

1 [Appropriation - Proceeds from Revenue Bonds, Bay Area Water Supply and Conservation
2 Agency Pre-payment Bonds, Water Revenues and Water Capacity Fees of \$363,758,000 for
3 Water Capital Improvements - FYs 2014-2015 and 2015-2016]

4 **Ordinance appropriating \$363,758,000 of proceeds from revenue bonds, Bay Area**
5 **Water Supply and Conservation Agency (BAWSCA) Pre-payment Bonds, water**
6 **revenues and water capacity fees for the San Francisco Public Utilities Commission**
7 **(SFPUC) Water Enterprise's Capital Improvement Program for FY2014-2015 at**
8 **\$181,213,000 and FY2015-2016 at \$182,545,000; and placing \$229,023,000 by project on**
9 **Controller's reserve subject to SFPUC's and Board of Supervisors' approval following**
10 **completion of project-related analysis pursuant to the California Environmental Quality**
11 **Act, where required, and receipt of proceeds of indebtedness.**

12
13 Note: **Unchanged Code text and uncodified text** are in plain Arial font.
14 **Additions to Codes** are in *single-underline italics Times New Roman font*.
15 **Deletions to Codes** are in *strikethrough italics Times New Roman font*.
16 **Board amendment additions** are in double underlined Arial font.
17 **Board amendment deletions** are in ~~strikethrough Arial font~~.
18 **Asterisks (* * *)** indicate the omission of unchanged Code
19 subsections or parts of tables.

20 Be it ordained by the People of the City and County of San Francisco:

21 Section 1. The sources of funding outlined below are herein appropriated to reflect the
22 funding available for FY2014-2015.
23
24
25

1 **SOURCES Appropriation**

2	Fund	Index Code /	Subobject	Description	Amount
3		Project Code			
4	5W CPF 15A – Water	*WTR5WCPF15A /	80111	Proceeds from Sale of	\$86,962,000
5	Enterprise- 2015A	WTR3000100		Bonds	
6	Bond Fund	Bond/Commercial Paper			
7		Expense			
8					
9	5W CPF WCF –	UWUWZZZG505W -	9505W	Water Enterprise	\$15,275,000
10	Wholesale Capital	CUWZZZZZ		Revenue	
11	Project Fund				
12					
13	5W CPF CAP –	*WTR5WCPFCAP –	99999B	Water Capacity Fees	\$2,500,000
14	Capacity Fees	CUW68301			
15					
16	5W AAA AAA –	*WTR5WAAAAAA	99999B	Water Enterprise	\$13,944,000
17	Water Operating -			Revenue (BAWSCA	
18	Non-Proj-Controlled			Pre-Payments Funds)	
19					
20	5W AAA AAA –	*WTR5WAAAAAA	99999B	Water Enterprise	\$8,225,000
21	Capital Projects –			Revenue (BAWSCA	
22	Local Fund			Pre-Payments Funds)	
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W AAA ACP –	UWAAAACP505W /	9505W	Water Enterprise	\$54,307,000
4	Water Continuing	CUWZZZZZ		Revenue (BAWSCA	
5	Capital Project Fund			Pre-Payments Funds)	
6					
7	Total SOURCES Appropriation				\$181,213,000

8

9 Section 2. The uses of funding outlined below are herein appropriated in Subobject

10 06700 Buildings Structures and Improvements, 06R00 Capital Renewal Projects, 081C4

11 Internal Audits and 081XX Revenue Bond Oversight Committee, and reflects the projected

12 uses of funding to support the Water Capital Improvement Program at the San Francisco

13 Public Utilities Commission for FY2014-2015.

14

15 **USES Appropriation**

16	Fund	Index Code /	Subobject	Description	Amount
17		Project Code			
18	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Regional Water	\$3,094,350
19	Capital Projects –	CUW27200	Renewal	Treatment Program	
20	Local Fund		Projects		
21					
22	5W CPF WCF –	WTRX5WCPFWCF	06R00 Capital	Regional Water	\$5,746,650
23	Wholesale Customer	CUW27200	Renewal	Treatment Program	
24	Fund		Projects		

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W AAA ACP – Water	WTRX5WAAAACP	06R00 Capital	Town of Sunol – Fire	\$2,000,000
4	Continuing Capital	CUW26308	Renewal	Suppression System	
5	Project Fund		Projects		
6					
7	5W CPF LOC –	WTRX5WCPFLOC	06R00 Capital	Water Transmission	\$2,518,600
8	Capital Projects –	CUW27300	Renewal	Program	
9	Local Fund		Projects		
10					
11	5W CPF WCF -	WTRX5WCPFWCF	06R00 Capital	Water Transmission	\$4,677,400
12	Wholesale Customer	CUW27300	Renewal	Program	
13	Fund		Projects		
14					
15	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Water Supply &	\$719,250
16	Capital Projects –	CUW27400	Renewal	Storage	
17	Local Fund		Projects		
18					
19	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Water Supply &	\$1,335,750
20	Wholesale Customer	CUW27400	Renewal	Storage	
21	Fund		Projects		
22					
23	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Watershed & Land	\$492,800
24	Capital Projects –	CUW27500	Renewal	Management	
25	Local Fund		Projects		

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Watershed & Land	\$915,200
4	Wholesale Customer	CUW27500	Renewal	Management	
5	Fund		Projects		
6					
7	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Communication &	\$1,225,000
8	Capital Projects –	CUW27600	Renewal	Monitoring Program	
9	Local Fund		Projects		
10	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Communication &	\$2,275,000
11	Wholesale Customer	CUW27600	Renewal	Monitoring Program	
12	Fund		Projects		
13					
14	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Buildings & Grounds	\$175,000
15	Capital Projects –	CUW27700	Renewal	Regional	
16	Local Fund		Projects		
17					
18	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Buildings & Grounds	\$325,000
19	Wholesale Customer	CUW27700	Renewal	Regional	
20	Fund		Projects		
21					
22	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Local Water	\$51,200,000
23	Continuing Capital	CUW28000	Renewal	Conveyance/	
24	Project Fund		Projects	Distribution	
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF CAP –	WTRX5WCPFCAP /	06R00 Capital	Local Water	\$2,500,000
4	Capacity Fee	CUW28000	Renewal	Conveyance/	
5			Projects	Distribution	
6					
7	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Building & Grounds	\$800,000
8	Continuing Capital	CUW68800	Renewal	Improvements	
9	Project Fund		Projects		
10	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Pacific Rod & Gun	\$11,105,000
11	Continuing Capital	CUW28100	Renewal	Club Remediation	
12	Project Fund		Projects		
13					
14	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Systems Monitoring &	\$1,510,000
15	Continuing Capital	CUW28201	Renewal	Control	
16	Project Fund		Projects		
17					
18	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Local Water Supply –	\$986,000
19	Continuing Capital	CUW27800	Renewal	Other Recycled Water	
20	Project Fund		Projects	Projects	
21					
22	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	College Hill Reservoir	\$650,000
23	Continuing Capital	CUW28301	Renewal	Outlet Structure	
24	Project Fund		Projects	Retrofit	
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF 15A – Water	WTRX5WCPF15A /	06700	Calaveras Dam –	\$50,000,000
4	Enterprise- 2015A	CUW3740100	Buildings,	WSIP Augmentation	
5	Bond Fund		Structures, and		
6			Improvements		
7					
8	5W CPF 15A – Water	WTRX5WCPF15A /	06700	New Irvington Tunnel	\$10,000,000
9	Enterprise- 2015A	CUW3590100	Buildings,		
10	Bond Fund		Structures, and		
11			Improvements		
12					
13	5W CPF 15A – Water	WTRX5WCPF15A /	06700	Crystal Springs/San	\$5,000,000
14	Enterprise- 2015A	CUW3710100	Buildings,	Andreas Upgrade	
15	Bond Fund		Structures, and		
16			Improvements		
17					
18	5W CPF 15A – Water	WTRX5WCPF15A /	06700	Regional Groundwater	\$7,178,000
19	Enterprise- 2015A	CUW3010300	Buildings,	Storage & Recovery	
20	Bond Fund		Structures, and		
21			Improvements		
22					
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF 15A – Water	WTRX5WCPF15A /	06700	Financing Cost	\$14,407,661
4	Enterprise- 2015A	WTR3000100	Buildings,		
5	Bond Fund		Structures, and		
6			Improvements		
7					
8	5W CPF 15A – Water	WTRX5WCPF15A /	081C4 Internal	City Services Auditor	\$332,858
9	Enterprise- 2015A	WTR3000100	Audits		
10	Bond Fund				
11	5W CPF 15A – Water	WTRX5WCPF15A /	081XX	Revenue Bond	\$43,481
12	Enterprise- 2015A	WTR3000100		Oversight Committee	
13	Bond Fund				
14	Total USES Appropriation				<u>\$181,213,000</u>

15

16 Section 3. Of the above appropriated amount, \$332,858, representing 0.2% of the

17 expenditure budget net of bond financing and audit costs, is to be allocated and available to

18 support the Controller's Audit Fund, pursuant to Charter Appendix F1.113; and \$43,481,

19 representing 0.05% of gross bond proceeds is to be allocated and available to support the

20 Public Utilities Commission Revenue Bond Oversight Committee, pursuant to Administrative

21 Code Section 5A.31. These appropriations may be increased or decreased by the Controller

22 based on changes to expenditure appropriations or actual gross bond proceeds to conform to

23 the applicable Charter and Administrative Code formulas.

1 Section 4: \$86,962,000 of the appropriation is hereby placed on Controller's
2 Appropriation Reserve by project. Release of appropriation reserves by the Controller is
3 subject to the prior occurrence of: 1) the SFPUC's and the Board of Supervisors' discretionary
4 adoption of CEQA findings for projects, following review and consideration of completed
5 project-related environmental analysis, where required, pursuant to CEQA, the State CEQA
6 Guidelines, and Chapter 31 of the San Francisco Administrative Code, and 2) the Controller's
7 certification of funds availability, including proceeds of indebtedness including Commercial
8 Paper.

9
10 Section 5. Associated Bond financing costs up to \$14,407,661 is also hereby
11 appropriated, including but not limited to, issuance costs, debt service reserve, capitalized
12 interest, rating agency, and disclosure costs, all on Controller's reserve pending receipt of
13 bond proceeds. To the extent that net available bond proceeds after financing costs are more
14 than budgeted, the Controller may approve the use of such surplus bond proceeds as a
15 substitute for other sources budgeted in this appropriation.

16
17 Section 6: The Controller is authorized to record transfers between funds and adjust
18 the accounting treatment of sources and uses appropriated in this ordinance as necessary to
19 conform to Generally Accepted Accounting Principles.

20
21 Section 7. The sources of funding outlined below are herein appropriated to reflect the
22 funding available for FY2015-2016.

23
24
25

1 **SOURCES Appropriation**

2	Fund	Index Code /	Subobject	Description	Amount
3		Project Code			
4	5W CPF 16A – Water	*WTR5WCPF16A /	80111	Proceeds from Sale of	\$142,061,000
5	Enterprise- 2016A	WTR3000100		Bonds	
6	Bond Fund				
7					
8	5W CPF LOC – Water	UWUWZZZI505W -	9505W	Water Enterprise	\$11,691,400
9	Capital Project - Local	CUWZZZZZ		Revenue	
10	Fund				
11					
12	5W CPF WCF –	UWUWZZZG505W -	9505W	Water Enterprise	\$21,712,600
13	Wholesale Capital	CUWZZZZZ		Revenue	
14	Project Fund				
15					
16	5W CPF CAP –	*WTR5WCPFCAP –	99999B	Water Capacity Fees	\$3,000,000
17	Capacity Fees	CUW68301			
18					
19	5W AAA ACP –	UWAAAACP505W –	9505W	Water Enterprise	\$4,080,000
20	Water Continuing	CUWZZZZZ		Revenue – Water	
21	Capital Project Fund			Revenue Transfer	
22					
23	Total SOURCES Appropriation				\$182,545,000
24					
25					

1 Section 8. The uses of funding outlined below are herein appropriated in Subsubject
 2 06700 Buildings Structures and Improvements, 06R00 Capital Renewal Projects, 081C4
 3 Internal Audits and 081XX Revenue Bond Oversight Committee, and reflects the projected
 4 uses of funding to support the Water Capital Improvement Program at the San Francisco
 5 Public Utilities Commission for FY2015-2016.

6

7 **USES Appropriation**

8	Fund	Index Code /	Subobject	Description	Amount
9		Project Code			
10	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Regional Water	\$1,276,450
11	Capital Projects –	CUW27200	Renewal	Treatment Program	
12	Local Fund		Projects		
13					
14	5W CPF WCF –	WTRX5WCPFWCF	06R00 Capital	Regional Water	\$2,370,550
15	Wholesale Customer	CUW27200	Renewal	Treatment Program	
16	Fund		Projects		
17					
18	5W CPF LOC –	WTRX5WCPFLOC	06R00 Capital	Water Transmission	\$4,070,850
19	Capital Projects –	CUW27300	Renewal	Program	
20	Local Fund		Projects		
21					
22	5W CPF WCF -	WTRX5WCPFWCF	06R00 Capital	Water Transmission	\$7,560,150
23	Wholesale Customer	CUW27300	Renewal	Program	
24	Fund		Projects		
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Water Supply &	\$417,900
4	Capital Projects –	CUW27400	Renewal	Storage	
5	Local Fund		Projects		
6					
7	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Water Supply &	\$776,100
8	Wholesale Customer	CUW27400	Renewal	Storage	
9	Fund		Projects		
10					
11	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Watershed & Land	\$981,400
12	Capital Projects –	CUW27500	Renewal	Management	
13	Local Fund		Projects		
14					
15	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Watershed & Land	\$1,822,600
16	Wholesale Customer	CUW27500	Renewal	Management	
17	Fund		Projects		
18					
19	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Communication &	\$700,000
20	Capital Projects –	CUW27600	Renewal	Monitoring Program	
21	Local Fund		Projects		
22					
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Communication &	\$1,300,000
4	Wholesale Customer	CUW27600	Renewal	Monitoring Program	
5	Fund		Projects		
6					
7	5W CPF LOC –	WTRX5WCPFLOC /	06R00 Capital	Buildings & Grounds	\$4,244,800
8	Capital Projects –	CUW27700	Renewal	Regional	
9	Local Fund		Projects		
10	5W CPF WCF -	WTRX5WCPFWCF /	06R00 Capital	Buildings & Grounds	\$7,883,200
11	Wholesale Customer	CUW27700	Renewal	Regional	
12	Fund		Projects		
13					
14	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Buildings & Grounds	\$15,237,000
15	Enterprise- 2016A	CUW27700	Buildings,	Regional	
16	Bond Fund)		Structures, and		
17			Improvements		
18					
19	5W CPF CAP – Water	WTRX5WCPFCAP /	06R00 Capital	Local Water	\$3,000,000
20	Continuing Capital	CUW28000	Renewal	Conveyance/	
21	Project Fund		Projects	Distribution	
22					
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Local Water	\$50,700,000
4	Enterprise- 2016A	CUW28000	Buildings,	Conveyance/	
5	Bond Fund		Structures, and	Distribution	
6			Improvements		
7					
8	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Building & Grounds	\$1,525,000
9	Enterprise- 2016A	CUW68800	Buildings,	Improvements	
10	Bond Fund		Structures, and		
11			Improvements		
12					
13	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Pacific Rod & Gun	\$155,000
14	Continuing Capital	CUW28100	Renewal	Club Remediation	
15	Project Fund		Projects		
16					
17	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Systems Monitoring &	\$5,900,000
18	Enterprise- 2016A	CUW28201	Buildings,	Control	
19	Bond Fund		Structures, and		
20			Improvements		
21					
22	5W AAA ACP – Water	WTRX5WAAAACP /	06R00 Capital	Local Water Supply –	\$3,925,000
23	Continuing Capital	CUW27800	Renewal	Other Recycled Water	
24	Project Fund		Projects	Projects	
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF 16A – Water	WTRX5WCPF16A /	06700	College Hill Reservoir	\$2,820,000
4	Enterprise- 2016A	CUW28301	Buildings,	Outlet Structure	
5	Bond Fund		Structures, and	Retrofit	
6			Improvements		
7					
8	5W CPF 16A – Water	WTRX5WCPF16A /	06700	McLaren Park Pump	\$358,000
9	Enterprise- 2016A	CUW28401	Buildings,	Station	
10	Bond Fund		Structures, and		
11			Improvements		
12					
13	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Merced Manor	\$270,000
14	Enterprise- 2016A	CUW28501	Buildings,	Reservoir Facilities	
15	Bond Fund		Structures, and	Repairs	
16			Improvements		
17					
18	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Calaveras Dam –	\$40,000,000
19	Enterprise- 2016A	CUW3740100	Buildings,	WSIP Augmentation	
20	Bond Fund		Structures, and		
21			Improvements		
22					
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Regional Groundwater	\$1,074,000
4	Enterprise- 2016A	CUW3010300	Buildings,	Storage & Recovery	
5	Bond Fund		Structures, and		
6			Improvements		
7					
8	5W CPF 16A – Water	WTRX5WCPF16A /	06700	Financing Cost	\$23,789,234
9	Enterprise- 2016A	WTR3000100	Buildings,		
10	Bond Fund		Structures, and		
11			Improvements		
12					
13	5W CPF 16A – Water	WTRX5WCPF16A /	081C4 Internal	City Service Auditor	\$316,736
14	Enterprise- 2016A	WTR3000100	Audits		
15	Bond Fund				
16	5W CPF 16A – Water	WTRX5WCPF16A /	081XX	Revenue Bond	\$71,030
17	Enterprise- 2016A	WTR3000100		Oversight Committee	
18	Bond Fund				
19					
20	Total USES Appropriation				<u>\$182,545,000</u>

22 Section 9: Of the above appropriated amount, \$316,736, representing 0.2% of the
23 expenditure budget net of bond financing and audit costs, is to be allocated and available to
24 support the Controller's Audit Fund, pursuant to Charter Appendix F1.113; and \$71,030,
25 representing 0.05% of gross bond proceeds is to be allocated and available to support the

1 Public Utilities Commission Revenue Bond Oversight Committee, pursuant to Administrative
2 Code Section 5A.31. These appropriations may be increased or decreased by the Controller
3 based on changes to expenditure appropriations or actual gross bond proceeds to conform to
4 the applicable Charter and Administrative Code formulas.

5
6 Section 10: \$142,061,000 of the appropriation is hereby placed on Controller's
7 Appropriation Reserve by project. Release of appropriation reserves by the Controller is
8 subject to the prior occurrence of: 1) the SFPUC's and the Board of Supervisors' adoption of
9 CEQA findings for projects, following review and consideration of completed project-related
10 environmental analysis, where required, pursuant to CEQA, the State CEQA Guidelines, and
11 Chapter 31 of the San Francisco Administrative Code, and 2) the Controller's certification of
12 funds availability, including proceeds of indebtedness including Commercial Paper.

13
14 Section 11: Associated Bond financing costs up to \$23,789,234 is also hereby
15 appropriated, including but not limited to, issuance costs, debt service reserve, capitalized
16 interest, rating agency, and disclosure costs, all on Controller's reserve pending receipt of
17 bond proceeds. To the extent that net available bond proceeds after financing costs are more
18 than budgeted, the Controller may approve the use of such surplus bond proceeds as a
19 substitute for other sources budgeted in this appropriation.

20
21 Section 12: The Controller is authorized to record transfers between funds and adjust
22 the accounting treatment of sources and uses appropriated in this ordinance as necessary to
23 conform to Generally Accepted Accounting Principles.

24
25

1 APPROVED AS TO FORM:
2 DENNIS J. HERRERA, City Attorney

FUNDS AVAILABLE
BEN ROSENFELD, Controller

3 By: _____
4 THOMAS OWEN
5 Deputy City Attorney

By: _____
BEN ROSENFELD
Controller

6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25