities under split-interest agreements	-	(31,903)	(31,903)

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

**6. Charitable Remainder Trusts and Fair Value Disclosures, continued**

The following tables provide a roll forward of the liabilities listed above measured at fair value using significant unobservable inputs (Level 3) during the years ended June 30, 2016 and 2015.

	2016	2015
Liabilities under charitable remainder trusts:		
Beginning balance	\$ 281,487	\$ 314,246
Decrease in value due to change in actuarial life expectancy	(36,362)	(32,759)
Ending balance	\$ 245,125	\$ 281,487
	2016	2015
Liabilities under split-interest remainder trusts:		
Beginning balance	\$ 31,903	\$ 34,047
(Decrease) increase in liabilities due to change in value of liabilities under charitable remainder trusts	(2,770)	(2,144)
Ending balance	\$ 29,133	\$ 31,903

**7. Scholarships and Grants Payable**

The Fund has commitments to various scholars to fund their education. Grant awards require the fulfillment of certain conditions as set forth in the grant agreements.

As of June 30, 2016, the Fund is liable for awarded scholarships and grants as follows:

	2016		
	Scholarships	Grants	Total
Due in less than one year	\$ 93,572	\$ 2,493	\$ 96,065

As of June 30, 2015, the Fund is liable for awarded scholarships and grants as follows:

	2015		
	Scholarships	Grants	Total
Due in less than one year	\$ 273,808	\$ 386,000	\$ 659,808

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**  
June 30, 2016 and 2015

**8. Temporarily Restricted Net Assets**

Temporarily restricted net assets as of June 30, 2016 and 2015, consists of the following purpose:

	2016	2015
Charitable remainder trusts	\$ 370,943	\$ 395,589
Donor advised funds	1,209,738	510,160
Health education and community programs	941,934	380,000
Endowment earnings	109,852	827,561
Scholarships, internships, and education programs	347,629	180,717
	\$ 2,980,096	\$ 2,294,027

Net assets released from donor restrictions by incurring expenses satisfying the restrictions specified by donors for the years ended June 30, 2016 and 2015, were as follows:

	2016	2015
Donor advised funds	\$ 661,784	\$ 201,410
Health education and community programs	356,120	408,281
Endowment earnings	568,233	233,601
Scholarships, internships, and education programs	(95,653)	229,124
	\$ 1,490,484	\$ 1,072,416

During the year ended June 30, 2016, the fund reversed prior year recorded liabilities for grants and scholarship payables in the amount of \$161,333 which failed to meet qualifications. These amounts were included as a reduction of grants and scholarship expense in the accompanying statements of activities and changes in net assets and were transferred back to temporarily restricted net assets.

During the year ended June 30, 2016, a donor reassigned amounts from endowed general operations to temporarily restricted funds for health education and community programs of \$237,413.

**9. Endowment Funds**

The Fund's endowment consists of ten individual funds established for a variety of purposes. The Fund's endowment includes only donor-restricted endowments.

During the year ended June 30, 2016, a donor reassigned endowed permanently restricted funds for health education and community programs to endowed general operations of \$2,000,000 and related accumulated earnings reported in temporarily restricted net assets.

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

**9. Endowment Funds, continued**

Permanently restricted net assets are available as of June 30, 2016 and 2015 for the following purposes:

	2016	2015
General operations	\$ 6,152,077	\$ 4,317,448
Donor advised funds	248,725	248,725
Health education and community programs	-	2,000,000
Scholarships, internships, and education programs	4,629,960	4,629,260
	\$ 11,030,762	\$ 11,195,433

Endowment net asset composition by type of fund as of June 30 2016 and 2015, is as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total Net Endowment Assets
Endowment net assets, June 30, 2016	\$ (615,418)	\$ 347,265	\$ 11,030,762	\$ 10,762,609
Endowment net assets, June 30, 2015	\$ (604,169)	\$ 827,561	\$ 11,195,433	\$ 11,418,825

Endowment funds consist of both donor-restricted and donor-advised endowment funds as of June 30, 2016 and 2015. During the year ended June 30, 2016, endowment net asset activity was as follows:

	2016			Total Net Endowment Assets
	Unrestricted	Temporarily Restricted	Permanently Restricted	
Endowment net assets, beginning of year	\$ (604,169)	\$ 827,561	\$ 11,195,433	\$ 11,418,825
Contributions	-	-	72,742	72,742
Investment income	-	295,819	-	295,819
Net realized and unrealized gains	-	(445,296)	-	(445,296)
Appropriated for spending, including investment management fees	-	(624,450)	-	(624,450)
Administration fees	-	44,969	-	44,969
Release from restriction	-	237,413	(237,413)	-
Reclassification of deficient endowment fund activity	(11,249)	11,249	-	-
Endowment net assets, end of year	\$ (615,418)	\$ 347,265	\$ 11,030,762	\$ 10,762,609

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**  
June 30, 2016 and 2015

**9. Endowment Funds, continued**

During the year ended June 30, 2015, endowment net asset activity was as follows:

	2015			Total Net Endowment Assets
	Unrestricted	Temporarily Restricted	Permanently Restricted	
Endowment net assets, beginning of year	\$ (493,416)	\$ 575,271	\$ 10,761,797	\$ 10,843,652
Contributions	-	-	433,636	433,636
Investment income	-	267,235	-	267,235
Net realized and unrealized gains	-	218,656	-	218,656
Appropriated for spending, including investment management fees	-	(409,394)	-	(409,394)
Administration fees	-	(39,130)	-	(39,130)
Fund transfer, previously appropriated for spending	-	104,170	-	104,170
Reclassification of deficient endowment fund activity	(110,753)	110,753	-	-
Endowment net assets, end of year	<u>\$ (604,169)</u>	<u>\$ 827,561</u>	<u>\$ 11,195,433</u>	<u>\$ 11,418,825</u>

In 2015, the Fund's review of endowment balances determined as of June 30, 2014, \$104,170 had previously been appropriated for spending under the 5% draw policy and were being held in temporarily restricted funds separate from the endowment earnings. The Fund determined these funds should be held with the endowment earnings until appropriately spent.

**10. Management Fees**

The Fund assesses a quarterly management fee on endowed funds at a percentage of the investment accounts' fair market value at the end of each quarter as follows:

Annual Management fee (assessed quarterly):	
The first \$25 million	1.00%
Assets between \$25-\$50 million	0.75%
Assets over \$50 million	0.50%

Minimum fee is \$100 per endowed fund.

# ASIAN PACIFIC FUND

## NOTES TO FINANCIAL STATEMENTS

June 30, 2016 and 2015

### 11. Lease Commitment

The Fund occupies its office facilities in San Francisco under an operating lease which expires in December 2017. Rent payments are payable monthly and annually increase in January.

Future obligations to pay under the lease agreement for the years ended June 30, consists of the following:

2017	\$	58,723
2018		<u>29,715</u>
	\$	<u>88,438</u>

Rent expense for the years ended June 30, 2016 and 2015 was \$58,863 and \$55,992, respectively.

### 12. Conditional Promise to Give

In October 2010, a foundation donor agreed to match contributions and firm commitments made to the Fund on a one-for-one basis up to \$5,000,000, received from July 1, 2009 through December 31, 2014. At least 50% of matched contributions had to be raised directly by board members or come directly from them. The maximum amount of matching was \$1,000,000 per calendar year, paid in \$250,000 increments. Total funds collected and matched will add to existing endowed funds or create one or more new endowment funds. In September 2015, the foundation donor amended the original matching contribution deadline by extending the term to September 30, 2015. In addition, the amended matching contribution agreement reassigned previously contributed funds to the Fund's operating endowment. Furthermore, all additional matching contributions will be added to a new donor advised fund. Funds matched under this agreement and recorded as foundation and corporate grant revenue during the years ended June 30, 2016 and 2015 totaled \$452,331 and \$250,000, respectively.

### 13. Concentrations of Credit Risk

Financial instruments that potentially subject to credit risk consist primarily of cash and cash equivalents, accounts receivable, and investments. The Fund maintains cash and cash equivalents with one major financial institution. The balances held by bank are insured by the Federal Deposit Insurance Corporation ("FDIC") up to \$250,000. Cash on deposit occasionally exceeds federally insured limits. The Fund has not experienced any losses in such accounts and management believes it is not exposed to any significant credit risk.

The Funds credit risk is inherent principally in its investments. Adverse economic conditions either nationwide or internationally may result in a reduction of the investments carrying amount. The maximum loss on the investments would be the carrying amount in the financial statement, less amounts insured by the Securities Investor Protection Corporation ("SIPC"). Balances may periodically exceed SIPC limits.

As of June 30, 2016 and 2015, three donors comprised approximately 94% and 74% of the net pledge receivable balance, respectively.

For the year ended June 30, 2016, three donors contributed amounts representing 62% of total contributions. For the year ended June 30, 2015, two donors contributed amounts representing 27% of total contributions.

Continued

**ASIAN PACIFIC FUND**  
**NOTES TO FINANCIAL STATEMENTS**

June 30, 2016 and 2015

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**14. Unrestricted Net Asset Deficit**

The accompanying financial statements have been prepared in conformity with accounting principles generally accepted in the United States of America, which contemplates a composition of assets to satisfy donor restrictions. However, as of June 30, 2016 and 2015, unrestricted net assets have a deficit of \$724,069 and \$1,028,115, respectively, which reduces the net assets available for funding amounts and potentially encumbers restricted net assets. The June 30, 2016 deficit in unrestricted net assets includes underwater endowment balances of \$615,418.

**15. Subsequent Events**

The Fund has evaluated subsequent events for potential recognition and/or disclosure through December 7, 2016, the date which the financial statements were available to be issued. No such additional events exist.



**SUPPLEMENTARY INFORMATION**

**ASIAN PACIFIC FUND**  
**SCHEDULES OF FUNCTIONAL EXPENSES**

For the years ended June 30, 2016 and 2015

	2016						2015					
	Program Services			Supporting Services			Program Services			Supporting Services		
	Educational Programs	Grants and Services to Agencies	Program Services Total	Management and General	Fundraising	Total	Educational Programs	Grants and Services to Agencies	Program Services Total	Management and General	Fundraising	Total
Expenses:												
Salaries	\$ 71,267	\$ 212,965	\$ 284,232	\$ 11,350	\$ 43,969	\$ 339,551	\$ 68,517	\$ 227,302	\$ 295,819	\$ 15,443	\$ 13,770	\$ 325,032
Payroll taxes	5,655	15,847	21,502	982	3,416	25,900	5,091	16,158	21,249	581	778	22,608
Employee benefits	7,862	17,090	24,952	4,506	-	29,458	5,195	15,317	20,512	3,119	-	23,631
Total salaries and related expenses	84,784	245,902	330,686	16,838	47,385	394,909	78,803	258,777	337,580	19,143	14,548	371,271
Grants and scholarships	50,627	731,811	782,438	-	-	782,438	168,209	343,020	511,229	-	-	511,229
Travel and hospitality	30,319	4,524	34,843	8,205	85,327	128,375	12,842	3,197	16,039	9,754	76,450	102,243
Investment expenses	-	-	-	67,062	-	67,062	-	-	-	84,032	-	84,032
Occupancy	15,800	34,353	50,153	8,710	-	58,863	13,123	38,398	51,521	4,471	-	55,992
Accounting fees	-	-	-	36,579	-	36,579	-	-	-	35,496	-	35,496
Professional fees	4,450	780	5,230	12,527	10,530	28,287	4,775	4,353	9,128	15,282	4,175	28,585
Supplies	3,244	2,342	5,586	473	12,611	18,670	3,124	2,803	5,927	1,055	8,705	15,687
Printing and production	11,549	870	12,419	968	2,774	16,161	11,047	1,217	12,264	971	6,021	19,256
Bad debt	-	-	-	12,500	-	12,500	-	-	-	2,500	-	2,500
Dues, licenses, and fees	400	4,749	5,149	5,262	-	10,411	261	2,606	2,867	10,506	-	13,373
Equipment rental and maintenance	2,346	5,100	7,446	1,302	-	8,748	2,336	6,886	9,222	802	-	10,024
Legal fees	-	-	-	6,600	-	6,600	-	6,550	6,550	1,928	-	8,478
Postage	1,627	2,202	3,829	554	758	5,141	1,586	3,183	4,769	385	45	5,199
Depreciation	-	-	-	4,854	-	4,854	-	-	-	5,555	-	5,555
Other	-	-	-	4,734	-	4,734	-	2,404	2,404	1,323	2,251	5,978
Website development	-	-	-	4,355	-	4,355	-	-	-	1,311	-	1,311
Telephone	978	2,125	3,103	542	-	3,645	768	2,264	3,032	264	-	3,296
Insurance	907	1,972	2,879	503	-	3,382	728	2,146	2,874	250	-	3,124
Advertising and promotion	-	-	-	198	-	198	1,835	-	1,835	26	-	1,861
Total expenses	\$ 207,031	\$ 1,036,730	\$ 1,243,761	\$ 192,766	\$ 159,385	\$ 1,595,912	\$ 299,437	\$ 677,804	\$ 977,241	\$ 195,054	\$ 112,195	\$ 1,284,490



RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2017 FEB 24 PM 3:04

BY     *Or*    

February 22, 2017

Ms. Angela Calvillo, Clerk of the Board  
Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Ms. Calvillo:

The Bay Area Black United Fund of Oakland, California is pleased to submit this application for inclusion in the 2017 Annual Joint Fundraising Drive in accordance with Section 16.93-2 of the San Francisco Municipal Code.

We are enclosing the information below in accordance with Section 16.93-2 (a) through (e) as follows:

Attachment A (our listing of 54 charitable organizations) as our documentation that we as a federated agency represent 10 or more charitable organizations of which 50 percent are located in the Counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa and Marin in accordance with Section 16-93-2 (a).

We also certify to the Board of Supervisors that the Federal Internal Revenue Service has determined that contributions to all of the represented charitable organizations are tax deductible as evidenced by our 501 (c) (3) exemption letter (Attachment B) in accordance with Section 16-93-2 (b).

We also verify that we have been in existence with 10 or more qualified charities for at least one year prior to the date of application in accordance with Section 16-93-2 (c).

We are also attaching our most recent certified audit (Attachment C) in accordance with Section 16-93-2 (d).

We are including other information that may be relevant (our company brochure) as Attachment D in accordance with Section 16-93-2 (e).

Thank You,

Kirk Hogan, CPA  
Finance Manager, Bay Area Black United Fund, Inc.

BAY AREA BLACK UNITED FUND, INC.  
CITY AND COUNTY OF SAN FRANCISCO  
2017 ANNUAL FUNDRAISING DRIVE APPLICATION



Bay Area Black United Fund  
2017 Listing of Federation Charity

Code	Agency Name	telephone number	Agency Web Address
1 100	Bay Area Black United Fund	(510) 763-7270	<a href="http://www.babuf.org">www.babuf.org</a>
2 101	A Safe Place	510-986-8600	<a href="http://www.asafeplacedvs.org">www.asafeplacedvs.org</a>
3 105	Asian Women's Shelter	415-761-7110	<a href="http://www.sfaws.org">www.sfaws.org</a>
4 109	Carl B. Metoyer Center for Family Counseling	510-562-3731	<a href="http://www.cffc.biz">www.cffc.biz</a>
5 110	Center on Juvenile and Criminal Justice	415-621-5661	<a href="http://www.cjcl.org">www.cjcl.org</a>
6 117	- Free at Last	650-462-6999	<a href="http://www.freeatlast.org">www.freeatlast.org</a>
7 129	Berkeley Youth Alternatives	510-845-9010	<a href="http://www.bayonline.org">www.bayonline.org</a>
8 134	Wee Poets	510-848-6905	<a href="http://www.weepoets.org">www.weepoets.org</a>
9 135	Westside Community Services	415-431-9000	<a href="http://www.westside-health.org">www.westside-health.org</a>
10 136	Whitney Young Child Development Center Inc	415-567-2357	<a href="http://www.facesf.org">www.facesf.org</a>
11 144	Rafiki Coalition For Health and Wellness	415-615-9945	<a href="http://www.rafikcoalition.org">www.rafikcoalition.org</a>
12 145	Boys and Girls Club of Oakland	510-444-8211	<a href="http://www.bgcoakland.org">www.bgcoakland.org</a>
13 150	CAL-PEP	510-874-7850	<a href="http://www.calpep.org">www.calpep.org</a>
14 165	Flourish Agenda, Inc.	510-282-6909	<a href="http://www.flourishagenda.com">www.flourishagenda.com</a>
15 168	Family Builders by Adoption	510-272-0204	<a href="http://www.familybuildersbyadoption.org">www.familybuildersbyadoption.org</a>
16 178	Aids Project of the Eastbay	510-663-7979	<a href="http://www.apeb.org">www.apeb.org</a>
17 182	Lend a Hand Foundation	510-553-1262	<a href="http://www.lendahandfoundation.org">www.lendahandfoundation.org</a>
18 201	Big Brothers Big Sisters of the East Bay	415-503-4047	<a href="http://www.bbbsba.org">www.bbbsba.org</a>
19 202	Building Opportunities for Self-Sufficiency (BOSS)	510-649-1930	<a href="http://www.self-sufficiency.org">www.self-sufficiency.org</a>
20 203	Center for Elders Independence	510-452-8835	<a href="http://www.elders.org">www.elders.org</a>
21 211	Harbor House Ministries	510-534-0165	<a href="http://www.hhministries.org">www.hhministries.org</a>
22 230	Bayview Hunters Point Multipurpose Senior Services, Inc.	415-822-1444	<a href="http://www.bhpmss.org">www.bhpmss.org</a>
23 241	Allen Temple Health and Social Service Ministries	510-544-3939	<a href="http://www.allen-temple.org">www.allen-temple.org</a>
24 246	Healthy Communities, Inc.	510-444-9655	<a href="http://www.healthycommunities.us">www.healthycommunities.us</a>
25 242	Ariel Outreach & Mission	510-978-5844	<a href="http://www.arielom.org">www.arielom.org</a>
26 258	Health and Human Resource Education Center	510-834-5990	<a href="http://www.hhrec.org">www.hhrec.org</a>
27 277	Hope 4 the Heart	510-698-5011	<a href="http://www.hope4theheart.org">www.hope4theheart.org</a>
28 282	YOUTH ALIVE!	510-594-2588	<a href="http://www.youthalive.org">www.youthalive.org</a>
29 283	FACES SF	415-567-2358	<a href="http://www.facesf.org">www.facesf.org</a>
30 284	East Bay Performing Arts / Oakland Symphony	510-444-0800	<a href="http://www.oaklandsymphony.org">www.oaklandsymphony.org</a>
31 285	Motivating Inspiring Supporting and Servicing Sexually Exploiting Youth (MISSEY)	510-251-2070	<a href="http://www.misseey.org">www.misseey.org</a>
32 287	DASH Sports Education	510-982-9006	<a href="http://www.dashcamp.org">www.dashcamp.org</a>
33 288	DADS Club	510-396-7776	<a href="http://www.christassociaction.com">www.christassociaction.com</a>
34 289	Black Men Speak	510-415-2098	
35 301	Bay Area Business Roundtable	510-568-6302	<a href="http://www.babrt.org">www.babrt.org</a>
36 302	Omega Boys Club - Alive & Free	415-826-8664	<a href="http://www.stayaliveandfree.org">www.stayaliveandfree.org</a>
37 303	East Oakland Youth Development Center (EOYDC)	510-912-1377	<a href="http://www.eoydc.org">www.eoydc.org</a>
38 304	Ella Baker Center For Human Rights	510-285-8230	<a href="http://www.ellabakercenter.org">www.ellabakercenter.org</a>
39 305	Hidden Genius Project, Inc.	415-547-0856	<a href="http://www.hiddengeniusproject.org">www.hiddengeniusproject.org</a>
40 306	Outdoor Afro	510-913-6100	<a href="http://www.outdoorafro.org">www.outdoorafro.org</a>
41 307	Peacemakers, Inc.	510-830-5755	<a href="http://www.peacemakersinc.us">www.peacemakersinc.us</a>
42 308	Student Program for Academic & Athletic Transitioning (SPAAT)	415-378-5871	<a href="http://www.spaat.org">www.spaat.org</a>
43 309	Super Stars Literacy	510-777-0870	<a href="http://www.superstarsliteracy.org">www.superstarsliteracy.org</a>
44 310	The Mentoring Center	510-891-0427	<a href="http://www.mentor.org">www.mentor.org</a>
45 311	Training Institute for Leadership Enrichment	510-568-5863	<a href="http://www.traininginstituteonline.com">www.traininginstituteonline.com</a>
46 312	Young Scholars Program (YSP)	415-465-2620	<a href="http://www.youngscholarsprogram.org">www.youngscholarsprogram.org</a>
47 313	Richmond Main Street Initiative, Inc.	510-236-4049	<a href="http://www.richmondmainstreet.org">www.richmondmainstreet.org</a>
48 314	Solar Richmond	510-253-2211	<a href="http://www.solarrichmond.org">www.solarrichmond.org</a>
49 315	West Contra Costa Public Education Fund	510-233-1464	<a href="http://www.edfundwest.org">www.edfundwest.org</a>
50 316	Coleman Advocates for Children and Youth	415-239-0161	<a href="http://www.colemanadvocates.org">www.colemanadvocates.org</a>
51 317	Healthy Black Families, Inc.	510-285-6689	
52 318	Ephesian Children's Center	510-658-7118	
53 319	California Resources and Training	510-451-2545	<a href="http://www.caratnet.org">www.caratnet.org</a>
54 320	Youth Uprising	510-777-9909	<a href="http://www.youthuprising.org">www.youthuprising.org</a>

Internal Revenue Service  
District Director

Department of the Treasury

Attachment B

SF:EO:79-1446

Date: SEP 7 1979

Employer Identification Number:

Applied for  
Accounting Period Ending:

December 31

Foundation Status Classification:

509(a)(1) & 170(b)(1)(A)(vi)

Advance Ruling Period Ends:

December 31, 1980

Person to Contact:

Profumo

Contact Telephone Number:

(510) 763-7270

94-2602958

Bay Area Black United Fund, Inc.  
1440 Broadway, Suite 330  
Oakland, CA 94612

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code.

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably be expected to be a publicly supported organization described in section 509(a)(1) & 170(b)(1)(A)(vi).

Accordingly, you will be treated as a publicly supported organization, and not as a private foundation, during an advance ruling period. This advance ruling period begins on the date of your inception and ends on the date shown above.

Within 90 days after the end of your advance ruling period, you must submit to us information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, you will be classified as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, you will be classified as a private foundation for future periods. Also, if you are classified as a private foundation, you will be treated as a private foundation from the date of your inception for purposes of sections 507(d) and 4940.

Grantors and donors may rely on the determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you submit the required information within the 90 days, grantors and donors may continue to rely on the advance determination until the Service makes a final determination of your foundation status. However, if notice that you will no longer be treated as a section 170(b)(1)(A)(vi) organization is published in the Internal Revenue Bulletin, grantors and donors may not rely on this determination after the date of such publication. Also, a grantor or donor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act that resulted in your loss of section 170(b)(1)(A)(vi) status, or acquired knowledge that the Internal Revenue Service had given notice that you would be removed from classification as a section 170(b)(1)(A)(vi) organization.

P.O. Box 36040, San Francisco, Calif. 94102 (over)

Letter 1045(DO) (6-7)

page 3

If your sources of support, or your purposes, character, or method of operation change, please let us know so we can consider the effect of the change on your exempt status and foundation status. Also, you should inform us of all changes in your name or address.

Generally, you are not liable for social security (FICA) taxes unless you file a waiver of exemption certificate as provided in the Federal Insurance Contributions Act. If you have paid FICA taxes without filing the waiver, you should call us. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions about excise, employment, or other Federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

You are required to file Form 990, Return of Organization Exempt from Income Tax, only if your gross receipts each year are normally more than \$10,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. The law imposes a penalty of \$10 a day, up to a maximum of \$5,000, when a return is filed late, unless there is reasonable cause for the delay.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T. In this letter, we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

Because this letter could help resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,

*Michael J. Davis*  
District Director

Attachment

Page 4

BAY AREA BLACK UNITED FUND, INC.

FINANCIAL STATEMENTS

YEAR ENDED DECEMBER 31, 2015

AND

INDEPENDENT AUDITOR'S REPORT



BAY AREA BLACK UNITED FUND, INC.  
DECEMBER 31, 2015

Table of Contents

	Page
Independent Auditor's Report	1
Financial Statements	
Statement of Financial Position	3
Statement of Activities and Changes in Net Assets	4
Statement of Functional Expenses	5
Statement of Cash Flows	6
Notes to the Financial Statements	7
Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	11
Schedule of Findings and Questioned Costs	13



**CHRISTOPHER CHIME OGBODO**

CERTIFIED PUBLIC ACCOUNTANT

**Independent Auditor's Report**

To the Board of Directors  
Bay Area Black United Fund, Inc.

I have audited the accompanying financial statements of Bay Area Black United Fund, Inc., (a nonprofit organization), which comprise the statement of financial position as of December 31, 2015, and the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditor's Responsibility**

My responsibility is to express an opinion on these financial statements based on my audit. I conducted my audit in accordance with auditing standards generally accepted in the United States of America and the standards for financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, I express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

**Opinion**

In my opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Bay Area Black United Fund, Inc. as of December 31, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report issued in accordance with Government Auditing Standards

In accordance with *Government Auditing Standards*, I have also issued a report dated August 3, 2016, on my consideration of Bay Area Black United Fund, Inc.'s internal control over financial reporting and my tests of its compliance with certain provisions of laws, regulations, contracts, agreements and other matters. The purpose of that report is to describe the scope of my testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of my audit.



Christopher Chime Ogbodo  
Certified Public Accountant

Oakland, California  
August 3, 2016

BAY AREA BLACK UNITED FUND, INC.  
STATEMENT OF FINANCIAL POSITION  
DECEMBER 31, 2015

ASSETS

Current Assets	
Cash and Cash Equivalents - Note 2	\$ 39,752
Accounts Receivable	35,375
Promises to Give - Note 3	114,935
Management Fee Receivable	11,474
Prepaid Expenses	<u>2,341</u>
Total Current Assets	<u>203,877</u>
Fixed Assets	
Computers	19,472
Other Equipment	<u>49,775</u>
Total Fixed Assets	69,247
Accumulated Depreciation	<u>(58,299)</u>
Total Fixed Assets, Net of Depreciation	<u>10,948</u>
Total Assets	<u>\$ 214,825</u>

LIABILITIES AND NET ASSETS

Current Liabilities	
Accounts Payable	\$ 30,788
Vacation Accrual	41,211
Line of Credit - Note 4	<u>121,111</u>
Total Current Liabilities	193,110
Other Liabilities	
Agency Payable - Note 5	98,392
Fiscal Agency Payable - Note 6	<u>7,109</u>
Total Other Liabilities	105,501
Net Assets	
Unrestricted Net Assets	(291,671)
Temporarily Restricted - Note 8	<u>207,885</u>
Total Net Assets	<u>(83,786)</u>
Total Liabilities and Net Assets	<u>\$ 214,825</u>

See accompanying notes to the financial statements

BAY AREA BLACK UNITED FUND, INC.  
STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS  
FOR THE YEAR ENDED DECEMBER 31, 2015

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
Revenue and Support			
Workplace Campaign	\$ 44,449		\$ 44,449
Grants	467,912	\$ 207,885	675,797
Management Revenue	37,286	-	37,286
Donation and Contributions	4,350	-	4,350
Board Gifts	375	-	375
Interest Income	104	-	104
Miscellaneous Income	1,792	-	1,792
Total Revenues and Other Support	<u>556,268</u>	<u>207,885</u>	<u>764,153</u>
Net Assets Released from Restrictions	<u>81,900</u>	<u>(81,900)</u>	<u>-</u>
Total Revenue and Other Support	638,168	125,985	764,153
Expenses			
Workplace Campaign	170,066	-	170,066
Community Outreach	162,670	-	162,670
Critical Mass Conductors	273,583	-	273,583
Other	22,181	-	22,181
General and Administrative	111,366	-	111,366
Total Expenses	<u>739,866</u>	<u>-</u>	<u>739,866</u>
Change in Net Assets	(101,698)	125,985	24,287
Net Assets - December 31, 2014	<u>(189,973)</u>	<u>81,900</u>	<u>(108,073)</u>
Net Assets - December 31, 2015	<u>\$ (291,671)</u>	<u>\$ 207,885</u>	<u>\$ (83,786)</u>

See accompanying notes to the financial statements

BAY AREA BLACK UNITED FUND, INC.  
STATEMENT OF FUNCTIONAL EXPENSES  
FOR THE YEAR ENDED DECEMBER 31, 2015

PROGRAM SERVICES

	Workplace	Community Outreach	Critical Mass	Other	Total	General and Admin	TOTAL
EXPENSES							
Salaries & Wages	\$ 79,261	\$ 75,814	\$127,506	\$ 10,338	\$292,919	\$ 51,692	\$344,611
Payroll Process	512	490	823	67	1,892	334	\$ 2,226
Payroll Tax	5,960	5,700	9,587	777	22,024	3,887	\$ 25,911
Other Taxes	109	105	176	14	404	71	\$ 475
Bank Charges	150	144	242	20	556	98	\$ 654
Employees Benefits	10,573	10,113	17,009	1,379	39,074	6,895	\$ 45,969
Accounting & Legal	3,013	2,882	4,847	393	11,135	1,965	\$ 13,100
Conference & Meetings	394	377	634	51	1,456	257	\$ 1,713
Consultants	42,560	40,709	68,466	5,551	157,286	27,756	\$185,042
Stipends	248	238	400	32	918	162	\$ 1,080
Depreciation Expense	509	487	819	66	1,881	334	\$ 2,215
Dues & Subscriptions	130	124	209	17	480	85	\$ 565
Interest Expense	3,170	3,032	5,099	413	11,714	2,067	\$ 13,781
Insurance Other	1,796	1,718	2,890	234	6,638	1,172	\$ 7,810
Meals & Entertainment	1,528	1,461	2,457	199	5,645	996	\$ 6,641
Postage & Delivery	347	332	558	45	1,282	226	\$ 1,508
Office Supplies	1,108	1,060	1,783	145	4,096	1,027	\$ 5,123
Printing	1,541	1,474	2,479	201	5,695	1,005	\$ 6,700
Repairs & Maintenance	1,255	1,200	2,018	164	4,637	818	\$ 5,455
Rent	9,025	8,632	14,518	1,177	33,352	5,886	\$ 39,238
Telephone	2,007	1,920	3,228	262	7,417	1,309	\$ 8,726
Internet and Computer Expense	295	282	474	38	1,089	192	\$ 1,281
Travel	3,445	3,295	5,541	450	12,731	2,246	\$ 14,977
Refunds	83	79	134	11	307	54	\$ 361
Miscellaneous Expense	48	46	78	6	178	180	\$ 358
Web Site Development	36	35	59	5	135	24	\$ 159
Board Development and Studies	963	921	1,549	126	3,559	628	\$ 4,187
<b>TOTAL EXPENSES</b>	<b>\$170,066</b>	<b>\$ 162,670</b>	<b>\$273,583</b>	<b>\$ 22,181</b>	<b>\$ 628,500</b>	<b>\$111,366</b>	<b>\$739,866</b>

See accompanying notes to the financial statements

BAY AREA BLACK UNITED FUND, INC.  
STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED DECEMBER 31, 2015

Cash Flows from Operating Activities	
Changes in Net Assets	\$ 24,287
Adjustments to reconcile changes in net assets to net cash provided by operating activities:	
Depreciation	2,215
(Increase) decrease in assets:	
Accounts Receivable	(25,375)
Promises to Give	(38,946)
Prepaid Expenses	(2,341)
Management Fee Receivable	5,181
Increase (decrease) in liabilities:	
Accounts payable	7,477
Vacation Accrual	9,536
Agency Payable	45,741
Fiscal Agency Payable	(26,767)
Net cash provided by operating activities	<u>1,008</u>
Cash Flows from Investing Activities	
Purchase of property and equipment	<u>(6,741)</u>
Net cash flow used by financing activities	<u>(6,741)</u>
Cash Flows from Financing Activities	
Line of Credit	<u>6,076</u>
Net cash flow used by financing activities	<u>6,076</u>
Net Increase (Decrease) in Cash	343
Cash and Cash Equivalents, Beginning of Year	<u>39,409</u>
Cash & Cash Equivalents, End of Year	<u>\$ 39,752</u>
<i>Supplemental disclosure of cash flow information:</i>	
Cash paid for:	
Income Taxes Paid	
Interest Paid	<u>\$ 13,781</u>

See accompanying notes to the financial statements

BAY AREA BLACK UNITED FUND, INC.  
NOTES TO THE FINANCIAL STATEMENTS  
DECEMBER 31, 2015

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Organization

Bay Area Black United Fund, Inc. (BABUF) is a not-for-profit organization incorporated under the laws of the State of California in 1976. Through the community outreach program the primary mission of the corporation is to promote and build partnerships between the Bay Area Black United Fund and organizations that, together, maximize our capacity to serve African American people and other communities of color.

In pursuit of its mission, the Bay Area Black United Fund, Inc.'s primary goal is to build self-reliance and self-sufficiency in communities we serve by building institutions that seek solutions to community needs, and will "teach people how to fish" for themselves. While BABUF's initial focus has been on the black community, the institution has, through the years, demonstrated its capability and desire to respond to the changing demographics of its service area.

The BABUF family of assisted agencies, in the future, will include the Latino, Asian, and Native American communities, as well as the homeless population, where race makes little or no difference. We will bring together resources-people, competency, and money - in ways that support human growth and development, and community healing and renewal for African American people and other communities and activities aimed at increasing the quality of life for African American communities.

Basis of Presentation

The Organization receives various funds one of which is unrestricted, and the rest are permanently restricted. The permanently restricted funds are used to support specific programs within the Organization. The unrestricted funds are used to support general and administrative functions of the Organization as well as additional support of other programs.

The accompanying financial statements have been prepared to focus on the Organization as a whole and to present balances and transactions according to the existence or absence of donor-imposed restrictions. This has been accomplished by classification of net assets and transactions into the following classes of assets:

*Temporarily Restricted Net Assets* - Net assets subject to donor-imposed stipulations that they be maintained by the organization.

*Unrestricted Net Assets* - Net assets not subject to donor-imposed stipulations.

Basis of Accounting

The Organization's books are maintained, and the financial statements have been prepared, on the accrual basis of accounting in accordance with generally accepted accounting principles.



BAY AREA BLACK UNITED FUND, INC.  
NOTES TO FINANCIAL STATEMENTS (CONTINUED)  
DECEMBER 31, 2015

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Revenue Recognition

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions. All donor-restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished) temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

Cash and Cash Equivalents

For purposes of the balance sheets and statement of cash flows, the Company considers all highly liquid investments, which are readily convertible into known amounts of cash and have a maturity of three months or less when acquired to be cash equivalents.

Fixed Assets

Furniture and equipment are capitalized using the straight-line method. Donated assets are recorded at fair market value at the time the assets are received. The Organization has established a capitalization policy to capitalize all purchases of \$500 or more of furniture and equipment. Depreciation is provided using the straight-line method over the expected useful lives of the asset. At December 31, 2015, the Organization incurred \$2,215 in depreciation expense.

Income Taxes

BABUF has obtained determination letters from the Internal Revenue Service and the California Franchise Tax Board to the effect that BABUF qualifies under Section 501(c)(3) of the Internal Revenue Code (IRC) and Section 23701(d) of the California Tax Code. Accordingly, the primary operations of BABUF are currently considered exempt from federal income and state franchise taxes.

Fair Value of Financial Instruments

The carrying amounts of cash and cash equivalents approximate fair values due to the short-term maturities of these instruments.

Use of Estimates

The presentation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclose of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

BAY AREA BLACK UNITED FUND, INC.  
 NOTES TO FINANCIAL STATEMENTS (CONTINUED)  
 DECEMBER 31, 2015

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Promises to give

Pledges receivable consists of amounts due from funding sources, as described in Note 3.

Functional Expense Reporting

The cost of providing the Organization's programs has been summarized on a functional basis in these financial statements. Based on management estimates, costs have been allocated between programs and supporting services.

NOTE 2 - CASH AND CASH EQUIVALENTS

As of December 31, 2015, cash balances consisted of:

Unrestricted	\$ 39,049
Restricted	703
Total	<u>\$ 39,752</u>

NOTE 3 - PROMISES TO GIVE

As of December 31, 2015, Promises to Give consisted of:

	<u>Unrestricted</u>	<u>Restricted</u>	<u>Total</u>
Promises to Give	\$ 44,449	\$ 70,486	\$ 114,935

NOTE 4 - LINE OF CREDIT

At year ended December 31, 2015, the Organization had an unsecured line of credit with Wells Fargo Bank, in the amount of \$116,000. Advances on the credit line are payable on demand and carry an interest rate of 10.00 %. Amount payable at December 31, 2015 was \$115,158

The Organization has another line of credit with Bank of America in the amount of \$15,000 at an interest rate of 17.99%. Balance due at December 31, 2015, was \$5,953.

NOTE 5 - AGENCY PAYABLE

BABUF receives cash and other resources from various sources. In these transactions BABUF is acting as a fiscal agent, trustee, or intermediary for resource providers. These transactions are reported as increases in assets and liabilities. Distributions to third-party recipients are reported as decreases in those accounts. As of December 31, 2015, the amount of \$98,392 represents pledges to third-party agencies to be distributed in the following year.

NOTE 6 - FISCAL INTERMEDIARY PAYABLE

At year ended December 31, 2015, BABUF held \$7,109 as fiscal intermediary.

BAY AREA BLACK UNITED FUND, INC.  
NOTES TO FINANCIAL STATEMENTS (CONTINUED)  
DECEMBER 31, 2015

NOTE 7 - NET ASSETS RELEASED FROM RESTRICTION

Temporarily restricted net asset released as of December 31, 2015 is as follow:

Kaiser	\$ 26,900
San Francisco Foundation	10,000
Y and H Soda Foundation	10,000
Silicon Valley Foundation	5,000
Health Leadership Forum	10,000
The California Endowment	<u>20,000</u>
Total	<u>\$ 81,900</u>

NOTE 8 - TEMPORARILY RESTRICTED NET ASSETS

Temporarily restricted net assets as of December 31, 2015 is \$207,885 for the Brotherhood of Elders Program.

NOTE 9 - COMMITMENTS

The Organization is under a lease agreement with FH One Inc. expiring June 30, 2018. As of December 31, 2015, the Organization incurred \$32,039 in rental expense. The future minimum payments under the leases are as follows:

	<u>Office Lease</u>
2016	\$ 61,261
2017	63,094
2018	<u>32,016</u>
Total	<u>\$ 156,371</u>

NOTE 10 - SUBSEQUENT EVENTS

Subsequent events were evaluated through August 3, 2016 which is the date of the financial statements were available to be issued.



**CHRISTOPHER CHIME OGBODO**

CERTIFIED PUBLIC ACCOUNTANT

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

To the Board of Directors  
Bay Area Black United Fund, Inc.

I have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Bay Area Black United Fund, Inc. (a nonprofit organization), which comprise the statement of financial position as of December 31, 2015, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated August 3, 2016.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered Bay Area Black United Fund, Inc.'s internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Bay Area Black United Fund, Inc.'s internal control. Accordingly, we do not express an opinion on the effectiveness of Bay Area Black United Fund, Inc.'s internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

My consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during my audit I did not identify any deficiencies in internal control that I consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether Bay Area Black United Fund, Inc.'s financial statements are free from material misstatement, I performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of my audit, and accordingly, I do not express such an opinion. The results of my tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of my testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Bay Area Black United Fund, Inc.'s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Bay Area Black United Fund, Inc.'s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



CHRISTOPHER CHIME OGBODO  
Certified Public Accountant

Oakland, California  
August 3, 2016

BAY AREA BLACK UNITED FUND, INC.  
SUMMARY OF FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED DECEMBER 31, 2015

SUMMARY OF AUDIT RESULTS

1. The auditor's report expresses an unqualified opinion on the financial statement of Bay Are Black United Fund, Inc.
2. No material weaknesses were identified during the audit of the financial statements.
3. No instances of noncompliance material to the financial statements of Bay Are Black United Fund, Inc.

ATTACHMENT C

**FOR TAX YEAR 2015**

BAY AREA BLACK UNITED FUND, INC.

CHRISTOPHER CHIME OGBODO, CPA

4225 TELEGRAPH AVENUE

OAKLAND, CA 94609

(510) 652-6213

Form **8879-EO**

### IRS e-file Signature Authorization for an Exempt Organization

OMB No 1545-1878

For calendar year 2015, or fiscal year beginning \_\_\_\_\_, and ending \_\_\_\_\_

Do not send to the IRS. Keep for your records.

Information about Form 8879-EO and its instructions is at [www.irs.gov/form8879eo](http://www.irs.gov/form8879eo).

**2015**

Department of the Treasury  
Internal Revenue Service

Name of exempt organization

**BAY AREA BLACK UNITED FUND, INC.**

Employer identification number

**94-2602958**

Name and title of officer

**DUANE T POE, CEO**

#### Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

1a Form 990 check here	<input checked="" type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b	<u>764,153</u>
2a Form 990-EZ check here	<input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9)	2b	_____
3a Form 1120-POL check here	<input type="checkbox"/>	b Total tax (Form 1120-POL, line 22)	3b	_____
4a Form 990-PF check here	<input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	_____
5a Form 8868 check here	<input type="checkbox"/>	b Balance Due (Form 8868, Part I, line 3c or Part II, line 8c)	5b	_____

#### Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2015 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund, if applicable. I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-363-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

I authorize CHRISTOPHER CHINE OGBODO, C to enter my PIN 02958 as my signature  
ERO firm name Enter five numbers, but do not enter all zeros

on the organization's tax year 2015 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2015 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature

Date 08-08-2016

#### Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

943074 06926  
do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2015 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature

Date 08-08-2016

**ERO Must Retain This Form - See Instructions  
Do Not Submit This Form To the IRS Unless Requested To Do So**

For Paperwork Reduction Act Notice, see instructions.

Form 8879-EO (2015)

EEA



TAXABLE YEAR **2015** **California e-file Return Authorization for Exempt Organizations**

FORM **8453-EO**

Exempt Organization name **BAY AREA BLACK UNITED FUND, INC.** Identifying number **94-2602958**

**Part I Electronic Return Information** (whole dollars only)

1 Total gross receipts (Form 199, line 4)	1	764,153
2 Total gross income (Form 199, line 8)	2	764,153
3 Total expenses and disbursements (Form 199, Line 9)	3	740,801

**Part II Settle Your Account Electronically for Taxable Year 2015**

4  Electronic funds withdrawal 4a Amount \_\_\_\_\_ 4b Withdrawal date (mm/dd/yyyy) \_\_\_\_\_


**Part III Banking Information** (Have you verified the exempt organization's banking information?)

5 Routing number \_\_\_\_\_ 6 Account number \_\_\_\_\_ 7 Type of account:  Checking  Savings

**Part IV Declaration of Officer**

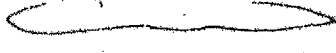
I authorize the exempt organization's account to be settled as designated in Part II. If I check Part II, Box 4, I authorize an electronic funds withdrawal for the amount listed on line 4a.

Under penalties of perjury, I declare that I am an officer of the above exempt organization and that the information I provided to my electronic return originator (ERO), transmitter, or intermediate service provider and the amounts in Part I above agree with the amounts on the corresponding lines of the exempt organization's 2015 California electronic return. To the best of my knowledge and belief, the exempt organization's return is true, correct, and complete. If the exempt organization is filing a balance due return, I understand that if the Franchise Tax Board (FTB) does not receive full and timely payment of the exempt organization's fee liability, the exempt organization will remain liable for the fee liability and all applicable interest and penalties. I authorize the exempt organization return and accompanying schedules and statements be transmitted to the FTB by the ERO, transmitter, or intermediate service provider. If the processing of the exempt organization's return or refund is delayed, I authorize the FTB to disclose to the ERO or intermediate service provider the reason(s) for the delay.

Sign Here  08-08-2016 CEO  
Signature of officer Date Title

**Part VI Declaration of Electronic Return Originator (ERO) and Paid Preparer.** See instructions.

I declare that I have reviewed the above exempt organization's return and that the entries on form FTB 8453-EO are complete and correct to the best of my knowledge. (If I am only an intermediate service provider, I understand that I am not responsible for reviewing the exempt organization's return. I declare, however, that form FTB 8453-EO accurately reflects the data on the return.) I have obtained the organization officer's signature on form FTB 8453-EO before transmitting this return to the FTB; I have provided the organization officer with a copy of all forms and information that will file with the FTB, and I have followed all other requirements described in FTB Pub. 1345, 2015 e-file Handbook for Authorized e-file Providers. I will keep form FTB 8453-EO on file for four years from the due date of the return four years from the date the exempt organization return is filed, whichever is later, and I will make a copy available to the FTB upon request. If I am also the paid preparer, under penalties of perjury, I declare that I have examined the above exempt organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. I make this declaration based on all information of which I have knowledge.

**ERO Must Sign**  
ERO's signature  Date \_\_\_\_\_ Check if also paid preparer  Check if self-employed  ERO's PTIN **P01440017**  
Firm's name (or yours if self-employed) and address **CHRISTOPHER CHIME OGBODO, CPA** FEIN **94-3006926**  
**4225 TELEGRAPH AVENUE** ZIP Code **94609**  
**OAKLAND, CA**

Under penalties of perjury, I declare that I have examined the above organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. I make this declaration based on all information of which I have knowledge.

**Paid Preparer Must Sign**  
Paid preparer's signature \_\_\_\_\_ Date \_\_\_\_\_ Check if self-employed  Paid preparer's PTIN \_\_\_\_\_  
Firm's name (or yours if self-employed) and address \_\_\_\_\_ FEIN \_\_\_\_\_  
ZIP Code \_\_\_\_\_

Return of Organization Exempt From Income Tax

2015

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public. Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

Form header section containing organization details: BAY AREA BLACK UNITED FUND, INC., 1212 BROADWAY STREET STE 640, OAKLAND, CA 94612. Includes tax-exempt status (501(c)(3)), website (WWW.BABUF.ORG), and year of formation (1972).

Part I Summary

Summary table with columns for Revenue, Expenses, and Net Assets. Rows include mission statement, revenue breakdown (Total revenue: 764,153), and net assets (Net assets: 84,721).

Part II Signature Block

Signature section for DUANE T POE, CEO, dated 08-08-2016. Includes preparer information for Christopher Ogbodo, CPA.

Paid Preparer Use Only section with fields for preparer name, signature, date, firm name, address, and EIN.

May the IRS discuss this return with the preparer shown above? (see instructions) [ ] Yes [X] No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

OUR MISSION IS TO LEAD THE BAY AREA BLACK COMMUNITY AND OUR PARTNERS IN A UNIFIED EFFORT TO RESTORE VITALITY TO OUR PEOPLE AND NEIGHBORHOODS, BY SECURING AND INVESTING FINANCIAL RESOURCES IN COMMUNITY ORGANIZATIONS THAT BUILD INDIVIDUAL AND ORGANIZATIONAL CAPACITY FOR SUCCESS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses, Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 628,500 including grants of \$ ) (Revenue \$ )

THE CRITICAL MASS HEALTH CONDUCTORS (HC) PROGRAM IS THE PREEMINENT BEHAVIORAL HEALTH MODIFICATION STRATEGY CREATED BY AND FOR THE BLACK COMMUNITY. BEGUN IN 2005, HC RECRUITS, EDUCATES AND TRAINS VOLUNTEERS DURING A FOUR MONTH "CLASS" UTILIZING AN AFRO-CENTRIC CURRICULUM. DURING THE PROCESS, PARTICIPANTS BECOME EMPOWERED THROUGH SELF-AWARENESS, GROUP SUPPORT AND GUIDANCE FROM A TRAINED "CONDUCTOR" TO EMBRACE A PERSONALIZED BEHAVIORAL HEALTH MODIFICATION STRATEGY THAT BECOMES THE FRAMEWORK FOR A LIFE CHANGING EXPERIENCE. UPON GRADUATION, EACH PARTICIPANT RECEIVES A HEALTH CONDUCTOR NUMBER THAT DESIGNATES THEIR "PASSAGE" INTO AN EMPOWERED LIFE OF INDIVIDUAL AND COMMUNITY HEALTH ADVOCACY. OVER 900 BAY AREA RESIDENTS ARE HEALTH CONDUCTORS. YOUTH CONDUCTORS 2014 BASED UPON THE HEALTH CONDUCTOR MODEL, THE NEW CURRICULUM WILL FOCUS ON ENGAGING A YOUNG GENERATION, 18-30 YEARS OLD, IN A BEHAVIORAL HEALTH MODIFICATION WITH A HEALTH INTERVENTION AND COMMUNITY LEADERSHIP

4b (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 628,500

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A . . . . .	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . . .		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III . . . . .		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I . . . . .		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . .		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . . .		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI . . . . .	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . .		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII . . . . .		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX . . . . .		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X . . . . .	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X . . . . .		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII . . . . .	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional . . . . .		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .		X
14a Did the organization maintain an office, employees, or agents outside of the United States? . . . . .		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . .		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV . . . . .		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV . . . . .		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . . .		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .		X

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, and Yes/No checkboxes. Rows include questions 20a through 38 regarding hospital facilities, financial statements, grants, compensation, tax-exempt bonds, excess benefit transactions, and other organizational activities.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for line numbers (1a-14b), descriptions of questions, and Yes/No response boxes. Includes questions about Form 1096, Form W-2G, backup withholding, Form W-3, unrelated business gross income, foreign accounts, prohibited tax shelter transactions, and Form 8886-T.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 4 columns: Question, Line Number, Yes, No. Rows include questions about voting members, family relationships, governance decisions, and documentation.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 4 columns: Question, Line Number, Yes, No. Rows include questions about local chapters, conflict of interest policies, whistleblower policies, and compensation processes.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed CA
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: BAY AREA BLACK UNITED FUN INC (510)763-7270, 1212 BROADWAY STREET STE 640, CA 94612

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) LJ JENNINGS BOARD CHAIRMAN	2.00	X					0	0	0	
(2) KEITH M SPEARS CO-CHAIRMAN	2.00	X					0	0	0	
(3) TAMARA L MOORE SECRETARY	2.00	X					0	0	0	
(4) DONNA M HANKINS TREASURER	2.00	X					0	0	0	
(5) ALYS C HERRING DIRECTOR	2.00	X					0	0	0	
(6) ROBERT PHILLIPS SR DIRECTOR	2.00	X					0	0	0	
(7) BERNIDA M REAGAN JR. DIRECTOR	2.00	X					0	0	0	
(8) JULIUS ROBINSON DIRECTOR	2.00	X			X		0	0	0	
(9) ELLEY MATTHEWS DIRECTOR	2.00	X					0	0	0	
(10) DUANE T POE CEO	40.00			X			150,000	0	0	
(11)										
(12)										
(13)										
(14)										



**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) -----										
(16) -----										
(17) -----										
(18) -----										
(19) -----										
(20) -----										
(21) -----										
(22) -----										
(23) -----										
(24) -----										
(25) -----										
<b>1b</b> Sub-total										
<b>c</b> Total from continuation sheets to Part VII, Section A										
<b>d</b> Total (add lines 1b and 1c)							150,000	0	0	

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1**

	Yes	No
<b>3</b> Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . . .	1a	44,449			
	b	Membership dues . . . . .	1b				
	c	Fundraising events . . . . .	1c				
	d	Related organizations . . . . .	1d				
	e	Government grants (contributions) . . . . .	1e	675,797			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	43,803			
	g	Noncash contributions included in lines 1a-1f: \$					
	h	<b>Total.</b> Add lines 1a-1f . . . . . ▶		764,049			
	Program Service Revenue	2a	_____	Business Code			
b		_____					
c		_____					
d		_____					
e		_____					
f		All other program service revenue . . . . .					
g		<b>Total.</b> Add lines 2a-2f . . . . . ▶					
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . . ▶		104	104		
	4	Income from investment of tax-exempt bond proceeds . . . . . ▶					
	5	Royalties . . . . . ▶					
	6a	Gross rents . . . . .	(i) Real	(ii) Personal			
			b	Less: rental expenses . . . . .			
			c	Rental income or (loss) . . . . .			
			d	Net rental income or (loss) . . . . . ▶			
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
			b	Less: cost or other basis and sales expenses . . . . .			
			c	Gain or (loss) . . . . .			
			d	Net gain or (loss) . . . . . ▶			
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 . . . . . a					
			b	Less: direct expenses . . . . . b			
			c	Net income or (loss) from fundraising events . . . . . ▶			
	9a	Gross income from gaming activities. See Part IV, line 19 . . . . . a					
			b	Less: direct expenses . . . . . b			
			c	Net income or (loss) from gaming activities . . . . . ▶			
10a	Gross sales of inventory, less returns and allowances . . . . . a						
		b	Less: cost of goods sold . . . . . b				
		c	Net income or (loss) from sales of inventory . . . . . ▶				
Miscellaneous Revenue		Business Code					
11a	_____						
b	_____						
c	_____						
d	All other revenue . . . . .						
e	<b>Total.</b> Add lines 11a-11d . . . . . ▶						
12	<b>Total revenue.</b> See instructions . . . . . ▶		764,153	104	0	0	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .				
2 Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 . . . . .				
4 Benefits paid to or for members . . . . .				
5 Compensation of current officers, directors, trustees, and key employees . . . . .	150,000	150,000		
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .				
7 Other salaries and wages . . . . .	194,611	142,919	51,692	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .				
9 Other employee benefits . . . . .	45,969	39,074	6,895	
10 Payroll taxes . . . . .	25,911	22,024	3,887	
11 Fees for services (non-employees):				
a Management . . . . .				
b Legal . . . . .				
c Accounting . . . . .	13,100	11,135	1,965	
d Lobbying . . . . .				
e Professional fundraising services. See Part IV, line 17 . . . . .				
f Investment management fees. . . . .				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .				
12 Advertising and promotion . . . . .				
13 Office expenses . . . . .	5,123	4,096	1,027	
14 Information technology . . . . .				
15 Royalties . . . . .				
16 Occupancy . . . . .	39,238	33,352	5,886	
17 Travel . . . . .	14,977	12,731	2,246	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .				
19 Conferences, conventions, and meetings . . . . .	1,713	1,456	257	
20 Interest . . . . .	13,770	11,714	2,056	
21 Payments to affiliates . . . . .				
22 Depreciation, depletion, and amortization . . . . .	2,215	1,881	334	
23 Insurance . . . . .	8,756	6,638	2,118	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>CONSULTANTS</u> . . . . .	185,042	157,286	27,756	
b <u>BOARD DEVELOPMENT AND STUDY</u> . . . . .	4,187	3,559	628	
c <u>TELEPHONE</u> . . . . .	8,726	7,417	1,309	
d <u>REPAIRS AND MAINTENANCE</u> . . . . .	5,455	4,637	819	
e All other expenses . . . . .	22,008	18,581	3,427	
25 Total functional expenses. Add lines 1 through 24e . . . . .	740,801	628,500	112,301	0
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A)		(B)		
		Beginning of year		End of year		
Assets	1	Cash - non-interest-bearing	39,409	1	39,752	
	2	Savings and temporary cash investments		2		
	3	Pledges and grants receivable, net	75,989	3	114,935	
	4	Accounts receivable, net	26,655	4	46,849	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5		
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6		
	7	Notes and loans receivable, net		7		
	8	Inventories for sale or use		8		
	9	Prepaid expenses and deferred charges		9		
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	69,247		
	b	Less: accumulated depreciation	10b	58,299	10c	10,948
	11	Investments - publicly traded securities		11		
	12	Investments - other securities. See Part IV, line 11		12		
	13	Investments - program-related. See Part IV, line 11		13		
	14	Intangible assets		14		
	15	Other assets. See Part IV, line 11		15		
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)	148,475	16	212,484		
Liabilities	17	Accounts payable and accrued expenses	54,986	17	70,593	
	18	Grants payable		18		
	19	Deferred revenue		19		
	20	Tax-exempt bond liabilities		20		
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21		
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22		
	23	Secured mortgages and notes payable to unrelated third parties		23		
	24	Unsecured notes and loans payable to unrelated third parties	115,035	24	121,111	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	86,527	25	105,501	
	26	<b>Total liabilities.</b> Add lines 17 through 25	256,548	26	297,205	
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets	(108,073)	27	(292,606)	
	28	Temporarily restricted net assets		28	207,885	
	29	Permanently restricted net assets		29		
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds		30		
	31	Paid-in or capital surplus, or land, building, or equipment fund		31		
	32	Retained earnings, endowment, accumulated income, or other funds		32		
33	<b>Total net assets or fund balances</b>	(108,073)	33	(84,721)		
34	<b>Total liabilities and net assets/fund balances</b>	148,475	34	212,484		

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	764,153
2	Total expenses (must equal Part IX, column (A), line 25)	2	740,801
3	Revenue less expenses. Subtract line 2 from line 1	3	23,352
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	(108,073)
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	(84,721)

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

1 Accounting method used to prepare the Form 990:  Cash  Accrual  Other

If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?

If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:

Separate basis  Consolidated basis  Both consolidated and separate basis

b Were the organization's financial statements audited by an independent accountant?

If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:

Separate basis  Consolidated basis  Both consolidated and separate basis

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Public Charity Status and Public Support**

OMB No. 1545-0047

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

**2015**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

Open to Public Inspection

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

**BAY AREA BLACK UNITED FUND, INC.**

Employer identification number

**94-2502958**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations: \_\_\_\_\_
  - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2015

EEA

**Part II** Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	487,415	299,916	342,943	629,153	764,049	2,523,476
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	487,415	299,916	342,943	629,153	764,049	2,523,476
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						2,523,476

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4	487,415	299,916	342,943	629,153	764,049	2,523,476
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	369	170	48	22	104	713
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 Total support. Add lines 7 through 10						2,524,189
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))	14	99.97 %
15 Public support percentage from 2014 Schedule A, Part II, line 14	15	100.00 %
16a 33 1/3% support test - 2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input checked="" type="checkbox"/>	
b 33 1/3% support test - 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
17a 10%-facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
b 10%-facts-and-circumstances test - 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>	

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . .						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						
6 Total. Add lines 1 through 5 . . . . .						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .						
c Add lines 7a and 7b . . . . .						
8 Public support. (Subtract line 7c from line 6.) . . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9 Amounts from line 6 . . . . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .						
c Add lines 10a and 10b . . . . .						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) . . . . .						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here . . . . . ▶

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) . . . . .	15	%
16 Public support percentage from 2014 Schedule A, Part III, line 15 . . . . .	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) . . . . .	17	%
18 Investment income percentage from 2014 Schedule A, Part III, line 17 . . . . .	18	%

19a 33 1/3% support tests - 2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization . . . . . ▶

b 33 1/3% support tests - 2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization . . . . . ▶

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . . ▶



**Part IV Supporting Organizations**

(Complete only if you checked a box in line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A: All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

**Part IV Supporting Organizations (continued)**

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

**Section B. Type I Supporting Organizations**

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

**Section C. Type II Supporting Organizations**

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

**Section D. All Type III Supporting Organizations**

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

**Section E. Type III Functionally-Integrated Supporting Organizations**

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		

**Part V. Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

7  Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2015:			
a			
b			
c			
d From 2013			
e From 2014			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2015 from Section D, line 7:			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 Excess distributions carryover to 2016. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b			
c Excess from 2013			
d Excess from 2014			
e Excess from 2015			

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

Handwritten area with horizontal lines for supplemental information.

**SCHEDULE D  
(Form 990)**

**Supplemental Financial Statements**

OMB No. 1545-0047

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

**2015**

▶ Attach to Form 990.

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization <b>BAY AREA BLACK UNITED FUND, INC.</b>	Employer identification number <b>94-2602958</b>
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**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year . . . . .		
2 Aggregate value of contributions to (during year) . . . . .		
3 Aggregate value of grants from (during year) . . . . .		
4 Aggregate value at end of year . . . . .		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		

**Part II Conservation Easements.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements . . . . .	2a
b Total acreage restricted by conservation easements . . . . .	2b
c Number of conservation easements on a certified historic structure included in (a) . . . . .	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register . . . . .	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . .  Yes  No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . .  Yes  No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 . . . . .	▶ \$ _____
(ii) Assets included in Form 990, Part X . . . . .	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 . . . . .	▶ \$ _____
b Assets included in Form 990, Part X . . . . .	▶ \$ _____

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment  \_\_\_\_\_ %
  - b Permanent endowment  \_\_\_\_\_ %
  - c Temporarily restricted endowment  \_\_\_\_\_ %
- The percentages in lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |   | Yes    | No |
|---|--------|----|
| (i) unrelated organizations   | 3a(i)  |    |
| (ii) related organizations  | 3a(ii) |    |
| b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? | 3b     |    |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment	69,247		58,299	10,948
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				10,948

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) AGENCY TRANSACTION PAYABLE	98,392
(3) FISCAL AGENCY PAYABLE	7,109
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)	
	105,501

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII



Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total revenue reported as 764,153.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total expenses reported as 740,801.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Series of horizontal lines provided for entering supplemental information.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

\* Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service  
Name of the organization

Employer identification number

**BAY AREA BLACK UNITED FUND, INC.**

**94-2602958**

**01. Form 990 governing body review (Part VI, line 11)**

The organization's treasurer receives a preliminary copy of the 990 from the finance manager. The treasurer who is also a member of the finance committee reviews the draft with the rest of the finance committee. All changes or edits are given back to the finance manager who makes any changes and gives back to the treasurer for presentation to the full board. After presentation to the board the treasurer gives back the approved copy back to the finance manager who gives to the Executive Director for signature

**02. Conflict of interest policy compliance (Part VI, line 12c)**

monitoring consists of execution of annual written disclosures to each Board member

**03. CEO, executive director, top management comp (Part VI, line 15a)**

Independent outside search firm used in the initial hiring of the executive director in addition to the human resources committee performing an analysis of salaries of comparable organizations in the geographic areas

**04. Governing documents, etc, available to public (Part VI, line 19)**

Documents are available to the public upon written request

**05. List of other expenses (Part IX, line 24e)**

**PROGRAM-**

PAYROLL PROCESSING FEES \$ 1,892

OTHER TAXES \$ 404

BANK CHARGES \$ 556

Name of the organization

Employer identification number

BAY AREA BLACK UNITED FUND, INC.

94-2602958

STIPENDS \$ 918

DUES AND SUBSCRIPTIONS \$ 480

MEALS AND ENTERTAINMENT \$ 5,645

POSTAGE AND DELIVERY \$ 1,282

PRINTING \$ 5,695

INTERNET AND COMPUTER \$ 1,089

MISCELLANEOUS \$ 178

WEB SITE DEVELOPMENT \$ 135

REFUNDS \$ 307

MANAGEMENT AND GENERAL -

PAYROLL PROCESSING FEES \$ 334

OTHER TAXES \$ 71

BANK CHARGES \$ 98

STIPENDS \$ 162

DUES AND SUBSCRIPTIONS \$ 85

PRINTING \$ 1,005

MEALS AND ENTERTAINMENT \$ 996

POSTAGE AND DELIVERY \$ 226

REFUNDS \$ 54

INTERNET AND COMPUTER \$ 192

MISCELLANEOUS \$ 180

WEB SITE DEVELOPMENT \$ 24

Form **8868**

(Rev. January 2014)

Department of the Treasury  
Internal Revenue Service

### Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

▶ Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- \* If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
  - \* If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions. <b>BAY AREA BLACK UNITED FUND, INC.</b>	Employer identification number (EIN) or <b>94-2602958</b>
	Number, street, and room or suite no. if a P.O. box, see instructions. <b>1212 BROADWAY STREET STE 640</b>	Social security number (SSN)
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>OAKLAND, CA 94612</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)  0  1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

\* The books are in the care of ▶ **DUANE T. POE, 1212 BROADWAY STREET STE. 810, OAKLAND, CA 94621**

Telephone No. ▶ **510-763-7270**

FAX No. ▶

\* If the organization does not have an office or place of business in the United States, check this box

\* If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . . . . . If this is for the whole group, check this box  . . . . . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08-15**, 20 **16**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶  calendar year **2015** or

▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2014)

EEA

Name(s) as shown on return

FEIN

BAY AREA BLACK UNITED FUND, INC.

94-2602958

OTHER EXPENSES

Description	Amount
PAYROLL PROCESSING FEES	\$ 1,892
OTHER TAXES	404
BANK CHARGES	556
STIPENDS	918
DUES AND SUSCRIPTIONS	480
MEALS AND ENTERTAINMENT	5,645
POSTAGE AND DELIVERY	1,282
PRINTING	5,695
INTERNET AND COMPUTER	1,089
REFUNDS	307
WEB SITE DEVELOPMENT	135
MISCELLANEOUS	178
Total:	\$ 18,581

OTHER EXPENSES

Description	Amount
PAYROLL PROCESSING FEES	\$ 334
OTHER TAXES	71
BANK CHARGES	98
STIPENDS	162
DUES AND SUBSCRIPTIONS	85
PRINTING	1,005
MEALS AND ENTERTAINMENT	996
POSTAGE AND DELIVERY	226
INTERNET AND COMPUTER EXPENSES	192
REFUNDS	54
WEB SITE DEVELOPMENT	24
MISCELLANEOUS	180
Total:	\$ 3,427

**Providing Youth and Senior Development**

**Boys and Girls Club Oakland**  
We provide a place to learn and grow, life-changing programs, character development opportunities, sports and computer literacy for over 5000 Oakland girls and boys each year.  
Phone: 510-434-8400  
www.bgyoakland.org  
Charity Code: 145

**Bay Area Women's Point of View**  
We provide a place to learn and grow, life-changing programs, character development opportunities, sports and computer literacy for over 5000 Oakland girls and boys each year.  
Phone: 415-822-7114  
www.bayareawomen.org  
Charity Code: 139

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Charity Code: 139

**2016 Local Workplace Campaign**

**Mission** - To advance and invest in policies, practices, partnerships and organizations supporting the Black Community

**Vision** - BABUF is a recognized leader in an empowered, healthy and thriving Black community.

**Values** - BABUF pursues its vision and lives out its mission by:

- Committing to the COMMUNITY
- Pursuing social EQUITY
- Striving for EXCELLENCE
- Displaying bold, visionary LEADERSHIP
- Building strong, strategic PARTNERSHIPS
- Engaging in authentic respectful SERVICE
- Demonstrating integrity that instills TRUST

The Bay Area Black United Fund has been supporting African Americans and other communities of color through employee donations since 1979. Through the contributions of workplace campaigns, BABUF invests in programs that directly and indirectly improve the health and well-being of the Bay Area Black Community. By raising money for community-based organizations, BABUF reduces the threat of hardship for these organizations due to financial challenges.

Supporting the health & wellness of the Bay Area Black community positively uplifts the health of all communities.

BABUF recognizes that dreams without resources remain only dreams. Whatever you give \$5, \$10, \$15 or more, you are the link that creates change. We hope that you will partner with us to make a healthier and more productive community a reality by supporting BABUF and its 45 member agencies.

WE ARE BLACK IN THE BAY!  
Black in the Bay Since 1979

Overhead Cost 14%


BAY AREA BLACK UNITED FUND

**BABUF**

BLACK IN THE BAY SINCE 1979

**BABUF IS BLACK HEALTH**

2016



1212 BROADWAY, STE. 640 • OAKLAND, CA 94612  
(510) 763-7270 • (510) 763-3625 fax  
WWW.BABUF.ORG

ATTACHMENT D

**Building Strong Communities**

**Healthy Black Men Initiative**  
Support the growth and development of healthy black families by providing community education, empowerment, capacity building and tools for self-advocacy and empowerment to achieve equality.  
Phone: 510-431-6489  
Charity Code: 259

**California Resources and Training**  
California Resources and Training (CARAT) provides capacity building training in small and minority owned businesses to assist in using technology solutions and in creating contracts.  
Phone: 510-431-6445  
www.carat.org  
Charity Code: 258

We provide emergency shelter, food, clothing and job training to over 2,300 a year homeless men, women, and children. Help them move around and regain independence.  
Phone: 510-978-5664  
www.african.org  
Charity Code: 242

**Supporting Families and Children**

**Ephesian Children's Center**  
Provides publicly subsidized preschool and school-age services to children and families in Northern Alameda County.  
Phone: 510-618-7183  
ephesiancenter@aol.com  
Charity Code: 305

**Hope for the Heart**  
Hope for the Heart provided 15 million lbs of portable and nonportable food to over one hundred non-profit organizations in Alameda County from Jan. 2014 - Dec. 31, 2014.  
Phone: 510-504-2011  
www.hopefortheheart.org  
Charity Code: 277

**The Health and Human Resource Center**  
Creates healthy communities through intergenerational and innovative approaches to wellness programs and services.  
Phone: 510-834-1990  
www.hhrc.org  
Charity Code: 255

**Bay Area Black United Fund**  
To advance and invest in policies, practices, partnerships and organizations supporting the Black Community.  
Phone: 510-769-7290  
www.babuf.org  
Charity Code: 100

**Richmond Area United Fund**  
Richmond Area United Fund is a community-based nonprofit organization dedicated to revitalizing historic downtown with products, services, arts and entertainment that reflect the community's rich diversity.  
Phone: 510-336-4049  
www.richmondareaunitedfund.org  
Charity Code: 149

**Outdoor Afro**  
Oakland, CA based Outdoor Afro is the nation's leading, cutting edge network that connects and inspires African American connections and leadership in nature.  
Phone: 510-913-5100  
www.outdoorafro.org  
Charity Code: 306

**Regional leaders who are motivated to ending domestic violence for all survivors through provision of a multi-cultural, multi-cultural shelter program and community based prevention collaboration.**  
Phone: 415-679-7100  
www.shovog.org  
Charity Code: 100

**Carl D. Meloy Center for Family Counseling**  
The Carl D. Meloy Center for Family Counseling, 3040 96th St., EN 94448-0665, provides no cost mental health services to at risk youth and their families residing in Alameda County.  
Phone: 510-978-2331  
www.cdmc.org  
Charity Code: 100

**PARCELA Family Violence Prevention Center**  
PARCELA provides quality and affordable child development services for infants, toddlers and preschoolers. It is a comprehensive family support agency and offers the Community Workforce program that provides participants with the skills needed to achieve and retain employment.  
Phone: 415-957-2101  
www.parcela.org  
Charity Code: 289

**Peacemakers Inc.**  
Peacemakers Inc. is a family violence prevention center that serves the potential population of children from Pre-School through High School.  
Phone: 510-810-2755  
www.peacemakers.org  
Charity Code: 307

**APED**  
APED provides health education, HIVSTD testing, support groups, primary medical care, medical case management, as well as provide support and assistance for HIV positive individuals.  
Phone: 510-667-7979  
www.aped.org  
Charity Code: 178

Providing free to low-cost medical care, family resource, 5K, nutrition education, and physical fitness instruction.  
Phone: 510-441-9300  
www.healthymoments.org  
Charity Code: 246

**Bay Area Business Development Solutions**  
BAEDS develops strategies and pathways that lead to sustainable economic development for underserved communities.  
Phone: 510-658-8300  
www.baeds.org  
Charity Code: 301

**Westside Community Health**  
With African American focused programming created by members of our community for our community, we deliver safe, healthy and local services to continually strengthen our local communities.  
Phone: 415-431-9000  
www.westside-health.org  
Charity Code: 755

**Wendell Training Institute for Leadership Development**  
Established in 1990, Wendell Institute for Leadership Development is the best generation of African American women leader to increase the number represented at local and national decision-making.  
Phone: 510-568-1500  
www.wendellinstitute.com  
Charity Code: 311

**Sharing Mutual Love**

**Big Brothers Big Sisters of the Bay Area**  
Big Brothers Big Sisters of the Bay Area has been providing Bay Area youth with professionally supported one-to-one mentoring relationships since 1981.  
Phone: 415-774-8400  
www.bbbbs.org  
Charity Code: 303

**Family Builders by Option**  
Family Builders creates permanent families for children in the foster care system, regardless of their child's age, race, gender or sexual orientation or condition.  
Phone: 510-324-0704  
www.familybuilders.org  
Charity Code: 168

**Super Stars Literacy Program**  
Super Stars Literacy provides immersive literacy instruction to underserved K-2nd grade students so they can achieve lasting, accelerated literacy growth.  
Phone: 510-777-8700  
www.superstarsliteracy.org  
Charity Code: 309

Your contribution stays right here in our community, addressing issues like homelessness, hunger management and mental health. ATRISIA provides services to over 2000 people throughout East Oakland.  
Phone: 510-544-2900  
www.alton-temple.org  
Charity Code: 244

To stop the spread of HIV/AIDS and eliminate health disparities in San Francisco's Black community, as well as other marginalized communities in the city.  
Phone: 415-619-9945  
www.africanhealth.org  
Charity Code: 144

**Center on Juvenile and Criminal Justice**  
The Center on Juvenile and Criminal Justice provides direct services, technical assistance, and policy analysis to address incarceration and promote a humane criminal justice system.  
Phone: 415-421-4161  
www.cjcj.org  
Charity Code: 100

**Empowerment in the Community**

**East Bay Performance Arts Center**  
Oakland Symphony makes classical music accessible to all members of our community through the Bay Area's largest and most diverse symphony orchestra with the goal of strengthening and celebrating cultural diversity.  
Phone: 510-444-0800  
www.eastbayperformance.org  
Charity Code: 144

**Building Opportunity for Homeless and Low Income Families**  
We truly live and work for homeless and low income families, youth and individuals through housing and support services in Berkeley, Oakland and Hayward.  
Phone: 510-649-1930  
www.self-sufficiency.org  
Charity Code: 201

**Harbor House Multiservices**  
Harbor House Multiservices offers after-school tutoring and enrichment, leadership development, food and clothing distribution, English as a Second Language classes, and economic development opportunities to underserved families in Oakland.  
Phone: 510-310-0765  
www.harborhouse.org  
Charity Code: 211

**Well-Being Center for the Elderly**  
Develop collaborative groups that will provide resources and support to 210 students with disabilities, access and completion.  
Phone: 510-237-1164  
www.wellbeingcenter.org  
Charity Code: 315

**DASH**  
DASH seeks to empower, support and sustain positive diabetes identities in youth, their families, family members and the general population of diverse communities.  
Phone: 510-984-9006  
www.dashcamp.org  
Charity Code: 287

**Our mission is to rebuild the community from within, reclaiming addicts and giving people who failed in the past the chance to succeed in the future.**  
Phone: 510-864-9999  
www.freshstart.org  
Charity Code: 177

**Black Men Speak**  
Our work has taken out groups to all over across the State of California as well as Florida, TN, Arizona and Florida, where we have facilitate workshops for Emotional Crisis (EMER), wellness, recovery and career planning.  
Phone: 510-913-2008  
Charity Code: 283

**Provides services to battered women and their children, enabling them to break the cycle of violence by providing a series of self-esteem and personal empowerment classes.**  
Phone: 510-815-8600  
www.safeliving.org  
Charity Code: 101

**Youth ALIVE! mission**  
Youth ALIVE! mission since 1991, to create young leaders and prevent violence through a combination of education, intervention and healing.  
Phone: 510-974-1984  
www.youthalive.org  
Charity Code: 282

**Colman Advocates for Children and Youth**  
Colman, both the leader, ally and power of low-income youth and students of color to advance racial and economic justice in our schools and communities.  
Phone: 415-309-0201  
www.colmanadvocates.org  
Charity Code: 311

**Well-Being Center for the Elderly**  
Develop collaborative groups that will provide resources and support to 210 students with disabilities, access and completion.  
Phone: 510-237-1164  
www.wellbeingcenter.org  
Charity Code: 315

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www.freshstart.org  
Charity Code: 177

February 27, 2017

Ms. Angela Calvillo  
Clerk of the Board  
Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102

Subject: 2017 Annual Joint Fundraising Drive

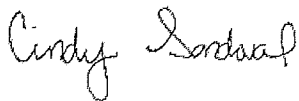
Dear Ms. Calvillo,

Enclosed you will find the following items in order to qualify for the City/County of San Francisco Annual Joint Fundraising Drive:

- Most recent Audited financial statement
- Current agency membership list for the 2017 campaign year
- Copy of the 501(c) 3 IRS determination letter

If you should require any further information, please do not hesitate to contact me.

Sincerely,



Cindy Sandoval  
Manager, Customer Solutions  
916-246-0749

Enclosures


RECEIVED  
BOARD OF SUPERVISORS  
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COMMUNITY HEALTH CHARITIES MEMBER CHARITIES 2017
**Alisa Ann Ruch Burn Foundation, California, San Francisco
**ALS Association, Golden West Chapter, California, San Francisco
**Alzheimer's Association, Northern California & Northern Nevada Chapter, Lafayette
**Alzheimer's Association, Northern California & Northern Nevada Chapter, San Rafael
**American Diabetes Association, California, Oakland
**American Diabetes Association, California, San Jose
**American Liver Foundation, Northern California Division
**American Lung Association in California, Oakland
**Arthritis Foundation, Great West Region, California, San Francisco
**Crohn's & Colitis Foundation of America, Northern California Chapter
**Cystic Fibrosis Foundation, Northern California Chapter
**Cystic Fibrosis Research, Inc.
**Easter Seals Bay Area
**Epilepsy Foundation of Northern California
**Lazarex Cancer Foundation
**Leukemia & Lymphoma Society, Greater Bay Area Chapter, California
**March of Dimes Foundation, Bay Area Division, California
**March of Dimes Foundation, South Bay Division, California
**Mission Hospice of San Mateo County (Mission Hospice & Home Care)
**Muscular Dystrophy Association, San Francisco CA
**National Kidney Foundation Serving Northern California/Pacific Northwest
**National Multiple Sclerosis Society
**Planned Parenthood Mar Monte, Inc., San Jose
**St. Jude Children's Research Hospital (serving Northern California)
**Susan G. Komen, California, San Francisco Bay Area
**The Parkinson's Institute
American Heart Association, Western States Affiliate, California, Sacramento
Angel Flight West, Santa Monica
Autism Speaks, Los Angeles
California Hospice Foundation, Sacramento
Hospice Giving Foundation, Monterey
Huntington's Disease Society of America, Northern California Chapter
JDRF International, Northern California Inland Chapter
NAMI (National Alliance on Mental Illness), Orange County, California
New Horizons Serving Individuals with Special Needs, North Hills
Ronald McDonald House Charities of Southern California, Loma Linda
Sickle Cell Association of America
The Painted Turtle, Santa Monica
United Cerebral Palsy of Los Angeles & Ventura Counties, California
**Located in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin. 25 out of 39 = 64%

CINCINNATI OH 45999-0038

In reply refer to: 0248254921  
Dec. 19, 2016 LTR 4167C 0  
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00018992  
BODC: TE

  
COMMUNITY HEALTH CHARITIES  
PARENT ORGANIZATION  
% MOLLY GRAVHOLT  
1199 N FAIRFAX ST STE 600  
ALEXANDRIA VA 22314

22968

Employer identification number: 13-6167225  
Group exemption number: 3071

Dear Taxpayer:

This is in response to your request dated Dec. 08, 2016, for information about your tax-exempt status.

Our records indicate we issued a determination letter to you in August 1985, and you're currently exempt under Internal Revenue Code (IRC) Section 501(c)(3).

We also recognized the subordinates on the list you submitted as exempt from federal income tax under IRC Section 501(c)(3).

For federal income tax purposes, donors can deduct contributions they make to you as provided in IRC Section 170. You're also qualified to receive tax deductible bequests, legacies, devises, transfers, or gifts under IRC Sections 2055, 2106 and 2522.

Because IRC Section 170(c) describes your subordinate organizations, donors can deduct contributions they make to them.

Please refer to [www.irs.gov/charities](http://www.irs.gov/charities) for information about filing requirements. Specifically, IRC Section 6033(j) provides that, if you don't file a required return or notice for three consecutive years, your exempt status will be automatically revoked on the filing due date of the third required return or notice.

In addition, each subordinate organization is subject to automatic revocation if it doesn't file a required return or notice for three consecutive years. Subordinate organizations can file required returns or notices individually or as part of a group return.

For tax forms, instructions, and publications, visit [www.irs.gov](http://www.irs.gov) or call 1-800-TAX-FORM (1-800-829-3676).

If you have questions, call 1-877-829-5500 between 8 a.m. and 5 p.m., local time, Monday through Friday (Alaska and Hawaii follow Pacific Time).

0248254921  
Dec. 19, 2016 LTR 4167C 0  
13-6167225 000000 00  
00018993

COMMUNITY HEALTH CHARITIES  
PARENT ORGANIZATION  
% MOLLY GRAVHOLT  
1199 N FAIRFAX ST STE 600  
ALEXANDRIA VA 22314

Sincerely yours,



Kim A. Billups, Operations Manager  
Accounts Management Operations 1



**COMMUNITY HEALTH CHARITIES**

**FINANCIAL STATEMENTS AND  
SUPPLEMENTARY INFORMATION**

*As of and for the Years Ended June 30, 2015  
and 2014*

*And Report of Independent Auditor*

**COMMUNITY HEALTH CHARITIES**  
**TABLE OF CONTENTS**

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**REPORT OF INDEPENDENT AUDITOR ..... 1-2**

**FINANCIAL STATEMENTS**

Statements of Financial Position ..... 3  
Statements of Activities and Changes in Net Assets..... 4  
Statements of Cash Flows ..... 5  
Notes to the Financial Statements ..... 6-13

**SUPPLEMENTARY INFORMATION**

Report of Independent Auditor on Supplementary Information ..... 14  
Schedule of Functional Expenses ..... 15



## Report of Independent Auditor

The Board of Directors  
Community Health Charities  
Alexandria, Virginia

### Report on the Financial Statements

We have audited the accompanying financial statements of Community Health Charities (the "Organization"), which comprise the statement of financial position as of June 30, 2015, and the related statements of activities and changes in net assets, and cash flows for the year then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

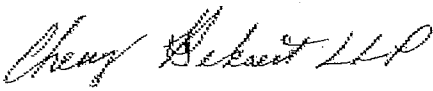
We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Organization as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

**Other Matter**

The financial statements of the Organization for the year ended June 30, 2014, were audited by another auditor who expressed an unmodified opinion on those statements on February 26, 2015.



Bethesda, Maryland  
October 28, 2016

**COMMUNITY HEALTH CHARITIES**  
**STATEMENTS OF FINANCIAL POSITION**

*JUNE 30, 2015 AND 2014*

	<u>2015</u>	<u>2014</u>
<b>ASSETS</b>		
Cash and cash equivalents	\$ 15,704,758	\$ 5,525,313
Investments	1,716,828	-
Due from state affiliates and other receivables	574,436	217,375
Pledges receivable, net of allowance for uncollectible pledges of \$7,785,572 (\$3,522,681 in 2014)	26,315,380	15,327,830
Prepaid expenses	92,605	39,650
Property and equipment, net of accumulated depreciation of \$393,668 (\$215,750 in 2014)	59,271	46,059
Deposits	23,505	-
<b>Total Assets</b>	<u>\$ 44,486,783</u>	<u>\$ 21,156,227</u>
<b>LIABILITIES AND NET ASSETS</b>		
Liabilities:		
Line of credit	\$ 301,891	\$ -
Accounts payable and accrued expenses	2,074,908	353,390
Campaign funds payable	32,800,424	17,953,346
<b>Total Liabilities</b>	<u>35,177,223</u>	<u>18,306,736</u>
Net Assets:		
Unrestricted	9,309,560	2,849,491
<b>Total Net Assets</b>	<u>9,309,560</u>	<u>2,849,491</u>
<b>Total Liabilities and Net Assets</b>	<u>\$ 44,486,783</u>	<u>\$ 21,156,227</u>



**COMMUNITY HEALTH CHARITIES**  
**STATEMENTS OF ACTIVITIES AND CHANGES IN NET ASSETS**

YEARS ENDED JUNE 30, 2015 AND 2014

	<u>2015</u>	<u>2014</u>
Amounts Raised in Campaigns:		
Combined Federal campaign	\$ 18,380,288	\$ 17,894,219
Private sector campaign	7,848,905	5,588,179
Total Amounts Raised in Campaigns	26,229,193	23,482,398
Less: shrinkage reserve	(4,187,170)	(3,327,320)
Less: amounts designated by donor to specific member agencies	(18,663,192)	(17,983,086)
Total public support designated to Community Health Charities	<u>3,378,831</u>	<u>2,171,992</u>
Other Public Support and Revenue:		
Affiliation fees	408,291	631,069
Application fees	436,875	419,275
Contributions	286,390	108,739
Investment income	33,811	1,052
Other revenue	148,300	12,099
Total Public Support and Revenue	<u>4,692,498</u>	<u>3,344,226</u>
Expenses:		
Program services	<u>6,514,930</u>	<u>2,456,594</u>
Supporting Services:		
Management and general	1,325,050	588,331
Fundraising	333,286	212,021
Total Supporting Services	<u>1,658,336</u>	<u>800,352</u>
Total Expenses	<u>8,173,266</u>	<u>3,256,946</u>
Change in net assets before changes related to acquisition of local affiliates	(3,480,768)	87,280
Excess of assets over liabilities acquired in acquisition of local affiliates	<u>9,940,837</u>	-
Change in net assets	6,460,069	87,280
Net assets, beginning of year	<u>2,849,491</u>	<u>2,762,211</u>
Net assets, end of year	<u>\$ 9,309,560</u>	<u>\$ 2,849,491</u>

The accompanying notes to the financial statements are an integral part of these statements.

**COMMUNITY HEALTH CHARITIES**  
**STATEMENTS OF CASH FLOWS**

YEARS ENDED JUNE 30, 2015 AND 2014

	<u>2015</u>	<u>2014</u>
<b>Cash flows from operating activities:</b>		
Change in net assets	\$ 6,460,069	\$ 87,280
Adjustments to reconcile changes in net assets to net cash flows from operating activities:		
Excess of assets over liabilities acquired in acquisition of local affiliates	(9,940,837)	-
Depreciation	43,912	26,970
Allowance for uncollectible pledges	(1,220,214)	(529,749)
Decrease (increase) in operating assets:		
Due from state affiliates and other receivables	(357,061)	165,666
Pledges receivable	12,950,474	3,267,862
Prepaid expenses	2,309	(1,148)
Deposits	8,941	-
Increase (decrease) in operating liabilities:		
Accounts payable and accrued expenses	1,159,728	133,937
Campaign funds payable	(10,123,558)	(4,342,905)
Net cash flows from operating activities	<u>(1,016,237)</u>	<u>(1,192,087)</u>
<b>Cash flows from investing activities:</b>		
Purchases of property and equipment	(20,662)	(9,721)
Redemption of certificates of deposit	-	510,465
Purchases of investments	(1,716,828)	(1,173)
Cash acquired in acquisition of affiliates	12,850,970	-
Net cash flows from investing activities	<u>11,113,480</u>	<u>499,571</u>
<b>Cash flows from financing activities:</b>		
Borrowings under line of credit	301,891	-
Repayments on lines of credit	(219,689)	-
Net cash flows from financing activities	<u>82,202</u>	<u>-</u>
Net increase (decrease) in cash and cash equivalents	10,179,445	(692,516)
Cash and cash equivalents, beginning of year	<u>5,525,313</u>	<u>6,217,829</u>
Cash and cash equivalents, end of year	<u>\$ 15,704,758</u>	<u>\$ 5,525,313</u>

The accompanying notes to the financial statements are an integral part of these statements.

# COMMUNITY HEALTH CHARITIES

## NOTES TO THE FINANCIAL STATEMENTS

JUNE 30, 2015 AND 2014

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### Note 1—Summary of significant accounting policies

*Nature of Operations* – Community Health Charities (the “Organization”), through its participation in the Combined Federal Campaign and certain corporate campaigns, serves as a vehicle through which Federal (domestic and overseas) employees and employees in the private sector may make contributions to the Organization’s member charities and their local chapters, which are not-for-profit charitable organizations performing medical research, providing community and patient services, and materials and programs for public and professional education in the health field. The Organization conducts business nationwide through a network of affiliated Community Health Charities’ local affiliates. Amounts raised in unaffiliated states are distributed directly to designated charitable organizations based on campaign designation reports. As described in Note 10 to the financial statements, the Organization acquired twenty-three of these local affiliates during the year ended June 30, 2015.

*Basis of Presentation* – The Organization’s financial statements have been prepared on the accrual basis of accounting in accordance with U.S. generally accepted accounting principles. The Organization presents information regarding its financial position and activities according to three classes of net assets described as follows:

*Unrestricted Net Assets* – All resources over which the governing board has discretionary control. The governing board of the Organization may elect to designate such resources for specific purposes. This designation may be removed at the board’s discretion.

*Temporarily Restricted Net Assets* – Resources accumulated through donations or grants for specific operating or capital purposes. Such resources will become unrestricted when the requirements of the donor or grantee have been satisfied through expenditure for the specified purpose or program or through the passage of time.

*Permanently Restricted Net Assets* – Resources accumulated through donations or grants that are subject to a restriction. These net assets include the original value of the gift, plus any subsequent additions.

*Use of Estimates* – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates. Significant estimates include allowances for uncollectible pledges and shrinkage.

*Cash and Cash Equivalents* – Cash and cash equivalents consist of operating, payroll, and money market accounts and certificates of deposit with a maturity of three months or less. Included in cash is a Trust Account established to clearly separate national campaign funds processed for affiliates and designated charities from all other funds of the Organization. The funds in the Trust Account can only be distributed (i) to the designated charities, (ii) as to their respective allocations of shared revenue, to the Affiliates, and (iii) as to its allocation of shared revenue, to the Organization. Except with respect to the portion of the Trust Account which is distributed to the Organization as its allocation of shared revenues, these funds do not belong to, and cannot be used by, the Organization.

**COMMUNITY HEALTH CHARITIES**  
**NOTES TO THE FINANCIAL STATEMENTS**

*JUNE 30, 2015 AND 2014*

**Note 1—Summary of significant accounting policies (continued)**

Total cash at June 30, 2015 and 2014 included in the statements of financial position includes the following:

	<u>2015</u>	<u>2014</u>
Cash and cash equivalents	\$ 13,081,427	\$ 1,372,383
Cash held for affiliates	2,623,331	4,152,930
Total cash	<u>\$ 15,704,758</u>	<u>\$ 5,525,313</u>

*Pledges Receivable* – Pledges are recorded in the financial statements upon receiving pledge information from the campaign. The Organization honors designations made to each member organization. As all pledges are expected to be collected within one year, they are recorded at their net realizable value, which approximates fair value. This is achieved by creating an allowance for estimated uncollectible pledges and for estimated campaign expenses (shrinkage).

*Due from State Affiliates* – Due from state affiliates consists of affiliation fee revenues earned and not yet received. The Organization holds an affiliation agreement with each state affiliate. A board approved affiliation fee is earned on pledge amounts raised in workplace giving campaigns by each state affiliate.

*Property and Equipment* – Furniture and equipment with unit values in excess of \$1,000 are recorded at cost. Depreciation is provided using the straight-line method over the estimated useful lives of the assets, which range from three to ten years. Cost and related accumulated depreciation are removed from the accounts when the assets are disposed of, with any gain or loss recognized currently. Repairs and maintenance are charged to expense when incurred.

*Campaign Funds Payable* – Campaign funds payable include funds not distributed as of year-end for donor pledges that are undesignated or designated to either the Organization or a participating affiliate or member charity. When pledges are received from campaigns, the cash is allocated using the ratio of donor designated funds combined with the pro rata share of undesignated funds to the total cash received.

*Distribution Policy* – The Policy of the Organization is to distribute, as of the Organization’s scheduled distribution dates, all of the receipts from all contributing campaigns, less an agreed upon fee, in accordance with gross designation reports provided by administrators of the various campaigns, to include the Combined Federal Campaign.

*Classifications of Net Assets* – The Organization’s net assets and activities that increase or decrease net assets are classified as unrestricted, temporarily restricted, or permanently restricted. As of June 30, 2015, unrestricted net assets were \$9,309,560 (\$2,849,491 as of June 30, 2014) and there were no permanently restricted or temporarily restricted net assets (none as of June 30, 2014).

*Revenue Recognition* – Amounts raised in campaigns primarily represent estimated pledges to be collected from the fall 2014 campaign that have been passed through to specific member charities based on donor designations for the year ended June 30, 2015 (2013 campaign for the year ended June 30, 2014). Administrative fees, included in public support designated to Community Health Charities, represent board approved costs of raising funds on behalf of others. These fees are recognized when the pledges are made. The campaign percentages vary based on individual agreements. Affiliation fees are based on audited results of amounts raised in workplace campaigns by affiliates using a board approved rate and are recognized annually.

# COMMUNITY HEALTH CHARITIES

## NOTES TO THE FINANCIAL STATEMENTS

JUNE 30, 2015 AND 2014

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### Note 1—Summary of significant accounting policies (continued)

The Organization, on behalf of and as agent for the affiliates, will make the appropriate distributions on funds generated from all national campaigns directly to the designated charities.

Although the funds are comingled in the Trust Account, each affiliate is entitled to an accounting of the amounts in the Trust Account allocated to the affiliate based upon the amounts as reported by the various vendors as having been designated to agencies for distribution to charities in the affiliate's area of responsibility. The Organization provides an accounting to each affiliate, no less than quarterly, of: (a) the amount of the Trust Account allocated to the affiliate as of the beginning of the reporting period; (b) additional amounts allocated to the affiliate during the reporting period; (c) the amounts distributed during the reporting period by the Organization for the Trust Account to designated charities on behalf of the affiliate; (d) all shared revenue distributed to the affiliate; and (e) the amount of the Trust Account allocated to the affiliate as of the end of the reporting period.

Affiliates must be in compliance with the current Affiliation Agreement and have executed an agreement to participate in the Trust Account in order to receive revenue credit and revenue share from national campaigns.

*Expenses* – Expenses are recognized by the Organization during the period in which they are incurred. Expenses which are paid in advance and not yet incurred are deferred to the applicable period.

Program services consist of costs associated with managing, maintaining, and increasing revenue sources for the Organization's affiliates and member charities from existing workplace fundraising campaigns; increasing overall recognition and representation of member agencies; and costs that benefit the overall campaign. Management and general expenses consist of costs directly related to the overall operations of the Organization and maintenance of its corporate existence, including general office management, reception, and financial reporting. Fundraising includes those costs associated with accessing new workplace fundraising campaigns.

*Functional Allocation of Expenses* – The costs of providing various program and supporting services have been summarized on a functional basis in the statement of activities and changes in net assets. Accordingly, certain costs have been allocated among the program and supporting services benefited.

*Income Taxes* – The Organization is exempt from federal and state income taxes under Section 501(c)(3) of the Internal Revenue Code ("IRC"). In addition, the Organization is classified by the Internal Revenue Service ("IRS") under Section 509(a)(1) as an organization that is not a private foundation and is required to report unrelated business income to the IRS and the State of Virginia taxing authorities. For the year ended June 30, 2015, there was no unrelated business income earned.

*Accounting for Uncertainty in Income Taxes* – The Organization accounts for the effect of any uncertain tax positions based on a "more likely than not" threshold to the recognition of the tax positions being sustained based on the technical merits of the position under scrutiny by the applicable taxing authority. If a tax position or positions are deemed to result in uncertainties of those positions, the unrecognized tax benefit is estimated based on a "cumulative probability assessment" that aggregates the estimated tax liability for all uncertain tax positions. The Organization has identified its tax status as a tax-exempt entity as its only significant tax position; however, the Organization has determined that such tax position does not result in an uncertainty requiring recognition. The Organization is not currently under examination by any taxing jurisdiction. The Organization's federal and state tax returns are generally open for examination for three years following the date filed.

*Subsequent Events* – The Organization has evaluated subsequent events through October 28, 2016, which is the date the financial statements were available to be issued.

**COMMUNITY HEALTH CHARITIES**  
**NOTES TO THE FINANCIAL STATEMENTS**

*JUNE 30, 2015 AND 2014*

**Note 2—Property and equipment**

Property and equipment consisted of the following as of June 30, 2015 and 2014:

	June 30,	
	2015	2014
Cost:		
Office/Computer equipment	\$ 318,241	\$ 95,460
Software	97,520	133,508
Furniture	8,800	19,963
Leasehold improvements	28,378	12,878
	<u>452,939</u>	<u>261,809</u>
Accumulated depreciation	393,668	215,750
Net property and equipment	<u>\$ 59,271</u>	<u>\$ 46,059</u>

Depreciation expense for the years ended June 30, 2015 and 2014 was \$43,912 and \$26,970, respectively.

**Note 3—Commitments**

The Organization had commitments under operating leases for its headquarters' office as well as leases still in effect for several of their acquired local affiliates. These leases have monthly rent payments totaling \$15,881, and expire at various dates through October 2018. The Organization also leases equipment under various operating leases. These leases have monthly rent payments totaling \$2,701, and expire at various dates through January 2019.

Subsequent to June 30, 2015, but prior to the issuance of these financial statements, the Organization entered into a new lease agreement for another facility and began subleasing their old facility. Under the new lease agreement, the monthly rent payment will be \$20,227 commencing November 2016 through October 2027, with scheduled increases each year. Under the sublease agreement for their old facility, the Organization will receive \$3,164 per month commencing January 2017 through October 2018, with an increase of 4% in the second year.

Future minimum rental payments, by fiscal year and in the aggregate, under the operating leases are as follows:

<u>Years Ending June 30,</u>	<u>Facilities</u>	<u>Equipment</u>	<u>Totals</u>
2016	\$ 193,438	\$ 32,407	\$ 225,845
2017	303,722	23,257	326,979
2018	347,521	2,651	350,172
2019	254,043	718	254,761
2020	261,026	-	261,026
Later Years	2,145,977	-	2,145,977
	<u>3,505,726</u>	<u>59,033</u>	<u>3,564,759</u>
Less: noncancellable subleases	(71,137)	-	(71,137)
	<u>\$ 3,434,589</u>	<u>\$ 59,033</u>	<u>\$ 3,493,622</u>

# COMMUNITY HEALTH CHARITIES

## NOTES TO THE FINANCIAL STATEMENTS

JUNE 30, 2015 AND 2014

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### Note 3—Commitments (continue)

Rent expense for the years ended June 30, 2015 and 2014 totaled \$296,977 and \$110,740, respectively.

### Note 4—Contingencies

During the year ended June 30, 2011, the Organization learned of possible malfeasance within a state affiliate. The board of that affiliate retained counsel as did the Organization. The investigation by outside authorities is still on-going as of June 30, 2015. The state affiliate is legally independent of the Organization, and based on the opinion of legal counsel, the Organization does not believe it will be held liable for any judgments levied.

### Note 5—Pension plan

The Organization has a defined contribution plan under Section 403(b) of the IRC covering all employees who have completed at least one year of service. Under the plan, the Organization made discretionary contributions to the plan equal to 6.5% of each eligible employee's salary. Contributions of \$155,042 and \$92,982 were made during the years ended June 30, 2015 and 2014, respectively.

### Note 6—Concentration of credit risk and financial instruments

Financial instruments which potentially subject the Organization to concentration of credit risk consist principally of short-term investments maintained at creditworthy financial institutions. These account balances, at times, exceed federally insured limits. The Organization has not experienced any losses in such accounts and believes it is not exposed to any significant credit risk on its cash and cash equivalents. Credit risk with respect to pledges receivable is limited because the Organization deals with a significant number of campaigns whose participants are spread over a wide geographical area.

For the years ended June 30, 2015 and 2014, approximately 16% and 17%, respectively, of the Organization's pledges, and approximately 19% and 19%, respectively, of the Organization's pledges receivable were from the District of Columbia.

### Note 7—Investments and fair value measurements

The Organization established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below.

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Organization has the ability to access.

Level 2 – Inputs to the valuation methodology include quoted prices for similar assets and liabilities in active markets; quoted prices for identical or similar assets and liabilities in inactive markets; inputs other than quoted market prices that are observable for the asset or liability inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified contractual term, the Level 2 input must be observable for substantially the full term of the asset or liability.

**COMMUNITY HEALTH CHARITIES**  
**NOTES TO THE FINANCIAL STATEMENTS**

JUNE 30, 2015 AND 2014

**Note 7—Investments and fair value measurements (continued)**

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement. The inputs into the determination of fair value require significant management judgment or estimation. At this time, the Organization does not hold any investments which would be included in this category.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The preceding methods described may produce a fair value calculation that may not be indicative of the net realizable value or reflective of future fair values. Furthermore, although management believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

	<u>Fair Value</u>	<u>Cost</u>
Corporate bonds	\$ 322,877	\$ 324,138
Mutual funds	674,231	663,732
Equities	199,302	187,495
Certificates of deposit	414,158	410,825
Money market funds	106,260	106,260
	<u>\$ 1,716,828</u>	<u>\$ 1,692,450</u>

The following table sets forth by level, within the fair value hierarchy, the Organization's investments at fair value as of June 30, 2015:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Corporate bonds	\$ -	\$ 322,877	\$ -
Mutual funds	674,231	-	-
Equities	199,302	-	-
Certificates of deposit	414,158	-	-
Money market funds	106,260	-	-
	<u>\$ 1,393,951</u>	<u>\$ 322,877</u>	<u>\$ -</u>

The following table summarizes investment returns as of June 30, 2015 and 2014:

	<u>2015</u>	<u>2014</u>
Interest and dividends	\$ 3,773	\$ 1,052
Realized gains	5,660	-
Unrealized gains	24,378	-
	<u>\$ 33,811</u>	<u>\$ 1,052</u>



**COMMUNITY HEALTH CHARITIES**  
**NOTES TO THE FINANCIAL STATEMENTS**

*JUNE 30, 2015 AND 2014*

**Note 8—Lines of credit**

The Organization had two lines of credit during the year ended June 30, 2015. The first allowed for borrowings of up to \$1,300,000 and had an outstanding balance of \$301,891 as of June 30, 2015. The line was secured by the Organization's investments, and expired in May 2016 after the Organization paid the entire outstanding balance.

The second line of credit allows for borrowings of up to \$500,000 and had no outstanding borrowings as of June 30, 2015. The line is unsecured, and expired in May 2016.

**Note 9—Supplemental cash flow information**

As described in Note 10, the Organization acquired twenty-three of its local affiliates during the year ended June 30, 2015. In conjunction with the acquisition, all assets and liabilities of the local affiliates were acquired, and a contribution was received as follows:

Fair value of assets acquired	\$ 35,692,954
Liabilities assumed	<u>(25,752,117)</u>
Contribution received in acquisition of local affiliates	<u>\$ 9,940,837</u>

**Note 10—Acquisition of local affiliates**

During fiscal year 2011 the Organization began the process of centralizing the accounting and finance functions of its local affiliate organizations through the creation of the National Service Center. The National Service Center standardized local accounting policies and processes.

Consistent with this change and with the goal of building greater efficiencies and increasing member charity support, the Board of Directors of the Organization voted on May 20, 2014 to proceed with acquisition negotiations with the local affiliate organizations. During the year ended June 30, 2015, the organization acquired twenty-three of its local affiliate organizations. As part of these acquisitions, the Organization assumed all assets and liabilities of the acquired local affiliate organizations, resulting in a one-time increase in net assets in the amount of \$9,940,837, which is shown as a separate line item on the statement of activities for the year ended June 30, 2015. All other local affiliates have entered into merger negotiations and their ultimate consolidation into the Organization is expected in future fiscal years.

Below is the list of local affiliates acquired:

<u>Name of Affiliate</u>	<u>Date of Acquisition</u>
Community Health Charities of Ohio, Inc.	September 2014
Community Health Charities of Utah, Inc.	September 2014
Community Health Charities of Oklahoma, Inc.	October 2014
Community Health Charities of Iowa, Inc.	October 2014
Community Health Charities of the Northeast, Inc.	October 2014
Community Health Charities of Washington State, Inc.	November 2014
Community Health Charities of the Southeast, Inc.	November 2014
Community Health Charities of the National Capital Area, Inc.	December 2014
Community Health Charities of Virginia, Inc.	November 2014
Community Health Charities of Wisconsin, Inc.	December 2014
Community Health Charities of Colorado, Inc.	December 2014

**COMMUNITY HEALTH CHARITIES**  
**NOTES TO THE FINANCIAL STATEMENTS**

*JUNE 30, 2015 AND 2014*

**Note 10—Acquisition of local affiliates (continued)**

<u>Name of Affiliate</u>	<u>Date of Acquisition</u>
Community Health Charities of Kentucky, Inc.	December 2014
Community Health Charities of Minnesota, Inc.	January 2015
Community Health Charities of North Carolina, Inc.	December 2014
Community Health Charities of Michigan, Inc.	February 2015
Community Health Charities of Illinois, Inc.	February 2015
Community Health Charities of Arizona, Inc.	February 2015
Community Health Charities of Texas, Inc.	April 2015
Community Health Charities of Maine, Inc.	June 2015
Community Health Charities of Maryland, Inc.	October 2014
Community Health Charities of South Carolina, Inc.	June 2015
Community Health Charities of New England, Inc.	March 2015
Community Health Charities of Florida, Inc.	June 2015

The Organization did not transfer any consideration to any of the local affiliates as part of the acquisition, and accordingly, no goodwill has been recognized. The Organization acquired the following assets and assumed the following liabilities of the local affiliates as part of the acquisition:

	<u>Amount Recognized</u>
Cash	\$ 12,850,970
Receivables	22,717,810
Other assets	124,172
Debt	(219,689)
Accounts payable	(561,792)
Campaign funds payable	<u>(24,970,634)</u>
Excess of assets over liabilities acquired in acquisition of local affiliates	<u>\$ 9,940,837</u>

Any unencumbered cash received through acquisition of the local affiliates was received without restriction. The Organization may, at its discretion, choose to make a final distribution of a portion of those assets which could include member charity grants. A three-person affiliate committee was tasked to work with the Organization one year post-consolidation to determine if such distributions will be made.

**SUPPLEMENTARY INFORMATION**



## Report of Independent Auditor on Supplementary Information

To the Board of Directors  
Community Health Charities  
Alexandria, Virginia

We have audited the financial statements of Community Health Charities (the "Organization") as of and for the year ended June 30, 2015, and our report thereon dated October 28, 2016, which expressed an unmodified opinion on those financial statements, appears on page 1. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The schedule of functional expenses for the year ended June 30, 2015 and comparative totals for 2014, which follow, are presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of the Organization's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying and other accounting records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

The financial statements of the Organization as of and for the year ended June 30, 2014 were audited by another auditor who expressed an unmodified opinion on those financial statements in their report dated February 26, 2015. The 2014 supplemental information is consistent, in all material respects, with the audited financial statements from which they have been derived.

A handwritten signature in cursive script that reads 'Cherry Bekaert LLP'.

Bethesda, Maryland  
October 28, 2016

**COMMUNITY HEALTH CHARITIES**  
**SCHEDULE OF FUNCTIONAL EXPENSES**

YEAR ENDED JUNE 30, 2015

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2014)

	Program Services	Management and General	Fundraising	Total 2015	Total 2014
Personnel Expenses:					
Salaries	\$ 3,858,374	\$ 757,731	\$ 179,287	4,795,392	\$ 1,761,026
Payroll taxes	278,118	54,619	12,923	345,660	130,671
Employee benefits	450,986	88,568	20,957	560,511	226,483
Total Personnel Expenses	<u>4,587,478</u>	<u>900,918</u>	<u>213,167</u>	<u>5,701,563</u>	<u>2,118,180</u>
Other Expenses:					
Service center fees	16,680	2,944	-	19,624	37,802
Professional fees	833,863	147,152	-	981,015	425,928
Temporary services	43,523	7,680	-	51,203	70,432
Training	6,055	1,068	-	7,123	6,460
Occupancy	222,733	47,516	26,728	296,977	101,497
Software	79,342	14,001	-	93,343	73,085
Furniture and equipment	91,443	17,958	4,249	113,650	38,254
Telephone and internet	95,853	18,824	4,454	119,132	54,543
Printing and postage	22,939	4,505	1,066	28,510	11,425
Supplies	124,678	24,485	5,794	154,957	26,466
Dues and fees	202,719	39,811	9,420	251,951	88,063
Insurance	28,286	5,555	1,314	35,156	11,320
Travel	67,435	65,451	65,451	198,337	77,844
Meetings	47,228	20,240	-	67,468	87,377
Advertising	9,345	-	-	9,345	1,300
Depreciation	35,332	6,939	1,642	43,912	26,970
Total Other Expenses	<u>1,927,452</u>	<u>424,132</u>	<u>120,119</u>	<u>2,471,703</u>	<u>1,138,766</u>
Total Expenses	<u>\$ 6,514,930</u>	<u>\$ 1,325,050</u>	<u>\$ 333,286</u>	<u>\$ 8,173,266</u>	<u>\$ 3,256,946</u>



870 Market Street, Suite 703, San Francisco, CA 94102  
T 800.368.1819 F 415.800.6592 earthshareca.org

February 28, 2017

Ms. Angela Calvillo  
Clerk of the Board of Supervisors  
City & County of San Francisco  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Ms. Calvillo,

EarthShare California hereby applies for inclusion in the 2017 Employee Joint Fundraising Drive.

EarthShare California is a charitable federation representing environmental and conservation nonprofits and meets the requirements for participation in the Annual Drive. Specifically:

- EarthShare California is a nonprofit federation representing more than ten IRS tax-exempt charitable organizations, of which half are located in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin. A list of our member nonprofits is enclosed, those in the Bay Area counties are indicated with an "x"
- EarthShare California was founded in 1982 and has been in existence with ten or more qualified charities since that time. A copy of our IRS 501c3 determination letter dated 2000 (referencing the original determination date of 1982) and a copy of our Letter of Incorporation in California dated 1982 are enclosed.
- Our most recent audited financial statement and IRS Form 990 are enclosed.

Since 1985 we have been a partner in the City & County of San Francisco Annual Employee Fund Drive, we look forward to participating in the 2017 charitable giving campaign.

Thank you for your consideration of our application. Any questions, please feel free to contact me.

Sincerely,

David Coyle

Associate Director, EarthShare California

dave@earthshareca.org

415-981-1999, x 305

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2017 MAR -1 PM 2:02  
BY

Internal Revenue Service

Department of the Treasury

P. O. Box 2508  
Cincinnati, OH 45201

Date: May 2, 2000

Person to Contact:  
Tonya Martin 31-03017  
Customer Service Representative

Environmental Federation of California  
Earth Share of California  
49 Powell St. 510  
San Francisco, CA 94102-2811

Toll Free Telephone Number:  
8:00 a.m. to 9:30 p.m. EST  
877-829-5500

Fax Number:  
513-263-3756  
Federal Identification Number:  
94-2840364

Dear Sir or Madam:

This letter is in response to your telephone call requesting a copy of your organization's determination letter. This letter will take the place of the copy you requested.

Our records indicate that a determination letter issued in November 1982 granting your organization exemption from federal income tax under section 501(c)(3) of the Internal Revenue Code. That letter is still in effect.

Based on information subsequently submitted, we classified your organization as one that is not a private foundation within the meaning of section 509(a) of the Code because it is an organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's sources of support, or its character, method of operations, or purposes have changed, please let us know so we can consider the effect of the change on the exempt status and foundation status of your organization.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

All exempt organizations (unless specifically excluded) are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more paid to each employee during a calendar year. Your organization is not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes.

Donors may deduct contributions to your organization as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

(over) →

TOTAL P.03

-2-

Environmental Federation of California  
94-2840364

Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on the Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

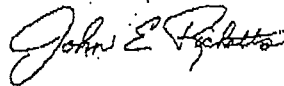
The law requires you to make your organization's annual return available for public inspection without charge for three years after the due date of the return. You are also required to make available for public inspection a copy of your organization's exemption application, any supporting documents and the exemption letter to any individual who requests such documents in person or in writing. You can charge only a reasonable fee for reproduction and actual postage costs for the copied materials. The law does not require you to provide copies of public inspection documents that are widely available, such as by posting them on the Internet (World Wide Web). You may be liable for a penalty of \$20 a day for each day you do not make these documents available for public inspection (up to a maximum of \$10,000 in the case of an annual return).

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the organization's permanent records.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

This letter affirms your organization's exempt status.

Sincerely,



John E. Ricketts  
Director, TE/GE CAS





October 28, 1982

In reply refer to  
342:R:jl:g

Environmental Federation of  
California  
Building E, Fort Mason Center  
San Francisco, CA 94123

Purpose : Charitable  
Form of Organization : Corporation  
Accounting Period Ending: December 31  
Organization Number : 1118060

On the basis of the information submitted and provided your present operations continue unchanged or conform to those proposed in your application, you are exempt from state franchise or income tax under Section 23701d, Revenue and Taxation Code. Any change in operation, character or purpose of the organization must be reported immediately to this office so that we may determine the effect on your exempt status. Any change of name or address also must be reported.

You are required to file Form 199 (Exempt Organization Annual Information Return) or Form 199B (Exempt Organization Annual Information Statement) on or before the 15th day of the 5th month (4 1/2 months) after the close of your accounting period. See annual instructions with forms for requirements.

You are not required to file state franchise or income tax returns unless you have income subject to the unrelated business income tax under Section 23731 of the Code. In this event, you are required to file Form 109 (Exempt Organization Business Income Tax Return) by the 15th day of the 5th month (4 1/2 months) after the close of your annual accounting period.

If the organization is incorporating, this approval will expire unless incorporation is completed with the Secretary of State within 60 days.

Exemption from federal income or other taxes and other state taxes requires separate applications.

This exemption is granted on the express condition that the organization will secure federal exempt status with the Internal Revenue Service. The organization is required to furnish a copy of the final determination letter to the Franchise Tax Board within 9 months from the date of this letter.

This exemption effective as of July 26, 1982.

J. Kudo, Supervisor  
Exempt Organizations  
Telephone (800) 852-7050

cc: Morrison, et al.  
Registrar of Charitable Tru<sup>1063</sup>



1118060

ARTICLES OF INCORPORATION

OF

ENVIRONMENTAL FEDERATION OF CALIFORNIA

ENDORSED  
FILED

In the office of the Secretary of State  
of the State of California

JUL 26 1982

MARCH FONG EU, Secretary of State

Phyllis E. Biaggi  
Deputy

I.

The name of this corporation is Environmental Federation of California.

II.

A. This corporation is a nonprofit public benefit corporation and is not organized for the private gain of any person. It is organized under the Nonprofit Public Benefit Corporation Law for charitable and public purposes.

B. The specific purpose of this corporation is to bring together various entities for the purpose of protecting and enhancing the environment through various cooperative programs.

III.

The name and address in the State of California of this corporation's initial agent for service of process are: Patricia L. Wells, 2606 Dwight Way, Berkeley, California 94704.

IV.

A. This corporation is organized and operated exclusively for charitable and public purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code.

B. Notwithstanding any other provision of these articles, the corporation shall not carry on any activities not permitted to be carried on (a) by a corporation exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code or (b) by a corporation to which contributions are deductible under Section 170(c)(2) of the Internal Revenue Code.

C. No substantial part of the activities of this corporation shall consist of carrying on propaganda, or otherwise attempting to influence legislation, except as otherwise permitted in accordance with elections duly made pursuant to Section 501(h) of the Internal Revenue Code and Section 23704.5 of the California Revenue and Taxation Code. This corporation shall not participate or intervene in any political campaign (including the publishing or distribution of statements) on behalf of any candidate for public office.

V.

The property of this corporation is irrevocably dedicated to charitable purposes, and no part of the net income or assets of this corporation shall ever inure to the benefit of any director, officer, or member hereof or to the benefit of any private person. Upon the dissolution or winding up of the corporation, its assets remaining after payment, or provision for payment, of all debts and liabilities of this corporation shall be distributed to a nonprofit fund, foundation, or corporation that is organized and operated exclusively for charitable purposes and that has established its tax-exempt status under Section 501(c)(3) of the Internal Revenue Code.

DATED: July 23, 1982

Gail E. Giboney  
GAIL E. GIBONEY

DATED: July 27, 1982

Judith D. Small  
JUDITH D. SMALL

The undersigned hereby declare that they are the persons who executed the foregoing Articles of Incorporation, which execution is their act and deed.

Gail E. Giboney  
GAIL E. GIBONEY

Judith D. Small  
JUDITH D. SMALL

**2017 EarthShare California member nonprofits**

<u>Member nonprofit</u>	<u>SF Bay Area</u>
EarthShare California	x
African Wildlife Foundation	
American Farmland Trust	
American Forests	
American Rivers	x
Anza-Borrego Foundation	
Bay Area Ridge Trail Council	x
Beyond Pesticides	
Butte Environmental Council	
California Native Plant Society	x
Californians Against Waste Foundation	x
Clean Water Fund of California	x
Conservation International	
Defenders of Wildlife	
Desert Tortoise Preserve Committee	
Earth Day Network	
Earth Island Institute	x
Earthjustice	x
Ecology Center	x
Education Outside	x
Environment America Research and Policy Center	
Environmental and Energy Study Institute	
Environmental Charter Schools	
Environmental Defense Fund	x
Environmental Law Institute	
Friends of the Earth	x



Friends of the River	x
Golden Gate National Parks Conservancy	x
Greenbelt Alliance	x
Heal the Bay	
Izaak Walton League of America	
Jane Goodall Institute for Conservation	
Land Trust Alliance	
League of Conservation Voters Education Fund	x
Marin Agricultural Land Trust	x
Marin Conservation League	x
Mountain Lion Foundation	
National Audubon Society	x
National Fish and Wildlife Foundation	
National Parks Conservation Association	x
National Wildlife Federation	
Natural Resources Defense Council	x
Ocean Conservancy	
Oceana	x
Organic Farming Research Foundation	x
Our City Forest	x
Pacific Environment	x
Placer Land Trust	
Rainforest Alliance	
Sacramento Tree Foundation	
San Diego Coastkeeper	
San Francisco Baykeeper	x
San Geronio Wilderness Association	
San Jose Conservation Corps	x
Save The Bay	x





Scenic America

Sierra Club Foundation x

Surfrider Foundation x

The Nature Conservancy of California x

The Peregrine Fund

The Trust for Public Land x

The Wilderness Society x

Union of Concerned Scientists x

Urban Corps of San Diego County

Wildlife Conservation Society

World Wildlife Fund



**ENVIRONMENTAL FEDERATION  
OF CALIFORNIA, INC.  
(Operating as EarthShare California)**

**FINANCIAL STATEMENTS**

**For the Years Ended  
June 30, 2015 and 2014**

## TABLE OF CONTENTS

	<u>PAGE</u>
<b>Independent Auditors' Report</b>	1
<b>Financial Statements</b>	
Statements of Financial Position	2
Statements of Activities	3
Statements of Cash Flows	4
Statements of Functional Expenses	5
Notes to Financial Statements	6 - 13

**Independent Auditors' Report**

Board of Directors  
Environmental Federation of California, Inc.

We have audited the accompanying financial statements of Environmental Federation of California, Inc. (operating as EarthShare California), which comprise the statements of financial position as of June 30, 2015 and 2014, and the related statements of activities, cash flows, and functional expenses for the years then ended, and the related notes to the financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Environmental Federation of California, Inc. as of June 30, 2015 and 2014, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

*Bregante + Company LLP*

San Francisco, California  
December 12, 2016

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**STATEMENTS OF FINANCIAL POSITION**

**June 30, 2015 and 2014**

	2015	2014
<b>ASSETS</b>		
Assets:		
Cash	\$ 547,325	\$ 840,775
Pledges receivable, net of allowance for uncollectible pledges of \$77,832 and \$69,755	813,376	694,462
Accounts receivable	9,217	1,220
Prepaid expenses	14,101	13,568
Property and equipment, net of accumulated depreciation of \$32,396 and \$31,200	3,959	3,320
Deposits	2,760	2,760
Total assets	\$ 1,390,738	\$ 1,556,105
<b>LIABILITIES AND NET ASSETS</b>		
Liabilities:		
Accounts payable and accrued liabilities	\$ 156,408	\$ 106,988
Campaign proceeds payable, net	987,472	1,081,076
Affiliation fees payable to national confederation	39,499	82,159
Total liabilities	1,183,379	1,270,223
Net assets:		
Unrestricted	204,648	282,171
Temporarily restricted	2,711	3,711
Total net assets	207,359	285,882
Total liabilities and net assets	\$ 1,390,738	\$ 1,556,105

See accompanying notes to the financial statements.

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**STATEMENTS OF ACTIVITIES**

**For the Years Ended June 30, 2015 and 2014**

	2015			2014		
	Unrestricted	Temporarily restricted	Total	Unrestricted	Temporarily restricted	Total
<b>Support and revenue:</b>						
<b>Campaign revenue:</b>						
Campaign results (gross)	\$ 1,095,329	\$ -	\$ 1,095,329	\$ 1,172,917	\$ -	\$ 1,172,917
Total shrinkage	(61,788)	-	(61,788)	(69,755)	-	(69,755)
Net total pledges	1,033,541	-	1,033,541	1,103,162	-	1,103,162
Less designations to others	(642,792)	-	(642,792)	(582,086)	-	(582,086)
Shrinkage on designated to others	36,260	-	36,260	22,235	-	22,235
Net designations to other	(606,532)	-	(606,532)	(559,851)	-	(559,851)
Net undesignated pledges	427,009	-	427,009	543,311	-	543,311
<b>Other revenue:</b>						
Administrative fees for raising funds on behalf of others	387,803	-	387,803	410,930	-	410,930
Contributions	51,598	-	51,598	36,520	-	36,520
In-kind donations	950	-	950	950	-	950
Interest and dividend income	263	-	263	203	-	203
Net assets released from restrictions:						
Satisfaction of program restrictions	1,000	(1,000)	-	1,000	(1,000)	-
Total support and revenue	868,623	(1,000)	867,623	992,914	(1,000)	991,914
<b>Expenses:</b>						
<b>Program services:</b>						
Undesignated campaign proceeds distributions	427,009	-	427,009	543,311	-	543,311
Other program expenses	307,836	-	307,836	316,530	-	316,530
Total program services	734,845	-	734,845	859,841	-	859,841
General and administrative	161,126	-	161,126	122,896	-	122,896
Fundraising	50,175	-	50,175	47,944	-	47,944
Total expenses	946,146	-	946,146	1,030,681	-	1,030,681
Changes in net assets	(77,523)	(1,000)	(78,523)	(37,767)	(1,000)	(38,767)
Net assets, beginning of year	282,171	3,711	285,882	319,938	4,711	324,649
Net assets, end of year	\$ 204,648	\$ 2,711	\$ 207,359	\$ 282,171	\$ 3,711	\$ 285,882

See accompanying notes to the financial statements.

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**STATEMENTS OF CASH FLOWS**

**For the Years Ended June 30, 2015 and 2014**

	<u>2015</u>	<u>2014</u>
Cash flows from operating activities:		
Changes in net assets	\$ (78,523)	\$ (38,767)
Adjustments to reconcile changes in net assets to net cash provided (used) by operating activities:		
Depreciation	1,196	780
(Increase) decrease in assets:		
Pledges receivable, net	(118,914)	37,260
Accounts receivable	(7,997)	-
Prepaid expenses	(533)	(463)
Deposits	-	214
Increase (decrease) in liabilities:		
Accounts payable and accrued liabilities	49,420	65,600
Campaign proceeds payable, net	(93,604)	(36,447)
Affiliation fees payable to national confederation	(42,660)	7,254
Total adjustments	<u>(213,092)</u>	<u>74,198</u>
Net cash provided (used) by operating activities	<u>(291,615)</u>	<u>35,431</u>
Cash flows from investing activities:		
Purchases of property and equipment	<u>(1,835)</u>	<u>(794)</u>
Net cash used by investing activities	(1,835)	(794)
Net increase (decrease) in cash	(293,450)	34,637
Cash, beginning of year	<u>840,775</u>	<u>806,138</u>
Cash, end of year	<u>\$ 547,325</u>	<u>\$ 840,775</u>

See accompanying notes to the financial statements.



**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**STATEMENTS OF FUNCTIONAL EXPENSES**

**For the Years Ended June 30, 2015 and 2014**

	2015				2014			
	Other Program Expenses	General and Administrative	Fundraising	Total	Other Program Expenses	General and Administrative	Fundraising	Total
Salaries and related expenses	\$ 141,888	\$ 82,400	\$ 39,447	\$ 263,735	\$ 157,310	\$ 62,111	\$ 41,467	\$ 260,888
Contract services	77,960	25,987	-	103,947	82,481	27,494	-	109,975
Affiliation fees	41,192	-	-	41,192	35,916	-	-	35,916
Rent	16,681	5,986	2,601	25,268	15,897	5,713	3,229	24,839
Accounting	-	37,339	-	37,339	-	19,082	-	19,082
Program expenses	14,666	-	-	14,666	8,931	-	-	8,931
Bank charges	-	5,613	-	5,613	-	3,864	-	3,864
Travel	4,425	-	899	5,324	6,018	-	1,222	7,240
Special events	-	-	5,246	5,246	-	-	-	-
Telephone	3,027	1,086	472	4,585	2,181	783	443	3,407
Meetings and conferences	2,288	821	357	3,466	550	1,698	111	2,359
Insurance	2,058	739	321	3,118	2,411	867	490	3,768
Postage and delivery	844	303	132	1,279	1,226	60	249	1,535
Depreciation	790	283	123	1,196	499	179	102	780
Office and computer supplies	636	228	99	963	681	245	138	1,064
Miscellaneous	1,381	341	478	2,200	2,429	800	493	3,722
<b>Total</b>	<b>\$ 307,836</b>	<b>\$ 161,126</b>	<b>\$ 50,175</b>	<b>\$ 519,137</b>	<b>\$ 316,530</b>	<b>\$ 122,896</b>	<b>\$ 47,944</b>	<b>\$ 487,370</b>

See accompanying notes to the financial statements.

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**NOTES TO FINANCIAL STATEMENTS**

**June 30, 2015 and 2014**

**NOTE A – Nature of the Federation**

Environmental Federation of California, Inc. (the Federation), which operates as EarthShare California, was established in 1982 as a coalition of various independent environmental groups (affiliated organizations). The primary purpose of the Federation is to broaden its affiliates' financial support by obtaining access to and coordinating participation in corporate and governmental payroll deduction fundraising campaigns throughout California. This support will help: (1) prevent human health problems from air, water and toxic pollution; (2) preserve and conserve fresh water, marine and land resources; and (3) develop educational programs which promote a sound and balanced use of our natural resources. The Federation represents 98 environmental organizations in over 182 workplace-giving campaigns.

For an organization to be accepted in the Federation, the organization must meet the qualifications specified in the Federation's by-laws. The Board of Directors determines the acceptance of a new member organization. The new member organizations are required to pay a joining fee of \$5,000 plus 10% of their respective net income from distributions for the first three years. Member organizations are required to perform a minimum of 30 service hours per year. Undesignated monies, less expenses, are normally divided 60/40 between local/common members and national members. The Federation can choose to apply for a different split, on a year-by-year basis (See Note H). Local and common members receive an equal share of the Federation's undesignated monies less expenses and any other member fees levied by the Board of Directors. There are currently 46 local and common members in the Federation.

**NOTE B – Summary of significant accounting policies**

**Basis of accounting**

The Federation maintains its accounting records and prepares its financial statements on the accrual basis.

**Cash and cash equivalents**

For the purposes of the Statements of Cash Flows, the Federation considers cash and cash equivalents to consist of demand deposits as well as cash on hand.

**Pledges**

Unconditional promises to give (pledges) are all expected to be collected within one year and are recorded at their net realizable value, net of uncollectible pledges. Conditional promises to give are not included as contributions until such time as the conditions are substantially met.

ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.  
(Operating as EarthShare California)

NOTES TO FINANCIAL STATEMENTS (Continued)

June 30, 2015 and 2014

**NOTE B – Summary of significant accounting policies (continued)**

**Allowance for uncollectible pledges**

The allowance for uncollectible pledges is an estimate of annual campaign payroll pledges receivable that will not be collected. The estimate is based on collection history of prior year campaigns and is offset against campaign contribution revenue.

**Fair value of financial instruments**

The carrying amount of cash, pledges and accounts receivable, prepaid expenses and payables are stated at a fair value or approximate fair value.

**Property and equipment**

Property and equipment with useful lives of greater than one year costing \$500 or more are capitalized and are recorded at cost, or fair value if donated. Capitalized property and equipment are depreciated over their estimated useful lives of three to seven years on the straight-line basis. Donated material and equipment are recorded as contributions at their estimated value on the date of receipt.

**Net assets**

The Federation classifies its net assets and activities into one of three categories:

Unrestricted: Those net assets and activities which represent the portion of expendable funds available to support operations. A portion of these net assets may be designated by the Board of Directors for specific purposes.

Temporarily restricted: Those net assets and activities which are donor-restricted for: (a) support of specific operating activities; (b) investment for a specified term; (c) use in a specified future period; or (d) acquisition of long-lived assets. The Federation had \$2,711 and \$3,711 of net assets temporarily restricted for specific activities and future periods at June 30, 2015 and 2014, respectively.

Permanently restricted: Those net assets and activities which are permanently donor-restricted for holdings of: (a) assets donated with stipulations that they be preserved and not be sold; or (b) assets donated with stipulations that they be invested to provide a permanent source of income. The Federation has no permanently restricted net assets at June 30, 2015 and 2014.

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**NOTES TO FINANCIAL STATEMENTS (Continued)**

**June 30, 2015 and 2014**

**NOTE B -- Summary of significant accounting policies (continued)**

**Net assets (continued)**

The expiration of a donor-imposed restriction on a contribution is recognized in the period in which the restriction expires. This occurs by increasing unrestricted net assets and decreasing temporarily restricted net assets in the Statements of Activities, and the release from restrictions is reported separately from other transactions.

**Recognition of public support and allocations**

The annual campaigns at worksites are conducted primarily in the fall of each year to raise support for allocations to the affiliated organizations. Donor contribution revenue is recognized as pledges are made based on donor pledge forms or employer summarized information. For campaigns where there is no such information, pledges are estimated based on prior year actual collections and allocations.

Contributions are allocated to affiliated organizations to the extent the donor designates a preference. Each member organization is distributed a proportionate share of receipts based on donor designations to each member.

Affiliated organizations also receive contributions directly from donors or third-party processors that are attributable to the Federation's annual worksite campaigns. The affiliated organizations are required to send these contributions to the Federation, so that these amounts may be recognized in the Federation's gross campaign results, and distributed appropriately. Management believes that not all of these direct payments are properly routed through the Federation, and the amounts may be significant, but difficult to ascertain. Net undesignated pledges are not affected by the shortfall of direct payments.

**Grants**

Grants are recorded as revenue in accordance with generally accepted accounting principles. Revenue that is donor-restricted is included in temporarily restricted net assets. As the restrictions are met, the revenue is shown as a release from restrictions and transferred from temporarily restricted net assets to unrestricted net assets.

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**NOTES TO FINANCIAL STATEMENTS (Continued)**

**June 30, 2015 and 2014**

**NOTE B** -- Summary of significant accounting policies (continued)

**Contributed goods and services**

The Federation's policy is to recognize the fair value of certain contributed goods and services received as both a revenue and an offsetting expense in accordance with generally accepted accounting principles. Such donations are reported as unrestricted support unless the donor has restricted the donated asset to a specific purpose. During the year ended June 30, 2015 and 2014, the value of contributed goods and services included as in kind donations in the accompanying financial statements was \$950 per period and consisted of the use of facilities for Federation's annual general meeting. Other notable volunteer time that does not require recognition in the financial statements totaled over 1,430 and 1,170 hours during the years ended June 30, 2015 and 2014, respectively. The hours contributed were mainly devoted to speaker workplace presentations during campaigns and participation in the Federation's Board of Directors.

**Functional allocation of expenses**

The costs of providing the program services and supporting activities of the Federation are summarized in the Statements of Activities and in the Statements of Functional Expenses. Expenses that can be directly identified with a specific function are allocated directly to that function. Expenses that cannot be directly identified with a specific function are allocated among the program services and the supporting activities benefited. Occupancy related expense allocation is based on the square footage of the space used. Personnel related expense allocation is based on the staff time spent on each function.

The Federation reports its expenses on a functional basis as follows:

- Program services include specific campaign activities and educational efforts on the part of the Federation, as well as activities dealing with and providing information and referral for member agencies. Additionally, program services also include activities expenses related to the management of existing campaigns.
- Fundraising represents the costs related to attracting new campaigns and raising funds for internal operations. The fundraising activities include soliciting gifts, special events, writing grants and direct mail solicitation.
- General and administrative relates to all Federation overhead activities, including management and general aspects that are not related to fundraising or program activities.

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**NOTES TO FINANCIAL STATEMENTS (Continued)**

**June 30, 2015 and 2014**

**NOTE B** -- Summary of significant accounting policies (continued)

**Income taxes**

The Federation is a qualified organization exempt from federal and California income taxes under the provisions of Sections 501(c)(3) of the Internal Revenue Code and 23701d of the California Revenue and Taxation Code. Therefore, no provision for federal or California income tax is reflected in the financial statements.

The Federation's income tax returns are subject to examination by federal and state taxing authorities, generally for three years and four years, respectively, after they are filed. The Federation believes that there are no material uncertain tax positions which require adjustment to the financial statements or additional footnote disclosure.

**Use of estimates**

Management is required to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from management's estimates. Significant estimates include accrual of pledges receivable and the provision for uncollectible pledges.

**NOTE C** -- Concentration of credit risk

Financial instruments that potentially subject the Federation to a concentration of credit risk consist primarily of cash and pledges receivable. The Federation maintains its cash in several accounts at two banks. The combined balance at times may exceed federally insured limits. The Federation has not experienced any losses in these cash accounts and believes it is not exposed to any significant credit risk.

Pledges receivable consist of promises from individuals to give through workplace giving campaigns. A shrinkage allowance is recognized for expected uncollectable pledges. Management does not expect actual results to differ significantly from net pledge revenue recognized.

ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.  
(Operating as EarthShare California)

NOTES TO FINANCIAL STATEMENTS (Continued)

June 30, 2015 and 2014

**NOTE D -- Property and equipment**

Property and equipment at June 30 consist of the following:

	<u>2015</u>	<u>2014</u>
Computer equipment	\$ 6,594	\$ 4,759
Software	25,812	25,812
Office equipment	<u>3,949</u>	<u>3,949</u>
	36,355	34,520
Less accumulated depreciation	<u>(32,396)</u>	<u>(31,200)</u>
Property and equipment, net	<u>\$ 3,959</u>	<u>\$ 3,320</u>

Depreciation expense for the years ended June 30, 2015 and 2014 was \$1,196 and \$780, respectively.

**NOTE E -- Temporarily restricted net assets**

Temporarily restricted net assets consisted of \$2,711 and \$3,711 for investment in technology infrastructure at June 30, 2015 and 2014, respectively.

**NOTE F -- Lease commitments**

The Federation has a lease for its San Francisco office which expires on August 31, 2017. The Federation also rents office space in Los Angeles and storage space on a month-to-month basis.

The future minimum lease payments attributable to the facility lease are as follows:

<u>Years Ending June 30,</u>	
2016	\$ 25,148
2017	25,902
2018	4,338
Thereafter	<u>-</u>
	<u>\$ 55,388</u>

**ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.**  
**(Operating as EarthShare California)**

**NOTES TO FINANCIAL STATEMENTS (Continued)**

**June 30, 2015 and 2014**

**NOTE G -- Affiliation with EarthShare National**

Environmental Federation of California, Inc. and other state environmental fundraising organizations have an affiliation agreement under the name EarthShare in their own respective states. The purpose of the agreement is to create a unified environmental fundraising confederation and adopt consistent financial accounting practices and disbursement arrangements.

Under the terms of the affiliation agreement, the Federation is required to remit 4% of cash receipts related to EarthShare member groups to EarthShare National as well as 40% of undesignated campaign revenue net of overhead and other allowable expenses to the members of EarthShare National. On a year-by-year basis, the Federation can submit a request to modify the required percentage remittance of the undesignated campaign revenue remittance.

The balances and transactions under the terms of the affiliation agreement are as follows:

	<u>2015</u>	<u>2014</u>
Assets and liabilities as of June 30:		
Net campaign proceeds payable to national confederation	\$ 77,757	\$ 130,638
Affiliation fees payable to national confederation	<u>39,499</u>	<u>82,159</u>
Total due to national confederation	<u>\$ 117,256</u>	<u>\$ 212,797</u>
Revenue and expenses for the years ended June 30:		
Campaign proceeds distributions (net of fees)	\$ 64,042	\$ 108,306
Affiliation fees expense	<u>41,192</u>	<u>35,916</u>
	<u>\$ 105,234</u>	<u>\$ 144,222</u>



ENVIRONMENTAL FEDERATION OF CALIFORNIA, INC.  
(Operating as EarthShare California)

NOTES TO FINANCIAL STATEMENTS (Continued)

June 30, 2015 and 2014

**NOTE H** -- Subsequent events

The current year allocation of undesignated campaign proceeds of \$427,009 was made based on a 60/40 split between local/common members and national members. This change in allocation affects only the split between the national confederation and the local/common affiliated organizations, and will have no effect on the change in net assets of the Federation. Actual allocation of disbursements of undesignated campaign proceeds made during the year ending June 30, 2016 may vary from amounts accrued at June 30, 2015.

The date to which events occurring after June 30, 2015 have been evaluated for possible adjustments to the financial statements or disclosure is December 12, 2016, which is the date on which the financial statements were available to be issued.



**Return of Organization Exempt From Income Tax**  
 Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

**A** For the **2014** calendar year, or tax year beginning **7/01**, 2014, and ending **6/30**, 2015

**B** Check if applicable:

<input type="checkbox"/> Address change	<b>C</b> ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA 870 MARKET STREET #703 SAN FRANCISCO, CA 94102	<b>D</b> Employer identification number 94-2840364
<input type="checkbox"/> Name change		<b>E</b> Telephone number 415-981-1999
<input type="checkbox"/> Initial return		<b>G</b> Gross receipts \$ <b>1,474,155.</b>
<input type="checkbox"/> Final return/terminated		<b>H(a)</b> Is this a group return for subordinates? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<input type="checkbox"/> Amended return		<b>H(b)</b> Are all subordinates included? Yes <input type="checkbox"/> No <input type="checkbox"/> If 'No,' attach a list. (see instructions)
<input type="checkbox"/> Application pending		<b>F</b> Name and address of principal officer: <b>SAME AS C ABOVE</b>

**I** Tax-exempt status:  501(c)(3)  501(c) ( ) (insert no.)  4947(a)(1) or  527

**J** Website: **WWW.EARTHSHARECA.ORG** **H(c)** Group exemption number ▶

**K** Form of organization:  Corporation  Trust  Association  Other ▶ **L** Year of formation: **1982** **M** State of legal domicile: **CA**

**Part I Summary**

<b>1</b> Briefly describe the organization's mission or most significant activities: <u>THE PRIMARY PURPOSE OF THE ORGANIZATION IS TO BROADEN ITS AFFILIATES' (501(C)(3) ORGANIZATIONS) FINANCIAL SUPPORT BY OBTAINING ACCESS TO AND COORDINATING PARTICIPATION IN CORPORATE AND GOVERNMENTAL PAYROLL DEDUCTION FUNDRAISING CAMPAIGNS.</u>	
<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b> <b>10</b>
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b> <b>10</b>
<b>5</b> Total number of individuals employed in calendar year 2014 (Part V, line 2a)	<b>5</b> <b>6</b>
<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b> <b>87</b>
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b> <b>0.</b>
<b>b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b> <b>0.</b>
<b>8</b> Contributions and grants (Part VIII, line 1h)	<b>Prior Year</b> <b>1,140,632.</b> <b>Current Year</b> <b>1,086,089.</b>
<b>9</b> Program service revenue (Part VIII, line 2g)	<b>410,930.</b> <b>387,803.</b>
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<b>203.</b> <b>263.</b>
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	
<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<b>1,551,765.</b> <b>1,474,155.</b>
<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	<b>1,103,162.</b> <b>1,033,541.</b>
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	<b>260,888.</b> <b>263,735.</b>
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>50,175.</b>	
<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	<b>226,482.</b> <b>255,402.</b>
<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	<b>1,590,532.</b> <b>1,552,678.</b>
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	<b>-38,767.</b> <b>-78,523.</b>
<b>20</b> Total assets (Part X, line 16)	<b>Beginning of Current Year</b> <b>1,556,105.</b> <b>End of Year</b> <b>1,390,738.</b>
<b>21</b> Total liabilities (Part X, line 26)	<b>1,270,223.</b> <b>1,183,379.</b>
<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	<b>285,882.</b> <b>207,359.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer: Patricia (Pat) Smith Date: May 12, 2016

Type or print name and title: PATRICIA SMITH EXECUTIVE DIRECTOR

**Paid Preparer Use Only**

Print/Type preparer's name: KENNETH A. PRESTON Preparer's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Check  if self-employed PTIN: P01437149

Firm's name: BREGANTE + COMPANY LLP, CPA'S Firm's EIN: 94-2861940

Firm's address: 301 BATTERY ST, 2 MEZZANINE Phone no.: 415-777-1001

SAN FRANCISCO, CA 94111

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III. [ ]

1 Briefly describe the organization's mission:

THE PRIMARY PURPOSE OF THE ORGANIZATION IS TO BROADEN ITS AFFILIATES' (501(C)(3) ORGANIZATIONS) FINANCIAL SUPPORT BY OBTAINING ACCESS TO AND COORDINATING PARTICIPATION IN CORPORATE AND GOVERNMENTAL PAYROLL DEDUCTION FUNDRAISING CAMPAIGNS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No
If 'Yes,' describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No
If 'Yes,' describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 1,341,377. including grants of \$ 1,033,541.) (Revenue \$ 387,803.)

THE PRIMARY PURPOSE OF THE FEDERATION IS TO BROADEN ITS AFFILIATES' FINANCIAL SUPPORT BY OBTAINING ACCESS TO AND COORDINATING PARTICIPATION IN CORPORATE AND GOVERNMENTAL PAYROLL FUNDRAISING CAMPAIGNS. AS OF JUNE 30, 2015, THE AGENCY REPRESENTED 41 ENVIRONMENTAL ORGANIZATIONS IN OVER 206 WORKPLACE GIVING CAMPAIGNS.

4b (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services. (Describe in Schedule O.)
(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 1,341,377.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V		X
11 If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI	X	
b Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII		X
c Did the organization report an amount for investments — program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI, and XII	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions)		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III		X
20 a Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H		X
b If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?		

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II.</i>	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If 'Yes,' complete Schedule I, Parts I and III.</i>		X
23 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J.</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25a.</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?		
25a <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If 'Yes,' complete Schedule L, Part I.</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If 'Yes,' complete Schedule L, Part I.</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If 'Yes,' complete Schedule L, Part II.</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If 'Yes,' complete Schedule L, Part III.</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV.</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV.</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If 'Yes,' complete Schedule L, Part IV.</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If 'Yes,' complete Schedule M.</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If 'Yes,' complete Schedule M.</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If 'Yes,' complete Schedule N, Part I.</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If 'Yes,' complete Schedule N, Part II.</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part I.</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1.</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If 'Yes,' complete Schedule R, Part V, line 2.</i>		
36 <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If 'Yes,' complete Schedule R, Part V, line 2.</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI.</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.	X	

BAA

Form 990 (2014)

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V.

		Yes	No
1 a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. <input type="text" value="4"/>		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. <input type="text" value="0"/>		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? <input checked="" type="checkbox"/>	X	
2 a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. <input type="text" value="6"/>		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <input checked="" type="checkbox"/> <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3 a	Did the organization have unrelated business gross income of \$1,000 or more during the year? <input checked="" type="checkbox"/>		X
b	If 'Yes' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule O. <input type="checkbox"/>		
4 a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? <input checked="" type="checkbox"/>		X
b	If 'Yes,' enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts. (FBAR)		
5 a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? <input checked="" type="checkbox"/>		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? <input checked="" type="checkbox"/>		X
c	If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T? <input type="checkbox"/>		
6 a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? <input checked="" type="checkbox"/>		X
b	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? <input type="checkbox"/>		
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? <input checked="" type="checkbox"/>		X
b	If 'Yes,' did the organization notify the donor of the value of the goods or services provided? <input type="checkbox"/>		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? <input checked="" type="checkbox"/>		X
d	If 'Yes,' indicate the number of Forms 8282 filed during the year. <input type="text" value="7 d"/>		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input checked="" type="checkbox"/>		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input checked="" type="checkbox"/>		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? <input type="checkbox"/>		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? <input type="checkbox"/>		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? <input type="checkbox"/>		
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the sponsoring organization make any taxable distributions under section 4966? <input type="checkbox"/>		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? <input type="checkbox"/>		
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12. <input type="text" value="10 a"/>		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. <input type="text" value="10 b"/>		
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders. <input type="text" value="11 a"/>		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) <input type="text" value="11 b"/>		
12 a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? <input type="checkbox"/>		
b	If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year. <input type="text" value="12 b"/>		
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <input type="checkbox"/> <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. <input type="text" value="13 b"/>		
c	Enter the amount of reserves on hand. <input type="text" value="13 c"/>		
14 a	Did the organization receive any payments for indoor tanning services during the tax year? <input checked="" type="checkbox"/>		X
b	If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O. <input type="checkbox"/>		

**Part VI Governance, Management, and Disclosure** For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI.  X

**Section A. Governing Body and Management**

		Yes	No
1 a	Enter the number of voting members of the governing body at the end of the tax year. . . . . If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	10	
b	Enter the number of voting members included in line 1a, above, who are independent . . . . .	10	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . . . .		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .		X
6	Did the organization have members or stockholders? . . . . .	X	
7 a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . .		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body? . . . . .	X	
b	Each committee with authority to act on behalf of the governing body? . . . . .	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O. . . . .		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10 a	Did the organization have local chapters, branches, or affiliates? . . . . .	X	
b	If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .	X	
11 a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . .	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990. SEE SCHEDULE O		
12 a	Did the organization have a written conflict of interest policy? If 'No,' go to line 13. . . . .	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was done. SEE SCHEDULE O . . . . .	X	
13	Did the organization have a written whistleblower policy? . . . . .	X	
14	Did the organization have a written document retention and destruction policy? . . . . .	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official. SEE SCHEDULE O . . . . .	X	
b	Other officers or key employees of the organization. SEE SCHEDULE O . . . . . If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).	X	
16 a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .		X
b	If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .		

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed ▶ CA
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website  Another's website  Upon request  Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. SEE SCHEDULE O
- 20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶  
 PATRICIA SMITH 870 MARKET STREET #703 SAN FRANCISCO CA 94102 415-981-1999



**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII.

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of 'key employee.'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(1) PAIGE ROGOWSKI BOARD MEMBER	1 0		X				0.	0.	0.
(2) MEGAN BAEHRENS BOARD MEMBER	1 0		X				0.	0.	0.
(3) ALAN EHRGOTT BOARD MEMBER	1 0		X				0.	0.	0.
(4) TED SCHOFIELD SECOND VP	1 0		X	X			0.	0.	0.
(5) NONA DENNIS AT LARGE DIR.	1 0		X				0.	0.	0.
(6) MICHELLE KREMER BOARD MEMBER	1 0		X				0.	0.	0.
(7) ROBERT WILSON AT LARGE DIR.	1 0		X				0.	0.	0.
(8) SCOTT MCINTYRE PRESIDENT	1 0		X	X			0.	0.	0.
(9) RAY SULLIVAN FIRST VP	1 0		X	X			0.	0.	0.
(10) TONI COUNTS ROSE AT LARGE DIR.	1 0		X				0.	0.	0.
(11) PATRICIA SMITH EXECUTIVE DIREC	38 0			X			82,824.	0.	11,774.
(12)									
(13)									
(14)									

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(15) -----									
(16) -----									
(17) -----									
(18) -----									
(19) -----									
(20) -----									
(21) -----									
(22) -----									
(23) -----									
(24) -----									
(25) -----									
<b>1 b Sub-total</b> .....						82,824.	0.	11,774.	
<b>c Total from continuation sheets to Part VII, Section A</b> .....						0.	0.	0.	
<b>d Total (add lines 1b and 1c)</b> .....						82,824.	0.	11,774.	
<b>2</b> Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 0									

	Yes	No
<b>3</b> Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If 'Yes,' complete Schedule J for such individual.</i> .....		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If 'Yes,' complete Schedule J for such individual.</i> .....		X
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If 'Yes,' complete Schedule J for such person.</i> .....		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 0

**Part VIII** Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns . . . . .	1 a	1,033,541.				
	b Membership dues . . . . .	1 b					
	c Fundraising events . . . . .	1 c					
	d Related organizations . . . . .	1 d					
	e Government grants (contributions) . . . . .	1 e					
	f All other contributions, gifts, grants, and similar amounts not included above . . . . .	1 f	52,548.				
	g Noncash contributions included in lines 1a-1f: \$						
	h Total. Add lines 1a-1f . . . . .		1,086,089.				
Program Service Revenue	2 a ADMINISTRATIVE FEES	Business Code 561000	387,803.	387,803.			
	b						
	c						
	d						
	e						
	f All other program service revenue . . . . .						
	g Total. Add lines 2a-2f . . . . .		387,803.				
Other Revenue	3 Investment income (including dividends, interest and other similar amounts) . . . . .		263.			263.	
	4 Income from investment of tax-exempt bond proceeds . . . . .						
	5 Royalties . . . . .						
	6 a Gross rents . . . . .	(i) Real	(ii) Personal				
		b Less: rental expenses					
		c Rental income or (loss) . . . . .					
		d Net rental income or (loss) . . . . .					
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		b Less: cost or other basis and sales expenses . . . . .					
		c Gain or (loss) . . . . .					
		d Net gain or (loss) . . . . .					
	8 a Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18. . . . .	a					
		b Less: direct expenses . . . . .	b				
		c Net income or (loss) from fundraising events . . . . .					
	9 a Gross income from gaming activities. See Part IV, line 19. . . . .	a					
b Less: direct expenses . . . . .		b					
c Net income or (loss) from gaming activities . . . . .							
10 a Gross sales of inventory, less returns and allowances . . . . .	a						
	b Less: cost of goods sold . . . . .	b					
	c Net income or (loss) from sales of inventory . . . . .						
Miscellaneous Revenue		Business Code					
11 a							
b							
c							
d All other revenue . . . . .							
e Total. Add lines 11a-11d . . . . .							
12 Total revenue. See instructions . . . . .			1,474,155.	387,803.	0.	263.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX.

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	1,033,541.	1,033,541.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	84,048.	5,043.	68,919.	10,086.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0.	0.	0.	0.
7 Other salaries and wages.	122,778.	99,276.		23,502.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).				
9 Other employee benefits.	33,109.	21,857.	7,843.	3,409.
10 Payroll taxes.	23,800.	15,712.	5,638.	2,450.
11 Fees for services (non-employees):				
a Management.				
b Legal.				
c Accounting.	37,339.		37,339.	
d Lobbying.				
e Professional fundraising services. See Part IV, line 17.				
f Investment management fees.				
g Other. (If line 11g amt exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).				
12 Advertising and promotion.	14,666.	14,666.		
13 Office expenses.	963.	636.	228.	99.
14 Information technology.				
15 Royalties.				
16 Occupancy.	25,268.	16,681.	5,986.	2,601.
17 Travel.	5,324.	4,425.		899.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.	3,466.	2,288.	821.	357.
20 Interest.				
21 Payments to affiliates.	41,192.	41,192.		
22 Depreciation, depletion, and amortization.	1,196.	790.	283.	123.
23 Insurance.	3,118.	2,058.	739.	321.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>CONTRACT SERVICES</u>	103,947.	77,960.	25,987.	
b <u>BANK CHARGES</u>	5,613.		5,613.	
c <u>SPECIAL EVENTS</u>	5,246.			5,246.
d <u>TELEPHONE</u>	4,585.	3,027.	1,086.	472.
e All other expenses.	3,479.	2,225.	644.	610.
25 Total functional expenses. Add lines 1 through 24e.	1,552,678.	1,341,377.	161,126.	50,175.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
<b>Assets</b>	1	Cash — non-interest-bearing.....	786,243.	1	491,896.
	2	Savings and temporary cash investments.....	54,532.	2	55,429.
	3	Pledges and grants receivable, net.....	694,462.	3	813,376.
	4	Accounts receivable, net.....	1,220.	4	9,217.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.....		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.....		6	
	7	Notes and loans receivable, net.....		7	
	8	Inventories for sale or use.....		8	
	9	Prepaid expenses and deferred charges.....	13,568.	9	14,101.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.....	10a 36,355.		
	b	Less: accumulated depreciation.....	10b 32,396.	10c 3,320.	3,959.
	11	Investments — publicly traded securities.....		11	
	12	Investments — other securities. See Part IV, line 11.....		12	
	13	Investments — program-related. See Part IV, line 11.....		13	
	14	Intangible assets.....		14	
	15	Other assets. See Part IV, line 11.....	2,760.	15	2,760.
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34).....	1,556,105.	16	1,390,738.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses.....	106,988.	17	156,408.
	18	Grants payable.....	1,081,076.	18	987,472.
	19	Deferred revenue.....		19	
	20	Tax-exempt bond liabilities.....		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.....		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.....		22	
	23	Secured mortgages and notes payable to unrelated third parties.....		23	
	24	Unsecured notes and loans payable to unrelated third parties.....		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.....	82,159.	25	39,499.
	26	<b>Total liabilities.</b> Add lines 17 through 25.....	1,270,223.	26	1,183,379.
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets.....	282,171.	27	204,648.
	28	Temporarily restricted net assets.....	3,711.	28	2,711.
	29	Permanently restricted net assets.....		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds.....		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund.....		31	
	32	Retained earnings, endowment, accumulated income, or other funds.....		32	
33	<b>Total net assets or fund balances</b> .....	285,882.	33	207,359.	
34	<b>Total liabilities and net assets/fund balances</b> .....	1,556,105.	34	1,390,738.	

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Form 990 (2014)

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,474,155.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,552,678.
3	Revenue less expenses. Subtract line 2 from line 1	3	-78,523.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	285,882.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	207,359.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII.

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

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Form 990 (2014)

**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

**Open to Public Inspection**

Name of the organization <b>ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA</b>	Employer identification number <b>94-2840364</b>
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**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions – subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations .....
- g Provide the following information about the supported organization(s).

	(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
				Yes	No		
(A)							
(B)							
(C)							
(D)							
(E)							
<b>Total</b>							

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2014

**Part III Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants'.)	1,454,777.	1,353,891.	1,211,969.	1,140,362.	1,086,089.	6,247,088.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.						0.
3 The value of services or facilities furnished by a governmental unit to the organization without charge.						0.
4 <b>Total.</b> Add lines 1 through 3.	1,454,777.	1,353,891.	1,211,969.	1,140,362.	1,086,089.	6,247,088.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						0.
6 <b>Public support.</b> Subtract line 5 from line 4.						6,247,088.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4.	1,454,777.	1,353,891.	1,211,969.	1,140,362.	1,086,089.	6,247,088.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources.	1,054.	257.	361.	203.	263.	2,138.
9 Net income from unrelated business activities, whether or not the business is regularly carried on.						0.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						0.
11 <b>Total support.</b> Add lines 7 through 10.						6,249,226.
12 Gross receipts from related activities, etc (see instructions).					12	0.
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here.</b>	<input type="checkbox"/>					

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f)).	14	99.97 %
15 Public support percentage from 2013 Schedule A, Part II, line 14.	15	99.92 %
16a <b>33-1/3% support test – 2014.</b> If the organization did not check the box on line 13, and the line 14 is 33-1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization.	<input checked="" type="checkbox"/>	
b <b>33-1/3% support test – 2013.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization.	<input type="checkbox"/>	
17a <b>10%-facts-and-circumstances test – 2014.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization.	<input type="checkbox"/>	
b <b>10%-facts-and-circumstances test – 2013.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization.	<input type="checkbox"/>	
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions.	<input type="checkbox"/>	

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**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal yr beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include any 'unusual grants.') .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose. ....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513.						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. ....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge ...						
6 Total. Add lines 1 through 5 ...						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons. ....						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year. ....						
c Add lines 7a and 7b. ....						
8 Public support (Subtract line 7c from line 6.) .....						

**Section B. Total Support**

Calendar year (or fiscal yr beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9 Amounts from line 6. ....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. ....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 ..						
c Add lines 10a and 10b. ....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on. ....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
13 Total support. (Add lines 9, 10c, 11 and 12.) .....						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f)). ....	15	%
16 Public support percentage from 2013 Schedule A, Part III, line 15. ....	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2014 (line 10c, column (f) divided by line 13, column (f)) .....	17	%
18 Investment income percentage from 2013 Schedule A, Part III, line 17 .....	18	%

19a 33-1/3% support tests — 2014. If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization. ....

b 33-1/3% support tests — 2013. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization. ....

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions. ....

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If 'No,' describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in <b>Part VI</b> when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked 11a or 11b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations; (b) individuals that are part of the charitable class benefited by one or more of its supported organizations; or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If 'Yes,' provide detail in <b>Part VI</b> .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' complete Part I of Schedule L (Form 990).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If 'Yes,' provide detail in <b>Part VI</b> .		
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If 'Yes,' provide detail in <b>Part VI</b> .		
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If 'Yes,' provide detail in <b>Part VI</b> .		
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If 'Yes,' answer (b) below.		
b Did the organization, have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

**Part IV Supporting Organizations (continued)**

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? .....	11a	
b A family member of a person described in (a) above? .....	11b	
c A 35% controlled entity of a person described in (a) or (b) above? If 'Yes' to a, b, or c, provide detail in Part VI .....	11c	

**Section B. Type I Supporting Organizations**

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If 'No,' describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year .....	1	
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If 'Yes,' explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization .....	2	

**Section C. Type II Supporting Organizations**

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If 'No,' describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s) .....	1	

**Section D. All Type III Supporting Organizations**

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? .....	1	
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If 'No,' explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s) .....	2	
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If 'Yes,' describe in Part VI the role the organization's supported organizations played in this regard .....	3	

**Section E. Type III Functionally-Integrated Supporting Organizations**

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):

a  The organization satisfied the Activities Test. Complete line 2 below.

b  The organization is the parent of each of its supported organizations. Complete line 3 below.

c  The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.

	Yes	No
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If 'Yes,' then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities .....	2a	
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement .....	2b	
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI .....	3a	
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If 'Yes,' describe in Part VI the role played by the organization in this regard .....	3b	

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on November 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A – Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	
<b>Section B – Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	
<b>Section C – Distributable Amount</b>			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).		

BAA

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D – Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes.....	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity.....	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations.....	
4 Amounts paid to acquire exempt-use assets.....	
5 Qualified set-aside amounts (prior IRS approval required).....	
6 Other distributions (describe in Part VI). See instructions.....	
7 <b>Total annual distributions.</b> Add lines 1 through 6.....	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.....	
9 Distributable amount for 2014 from Section C, line 6.....	
10 Line 8 amount divided by Line 9 amount.....	

Section E – Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2014	(iii) Distributable Amount for 2014
1 Distributable amount for 2014 from Section C, line 6.....			
2 Underdistributions, if any, for years prior to 2014 (reasonable cause required – see instructions).....			
3 Excess distributions carryover, if any, to 2014:			
a.....			
b.....			
c.....			
d.....			
e From 2013.....			
f <b>Total</b> of lines 3a through e.....			
g Applied to underdistributions of prior years.....			
h Applied to 2014 distributable amount.....			
i Carryover from 2009 not applied (see instructions).....			
j <b>Remainder.</b> Subtract lines 3g, 3h, and 3i from 3f.....			
4 Distributions for 2014 from Section D, line 7: \$			
a Applied to underdistributions of prior years.....			
b Applied to 2014 distributable amount.....			
c <b>Remainder.</b> Subtract lines 4a and 4b from 4.....			
5 Remaining underdistributions for years prior to 2014, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).....			
6 Remaining underdistributions for 2014. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).....			
7 <b>Excess distributions carryover to 2015.</b> Add lines 3j and 4c.....			
8 Breakdown of line 7:			
a.....			
b.....			
c.....			
d Excess from 2013.....			
e Excess from 2014.....			

BAA

Schedule A (Form 990 or 990-EZ) 2014

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

**SCHEDULE D  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered 'Yes,' to Form 990, Part IV, lines 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public Inspection

Name of the organization

ENVIRONMENTAL FEDERATION OF CALIFORNIA  
DBA EARTH SHARE CALIFORNIA

Employer identification number

94-2840364

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part II Conservation Easements.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education)       Preservation of a historically important land area  
 Protection of natural habitat       Preservation of a certified historic structure  
 Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2 a
b Total acreage restricted by conservation easements	2 b
c Number of conservation easements on a certified historic structure included in (a)	2 c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2 d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  Yes  No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  Yes  No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 8.

1 a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1 a Is the organization an agent, trustee, custodian, or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If 'Yes,' explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1 c    |
| d Additions during the year     | 1 d    |
| e Distributions during the year | 1 e    |
| f Ending balance                | 1 f    |
- 2 a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII.

**Part V Endowment Funds.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1 a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment  %
  - b Permanent endowment  %
  - c Temporarily restricted endowment  %
- The percentages in lines 2a, 2b, and 2c should equal 100%.
- 3 a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |   | Yes    | No |
|---|--------|----|
| (i) unrelated organizations   | 3a(i)  |    |
| (ii) related organizations  | 3a(ii) |    |
| b If 'Yes' to 3a(ii), are the related organizations listed as required on Schedule R? | 3b     |    |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1 a Land				
b Buildings				
c Leasehold improvements				
d Equipment		10,543.	6,584.	3,959.
e Other		25,812.	25,812.	0.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				3,959.



**Part VII** Investments — Other Securities.

N/A

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) -----		
(B) -----		
(C) -----		
(D) -----		
(E) -----		
(F) -----		
(G) -----		
(H) -----		
(I) -----		
Total. (Column (b) must equal Form 990, Part X, column (B) line 12.)		

**Part VIII** Investments — Program Related.

N/A

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, column (B) line 13.)		

**Part IX** Other Assets.

N/A

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, column (B), line 15.)	

**Part X** Other Liabilities.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) AFFILIATION FEES PAYABLE	39,499.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, column (B) line 25.)	39,499.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII. SEE PART XIII.

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements.....		1	867,623.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
	a Net unrealized gains (losses) on investments.....	2a		
	b Donated services and use of facilities.....	2b		
	c Recoveries of prior year grants.....	2c		
	d Other (Describe in Part XIII.).....	2d		
	e Add lines 2a through 2d.....		2e	
3	Subtract line 2e from line 1.....		3	867,623.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
	a Investment expenses not included on Form 990, Part VIII, line 7b.....	4a		
	b Other (Describe in Part XIII.) SEE PART XIII.....	4b	606,532.	
	c Add lines 4a and 4b.....		4c	606,532.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.).....		5	1,474,155.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements.....		1	946,146.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
	a Donated services and use of facilities.....	2a		
	b Prior year adjustments.....	2b		
	c Other losses.....	2c		
	d Other (Describe in Part XIII.).....	2d		
	e Add lines 2a through 2d.....		2e	
3	Subtract line 2e from line 1.....		3	946,146.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
	a Investment expenses not included on Form 990, Part VIII, line 7b.....	4a		
	b Other (Describe in Part XIII.) SEE PART XIII.....	4b	606,532.	
	c Add lines 4a and 4b.....		4c	606,532.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.).....		5	1,552,678.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X - FIN 48 FOOTNOTE**

ENVIRONMENTAL FEDERATION OF CALIFORNIA'S INCOME TAX RETURNS ARE SUBJECT TO EXAMINATION BY FEDERAL AND STATE TAXING AUTHORITIES, GENERALLY FOR THREE YEARS AND FOUR YEARS, RESPECTIVELY, AFTER THEY ARE FILED. THE FEDERATION BELIEVES THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS WHICH REQUIRE ADJUSTMENT TO THE FINANCIAL STATEMENTS OR ADDITIONAL FOOTNOTE DISCLOSURE.

**Part XIII** Supplemental Information (continued)

**SCHEDULE D, PART XI, LINE 4B  
OTHER REVENUE INCLUDED ON FORM 990 BUT NOT INCLUDED IN F/S**

PLEDGES DESIGNATED FOR OTHERS.....  
TOTAL \$ 606,532.  
\$ 606,532.

**SCHEDULE D, PART XII, LINE 4B  
OTHER EXPENSES INCLUDED ON FORM 990 BUT NOT INCLUDED IN F/S**

PLEDGES DESIGNATED FOR OTHERS.....  
TOTAL \$ 606,532.  
\$ 606,532.

**SCHEDULE I**  
**(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2014**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Open to Public Inspection

Name of the organization

Employer identification number

**ENVIRONMENTAL FEDERATION OF CALIFORNIA**

**94-2840364**

**Part I General Information on Grants and Assistance**

1. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
2. Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) AMERICAN RIVER CONSER. 348 HIGHWAY 49 COLOMA, CA 95613	68-0195752		12,457.	0.	BOOK	N/A	UNRESTRICTED
(2) ANZA-BORRÉGO FOUNDATION P.O. BOX 2001 BORRÉGO SPRINGS, CA 92004	33-0334338		8,812.	0.	BOOK	N/A	UNRESTRICTED
(3) BAY AREA RIDGE TRAIL 1007 GEN. KENNEDY AVE., STE 3 SAN FRANCISCO, CA 94129	94-3148503		13,595.	0.	BOOK	N/A	UNRESTRICTED
(4) BROTHERHOOD CRUSADE 200 E. SLAUSON AVE. LOS ANGELES, CA 90011	95-2543819		5,137.	0.	BOOK	N/A	UNRESTRICTED
(5) BUTTE ENVIRON. COUNCIL 116 WEST SECOND ST., SUITE 3 CHICO, CA 95928	94-2309829		5,966.	0.	BOOK	N/A	UNRESTRICTED
(6) CA NATIVE PLANT SOC. 2707 K STREET, SUITE 1 SACRAMENTO, CA 95816	94-6116403		9,662.	0.	BOOK	N/A	UNRESTRICTED
(7) CALIF. AGAINST WASTE 921 11TH ST., SUITE 420 SACRAMENTO, CA 95814	68-0032326		6,297.	0.	BOOK	N/A	UNRESTRICTED
(8) CLEAN WATER FUND 350 FRANK OGAWA PLZA, STE 200 OAKLAND, CA 94612	52-1043444		8,340.	0.	BOOK	N/A	UNRESTRICTED

2. Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 41
3. Enter total number of other organizations listed in the line 1 table 1

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

TEEA3901L 06/19/14

Schedule I (Form 990) (2014)

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered 'Yes' to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information:

**PART IV - ADDITIONAL SUPPLEMENTAL INFORMATION**

EARTHSHARE ANNUALLY RECEIVES FROM ITS MEMBERS AUDITS, IRS FORM 990S, 501(C) (3) DETERMINATION LETTERS, STATEMENTS OF THEIR ACTIVITIES IN THE UNITED STATES, AND AN ANNUAL REPORTING ON THE USES OF FUNDS RECEIVED FROM EARTHSHARE.

Continuation Sheet for Schedule I (Form 990)

2014

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 1 of 4

Name of the organization <b>ENVIRONMENTAL FEDERATION OF CALIFORNIA</b>	Employer identification number <b>94-2840364</b>
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**Part II** Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments. (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMM. FOR BETTER ENVIR 1904 FRANKLIN ST, STE 600 OAKLAND, CA 94612	94-2998086		6,002.		BOOK	N/A	UNRESTRICTED
DESERT TORTOISE PRES. 4067 MISSION INN AVE RIVERSIDE, CA 92501	23-7413415		13,804.		BOOK	N/A	UNRESTRICTED
EARTH ISLAND INSTITUTE 2150 ALLSTON WAY, STE 460 BERKELEY, CA 94704	94-2889684		7,789.		BOOK	N/A	UNRESTRICTED
EARTH SHARE NATIONAL 7735 OLDGEORGETOWN RD. STE 900 BETHESDA, MD 20814	52-1601960		272,489.		BOOK	N/A	UNRESTRICTED
ECOLOGY CENTER 2530 SAN PABLO AVENUE BERKELEY, CA 94702	94-1703351		7,138.		BOOK	N/A	UNRESTRICTED
ENVIRON. DEFENSE CTR 906 GARDEN ST, STE 2 SANTA BARBARA, CA 93101	77-0061994		7,634.		BOOK	N/A	UNRESTRICTED
ENVIRONMENTAL DEFENSE FD 123 MISSION ST SAN FRANCISCO, CA 94105	11-6107128		6,358.		BOOK	N/A	UNRESTRICTED
FRIENDS OF THE EARTH 2150 ALLSTON WAY, SUITE 240 BERKELEY, CA 94704	23-7420660		5,932.		BOOK	N/A	UNRESTRICTED
FRIENDS OF THE RIVER FDN 1418 20TH STREET, SUITE 100 SACRAMENTO, CA 95814	94-2400210		10,082.		BOOK	N/A	UNRESTRICTED
GOLDEN GATE NAT'L PARK FORT MASON CENTER, BLDG 201 SAN FRANCISCO, CA 94123	94-2781708		9,586.		BOOK	N/A	UNRESTRICTED

TEEA4001L 06/19/14

Schedule I Cont (Form 990) 2014

Continuation Sheet for Schedule I (Form 990)

2014

▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 2 of 4

Name of the organization: ENVIRONMENTAL FEDERATION OF CALIFORNIA  
 Employer identification number: 94-2840364

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments. (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GREENBELT ALLIANCE 312 SUTTER STREET, SUITE 510 SAN FRANCISCO, CA 94108	94-1676747		6,242.		BOOK	N/A	UNRESTRICTED
HEAL THE BAY 1444 9TH ST. SANTA MONICA, CA 90401	95-4031055		17,749.		BOOK	N/A	UNRESTRICTED
LEAGUE TO SAVE L. TAHOE 2608 LAKE TAHOE BOULEVARD S. LAKE TAHOE, CA 96150	94-6128680		5,447.		BOOK	N/A	UNRESTRICTED
MARIN AGRIC. LAND TR. P.O. BOX 809 POINT REYES ST., CA 94956	94-2689383		6,901.		BOOK	N/A	UNRESTRICTED
MOUNTAIN LION FDN 1225 8TH STREET, STE 435 SACRAMENTO, CA 95814	94-3015360		7,854.		BOOK	N/A	UNRESTRICTED
NATURAL RESOURCES DEF. 77 GEARY ST., 5TH FLOOR SAN FRANCISCO, CA 94104	13-2654926		19,942.		BOOK	N/A	UNRESTRICTED
NATURE CONSERVANCY CA 201 MISSION ST., 4TH FLOOR SAN FRANCISCO, CA 94105	53-0242652		62,428.		BOOK	N/A	UNRESTRICTED
ORGANIC FARMING RES. 303 POTRERO ST., STE. 203 SANTA CRUZ, CA 95050	77-0252545		5,582.		BOOK	N/A	UNRESTRICTED
OUR CITY FOREST 1590 LAS PLUMAS AVENUE SAN JOSE, CA 95133	77-0371911		5,523.		BOOK	N/A	UNRESTRICTED
PACIFIC ENVIRON. & RES. 473 PINE ST, THIRD FLOOR SAN FRANCISCO, CA 94104	94-2628924		5,366.		BOOK	N/A	UNRESTRICTED

TEEA4001L 06/19/14

Schedule I Cont (Form 990) 2014

Continuation Sheet for Schedule I (Form 990)

2014

▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 3 of 4

Name of the organization <b>ENVIRONMENTAL FEDERATION OF CALIFORNIA</b>	Employer identification number <b>94-2840364</b>
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**Part II** Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments. (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PESTICIDE ACTION NET 1611 TELEGRAPH AVE, STE 1200 OAKLAND, CA 94612	94-2949686		5,792.		BOOK	N/A	UNRESTRICTED
PLACER LAND TRUST 11661 BLOCKER DRIVE, ST 220 ADBURN, CA 95603	68-0223143		6,400.		BOOK	N/A	UNRESTRICTED
RAILS TO TRAILS CONSER 436 14TH ST, SUITE 416 OAKLAND, CA 94612	52-1437006		11,855.		BOOK	N/A	UNRESTRICTED
RAINFOREST ACTION NETW 425 BUSH ST., STE 300 SAN FRANCISCO, CA 94108	94-3045180		8,614.		BOOK	N/A	UNRESTRICTED
SACRAMENTO TREE FDN 191 LATHROP WAY, SUITE D SACRAMENTO, CA 95815	94-2825234		6,493.		BOOK	N/A	UNRESTRICTED
SAN DIEGO COASTKEEPER 2825 DEWEY RD, STE 200 SAN DIEGO, CA 92106	33-0647946		8,730.		BOOK	N/A	UNRESTRICTED
SAN GORGONIO WILDERNESS 34701 MILL CREEK ROAD MENTONE, CA 92359	33-0478045		8,980.		BOOK	N/A	UNRESTRICTED
SAVE OUR SHORES 345 LAKE AVE., SUITE A SANTA CRUZ, CA 95062	94-2745941		8,873.		BOOK	N/A	UNRESTRICTED
SAVE THE BAY 1330 BROADWAY, STE 1800 OAKLAND, CA 94612	94-6078420		8,935.		BOOK	N/A	UNRESTRICTED
SF BAYKEEPER 1736 FRANKLIN ST, STE 800 OAKLAND, CA 94612	68-0120240		8,767.		BOOK	N/A	UNRESTRICTED

TEEA4001L 06/19/14

Schedule I Cont (Form 990) 2014





**SCHEDULE O**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is  
at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public  
Inspection

Name of the organization

ENVIRONMENTAL FEDERATION OF CALIFORNIA  
DBA EARTH SHARE CALIFORNIA

Employer identification number

94-2840364

**FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS**

1) CFO WORKS WITH TAX PREPARERS TO FINALIZE DRAFT OF FORM 990. 2) ONCE DRAFT IS COMPLETED, COPY OF DRAFT WILL BE SUBMITTED TO ESCA FINANCE AND AUDIT COMMITTEE MEMBERS, AS WELL AS ESCA'S EXECUTIVE DIRECTOR. 3) ESCA FINANCE AND AUDIT COMMITTEE MEMBERS, AS WELL AS EXECUTIVE DIRECTOR, WILL REVIEW THE DRAFT AND MAKE SUGGESTIONS FOR NECESSARY CHANGES TO CFO, WHO WILL REVIEW COMMENTS AND DISCUSS AS NEEDED WITH TAX PREPARERS. 4) IF NECESSARY, ANY CHANGES NEEDED WILL BE INCORPORATED INTO THE FORM 990 AND A SECOND DRAFT PREPARED. 5) A FORMAL MEETING OF THE FINANCE AND/OR AUDIT COMMITTEES WILL BE SCHEDULED, DURING WHICH THE PROPOSED FINAL VERSION OF THE FORM 990 WILL BE DISCUSSED AND A VOTE TAKEN TO APPROVE THE DRAFT. 6) SHOULD THE MEETING OF THE FINANCE AND/OR AUDIT COMMITTEES RESULT IN MORE SUGGESTED CHANGES, THEN THESE CHANGES WILL BE DISCUSSED WITH THE TAX PREPARERS AND INCORPORATED INTO THE FORM 990. THEN, A FINAL DRAFT WILL BE RE-SUBMITTED TO THE FINANCE AND/OR AUDIT COMMITTEES FOR THEIR FINAL APPROVAL. 7) ONCE THE FINANCE AND/OR AUDIT COMMITTEES APPROVE THE FINAL VERSION OF THE FORM 990, THE TAX PREPARERS WILL THEN FILE THE FORM 990.

**FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS**

PER THE WRITTEN CONFLICT OF INTEREST POLICY, IT IS THE RESPONSIBILITY OF EACH BOARD MEMBER TO REPORT ANY POTENTIAL CONFLICTS OF INTEREST ON AN ANNUAL BASIS. THEREFORE, EACH YEAR, ALL BOARD MEMBERS OF ESCA ARE REQUIRED TO COMPLETE A FULL DISCLOSURE FORM CONCERNING PERTINENT ASPECTS OF ANY POTENTIAL OR ACTUAL CONFLICTS OF INTEREST AND TO SIGN AND DATE THE FORM. THESE FORMS ARE REVIEWED TO DETERMINE IF THERE HAVE BEEN ANY REPORTED CONFLICTS OF INTEREST. ANY REPORTED POTENTIAL OR ACTUAL CONFLICTS OF INTEREST WOULD BE INVESTIGATED BY THE EXECUTIVE COMMITTEE TO DETERMINE WHETHER OR NOT THEY REQUIRE ANY ACTION ON THE PART OF THE FULL BOARD, UP TO AND INCLUDING REMOVAL FROM THE BOARD SHOULD THAT BE DEEMED NECESSARY.

Name of the organization ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA	Employer identification number 94-2840364
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**FORM 990, PART VI, LINE 15A - COMPENSATION REVIEW & APPROVAL PROCESS - CEO & TOP MANAGEMENT**

THE PROCESS FOR DETERMINING THE INITIAL COMPENSATION TO OFFER THE EXECUTIVE DIRECTOR AND THE CHIEF FINANCIAL OFFICER IS CONDUCTED BY THE PERSONNEL COMMITTEE. THE COMMITTEE CONSIDERS COMPARABILITY DATA, DUTIES AND RESPONSIBILITIES OF THE POSITION(S) MEETING OF ORGANIZATIONAL OBJECTIVES, AND THE ORGANIZATIONS CURRENT FINANCIAL STATE. THE PERSONNEL COMMITTEE SUBMITS ITS REVIEW AND RECOMMENDATION OF COMPENSATION TO THE EXECUTIVE COMMITTEE FOR ITS RECOMMENDATION FOR FINAL APPROVAL TO THE BOARD OF DIRECTORS BEFORE THE INITIAL OFFER IS MADE.

THE ANNUAL REVIEW OF THE EXECUTIVE DIRECTOR IS CONDUCTED BY THE PERSONNEL COMMITTEE. THE PERSONNEL COMMITTEE CONDUCTS AN ANNUAL 360 REVIEW ALLOWING FOR INPUT FROM THE ED, ORGANIZATION STAFF, MEMBER GROUPS AND BOARD OF DIRECTORS. A REVIEW OF THE ED'S ACCOMPLISHMENTS IS ALSO TAKEN IN CONSIDERATION AND REVIEWED AGAINST THE ANNUAL WORK PLAN AND REVENUE. ONCE THE REVIEW IS COMPLETED THE PERSONNEL COMMITTEE HAS A CLOSED DOOR SESSION FOR REVIEW AND DISCUSSION. THE COMMITTEE THEN MEETS IN A CLOSED DOOR SESSION WITH THE EXECUTIVE COMMITTEE MEMBERS FOR FINAL APPROVAL OF SALARY INCREASE AND BONUS, IF TO BE OFFERED, TO THE ED. ANY CONSIDERATION OF A SALARY INCREASE OR BONUS IS DONE WITHIN THE CONSTRAINTS OF THE ORGANIZATION'S ANNUAL BUDGET. THE PRESIDENT OF THE BOARD HAS THE FINAL MEETING WITH THE ED TO PRESENT THE ANNUAL REVIEW AND THE SALARY AND BONUS TO BE OFFERED.

**FORM 990, PART VI, LINE 15B - COMPENSATION REVIEW & APPROVAL PROCESS - OFFICERS & KEY EMPLOYEES**

PRESENTLY THE ANNUAL REVIEW OF THE CFO IS CONDUCTED BY THE EXECUTIVE DIRECTOR. IN THE FUTURE, SHOULD THE ORGANIZATION GROW TO ACCOMMODATE ADDITIONAL KEY EMPLOYEES A REVIEW OF COMPENSATION WILL BE DONE BY THE PERSONNEL COMMITTEE.

**FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE**

FOR THE PRESENT TIME, THE GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE AVAILABLE TO THE PUBLIC UPON REQUEST. FORM 990, FINANCIAL STATEMENTS, AND PRIVACY

Name of the organization ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA	Employer identification number 94-2840364
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**FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE (CONTINUED)**

POLICY CAN BE VIEWED ON EARTHSHARE CALIFORNIA'S WEBSITE, OR ONLINE AT GUIDESTAR AND CHARITY NAVIGATOR.

## Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only.

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Enter filer's identifying number, see instructions

<b>Type or print</b>	Name of exempt organization or other filer, see instructions. <b>ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA</b>	Employer identification number (EIN) or
	Number, street, and room or suite number. If a P.O. box, see instructions. <b>870 MARKET STREET #703</b>	<b>94-2840364</b>
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>SAN FRANCISCO, CA 94102</b>	Social security number (SSN)

Enter the Return code for the return that this application is for (file a separate application for each return)  **01**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ PATRICIA SMITH

Telephone No. ▶ 415-981-1999 Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 2/15, 2016, to file the exempt organization return for the organization named above.

The extension is for the organization's return for:

- ▶  calendar year 20\_\_\_\_ or
- ▶  tax year beginning 7/01, 2014, and ending 6/30, 2015.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3a</b>	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b>	\$	0.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box.  **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions. <b>ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA</b>	Employer identification number (EIN) or <b>94-2840364</b>
	Number, street, and room or suite number. If a P.O. box, see instructions. <b>BREGANTE + COMPANY LLP, CPA'S 301 BATTERY ST, 2 MEZZANINE</b>	Social security number (SSN)
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>SAN FRANCISCO, CA 94111</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)..... **01**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ PATRICIA SMITH
- Telephone No. ▶ 415-981-1999 Fax No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)..... If this is for the whole group, check this box ... . If it is for part of the group, check this box ▶  and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 5/15, 20 16.
- For calendar year \_\_\_\_\_, or other tax year beginning 7/01, 20 14, and ending 6/30, 20 15.
- If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

7 State in detail why you need the extension.. ADDITIONAL TIME IS REQUIRED TO GATHER ALL THE INFORMATION NECESSARY FOR FILING A COMPLETE AND ACCURATE RETURN

8 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.....	8 a \$
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.....	8 b \$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.....	8 c \$

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ \_\_\_\_\_ Title ▶ **EXECUTIVE DIRECTOR** Date ▶ \_\_\_\_\_

BAA

California Exempt Organization Annual Information Return

Calendar Year 2014 or fiscal year beginning (mm/dd/yyyy) 7/01/2014, and ending (mm/dd/yyyy) 6/30/2015

Corporation/Organization name ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA California corporation number 1118060

Additional information. See instructions. FEIN 94-2840364 PMB no.

Street address (suite or room) 870 MARKET STREET #703

City SAN FRANCISCO State CA ZIP code 94102

Foreign country name Foreign province/state/county Foreign postal code

- A First Return  Yes  No
- B Amended Return  Yes  No
- C IRC Section 4947(a)(1) trust  Yes  No
- D Final Information Return?  Dissolved  Surrendered (Withdrawn)
  - Merged/Reorganized
  - Enter date (mm/dd/yyyy) \_\_\_\_\_
- E Check accounting method:
  - 1  Cash
  - 2  Accrual
  - 3  Other
- F Federal return filed?
  - 1  990T
  - 2  990-PF
  - 3  Sch H (990)
- G Is this a group filing? See instructions  Yes  No
- H Is this organization in a group exemption?  Yes  No  
If 'Yes,' what is the parent's name? \_\_\_\_\_
- I Did the organization have any changes to its guidelines not reported to the FTB? See instructions  Yes  No

- J If exempt under R&TC Section 23701d, has the organization engaged in political activities? See instructions  Yes  No
- K Is the organization exempt under R&TC Section 23701g?  Yes  No  
If 'Yes,' enter the gross receipts from nonmember sources \$ \_\_\_\_\_
- L If organization is exempt under R&TC Section 23701d and meets the filing fee exception, check box. No filing fee is required
- M Is the organization a Limited Liability Company?  Yes  No
- N Did the organization file Form 100 or Form 109 to report taxable income?  Yes  No
- O Is the organization under audit by the IRS or has the IRS audited in a prior year?  Yes  No
- P Is an IRS Form 1023/1024 pending?  Yes  No  
Date filed with IRS \_\_\_\_\_

CACA1112L 07/30/15

Part I Complete Part I unless not required to file this form. See General Instructions B and C.

Receipts and Revenues	1	Gross sales or receipts from other sources. From Side 2, Part II, line 8.	1	388,066.
	2	Gross dues and assessments from members and affiliates.	2	
	3	Gross contributions, gifts, grants, and similar amounts received.	3	1,086,089.
	4	Total gross receipts for filing requirement test. Add line 1 through line 3. This line must be completed. If the result is less than \$50,000, see General Instruction B...	4	1,474,155.
	5	Cost of goods sold.	5	
	6	Cost or other basis, and sales expenses of assets sold.	6	
Expenses	7	Total costs. Add line 5 and line 6.	7	
	8	Total gross income. Subtract line 7 from line 4.	8	1,474,155.
Expenses	9	Total expenses and disbursements. From Side 2, Part II, line 18.	9	1,552,678.
	10	Excess of receipts over expenses and disbursements. Subtract line 9 from line 8.	10	-78,523.
Filing Fee	11	Filing fee \$10 or \$25. See General Instruction F.	11	
	12	Total payments.	12	
	13	Penalties and Interest. See General Instruction J.	13	
	14	Use tax. See General Instruction K.	14	
	15	Balance due. Add line 11, line 13, and line 14. Then subtract line 12 from the result.	15	

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer	EXECUTIVE DIRECTOR	Date	Telephone 415-981-1999
Preparer's signature		Date	PTIN P01437149
Firm's name (or yours, if self-employed) and address	BREGANTE + COMPANY LLP, CPA'S 301 BATTERY ST, 2 MEZZANINE SAN FRANCISCO, CA 94111	Check if self-employed <input type="checkbox"/>	FEIN 94-2861940 Telephone 415-777-1001

May the FTB discuss this return with the preparer shown above? See instructions  Yes  No

**Part II Organizations with gross receipts of more than \$50,000 and private foundations regardless of amount of gross receipts — complete Part II or furnish substitute information.**

Receipts from Other Sources	1	Gross sales or receipts from all business activities. See instructions.	•	1	
	2	Interest	•	2	48.
	3	Dividends	•	3	215.
	4	Gross rents	•	4	
	5	Gross royalties	•	5	
	6	Gross amount received from sale of assets (See instructions)	•	6	
	7	Other income. Attach schedule	•	7	387,803.
	8	Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1.	•	8	388,066.
Expenses and Disbursements	9	Contributions, gifts, grants, and similar amounts paid. Attach schedule	•	9	1,033,541.
	10	Disbursements to or for members	•	10	
	11	Compensation of officers, directors, and trustees. Attach schedule	•	11	84,048.
	12	Other salaries and wages	•	12	122,778.
	13	Interest	•	13	
	14	Taxes	•	14	23,800.
	15	Rents	•	15	25,268.
	16	Depreciation and depletion (See instructions)	•	16	1,196.
	17	Other Expenses and Disbursements. Attach schedule	•	17	262,047.
	18	Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9.	•	18	1,552,678.

Schedule L Balance Sheets		Beginning of taxable year		End of taxable year	
		(a)	(b)	(c)	(d)
<b>Assets</b>					
1	Cash		840,775.		547,325.
2	Net accounts receivable		695,682.		822,593.
3	Net notes receivable				
4	Inventories				
5	Federal and state government obligations				
6	Investments in other bonds				
7	Investments in stock				
8	Mortgage loans				
9	Other investments. Attach schedule				
10a	Depreciable assets	34,520.		36,355.	
b	Less accumulated depreciation	31,200.	3,320.	32,396.	3,959.
11	Land				
12	Other assets. Attach schedule. STM 3		16,328.		16,861.
13	Total assets		1,556,105.		1,390,738.
<b>Liabilities and net worth</b>					
14	Accounts payable		106,988.		156,408.
15	Contributions, gifts, or grants payable		1,081,076.		987,472.
16	Bonds and notes payable				
17	Mortgages payable				
18	Other liabilities. Attach schedule. STM 4		82,159.		39,499.
19	Capital stock or principal fund		285,882.		207,359.
20	Paid-in or capital surplus. Attach reconciliation				
21	Retained earnings or income fund				
22	Total liabilities and net worth		1,556,105.		1,390,738.

**Schedule M-1 Reconciliation of income per books with income per return**

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$50,000.

1	Net income per books	•	-78,523.	7	Income recorded on books this year not included in this return. Attach schedule	•	
2	Federal income tax	•		8	Deductions in this return not charged against book income this year. Attach schedule	•	
3	Excess of capital losses over capital gains	•		9	Total. Add line 7 and line 8	•	
4	Income not recorded on books this year. Attach schedule	•		10	Net income per return. Subtract line 9 from line 6	•	-78,523.
5	Expenses recorded on books this year not deducted in this return. Attach schedule	•					
6	Total. Add line 1 through line 5	•	-78,523.				



**STATEMENT 1**  
**FORM 199, PART II, LINE 7**  
**OTHER INCOME**

PROGRAM SERVICE REVENUE.....	\$ 387,803.
TOTAL	\$ <u>387,803.</u>

**STATEMENT 2**  
**FORM 199, PART II, LINE 17**  
**OTHER EXPENSES**

ACCOUNTING FEES.....	\$ 37,339.
ADVERTISING AND PROMOTION.....	14,666.
BANK CHARGES.....	5,613.
CONFERENCES, CONVENTIONS, AND MEETINGS.....	3,466.
CONTRACT SERVICES.....	103,947.
INSURANCE.....	3,118.
MEMBERSHIP DUES.....	65.
OFFICE EXPENSES.....	963.
OTHER EMPLOYEE BENEFIT.....	33,109.
OTHER PROFESSIONAL FEES.....	85.
OUTSIDE COMPUTER & WEB SVCS.....	1,419.
PAYMENTS TO AFFILIATES.....	41,192.
POSTAGE AND SHIPPING.....	1,279.
PRINTING AND PUBLICATIONS.....	158.
REPAIRS & MAINTENANCE.....	135.
SPECIAL EVENTS.....	5,246.
STAFF DEVELOPMENT.....	108.
TAXES & LICENSES.....	230.
TELEPHONE.....	4,585.
TRAVEL.....	5,324.
TOTAL	\$ <u>262,047.</u>

**STATEMENT 3**  
**FORM 199, SCHEDULE L, LINE 12**  
**OTHER ASSETS**

DEPOSITS.....	2,760.
PREPAID EXPENSES AND DEFERRED CHARGES.....	14,101.
TOTAL	\$ <u>16,861.</u>

**STATEMENT 4**  
**FORM 199, SCHEDULE L, LINE 18**  
**OTHER LIABILITIES**

AFFILIATION FEES PAYABLE.....	39,499.
TOTAL	\$ <u>39,499.</u>

IN

MAIL TO:  
 Registry of Charitable Trusts  
 P.O. Box 903447  
 Sacramento, CA 94203-4470  
 Telephone: (916) 445-2021

WEBSITE ADDRESS:  
<http://ag.ca.gov/charities/>

## ANNUAL REGISTRATION RENEWAL FEE REPORT TO ATTORNEY GENERAL OF CALIFORNIA

Sections 12586 and 12587, California Government Code  
 11 Cal. Code Regs. sections 301-307, 311 and 312

Failure to submit this report annually no later than four months and fifteen days after the end of the organization's accounting period may result in the loss of tax exemption and the assessment of a minimum tax of \$800, plus interest, and/or fines or filing penalties as defined in Government Code Section 12586.1. IRS extensions will be honored.



State Charity Registration Number <u>048844</u> ENVIRONMENTAL FEDERATION OF CALIFORNIA DBA EARTH SHARE CALIFORNIA <small>Name of Organization</small> 870 MARKET STREET #703 <small>Address (Number and Street)</small> SAN FRANCISCO, CA 94102 <small>City or Town State ZIP Code</small>	Check if: <input type="checkbox"/> Change of address <input type="checkbox"/> Amended report Corporate or Organization No. <u>1118060</u> Federal Employer I.D. No. <u>94-2840364</u>
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**ANNUAL REGISTRATION RENEWAL FEE SCHEDULE (11 Cal. Code Regs. sections 301-307, 311 and 312)**  
 Make Check Payable to Attorney General's Registry of Charitable Trusts

Gross Annual Revenue	Fee	Gross Annual Revenue	Fee	Gross Annual Revenue	Fee
Less than \$25,000	0	Between \$100,001 and \$250,000	\$50	Between \$1,000,001 and \$10 million	\$150
Between \$25,000 and \$100,000	\$25	Between \$250,001 and \$1 million	\$75	Between \$10,000,001 and \$50 million	\$225
				Greater than \$50 million	\$300

**PART A – ACTIVITIES**

For your most recent full accounting period (beginning 7/01/14 ending 6/30/15) list:  
 Gross annual revenue \$ 1,474,155. Total assets \$ 1,390,738.

**PART B – STATEMENTS REGARDING ORGANIZATION DURING THE PERIOD OF THIS REPORT**

Note: If you answer 'yes' to any of the questions below, you must attach a separate sheet providing an explanation and details for each 'yes' response. Please review RRF-1 instructions for information required.

	Yes	No
1 During this reporting period, were there any contracts, loans, leases or other financial transactions between the organization and any officer, director or trustee thereof either directly or with an entity in which any such officer, director or trustee had any financial interest?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2 During this reporting period, was there any theft, embezzlement, diversion or misuse of the organization's charitable property or funds?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 During this reporting period, did non-program expenditures exceed 50% of gross revenues?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 During this reporting period, were any organization funds used to pay any penalty, fine or judgment? If you filed a Form 4720 with the Internal Revenue Service, attach a copy.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 During this reporting period, were the services of a commercial fundraiser or fundraising counsel for charitable purposes used? If 'yes,' provide an attachment listing the name, address, and telephone number of the service provider.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 During this reporting period, did the organization receive any governmental funding? If so, provide an attachment listing the name of the agency, mailing address, contact person, and telephone number.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 During this reporting period, did the organization hold a raffle for charitable purposes? If 'yes,' provide an attachment indicating the number of raffles and the date(s) they occurred.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 Does the organization conduct a vehicle donation program? If 'yes,' provide an attachment indicating whether the program is operated by the charity or whether the organization contracts with a commercial fundraiser for charitable purposes.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9 Did your organization have prepared an audited financial statement in accordance with generally accepted accounting principles for this reporting period?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Organization's area code and telephone number 415-981-1999

Organization's e-mail address \_\_\_\_\_

I declare under penalty of perjury that I have examined this report, including accompanying documents, and to the best of my knowledge and belief, it is true, correct and complete.

	PATRICIA SMITH <small>Printed Name</small>	EXECUTIVE DIRECTOR <small>Title</small>	_____ <small>Date</small>
<small>Signature of authorized officer</small>			

# GLOBAL IMPACT

Growing global philanthropy

February 24, 2017

Angela Calvillo, Clerk of the Board  
Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

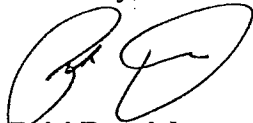
Dear Ms. Calvillo:

On behalf of our member charities, Global Impact requests participation in the 2017 San Francisco City and County Annual Joint Fundraising Drive. Enclosed for your review is the list showing those of our charity partners with representation in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin. Also included is our complete list of all participating member charities, program description for each charity partner, Global Impact's IRS determination letter and current Audit.

Per section 16.93-2, Global Impact is in compliance with all criteria. Global Impact and its members are exempt under Section 501(c)(3) of the United State Internal Revenue Code of 1954. Global Impact serves as the fiscal representative for its members and will provide documentation supporting their eligibility upon request.

If you have questions or need additional information, please contact me at 703-717-5232 or Tom DeCoursey, Director of National Campaign Engagement, at 703-717-5217. We thank the campaign committee for their consideration of our application and look forward to a successful 2017 campaign.

Sincerely,



**Priti Derrick**  
Director, Charity Services  
[priti.derrick@charity.org](mailto:priti.derrick@charity.org)  
703-717-5232

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**Global Impact**  
**San Francisco Bay Area Members**  
**2017 City and County of San Francisco Listing**

**Accion International**

Mr. Ronald Hoge  
1200 California St Unit 24C  
San Francisco, CA 94109  
650-246-6002

**American Himalayan Foundation**

Erica Stone, President  
909 Montgomery Street, Suite 400  
San Francisco, CA 94133  
415-288-7245

**American Jewish World Service**

Marina Javor, Development Officer  
131 Steuart Street, Suite 200  
San Francisco, CA 94105-1242  
415-593-3286

**American Near East Refugee Aid (ANERA)**

Mr. Kamel Ayoub, Director  
972 Mission Street  
San Francisco, CA 94103  
640-347-4444

**American Refugee Committee**

Richard Voelbel  
124 8th Avenue  
San Francisco, CA 94118  
[rvoelbel@zelle.com](mailto:rvoelbel@zelle.com)

**Ashoka**

Michael Zakaras  
53 Yosemite Ave.  
Oakland, CA 94611  
[mzakaras@ashoka.org](mailto:mzakaras@ashoka.org)

**CARE**

Fredrick Anyanwu  
465 California Street #475  
San Francisco, CA 94104  
415-874-4512

**Church World Service**

Rev. Patricia De Jong  
1st Vice Chairperson, CWS Board of Directors  
16331 Norrbom Road  
Sonoma, CA 95476  
[patriciadejong@gmail.com](mailto:patriciadejong@gmail.com)

**Compassion International**

Ken McKinney  
2419 42nd Avenue  
San Francisco, CA 94116  
415-728-4446

**Doctors Without Borders/Medécins Sans**

**Frontières USA**

Kassia Echavarri-Queen  
1111 Wisconsin St  
San Francisco, CA 94107  
415-312-4763

**EngenderHealth**

Theresa Kim  
Communications & Marketing  
486 Grizzly Peak Boulevard  
Berkeley, CA 94708  
[tkim@engenderhealth.org](mailto:tkim@engenderhealth.org)

**Episcopal Relief & Development**

Sean McConnell  
Senior Director, Engagement  
154 Ignacio Valley Circle  
Novato, CA 94949  
[smcconnell@episcopalrelief.org](mailto:smcconnell@episcopalrelief.org)

**Health Volunteers Overseas**  
San Francisco General Hospital  
Dr. Richard Coughlin  
1001 Potrero Avenue, 3A36  
San Francisco, CA 94110  
415-206-8812

**Heifer International**  
Connie George, Associate Director of Philanthropy  
– Western Region  
531 29th St  
San Francisco, CA 94131  
[Connie.george@heifer.org](mailto:Connie.george@heifer.org)

**Helen Keller International**  
Bruce E. Spivey, MD, MS, MED  
President, International Council of Ophthalmology  
San Francisco, California  
945 Green Street, No. 10  
San Francisco, CA 94133-3601  
415-409-8410

**HIAS**  
Amy Weiss, Director of Refugee & Immigrant  
Services, HIAS Affiliate  
2484 Shattuck Avenue, Ste. 210  
Berkeley, CA 94704  
925-927-2000  
[aweiss@jfcsc-eastbay.org](mailto:aweiss@jfcsc-eastbay.org)

**International Center for Research on Women**  
Lareina Yee  
McKinsey Global Institute  
555 California Street, Suite 4700  
San Francisco, CA 94104  
415-318-5374  
[lareina\\_yee@mckinsey.com](mailto:lareina_yee@mckinsey.com)

**International Relief Teams**  
John Brown, M.D.  
397 Arlington Street  
San Francisco, CA 94131  
415-584-9376

**International Rescue Committee, Oakland**  
Karen Ferguson  
Executive Director  
440 Grand Avenue, Suite 500  
Oakland, CA 94610  
[Karen.Ferguson@rescue.org](mailto:Karen.Ferguson@rescue.org)

**International Orthodox Christian Charities**  
Steve Kreta  
Charities Metropolitan Committee  
2754 Larkey Lane  
Walnut Creek, CA 94596  
707-654-1019

**KickStart International**  
Michael Mills  
Business Operations Manager  
123 10th Street  
San Francisco, CA 94103  
[info@kickstart.org](mailto:info@kickstart.org)

**Mercy Corps**  
Gisel Kordestani  
COO and Co-founder Crowdpc  
11 Faxon Forest  
Atherton, CA 94027  
415-994-6359

**Opportunity International**  
Jennifer Mitrenga  
460 Mariposa St  
Brisbane, CA 94005  
[jmitrenga@opportunity.org](mailto:jmitrenga@opportunity.org)

**Oxfam America**  
Brian Rawson  
3121 Stone Cliff Court  
Richmond, CA 94806  
[BRawson@OxfamAmerica.org](mailto:BRawson@OxfamAmerica.org)

**PATH**  
Bridget Brennan  
Sr. Manager, Outreach and Development  
600 California Street, 11th floor  
San Francisco, CA 94108 USA  
415-429-6061  
[bbrennan@path.org](mailto:bbrennan@path.org)

**Pact**  
Pamela Roussos  
Senior Director at Global Social Benefit Institute  
Santa Clara University  
500 El Camino Real  
Santa Clara, CA 95053  
[pamela\\_roussos@hotmail.com](mailto:pamela_roussos@hotmail.com)

**Plan International USA**

Mr. Tamer Rashad  
545 San Antonio Rd Apt 315  
Mountain View, CA 94040-1353  
[tamer@humtap.com](mailto:tamer@humtap.com)

**Refugees International**

Mrs. Joy Alferness  
658 Wisconsin St  
San Francisco, CA 94107-2734  
415-596-7757  
[joy.lian@gmail.com](mailto:joy.lian@gmail.com)

**Rise Against Hunger formerly Stop Hunger Now**

Karen Sanders Noe  
2296 Tripaldi Way  
Hayward, CA 94545  
(408) 781-6166  
[knoe@stophungernow.org](mailto:knoe@stophungernow.org)

**Save the Children USA**

Ashley Snow  
Manager of Engagement  
734 Bush Street, Apt. 33  
San Francisco, CA 94108  
16-218-9085  
[ASnow@savechildren.org](mailto:ASnow@savechildren.org)

**SEE International**

Andrew Doraiswamy, Ph.D.  
Oculeve Inc.  
395 Oyster Point, Suite 501  
San Francisco, CA 94080  
[andyswamy@gmail.com](mailto:andyswamy@gmail.com)

**The Salvation Army World Service Office  
(SAWSO)**

Lt. Col. Timothy Foley  
832 Folsom Street  
San Francisco, CA 94107  
415-553-3500

**United Seamen's Service**

Mr. David Heindel  
Secretary-Treasurer  
Seafarers International Union of N.A.  
121 7th Street  
Oakland, CA 94607-2601  
510-444-2360

**Unitarian Universalist Service Committee  
(UUSC)**

Rev. John Buehrens  
1333 Gough St 1-D  
San Francisco, CA 94109  
415-814-2019

**Water For People**

Vicky Andersen  
c/o Brown & Caldwell  
201 N. Civic Drive, Suite 300  
Walnut Creek, CA 94596  
925-210-2226

**World Bicycle Relief**

Mike McClure  
245 Lytton Ave, Suite 250  
Palo Alto, CA 94301  
208-720-2763

**World Vision**

Mary Garcia  
4808 Townsend Ave  
Los Angeles, CA 90041  
323.246.8470  
[mgarcia@worldvision.org](mailto:mgarcia@worldvision.org)





**GLOBAL IMPACT**  
**2017 San Francisco City and County Annual Joint Fundraising Drive**  
**Participating Member Charities**

1. Global Impact
2. Accion International
3. Africare
4. American Himalayan Foundation
5. American Jewish World Service
6. American Near East Refugee Aid (ANERA)
7. American Refugee Committee
8. AmeriCares
9. Ashoka
10. CARE
11. ChildFund International
12. Children International
13. Church World Service
14. Clinton Foundation
15. Compassion International
16. Doctors Without Borders/Medécins Sans Frontières USA
17. ECHO
18. EngenderHealth
19. Episcopal Relief & Development
20. FINCA International
21. Global Partners in Care
22. Handicap International
23. Healing the Children
24. Health Volunteers Overseas
25. Heifer International
26. Helen Keller International
27. HIAS
28. Human Rights Watch
29. International Center for Research on Women
30. International Eye Foundation
31. International Medical Corps
32. International Orthodox Christian Charities
33. International Relief Teams
34. International Rescue Committee
35. Kickstart International
36. MAP International
37. Medical Team International
38. Mercy Corps
39. Operation Smile
40. Opportunity International
41. Oxfam America
42. Pact
43. Pan American Development Foundation
44. Partners In Health
45. PATH
46. Plan International USA
47. Prison Fellowship International
48. Project HOPE
49. Refugees International
50. Rise Against Hunger formerly Stop Hunger Now
51. Rotary Foundation of Rotary International
52. The Salvation Army World Service Office (SAWSO)
53. Save the Children
54. SEE International
55. SOS Children's Villages – USA
56. UNICEF, The U.S. Fund for
57. Unitarian Universalist Service Committee
58. United Methodist Committee on Relief (UMCOR)
59. United Seamen's Service
60. Water for People
61. Women for Women International
62. World Bicycle Relief
63. World Relief
64. World Renew
65. World Vision



Charity Name	25 Word Description	Best Number	WebSite
Global Impact	Supports respected and effective international charities to address critical humanitarian issues throughout the world, such as disaster response, human trafficking, education, malaria, water and hunger.	800-836-4620	www.charity.org
Accion International	Building a financially inclusive world, with access to economic opportunity for all, by giving everyone the financial tools they need to improve their lives.	800-931-9951	www.accion.org
Africare	Develops self-help programs in Africa to increase food production, develop clean water resources, manage the environment, strengthen health care and deliver emergency assistance.	202-462-3614	www.africare.org
American Himalayan Foundation	For Tibetans, Sherpas, and Nepalis in the Himalaya who are in need and have no one else, we bring life-changing education, healthcare, and opportunity.	415-288-7245	www.himalayan-foundation.org
American Jewish World Service	Inspired by the Jewish commitment to justice, American Jewish World Service (AJWS) works to realize human rights and end poverty in the developing world.	800-889-7146	www.ajws.org
American Near East Refugee Aid (ANERA)	Addresses the development and humanitarian needs of Palestinians and other communities in the Middle East.	202-266-9700	www.anera.org
American Refugee Committee	International relief and development agency empowering people impacted by conflict and disaster to recover and rebuild their lives with dignity.	800-875-7060	www.arcrelief.org
Americares	To save lives and improve health for people affected by poverty or disaster so they can reach their full potential.	800-486-4357	www.americares.org
Ashoka	To advance an Everyone a Changemaker world, where anyone can apply the skills of changemaking to solve complex social problems.	703-527-8300	www.ashoka.org
CARE	Started in 1945 delivering CARE Packages to war-torn Europe. Today, working around the globe to save lives, defeat poverty and achieve social justice.	800-422-7385	www.care.org
ChildFund International	Helping deprived, excluded and vulnerable children have the capacity to become young adults and leaders who bring lasting and positive change to their communities.	800-776-6767	www.childfund.org
Children International	We transform lives by educating people who want to make a difference about the realities of poverty and connecting them with opportunities to help children.	800-888-3089	www.children.org

Church World Service	Church World Service works with partners to eradicate hunger and poverty and promote peace and justice among the world's most vulnerable people.	800-297-1516	<a href="http://www.cwsglobal.org">www.cwsglobal.org</a>
Clinton Foundation	We convene businesses, governments, NGOs, and individuals to improve global health and wellness, increase opportunity for women and girls, reduce childhood obesity, create economic opportunity.	646-775-9179	<a href="http://www.clintonfoundation.org">www.clintonfoundation.org</a>
Compassion International	Compassion International exists as a child-advocacy ministry to release children from their spiritual, economic, social, and physical poverty to become responsible, fulfilled Christian adults.	800-336-7676	<a href="http://www.compassion.com">www.compassion.com</a>
Doctors Without Borders/Médecins Sans Frontières USA	An independent international medical humanitarian organization that delivers emergency aid to people affected by armed conflict, epidemics and natural disasters in more than 70 countries.	888-392-0392	<a href="http://www.doctorswithoutborders.org">www.doctorswithoutborders.org</a>
ECHO	ECHO fights world hunger by using science, technology and training to develop agricultural solutions to aid farmers in developing countries.	239-567-3319	<a href="http://www.echonet.org">www.echonet.org</a>
EngenderHealth	Women's health organization committed to the belief that sexual and reproductive health is a human right and vital for women to reach their full potential.	212-561-8021	<a href="http://www.engenderhealth.org">www.engenderhealth.org</a>
Episcopal Relief & Development	Works with more than 3 million people in nearly 40 countries to overcome poverty, hunger and disease through programs that utilize local resources and expertise.	855-312-HEAL (4325)	<a href="http://www.episcopalrelief.org">www.episcopalrelief.org</a>
FINCA International	FINCA's mission is to alleviate poverty through lasting solutions that help people build assets, create jobs and raise their standard of living.	202-682-1510	<a href="http://www.finca.org">www.finca.org</a>
Global Partners in Care	Improving access to hospice and palliative care worldwide where the need is great and the resources are few through partnerships between US and international organizations.	703-647-5176	<a href="http://www.globalpartnersincare.org">www.globalpartnersincare.org</a>
Handicap International	Co-winner of the Nobel Peace Prize, we support people with disabilities and other vulnerable groups in situations of conflict, natural disaster, exclusion, and poverty.	301-891-2138	<a href="http://www.handicap-international.us">www.handicap-international.us</a>
Healing the Children	Is an international, nonprofit organization dedicated to helping underserved children around the world secure the medical care they desperately need to live more fulfilling lives.	800-992-0324	<a href="http://www.healingthechildren.org">www.healingthechildren.org</a>


Health Volunteers Overseas	Trains, mentors and provides critical professional support to more than 3000 healthcare providers who care for the neediest populations in over 25 countries.	202-296-0928	www.hvouasa.org
Heifer International	Helps poor families worldwide become self-sufficient by providing livestock and training in animal management, environmentally-sound farming and community development.	888-548-6437	www.heifer.org
Helen Keller International	Saves the sight and lives of the vulnerable and disadvantaged; combats the causes and consequences of blindness, poor health and malnutrition.	877-535-5374	www.hki.org
HIAS	For more than 130 years, HIAS has been helping refugees rebuild their lives in safety and freedom.	212-613-1438	www.hias.org
Human Rights Watch	Defend the rights of people worldwide. We scrupulously investigate abuses, expose the facts widely, and pressure those with power to respect rights and secure justice.	212-216-1873	www.hrw.org
International Center for Research on Women (ICRW)	Create a brighter, more equitable future for women and girls. ICRW empowers women, advances gender equality and fights poverty through research, capacity building and advocacy.	202-742-1239	www.icrw.org
International Eye Foundation	A global leader in sustainability programming changing how eye care is delivered in the developing world through training, technical assistance, and investments in capacity building.	240-290-0263	www.iefusa.org
International Medical Corps	Global humanitarian organization saving lives and building self-reliance by providing vital medical care; training healthcare providers; rebuilding clinics; and improving water & sanitation.	424-252-6008	www.internationalmedicalcorps.org
International Orthodox Christian Charities	Provides humanitarian/development assistance to people in U.S., Africa, Asia, Europe and the Middle East who have been devastated by man-made and natural disasters.	877-803-4622	www.iocc.org
International Relief Teams	Alleviates human suffering by providing health services and other assistance to victims of disaster, poverty and neglect, in the United States and around the world.	619-284-7979	www.irteams.org
International Rescue Committee, Inc.	Responding to the world's worst humanitarian crises, helping people to survive, recover and reclaim control of their future.	855-973-7283	www.rescue.org

KickStart International	Our mission is to get millions of people out of poverty quickly, cost-effectively and sustainably.	415-346-4820	<a href="http://www.kickstart.org">www.kickstart.org</a>
MAP International	A global health organization that partners with people living in conditions of poverty to save lives and develop healthier families and communities.	800-225-8550	<a href="http://www.map.org">www.map.org</a>
Medical Teams International	A humanitarian relief and development organization serving vulnerable people impacted by disaster, conflict and poverty around the world.	800-959-4325	<a href="http://www.medicalteams.org">www.medicalteams.org</a>
Mercy Corps	Mercy Corps empowers people to survive through crisis, build better lives and transform their communities for good.	800-292-3355	<a href="http://www.mercycorps.org">www.mercycorps.org</a>
Operation Smile	Driven by our compassion for children, we work to repair childhood facial deformities by delivering safe, effective surgery and related medical care directly to patients.	888-677-6453	<a href="http://www.operationssmile.org">www.operationssmile.org</a>
Opportunity International	We unleash the power of entrepreneurs in the developing world. Our services allow more people to expand their businesses, create jobs and change the world.	312-487-5037	<a href="http://www.opportunity.org">www.opportunity.org</a>
Oxfam America	Oxfam America is a global organization working to right the wrong of poverty.	800-776-9326	<a href="http://www.oxfamamerica.org">www.oxfamamerica.org</a>
Pact	Pact enables systemic solutions allowing people to earn a dignified living, be healthy, and benefit from their natural environment, transforming lives in almost 30 countries.	202-466-5666	<a href="http://www.pactworld.org">www.pactworld.org</a>
Pan American Development Foundation	Empowers disadvantaged people in Latin America and the Caribbean to achieve economic and social progress, strengthen civil society, and prepare for and respond to disasters.	202-458-3969	<a href="http://www.padf.org">www.padf.org</a>
Partners In Health	Our mission is to provide a preferential option for the poor in health care.	857-880-5600	<a href="http://www.pih.org">www.pih.org</a>
PATH	PATH's mission is to improve the health of people around the world by advancing technologies, strengthening systems, and encouraging healthy behaviors.	206-302-4510	<a href="http://www.path.org">www.path.org</a>
Plan International USA	Plan International USA is part of a global organization that works with communities in 52 developing countries to end the cycle of poverty for children.	800-556-7918	<a href="http://www.planusa.org">www.planusa.org</a>
Prison Fellowship International	Prison Fellowship International follows God's call to proclaim the Gospel and to alleviate the suffering of prisoners and their families around the world.	(703) 481-0000	<a href="http://www.pfi.org">www.pfi.org</a>

Project HOPE	Provides sustainable improvements in health around the globe through education and humanitarian assistance with more than 92 percent of revenues dedicated to programs.	800-544-4673	<a href="http://www.projecthope.org">www.projecthope.org</a>
Refugees International	Refugees receive food, shelter and protection; displaced families return home, stateless people obtain legal status, the weak protected. We advocate to resolve refugee crises.	1-800-REFUGEE	<a href="http://www.refugeesinternational.org">www.refugeesinternational.org</a>
Rise Against Hunger formerly Stop Hunger Now	Provides food and life changing aid to the world's most vulnerable and supports the movement to end hunger in our lifetime.	919-839-0689	<a href="http://www.stophungernow.org">www.stophungernow.org</a>
Rotary Foundation of Rotary International	Our mission is to advance world understanding, goodwill and peace through the improvement of health, the support of education and the alleviation of poverty.	866-976-8279	<a href="http://www.rotary.org">www.rotary.org</a>
Save the Children	Our Mission is to inspire breakthroughs in the way the world treats children, and to achieve immediate and lasting change in their lives.	800-728-3843	<a href="http://www.savethechildren.org">www.savethechildren.org</a>
SEE International	Committed to restoring sight and transforming lives of blind people in developing countries. Since 1974, nearly half a million people have received free eye surgery.	877-937-3133	<a href="http://www.seeintl.org">www.seeintl.org</a>
SOS Children's Villages – USA	We provide loving, stable homes for orphaned and abandoned children, and education, medical, and community strengthening programs to help empower vulnerable families.	202-347-7920	<a href="http://www.sos-usa.org">www.sos-usa.org</a>
The Salvation Army World Service Office (SAWSO)	With a presence in 127 countries, we work alongside communities to improve the health, economic and spiritual conditions of the world's most vulnerable.	703-684-5500	<a href="http://www.sawso.org">www.sawso.org</a>
UNICEF, The U.S. Fund for	We believe in a world where ZERO children die from causes we can prevent. Join us, and we can get there.	800-367-5437	<a href="http://www.unicefusa.org">www.unicefusa.org</a>
Unitarian Universalist Service Committee	UUSC advances human rights and social justice. Our innovative approaches and impact are grounded in the belief that all people have inherent dignity and rights.	617-301-4350	<a href="http://www.uusc.org">www.uusc.org</a>
United Methodist Committee on Relief (UMCOR)	To alleviate human suffering. We provide practical, proactive support to the most vulnerable survivors of chronic or temporary emergencies due to natural or civil causes.	888-252-6174	<a href="http://www.umcor.org">www.umcor.org</a>

United Seamen's Service	Provides overseas health and welfare services to the American Merchant Marine, seafarers of allied nations, US Govt, military & civilian personnel.	201-369-1100	<a href="http://www.unitedseamensservice.org">www.unitedseamensservice.org</a>
Water For People	An international organization that supports the development of sustainable drinking water resources, sanitation facilities, and hygiene education programs in developing countries.	720-488-4590	<a href="http://www.waterforpeople.org">www.waterforpeople.org</a>
Women for Women International	Women for Women International helps women survivors of war and conflict rebuild their lives through a yearlong comprehensive social and economic empowerment program.	202-521-0016	<a href="http://www.womenforwomen.org">www.womenforwomen.org</a>
World Bicycle Relief	Our mission is to create access to education, healthcare, and economic opportunities in developing regions of the world where distance is a challenge.	312-664-3836	<a href="http://www.worldbicyclerelief.org">www.worldbicyclerelief.org</a>
World Relief	Provides emergency relief and community-based solutions to alleviate poverty in 27 countries and provides assistance to refugees in the United States.	443-451-1900	<a href="http://www.worldrelief.org">www.worldrelief.org</a>
World Renew	Fighting poverty, hunger and injustice through partnerships and locally originated community development programs; responding to disasters with emergency supplies and reconstruction of homes and livelihoods.	800-552-7972	<a href="http://www.worldrenew.net">www.worldrenew.net</a>
World Vision	Relief and development organization helping children worldwide by tackling causes of poverty and developing access to clean water, food, health care, education and economic opportunity.	800-859-5437	<a href="http://www.worldvision.org">www.worldvision.org</a>



 **IRS** Department of the Treasury  
Internal Revenue Service  
P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0752255943  
July 02, 2014 LTR 4168C 0  
52-1273585 000000 00  
00048152  
BODC: TE

GLOBAL IMPACT  
1199 N FAIRFAX STE 300  
ALEXANDRIA VA 22314



033502

Employer Identification Number: 52-1273585  
Person to Contact: Customer Service  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your June 23, 2014, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in MAY 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.



IRS e-file Signature Authorization for an Exempt Organization

For calendar year 2015, or fiscal year beginning 07/01, 2015, and ending 06/30, 2016

Do not send to the IRS. Keep for your records.

Information about Form 8879-EO and its instructions is at www.irs.gov/form8879eo.

2015

Department of the Treasury Internal Revenue Service

Name of exempt organization GLOBAL IMPACT

Employer identification number 52-1273585

Name and title of officer SCOTT JACKSON, PRESIDENT AND CEO

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

Table with 5 rows (1a-5a) and 5 columns (Form type, box, description, code, amount). Row 1a: Form 990 check here, [X], Total revenue, if any (Form 990, Part VIII, column (A), line 12), 1b, 39486611.

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2015 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

[X] I authorize BDO USA, LLP to enter my PIN 73585 as my signature

on the organization's tax year 2015 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

[ ] As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2015 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature: Scott Jackson, Digitally signed by Scott Jackson, DN: cn=Scott Jackson, o=Global Impact, ou\_email=scott.jackson@charity.org, c=US, Date: 2/17/2017

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

54621822361

I certify that the above numeric entry is my PIN, which is my signature on the 2015 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature: Joyce Underwood, Date: 02/16/2017

ERO Must Retain This Form - See Instructions Do Not Submit This Form To the IRS Unless Requested To Do So

For Paperwork Reduction Act Notice, see back of form.



# Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Form **990**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2015

Department of the Treasury  
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.
- ▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Open to Public Inspection**

**A** For the 2015 calendar year, or tax year beginning 07/01, 2015, and ending 06/30, 2016

<b>B</b> Check if applicable:	<input type="checkbox"/>	Address change	<b>C</b> Name of organization GLOBAL IMPACT Doing Business As Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1199 NORTH FAIRFAX ST, SUITE 300 City or town, state or province, country, and ZIP or foreign postal code ALEXANDRIA, VA 22314			<b>D</b> Employer identification number 52-1273585
	<input type="checkbox"/>	Name change				<b>E</b> Telephone number (703) 717-5200
	<input type="checkbox"/>	Initial return				<b>G</b> Gross receipts \$ 39,522,904.
	<input type="checkbox"/>	Terminated				<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/>	Amended return		<b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No	If "No," attach a list. (see instructions)		
<input type="checkbox"/>	Application pending		<b>H(c)</b> Group exemption number ▶			
<b>I</b> Tax-exempt status:		<input checked="" type="checkbox"/> 501(c)(3)	<input type="checkbox"/> 501(c) ( ) ◀ (insert no.)	<input type="checkbox"/> 4947(a)(1) or	<input type="checkbox"/> 527	
<b>J</b> Website: ▶ WWW.CHARITY.ORG						
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			<b>L</b> Year of formation: 1981		<b>M</b> State of legal domicile: DC	

**Part I Summary**

<b>Activities &amp; Governance</b>	1	Briefly describe the organization's mission or most significant activities: GLOBAL IMPACT BUILDS PARTNERSHIPS AND RESOURCES FOR THE WORLD'S MOST VULNERABLE PEOPLE.		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	19.
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	18.
	5	Total number of individuals employed in calendar year 2015 (Part V, line 2a)	5	79.
	6	Total number of volunteers (estimate if necessary)	6	18.
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	58,883.
	b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
<b>Revenue</b>	8	Contributions and grants (Part VIII, line 1h)	43,300,109.	36,831,703.
	9	Program service revenue (Part VIII, line 2g)	2,145,376.	2,630,245.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	70,769.	24,663.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	45,516,254.	39,486,611.
<b>Expenses</b>	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	35,406,476.	30,751,857.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	6,212,916.	6,190,790.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 628,684.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	3,809,962.	4,061,508.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	45,429,354.	41,004,155.
19	Revenue less expenses. Subtract line 18 from line 12	86,900.	-1,517,544.	
<b>Net Assets or Fund Balances</b>	20	Total assets (Part X, line 16)	25,760,932.	23,891,112.
	21	Total liabilities (Part X, line 26)	19,904,237.	19,555,776.
	22	Net assets or fund balances. Subtract line 21 from line 20	5,856,695.	4,335,336.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	▶ Signature of officer	02/17/2017
	▶ SCOTT JACKSON Type or print name and title	PRESIDENT AND CEO

<b>Paid Preparer Use Only</b>	Print/Type preparer's name JOYCE UNDERWOOD	Preparer's signature <i>Joyce Underwood</i>	Date 02/16/2017	Check <input type="checkbox"/> if self-employed	PTIN P00022361
	Firm's name ▶ BDO USA, LLP	Firm's EIN ▶ 13-5381590		Phone no. 703-893-0600	

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2015)

**Part III** Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III  Yes  No

1 Briefly describe the organization's mission:  
GLOBAL IMPACT BUILDS PARTNERSHIPS AND RESOURCES FOR THE WORLD'S MOST  
VULNERABLE PEOPLE.  
(CONTINUED ON SCHEDULE O)

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No  
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No  
If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 30,448,197. including grants of \$ 26,768,755. ) (Revenue \$ 418,869. )  
CAMPAIGN SOLUTIONS. (SEE SCHEDULE O FOR CONTINUATION.)

4b (Code: ) (Expenses \$ 6,215,942. including grants of \$ 3,983,102. ) (Revenue \$ 2,152,493. )  
PARTNER SOLUTIONS. (SEE SCHEDULE O FOR CONTINUATION.)

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services (Describe in Schedule O.)  
(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses ▶ 36,664,139.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A. . . . .	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . . .	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II. . . . .	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III. . . . .		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I. . . . .	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II. . . . .		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V. . . . .		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI . . . . .	X	
b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . .		X
c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. . . . .		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. . . . .	X	
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X . . . . .	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X . . . . .	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII . . . . .	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional . . . . .		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E. . . . .		X
14a Did the organization maintain an office, employees, or agents outside of the United States?. . . . .		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . .	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV . . . . .	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV . . . . .	X	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions). . . . .		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .		X

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H. . . . .		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II. . . . .	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III. . . . .		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J. . . . .	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I . . . . .		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II . . . . .		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III. . . . .		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . .		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . .		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV. . . . .		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M. . . . .		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M . . . . .		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I. . . . .		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II . . . . .		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I . . . . .		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .	X	
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . . .		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 . . . . .		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI . . . . .		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	X	



Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for line number, description, and Yes/No checkboxes. Includes lines 1a-14b with various tax-related questions and numerical inputs.

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI  X

**Section A. Governing Body and Management**

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . . . . If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	19	
b	Enter the number of voting members included in line 1a, above, who are independent . . . . .	18	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . . . .		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .		X
6	Did the organization have members or stockholders? . . . . .		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . .		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body? . . . . .	X	
b	Each committee with authority to act on behalf of the governing body? . . . . .	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . . . .		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . .	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done . . . . .	X	
13	Did the organization have a written whistleblower policy? . . . . .	X	
14	Did the organization have a written document retention and destruction policy? . . . . .	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official . . . . .	X	
b	Other officers or key employees of the organization . . . . .	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .		

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed **ATTACHMENT 1**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website  Another's website  Upon request  Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, address, and telephone number of the person who possesses the organization's books and records: **MARK MILLIGAN, MNG DIR-FINANCE 1199 N FAIRFAX ST #300 ALEXANDRIA, VA 22314 703-717-5200**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII.  X

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) SCOTT JACKSON PRESIDENT & CEO	40.00 0.	X		X			375,251.	0.	38,357.	
(2) STEVE POLO BOARD CHAIRMAN	2.00 0.	X		X			0.	0.	0.	
(3) NANCY KELLY BOARD VICE CHAIRMAN	2.00 0.	X		X			0.	0.	0.	
(4) JAMES KANUCH, CPA BOARD SECRETARY/TREASURER	2.00 0.	X		X			0.	0.	0.	
(5) TIMOTHY BLOECHL BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(6) JOSEPH CRUPI BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(7) KENNETH SCHANER BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(8) MOUHAMED DJALO BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(9) PETER GRANT BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(10) STAN HARRELL BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(11) KAREN JOHNSON BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(12) MARYON DAVIES LEWIS BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(13) RABIH TORBAY BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(14) MAURICIO VIVERO BOARD MEMBER	1.00 0.	X					0.	0.	0.	

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 15) DAVID WU BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 16) EDWARD ZELLEM BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 17) CAROL REIG BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 18) KATHRYN COMPTON BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 19) PIERRE FERRARI BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 20) CHRISTINE SOW EXECUTIVE DIRECTOR, GHC	40.00 0.					X		179,436.	0.	0.
( 21) ANN CANELA VP, PARTNER SOLUTIONS	40.00 0.					X		173,964.	0.	13,656.
( 22) JOSEPH METTIMANO VP, MKTG & CAMPAIGN ENGAGEMENT	40.00 0.					X		172,928.	0.	22,983.
( 23) STEPHANIE SCHOLZ MANAGING DIRECTOR, HR & ADMIN	40.00 0.					X		145,531.	0.	23,609.
( 24) MARK MILLIGAN MANAGING DIRECTOR, FINANCE	40.00 0.					X		149,157.	0.	15,603.
<b>1b Sub-total</b>								375,251.	0.	38,357.
<b>c Total from continuation sheets to Part VII, Section A</b>								821,016.	0.	75,851.
<b>d Total (add lines 1b and 1c)</b>								1,196,267.	0.	114,208.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **10**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 2		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **2**

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII.

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514		
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . . .	1a	29,154,585.				
	b	Membership dues . . . . .	1b					
	c	Fundraising events . . . . .	1c					
	d	Related organizations . . . . .	1d					
	e	Government grants (contributions) . . . . .	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above . . . . .	1f	7,677,118.				
	g	Noncash contributions included in lines 1a-1f: \$						
	h	<b>Total. Add lines 1a-1f . . . . .</b>		<b>36,831,703.</b>				
Program Service Revenue			Business Code					
	2a	ADV SVCS/PR GR/REL REV	900099	2,211,376.	2,152,493.	58,883.		
	b	MEMBER STATE REGISTRATION	900099	283,069.	283,069.			
	c	COOPERATIVE ADVERTISING	900099	135,800.	135,800.			
	d							
	e							
	f	All other program service revenue . . . . .						
	g	<b>Total. Add lines 2a-2f . . . . .</b>		<b>2,630,245.</b>				
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . .		29,510.			29,510.	
	4	Income from investment of tax-exempt bond proceeds . . . . .		0.				
	5	Royalties . . . . .		0.				
	6a	Gross rents . . . . .	(i) Real	(ii) Personal				
	b	Less: rental expenses . . . . .						
	c	Rental income or (loss) . . . . .						
	d	Net rental income or (loss) . . . . .			0.			
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
			31,446.					
	b	Less: cost or other basis and sales expenses . . . . .			36,293.			
c	Gain or (loss) . . . . .			-4,847.				
d	Net gain or (loss) . . . . .			-4,847.		-4,847.		
8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 . . . . .	a						
		b	Less: direct expenses . . . . .	b				
		c	Net income or (loss) from fundraising events . . . . .			0.		
9a	Gross income from gaming activities. See Part IV, line 19 . . . . .	a						
		b	Less: direct expenses . . . . .	b				
		c	Net income or (loss) from gaming activities . . . . .			0.		
10a	Gross sales of inventory, less returns and allowances . . . . .	a						
		b	Less: cost of goods sold . . . . .	b				
		c	Net income or (loss) from sales of inventory . . . . .			0.		
Miscellaneous Revenue		Business Code						
11a								
b								
c								
d	All other revenue . . . . .							
e	<b>Total. Add lines 11a-11d . . . . .</b>			0.				
12	<b>Total revenue. See instructions. . . . .</b>			<b>39,486,611.</b>	<b>2,571,362.</b>	<b>58,883.</b>	<b>24,663.</b>	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .	28,591,658.	28,591,658.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .	0.			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 . . . . .	2,160,199.	2,160,199.		
4 Benefits paid to or for members . . . . .	0.			
5 Compensation of current officers, directors, trustees, and key employees . . . . .	727,475.	518,653.	166,790.	42,032.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0.			
7 Other salaries and wages . . . . .	4,063,807.	2,647,392.	1,156,452.	259,963.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	394,732.	271,232.	103,259.	20,241.
9 Other employee benefits . . . . .	643,239.	391,204.	201,012.	51,023.
10 Payroll taxes . . . . .	361,537.	261,561.	84,972.	15,004.
11 Fees for services (non-employees):				
a Management . . . . .	665,942.	408,362.	183,160.	74,420.
b Legal . . . . .	169,369.		169,369.	
c Accounting . . . . .	91,447.	24,750.	66,697.	
d Lobbying . . . . .	0.			
e Professional fundraising services. See Part IV, line 17.	0.			
f Investment management fees . . . . .	0.			
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .	70,523.	70,523.		
12 Advertising and promotion . . . . .	674,707.	590,379.	32,658.	51,670.
13 Office expenses . . . . .	502,268.	297,182.	175,048.	30,038.
14 Information technology . . . . .	199,775.	60,171.	139,604.	
15 Royalties . . . . .	0.			
16 Occupancy . . . . .	324,867.	197,789.	105,787.	21,291.
17 Travel . . . . .	310,130.	157,967.	92,175.	59,988.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings . . . . .	28,316.	5,707.	19,595.	3,014.
20 Interest . . . . .	9,410.	9,410.		
21 Payments to affiliates . . . . .	0.			
22 Depreciation, depletion, and amortization . . . . .	203,685.		203,685.	
23 Insurance . . . . .	56,535.		56,535.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>BAD DEBT EXPENSE</b> . . . . .	754,534.		754,534.	
b _____				
c _____				
d _____				
e All other expenses _____				
25 <b>Total functional expenses.</b> Add lines 1 through 24e	41,004,155.	36,664,139.	3,711,332.	628,684.
26 <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .	0.			

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X.

		(A) Beginning of year		(B) End of year		
Assets	1	Cash - non-interest-bearing	200.	1	200.	
	2	Savings and temporary cash investments	3,531,543.	2	3,062,755.	
	3	Pledges and grants receivable, net	19,306,907.	3	16,709,704.	
	4	Accounts receivable, net	469,778.	4	504,053.	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	0.	5	0.	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0.	6	0.	
	7	Notes and loans receivable, net	0.	7	0.	
	8	Inventories for sale or use	0.	8	0.	
	9	Prepaid expenses and deferred charges	106,031.	9	162,123.	
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	2,503,775.		
	b	Less: accumulated depreciation	10b	1,538,125.	10c	965,650.
	11	Investments - publicly traded securities	1,086,905.	11	1,108,393.	
	12	Investments - other securities. See Part IV, line 11	0.	12	0.	
	13	Investments - program-related. See Part IV, line 11	0.	13	0.	
	14	Intangible assets	0.	14	0.	
	15	Other assets. See Part IV, line 11	152,953.	15	1,378,234.	
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)	25,760,932.	16	23,891,112.		
Liabilities	17	Accounts payable and accrued expenses	1,310,559.	17	1,163,773.	
	18	Grants payable	0.	18	0.	
	19	Deferred revenue	895,330.	19	930,325.	
	20	Tax-exempt bond liabilities	0.	20	0.	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	0.	21	0.	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0.	22	0.	
	23	Secured mortgages and notes payable to unrelated third parties	83,642.	23	1,164,574.	
	24	Unsecured notes and loans payable to unrelated third parties	0.	24	0.	
	25	Other liabilities (including federal income tax payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	17,614,706.	25	16,297,104.	
	26	<b>Total liabilities.</b> Add lines 17 through 25	19,904,237.	26	19,555,776.	
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets	5,856,695.	27	4,335,336.	
	28	Temporarily restricted net assets	0.	28	0.	
	29	Permanently restricted net assets	0.	29	0.	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds		30		
	31	Paid-in or capital surplus, or land, building, or equipment fund		31		
	32	Retained earnings, endowment, accumulated income, or other funds		32		
	33	<b>Total net assets or fund balances</b>	5,856,695.	33	4,335,336.	
	34	<b>Total liabilities and net assets/fund balances</b>	25,760,932.	34	23,891,112.	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	39,486,611.
2	Total expenses (must equal Part IX, column (A), line 25)	2	41,004,155.
3	Revenue less expenses. Subtract line 2 from line 1	3	-1,517,544.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	5,856,695.
5	Net unrealized gains (losses) on investments	5	-3,815.
6	Donated services and use of facilities	6	0.
7	Investment expenses	7	0.
8	Prior period adjustments	8	0.
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	4,335,336.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

- 1 Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant? .....  
 If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b Were the organization's financial statements audited by an independent accountant? .....  
 If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? .....
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		



**SCHEDULE A**  
(Form 990 or 990-EZ)

**Public Charity Status and Public Support**

OMB No. 1545-0047

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

**2015**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization GLOBAL IMPACT	Employer identification number 52-1273585
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**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations . . . . .
  - g Provide the following information about the supported organization(s).

	(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
				Yes	No		
(A)							
(B)							
(C)							
(D)							
(E)							
<b>Total</b>							

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2015

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	100,508,279.	98,081,574.	38,186,920.	43,300,109.	36,831,703.	316,908,585.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						0.
3 The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						0.
4 Total. Add lines 1 through 3. . . . .	100,508,279.	98,081,574.	38,186,920.	43,300,109.	36,831,703.	316,908,585.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . . . .						1,697,796.
6 Public support. Subtract line 5 from line 4.						315,210,789.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4 . . . . .	100,508,279.	98,081,574.	38,186,920.	43,300,109.	36,831,703.	316,908,585.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	24,045.	22,078.	32,109.	36,953.	29,510.	144,695.
9 Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						0.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .						0.
11 Total support. Add lines 7 through 10 . . . . .						317,053,280.
12 Gross receipts from related activities, etc. (see instructions) . . . . .					12	8,772,882.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here . . . . .						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) . . . . .	14	99.42 %
15 Public support percentage from 2014 Schedule A, Part II, line 14 . . . . .	15	99.96 %
16a 33 1/3% support test - 2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .		<input checked="" type="checkbox"/>
b 33 1/3% support test - 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
17a 10%-facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
b 10%-facts-and-circumstances test - 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .		<input type="checkbox"/>

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . .						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						
6 Total. Add lines 1 through 5 . . . . .						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .						
c Add lines 7a and 7b. . . . .						
8 Public support. (Subtract line 7c from line 6.) . . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9 Amounts from line 6. . . . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .						
c Add lines 10a and 10b . . . . .						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) . . . . .						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. . . . .

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) . . . . .	15	%
16 Public support percentage from 2014 Schedule A, Part III, line 15 . . . . .	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) . . . . .	17	%
18 Investment income percentage from 2014 Schedule A, Part III, line 17 . . . . .	18	%

19a 33 1/3% support tests - 2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations (continued)**

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a	
b	A family member of a person described in (a) above?	11b	
c	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c	

**Section B. Type I Supporting Organizations**

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1	
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.	2	

**Section C. Type II Supporting Organizations**

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).	1	

**Section D. All Type III Supporting Organizations**

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1	
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).	2	
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.	3	

**Section E. Type III Functionally-Integrated Supporting Organizations**

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):			
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	2a	
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	2b	
3 Parent of Supported Organizations. Answer (a) and (b) below.			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.	3a	
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b	

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2015:			
a			
b			
c			
d From 2013 . . . . .			
e From 2014 . . . . .			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2015 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 Excess distributions carryover to 2016. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b			
c Excess from 2013 . . . . .			
d Excess from 2014 . . . . .			
e Excess from 2015 . . . . .			

Schedule A (Form 990 or 990-EZ) 2015

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**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

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# Schedule of Contributors

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

### Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **GLOBAL IMPACT**

Employer identification number  
52-1273585

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ 6,881,312.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2		\$ 1,490,868.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization GLOBAL IMPACT

Employer identification number

52-1273585

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization GLOBAL IMPACT

Employer identification number

52-1273585

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

**SCHEDULE C**  
(Form 990 or 990-EZ)

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2015**

**Open to Public Inspection**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Department of the Treasury  
Internal Revenue Service

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.  
▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization GLOBAL IMPACT	Employer identification number 52-1273585
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures . . . . . ▶ \$ \_\_\_\_\_
- 3 Volunteer hours . . . . . \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . .  Yes  No
- 4a Was a correction made? . . . . .  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file Form 1120-POL for this year? . . . . .  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .															
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .															
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) . . . . .															
<b>d</b> Other exempt purpose expenditures . . . . .		41,004,155.													
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) . . . . .		41,004,155.													
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.		1,000,000.													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) . . . . .		250,000.													
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .		0.	0.												
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .		0.	0.												
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . .			<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under section 501(h)**  
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below.  
 See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) Total
<b>2a</b> Lobbying nontaxable amount	1,000,000.	1,000,000.	1,000,000.	1,000,000.	4,000,000.
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					6,000,000.
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount	250,000.	250,000.	250,000.	250,000.	1,000,000.
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000.
<b>f</b> Grassroots lobbying expenditures					

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

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**Part IV** Supplemental Information *(continued)*



SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization: GLOBAL IMPACT; Employer identification number: 52-1273585

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Includes questions 1-6 regarding donor advised funds.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Form for Part II Conservation Easements, including questions 1-9 and a table for lines 2a-2d.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Form for Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets, including questions 1a-1b and 2.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2015

JSA 5E1268 1.000

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
a Public exhibition
b Scholarly research
c Preservation for future generations
d Loan or exchange programs
e Other
4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?
b If "Yes," explain the arrangement in Part XIII and complete the following table:
Table with columns: Amount, 1c Beginning balance, 1d Additions during the year, 1e Distributions during the year, 1f Ending balance
2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?
b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

- Table with columns: (a) Current year, (b) Prior year, (c) Two years back, (d) Three years back, (e) Four years back
1a Beginning of year balance
1b Contributions
1c Net investment earnings, gains, and losses
1d Grants or scholarships
1e Other expenditures for facilities and programs
1f Administrative expenses
1g End of year balance
2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
2a Board designated or quasi-endowment %
2b Permanent endowment %
2c Temporarily restricted endowment %
The percentages on lines 2a, 2b, and 2c should equal 100%.
3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
3a(i) unrelated organizations
3a(ii) related organizations
3b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?
4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Table with columns: Description of property, (a) Cost or other basis (investment), (b) Cost or other basis (other), (c) Accumulated depreciation, (d) Book value
1a Land
1b Buildings
1c Leasehold improvements
1d Equipment
1e Other
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A) _____		
(B) _____		
(C) _____		
(D) _____		
(E) _____		
(F) _____		
(G) _____		
(H) _____		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) DUE TO/FROM OVERSEAS	206,039.
(2) DUE TO/FROM CFCNCA	1,079,896.
(3) DUE TO/FROM CFC NYC	59,058.
(4) DUE TO/FROM CFC CENTRAL VA	33,241.
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) CAMPAIGN FUNDS PAYABLE-MEMBER	14,751,973.
(3) DONOR ADVISED FUNDS PAYABLE	197,330.
(4) OTHER DISTRIBUTIONS PAYABLE	1,347,801.
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII



**Part XIII** Supplemental Information (continued)

PART X, LINE 2:

UNDER ACCOUNTING STANDARDS CODIFICATION (ASC) 740-10, ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, GLOBAL IMPACT MUST RECOGNIZE THE TAX BENEFIT ASSOCIATED WITH TAX POSITIONS TAKEN FOR TAX RETURN PURPOSES WHEN IT IS MORE-LIKELY-THAN-NOT THAT THE POSITION WILL BE SUSTAINED. GLOBAL IMPACT DOES NOT BELIEVE THERE ARE ANY UNRECOGNIZED TAX BENEFITS OR LIABILITIES THAT SHOULD BE RECORDED. FOR THE YEARS ENDED JUNE 30, 2016 AND 2015, THERE WERE NO INTEREST OR PENALTIES RECORDED OR INCLUDED IN THE STATEMENTS OF ACTIVITIES. GLOBAL IMPACT IS STILL OPEN TO EXAMINATION BY TAXING AUTHORITIES FROM FISCAL YEAR 2013 FORWARD.

PART XI, LINE 4B:

OTHER AMOUNTS INCLUDED ON RETURN NOT IN FINANCIALS REVENUE:

GLOBAL IMPACT DISTRIBUTION TO MEMBER CHARITIES	18,910,100
CFC-O REVENUE NET OF SHRINKAGE	5,683,644
TOTAL	24,593,744

PART XII, LINE 4B:

OTHER AMOUNTS INCLUDED ON RETURN NOT IN FINANCIALS EXPENSES:

GLOBAL IMPACT DISTRIBUTION TO MEMBER CHARITIES	18,910,100
CFC-O DISTRIBUTION TO CHARITIES	4,218,552
CFC-O EXPENSES	1,465,092
TOTAL	24,593,744

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2015**

**Open to Public Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I** General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EAST ASIA AND THE PACIFIC			GRANTMAKING	GRANTS	44,418.
(2) EUROPE			GRANTMAKING	GRANTS	2,063,146.
(3) NORTH AMERICA			GRANTMAKING	GRANTS	15,000.
(4) SOUTH AMERICA			GRANTMAKING	GRANTS	14,635.
(5) SOUTH ASIA			GRANTMAKING	GRANTS	23,000.
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total. . . . .					2,160,199.
b Total from continuation sheets to Part I . . . . .					
c Totals (add lines 3a and 3b)					2,160,199.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2015

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	GENERAL SUPP	15,000.	CHECK & WIRE			
(2)			EAST ASIA/PACIFIC	GENERAL SUPP	20,000.	CHECK & WIRE			
(3)			EAST ASIA/PACIFIC	GENERAL SUPP	8,918.	CHECK & WIRE			
(4)			EUROPE/ICELAND/GREENLAND	GENERAL SUPP	6,650.	CHECK & WIRE			
(5)			EUROPE/ICELAND/GREENLAND	GENERAL SUPP	249,552.	CHECK & WIRE			
(6)			EUROPE/ICELAND/GREENLAND	GENERAL SUPP	253,243.	CHECK & WIRE			
(7)			EUROPE/ICELAND/GREENLAND	GENERAL SUPP	1,553,701.	CHECK & WIRE			
(8)			NORTH AMERICA	GENERAL SUPP	15,000.	CHECK & WIRE			
(9)			SOUTH AMERICA	GENERAL SUPP	14,635.	CHECK & WIRE			
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

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2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . 6.

3 Enter total number of other organizations or entities. . . . . 4.

**Part III** Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) INDIVIDUAL	EAST ASIA/PACIFIC	1.	500.	CHECK/WIRE			
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

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**Part IV** Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
  
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)* . . . . .  Yes  No
  
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)* . . . . .  Yes  No
  
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . .  Yes  No
  
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
  
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)* . . . . .  Yes  No

**Part V** Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PARTS I, II AND III:

THE ORGANIZATION USES THE ACCRUAL BASIS OF ACCOUNTING TO ACCOUNT FOR EXPENDITURES AND GRANTS REPORTED UNDER PARTS I, II AND III.

PART I DESCRIPTION OF PROCEDURE FOR MONITORING USE OF FUNDS

THE ORGANIZATION USES A COMBINATION OF AN ANNUAL RECERTIFICATION PROCESS AND THIRD PARTY VENDORS TO ENSURE COMPLIANCE.

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) 360 YOUTH SVCS 1305 W. OSWEGO RD NAPERVILLE, IL 60540	36-2936229	501 (C) (3)	7,990.				GENERAL SUPPORT
(2) ACCION INTL 10 FAWCETT ST CAMBRIDGE, MA 02138	13-2535763	501 (C) (3)	7,489.				GENERAL SUPPORT
(3) AFRICAN MEDICAL 4 W. 43RD ST, 2ND FLR NEW YORK, NY 10036	13-1867411	501 (C) (3)	17,890.				GENERAL SUPPORT
(4) AFRICARE 440 R ST, N. W. WASHINGTON, DC 20001	23-7116952	501 (C) (3)	56,292.				GENERAL SUPPORT
(5) AID FOR AFRICA P.O. BOX 8734 TOPEKA, KS 66608	06-1703295	501 (C) (3)	31,900.				GENERAL SUPPORT
(6) ALCOA 201 ISABELLA ST PITTSBURGH, PA 15212	25-1128857	501 (C) (3)	291,251.				GENERAL SUPPORT
(7) ALSAC/ST. J CHILDREN'S RES HOSP 501 ST. JUDE'S PL MEMPHIS, TN 38105	35-1044585	501 (C) (3)	5,728.				GENERAL SUPPORT
(8) ALZHEIMER'S ASSOC CTR OHIO CHPT 1379 DUBLIN RD COLUMBUS, OH 43215	31-0996236	501 (C) (3)	8,004.				GENERAL SUPPORT
(9) AMERICAN DIABETES ASSOC INC 1701 N. BEAUREGARD ST ALEXANDRIA, VA 22311	13-1623888	501 (C) (3)	8,028.				GENERAL SUPPORT
(10) AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVE DALLAS, TX 75231	13-5613797	501 (C) (3)	12,544.				GENERAL SUPPORT
(11) AMERICAN HIMALAYAN FOUNDATION 909 MONTGOMERY ST SAN FRANCISCO, CA 94133	94-2951480	501 (C) (3)	12,096.				GENERAL SUPPORT
(12) AMERICAN JEWISH WORLD SERVICE 45 W 36TH ST NEW YORK, NY 10018	22-2584370	501 (C) (3)	44,182.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the instructions for Form 990.

Schedule I (Form 990) (2015)

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**SCHEDULE I  
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Department of the Treasury  
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▶ Attach to Form 990.

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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) AMERICAN NATIONAL RED CROSS P.O. BOX 37295 WASHINGTON, DC 20013	53-0196605	501(C)(3)	161,046.				GENERAL SUPPORT
(2) AMERICAN NEAR EAST REFUGEE AID 1111 14TH ST., NW WASHINGTON, DC 20005	52-0882226	501(C)(3)	14,544.				GENERAL SUPPORT
(3) AMERICAN REFUGEE COMMITTEE 615 1ST AVE NE STE 500	36-3241033	501(C)(3)	34,578.				GENERAL SUPPORT
(4) AMER. SOCIETY FOR THE PREV. OF CRLTY TO ANIMA 424 E. 92ND ST NEW YORK, NY 10128	13-1623829	501(C)(3)	9,142.				GENERAL SUPPORT
(5) AMERICARES FOUNDATION 88 HAMILTON AVE STAMFORD CT, CT 06902	06-1008595	501(C)(3)	144,253.				GENERAL SUPPORT
(6) AMERICA'S CHARITIES PO BOX 75083 BALTIMORE, MD 21275	54-1517707	501(C)(3)	135,941.				GENERAL SUPPORT
(7) ANIMAL CHARITIES OF AMERICA PO BOX 45754 SAN FRANCISCO, CA 94145	94-3193389	501(C)(3)	269,809.				GENERAL SUPPORT
(8) ARCHDIOCESE FOR MILITARY SVC USA 1025 MICHIGAN AVE., NE WASHINGTON, DC 20017	13-1624090	501(C)(3)	29,310.				GENERAL SUPPORT
(9) ASHOKA 1700 N. MOORE ST # 2000 ARLINGTON, VA 22209	51-0255908	501(C)(3)	8,224.				GENERAL SUPPORT
(10) ATCHISON UNITED WAY BOARD 625 COMMERCIAL ST #7 ATCHISON, KS 66002	48-6107689	501(C)(3)	16,222.				GENERAL SUPPORT
(11) ATLANTA COMMUNITY FOOD BANK INC 732 JOSEPH E LOWERY BLVD ATLANTA, GA 30318	58-1376648	501(C)(3)	6,720.				GENERAL SUPPORT
(12) BARAT ACADEMY 17815 WILD HORSE CREEK RD	20-2666579	501(C)(3)	7,000.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2015)

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**SCHEDULE I  
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Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

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Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) BARTLETT ARBORETUM ASSOC INC 151 BROOKDALE RD STAMFORD, CT 06903	06-6079591	501(C)(3)	7,155.				GENERAL SUPPORT
(2) BICYCLES AGAINST POVERTY 244 FIFTH AVE NEW YORK, NY 10001	27-1175814	501(C)(3)	18,187.				GENERAL SUPPORT
(3) BOURBON COUNTY UNITED WAY PO BOX 286 FORT SCOTT, KS 66701	23-7299984	501(C)(3)	9,045.				GENERAL SUPPORT
(4) BOY SCOUTS OF AMERICA 1020 SE MONROE TOPEKA, KS 66612	48-0543748	501(C)(3)	18,565.				GENERAL SUPPORT
(5) BOY SCOUTS OF AMERICA - ALOHA 1325 WALNUT HILL LN IRVING, TX 75015	99-0073482	501(C)(3)	15,588.				GENERAL SUPPORT
(6) BOY SCOUTS OF AMERICA - TRANSATLANTIC UNIT 31301 BOX 25 APO, AE 09613	98-0000121	501(C)(3)	17,547.				GENERAL SUPPORT
(7) BOYS & GIRLS CLUB OF LAWRENCE 1520 HASKELL AVE LAWRENCE, KS 66044	23-7296824	501(C)(3)	5,670.				GENERAL SUPPORT
(8) BOYS & GIRLS CLUB OF HARFORD COUNTY MD INC 100 EAST BEL AIR AVE ABERDEEN, MD 21001	52-1701612	501(C)(3)	7,920.				GENERAL SUPPORT
(9) BOYS AND GIRLS CLUB OF TOPEKA 550 SE 27TH ST TOPEKA, KS 66605	48-0636732	501(C)(3)	36,053.				GENERAL SUPPORT
(10) BRIDGEPORT RESCUE MISSION INC 481 PEQUONNOCK ST BRIDGEPORT, CT 06604	06-1362705	501(C)(3)	13,245.				GENERAL SUPPORT
(11) BUILD A BETTER WORLD 125 WASHINGTON ST. #201 SALEM, MA 01970	20-1348415	501(C)(3)	6,033.				GENERAL SUPPORT
(12) CANCERCURE OF AMERICA- CARE UNDERSTAND RESE PO BOX 45754 SAN FRANCISCO, CA 94145	81-0648432	501(C)(3)	198,264.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2015)

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Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) CAPITAL AREA HUMANE SOCIETY 3015 SCIOTO-DARBY EXEC CT	31-4379492	501(C)(3)	7,812.				GENERAL SUPPORT
(2) CAPITAL UNIVERSITY 1 COLLEGE & MAIN COLUMBUS, OH 43209	31-4379435	501(C)(3)	10,338.				GENERAL SUPPORT
(3) CAPPER FOUNDATION 3500 SW 10TH AVE TOPEKA, KS 66604	48-0543745	501(C)(3)	15,432.				GENERAL SUPPORT
(4) CARE GIFT CENTER MERRIFIELD, VA 22116	13-1685039	501(C)(3)	360,330.				GENERAL SUPPORT
(5) CATHOLIC CHARITIES OF FAIRFIELD 238 JEWETT AVE BRIDGEPORT, CT 06606	06-0653053	501(C)(3)	10,970.				GENERAL SUPPORT
(6) CATHOLIC COMMUNITY FOUNDATION 1404 E 9TH ST 8TH FL CLEVELAND, OH 04414	34-1908579	501(C)(3)	10,000.				GENERAL SUPPORT
(7) CATHOLIC MEDICAL MISSION BOARD 10 WEST 17TH ST NEW YORK, NY 10011	13-5602319	501(C)(3)	9,778.				GENERAL SUPPORT
(8) CATHOLIC RELIEF SERVICES 228 WEST LEXINGTON ST BALTIMORE, MD 21201	13-5563422	501(C)(3)	26,498.				GENERAL SUPPORT
(9) CATHOLIC SERVICE ORGANIZATIONS OF AMERICA PO BOX 45754 SAN FRANCISCO, CA 94145	45-1679647	501(C)(3)	143,194.				GENERAL SUPPORT
(10) CENTER OF HOPE INC 400 N EMPORIA ST WICHITA, KS 67202	48-0578624	501(C)(3)	15,259.				GENERAL SUPPORT
(11) AMERICAS MOST COST-EFFECTIVE CHARITIES PO BOX 45754 SAN FRANCISCO, CA 94145	27-3132554	501(C)(3)	100,356.				GENERAL SUPPORT
(12) CHARITIES UNDER 5% OVERHEAD PO BOX 45754 SAN FRANCISCO, CA 94145	27-3132492	501(C)(3)	27,843.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2015)

JSA  
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**SCHEDULE I  
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**Grants and Other Assistance to Organizations,  
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Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

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Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section If applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) DO UNTO OTHERS AMERICAS: EMERGENCY RELIEF E PO BOX 45754 SAN FRANCISCO, CA 94145	94-3148590	501(C)(3)	67,665.				GENERAL SUPPORT
(2) CHILD AID USA 125 WASHINGTON ST, STE 201 SALEM, MA 01970	26-3061082	501(C)(3)	5,296.				GENERAL SUPPORT
(3) CHILDAID INTERNATIONAL 125 WASHINGTON ST, STE 201 SALEM, MA 01970	20-1358458	501(C)(3)	19,773.				GENERAL SUPPORT
(4) CHILDFUND INTERNATIONAL 2821 EMERYWOOD PKWY RICHMOND, VA 23294	54-0536100	501(C)(3)	7,943.				GENERAL SUPPORT
(5) CHILDREN FIRST-AMERICA'S CHARITIES PO BOX 75083 BALTIMORE, MD 21275	30-0186795	501(C)(3)	141,330.				GENERAL SUPPORT
(6) CHILDREN INTERNATIONAL 2000 E. RED BRIDGE RD KANSAS CITY, MO 64131	44-6005794	501(C)(3)	25,065.				GENERAL SUPPORT
(7) CHILDREN'S CHARITIES AMERICA PO BOX 45754 SAN FRANCISCO, CA 94145	94-3148588	501(C)(3)	175,532.				GENERAL SUPPORT
(8) CHILDREN'S MEDICAL CHARITIES OF AMERICA PO BOX 45754 SAN FRANCISCO, CA 94145	27-0093393	501(C)(3)	118,633.				GENERAL SUPPORT
(9) CHRISTIAN AID USA 125 WASHINGTON ST. STE 201 SALEM, MA 01970	26-3070569	501(C)(3)	5,825.				GENERAL SUPPORT
(10) CHRISTIAN CHARITIES USA PO BOX 45754 SAN FRANCISCO, CA 94145	94-3255961	501(C)(3)	134,183.				GENERAL SUPPORT
(11) CHRISTIAN CHILDREN'S CHARITIES PO BOX 45754 SAN FRANCISCO, CA 94145	45-2919697	501(C)(3)	33,863.				GENERAL SUPPORT
(12) CHRISTIAN LIFE SCHOOL FDN INC 10700 75TH ST KENOSHA, WI 53142	39-2003070	501(C)(3)	21,667.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

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Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
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1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) CHRISTIAN SERVICE CHARITIES P.O. BOX 79704 BALTIMORE, MD 21279	94-3193374	501(C)(3)	314,622.				GENERAL SUPPORT
(2) CHURCH WORLD SERVICE/CROP P.O. BOX 968 ELKHART, IN 46515	13-4080201	501(C)(3)	13,922.				GENERAL SUPPORT
(3) CLEVELAND MUSEUM OF ART 11150 EAST BLVD CLEVELAND, OH 44106	34-0714336	501(C)(3)	9,752.				GENERAL SUPPORT
(4) COLUMBUS COUNCIL ON WORLD AFFAIRS 51 JEFFERSON AVE COLUMBUS, OH 43215	51-0180760	501(C)(3)	5,228.				GENERAL SUPPORT
(5) COLUMBUS MUSEUM OF ART 480 E BROAD ST COLUMBUS, OH 43215	31-4379447	501(C)(3)	5,932.				GENERAL SUPPORT
(6) COMMUNITY HEALTH CHARITIES PO BOX 758858 BALTIMORE, MD 21275	13-6167225	501(C)(3)	518,746.				GENERAL SUPPORT
(7) COMMUNITY HEALTH CHARITIES OF CA PO BOX 758858 BALTIMORE, MD 21275	94-1732873	501(C)(3)	5,765.				GENERAL SUPPORT
(8) COMMUNITY HEALTH MINISTRY 407 ASH ST WAMEGO, KS 66547	75-2974854	501(C)(3)	14,870.				GENERAL SUPPORT
(9) COMPASSION INTERNATIONAL 12290 VOYAGER PKWY	36-2423707	501(C)(3)	18,606.				GENERAL SUPPORT
(10) CONNECTICUT HUMANE SOCIETY 701 RUSSELL RD NEWINGTON, CT 06111	06-0667605	501(C)(3)	6,908.				GENERAL SUPPORT
(11) CONNECTICUT SCIENCE CENTER INC 250 COLUMBUS BLVD HARTFORD, CT 06103	06-1538101	501(C)(3)	14,600.				GENERAL SUPPORT
(12) CONSERVATION&PRESERVATION CHARITIES OF AMER PO BOX 45754 SAN FRANCISCO, CA 94145	94-3217738	501(C)(3)	74,707.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

1190



**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) COUNTERPART INTERNATIONAL 2345 CRYSTAL DR, #301 ARLINGTON, VA 22202	13-6183605	501(C)(3)	35,439.				GENERAL SUPPORT
(2) COVENANT DAY SCHOOL INC 800 FULLWOOD RD MATTHEWS, NC 28105	56-1656570	501(C)(3)	7,500.				GENERAL SUPPORT
(3) DAVIS PHINNEY FOUNDATION 1722 14TH ST., #150 BOULDER, CO 80302	20-0813566	501(C)(3)	5,500.				GENERAL SUPPORT
(4) DESERT RESEARCH INSTITUTE CTR FOR INT'L WAT 2215 RAGGIO PKWY RENO, NV 89512	43-1526946	501(C)(3)	6,202.				GENERAL SUPPORT
(5) DIABETES CHARITIES AMERICA 125 WASHINGTON ST, # 201 SALEM, MA 01970	20-1468898	501(C)(3)	17,037.				GENERAL SUPPORT
(6) DIAMOND BASEBALL FOUNDATION 200 CENTER PARK DR KNOXVILLE, TN 37922	45-4443436	501(C)(3)	10,500.				GENERAL SUPPORT
(7) DIRECT RELIEF 27 S. LA PATERA LN SANTA BARBARA, CA 93117	95-1831116	501(C)(3)	6,506.				GENERAL SUPPORT
(8) DOCTORS WITHOUT BORDERS USA INC P.O. BOX 5030 HAGERSTOWN, MD 21741	13-3433452	501(C)(3)	3,057,993.				GENERAL SUPPORT
(9) DRAKE UNIVERSITY 2507 UNIV AVE DES MOINES, IA 50311	42-0680460	501(C)(3)	19,601.				GENERAL SUPPORT
(10) EARTHSHARE DEPT. 4011 WASHINGTON, DC 20042	52-1601960	501(C)(3)	101,564.				GENERAL SUPPORT
(11) ECPAT-USA END CHILD PROSTITUTION, PORNOGRAPH 30 3RD AVE. BROOKLYN, NY 11217	13-3755580	501(C)(3)	8,727.				GENERAL SUPPORT
(12) EDUCATE AMERICA THE EDUCATION SCHOOL SUPPOR PO BOX 45754 SAN FRANCISCO, CA 94145	94-3193387	501(C)(3)	50,771.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶
- 3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

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Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

1191

**SCHEDULE I  
(Form 990)**

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Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

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Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) ENGINEERS WITHOUT BORDERS USA 1021 33RD ST DENVER, CO 80205	84-1589324	501 (C) (3)	10,132.				GENERAL SUPPORT
(2) EPISCOPAL HIGH SCHOOL 1200 N QUAKER LN ALEXANDRIA, VA 22302	54-0506326	501 (C) (3)	7,500.				GENERAL SUPPORT
(3) EPISCOPAL RELIEF AND DEVELOPMENT 815 2ND AVE NEW YORK, NY 10017	73-1635264	501 (C) (3)	52,855.				GENERAL SUPPORT
(4) FAITH MISSION INC 500 W WILSON BRIDGE RD	31-0809759	501 (C) (3)	5,131.				GENERAL SUPPORT
(5) FAMILY LIFE CENTER OF BUTLER COUNTY INC 115 S WASHINGTON ST EL DORADO, KS 67042	48-1087496	501 (C) (3)	6,421.				GENERAL SUPPORT
(6) FEED MY STARVING CHILDREN 401 93RD AVE NW COON RAPIDS, MN 55433	41-1601449	501 (C) (3)	11,090.				GENERAL SUPPORT
(7) FEEDING CHILDREN EVERYWHERE 830 S. RONALD REAGAN BLVD	27-3274349	501 (C) (3)	6,315.				GENERAL SUPPORT
(8) FINCA INTERNATIONAL, INC. 1201 15TH ST, NW 8TH FL	13-3240109	501 (C) (3)	21,835.				GENERAL SUPPORT
(9) FLINTHILLS BREADBASKET INC 905 YUMA ST MANHATTAN, KS 66502	48-0952757	501 (C) (3)	14,104.				GENERAL SUPPORT
(10) FOOD FOR THE POOR INC 5401 LYONS RD COCONUT CREEK, FL 33073	59-2174510	501 (C) (3)	21,375.				GENERAL SUPPORT
(11) FREEDOM FROM HUNGER PO BOX 2000 DAVIS, CA 95617	95-1647835	501 (C) (3)	17,088.				GENERAL SUPPORT
(12) FRIENDS OF HOSPICE OF JEFFERSON COUNTY PO BOX 101 VALLEY FALLS, KS 66088	74-2824423	501 (C) (3)	5,678.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2015)

JSA  
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1192

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) FULBRIGHT ASSOCIATION INC 1320 19TH ST, #350 WASHINGTON, DC 20036	52-1821935	501(C)(3)	5,880.				GENERAL SUPPORT
(2) GEORGE WASHINGTON UNIVERSITY 2033 K ST NW #300 WASHINGTON, DC 20052	53-0196584	501(C)(3)	5,395.				GENERAL SUPPORT
(3) GLOBAL IMPACT P.O. BOX 409616 ATLANTA, GA 30384	52-1273585	501(C)(3)	491,093.				GENERAL SUPPORT
(4) GOODCITY 5049 W HARRISON CHICAGO, IL 60644	36-3467921	501(C)(3)	75,800.				GENERAL SUPPORT
(5) GRACE UNITED METHODIST CHURCH 300 E GARTNER RD NAPERVILLE, IL 60540	36-2340309	501(C)(3)	6,200.				GENERAL SUPPORT
(6) HACKLEY SCHOOL 293 BENEDICT AVE TARRYTOWN, NY 10591	13-1740452	501(C)(3)	7,500.				GENERAL SUPPORT
(7) HANDICAP INTERNATIONAL 6930 CARROLL AVE TAKOMA PARK, MD 20912	55-0914744	501(C)(3)	21,532.				GENERAL SUPPORT
(8) HANDS OFFERING HOPE FOUNDATION INC 3 PARKLANDS DR #103 DARIEN, CT 06820	45-3798076	501(C)(3)	6,800.				GENERAL SUPPORT
(9) HARDIN VALLEY ACADEMY ATHLETIC COUNCIL 11345 HARDIN VALLEY RD KNOXVILLE, TN 37932	51-0670175	501(C)(3)	5,500.				GENERAL SUPPORT
(10) HARVESTERS 215 SE QUINCY TOPEKA, KS 66603	43-1208665	501(C)(3)	40,893.				GENERAL SUPPORT
(11) HEALTH & MEDICAL RESEARCH CHARITIES OF AMER PO BOX 45754 SAN FRANCISCO, CA 94145	94-3217739	501(C)(3)	276,104.				GENERAL SUPPORT
(12) HEALTH FIRST - AMERICA'S CHARITIES PO BOX 75083 BALTIMORE, MD 21275	30-0186796	501(C)(3)	65,720.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

1193

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.  
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Name of the organization: **GLOBAL IMPACT** Employer identification number: **52-1273585**

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) HEIFER PROJECT INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202	35-1019477	501(C)(3)	361,100.				GENERAL SUPPORT
(2) HELEN KELLER INTERNATIONAL 352 PARK AVE S. NEW YORK, NY 10010	13-5562162	501(C)(3)	8,193.				GENERAL SUPPORT
(3) HELPAGE USA 601 E ST, NW WASHINGTON, DC 22249	27-1071179	501(C)(3)	30,899.				GENERAL SUPPORT
(4) HELPING HANDS HUMANE SOCIETY INC 5720 SW 21ST ST TOPEKA, KS 66604	48-0597124	501(C)(3)	46,553.				GENERAL SUPPORT
(5) HILLIARD BASEBALL ASSOCIATION INC P.O. BOX 202 HILLIARD, OH 43026	31-1022221	501(C)(3)	9,000.				GENERAL SUPPORT
(6) HIMALAYAN CATARACT PROJECT PO BOX 55 WATERBURY, VT 05676	03-0362926	501(C)(3)	14,270.				GENERAL SUPPORT
(7) HISPANIC & LATINO CHARITIES TH PO BOX 45754 SAN FRANCISCO, CA 94145	68-0455509	501(C)(3)	26,799.				GENERAL SUPPORT
(8) HUMAN & CIVIL RIGHTS 125 WASHINGTON ST #201 SALEM, MA 01970	94-3193388	501(C)(3)	27,297.				GENERAL SUPPORT
(9) HUMAN SERVICE CHARITIES AMERIC 44330 PREMIER PL, #220 ASHBURN, VA 20147	94-3240353	501(C)(3)	25,617.				GENERAL SUPPORT
(10) INSPIRICA INC 141 FRANKLIN ST STAMFORD, CT 06901	06-1172535	501(C)(3)	8,725.				GENERAL SUPPORT
(11) INTERNATIONAL CENTER FOR RESEARCH ON WOMEN 1120 20TH ST NW #500 N WASHINGTON, DC 20036	52-1081455	501(C)(3)	6,934.				GENERAL SUPPORT
(12) INTERNATIONAL JUSTICE MISSION PO BOX 58147 WASHINGTON, DC 20037	54-1722887	501(C)(3)	25,175.				GENERAL SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule I (Form 990) (2015)

1194

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

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Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) INTERNATIONAL MEDICAL CORPS 1919 SANTA MONICA BLVD	95-3949646	501(C)(3)	16,814.				GENERAL SUPPORT
(2) INTERNATIONAL ORTHODOX 110 WEST RD BALTIMORE, MD 21204	25-1679348	501(C)(3)	45,933.				GENERAL SUPPORT
(3) INTERNATIONAL RELIEF TEAMS 4560 ALVARADO CANYON RD SAN DIEGO, CA 92120	33-0412751	501(C)(3)	11,825.				GENERAL SUPPORT
(4) INTERNATIONAL RESCUE COMMITTEE 122 E. 42ND ST. 12TH FL NEW YORK, NY 10168	13-5660870	501(C)(3)	86,422.				GENERAL SUPPORT
(5) JUNIOR ACHIEVEMENT OF KANSAS 3735 SW WANAMAKER RD TOPEKA, KS 66610	48-0731855	501(C)(3)	14,806.				GENERAL SUPPORT
(6) K9S FOR WARRIORS 260 SROSCOE BLVD PONTE VEDRA BCH, FL 32082	27-5219467	501(C)(3)	20,364.				GENERAL SUPPORT
(7) KANSAS CHILDREN'S SERVICE LEAGUE 215 W 6TH EMPORIA, KS 66801	48-0543749	501(C)(3)	9,447.				GENERAL SUPPORT
(8) KANSAS FOOD BANK WAREHOUSE INC 1919 E DOUGLAS AVE WICHITA, KS 67211	48-0959213	501(C)(3)	29,445.				GENERAL SUPPORT
(9) KANSAS HUMANE SOCIETY OF WICHITA INC 3313 N. HILLSIDE WICHITA, KS 67219	48-0554339	501(C)(3)	28,284.				GENERAL SUPPORT
(10) KANSAS STATE UNIVERSITY FOUNDATION 2323 ANDERSON AVE MANHATTAN, KS 66502	48-0667209	501(C)(3)	7,890.				GENERAL SUPPORT
(11) KIDS IN NEED INC 3457 SW JARDINE TER TOPEKA, KS 66611	48-1248446	501(C)(3)	18,875.				GENERAL SUPPORT
(12) KIDS SAVING THE RAINFOREST 3790 EL CAMINO REAL #206	06-1594980	501(C)(3)	5,427.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

**SCHEDULE I  
(Form 990)**

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Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
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(1) LANDESA 1424 FOURTH AVE SEATTLE, WA 98101	91-1158970	501(C)(3)	5,113.				GENERAL SUPPORT
(2) LAWRENCE COMMUNITY SHELTER INC 3655 E. 25TH ST. LAWRENCE, KS 66046	74-2848203	501(C)(3)	10,578.				GENERAL SUPPORT
(3) LAWRENCE HUMANE SOCIETY INC 1805 E 19TH ST LAWRENCE, KS 66046	48-0641821	501(C)(3)	8,017.				GENERAL SUPPORT
(4) LETS HELP INC 200 S. KANSAS AVE. TOPEKA, KS 66603	48-0800447	501(C)(3)	9,805.				GENERAL SUPPORT
(5) LEUKEMIA & LYMPHOMA SOCIETY/SOUTH OHIO CHAP 4370 GLENDALE MILFORD RD	13-5644916	501(C)(3)	6,675.				GENERAL SUPPORT
(6) LIFEHOUSE CHILD ADVOCACY CENTER INC 303 S KANSAS AVE TOPEKA, KS 66603	48-1234465	501(C)(3)	8,711.				GENERAL SUPPORT
(7) LIFETIME ASSISTANCE FOUNDATION INC 425 PAUL RD ROCHESTER, NY 14624	13-3754497	501(C)(3)	5,820.				GENERAL SUPPORT
(8) LUTHERAN WORLD RELIEF PO BOX 17061 BALTIMORE, MD 21298	13-2574963	501(C)(3)	278,685.				GENERAL SUPPORT
(9) MAESTRO CARES NFP 1459 W. HUBBARD ST CHICAGO, IL 60642	45-3706112	501(C)(3)	79,603.				GENERAL SUPPORT
(10) MAP INTERNATIONAL 4700 GLYNCO PKWY BRUNSWICK, GA 31525	36-2586390	501(C)(3)	7,270.				GENERAL SUPPORT
(11) MEALS ON WHEELS SHAWNEE & JEFFERSON COUNTY 2701 SW E. CIRCLE DR TOPEKA, KS 66606	48-0792685	501(C)(3)	24,958.				GENERAL SUPPORT
(12) MEDICAL MISSIONARIES INC 9590 SURVEYOR CT MANASSAS, VA 20110	54-1990595	501(C)(3)	10,000.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

1196

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

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Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
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**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) MEDICAL RESEARCH CHARITIES 125 WASHINGTON ST, 201 SALEM, MA 01970	94-3148591	501(C)(3)	86,892.				GENERAL SUPPORT
(2) MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVE NEW YORK, NY 10065	13-1924236	501(C)(3)	5,209.				GENERAL SUPPORT
(3) MENTAL HEALTH & ADDICTION NETW 125 WASHINGTON ST. 201 SALEM, MA 01970	20-1358397	501(C)(3)	17,359.				GENERAL SUPPORT
(4) MERCY CORPS INTERNATIONAL 45 ANKENY ST PORTLAND, OR 97201	91-1148123	501(C)(3)	63,578.				GENERAL SUPPORT
(5) METRO EARLY COLLEGE HIGH SCHOOL 1929 KENNY RD COLUMBUS, OH 43210	90-0838465	501(C)(3)	5,563.				GENERAL SUPPORT
(6) METROPOLITAN GOLF ASSOCIATION FOUNDATION 49 KNOLLWOOD RD ELMSPORD, NY 10523	13-3637689	501(C)(3)	5,460.				GENERAL SUPPORT
(7) MIDLAND CARE CONNECTION INC 200 SW FRAZIER CIR TOPEKA, KS 66606	48-0883888	501(C)(3)	30,730.				GENERAL SUPPORT
(8) MID-OHIO FOODBANK 3960 BROOKHAVEN DR GROVE CITY, OH 43123	31-0865343	501(C)(3)	29,248.				GENERAL SUPPORT
(9) MILITARY VETERANS & PATRIOTIC SERVICE ORG O PO BOX 45754 SAN FRANCISCO, CA 94145	94-3193418	501(C)(3)	430,085.				GENERAL SUPPORT
(10) MILITARY OFFICERS ASSOC OF AMERICA SCHLSP F 201 N WASHINGTON ST ALEXANDRIA, VA 22314	54-1659039	501(C)(3)	9,600.				GENERAL SUPPORT
(11) MILITARY SUPPORT GROUPS OF AMERICA P.O. BOX 45754 SAN FRANCISCO, CA 94145	27-2242752	501(C)(3)	129,833.				GENERAL SUPPORT
(12) NATIONAL BLACK FEDERATION CHAR 17 ACADEMY ST NEWARK, NJ 07102	22-3596098	501(C)(3)	11,792.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

1197

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) NATIONAL PUBLIC RADIO PO BOX 79540 BALTIMORE, MD 21279	52-0907625	501(C)(3)	21,060.				GENERAL SUPPORT
(2) NEAR EAST FOUNDATION 430-432 CROUSE HINDS HALL	13-1624114	501(C)(3)	6,938.				GENERAL SUPPORT
(3) NORTH COBB CHRISTIAN SCHOOL INC 4500 LAKEVIEW DR KENNESAW, GA 30144	58-1519089	501(C)(3)	10,000.				GENERAL SUPPORT
(4) NORTHWESTERN UNIVERSITY 1201 DAVIS ST EVANSTON, IL 60208	36-2167817	501(C)(3)	6,000.				GENERAL SUPPORT
(5) OHIO STATE UNIVERSITY FOUNDATION 1480 W LANE AVE COLUMBUS, OH 43221	31-1145986	501(C)(3)	45,853.				GENERAL SUPPORT
(6) ONE IN CHRIST INC 12311 W RIDGE CIR INDIANAPOLIS, IN 46236	45-5212818	501(C)(3)	15,893.				GENERAL SUPPORT
(7) OPEN DOOR MISSION 2828 N 23TH ST E OMAHA, NE 68110	47-0411375	501(C)(3)	6,240.				GENERAL SUPPORT
(8) OPERATION SMILE 3641 FACULTY BLVD VIRGINIA BEACH, VA 23453	54-1460147	501(C)(3)	67,549.				GENERAL SUPPORT
(9) OPPORTUNITY INTERNATIONAL 550 W. VAN BUREN CHICAGO, IL 60607	54-0907624	501(C)(3)	8,161.				GENERAL SUPPORT
(10) OTTERBEIN UNIVERSITY 1 S GROVE ST WESTERVILLE, OH 43081	31-4379532	501(C)(3)	6,132.				GENERAL SUPPORT
(11) OXFAM AMERICA 226 CAUSEWAY ST, 5TH FL BOSTON, MA 02114	23-7069110	501(C)(3)	156,011.				GENERAL SUPPORT
(12) PARTNERS IN FOOD SOLUTIONS 9000 PLYMOUTH AVE N MINNEAPOLIS, MN 55427	27-5097190	501(C)(3)	6,868.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2015)

JSA  
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**SCHEDULE I**  
**(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

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Department of the Treasury  
Internal Revenue Service

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Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) PARTNERS IN HEALTH 888 COMMONWEALTH AVE BOSTON, MA 02215	04-3567502	501(C)(3)	90,024.				GENERAL SUPPORT
(2) PATH P.O. BOX 900922 SEATTLE, WA 98109	91-1157127	501(C)(3)	8,804.				GENERAL SUPPORT
(3) PAUL TAYLOR DANCE FOUNDATION INC 551 GRAND ST NEW YORK, NY 10002	13-2665475	501(C)(3)	7,800.				GENERAL SUPPORT
(4) PITNEY BOWES RELIEF FUND INC 3001 SUMMER ST, 6TH FL STAMFORD, CT 06926	27-3398652	501(C)(3)	93,014.				GENERAL SUPPORT
(5) PLAN USA 155 PLAN WAY WARWICK, RI 02886	13-5661832	501(C)(3)	19,773.				GENERAL SUPPORT
(6) PROJECT HOPE 255 CARTER HALL LN MILLWOOD, VA 22646	53-0242962	501(C)(3)	45,559.				GENERAL SUPPORT
(7) PROJECT TOPEKA 1315 SW ARROWHEAD RD TOPEKA, KS 66604	30-0596254	501(C)(3)	21,168.				GENERAL SUPPORT
(8) REALIZED WORTH 185 SOUTH 8TH ST NOBLESVILLE, IN 46060	27-3417347	501(C)(3)	102,500.				GENERAL SUPPORT
(9) RISE RECOVERY PO BOX 15322 SAN ANTONIO, TX 78212	74-2216041	501(C)(3)	8,630.				GENERAL SUPPORT
(10) RONALD MCDONALD HOUSE CHARITIES OF WICHITA 1110 N. EMPORIA ST WICHITA, KS 67214	48-0918101	501(C)(3)	26,029.				GENERAL SUPPORT
(11) ROTARY #4795345 ONE ROTARY CTR EVANSTON, IL 60201	36-3245072	501(C)(3)	21,390.				GENERAL SUPPORT
(12) ROUNABOUT THEATRE COMPANY INC 231 W 39TH ST #1200 NEW YORK, NY 10018	13-6192346	501(C)(3)	10,000.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶
- 3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

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Schedule I (Form 990) (2015)

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**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) SACRED HEART UNIVERSITY 5151 PARK AVE FAIRFIELD, CT 06825	06-0776644	501(C)(3)	5,607.				GENERAL SUPPORT
(2) SALVATION ARMY - COLUMBUS PO BOX 06324 COLUMBUS, OH 43206	13-5562351	501(C)(3)	9,863.				GENERAL SUPPORT
(3) SALVATION ARMY WORLD SERVICE OFFICE PO BOX 269 ALEXANDRIA, VA 22313	13-2923701	501(C)(3)	182,852.				GENERAL SUPPORT
(4) SANKARA EYE FOUNDATION USA 1900 MCCARTHY BLVD MILPITAS, CA 95035	77-6141976	501(C)(3)	14,180.				GENERAL SUPPORT
(5) SAVE THE CHILDREN 501 KINGS HIGHWAY E. #400	06-0726487	501(C)(3)	181,176.				GENERAL SUPPORT
(6) SCOVILLE MEMORIAL LIBRARY ASSOC INC 38 MAIN ST SALISBURY, CT 06068	06-0653164	501(C)(3)	7,550.				GENERAL SUPPORT
(7) SECOND CHANCE SHELTER 130 COUNTY RD 398 BOAZ, AL 35957	26-2717351	501(C)(3)	10,000.				GENERAL SUPPORT
(8) SIGHTLIFE 221 YALE AVE. N.# 450 SEATTLE, WA 98109	23-7051021	501(C)(3)	5,199.				GENERAL SUPPORT
(9) SILICON VALLEY COMMUNITY FDN 2440 W. EL CAMINO REAL	20-5205488	501(C)(3)	88,502.				GENERAL SUPPORT
(10) SMILE TRAIN 41 MADISON AVE 28TH FL NEW YORK, NY 10010	13-3661416	501(C)(3)	34,004.				GENERAL SUPPORT
(11) SMOKY ROW BRETHERN CHURCH 7260 SMOKY ROW RD COLUMBUS, OH 43235	31-0992807	501(C)(3)	22,000.				GENERAL SUPPORT
(12) SOS CHILDREN'S VILLAGES- USA 1620 I ST NW, #900 WASHINGTON, DC 20006	13-6188433	501(C)(3)	23,123.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

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**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2015**

**Open to Public  
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Department of the Treasury  
Internal Revenue Service

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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) SOUTHERN NEW HAMPSHIRE UNIVERSITY 2500 N RIVER RD MANCHESTER, NH 03106	02-0274509	501(C)(3)	10,000.				GENERAL SUPPORT
(2) SPORTS CHARITIES USA PO BOX 45754 SAN FRANCISCO, CA 94145	47-0863988	501(C)(3)	33,676.				GENERAL SUPPORT
(3) ST GABRIELS CHURCH CORPORATION 1 TUDOR RD MILFORD, CT 06460	06-6055388	501(C)(3)	5,200.				GENERAL SUPPORT
(4) ST JUDE CHILDRENS RESEARCH HOSPITAL INC 501 ST. JUDE PL MEMPHIS, TN 38105	62-0646012	501(C)(3)	128,198.				GENERAL SUPPORT
(5) ST. PATRICKS CATHOLIC ELEMENTARY SCHOOL 20500 W. MAPLE RD ELKHORN, NE 68022	47-0379377	501(C)(3)	5,600.				GENERAL SUPPORT
(6) STOP HUNGER NOW 615 HILLSBOROUGH ST #200 RALEIGH, NC 27603	16-1541024	501(C)(3)	22,957.				GENERAL SUPPORT
(7) SUSAN G. KOMEN FOR THE CURE - COLUMBUS 929 EASTWIND DR WESTERVILLE, OH 43081	75-2844651	501(C)(3)	5,103.				GENERAL SUPPORT
(8) TARC INC 2701 SW RANDOLPH AVE TOPEKA, KS 66611	48-6086732	501(C)(3)	54,544.				GENERAL SUPPORT
(9) THAT NEWFOUNDLAND PLACE INC 554 PUCKER ST COVENTRY, CT 06238	27-2176439	501(C)(3)	5,400.				GENERAL SUPPORT
(10) THE CLOUDBASE FOUNDATION 677 W PINE RD MELBOURNE, FL 32904	27-1359927	501(C)(3)	14,835.				GENERAL SUPPORT
(11) THE GLOBAL HUNGER PROJECT 5 UNION SQUARE W. NEW YORK, NY 10003	94-2443282	501(C)(3)	18,752.				GENERAL SUPPORT
(12) THE HOMELESS FAMILIES FOUNDATION 33 N. GRUBB ST COLUMBUS, OH 43215	31-1179492	501(C)(3)	5,347.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

**SCHEDULE I  
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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
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(1) THE MAULI OLA FOUNDATION 1205 VICTORY WALK LAGUNA BEACH, CA 92651	26-2141264	501(C)(3)	42,166.				GENERAL SUPPORT
(2) THE WILLOW DOMESTIC VIOLENCE CENTER INC 1920 MOODIE RD LAWRENCE, KS 66046	48-0853356	501(C)(3)	7,162.				GENERAL SUPPORT
(3) THE YOUNG MENS CHRISTIAN ASSOC OF THE TRGLE 801 CORP CTR DR RALEIGH, NC 27607	56-0591307	501(C)(3)	5,450.				GENERAL SUPPORT
(4) TOPEKA RESCUE MISSION INC P.O. BOX 8350 TOPEKA, KS 66608	48-0688068	501(C)(3)	95,316.				GENERAL SUPPORT
(5) TRUE VINEYARD MINISTRIES INC PO BOX 1962 SAN MARCOS, TX 78667	41-2227790	501(C)(3)	5,200.				GENERAL SUPPORT
(6) TRUSTEES OF MOUNT HOLYOKE COLLEGE 50 COLLEGE ST S. HADLEY, MA 01075	04-2103578	501(C)(3)	7,297.				GENERAL SUPPORT
(7) TRUSTEES OF PURDUE UNIVERSITY 403 W WOOD ST W. LAFAYETTE, IN 47907	35-6002041	501(C)(3)	6,250.				GENERAL SUPPORT
(8) UNITED STATES FUND FOR UNICEF 125 MAIDEN LN NEW YORK, NY 10038	13-1760110	501(C)(3)	201,148.				GENERAL SUPPORT
(9) UNION RESCUE MISSION OF WICHITA INC 2800 N HILLSIDE ST WICHITA, KS 67219	48-0625837	501(C)(3)	14,370.				GENERAL SUPPORT
(10) UNIQUE & NOTEWORTHY CHARITIES P.O. BOX 45754 SAN FRANCISCO, CA 94145	46-3016556	501(C)(3)	59,061.				GENERAL SUPPORT
(11) UNITARIAN UNIVERSALIST SERVICE COMMITTEE SVC COMMITTEE BOSTON, MA 02284	04-6186012	501(C)(3)	32,877.				GENERAL SUPPORT
(12) UTD JEWISH APPEAL FED OF JEWISH PHILANTHROP 130 E 59TH ST NEW YORK, NY 10022	51-0172429	501(C)(3)	7,450.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

JSA  
5E1288 1.000

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**SCHEDULE I  
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OMB No. 1545-0047

**2015**

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Internal Revenue Service

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Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
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1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) UNITED METHODIST 475 RIVERSIDE DR, 1520 NEW YORK, NY 10115	13-5562279	501(C)(3)	184,478.				GENERAL SUPPORT
(2) UNITED NEGRO COLLEGE FUND 229 PEACHTREE ST NE, 2350 ATLANTA, GA 30303	13-1624241	501(C)(3)	5,984.				GENERAL SUPPORT
(3) UNITED SERVICE ORGANIZATIONS, INC. 2111 WILSON BLVD, 1200 ARLINGTON, VA 22201	13-1610451	501(C)(3)	140,111.				GENERAL SUPPORT
(4) UNITED WAY OF CENTRAL MARYLAND 100 S CHARLES ST BALTIMORE, MD 21203	52-0591543	501(C)(3)	9,989.				GENERAL SUPPORT
(5) UNITED WAY OF CENTRAL OHIO INC 360 S 3RD ST COLUMBUS, OH 43215	31-4393712	501(C)(3)	30,493.				GENERAL SUPPORT
(6) UNITED WAY OF COASTAL FAIRFIELD COUNTY INC 855 MAIN ST BRIDGEPORT, CT 06604	06-0864341	501(C)(3)	17,678.				GENERAL SUPPORT
(7) UNITED WAY OF DOUGLAS COUNTY INC 2518 RIDGE CT LAWRENCE, KS 66046	48-0796320	501(C)(3)	30,642.				GENERAL SUPPORT
(8) UNITED WAY OF EL DORADO KANSAS INC 116 W. PINE ST EL DORADO, KS 67042	23-7199368	501(C)(3)	6,064.				GENERAL SUPPORT
(9) UNITED WAY OF GREATER KANSAS CITY INC 801 W. 47TH ST #500 KANSAS CITY, MO 64112	44-0545812	501(C)(3)	16,923.				GENERAL SUPPORT
(10) UNITED WAY OF GREATER TOPEKA INC 1315 SW ARROWHEAD RD TOPEKA, KS 66604	48-0561978	501(C)(3)	68,806.				GENERAL SUPPORT
(11) UNITED WAY OF LEAVENWORTH COUNTY INC PO BOX 21 LEAVENWORTH, KS 66048	48-0622408	501(C)(3)	7,036.				GENERAL SUPPORT
(12) UNITED WAY OF NEW YORK CITY 205 E 42ND ST NEW YORK, NY 10017	13-2617681	501(C)(3)	10,840.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶
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Schedule I (Form 990) (2015)

JSA  
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1203

**SCHEDULE I  
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**Grants and Other Assistance to Organizations,  
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Name of the organization  
GLOBAL IMPACT

Employer identification number  
52-1273585

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(1) UNITED WAY OF RENO COUNTY P.O. BOX 2230 HUTCHINSON, KS 67504	48-0833061	501(C)(3)	10,974.				GENERAL SUPPORT
(2) UNITED WAY OF THE PLAINS 245 N WATER ST WICHITA, KS 67202	48-0547688	501(C)(3)	68,912.				GENERAL SUPPORT
(3) UNITED WAY OF WESTERN CONNECTICUT 85 W ST DANBURY, CT 06810	06-0646577	501(C)(3)	27,003.				GENERAL SUPPORT
(4) UNIVERSITY OF NOTRE DAME DU LAC 1100 GRACE HALL NOTRE DAME, IN 46556	35-0868188	501(C)(3)	9,460.				GENERAL SUPPORT
(5) USA GIRL SCOUTS OVERSEAS 420 5TH AVE NEW YORK, NY 10018	13-1624016	501(C)(3)	8,375..				GENERAL SUPPORT
(6) UW OF THE NATIONAL CAPITAL AREA 1577 SPRING HILL RD 420 VIENNA, VA 22182	53-0234290	501(C)(3)	5,195.				GENERAL SUPPORT
(7) VALLEY OF THE SUN UNITED WAY 3200 E CAMELBACK RD #375 PHOENIX, AZ 85018	86-0104419	501(C)(3)	8,703.				GENERAL SUPPORT
(8) VALLEY UNITED WAY INCORPORATED 54 GROVE ST SHELTON, CT 06484	06-0847098	501(C)(3)	5,424.				GENERAL SUPPORT
(9) WATER FOR PEOPLE 100 E TENNESSEE AVE DENVER, CO 80209	84-1166148	501(C)(3)	86,126.				GENERAL SUPPORT
(10) WESTPORT COUNTRY PLAYHOUSE INC 25 POWERS CT WESTPORT, CT 06880	23-7357943	501(C)(3)	17,920.				GENERAL SUPPORT
(11) WICHITA HABITAT FOR HUMANITY 130 E MURDOCK, 102 WICHITA, KS 67214	58-1735540	501(C)(3)	9,918.				GENERAL SUPPORT
(12) WILD ANIMALS WORLDWIDE PO BOX 45754 SAN FRANCISCO, CA 94145	20-8774272	501(C)(3)	46,225.				GENERAL SUPPORT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
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Schedule I (Form 990) (2015)

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**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
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OMB No. 1545-0047

**2015**

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Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) WILLIAM J. CLINTON PRESIDENTIAL FDN 1271 AVE OF THE AMERICAS 42ND FL	31-1580204	501(C)(3)	34,486.				GENERAL SUPPORT
(2) WOLF TRAP FDN FOR THE PERFORMING ARTS 1645 TRAP RD VIENNA, VA 22182	23-7011544	501(C)(3)	10,000.				GENERAL SUPPORT
(3) WOMEN FOR WOMEN INTERNATL 2000 M ST, NW #200 WASHINGTON, DC 20036	52-1838756	501(C)(3)	40,456.				GENERAL SUPPORT
(4) WOMEN, CHILDREN & FAMILY SERVICE CHARITIES OF PO BOX 45754 SAN FRANCISCO, CA 94145	94-3193386	501(C)(3)	84,095.				GENERAL SUPPORT
(5) WORLD CHILDHOOD FOUNDATION, INC. 183 MADISON AVE #715 NEW YORK, NY 10016	16-1559586	501(C)(3)	8,505.				GENERAL SUPPORT
(6) WORLD RELIEF 7 EAST BALTIMORE ST BALTIMORE, MD 21202	23-6393344	501(C)(3)	7,974.				GENERAL SUPPORT
(7) WORLD RENEW RELIEF COMMITTEE GRAND RAPIDS, MI 49560	20-5080679	501(C)(3)	24,690.				GENERAL SUPPORT
(8) WORLD VISION PO BOX 9716 FEDERAL WAY, WA 98063	95-1922279	501(C)(3)	46,418.				GENERAL SUPPORT
(9) WOUNDED WARRIOR PROJECT INC 4899 BELFORT RD JACKSONVILLE, FL 32256	20-2370934	501(C)(3)	337,802.				GENERAL SUPPORT
(10) WOUNDED WARRIORS FAMILY SUPPORT 920 S. 107TH AVE # 250 OMAHA, NE 68114	20-1407520	501(C)(3)	19,794.				GENERAL SUPPORT
(11) WYCLIFFE BIBLE TRANSLATORS INC P.O. BOX 628200 ORLANDO, FL 32832	95-1831097	501(C)(3)	20,048.				GENERAL SUPPORT
(12)							

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 262.
- 3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2015)

JSA  
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**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I DESCRIPTION OF PROCEDURE FOR MONITORING USE OF FUNDS

THE ORGANIZATION USES A COMBINATION OF AN ANNUAL RECERTIFICATION PROCESS AND THIRD PARTY VENDORS TO ENSURE COMPLIANCE.

1206



**SCHEDULE J  
(Form 990)**

**Compensation Information**

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**2015**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

**Open to Public Inspection**

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization

Employer identification number

GLOBAL IMPACT

52-1273585

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |                          |   |                          |   |
|--------------------------|---|--------------------------|---|
| <input type="checkbox"/> | First-class or charter travel             | <input type="checkbox"/> | Housing allowance or residence for personal use |
| <input type="checkbox"/> | Travel for companions                     | <input type="checkbox"/> | Payments for business use of personal residence |
| <input type="checkbox"/> | Tax indemnification and gross-up payments | <input type="checkbox"/> | Health or social club dues or initiation fees   |
| <input type="checkbox"/> | Discretionary spending account            | <input type="checkbox"/> | Personal services (e.g., maid, chauffeur, chef) |

	Yes	No
1a		

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |                                     |                                     |                                     |   |
|-------------------------------------|-------------------------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Compensation committee              | <input checked="" type="checkbox"/> | Written employment contract                     |
| <input checked="" type="checkbox"/> | Independent compensation consultant | <input checked="" type="checkbox"/> | Compensation survey or study                    |
| <input checked="" type="checkbox"/> | Form 990 of other organizations     | <input checked="" type="checkbox"/> | Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
  - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
  - c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
  - b** Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
  - b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b		
2		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2015

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 SCOTT JACKSON PRESIDENT & CEO	(i)	303,633.	56,668.	14,950.	13,250.	25,107.	413,608.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
2 CHRISTINE SOW EXECUTIVE DIRECTOR, GHC	(i)	179,436.	0.	0.	0.	0.	179,436.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
3 ANN CANELA VP, PARTNER SOLUTIONS	(i)	136,387.	21,982.	15,595.	7,798.	5,858.	187,620.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
4 JOSEPH METTIMANO VP, MKTG & CAMPAIGN ENGAGEMENT	(i)	143,687.	21,443.	7,798.	7,798.	15,185.	195,911.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
5 STEPHANIE SCHOLZ MANAGING DIRECTOR, HR & ADMIN	(i)	116,400.	21,955.	7,176.	7,176.	16,433.	169,140.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
6 MARK MILLIGAN MANAGING DIRECTOR, FINANCE	(i)	123,493.	16,201.	9,463.	9,463.	6,140.	164,760.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
7	(i)							
	(ii)							
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

1208

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

1209

**SCHEDULE O**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
GLOBAL IMPACT

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

Employer identification number  
52-1273585

FORM 990, PART III, LINE 1 - MISSION:

(CONTINUED) GLOBAL IMPACT IS A LEADER IN GROWING GLOBAL PHILANTHROPY. THE ORGANIZATION BUILDS PARTNERSHIPS AND RAISES RESOURCES THAT HELP THE WORLD'S MOST VULNERABLE PEOPLE. GLOBAL IMPACT PROVIDES A CONTINUUM OF SERVICES INCLUDING: 1) INTEGRATED, PARTNER- SPECIFIC ADVISORY AND BACKBONE SERVICES; 2) CAMPAIGN DESIGN, MARKETING AND IMPLEMENTATION FOR WORKPLACE AND SIGNATURE FUNDRAISING CAMPAIGNS; AND 3) FISCAL AGENCY, TECHNOLOGY SERVICES AND INTEGRATED GIVING PLATFORMS. GLOBAL IMPACT WORKS WITH APPROXIMATELY 450 PUBLIC AND PRIVATE SECTOR WORKPLACE GIVING CAMPAIGNS TO GENERATE FUNDING FOR THE GLOBAL IMPACT ALLIANCE INCLUDING CARE, DOCTORS WITHOUT BORDERS, HEIFER INTERNATIONAL, SAVE THE CHILDREN, THE U.S. FUND FOR UNICEF AND WORLD VISION. GLOBAL IMPACT EQUIPS PRIVATE SECTOR AND NONPROFIT SECTOR ORGANIZATIONS TO ACHIEVE THEIR PHILANTHROPIC GOALS BY PROVIDING REVENUE DIVERSIFICATION STRATEGIES, EMPLOYEE ENGAGEMENT PROGRAMS, CSR STRATEGIES, AND CUSTOM PHILANTHROPIC FUNDS. SINCE 1956, GLOBAL IMPACT HAS GENERATED MORE THAN \$1.7 BILLION TO HELP THE WORLD'S MOST VULNERABLE PEOPLE. LEARN MORE AT CHARITY.ORG.

FORM 990, PART III, LINE 4A - CAMPAIGN SOLUTIONS:

GLOBAL IMPACT CREATES AND IMPLEMENTS A FULL SUITE OF WORKPLACE FUNDRAISING CAMPAIGN SOLUTIONS THAT INCLUDES CAMPAIGN DESIGN, THE OVERALL AND ONGOING MANAGEMENT OF A CAMPAIGN, AND ONGOING REPRESENTATION WITH EMPLOYEES, DONORS AND INSTITUTIONS. DURING THIS YEAR, GLOBAL IMPACT ADMINISTERED THE COMBINED FEDERAL CAMPAIGN-OVERSEAS, WHICH RAISED OVER \$7

Name of the organization GLOBAL IMPACT	Employer identification number 52-1273585
---	--

MILLION IN GROSS PLEDGES. ON BEHALF OF ITS ALLIANCE OF 128 INTERNATIONALLY-FOCUSED CHARITIES, GLOBAL IMPACT ENGAGED NEARLY 450 PUBLIC AND PRIVATE-SECTOR WORKPLACE GIVING CAMPAIGNS, WHICH COLLECTIVELY RAISED NEARLY \$21.7 MILLION IN GROSS PLEDGES IN FISCAL YEAR 2016. GLOBAL IMPACT ALSO PROVIDES IMPACT FUNDS, WHICH CURRENTLY ARE BUILT AROUND TEN THEMATIC AREAS: DISASTER RELIEF, ECONOMIC DEVELOPMENT, EDUCATION, CLEAN WATER, GLOBAL HEALTH AND CHILD SURVIVAL, HUMAN TRAFFICKING, HUNGER, MALARIA, WOMEN AND GIRLS AND THE SYRIAN REFUGEE FUND. THESE FUNDS ARE DESIGNED FOR THE DONOR WHO IS CONCERNED ABOUT A PARTICULAR ISSUE, AND CAN BE PROVIDED AS A GIVING OPTION WITHIN AN EXISTING WORKPLACE GIVING CAMPAIGN OR CAN BE TAILORED TO THE SPECIFIC PHILANTHROPIC NEEDS OF A CORPORATION OR FOUNDATION. BY LEVERAGING A BROAD AND LONG-STANDING NETWORK OF MEDIA ALLIANCES, GLOBAL IMPACT PROVIDES CHARITIES THE MEANS OF PARTICIPATING IN PRINT AND ELECTRONIC COOPERATIVE ADVERTISING CAMPAIGNS. FOR NEARLY 10 YEARS GLOBAL IMPACT HAS SERVED AS FIDUCIARY FOR DONOR ADVISED FUNDS ON BEHALF OF CORPORATE FOUNDATIONS. THROUGH THESE EFFORTS, \$3.5 MILLION WAS RAISED AND DISTRIBUTED TO CHARITIES DURING THE YEAR. THROUGH ITS WORK TO ANNUALLY RECERTIFY THE 128 MEMBERS OF ITS CHARITY ALLIANCE, GLOBAL IMPACT USES ITS EXTENSIVE EXPERIENCE TO VET AND CERTIFY BOTH DOMESTIC AND INTERNATIONAL CHARITABLE ORGANIZATIONS. USING ITS PROPRIETARY MODEL, GLOBAL IMPACT HAS BUILT A SUCCESSFUL CHARITY VETTING, CERTIFICATION AND STATE REGISTRATION PRACTICE AREA THAT INCORPORATES IRS REQUIREMENTS, FINANCIAL ANALYSIS AND MONITORING.

FORM 990, PART III, LINE 4B - PARTNER SOLUTIONS:

THE PARTNER SOLUTIONS TEAM BRINGS CUSTOMIZED CONSULTING SERVICES TO THE

Name of the organization GLOBAL IMPACT	Employer identification number 52-1273585
---	--

PRIVATE AND NON-PROFIT SECTORS INCLUDING STRATEGY, IMPLEMENTATION AND ON-GOING ORGANIZATIONAL SUPPORT. THE TEAM PROVIDES INTEGRATED, CROSS-SECTOR AND COLLABORATIVE APPROACHES ALONG A CONTINUUM OF GLOBAL RESOURCE DEVELOPMENT AND PARTNERSHIP NEEDS. MOBILIZING THE NEXUS OF PRIVATE AND NON-PROFIT SECTORS, WE CREATE STRATEGIES, LAUNCH GLOBAL CAMPAIGNS AND PROVIDE SUPPORTING MECHANISMS TO GROW RESOURCES, RESPONSIBLE BUSINESS, AND SOCIAL IMPACT. SERVICES INCLUDE RESEARCH, STRATEGY, ACTIVATION, MARKETING VISIBILITY AND EVALUATION. ADDITIONALLY, THE PARTNER SOLUTIONS TEAM HAS FOUR TURN-KEY SERVICE SUITES: FUNDRAISING STRATEGY AND CAMPAIGNS, LOCAL TO GLOBAL, STORYTELLING FOR DEVELOPMENT AND BACKBONE/FISCAL SERVICES.

FORM 990, PART VI, SECTION B, LINE 11:

THE ORGANIZATION'S FORM 990 UNDERGOES A NUMBER OF INTERNAL AND EXTERNAL REVIEWS BEFORE IT IS FILED WITH THE IRS. THE RETURN IS PREPARED BY THE ORGANIZATION'S PUBLIC ACCOUNTING FIRM, BDO USA, AND IS REVIEWED BY THE ORGANIZATION'S MANAGING DIRECTOR, FINANCE AND THE PRESIDENT AND CHIEF EXECUTIVE OFFICER. FORM 990 IS THEN REVIEWED BY THE AUDIT COMMITTEE PRIOR TO A BOARD MEETING. THE FORM IS PRESENTED TO THE BOARD BY THE AUDIT COMMITTEE CHAIR OR THE MANAGING DIRECTOR, FINANCE. INDIVIDUALLY, BOARD MEMBERS ARE PROVIDED AN ELECTRONIC VERSION OF THE FORM, SO THAT EACH CAN REVIEW IT AND RAISE QUESTIONS BEFORE THE FORM IS FILED.

FORM 990, PART VI, SECTION B, LINE 12C:

A CONFLICT OF INTEREST IS EXECUTED AND SIGNED ON AN ANNUAL BASIS BY ALL MEMBERS OF THE BOARD AND STAFF. WHEN ANY EXPRESSION OF CONFLICT OF

Name of the organization GLOBAL IMPACT	Employer identification number 52-1273585
---	--

INTEREST SEEMS EVEN REMOTELY POSSIBLE, THE PERSON(S) POTENTIALLY INVOLVED SHALL REMOVE HIMSELF/HERSELF (THEMSELVES) FROM ANY PROCESS LEADING TO RECOMMENDATIONS OR DECISION MAKING RELATING TO MATTERS IN WHICH A CONFLICT MAY EXIST.

FORM 990, PART VI, SECTION B, LINE 15:

THE BOARD'S EXECUTIVE COMMITTEE SERVES AS THE COMPENSATION COMMITTEE AND MAKES THE DECISION ON EXECUTIVE COMPENSATION. THE COMMITTEE OVERSEES MANAGEMENT TO CONDUCT AND PROVIDE COMPENSATION REVIEWS AND PRESENTS COMPARABLE SALARIES FOR EACH POSITION.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST. ADDITIONALLY, THE FINANCIAL STATEMENTS ARE POSTED ON ITS WEBSITE.

FORM 990, PART XII, LINE 2C - OVERSIGHT OF AUDIT:

THERE HAVE BEEN NO CHANGES DURING THE YEAR IN THE PROCESS FOR OVERSIGHT OF THE AUDIT OF THE FINANCIAL STATEMENTS.

ATTACHMENT 1

FORM 990, PART VI, LINE 17 - STATES

AL, AK, AZ, AR, CA, CO, CT,  
DC, FL, GA, HI, IL, IN, KS, KY, ME, MD, MI,  
MN, MS, MO, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA,  
RI, SC, TN, TX, UT, VT, VA, WA, WV, WI,

Name of the organization  
GLOBAL IMPACTEmployer identification number  
52-1273585ATTACHMENT 2990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
SCHANER & LUBITZ, PLLC 6931 ARLINGTON ROAD BETHESDA, MD 20814	LEGAL	183,305.
RACKSPACE MANAGED HOSTING P.O. BOX 730759 DALLAS, TX 75373	INTERNET HOSTING	117,297.



**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2015**

**Open to Public  
Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.

▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization

GLOBAL IMPACT

Employer identification number

52-1273585

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) CAMPAIGN ACCELERATOR, INC, 26-3265577 1199 N FAIRFAX ST., SUITE 300 ALEXANDRIA, VA 22314	SEE PART VII	DC	501(C)(3)	7	SEE PART VII	X	
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2015

JSA

5E1307 1.000

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

1216

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity . . . . .	1a	X
b Gift, grant, or capital contribution to related organization(s) . . . . .	1b	X
c Gift, grant, or capital contribution from related organization(s) . . . . .	1c	X
d Loans or loan guarantees to or for related organization(s) . . . . .	1d	X
e Loans or loan guarantees by related organization(s) . . . . .	1e	X
f Dividends from related organization(s) . . . . .	1f	X
g Sale of assets to related organization(s) . . . . .	1g	X
h Purchase of assets from related organization(s) . . . . .	1h	X
i Exchange of assets with related organization(s) . . . . .	1i	X
j Lease of facilities, equipment, or other assets to related organization(s) . . . . .	1j	X
k Lease of facilities, equipment, or other assets from related organization(s) . . . . .	1k	X
l Performance of services or membership or fundraising solicitations for related organization(s) . . . . .	1l	X
m Performance of services or membership or fundraising solicitations by related organization(s) . . . . .	1m	X
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .	1n	X
o Sharing of paid employees with related organization(s) . . . . .	1o	X
p Reimbursement paid to related organization(s) for expenses . . . . .	1p	X
q Reimbursement paid by related organization(s) for expenses . . . . .	1q	X
r Other transfer of cash or property to related organization(s) . . . . .	1r	X
s Other transfer of cash or property from related organization(s) . . . . .	1s	X

2. If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

1217

**Part VI** Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

1218

**Part VII** Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

PART II, LINE (1):

COLUMN (B) - PRIMARY ACTIVITY: CAMPAIGN ACCELERATOR, INC. PROVIDES FINANCIAL AND TECHNOLOGY SERVICE AND PLATFORM TO SUPPORT CAMPAIGN FOR INCREASING THE VISIBILITY AND PROGRAMMATIC SUPPORT OF HUMANITARIAN CAUSES.

COLUMN (F) - DIRECT CONTROLLING ENTITY: GLOBAL IMPACT

# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns. Enter filer's identifying number, see instructions

<b>Type or print</b>	Name of exempt organization or other filer, see instructions.  GLOBAL IMPACT	Employer identification number (EIN) or  52-1273585
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 1199 NORTH FAIRFAX ST, SUITE 300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ALEXANDRIA, VA 22314	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARK MILLIGAN, MNG DIR-FINANCE

- The books are in the care of ▶ 1199 N FAIRFAX ST #300 ALEXANDRIA, VA 22314

Telephone No. ▶ 703 717-5200 FAX No. ▶ 703 717-5215

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/15, 2017, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶  calendar year 20\_\_\_\_ or

▶  tax year beginning 07/01, 2015, and ending 06/30, 2016

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 0.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box.  **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	GLOBAL IMPACT	52-1273585
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	1199 NORTH FAIRFAX ST, SUITE 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	ALEXANDRIA, VA 22314	

Enter the Return code for the return that this application is for (file a separate application for each return) 01

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Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of  MARK MILLIGAN, MNG DIR-FINANCE  
 Telephone No.  703 717-5200 Fax No.  703 717-5215
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.
- 4 I request an additional 3-month extension of time until 05/15, 20 17.
- 5 For calendar year \_\_\_\_\_, or other tax year beginning 07/01, 20 15, and ending 06/30, 20 16.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period
- 7 State in detail why you need the extension DUE TO THE COMPLEXITY OF THE RETURN, ADDITIONAL TIME IS NECESSARY TO COMPILE THE INFORMATION NEEDED FOR A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	0.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	0.
c	<b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Joyce Underwood Title  CPA Date  02/01/2017

**Exempt Organization Business Income Tax Return**  
(and proxy tax under section 6033(e))

**2015**

For calendar year 2015 or other tax year beginning 07/01, 2015, and ending 06/30, 2016

Department of the Treasury  
Internal Revenue Service

► Information about Form 990-T and its instructions is available at [www.irs.gov/form990t](http://www.irs.gov/form990t).  
► Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

A <input type="checkbox"/> Check box if address changed	Name of organization ( <input type="checkbox"/> Check box if name changed and see instructions.)	D Employer identification number (Employees' trust, see instructions.)	
			GLOBAL IMPACT
B Exempt under section <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 408(e) <input type="checkbox"/> 220(e) <input type="checkbox"/> 408A <input type="checkbox"/> 530(a) <input type="checkbox"/> 529(a)	Print or Type	E Unrelated business activity codes (See instructions.)	
	Number, street, and room or suite no. If a P.O. box, see instructions.		541200
	1199 NORTH FAIRFAX ST, SUITE 300		
C Book value of all assets at end of year	City or town, state or province, country, and ZIP or foreign postal code		
23,891,112.	ALEXANDRIA, VA 22314		
F Group exemption number (See instructions.) ►			
G Check organization type <input checked="" type="checkbox"/> 501(c) corporation <input type="checkbox"/> 501(c) trust <input type="checkbox"/> 401(a) trust <input type="checkbox"/> Other trust			

H Describe the organization's primary unrelated business activity. ► FINANCE SERVICES

I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidary controlled group? . . . . .  Yes  No  
If "Yes," enter the name and identifying number of the parent corporation. ►

J The books are in care of ► MARK MILLIGAN, MNG DIR-FINAN Telephone number ► 703-717-5200

Part I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1a	Gross receipts or sales			
b	Less returns and allowances			
	c Balance ►	1c		
2	Cost of goods sold (Schedule A, line 7)	2		
3	Gross profit. Subtract line 2 from line 1c	3		
4a	Capital gain net income (attach Schedule D)	4a		
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b		
c	Capital loss deduction for trusts	4c		
5	Income (loss) from partnerships and S corporations (attach statement)	5		
6	Rent income (Schedule C)	6		
7	Unrelated debt-financed income (Schedule E)	7		
8	Interest, annuities, royalties, and rents from controlled organizations (Schedule F)	8		
9	Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)	9		
10	Exploited exempt activity income (Schedule I)	10	58,883.	38,908.
11	Advertising income (Schedule J)	11		
12	Other income (See instructions; attach schedule)	12		
13	Total. Combine lines 3 through 12	13	58,883.	38,908.
				19,975.

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions.) (Except for contributions, deductions must be directly connected with the unrelated business income.)			
14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	17	
18	Interest (attach schedule)	18	
19	Taxes and licenses	19	
20	Charitable contributions (See instructions for limitation rules)	20	
21	Depreciation (attach Form 4562)	21	
22	Less depreciation claimed on Schedule A and elsewhere on return	22a	
23	Depletion	23	
24	Contributions to deferred compensation plans	24	
25	Employee benefit programs	25	
26	Excess exempt expenses (Schedule I)	26	
27	Excess readership costs (Schedule J)	27	
28	Other deductions (attach schedule)	28	
29	Total deductions. Add lines 14 through 28	29	
30	Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13	30	19,975.
31	Net operating loss deduction (limited to the amount on line 30)	31	19,975.
32	Unrelated business taxable income before specific deduction. Subtract line 31 from line 30	32	
33	Specific deduction (Generally \$1,000, but see line 33 instructions for exceptions)	33	1,000.
34	Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32	34	0.



**Part III Tax Computation**

**35 Organizations Taxable as Corporations.** See instructions for tax computation. Controlled group members (sections 1561 and 1563) check here  See instructions and:

a Enter your share of the \$50,000, \$25,000, and \$9,925,000 taxable income brackets (in that order):  
 (1) \$ \_\_\_\_\_ (2) \$ \_\_\_\_\_ (3) \$ \_\_\_\_\_

b Enter organization's share of: (1) Additional 5% tax (not more than \$11,750) . . . . . \$ \_\_\_\_\_  
 (2) Additional 3% tax (not more than \$100,000) . . . . . \$ \_\_\_\_\_

c Income tax on the amount on line 34 . . . . . **35c**

**36 Trusts Taxable at Trust Rates.** See instructions for tax computation. Income tax on the amount on line 34 from:  Tax rate schedule or  Schedule D (Form 1041) . . . . . **36**

**37 Proxy tax.** See instructions . . . . . **37**

**38 Alternative minimum tax** . . . . . **38**

**39 Total.** Add lines 37 and 38 to line 35c or 36, whichever applies . . . . . **39**

**Part IV Tax and Payments**

**40 a Foreign tax credit** (corporations attach Form 1118; trusts attach Form 1116) . . . . . **40a**

**b Other credits** (see instructions) . . . . . **40b**

**c General business credit.** Attach Form 3800 (see instructions) . . . . . **40c**

**d Credit for prior year minimum tax** (attach Form 8801 or 8827) . . . . . **40d**

**e Total credits.** Add lines 40a through 40d . . . . . **40e**

**41 Subtract line 40e from line 39** . . . . . **41**

**42 Other taxes.** Check if from:  Form 4255  Form 8611  Form 8697  Form 8866  Other (attach schedule) . . . . . **42**

**43 Total tax.** Add lines 41 and 42 . . . . . **43** 0.

**44 a Payments: A 2014 overpayment credited to 2015** . . . . . **44a**

**b 2015 estimated tax payments** . . . . . **44b**

**c Tax deposited with Form 8868** . . . . . **44c**

**d Foreign organizations: Tax paid or withheld at source** (see instructions) . . . . . **44d**

**e Backup withholding** (see instructions) . . . . . **44e**

**f Credit for small employer health insurance premiums** (Attach Form 8941) . . . . . **44f**

**g Other credits and payments:**  Form 2439  Form 4136  Other Total **44g**

**45 Total payments.** Add lines 44a through 44g . . . . . **45**

**46 Estimated tax penalty** (see instructions). Check if Form 2220 is attached . . . . .  **46**

**47 Tax due.** If line 45 is less than the total of lines 43 and 46, enter amount owed . . . . . **47**

**48 Overpayment.** If line 45 is larger than the total of lines 43 and 46, enter amount overpaid . . . . . **48**

**49 Enter the amount of line 48 you want:** Credited to 2016 estimated tax  Refunded  **49**

**Part V Statements Regarding Certain Activities and Other Information** (see instructions)

**1** At any time during the 2015 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here  **Yes**  **No**

**2** During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file.  **Yes**  **No**

**3** Enter the amount of tax-exempt interest received or accrued during the tax year  \$

**Schedule A - Cost of Goods Sold.** Enter method of inventory valuation

<b>1</b> Inventory at beginning of year	<b>1</b>		<b>6</b> Inventory at end of year	<b>6</b>	
<b>2</b> Purchases	<b>2</b>		<b>7</b> Cost of goods sold. Subtract line 6 from line 5. Enter here and in Part I, line 2.	<b>7</b>	
<b>3</b> Cost of labor	<b>3</b>		<b>8</b> Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization?	<b>Yes</b>	<b>No</b>
<b>4a</b> Additional section 263A costs (attach schedule)	<b>4a</b>			<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>b</b> Other costs (attach schedule)	<b>4b</b>				
<b>5</b> Total. Add lines 1 through 4b	<b>5</b>				<input checked="" type="checkbox"/>

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**  Signature of officer  Date  Title **PRESIDENT AND CEO**

May the IRS discuss this return with the preparer shown below (see instructions)?  **Yes**  **No**

**Paid Preparer Use Only**

Print/Type preparer's name: JOYCE UNDERWOOD  
 Preparer's signature: *Joyce Underwood*  
 Date: 02/16/2017  
 Check  if self-employed PTIN: P00022361  
 Firm's name: BDO USA, LLP  
 Firm's EIN: 13-5381590  
 Firm's address: 8401 GREENSBORO DRIVE, SUITE 800  
 Phone no.: 703-893-0600

MCLEAN, VA 22102

Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property) (see instructions)

1. Description of property

Table with 4 rows for property description (1-4).

2. Rent received or accrued

Table with 3 columns: (a) From personal property, (b) From real and personal property, and 3(a) Deductions directly connected with the income.

(c) Total income. Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A).

(b) Total deductions. Enter here and on page 1, Part I, line 6, column (B).

Schedule E - Unrelated Debt-Financed Income (see instructions)

Table with 5 columns: 1. Description of debt-financed property, 2. Gross income from or allocable to debt-financed property, 3. Deductions directly connected with or allocable to debt-financed property, 4. Amount of average acquisition debt, 5. Average adjusted basis, 6. Column 4 divided by column 5, 7. Gross income reportable, 8. Allocable deductions.

Totals

Total dividends-received deductions included in column 8

Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

Table for Exempt Controlled Organizations with 6 columns: 1. Name of controlled organization, 2. Employer identification number, 3. Net unrelated income, 4. Total of specified payments made, 5. Part of column 4 that is included in the controlling organization's gross income, 6. Deductions directly connected with income in column 5.

Nonexempt Controlled Organizations

Table for Nonexempt Controlled Organizations with 5 columns: 7. Taxable income, 8. Net unrelated income, 9. Total of specified payments made, 10. Part of column 9 that is included in the controlling organization's gross income, 11. Deductions directly connected with income in column 10.

Totals

Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (A).

Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B).

**Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization** (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)				
(2)				
(3)				
(4)				
<b>Totals</b> . . . . . ▶		Enter here and on page 1, Part I, line 9, column (A).		Enter here and on page 1, Part I, line 9, column (B).

**Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income** (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4).
(1) ATCH 1						
(2)						
(3)						
(4)						
<b>Totals</b> . . . . . ▶		Enter here and on page 1, Part I, line 10, col. (A). 58,883.	Enter here and on page 1, Part I, line 10, col. (B). 38,908.			Enter here and on page 1, Part II, line 26.

**Schedule J - Advertising Income** (see instructions)

**Part I Income From Periodicals Reported on a Consolidated Basis**

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
<b>Totals</b> (carry to Part II, line (5)) . . . ▶						

**Part II Income From Periodicals Reported on a Separate Basis** (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
<b>Totals from Part I</b> . . . . . ▶						
<b>Totals, Part II</b> (lines 1-5) . . . . . ▶		Enter here and on page 1, Part I, line 11, col. (A).	Enter here and on page 1, Part I, line 11, col. (B).			Enter here and on page 1, Part II, line 27.

**Schedule K - Compensation of Officers, Directors, and Trustees** (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4. Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
<b>Total.</b> Enter here and on page 1, Part II, line 14. . . . . ▶			

SCHEDULE I - EXPLOITED EXEMPT ACTIVITY INCOME, OTHER THAN ADVERTISING INCOME

ATTACHMENT 1

1. <u>EXPLOITED ACTIVITY</u>	2. GROSS UNRELATED BUSINESS <u>INCOME</u>	3. EXPENSES DIRECTLY <u>CONNECTED</u>	4. NET INCOME OR (LOSS)	5. GROSS INCOME <u>FROM ACTIVITY</u>	6. EXPENSES ATTRIBUTABLE <u>TO COL. 5</u>	7. EXCESS EXEMPT <u>EXPENSES</u>
FINANCE SERVICES	58,883.	38,908.	19,975.			
COLUMN TOTALS	<u>58,883.</u>	<u>38,908.</u>				

1226

FEDERAL FOOTNOTES

FORM 990-T NET OPERATING LOSS CARRYOVER SCHEDULE

TAX YEAR	ORIGINAL LOSS	AMOUNT USED 6/30/16	AMOUNT CARRIED FORWARD
06/30/14	12,120	12,120	0
06/30/15	7,956	7,855	101
TOTALS	20,076	19,975	101

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Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	GLOBAL IMPACT	52-1273585
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	1199 NORTH FAIRFAX ST, SUITE 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	ALEXANDRIA, VA 22314	

Enter the Return code for the return that this application is for (file a separate application for each return)  0  7

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MARK MILLIGAN, MNG DIR-FINANCE  
 • The books are in the care of ► 1199 N FAIRFAX ST #300 ALEXANDRIA, VA 22314

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3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.



## Global Impact

### Financial Statements and Supplemental Material Years Ended June 30, 2016 and 2015

The report accompanying these financial statements was issued by BDO USA, LLP, a Delaware limited liability partnership and the U.S. member of BDO International Limited, a UK company limited by guarantee.



## **Global Impact**

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**Financial Statements  
and Supplemental Material**  
Years Ended June 30, 2016 and 2015



# Global Impact

## Contents

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Independent Auditor's Report	3-4
<b>Financial Statements</b>	
Statements of Financial Position	5
Statements of Activities	6
Statements of Changes in Net Assets	7
Statements of Cash Flows	8
Notes to Financial Statements	9-18
<b>Supplemental Material</b>	
Independent Auditor's Report on Supplemental Material	19
Schedule of Functional Expenses	20



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McLean, VA 22102

## Independent Auditor's Report

To the Board of Directors  
**Global Impact**  
Alexandria, Virginia

### Report on the Financial Statements

We have audited the accompanying financial statements of **Global Impact**, which comprise the statements of financial position as of June 30, 2016 and 2015, and the related statements of activities, changes in net assets, and cash flows for the years then ended, and the related notes to the financial statements.

#### *Management's Responsibility for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

#### *Auditor's Responsibility*

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

BDO USA, LLP, a Delaware limited liability partnership, is the U.S. member of BDO International Limited, a UK company limited by guarantee, and forms part of the international BDO network of independent member firms.

BDO is the brand name for the BDO network and for each of the BDO Member Firms.



*Opinion*

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Global Impact as of June 30, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

BDO USA, LLP

October 27, 2016

## Financial Statements

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# Global Impact

## Statements of Financial Position

<i>June 30,</i>	2016	2015
<b>Assets</b>		
Cash and cash equivalents	\$ 3,062,955	\$ 3,531,743
Pledges receivable (net of shrinkage for uncollectible pledges of \$744,575 and \$728,899, respectively)	16,709,704	19,306,907
Accounts receivable	504,053	469,778
Due from Combined Federal Campaigns	1,378,234	152,953
Investments	1,108,393	1,086,905
Property and equipment, net	965,650	1,106,615
Other assets	162,123	106,031
<b>Total assets</b>	<b>\$ 23,891,112</b>	<b>\$ 25,760,932</b>
<b>Liabilities and Net Assets</b>		
<b>Liabilities</b>		
Lines-of-credit	\$ 1,164,574	\$ 83,642
Accounts payable	202,022	590,383
Accrued expenses	961,751	720,176
Campaign funds payable to member charities	14,751,973	16,615,673
Donor advised funds payable	197,330	574,017
Other distributions payable	1,347,801	425,016
Deferred revenue	69,853	-
Deferred rent liability	860,472	895,330
<b>Total liabilities</b>	<b>19,555,776</b>	<b>19,904,237</b>
<b>Commitments and Contingencies</b>		
<b>Net Assets</b>		
Unrestricted		
Available for general use	4,335,336	5,356,695
Opportunity fund	-	500,000
<b>Total net assets</b>	<b>4,335,336</b>	<b>5,856,695</b>
<b>Total liabilities and net assets</b>	<b>\$ 23,891,112</b>	<b>\$ 25,760,932</b>

*See accompanying notes to financial statements.*

## Global Impact

### Statements of Activities

Years ended June 30,	2016	2015
<b>Amounts Raised in Campaigns (Net of campaign expense and shrinkage)</b>		
Combined Federal Campaigns	\$ 5,942,211	\$ 5,621,816
State government employee	2,057,453	2,056,897
Private sector employee	1,780,339	1,940,549
Employee campaigns - indirect payments	9,274,461	12,753,759
Local government employee	540,618	627,258
<b>Total Raised in Campaigns</b>	<b>19,595,082</b>	<b>23,000,279</b>
Less: distributions to member charities	18,910,100	22,101,199
<b>Public support designated to Global Impact</b>	<b>684,982</b>	<b>899,080</b>
<b>Revenue, gains and other support</b>		
Donor advised funds	3,534,438	5,962,705
Administrative charges for raising funds on behalf of others	3,770,997	5,328,323
Non-recurring giving for international relief and development	4,211,472	2,078,626
Advisory services, program grants and related revenue	2,211,376	1,666,366
Program support services	418,869	503,010
Cost share reimbursements	-	85,496
Net investment return	20,688	(16,333)
Contributions	36,183	35,716
Other revenue	47	201
<b>Total revenue, gains and other support</b>	<b>14,204,070</b>	<b>15,644,110</b>
<b>Total public support, revenue, gains and other support</b>	<b>14,889,052</b>	<b>16,543,190</b>
<b>Expenses</b>		
<b>Program Services</b>		
Distribution to charities		
Donor advised funds	3,534,438	5,962,705
International relief and development	3,774,423	1,954,985
<b>Total distributions to charities</b>	<b>7,308,861</b>	<b>7,917,690</b>
<b>Campaign Support</b>		
General campaigns	1,918,555	2,053,207
Special programmatic services	2,547,184	1,769,334
Donor advised funds	295,794	75,529
<b>Total campaign support</b>	<b>4,761,533</b>	<b>3,898,070</b>
<b>Total program services</b>	<b>12,070,394</b>	<b>11,815,760</b>
<b>Supporting Services</b>		
Management and general	3,711,333	3,948,574
Fundraising	628,684	778,856
<b>Total supporting services</b>	<b>4,340,017</b>	<b>4,727,430</b>
<b>Total expenses</b>	<b>16,410,411</b>	<b>16,543,190</b>
<b>Change in unrestricted net assets</b>	<b>\$ (1,521,359)</b>	<b>\$ -</b>

*See accompanying notes to financial statements.*

## Global Impact

### Statements of Changes in Net Assets

	Unrestricted			Total
	General Use	Microsoft Gift Fund	Opportunity Fund	
Net assets, June 30, 2014	\$ 5,146,818	\$ 209,877	\$ 500,000	\$ 5,856,695
Change in net assets	-	-	-	-
Transfers	209,877	(209,877)	-	-
Net assets, June 30, 2015	5,356,695	-	500,000	5,856,695
Change in net assets	(1,521,359)	-	-	(1,521,359)
Transfers	500,000	-	(500,000)	-
Net assets, June 30, 2016	\$ 4,335,336	\$ -	\$ -	\$ 4,335,336

*See accompanying notes to financial statements.*

# Global Impact

## Statements of Cash Flows

<i>Years ended June 30,</i>	2016	2015
<b>Cash flows from operating activities</b>		
Change in unrestricted net assets	\$ (1,521,359)	\$ -
<b>Adjustments to reconcile change in unrestricted net assets to net cash used in operating activities:</b>		
Net unrealized losses on investments	3,811	86,900
Depreciation and amortization	203,027	413,620
Bad debt expense	754,535	-
Loss on disposal of property and equipment	658	-
<b>(Increase) decrease in assets</b>		
Pledges receivable	1,842,668	(7,138,815)
Accounts receivable	(34,275)	(68,182)
Due from Combined Federal Campaigns	(1,225,281)	16,543
Other assets	(56,092)	5,954
<b>Increase (decrease) in liabilities</b>		
Accounts payable	(388,361)	419,625
Accrued expenses	241,575	223,444
Campaign funds payable to member charities	(1,863,700)	5,570,286
Donor advised funds payable	(376,687)	(200,791)
Other distributions payable	922,785	286,635
Deferred revenue	69,853	-
Deferred rent liability	(34,858)	76,446
<b>Net cash used in operating activities</b>	<b>(1,461,701)</b>	<b>(308,335)</b>
<b>Cash flows from investing activities</b>		
Purchases of investments	(56,745)	(730,185)
Proceeds from sales of investments	31,446	669,823
Purchases of property and equipment	(62,720)	(63,251)
<b>Net cash used in investing activities</b>	<b>(88,019)</b>	<b>(123,613)</b>
<b>Cash flows from financing activities</b>		
Repayments on lines-of-credit	(1,289,922)	(1,032,248)
Borrowings on lines-of-credit	2,370,854	1,021,427
<b>Net cash provided by (used in) financing activities</b>	<b>1,080,932</b>	<b>(10,821)</b>
<b>Net decrease in cash and cash equivalents</b>	<b>(468,788)</b>	<b>(442,769)</b>
Cash and cash equivalents, beginning of year	3,531,743	3,974,512
<b>Cash and cash equivalents, end of year</b>	<b>\$ 3,062,955</b>	<b>\$ 3,531,743</b>

*See accompanying notes to financial statements.*



# Global Impact

## Notes to Financial Statements

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### 1. Summary of Accounting Policies

#### Organization Purpose

Global Impact's vision is to be the leader in growing global philanthropy and its mission is to build partnerships and resources for the world's most vulnerable people. Its primary activities include:

- a) Participating in nearly 450 workplace giving campaigns including the federal government, state governments, local governments and private workplaces to provide a means for employees of participating institutions to donate either to Global Impact or to its more than 120 member charities;
- b) Creating alliances with funding organizations to address specific relief or development needs in developing countries;
- c) Provide philanthropic services including campaign management services, secretariat services, focused signature and high impact fund campaign management services including employer-based emergency relief campaigns, advisory services, support services, state charitable registration services and the management of donor advised funds;
- d) Distribute funds raised on behalf of member charities to them based on criteria established by the Board of Directors;
- e) Adherence to distributions formulae established by the Board of Directors for other funds raised; and
- f) Performing such other charitable and educational activities as may be necessary in order to support or accomplish the foregoing.

#### Basis of Accounting

Global Impact's financial statements are prepared using the accrual basis of accounting.

#### Cash and Cash Equivalents

Global Impact considers cash on hand, deposits in banks, and investments purchased with an original maturity of three months or less to be cash and cash equivalents other than those included in Global Impact's investment portfolio.

#### Pledges Receivable

Pledges are recorded in the financial statements upon receipt of pledge information from the campaigns. Global Impact honors designations made to each member organization. As all pledges are expected to be collected within one year, they are recorded at their net realizable value. This is achieved by creating an allowance for estimated uncollectible pledges and for estimated campaign expenses. At the end of each fiscal year, any amounts receivable from the previous year's campaign are written off. Subsequent receipts relating to such amounts are set off against the shrinkage expense.

# Global Impact

## Notes to Financial Statements

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### Accounts Receivable

Accounts receivable consists primarily of amounts due from member charities and other corporate clients for which Global Impact provides advisory, cooperative advertising, secretariat services, and fund management services. There is no allowance for doubtful accounts due to management's belief that all accounts receivable are collectible.

### Investments

Investments are stated at fair value. Shares of mutual funds are valued at quoted market prices which represent the net asset value of shares held by Global Impact at year-end.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is accrued when earned. Dividends are recorded on the ex-dividend date. Unrealized and realized gains and losses are included in the statements of activities.

### Property and Equipment

Property and equipment with unit values in excess of \$1,000 are recorded at cost. Depreciation is provided for using the straight-line method of depreciation over the estimated useful lives of the assets which range from three to ten years. Leasehold improvements are amortized on the straight-line basis over the lesser of the estimated service lives of the assets or the remaining lease term. Assets acquired under capital leases are recorded at the lower of the present value of the future minimum lease payments or the fair value of the assets. The assets are amortized over the lesser of the related lease term or their estimated useful life. Cost and related accumulated depreciation and amortization are removed from the accounts when the assets are disposed of, with any gain or loss recognized currently. Repairs and maintenance are charged to expense when incurred.

### Campaign Funds Payable to Member Charities

Pledges that are designated to charity alliance members are recorded as campaign funds payable to member charities. Cash received from campaigns is distributed to each participating member charity in the ratio of its designated pledges to total Global Impact pledges from the relevant campaign. Prior to the monthly distribution of the campaign receipts to the member charities, Board approved expenses less undesignated pledges and other non-designated revenues are deducted on the same ratio as designated pledges and are recorded as administrative charges for raising funds on behalf of others.

### Deferred Rent Liability

A deferred rent liability has been recorded to reflect the benefit of lease incentives in the lease of the office space. The benefits of the lease incentives, including free rent and a tenant improvement allowance, are being recognized ratably over the term of the lease.

# Global Impact

## Notes to Financial Statements

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### Unrestricted Net Assets

Global Impact's unrestricted net assets are composed of the following:

#### Available for General Use

Unrestricted amounts to be used for Global Impact's ongoing operations.

#### Microsoft Gift Fund

In 2012, the Board authorized the establishment of a fund in which to record an in-kind gift of software from Microsoft. The fund is reduced by the amount of the annual amortization expense pertaining to the software.

#### Opportunity Fund

The Board authorized this fund in 1994 to make funds available for new opportunities in accordance with the mission of Global Impact. Effective June 30, 2004, at management's request, the Board set a limit of \$500,000 for the Opportunity Fund. In July 2016, the Board authorized to release the designation of the Opportunity Fund effective May 2016.

### Temporarily Restricted Net Assets

Global Impact reports gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated assets by time or purpose. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statements of activities as net assets released from restrictions. As of June 30, 2016 and 2015, Global Impact had no temporarily restricted net assets.

### Revenue Recognition

Revenue is recognized in the period in which it is earned. Revenue received in advance is deferred to the applicable period. Some workplace campaigns choose to distribute employee charitable contributions directly to member charities. Campaigns in which Global Impact and its funded charities actively participate are recorded based on campaign reports received from the employee campaigns. These direct payments are presented under amounts raised in campaigns in the statements of activities.

### In-Kind Contributions

Donated property and equipment is recorded at fair value at the date of donation. If the donors stipulate how long assets must be used, the contributions are recorded as restricted support. In the absence of such stipulations, contributions of property and equipment are recorded as unrestricted support.

# Global Impact

## Notes to Financial Statements

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Global Impact records donated services, including consulting and speaker fees, at their estimated fair value when they create or enhance nonfinancial assets or they require specialized skills which would need to be purchased if they were not donated. For the years ended June 30, 2016 and 2015, Global Impact received no in-kind contributions.

### Expenses

Expenses are recognized by Global Impact during the period in which they are incurred. Expenses paid in advance and not yet incurred are deferred to the applicable period.

Donor advised funds consist of amounts distributed to member charities and other charities from contributions raised through workplace giving under donor advised fund agreements. Non-recurring giving for international relief and development are non-recurring contributions made for disaster response and other programs from sources other than annual workplace giving campaigns.

Campaign support consists of costs associated with increasing overall recognition and representation of funded charities; costs that benefit the overall campaign; and expenses incurred under cost-sharing arrangements. Special programmatic services expenses consist of costs associated with advisory, fiscal agent, grant, signature and high impact fund and secretariat programs.

Management and general expenses consist of costs directly related to the overall operations of Global Impact and maintenance of its corporate existence, including general office management, reception, and financial reporting. Fundraising includes those costs associated with accessing new workplace fundraising campaigns.

### Functional Allocation of Expenses

The costs of providing various program and supporting services have been summarized on a functional basis in the statements of activities. Accordingly, certain costs have been allocated among the program and supporting services benefited.

### Financial Instruments and Credit Risk

Financial instruments which potentially subject Global Impact to concentrations of credit risk consist principally of cash balances and pledges receivable. At June 30, 2016, Global Impact had deposits in a single financial institution totaling approximately \$3.1 million in excess of the Federal Depository Insurance limit. Global Impact has never experienced any losses related to these balances and believes it is not exposed to any significant credit risk on its cash balances. Credit risk with respect to pledges receivable is limited because Global Impact participates with a significant number of campaigns whose participants are spread over a wide geographic region.

### Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America (GAAP or U.S. GAAP) requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

# Global Impact

## Notes to Financial Statements

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### Income Tax Status

Global Impact is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code. In addition, Global Impact is classified by the Internal Revenue Service as an organization that is not a private foundation. Global Impact is required to report unrelated business income to the Internal Revenue Service and the Commonwealth of Virginia taxing authorities. Global Impact's source of unrelated business income consists of a portion of the advisory services income. No provision for income taxes has been made at June 30, 2016 and 2015 as expenses offset taxable income.

Under Accounting Standards Codification (ASC) 740-10, *Accounting for Uncertainty in Income Taxes*, Global Impact must recognize the tax benefit associated with tax positions taken for tax return purposes when it is more-likely than not that the position will be sustained. Global Impact does not believe there are any unrecognized tax benefits or liabilities that should be recorded. For the years ended June 30, 2016 and 2015, there were no interest or penalties recorded or included in the statements of activities. Global Impact is still open to examination by taxing authorities from fiscal year 2013 forward.

### Reclassification

Certain accounts in the 2015 financial statements have been reclassified to conform with the current year financial statement presentation.

### 2. Due from Combined Federal Campaigns (CFC)

Global Impact has been the Principal Combined Fund Organization (PCFO) for the Department of Defense Combined Federal Campaign (the Overseas Campaign or Overseas) since 1996, and for the National Capital Area's Combined Federal Campaign (the National Capital Area Campaign or NCA) from 2003 to 2012. Starting in 2016, Global Impact became the PCFO again for NCA. Also in 2016, Global Impact became the PCFO for Combined Federal Campaign of Central Virginia (Central Virginia Campaign) and the Combined Federal Campaign of New York City (New York City Campaign). Global Impact pays for the expenses of the CFC Programs and is reimbursed from funds collected. Amounts due to Global Impact from the CFC for unreimbursed expenditures and advances as of June 30, 2016 and 2015 consist of the following:

<i>June 30,</i>	2016	2015
Overseas Campaign	\$ 206,039	\$ 152,953
National Capital Area Campaign	1,079,896	-
Central Virginia Campaign	33,241	-
New York City Campaign	59,058	-
	<u>\$ 1,378,234</u>	<u>\$ 152,953</u>

# Global Impact

## Notes to Financial Statements

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### 3. Fair Value of Financial Investments

Global Impact follows the provisions of ASC 820, *Fair Value Measurements*, in accounting for the fair value of financial investments. ASC 820 establishes a common definition for fair value to be applied under generally accepted accounting principles requiring use of fair value, establishes a framework for measuring fair value, and expands disclosures about such fair value measurements.

ASC 820 defines fair value as the price that would be received to sell an asset or paid to transfer a liability (i.e., the “exit price”) in an orderly transaction between market participants at the measurement date. ASC 820 establishes a hierarchy for inputs used in measuring fair value that maximizes the use of observable inputs and minimizes the use of unobservable inputs by requiring that the observable inputs be used when available.

Observable inputs are inputs that market participants operating within the same marketplace as Global Impact would use in pricing its asset or liability based on independently derived and observable market data. Unobservable inputs are inputs that cannot be sourced from a broad active market in which assets or liabilities identical or similar to those of Global Impact are traded. The input hierarchy is broken down into three levels based on the degree to which the exit price is independently observable or determinable as follows:

#### Basis of Fair Value Measurement:

Level 1: Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities;

Level 2: Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly;

Level 3: Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable.

A financial instrument’s level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement.

Investments measured at fair value on a recurring basis consist of the following based on the fair value hierarchy noted above:

June 30, 2016

	Quoted prices in active markets for identical assets (level 1)	Significant other observable inputs (level 2)	Significant other unobservable inputs (level 3)	Balance as of June 30, 2016
Mutual funds - equities	\$ 625,362	\$ -	\$ -	\$ 625,362
Mutual funds - fixed income	362,123	-	-	362,123
Money market fund	120,908	-	-	120,908
Total assets at fair value	\$ 1,108,393	\$ -	\$ -	\$ 1,108,393

# Global Impact

## Notes to Financial Statements

June 30, 2015

	Quoted prices in active markets for identical assets (level 1)	Significant other observable inputs (level 2)	Significant other unobservable inputs (level 3)	Balance as of June 30, 2015
Mutual funds - equities	\$ 615,215	\$ -	\$ -	\$ 615,215
Mutual funds - fixed income	354,271	-	-	354,271
Money market fund	117,419	-	-	117,419
<b>Total assets at fair value</b>	<b>\$ 1,086,905</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,086,905</b>

### 4. Investments

Investments consist of the following:

<i>June 30,</i>	2016	2015
Mutual funds - equities	\$ 625,362	\$ 615,215
Mutual funds - fixed income	362,123	354,271
Money market fund	120,908	117,419
	<b>\$ 1,108,393</b>	<b>\$ 1,086,905</b>

Net investment return consists of the following:

<i>Years ended June 30,</i>	2016	2015
Interest and dividends	\$ 29,346	\$ 36,751
Net realized (losses) gains	(4,847)	33,816
Net unrealized losses	(3,811)	(86,900)
	<b>\$ 20,688</b>	<b>\$ (16,333)</b>

### 5. Property and Equipment

Property and equipment consists of the following at:

<i>June 30,</i>	2016	2015
Office furniture and equipment	\$ 592,037	\$ 576,974
Leasehold improvements	898,668	898,073
Software	1,013,070	1,013,070
	<b>2,503,775</b>	<b>2,488,117</b>
Less accumulated depreciation and amortization	<b>(1,538,125)</b>	<b>(1,381,502)</b>
	<b>\$ 965,650</b>	<b>\$ 1,106,615</b>

# Global Impact

## Notes to Financial Statements

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Depreciation and amortization expense for the years ended June 30, 2016 and 2015 was \$203,027 and \$413,620, respectively.

### 6. Lines-of-Credit

Global Impact maintains four revolving line-of-credit arrangements to administer the CFC Programs. The first agreement had a maximum borrowing amount of \$1,000,000 that had an expiration of March 2016. This was renewed in January 2016 and has a tiered borrowing structure based on the life cycle of the related CFC campaign with borrowing amount ranging from \$500,000 to \$1,000,000. The second agreement has a tiered borrowing structure based on the life cycle of the related CFC campaign with borrowing amount ranging from \$1,000,000 to \$4,000,000. The third agreement has a maximum borrowing amount of \$150,000. The fourth agreement has a tiered borrowing structure based on the life cycle of the related CFC campaign with borrowing amount ranging from \$150,000 to \$350,000. The four agreements will expire on March 2017. The interest rate is based on the LIBOR daily floating rate plus 2.25%. The interest rate was 2.71% and 2.44% as of June 30, 2016 and 2015, respectively. The lines-of-credit are secured by a blanket lien on Global Impact's receivables and property and equipment. The amount due on the lines-of-credit was \$1,164,574 and \$83,642 as of June 30, 2016 and 2015, respectively. Interest expense incurred and paid for the years ended June 30, 2016 and 2015 was \$13,783 and \$6,868, respectively.

### 7. Amounts Raised in Campaigns

Public support on the statements of activities is represented net of estimated campaign expenses incurred by other organizations and estimated shrinkage of the campaigns. Global Impact includes funds raised in combined federal campaigns (CFC) and other campaigns that are distributed directly to its charity alliance members if Global Impact has had substantial involvement in that campaign. The following tables present gross pledges raised by Global Impact and the reconciliation to net amounts raised in campaigns.

Campaigns for the year ended June 30, 2016:

	Gross Pledges	Shrinkage	Campaign Expenses	Net Pledges
Combined Federal Campaigns	\$ 7,485,398	\$ (510,308)	\$(1,032,879)	\$ 5,942,211
State government employee	2,328,926	(82,664)	(188,809)	2,057,453
Private sector employee	1,948,443	(116,296)	(51,808)	1,780,339
Employee campaigns - indirect payments	9,290,076	(6,692)	(8,923)	9,274,461
Local government employee	602,115	(28,615)	(32,882)	540,618
	<u>\$ 21,654,958</u>	<u>\$ (744,575)</u>	<u>\$(1,315,301)</u>	<u>\$ 19,595,082</u>



# Global Impact

## Notes to Financial Statements

Campaigns for the year ended June 30, 2015:

	Gross Pledges	Shrinkage	Campaign Expenses	Net Pledges
Combined Federal Campaigns	\$ 7,020,038	\$ (451,485)	\$ (946,737)	\$ 5,621,816
State government employee	2,338,447	(91,903)	(189,647)	2,056,897
Private sector employee	2,135,015	(140,650)	(53,816)	1,940,549
Employee campaigns - indirect payments	12,791,104	(17,631)	(19,714)	12,753,759
Local government employee	692,212	(27,230)	(37,724)	627,258
	<u>\$ 24,976,816</u>	<u>\$ (728,899)</u>	<u>\$(1,247,638)</u>	<u>\$ 23,000,279</u>

### 8. Pension Plan

Global Impact has a retirement plan called the Global Impact 401(k) Profit Sharing Plan and Trust, which has two components, a money purchase pension plan and a 401(k) plan. The money purchase pension plan covers all full-time employees who have met the eligibility requirements during the plan year.

During the years ended June 30, 2016 and 2015, Global Impact contributed ten percent as defined in the money purchase plan, of each eligible employee's annual salary to the plan, subject to certain statutory limits. For the years ended June 30, 2016 and 2015, contributions totaled \$434,839 and \$348,827, respectively.

Under the terms of the 401(k) profit sharing plan, eligible employees may make contributions to the extent allowed by law. Global Impact will match employee contributions up to a maximum of five percent of a participant's compensation. For the years ended June 30, 2016 and 2015, contributions totaled \$185,640 and \$145,763, respectively.

### 9. Deferred Compensation Plan

In September 2015, Global Impact established a nonqualified deferred compensation plan for a key employee. Global Impact has assets totaling \$18,679 and \$0 as of June 30, 2016 and 2015, respectively, which are included in the accompanying statements of financial position under other assets. The assets are to be used to satisfy the deferred compensation liability included in the accompanying statements of financial position under accrued expenses. Global Impact's contribution to this plan were \$18,000 and \$0 for the years ended June 30, 2016 and 2015, respectively.

All of the Global Impact's investments related to this plan have been identified as Level 1 in the fair value hierarchy as they have values based on quoted prices in active markets for identical assets based on the criteria included in ASC 820, "Fair Value Measurements and Disclosures". Investment gains and losses from the deferred compensation investments are recorded directly to the asset account and the corresponding liability account.

# Global Impact

## Notes to Financial Statements

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### 10. Lease Commitments

On November 7, 2013, Global Impact entered into an eleven-year lease agreement for a new office space commencing on March 2014 through February 2025. The lease contains rent escalations of approximately 2.75% annually and a fixed rent abatement in the amount of \$243,328 applied towards the first two-year period. In addition, the landlord made concessions to pay for the leasehold improvements up to \$730,015.

Global Impact is obligated under several operating leases for office equipment, which expire in 2017.

Future annual commitments under these leases are as follows:

<i>Years Ending June 30,</i>	
2017	\$ 358,015
2018	342,867
2019	347,487
2020	357,034
2021	366,879
Thereafter	1,432,327
	<hr/>
	\$ 3,204,609

Rent expense for the years ended June 30, 2016 and 2015 was \$298,867 and \$312,490, respectively.

### 11. Commitments and Contingencies

#### *Employment Agreement*

Global Impact has a long-term contract with an employee that extends through April 2018. If the agreement is terminated without cause, the employee shall continue to receive base salary and full benefits for twenty-four months or until April 2018, whichever comes first.

#### *OIG/OPM Audits*

As the PCFO of the CFC Programs, Global Impact is subject to audit by the Inspector General, U.S. Office of Personnel Management (OIG/OPM), U.S. Office of Management and Budget and the U.S. Government Accountability Office. For the years ended June 30, 2016 and 2015, no audits have been performed by the Inspector General.

### 12. Subsequent Events

Global Impact evaluated subsequent events through October 27, 2016 which is the date the financial statements were available to be issued. There were no events noted that required adjustment to or disclosure in these financial statements.

## Supplemental Material

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Tel: 703-893-0600  
Fax: 703-893-2766  
www.bdo.com

8401 Greensboro Drive, Suite 800  
McLean, VA 22102

## Independent Auditor's Report on Supplemental Material

To the Board of Directors  
Global Impact  
Alexandria, Virginia

Our audits of the financial statements included in the preceding section of this report were conducted for the purpose of forming an opinion on those statements as a whole. The supplemental material presented in the following section of this report is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

*BDO USA, LLP*

October 27, 2016

BDO USA, LLP, a Delaware limited liability partnership, is the U.S. member of BDO International Limited, a UK company limited by guarantee, and forms part of the international BDO network of independent member firms.

BDO is the brand name for the BDO network and for each of the BDO Member Firms.

## Global Impact

### Schedule of Functional Expenses (With Comparative Totals for the Year Ended June 30, 2015)

Years ended June 30,	2016					2015				
	Program Services				Supporting Services					
	Distribution to Charities		Campaign Support						Total	Total
	Donor Advised Funds	International Relief and Development	General Campaigns	Special Programmatic Services	Donor Advised Funds	Management and General	Fundraising			
Salaries - headquarters and field	\$ -	\$ -	\$ 1,116,960	\$ 1,330,689	\$ 131,429	\$ 1,323,242	\$ 301,995	\$ 4,204,315	\$ 4,284,341	
Employee fringe benefits	-	-	297,042	409,436	38,901	389,243	86,268	1,220,890	1,151,740	
Bad debt expense	-	-	-	-	-	754,535	-	754,535	-	
Consulting services	-	-	48,313	215,157	101,245	183,160	74,420	622,295	639,122	
Campaign material and expenses	-	-	307,527	50,535	276	32,658	51,670	442,666	648,392	
Rent and occupancy	-	-	61,211	99,417	11,161	105,787	21,291	298,867	312,490	
Travel	-	-	53,931	42,449	4,637	92,175	59,988	253,180	231,756	
Office supplies and expenses	-	-	18,268	78,470	2,741	127,792	23,811	251,082	320,809	
Depreciation and amortization	-	-	-	-	-	203,027	-	203,027	413,620	
Legal	-	-	-	-	-	169,369	-	169,369	190,654	
Data network operations	-	-	-	944	5,404	139,604	-	145,952	167,184	
Accounting and auditing	-	-	-	-	-	66,697	-	66,697	74,643	
Telephone	-	-	10,665	5,674	-	47,256	6,227	69,822	64,838	
Insurance	-	-	-	-	-	56,535	-	56,535	49,550	
Conferences and seminars	-	-	4,638	69	-	19,595	3,014	27,316	76,361	
Loss on disposal of property and equipment	-	-	-	-	-	658	-	658	-	
Distributions to members and others	3,534,438	3,774,423	-	314,344	-	-	-	7,623,205	7,917,690	
<b>Total</b>	<b>\$ 3,534,438</b>	<b>\$ 3,774,423</b>	<b>\$ 1,918,555</b>	<b>\$ 2,547,184</b>	<b>\$ 295,794</b>	<b>\$ 3,711,333</b>	<b>\$ 628,684</b>	<b>\$ 16,410,411</b>	<b>\$ 16,543,190</b>	

1251



America's  
Best Local Charities

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2017 FEB 28 AM 11:13

BT

Ms. Angela Calvillo  
Office of the Clerk  
San Francisco Board of Supervisors  
City Hall, Room 244  
1Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

RE: 2017 City & County of San Francisco Annual Fundraising Drive

Dear Ms. Calvillo:

Please find attached an application with attachments for the 2017 Fundraising Campaign. I have attached all required material based on my understanding of Section 16.93-3 of the Administrative Code.

Please note, we have changed the name of our federation from "Local Independent Charities" to "America's Best Local Charities."

It was a pleasure to work with the City and County on the 2016 Campaign and we look forward to 2017.

Thank you for your consideration of this application and please let me know if you have any questions.

Best regards,

Michelle C Clancy  
Membership Services  
America's Best Local Charities



America's  
Best Local Charities

February 27, 2017

RE: SF City & County Combined Charities Campaign

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Sir or Madam:

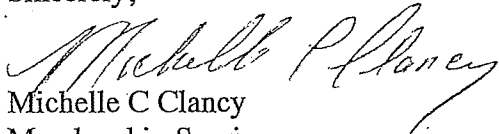
America's Best Local Charities (ABLC) would like to formally request that we be included on the Pledge Card or the 2017 City & County of San Francisco Annual Joint Fundraising Drive. ABLC is a qualified federation in accordance with Administrative Code, Section 16.93-2.

Please note we have formally changed our name from Local Independent Charities to America's Best Local Charities.

ABLC is aware of the responsibilities of being a participating federation as outlined by the Memorandum of Understanding and will gladly work with the other members to ensure the 2017 campaign is a success. ABLC administrative and fundraising overhead is currently less than 3%.

Thank you for your time and consideration.

Sincerely,

  
Michelle C Clancy  
Membership Services

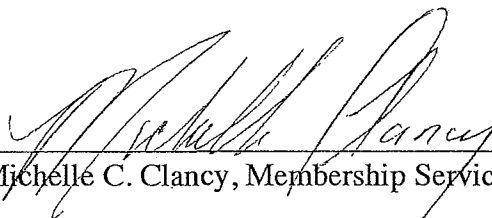
Enclosed:

- Certification Page
- List of Agencies
- 501(c)3 Letter
- 4/30/2016 Audit
- 4/30/2016 Form 990

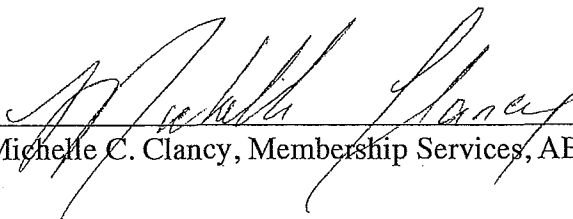
1100 Larkspur Landing Circle ♦ Suite 340 ♦ Larkspur, CA 94939

[www.lic.org](http://www.lic.org) ♦ 415 ♦ 925-2663

I certify America's Best Local Charities is a federated agency representing over 250 charitable organizations of which at least 90% are located in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin. Please refer to the attached list of agencies.

  
\_\_\_\_\_  
Michelle C. Clancy, Membership Services, ABLC

I certify that America's Best Local Charities has been in existence with ten (10) or more qualified member charities for at least one year prior to the date of this application. Please refer to the partial listing of ABLC (formerly Local Independent Charities) and its member charities from the 2016 SF City and County Campaign Brochure.

  
\_\_\_\_\_  
Michelle C. Clancy, Membership Services, ABLC



## **Local Independent Charities of America**

10,000 Degrees

A Christ-Centered Education/Redwood Christian Schools

A.P.P.L.E. Familyworks

AASCEND Autism, Asperger Syndrome Coalition for Education,  
Networking and Development

Abandoned Children's Fund

Abducted & Missing Children's Recovery Project (Polly Klaas®  
Foundation)

Aid For Starving Children

AIDS Emergency Fund (San Francisco AIDS Fund)

AIDS Treatment and Research Information (Project Inform Inc AIDS  
Treatment and Research Info)

Alameda Boys and Girls Club Inc

Alameda County Community Food Bank

Alameda County Library Foundation

Alameda County Meals on Wheels, Inc.

Alameda Meals on Wheels

Alopecia Areata Foundation, National

Alzheimer's Services Of The East Bay

American Chronic Pain Association

American Red Cross Silicon Valley Chapter

Animal Charities of America

Animal Charity Evaluators

Animal Crisis Care (United Animal Nations)

Animal Legal Defense Fund

Animal Spay Neuter International (Romania Animal Rescue)

Asian Americans Advancing Justice - Asian Law Caucus

Asian Neighborhood Design

Assistance Dog Institute (Bergin University of Canine Studies)

Assistance League of Diablo Valley

Asthma, Cancer & Heart Disease Prevention Through Smokefree Air  
(American Nonsmokers' Rights Foundation)

Astronomical Society of the Pacific

Audubon Canyon Ranch

Autism Society San Francisco Bay Area

Avian Rescue Corporation

Bay Area Crisis Nursery

Bay Area Law Enforcement Assistance Fund

Bay Area Legal Aid

Bay Area Rescue Mission (Richmond Rescue Mission)

Bay Area Scores

BAYC (Fiscal Sponsor: Sunny Hills Services)

BeeCause Community Closet

Berkeley-East Bay Humane Society

Bethany Christian Services of Northern California, Inc.

Beyond Emancipation

Big Brothers Big Sisters of the Bay Area

Birthright of Napa

Birthright Of San Jose, Inc.  
Birthright Of Walnut Creek  
Blind Babies Foundation (Junior Blind of America)  
Blind Vietnamese Children Foundation (Viet Blind Children Foundation)  
Blue Star Mothers of America, Inc.  
Bonita House, Inc.  
BOOKS for the BARRIOS, Inc.  
Boy Scouts of America, Alameda Council  
Boy Scouts of America, Marin Council  
Boy Scouts Of America, San Francisco Bay Area Council  
Boy Scouts of America, Silicon Valley Monterey Bay Council  
Boys & Girls Clubs of Marin and Southern Sonoma Counties  
Boys & Girls Clubs of San Francisco  
Boys Hope Girls Hope of San Francisco  
Breast Cancer Action  
Breast Cancer Emergency Fund  
Breast Cancer Fund  
Breathe California Central Coast  
Breathe California, Golden Gate Public Health Partnership  
Bridges of Promise  
Building Futures with Women and Children (Cornerstone Community  
Development Corporation)  
Bullying and School Safety Foundation (SchoolToolsTV)  
California Education Through Animals CETA Foundation  
California Potbellied Pig Association  
California Right To Life Education Fund  
California Shakespeare Theater  
Cancer in the Family Relief Fund  
Cancer Research Wellness Institute  
Cancer Support Community San Francisco Bay Area  
CancerCURE of America: Care, Understand, Research & End  
Canine Companions for Independence  
Canine Wounded Heroes  
Catholic Service Organizations of America  
Catholics United for Life  
Cats on Death Row  
Cenacle Resources Inc  
Center for Domestic Peace (Marin Abused Women Services)  
Center for Early Intervention on Deafness (Center for the Education of  
the Infant Deaf)  
Center for Justice and Accountability  
Center for Young Women's Development, The  
Charity Without Borders  
Child Abuse Prevention Council Of Contra Costa County  
Child Advocates of Silicon Valley  
Child Care Coordinating Council of San Mateo County Inc.  
Child Family Health International  
Children's Charities of America

Children's Inherited Brain Disorders Foundation (National Fragile X Foundation)  
Children's Medical & Research Charities of America  
Children's Nurturing Project  
Chinese Culture Foundation of San Francisco  
Chinese For Affirmative Action  
Christian Charities USA  
Christian Children's Charities  
City Youth Now  
CityTeam Ministries  
Civil Air Patrol Cadet Squadron 36  
Clayton Community Library Foundation  
Community Board Program  
Community Child Care Council Of Sonoma County  
Community Housing Partnership  
Conservation & Preservation Charities of America  
Conservation Corps North Bay, Inc.  
Contra Costa Kops For Kids  
Coral Reef Alliance  
Correctional Peace Officers Foundation  
Court Appointed Special Advocates Of Santa Cruz County  
Covenant House California  
Cover the Homeless Ministry  
Crittter Creek Wildlife Station (Animals For Education)  
Cupertino Library Foundation, The  
Curry Senior Center  
DayBreak Adult Care Centers  
Discovery Blind Sports  
Dogs & Cats Stranded on the Streets  
Dogs for Diabetics, Inc.  
Dogs On Death Row  
Dogs On Deployment  
Dolphins, Whales & Sea Turtles: Save and Protect  
DonorsChoose.org  
Early Alert Canines  
East Bay Center for the Performing Arts  
East Bay Innovations, Inc.  
East Bay SPCA  
East Contra Costa County Homeless Animals' Lifeline Organization  
EcoViva  
Eczema Association, National  
Eden I&R (Information and Referral)  
Educate America! The Education, School Support and Scholarship Funds Coalition  
Elderly Nutrition Program (People Resources)  
Employment & Community Options  
Extend Your Heart  
Face To Face Sonoma County AIDS Network  
Family Caregiver Alliance

Family Supportive Housing  
Family Violence Law Center  
Farm Animal Rescue, Adoption, and Sanctuary (Animal Place)  
Felidae Conservation Fund  
Filipino American Rural Mission  
First Place for Youth  
First Responder Support Network, Inc.  
Fisher House Camp Pendleton  
Fisher House Foundation  
Fisher House Naval Medical Center San Diego  
Food for Thought  
Foster Adopt Community Outreach (East County Faith Based Advisory  
Sub-Committee to the Child Welfare)  
Friends & Foundation of the San Francisco Public Library  
Friends of Alameda County CASA, Inc.  
Friends of San Francisco Animal Care and Control  
Friends Of St. Francis Childcare Center  
Friends Of The Animals In The Redwood Empire (FAIRE)  
Friends of the Marin County Free Library  
Friends Of The VU Heritage Foundation  
Frontline Breast Cancer Network  
Futures Without Violence  
Gateway Public Schools  
George Mark Children's House (George Mark Children's Fund)  
German Shepherd Rescue of Northern California, Inc.  
Global AIDS Interfaith Alliance  
Global Fund for Women  
Golden Gate Labrador Retriever Rescue  
Good Karma Bikes  
Green Planet Films, Inc.  
Groceries For Seniors  
Guide Dogs for the Blind, Inc.  
Habitats for Dogs & Cats  
Half the Sky Foundation  
Harvest Home Animal Sanctuary  
Health & Medical Research Charities of America  
Healthier Kids Foundation Santa Clara County  
HealthRIGHT 360  
Hearing Dog Program  
Hispanic & Latino Charities of the U.S. and the Americas (Hispanic  
United Fund)  
Hispanic and Asian Children Services  
Hispanic Scholarship Fund  
Hispanics in Philanthropy  
Homeless Children's Network  
Homeless Prenatal Program, Inc.  
Homeless Rescue Services  
Hope Hospice  
Hope Strengthens Foundation

Horses On Death Row  
Hospice by the Bay  
Hospice of the East Bay (East Bay Integrated Care)  
Hospice, Pathways Home Health and Hospice (Pathways Home Health and Hospice)  
House Rabbit Society  
Human Care Charities of America  
Human Investment Project (HIP Housing)  
Humane Farming Association  
In Defense of Animals  
In God We Trust Foundation, Inc. - California Chapter  
Irish Cultural Centre of California  
Islamic-American Zakat Foundation, Inc.  
Island Cat Resources and Adoption  
JDRF International - Greater Bay Area Chapter  
Jenny Lin Foundation  
Jewish Charities of America  
Jewish Home (Hebrew Home for Aged Disabled)  
Junior Achievement of Northern California (JA Worldwide)  
Junior Blind of America  
K-9 Armor  
Kaanun Mehr  
Kidpower Teenpower Fullpower International  
Kiva Microfunds  
Koinonia Foster Homes Inc  
Landmine Removal - The HALO Trust USA (HALO Trust USA, Inc., The)  
Lavender Youth Recreation & Information Center  
Law Enforcement Chaplaincy Foundation, The  
Legal Aid Society - Employment Law Center  
Legal Services For Children, Inc.  
Lifehouse, Inc.  
LightHouse for the Blind and Visually Impaired  
Lily's Legacy Senior Dog Sanctuary  
Lindsay Wildlife Museum  
LITA (Love is the Answer)  
Little Wishes  
Live Laugh Love Give, Inc. - California Chapter  
Loved Twice  
LuMind - Research Down Syndrome Foundation  
Lung Cancer Research Foundation, Bonnie J. Addario (Bonnie J. Addario A Breath Away from the Cure Foundation)  
Lupus Foundation Of Northern California  
Lutheran World Relief  
Lymphedema Network (National Lymphedema Network, Inc.)  
MAITRI Compassionate Care  
Make-A-Wish Foundation, Greater Bay Area  
Marin Advocates for Children  
Marin Center for Independent Living  
Marin Community Clinic

Marin Friends of Ferals  
Marin Humane Society  
Marine Mammal Center  
Marine Reconnaissance Foundation  
Mark Reynolds Memorial Bike Fund Inc.  
Market Street Railway Company  
Martha's Kitchen  
Martinez Education Foundation  
Matrix Parent Network And Resource Center  
Meals on Wheels of Contra Costa, Inc.  
Meals On Wheels Of San Francisco  
Mercy Housing, Inc.  
Mexican Museum  
Military Family and Veterans Service Organizations of America  
Military Support Groups of America  
Monkey Tail Ranch  
Mujeres Unidas y Activas (Women United and Active)  
Muttville  
Namašte Foundation  
National Center for Youth Law  
National Empowerment for Minorities Active in Community, Inc. -  
California Chapter  
NatureBridge  
Nepal Youth Foundation  
Nicaraguan Childrens Friendship Committee  
North Bay Developmental Disabilities Services  
Nuru International  
Oakland Zoo (East Bay Zoological Society)  
Operation Homefront California  
Operation: Care And Comfort  
Options Recovery Services  
Pacific Crest Trail Association  
Parkinson's and Brain Research Foundation (Children's Gaucher  
Research Fund)  
Pediatric Cancer Research Foundation  
Performing Arts Workshop  
Pets In Need  
Philippine Children's Fund of America  
Planned Parenthood Northern California (Planned Parenthood Shasta  
Diablo Inc)  
Ploughshares Fund  
PODER! (Fiscal Sponsor: Tides Center)  
Polar Bears International  
Pomeroy Recreation and Rehabilitation Center  
Portola Family Connection Center, Inc.  
Preventing Euthanasia Through Rescue  
Prince Hall Memorial Education and Scholarship Fund  
Project Open Hand  
Raising A Reader

Raphael House of San Francisco  
Rebuilding Together San Francisco  
Rebuilding Together Silicon Valley  
Redwood Gospel Missions  
ReSurge International  
Richmond Main Street Initiative Inc.  
Richmond YouthWORKS  
Ritter Center  
Ronald McDonald House Of San Francisco  
Rooms That Rock 4 Chemo, Inc.  
Sacramento Sheriff's Activities League  
Sacramento SPCA (Sacramento Society for the Prevention of Cruelty to Animals)  
Safe Alternatives to Violent Environments (SAVE)  
Safe Haven Horse Rescue and Sanctuary  
Sakura Kai  
San Francisco AIDS Foundation  
San Francisco Bay Area Little Brothers-Friends of the Elderly  
San Francisco Bay Bird Observatory  
San Francisco Child Abuse Prevention Center  
San Francisco Firefighters Cancer Prevention Foundation  
San Francisco Foster Youth Fund  
San Francisco General Hospital Foundation  
San Francisco Police Activities League  
San Francisco Public Health Foundation  
San Francisco Society for the Prevention of Cruelty to Animals (SPCA)  
San Francisco Symphony  
San Francisco Women Against Rape  
San Francisco Zoological Society  
San Mateo County Community Colleges Foundation  
San Mateo County Health Foundation  
SAVE THE FROGS  
Saving Horses, Changing Lives (Well Trained Horses)  
Scleroderma Research Foundation  
Seacology  
Search & Rescue Assist, Inc.  
Senior Access  
Seniors Activity and Recreation Fund  
SETI Institute  
SEVA Foundation  
SF-Marin Food Bank (San Francisco Food Bank)  
Shanti Project  
Shepherd's Gate  
Shriners Hospitals for Children - Northern California  
Silicon Valley Children's Fund  
Sojourn To The Past  
Sonoma Humane Society  
SonRise Equestrian Foundation  
SOS Meals on Wheels (Service Opportunities For Seniors, Inc.)

South Bay Purebred Rescue  
Southwest Key Programs, Inc.  
Spanish Speaking Unity Council of Alameda County, Inc.  
Special Olympics Northern California  
Spinal Cord Injury Network International  
Sports Charities USA - Supporting Youth, Disabled and National Team Athletics  
St. Anthony Foundation  
St. Vincent De Paul Society District Council of Marin County  
Stand Up To Cancer (Entertainment Industry Foundation)  
Sunny Hills Services  
Support For Families Of Children With Disabilities  
Support Our Troops®, Inc. - California Chapter  
Support The Enlisted Project (STEP)  
Supporters of San Francisco Police Department's Wilderness Program (San Francisco Police Wilderness Program)  
Swords to Plowshares Veterans Rights Organization  
Tenderloin Neighborhood Development Corporation  
That Man May See, Inc.  
Therapy Pets Unlimited, Inc.  
Toys and Joys Children's Charitable Foundation (Valley Toys and Joys Charitable Foundation)  
Tri-Valley Animal Rescue  
TroopsDirect  
Turtle Island Restoration Network  
U.S. Crisis Care (Community Chaplaincy)  
United Negro College Fund  
United States Adaptive Recreation Center  
United Through Reading  
Veterans Resource Centers of America (Vietnam Veterans Of California)  
Victory Ranch, Inc.  
Vietnam Health, Education and Literature Projects  
Volunteers in Asia  
Walk San Francisco Foundation  
Warrior Canine Connection, Inc.  
West Coast Post Trauma Retreat - SFFD (Fiscal Sponsor- First Responder Support Network, Inc. - FRSN)  
West Contra Costa Public Education Fund  
Where There Is No Doctor (Hesperian Health Guides)  
Whistlestop (Marin Senior Coordinating Council)  
Wikimedia Foundation, Inc.  
Wild Animals Worldwide  
WildAid, Inc.  
WildCare  
Wings of Rescue Inc.  
Women, Children and Family Service Charities of America  
Women's Cancer Resource Center  
Women's Recovery Services, A Unique Place



YMCA of the Central Bay Area (Young Men's Christian Association of the  
Central Bay Area)  
Yosemite Conservancy (Yosemite Foundation)  
Youth Enrichment Strategies

Internal Revenue Service  
P.O. Box 2508  
Cincinnati, OH 45201

Department of the Treasury

Date: November 7, 2016

AMERICAS BEST LOCAL CHARITIES  
1100 LARKSPUR LANDING CIRCLE STE 340  
LARKSPUR CA 94939-1827

**Person to Contact:**

Mr. Schatz - 0196497

**Toll-Free Telephone Number:**

877-829-5500

**Employer Identification Number:**

94-3042430

**Form 990 Required:**

Yes

Dear Sir or Madam:

This is in response to your request dated October 21, 2016, regarding your tax-exempt status.

We issued you a determination letter in August 1987, recognizing you as tax-exempt under Internal Revenue Code (IRC) Section 501(c)(3).

Our records also indicate you're not a private foundation as defined under IRC Section 509(a) because you're described in IRC 509(a)(1) & 170(b)(1)(A)(vi).

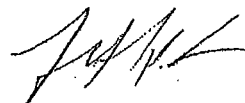
Donors can deduct contributions they make to you as provided in IRC Section 170. You're also qualified to receive tax deductible bequests, legacies, devises, transfers, or gifts under IRC Sections 2055, 2106, and 2522.

In the heading of this letter, we indicated whether you must file an annual information return. If a return is required, you must file Form 990, 990-EZ, 990-N, or 990-PF by the 15th day of the fifth month after the end of your annual accounting period. IRC Section 6033(j) provides that, if you don't file a required annual information return or notice for three consecutive years, your exempt status will be automatically revoked on the filing due date of the third required return or notice.

For tax forms, instructions, and publications, visit [www.irs.gov](http://www.irs.gov) or call 1-800-TAX-FORM (1-800-829-3676).

If you have questions, call 1-877-829-5500 between 8 a.m. and 5 p.m., local time, Monday through Friday (Alaska and Hawaii follow Pacific Time).

Sincerely yours,



Jeffrey I. Cooper  
Director, Exempt Organizations  
Rulings and Agreements

**LOCAL INDEPENDENT CHARITIES OF AMERICA**  
**FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED**  
**APRIL 30, 2016**

**INTRODUCTORY SECTION**

LOCAL INDEPENDENT CHARITIES OF AMERICA

Financial Statements  
For the Year Ended April 30, 2016

Table of Contents

**INTRODUCTORY SECTION**

Table of Contents .....i  
Board of Directors .....ii

**FINANCIAL SECTION**

Independent Auditor's Report ..... 1

**Financial Statements**

Statement of Financial Position .....3  
Statement of Activities ..... 4  
Statement of Functional Expenses ..... 5  
Statement of Cash Flows ..... 6  
Notes to Financial Statements ..... 7

**LOCAL INDEPENDENT CHARITIES OF AMERICA**

**BOARD OF DIRECTORS**

**APRIL 30, 2016**

Don McPartland – President  
Paul Krantz – Vice President  
Marganetta Finney – Treasurer/Secretary  
Katie Pierce – Board Member  
Karen Schuster – Board Member  
Dianne Ayon – Board Member

**FINANCIAL SECTION**



## INDEPENDENT AUDITOR'S REPORT

Board of Directors  
Local Independent Charities of America  
Larkspur, California

We have audited the accompanying financial statements of Local Independent Charities of America (a nonprofit organization), which comprise the statement of financial position as of April 30, 2016, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the organization's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



## Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Local Independent Charities of America as of April 30, 2016, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## Report on Summarized Comparative Information

We have previously audited Local Independent Charities of America's 2015 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated August 21, 2015. In our opinion, the summarized comparative information as of and for the year ended April 30, 2015 is consistent, in all material respects, with the audited financial statements from which it has been derived.

*Maze & Associates*

Pleasant Hill, California

August 29, 2016

LOCAL INDEPENDENT CHARITIES OF AMERICA

STATEMENTS OF FINANCIAL POSITION

AS OF APRIL 30, 2016

WITH COMPARATIVE AMOUNTS AS OF APRIL 30, 2015

	<u>2016</u>	<u>2015</u>
ASSETS		
Current Assets:		
Cash in banks (Note 3)	\$2,745,493	\$3,034,140
Pledges receivable, net of estimated uncollectible pledges of \$634,019 and \$637,706 for 2016 and 2015 (Note 2B)	3,482,344	2,845,111
Receivables from other federations (Note 5)	<u>72,115</u>	<u>100,439</u>
Total Assets	<u><u>\$6,299,952</u></u>	<u><u>\$5,979,690</u></u>
LIABILITIES AND NET ASSETS		
Current Liabilities:		
Accounts payable	\$99,748	\$0
Estimated distributions payable to member agencies (Note 4)	<u>6,200,204</u>	<u>5,979,690</u>
Total Current Liabilities	<u>6,299,952</u>	<u>5,979,690</u>
Total Net Assets - Unrestricted	<u>0</u>	<u>0</u>
Total Liabilities and Net Assets	<u><u>\$6,299,952</u></u>	<u><u>\$5,979,690</u></u>

See accompanying notes to financial statements

LOCAL INDEPENDENT CHARITIES OF AMERICA  
 STATEMENTS OF ACTIVITIES  
 FOR THE YEAR ENDED APRIL 30, 2016  
 WITH COMPARATIVE AMOUNTS FOR THE YEAR ENDED APRIL 30, 2015

	TOTALS	
	2016	2015
CHANGES IN UNRESTRICTED NET ASSETS		
Public revenue and support:		
Combined Federal Campaigns	\$2,202,782	\$1,670,758
State, corporate & other campaigns	2,325,928	2,319,272
Online Giving System donations	4,415,620	4,120,254
Less: Estimated uncollectible pledges	(634,019)	(637,706)
Less: Amounts designated to member agencies	(8,307,105)	(7,478,275)
Charges to member agencies (Note 2C)	508,188	504,616
Fiscal services	50,922	49,195
Total Unrestricted Public Revenue and Support	562,316	548,114
EXPENSES		
Program-related expenses	425,656	413,432
Nonprogram-related expenses		
Management and general costs	55,168	53,057
Fund raising expenses	81,492	81,625
Total Expenses	562,316	548,114
CHANGES IN NET ASSETS	0	0
NET ASSETS, BEGINNING OF YEAR	0	0
NET ASSETS, END OF YEAR	\$0	\$0

See accompanying notes to financial statements

LOCAL INDEPENDENT CHARITIES OF AMERICA

STATEMENT OF FUNCTIONAL EXPENSES  
FOR THE YEAR ENDED APRIL 30, 2016

WITH SUMMARIZED AMOUNTS FOR THE YEAR ENDED APRIL 30, 2015

	Program Services	Supporting Services		TOTALS	
		Management and General	Fund Raising	2016	2015
Campaign and agency services	\$300,119	\$20,008	\$80,031	\$400,158	\$394,180
Field representatives			1,461	1,461	1,431
State registration fees	1,369			1,369	1,254
Travel/Board meetings		223		223	257
Accounting and auditing fees		27,416		27,416	25,851
Legal		163		163	38
Insurance		7,358		7,358	7,202
On line credit card system	124,168			124,168	116,543
Keyworker/Donor premiums				0	1,358
Total Expenses	<u>\$425,656</u>	<u>\$55,168</u>	<u>\$81,492</u>	<u>\$562,316</u>	<u>\$548,114</u>

See accompanying notes to financial statements

LOCAL INDEPENDENT CHARITIES OF AMERICA

STATEMENT OF CASH FLOWS  
 FOR THE YEAR ENDED APRIL 30, 2016  
 WITH COMPARATIVE AMOUNTS FOR THE YEAR ENDED APRIL 30, 2015

	<u>2016</u>	<u>2015</u>
CASH FLOWS FROM OPERATING ACTIVITIES		
Changes in net assets	\$0	\$0
Adjustments to reconcile changes in net assets to net cash provided by (used for) operating activities:		
(Decrease) increase in provision for estimated uncollectible pledges	(3,687)	10,155
(Increase) decrease in pledges receivable	(633,546)	96,340
Decrease in receivables from other agencies	28,324	64,016
Increase (decrease) in accounts payable	99,748	(52,761)
Increase in estimated distributions payable to member agencies	<u>220,514</u>	<u>98,114</u>
Total Adjustments	<u>(288,647)</u>	<u>215,864</u>
Net Cash (Used for) Provided by Operating Activities	(288,647)	215,864
Cash in Banks, Beginning of Year	<u>3,034,140</u>	<u>2,818,276</u>
Cash in Banks, End of Year	<u><u>\$2,745,493</u></u>	<u><u>\$3,034,140</u></u>

See accompanying notes to financial statements

**LOCAL INDEPENDENT CHARITIES OF AMERICA**  
**NOTES TO FINANCIAL STATEMENTS**  
**For Year Ended April 30, 2016**

**NOTE 1 – REPORTING ENTITY**

Local Independent Charities of America (LIC) was incorporated under the laws of California on July 15, 1987. LIC receives funds from the government and private sector workplace payroll deduction fund drives for distribution to member agencies. A member agency must be accepted for participation by completing an application and qualifying under certain restrictions.

**NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

*A. Basis of Accounting and Financial Statement Presentation*

The financial statements are prepared on the accrual basis in accordance with accounting principles generally accepted in the United States of America.

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions.

LIC recognizes unconditional promises to give as pledges receivable in the period the pledge is made. Support that is restricted by the donor is reported as an increase in unrestricted net assets if the restriction expires in the reporting period in which the support is recognized. All other donor restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), temporarily restricted net assets are reclassified to unrestricted net assets and reported in the Statement of Activities as net assets released from restrictions. Presently, all net assets of LIC are unrestricted as the restriction expires in the reporting period.

*B. Use of Estimates - Allowance for Uncollectible Pledges*

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates. Specific areas requiring estimation of LIC's financial statements are the Allowance for Estimated Uncollectible Pledges and the Estimated Distributions Payable to Member Agencies.

LIC makes an estimation of the percentage of pledges that are made but, due to a variety of circumstances, are not collected during the year. This estimate in 2015 and 2014 is 14% and 16%, respectively, which is based on historical campaign results.

LOCAL INDEPENDENT CHARITIES OF AMERICA  
NOTES TO FINANCIAL STATEMENTS  
For Year Ended April 30, 2016

**NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

**C. *Charges to Member Agencies and Member Distributions***

Charges for federation operating expenses are made to each member agency based on the relative amount of total pledges made to the particular agency compared to the sum of all agency pledges. Pledges designated to the federation itself (versus to a member agency) and other federation revenue, such as interest income, are shared amongst all the agencies in this same proportion.

Therefore, as a net result, should the federation's revenue exceed expenses, the agencies share the excess income. Conversely, should the federation's expenses exceed revenue, the excess cost is likewise apportioned amongst the member agencies.

For the Fall 2015 and 2014 campaigns, federation expenses exceeded revenue by \$508,188 and \$504,616, respectively, which has been collected from the member agencies.

**D. *Income Tax Status***

LIC is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code and state income taxes under 23701(d) of the California Revenue Taxation Code. Accordingly, no provision for income taxes has been provided in these financial statements. In addition, LIC qualifies for the charitable contribution deduction under Section 170(b)(1)(a) and has been classified as an organization that is not a private foundation under Section 509(a)(1). Unrelated business income, if any, may be subject to income tax. LIC paid no taxes on unrelated business income in the years ended April 30, 2016 and 2015.

Generally accepted accounting principles require the recognition, measurement, classification, and disclosure in the financial statements of uncertain tax positions taken or expected to be taken in the organization's tax returns. Management has determined that LIC does not have any uncertain tax positions and associated unrecognized benefits that materially impact the financial statements or related disclosures. Since tax matters are subject to some degree of uncertainty, there can be no assurance that LIC's tax returns will not be challenged by the taxing authorities and that LIC will not be subject to additional tax, penalties, and interest as a result of such challenge. Generally, LIC's tax returns remain open for federal income tax examination for three years from the date of filing.

**E. *Functional Allocation of Expenses***

The costs of providing various programs and other activities have been summarized on a functional basis in the statement of activities and changes in net assets. Accordingly, costs have been allocated to program services, management and general, and fund-raising expenses based on management's identifying of direct expenses by category and allocating indirect expenses by time logs and management's estimates.

**F. *Advertising***

Advertising costs are expensed as incurred.

**LOCAL INDEPENDENT CHARITIES OF AMERICA**  
**NOTES TO FINANCIAL STATEMENTS**  
**For Year Ended April 30, 2016**

**NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

**G. Fair Value Measurements**

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Accounting standards set a framework for measuring fair value using a three tier hierarchy based on the extent to which inputs used in measuring fair value are observable in the market. The three levels are defined as follows:

Level 1: Quoted prices in active markets for identical assets or liabilities.

Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities, quoted prices in markets that are not active, or inputs (interest rates, currency exchange rates, commodity rates and yield curves) that are observable or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3: Inputs that are not observable in the market and reflect management's judgment about the assumptions that market participants would use in pricing the asset or liability.

**H. Summarized Comparative Information**

The financial statement information for the year ended April 30, 2015, presented for comparative purposes, is not intended to be a complete financial statement presentation. For a complete presentation, please refer to the financial statements for that fiscal year.

**NOTE 3 - CASH IN BANKS**

Cash held by LIC with its bank may at times exceed the Federal Deposit Insurance Corporation (FDIC) coverage limit. Management believes LIC is not exposed to any significant credit risk related to cash.

**NOTE 4 - ESTIMATED DISTRIBUTIONS PAYABLE**

LIC has estimated that it will pay out to the various member agencies approximately eighty-six percent of the cash received from the pledges net of the estimated uncollectible pledges for the Fall 2014 campaign. The estimate is based on the fact that LIC will pay out all funds in excess of its costs. Management has estimated the distribution to be approximately \$3,002,567 for the campaign year. If these costs are higher or lower the actual distribution to the various agencies will be different. This estimate is shown as an expense on the financial statements. This estimate is shown as an expense on the financial statements. The estimated distributions payable as of April 30, 2016 include an estimate for the Fall 2015 campaign, plus the Fall 2014 campaign final distribution.

Verification that LIC is honoring designations made to each member organization have been performed.



**LOCAL INDEPENDENT CHARITIES OF AMERICA**  
**NOTES TO FINANCIAL STATEMENTS**  
**For Year Ended April 30, 2016**

**NOTE 5 - CONTRACTS WITH OTHER FEDERATIONS**

LIC had entered into an agreement with Local Independent Charities of Texas (LICTX), Local Independent Charities of Minnesota (LICMN), Local Animal Charities of America (LACA), Children's Charitable Alliance (CCALL), Children's Charitable Alliance of Texas (CCALTX), and Christian Community Charities (CCC), whereby the costs of campaign support expenses will be borne by each organization based upon designations for the campaign year. The total costs incurred by all seven federations for the years ended April 30, 2016 and 2015 amount to \$1,034,045 and \$1,021,858, of which \$562,316 and \$548,114 respectively represented LIC's share. These organizations had amounts due to LIC of \$72,115 and \$100,439 for the years ended April 30, 2016 and 2015, respectively.

LIC had also entered into agreements with Charity Without Borders, Children's Charities of America, Inc., Conservation & Preservation Charities of America, Inc., Health and Medical Research Charities of America, Inc., Independent Charities of America, Inc., Animal Charities of America, Inc., Military Family and Veterans Service Organizations of America, Hispanic & Latino Charities of the U.S. and the Americas, Christian Charities, U.S.A., Women, Children and Family Service Charities of America, Educate America: The Education, School Support, and Scholarship Funds Coalition, Inc., Sports Charities, U.S.A. – Supporting Youth, Disabled and National Team Athletics, Jewish Charities of America, Cancer CURE – Care, Understand, Research and End, Children's Medical & Research Charities of America, Wild Animals Worldwide, America's Most Cost Effective Charities, Charities Under 5% Overhead, Military Support Groups of America and Christian Children's Charities, Catholic Service Organizations of America, Human Care Charities of America, whereby LIC is to perform fiscal services for these federations.

Verification that LIC is performing services in accordance with the terms of its contracts has been performed.

**NOTE 6 - BUSINESS SERVICES CONTRACT**

LIC entered into a business services contract with Maguire/Maguire, Inc. (M/M). Under the terms of the contract M/M acted as business agent, provided administrative and secretarial services, maintained the books and records, maintained necessary corporate documents, and provided other such services as deemed necessary. M/M did not perform policy making or decision making functions. LIC compensated M/M for services rendered based on a fee schedule agreed by the parties. In addition, M/M was reimbursed for all out-of-pocket expenses incurred while carrying out the duties outlined in the contract. The contract expires on October 31, 2016.

Verification that Maguire/Maguire Inc. is performing services in accordance with the terms of its contract has been performed.

**NOTE 7 – SUBSEQUENT EVENTS**

LIC evaluated subsequent events for recognition and disclosure through August 29, 2016, the date which these financial statements were available to be issued. Management concluded that no material subsequent events have occurred since April 30, 2016 that require recognition or disclosure in such financial statements.

**IRS e-file Signature Authorization  
for an Exempt Organization**

For calendar year 2015, or fiscal year beginning 5/01, 2015, and ending 4/30, 20 2016

▶ Do not send to the IRS. Keep for your records.

▶ Information about Form 8879-EO and its instructions is at [www.irs.gov/form8879eo](http://www.irs.gov/form8879eo).

**2015**

Department of the Treasury  
Internal Revenue Service

Name of exempt organization

Employer identification number

LOCAL INDEPENDENT CHARITIES OF AMERICA

94-3042430

Name and title of officer

MARGANETTA FINNEY

TREAS/SECRETARY

**Part I Type of Return and Return Information (Whole Dollars Only)**

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

1 a Form 990 check here . . . . .	<input checked="" type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . . .	1 b	<u>8,361,233.</u>
2 a Form 990-EZ check here . . . . .	<input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9) . . . . .	2 b	
3 a Form 1120-POL check here . . . . .	<input type="checkbox"/>	b Total tax (Form 1120-POL, line 22) . . . . .	3 b	
4 a Form 990-PF check here . . . . .	<input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5) . . . . .	4 b	
5 a Form 8868 check here . . . . .	<input type="checkbox"/>	b Balance Due (Form 8868, Part I, line 3c or Part II, line 8c) . . . . .	5 b	

**Part II Declaration and Signature Authorization of Officer**

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2015 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

I authorize MAZE & ASSOCIATES to enter my PIN 00293 as my signature  
ERO firm name Enter five numbers, but do not enter all zeros

on the organization's tax year 2015 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2015 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ▶ Marganetta Finney Date ▶ 10/14/2016

**Part III Certification and Authentication**

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN. 68580583083  
do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2015 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ TIMOTHY J KRISCH Date ▶ 10.3.16

**ERO Must Retain This Form – See Instructions  
Do Not Submit This Form To the IRS Unless Requested To Do So**

**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2015**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

**A** For the 2015 calendar year, or tax year beginning **5/01**, 2015, and ending **4/30**, 2016

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> LOCAL INDEPENDENT CHARITIES OF AMERICA 1100 LARKSPUR LANDING CIRCLE #340 LARKSPUR, CA 94939-1827	<b>D</b> Employer identification number 94-3042430
		<b>E</b> Telephone number 415-925-2663
		<b>G</b> Gross receipts \$ <b>8,361,233.</b>
<b>F</b> Name and address of principal officer: SAME AS C ABOVE		<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)

**I** Tax-exempt status  501(c)(3)  501(c) ( ) (insert no.)  4947(a)(1) or  527

**J** Website: ▶ WWW.LIC.ORG **H(c)** Group exemption number ▶

**K** Form of organization:  Corporation  Trust  Association  Other ▶ **L** Year of formation: 1987 **M** State of legal domicile: CA

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: LOCAL INDEPENDENT CHARITIES OF AMERICA RECEIVES FUNDS FROM WORKPLACE PAYROLL DEDUCTION FUND DRIVES FOR DISTRIBUTION TO MEMBER AGENCIES.		
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a).....	3	6
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b).....	4	6
	<b>5</b> Total number of individuals employed in calendar year 2015 (Part V, line 2a).....	5	0
	<b>6</b> Total number of volunteers (estimate if necessary).....	6	6
	<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12.....	7a	0.
<b>b</b> Net unrelated business taxable income from Form 990-T, line 34.....	7b	0.	
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h).....	Prior Year 7,472,578.	Current Year 8,310,311.
	<b>9</b> Program service revenue (Part VIII, line 2g).....		
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d).....		
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e).....	49,195.	50,922.
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12).....	7,521,773.	8,361,233.
<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3).....	6,973,659.	7,798,917.
	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4).....		
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10).....		
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e).....		
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ 81,492.		
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e).....	548,114.	562,316.
<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25).....	7,521,773.	8,361,233.	
<b>19</b> Revenue less expenses. Subtract line 18 from line 12.....		0.	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16).....	Beginning of Current Year 5,979,690.	End of Year 6,299,952.
	<b>21</b> Total liabilities (Part X, line 26).....	5,979,690.	6,299,952.
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20.....	0.	0.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer: <i>Margaretta Finney</i>	Date: 10/14/2016
	MARGANETTA FINNEY Type or print name and title.	TREAS/SECRETARY

<b>Paid Preparer Use Only</b>	Print/Type preparer's name TIMOTHY J KRISCH	Preparer's signature TIMOTHY J KRISCH	Date 10.3.16	Check <input type="checkbox"/> if self-employed	PTIN P00283083
	Firm's name ▶ MAZE & ASSOCIATES				
	Firm's address ▶ 3478 BUSKIRK AVE STE 215 PLEASANT HILL, CA 94523-4346	Firm's EIN ▶ 94-2590179	Phone no. (925) 930-0902		

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III. [ ]

1 Briefly describe the organization's mission:

LOCAL INDEPENDENT CHARITIES OF AMERICA RECEIVES FUNDS FROM WORKPLACE PAYROLL DEDUCTION FUND DRIVES FOR DISTRIBUTION TO MEMBER AGENCIES.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

If 'Yes,' describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?... [ ] Yes [X] No

If 'Yes,' describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 7,798,917. including grants of \$ 7,798,917.) (Revenue \$ )

THE FEDERATION'S PRIMARY PURPOSE IS TO SCREEN AND CERTIFY CHARITIES THAT MEET STANDARDS FOR INCLUSION IN CORPORATE AND GOVERNMENT WORKPLACE CHARITABLE FUND DRIVES AND TO ACT AS THE CENTRAL REPRESENTATIVE AND FISCAL AGENT IN THOSE DRIVES, THEREBY REDUCING FUND RAISING COSTS FOR BOTH THE CHARITIES AND CONTRIBUTORS. THESE EXPENSES RELATE TO DISTRIBUTIONS TO THE THREE HUNDRED AND TWENTY-THREE MEMBER AGENCIES FROM AMOUNTS COLLECTED THROUGH THE CAMPAIGN.

4b (Code: ) (Expenses \$ 425,656. including grants of \$ ) (Revenue \$ )

TO PROVIDE TELEPHONE, PRINT AND WEB-BASED EDUCATION AND INFORMATION SERVICES FOR CONTRIBUTORS TO USE IN GIFT-MAKING DECISIONS; TO TRAIN CHARITIES ON HOW TO SERVE WORKPLACE CONTRIBUTORS; TO PROVIDE LOGISTICAL SUPPORT TO FUND DRIVE ORGANIZERS; TO OPEN NEW WORKPLACE FUND DRIVES; AND TO PROVIDE NECESSARY MANAGEMENT AND FISCAL SERVICES.

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services. (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 8,224,573.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A .....	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? .....		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I .....		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II .....		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III .....		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I .....		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II .....		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III .....		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV .....		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V .....		X
11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI .....		X
b Did the organization report an amount for investments – other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII .....		X
c Did the organization report an amount for investments – program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII .....		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX .....		X
e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X .....	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X .....		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI, and XII .....	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional .....		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E .....		X
14a Did the organization maintain an office, employees, or agents outside of the United States? .....		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV .....		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV .....		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV .....		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions) .....		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II .....		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III .....		X

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If 'Yes,' complete Schedule H.</i> .....		X
b If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II.</i> .....	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If 'Yes,' complete Schedule I, Parts I and III.</i> .....		X
23 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J.</i> .....		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25a.</i> .....		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year? .....		
25a <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If 'Yes,' complete Schedule L, Part I.</i> .....		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If 'Yes,' complete Schedule L, Part I.</i> .....		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If 'Yes,' complete Schedule L, Part II.</i> .....		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If 'Yes,' complete Schedule L, Part III.</i> .....		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV.</i> .....		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV.</i> .....		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If 'Yes,' complete Schedule L, Part IV.</i> .....		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If 'Yes,' complete Schedule M.</i> .....		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If 'Yes,' complete Schedule M.</i> .....		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If 'Yes,' complete Schedule N, Part I.</i> .....		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If 'Yes,' complete Schedule N, Part II.</i> .....		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part I.</i> .....		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1.</i> .....		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....		X
b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If 'Yes,' complete Schedule R, Part V, line 2.</i> .....		
36 <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If 'Yes,' complete Schedule R, Part V, line 2.</i> .....		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI.</i> .....		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. ....	X	

**Part V** Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V.

		Yes	No
1 a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. <input type="text" value="0"/>		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. <input type="text" value="0"/>		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? <input type="checkbox"/>		
2 a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. <input type="text" value="0"/>		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <input type="checkbox"/>		
<b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
3 a	Did the organization have unrelated business gross income of \$1,000 or more during the year? <input type="checkbox"/>		X
b	If 'Yes' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule O. <input type="checkbox"/>		
4 a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? <input type="checkbox"/>		X
b	If 'Yes,' enter the name of the foreign country: <input type="text"/> See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts. (FBAR)		
5 a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? <input type="checkbox"/>		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? <input type="checkbox"/>		X
c	If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T? <input type="checkbox"/>		
6 a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? <input type="checkbox"/>		X
b	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? <input type="checkbox"/>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? <input type="checkbox"/>		X
b	If 'Yes,' did the organization notify the donor of the value of the goods or services provided? <input type="checkbox"/>		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? <input type="checkbox"/>		X
d	If 'Yes,' indicate the number of Forms 8282 filed during the year. <input type="text"/>		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/>		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/>		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? <input type="checkbox"/>		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? <input type="checkbox"/>		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? <input type="checkbox"/>		
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the sponsoring organization make any taxable distributions under section 4966? <input type="checkbox"/>		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? <input type="checkbox"/>		
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12. <input type="text"/>		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. <input type="text"/>		
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders. <input type="text"/>		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) <input type="text"/>		
12 a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? <input type="checkbox"/>		
b	If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year. <input type="text"/>		
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <input type="checkbox"/>		
<b>Note.</b> See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. <input type="text"/>		
c	Enter the amount of reserves on hand. <input type="text"/>		
14 a	Did the organization receive any payments for indoor tanning services during the tax year? <input type="checkbox"/>		X
b	If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O. <input type="checkbox"/>		

**Part VI Governance, Management, and Disclosure** For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

		Yes	No
1 a	Enter the number of voting members of the governing body at the end of the tax year. .... If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
1 b	Enter the number of voting members included in line 1a, above, who are independent. ....		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? .....		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? SEE SCHEDULE O .....	X	
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? .....		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .....		X
6	Did the organization have members or stockholders? .....		X
7 a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? .....		X
7 b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? .....		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8 a	The governing body? .....	X	
8 b	Each committee with authority to act on behalf of the governing body? .....		X
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O. ....		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10 a	Did the organization have local chapters, branches, or affiliates? .....		X
10 b	If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? .....		
11 a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? .....	X	
11 b	Describe in Schedule O the process, if any, used by the organization to review this Form 990. SEE SCHEDULE O .....		
12 a	Did the organization have a written conflict of interest policy? If 'No,' go to line 13. ....	X	
12 b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? .....	X	
12 c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was done. ... SEE SCHEDULE O .....	X	
13	Did the organization have a written whistleblower policy? .....	X	
14	Did the organization have a written document retention and destruction policy? .....	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15 a	The organization's CEO, Executive Director, or top management official. ....		X
15 b	Other officers or key employees of the organization. .... If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).		X
16 a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? .....		X
16 b	If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? .....		

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed ▶ CA
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website     Another's website     Upon request     Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. SEE SCHEDULE O
- 20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶  
 LISA FIERRO 1100 LARKSPUR LANDING CIRCLE, SUITE 340 LARKSPUR CA 94939 (415) 925-2600



**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII.

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of 'key employee.'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons:

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DON MCPARTLAND BOARD PRESIDENT	1 0	X		X				0.	0.	0.
(2) PAUL KRAINTZ BOARD VP	1 0	X		X				0.	0.	0.
(3) MARGANETTA FINNEY BOARD SEC/TRSR	1 0	X		X				0.	0.	0.
(4) DIANNE AYON BOARD MEMBER	1 0	X						0.	0.	0.
(5) KATIE PIERCE BOARD MEMBER	1 0	X						0.	0.	0.
(6) KAREN SCHUSTER BOARD MEMBER	1 0	X						0.	0.	0.
(7) -----										
(8) -----										
(9) -----										
(10) -----										
(11) -----										
(12) -----										
(13) -----										
(14) -----										

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(15) -----									
(16) -----									
(17) -----									
(18) -----									
(19) -----									
(20) -----									
(21) -----									
(22) -----									
(23) -----									
(24) -----									
(25) -----									
<b>1 b Sub-total</b> .....						0.	0.	0.	
<b>c Total from continuation sheets to Part VII, Section A</b> .....						0.	0.	0.	
<b>d Total (add lines 1b and 1c)</b> .....						0.	0.	0.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If 'Yes,' complete Schedule J for such individual</i> .....	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If 'Yes' complete Schedule J for such individual</i> .....	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If 'Yes,' complete Schedule J for such person</i> .....	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
MAGUIRE/MAGUIRE, INC. 1100 LARKSPUR LANDING CIR. STE 340 LARKSPUR, C	ASSOC MGMT SVCS	400,158.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **1**

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns.....	1 a 8,310,311.				
	b Membership dues.....	1 b				
	c Fundraising events.....	1 c				
	d Related organizations.....	1 d				
	e Government grants (contributions)....	1 e				
	f All other contributions, gifts, grants, and similar amounts not included above...	1 f				
	g Noncash contributions included in lines 1a-1f: \$					
	h Total. Add lines 1a-1f.....		8,310,311.			
Program Service Revenue	Business Code					
	2 a					
	b					
	c					
	d					
	e					
	f All other program service revenue...					
g Total. Add lines 2a-2f.....						
Other Revenue	3 Investment income (including dividends, interest and other similar amounts).....					
	4 Income from investment of tax-exempt bond proceeds.....					
	5 Royalties.....					
	6 a Gross rents.....	(i) Real	(ii) Personal			
		b Less: rental expenses				
		c Rental income or (loss)...				
		d Net rental income or (loss).....				
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
		b Less: cost or other basis and sales expenses.....				
		c Gain or (loss).....				
		d Net gain or (loss).....				
	8 a Gross income from fundraising events (not including.. \$ _____ of contributions reported on line 1c). See Part IV, line 18.....	a				
	b Less: direct expenses.....	b				
	c Net income or (loss) from fundraising events.....					
	9 a Gross income from gaming activities. See Part IV, line 19.....	a				
b Less: direct expenses.....	b					
c Net income or (loss) from gaming activities.....						
10 a Gross sales of inventory, less returns and allowances.....	a					
b Less: cost of goods sold.....	b					
c Net income or (loss) from sales of inventory.....						
Miscellaneous Revenue		Business Code				
11 a FISCAL SVC REVENUE	900099	50,922.	50,922.			
b						
c						
d All other revenue.....						
e Total. Add lines 11a-11d.....		50,922.				
12 Total revenue. See instructions.....		8,361,233.	50,922.	0.	0.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	7,798,917.	7,798,917.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	0.	0.	0.	0.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0.	0.	0.	0.
7 Other salaries and wages.				
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).				
9 Other employee benefits.				
10 Payroll taxes.				
11 Fees for services (non-employees):				
a Management.	400,158.	300,119.	20,008.	80,031.
b Legal.	163.		163.	
c Accounting.				
d Lobbying.				
e Professional fundraising services. See Part IV, line 17.				
f Investment management fees.				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)				
12 Advertising and promotion.				
13 Office expenses.				
14 Information technology.				
15 Royalties.				
16 Occupancy.				
17 Travel.	223.		223.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.				
20 Interest.				
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.				
23 Insurance.	7,358.		7,358.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>ONLINE CREDIT CARD SYSTEM</u>	124,168.	124,168.		
b <u>AUDIT</u>	27,416.		27,416.	
c <u>FIELD REPRESENTATIVES</u>	1,461.			1,461.
d <u>STATE REGISTRATION FEES</u>	1,369.	1,369.		
e All other expenses.				
25 Total functional expenses. Add lines 1 through 24e.	8,361,233.	8,224,573.	55,168.	81,492.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X.

		(A) Beginning of year		(B) End of year	
<b>Assets</b>	1	Cash – non-interest-bearing .....	3,034,140.	1	2,745,493.
	2	Savings and temporary cash investments .....		2	
	3	Pledges and grants receivable, net .....	2,845,111.	3	3,482,344.
	4	Accounts receivable, net .....	100,439.	4	72,115.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L .....		6	
	7	Notes and loans receivable, net .....		7	
	8	Inventories for sale or use .....		8	
	9	Prepaid expenses and deferred charges .....		9	
	10a	Land, buildings, and equipment; cost or other basis. Complete Part VI of Schedule D .....		10a	
	b	Less: accumulated depreciation .....		10b	
	11	Investments – publicly traded securities .....		11	
	12	Investments – other securities. See Part IV, line 11 .....		12	
	13	Investments – program-related. See Part IV, line 11 .....		13	
	14	Intangible assets .....		14	
	15	Other assets. See Part IV, line 11 .....		15	
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	5,979,690.	16	6,299,952.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses .....		17	99,748.
	18	Grants payable .....		18	
	19	Deferred revenue .....		19	
	20	Tax-exempt bond liabilities .....		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	23	Secured mortgages and notes payable to unrelated third parties .....		23	
	24	Unsecured notes and loans payable to unrelated third parties .....		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	5,979,690.	25	6,200,204.
	26	<b>Total liabilities.</b> Add lines 17 through 25 .....	5,979,690.	26	6,299,952.
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets .....		27	
	28	Temporarily restricted net assets .....		28	
	29	Permanently restricted net assets .....		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds .....		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	32	Retained earnings, endowment, accumulated income, or other funds .....		32	
33	<b>Total net assets or fund balances.</b> .....	0.	33	0.	
34	<b>Total liabilities and net assets/fund balances.</b> .....	5,979,690.	34	6,299,952.	

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Form 990 (2015)

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	8,361,233.
2	Total expenses (must equal Part IX, column (A), line 25)	2	8,361,233.
3	Revenue less expenses. Subtract line 2 from line 1	3	0.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	0.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	0.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____		
If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.			
2 a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:			
<input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
2 b	Were the organization's financial statements audited by an independent accountant?	X	
If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:			
<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
2 c	If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3 a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3 b	If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

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**SCHEDULE A**  
(Form 990 or 990-EZ)

**Public Charity Status and Public Support**

OMB No. 1545-0047

**2015**

Department of the Treasury  
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.  
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Open to Public Inspection

Name of the organization: **LOCAL INDEPENDENT CHARITIES OF AMERICA** Employer identification number: **94-3042430**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions – subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations: \_\_\_\_\_
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2015

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants'.) .....	6,767,124.	7,028,937.	7,055,802.	7,472,578.	8,310,311.	36,634,752.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. ....						0.
3 The value of services or facilities furnished by a governmental unit to the organization without charge...						0.
4 Total. Add lines 1 through 3...	6,767,124.	7,028,937.	7,055,802.	7,472,578.	8,310,311.	36,634,752.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)...						0.
6 Public support. Subtract line 5 from line 4. ....						36,634,752.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4. ....	6,767,124.	7,028,937.	7,055,802.	7,472,578.	8,310,311.	36,634,752.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. ....						0.
9 Net income from unrelated business activities, whether or not the business is regularly carried on. ....						0.
10 Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part VI.) SEE PART VI ...	35,045.	44,683.	47,582.	49,195.	50,922.	227,427.
11 Total support. Add lines 7 through 10. ....						36,862,179.
12 Gross receipts from related activities, etc. (see instructions) .....					12	0.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. .... ▶ <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) .....	14	99.38 %
15 Public support percentage from 2014 Schedule A, Part II, line 14. ....	15	99.37 %
16a 33-1/3% support test – 2015. If the organization did not check the box on line 13, and line 14 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. .... ▶ <input checked="" type="checkbox"/>		
b 33-1/3% support test – 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
17a 10%-facts-and-circumstances test – 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
b 10%-facts-and-circumstances test – 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ... ▶ <input type="checkbox"/>		



**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include any 'unusual grants.') .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513.						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge ...						
6 Total. Add lines 1 through 5...						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
c Add lines 7a and 7b .....						
8 Public support. (Subtract line 7c from line 6.) .....						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9 Amounts from line 6 .....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 ..						
c Add lines 10a and 10b .....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
13 Total support. (Add lines 9, 10c, 11, and 12.) .....						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. .... ▶ <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) .....	15	%
16 Public support percentage from 2014 Schedule A, Part III, line 15 .....	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) .....	17	%
18 Investment income percentage from 2014 Schedule A, Part III, line 17 .....	18	%

- 19a 33-1/3% support tests – 2015. If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization. .... ▶
- b 33-1/3% support tests – 2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization. .... ▶
- 20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions .....

Part IV Supporting Organizations

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

Table with 3 columns: Question, Yes, No. Rows include questions 1 through 10b regarding supported organizations, such as 'Are all of the organization's supported organizations listed by name...', 'Did the organization have any supported organization that does not have an IRS determination...', and 'Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than...'

Part IV Supporting Organizations (continued)

Table with 3 columns: Question, Yes, No. Row 11: Has the organization accepted a gift or contribution from any of the following persons? Sub-rows 11a, 11b, 11c.

Section B. Type I Supporting Organizations

Table with 3 columns: Question, Yes, No. Row 1: Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? Row 2: Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization?

Section C. Type II Supporting Organizations

Table with 3 columns: Question, Yes, No. Row 1: Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)?

Section D. All Type III Supporting Organizations

Table with 3 columns: Question, Yes, No. Row 1: Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? Row 2: Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? Row 3: By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year?

Section E. Type III Functionally-Integrated Supporting Organizations

Table with 3 columns: Question, Yes, No. Row 1: Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions): a, b, c. Row 2: Activities Test. Answer (a) and (b) below. Sub-rows 2a, 2b. Row 3: Parent of Supported Organizations. Answer (a) and (b) below. Sub-rows 3a, 3b.

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on November 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A – Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain.....	1	
2	Recoveries of prior-year distributions.....	2	
3	Other gross income (see instructions).....	3	
4	Add lines 1 through 3.....	4	
5	Depreciation and depletion.....	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions).....	6	
7	Other expenses (see instructions).....	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4).....	8	

Section B – Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities.....	1a	
b	Average monthly cash balances.....	1b	
c	Fair market value of other non-exempt-use assets.....	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c).....	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets.....	2	
3	Subtract line 2 from line 1d.....	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).....	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3).....	5	
6	Multiply line 5 by .035.....	6	
7	Recoveries of prior-year distributions.....	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6).....	8	

Section C – Distributable Amount		(A) Prior Year	Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A).....	1	
2	Enter 85% of line 1.....	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A).....	3	
4	Enter greater of line 2 or line 3.....	4	
5	Income tax imposed in prior year.....	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).....	6	

7  Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).

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**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D – Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes.....	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity.....	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations.....	
4 Amounts paid to acquire exempt-use assets.....	
5 Qualified set-aside amounts (prior IRS approval required).....	
6 Other distributions (describe in Part VI). See instructions.....	
7 Total annual distributions. Add lines 1 through 6.....	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.....	
9 Distributable amount for 2015 from Section C, line 6.....	
10 Line 8 amount divided by Line 9 amount.....	

Section E – Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6.....			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required – see instructions).....			
3 Excess distributions carryover, if any, to 2015:			
a			
b			
c			
d From 2013.....			
e From 2014.....			
f Total of lines 3a through e.....			
g Applied to underdistributions of prior years.....			
h Applied to 2015 distributable amount.....			
i Carryover from 2010 not applied (see instructions).....			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.....			
4 Distributions for 2015 from Section D, line 7: \$			
a Applied to underdistributions of prior years.....			
b Applied to 2015 distributable amount.....			
c Remainder. Subtract lines 4a and 4b from 4.....			
5 Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).....			
6 Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).....			
7 Excess distributions carryover to 2016. Add lines 3j and 4c.....			
8 Breakdown of line 7:			
a			
b			
c Excess from 2013.....			
d Excess from 2014.....			
e Excess from 2015.....			

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**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

**PART II, LINE 10 - OTHER INCOME**

NATURE AND SOURCE	2015	2014	2013	2012	2011
FISCAL SERVICES REVENUE	\$ 50,922.	\$ 49,195.	\$ 47,582.	\$ 44,683.	\$ 35,045.
TOTAL	\$ 50,922.	\$ 49,195.	\$ 47,582.	\$ 44,683.	\$ 35,045.

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2015

Department of the Treasury Internal Revenue Service

Complete if the organization answered 'Yes' on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Open to Public Inspection

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

LOCAL INDEPENDENT CHARITIES OF AMERICA

94-3042430

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate value of contributions, aggregate value of grants, and questions about property ownership and grant fund usage.

Part II Conservation Easements.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 7.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include purpose of conservation easements, total number and acreage, and questions about monitoring and reporting.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 8.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include questions about reporting art and historical treasures.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other \_\_\_\_\_
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1 a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If 'Yes,' explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1 c    |
| d Additions during the year     | 1 d    |
| e Distributions during the year | 1 e    |
| f Ending balance                | 1 f    |
- 2 a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII.

**Part V Endowment Funds.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1 a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment  \_\_\_\_\_ %
  - b Permanent endowment  \_\_\_\_\_ %
  - c Temporarily restricted endowment  \_\_\_\_\_ %
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3 a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |  | Yes    | No |
|--|--------|----|
| (i) unrelated organizations  | 3a(i)  |    |
| (ii) related organizations   | 3a(ii) |    |
| b If 'Yes' on line 3a(ii), are the related organizations listed as required on Schedule R? | 3b     |    |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1 a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				0.



**Part VII Investments – Other Securities.**

N/A

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives.....		
(2) Closely-held equity interests.....		
(3) Other		
(A) -----		
(B) -----		
(C) -----		
(D) -----		
(E) -----		
(F) -----		
(G) -----		
(H) -----		
(I) -----		
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 12.)		

**Part VIII Investments – Program Related.**

N/A

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 13.)		

**Part IX Other Assets.**

N/A

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) EST DISTR PAYABLE TO MEMBER AGENCIE	6,200,204.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 25.)	6,200,204.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements.....		1	562,316.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
	a Net unrealized gains (losses) on investments.....	2a		
	b Donated services and use of facilities.....	2b		
	c Recoveries of prior year grants.....	2c		
	d Other (Describe in Part XIII.).....	2d		
	e Add lines 2a through 2d.....		2e	
3	Subtract line 2e from line 1.....		3	562,316.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
	a Investment expenses not included on Form 990, Part VIII, line 7b.....	4a		
	b Other (Describe in Part XIII.) SEE PART XIII.....	4b	7,798,917.	
	c Add lines 4a and 4b.....		4c	7,798,917.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.).....		5	8,361,233.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements.....		1	562,316.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
	a Donated services and use of facilities.....	2a		
	b Prior year adjustments.....	2b		
	c Other losses.....	2c		
	d Other (Describe in Part XIII.).....	2d		
	e Add lines 2a through 2d.....		2e	
3	Subtract line 2e from line 1.....		3	562,316.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
	a Investment expenses not included on Form 990, Part VIII, line 7b.....	4a		
	b Other (Describe in Part XIII.) SEE PART XIII.....	4b	7,798,917.	
	c Add lines 4a and 4b.....		4c	7,798,917.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.).....		5	8,361,233.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**SCHEDULE D, PART XI, LINE 4B  
OTHER REVENUE INCLUDED ON FORM 990 BUT NOT INCLUDED IN F/S**

MEMBER DISTRIB. INCLUDED AS CONTRA-REV.....	\$ 7,798,917.
<b>TOTAL</b>	<b>\$ 7,798,917.</b>

**SCHEDULE D, PART XII, LINE 4B  
OTHER EXPENSES INCLUDED ON FORM 990 BUT NOT INCLUDED IN F/S**

MEMBER DISTRIB. INCLUDED AS CONTRA-REV.....	\$ 7,798,917.
<b>TOTAL</b>	<b>\$ 7,798,917.</b>

**SCHEDULE I**  
(Form 990)

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB no. 1545-0047

**2015**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered 'Yes' on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

Open to Public  
Inspection

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

Employer identification number

LOCAL INDEPENDENT CHARITIES OF AMERICA

94-3042430

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. **SEE PART IV**

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) ESTIMATED DISTRIBUTIONS REFER TO PDF SCHEDULE I LARKSPUR, CA 94939			6,913,667.	0.			
(2) -----							
(3) -----							
(4) -----							
(5) -----							
(6) -----							
(7) -----							
(8) -----							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 327

3 Enter total number of other organizations listed in the line 1 table 0

1305

**Part II** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered 'Yes' on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

1306

**PART I, LINE 2 - PROCEDURES FOR MONITORING USE OF GRANTS FUNDS IN U.S.**

GRANTEE ORGANIZATIONS ARE REQUIRED ON AN ANNUAL BASIS TO SUBMIT COPIES OF THEIR FORM 990, AUDITED FINANCIAL STATEMENTS AND OTHER DOCUMENTATION TO THE GOVERNING BOARD FOR REVIEW OF FUNDS GRANTED BY THE ORGANIZATION WHICH ARE USED TO SUPPORT GRANTEE PROGRAMS.

**PART IV - ADDITIONAL SUPPLEMENTAL INFORMATION**

PLEASE REFER TO ATTACHED PDF FOR DISTRIBUTION DETAIL.

Local Independent Charities of America  
94-3042430

Form 990, Schedule I, Part II  
Form 199, Part II, Line 9

Name of Organization	EIN	Amount of Grant
4H Therapeutic Riding of Frederick County	52-1712242	13,412
A Helping Paw	03-0385126	22,808
A New Leash on Life	34-2013011	34,434
AARP Legal Counsel for the Elderly	52-1194741	12,537
Abandoned Children's Fund	20-5967513	17,915
Abducted & Missing Children's Recovery Project	68-0314615	5,185
Abused and Homeless Children's Refuge - Alternative House	54-0899463	60,187
Academy of Hope	52-1730021	15,756
ACLU, American Civil Liberties Union Of Washington Foundation	23-7076867	59,891
Adopt-A-Stream Foundation	91-1333737	5,285
Ahimsa House	31-1833734	11,233
Aid For Starving Children	52-1224507	10,012
AIDS Action Baltimore	52-1512614	13,517
AIDS Emergency Fund	94-2922039	10,273
AIDS Project Los Angeles	95-3842506	15,412
Alameda Boys and Girls Club	94-1312299	5,904
Alameda County Community Food Bank	94-2960297	186,684
Alameda County Meals on Wheels, Inc.	94-2651065	17,972
Alameda Meals on Wheels	94-2299811	9,702
Alexandria/Fairfax Alumni Chapter/Kappa Scholarship Endowment Fund	54-1562320	28,183
Alzheimer's Services Of The East Bay	94-3081330	22,392
American Humane Association	84-0432950	11,916
American Indian College Fund	52-1573446	8,183
American Red Cross Silicon Valley Chapter	53-0196605	33,874
Angel Flight of Maryland	52-2230992	20,033
Angel Flight of Virginia	54-1595644	28,565
Animal Legal Defense Fund	94-2681680	5,351
Animal Rescue, Inc.	23-2180310	66,921
Animal Welfare League Of Arlington	54-0603502	194,663
Anne Arundel County CASA	52-1885500	14,946
Arc of Maryland, Inc.	52-0741602	5,301
As Good as Gold - Golden Retriever Rescue of Illinois	90-0083192	6,543
Asian Americans Advancing Justice - Asian Law Caucus	94-2176139	10,081
Atlanta Ronald McDonald House Charities	58-1295754	9,602
Autism Society San Diego	93-1132987	15,653
Autism Society San Francisco Bay Area	94-2978690	11,030
Avian Rescue Corporation	26-1230158	6,196
Baltimore Chesapeake Chapter Autism Society of America	52-1864361	7,238
Barker Foundation, Inc.	52-0642791	36,223
Bay Area Crisis Nursery	94-2681676	19,354
Bay Area Law Enforcement Assistance Fund	91-2006597	95,141
Bay Area Legal Aid	94-1631316	5,944
Bay Area Rescue Mission	94-6124054	45,043
Berkeley-East Bay Humane Society	94-1347069	12,607
Bethany Christian Services of Georgia	31-1284895	7,968

Bethany Christian Services of Maryland	31-1282580	17,848
Bethany Christian Services of Virginia	31-1196727	10,560
Bethany Christian Services of Washington	31-1196728	6,118
Beyond Emancipation	94-3219520	9,561
Big Brothers Big Sisters of the Bay Area	23-7108045	15,504
Big Run Wolf Ranch, Inc.	36-4114029	5,672
Bishop McNamara High School	52-0805939	57,613
Blind Babies Foundation	94-1156630	20,609
Blind Childrens Center	95-1656369	11,447
Blue Star Mothers of America, Inc.	34-1008973	13,702
Bonita House, Inc.	94-1735133	5,019
Books for Kids	91-1600084	19,419
Boston Ronald McDonald House	04-2627411	6,590
Bowie Crofton Pregnancy Center	52-1436787	22,594
Boy Scouts of America, Marin Council	94-1156323	5,293
Boy Scouts Of America, San Francisco Bay Area Council	94-1568616	6,025
Boy Scouts of America, Silicon Valley Monterey Bay Council	94-1156254	7,743
Boys & Girls Clubs of San Francisco	94-1156608	22,644
Breast Cancer Action	94-3138992	5,502
Breast Cancer Emergency Fund	20-3203899	31,971
Breast Cancer Fund	94-3155886	11,970
California Law Enforcement "Wish Upon A Star"	94-2904385	18,312
California Right To Life Education Fund	94-2761737	12,694
Calvary Women's Services	52-1307706	34,930
Campaign for Equal Justice	94-3131284	15,846
Cancer in the Family Relief Fund	27-2915249	10,257
Cancer Research Wellness Institute	86-0963787	10,414
Cancer Support Community San Francisco Bay Area	68-0157858	10,659
CancerCURE of America: Care, Understand, Research & End	81-0648432	14,705
Canine Wounded Heroes	45-5591906	16,343
CASA of the Coastal Bend	74-2631146	12,750
Casey Cares Foundation	52-2259802	11,638
Cat Adoption Team	20-0773189	12,980
Cat Network Inc., The	65-0597008	7,831
Cat Tails, Inc.	30-0137346	34,245
Catholic Service Organizations of America	45-1679647	12,687
Catholic Urban Programs	27-1442590	10,382
Cats In Need	04-3509327	5,538
Cats on Death Row	27-2033192	17,907
Central Virginia Battlefields Trust, Inc.	54-1828344	5,767
Chihuahua & Small Dog Rescue, Inc.	20-3492450	6,847
Child Advocates of Silicon Valley	77-0250773	5,017
Child Care Coordinating Council of San Mateo County Inc.	94-2226587	9,151
Children of the Night	95-3130408	5,114
Children's Alliance	91-0982879	5,696
Children's Cancer Center, Inc.	59-1779035	5,769
Children's Hospital & Research Center Foundation	94-1657474	33,994
Chinese Culture And Community Service Center, Inc. (CCACC)	52-1307918	37,733
Chinese For Affirmative Action	94-2161304	6,376
Christian Children's Charities	45-2919697	6,742
Christian Relief Services	54-1884868	8,389
Christmas House	91-1177244	5,555
City Youth Now	94-1519135	8,145

CityTeam Ministries	94-1501265	28,585
CollegeBound Foundation, Inc.	52-1598921	15,134
Common Good City Farm	80-0365344	8,047
Community Housing Partnership	94-3112338	5,327
Community Services for Autistic Adults and Children (CSAAC)	52-1263443	21,330
Cornerstone Montgomery, Inc.	52-0937199	8,506
Court Appointed Special Advocates - Casa Prince George's County, Inc.	52-1772617	7,832
Court Appointed Special Advocates of Montgomery County	52-1639595	10,469
Covenant House California	13-3391210	19,906
Covenant House New York	13-3076376	17,401
Covenant House Washington	13-3537709	54,508
Critter Creek Wildlife Station	77-0204523	7,096
DC SCORES	52-2230721	11,805
Denver Jewish Day School	84-1476467	9,795
Disaster Dogs of Illinois	45-2769180	6,592
Dogs & Cats Stranded on the Streets	27-2063483	14,414
Dogs for Diabetics, Inc.	20-2250869	17,484
Dogs On Death Row	20-5530700	26,475
Dogs On Deployment	45-3109600	52,825
Dolphins, Whales & Sea Turtles: Save and Protect	27-2063576	8,556
Downtown Cluster Of Congregations	52-1338443	9,712
East Bay SPCA	94-1322202	51,102
Empty Stocking Fund, Inc., The	23-7159125	5,665
Enchanted Closet	06-1703633	6,003
Everybody Wins! D.C.	52-1938281	17,952
Families of Children Under Stress	58-1577602	5,160
Family Violence Law Center	94-2527939	6,678
Feed My People	43-1264877	11,869
Feral Cat Coalition of Oregon	93-1168181	7,344
First Place for Youth	94-3341034	9,193
First Responder Support Network	73-1628834	7,810
Fisher House Foundation	11-3158401	18,049
Fisher House Naval Medical Center San Diego	95-1645429	80,320
Food Bank For New York City, Food For Survival	13-3179546	30,474
Foodbank of Southern California	95-3557056	47,690
Forestville Pregnancy Center, Inc.	52-1299511	11,108
Fred Hutchinson Cancer Research Center	23-7156071	59,451
Friends of Alameda County CASA, Inc.	94-3309728	6,189
Friends of San Francisco Animal Care and Control	94-3371620	7,214
Furkids	01-0766844	37,827
Furry Tails Rescue	45-5416589	6,001
George Mark Children's House	94-3255845	8,250
German Shepherd Rescue of Northern California, Inc.	52-2331060	12,770
Golden Gate Labrador Retriever Rescue	68-0391542	15,238
Golden Retriever Rescue of the Rockies	84-1430940	5,754
Good Karma Bikes	27-1552370	14,271
Great Peninsula Conservancy	91-1110978	7,422
Greenhill Humane Society, SPCA	93-0467412	21,641
Guide Dogs for the Blind, Inc.	94-1196195	22,369
Habitat For Humanity Spokane	94-3066722	7,385
Habitats for Dogs & Cats	46-0968673	7,847
Harvest Home Animal Sanctuary	56-2515797	11,486
Hire Our Heroes	46-3027051	9,176

Hispanic Scholarship Fund	52-1051044	11,064
Homeless Children's Network	94-3266686	16,840
Homeless Prenatal Program, Inc.	94-3146280	5,883
Homeless Rescue Services	94-2737653	5,755
Hopelink	91-0982116	57,455
HopeTree Family Services	54-0515739	8,526
Horses On Death Row	27-4871193	5,108
Hospice by the Bay	94-2890791	11,154
Hospice of the East Bay	94-2515405	19,481
Hospice of the Valley	94-2803411	8,578
House DC, Inc., The	30-0117990	7,500
Housing Opportunities & Maintenance For The Elderly	36-3172591	5,038
Housing Unlimited, Inc.	52-1760774	8,043
Humane Society of Greater Miami, Inc.	59-0711176	27,077
Humane Society of New York	13-1624041	17,164
Irish Cultural Centre of California	26-0610341	5,190
Islamic Center of Maryland, Inc.	52-1718751	9,083
Islamic-American Zakat Foundation, Inc.	52-1492341	55,473
JDRF International - Greater Bay Area Chapter	23-1907729	10,710
Jubilee Housing, Inc.	52-0986261	15,018
Junior Blind of America	95-1977659	12,766
Kennedy Krieger Foundation	52-1734695	34,253
Kin On Community Health Care	91-1286273	6,876
Kin On Health Care Center	91-1620786	12,980
KOVAR Corporation	23-7337216	56,746
La Clinica del Pueblo	52-1942551	26,760
Larimer County Search & Rescue	74-2236513	5,555
Larimer Humane Society	84-0611804	12,406
Laurel Advocacy and Referral Services, Inc.	52-1537336	42,038
Laurel Pregnancy Center	52-1608500	26,193
Lavender Youth Recreation & Information Center	94-3227296	6,595
Legal Aid Society - Employment Law Center	94-2783401	5,654
Life Enhancement Awards Fund, Inc.	20-2652012	7,078
LightHouse for the Blind and Visually Impaired	94-1415317	10,713
Lighthouse for the Blind, Inc.	91-0295070	6,459
Lily's Legacy Senior Dog Sanctuary	26-4273729	7,139
Lindsay Wildlife Museum	94-6104179	10,592
Little Wishes	52-2386886	10,212
Los Angeles Mission	95-3134049	26,791
Los Angeles Regional Food Bank	95-3135649	50,389
Lung Cancer Research Foundation, Bonnie J. Addario	20-4417327	5,407
Lupus Foundation Of Northern California	94-2469741	17,716
Lutheran Social Services of the South	74-1109745	12,172
Make-A-Wish Foundation, Greater Bay Area	94-2958481	88,499
Manna, Inc.	52-1260698	12,313
Marin Humane Society	94-1156562	8,325
Marine Mammal Center	51-0144434	9,327
Marion-Polk Food Share, Inc.	94-3034161	32,241
Martha's Kitchen	91-2091094	20,968
Mary House	52-1253494	44,251
Maryland CASA Association, Inc.	52-1946488	10,011
Maryland Westie Rescue	26-0612180	23,564
Meals on Wheels of Contra Costa, Inc.	68-0231350	22,775



Meals on Wheels of Metro Tulsa	73-1125389	20,720
Meals On Wheels Of San Francisco	94-1741155	25,479
Methodist Children's Home	74-1109750	18,683
Military Family and Veterans Service Organizations of America	94-3193418	7,082
Military Support Groups of America	27-2242752	8,777
Millionair Club, Inc.	91-0607513	6,728
MSPCA/Massachusetts Society For The Prevention Of Cruelty To Animals	04-2103597	31,535
Mujeres Unidas y Activas (Women United and Active)	20-2986926	10,704
Muttville	26-0416747	22,883
National Association For Down Syndrome	36-2592338	23,210
National Empowerment for Minorities Active in Community, Inc.	27-4557160	6,267
Neurofibromatosis, Inc. Mid-Atlantic	22-2580179	5,214
Nicaraguan Childrens Friendship Committee	73-1682075	11,661
Northern Illinois Food Bank	36-3203648	47,001
Northwest Organization for Animal Help (NOAH)	91-1362069	29,661
Oakland Zoo	94-1687847	6,766
Oakwood School	54-0898129	5,688
Oklahoma Baptist Homes for Children	73-1435473	46,189
Operation Food Search	43-1241854	35,325
Operation Homefront	32-0033325	10,260
Operation: Care And Comfort	27-3116300	7,560
Options Recovery Services	94-3384153	5,222
Oregon Humane Society	93-0386880	102,260
Orlando Union Rescue Mission	59-1035082	15,749
Para Los Niños	95-3443276	6,496
Parent Encouragement Program (PEP) Inc.	52-1379642	10,468
Pasadena Humane Society	95-1643344	5,758
Pawsitive Alliance	84-1679356	11,189
Pennsylvania Veterans Foundation	45-3750852	7,255
Pets In Need	94-6139667	25,879
Pets On Wheels, Inc.	52-1657528	28,209
Philippine Children's Fund of America	94-3162880	8,354
PHILLIPS Programs for Children and Families	54-0833311	7,092
Planned Parenthood Los Angeles	95-2408623	27,860
Planned Parenthood Northern California	94-1575233	98,948
Planned Parenthood of Illinois	36-2170901	36,706
Planned Parenthood of the Pacific Southwest	95-6111785	30,229
Planned Parenthood Of The St. Louis Region and Southwest Missouri	43-0652666	34,578
Planned Parenthood San Antonio	20-2851515	8,587
Planned Parenthood South Texas	74-1297211	17,474
Police Officer Assistance Trust	65-0164129	5,703
Pregnancy Aid Centers, Inc.	23-7418649	12,530
Prince William Humane Society	20-5062874	30,705
Project Open Hand	94-3023551	33,688
Protectors of Animals, Inc.	06-0959891	9,401
Returning Veterans Project	20-4034255	5,892
Richmond YouthWORKS	74-2197942	5,749
Roanoke Valley Horse Rescue	02-0654488	5,808
Rockville Pregnancy Center	52-1492325	21,588
Rocky Mountain Rescue Group	84-6036199	7,075
Ronald McDonald House Charities of Chicagoland and Northwest Indiana (RMHC-CNI)	36-3532553	11,971
Ronald McDonald House Charities of El Paso	74-2257357	19,950

Ronald McDonald House Charities of Western Washington & Alaska	91-1061043	20,510
Ronald McDonald House Of San Francisco	94-2951627	24,152
Rooms That Rock 4 Chemo, Inc.	46-2777062	9,271
Rowena Wildlife Clinic	26-0048729	7,240
Sacramento SPCA	94-1312343	243,551
SADSAC	54-1924406	8,967
Safe Alternatives to Violent Environments (SAVE)	94-2520559	9,445
SafeHaven Humane Society	93-0676661	13,760
Salem Friends of Felines	68-0577560	18,451
San Francisco AIDS Foundation	94-2927405	13,474
San Francisco Child Abuse Prevention Center	94-2455072	5,803
San Francisco Firefighters Cancer Prevention Foundation	56-2608686	52,017
San Francisco Food Bank	94-3041517	129,285
San Francisco Foster Youth Fund	94-3048844	53,310
San Francisco General Hospital Foundation	94-3189424	30,041
San Francisco Police Activities League	94-6106198	19,655
San Francisco Public Health Foundation	94-3117093	5,482
San Francisco Society for the Prevention of Cruelty to Animals (SPCA)	94-0836580	70,114
Sarah's Circle	36-3043662	9,808
Search & Rescue Assist, Inc.	52-2269564	5,244
Seattle Milk Fund	91-0619698	7,465
Seattle Parks Foundation	91-1998597	8,677
SEEC Corporation	52-1557285	18,789
Shady Grove Pregnancy Center	52-1308640	23,003
Share The Dream Foundation, Inc.	45-5231671	20,281
Shepherd's Gate	94-2902803	12,528
Shoreline Public Schools Foundation	91-1556631	8,307
Shriners Hospitals for Children	36-2193608	56,171
Side By Side, Inc.	26-0573831	5,010
Silicon Valley Children's Fund	77-0166138	5,688
So Others May Eat Incorporated	26-4569809	59,119
Society of St. Vincent de Paul Council of Seattle/King County	91-0583891	19,402
Soldiers Undertaking Disabled SCUBA Diving, Inc. (SUDS)	26-1315733	12,047
Sonoma Humane Society	94-6001315	6,052
South Florida SPCA Society For The Prevention of Cruelty to Animals	65-0338657	8,893
SPCA Florida, Inc.	59-1939655	15,837
Special Olympics Northern California	68-0363121	26,499
Special Olympics Oklahoma	23-7174120	15,643
Special Olympics Texas	74-1998367	27,894
Sports In Schools	27-1754999	9,894
St. Anthony Foundation	94-1513140	80,301
St. Joseph Regional Catholic School	52-0735883	10,006
St. Vincent de Paul of Baltimore	52-0597056	68,244
St. Vincent Pallotti High School	52-0749876	18,059
Stand Up To Cancer	95-1644609	6,676
Stop The Silence: Stop Child Sexual Abuse, Inc.	01-0824387	5,571
Street Sense	20-1297050	38,450
Summit Assistance Dogs	91-2048706	34,032
Support For Families Of Children With Disabilities	94-2819062	5,094
Support Our Troops®	33-1112829	87,782
Supporters of San Francisco Police Department's Wilderness Program	94-3197237	7,460
Therapeutic & Recreational Riding Center, Inc.	52-1368120	5,834
Travellers Rest Equine Elders Sanctuary	20-0331606	5,165

Tri-Valley Animal Rescue	94-3156937	10,055
United Methodist Family Services of Virginia	54-0505969	20,771
United Negro College Fund	13-1624241	53,653
Veterans Resource Centers of America	94-2699571	6,210
Veterans, Forever Soldiers	42-1622383	14,155
Vietnam Health, Education and Literature Projects	94-3169584	5,178
Voices For Children	52-1700254	8,998
Warrior Canine Connection, Inc.	45-2981579	104,434
Washington State Coalition Against Domestic Violence	91-1507028	14,073
Wayside Waifs	44-0605374	116,058
Whiskers Animal Shelter, Inc	51-0436399	5,387
Willamette Humane Society	93-0577975	45,012
WithinReach	91-1443685	12,649
Women's Cancer Resource Center	94-3131204	9,436
Wounded Warrior Mentor Program San Antonio	27-2054018	5,007
Wounded Warriors in Action Foundation	26-0718304	9,431
Yavapai Humane Society	86-0327745	5,769
Yosemite Conservancy	94-3058041	6,874

Total		<u>\$ 6,913,667</u>
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**SCHEDULE O**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is  
at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public  
Inspection

Employer identification number

LOCAL INDEPENDENT CHARITIES OF AMERICA

94-3042430

**FORM 990, PART IV, LINE 29**

ALTHOUGH THE ORGANIZATION DID NOT RECEIVE MORE THAN \$25,000 IN NON-CASH CONTRIBUTIONS, IT PARTICIPATES IN A VEHICLE DONATION PROGRAM ADMINISTERED BY INDEPENDENT CHARITIES OF AMERICA, OF WHICH THE ORGANIZATION IS A MEMBER. THE ORGANIZATION DOES NOT TAKE TITLE TO OR POSSESSION OF DONATED VEHICLES, DOES NOT ISSUE RECEIPTS, AND HAS NO CONTROL OVER THE PROGRAM, BUT A CONTRIBUTOR MAY SPECIFY THAT NET PROCEEDS FROM THE RESALE OF THE CONTRIBUTOR'S VEHICLE BE DIRECTED TO THE ORGANIZATION.

**FORM 990, PART VI, LINE 15**

THE ORGANIZATION IS SUPPORTED BY VOLUNTEERS AND DOES NOT INTEND TO EMPLOY INDIVIDUALS.

**FORM 990, PART VI, LINE 3 - DESCRIPTION OF DELEGATED DUTIES TO MANAGEMENT COMPANY**

THE FEDERATION OUTSOURCES CERTAIN ENUMERATED ADMINISTRATIVE AND MINISTERIAL SERVICES TO MAGUIRE/MAGUIRE ASSOCIATION MANAGEMENT, SPECIFICALLY INCLUDING MAINTAINING A HEADQUARTERS ADDRESS AND STORAGE FOR THE FEDERATION, PREPARING CAMPAIGN APPLICATIONS AND REGISTRATIONS AS REQUIRED TO MAINTAIN CAMPAIGN ELIGIBILITY, COORDINATING MARKETING & ADVERTISING ACTIVITIES, AND CONSULTING TO THE MEMBER CHARITIES ON ISSUES OF THEIR INDIVIDUAL CAMPAIGN ELIGIBILITY AND CAMPAIGN PRESENTATION. THE CONTRACT EXCLUDES PROVIDING DECISION-MAKING OR POLICY-MAKING FUNCTIONS, WHICH ARE RESERVED TO THE BOARD.

**FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS**

THE TREASURER REVIEWS THE FORM 990 FOR ACCURACY AND COMPLETENESS, SIGNS AND FILES THE RETURN ON BEHALF OF THE GOVERNING BOARD. COPIES OF THE FORM 990 INCLUDING ATTACHMENTS ARE SENT TO EACH BOARD MEMBER FOR REVIEW AND DISCUSSION AT THE NEXT SCHEDULED BOARD MEETING.

Name of the organization

LOCAL INDEPENDENT CHARITIES OF AMERICA

Employer identification number

94-3042430

**FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS**

THE WRITTEN CONFLICT OF INTEREST POLICY IS REVIEWED ANNUALLY BY THE GOVERNING BOARD FOR ADHERENCE AND NEW BOARD MEMBERS ARE REQUIRED TO AFFIRM ACCEPTANCE OF THE POLICY.

**FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE**

PRIOR YEAR INFORMATION RETURNS (FORM 990) ARE AVAILABLE FOR PUBLIC INSPECTION ON THE GUIDESTAR WEBSITE LOCATED AT [WWW.GUIDESTAR.ORG](http://WWW.GUIDESTAR.ORG).

THE ORGANIZATION'S GOVERNING DOCUMENTS, POLICIES, FINANCIAL STATEMENTS AND INFORMATION RETURNS ARE AVAILABLE UPON REQUEST.

## Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only.

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

<b>Type or print</b>	Name of exempt organization or other filer, see instructions. <b>LOCAL INDEPENDENT CHARITIES OF AMERICA</b>	Employer identification number (EIN) or
	Number, street, and room or suite number. If a P.O. box, see instructions. <b>1100 LARKSPUR LANDING CIRCLE #340</b>	<b>94-3042430</b>
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LARKSPUR, CA 94939-1827</b>	Social security number (SSN)

Enter the Return code for the return that this application is for (file a separate application for each return)  **01**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ LISA FIERRO

Telephone No. ▶ (415) 925-2600 Fax No. ▶ (415) 925-2650

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 12/15, 2016, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶  calendar year 20 \_\_\_\_ or
- ▶  tax year beginning 5/01, 2015, and ending 4/30, 2016.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change In accounting period

3 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3 a</b>	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3 b</b>	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3 c</b>	\$	0

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2017 FEB 28 PM 12:23

BY EBB

February 27, 2017

Ms. Angela Calvillo  
Clerk of the Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102-4689

Re: 2017 Combined Charities Campaign

Dear Ms. Calvillo:

United Way of the Bay Area wishes to apply for participation in the 2017 San Francisco City and County Employees Combined Charities Campaign in accordance with the regulations set forth by the Board of Supervisors in Section 16.93-2. You will find enclosed:

1. A list of 2017 United Way of the Bay Area Grantees and Certified Agencies with all organizations representing Bay Area Counties (Criteria A), and with all being in existence for at least one year (Criteria C).
2. A copy of our most recent IRS 501(c)(3) Tax Exempt certification letter (Criteria B).
3. A copy of our most recent financial audit (Criteria D).

Thank you for your time and consideration in this matter.

Sincerely,



Anne Wilson  
Chief Executive Officer



United Way of the Bay Area

550 Kearny St., Suite 1000  
San Francisco, CA 94108  
415.808.4300

1970 Broadway, Suite 400  
Oakland, CA 94612  
510.238.2410

401 Amador St.  
Vallejo, CA 94590  
707.551.3141

[www.uwba.org](http://www.uwba.org)



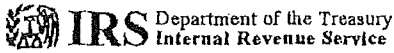
## 2017 Certified Agencies List

Tax ID Number	Agency Name	Address	City	State	Zip	website
94-3087060	Abode Services	40849 Fremont Blvd.	Fremont	CA	94538	<a href="http://www.abodeservices.org">www.abodeservices.org</a>
94-3111738	AIDS Legal Referral Panel	1663 Mission Street, Suite 500	San Francisco	CA	94103	<a href="http://www.alrp.org">www.alrp.org</a>
94-3171846	Alive & Free	1060 Tennessee Street	San Francisco	CA	94107	<a href="http://www.stayaliveandfree.org">www.stayaliveandfree.org</a>
94-3096109	Asian & Pacific Islander Wellness Center	730 Polk Street, 4th Floor	San Francisco	CA	94109	<a href="http://www.apowellness.org">www.apowellness.org</a>
94-092491	Asian Americans for Community Involvement	2400 Moorpark Avenue #300	San Jose	CA	95128	<a href="http://www.aaci.org">www.aaci.org</a>
94-2977665	Asian Immigrant Women Advocates	310 8th Street Suite #301	Oakland	CA	94607	<a href="http://www.aiwa.org">www.aiwa.org</a>
94-2247074	BANANAS	5232 Claremont Avenue	Oakland	CA	94618	<a href="http://www.bananasbunch.org">www.bananasbunch.org</a>
77-0417605	Bay Area Cancer Connections	2335 El Camino Real	Palo Alto	CA	94306	<a href="http://www.bcconnections.org">www.bcconnections.org</a>
68-0600675	Bayview Association for Youth	1201 Mendell Street	San Francisco	CA	94124	<a href="http://www.bay100cpi.org">www.bay100cpi.org</a>
94-1294898	Boys & Girls Club of Silicon Valley	518 Valley Way	Milpitas	CA	95035	<a href="http://www.bgclub.org">www.bgclub.org</a>
94-1279794	Boys & Girls Clubs of Oakland	3300 High Street	Oakland	CA	94619	<a href="http://www.bgcoakland.org">www.bgcoakland.org</a>
26-2168102	Breakthrough Silicon Valley	1635 Park Avenue	San Jose	CA	95126	<a href="http://www.breakthroughsv.org">www.breakthroughsv.org</a>
23-7427232	Cancer Prevention Institute of California	2201 Walnut Avenue, Suite 300	Fremont	CA	94538	<a href="http://www.cpic.org">www.cpic.org</a>
94-1498472	Catholic Charities	990 Eddy Street	San Francisco	CA	94109	<a href="http://www.catholiccharitiessf.org">www.catholiccharitiessf.org</a>
94-2762269	Catholic Charities of Santa Clara County	2625 Zanker Road #200	San Jose	CA	95134	<a href="http://www.catholiccharitiesscc.org">www.catholiccharitiesscc.org</a>
94-2576612	Catholic Charities of Solano, Inc.	125 Corporate Place, Suite A	Vallejo	CA	94590	<a href="http://www.csssolano.org">www.csssolano.org</a>
94-2677202	Catholic Charities of the East Bay	433 Jefferson Street	Oakland	CA	94607	<a href="http://www.cceb.org">www.cceb.org</a>
94-1658311	Center for Employment Training	701 Vine Street	San Jose	CA	95110	<a href="http://www.cetweb.org">www.cetweb.org</a>
94-3059243	Children Now	1404 Franklin Street, Suite 700	Oakland	CA	94612	<a href="http://www.childrenow.org">www.childrenow.org</a>
94-2514053	Chinatown Community Development Center	1525 Grant Avenue	San Francisco	CA	94133	<a href="http://www.chinatowncdc.org">www.chinatowncdc.org</a>
94-082549	City Year San Jose/Silicon Valley	1922 The Alameda, Suite 104	San Jose	CA	95126	<a href="http://www.cityyear.org">www.cityyear.org</a>
83-0403915	CommUniverCity	1 Washington Square	San Jose	CA	95192	<a href="http://www.cucsj.org">www.cucsj.org</a>
94-2383037	Contra Costa Child Care Council	1035 Detroit Ave Suite #200	Concord	CA	94518	<a href="http://www.cocokids.org">www.cocokids.org</a>
94-6003673	Diabetic Youth Foundation	5167 Clayton Road, Suite F	Concord	CA	94521	<a href="http://www.dyf.org">www.dyf.org</a>
94-2883469	Early Childhood Mental Health Program	4101 Macdonald Avenue	Richmond	CA	94805	<a href="http://www.ecmhp.org">www.ecmhp.org</a>
94-2925799	East Bay Asian Youth Center (EBAYC)	2025 E. 12th Street	Oakland	CA	94606	<a href="http://www.ebayc.org">www.ebayc.org</a>
94-3042565	East Bay Community Law Center	2921 Adeline Street	Oakland	CA	94607	<a href="http://www.ebclc.org">www.ebclc.org</a>
23-7334590	East Oakland Youth Development Center	8200 International Boulevard	Oakland	CA	94621	<a href="http://www.eoydc.org">www.eoydc.org</a>
94-2201749	Estrella Family Services	611 Willis Avenue	San Jose	CA	95125	<a href="http://www.estrellafamilyservices.org">www.estrellafamilyservices.org</a>
94-1725018	Family Bridges, Inc.	168 11th Street	Oakland	CA	94607	<a href="http://www.fambridges.org">www.fambridges.org</a>
94-3258220	Family Engagement Institute	12345 El Monte Road	Los Altos Hills	CA	94022	<a href="http://www.foothill.edu/fei/">www.foothill.edu/fei/</a>
77-0106237	Family Supportive Housing, Inc.	692 N. King Road	San Jose	CA	95133	<a href="http://www.familysupportivehousing.org">www.familysupportivehousing.org</a>
94-3029991	FESCO	21455 Birch St. #5	Hayward	CA	94541	<a href="http://www.fescofamilyshelter.org">www.fescofamilyshelter.org</a>



94-3300090	Filipino-American Development Foundation	1010 Mission Street	San Francisco	CA	94103	www.bayanihancc.org
94-2218907	Filipinos Advocates for Justice	310 8th St Ste 306	Oakland	CA	94607	www.filipinos4justice.org
94-2418054	Food Bank of Contra Costa and Solano	4010 Nelson Ave.	Concord	CA	94520	www.foodbankccs.org
52-2234595	Fresh Lifelines For Youth, Inc.	568 Valley Way	Milpitas	CA	95035	www.flyprogram.org
94-1551410	Girl Scouts of Northern California	1650 Harbor Bay Pkwy, Ste. 100	Alameda	CA	94502	www.girlscoutsnorcal.org
94-1558073	Girls Incorporated of Alameda County	510 15th Street	Oakland	CA	94612	www.girlsinc-alameda.org/
94-3053687	Habitat for Humanity East Bay/Silicon Valley	2619 Broadway	Oakland	CA	94612	www.habitatetsv.org/
94-3088881	Habitat for Humanity Greater San Francisco	500 Washington Street, Suite 250	San Francisco	CA	94111	www.habitatgsf.org
94-1399287	Hope Services	30 Las Colinas Lane	San Jose	CA	95119	www.hopeservices.org
94-2803411	Hospice of the Valley	4850 Union Avenue	San Jose	CA	95124	www.hospicevalley.org
77-0541211	International Children Assistance Network	Sobrato Center for Nonprofits, 532 Valley Way	Milpitas	CA	95035	www.ican2.org
77-195500	Jewish Community Center of the East Bay	1414 Walnut Street	Berkeley	CA	94709	www.jccestbay.org
94-156528	Jewish Family and Children's Services of San Francisco,	2150 Post Street	San Francisco	CA	94115	www.jfcs.org
94-2536452	Jewish Family Services of Silicon Valley	14855 Oka Road, Suite 202	Los Gatos	CA	95032	www.jfssv.org
94-2213100	Jewish Vocational Service	225 Bush Street, Suite 400	San Francisco	CA	94104	www.jvs.org
94-2330864	La Casa de las Madres	1663 Mission Street, Suite 225	San Francisco	CA	94103	www.lacasa.org
94-1744108	La Clinica de La Raza	1515 Fruitvale Avenue	Oakland	CA	94601	www.laclinica.org
94-2917999	Larkin Street Youth Services	134 Golden Gate Avenue	San Francisco	CA	94109	www.larkinstreetyouth.org
77-0160469	LifeMoves	181 Constitution Drive	Menlo Park	CA	94025	www.ivsn.org
77-0370874	Loaves & Fishes Family Kitchen	1609 Regatta Lane, Suite D	San Jose	CA	95112	www.loavesfishes.org
68-0018077	Loaves and Fishes of Contra Costa	1985 Bonfacio St., Suite 100	Concord	CA	94520	www.loavesfishescc.org
94-2453452	Meals on Wheels of Solano County	95 Marina Center	Suisun City	CA	94585	www.mealsonwheelssolano.org
94-2707273	Mothers Against Drunk Driving	7027 Dublin Blvd, Suite 110	Dublin	CA	94568	www.madd.org/local-offices/ca/ba
94-2420708	Next Door Solutions to Domestic Violence	234 E. Gish Road #200	San Jose	CA	95112	www.nextdoor.org
94-2325686	Nihonmachi Little Friends	2031 Bush Street	San Francisco	CA	94115	www.nlfchildcare.org
20-3913900	Old Skool Cafe	1429 Mendell Street	San Francisco	CA	94124	www.OldSkoolCafe.org
94-2162549	On Lok Senior Health Services	1333 Bush Street	San Francisco	CA	94109	www.onlok.org
77-149095	On The Move	780 Lincoln Avenue	Napa	CA	94558	www.onthemovebayarea.org
3-19434	Opportunity Fund	111 W. Saint John Street, #800	San Jose	CA	95113	www.opportunityfund.org
68-0459131	Opportunity Junction	3102 Delta Fair Blvd	Antioch	CA	94509	www.OpportunityJunction.org
68-0169962	Parent Services Project	79 Belvedere Street, Suite 101	San Rafael	CA	94901	www.parentservices.org
94-2814246	Parents Helping Parents (PHP)	Sobrato Center for Nonprofits -1400 Parkmoo	San Jose	CA	95126	www.php.com
77-0090129	People Acting In Community Together Inc. (PACT)	1100 Shasta Avenue, Suite 210	San Jose	CA	95126	www.pactsj.org
94-3008383	Philippine International Aid	5226 Diamond Heights Blvd.	San Francisco	CA	94131	www.phil-aid.org
94-1167402	Rebekah Children's Services	290 IOOF Avenue	Gilroy	CA	95020	www.rckids.org
94-3337754	Richmond Community Foundation	1014 Florida Avenue, Suite 200	Richmond	CA	94804	www.richmondcf.org
23-7179787	Sacred Heart Community Service	1381 S 1st Street	San Jose	CA	95110	http://sacredheartcs.org/
13-3485289	Salvation Army Silicon Valley	359 N. 4th Street	San Jose	CA	95112	www.usw.salvationarmy.org
23-7416272	Samaritan House	4031 Pacific Blvd 3rd Floor	San Mateo	CA	94403	www.SamaritanHouse.com
94-1592822	San Francisco Education Fund	2730 Bryant Street, Second Floor	San Francisco	CA	94110	www.sfedfund.org
94-2168838	San Francisco Study Center	1663 MISSION ST STE 504	San Francisco	CA	94103	www.brothersontherise.org

94-1581618	San Francisco Suicide Prevention	PO Box 191350	San Francisco	CA	94119	www.SFsuicide.org
94-1164664	San Jose Day Nursery	33 N. 8th Street	San Jose	CA	95112	www.sjdn.org
94-2614101	Second Harvest Food Bank of Santa Clara and San Mateo	750 Curtner Avenue	San Jose	CA	95125	www.shfb.org
94-1750717	Self-Help for the Elderly	731 Sansome Street, Suite 100	San Francisco	CA	94111	www.selfhelpelderly.org
77-0487468	Services, Immigrant Rights and Education Network (SIR)	1425 Koll Circle, #109	San Jose	CA	95112	www.siren-bayarea.org
68-0117241	SHELTER, Inc. of Contra Costa County	1815 Arnold Drive	Martinez	CA	94553	www.shelterincofccc.org
77-0499813	Somos Mayfair	370 S. King Rd., Suite B	San Jose	CA	95116	www.somosfayfair.org
03-0391775	St. Joseph's Family Center	7950-A Church Street, Suite A	Gilroy	CA	95020	www.stjosephsgilroy.org
68-0172229	St. Mary's Center	925 Brockhurst Street	Oakland	CA	94608	www.stmaryscenter.org
94-2476576	STAND! For Families Free of Violence	1410 Danzig Plaza, Suite 200	Concord	CA	94520	www.standffov.org
77-0518937	Sunday Friends Foundation	730 Story Road, Suite 3	San Jose	CA	95122	www.sundayfriends.org
94-113897	Sunnyvale Community Services	725 Kifer Road	Sunnyvale	CA	94086	www.svcommunityservices.org
94-1415287	The Arc San Francisco	1500 Howard Street	San Francisco	CA	94103	www.thearcsf.org
68-0159458	Trips for Kids	610 4th Street	San Rafael	CA	94901	www.tripsforkids.org/marin
94-2462357	Tri-Valley Haven	3663 Pacific Ave	Livermore	CA	94550	www.trivalleyhaven.org
94-2211685	West Valley Community Services	10104 Vista Drive	Cupertino	CA	95014	www.wvcommunityservices.org
94-2387002	Wu Yee Children's Services	827 Broadway Street	San Francisco	CA	94133	www.wuyee.org
94-0997140	YMCA of San Francisco	50 California Street, Suite 650	San Francisco	CA	94111	www.ymcafsf.org
94-1156318	YMCA of Silicon Valley	80 Saratoga Avenue	Santa Clara	CA	95051	www.ymcasv.org
94-6132571	Youth Homes, Inc	3480 Buskirk Avenue Suite 210	Pleasant Hill	CA	94523	www.youthhomes.org
94-1312348	United Way Bay Area	550 Kearny Street, Ste. 1000	San Francisco	CA	94108	uwba.org



Department of the Treasury  
Internal Revenue Service  
P.O. Box 2508, Room 4010  
Cincinnati OH 45201

In reply refer to: 4077589886  
May 08, 2014 LTR 4168C 0  
94-1312348 000000 00

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BODC: TE

UNITED WAY OF THE BAY AREA  
550 KEARNY ST STE 1000  
SAN FRANCISCO CA 94108-2524



014695

Employer Identification Number: 94-1312348  
Person to Contact: M SCHATZ  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Apr. 14, 2014, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(03) of the Internal Revenue Code in a determination letter issued in October 1956.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

4077589886

May 08, 2014 LTR 4168C 0  
94-1312348 000000 00

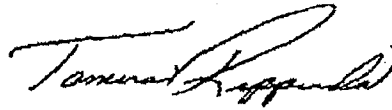
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UNITED WAY OF THE BAY AREA  
550 KEARNY ST STE 1000  
SAN FRANCISCO CA 94108-2524

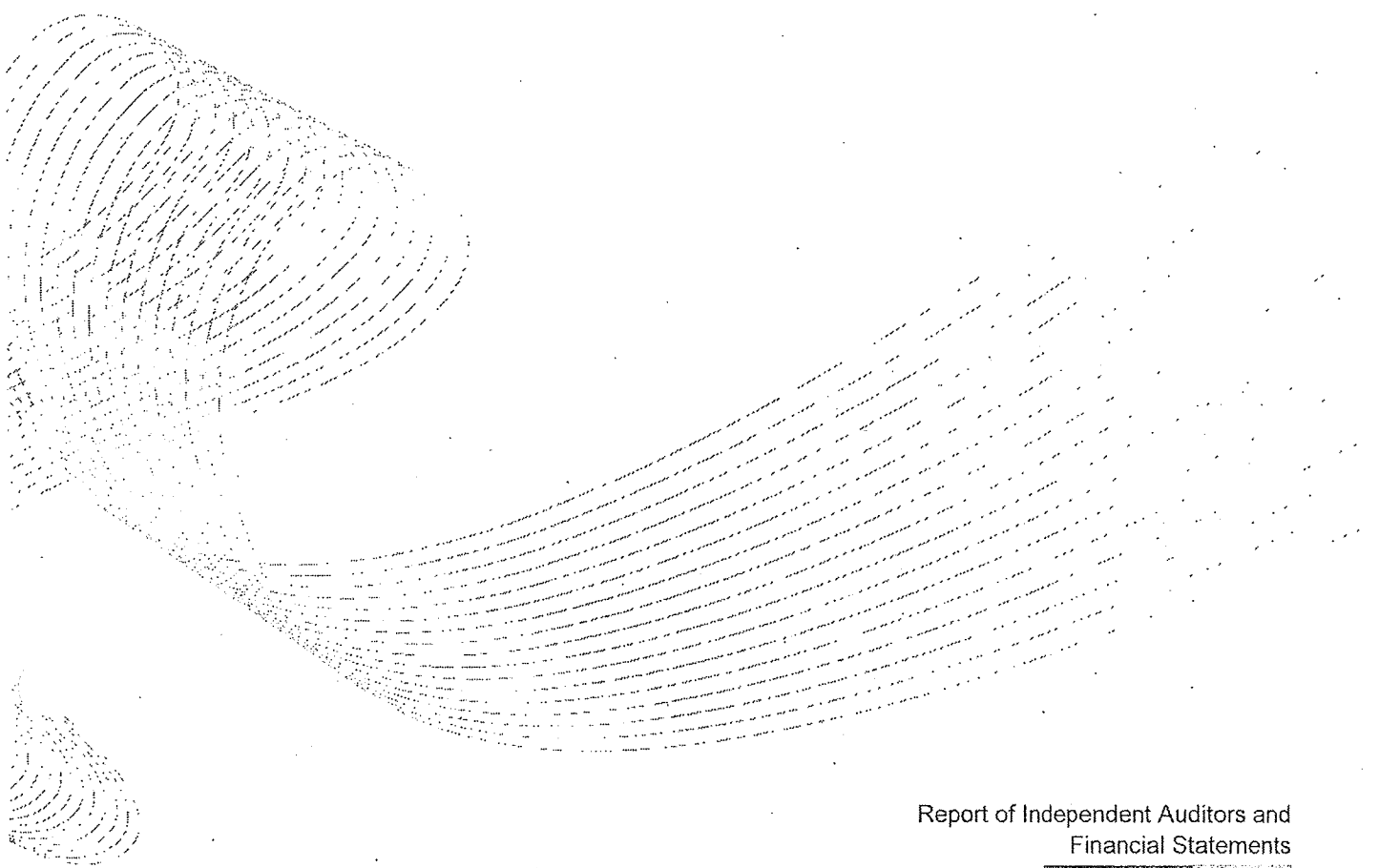
If you have any questions, please call us at the telephone number  
shown in the heading of this letter.

#

Sincerely yours,



Tamera Ripperda  
Director, Exempt Organizations



Report of Independent Auditors and  
Financial Statements



United Way of the Bay Area  
June 30, 2016 and 2015

**MOSS ADAMS** LLP

Member of Public Accounting Firm

## CONTENTS

	PAGE
REPORT OF INDEPENDENT AUDITORS.....	1
<b>FINANCIAL STATEMENTS</b>	
Statements of financial position.....	2
Statements of activities and changes in net assets.....	3
Statements of functional expenses.....	5
Statements of cash flows.....	7
Notes to financial statements.....	8

**MOSS ADAMS** LLP  
 Certified Public Accountants | Business Consultants

## REPORT OF INDEPENDENT AUDITORS

To the Board of Directors  
 United Way of the Bay Area

### Report on Financial Statements

We have audited the accompanying financial statements of United Way of the Bay Area ("UWBA"), which comprise the statements of financial position as of June 30, 2016 and 2015, and the related statements of activities and changes in net assets, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

### *Management's Responsibility for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### *Auditor's Responsibility*

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to UWBA's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of UWBA's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### *Opinion*

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of United Way of the Bay Area as of June 30, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

*Mass Adams LLP*

San Francisco, California  
 October 31, 2016

**FINANCIAL STATEMENTS**

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**UNITED WAY OF THE BAY AREA**  
**STATEMENTS OF FINANCIAL POSITION**  
**June 30, 2016 and 2015**

	<u>2016</u>	<u>2015</u>
<b>ASSETS</b>		
Cash and cash equivalents	\$ 848,161	\$ 1,333,333
Pledges receivable, net of discount and provision for uncollectible pledges of \$505,000 and \$555,000 at June 30, 2016 and 2015, respectively	6,677,780	5,854,722
Grants receivable, net of discount	2,631,512	3,076,239
Investments	10,775,373	11,167,572
Prepays and other receivables	291,227	250,148
Furniture, equipment, and leasehold improvements, net	<u>680,163</u>	<u>815,259</u>
Total assets	<u>\$ 21,904,216</u>	<u>\$ 22,497,273</u>
<b>LIABILITIES AND NET ASSETS</b>		
<b>LIABILITIES</b>		
Operating payables and accruals	\$ 1,113,442	\$ 1,326,483
Donor designations and allocations payable	2,693,376	2,748,193
Line of credit	2,750,000	2,753,932
Accrued vacation and related costs	377,148	335,685
Deferred rent	689,661	706,129
Liabilities to beneficiaries from split interest agreements	21,804	24,370
Accrued pension costs	<u>5,778,144</u>	<u>3,193,866</u>
Total liabilities	<u>13,423,575</u>	<u>11,088,658</u>
<b>NET ASSETS</b>		
Unrestricted		
Undesignated	8,730,329	9,802,489
Board designated	804,309	984,579
Pension liability in excess of intangible pension assets	<u>(8,667,514)</u>	<u>(6,097,625)</u>
Total unrestricted net assets	867,124	4,689,443
Temporarily restricted	3,719,742	2,826,797
Permanently restricted	<u>3,893,775</u>	<u>3,892,375</u>
Total net assets	<u>8,480,641</u>	<u>11,408,615</u>
Total liabilities and net assets	<u>\$ 21,904,216</u>	<u>\$ 22,497,273</u>

**UNITED WAY OF THE BAY AREA**  
**STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS**  
**For the Year Ended June 30, 2016**

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>PUBLIC SUPPORT AND REVENUE</b>				
Public support:				
Gross campaign results	\$ 26,398,442	\$ 1,570,059	\$ 1,400	\$ 27,969,901
Less donor designations	(20,064,721)	-	-	(20,064,721)
Campaign revenue	6,333,721	1,570,059	1,400	7,905,180
Less provision for uncollectible pledges	(505,000)	-	-	(505,000)
Net campaign revenue	5,828,721	1,570,059	1,400	7,400,180
Grants	962,851	4,039,452	-	5,002,303
Miscellaneous contributions	1,263,846	920,558	-	2,184,404
Planned giving	129,665	2,566	-	132,231
Net assets released from restrictions	5,545,314	(5,545,314)	-	-
Total public support	13,730,397	987,321	1,400	14,719,118
Service fees and earned income	484,418	61,350	-	545,768
Investment income, net	122,486	76,971	-	199,457
Net realized and unrealized loss on investments	(168,315)	(248,812)	-	(417,127)
Other income	24,255	16,115	-	40,370
Total public support and revenue	14,193,241	892,945	1,400	15,087,586
<b>ALLOCATIONS AND EXPENSES</b>				
Program services:				
Gross funds awarded/allocated to agencies	18,533,963	-	-	18,533,963
2-1-1 initiative	771,726	-	-	771,726
Economic success	4,614,973	-	-	4,614,973
Other community services	3,280,165	-	-	3,280,165
Jobs+	1,500,216	-	-	1,500,216
Donor designations	(20,064,721)	-	-	(20,064,721)
Total program services	8,636,322	-	-	8,636,322
Support services:				
Management and general	3,669,407	-	-	3,669,407
Fundraising	2,630,314	-	-	2,630,314
UWBA / UWSV merger	509,628	-	-	509,628
Total support services	6,809,349	-	-	6,809,349
Total allocations and expenses	15,445,671	-	-	15,445,671
CHANGE IN NET ASSETS BEFORE PENSION RELATED CHANGES	(1,252,430)	892,945	1,400	(358,085)
PENSION RELATED CHANGES OTHER THAN NET PERIODIC PENSION COST	(2,569,889)	-	-	(2,569,889)
CHANGE IN NET ASSETS	(3,822,319)	892,945	1,400	(2,927,974)
NET ASSETS, beginning of year	4,689,443	2,826,797	3,892,375	11,408,615
NET ASSETS, end of year	\$ 867,124	\$ 3,719,742	\$ 3,893,775	\$ 8,480,641

See accompanying notes.

**UNITED WAY OF THE BAY AREA**  
**STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS**  
**For the Year Ended June 30, 2015**

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>PUBLIC SUPPORT AND REVENUE</b>				
Public support:				
Gross campaign results	\$ 27,395,611	\$ 1,917,942	\$ 1,400	\$ 29,314,953
Less donor designations	(22,548,748)	-	-	(22,548,748)
Campaign revenue	4,846,863	1,917,942	1,400	6,766,205
Less provision for uncollectible pledges	(555,000)	-	-	(555,000)
Net campaign revenue	4,291,863	1,917,942	1,400	6,211,205
Grants	397,639	3,696,181	-	4,093,820
Miscellaneous contributions	1,785,554	134,837	-	1,920,391
Planned giving	54,122	2,604	-	56,726
Net assets released from restrictions	6,567,510	(6,567,510)	-	-
Total public support	13,096,688	(815,946)	1,400	12,282,142
Service fees and earned income	248,248	20,000	-	268,248
Investment income, net	129,070	81,553	-	210,623
Net realized and unrealized loss on investments	(160,256)	(120,990)	-	(281,246)
Other income	8,836	50,173	-	59,009
Total public support and revenue	13,322,586	(785,210)	1,400	12,538,776
<b>ALLOCATIONS AND EXPENSES</b>				
Program services:				
Gross funds awarded/allocated to agencies	18,843,061	-	-	18,843,061
2-1-1 initiative	946,533	-	-	946,533
Economic success	4,765,045	-	-	4,765,045
Other community services	3,902,892	-	-	3,902,892
Education	492,841	-	-	492,841
Jobs+	1,869,348	-	-	1,869,348
Donor designations	(22,548,748)	-	-	(22,548,748)
Total program services	8,270,972	-	-	8,270,972
Support services:				
Management and general	3,550,910	-	-	3,550,910
Fundraising	2,861,440	-	-	2,861,440
Total support services	6,412,350	-	-	6,412,350
Total allocations and expenses	14,683,322	-	-	14,683,322
CHANGE IN NET ASSETS BEFORE PENSION RELATED CHANGES	(1,360,736)	(785,210)	1,400	(2,144,546)
PENSION RELATED CHANGES OTHER THAN NET PERIODIC PENSION COST	(1,609,515)	-	-	(1,609,515)
CHANGE IN NET ASSETS	(2,970,251)	(785,210)	1,400	(3,754,061)
NET ASSETS, beginning of year	7,659,694	3,612,007	3,890,975	15,162,676
NET ASSETS, end of year	\$ 4,689,443	\$ 2,826,797	\$ 3,892,375	\$ 11,408,615

See accompanying notes.

**UNITED WAY OF THE BAY AREA**  
**STATEMENT OF FUNCTIONAL EXPENSES**  
**For the Year Ended June 30, 2016**

	Program Services						Support Services					Total
	Funds Awarded/ Allocated to Agencies	2-1-1 Initiative	Economic Success	Jobs*	Other Community Services	Donor Designations	Total Program Services	Management and General	Fundraising	UWBA / UWSV Merger	Total Support Services	
<b>ALLOCATIONS AND EXPENSES</b>												
Salaries	\$ -	\$ 76,948	\$ 758,886	\$ 371,226	\$ 1,455,194	\$ -	\$ 2,662,254	\$ 1,827,047	\$ 1,119,965	\$ -	\$ 2,947,012	\$ 5,609,266
Payroll taxes and employee benefits	-	27,234	274,866	137,133	519,305	-	958,538	593,607	343,005	-	936,612	1,895,150
Subtotal	-	104,182	1,033,752	508,359	1,974,499	-	3,620,792	2,420,654	1,462,970	-	3,883,624	7,504,416
Professional services	-	505,941	232,149	359,902	166,062	-	1,264,054	299,018	182,866	492,953	974,837	2,238,891
Supplies	-	983	21,723	13,090	14,115	-	49,911	20,999	17,716	4,529	43,244	93,155
Telephone	-	2,635	27,969	10,863	32,277	-	73,744	41,355	30,076	-	71,431	145,175
Postage, warehouse, and delivery	-	202	1,836	841	2,094	-	4,973	6,813	7,965	-	14,778	19,751
Occupancy	-	22,702	209,680	77,676	193,920	-	503,978	391,535	291,508	-	683,043	1,187,021
Development insurance - Superbowl	-	-	-	-	-	-	-	-	12,181	-	12,181	12,181
Furniture, equipment, and leasehold improvements	-	2,508	44,325	14,484	29,509	-	91,226	54,069	34,196	3,429	91,694	182,920
Media and printing	-	6,382	98,157	41,836	595,683	-	742,058	50,448	91,709	-	142,157	884,215
Travel	-	2,598	16,991	10,954	24,748	-	55,291	29,840	22,048	6,074	57,962	113,253
Conference, training, and meetings	-	12,698	68,453	94,561	59,690	-	235,402	125,790	340,075	2,445	468,310	703,712
Bank, interest, merchant, and other fees	-	1,080	9,807	4,964	12,361	-	28,212	72,308	18,341	-	90,649	118,861
Miscellaneous	-	23	2,136	938	1,130	-	4,227	3,074	1,315	198	4,507	8,814
United Way Worldwide dues	-	5,433	43,117	19,536	58,694	-	126,780	92,795	69,415	-	162,210	288,990
Uncollectible pledge expense	-	-	-	37,200	-	-	37,200	-	-	-	-	37,200
Depreciation and amortization expense, loss on sale/abandonment of furniture, equipment, and leasehold improvements, net	-	4,359	74,024	27,012	51,501	-	156,896	75,221	57,533	-	132,754	289,650
Cost recovery reimbursements	-	-	-	-	-	-	-	(14,512)	(8,600)	-	(24,112)	(24,112)
Total	-	671,726	1,884,119	1,222,216	3,216,883	-	6,994,744	3,669,407	2,630,314	509,628	6,809,349	13,804,093
Allocations/awards/designations	18,533,963	100,000	2,730,854	278,000	63,482	(70,064,721)	1,641,578	-	-	-	-	1,641,578
<b>TOTAL ALLOCATIONS AND EXPENSES</b>	<b>\$ 18,533,963</b>	<b>\$ 771,726</b>	<b>\$ 4,614,973</b>	<b>\$ 1,500,216</b>	<b>\$ 3,280,365</b>	<b>\$ (70,064,721)</b>	<b>\$ 8,636,322</b>	<b>\$ 3,669,407</b>	<b>\$ 2,630,314</b>	<b>\$ 509,628</b>	<b>\$ 6,809,349</b>	<b>\$ 15,445,671</b>

See accompanying notes.

**UNITED WAY OF THE BAY AREA**  
**STATEMENT OF FUNCTIONAL EXPENSES**  
**For the Year Ended June 30, 2015**

	Program Services							Support Services				Total
	Funds Awarded/Allocated to Agencies	2-1-1 Initiative	Economic Success	Education	Jobs+	Other Community Services	Donor Designations	Total Program Services	Management and General	Fundraising	Total Support Services	
<b>ALLOCATIONS AND EXPENSES</b>												
Salaries	\$ -	\$ 307,318	\$ 829,772	\$ 89,792	\$ 438,588	\$ 1,867,836	\$ -	\$ 3,528,306	\$ 1,064,823	\$ 1,435,879	\$ 1,319,902	\$ 6,848,208
Payroll taxes and employee benefits	-	67,604	300,035	25,515	119,778	576,064	-	1,088,948	561,510	751,208	912,718	2,011,666
Subtotal	-	374,922	1,129,807	115,307	558,316	2,438,902	-	4,617,254	2,446,333	1,786,287	4,232,620	8,849,874
Professional services	-	369,725	205,019	25,771	427,875	365,465	-	1,393,855	297,826	246,151	543,977	1,937,832
Supplies	-	2,269	21,375	1,353	4,545	18,240	-	47,882	23,221	18,411	41,632	89,514
Telephone	-	5,297	31,600	2,113	11,151	31,302	-	81,463	34,101	33,516	67,617	149,080
Postage, warehouse, and delivery	-	1,044	9,516	445	1,355	4,984	-	17,344	9,120	11,907	21,027	38,371
Occupancy	-	46,252	185,185	21,724	80,470	187,323	-	520,954	355,751	326,835	682,586	1,203,540
Furniture, equipment, and leasehold improvements	-	5,692	43,467	2,302	19,228	26,932	-	97,621	43,474	34,602	78,076	175,697
Media and printing	-	7,073	106,977	21,237	21,352	450,653	-	607,292	45,855	116,577	162,432	769,724
Travel	-	2,504	21,402	2,145	16,553	37,291	-	79,895	28,690	31,449	60,339	140,234
Conference, training, and meetings	-	9,886	43,875	3,887	31,677	73,916	-	163,241	55,209	106,273	161,482	324,723
Bank, interest, merchant, and other fees	-	1,041	5,078	507	3,057	5,489	-	15,172	60,563	11,118	71,681	86,853
Miscellaneous	-	105	2,637	111	371	1,824	-	5,048	6,226	1,555	7,781	12,829
United Way Worldwide dues	-	11,325	44,380	5,509	19,893	56,928	-	138,035	87,229	80,801	168,030	306,865
Uncollectible pledge expense	-	-	-	-	275,000	-	-	275,000	-	-	-	275,000
Depreciation and amortization expense, loss on sale/abandonment of furniture, equipment, and leasehold improvements, net	-	9,398	73,159	4,873	18,705	52,038	-	158,173	72,443	67,958	140,401	298,574
Cost recovery reimbursements	-	-	-	-	-	-	-	-	(15,131)	(12,200)	(27,331)	(27,331)
Total	-	846,533	1,923,477	207,284	1,489,548	3,751,387	-	8,218,229	3,550,910	2,861,440	6,412,350	14,630,579
Allocations/awards/designations	18,843,061	100,000	2,841,568	285,557	379,800	151,505	(22,548,748)	52,743	-	-	-	52,743
<b>TOTAL ALLOCATIONS AND EXPENSES</b>	<b>\$ 18,843,061</b>	<b>\$ 946,533</b>	<b>\$ 4,765,045</b>	<b>\$ 492,841</b>	<b>\$ 1,869,348</b>	<b>\$ 3,902,892</b>	<b>\$ (22,548,748)</b>	<b>\$ 8,270,972</b>	<b>\$ 3,550,910</b>	<b>\$ 2,861,440</b>	<b>\$ 6,412,350</b>	<b>\$ 14,683,322</b>

See accompanying notes.

**UNITED WAY OF THE BAY AREA**  
**STATEMENTS OF CASH FLOWS**  
**For the Years Ended June 30, 2016 and 2015**

	2016	2015
<b>CASH FLOWS USED IN OPERATING ACTIVITIES</b>		
Change in net assets	\$ (2,927,974)	\$ (3,754,061)
Adjustments to reconcile change in net assets to net cash (used in) operating activities		
Provision for uncollectible pledges	50,000	(61,000)
Change in discount on grants receivable	(7,446)	7,287
Change in discount on pledges receivable	(369)	810
Depreciation and amortization	289,650	298,574
Net realized and unrealized loss on investments	417,127	281,246
Pension related changes other than net periodic pension costs	2,569,889	1,609,515
Contributions restricted for investment in endowment	(1,400)	(1,400)
Changes in assets and liabilities		
Pledges receivable	(872,689)	1,146,379
Grants receivable	452,173	77,950
Prepays and other receivables	(41,079)	(20,585)
Donor designations and allocations payable	(54,817)	(656,058)
Operating payables and accruals	(213,041)	(248,798)
Accrued vacation and related costs	41,463	(136,558)
Deferred rent	(16,468)	(4,416)
Accrued pension costs	14,389	(593,293)
Liabilities to beneficiaries from split interest agreements	(2,566)	(2,604)
Net cash (used in) operating activities	<u>(303,158)</u>	<u>(2,057,012)</u>
<b>CASH FLOWS (USED IN) INVESTING ACTIVITIES</b>		
Purchases of investments	(1,941,445)	(1,284,820)
Proceeds from sale of investments	1,916,517	2,226,101
Purchases of furniture, equipment, and leasehold improvements	(154,554)	(148,363)
Net cash (used in) investing activities	<u>(179,482)</u>	<u>792,918</u>
<b>CASH FLOWS (USED IN) FINANCING ACTIVITIES</b>		
Borrowing on the line of credit	-	2,253,932
Repayment of the line of credit	(3,932)	(500,000)
Contributions restricted for investment in endowment	1,400	1,400
Net cash (used in) financing activities	<u>(2,532)</u>	<u>1,755,332</u>
<b>CHANGE IN CASH AND CASH EQUIVALENTS</b>	<b>(485,172)</b>	<b>491,238</b>
<b>CASH AND CASH EQUIVALENTS, beginning of year</b>	<b>1,333,333</b>	<b>842,095</b>
<b>CASH AND CASH EQUIVALENTS, end of year</b>	<b>\$ 848,161</b>	<b>\$ 1,333,333</b>
<b>SUPPLEMENTAL DISCLOSURES FOR CASH PAID FOR:</b>		
Interest	<u>\$ 57,468</u>	<u>\$ 28,129</u>

See accompanying notes.

**NOTE 1 – ORGANIZATION AND PURPOSE**

United Way of the Bay Area ("UWBA"), incorporated in California in 1955, is an independent, locally managed nonprofit organization. It has been granted tax-exempt status under Section 501(c)(3) of the Internal Revenue Code and Section 23701d of the California Revenue and Taxation Code. UWBA has also made the 501(h) election under the IRS code. Accordingly, no provision for income taxes is included in the financial statements.

As UWBA approaches its centennial, it is proud to be continuing a long tradition of leadership in the local nonprofit community by encouraging philanthropy and investing those dollars in programs to serve the health and human services needs of Bay Area residents. UWBA currently serves Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, and Solano Counties.

The Bay Area Community Fund ("BACF") is comprised of the unrestricted funds donated to UWBA to support the achievement of its Community Impact goals. UWBA is committed to creating community change by positioning itself as an inclusive community leader in the seven Bay Area counties served, while honoring donors' philanthropic interests.

In response to the needs of the community, UWBA focuses its goals and its use of resources. In doing so, it may, from time to time, discontinue the management of specific programs. Discontinuance may include closing, merging, or establishing the independence of programs. When such transitions take place, the purpose has been and will continue to be enhancing the ability of the UWBA to concentrate its efforts on helping to cut the number of families living in poverty. The organization pursues a selected, targeted set of programs that help people survive the struggles of poverty and move toward economic success. Each of these programs also has a role in helping to evolve the public and private systems around them to better serve people in poverty. UWBA's programs may take the form of providing direct service to clients, issuing grants to organizations to provide services, and/or engaging in collaborative partnerships. Grant proposals are evaluated on the basis of their alignment with UWBA's strategic plan as well as the agency's ability to demonstrate high standards in fiscal and programmatic operations, and overall organizational strength. Grants and the focus of grant-making activities are reported to and approved by UWBA's Board of Directors ("Board").

The following are specific programs and strategies managed by United Way of the Bay Area:

**211 Initiative**

211 is a free, easy-to-remember phone number and web resource that anyone can call for information and referral to resources. Last year, UWBA and its partner call centers in six Bay Area counties responded to approximately 164,814 calls. More than half of these requests came from those requesting help with poverty/basic needs issues such as food, jobs, and shelter.

In addition to daily information and referral, 211 is a critical resource for disasters. In recent years, 211 has been available for responses to an earthquake, a tsunami, and major fires. Looking ahead, UWBA plans to update 211's technology platform to make sure it is accessible to as many people in need as possible.

**Economic Success**

SparkPoint has served more than 23,357 individuals since launching SparkPoint Oakland in 2009, and 5,504 people in the last year alone. More than 80% of our clients are seeing 5% or better progress on their income, savings, credit, or debt; and more than 70% of our clients are seeing 30% or more progress. Highlights include:

SparkPoint Centers offer an array of services in one convenient location for a person or family trying to move out of poverty, including financial coaching on budgeting and savings, credit and debt management, career or education counseling, screening for public benefits, and others. SparkPoint aims to have clients move from poverty to economic success by (1) increasing income; (2) reducing debt; (3) increasing credit scores; and (4) increasing savings. The SparkPoint regional network includes more than 75 outstanding partner organizations across the Bay Area.

SparkPoint also recognizes that a good education, marketable skills, and a good job are needed to lift someone out of poverty. Through the expansion into Community Colleges, centers align their strongest programmatic assets to improve the school to career pathway for low-income students. They ensure that students know more about available career and education options, including post-secondary job training and certificate and degree programs. SparkPoint ensures that students start and remain on a path toward a good job through wrap around support services. UWBA's strong relationships with major employers across various sectors, provide students with access to work based learning opportunities and jobs.

## UNITED WAY OF THE BAY AREA NOTES TO FINANCIAL STATEMENTS

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FY16 Highlights include:

- 371 clients achieved self-sufficient income
- 861 clients achieved at least one element of financial prosperity: self-sufficient income, 3 months savings, 700 credit score, no revolving debt
- The regional network has placed 579 clients in jobs, and has an approximate average hourly wage of \$16.82

**Earn It! Keep It! Save It! ("EKS")** is a UWBA-led coalition of partners that prepares tax returns for free, ensuring low- to moderate-income families get the refunds and credits for which they are eligible. During the 2016 tax season, 3,028 volunteers prepared more than 714,526 returns and brought back over \$74.7 million in refunds. Additionally, \$23.2 million of those refunds were in Earned Income Tax Credit ("EITC"). EITC goes to the poorest, most vulnerable Bay Area households and has been identified by poverty-fighting experts as one of the most effective programs nationally, lifting millions of people above the federal poverty level every year. Families use their refunds primarily to pay bills, rent, and to buy food or clothes. Through its tax preparation efforts, EKS provides resources to meet basic needs, which is critical to ending the cycle of poverty in the Bay Area. EKS has 205 locations in seven counties, ten of which are located at SparkPoint Centers. In addition to preparing tax returns, EKS uses the program visit as a moment to offer low-income families the opportunity to increase their savings.

### Jobs+

United Way's Jobs+ program helps prepare low-income youth and young adults (age 16-24) to become the 21st century workforce for employers in San Francisco and across the Bay Area. Connecting business, government, schools, nonprofits and youth, Jobs+ helps young people get job training and work experience, plan career paths, and become motivated to finish high school and go on to college or postsecondary training. Jobs+ primary focus is Youth Jobs+, a partnership with San Francisco Mayor Ed Lee, community partners and employers. Since its inception as Summer Jobs+ in 2012, the initiative has served over 19,000 youth in San Francisco and last year continued to expand services in Oakland and San Mateo counties. Last year, over 7,900 youth served a part of the Mayor's Summer Job Challenge in San Francisco alone. Within the next several years, Jobs+ expects to expand into all eight counties served by United Way of the Bay Area.

### Other Programs

**Emergency Food and Shelter Program** - Like United Ways around the country, UWBA manages the local activities of the federal Emergency Food and Shelter Program ("EFSP"), which distributes federal funds to local programs that feed and provide shelter to people in need. In FY16 UWBA distributed more than \$2 million in seven counties to agencies that provided approximately 1.5 million meals and over 70,000 shelter nights.

**Labor Community Services** - The long standing Labor-United Way Partnership combines the power of approximately 12.5 million working families and their communities toward a shared vision where all have the opportunity to reach their highest potential. Locally, UWBA's Labor Community Services program continued its essential role of assisting people in poverty through direct provision of community services and policy advocacy. In FY16, our labor liaisons in three counties (San Mateo, San Francisco, and Contra Costa) helped 575 Bay Area families receive direct hardship assistance with housing, health, utilities, job access issues, and other emergencies. The liaisons also worked closely with United Way's other programs, helping to refer potential clients to EKS, 211 and SparkPoint. They focused on key issues such as strengthening the safety net for immigrant families and raising the minimum wage.

**Public Policy** - United Way Bay Area recognizes that in order to achieve our goal of ending the cycle of poverty in our community, we must advance public policies that support our mission. UWBA's Public Policy team influences policy and engages in advocacy at all levels of government. Our primary policy focus is targeted at the local level in our seven-county service area (Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, and Solano). In addition, we provide advocacy support to state and federal issues important to the United Way system. In FY16, our efforts supported the reauthorization of the federal Earned Income Tax Credit and California's adoption of its own State EITC - which supports the clients we serve through our Earn It! Keep It! Save It! free tax preparation program. Another key victory was achieved on children's health care, with the expansion of full-scope Medi-Cal services to all children under age 18, regardless of immigration status. After achieving these policy wins, we focused on the successful implementation of both new state programs. At the local level, we collaborated with labor and community partners to raise the minimum wage in the cities of El Cerrito and San Mateo.



**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

**Rise Together** - Finally, UWBA has formed a coalition called Rise Together, comprising nearly 300 leading institutions that have come together to cut poverty in half in the Bay Area by 2020. Launched by UWBA in 2012, Rise Together continues to stand out as a pivotal regional strategy through a collective impact approach. In the last four years, Rise Together has: given grants to local leaders and partnerships who are fighting poverty; selectively engaged on key policy issues; hosted major events to showcase the issues and solutions of poverty; and won an award from the National Association of Counties. UWBA staffs the coalition and helps the partners select and implement key initiatives. Partners include political and faith leaders, businesses, nonprofits, government, academia, media and others.

**NOTE 2 – SIGNIFICANT ACCOUNTING POLICIES**

**Basis of accounting** – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (“GAAP”) and with the financial statement standards of United Way Worldwide. United Way Worldwide standards are required for membership and comply with Financial Accounting Standards Board (“FASB”) Accounting Standard Codification for not-for-profit organizations.

**Use of estimates** – Management is required to make estimates and assumptions that affect the reported amounts of assets and liabilities and contingencies at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from management’s estimates. Significant estimates include the provision for uncollectible pledges, useful lives of furniture, equipment, and leasehold improvements, fair value of investments, allocation of functional expenses, and the unfunded pension liabilities. The fair value of investments and pension assets are subject to material volatility based on market conditions. This could have a significant effect on these financial statements.

**Classes of net assets** – The accompanying financial statements are presented on the basis of unrestricted, temporarily restricted, and permanently restricted net assets.

**Unrestricted net assets** – Unrestricted net assets include resources available to support UWBA operations and temporarily restricted resources which become available for use by UWBA in accordance with the intentions of the donors. Unrestricted net assets are designated by UWBA’s Board of Directors for specified purposes as follows:

	2016	2015
Future grants and programs	\$ -	\$ 295,000
General use	804,309	689,579
	\$ 804,309	\$ 984,579

**Temporarily restricted net assets** – Temporarily restricted net assets include resources with donor-imposed restrictions that will be fulfilled by actions of UWBA and/or become unrestricted by the passage of time. UWBA’s temporarily restricted net assets include multi-year pledges as well as grants restricted for specific UWBA community projects. When the donor or time restriction is fulfilled, temporarily restricted net assets are released to unrestricted net assets and are reported in the accompanying statements of activities and changes in net assets as net assets released from restrictions.

**Permanently restricted net assets** – Permanently restricted net assets are comprised of the historical dollar value of contributions that were received with donor restrictions requiring the assets be maintained in perpetuity and that only the income generated from these assets is made available for grants, programs, or support services in accordance with the donor restrictions.

**Cash and cash equivalents** – All highly liquid investments, with an original maturity of three months or less when purchased and not held through the investment account, are considered to be cash equivalents. Substantially all of the cash equivalent balances held in financial institutions at June 30, 2016 and 2015, exceeded federal depository insurance coverage. UWBA has not experienced any losses in such accounts.

**Pledges receivable** – Pledges receivable consist of unconditional promises to give by donors and are recorded at net realizable value. Pledges receivable are net of provisions for uncollectible pledges of \$505,000 and \$555,000 as of June 30, 2016 and 2015, respectively. Unconditional promises to give that are expected to be collected in future years are recorded at the fair value of their estimated future cash flows. The discounts on those amounts are computed using risk-free interest rates applicable in the years in which those promises are received. The discount rates range from 0.11% to 0.45%. Pledges receivable are net of discounts of \$3,734 and \$4,103 at June 30, 2016 and 2015, respectively.

**UNITED WAY OF THE BAY AREA**  
**NOTES TO FINANCIAL STATEMENTS**

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**Provisions for uncollectible pledges** – The provision for uncollectible pledges is computed based upon historical averages and management’s consideration of current economic factors that could affect pledge collections. Using these criteria, the provision as of June 30, 2016 and 2015, was determined to be 6% of gross campaign pledges, for both years. For years ended June 30, 2016 and 2015, there was a bad debt recovery of \$29,169 and \$35,810, respectively.

**Grants receivable** – Grants receivable consist of unconditional promises to give by granting organizations. Unconditional promises to give that are expected to be collected during the following fiscal year are recorded at the amount contributed. Unconditional promises to give that are expected to be collected in future years are recorded at the fair value of their estimated future cash flows. The discounts on those amounts are computed using risk-free interest rates applicable in the years in which those promises are received. The discount rates range from 0.12% to 0.28%. Grants receivable are net of discounts of \$599 and \$8,045 at June 30, 2016 and 2015, respectively.

**Investments** – UWBA’s investments are stated at fair value based on quoted market prices at the fiscal year end. Investments include money market funds and marketable securities held principally for investment purposes. Unrealized gains and losses that result from market fluctuations are recognized in the period such fluctuations occur in the accompanying statements of activities and changes in net assets. Realized gains and losses that result from sales or maturities of securities during the year are calculated on an adjusted cost basis and are reflected in the accompanying statements of activities and changes in net assets. Marketable securities received as donations are recorded at fair value at the date of the donation, and are generally sold as soon as practical after receipt.

**Fair value of assets and liabilities** – UWBA determines fair value as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value reporting standards establishes a fair value hierarchy which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The standard describes three levels of input that may be used to measure fair value:

- Level 1:** Quoted prices in active markets for identical assets or liabilities.
- Level 2:** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities.
- Level 3:** Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

The following is a description of the valuation methodologies used for instruments measured at fair value on a recurring basis and recognized in the accompanying statements of financial position, as well as the general classification of such instruments pursuant to the valuation hierarchy.

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include cash and cash equivalents, fixed income securities, and exchange traded equities. Level 2 securities include investments held in pooled income funds and are valued at market price as provided by the custodian of the funds.

**Furniture, equipment, and leasehold improvements** – Furniture, equipment, and leasehold improvements are stated at cost, if purchased, or if donated, at fair value at the date of the donation for items exceeding \$5,000. Depreciation is computed on a straight-line basis over the estimated useful lives of the assets, ranging from three to ten years. Leasehold improvements are amortized over the lesser of the useful life of the asset or the term of the lease. Total depreciation and amortization expense amounted to \$289,650 and \$298,574 for the years ended June 30, 2016 and 2015, respectively.

**Deferred rent** – Certain leases of UWBA contain lease incentives and fixed escalations. UWBA recognizes the related rent expense on a straight-line basis over the life of the lease and records the difference between the expense included in the accompanying statements of activities and changes in net assets and the amount recorded as deferred rent. For the years ended June 30, 2016 and 2015, deferred rent related to three UWBA locations: 550 Kearny St., San Francisco; 1970 Broadway, Suite 400, Oakland; and 8200 Bancroft, Oakland.

**Gross campaign results** – Gross campaign results consist of cash and unconditional promises to give to UWBA during the annual fundraising campaigns and include contributions processed by third-party processors. Donor designations and the provision for uncollectible pledges are deducted from gross campaign results to arrive at campaign revenue.

**UNITED WAY OF THE BAY AREA**  
**NOTES TO FINANCIAL STATEMENTS**

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**Donor designations and service fee revenue** – Donor designations, contributions that are designated by the donor to nonprofit organizations other than UWBA including contributions processed by third-party processors, are deducted from gross campaign results to arrive at net campaign revenue. External donor designations are paid to the designated organizations on a monthly basis, by electronic funds transfer (“EFT”) as information allows and on a quarterly basis by check for all others, as pledges are collected. Proportionate shares of the receipts are distributed out to the agencies net of service fees. Service fee revenue is reported in the accompanying statements of activities and changes in net assets. This designation processing is inclusive of pledges and payments processed as part of UWBA’s role as a federation for the Combined Federal Campaign as well as other state and local government-sponsored fundraising campaigns. Additionally, there are donor designations that are contributions designated by the donor to support UWBA’s internal programs.

**Planned gifts** – Planned gifts that are irrevocable are recognized as a receivable when amounts due to UWBA can be reasonably estimated. As of June 30, 2016 and 2015, UWBA has only one type of planned gift – pooled income funds. Assets associated with these gifts totaled \$164,909 and \$160,449 as of June 30, 2016 and 2015, respectively, at fair value and are included in investments in the accompanying statements of financial position. Liabilities associated with these gifts totaled \$21,804 and \$24,370 as of June 30, 2016 and 2015, respectively, at fair value and are included in the accompanying statements of financial position.

**Donated goods and services** – UWBA recognizes the value of donated equipment, supplies, and advertising services at the fair value for similar items. Donated goods and services for the years ended June 30, 2016 and 2015 of \$1,257,981 and \$711,451, respectively, were reflected in miscellaneous contributions in the accompanying statements of activities and changes in net assets. UWBA will recognize the fair value of donated services if the services meet the recognition criteria which include: a) requiring specialized skills; b) provided by someone with those skills; and c) would have to be purchased if they were not donated. Although UWBA receives a significant amount of contributed time from volunteers, this time does not meet the recognition criteria. Accordingly, the value of this contributed time is not reflected in the accompanying financial statements.

**Gross funds awarded/allocated to agencies** – The amount shown on the accompanying statements of activities and changes in net assets includes grants to UWBA initiatives and programs. These grants have been recommended by staff working with a sub-committee of the Board of Directors and have been approved by the Board. However, to comply with financial statement presentation requirements, these grants are shown net of an offset amount to prevent revenue duplication between unrestricted and temporarily restricted accounts.

**Functional allocation of expenses** – The majority of expenses can be directly identified with the program or supporting services to which they relate and are charged accordingly. Other expenses have been allocated among program and supporting services classification based on the average number of full-time employees, the time study allocation method, and on a direct cost basis. This is consistent with the standards for allocation of functional expenses in accordance with GAAP and United Way Worldwide.

**Concentrations of risk** – UWBA receives approximately 49% and 47% as of June 30, 2016 and 2015, respectively, of its gross campaign revenue from five employers. All five of these employers are nationally aligned through United Way Worldwide; therefore, the risk of loss of these employers by UWBA is remote. UWBA received 45% and 42% as of June 30, 2016 and 2015, respectively, of its gross grant revenue from two employers. UWBA has a history of collectability with these employers and therefore believes that the risk of loss of these employers as donors is remote.

**Income taxes** – UWBA is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code (“IRC”) and Section 23701d of the Revenue and Taxation Code except to the extent of unrelated business taxable income as defined under IRC sections 511 through 515. Since UWBA has no unrelated business taxable income, no provision for income taxes has been provided in these financial statements. UWBA has no unrecognized tax benefits or uncertain tax positions as of June 30, 2016.

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

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**NOTE 3 – PLEDGES AND GRANTS RECEIVABLE**

UWBA expected to receive pledges receivable at June 30, 2016 and June 30, 2015, respectively, as follows:

	<u>2016</u>	<u>2015</u>
Amount due:		
In the next year	\$ 6,386,514	\$ 5,713,825
Between two and five years	<u>800,000</u>	<u>700,000</u>
	7,186,514	6,413,825
Discount	(3,734)	(4,103)
Provision for uncollectible pledges	<u>(505,000)</u>	<u>(555,000)</u>
Pledges receivable, net	<u>\$ 6,677,780</u>	<u>\$ 5,854,722</u>

UWBA expected to receive grants receivable at June 30, 2016 and June 30, 2015, respectively, as follows:

	<u>2016</u>	<u>2015</u>
Amount due:		
In the next year	\$ 2,322,111	\$ 2,227,617
Between two and five years	<u>310,000</u>	<u>856,667</u>
	2,632,111	3,084,284
Discount	(599)	(8,045)
Grants receivable, net	<u>\$ 2,631,512</u>	<u>\$ 3,076,239</u>

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

**NOTE 4 – INVESTMENTS AND FAIR VALUE MEASUREMENT**

The following tables present the investments carried at fair value on the accompanying statements of financial position as of June 30, 2016 and 2015, by valuation hierarchy:

<u>Fair Value Measurement Inputs</u>	2016		
	Level 1	Level 2	Total
Equity			
Domestic	\$ 3,104,737	\$ -	\$ 3,104,737
International	1,857,102	-	1,857,102
Balanced fund	-	-	-
Small cap funds	831,585	-	831,585
Commodities/natural resources fund	110,001	-	110,001
Bonds			
Low duration and intermediate	4,197,863	-	4,197,863
International global	283,760	-	283,760
Cash and cash equivalents (held for investment)	225,416	-	225,416
Pooled income funds	-	164,909	164,909
Total	<u>\$ 10,610,464</u>	<u>\$ 164,909</u>	<u>\$ 10,775,373</u>

<u>Fair Value Measurement Inputs</u>	2015		
	Level 1	Level 2	Total
Equity			
Domestic	\$ 2,845,422	\$ -	\$ 2,845,422
International	2,217,829	-	2,217,829
Balanced fund	362,444	-	362,444
Small cap funds	805,088	-	805,088
Commodities/natural resources fund	287,092	-	287,092
Bonds			
Low duration and intermediate	3,561,662	-	3,561,662
International global	811,932	-	811,932
Cash and cash equivalents (held for investment)	115,654	-	115,654
Pooled income funds	-	160,449	160,449
Total	<u>\$ 11,007,123</u>	<u>\$ 160,449</u>	<u>\$ 11,167,572</u>

Investment income is as follows for the years ended June 30:

	2016	2015
Interest and dividend income	\$ 252,516	\$ 275,171
Less investment management fees	(53,059)	(64,548)
Total investment income, net	<u>\$ 199,457</u>	<u>\$ 210,623</u>

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

Net unrealized and realized losses are as follows for the years ended June 30:

	2016	2015
Unrealized loss	\$ (302,362)	\$ (524,499)
Realized (loss) gain	(114,765)	243,253
Net realized and unrealized loss on investments	<u>\$ (417,127)</u>	<u>\$ (281,246)</u>

UWBA's long term asset allocation policy was developed by staff and approved by the Board to manage market fluctuations over time. UWBA is aware there are challenges in the current financial markets and continues to monitor related volatility.

**NOTE 5 – FURNITURE, EQUIPMENT, AND LEASEHOLD IMPROVEMENTS**

Furniture, equipment, and leasehold improvements consisted of the following at June 30:

	2016	2015
Computer software and equipment	\$ 998,267	\$ 876,869
Office furniture	447,267	447,267
Equipment	214,774	225,206
Leasehold improvements	487,907	480,892
Vehicles	24,240	24,240
Total	2,172,455	2,054,474
Less accumulated depreciation and amortization	(1,492,292)	(1,239,215)
Total furniture, equipment, and leasehold improvements, net	<u>\$ 680,163</u>	<u>\$ 815,259</u>

**NOTE 6 – MISCELLANEOUS CONTRIBUTIONS**

Miscellaneous contributions are comprised of the following as of June 30:

	2016	2015
Unrestricted		
Donated goods and services	\$ 574,787	\$ 604,498
Non-campaign donations	231,227	753,390
Prior year campaign revenue	61,401	35,295
Revenue - other United Ways	71,691	70,391
Special events income	43,341	13,921
Sponsorship fees	281,399	308,059
	<u>1,263,846</u>	<u>1,785,554</u>
Temporarily restricted		
Donated goods and services	683,194	106,953
Non-campaign donations and miscellaneous income (expense)	31,419	(193,948)
Special events income	-	232
Sponsorship fees	205,945	221,600
	<u>920,558</u>	<u>134,837</u>
Total miscellaneous contributions	<u>\$ 2,184,404</u>	<u>\$ 1,920,391</u>

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

**NOTE 7 – COMMITMENTS**

UWBA leases office space under noncancelable operating leases expiring at various dates through March 31, 2023. Lease agreements generally provide for both renewal options and escalation clauses for increased operating expenses and real estate taxes. UWBA is also committed under noncancelable operating leases for various office equipment.

Future minimum commitments under noncancelable operating leases having lease terms in excess of one year as of June 30, 2016 are as follows:

<u>Years Ending June 30,</u>		
2017	\$	1,232,801
2018		1,177,985
2019		1,176,426
2020		1,174,129
2021		1,186,283
Thereafter		<u>1,970,640</u>
Total	\$	<u><u>7,918,264</u></u>

Rent expense for the years ended June 30, 2016 and 2015, was \$1,187,021 and \$1,203,540, respectively.

**NOTE 8 – PENSION PLAN**

The Pension Plan of United Way of the Bay Area (the "Plan") is a single employer defined benefit pension plan with UWBA as plan sponsor.

The Plan was amended to freeze participation and benefit accruals under the Plan effective December 31, 2006. Accordingly, no employees will become participants after the December 1, 2006 entry date, and participants' Plan benefits will not increase after December 31, 2006. In no event will the accrued benefit of any participant be less than that calculated as of December 31, 2006.

Accrued pension costs consist of the following at June 30:

	<u>2016</u>	<u>2015</u>
Defined benefit pension plan liabilities	\$ 5,661,414	\$ 3,054,286
Defined early retirement medical and long term care benefit plans	<u>116,730</u>	<u>139,580</u>
Total accrued pension costs	<u><u>\$ 5,778,144</u></u>	<u><u>\$ 3,193,866</u></u>

**UNITED WAY OF THE BAY AREA**  
**NOTES TO FINANCIAL STATEMENTS**

The following information sets forth the Plan's projected benefit obligation, fair value of plan assets, unfunded status, and accumulated benefit obligation as of June 30:

	2016	2015
Projected benefit obligation		
Beginning of year	\$ 17,226,707	\$ 16,440,202
Service cost	174,634	175,441
Interest costs	715,657	642,388
Actuarial loss	1,932,324	1,168,653
Benefits paid	(1,834,898)	(1,029,456)
Administrative expenses paid	(203,408)	(170,521)
End of year	<u>\$ 18,011,016</u>	<u>\$ 17,226,707</u>
Fair value of plan assets		
Beginning of year	\$ 14,233,582	\$ 14,429,722
Actual return on Plan assets	181,249	503,837
Employer contributions	50,000	500,000
Benefits paid	(1,834,898)	(1,029,456)
Administrative expenses paid	(203,408)	(170,521)
End of year	<u>\$ 12,426,525</u>	<u>\$ 14,233,582</u>
Funded status of the Plan at year-end (underfunded)	<u>\$ (5,584,491)</u>	<u>\$ (2,993,125)</u>

Amounts recognized for the defined benefit pension plan only in the accompanying statements of financial position are as follows as of June 30:

	2016	2015
Prepaid benefit cost	\$ 3,083,023	\$ 3,104,500
Additional accrued pension liability for pension plans with a benefit obligation in excess of plan assets	<u>(8,667,514)</u>	<u>(6,097,625)</u>
Defined benefit pension liabilities	<u>\$ (5,584,491)</u>	<u>\$ (2,993,125)</u>
Unrestricted net assets, pension liability in excess of intangible pension assets	<u>\$ 8,667,514</u>	<u>\$ 6,097,625</u>

Amounts reflected in the accompanying statements of activities and changes in net assets are as follows for the years ended June 30:

	2016	2015
Service cost	\$ 174,634	\$ 175,440
Interest cost	715,657	642,388
Expected return on assets	(1,104,665)	(1,145,057)
Amortization loss	<u>285,851</u>	<u>200,359</u>
Net periodic pension cost (benefit)	<u>\$ 71,477</u>	<u>\$ (126,870)</u>



**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

The projected unit credit cost method was utilized for measuring net periodic pension cost over the employee's estimated service life. The following table summarizes the assumptions used in computing the present value of projected benefit obligations and net periodic cost as of June 30:

	2016	2015
Assumptions used in computing benefit obligation		
Discount rate	3.30%	4.25%
Rate of compensation increase	N/A	N/A
Assumptions used in computing the net periodic pension costs		
Discount rate	4.25%	4.00%
Expected return on assets	8.00%	8.00%
Rate of compensation increase	N/A	N/A

The investment objective for the Plan is to maximize total return within reasonable and prudent levels of risk. The Plan's weighted-average asset allocations are as follows as of June 30:

	2016	2015
Asset category		
Common and collective trusts		
Equity	61.5%	60.7%
Debt	36.9%	37.6%
Cash and cash equivalents	1.6%	1.7%
Total	100.0%	100.0%

The fair values of the UWBA's defined benefit plan assets at June 30, 2016, by asset category are as follows:

<u>Fair Value Measurement Inputs</u>	Level 1	Level 2	Total
Cash and cash equivalents	\$ 201,000	\$ -	\$ 201,000
Large cap equities fund	-	4,074,061	4,074,061
Small cap equities fund	-	629,505	629,505
Mid cap fund	-	1,091,585	1,091,585
International equities fund	-	1,847,773	1,847,773
Fixed income securities	-	4,582,601	4,582,601
Total	\$ 201,000	\$ 12,225,525	\$ 12,426,525

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

The fair values of the UWBA's defined benefit plan assets at June 30, 2015, by asset category are as follows:

<u>Fair Value Measurement Inputs</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Total</u>
Cash and cash equivalents	\$ 246,576	\$ -	\$ 246,576
Large cap equities fund	-	4,648,834	4,648,834
Small cap equities fund	-	717,583	717,583
Mid cap fund	-	1,191,260	1,191,260
International equities fund	-	2,079,759	2,079,759
Fixed income securities	-	5,349,570	5,349,570
Total	<u>\$ 246,576</u>	<u>\$ 13,987,006</u>	<u>\$ 14,233,582</u>

The estimated minimum benefit payments which reflect expected future service, as appropriate, to be paid by UWBA are as follows:

<u>Year Ending June 30.</u>	
2017	\$ 734,744
2018	768,217
2019	822,902
2020	868,750
2021	892,313
2022-2027	4,864,726
	<u>\$ 8,951,652</u>

UWBA contributed \$50,000 and \$500,000 to the Plan during the years ended June 30, 2016 and 2015, respectively.

UWBA established the UWBA 401(k) Plan. Employees that are eligible can participate in the 401(k) Plan on the first day of the calendar quarter following their date of hire. UWBA matches 100% of participants' salary deferral contribution, up to a maximum of 2% of compensation. In addition, UWBA makes an employer "nonelective" contribution according to a formula that is based on a participant's age plus service. For employees hired before January 1, 2010, matching and employer nonelective contributions will be 100% vested after two years of service (or age 65, if earlier). Effective January 1, 2014, employees hired after January 1, 2010 will be 25% vested after one year of service, 50% after two years of service, 75% vested after three years of service and 100% vested after four years of service. UWBA contributed \$321,093 and \$386,426 to the plan for the years ended June 30, 2016 and 2015, respectively.

UWBA instituted a voluntary long term care insurance program in fiscal year 2013. As a part of that program, it made arrangements to pay 83% of the cost of the long term premiums for the Chief Executive Officer from March 2013 until her death. The estimated cost of future premiums as of June 30, 2016 and 2015 is \$59,846 and \$56,082, respectively.

UWBA had a defined benefit early retirement medical benefits plan that covered certain full-time employees who retired as of June 30, 1993. UWBA does not contribute to this plan except to reimburse certain medical and other costs submitted by the Plan's retirees as defined within the agreement. The estimated cost of future medical and other payments as of June 30, 2016 and 2015, is \$56,883 and \$83,498, respectively, and is included in accrued pension costs.

In the fiscal year ended 2014, UWBA established an eligible deferred compensation plan for a select group of highly compensated employees under Section 457(b) of the Internal Revenue Code. The plan allows pre-tax contributions of the maximum amount allowed by law per year through payroll deduction. At June 30, 2015, three employees had elected to participate in the plan. The investments in this plan remain as assets of the organization until the employees retire. The balance in the plan as of June 30, 2016 and 2015, is \$76,923 and \$61,161, respectively.

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

**NOTE 9 – TEMPORARILY RESTRICTED NET ASSETS**

Temporarily restricted net assets were available for the following purposes as of June 30, 2016 and June 30, 2015 respectively:

	2016	2015
UWBA community programs	\$ 2,564,918	\$ 1,096,287
Time restricted multi-year gifts	400,000	600,000
Endowment activity	754,824	1,130,510
Total	<u>\$ 3,719,742</u>	<u>\$ 2,826,797</u>

**NOTE 10 – ENDOWMENT DISCLOSURES**

**Interpretation of relevant law** – The Board of Directors of UWBA has interpreted the California Prudent Management of Institutional Funds Act (“CPMIFA”) as requiring the preservation of the fair value of the original gift as of the date of the donor restricted endowment funds, absent explicit donor stipulations to the contrary. As a result of this interpretation, UWBA classifies as permanently restricted net assets: (a) the original value of gifts donated to the permanent endowment; (b) the original value of subsequent gifts to the permanent endowment; and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the UWBA Board of Directors in a manner consistent with the standard prudence prescribed by CPMIFA. In accordance with CPMIFA, UWBA considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- a. The duration and preservation of the fund
- b. The purposes of UWBA and the donor-restricted endowment fund
- c. General economic conditions
- d. The possible effect of inflation and deflation
- e. The expected total return from income and the appreciation of investments
- f. Other resources of UWBA
- g. The investment policies of UWBA

**Spending policy and how the investment objectives relate to spending policy** – The endowment fund has a spending policy of appropriating all of the net income earned on the investment of these funds for distribution according to the instructions of the donor at the time the gift is made. The original value of the gifts donated to the permanent endowment is to be classified as permanently restricted and any earnings are classified as temporarily restricted until appropriated for expenditure.

**Funds with deficiencies** – From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the level that the donor or CPMIFA requires UWBA to retain as a fund of perpetual duration. As of June 30, 2016 and 2015, there were no deficiencies.

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

The composition and changes in the endowment net assets as of June 30, 2016 and 2015, are as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total Endowment Net Assets
Endowment net assets, June 30, 2014	\$ -	\$ 1,363,671	\$ 3,890,975	\$ 5,254,646
Contribution	-	-	1,400	1,400
Investment income	-	178,303	-	178,303
Net depreciation	-	(216,712)	-	(216,712)
Amounts appropriated for expenditure	-	(194,752)	-	(194,752)
Endowment net assets, June 30, 2015	-	1,130,510	3,892,375	5,022,885
Contribution	-	-	1,400	1,400
Investment income	-	72,640	-	72,640
Net appreciation/depreciation	-	(248,940)	-	(248,940)
Amounts appropriated for expenditure	-	(199,386)	-	(199,386)
Endowment net assets, June 30, 2016	\$ -	\$ 754,824	\$ 3,893,775	\$ 4,648,599

**NOTE 11 – RELATED PARTY TRANSACTIONS**

UWBA's volunteer members of the Board of Directors participate in fundraising events, activities and by making private contributions. UWBA may also have Board members that have other direct transactions with the organization. All related parties of UWBA are annually required to read and sign a conflict of interest policy which covers any relationship with Board members, volunteers, and staff.

**NOTE 12 – LINE OF CREDIT**

UWBA maintains a line of credit with a reputable and recognized financial institution. The terms of this agreement call for the pledging of securities and other investments maintained in the financial institution for any and all obligations taken by UWBA under this agreement. The agreement provides for a credit limit of up to \$4,900,000 based on the fair value of the pledged collateral less outstanding loan balances and letters of credit with interest charged at a rate determined by the lender on a periodic basis. As of June 30, 2016, there was \$2,750,000 outstanding debt for the line of credit and interest expense of \$57,468. The existing letter of credit issued to the landlord for \$230,000 is still in place under the same credit limit.

**NOTE 13 – SUBSEQUENT EVENTS**

Subsequent events are events or transactions that occur after the statement of financial position date but before financial statements are issued. UWBA recognizes in the financial statements the effects of all subsequent events that provide additional evidence about conditions that existed at the date of the statements of financial position, including the estimates inherent in the process of preparing the financial statements. UWBA's financial statements do not recognize subsequent events that provide evidence about conditions that did not exist at the date of the statements of financial position but arose after the statement of financial position date and before the financial statements are available to be issued.

UWBA has evaluated subsequent events through October 31, 2016, which is the date the financial statements were available to be issued.

On May 5, 2016, UWBA entered into an Agreement and Plan of Merger (the "Agreement") to combine its operations with United Way of the Silicon Valley ("UWSV") wherein UWSV would become part of UWBA with an effective date of July 1, 2016 (the "Effective Date"). UWSV ceased to exist as of July 1, 2016. The Agreement was filed with the State of California Department of Justice on May 24, 2016. The transaction is determined to be an acquisition in accordance with FASB ASC 805, Business Combination.

**UNITED WAY OF THE BAY AREA  
NOTES TO FINANCIAL STATEMENTS**

In accordance with GAAP, on July 1, 2016, subsequent to year end, UWBA recorded in its financial statements the transfer of identifiable assets acquired and liabilities assumed from UWSV at their fair values as of the Effective Date. No consideration was transferred from UWBA to UWSV in connection with this transaction, and the amount of net assets acquired by UWBA will be accounted for as an inherent contribution by UWBA.

To the extent that the UWSV's assets were subject to donor restrictions, those restrictions carried over and are being honored by UWBA. Accordingly, the net assets transferred are included in either unrestricted or temporarily restricted net assets in UWBA's financial statements beginning July 1, 2016.

The following table summarizes the recorded amounts of the assets acquired and liabilities assumed at the Effective Date:

Assets acquired:	
Cash and cash equivalents	\$ 807,388
Pledges receivable, net	2,183,715
Grants and other receivables	745,896
Beneficial interest in assets	2,167,918
Certificate of deposit	17,000
Prepaid expenses	65,172
Property and equipment, net	<u>79,556</u>
Total assets to be contributed	<u>\$ 6,066,645</u>
Liabilities assumed:	
Accounts payable and accruals	\$ 171,932
Pledges due to agencies and other United Ways	<u>1,329,397</u>
Total liabilities to be transferred	<u>1,501,329</u>
Inherent Contribution to UWBA	<u>\$ 4,565,316</u>

Based on the nature and character of these accounts, the recorded amounts of the assets acquired and liabilities assumed approximate fair value at the Effective Date. The acquisition costs incurred by UWBA were \$509,628 through June 30, 2016. The costs were expensed as incurred.



# OFFICE OF THE CITY ADMINISTRATOR



Edwin M. Lee, Mayor  
Naomi M. Kelly, City Administrator

March 20, 2017

Angela Calvillo, Clerk of the Board  
Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Subject: 2017 Combined Charities Annual Fundraising Drive, File No.170224.

Dear Ms. Calvillo:

Pursuant to Section 16.93-3 of the Administrative Code, my office has reviewed the applications to participate in the Annual Combined Charities Fundraising Drive. This review is in accordance with the criteria delineated in Administrative Code Section 16.93-2.

Our review indicates that all seven agencies that applied to participate have met the criteria determined by the Board of Supervisors. The agencies are: Asian Pacific Fund, Bay Area Black United Fund, Inc., Community Health Charities, Earth Share of California (Environmental Federation of California), Global Impact, America's Best Local Charities and United Way of the Bay Area.

Our review addresses the criteria delineated in the Administrative Code. We have recommended that representatives of the applicant agencies attend the Budget and Finance Committee meeting to respond to any questions the committee may have. Applicants will be notified of the date and time of the meeting.

If you should have any questions or desire additional information, please contact Joan Lubamersky (415) 554-4859 of my office.

Very truly yours,

Naomi M. Kelly  
City Administrator

Enclosures

cc: Applicant Federations

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**SUMMARY OF FINDINGS**  
**2017 Review of Applications**  
**To Participate in Annual Combined Charities Fundraising Drive**

**SUMMARY OF METHODOLOGY AND FINDINGS**

Our review consisted of an examination of the materials provided in File No. 170224 and telephone conversations with representatives from applicant organizations.

All seven organizations that applied for participation in the 2017 Joint Fundraising Drive are in compliance with the criteria established by the Board of Supervisors as delineated in the Administrative Code Section 16.93-2.

**CRITERIA**

Following is a list of the criteria established by Ordinance and information as to how the applicants met each requirement. All agencies satisfy subsections (A) through (E).

Criterion A: Be a federated agency representing ten (10) or more charitable organizations of which 50 percent shall represent organizations located in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa and Marin.

According to the City Attorney, "located in the counties" may be defined as having offices, fundraising or otherwise doing business in those counties:

1. Asian Pacific Fund

Asian Pacific Fund represents 25 organizations, all of which are located in the Bay Area counties.

2. Bay Area Black United Fund, Inc.

Bay Area Black United Fund, Inc. represents 54 agencies, all of which are located in the Bay Area counties.

3. Community Health Charities

Community Health Charities represents 39 charitable agencies with 50 percent or more of the agencies located in the Bay Area counties.

4. EarthShare of California (Environmental Federation of California)

Earth Share of California represents more than 66 agencies, with 50 percent or more of the agencies located in the Bay Area counties.

5. Global Impact

Global Impact represents 65 agencies of which 50 percent or more are located in the Bay Area counties.

6. American's Best Local Charities (formerly Local Independent Charities - LIC)

America's Best Local Charities represents 374 agencies of which 50 percent or more are located in the Bay Area counties.

7. United Way of the Bay Area

United Way of the Bay Area represents 74 agencies, all of which are located in the Bay Area counties.

Criterion B: The federated agency must certify to the Board of Supervisors that the Federal Internal Revenue Service has determined that contributions to all of the represented charitable organizations are tax deductible.

Each of the applicant organizations included information from the Internal Revenue Service indicating proof of their tax-deductible status.

Criterion C: The federated agency must have been in existence with 10 or more qualified charities for at least one year prior to the date of application and provide satisfactory evidence to that effect at the time of filing an application with the Board.

This criterion was met by all agencies.

Criterion D: The federated agency must submit its most recent certified audit at the time of filing an application with the Board.

The applicant agencies provided these documents, as detailed below:

1. Asian Pacific Fund submitted Financial Statements and Supplementary Information dated October 7, 2016 performed by Burr Pilger Mayer, Inc.
2. Bay Area Black United Fund, Inc. submitted a Financial Statement and Independent Auditors' Report performed by Christopher Chime Ogbato dated December 31, 2015.
3. Community Health Charities of California submitted Financial Statements and Supplemental Information for years ended June 30, 2015 and 2014, and a Report of Independent Auditors by Cherry Bekaert dated October 20, 2016.
4. EarthShare of California (Environmental Federation of California, Inc.) submitted Financial Statements for the years ended June 30, 2015 and 2014 with an Independent Auditors' Report by Bregante & Company, LLP, dated December 12, 2016.



5. Global Impact submitted Financial Statements and Supplemental Material for the years ended June 30, 2016 and 2015, with an Independent Auditors' Report by IBDO USA, LLP dated October 27, 2016.
6. American's Best Local Charities (formerly Local Independent Charities) submitted Financial Statements for the years ending April 30, 2016, with an Independent Auditor's Report by Maze & Associates Accountancy Corporation, dated August 29, 2016.
7. United Way of the Bay Area submitted a Report of Independent Auditors and Financial Statements dated October 31, 2016 performed by Moss Adams LLP.

Criterion E: Agencies that wish to participate in the Annual Drive are required to submit applications to the Board of Supervisors that include all information that may be relevant to the criteria listed in the Section.

All applicants provided documentation in their letters of application to the Board of Supervisors or confirmed by telephone that they are in compliance with the requirements of Section 16.93-2. This constitutes "certification."

Therefore, all applicants were in compliance with Criterion E.

Attachment: Federation contacts for 2017 campaign

**Contacts for Federations CCSF 2017 Campaign (File) 170224**

**Organization and address**

**Contact person, phone, email**

<p>Asian Pacific Fund 465 California Street, Suite 809 San Francisco, CA 94104</p>	<p>Audrey Yamamoto President and Executive Director (415) 395-9985 audrey@asianpacificfund.org</p>
<p>Bay Area Black United Fund, Inc. (BABUF) 1212 Broadway, Suite 810 Oakland, CA 94612</p>	<p>Linda Dails Office Manager (510) 763-7270 ldails@babuf.org</p>
<p>Community Health Charities 2363 Boulevard Circle, Suite 105. Walnut Creek, CA 94595</p>	<p>Shannon George LVN Client Relations Manager (408) 809-3203 sgeorge@healthcharities.org</p>
<p>EarthShare of California 49 Powell Street, Suite 510 San Francisco, CA 94102</p>	<p>Dave Coyle Associate Director (415) 981-1999 x 305 dave@earthshareca.org</p>
<p>Global Impact 66 Canal Center Plaza, Suite 310 Alexandria, VA 23314</p>	<p>Tom DeCoursey Director of National Campaign Engagement (703) 717-5217 tom.decoursey@charity.org</p>
<p>Local Independent Charities 1100 Larkspur Landing Circle, Suite 340 Larkspur, CA 94939</p>	<p>Michelle Clancy Campaign &amp; Membership Services (415) 925-2600 mclancy@mcguireinc.com</p>
<p>United Way of the Bay Area 221 Main Street, Suite 300 San Francisco, CA 94105</p>	<p>Stanislava Peycheva Workplace Engagement Officer (415) 808.4358 speycheva@uwba.org</p>