

File No. 150024

Committee Item No. 4

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Rules Committee

Date March 12, 2015

Board of Supervisors Meeting

Date _____

Cmte Board

- | | | |
|-------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Memorandum of Understanding (MOU) |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 - Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Application |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Form 700 |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Vacancy Notice |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Information Sheet |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER

(Use back side if additional space is needed)

<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
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<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____

Completed by: Alisa Somera Date March 6, 2015

Completed by: _____ Date _____



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Citizens' general obligation bond oversight committee

Seat # or Category (If applicable): 1, 3 District:

Name: Zachary Townsend

Home Address: Dorland Street, SF, CA Zip: 94114

Home Phone: 908- Occupation: Financial technology executive

Work Phone: Employer: Standard Treasury

Business Address: 555 Mission Street, Suite 250, SF, CA Zip: 94105

Business E-Mail: zac@standardtreasury.com Home E-Mail: ztownsend@

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes [checked] No [] If No, where registered:

Resident of San Francisco [checked] Yes [] No [] If No, place of residence:

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

I am a young, civically engaged resident in rent-controlled apartment in the Casto community. I'm a 28 year old small business owner who currently employs six San Franciscans.
I grew up working class in a single-parent home where I was yaised by my father, a USPS mail carrier and government union member.

Business and/or professional experience:

1. Standard Treasury (2013-Present) Co-founder and President/CFO
- Built and delivered complex API platform software for commercial banking on time and on schedule in some of the most demanding and secure technical environments in the world.
- Developed expertise in building tools for interacting with financial institutions; financial markets; financial market utilities; and payment, clearing, and settlement systems. Worked in depth on credit card, ACH, and FedWire payments, prime brokerage, commercial and corporate banking, KYC/CIP automation, AML detection, and more.
2. Office of Newark, New Jersey Mayor Cory A. Booker (2012) Senior Technology Policy Advisor / Chief Technology Officer of the City
- Lead citywide initiatives to implement more efficient and effective city government using innovative IT tools. Recognized national expert on "innovation" in social and civic sectors.
- Executed citywide strategic planning process to analyze and cut costs through technology implementations by analyzing every city technology system and the business process around them.
(Resume attached)

Civic Activities:

Board Member, Bayes Impact
Mentor, Code for America
Partner, Truman National Security Project
Member, Castro/Eureka Valley Neighborhood Association
Secretary of the Board, San Francisco Symphonix
Member, San Francisco Young Democrats
Fellow, New Leaders Council

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (*Applications must be received 10 days before the scheduled hearing.*)

Date: 10/23/11 Applicant's Signature: (required) Zachary Townsend

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:
Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Received
Official Use Only

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Townsend Zachary Andrew

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

CITIZENS' GENERAL OBLIGATION BOND OVERSIGHT COMMITTEE

Division, Board, Department, District, if applicable

Your Position

Applicant to be a member

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County _____ County of San Francisco
 City of San Francisco Other _____

3. Type of Statement (Check at least one box)

- Annual:** The period covered is January 1, 2013, through December 31, 2013.
-or-
The period covered is ____/____/____, through December 31, 2013.
 Assuming Office: Date assumed ____/____/____
 Candidate: Election year _____ and office sought, if different than Part 1: _____
 Leaving Office: Date Left ____/____/____ (Check one)
 The period covered is January 1, 2013, through the date of leaving office.
 The period covered is ____/____/____, through the date of leaving office.

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: _____

- Schedule A-1 - Investments - schedule attached
 Schedule A-2 - Investments - schedule attached
 Schedule B - Real Property - schedule attached
 Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule D - Income - Gifts - schedule attached
 Schedule E - Income - Gifts - Travel Payments - schedule attached
-or-
 None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
555 Mission Street, Suite 250 San Francisco CA 94105
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL)
(908) _____ ztownsend@ _____

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 10/23/2014
(month, day, year)

Signature _____
(File the originally signed statement with your filing official.)

SCHEDULE A-2

Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Zachary Andrew Townsend

▶ 1. BUSINESS ENTITY OR TRUST

Financial Tech, Inc (d/b/a Standard Treasury)
Name
555 Mission Street, Suite 250, San Francisco, CA 94105
Address (Business Address Acceptable)

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
Financial technology software company

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999
 \$2,000 - \$10,000 / / 13 / / 13
 \$10,001 - \$100,000 ACQUIRED DISPOSED
 \$100,001 - \$1,000,000
 Over \$1,000,000

NATURE OF INVESTMENT
 Partnership Sole Proprietorship Corporation Other

YOUR BUSINESS POSITION Director, President

▶ 1. BUSINESS ENTITY OR TRUST

Deciens Capital, LLC
Name
267 Dorland Street, San Francisco, CA 94105
Address (Business Address Acceptable)

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
Early stage small-cap venture capital investment fund

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999
 \$2,000 - \$10,000 / / 13 / / 13
 \$10,001 - \$100,000 ACQUIRED DISPOSED
 \$100,001 - \$1,000,000
 Over \$1,000,000

NATURE OF INVESTMENT
 Partnership Sole Proprietorship LLC Other

YOUR BUSINESS POSITION Co-managing member

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

None

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

None

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000 / / 13 / / 13
 \$100,001 - \$1,000,000 ACQUIRED DISPOSED
 Over \$1,000,000

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000 / / 13 / / 13
 \$100,001 - \$1,000,000 ACQUIRED DISPOSED
 Over \$1,000,000

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 <small>FAIR POLITICAL PRACTICES COMMISSION</small> Name <u>Zachary Andrew Townsend</u>
--

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Financial Tech, Inc (d/b/a Standard Treasury)

ADDRESS (Business Address Acceptable)
555 Mission Street, Suite 250, San Francisco, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Financial technology software company

YOUR BUSINESS POSITION
Director, President

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Real property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

 Other _____
(Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Stripe, Inc

ADDRESS (Business Address Acceptable)
3180 18th St, San Francisco, CA 94110

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Credit card merchant processing

YOUR BUSINESS POSITION
Risk Analyst

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Real property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

 Other _____
(Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____ TERM (Months/Years) _____

_____ % None

SECURITY FOR LOAN
 None Personal residence
 Real Property _____
Street address

City
 Guarantor _____
 Other _____
(Describe)

Comments: _____

SCHEDULE D Income – Gifts

Name
Zachary Andrew Townsend

▶ NAME OF SOURCE *(Not an Acronym)*
Silicon Valley Bank

ADDRESS *(Business Address Acceptable)*
3005 Tasman Dr, Santa Clara, CA 95054

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Banking relationship with Financial Tech, Inc

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
09 / 27 / 13	\$ 125.00	SF Giants Tickets
09 / 19 / 13	\$ 75.00	Dinner at Slanted Door
07 / 05 / 13	\$ 60.00	Dinner

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

Comments: _____

ZACHARY TOWNSEND

(646) [REDACTED] - townsend@alumni.brown.edu

www.zactownsend.com

SUMMARY

- Expert executive, project, and implementation manager of information technology, civic and social innovation, customer/citizen engagement, performance management, data analysis, and predictive analytics.
- Extensive experience collaborating with senior staff in large government agencies, not-for-profits, and philanthropic foundations.
- Demonstrated the ability to solve operational problems, assist with the development and implementation of new programs, develop and implement information systems, and advance management strategy and process change.

PROFESSIONAL EXPERIENCE

Standard Treasury

2013-Present

Co-founder and President

- Built and delivered complex API platform software for commercial banking on time and on schedule in some of the most demanding and secure technical environments in the world.
- Developed expertise in building tools for interacting with financial institutions; financial markets; financial market utilities; and payment, clearing, and settlement systems. Worked in depth on credit card, ACH, and FedWire payments, prime brokerage, commercial and corporate banking, KYC/CIP automation, AML detection, and more.
- Signed large enterprise software contracts with two top-five banks and multiple top-50 banks.
- Accepted and graduated from Y Combinator, world's best startup accelerator, featured in VentureBeat, TechCrunch, Programmable Web, Business Insider.
- Accepted to and participating in two selective financial startup accelerators: FinTech Innovation Lab run by the Partnership for New York City, Accenture, and over 18 banks; and Commerce.Innovated, a payments accelerator run by Silicon Valley Bank and MasterCard.
- Recruiting and managed engineering team from Facebook, Stripe, Microsoft, Sidecar, Disqus, etc.
- Raised multi-million dollar funding found from large venture funds as well as technical and strategic angel investors.

Office of Newark, New Jersey Mayor Cory A. Booker

2012

Senior Technology Policy Advisor / Chief Technology Officer of the City

- Lead citywide initiatives to implement more efficient and effective city government using innovative IT tools. Recognized national expert on "innovation" in social and civic sectors.
- Executed citywide strategic planning process to analyze and cut costs through technology implementations by analyzing every city technology system and the business process around them.
- Managed external partnerships with Code for America, Living Cities, Google.org, Twitter, Facebook, Harvard Kennedy School, MIT, Girls Who Code, and national foundations.
- Advised Mayor and City's senior staff on the creative uses of technology to engage citizens.
- Reviewed all city contracts and managed budget around networks, computing, and technology.
- Developed analytic program for Mayor's office use of data to build predictive models and take preemptive measures, allowing city to use public resources more efficiently and delivering public services more effectively.

Center for the Promotion of Research Involving Innovative Statistical Methodology (PRIISM), NYU

2011-2013

Research Affiliate

- Conduct statistical research around causal inference, multi-level models, sensitivity analysis, and other statistical topics. Published paper on "The Choice Between Fixed and Random Effects".

Bennett Midland LLC (Civic Sector Management Consultancy)

2011

Senior Associate

- Worked with public sector clients on business process analysis and re-engineering engagements involving quantitative analyses, data organization, information technology and research design.
- Regularly presented analysis and operational results to clients—including high-level managers.
- Served as day-to-day project manager of project team on workload analysis for NYC Administration for Children's Services's (ACS) largest division with over 2500 workers and a budget over \$150 million. Analyzed workflow, IT and other systems, key tasks, and legal requirements determining what resources are needed to do child protective work most efficiently.
- Spearheaded a detailed staffing and business process analysis examining police overtime (~\$100 million per year) across New York City's five boroughs for Mayor's Office; managed project

team. Studied arrest processing in both the NYPD and the district attorney's offices, gaining a unique perspective of holistic arrest procedures. Used a range of statistical and qualitative methods to analyze and propose recommendations around processing.

- Managed review of the MacArthur Foundation's grant-making strategies in juvenile justice reform: developed and implemented a novel program and performance assessment methodology.
- 2009-2011 *Associate*
- Contributed to re-design of ACS's Family Assessment Program (FAP) including writing the 'Goals and Guidelines' and quality assurance plan.
 - Developed and managed the development of new features for the Juvenile Justice Database, a project the Vera Institute of Justice in collaboration with New York City. The database brings together data from ACS, Office of Court Administration, Criminal Justice Agency, and others.
 - Conducted a detailed statistical analysis of the Atlantic Foundation's grant payment history—both planned payments and actual payments made—to identify, among recurring grant payments, the rates at which expected payments are actually paid or deferred.

Elan Web Development (Web Services Firm)

2004-2009 *Vice President*

- Provided strategic consulting, communications, and application development services to clients including Manhattan Borough President, Brown University, 8-term United States Congressman, and more than 50 other professional services firms, non-profits, and political campaigns.
- Expanded company to four non-executive employees, rating and evaluating the job performance of subordinates through observations and record keeping for over three years.

Rhode Island Coalition Against Human Trafficking

2006 – 2007 *Chair*

- Elected leader of community coalition with 12 organizational and 45 community members
- Managed and coordinated staff of four from member not-for-profits.
- Delivered over 20 public lectures to raise awareness around human trafficking.
- Researched Rhode Island human trafficking - identified and notified police of eight illegal brothels.

Polaris Project Rhode Island (Anti-Human Trafficking Not-for-Profit)

2006 – 2007 *Co-Founder and Co-Coordinator of State Chapter*

- Authored and successfully lobbied for two laws against human trafficking in Rhode Island.
- Managed team of 15 volunteers and trained over 50 Rhode Island law enforcement officials.
- Conducted detailed analysis of social services provided to victims of human trafficking in Rhode Island for state government.
- Designed and supported of implementation of multi-agency program to serve trafficking victims.

EDUCATION

New York University, Wagner School of Public Service, New York, New York

MPA with a specialization in financial management & public finance

Classes: PhD research methods, topics in advanced quantitative methods: causal inference, multilevel models: growth curves, estimating impacts in public policy

Brown University, Providence RI

AB with concentrations in applied mathematics-economics (with honors) and public policy

Classes: Program evaluation, machine learning, advanced statistics I & II, econometrics I & II, computer programming, microeconomics, operations research

Activities: Vice President of student body; university-wide budget and public safety committees
Awards:

- *Samuel C. Lamport Prize* for the best thesis on policy understanding
- *Gordon Lindsay Prize* for best student in finance
- *Omicron Delta Epsilon* for excellence in economics
- *The J. W. Saxe Memorial Prize for Public Service*, a national competitive prize
- *Arthur Liman Public Interest Summer Fellowship*, legal fellowship awarded by Yale Law
- *Royce Fellowship*, which "celebrates exceptional academic performance, creativity, and leadership by Brown undergraduates"
- *Fellow*, Center for the Study of the Presidency



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Citizens' General Obligation Bond Oversight Commit

Seat # or Category (If applicable): seats 1 and 3 District: 2

Name: Brenda Kwee McNulty

Home Address: Hyde Street, San Francisco, CA Zip: 94109

Home Phone: 415- Occupation: Retired banker; occasional consultant

Work Phone: N/A Employer: N/A

Business Address: N/A Zip:

Business E-Mail: N/A Home E-Mail: kweenulty@

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes [checked] No [] If No, where registered:

Resident of San Francisco [checked] Yes [] No [] If No, place of residence:

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

I consider myself a global citizen with a diverse and multi-faceted background highlighted as follows:-
1) Geographical diversity:
a) I have lived in the U.S. for 38 years - 13 years in New York City and 18 years in San Francisco, both urban communities with diverse populations;
b) I have lived in Hong Kong for 28 years - 18 years during my childhood and 10 years as a financial professional.
2) Ethnic diversity:
a) I am a first generation Chinese immigrant from Hong Kong and remain current on issues relating to recent immigrants from China, Hong Kong, Taiwan;
b) My husband's family are from Irish and Italian immigrants and I have immersed in the cultures of these ethnic communities.
c) I have travelled widely on business and personal trips. I am interested in different cultures and am motivated to learn from and appreciate all cultures.
3) Professional diversity:
a) I have 33 years of professional experience in the financial/financial services industry with experience in stock and bond markets;
b) I have 3+ years of experience in the fund raising industry
c) I have 2+ years of experience in the consulting industry
My personal and professional background is steeped in various aspects of diversity and I am confident that this background will add value and diverse insights to the Citizens' General Obligation Bond Oversight Committee that I hope to be a part of.

Business and/or professional experience:

Please refer to attached resume.

Civic Activities:

Please refer to page 3 of my resume for details of my community service. I would like to highlight my civic activities here for your consideration.

- 1) Business community:-
 - a) 11 years of ongoing service to the Association of Asian American Bankers as board member and executive committee;
 - b) longest serving chairman of Asian Business League of San Francisco (8 years);
 - c) 5 years of service as board member of Singapore American Business Association, promoting increased business interaction between San Francisco, Bay Area and Singapore.
- 2) Art/cultural community:-
 - a) 12 years of service to the Asian Art Museum by serving on two committees
 - b) spearheaded multiple employee diversity programs at Wells Fargo Bank advancing interests of Asian American employees.
- 3) Educational community:
 - a) 8 years of service on the Western Region Advisory Board of the Institute of International Education; hosted leadership training programs for Asian NGO leaders;
 - b) 2 years of volunteer service in the pilot summer program of immersing high school teachers in the culture of China so their experiences can be incorporated into lesson plans. Pilot program lead by Leslie Tang Schilling for The Committee of 100.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)

Date: 11/24/14 Applicant's Signature: (required)

Brenda Kwee McNulty

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

BRENDA KWEE McNULTY 郭佩玲

Hyde Street, San Francisco, CA 94109, USA
415- kweenulty@

SUMMARY

Seasoned financial professional with a proven track record in fundraising, business development, customer relationship management, sales and marketing, gained from senior executive positions in non-profit, banking and wealth management in diverse markets. Extensive experience in the Bay Area, New York and Asia. Energetic and passionate about serving the community by applying work and life experiences in relevant ways through the application of management skills, relationship management skills, business acumen and international networking ties to improve all aspects of city management. Seeking to serve the city and people of San Francisco in a meaningful role on a city commission.

TALENT AGE ASSOCIATES, LLP, PALO ALTO

10/2011 to present

A boutique consulting firm specializing in cross border talent management

Consulting Principal

- Client management from project initiation to successful placement of candidate
- Responsible for clients in financial services institutions and non-profit organizations
- Recently placed the President of Japan society Northern California

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO

1/2006 to 8/2009

University Development & Alumni Relations, Director of Development - International

- Built a start-up international development unit focused on Asian major donors
- Collaborated with faculty and scientists across UCSF campus and Asian institutions
- Personally managed a portfolio of 100+ international major donors and alumni
- Coordinated all international development activities among UCSF schools, centers; staffed faculty and volunteer leadership; solicited and closed major gifts

UBS (UNION BANK OF SWITZERLAND) INTERNATIONAL, INC.

6/2004 to 1/2006

San Francisco Branch, Financial Consultant

- Built a portfolio of high net worth clients in the U.S., Hong Kong, Taiwan and China
- Successfully served clients by providing investment advice appropriate to their financial situation; early user of proprietary Customer Relationship Management (CRM) system
- Named one of top ten producers at UBS International, N. America Region in 2005

WELLS FARGO BANK, N.A., SAN FRANCISCO

1/1996 to 6/2004

- *Diverse Growth Segments Group, V.P. & Asian Segment Manager* 2002 to 2004
- *International Group, V.P. & International Personal Banking Manager* 1999 to 2001
- *Private Client Services, V.P. & Senior Private Banker, Asian PCS* 1996 to 1999

Highlights of accomplishments at Wells Fargo Bank

- Consolidated and built Asian sales teams in San Francisco, Palo Alto, L.A. following First Interstate merger; targeted high net worth Asian clients in the U.S. and in Asia
- Developed integrated strategy; increased market share of Asian clients by 20% through marketing, branding, advertising and community outreach channels
- Designated spokesperson for Wells Fargo Bank at Bay Area Asian community events

DEUTSCHE BANK, AG, HONG KONG BRANCH 1994 to 1995

- ***Private Banking Department, Head of Taiwan Team***
 - Managed Taiwan team with \$350 million of assets under management
 - Personally managed assets in excess of \$100 million

MANUFACTURERS HANOVER INVESTMENT LTD., HONG KONG 1990 to 1994

- ***Managing Director & CEO***
 - Oversaw all aspects of company operations; successfully built a network of private and institutional investors in S.E. Asia and China

WARBURG INVESTMENT MANAGEMENT (HONG KONG) LTD. 1987 to 1989

- ***General Manager***
 - Launched firm's mutual fund business in Hong Kong through registration and distribution of a family of 17 funds valued at \$400 million

CITIBANK, N.A., NEW YORK CITY 1985 to 1986

- ***Vice President, Cash Management Sales***
 - Successfully marketed and performed fee-based cash management studies and customized product delivery for corporate customers

W.R. GRACE & CO., NEW YORK CITY 1975 to 1985

- ***Fixed Income Portfolio Manager*** 1983 to 1985
- ***Director of Financial Planning*** 1980 to 1983
- ***Manager of Special Projects, Retail Group*** 1979 to 1980
- ***Mergers & Acquisitions Associate, Automotive Group*** 1978 to 1979
- ***Manager of Financial Pension Reporting, Treasurer's Division*** 1975 to 1978

Highlights of accomplishments at W.R. Grace & Co.

- Managed a \$280 million bond portfolio
- Successfully pioneered a U.S. Dollar and Canadian Dollar arbitrage program
- Managed corporation's overall FX exposure of \$450 million
- Managed annual and five-year plans of companies in the Home Center Division with sales of \$700 million
- Reported all financial transactions of company pension funds valued at \$150 million; filed first Form 5500 under ERISA

ARTHUR YOUNG & COMPANY, NEW YORK CITY 1973 to 1975

- ***Audit staff accountant***
 - Audit clients included brokerage and oil companies

EDUCATION & QUALIFICATIONS

General Securities Representative since 1998; Series 7, Series 63
APC, Stern Graduate School of Management, New York University
MBA, Sloan Graduate School of Management, Syracuse University
BA (Honors), Le Moyne College, Syracuse
Member of Pi Gamma Mu
Other languages include Cantonese, Mandarin and basic French

Major: Accounting
Major: Finance
Major: Economics
Since 1970

HIGHLIGHTS OF COMMUNITY SERVICE

- American Club Hong Kong, Board Member/Secretary 1989 to 1995
- Chaired finance committee to appointed first external fund manager
 - Member of committee on hiring, housing and training migrant workers from the Philippines to work in the Club's two locations in Hong Kong
- Asian Art Museum, Connoisseurs' Council 1997 to present
Asian Art Museum, Exhibitions & Publications Committee 2001 to 2007
- Participated in selection of annual art item purchase funded by the Council
 - Advised Committee on the diversity of exhibitions relative to community needs
- Asian Business League of San Francisco, Chairman 1996 to 2005
- Founded ABL Youth Program in collaboration with Chinatown nonprofits
 - Inaugurated first ever community wide leadership awards celebrating achievements by outstanding Asian Americans
- Asian Connection (Wells Fargo Bank), Founding Board Member 2002 to 2004
- Implemented mentorship program for Asian American employees in Bay Area
 - Initiated dedicated Chinese language telephone hot line for all customers
 - Partnered with Chinatown nonprofits to conduct financial literacy classes delivered in Cantonese
 - Pioneered approval for Wells Fargo's annual support of APA Heritage Month
 - Mentored Asian American colleagues to volunteer in leadership roles in Chinatown nonprofits such as Chinese Cultural Center, Self Help for the Elderly
- Association of Asian American Bankers, Board Member/Vice President 2003 to present
- Redirected Board focus to develop professional content for events to benefit young Chinese American membership
- Hong Kong Schools Alumni Association, Treasurer 2005 to 2008
- Reconnected Association's scholarship fund for Hong Kong students with U.C. Berkeley
- Institute of International Education, Western Region Advisory Board 1998 to 2004
- Hosted annual attendees of Luce Leadership Program for Chinese Women visiting from China, Taiwan and Hong Kong
 - Acted as reviewer of applications to the above Luce Leadership Program
- Singapore American Business Association, Board Member 2003 to 2008
- Participated in fundraising for 1st Annual Charity Golf Event to benefit community nonprofits

- 1960 Broadway Corporation, Vice President 1996 to 2011
- Led renovation committee to draft renovation rules governing entire building
 - Interviewed potential owners/tenants prior to board approval
 - Oversaw all aspects of building management in President's absence
- 2240 Hyde Street Corporation, Board Member 2011 to present
- Active member of committee overseeing building lobby renovation

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Initial Filing
 Received
 Official Use Only

RECEIVED
 BOARD OF SUPERVISORS
 SAN FRANCISCO

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
 McNUITY Brenda Kwee

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Division, Board, Department, District, if applicable

Your Position

Citizen's General Obligation Bond Oversight Committee

Candidate for vacant seat

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- State
- Multi-County _____
- City of San Francisco
- Judge or Court Commissioner (Statewide Jurisdiction)
- County of San Francisco
- Other _____

3. Type of Statement (Check at least one box)

- Annual:** The period covered is January 1, 2014, through December 31, 2014.
- or-
- The period covered is _____ through December 31, 2014.
- Assuming Office:** Date assumed _____
- Leaving Office:** Date Left _____ (Check one)
- The period covered is January 1, 2014, through the date of leaving office.
- The period covered is _____ through the date of leaving office.
- Candidate:** Election year 2015 and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

▶ Total number of pages including this cover page: _____

- Schedule A-1 - Investments** – schedule attached
- Schedule A-2 - Investments** – schedule attached
- Schedule B - Real Property** – schedule attached
- Schedule C - Income, Loans, & Business Positions** – schedule attached
- Schedule D - Income – Gifts** – schedule attached
- Schedule E - Income – Gifts – Travel Payments** – schedule attached
- or-
- None - No reportable interests on any schedule**

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
 (Business or Agency Address Recommended - Public Document)

DAYTIME TELEPHONE (415) _____ (M)
 E-MAIL ADDRESS kweenuity@ _____

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 1, 2015
 (month, day, year)

Signature Brenda Kwee McNulty
 (File the originally signed statement with your filing official.)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

<p>CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name <u>Brenda Kwee McNULTY</u></p>
--

▶ NAME OF BUSINESS ENTITY
IBM Corporation

GENERAL DESCRIPTION OF THIS BUSINESS
Computer & software solutions

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
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IF APPLICABLE, LIST DATE:
 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Wells Fargo Bank

GENERAL DESCRIPTION OF THIS BUSINESS
Retail and Commercial Banking

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
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 / / 14 / / 14
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GENERAL DESCRIPTION OF THIS BUSINESS

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 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 14 / / 14
 ACQUIRED DISPOSED

Comments: My husband worked for IBM and I worked for Wells Fargo Bank. We acquired our stock positions in these two companies during our respective employment therein.



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2014 NOV 18 PM 2:18

Application for Boards, Commissions, Committees, & Task Forces

CGOBOC

Name of Board, Commission, Committee, or Task Force: _____

Seat # or Category (If applicable): Labor #2 District: _____

Name: Michael Seville

Home Address: 22nd Ave. Zip: 94121

Home Phone: 415- Occupation: Attorney/Union Official

Work Phone: 415-864-2100 Employer: IFPTE Local 21, AFL-CIO

Business Address: 1182 Market Street, Suite 425 Zip: 94102

Business E-Mail: mseville@ifpte21.org Home E-Mail: mseville.sf@

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes No If No, where registered: _____

Resident of San Francisco Yes No If No, place of residence: _____

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

I have lived in San Francisco and the Bay Area for the past ten years, working with unions on representation and organizing issues. In my first term with CGOBOC, I have begun understanding the mission of the Committee and digging into the bond assignments I have. I believe labor has a strong interest in ensuring that work funded through general obligation bonds is handled as the voters have authorized, and done in fiscally and ethically prudent manners.

Business and/or professional experience:

I am an attorney having represented many unions in CCSF and the entire Bay Area. As Research, Policy and Communication Director for IFPTE Local 21, I work directly with the City on many fronts, from personnel matters to departmental issues. I have a deep understanding of the capital plan and needs of the City, and bring that knowledge to the Committee to ensure labor has a voice and is apprised on major capital projects throughout the City.

Civic Activities:

I am highly engaged in political activities around town, having recently worked on passage of Proposition A, a new transportation bond which will expand our transportation system in the City. I am also active in the Richmond District Demo Club, volunteer doing pro bono legal work.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)

Date: 11/17/14 Applicant's Signature: (required) Michael R. Seville

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

01/20/12

COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST) Seville (FIRST) Michael (MIDDLE) Ryan

1. Office, Agency, or Court

Agency Name (Do not use acronyms) City and County of San Francisco

Division, Board, Department, District, if applicable Citizens General obligation bond oversight Comm. Your Position Board member

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- State
- Multi-County _____
- City of San Francisco
- Judge or Court Commissioner (Statewide Jurisdiction)
- County of _____
- Other _____

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2013, through December 31, 2013.
- or-
- The period covered is _____ through December 31, 2013.
- Assuming Office: Date assumed _____
- Candidate: Election year _____ and office sought, if different than Part 1: _____
- Leaving Office: Date Left _____ (Check one)
- The period covered is January 1, 2013, through the date of leaving office.
- The period covered is _____ through the date of leaving office.

4. Schedule Summary

Check applicable schedules or "None."

▶ Total number of pages including this cover page: 2

- Schedule A-1 - Investments - schedule attached
- Schedule A-2 - Investments - schedule attached
- Schedule B - Real Property - schedule attached
- Schedule C - Income, Loans, & Business Positions - schedule attached
- Schedule D - Income - Gifts - schedule attached
- Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-
 None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS (Business or Agency Address Recommended - Public Document) STREET CITY STATE ZIP CODE
1182 Market Street Suite 405 San Francisco, CA 94102
 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL)
(415) 864-2100 mseville@dfpte.org

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 2/13/14 Signature [Signature]
(month, day, year) (File the originally signed statement with your filing official.)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<p>CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name _____</p>

▶ NAME OF BUSINESS ENTITY
Fidelity

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Firm

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 13 _____ / _____ / 13
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
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 _____ / _____ / 13 _____ / _____ / 13
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Fidelity

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Firm

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other IRA (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
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 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

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GENERAL DESCRIPTION OF THIS BUSINESS

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IF APPLICABLE, LIST DATE:
 _____ / _____ / 13 _____ / _____ / 13
 ACQUIRED DISPOSED

Comments: _____



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Citizens General Obligations Bond Oversight Committee

Seat # or Category (If applicable): #3 District: _____

Name: Dion-Jay Brookter

Home Address: Crescent Way Zip: 94134

Home Phone: 415 Occupation: Deputy Director

Work Phone: _____ Employer: Young Community Developers, Inc.

Business Address: 1715 Yosemite Ave Zip: 94124

Business E-Mail: dbrookter@ycdjobs.org Home E-Mail: dionjaybrookter@

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

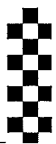
Check All That Apply:

Registered voter in San Francisco: Yes No If No, where registered: _____

Resident of San Francisco Yes No If No, place of residence: _____

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

Documents Attached (Resume & Bio Attached)



Business and/or professional experience:

Documents Attached (Resume & Bio Attached)

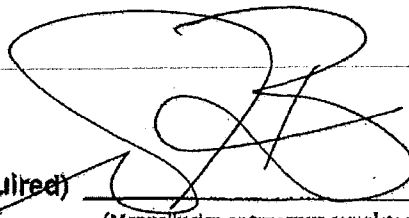
Civic Activities:

Documents Attached (Resume & Bio Attached)

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)

Date: 02/18/2015 Applicant's Signature: (required)



(Manually sign or type your complete name.
NOTE: By typing your complete name, you are hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

01/20/12

Dion-Jay L. Brookter, M.L.A.

<http://www.linkedin.com/in/djbrookter>

Crescent Way San Francisco, CA 94134

415. [REDACTED]

[dionjaybrookter@\[REDACTED\]](mailto:dionjaybrookter@[REDACTED])

OBJECTIVE:

To obtain an innovative position with a multifaceted organization whose values are built upon the blocks of authenticity, trust, and to make a meaningful difference within today's society, where I will be allowed to utilize my strong interpersonal skills, organizational abilities, professional experience, computer knowledge and leadership ability to strengthen and support growth to its existing and prospective constituents.

QUALIFICATIONS:

Excellent communication and leadership skills as well as the ability to relate well in multicultural environments
Highly organized with the ability to multi-task effectively while efficiently managing time and resources
Quick learner who is able to take direction very well
Computer literate in Mac & PC Platforms, Microsoft Office Suite capable, MS Project
Enthusiastic, strong interpersonal skills and highly self motivated

EDUCATION:

Utah State University, Logan, UT 84341

B.S. Speech Communications with an emphasis in Business, May - 2006

University of Phoenix, Fresno CA 93722

M.B.A. Emphasis in Business Management, October - 2009

ACHIEVEMENTS & SPECIAL INTERESTS:

Full Athletic Scholarship, Utah State University football team, 2001-2005
Hurricane Katrina Volunteer, 2005
True Colors Certification, 2008
Fresno's Leading Young Professionals (Board Member), 2009
Jeffery Gittomer "Yes Attitude" Certified, 2010
Omega Psi Phi Fraternity, Inc., 2013 Active Member

EXPERIENCE:

Deputy Director

Young Community Developers Inc., San Francisco CA

12/10-Present

Working alongside out Controller, I am responsible for managing and overseeing our financial and business planning activities, including: review and analyzing our financial reports, support and advise our Executive Director in decision making, lead and support organizational budgeting. I am also responsible for managing and overseeing the human resource function of our agency including: recruitment, hiring, compensation benefits, administration and oversight. Responsible for staff development, training, including new employee orientation, retention strategies, regulatory oversight and legal compliance, ensuring that the human resource function is properly resourced and represented within the senior management team. In charge of risk management and legal activities: letters of agreement, contracts, leases, and other legal documents and agreements. Information technology - working as the manager of information technology, ensure the ongoing maintenance and updating of information systems and infrastructure, including hardware, software. Organizational reporting and monitoring: provide guidance and leadership through management of our agency metrics and measurement reporting process. Office management: oversee administrative functions for the entire agency, ensuring smooth daily operations.

Sales Manager

Lowe's Home Improvement, San Francisco, CA

08/10 - 12/10

Under the direction of the Store Manager, I was responsible for providing Superior Customer Service while achieving sales and margin budgets by managing the growth and profitability of retail and specialty sales initiatives. In addition, as the Sales Manager I was responsible for managing all Sales Specialists' performance while working

REFERENCES:

Mayor of Hawthorne, Christopher Brown, 310.349.2901, Hawthorne City Hall - 4455 W. 126th Street Hawthorne, CA 90250
SFUSD School Board Commissioner, Shamann Walton, MPA, 707.332.3225 - Young Community Developers Inc., 1715 Yosemite Ave. - San Francisco, Ca. 94124
Former Associate Dean of Instruction, Dr. Carolyn C. Drake, 559.273.5991, Fresno City College - 1101 East University Avenue, Fresno, Ca. 93741

Dion-Jay L. Brookter, MBA

<http://www.linkedin.com/in/djbrookter>

Crescent Way San Francisco, CA 94134

415

dionjaybrookter@

with employees to achieve individual and budgeted sales goals. My goal as Sales Manager was to ensure maximum productivity by monitoring sales as compared to payroll expense, monitor sales, identify sales opportunities, and set the standard for providing exceptional service by interacting with customers. All of which was accomplished by acquiring thorough knowledge of our Commercial Sales, Installed Sales and Special Order Sales programs. Thorough knowledge of Credit programs. Thorough knowledge of Customer Call Lists including their content, purpose and application. The ability to follow instructions. Anticipate, recognize and respond to change by innovative problem solving. Developing and planning activities to ensure proper completion within a timely manner.

Director of Program Development & Marketing

Young Community Developers Inc., San Francisco CA

03/10 – 08/10

As the Director of Program Development & Marketing I was responsible for planning, initiating and managing the marketing and public relations activities for the organization. My primary focus revolved on promoting, fundraising and expanding the outreach and impact of the overall organization 1) educational and advocacy content (c.g. speakers, articles, videos, pamphlets, books, petitions, and OpEds), 2) programmatic events. I planned the overall communications/marketing strategy, developed branding and messaging while promoting the organization and its programs across various media outlets including traditional television, radio and print as well as seminars and conference speaking opportunities, press releases, direct mail, advertising and the entire online realm of websites, blogs, wikis, social networks and related portals (c.g. Facebook, LinkedIn, Ning, TownHall, etc.) I reported directly to the Executive Director and worked closely with the Founder/President as well as senior management to achieve the organization's targeted strategic marketing plan.

Job Agent

Fresno Career Development Institute Inc., Fresno, CA

09/07 – 03/10

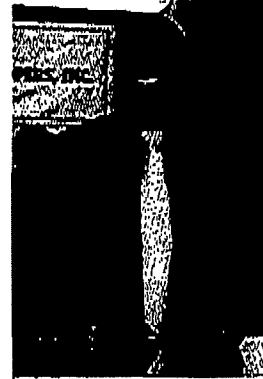
As a Job Agent I was responsible for providing Intake, case management, job development, retention services, and development of skills training contracts, OJT's, and try out contracts to eligible participants and ensure all applicants seeking assistance were referred to an appropriate service provider. Outreach and recruit inside and outside of the prisons and ensured that potential participants met eligibility requirements. Conducted workshops addressing job readiness skills, job search techniques, self-motivation, as well as objective assessment activities. Also worked with qualified mentoring programs to increase exposure and services to clients on parole or probation. Provided overall services in the area of assessment, counseling and the development of client's Individual Development Plan (IDP). Interacted effectively with customers, service providers and all other agencies involved with the delivery of services.

REFERENCES:

Mayor of Hawthorne, Christopher Brown, 310.349.2901, Hawthorne City Hall - 4455 W. 126th Street Hawthorne, CA 90250

SFUSD School Board Commissioner, Shamann Walton, MPA, 707.332.3225 - Young Community Developers Inc., 1715 Yosemite Ave. - San Francisco, Ca. 94124

Former Associate Dean of Instruction, Dr. Carolyn C. Drake, 559.273.5991, Fresno City College - 1101 East University Avenue, Fresno, Ca. 93741



Biography:

Born January 17th, 1983 in Fresno California, Dion-Jay LeKent Brookter was born to two loving parents – John L. Brookter & Denise M. Brown. Born and raised in Fresno he was taught early on the values of dedication, integrity and excellence were not simply words, but ideas that you live by every day. From grammar school to high school Dion-Jay distinguished himself academically and in athletics by consistently holding a variety of leadership positions both on the field and in the classroom. Eventually, this dedication paid off in 2001 earning him a full athletic scholarship to play football at Utah State University in Logan, Utah.

While attending Utah State University from 2001-2006 Dion-Jay once again distinguished himself on the field, in the classroom and in the community. Upon commencing from Utah State University with a Bachelor's of Science in Speech Communication with an emphasis in businesses his interest was sparked in joining the corporate world. Working for major corporations – World Savings, and Icon Health & Fitness – Dion-Jay realized his true calling and passion for service of others leaving the corporate world behind in 2007 to pursue the non-profit world. It was one of his many off campus experience – but one as a Hurricane Katrina volunteer, which lit the flame of service and dedication for those not looking for a handout but a helping hand up.

Returning to Fresno, CA - Fresno Career Development Institute Inc. (FCDI) gave Dion-Jay his first nonprofit opportunity as their Workshop Facilitator working with the previously incarcerated population with their re-entry back into our communities. While working full time at FCDI he also pursued and reached one of his professional goals in 2009 - obtaining a Masters Degree in Business Administration in order to better serve and understand the needs of employers.

In late 2009 Dion-Jay relocated to Northern California where he currently holds the position as Deputy Director - for a well established non-profit organization – Young Community Developers Inc. in San Francisco CA. where he oversees 23 programs, a staff of 23 and assist with the management of their 3 million dollar budget. Dion- Jay has worked in a variety of roles and positions in both the non-profit and corporate arenas. Dion-Jay has been instrumental in developing and cultivating relationships with corporate entities and other Southeast Community Based Organizations. By utilizing non-traditional strategies such as hosting Business Mixers – where local businesses in the Southeast Sector come to connect with community based organizations – is just one example of the innovation Mr. Brookter brings to the forefront.

Highlights/Civic Activities:

Financial active member of The Omega Psi Phi Fraternity, Inc. -- Pi Chi Chapter -- current;

Black Young Democrats of San Francisco Leadership Member 2014 -- current;

Enrolled in Golden Gate Universities Leadership In Nonprofits Organizations (online certificate program)
Currently;

Recognized by the San Francisco Board of Supervisors in 2014 for working on the Workforce Investment
Community Advisory Committee;

Recognized by the San Francisco Unified School Districts Career Technical Education program in 2014
as a Health Academy Champion;

Appointed to the Workforce Investment Community Advisory Committee in December of 2013;

Recognized by the Office of Economic & Workforce Development in 2012 for his work on the
Workforce Leaders Peer Learning Group;

Recognized by the San Francisco Public Utilities Commission for agency work with the Sewer System
Improvement Internship Program (SSIP);

Attended training in Management Strategies for Success with Private Sector Businesses in a
Recessionary Economy;

Attended training on how to Measure & Improve Business Customer Satisfaction with Your
Services.

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Initial Filing Received
 Official Use Only

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
BROOKTER DIONJAY L.

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
CITIZENS' GENERAL OBLIGATION BOND OVERSIGHT COMMITTEE
 Division, Board, Department, District, if applicable
 Your Position
DEPUTY DIRECTOR

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- State
- Multi-County _____
- City of San Francisco
- Judge or Court Commissioner (Statewide Jurisdiction)
- County of San Francisco
- Other _____

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2014, through December 31, 2014.
- or-
- The period covered is _____, through December 31, 2014.
- Assuming Office: Date assumed _____
- Leaving Office: Date Left _____ (Check one)
- The period covered is January 1, 2014, through the date of leaving office.
- The period covered is _____, through the date of leaving office.
- Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

- Check applicable schedules or "None." ► Total number of pages including this cover page: _____
- Schedule A-1 - Investments - schedule attached
 - Schedule A-2 - Investments - schedule attached
 - Schedule B - Real Property - schedule attached
 - Schedule C - Income, Loans, & Business Positions - schedule attached
 - Schedule D - Income - Gifts - schedule attached
 - Schedule E - Income - Gifts - Travel Payments - schedule attached
- or-
- None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
 (Business or Agency Address Recommended - Public Document)
1715 YOSEMITE AVE SAN FRANCISCO CA 94124
 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
(415) _____ dionjaybrookter@_____

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 2/20/2015 Signature _____
 (month, day, year) (File the originally signed statement with your filing official.)

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name _____

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

YOUR BUSINESS POSITION _____

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income.
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other _____
 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

YOUR BUSINESS POSITION _____

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income.
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other _____
 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*
TRANSAMERICA

ADDRESS (Business Address Acceptable)
8488 Shepherd Farm Dr.,
West Chester, OH 45069

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) 90 mo.

SECURITY FOR LOAN
 None Personal residence

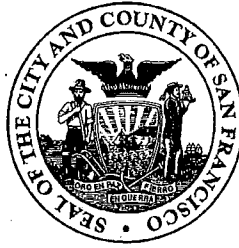
Real Property _____
 Street address _____
 City _____

Guarantor _____

Other _____
 (Describe)

Comments: \$4,500 to assist w/ down payment

BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 554-5227

VACANCY NOTICE

CITIZENS' GENERAL OBLIGATION BOND OVERSIGHT COMMITTEE

Replaces All Previous Notices

NOTICE IS HEREBY GIVEN of the following vacancies:

Vacant seat 1, succeeding Minneola Ingersoll, term expiring on November 21, 2014, must be active in a business organization representing the business community located within the City, for a two-year term ending November 21, 2016.

Vacant seat 2, succeeding Michael Seville, term expiring on November 21, 2014, must be active in labor organizations, for a two-year term ending November 21, 2016.

Vacant seat 3, succeeding Jonathan Alloy, term expiring on November 21, 2014, must be active in a community organization, for a two-year term ending November 21, 2016.

Exclusions: No employee or official of the City shall be appointed to the Committee. No vendor, contractor, or consultant of the City that performs work funded by bonds issued by the City shall be appointed to the Committee. No appointee shall serve more than two terms.

Report: The Committee shall issue regular reports on the results of its activities. A report shall be issued at least once a year.

Sunset Date: None.

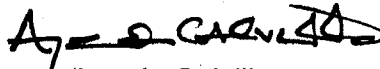
Additional information relating to the Citizens' General Obligation Bond Oversight Committee may be obtained by reviewing the San Francisco Administrative Code, Article V, available at <http://www.sfbos.org/sfmunicodes>, or by visiting the Committee's website at <http://www.sfcontroller.org/index.aspx?page=86>.

Interested persons may obtain an application from the Board of Supervisors website at http://www.sfbos.org/vacancy_application or from the Rules Committee Clerk, 1 Dr. Carlton B. Goodlett Place, Room 244, San Francisco, CA 94102-4689. Completed applications should be submitted to the Clerk of the Board. All applicants must be residents of San Francisco, unless otherwise stated.

Pursuant to Board of Supervisors Rules of Order 2.19, all applicants applying for this Commission must complete and submit, with their application, a copy (**not original**) of Form 700, Statement of Economic Interests. Applications will not be considered if a copy of Form 700 is not submitted. Form 700, Statement of Economic Interests, may be obtained at <http://www.sfbos.org/form700>.

Next Steps: Applicants who meet minimum qualifications will be contacted by the Rules Committee Clerk once the Rules Committee Chair determines the date of the hearing. Members of the Rules Committee will consider the appointment(s) at the meeting, and applicants may be asked to state their qualifications. The appointment(s) of the individual(s) who are recommended by the Rules Committee will be forwarded to the Board of Supervisors for final approval.

Please Note: Depending upon the posting date, these vacancies may have already been filled. To determine if vacancies for this Committee are still available, or if you require additional information, please call the Rules Committee Clerk at (415) 554-4447.


Angela Calvillo
Clerk of the Board

DATED/POSTED: October 20, 2014

San Francisco
BOARD OF SUPERVISORS

Date Printed: January 8, 2015

Date Established: March 5, 2002

Active

CITIZENS GENERAL OBLIGATION BOND OVERSIGHT COMMITTEE

Contact and Address:

Maura Lane
City Hall, Room 316
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Phone: (415) 554-7502

Fax: (415) 554-7466

Email: maura.lane@sfgov.org

Authority:

Administrative Code, Sections 5.30-5.36 (Proposition F, March 2002 Election)

Board Qualifications:

The Citizens' General Obligation Bond Oversight Committee shall actively review and report on the expenditure of taxpayers' money and inform the public concerning the expenditure of general obligation bond proceeds.

The Committee shall consist of nine (9) members:

> Three (3) members appointed by the Board of Supervisors:

* One (1) shall be active in a business organization representing the business community located within the City

* One (1) shall be active in a labor organization

* One (1) shall be active in a community organization

> Three (3) members appointed by the Mayor:

* One (1) shall be active in a business organization representing the business community located within the City

* One (1) shall be active in a labor organization

* One (1) shall be active in a community organization

> Two (2) members appointed by the Controller:

* One (1) with expertise in auditing governmental financial statements or with expertise in public finance law

* One (1) with expertise in construction management

> One (1) member shall be a member of the Civil Grand Jury or a designee appointed by the Civil Grand Jury.

San Francisco
BOARD OF SUPERVISORS

Exclusions: No employee or official of the City shall be appointed to the Committee. No vendor, contractor, or consultant of the City that performs work funded by bonds issued by the City shall be appointed to the committee.

Each member shall serve for a term of two years and for no more than two consecutive terms.

Reports: The Committee shall issue regular reports on the results of its activities. A report shall be issued at least once a year.

Sunset Clause: None.