

**FORM SFEC-126:**  
**NOTIFICATION OF CONTRACT APPROVAL**  
(S.F. Campaign and Governmental Conduct Code § 1.126)

<b>City Elective Officer Information</b> <i>(Please print clearly.)</i>	
Name of City elective officer(s): Members, Board of Supervisors	City elective office(s) held: Members, Board of Supervisors
<b>Contractor Information</b> <i>(Please print clearly.)</i>	
Name of contractor: Morgan Stanley and Co, LLC	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
(1) See Attachment I (2) James P. Gorman, CEO Ruth Porat, CFO Jim Rosenthal, COO (3) None (4) None (5) Morgan Stanley Political Action Committee	
Contractor address: Morgan Stanley 555 California Street, Floor 21 San Francisco, CA 94104	
Date that contract was approved: <i>(By the SF Board of Supervisors)</i>	Amount of contracts: Estimated at \$50,000; actual amount to be determined
Describe the nature of the contract that was approved: Underwriter, Series 2013 Revenue Bonds	
Comments: N/A	

This contract was approved by (check applicable):

the City elective officer(s) identified on this form

a board on which the City elective officer(s) serves: San Francisco Board of Supervisors

Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

<b>Filer Information</b> <i>(Please print clearly.)</i>	
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: ( 415 ) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: Board.of.Supervisors@sfgov.org

\_\_\_\_\_  
Signature of City Elective Officer (if submitted by City elective officer)

\_\_\_\_\_  
Date Signed

\_\_\_\_\_  
Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

\_\_\_\_\_  
Date Signed

## ATTACHMENT I

### *Morgan Stanley Board of Directors:*

James P. Gorman, Chairman of the Board and Chief Executive Officer  
Erskine B. Bowles, Director  
Sir Howard J. Davies, Director  
Thomas H. Glocer, Director  
Robert H. Herz, Director  
C. Robert Kidder, Director  
Klaus Kleinfeld, Director  
Donald T Nicolaisen, Director  
Hutham S. Olayan, Director  
James W. Owens, Director  
O Griffith Sexton, Director  
Ryosuke Tamakoshi, Director  
Masaaki Tanaka, Director  
Dr. Laura D. Tyson, Director  
Rayford Wilkins, Jr., Director