MEMORANDUM OF UNDERSTANDING AMONG

City of Oakland, City of San Jose, City and County of San Francisco, County of Alameda, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, County of Santa Clara, County of Sonoma

This Memorandum of Understanding ("MOU") dated **DECEMBER 1, 2013**, sets forth the agreements of the **City of Oakland**, **City of San Jose**, **City and County of San Francisco**, **County of Alameda**, **County of Contra Costa**, **County of Marin**, **County of Monterey**, **County of San Mateo**, **County of Santa Clara and County of Sonoma** relating to the application for and allocation and distribution of federal Urban Areas Security Initiative ("UASI") Program grant funds and other regional grant funds.

This MOU is made with reference to the following facts and circumstances:

A. The above named cities and counties (collectively and individually, the "Parties") are committed to regional cooperation and coordination in building and sustaining capabilities to provide the greatest capability for prevention, protection, response, and recovery from threats or acts of terrorism and other catastrophic events in the Bay Area region in accordance with grant guidelines. The Bay Area UASI includes the jurisdictions as defined by the U.S. Department of Homeland Security.

B. Beginning in 2006, the U.S. Department of Homeland Security ("DHS") utilized a "core-city, core-county" concept to determine risk and allocate grant funds. The jurisdictions in the Bay Area UASI used that same concept to establish the Bay Area UASI Approval Authority ("Approval Authority") as the Urban Area Working Group ("UAWG") for the Bay Area UASI, comprised of Representatives from the Urban Area's core cities and counties. In 2008 and subsequent years, DHS used the U.S. Census-determined Metropolitan Statistical Area as a component of its risk methodology and specified that the UAWG take a regional approach to establish representation and membership.

 C. In 2006, the core cities and counties of the Bay Area Urban Area – the City and County of San Francisco, the City of Oakland, the City of San Jose, the County of Alameda and the County of Santa Clara – approved a Memorandum of Understanding ("2006 MOU"), followed by a 2007 Memorandum of Understanding ("2007 MOU"), that established the objectives, governance structure, responsibilities, reporting structure, and financial agreements to be used in applying for UASI and other federal homeland security grant funding.

D. The Parties updated the 2007 MOU in 2011 regarding the objectives, governance structure, responsibilities, reporting structure and financial arrangements used by the Bay Area UASI in applying for, allocating and distributing UASI Program grant funding, and other regional grant funds ("2011 MOU"). The 2011 MOU is set to expire on December 1, 2013. The Parties intend that this MOU shall, upon its Effective Date, supersede and replace the 2011 MOU in its entirety.

 ACCORDINGLY, the Parties agree as follows:

- 1. <u>Bay Area UASI Region Approval Authority</u>: The Bay Area UASI Region Approval Authority ("Approval Authority") shall continue for the purposes and on the terms and conditions set forth below.
 - a. <u>Membership</u>. The Parties shall appoint Members to the Approval Authority as follows: City of Oakland, City of San Jose, City and County of San Francisco, County of Alameda, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, County of Santa Clara, County of Sonoma, and, as a non-voting Member, California Office of Emergency Services (Cal OES).
 - <u>Selection of Representatives</u>. Each Party is responsible for selecting primary and alternate Representatives to the Approval Authority. Each Party shall select its own Representatives. Each Party shall designate its Representatives, and may change a Representative designation, by written notice as specified under this MOU, to all Parties and the General Manager.
 - b. <u>Membership Eligibility Requirements</u>. Each Party must be willing and legally able to accept and manage federal homeland security grant funds.
 - c. <u>Authority of Representatives</u>. Each Party's primary and alternate Representatives shall be authorized to take action for and speak on behalf of the Party.
 - d. <u>Attendance Requirement</u>. If a Party fails to send a Representative to two or more Approval Authority meetings in a calendar year, the Approval Authority may remove that Party as a Member of the Approval Authority by a two-thirds vote. In the event of such a vote, the Party in question will not be eligible to vote on said issue.
 - e. <u>Purpose</u>. The purpose of the Approval Authority is to provide effective direction and governance for grant programs under the jurisdiction of the Approval Authority, and to coordinate a regional approach to prevention, protection, response and recovery to homeland security threats in accordance with DHS grant guidelines. To the extent consistent with grant program requirements, the Approval Authority shall:
 - i. Approve the UASI region homeland security strategy, which shall determine the focus of the Bay Area UASI program.
 - ii. Adopt a regional risk management framework to administer the UASI Homeland Security Grant Program, and related grants, consistent with the grant guidelines and direction provided by the U.S. Department of Homeland Security (DHS) and the California Office of Emergency Services (Cal OES).
 - iii. Approve grant allocation methodologies.
 - iv. Approve all UASI Program and related grant applications.

- v. Approve allocation and distribution of grant funds under the jurisdiction of the Approval Authority.
- vi. Approve an annual budget for the Bay Area UASI Management Team, based on a July 1 June 30 Fiscal Year.
- vii. Approve the establishment, purpose, and membership of any advisory bodies whose purpose is to advise the Approval Authority.
- f. <u>Representatives Roles and Responsibilities</u>. Each Approval Authority Representative shall:
 - i. Be prepared for and attend all Approval Authority meetings.
 - Communicate with his or her jurisdiction's management staff and stakeholders about the discussions and decisions of the Approval Authority, as permitted by law.
- g. <u>Urban Area Working Group (UAWG)</u>. The Approval Authority shall constitute the primary UAWG for the UASI region, with support from the UASI General Manager and UASI Management Team.
- h. Other Federal Grants. The Approval Authority may decide to apply the agreements, structures, processes and mechanisms specified in this MOU in applying for, allocating and distributing other types of federal grant funding for the Bay Area UASI region. Any such decision shall be by a two thirds vote of the Approval Authority and may include a special designation of an alternative Fiscal Agent.
- i. Voting. The Approval Authority shall vote according to the following procedures:
 - i. All votes of the Approval Authority shall require a majority vote for passage of any item, unless a higher threshold is specified in this MOU or set by the Approval Authority in its By-laws.
 - ii. Each Representative shall have one vote.
 - iii. Each Representative present at a meeting shall vote "yes" or "no" when a question is put, unless excused from voting by a motion adopted by a majority of the Members.
 - iv. Approval Authority Representatives shall disclose any conflict of interest involved in their voting on an item, and shall, if necessary, request to be excused from the vote on that item.
- j. <u>Quorum</u>. A quorum shall consist of the majority of the Representatives on the Approval Authority. A quorum is at least six voting Representatives. The Approval Authority may not meet or conduct official business in the absence of a quorum.
- 2. <u>City and County of San Francisco Obligations</u>. During the term of this MOU, San Francisco will provide the following services to the Approval Authority:

- a. Designate two primary Representatives and two alternates as full voting Members of the Approval Authority.
- b. Serve as the UASI region point of contact with the U.S. Department of Homeland Security (DHS) and California Office of Emergency Services (Cal OES) in connection with grants under the jurisdiction of the Approval Authority.
- c. Serve as the Fiscal Agent for grant funds under the jurisdiction of the Approval Authority during the term of this MOU, notwithstanding that another Jurisdiction may indicate its desire to become the Fiscal Agent and may become the Fiscal Agent pursuant to the process determined in the By-laws.
- 3. <u>City of Oakland Obligations</u>. During the term of this MOU, Oakland shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 4. <u>City of San Jose Obligations</u>. During the term of this MOU, San Jose shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 5. <u>Alameda County Obligations</u>. During the term of this MOU, Alameda County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 6. <u>Santa Clara County Obligations:</u> During the term of this MOU, Santa Clara County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 7. <u>Contra Costa County Obligations</u>. During the term of this MOU, Contra Costa County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 8. <u>Marin County Obligations:</u> During the term of this MOU, Marin County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 9. San Mateo County Obligations: During the term of this MOU, San Mateo County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 10. <u>Sonoma County Obligations</u>: During the term of this MOU, Sonoma County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- 11. <u>Monterey County Obligations:</u> During the term of this MOU, Monterey County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.

12. Obligations of All Parties. All Parties shall:

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a. Participate in the implementation of regional projects and initiatives within the Bay Area Urban Area that are consistent with the mission and decisions of the Approval Authority, including participation in the Risk and Capability Assessment process on an annual basis.

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- b. Provide personnel with subject-matter expertise to participate on any advisory groups or working groups established by the Approval Authority and/or the General Manager. Such personnel shall be authorized to take action for and speak on behalf
- 13. California Office of Emergency Services: During the term of this MOU, Cal OES will designate one individual to serve in a non-voting advisory capacity to ensure consistency in strategies and initiatives that support homeland security programs.

14. General Manager.

- a. The Approval Authority shall establish the minimum qualifications for the General Manager position, and may establish desired and preferred qualifications.
- b. The Approval Authority shall select a General Manager.
- c. The General Manager shall be an employee or contractor of the Fiscal Agent.
- d. While the City and County of San Francisco is the Fiscal Agent, the General Manager will be an employee of San Francisco, not a contractor.
- e. The employing jurisdiction is responsible for the work of the General Manager, and for directing and managing that work consistent with the duties determined and established by the Approval Authority. Nothing in this Agreement is intended to interfere with the right of the employing jurisdiction to take employment action regarding the employee assigned as General Manager, including but not limited to imposing discipline up to and including termination of employment.
- f. The individual selected by the Approval Authority shall be assigned to work full-time as the General Manager. The General Manager position shall be funded through grant funds.
- g. Nothing in this MOU is intended to interfere with the right of the Approval Authority to remove the General Manager from his or her role as the General Manager of the Bay Area UASI Management Team.

15. UASI Management Team.

a. In consultation with the Approval Authority, the General Manager may select employees of the Parties or independent contractors to serve on the Management Team. The salaries of those employees assigned to serve on the Management Team shall be funded through grant funds. Nothing in this MOU is intended to interfere with the right of an employing jurisdiction to take employment action regarding an

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- employee assigned to the Management Team, including but not limited to imposing discipline up to and including termination of employment.
- b. The General Manager is responsible for the work of employees assigned to the Management Team, and for directing and managing that work consistent with the general duties determined and established by the General Manager with the employing jurisdiction.
- 16. <u>Grants and Contracts Awarded for UASI Grant-Funded Projects</u>. On behalf of, and by a vote of the Approval Authority, the Fiscal Agent may apply for federal grant funding for the UASI region. All grants and contracts awarded using UASI Program grant funds received by the UASI region shall conform to all applicable federal and state grant and contracting requirements.
 - a. Fiscal Agent. The City and County of San Francisco shall be the Fiscal Agent for the Bay Area UASI, notwithstanding that another Jurisdiction may indicate its desire to become the Fiscal Agent and may become the Fiscal Agent pursuant to the process determined in the By-laws. The Fiscal Agent shall serve as the sub-grantee for funds granted by DHS and Cal OES to the Bay Area Urban Area. The Fiscal Agent shall provide all financial services and establish procedures and execute sub recipient agreements for the distribution of grant funds to jurisdictions selected by the Approval Authority to receive grant funds. The Parties understand that until the Fiscal Agent and a sub recipient jurisdiction fully and finally execute a sub-recipient agreement, the Fiscal Agent shall have no obligation to disburse grant funds to that jurisdiction. The Parties acknowledge and agree that grant decisions are subject to the discretion and decision-making of Cal OES and the Approval Authority. A Party or other sub recipient jurisdiction that takes any action, informal or formal, to appropriate, encumber or expend grant funds before final allocation decisions by Cal OES and the Approval Authority, and before a sub recipient agreement is fully and finally executed with the Fiscal Agent, assumes all risk of possible non-allocation or non-reimbursement of funds.
 - b. All requests for funding or reimbursement from the Fiscal Agent shall meet any guidelines and requirements established by the Fiscal Agent. The guidelines may include requirements for record keeping, internal audits, signature authority for approval of reimbursement requests, submission of financial reports, and compliance with professional accounting standards. The Fiscal Agent may recover eligible costs for legal, financial, and other services through the grants administered by the Fiscal Agent.
 - c. A Member who is a signatory to this Memorandum of Understanding and who has met all the requirements to hold a seat on the Approval Authority may request to be considered by the remaining Members of the Approval Authority to assume the role of Fiscal Agent at any time during the term of this Memorandum of Understanding. The Approval Authority shall consider the application, along with any applications of other Members, according to the process contained in the By-laws.

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- d. The City and County of San Francisco, as the Fiscal Agent will file a performance evaluation for the General Manager based upon the evaluation completed by the Approval Authority, on an annual basis pursuant to the Human Resources Rules of the City and County of San Francisco.
- 17. By-laws. The Approval Authority shall promulgate By-laws to govern implementation of this MOU, and to set duties and responsibilities for the General Manager and Management Team. The By-laws shall be consistent with the terms of this MOU. Wherever the By-laws conflict with the MOU, the MOU controls. The By Laws may be adopted and amended by a two-thirds vote of the Approval Authority.
- 18. Indemnification. In lieu of and notwithstanding the pro rata risk allocation that might otherwise be imposed between the Parties pursuant to Government Code Section 895.6, the Parties agree that all Losses (as defined below) incurred by a Party in connection with this MOU or the activities contemplated by this MOU shall not be shared pro rata but instead the Parties agree that pursuant to Government Code Section 895.4, each of the Parties hereto shall fully indemnify and hold each of the other Parties, including, without limitation, their officers, board members, employees and agents, harmless from any Losses imposed for injury (as defined by Government Code Section 810.8) arising in connection with the negligent acts or omissions or willful misconduct of the indemnifying Party, including, without limitation, its officers, board members, employees or agents, under or in connection with or arising out of any work, authority or jurisdiction delegated to such Party under this Agreement. No Party, including, without limitation, any officer, board member, employee or agent thereof, shall be responsible for any Losses occurring by reason of the negligent acts or omissions or willful misconduct of other Parties hereto, including, without limitation, their officers, board members, employees or agents, under or in connection with or arising out of any work, authority or jurisdiction delegated to such other Parties under this Agreement. For purposes of this Section, Losses shall mean any and all claims, demands, losses, liabilities, damages (including foreseeable and unforeseeable consequential damages to the extent arising from third party claims), liens, obligations, interest, injuries, penalties, fines, lawsuits and other proceedings, judgments and awards and costs and expenses (including, without limitation, reasonable attorneys' fees and costs, and consultants' fees and costs) of whatever kind or nature, known or unknown, contingent or otherwise.
- 19. Conflicts of Interest. If and when a Party identifies an actual or potential conflict of interest among one or more of the Parties, that Party shall send written notification to all Parties. The Party with the actual or potential conflict shall respond to the notice within three business days. The response shall indicate whether the Party agrees or disagrees that a conflict exists. If the Party agrees, that Party may take appropriate action to cure the conflict, if possible, and shall describe its corrective actions in its response. If a Party disagrees, or cannot to cure an actual conflict, the Approval Authority shall meet on the conflict within not less than 30 calendar days of the initial

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notice, in an effort to resolve the conflict. The Approval Authority shall schedule a special meeting if necessary to meet this timeline. All notices under this section shall be provided under Section 28, Notices.

20. <u>Effective Date and Term</u>. This MOU shall take effect on **December 1, 2013** ("Effective Date") and shall remain in effect until **December 1, 2017**, unless sooner terminated as provided below ("Term").

21. Termination.

- a. Any Party may terminate its participation in this MOU by providing 30-days advance written notice of its termination to all Parties and the General Manager. That Party shall fulfill any grant-related or contractual obligations to the Fiscal Agent. This MOU shall continue in effect between the remaining Parties.
- b. The Approval Authority may terminate any Party's participation in this MOU by a two-thirds vote, due to failure of the Party to meet the membership eligibility requirements under Section 1 of this MOU. A party whose membership in the MOU is terminated must still fulfill any grant-related or contractual obligations to the Fiscal Agent.
- c. The Approval Authority may terminate this MOU at any time, for convenience and without cause, by unanimous vote. Any such action of the Approval Authority shall specify the date on which the termination shall be effective, which date shall be at least six months from the date of the Approval Authority's action to terminate the MOU.
- 22. <u>Jurisdiction and Venue</u>. The laws of the State of California shall govern the interpretation and performance of this MOU. Venue for any litigation relating to the formation, interpretation or performance of this MOU shall be in San Francisco, CA.
- 23. <u>Modification</u>. This MOU may not be modified, nor may compliance with any of its terms be waived, except by written instrument executed and approved in the same manner as this MOU.
- 24. <u>Cooperative Drafting</u>. This MOU has been drafted through a cooperative effort of the Parties, and all Parties have had an opportunity to have the MOU reviewed and revised by legal counsel. No Party shall be considered the drafter of this MOU, and no presumption or rule that an ambiguity shall be construed against the Party drafting the clause shall apply to the interpretation or enforcement of this MOU.
- 25. <u>Survival of Terms</u>. The obligations of the Parties and the terms of the following provisions of this Agreement shall survive and continue following expiration or termination of this Agreement: Section 18.

- 26. <u>Complete Agreement</u>. This is a complete agreement and supersedes any prior oral or written agreements of the Parties regarding the subject matter of this MOU, including but not limited to the process for applying for and distributing grant funding for the Bay Area Urban Area. Without limiting the foregoing, this MOU supersedes the Memorandum of Understanding between City and County of San Francisco, City of San Jose, City of Oakland, Alameda County, and Santa Clara County, dated December 1, 2011.
- 27. Severability. Should the application of any provision of this MOU to any particular facts or circumstances be found by a court of competent jurisdiction to be invalid or unenforceable, then (a) the validity of other provisions of this MOU shall not be affected or impaired thereby, and (b) such provision shall be enforced to the maximum extent possible so as to effect the intent of the Parties and shall be reformed without further action by the Parties to the extent necessary to make such provision valid and enforceable.
- 28. <u>Counterparts</u>. This MOU may be executed in several counterparts, each of which is an original and all of which constitutes but one and the same instrument.

29. Notice.

a. Any notices required hereunder shall be given as follows:

If to the City and County of San Francisco, to:

Anne Kronenberg, Executive Director

Department of Emergency Management

1011 Turk Street

San Francisco, CA 94102

(415) 558-3800

Anne.kronenberg@sfgov.org

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390 391 Raymond Guzman, Deputy Chief of Administration

Fire Department

698 Second Street

San Francisco, CA 94107

(415) 558-3411

raymond.guzman@sfgov.org

If to the City of Oakland, to:

Renee A. Domingo, Director of Emergency Services

1605 Martin Luther King Jr. Way, 2nd Floor

Oakland, CA 94612

(510) 238-3939

RADomingo@oaklandnet.com

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393	If to the City of San Jose, to:
394	Christopher A. Godley, CEM, Director of Emergency Services
395	855 North San Pedro Street, #404
396	San José, CA 95110-1718
397	(408) 277-4595
398	Christopher.godley@sanjoseca.gov
399	
400	If to Alameda County , to:
401	Richard T. Lucia, Undersheriff
402	Alameda County Sheriff's Office
403	1401 Lakeside Drive 12th Floor
404	Oakland, CA 94612
405	(510) 272-6868 Office
406	rlucia@acgov.org
407	
408	If to Contra Costa County, to:
409	Mike Casten, Undersheriff
410	Contra Costa County Sheriff's Office
411	651 Pine Street, 7 th Floor
412	Martinez, CA 94553
413	(925) 335-1514
414	mcast@so.cccounty.us
415	
416	If to Marin County , to:
417	Dave Augustus, Captain
418	Marin County Sheriff's Office
419	3501 Civic Center Drive #145
420	San Rafael, CA 94903
421	(415) 473-7250
422	daugustus@marinsheriff.org
423	
424	If to Monterey County , to:
425	Sherrie L. Collins, Emergency Services Manager
426	Office of Emergency Services
427	1322 Natividad Road
428	Salinas, CA 93906
429	(831) 796-1901
430	collinsSL@co.monterey.ca.us
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432	If to San Mateo County, to:
433	Carlos G. Bolanos, Undersheriff
434	San Mateo County Sheriff's Office
435	400 County Center

436	Redwood City, CA 94063
437	(650) 599-1662
438	cbolanos@co.sanmateo.ca.us
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440	If to Santa Clara County, to:
441	Ken Kehmna, Fire Chief
442	70 W. Hedding St
443	San Jose, CA 95110
444	(408) 378-4010
445	ken.kehmna@cnt.sccgov.org
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447	If to Sonoma County , to:
448	Christopher Helgren, Emergency Services Manager
449	Sonoma County Fire and Emergency Services Department
450	2300 County Center Drive, Suite 221A
451	Santa Rosa, CA 95403
452	(707) 565-1152
453	Christopher.helgren@sonoma-county.org
454	
455	If to Cal OES , to:
456	Brendan Murphy, Assistant Secretary
457	California Office of Emergency Services
458	3650 Schriever Ave.
459	Mather, CA 95655
460	(916) 322-2785
461	Brendan.murphy@calema.ca.gov
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463	b. Notices shall be deemed given when received if given in person, by facsimile or
464	by electronic means (if a record of receipt is kept by the sending party showing
465	the date and time of receipt) or three (3) days following deposit in the United
466	States Mail, postage prepaid, to the addressees set forth in subsection (a) above.
467	c. Any Party may change its contact individual and/or address for notice by giving
468	written notice of the change to the other Parties and the General Manager.
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470	The individuals executing this MOU represent and warrant that they have the legal capacity and
471	authority to do so on behalf of their respective legal entities.
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473	The undersigned approve the terms and conditions of this MOU.
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481	City of Oakland, California
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487	City of San Jose, California
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499	Contra Costa County, California
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