

File No. 231172

Committee Item No. 8

Board Item No. 24

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance Committee
Board of Supervisors Meeting

Date December 6, 2023
Date December 12, 2023

Cmte Board

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| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Grant Information Form |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

- | | | |
|-------------------------------------|-------------------------------------|--|
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <u>DPH Memorandum on Retroactivity 11/7/2023</u> |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <u>DPH Presentation 12/6/2023</u> |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
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Completed by: Brent Jalipa Date December 1, 2023

Completed by: Brent Jalipa Date December 7, 2023

1 [Accept and Expend Grant - Retroactive - Patient-Centered Outcomes Research Institute -
2 University of California, San Francisco - Pure-Tone Audiometry Versus Otoacoustic
3 Emissions for Preschool Hearing Screening - \$1,044,792]

4 **Resolution retroactively authorizing the Department of Public Health to accept and**
5 **expend a grant in the amount of \$1,044,792 from the Patient-Centered Outcomes**
6 **Research Institute (PCORI) through the University of California, San Francisco for**
7 **participation in a program, entitled “Pure-tone audiometry versus otoacoustic**
8 **emissions for preschool hearing screening,” for the period of July 1, 2023, through**
9 **June 30, 2028.**

10
11 WHEREAS, The Patient-Centered Outcomes Research Institute (PCORI), through the
12 University of California, San Francisco (UCSF) has agreed to fund the Department of Public
13 Health (DPH) in the amount of \$1,044,792 for participation in a program, entitled “Pure-tone
14 audiometry versus otoacoustic emissions for preschool hearing screening,” for the period of
15 July 1, 2023, through June 30, 2028; and

16 WHEREAS, The primary objective is to investigate the efficacy of single-stage
17 Otoacoustic Emissions (OAE) screening, when performed in a community-based preschool
18 setting, compared to the gold-standard two-stage Pure Tone Audiometry (PTA) + OAE
19 screening; and

20 WHEREAS, The study aims to determine whether the single-stage OAE screening is or
21 is not inferior to the two-stage approach in identifying hearing loss accurately; and

22 WHEREAS, The ultimate goal of this research is to assess the effectiveness of both
23 screening methods in a community-based setting and assist in early identification of hearing
24 loss among pre-school-aged children; and

25

1 WHEREAS, We hope to further improve the hearing screening methods within
2 community-based and preschool settings; and

3 WHEREAS, The grant does not require an Annual Salary Ordinance Amendment; and

4 WHEREAS, A request for retroactive approval is being sought because DPH received
5 the award letter on March 25, 2023, for a project start date of July 1, 2023; and

6 WHEREAS, The grant budget includes a provision for indirect costs in the amount of
7 \$206,813; now, therefore, be it

8 RESOLVED, That DPH is hereby authorized to accept and expend a grant in the
9 amount of \$1,044,792 from the PCORI through UCSF; and, be it

10 FURTHER RESOLVED, That DPH is hereby authorized to retroactively accept and
11 expend the grant funds pursuant to Administrative Code, Section 10.170-1; and, be it

12 FURTHER RESOLVED, That the Director of Health is authorized to enter into the
13 Agreement on behalf of the City.

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1 Recommended:
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3 _____ /s/

Approved: _____ /s/
Mayor

4 Dr. Grant Colfax
5 Director of Health

Approved: _____ /s/
Controller

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SFBOS Budget and
Finance Committee

December 6, 2023

SF Dept of Public Health Child Care Health Program Office of Childhood Hearing

Katie Dellamaria, Nurse Manager
Greg Wong, Grant Analyst
JJ Burch, Budget and Planning Unit

Current State

DPH Office of Childhood Hearing (OCH)

- Childhood hearing loss (HL) is the most common congenital sensory disorder and a significant cause of developmental delay. Newborn hearing screening is performed on 98% of babies born in the US. But after birth, objective hearing screening is not indicated until the 4-year-old pediatrician well-child-check. Lack of hearing screening for young children delays identification of HL and can lead to delays in speech, language, and cognitive development.
- OCH provides annual hearing screening for over 1,000 children 3-5yo enrolled in child care programs serving low-income families and historically underserved neighborhoods
- Currently DPH OCH is a small but highly utilized program, staffed by 1.0FTE certified Audiometrist
- Every child receives hearing screening using the “gold standard” **pure-tone audiometry method** (play based screening - child drops a toy in a box when they hear the “beep”). Sometimes children can't complete the screening e.g. shy, not having a good day, language barrier, developmental delay, etc.
- If a child fails or can't complete the pure-tone screen, they're rescreened using the newer **otoacoustic emissions method** (a small ear bud is placed in the ear to measure the inner ear response to sound, without requiring the child to respond)
- Each child who doesn't pass receives case management support to help the family access primary care and/or audiometry for further evaluation
- FY 22-23: approx. 82% of children who needed further evaluation successfully accessed follow up appointments, and 46% of children needed and received follow up medical care**

ECEAS Screening Results	FY 22-23
Early Care and Education environments that received hearing screening	51 school sites
Children that received a hearing screening	1058 children
Children who passed hearing screening	982 (93%)
Children who failed hearing screening	72 (6%)
Children who were unable to follow directions	4 (<1%)



Future State DPH Office of Childhood Hearing with the PCORI Grant

- ◆ Patient Centered Outcome Research Institute (PCORI) awarded UCSF a 5yr grant (7/1/23 – 6/30/28) to study “Pure-Tone Audiometry versus Otoacoustic Emissions for Preschool Hearing Screening”
- ◆ UCSF will provide DPH Office of Childhood Hearing (OCH) with \$1.044M sub-award over five years to contribute to the study
- ◆ The goal of the study is to assess the effectiveness of both hearing screening methods in a community-based setting & assist in early identification of hearing problems or hearing loss in pre-school aged children
- ◆ As a result of the grant, OCH will:
 - ◆ Increase staffing from 1.0 FTE to 3.0 FTE for 5 years
 - ◆ Build partnership and services with 32 Head Start preschool sites
 - ◆ Partner with SFSU Nursing students to provide training and additional preschool hearing screening city-wide
 - ◆ Provide hearing screening for additional “high-need” childcare centers and family child care homes
 - ◆ Increase number of children receiving hearing screening from approx. 1,000 children per year to 2,000 children per year
- ◆ This study will be the 3rd research study collaboration between UCSF and DPH OCH
- ◆ Exactly like prior to the grant, each child that doesn't pass hearing screening receives case management support to access primary care and and/or audiometry for further evaluation

Retroactivity:

- This item is retroactive due to delays in the budget preparation process for this grant. Additional time was needed to find matching funds.
- Unfortunately, there were additional delays due to staff transitions. Additional DPH personnel were hired to support future grants.

Questions?
Thank you!



File Number: 231172
(Provided by Clerk of Board of Supervisors)

Grant Resolution Information Form
(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: **Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening**

2. Department: **Department of Public Health
Child Care Health Program**

3. Contact Person: **Katie Dellamaria** Telephone: **(415) 250-2857**

4. Grant Approval Status (check one):

Approved by funding agency

Not yet approved

5. Amount of Grant Funding Approved or Applied for: **\$1,044,792**

6a. Matching Funds Required: **\$0**

b. Source(s) of matching funds (if applicable): **N.A.**

7a. Grant Source Agency: **Patient-Centered Outcomes Research Institute (PCORI)**

b. Grant Pass-Through Agency (if applicable): **University of California, San Francisco**

8. Proposed Grant Project Summary: **The primary objective is to investigate the efficacy of single-stage Otoacoustic Emissions (OAE) screening, when performed in a community-based preschool setting, compared to the gold-standard two-stage Pure Tone Audiometry (PTA) + OAE screening. The study aims to determine whether the single-stage OAE screening is or is not inferior to the two-stage approach in identifying hearing loss accurately.**

The ultimate goal of this research is to assess the effectiveness of both screening methods in a community-based setting and assist in early identification of hearing loss among pre-school-aged children. We hope to further improve the hearing screening methods within community-based and preschool settings.

9. Grant Project Schedule, as allowed in approval documents, or as proposed:

Start-Date: **July 1, 2023**

End-Date: **June 30, 2028**

10a. Amount budgeted for contractual services: **\$0**

b. Will contractual services be put out to bid? **N.A.**

c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements? **N.A.**

d. Is this likely to be a one-time or ongoing request for contracting out? **N.A.**

11a. Does the budget include indirect costs? Yes No

b1. If yes, how much? **\$206,813**

b2. How was the amount calculated? **24.68% of direct costs**

c1. If no, why are indirect costs not included? **N.A.**

Not allowed by granting agency

To maximize use of grant funds on direct services

Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs? **N.A.**

12. Any other significant grant requirements or comments:

The grant does not require an ASO amendment and partially reimburses the department for 0.62 FTE of the existing position, and we will use the Maternal, Child and Adolescent Health Title XIX grant to fund the remaining 0.38 FTE.

No.	Class	Job Title	FTE	Start Date	End Date
1	0922	Manager I	1.00	07/01/2023	06/30/2028

We respectfully request for approval to accept and expend these funds retroactive to July 1, 2023. The Department received the award letter on March 25, 2023.

Project Description: SFDPH MCAH CCHP OCH PCORI 2324

Project ID: 10040394

Proposal ID: CTR00003659

Fund ID: 11580

Version ID: V101

Authority ID: 10001

Activity ID: 0001

Project Description: HN MCH 2324 HCPM03

Fund: 11580

Department: 251988

Authority: 10001

PC Bus Unit: SFGOV

Project Description: HN MCH 2324 HCPM03

Project: 10039506

Activity: 0002

****Disability Access Checklist***(Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)**

13. This Grant is intended for activities at (check all that apply):

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Existing Site(s) | <input type="checkbox"/> Existing Structure(s) | <input type="checkbox"/> Existing Program(s) or Service(s) |
| <input type="checkbox"/> Rehabilitated Site(s) | <input type="checkbox"/> Rehabilitated Structure(s) | <input type="checkbox"/> New Program(s) or Service(s) |
| <input type="checkbox"/> New Site(s) | <input type="checkbox"/> New Structure(s) | |

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;
2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;
3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

Comments:

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

Toni Rucker, PhD
(Name)

DPH ADA Coordinator
(Title)

Date Reviewed: 8/18/2023 | 3:46 PM PDT

DocuSigned by:
Toni Rucker
AG4282F7321F44D...
(Signature Required)

Department Head or Designee Approval of Grant Information Form:

Dr. Grant Colfax
(Name)

Director of Health
(Title)

Date Reviewed: 8/29/2023 | 4:31 PM PDT

DocuSigned by:
Greg Wagner
2832732473298F...
(Signature Required)
Greg Wagner, COO for

Subcontractor Organization:		SFDPH MCAH CCHP OCH							
Institution Name:		UCSF							
Principal Investigator (Last, First, Middle):							0		
BUDGET SUMMARY FOR ENTIRE PROPOSED CONTRACT PERIOD									
		BUDGET YEAR ONE	ADDITIONAL YEARS OF SUPPORT REQUESTED				1. TOTAL RESEARCH PERIOD BUDGET	2. TOTAL PEER REVIEW BUDGET	TOTAL (1+2)
BUDGET CATEGORY TOTALS			2nd	3rd	4th	5th			
A. PERSONNEL COSTS		141,422	153,443	166,486	180,637	195,991	837,979	0	837,979
CONSULTANT COSTS		0	0	0	0	0	0	0	0
EQUIPMENT		0	0	0	0	0	0		0
SUPPLIES		0	0	0	0	0	0		0
TRAVEL		0	0	0	0	0	0		0
OTHER EXPENSES		0	0	0	0	0	0		0
SUBCONTRACTOR DIRECT COSTS		0	0	0	0	0	0	0	0
B. OTHER COSTS		0	0	0	0	0	0	0	0
C. SUBTOTAL DIRECT COSTS		141,422	153,443	166,486	180,637	195,991	837,979	0	837,979
D. SUBCONTRACTOR FACILITIES AND ADMINISTRATIVE COSTS		0	0	0	0	0	0	0	0
E. TOTAL DIRECT COSTS		141,422	153,443	166,486	180,637	195,991	837,979	0	837,979
F. TOTAL INDIRECT COSTS		34,903	37,870	41,089	44,581	48,371	206,813	0	206,813
BUDGET TOTAL		176,325	191,313	207,574	225,218	244,362	1,044,792	0	1,044,792





Department of Otolaryngology – Head and Neck Surgery

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Fax: 415/885-7546

www.ohns.ucsf.edu

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Professor and Chairman

Rhinology and Sinus Surgery

Andrew N. Goldberg, M.D., M.S.C.E.

Chief of Division and Vice Chairman

José G. Gurrola II, M.D.

Patricia A. Loftus, M.D.

Steven D. Pletcher, M.D.

Residency Program Director

Head and Neck Oncologic Surgery

and Endocrine Surgery

Patrick K. Ha, M.D.

Jacobs Professor and Chief of Division

Chase M. Heaton, M.D., UME Director and Chief, VAMC

Ivan H. El-Sayed, M.D., Fellowship Co-Director

Jonathan R. George, M.D., M.P.H.

William R. Ryan, M.D., Fellowship Co-Director

General Otolaryngology

Jolie L. Chang, M.D.

Chief of Division

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Caroline Schlocker, M.D.

Michael E. Friduss, M.D.

Sleep Surgery

Jolie L. Chang, M.D.

Chief of Division

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Director of Quality

Otology / Neurotology and

Skull Base Surgery

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Sooy Professor and Chief of Division

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Jeffrey D. Sharon, M.D.

Steven W. Cheung, M.D.

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Speech-Language Pathology Director

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Dylan K. Chan, Ph.D., M.D.

David E. Conrad, M.D.

Garani S. Nadaraja, M.D., Medical Director, BCHO

Grace Banik, M.D.

Jacqueline E. Weinstein, M.D.

Jordan M. Virbalas, M.D.

Fellowship Director

Josephine A. Czechowicz, M.D.

Kimberly Luu, M.D.

Lia Jacobson, M.D.

Audiology

Rebecca M. Lewis, Au.D., Ph.D., CCC-A, ABAC

Chief of Division

Payal Anand, Au.D., CCC-A, Clinical Director

Robert W. Sweetow, Ph.D., Emeritus

Coleman Memorial Laboratory

Andrea Hasenstaub, Ph.D.

Christoph E. Schreiner, M.D., Ph.D.

Speech Neuroscience Laboratory

John F. Houde, Ph.D.

Srikantan Nagarajan, Ph.D.

Epstein Laboratories

Patricia A. Leake, Ph.D.

Professor Emerita

Aaron D. Tward, M.D., Ph.D.

Head and Neck Cancer Research

Aaron D. Tward, M.D., Ph.D.

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Jennifer R. Grandis, M.D.

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Young-wook Jun, Ph.D.

Skull Base and Cerebrovascular Lab

Ivan H. El-Sayed, M.D.

Professor and Co-Director, Skull Base Surgery Lab

José G. Gurrola II, M.D.

Patricia A. Loftus, M.D.

March 25, 2023

Katie DellaMaria, MSN, PHN, RN, Nurse Manager
Hayley Kriss, MPH, Certified School Audiometrist
Child Care Health Program
San Francisco Department of Public Health
333 Valencia Street, 3rd Floor
San Francisco, CA 94103

Dear Katie and Hayley:

It is my pleasure and privilege to be collaborating with you on our project, "Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening," which has been selected for funding through the Assessment of Prevention, Diagnosis, and Treatment Options program of the Patient-Centered Outcomes Research Institute (PCORI).

As Principal Investigator on the project, I was notified 3/14/23 by PCORI of this funding selection and am engaged with PCORI in contract review and execution. The full contract budget is for \$6,578,118, to be issued from PCORI to the University of California, San Francisco (UCSF) over the project period from 7/1/23 through 6/30/28.

After execution of this contract, UCSF will issue a subaward to the San Francisco Department of Public Health (SFDPH) Child Care Health Program to support project activities. The total amount of the subcontract is \$1,044,792 over the same project period, from 7/1/23 through 6/30/28. Details are included in the attached Budget and Budget Justification documents. Final execution of this subaward will be contingent upon review and execution of the prime contract between UCSF and PCORI (expected by project start, 7/1/23), and subsequent review of the subaward by UCSF and SFDPH. We have indicated a milestone completion date of 9/1/23 for this final subaward execution.

I greatly look forward to this collaboration.

Sincerely,

Dylan K. Chan, MD, PhD
Associate Professor
Department of Otolaryngology-Head and Neck Surgery
University of California, San Francisco

Research Subaward Agreement
14756sc
between
The Regents of the University of California
and
City & County of San Francisco

This Research Subaward Agreement ("Subaward") is executed by and between The Regents of the University of California, on behalf of its San Francisco campus, a corporation of the State of California (hereinafter "UCSF") and City & County of San Francisco (hereinafter "Subrecipient").

WHEREAS, UCSF is the recipient of a contract **CER-2022C2-27634** ("Award") from Patient-Centered Outcomes Research Institute ("Sponsor"), for the conduct of a program entitled "**Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening**" ("Project") as detailed in the application previously submitted to the Sponsor; and

WHEREAS it is considered in the best interests of the Sponsor and UCSF for Subrecipient to participate in this Project;

NOW, THEREFORE, in consideration of the mutual promises and covenants contained herein, UCSF and Subrecipient agree to a Subaward under this Award.

Article I – Scope of Work

Subrecipient shall perform those tasks described in the Subrecipient's Scope of Work (Attachment A), which is incorporated herein and made a part of this Subaward.

Article II – Project Management

Dylan Chan is designated as UCSF's Principal Investigator, who is responsible for the overall conduct of the Project and is responsible for overall technical monitoring and guidance. Any significant changes in the performance of this Subaward as outlined in Subrecipient's proposal and Scope of Work require authorization by UCSF's Principal Investigator.

Katie DellaMaria is designated as Subrecipient's Principal Investigator, who shall be responsible for the technical and administrative conduct of the Project in accordance with Attachment A. In the event that a change in Subrecipient's Principal Investigator is necessary, UCSF must be notified in writing immediately and UCSF has the right to approve any Subrecipient Principal Investigator.

Article III – Period of Performance

The authorized period of performance is from **August 01, 2023** through **July 31, 2024**. The period of performance may be extended only by written amendment to this Subaward. UCSF may issue no cost extensions to the period of performance unilaterally, except that Subrecipient shall retain the right to decline such an extension by notifying UCSF's Authorized Official within 30 days from receipt of the amendment. Unilateral acceptance of the amendment does not bypass internal approval processes of the Subrecipient.

Article IV – Compensation

UCSF will reimburse Subrecipient on a cost-reimbursable basis for actual allowable costs in the performance of the work under this Subaward in the amount not to exceed **\$176,325** which is based on the budget incorporated herein and made part of this Subaward as Attachment B. Expenditures shall be in accordance with Attachment B, Sponsor's policies, and the terms and conditions of this Subaward. This amount shall not be exceeded without written authorization of UCSF's Principal Investigator and subsequent formal amendment to this Subaward.

Subrecipient shall obtain written prior approval from UCSF's Principal Investigator if reallocation of approved funds exceeds the lesser of \$25,000 or 50% of the cumulative total for all budget years of any of the approved budget line items impacted by a proposed reallocation within the approved budget included as Attachment B.

Carry forward is allowable

Article V – Method of Payment

Subrecipient shall submit quarterly invoices for the allowable costs incurred in the performance of the work hereunder to UCSF. All invoices must provide a current and cumulative breakdown of costs by major cost category in accordance with Attachment B. All invoices shall be dated, numbered, and must include this Subaward number, **14756sc**. Invoices submitted without this information may delay payment. Invoices shall include certification that expenditures claimed represent actual allowable costs for committed effort and work performed under this Subaward. Subrecipient invoices shall be sent to the attention of:

University of California, San Francisco Campus (UCSF)
Supply Chain Management - Accounts Payable
Attn: Subcontracts Desk
Box 0812
1855 Folsom Street, Suite 304
San Francisco, CA 94143-0812
Email: subcontract@ucsf.edu
Include the UCSF Principal Investigator (dylan.chan@ucsf.edu) and UCSF Financial Contact (elena.wright@ucsf.edu) on the invoice emails

For questions about invoicing, submit a ticket at https://ucsf.service-now.com/ucsfit?id=ucsf_public_scm_to_inc. UCSF will make provisional payment on all invoices submitted in accordance with the terms of this Subaward. The final invoice marked "FINAL" must be submitted within forty-five (45) days after the final end date of this Subaward.

Unexpended funds, if any, shall be returned to UCSF with the final financial report. The closeout of this Subaward does not affect the right of UCSF or Sponsor to disallow costs and recover funds on the basis of a later audit or other review.

Article VI – Progress and Financial Reporting Requirements

Subrecipient shall furnish to UCSF any financial, technical, or performance reports and assistance reasonably requested by UCSF's Principal Investigator as required to meet UCSF's obligations under the Award. All reports required under the Award are due to UCSF **thirty (30) days** before the due date specified in the Award. Technical reports should include a summary statement of progress toward the achievement of the originally stated aims, a list of the positive and negative results which are considered to be significant by Subrecipient's Principal Investigator, and a list of any publications resulting from the Project, including planned publication. All such reports shall be submitted to UCSF's Principal Investigator.

Article VII – Audit and Records

a) Subrecipient shall maintain accurate records of all costs incurred in the performance of this work and agrees to allow representatives of UCSF and/or Sponsor reasonable access to its records to verify the validity of expenses reimbursed under this Subaward. Subrecipient shall maintain financial records, supporting documents, and other records pertaining to this Subaward for a period of six (6) years from either the termination date of this Subaward, the date of final payment of expenditures, or the receipt of the final financial report of this Subaward, whichever occurs later. Notwithstanding the foregoing sentence, any records pertaining to audit, appeals, litigation, or settlement of claims arising out of performance of this Subaward shall be retained until such audits, appeals, litigation, or claims have been disposed of.

b) All research records, including but not limited to original data and primary data-yielding materials, secondarily derived tables and figures, and statistical tabulations and other summaries pertinent to this Subaward, shall be made available to UCSF upon its request and shall be retained by Subrecipient for a period of six (6) years from the termination date of this Subaward, except that records pertaining to any allegation of scientific misconduct or investigation, appeal, administrative proceeding, or litigation relating to any charge arising out of the scientific performance of this Subaward, shall be retained until four (4) years after either the conclusion of the allegation, investigation, appeal, administrative proceeding, or litigation, or the acceptance by UCSF of a final report pertaining thereto, whichever occurs later.

c) If any audit report reflects major shortcomings in Subrecipient's internal control systems, UCSF may impose more stringent prior approval requirements for certain types of expenditures and/or rebudgeting, and may require detailed supporting documentation for all claims for reimbursement until UCSF is satisfied that necessary corrective action has been, or will be taken.

d) UCSF, the Sponsor, and any of their duly authorized representatives, shall have access at any reasonable time after prior written notification to pertinent books, documents, papers, and records of Subrecipient in order to make audits, examinations, excerpts, and transcripts. In the event that any payment made to the Subrecipient is determined on the basis of such audits to be unallowable, Subrecipient shall promptly refund the unallowable amount to UCSF upon demand.

Article VIII – Publicity and Publication

Neither party will use the name of the other party or its employees in any advertisement, press release, or other publicity without the prior written approval of the other party. Subrecipient understands that California Education Code Section 92000 provides that the name "University of California" is the property of the State of California and that no person shall use that name without the permission of The Regents of the University of California. Such permission may be granted by the Chancellor or his designee. UCSF has the right to acknowledge Subrecipient's participation in and support of the work performed under this Subaward in scientific publications and other scientific communications.

Subrecipient shall be able to disclose the identity of the parties, the existence of the agreement, and the nature and scope of the research in accordance with its institutional policies, but will not use Sponsor's name or logo without UCSF obtaining written permission of Sponsor's Director of Communications or equivalent position. The previous sentence notwithstanding, publication of Project results shall acknowledge the Award made to UCSF from Sponsor.

Article IX – Intellectual Property

Copyright:

Subrecipient may assert copyright ownership on materials that it produces in the performance of the work of this Subaward. Subrecipient shall grant to UCSF a non-transferable, irrevocable, royalty-free, non-exclusive license to use, reproduce, prepare derivative works, perform, display, publish, or otherwise disseminate such copyrighted materials first developed and delivered under this Subaward for non-commercial research, academic, and educational purposes, and as required to meet any obligations under the Award.

Patents and Inventions:

Subrecipient is subject to applicable regulations governing patents and inventions, including government-wide regulations issued by the Department of Commerce at 37 CFR 401, "Rights to Inventions made by Non-profit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements." Acceptance of Award funds obligates Subrecipient to comply with the standard patent rights clauses at 37 CFR 401.14.

Article X – Independent Contractor

Subrecipient and its employees, consultants, agents, or independent contractors will perform all services under this Subaward as independent contractors. Nothing in this Subaward will be deemed to create an employer-employee or principal-agent relationship between UCSF and Subrecipient's employees, consultants, agents, or independent contractors. Subrecipient and its employees, consultants, agents, and lower tier Subrecipients will not, by virtue of any services provided under this Subaward, be entitled to participate, as an employee or otherwise, in or under any employee benefit plan of UCSF or any other employment right or benefit available to or enjoyed by employees of UCSF.

Article XI – Liability

Subrecipient shall defend, indemnify, and hold UCSF, its officers, employees, and agents harmless from and against any and all liability, loss, expense (including reasonable attorneys' fees), or claims for injury or damages arising out of the performance of this subaward but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of Subrecipient, its officers, employees, or agents.

UCSF shall defend, indemnify, and hold Subrecipient, its officers, employees, and agents harmless from and against any and all liability, loss, expense (including reasonable attorneys' fees), or claims for injury or damages arising out of the performance of this Subaward but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of UCSF, its officers, employees, or agents.

Article XII – Insurance

Subrecipient shall maintain at its expense, during the period of this Subaward, insurance or an equivalent form of self-insurance acceptable to UCSF in terms as follows:

a) Commercial Form General Liability (contractual liability included) with limits as follows:

Each Occurrence	\$1,000,000
Products, Completed Operations Aggregate	\$2,000,000
Personal and Advertising Injury	\$1,000,000
General Aggregate	\$2,000,000

b) If the above insurance is written on a claims-made form, it shall continue for three (3) years following termination of this Subaward. The insurance shall have a retroactive date of placement prior to or coinciding with the effective date of this Subaward.

c) Business Automobile Liability (Minimum Limits) for owned, scheduled, non-owned, or hired automobiles with combined single limit of not less than \$1,000,000 per occurrence

d) Workers' Compensation Coverage per statutory limits

e) The coverages referred to shall include The Regents of the University of California as an additional insured. Such a provision shall apply only in proportion to and to the extent of the negligent acts or omissions of the Subrecipient, its officers, employees, and agents. Subrecipient, upon request, shall furnish UCSF with certificates of insurance evidencing compliance with all requirements. Certificates shall further provide for thirty (30) days (10 days for non-payment of premium) advance written notice to UCSF of any material modification, change, or cancellation of the above insurance coverages.

Article XIII – Suspension/Termination

In the event Sponsor suspends or terminates its award to UCSF, UCSF shall suspend or terminate this Subaward. Notification of suspension or termination by UCSF shall be provided to Subrecipient in writing as soon as practicable and shall state the effective date of such action.

Either party may terminate this Subaward upon thirty (30) days advance written notice to the other party. In the event of such termination, Subrecipient shall take all reasonable steps to minimize further costs, and shall be entitled to reimbursement for allowable costs and non-cancellable obligations incurred prior to the effective date of termination, except that in no event shall such reimbursement exceed the amount set forth in Article IV (Compensation). Unless otherwise agreed to by the parties, within thirty (30) days after the final end date, Subrecipient shall submit a final invoice to UCSF. The balance owed to Subrecipient will be paid contingent upon receipt of all final reports. The preceding sentence notwithstanding, UCSF will be unable to reimburse any expenses under suspension or termination unless and until Sponsor reimburses UCSF for such costs.

In the event of early termination, Subrecipient agrees to deliver such information and items which are either completed prior to the effective date of termination, or which Subrecipient can reasonably be expected to prepare and furnish to UCSF per the approved Scope of Work and the terms of this Subaward.

Article XIV – Notices

Notices required or permitted under this Subaward shall be directed to the Administrative Contact listed in Attachment D.

Article XV – Prime Award Provisions

The appropriate provisions of the Award set forth in Attachment C, which is incorporated herein and made a part of this Subaward, are applicable to Subrecipient, and Subrecipient hereby agrees to comply with such provisions. In all such provisions, context of the provision requires otherwise, the term "Recipient" or "you" shall mean "Subrecipient", and the terms "PCORI", we" or "us" and equivalent phrases shall mean "UCSF". It is intended that the appropriate provisions shall apply to Subrecipient in such manner as is necessary to reflect the position of Subrecipient as a subgrantee to UCSF, to ensure Subrecipient's obligations to UCSF and to the Sponsor, and to enable UCSF to meet its obligations under its Award.

In all cases prior approval requests shall be submitted to UCSF's representative named in Article XIV.

In the event of a conflict between the Prime Award and this Subaward, the terms and conditions of this Subaward shall govern.

Article XVI – Governing Law

This Subaward is governed by the laws of the State of California.

Article XVII – Entire Subaward

This Subaward, including its attachments, states the entire agreement between the parties with respect to the subject matter of this Subaward and supersedes any previous or contemporaneous written or oral representations, statements, negotiations, or Subawards. Subrecipient acknowledges that it has not been induced to enter into this Subaward by any oral or written statements or representations not expressly provided in this Subaward.

Attachment A – Subrecipient Scope of Work

Attachment B – Subrecipient Budget

Attachment C – Award

Attachment D – Contacts

IN WITNESS WHEREOF, the parties hereto have executed this Subaward on the month, day and year specified below.

FOR: The Regents of the University of California

FOR: City & County of San Francisco

Date:

Date:



San Francisco Department of Public Health

Grant Colfax, MD
Director of Health

City and County of San Francisco
London N. Breed
Mayor

Memorandum

To: Honorable Members of the Board of Supervisors

From: San Francisco Department of Public Health

Date: Wednesday, November 8, 2023

Re: Accept and Expend Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening Grant

This Resolution seeks authorization for the Department of Public Health to retroactively accept and expend funds in the amount of \$1,044,792 from the Patient-Centered Outcomes Research Institute (PCORI).

We humbly request retroactive authorization for this accept and expend grant as DPH received the notice of award on March 25, 2023, for a project start date of July 1, 2023. Upon receiving notice of the award, DPH prepared the budget. Once the budget was prepared, the accept and expend packet was forwarded to the Controller's office on July 28, 2023. After discussions with the Controller's office and the Mayor's office on the position funded through this grant, the Controller's office approved the accept and expend package and forwarded the signed documents to the Mayor's office on October 18, 2023.

Please contact Greg Wong, grants analyst, at greg.wong@sfdph.org for any questions about this request for retroactive authorization.



London N. Breed
Mayor

TO: Angela Calvillo, Clerk of the Board of Supervisors

FROM: Dr. Grant Colfax
Director of Health

DATE: 11/8/2023

SUBJECT: Grant Accept and Expend

GRANT TITLE: Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening - \$1,044,792

Attached please find the original and 1 copy of each of the following:

- Proposed grant resolution, original signed by Department
- Grant information form, including disability checklist
- Budget and Budget Justification
- Grant application: Not Applicable. No application submitted.
- Agreement / Award Letter
- Other (Explain):

Special Timeline Requirements:

Departmental representative to receive a copy of the adopted resolution:

Name: Gregory Wong (greg.wong@sfdph.org) Phone: 554-2521

Interoffice Mail Address: Dept. of Public Health, 101 Grove St # 108

Certified copy required Yes

No

From: [Conine-Nakano, Susanna \(MYR\)](#)
To: [BOS Legislation \(BOS\)](#)
Cc: [Paulino, Tom \(MYR\)](#); [Wong, Greg \(DPH\)](#); [Rana, Shalini \(DPH\)](#)
Subject: Mayor -- Resolution -- Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening
Date: Tuesday, November 7, 2023 3:57:00 PM
Attachments: [Mayor -- Resolution -- Pure-tone audiometry versu.zip](#)

Hello Clerks,

Attached for introduction to the Board of Supervisors is a Resolution retroactively authorizing the Department of Public Health to accept and expend a grant in the amount of \$1,044,792 from the Patient-Centered Outcomes Research Institute (PCORI) through the University of California, San Francisco for participation in a program, entitled "Pure-tone audiometry versus otoacoustic emissions for preschool hearing screening," for the period of July 1, 2023, through June 30, 2028.

Best,
Susanna

Susanna Conine-Nakano
Office of Mayor London N. Breed
City & County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 200
San Francisco, CA 94102
415-554-6147