

File No. 101122

Committee Item No. 6

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance Committee

Date: December 1, 2010

Board of Supervisors Meeting

Date _____

Cmte Board

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|-------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Ethics Form 126 |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form (for hearings) |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
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OTHER

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Completed by: Victor Young

Date: November 23, 2010

Completed by: Victor Young

Date: _____

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is in the file.

1 [Accept and Expend Grant - FY2010 Urban Areas Security Initiative Grant and Amend Annual
2 Salary Ordinance, FY2010-2011 - \$34,262,131]

3 Ordinance authorizing the Department of Emergency Management, on behalf of the
4 City and County San Francisco, as the primary grantee for the combined Bay Area
5 Urban Area and as the fiscal agent for the UASI Approval Authority, to retroactively
6 accept and expend an Urban Areas Security Initiative grant in the amount of
7 \$34,262,131 from the United States Department of Homeland Security, through the
8 California Emergency Management Agency; and amending Ordinance No. 191-10
9 (Annual Salary Ordinance, FY2010-2011) to reflect the addition of two grant-funded
10 positions in the Department of Emergency Management, as follows: one position
11 (.75 FTE) in Class 1823 Senior Administrative Analyst and one position (.75 FTE) in
12 Class 1824 Principal Administrative Analyst.

13 Note: Additions are single-underline italics Times New Roman;
14 deletions are ~~strikethrough italics Times New Roman~~.
15 Board amendment additions are double underlined;
16 Board amendment deletions are ~~strikethrough normal~~.

17 Be it ordained by the People of the City and County of San Francisco:

18 Section 1. Findings.

19 (a) Urban Areas Security Initiative ("UASI") Program. The United States Department of
20 Homeland Security ("DHS") has a Homeland Security Grant Program, which includes the
21 UASI Program. The UASI Program addresses the unique planning, equipment, training, and
22 exercise needs of high-threat, high-density Urban Areas, and assists those areas in building
23 an enhanced and sustainable capacity to prevent, protect against, respond to, and recover
24 from threats and acts of terrorism. DHS distributes UASI grant funds to recipient jurisdictions
25

1 in California through the California Emergency Management Agency (CalEMA), which
2 administers the UASI Program within the State.

3 (b) UASI Region. Since fiscal year 2006-2007, DHS has combined the three separate
4 Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of
5 San Jose into a single, combined "Bay Area Urban Area" for application, allocation and
6 distribution of UASI grant funds. The Bay Area Urban Area includes the ten contiguous Bay
7 Area counties and other governmental entities within those counties. The Bay Area Urban
8 Area is one of seven "Tier 1" Urban Areas in the Nation.

9 (c) Urban Area Working Group ("UAWG") Requirement. For the UASI Program, DHS
10 requires each Urban Area receiving funds to establish an UAWG to act as an executive
11 steering committee, provide overall governance and coordinate development and
12 implementation of all UASI Program initiatives within the applicable region, and ensure that all
13 UASI program requirements are fulfilled.

14 (d) UASI Approval Authority. Beginning in fiscal year 2006-2007, the City and County
15 of San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa
16 Clara County jointly committed to cooperate in applying for, allocating and distributing UASI
17 grant funds. Those jurisdictions entered a Memorandum of Understanding ("MOU") setting
18 forth their agreements regarding the Bay Area Urban Area's mission, governance and
19 reporting structures, responsibilities, and financial arrangements, and establishing processes
20 and mechanisms to use in applying for, allocating and distributing UASI grant funds for the
21 region. The MOU created the UASI Approval Authority, comprised of representatives from the
22 City and County of San Francisco, the City of Oakland, the City of San Jose, Alameda
23 County, and Santa Clara County, and also created an Advisory Group and a UASI
24 Management Team, with working groups, to support and assist the Approval Authority. DHS
25

1 and CalEMA approved the Approval Authority and related layers of governance structure as
2 the UAWG for the Bay Area Urban Area. The parties entered an updated MOU effective July
3 1, 2007, which continued the UASI Approval Authority and UASI Management Team
4 structure. DHS and CalEMA approved that governance structure as the UAWG for the Bay
5 Area Urban Area in fiscal years 2007-2008 and 2008-2009, 2009-2010 and again in 2010-
6 2011. The current MOU expires December 31, 2010, and the parties are in the process of
7 obtaining approval for a six month extension while they negotiate a successor MOU.

8 (e) Methodology for allocating funds; San Francisco as primary grantee and fiscal
9 agent for UASI Approval Authority. The UASI Approval Authority makes decisions regarding
10 allocation of UASI funds throughout the Bay Area Urban Area. The City and County of San
11 Francisco is the primary grantee of UASI funds for the region and serves as the fiscal agent
12 for the Approval Authority. San Francisco disburses funds to jurisdictions in the Bay Area
13 Urban Area pursuant to the Approval Authority's direction.

14 (f) The City and County of San Francisco has participated in federal and state
15 homeland security grant programs since their inception, and deems participation in those
16 programs as vital to the continued well-being of its citizens.

17 (g) Fiscal Year 2010 UASI Grant Award, Use of UASI Funds. The DHS awarded the
18 Bay Area Urban Area \$34,262,131 in UASI grant funds for Fiscal Year 2010. Regionally, the
19 grant funds will be used to purchase equipment and conduct planning, training and exercise
20 activities, as described more fully in the materials on file with the Clerk of the Board of
21 Supervisors in File No. 101122, which are hereby declared to be a part of this ordinance as if
22 set forth fully herein.

23 (h) The City and County of San Francisco intends to use a portion of its share of the
24 UASI grant funds to continue funding certain existing positions and to create two new
25

1 positions within the City and County. A spreadsheet reflecting the Department, Program,
2 Index Code, Classifications, Job Titles, and Compensation Schedules for these positions is on
3 file with the Clerk of the Board of Supervisors in File No. 101122, which is hereby
4 declared to be a part of this ordinance as if set forth fully herein. The employees in the
5 positions will be assigned to the UASI Management Team.

6 (i) The performance period for the FY10 UASI grant is from October 21, 2010 through
7 April 30, 2013.

8
9 Section 2. Authorization to Accept and Expend Grant Funds.

10 (a) The Board of Supervisors hereby authorizes the Department of Emergency
11 Management to retroactively accept and expend, on behalf of the City and County of San
12 Francisco, as the primary grantee of UASI funds for the Bay Area Urban Area and as the
13 fiscal agent for the Approval Authority, \$34,262,131 in UASI grant funds for fiscal year 2010-
14 2011 from the DHS, through the CalEMA.

15 (b) The grant does not include any provisions for indirect costs, and indirect costs are
16 hereby waived.

17 (c) The Executive Director of the Department of Emergency Management, or designee,
18 is authorized to furnish whatever additional information or assurances the DHS or CalEMA
19 may request in connection with this grant, to execute any and all agreements or other
20 documents, and to take any other steps necessary to accept, distribute and expend the grant
21 funds.

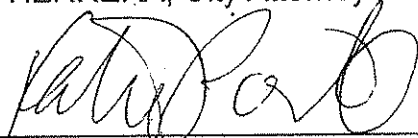
22
23 Section 3. Grant Funded Positions; Amendment to FY 2010-2011 Annual Salary
24 Ordinance. The hereinafter designated sections and items of Ordinance No. 191-10 (Annual
25

1 Salary Ordinance, FY 2010-2011) are hereby amended to add two positions at the
2 Department of Emergency Management (DEM), as follows:

3 Department: DEMECD Department of Emergency Management
4 Program: BIV D E M Emergency Services
5 Subfund: 2SPPFHLS
6 Index Code: 771013

Amendment	# of Pos.	Class and Item No.	Compensation Schedule
<i>Add</i>	<i>.75 FTE</i>	<i>1823 Sr. Administrative Analyst</i>	<i>\$2,890B\$3,513</i>
<i>Add</i>	<i>.75 FTE</i>	<i>1824 Principal Administrative Analyst</i>	<i>\$3,346B\$4,067</i>


10 APPROVED AS TO FORM:
11 DENNIS J. HERRERA, City Attorney


12 By: 
13 KATHARINE HOBIN PORTER
14 Deputy City Attorney

APPROVED AS TO CLASSIFICATION
DEPARTMENT OF HUMAN RESOURCES

By: 
MICKI CALLAHAN, Director
Department of Human Resources

15 RECOMMENDED:

16 
17 VICKI HENNESSY, Executive Director
18 Department of Emergency Management

19 
20 GAVIN NEWSOM
21 Mayor


BEN ROSENFIELD
For Controller

FILE NO.

LEGISLATIVE DIGEST

[Accept and Expend FY 10 Urban Areas Security Initiative Grant and Amend Annual Salary Ordinance, FY 2010-2011]

Ordinance authorizing the Department of Emergency Management, on behalf of the City and County San Francisco, as the primary grantee for the combined Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to retroactively accept and expend an Urban Areas Security Initiative ("UASI") grant in the amount of \$34,262,131 from the United States Department of Homeland Security, through the California Emergency Management Agency; and amending Ordinance No. 191-10 (Annual Salary Ordinance, FY 2010-2011) to reflect the addition of two grant-funded positions in the Department of Emergency Management, as follows: one position (.75 FTE) in Class 1823 Senior Administrative Analyst and one position (.75 FTE) in Class 1824 Principal Administrative Analyst.

Background Information

The United States Department of Homeland Security ("DHS"), through its Urban Areas Security Initiative ("UASI") Grant Program, provides funding for the planning, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and assists those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from threats and acts of terrorism.

The combined Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of San Jose form the Bay Area Urban Area, a Tier I Urban Area. The Bay Area Urban Area includes the ten contiguous Bay Area counties and governmental entities within those counties.

San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa Clara County have entered a Memorandum of Understanding ("MOU") regarding the Bay Area Urban Area's mission, governance and reporting structures, responsibilities, and financial arrangements, as well as processes and mechanisms to use in applying for, allocating and distributing UASI grant funds through the Bay Area Urban Area. Under the MOU, the UASI Approval Authority determines the allocation and distribution of UASI grant funds. San Francisco serves as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the Approval Authority. San Francisco disburses UASI funds pursuant to the Approval Authority's direction.

For fiscal year 2010, the Bay Area Urban Area was awarded \$34,262,131. The term of the grant is from October 21, 2010 through April 30, 2013. The grant funds will be distributed throughout the region for planning, equipment, training, exercise, and organizational initiatives determined by the Approval Authority. San Francisco will use a portion of its share of the grant funds to continue funding existing positions and to create certain new positions.

Proposed Ordinance

The proposed ordinance authorizes the Department of Emergency Management (DEM), on behalf of the City and County of San Francisco as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to accept and expend \$34,262,131 in UASI grant funds for fiscal year 2010-2011 from the United States Department of Homeland Security, through the California Emergency Management Agency. The proposed ordinance also authorizes DEM's Executive Director, or designee, to take any steps necessary to accept, distribute and expend the grant funds.

Pursuant to Administrative Code §10.170-1(b), the proposed ordinance also amends the Annual Salary Ordinance, FY2010-2011, to reflect the addition of two (2) (1.50 FTE) new grant-funded positions at the DEM.

File Number: _____
(Provided by Clerk of Board of Supervisors)

Grant Information Form
(Effective March 2005)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: FY 10 Urban Areas Security Initiative Grant
2. Department: Department of Emergency Management
3. Contact Person: Mary Landers Telephone: 705-8531
4. Grant Approval Status (check one):
 Approved by funding agency Not yet approved
5. Amount of Grant Funding Approved or Applied for: \$34,262,131
- 6a. Matching Funds Required: \$ N/A
b. Source(s) of matching funds (if applicable):
- 7a. Grant Source Agency: U.S. Dept. of Homeland Security
b. Grant Pass-Through Agency (if applicable): California Emergency Management Agency
8. Proposed Grant Project Summary: This grant provides funding to prevent, prepare for, respond to, and recover from threats or acts of terrorism.
9. Grant Project Schedule, as allowed in approval documents, or as proposed:
Start-Date: October 21, 2010 End-Date: April 30, 2013
- 10a. Amount budgeted for contractual services: \$1,915,408
b. Will contractual services be put out to bid? Yes.
c. If so, will contract services help to further the goals of the department's MBE/WBE requirements? No. Not allowed under Homeland Security Grant Guidelines.
d. Is this likely to be a one-time or ongoing request for contracting out? One-time.
- 11a. Does the budget include indirect costs? Yes No
b1. If yes, how much? \$
b2. How was the amount calculated?
c. If no, why are indirect costs not included?

Not allowed by granting agency
 Other (please explain):

To maximize use of grant funds on direct services

c2. If no indirect costs are included, what would have been the indirect costs? Unknown

12. Any other significant grant requirements or comments:

****Disability Access Checklist*****

13. This Grant is intended for activities at (check all that apply):

Existing Site(s)

Existing Structure(s)

Existing Program(s) or Service(s)

Rehabilitated Site(s)

Rehabilitated Structure(s)

New Program(s) or Service(s)

New Site(s)

New Structure(s)


14. The Departmental ADA Coordinator and/or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local access laws and regulations and will allow the full inclusion of persons with disabilities, or will require unreasonable hardship exceptions, as described in the comments section:

Comments:

Departmental or Mayor's Office of Disability Reviewer: Terrence Daniel
(Name)

Date Reviewed: November 1, 2010

Department Approval: Vicki Hennessy Executive Dir., Dept. of Emergency Management
(Name) (Title)


(Signature)



Gavin Newsom
Mayor

Department of Emergency Management
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services
Phone: (415) 558-2700 Fax: (415) 503-2098



Vicki L. Hennessy
Executive Director

To: Angela Calvillo, Clerk of the Board of Supervisors
From: Vicki Hennessy *Vicki L. Hennessy*
Executive Director, Department of Emergency Management
Date: November 1, 2010
RE: **Ordinance to Accept and Expend Bay Area Urban Area Securities Initiative (UASI) FY 2010 Homeland Security Grant Program Funds**

The Department of Emergency Management is pleased to present the following Grant Report in summary of the above-referenced item submitted by the Department of Emergency Management.

Legislation For Approval: The proposed ordinance seeks the Board of Supervisors' approval for the acceptance (retroactive) and expenditure of \$34,262,131 in funds from the Federal Department of Homeland Security (DHS) through the California Emergency Management Agency (CalEMA) to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from natural or human caused disasters as well as threats and terrorism.

This grant was awarded to the Bay Area UASI from the Department of Homeland Security. It is a pass through from the California Emergency Management Agency. The focus of the funding is to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, like the San Francisco Bay Area, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from disasters, threats and terrorism. San Francisco will continue to act as the fiscal agent for these funds.

Departments: **Department of Emergency Management (DEM).** Department will act as fiscal agent and expenditures are to benefit the Bay Area UASI which includes the three former separate UASI cities: the City and

County of San Francisco, the City of Oakland, and the City of San Jose, as well as the ten contiguous Bay Area counties and the other Bay Area governmental jurisdictions within those counties.

The Bay Area UASI was established, in grant year FY2006, as a requirement of the Department of Homeland Security for regions to create a governance structure for the distribution of the Urban Area Strategic Initiative grant funding. The San Francisco Bay Area is designated as a Tier One region, indicating a high level of risk and threat.

The Bay Area UASI is lead by a grant funded general manager and staff consisting of employees from the cities and counties within the Bay Area region. Based on requirements of the grant, as well as recommendations of regional working groups and committees, grants are allocated through a regional Advisory Group and under the policy direction of the Approval Authority, which consists of representatives of the Cities of San Jose, Oakland, and San Francisco, and the Counties of Alameda, Santa Clara, and San Francisco. CalEMA also holds a seat on the Approval Authority. The chair of the Approval Authority is the Executive Director of the San Francisco Department of Emergency Management.

As the fiscal agent, DEM would be authorized to accept and expend these grant funds on behalf of the Bay Area, and to receive grant reimbursements for expenses incurred on behalf of the region.

DEM is responsible for administering the grant for the Bay Area UASI, ensuring that the grant funds are spent according to the grant guidelines, and ensuring that each of the Bay Area counties and cities that may receive a portion of these grant funds adheres to the grant and financial guidelines.

Each sub-recipient county or city that participates in this grant will enter into a grant MOU with DEM that outlines their obligation under the grant allocation, including requirements for contracting and procurement, record keeping and reimbursement documentation, and adherence to federal grant assurances.

Amount:

Grant allocation to the UASI in the amount of \$34,262,131 to be allocated to the above jurisdictions by agreement of the UASI Approval Authority which will act as the executive steering committee.

Grant Period:

October 21, 2010 through April 30, 2013

Retroactivity: The Accept and Expend Legislation requests retroactive approval because the Federal Grant period commenced before the legislation was introduced. No grant funds will be encumbered or expended until the Board of Supervisors approves the Accept and Expend legislation.

Source of funds:

U.S. Department of Homeland Security, through the California Emergency Management Agency. No match is required.

Indirect Costs:

The proposed resolution waives indirect costs, because the grant program does not allow the charging of indirect costs.

Description:

The proposed ordinance authorizes the Department of Emergency Management to accept and expend \$34,262,131 in federal grant funding from the FY 2010 Homeland Security Grant Program (Award # 2010- 0085, OES # 075-95017), issued by the U.S. Department of Homeland Security (DHS) through the California Emergency Management Agency (CalEMA) and to continue the DHS and CalEMA approved Memorandum of Understanding (MOU) of 2006 through the updated 2007 MOU regarding the UASI mission, governance structure, responsibilities, reporting structure and financial arrangements, and establishing structures, processes and mechanisms to use in applying for, allocating and distributing grant funds to the UASI region. These grant expenditures will provide financial assistance to address the unique planning, equipment, training, exercise, organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from disasters, threats and terrorism.

The three original core cities of San Francisco, Oakland, and San Jose have been allocated \$1,000,000 each to fund planning, training, equipment, and exercises.

Budget:

The summary budget by activity for the subject grant is as follows:

(1) <u>Equipment:</u>	\$17,844,678
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Funds will be used for the purchase of equipment for first responders throughout the region such as: Terrorism Incident Response Equipment, Interoperable Communications Equipment, Information Technology Equipment, Thermal Imaging Equipment, a regional Mobile Incident Command vehicle and an Armored Response vehicle, SCBA Equipment, water-bagging equipment, and personal protective equipment.

(2) Planning: \$8,399,346

Of this amount, \$1,915,408 will be used for the hiring of contractors for regional projects through the RFP process. Additional funding will be used by Alameda and Santa Clara Counties to pay for multidisciplinary project planning staff. San Francisco plans include the hire of two (2) staff members, an 1823 Senior Administrative Analyst and an 1824 Principal Administrative Analyst to augment the fiscal staff at the UASI Management Team. DEM will continue to fund an Emergency Response planner and a strategic communications planner positions. The San Francisco Fire and San Francisco Police Department will continue to receive funding for one position each to provide updated plans and protocols within their departments.

(3) Training: \$45,000

The amount represents the planned allocation to hire a training consultant to conduct four (4) regional disaster shelter operations training courses.

(4) Exercises: \$2,260,000

The amount represents the planned allocation for regional exercise planning, supplies, and the conduct of exercises using HSEEP (Homeland Security Exercise and Evaluation Program) guidelines and requirements. DEM will also continue funding an exercise planner and Alameda County will be provided funding to hire an Exercise overhead planning team.

(5) Organizational: \$4,000,000

The amount represents the planned allocation for information sharing and collaboration as well as critical infrastructure protection. This amount pays for salary and fringe costs of regional intelligence analysts that staff the Northern California Regional Intelligence Center (NCRIC).

(6) Management & Administration \$1,703,107

Management and Administration expenses include UASI grant administration staff, line item office expenses, Controller's Accounting, City Attorney, office and equipment maintenance and leases. The amount budgeted reflects 5% of the cash grant, which is the maximum allowable under the guidelines.

Total Budget: \$34,262,131

CALIFORNIA EMERGENCY MANAGEMENT AGENCY
GRANT APPLICATION COVER SHEET

CFDA # 97.067 HSGP

1. Applicant Name: Bay Area UASI
 2. EHS #: 075-95017
 3. Date: August 11, 2010
 4. Grant Number: 2010
 5. Program Selection:

6. Amount Requested: \$ 34,262,131
 7. FOR YEAR: 2010

Application Approved by: _____
 Grant Performance Period: _____
 EHS Number: _____
 Grant Number: _____
 Date: _____

For State Use only

8. Authorized body of 5. Signature and contact information		Printed Name	Title	Phone	Email
County Public Health Officer	Signature				
County Fire Chief					
Principal Fire Chief					
County Sheriff					
Chief of Police					
Additional Position (Optional)					
Additional Position (Optional)					
9. Authorized Agent Contact Information					
Authorized Agent's Name	Title	Residing Address	City	State	Zip
Laura Phillips	Executive Director	10 Lombard St., Suite 410	San Francisco	CA	94111
Terrel Strada	Director of Strategy and Compliance	10 Lombard St., Suite 410	San Francisco	CA	94111
Contact's Name	Title	Residing Address	City	State	Zip
Neal Tanguchi	CFO	10 Lombard St., Suite 410	San Francisco	CA	94111
Mary Landers	Grant Manager	10 Lombard St., Suite 410	San Francisco	CA	94111

10. Statement of Certification

Statement of Certification-Approval Authority Body - HSGP only

By signing below, I hereby certify that the Operational Area's application represents the Approval Authority's consensus on the Operational Area's Homeland Security Grant Program needs for the Homeland Security Grant Program.

Select Application Type: Non-Profit, TA, SA, UASI, OA -> UASI

Statement of Certification - County Authorized Agent - By signing below, I hereby certify I am the duly appointed Authorized Agent and have the authority to apply for this Grant Program and the Operational Area's application represents the needs for this Grant Program.

11. Authorized Agent Name/Signature/Date

Printed Name:

Signature: *Laura Phillips*

Date: Wednesday, August 11, 2010

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

GRANT MANAGEMENT

Revisions to this document may result in delayed application approval, modification requests, or reimbursement requests.
 Submitters may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

Bay Area UASI
 075-95017
 August 11, 2010
 2010

CFDA #:

97.067 HSGP

Name	Title	Area of Responsibility	Employee/Contractor	Employee Status
Laura Phillips	Executive Director, Bay Area UASI	Grant Administration	Employee	Full Time
Teresa Serata	Director of Strategy and Compliance	Grant Administration	Employee	Full Time
Neal Taniguchi	Chief Financial Officer	Grant Management	Employee	Full Time
Heather Tannahill-Ramondon	Project and Program Manager	Grant Management	Employee	Full Time
Mary Landais	Grants Manager	Grant Management	Employee	Full Time

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT DESCRIPTIONS

Attachments to this document may include, but are not limited to, additional justification requests, or reimbursement requests. Submittals may be asked to review and/or re-submit any altered Financial Management Forms Workbook.

CFDA #

97.067 HSGP

Bay Area UASI
075-95017
August 11, 2010

Project	State Investment Justification Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project A - Enhance Regional Risk Management and Planning	Goal 4 Enhance protection of critical infrastructure and key resources; OBJECTIVE 4.9 Enhance the state's Risk Assessment Capabilities	Provide regional and hub based planning and project management to deliver risk and capabilities assessments that will enhance region-wide and hub based strategic planning.	There is a need for the four planning hubs in the Bay Area to develop risk and capabilities assessments in order to improve strategic planning. This will assist the region in becoming better prepared.	At the 6 month mark, this project will be 0% complete and \$0 funds will be expended. At the 12 month mark, this project will be 10% complete and \$259,000 funds will be expended. At the 18 month mark, this project will be 30% complete and \$1,185,000 funds will be expended. At the 6 month mark, this project will be 0% complete and \$0 funds will be expended. At the 12 month mark, this project will be 10% complete and \$902,000 funds will be expended. At the 18 month mark, this project will be 30% complete and \$2,707,000 funds will be expended.
Project B - Enhance Information Analysis and Infrastructure Protection Capabilities	Goal 2 Strengthen Information Sharing, Collaboration and Law Enforcement Investigations; Objective 2.6 - enhance number of intelligence analysts at Regional Terrorism Threat Assessment Centers (RTTAC); Goal 4: Objective 4.4- enhance Critical Infrastructure Protection to implement the National Infrastructure Protection Plan.	Enhance information analysis and critical infrastructure protection through the region's fusion center - the Regional Terrorism Threat Assessment Center.	There is a need for regional info sharing and the protection of critical infrastructure. This project will allow the Bay Area region to better protect the citizens and the critical infrastructure of the Bay Area.	At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 10% complete and \$905,000 funds will be expended. At the 18-month mark, this project will be 30% complete and \$2,716,000 funds will be expended.
Project C - Strengthen Communications and Interoperable Communications	Goal 1 Enhance Interoperable and Survivable Communications; Objective 1.1 Enhance Interoperable Communications Capabilities within all disciplines in accordance with CALSCP implementation; Objective 1.4 Enhance public communications and alert and warning systems.	Enhance and strengthen communications and interoperable communications through (1) regional planning initiatives; (2) Continued implementation of P25 compliant interoperable communication systems to support the BayTICS regional plan; (3) development of regional alert and warning systems including telephone, text and e-mail messaging, to notify first responders and the public.	There is a need to complete regional communications systems to ensure interoperability in the event of a disaster.	At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 25% complete and \$1,006,000 funds will be expended. At the 18-month mark, this project will be 50% complete and \$2,012,000 funds will be expended.
Project D - Strengthen CBRNE Detection, Response, and Decontamination Capabilities	Goal 7 Enhance Catastrophic Incident Planning Response and Recovery; Objective 7.2 - Strengthen CBRNE Detection, Response and decontamination capabilities for a multi-disciplinary response to terrorism.	Enhance the regional CBRNE Plan, identify gaps and fill those gaps by enhancing identified CBRNE detection and response capabilities throughout the region.	There is a need for the region to have interoperable CBRNE equipment in order to better prepare for a coordinated response in the event of a disaster. It is important to have an annual review of CBRNE plans in order to ensure that the region is adequately prepared and remains up to date on its strategic plans.	At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 25% complete and \$1,006,000 funds will be expended. At the 18-month mark, this project will be 50% complete and \$2,012,000 funds will be expended.
Project E - Enhance Medical, Public Health, and Mass Care Preparedness	Goal 3 Enhance Medical and Public Health Preparedness; Objective 3.3 - Enhance Medical Surge.	Enhance the Bay Area's medical and health preparedness at the State, regional, and local levels by enhancing laboratory capacity and incorporate planning efforts to prepare for, mitigate, respond to, and recover from medical and public health based emergencies that result in a medical surge.	There is a need to increase the Bay Area's capabilities for a medical surge during a disaster. This project will increase the ability of medical and health personnel to identify bio-terrorism events, synchronize their efforts with mass casualty plans and assist in the development of caches and alternate care sites in order to address these issues.	At the 12-month mark, this project will be 10% complete and \$97,000 funds will be expended. At the 18-month mark, this project will be 50% complete and \$485,000 funds will be expended.

Project	State Investment Justification Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project F - Strengthen Planning and Citizen Preparedness Capabilities	Goal 5: Citizen Preparedness and Participation. Objective 5.1: Enhance and increase citizen preparedness and training volunteers for emergency response and recovery.	Continue to enhance planning and community preparedness by identifying local best practices concerning social marketing programs and assess the effectiveness of various community preparedness programs throughout the Bay Area.	There is a need for regional community planning to ensure that the Bay Area continues to provide a common message to the entire region.	At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 10% complete and \$96,000 funds will be expended. At the 18-month mark, this project will be 30% complete and \$290,000 funds will be expended. At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 10% complete and \$53,000 funds will be expended. At the 18-month mark, this project will be 80% complete and \$42,000 funds will be expended.
Project G - Enhance Recovery Capabilities	Goal 7: Enhanced Catastrophic Incident Planning, Response and Recovery. Objective 7.1 - Strengthen Catastrophic Emergency Management Capabilities across all mission areas.	Provide for enhanced and coordinated recovery planning, as well as identify recovery capabilities and gaps and fill gaps where possible	After a disaster, the health and safety of the citizenry is vitally important. In addition, the recovery of government and businesses is also important. This project will purchase equipment to aid citizens following a disaster and seek to enhance existing plans, provide regional coordination and seek to identify areas	At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 20% complete and \$1,125,000 funds will be expended. At the 18-month mark, this project will be 40% complete and \$2,245,000 funds will be expended.
Project H - Homeland Security Exercise, Evaluation, and Training Program	Goal 8: Homeland Security Exercise, Evaluation, and Training. Objective 8.1 - Enhance Statewide training and exercise programs across all mission areas within California.	Develop and Enhance a multi-year homeland security exercise, evaluation and training program that is built off of the existing multi-year training exercise Strategic Plan	There is a need for a regional training and exercise program to ensure that Bay Area personnel have experience working with each other in the event of a disaster.	At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 20% complete and \$343,000 funds will be expended. At the 18-month mark, this project will be 50% complete and \$857,000 funds will be expended.
Project I - Management and Administration	Investment Goal Objective	Management and Administration of the FY 10 UASI grant.		At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 0% complete and \$0 funds will be expended. At the 18-month mark, this project will be 0% complete and \$0 funds will be expended.
Project J	Investment Goal Objective			At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 0% complete and \$0 funds will be expended. At the 18-month mark, this project will be 0% complete and \$0 funds will be expended.
Project K	Investment Goal Objective			At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 0% complete and \$0 funds will be expended. At the 18-month mark, this project will be 0% complete and \$0 funds will be expended.
Project L	Investment Goal Objective			At the 6-month mark, this project will be 0% complete and \$0 funds will be expended. At the 12-month mark, this project will be 0% complete and \$0 funds will be expended. At the 18-month mark, this project will be 0% complete and \$0 funds will be expended.

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

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CFDA #

97.067 HSGP

Bay Area UASI

075-95017

2010

LEDGER TYPE: Initial Application
 Today's Date: Wednesday, August 11, 2010
 Expenditure Period: (Date) From: (Date) To:

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area	Solution Area Sub-Category	Total Obligations	Amount Approved	Amount This Request	Match Amount	Total Approved	Remaining Balance	Percentage Complete
1	A	Enhance Regional Risk Management and Planning	UASI	LE	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	150,000					150,000	
2	A	Enhance Regional Risk Management and Planning	UASI	LE	Plan	Develop and Enhance Plans and Protocols	250,000					250,000	
3	A	Enhance Regional Risk Management and Planning	UASI	FS	Plan	Develop and Enhance Plans and Protocols	463,197					463,197	
4	A	Enhance Regional Risk Management and Planning	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	979,000					979,000	
5	A	Enhance Regional Risk Management and Planning	UASI	EMG	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	527,182					527,182	
6	B	Enhance Information Analysis and Infrastructure Protection Capabilities	UASI	EMG	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	136,401					136,401	
7	B	Enhance Information Analysis and Infrastructure Protection Capabilities	UASI	LE	Org	Hiring of new staff/contractors for information analysis and sharing/vision center activities	4,000,000					4,000,000	
8	B	Enhance Information Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	CBRN Search and Rescue Equipment	65,000					65,000	
9	B	Enhance Information Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	Explosive Device Mitigation and Remediation Equipment	734,600					734,600	

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

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Bay Area UASI

075-95017

2010

LEDGER TYPE: Initial Application
 Today's Date: Wednesday, August 11, 2010
 Expenditure Period: (Date) From: (Date) To:

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area	Statistical Area Sub-Category	Total Obligations	Approved Previous	Amount This Request	Match Amount	Total approved	Remaining Balance	Percentage Complete
10	B	Enhance Information Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	Physical Security; Enhancement Equipment	600,000					600,000	
11	8	Enhance Information Analysis and Infrastructure Protection Capabilities	UASI	LE	Equip	Terrorism Incident Prevention Equipment	3,488,284					3,488,284	
12	C	Strengthen Communications and Interoperable Communications	UASI	PSC	Equip	Information Technology	2,260,000					2,260,000	
13	C	Strengthen Communications and Interoperable Communications	UASI	EMG	Equip	Information Technology	917,750					917,750	
14	C	Strengthen Communications and Interoperable Communications	UASI	PSC	Equip	Interoperable Communications Equipment	5,134,102					5,134,102	
15	C	Strengthen Communications and Interoperable Communications	UASI	PSC	Equip	CBRNE Incident Response Vehicle	96,500					96,500	
16	C	Strengthen Communications and Interoperable Communications	UASI	PSC	Equip	Other Authorized Equipment	161,275					161,275	
17	C	Strengthen Communications and Interoperable Communications	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	15,000					15,000	
18	C	Strengthen Communications and Interoperable Communications	UASI	EMG	Plan	Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	465,408					465,408	
19	D	Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	Personal Protective Equipment	497,900					497,900	
20	D	Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	Personal Protective Equipment	517,388					517,388	
21	D	Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	EMG	Equip	Personal Protective Equipment	13,014					13,014	
22	D	Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	Explosive Device Mitigation and Remediation Equipment	31,651					31,651	
23	D	Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	CBRNE Search and Rescue Equipment	22,415					22,415	

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

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Bay Area UASI

075-95017
2010

LEDGER TYPE: Initial Application
 Today's Date: Wednesday, August 11, 2010
 Expenditure Period: (Date) From: (Date) To:

Item Number	Project Name	Funding Source	Discipline	Solution Area	Qualification Area	Sub-Category	Total Obligated	Amount Applied Previous	Amount This Request	Match Amount	Total Approved	Remaining Balance	Percentage Complete
24	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	CBRNE Search and Rescue	Equipment	157,345					157,345	
25	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	EMG	Equip	CBRNE Search and Rescue	Equipment	7,945					7,945	
26	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	Information Technology	Equipment	11,800					11,800	
27	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	Interoperable Communications	Equipment	29,000					29,000	
28	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	Power	Equipment	139,219					139,219	
29	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	CBRNE Incident Response Vehicle	Equipment	385					385	
30	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	CBRNE Incident Response Vehicle	Equipment	1,853,193					1,853,193	
31	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	CBRNE Incident Response Vehicle	Equipment	122,931					122,931	
32	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	Terrorism Incident Prevention	Equipment	24,550					24,550	
33	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	CBRNE Prevention and Response	Equipment	25,000					25,000	
34	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	CBRNE Prevention and Response	Watercraft	50,000					50,000	
35	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	CBRNE Logistical Support	Equipment	249,000					249,000	
36	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	CBRNE Logistical Support	Equipment	3,600					3,600	
37	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	EMG	Equip	CBRNE Logistical Support	Equipment	42,676					42,676	
38	D Strengthen CBRNE Detection, Response, and Decontamination Capabilities	UASI	FS	Equip	CBRNE Logistical Support	Other Authorized Equipment	6,200					6,200	

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

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Bay Area UASI

075-95017

2010

CFDA #

97.067 HSGP

LEDGER TYPE:

Initial Application

Today's Date:

Wednesday, August 11, 2010

Expenditure Period:

(Date) From:

(Date) To:

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area	Solution Area Sub-Category	Total Obligated	Amount Approved Previous	Amount This Request	Match Amount	Total Approved	Remaining Balance	Percentage Complete
39	D	Strengthen CBRE Detection, Response, and Decontamination Capabilities	UASI	LE	Equip	Other Authorized Equipment	34,262,131	*	*	*	34,262,131	999	
40	D	Strengthen CBRE Detection, Response, and Decontamination Capabilities	UASI	EMG	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	218,333				218,333	999	
41	E	Enhance Medical, Public Health, and Mass Care Preparedness	UASI	EMS	Plan	Develop and Enhance Plans and Protocols	585,000				585,000	999	
42	E	Enhance Medical, Public Health, and Mass Care Preparedness	UASI	EMS	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	162,500				162,500	999	
43	E	Enhance Medical, Public Health, and Mass Care Preparedness	UASI	PH	Equip	Medical	185,576				185,576	999	
44	E	Enhance Medical, Public Health, and Mass Care Preparedness	UASI	PH	Equip	CBRE Incident Response Vehicle	37,380				37,380	999	
45	F	Strengthen Planning and Citizen Preparedness Capabilities	UASI	EMG	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	131,440				131,440	999	
46	F	Strengthen Planning and Citizen Preparedness Capabilities	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	750,000				750,000	999	
47	F	Strengthen Planning and Citizen Preparedness Capabilities	UASI	EMG	Train	Training	45,000				45,000	999	
48	F	Strengthen Planning and Citizen Preparedness Capabilities	UASI	EMG	Equip	Other Authorized Equipment	41,000				41,000	999	

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

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Bay Area UASI

075-95017
2010

CFDA # 97.067 HSGP

LEDGER TYPE: Initial Application

Today's Date: Wednesday, August 11, 2010
Expenditure Period: (Date) From: (Date) To:

Item Number	Project	Project Name	Funding Source	Deadline	Solution Area	Solution Area Sub-Category	Total Obligated	Amount Approved	Amount Requested	Match Amount	Total Approved	Remaining Assistance	Percentage Complete
49	G	Enhance Recovery Capabilities	UASI	EMG	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	212,273				212,273		
50	G	Enhance Recovery Capabilities	UASI	PW	Equip	Logistical Support Equipment	315,000				315,000		
51	H	Homeland Security Exercise, Evaluation, and Training Program	UASI	LE	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	337,273				337,273		
52	H	Homeland Security Exercise, Evaluation, and Training Program	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	3,016,339				3,016,339		
53	H	Homeland Security Exercise, Evaluation, and Training Program	UASI	EMG	Exec	Design/Develop/Conduct/Evaluate	1,360,000				1,360,000		
54	H	Homeland Security Exercise, Evaluation, and Training Program	UASI	LE	Exec	Design/Develop/Conduct/Evaluate	600,000				600,000		
55	H	Homeland Security Exercise, Evaluation, and Training Program	UASI	FS	Exec	Design/Develop/Conduct/Evaluate	300,000				300,000		
56	I	Management and Administration	UASI	EMG	M & A	Hiring of Full or Part-Time Staff	1,301,963				1,301,963		
57	I	Management and Administration	UASI	EMG	M & A	All Other NSA Expenses	411,144				411,144		
58													

CALIFORNIA EMERGENCY MANAGEMENT AGENCY
 EQUIPMENT INVENTORY

Bay Area UASI
 03-66917

CFDA# 97.067/HSGP
 URSOR TYPE: Initial Application
 Issued Date: August 9, 2010
 Prepared By: [Name]
 Approved By: [Name]

Project	Equipment Description (Quantity)	NEIS	NEI Title	System	Priority	Category	Division	System Area	Item	Vendor	ID Tag Number	Disposition	Estimated Cost	Approved Amount	Amount This Request	Can Release?	Total Approved	Remaining Balance
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			25,519	25,519			25,519	25,519
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			577,315	577,315			577,315	577,315
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			680,400	680,400			680,400	680,400
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			65,000	65,000			65,000	65,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			2,225,000	2,225,000			2,225,000	2,225,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			724,600	724,600			724,600	724,600
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			600,000	600,000			600,000	600,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			415,000	415,000			415,000	415,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			430,780	430,780			430,780	430,780
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			1,154,270	1,154,270			1,154,270	1,154,270
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			98,500	98,500			98,500	98,500
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			30,000	30,000			30,000	30,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			1,238,402	1,238,402			1,238,402	1,238,402
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			1,200,000	1,200,000			1,200,000	1,200,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			260,000	260,000			260,000	260,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			131,275	131,275			131,275	131,275
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			506,250	506,250			506,250	506,250
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			1,255,000	1,255,000			1,255,000	1,255,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			31,500	31,500			31,500	31,500
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			180,000	180,000			180,000	180,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			1,440,000	1,440,000			1,440,000	1,440,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			200,000	200,000			200,000	200,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			12,000	12,000			12,000	12,000
B	Control Hardware	117F-00-FECS	117F-00-FECS	FECS, URSOR, Bay Area	UASI	FE	FE	FECS	117F-00-FECS	FECS			22,000	22,000			22,000	22,000

Item #	Item Description	NSI #	Item Title	System	Priority	Location	Condition	Sub-Category	Quantity	Unit	Value	Estimated Cost	Request	Approved	Remaining
0	CBRT Response Vehicle (Sara)	12VE-00-MISS	Vehicle, Specialized Mission, CBRT	UNSI	LE							305,503			305,503
0	Regional Mobile Incident Command Unit (Sara) 4 Bush Bus	04HW-01	Mobile, Incident Command, Heavy	UNSI	FS							11,000			11,000
0	Regional Mobile Incident Command Unit (Sara) 3 Mobile, Heavy	06C2-01-4028	Mobile, Incident Command, Heavy	UNSI	FS							9,580			9,580
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	06C2-01-5417	Mobile, Incident Command, Heavy	UNSI	FS							7,300			7,300
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	04HW-01	Mobile, Incident Command, Heavy	UNSI	FS							12,000			12,000
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	1104-00-UNSI	Mobile, Incident Command, Heavy	UNSI	FS							600			600
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	07C2-01-0255	Mobile, Incident Command, Heavy	UNSI	LE							6,200			6,200
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	07SP-01-EN54	Mobile, Incident Command, Heavy	UNSI	LE							42,000			42,000
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	012A-06-RTD3	Mobile, Incident Command, Heavy	UNSI	LE							69,200			69,200
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	011E-01-14147	Mobile, Incident Command, Heavy	UNSI	LE							93,200			93,200
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	12TR-00-TE04	Mobile, Incident Command, Heavy	UNSI	LE							116,800			116,800
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	12WC-00-BOAT	Mobile, Incident Command, Heavy	UNSI	LE							15,000			15,000
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	12VE-00-MISS	Mobile, Incident Command, Heavy	UNSI	LE							50,000			50,000
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	12VE-00-MISS	Mobile, Incident Command, Heavy	UNSI	FS							144,905			144,905
0	Regional Mobile Incident Command Unit (Sara) 10 portable incident	12VE-00-MISS	Mobile, Incident Command, Heavy	UNSI	FS							60,000			60,000

Project	Equipment Description	AEI #	AEI Title	System	Funding Source	Change	Station	Vendor	ID Tag Number	Condition	Acquired	Asset	Estimated	Approved	Amount	Cap	Total	Approved	Remaining
											Date	Value	Cost	Request	Expend	Expend	Balance		
D	Police Vehicle (Alameda County SO)	011E-01-SHLD	Shield, Bulbless		UASI	LE							9,500						9,500
D	Police Vehicle (Alameda County SO)	012E-01-ROBT	ROBT		UASI	LE							12,550						12,550
D	Police Motor Vehicle (Oakland)	121E-00-HISS	Vehicle, Specialized		UASI	LE							52,987						52,987
D	Peristaltic Pump (San Francisco SO)	028E-00-CHRM	CHRM, Equipment		UASI	LE							5,457						5,457
D	EOU Bond suit and Hanger Material (Oakland)	017E-01-ROBT	ROBT, Equipment		UASI	LE							26,194						26,194
D	Lenox Diesel Under system (Oakland)	131E-00-HISS	Vehicle, Specialized		UASI	LE							123,325						123,325
D	Trailer (Oakland)	127E-00-HISS	Vehicle, Specialized		UASI	FS							56,346						56,346
D	Dispatching System (Oakland)	1955-00-SHLD	Shield, Equipment		UASI	EMC							6,585						6,585
D	Police Administration System (Oakland)	012E-01-137E	Police Administration		UASI	EMC							42,676						42,676
D	CBHF (11E system) (Oakland)	028E-02-111A	111A, Equipment		UASI	FS							7,945						7,945
D	CBHF (Inspector vehicle) (Oakland)	121E-00-HISS	Vehicle, Specialized		UASI	LE							123,345						123,345
D	ICS Section Van (Oakland)	012A-06-112E	Van, Equipment		UASI	FMG							274,000						274,000
D	Command Vehicle (San Mateo SO)	121E-00-CHRM	CHRM, Equipment		UASI	LE							13,014						13,014
D	Police Vehicle (San Mateo SO)	121E-00-CHRM	CHRM, Equipment		UASI	FS							287,273						287,273
D	Command Vehicle (San Mateo SO)	121E-00-CHRM	CHRM, Equipment		UASI	FS							249,000						249,000
D	Command Vehicle (San Mateo SO)	121E-00-CHRM	CHRM, Equipment		UASI	LE							517,288						517,288
D	Command Vehicle (San Mateo SO)	121E-00-HISS	Vehicle, Specialized		UASI	LE							300,000						300,000
D	Command Vehicle (San Mateo SO)	02XD-01-095E	095E, Equipment		UASI	LE							100,000						100,000
D	Special Boat Enhancer (San Francisco SO)	121E-00-ROBT	ROBT, Equipment		UASI	FS							77,218						77,218
D	Bulbless Vehicle (Oakland)	011E-01-SHLD	Shield, Bulbless		UASI	LE							25,000						25,000
D	Storage Container (Oakland)	1914H-00-CHRM	CHRM, Equipment		UASI	LE							8,400						8,400
D	Mobile Computing recording system (Oakland)	131E-00-SURV	Surveillance		UASI	LE							3,600						3,600
D	Mobile Computing recording system (Oakland)	032E-02-ROBT	ROBT, Equipment		UASI	LE							24,550						24,550
D	Multi-Sensor Radar (Oakland)	108E-00-SHLD	Shield, Equipment		UASI	LE							9,855						9,855
D	Multi-Sensor Radar (Oakland)	210H-00-SHLD	Shield, Equipment		UASI	LE							385						385
D	Administrative Case Law Database (Oakland)	091E-01-112E	112E, Equipment		UASI	PH							999						999
D	Administrative Case Law Database (Oakland)	127E-00-112E	112E, Equipment		UASI	PH							77,076						77,076
D	Administrative Case Law Database (Oakland)	094D-01-CHRM	CHRM, Equipment		UASI	PH							37,380						37,380
D	Administrative Case Law Database (Oakland)	094D-01-CHRM	CHRM, Equipment		UASI	PH							108,500						108,500

Operations to this document may result in delayed application approval, modification requests, or reimbursement requests. Situations may be asked to revise and/or resubmit any altered financial management forms Workbook.

**CALIFORNIA EMERGENCY MANAGEMENT AGENCY
TRAINING ROSTER**

Bay Area UASI

075-95017

2010

CFDA#

97.067 HSGP

Ledger Type: Initial Application

Today's Date:

August 11, 2010

Expenditure Period:

(Date) From: (Date) To:

Project	Course Name	Funding Source	Discipline	Solution Area Sub-Category	Feedback Number	Training Activity	Identified Host	EHP Approval Date	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request #	Total Approved	Remaining Balance
F	Disaster Shelter Ops Training	UASI	EMG	Training Consultant	1021854	Classroom			135,000					135,000
F	Disaster Shelter Ops Training	UASI	EMG	Training Consultant	1021856	Classroom			11,250					11,250
F	Disaster Shelter Ops Training	UASI	EMG	Training Consultant	1021858	Classroom			11,250					11,250
F	Disaster Shelter Ops Training	UASI	EMG	Training Consultant	1021860	Classroom			11,250					11,250
H	Training Coord	UASI	EMG	Design/Development/Conduct/Evaluate					90,000					90,000

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PLANNING ROSTER

Attention: To this document may result in delayed application approval, modification requests, or reimbursement requests. Subgrantees may be asked to revise and/or resubmit any altered Financial Management Form Worksheet.

CFDA # 97.067 HSGP

Bay Area UASI

075-95017

075-95017	075-95017	075-95017	075-95017	075-95017	075-95017	075-95017
075-95017	075-95017	075-95017	075-95017	075-95017	075-95017	075-95017

LEDGER TYPE:	Initial Application
Today's Date:	Wednesday, August 11, 2010
Expenditure Period:	(Date) From: _____ (Date) To: _____

Project	Planning Activity	Funding Source	Discipline	Solution Area Sub-Category	Final Product	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request #	Total Approved	Remaining Balance
	Coordinate, evaluate, implementation of AFR/RMS- San Jose PD Oakland	UASI	LE	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	AFR/RMS Plans and procedures	150,000					150,000
A	Update San Jose Emergency Operations Plan- San Jose FD	UASI	FS	Develop and Enhance Plans and Protocols	Updated San Jose Emergency Operations Plan	169,600					169,600
A	Assess and revise Oakland EOP/ERP- Oakland	UASI	EMG	Enhance Plans and Protocols	Revised Oakland EOP/ERP	314,500					314,500
A	Complete Haz Mat Incident Index- Oakland	UASI	EMG	Enhance Plans and Protocols	Completed Oakland Hazmat Incident Index	314,500					314,500
A	Enhance Emergency Response plans- San Francisco DEM	UASI	EMG	Develop and Enhance Plans and Protocols	Revised San Francisco DEM emergency response plan	120,000					120,000
A	Assess and update programs and plans- San Francisco FD	UASI	FS	Develop and Enhance Plans and Protocols	Updated San Francisco FD plans and protocols	293,597					293,597
A	Develop strategic communications plan- San Francisco DEM	UASI	EMG	Develop and Enhance Plans and Protocols	Completed San Francisco DEM strategic communications plan	130,000					130,000
A	Assess and update programs and plans- San Francisco PD	UASI	LE	Develop and Enhance Plans and Protocols	Updated San Francisco PD plans and protocols	250,000					250,000
A	Develop Regional Risk Assessment Database	UASI	EMG	Develop and Enhance Plans and Protocols	Regional Risk Assessment database	100,000					100,000
A	Develop Risk Management Plan	UASI	EMG	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	Risk management plan	527,182					527,182

Project	Planning Activity	Funding Source	Discipline	Solution Area Sub-Category	Final Product	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request \$	Total Approved	Remaining Balance
B	Coordinate implementation of Information analysis & infrastructure protection plan	UASI	EMG	Develop, Coordinate, Implement or	Coordinated regional Information analysis and infrastructure protection plan	136,401					136,401
C	Develop Durable Medical Equipment Resources Plan- Santa Clara EMA	UASI	EMG	Develop and Enhance Plans and Protocols	Durable Medical Equipment plan for Santa Clara	15,000					15,000
C	Develop and implement interoperable Communications Plan	UASI	PSC	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	Regional Interoperable Communications Plan	465,408					465,408
D	Coordinate Implementation of CBRNE Plan	UASI	EMG	Develop, Coordinate, Implement, or	Regional CBRNE Plan	218,333					218,333
E	Develop Med Surge/MCI Plan	UASI	EMS	Develop and Enhance Plans and Protocols	Med Surge/Mass Casualty Plan	585,000					585,000
E	Coordinate Implementation of regional Med Surge/MCI planning	UASI	EMS	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	Regional Med Surge/MCI Implementation plan	162,500					162,500
F	Enhance Community Prep and Citizen Corps Plans- San Francisco DEM	UASI	EMG	Develop and Enhance Plans and Protocols	Enhanced Community Prep and Citizen Corps Plans	750,000					750,000
F	Coordinate implementation of Regional Citizen Preparedness plans	UASI	EMG	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	Regional Implementation of Citizen Preparedness plans	131,440					131,440
	Develop Regional Recovery plans	UASI	EMG	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	Develop Regional Recovery Plans	212,273					212,273
H	Coordinate implementation of Training and Exercise Plans and Programs.	UASI	EMG	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	Enhanced Training and Exercise Plans	337,273					337,273
H	Update Regional Strategic Plan	UASI	EMG	Develop and Enhance Plans and Protocols	Updated Regional Strategic Plan	2,926,339					2,926,339

**CALIFORNIA EMERGENCY MANAGEMENT AGENCY
 EXERCISE ROSTER**

2010

CFDA #

97.067 HSGP

Ledger Type	Initial Application	
Today's Date	August 11, 2010	
Expenditure Period	(Date) From	(Date) To

Project	Exercise Title	Funding Source	Discipline	Solution Area Sub-Category	HIP Approval Date	Date of Exercise	Exercise Activity	Identified Host	Date of MUR entered into HSEEP	Estimated Cost	Amount Approved Previous	Amount This Request	Cash Request #	Total Approved	Remaining Balance
H	Exercise Planner (SF DEM)	UNSI	EMG	Design/Devel op/Conduct/E						2,286,000					
H	Overhead Exercise Planners	UNSI	EMG	Design/Devel op/Conduct/E						250,000					260,000
	Regional Exercise (Urban Shield)	UNSI	LE	Design/Devel op/Conduct/E	Pending	10/20/11	Full Scale Host			800,000					800,000
H	Regional Exercise (Urban Shield)	UNSI	FS	Design/Devel op/Conduct/E	Pending	10/20/11	Full Scale Host			600,000					600,000
H	Regional Exercise (Urban Shield)	UNSI	EMG	Design/Devel op/Conduct/E	Pending	10/20/11	Full Scale Host			300,000					300,000

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

AUTHORIZED AGENT

Any errors in this statement may result in delayed application approval, modification requests, or reimbursement requests. Submitters may be asked to revise and/or re-submit any affected Financial Management Forms Workbook.

CFDA #: 97.067 HSGP

Bay Area UASI
075-95017

2010

Supporting Information for Reimbursement/Advance of State and Federal Funds

This request is for an/a: Initial Application

This claim is for costs incurred within the grant expenditure period from and does not cross fiscal years.

(Beginning Expenditure Period Date)

through

(Ending Expenditure Period Date)

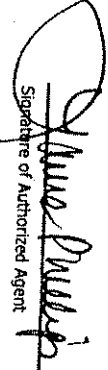
Under Penalty of Perjury I certify that:

I am the duly authorized officer of the claimant herein. This claim is true, correct, and all expenditures were made in accordance with applicable laws, rules, regulations and grant conditions and assurances.

Statement of Certification - Authorized Agent
By signing below, I hereby certify that I am the duly appointed Authorized Agent and have the authority to apply for the Homeland Security, Transit Security, Non-Profit Security Grant Program, PROP 18, Urban Area Security Initiative, and the Operational Area's application represents the needs for the State Homeland Security Program.

Laura Phillips

Printed Name



Signature of Authorized Agent

8/11/2010

Date

Mail workbooks to:

Maybel Garing- Espilla
Homeland Security Grants - CAIEMA
California Emergency Management Agency
3650 Schriever Avenue



California Emergency Management Agency

FY2010 Grant Assurances

(All HSGP Applicants)

Name of Applicant: Bay Area UASI
Address: 10 Lombard St. #400
City: San Francisco State: CA Zip Code: 94111
Telephone Number: (415) 705-8516 Fax Number: (415) 705-8513
E-Mail Address: Laura.Phillips@sfgov.org

As the duly authorized representative of the applicant, I certify that the applicant named above:

1. Will assure that grant funds will support efforts related to providing an integrated mechanism to enhance the coordination of national priority efforts to prevent, respond to, and recover from terrorist attacks, major disasters and other emergencies.
2. Has the legal authority to apply for Federal assistance and has the institutional, managerial and financial capability to ensure proper planning, management and completion of the grant provided by the U.S. Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) and sub-granted through the State of California, California Emergency Management Agency (Cal EMA).
3. Will assure that grant funds are used for allowable, fair, and reasonable costs only and will not be transferred between grant programs (for example: State Homeland Security Program, Urban Area Security Initiative, Citizen Corps Program, and Metropolitan Medical Response System) or fiscal years.
4. Will comply with any cost sharing commitments included in the FY2010 Investment Justifications submitted to DHS/FEMA/Cal EMA, where applicable.
5. Will give the Federal government, the General Accounting Office, the Comptroller General of the United States, the State of California, the Office of Inspector General, through any authorized representative, access to, and the right to examine, all paper or electronic records, books, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards and/or awarding agency directives.
6. Agrees that funds utilized to establish or enhance State and Local fusion centers must support the development of a statewide fusion process that corresponds with the Global Justice/Homeland Security Advisory Council (HSAC) Fusion Center Guidelines, follow the Federal and State approved privacy policies, and achieve (at a minimum) baseline level of capability as defined by the Fusion Capability Planning Tool.

7. Will provide progress reports, and other such information as may be required by the awarding agency, including the Initial Strategy Implementation Plan (ISIP) within 45 (forty-five) days of the award, and update via the Grant Reporting Tool (GRT) twice each year.
8. Will initiate and complete the work within the applicable time frame after receipt of approval from Cal EMA.
9. Will maintain procedures to minimize the time elapsing between the award of funds and the disbursement of funds.
10. Will comply with all provisions of DHS/FEMA's codified regulation 44, Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, including the payment of interest earned on advances.
11. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes, or presents the appearance of, personal or organizational conflict of interest, or personal gain for themselves or others, particularly those with whom they have family, business, or other ties.
12. Understands and agrees that Federal funds will not be used, directly or indirectly, to support the enactment, repeal, modification or adoption of any law, regulation, or policy, at any level of government, without the express prior written approval from DHS/FEMA/Cal EMA.
13. Agrees that, to the extent contractors or subcontractors are utilized, will use small, minority-owned, women-owned, or disadvantaged business concerns and contractors or subcontractors to the extent practicable.
14. Will notify Cal EMA of any developments that have a significant impact on award-supported activities, including changes to key program staff.
15. Will comply, if applicable, with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of structures.
16. Will comply with all Federal and State Statues relating to Civil Rights and Nondiscrimination. These include, but are not limited to:
 - a. Title VI of the Civil Rights Act of 1964 (P.L. 88-352), as amended, which prohibits discrimination on the basis of race, color or national origin.
 - b. Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683 and 1685-1686), which prohibits discrimination on the basis of gender.
 - c. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps.
 - d. The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age.
 - e. The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse.
 - f. The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism.

- g. §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records.
 - h. Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing.
 - i. Title 44 Code of Federal Regulations (CFR) Parts 7, 16, and 19 relating to nondiscrimination.
 - j. The requirements on any other nondiscrimination provisions in the specific statute(s) under which the application for Federal assistance is being made.
 - k. Will, in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the grounds or race, color, religion, national origin, gender, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office of Civil Rights, Office of Justice Programs.
 - l. Will provide an Equal Employment Opportunity Plan, if applicable, to the Department of Justice Office of Civil Rights within 60 days of grant award.
 - m. Will comply, and assure the compliance of all its subgrantees and contractors, with the nondiscrimination requirements and all other provisions of the current edition of the Office of Justice Programs Financial and Administrative Guide for Grants, M7100.1.
17. Will comply with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. § 4601 et seq. [P.L. 91-646]) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally assisted programs. These requirements apply to all interested in real property acquired for project purposes regardless of Federal participation in purchases. Will also comply with Title 44 CFR, Part 25, Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally-assisted programs.
18. Will comply, if applicable, with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is ten thousand dollars (\$10,000) or more.
19. Will comply with all applicable Federal, State, and Local environmental and historical preservation (EHP) requirements. Failure to meet Federal, State, and Local EHP requirements and obtain applicable permits may jeopardize Federal funding. Will comply with all conditions placed on any project as the result of the EHP review; any change to the scope of work of a project will require reevaluation of compliance with these EHP requirements.
20. Agrees not to undertake any project having the potential to impact the EHP resources without the prior written approval of DHS/FEMA/Cal EMA, including, but not limited to, ground disturbance, construction, modification to any structure, physical security enhancements, communications towers, and purchase and/or use of any sonar equipment. The subgrantee must comply with all conditions placed on the project as a result of the EHP review. Any construction-related activities initiated without the necessary EHP review and approval will result in a noncompliance finding, and may not be eligible for reimbursement with DHS/FEMA/Cal EMA funding. Any change to the scope of work will require re-evaluation of compliance with the EHP. If ground-disturbing activities occur during the project implementation, the subgrantee must ensure monitoring of the disturbance. If any potential archeological resources are

discovered, the subgrantee will immediately cease activity in that area and notify DHS/FEMA/Cal EMA and the appropriate State Historic Preservation Office.

21. Will ensure that the facilities under its ownership, lease or supervision, which shall be utilized in the accomplishment of this project, are not on the Environmental Protection Agency's (EPAs) List of Violating Facilities, and will notify Cal EMA and the Federal Grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating if a facility to be used in the project is under consideration for listing by the EPA.
22. Will provide any information requested by DHS/FEMA/Cal EMA to ensure compliance with applicable laws, including the following:
 - a. Institution of environmental quality control measures under the National Environmental Policy Act, National Historical Preservation Act, Archaeological and Historic Preservation Act, Endangered Species Act, and Executive Orders on Floodplains (11988), Wetlands (11990) and Environmental Justice (EO12898) and Environmental Quality (EO11514).
 - b. Notification of violating facilities pursuant to EO 11738.
 - c. Assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.).
 - d. Conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.).
 - e. Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523).
 - f. California Environmental Quality Act (CEQA). California Public Resources Code Sections 21080-21098. California Code of Regulations, Title 14, Chapter 3 Section 15000-15007.
 - g. Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
 - h. Applicable provisions of the Coastal Barrier Resources Act (P.L. 97-348) dated October 19, 1982 (16 USC 3501 et seq.) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System.
23. Will comply with Standardized Emergency Management System (SEMS) requirements as stated in the California Emergency Services Act, Government Code, Chapter 7 of Division 1 of Title 2, Section 8607.1(e) and CCR Title 19, Sections 2445, 2446, 2447, and 2448.
24. Agrees that all publications created or published with funding under this grant shall prominently contain the following statement: "*This document was prepared under a grant from FEMA's Grant Programs Directorate, U.S. Department of Homeland Security. Points of view or opinions expressed in this document are those of the authors and do not necessarily represent the official position or policies of FEMA's Grant Programs Directorate or the U.S. Department of Homeland Security.*" The recipient also agrees that, when practicable, any equipment purchased with grant funding shall be prominently marked as follows: "*Purchased with funds provided by the U.S. Department of Homeland Security.*"
25. Acknowledges that DHS/FEMA reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use, for Federal government purposes: a) the copyright in any work developed under an award or sub-award; and b) any rights of copyright to which a recipient or sub-recipient purchases ownership with Federal support.

26. The recipient agrees to consult with DHS/FEMA/Cal EMA regarding the allocation of any patent rights that arise from, or are purchased with, this funding.
27. Has requested through the State of California, Federal financial assistance to be used to perform eligible work approved in the submitted application for Federal assistance and after the receipt of Federal financial assistance, through the State of California, agrees to the following:
 - a. Promptly return to the State of California all the funds received which exceed the approved, actual expenditures as accepted by the Federal or State government.
 - b. In the event the approved amount of the grant is reduced, the reimbursement applicable to the amount of the reduction will be promptly refunded to the State of California.
 - c. Separately account for interest earned on grant funds; and will return all interest earned, in excess of \$100 per Federal Fiscal Year.
28. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Sections 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
29. Will comply with provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
30. Will comply, if applicable, with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
31. Will comply, if applicable, with the Laboratory Animal Welfare Act of 1966 (P. L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
32. Will comply with the minimum wage and maximum hour provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.
33. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Section 276a to 276a-7), the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Sections 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333), regarding labor standards for Federally-assisted construction sub-agreements.
34. Agrees that:
 - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement.
 - b. If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or an employee of Congress, or employee of a Member

of Congress in connection with the Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

- c. The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers including subgrants, contracts under grants and cooperative agreements, and subcontract(s) and that all sub recipients shall certify and disclose accordingly.
 - d. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
35. Agrees that equipment acquired or obtained with grant funds:
 - a. Will be made available pursuant to applicable terms of the California Disaster and Civil Defense Master Mutual Aid Agreement in consultation with representatives of the various fire, emergency medical, hazardous materials response services, and law enforcement agencies within the jurisdiction of the applicant, and deployed with personnel trained in the use of such equipment in a manner consistent with the California Law Enforcement Mutual Aid Plan or the California Fire Services and Rescue Mutual Aid Plan.
 - b. Is consistent with needs as identified in the State Homeland Security Strategy and will be deployed in conformance with that Strategy.
 36. Agrees that funds awarded under this grant will be used to supplement existing funds for program activities, and will not supplant (replace) non-Federal funds.
 37. Will comply with all applicable Federal statutes, regulations, policies, guidelines and requirements, including OMB Circulars A102 and A-133, E.O. 12372 and the current Administrative Requirements, Cost Principles, and Audit Requirements.
 38. Will comply with all provisions of 2 CFR, including: Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A-110); Part 225 Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87); Part 220 Cost Principles for Educational Institutions (OMB Circular A-21); Part 230 Cost Principles for Non-Profit Organizations (OMB Circular A-122).
 39. Will comply with Subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990.
 40. Agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this agreement.
 41. Will comply with Federal Acquisition Regulations (FAR), part 31.2 Contract Cost Principles and Procedures, Contracts with Commercial Organizations.
 42. Will comply with the financial and administrative requirements set forth in the current edition of the DHS Financial Management Guide.

43. Agrees that all allocations and use of funds under this grant will be in accordance with the FY 2010 Homeland Security Grant Program Guidance and Application Kit, and the California Supplement to the FY 2010 Homeland Security Grant Program Guidance and Application Kit. All allocations and use of funds under this grant will be in accordance with the Allocations, and use of grant funding must support the goals and objectives included in the State and/or Urban Area Homeland Security Strategies as well as the investments identified in the Investment Justifications which were submitted as part of the California FY2010 Homeland Security Grant Program application. Further, use of FY10 funds is limited to those investments included in the California FY10 Investment Justifications submitted to DHS/FEMA/Cal EMA and evaluated through the peer review process.
44. Will not make any award or permit any award (subgrant or contract) to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549 and 12689, "Debarment and Suspension".
45. As required by Executive Order 12549, Debarment and Suspension, and implemented at 44 CFR Part 17, for prospective participants in primary covered transactions,
- a. The applicant certifies that it and its principals:
 - i. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency.
 - ii. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
 - iii. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and
 - b. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.
46. Agrees to comply with the Drug-Free Workplace Act of 1988, and certifies that it will or will continue to provide a drug-free workplace by:
- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
 - b. Establishing an on-going drug-free awareness program to inform employees about:
 - i. The dangers of drug abuse in the workplace;
 - ii. The grantee's policy of maintaining a drug-free workplace;
 - iii. Any available drug counseling, rehabilitation, and employee assistance programs; and

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 - ii. The grantee's policy of maintaining a drug-free workplace;
 - iii. Any available drug counseling, rehabilitation, and employee assistance programs;and

FILE NO. 100933

RESOLUTION NO. 324-10

1 [Homeland Security Grants]

2
3 Resolution authorizing designated City and County officials to execute and file on
4 behalf of the City and County of San Francisco, a public entity established under the
5 laws of the State of California, any actions necessary for the purpose of obtaining
6 federal financial assistance provided by the federal Department of Homeland Security
7 and sub-granted through the State of California under various grant programs,
8 including: the FY 2010 Homeland Security Grant Program for the FY 2010 Urban Areas
9 Security Initiative (UASI) grant, the FY 2010 Regional Catastrophic Preparedness Grant
10 Program (RCPGP), the FY 2010 Interoperable Emergency Communications Grant
11 Program (IECGP), Public Law 111-83, FY 2010 State Homeland Security Grant Program,
12 FY 2010 Metropolitan Medical Response System, FY 2010 Citizen Corps Program, FY
13 2010 Emergency Management Performance Grant, and FY 2010 Buffer Zone Protection
14 Program.

15
16 WHEREAS, The State of California, through various homeland security grant
17 programs, provides support for the development and maintenance of the capability of local
18 jurisdictions to prepare for and respond to natural disasters, acts of terrorism, and other man-
19 made disasters and provides for the dispersal of federal funds provided for this purpose under
20 Public law 111-83; and,

21 WHEREAS, The City and County of San Francisco has participated in these programs
22 since their inception and deems participation in these programs as vital to the continued well-
23 being of its citizens; and,

1 WHEREAS, The State of California requires that applicant's agents be named in the
2 resolution, now, therefore, be it

3 RESOLVED, That the Board of Supervisors of the City and County of San Francisco
4 authorizes the Executive Director of the Department of Emergency Management, or in his or
5 her absence, the Chief Financial Officer of the Department of Emergency Management, or the
6 General Manager of the Bay Area UASI or the Director of Strategy and Compliance of the Bay
7 Area UASI, to execute for and on behalf of the City and County of San Francisco, a public
8 entity established under the laws of the State of California, any actions necessary for the
9 purpose of obtaining federal financial assistance provided by the federal Department of
10 Homeland Security and sub-granted through the State of California under the FY 10
11 Homeland Security Grant Program for the FY 10 Urban Areas Security Initiative (UASI) grant,
12 the FY 10 Regional Catastrophic Preparedness Grant Program (RCPGP), the FY 10
13 Interoperable Emergency Communications Grant Program (IECGP), Public Law 111-83, FY
14 10 State Homeland Security Grant Program, FY 10 Metropolitan Medical Response System,
15 FY 10 Citizen Corps Program, FY 10 Emergency Management Performance Grant, and FY
16 10 Buffer Zone Protection Program.



City and County of San Francisco

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

Tails
Resolution

File Number: 100933

Date Passed: July 20, 2010

Resolution authorizing designated City and County officials to execute and file, on behalf of the City and County of San Francisco, a public entity established under the laws of the State of California, any actions necessary for the purpose of obtaining federal financial assistance provided by the federal Department of Homeland Security and sub-granted through the State of California under various grant programs, including: the FY2010 Homeland Security Grant Program for the FY2010 Urban Areas Security Initiative (UASI) grant, the FY2010 Regional Catastrophic Preparedness Grant Program (RCPGP), the FY2010 Interoperable Emergency Communications Grant Program (IECGP), Public Law 111-83, FY2010 State Homeland Security Grant Program, FY2010 Metropolitan Medical Response System, FY2010 Citizen Corps Program, FY2010 Emergency Management Performance Grant, and FY2010 Buffer Zone Protection Program.

July 20, 2010 Board of Supervisors - ADOPTED

Ayes: 11 - Alioto-Pier, Avalos, Campos, Chiu, Chu, Daly, Dufty, Elsbernd, Mar, Maxwell and Mirkarimi

File No. 100933

I hereby certify that the foregoing Resolution was ADOPTED on 7/20/2010 by the Board of Supervisors of the City and County of San Francisco.

Angela Calvillo
Clerk of the Board

Mayor Gavin Newsom

July 29, 2010

Date Approved

ARNOLD SCHWARZENEGGER
GOVERNOR

MATTHEW R. BEETHAUSEN
ACTING SECRETARY



3650 SCHRIEVER AVENUE
MATHER, CA 95655

PHONE: (916) 845-8510
FAX: (916) 324-5902

CALIFORNIA EMERGENCY MANAGEMENT AGENCY
OFFICE OF GRANTS MANAGEMENT

October 21, 2010

Ms. Laura Phillips
Bay Area UASI
10 Lombard Street, Suite 410
San Francisco, CA 94111

SUBJECT: NOTIFICATION OF SUBGRANTEE APPLICATION APPROVAL
FY 2010 Homeland Security Grant Program (HSGP)
Grant #2010-0085, Cal EMA ID# 075-95017
Subgrantee Performance Period: October 21, 2010 to April 30, 2013

Dear Ms. Phillips:

The California Emergency Management Agency (Cal EMA) has approved your FY 10 Homeland Security Grant Program (HSGP) application in the amount of \$34,262,131. As of the date of this letter you may request reimbursement of eligible grant expenditures using the Cal EMA financial management forms workbook available at www.calema.ca.gov.

During the review process, a Cal EMA representative examined and evaluated your FY10 HSGP grant application. As a result of this review, some of your funded projects may have been assigned performance milestones shorter than the subgrantee performance period, based in part on information provided in your application and submitted workbook. Performance milestones will be used by Cal EMA to both determine the appropriate date to disencumber funds awarded under this grant and re-direct them to other needs across the State and as indicators of performance and grant management capacity in future competitive grant applications.

Performance Milestones:

		<u>Amount</u>	<u>Completion Date</u>
A REGIONAL RISK MANAGEMENT/PLANNING	LE/FS/EMG	\$ 500,000	11/30/11
B INFO ANALYSIS/INFRASTRUCTURE	EMG/LE	\$2,000,000	11/30/11
E MEDICAL/PUBLIC HEALTH/PREPAREDNESS	EMS/PH/EMG	\$ 150,000	11/30/11
F PLANNING/CITIZEN PREPAREDNESS	EMG	\$ 150,000	11/30/11
C COMMUNICATIONS/PLANNING	PSC/EMG	\$ 120,000	11/30/11
D CBRNE DETECTION/RESPONSE	LE/FS/EMG/FS	\$1,000,000	11/30/11
G RECOVERY CAPABILITIES	EMG/PWS	\$ 130,000	11/30/11

Additionally, Aviation/Watercraft requests, Establish/Enhance Emergency Operations Center (EOC) projects, projects requiring EHP review at FEMA/DHS and sole source procurement requests will require additional approvals from Cal EMA. For that reason, Projects C (equipment only) and D (Boat equipment) are not approved at this time, pending receipt of required supplemental documentation and/or approval from DHS. Subgrantees must obtain written approval for these activities **prior** to incurring any costs, in order to be reimbursed for any related costs under this grant.

Ms. Laura Phillips
Page Two

Subgrantees are required to obtain a performance bond for any equipment item over \$250,000, or any vehicle, aviation, or watercraft (regardless of the cost) financed with homeland security dollars.

Following acceptance of this award, you must enter your grant information into the US Office of Grants and Training, Grant Reporting Tool (GRT), for the December 2010 Biannual Strategy Implementation Report (BSIR) period. The GRT can be accessed online at <https://www.reporting.odp.dhs.gov/>. Semi-annual performance reports must be prepared and submitted to Cal EMA via the GRT for the duration of the grant period or until all activities are completed and the grant is formally closed. Failure to submit performance reports could result in grant reduction, termination, or suspension.

This grant is subject to all policies and provisions of the Federal Single Audit Act of 1984 and the Single Audit Act Amendments of 1996. Any funds received in excess of current needs, approved amounts, or those found owed as a result of a final review or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal EMA.

As in the past, your signature is required on this letter. Please sign and return the original to your Cal EMA program representative within ten days of receipt, and keep a copy for your files. For further assistance, please feel free to contact your Cal EMA program representative or the Homeland Security Grants Section (HSGS) at (916) 845-8510.

Sincerely,



MATTHEW R. BETTENHAUSEN

Laura Phillips, Authorized Agent,
Bay Area UASI

DEPARTMENT: DEPARTMENT OF EMERGENCY MANAGEMENT
 Prepared by: Ferni Chau
 Date: 11/2/2010
 Phone: 487-5030

Grant Code (6 chars)	Grant Title (40 chars max)	Lower Level Req'd	Detail 1 (2 chars)	Detail 1 Title (40 chars max)	Detail 2 (2 chars)	Detail 2 Title (40 chars max)	Detail 3 (1 char)	Detail 3 Title (40 chars max)	Detail 4 (1 char)	Detail 4 Title (40 chars max)	Gr Ty
SUAS10	FY10 UASI GRANT	Y									
SUAS10		Y	10	FY10 UASI GRANT							
SUAS10		N			ED	FY10 UASI, DEM-EMERGENCY SERVICES					
SUAS10		N			SF	DEM-EMERGENCY SERVICES, SF ALLOCATION					

