

File No. 160897

Committee Item No. 1

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance

Date September 7, 2016

Board of Supervisors Meeting

Date _____

Cmte Board

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| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

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Completed by: Victor Young Date September 2, 2016

Completed by: _____ Date _____

1 [Accept and Expend Grant - State Vocational Rehabilitation Services Program - \$271,200]

2
3 **Resolution authorizing the Department of Public Health to retroactively accept and**
4 **expend a grant in the amount of \$271,200 from the California Department of**
5 **Rehabilitation to participate in a program entitled State Vocational Rehabilitation**
6 **Services Program for the period of July 1, 2016, through June 30, 2019, and waiving**
7 **indirect costs.**

8
9 WHEREAS, The California Department of Rehabilitation has agreed to fund
10 Department of Public Health (DPH) in the amount of \$271,200, with a required match
11 provided in the amount of \$2,456,625, for a budget total of \$2,727,825, for the period of
12 July 1, 2016, through June 30, 2019; and

13 WHEREAS, As a condition of receiving the grant funds, the California Department of
14 Rehabilitation requires the City to enter into an agreement (Agreement), a copy of which is on
15 file with the Clerk of the Board of Supervisors in File No. 160897; which is hereby declared to
16 be a part of this Resolution as if set forth fully herein; and

17 WHEREAS, The purpose of this project is to provide vocational assessment, situational
18 assessment, work adjustment and employment services including employment preparation,
19 job development, placement and job retention services for diverse groups of adults with
20 severe mental illness; and

21 WHEREAS, The funder requires \$2,456,625 in matching funds from DPH, which is
22 equal to 21.30 percent of the full program budget total, or \$818,875 annually; and

23 WHEREAS, The sources of the matching funds include the San Francisco Department
24 of Public Health General Fund and Proposition 63: Mental Health Services Act (MHSA); and
25

1 WHEREAS, An Annual Salary Ordinance amendment is not required as the grant
2 partially reimburses DPH for one existing position, one Health Program Coordinator III (Job
3 Class No. 2593) at .59 FTE for the period of July 1, 2016, through June 30, 2019; and

4 WHEREAS, DPH proposes to maximize use of available grant funds on program
5 expenditures by not including indirect costs in the grant budget; now, therefore, be it

6 RESOLVED, That DPH is hereby authorized to retroactively accept and expend a grant
7 in the amount of \$271,200 from California Department of Rehabilitation; and, be it

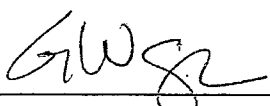
8 FURTHER RESOLVED, That the Board of Supervisors hereby waives inclusion of
9 indirect costs in the grant budget; and, be it

10 FURTHER RESOLVED, That DPH is hereby authorized to retroactively accept and
11 expend the grant funds pursuant to San Francisco Administrative Code, Section 10.170-1;
12 and, be it

13 FURTHER RESOLVED, That the Director of Health is authorized to enter into the
14 agreement on behalf of the City.


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RECOMMENDED:

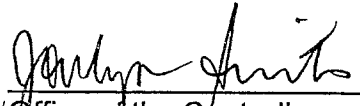


Barbara A. Garcia, MPA
Director of Health

APPROVED:



Office of the Mayor



Office of the Controller

Item 1 File 16-0897	Department: Department of Public Health (DPH)
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EXECUTIVE SUMMARY

Legislative Objectives

- The proposed resolution would authorize the San Francisco Department of Public Health (DPH) to retroactively accept and expend a three-year grant of \$271,200 from the California Department of Rehabilitation (DOR) with a required match of \$2,456,625 for the State Vocational Rehabilitation Services Program for the period of July 1, 2016 through June 30, 2019.

Key Points

- The purpose of the State Vocational Rehabilitation Services Program is to provide vocational and situational assessment, work adjustment, and employment services for adults with severe mental illness. DOR contracts with five non-profit organizations to provide these services.
- The total program budget for three years is \$11,533,452. The State of California will grant \$11,262,252 directly to non-profit organizations and other related entities, outside of the budget for the City. The State will also allocate \$271,200 to DPH for a part-time Health Program Coordinator at .59 FTE.

Fiscal Impact

- The proposed grant requires that DPH contribute matching funds of \$2,456,625, or 21.3% of the \$11,533,452 total project budget. The \$2,456,625 in DPH required matching funds come from City General Fund and federal Mental Health Services Act (MHSA), previously approved by the Board of Supervisors in the FY 2016-17 and FY 2017-18 budgets.

Recommendation

- Approve the proposed resolution.

MANDATE STATEMENT

City Administrative Code Section 10.170-1 states that accepting Federal, State, or third-party grant funds in the amount of \$100,000 or more, including any City matching funds required by the grant, is subject to Board of Supervisors approval.

BACKGROUND

The State Vocational Rehabilitation Services Program is funded by the California Department of Rehabilitation (DOR) to provide vocational assessment, situational assessment, work adjustment, and employment services—including employment preparation, job development, placement, and job retention services—for adults with severe mental illness. The California Department of Rehabilitation (DOR) directly funds the following five contractors to provide these services to San Francisco residents.

- RAMS, Inc., which provides situational assessment, vocational assessment, work adjustment, and employment services;
- Citywide Case Management Forensics, which provides vocational assessment and employment services;
- Caminar, Inc., which provides employment services;
- Special Services for Groups-OOTP-SF, which provides vocational assessment and employment services; and
- Toolworks, which provides employment services.

In addition, the State Vocational Rehabilitation Services Program funds 5.75 FTEs¹ in the Rehabilitation Team Unit and Case Services. The Rehabilitation Team Unit determines eligibility and functional capacities, assists in the development of Individualized Plans for Employment, provides vocational counseling and services coordination. Case Services pays for certain client expenses to provide eligible clients with tools, clothing, and any needed work materials while in training.

DETAILS OF PROPOSED LEGISLATION

The proposed resolution would authorize the Department of Public Health (DPH) to retroactively accept and expend California Department of Rehabilitation (DOR) grant funds of \$271,200 for the three-year period of July 1, 2016 through June 30, 2019. The proposed grant funds pay for 0.59 FTE position in DPH to coordinate vocational programs and employment services for clients within Community Behavioral Health Services.

FISCAL IMPACT

The \$271,200 DOR grant partially reimburses DPH for one existing position, a Health Program Coordinator III (Job Class No. 2593), at .59 FTE from FY 2016-17 through FY 2018-19. This

¹ FTE is a full time equivalent position.

position coordinates vocational programs and employment services for clients within Community Behavioral Health Services.

The total State Vocational Rehabilitation Services Program for the City is \$11,533,452, which includes:

- (a) \$11,262,252 in direct DOR funding to the five contractors noted above, the Rehabilitation Team Unit, and Case Services; and
- (b) \$271,200 in grant funds to DPH (the subject of this resolution).

The proposed grant requires \$2,456,625 in matching funds from DPH in order to leverage the total State Vocational Rehabilitation Services Program funding of \$11,533,452. This amount is equal to 21.3% of the total program budget of \$11,533,452. Table 1 below displays the budget for the State Vocational Rehabilitation Services Program and the required DPH match of \$2,456,625.

Table 1: State Vocational Rehabilitation Services Program Budget and Required DPH Match

	FY 2016-17	FY 2017-18	FY 2018-19	Total
Rehabilitation Team Unit	\$634,668	\$634,668	\$634,668	\$1,904,004
Case Services	693,645	693,645	693,645	2,080,935
RAMS	1,021,118	1,021,118	1,021,118	3,063,354
Citywide Case Management Forensics	630,343	630,343	630,343	1,891,029
Caminar	416,788	416,788	416,788	1,250,364
Special Service for Group-OTTP-SF	257,522	257,522	257,522	772,566
Toolworks	100,000	100,000	100,000	300,000
<i>Direct State Grant Amount</i>	<i>\$3,754,084</i>	<i>\$3,754,084</i>	<i>\$3,754,084</i>	<i>\$11,262,252</i>
.59 FTE Health Program Coordinator	90,400	90,400	90,400	271,200
Total Program Budget	\$3,844,484	\$3,844,484	\$3,844,484	\$11,533,452
21.3% Required DPH Match	\$818,875	\$818,875	\$818,875	\$2,456,625

The \$2,456,625 in DPH required matching funds come from City General Fund and federal Mental Health Services Act (MHSA), previously approved by the Board of Supervisors in the FY 2016-17 and FY 2017-18 budgets.

RECOMMENDATION

Approve the proposed resolution.

File Number: 160897
(Provided by Clerk of Board of Supervisors)

Grant Resolution Information Form
(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: **State Vocational Rehabilitation Services Program**
2. Department: **Department of Public Health – Behavioral Health Services, Vocational Services**
3. Contact Person: **Jennie Hua** Telephone: **415-255-3628**
4. Grant Approval Status (check one):

Approved by funding agency Not yet approved

5. Amount of Grant Funding Approved or Applied for:

For FY16-17, FY17-18 and FY18-19, The California Department of Rehabilitation (DOR) is providing a 3-Year grant in the total amount of \$271,200, with a DPH required match of \$2,456,625 for a total 3-Year grant budget of \$2,727,825.

Each year, DOR's budget will be \$3,025,609, for a total program budget of \$3,844,484

- 6a. Matching Funds Required: **\$818,875 per year; Total: \$2,456,625**

b. Source(s) of matching funds (if applicable): **City and County of San Francisco General Fund from Department of Public Health (SFDPH General Fund) and Proposition 63: Mental Health Services Act (MHSA)**

- 7a. Grant Source Agency: **California Department of Rehabilitation, San Francisco District**

b. Grant Pass-Through Agency (if applicable):

8. Proposed Grant Project Summary: **The Department of Public Health (DPH) requests approval to accept and expend a three year grant from the California Department of Rehabilitation (DOR). DOR is renewing a cooperative contract Agreement with five non-profit providers, including Richmond Area Multi-Services, Inc. (RAMS), Citywide Case Management Forensic Program, CaminarJobs Plus, Occupational Therapy Training Program Groups-San Francisco (OTTP-SF), and Toolworks. Providers will provide vocational assessment, situational assessment, work adjustment and employment services including employment preparation, job development, placement and job retention services for diverse groups of adults with severe mental illness. This is the 19th year that the City has received grant funds from the State Department of Rehabilitation for the provision of these services.**

9. Grant Project Schedule, as allowed in approval documents, or as proposed:

Start-Date: **July 1, 2016**

End-Date: **June 30, 2019**

10a. Amount budgeted for contract services: **\$0**

b. Will contractual services be put out to bid? **No, because this is a continuation of an existing grant where DOR directly contracts with RAMS, Citywide, Caminar, OTTP-SF, and Toolworks. It will not be put out to bid for the new vendor because subvention contracts are exempt from formal competitive bidding at the state level.**

c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements? **N/A**

d. Is this likely to be a one-time or ongoing request for contracting out? **N/A**

11a. Does the budget include indirect costs? Yes No

b1. If yes, how much? **N/A**

b2. How was the amount calculated? **N/A**

c1. If no, why are indirect costs not included? **N/A**

Not allowed by granting agency To maximize use of grant funds on direct services
 Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs?
25.2% of salaries or indirect costs of \$22,780.80 per year

12. Any other significant grant requirements or comments:

The Department of Public Health is seeking approval to accept and expend the renewal of a three year grant in which the total budget amount has remained the same from the previous board approved contract cash match Agreement from July 1, 2013 through June 30, 2016.

GRANT CODE (Please include Grant Code and Detail in FAMIS): **HMAD04/14**

****Disability Access Checklist** (Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)**

13. This Grant is intended for activities at (check all that apply):

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Existing Site(s) | <input type="checkbox"/> Existing Structure(s) | <input type="checkbox"/> Existing Program(s) or Service(s) |
| <input type="checkbox"/> Rehabilitated Site(s) | <input type="checkbox"/> Rehabilitated Structure(s) | <input checked="" type="checkbox"/> New Program(s) or Service(s) |
| <input type="checkbox"/> New Site(s) | <input type="checkbox"/> New Structure(s) | |

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;
2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;
3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

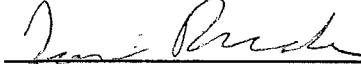
Comments:

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

for Matthew Valdez
(Name)

EEO Programs Manager, Office of Equal Employment Opportunity and Cultural Competency
(Title)

Date Reviewed: 05/06/16

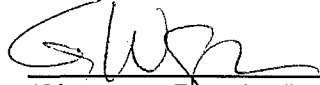

(Signature Required)

Department Head or Designee Approval of Grant Information Form:

for Barbara A. Garcia, MPA
(Name)

Director of Health
(Title)

Date Reviewed: 5/17/16


(Signature Required)

San Francisco County Behavioral Health Services

DOR Program Budget July 1, 2016 - June 30, 2019

<u>ITEM</u>	<u>FTE EXPENDITURE</u>	<u>FY 2016/17 TOTAL</u>	<u>FY 2017/18 TOTAL</u>	<u>FY 2018/19 TOTAL</u>
Rehabilitation Team Unit 1 FTE = \$110,377	Units	5.75	5.75	5.75
		\$634,668	\$634,668	\$634,668
Case Services (Individual Consumer Expenses)		693,645	693,645	693,645
	SUBTOTAL	\$1,328,313	\$1,328,313	\$1,328,313
Case Service Contract(s):				
<u>RAMS, Inc.</u>		\$1,021,118	\$1,021,118	\$1,021,118
<u>Citywide Case Management Forensics</u>		\$630,343	\$630,343	\$630,343
<u>Caminar Inc.</u>		\$416,788	\$416,788	\$416,788
<u>Special Services for Groups-OTTP- SF</u>		\$257,522	\$257,522	\$257,522
<u>Toolworks</u>		\$100,000	\$100,000	\$100,000
TOTAL DOR PROGRAM COST		\$3,754,084	\$3,754,084	\$3,754,084

State Vocational Rehabilitation Services Program

Cooperative Program Agreement with the Department of Rehabilitation
Budget Justification

Annual Budget for Fiscal Year 2016-2019

	Department of Rehabilitation Services	FTE	TOTAL BUDGET with cash match
Direct Cost/Services	FTE Expenditures		Total
DOR State Rehabilitation Counselor	1 FTE - \$110,337	5.75	\$634,668
Will determine eligibility and functional capacities, assist in development of Individualized Plan for Employment, provide vocational counseling and provide services and service coordination that will lead to a successful employment outcomes.			
Case Services, Individual Client Expenses			\$693,645
Provide eligible clients tools, clothing, any needed work materials while in training.			
<i>Case Services Contract</i>			
RAMS			\$1,021,118
Situational Assessment, Vocational Assessment, Work Adjustment, Employment Services			
Citywide			\$630,343
Vocational Assessment, Employment Services			
Caminar			\$416,788
Employment Services			
OTTP-SF			\$257,522
Vocational Assessment, Employment Services			
Toolworks			\$100,000
Employment Services			
DOR Case Services Fund			\$693,645
Funding placed in DOR Case Services Fund to use as a FEE-FORSERVICE for providers for Job Coaching and off-contract services.			

<i>Total Payment by DOR to Contractor- Health Program Coordinator III</i>		0.59	\$90,400
Funding to provide a Vocational Services Coordinator to coordinate vocational programs and employment services for clients within Community Behavioral Health Services.			
TOTAL CASH MATCH			\$3,844,484
TOTAL CASH MATCH at 21.3%			\$818,875

State Vocational Rehabilitation Services Program

Cooperative Program Agreement with the Department of Rehabilitation
Budget Justification
Annual Budget for Fiscal Years 2016-17, 2017-18, 2018-19

	Department of Rehabilitation Services	FTE	Year 1 Budget	Year 2 Budget	Year 3 Budget	TOTAL 3 YEAR BUDGET
Direct Cost/Services	FTE Expenditures					Total
DOR State Rehabilitation Counselor	1 FTE - \$110,337	5.75	\$634,668	\$634,668	\$634,668	\$1,904,004
Will determine eligibility and functional capacities, assist in development of Individualized Plan for Employment, provide vocational counseling and provide services and service coordination that will lead to a successful employment outcomes.						
Case Services, Individual Client Expenses			\$693,645	\$693,645	\$693,645	\$2,080,935
Provide eligible clients tools, clothing, any needed work materials while in training.						
Case Services Contract						
RAMS			\$1,021,118	\$1,021,118	\$1,021,118	\$3,063,354
Situational Assessment, Vocational Assessment, Work Adjustment, Employment Services						
Citywide Supported Employment			\$630,343	\$630,343	\$630,343	\$1,891,029
Vocational Assessment, Employment Services						
Caminar			\$416,788	\$416,788	\$416,788	\$1,250,364
Employment Services						
OTTP-SF			\$257,522	\$257,522	\$257,522	\$772,566

Vocational Assessment, Employment Services						
Toolworks			\$100,000	\$100,000	\$100,000	\$300,000
Employment Services						
DOR Case Services Fund			\$693,645	\$693,645	\$693,645	\$2,080,935
Funding placed in DOR Case Services Fund to use as a FEE-FORSERVICE for providers for Job Coaching and off-contract services.						
Total Payment by DOR to Contractor- Health Program Coordinator III		0.59	\$90,400	\$90,400	\$90,400	\$271,200
Funding to provide a Vocational Services Coordinator to coordinate vocational programs and employment services for clients within Community Behavioral Health Services.						
TOTAL CASH MATCH			\$818,875 21.3%	\$818,875 21.3%	\$818,875 21.3%	\$2,456,625 21.3%

STATE OF CALIFORNIA
STANDARD AGREEMENT
 STD 213 (Rev 06/03)

AGREEMENT NUMBER
29888
REGISTRATION NUMBER
N/A

1. This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME

Department of Rehabilitation

CONTRACTOR'S NAME

San Francisco County Behavioral Health Services

2. The term of this Agreement is: July 1, 2016 through June 30, 2019



3. The maximum amount of this Agreement is: \$271,200.00 Cash Match - \$2,456,625.00

4. The parties agree to comply with the terms and conditions of the following exhibits which are by this reference made a part of the Agreement.

CFDA #84.126A State Vocational Rehabilitation Services Program	
Exhibit A - Scope of Work	1 page
Exhibit A.1 - Contractor's Program Scope of Work	10 pages
Exhibit B - Budget Detail and Payment Provisions	4 pages
Exhibit B.1 - Contractor's Program Budget and Narrative	4 pages
Exhibit C* - General Terms and Conditions	GTC 610 (Dated 06/09/10) 1 page
Exhibit D - Special Terms and Conditions (Attached hereto as part of this agreement)	7 pages
Exhibit E - Additional Provisions - Federally Funded Agreements	3 pages
Exhibit F - Additional Provisions - Cooperative/Case Service Agreements	3 pages
Exhibit G - Additional Provisions - Contractor's Monitoring & Transportation	1 page

Items shown with an Asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.
 These documents can be viewed at www.ols.dgs.ca.gov/Standard+Language

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

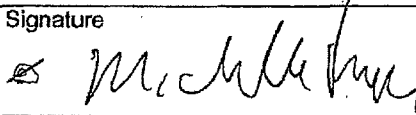
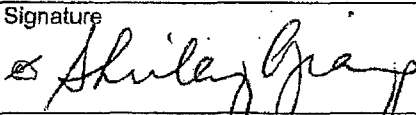
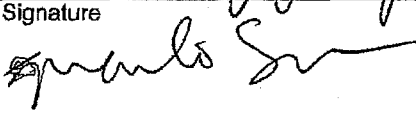
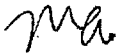
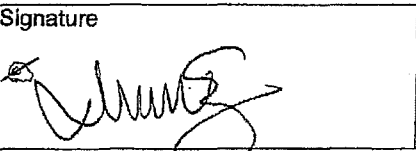
CONTRACTOR		<i>California Department of General Services Use Only</i>
CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.) San Francisco County Behavioral Health Services		
BY (Authorized Signature) 	DATE SIGNED (Do not type) 4/28/16	
PRINTED NAME AND TITLE OF PERSON SIGNING Marcellina Ogbu, Deputy Director SFDPH		
ADDRESS 1380 Howard Street, Room 219 San Francisco, CA 94103		<input type="checkbox"/> Exempt per:
STATE OF CALIFORNIA		
AGENCY NAME Department of Rehabilitation		
BY (Authorized Signature) 	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING Simone Dumas, Chief, Contracts and Procurement Section		
ADDRESS 721 Capitol Mall, 6th Floor, Sacramento, CA 95814		

STATE OF CALIFORNIA
GRANT/CONTRACT SIGNATURE AUTHORIZATION
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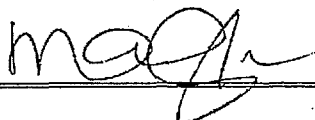
DEPARTMENT OF REHABILITATION

GRANTEE/CONTRACTOR: STATE OF CALIFORNIA Department of Rehabilitation 721 Capitol Mall Sacramento, California 95814	SUBGRANTEE/CONTRACTEE: (Legal Corporation/Public Agency Name & Address) City and County of San Francisco Department of Public Health 101 Grove Street San Francisco, CA 94102
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The following persons are authorized to request reimbursement of expenses incurred as a result of the agreement between the Grantee/Contractor and Subgrantee/Contractee named above:

Signature 	Name (Please Type or Print) Michelle Ruggles	Title (Please Type or Print) Director of DPH Business Office
Signature 	Name (Please Type or Print) Shirley Giang	Title (Please Type or Print) Budget Director of DPH Business Office
Signature 	Name (Please Type or Print) Marlo Simmons 	Title (Please Type or Print) Deputy Director of SF Behavioral Health Services
Signature 	Name (Please Type or Print) Irene Sung	Title (Please Type or Print) Chief Medical Officer of SF Behavioral Health Services/DPH

I hereby delegate authority to request reimbursement of expenses as shown above.

Authorized Signature per Board Resolution 	Name (Please Type or Print) Marcellina Ogbu, Deputy Director, SFDPH	Date Signed 4/28/16
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Contract Agency Name: San Francisco County Behavioral Health Services
Federal ID Number: 94-6000417 Current Contract # 28958
Type of Contract: Cooperative MH

Program Information:

Program Contact Person: Jennie Hua
(Agency Contract Administrator)
Program Address: 1380 Howard Street, Room 219
(To be put on Standard Agreement)
City: San Francisco Zip: 94103
Own Property Rent Property
Billing Address: 1380 Howard Street, Room 219
City: San Francisco Zip: 94103
Contact Phone number: 415-255-3628 FAX Number: 415-252-3567
Contact E-mail: jennie.hua@sfdph.org
Person Authorized to sign Contract: Jennie Hua, Vocational Services Coordinator
(Name and Title)

Department of Rehabilitation (DOR) Information:

DOR Office: San Francisco Department of Rehabilitation
DOR Contract Administrator: Erica Tom
Phone number: 415 904-7185 FAX Number: 415 597-5810
Contract Admin. E-mail: etom@dor.ca.gov

Transportation Information:

Will the Contractor staff transport DOR Applicants/Clients? Yes No
If yes, will the contractor transport:
Up to 7 clients in the same vehicle at a time? Yes No
8-15 clients in the same vehicle at a time? Yes No
16 or more clients in the same vehicle at a time? Yes No
If yes, a current certificate of Insurance naming Department of Rehabilitation as additionally insured must be submitted by the Cooperative Agency before General Services will approve the contract.

Contract Terms:

THIS IS A:
 One (1) year contract
 New three (3) year contract

Contract Approvals:

The following persons have reviewed and approved the attached contract draft:
Partner Agency Program Contract Administrator
DOR District Administrator

**EXHIBIT A
(Standard Agreement - Subvention)**

1. PURPOSE

Subvention: VR Third Party Cooperative/Case Service Agreements:

2. AUTHORITY

Legislation: Rehabilitation Act of 1973, as amended, Title I, Parts A and B, Sec. 100-111; 29 U.S.C. 720-731.

Regulations: 34 CFR 369.2 (b)

Catalog of Federal Domestic Assistance Number (CFDA) 84.126A

3. CONTRACT REPRESENTATIVES

The Contractor shall direct all inquiries during the term of this Agreement to the DOR Contract Administrator listed herein:

<p>Department of Rehabilitation Erica Tom Contract Administrator 301 Howard Street, 7th Floor San Francisco, CA 94105 (415) 904-7185 (415) 904-5996 fax Erica.Tom@dor.ca.gov</p>	<p>San Francisco Co. Behavioral Health Services Jennie Hua Program Coordinator 1380 Howard Street, 2nd Floor San Francisco, CA 84103 (415) 255-3628 (415) 252-3567 fax Jennie.hua@sfdph.org</p>
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4. DESCRIPTION OF SERVICES/DELIVERABLES

See attached program description - EXHIBIT A.1

EXHIBIT A.1
(Standard Agreement - Subvention)

COOPERATIVE CONTRACT
SAN FRANCISCO COUNTY
BEHAVIORAL HEALTH SERVICES (BHS)
& DEPARTMENT OF REHABILITATION (DOR)

SAN FRANCISCO DISTRICT

SCOPE OF WORK

I. INTRODUCTION

The San Francisco District of the Department of Rehabilitation (DOR) and the BHS are combining staff and resources to provide vocational rehabilitation services to mutual consumers. All DOR consumers referred will possess a diagnosis of primary mental illness as designated by the DSM 5, meet DOR and BHS criteria for services and express motivation to seek employment. BHS is a division of the San Francisco Health Network and we will be providing outreach and accepting referrals from the entire San Francisco Health Network programs.

DOR will determine eligibility and functional capacities, assist a consumer to develop an Individualized Plan for Employment (IPE), provide vocational counseling, as well as provide services and service coordination that will lead to a successful employment outcome.

BHS will supplement the above services by providing the following services: Situational Assessment Services; Vocational Assessment Services; Work Adjustment Services; Employment Services; and Non-Supported Employment (NSE) Job Coaching. BHS will also provide a Vocational Program Coordinator who will assist in planning and coordinating services between DOR and BHS and providing overall administrative support to the BHS contract. The Vocational Program Coordinator will also provide outreach to BHS consumers and BHS staff to inform them about this cooperative program and its services.

During fiscal year 2016-2017, there shall be a total of 677 unduplicated DOR consumers; fiscal year 2017-2018, there shall be a total of 737 unduplicated DOR consumers; and fiscal year 2018-2019, there shall be a total of 757 unduplicated DOR consumers who shall receive services through this cooperative program. As a result of services provided through this contract, it is expected that DOR will:

For FY 2016/17

- Open 278 new cases
- Develop 244 new IPEs
- Close 202 cases successfully (status 26)

For FY 2017/18

- Open 290 new cases
- Develop 254 new IPEs
- Close 204 cases successfully (status 26)

For FY 2018/19

- Open 290 new cases
- Develop 254 new IPEs
- Close 204 cases successfully (status 26)

II. SERVICES TO BE PROVIDED

All services noted below shall be provided only to be authorized DOR consumers through one of the following case service contract providers:

A. Situational Assessment: Richmond Area Multi-Services, Inc., (RAMS)

Situational Assessment (SA) services, as authorized by a Senior Vocational Rehabilitation Counselor (SVRC) and provided to a DOR consumer by a Community Rehabilitation Program (CRP), utilizes actual work sites to identify a DOR consumer's current level of employability or as part of an eligibility determination through a short term trial work experience. A Situational Assessment (SA) is a community-based service that allows a DOR consumer to observe or try out a variety of job opportunities, which may include payment of wages. Services may be provided individually, or in groups based on an approved program model. RAMS will use their facility to provide situational assessments.

SA services provide information to a DOR consumer and SVRC about a DOR consumer's strengths and barriers to employment, and provides information to choose an employment goal based on preferences, strengths, abilities, and needs.

SA activities for consumers include:

- Simulated work trials
- Opportunity to experience actual job duties and activities with wages paid per Department of Labor guidelines
- Job exploration/observation
- Job shadowing
- Volunteer opportunities

The SA report addresses the following:

- Stamina and work tolerance
- Interest in work and attitude toward work
- Relationships with coworkers and supervisors
- Attendance
- Productivity – quality and quantity
- Other information as requested by DOR counselor

The following SA outcomes for the identified fiscal years are identified:

FY 2016/17:

Service outcomes/numbers to be served:

- RAMS will serve 20 unduplicated consumers in SA resulting in a written report to the DOR SVRC.

FY 2017/18:

Service outcomes/numbers to be served:

- RAMS will serve 20 unduplicated consumers in SA resulting in a written report to the DOR SVRC.

FY 2018/19:

Service outcomes/numbers to be served:

- RAMS will serve 20 unduplicated consumers in SA resulting in a written report to the DOR SVRC.

B. Vocational Assessment: Richmond Area Multi-Services, Inc., (RAMS), Citywide Case Management Forensic Program (Citywide) & Occupational Therapy Training Program-San Francisco (OTTP-SF)

Vocational Assessment Services, as authorized by a SVRC and provided to a DOR consumer by the above stated CRP, are limited in scope and short in duration. Services assess basic information about a DOR/BHS consumer's current educational and vocational levels, abilities, and interests. The CRP will seek information through a questionnaire, an interview, and review of collateral information. Extensive occupational options or labor market analyses are not included in this service. Assessment Services provides information to a DOR/BHS consumer/applicant and referring DOR SVRC, to assist in eligibility determination, ability to participate in rehabilitation planning, and/or to determine the nature and scope of DOR services to be provided.

RAMS, Citywide, and OTTP-SF will provide Vocational Assessment to DOR/BHS consumers. The following Vocational Assessment outcomes for the identified fiscal years are identified:

FY 2016/17:

- For RAMS: 79 DOR consumers will receive vocational assessment services and it is anticipated that 58 will complete the service resulting in a written report to the DOR SVRC.
- For Citywide: 15 DOR consumers will receive vocational assessment services and it is anticipated that 15 will complete the service resulting in a written report to the DOR SVRC.
- For OTTP-SF: 17 DOR consumers will receive vocational assessment services and it is anticipated that 12 will complete the service resulting in a written report to the DOR SVRC.

FY 2017/18:

- For RAMS: 79 DOR consumers will receive vocational assessment services and it is anticipated that 58 will complete the service resulting in a written report to the DOR SVRC.
- For Citywide: 15 DOR consumers will receive vocational assessment services and it is anticipated that 15 will complete the service resulting in a written report to the DOR SVRC.
- For OTTP-SF: 21 DOR consumers will receive vocational assessment services and it is anticipated that 14 will complete the service resulting in a written report to the DOR SVRC.

FY 2018/19:

- For RAMS: 79 DOR consumers will receive vocational assessment services and it is anticipated that 58 will complete the service resulting in a written report to the DOR SVRC.
- For Citywide: 15 DOR consumers will receive vocational assessment services and it is anticipated that 15 will complete the service resulting in a written report to the DOR SVRC.
- For OTTP-SF: 21 DOR consumers will receive vocational assessment services and it is anticipated that 14 will complete the service resulting in a written report to the DOR SVRC.

C. Work Adjustment: Richmond Area Multi-Services, Inc., (RAMS)

Work Adjustment (WA) services, as authorized by SVRC and provided to a DOR consumer by the above stated CRP, are transitional, time-limited, systematic services that use real work or approved volunteer sites, to train DOR consumers in the meaning, value, and demands of a job, to learn or reestablish skills, attitudes, personal characteristics, work tolerance, and behaviors appropriate and necessary for work. Job sites are temporary, and not intended to become a permanent placement.

WA services focus on the elimination of barriers to employment and are accomplished in 1-4 months. WA services are most often provided in a work site that generally constitutes an employer/employee relationship and requires payment of wages. Work Adjustment is not used as training for a specific occupation. Services may be provided individually or in groups and may include classroom activities based on an approved program model. RAMS will provide work adjustment services at sites that are approved by RAMS and may include work settings that have duties in assembly and packaging. The location of the service will be at the main facility of Hire-Ability.

The following WA services outcomes for the identified fiscal years are identified:

FY 2016/17:

- RAMS will serve 33 DOR consumers in Work Adjustment and 17 DOR consumers will complete this service.

FY 2017/18:

- RAMS will serve 33 DOR consumers in Work Adjustment and DOR consumers will complete this service.

FY 2018/19:

- RAMS will serve 33 DOR consumers in Work Adjustment and 17 DOR consumers will complete this service.

D. Employment Services (ES): Richmond Area Multi-Services Inc., (RAMS), Citywide Case Management Forensic Program (Citywide), Caminar Jobs Plus (Caminar), Occupational Therapy Training Program – San Francisco (OTTP-SF), and Toolworks

Employment Services as authorized by a SVRC and provided to a DOR consumer by the above stated CRP, assists a DOR consumer prepare for, obtain, and retain employment. A continuum of services provides guidance and direction to a DOR consumer in the development of job search techniques and appropriate work-related behaviors that will enhance the consumer's employability. ES components provide assistance in the development of job search skills, coordination of job search activities, and identification of appropriate job openings. Employment Retention services are designed to support DOR consumers and employers in achieving a successful employment outcome and in meeting DOR's criteria for case closure.

The four specific components of ES include:

- Employment Preparation,
- Job Development and Placement, and
- Employment Retention

The following ES outcomes for the identified fiscal years are identified:

Employment Preparation:

FY 2016/17

- RAMS will provide 160 DOR/BHS consumers with Employment Preparation Services
- Citywide will provide 108 DOR/BHS consumers with Employment Preparation Services
- Caminar will provide 70 DOR/BHS consumers with Employment Preparation Services
- OTTP will provide 44 DOR/BHS consumers with Employment Preparation Services
- Toolworks will provide 23 DOR/BHS consumers with Employment Preparation Services

FY 2017/18

- RAMS will provide 160 DOR/BHS consumers with Employment Preparation Services
- Citywide will provide 108 DOR/BHS consumers with Employment Preparation Services
- Caminar will provide 70 DOR/BHS consumers with Employment Preparation Services
- OTTP will provide 56 DOR/BHS consumers with Employment Preparation Services
- Toolworks will provide 23 DOR/BHS consumers with Employment Preparation Services

FY 2018/19:

- RAMS will provide 160 DOR/BHS consumers with Employment Preparation Services
- Citywide will provide 108 DOR/BHS consumers with Employment Preparation Services
- Caminar will provide 70 DOR/BHS consumers with Employment Preparation Services
- OTTP will provide 56 DOR/BHS consumers with Employment Preparation Services
- Toolworks will provide 23 DOR/BHS consumers with Employment Preparation Services

Job Development and Placement:

FY 2016/17:

- RAMS will provide 135 DOR/BHS consumers with Job Development and Placement Services
- 104 will be placed in employment consistent with their IPE

- Citywide will provide 71 DOR/BHS consumers with Job Development and Placement Services
- 65 will be placed in employment consistent with their IPE

- Caminar will provide 46 DOR/BHS consumers with Job Development and Placement Services
- 40 will be placed in employment consistent with their IPE

- OTTP will provide 44 DOR/.BHS consumers with Job Development and Placement Services
- 40 will be placed in employment consistent with their IPE

- Toolworks will provide 22 DOR/BHS consumers with Job Development and Placement Services
- 17 will be placed in employment consistent with their IPE

FY 2017/18

- RAMS will provide 135 DOR/BHS consumers with Job Development and Placement Services
- 104 will be placed in employment consistent with their IPE

- Citywide will provide 71 DOR/BHS consumers with Job Development and Placement Services
- 65 will be placed in employment consistent with their IPE

- Caminar will provide 46 DOR/BHS consumers with Job Development and Placement Services
- 40 will be placed in employment consistent with their IPE

- OTTP will provide 56 DOR/.BHS consumers with Job Development and Placement Services
- 50 will be placed in employment consistent with their IPE

- Toolworks will provide 22 DOR/BHS consumers with Job Development and Placement Services
- 17 will be placed in employment consistent with their IPE

FY 2018/19

- RAMS will provide 135 DOR/BHS consumers with Job Development and Placement Services
- 104 will be placed in employment consistent with their IPE
- Citywide will provide 71 DOR/BHS consumers with Job Development and Placement Services
- 65 will be placed in employment consistent with their IPE
- Caminar will provide 46 DOR/BHS consumers with Job Development and Placement Services
- 40 will be placed in employment consistent with their IPE
- OTTP will provide 56 DOR/BHS consumers with Job Development and Placement Services
- 50 will be placed in employment consistent with their IPE
- Toolworks will provide 22 DOR/BHS consumers with Job Development and Placement Services
- 17 will be placed in employment consistent with their IPE

Employment Retention:

FY 2016/17:

- RAMS will provide 88 DOR/BHS consumers with Employment Retention Services
- 68 will retain their job for a minimum of 90 days and be closed by DOR in status "26"
- Citywide will provide 60 DOR/BHS consumers with Employment Retention Services
- 54 will retain their job for a minimum of 90 days and be closed by DOR in status "26"
- Caminar will provide 40 DOR/BHS consumers with Employment Retention Services
- 35 will retain their job for a minimum of 90 days and be closed by DOR in status "26"
- OTTP will provide 33 DOR/BHS consumers with Employment Retention Services
- 33 will retain their job for a minimum of 90 days and be closed by DOR in status "26"
- Toolworks will provide 17 DOR/BHS consumers with Employment Retention Services
- 12 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

FY 2017/18:

- RAMS will provide 88 DOR/BHS consumers with Employment Retention Services
- 68 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- Citywide will provide 60 DOR/BHS consumers with Employment Retention Services
- 54 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- Caminar will provide 40 DOR/BHS consumers with Employment Retention Services
- 35 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- OTTP will provide 35 DOR/BHS consumers with Employment Retention Services
- 35 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- Toolworks will provide 17 DOR/BHS consumers with Employment Retention Services
- 12 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

FY 2018/19:

- RAMS will provide 88 DOR/BHS consumers with Employment Retention Services
- 68 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- Citywide will provide 60 DOR/BHS consumers with Employment Retention Services
- 54 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- Caminar will provide 40 DOR/BHS consumers with Employment Retention Services
- 35 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- OTTP will provide 35 DOR/BHS consumers with Employment Retention Services
- 35 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

- Toolworks will provide 17 DOR/BHS consumers with Employment Retention Services
- 12 will retain their job for a minimum of 90 days and be closed by DOR in status "26"

E. Non-Supported Employment Job Coaching (NSEJC): Richmond Area Multi-Services, Inc., (RAMS) and Toolworks

NSE Job Coaching services provides intensive assistance and support in employment-related activities to promote job adjustment and retention. Intensive individualized NSEJC services may be provided to DOR consumers that need additional support to retain employment. NSEJC may be provided at an employer's work site by Employment Consultants (RAMS) or Vocational Specialist (Toolworks).

Description of Service:

NSEJC activities may include:

- Job orientation
- Job destination training
- Teaching job tasks as needed
- Supervision at the worksite

- Coworker/supervisor consultation
- Assistance in integrating the DOR consumer into the work environment through natural supports
- Assistance in changes in the work environment impacting potential for job retention
- Assistance with public support agencies
- Family and residential provider consultation
- Contact with the consumer and/or employer to ensure continued job satisfaction
- Assistance with monitoring Social Security Administration reporting requirements
- Support and counseling necessary to maintain employment
- Consultation with the DOR consumer / employer and others following job loss

FY 2016/17:

For RAMS:

- 25 will receive NSE Job Coaching Services

For Toolworks:

- 15 will receive NSE Job Coaching Services

FY 2017/18:

For RAMS:

- 25 will receive NSE Job Coaching Services

For Toolworks:

- 15 will receive NSE Job Coaching services

FY 2018/19:

For RAMS:

- 25 will receive NSE Job Coaching Services

For Toolworks:

- 15 will receive NSE Job Coaching services

III. CONTRACT ADMINISTRATOR/PROGRAM COORDINATOR

Department of Rehabilitation

Erica Tom
 Contract Administrator
 301 Howard Street, 7th Floor
 San Francisco, CA 94105
 (415) 904-7185
 (415) 904-5996 Fax
Etom@dor.ca.gov

**San Francisco County
 Behavioral Health Services**

Jennie Hua,
 Program Coordinator
 1380 Howard St., 2nd Floor
 San Francisco, CA 94103
 (415) 255-3628
 (415) 252-3567 Fax
Jennie.hua@sfdph.org

IV. LINKAGES TO OTHER COMMUNITY AGENCIES

The Program Managers of Richmond Area Multi-Services, Inc., (RAMS), Citywide Case Management Forensic Program (Citywide), Caminar Jobs Plus (Caminar), Occupational Therapy Training Program – San Francisco (OTTP-SF), and Toolworks in cooperation with the DOR SVRC, will make referrals to the appropriate agency, such as: Employment Development Department and One-Stop Centers, Golden Gate Regional Center, California Community Colleges, Social Security Administration and other appropriate adult, Transitional Age Youth (TAY) and deaf and hard of hearing service agencies.

V. IN-SERVICE TRAINING

Richmond Area Multi-Services, Inc., (RAMS), Citywide Case Management Forensic Program (Citywide), Caminar Jobs Plus (Caminar), Occupational Therapy Training Program – San Francisco (OTTP-SF), and Toolworks, DOR and BHS contract staff will be cross-trained in mental health and DOR services. These trainings will be suitable for all staff associated with this contract. Staff involved in this contract will also be provided cross training on the mission and goals of the partner agencies at least twice a year.

EXHIBIT B
(Standard Agreement - Subvention)

BUDGET DETAIL AND PAYMENT PROVISIONS

1. INVOICING AND PAYMENT

A. Service Budget Payment of Expenditure

1. This is a cost reimbursement Agreement for subvention services. For services satisfactorily completed, and upon receipt and approval of the invoices, the Department of Rehabilitation (DOR) agrees to reimburse the Contractor for actual expenditures incurred subject to the approved Scope of Work, Service Budget, Budget Narrative, and applicable regulations as attached or referenced hereto and made a part of this Agreement.
2. All expenses shall be reviewed and approved by the DOR Contract Administrator before payment can be made to the Contractor.
3. The Service Budget must set forth in detail the reimbursable items, unit rates and extended total amounts for each line item. The Contractor's Service Budget shall include items directly related to this Agreement to include a Budget Narrative that fully explains why and how the costs are necessary to the Agreement.

B. Submission of Invoice(s)

1. Monthly invoices must be completed using the DR 801B Service Invoice form (DR801B) and shall provide an actual line-item detail of expenditure(s) that supports the approved Service Budget and Budget Narrative. The DR801B shall include the Agreement Number, Registration Number, and be submitted in duplicate not more frequently than monthly in arrears to the DOR Contract Administrator or designee (listed in Exhibit A).
2. An original DR801B must be submitted and signed by authorized personnel as listed on the Signature Authorization (DR 325) form.
3. Supporting documentation must be available upon request at any time by DOR staff, or other State and Federal representatives.
4. Federal and State funds are time limited, therefore, invoices (service and certified match) must be submitted as soon as possible, but no later than 60 days after the service month. Final submission of all fiscal year-end invoices is due no later than November 1st, to allow for payment and draw down prior to the close out of Federal/State funds.
5. If budgetary funds revert due to failure to submit timely invoices or failure to submit a properly prepared invoice, related Federal and State funds will no longer be available for use which will require the contractor to submit a claim through the Victims

Compensation and Government Claims Board, where approval to pay is not guaranteed.

6. The DOR is committed to issue payments as quickly as possible following the receipt of an accurate and complete invoice of allowable costs as approved by the DOR Contract Administrator.

C. Appropriate Expenditures

Budgets must not contain line items that are or will be reimbursed/paid by another source of funding during the period covered by this Agreement. Unexpended funds for a fiscal year shall not be carried over to another fiscal year. Agreement expenditures reimbursed by DOR must be reported as federal funds in the contractor's accounting records and on the Schedule of Federal Awards under the CFDA # listed for this Agreement and prepared for the Title 2 Code of Federal Regulations, Part 200 (2 CFR 200) Single Audit.

D. Invoice Claim Adjustments

1. Surplus funds from a given line item, within a fiscal year budget may be used to defray allowable costs under the approved budget line items contained **within the same fiscal year**. A claim adjustment is required on the Service Invoice (DOR 801B) with an attached brief narrative explaining each line item impacted and may not exceed up to a cumulative amount of ten percent (10%) of the total annual contract Service Budget for all budget years as long, as there is neither an increase nor decrease of the total annual contract Service Budget. A formal amendment is required if it does not meet the above criteria.
2. Staff line item salary ranges and percentage of time are projected estimates and are subject to change based on actual salary and chargeable time costs. Claim adjustments are allowable as long as the annualized total line item costs do not exceed what is allowed in Item 1 above.

E. Budget Contract Amendments

A contract amendment between both parties is required for any budget changes not covered in Section D above. This includes any major category or detailed line item description changes to the approved Service Budget and Budget Narrative as outlined below:

- Adding and deleting a major category budget or detailed line item.
- Line item adjustments that exceed a cumulative amount of 10%.
- Decrease/increase to the total annual budget award or the total Agreement award for all budget years.
- Any word for word changes to the written budget narrative or budget cost detail.
(Note: ALL changes must be made in **bold**.)

F. Travel Reimbursements

If travel is reimbursable, the Contractor agrees that all travel expenses and per diem rates paid to its employees under this Agreement shall be reimbursed at actual costs not to exceed the California Department of Human Resources (CalHR) designated rates for excluded employees. Go to CalHR website at <http://www.calhr.ca.gov/employees/pages/travel-reimbursements.aspx>. No travel outside the State of California except for bordering California states shall be reimbursed without prior documented written authorization from DOR.

Upon request from the DOR, Contractor will provide sufficient documentation to support travel expenditures such as travel claims, mileage logs, and receipts for lodging, transportation, and meal costs.

2. BUDGET CONTINGENCY CLAUSE

- A. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- B. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an Agreement amendment to Contractor to reflect the reduced amount.

3. BUDGET CONTINGENCY CLAUSE FOR FEDERALLY FUNDED AGREEMENTS

- A. It is mutually understood between the parties that this Agreement may have been written for the mutual benefit of both parties before ascertaining the availability of congressional appropriation of funds to avoid program and fiscal delays that would occur if the Agreement were executed after that determination was made.
- B. This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the current year and/or any subsequent year for the purpose of this program. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by Congress or to any statute enacted by Congress that may affect the provisions, terms, or funding of this Agreement in any manner.
- C. The parties mutually agree that if Congress does not appropriate sufficient funds for the program, this Agreement shall be amended to reflect any reduction in funds.

4. PROMPT PAYMENT CLAUSE

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with section 927.

5. PRINCIPLES AND STANDARDS FOR DETERMINING ALLOWABLE COSTS, INCLUDING REQUIREMENTS FOR DOCUMENTING PERSONNEL ACTIVITY CHARGEABLE TO THE AGREEMENT

Agreements awarded by the Department shall be subject to actual costs for services rendered under this Agreement. Allowable costs under this Agreement must meet the following general criteria:

- Be generally recognized and necessary for the operation of the Contractor's organization.
- Be reasonable for the performance of the Agreement, including acceptable sound business practices.
- Be subject to the terms and conditions of the Agreement and approved DOR budgeted line items.
- Not be used for general expenses required to carry out other responsibilities of the Contractor.
- Be properly documented and supported.

Documenting and supporting the distribution of all costs, including the allocation of time chargeable to the Agreement, is required. The Contractor agrees to comply with the 2 CFR 200 cost principles regarding documentation for the support of personnel activity chargeable to the Agreement.

6. ACCOUNTING SYSTEM REQUIREMENTS

A. Contractor must maintain an appropriate fund accounting system that accurately accumulates and segregates reasonable, allocable, and allowable costs in compliance with state and federal regulations, and generally accepted accounting principles. The Contractor's financial management system shall provide:

- Accurate, current, and complete disclosure of the financial results of each federally sponsored project.
- Records that identify adequately the source and application of funds for federally sponsored activities.
- Written procedures for determining the reasonableness, allocable, and allowable costs in accordance with the provisions of the applicable federal cost principles and the terms and conditions of the Agreement.
- Accurate fund accounting records that track the revenues received from funders/sources and the expenditures paid to vendors for goods and services, and that are supported by adequate source documentation.

B. Contractor shall submit to State such reports, accounts, and records as deemed necessary by the State to discharge its obligation under State and Federal laws and regulations.

San Francisco County Behavioral Health Services

Program Budget and Match Summary
July 1, 2016 - June 30, 2019

	FY 2016/17	FY 2017/18	FY 2018/19
	<u>TOTALS</u>	<u>TOTALS</u>	<u>TOTALS</u>
DOR PROGRAM COSTS (From DOR Program Budget)	\$3,754,084	\$3,754,084	\$3,754,084
TOTAL PAYMENT BY DOR TO CONTRACTOR (From Service Budget)	\$90,400	\$90,400	\$90,400
TOTAL FEDERAL COSTS	\$3,844,484	\$3,844,484	\$3,844,484
Certified Match (If applicable)	0%	0%	0%
Total Federal Share	\$0 0%	\$0 0%	\$0 0%
Cash Match (If applicable)	\$818,875 21.3%	\$818,875 21.3%	\$818,875 21.3%
Total Federal Share	\$3,025,609 78.7%	\$3,025,609 78.7%	\$3,025,609 78.7%
TOTAL STATE MATCH	\$818,875	\$818,875	\$818,875

Cooperative agency certified match expenditure and cash match expenditure must be from non-federal funds and cannot be used to draw down other federal funds. The cash match expenditure must equal at least 21.3% of the designated share and the certified match expenditure must equal at least 25% of the designated share.

San Francisco County Behavioral Health Services

DOR Program Budget
July 1, 2016 - June 30, 2019

<u>ITEM</u>	<u>FTE EXPENDITURE</u>	<u>FY 2016/17 TOTAL</u>	<u>FY 2017/18 TOTAL</u>	<u>FY 2018/19 TOTAL</u>
Rehabilitation Team Unit 1 FTE = \$110,377	Units	5.75	5.75	5.75
		\$634,668	\$634,668	\$634,668
Case Services (Individual Consumer Expenses)		693,645	693,645	693,645
	SUBTOTAL	\$1,328,313	\$1,328,313	\$1,328,313
 Case Service Contract(s):				
<u>RAMS, Inc.</u>		\$1,021,118	\$1,021,118	\$1,021,118
<u>Citywide Case Management Forensics</u>		\$630,343	\$630,343	\$630,343
<u>Caminar Inc.</u>		\$416,788	\$416,788	\$416,788
<u>Special Services for Groups-OTTP- SF</u>		\$257,522	\$257,522	\$257,522
<u>Toolworks</u>		\$100,000	\$100,000	\$100,000
TOTAL DOR PROGRAM COST		\$3,754,084	\$3,754,084	\$3,754,084

**STATE OF CALIFORNIA
SERVICE BUDGET**

DEPARTMENT OF REHABILITATION

Contractor Name and Address		Contract Number			Federal ID Number			Page 1 of 1		
San Francisco County Behavioral Health Services 1380 Howard Street, 5th floor San Francisco, CA 94103		29888			94-6000417					
		Budget Period			Budget Period			Budget Period		
		7/1/2016 - 6/30/2017			7/1/2017 - 6/30/2018			7/1/2018 - 6/30/2019		
		Effective Date (Amendments Only)			Effective Date (Amendments Only)			Effective Date (Amendments Only)		
Line No.	PERSONNEL Description & Unit	Annual Salary	Annual Percent FTE	Amount Budgeted	Annual Salary	Annual Percent FTE	Amount Budgeted	Annual Salary	Annual Percent FTE	Amount Budgeted
1	Vocational Coordinator (1 FTE= 40 hours/week, 12 months/year)	\$152,000.00	0.59474	\$90,400.00	\$152,000.00	0.59	\$90,400.00	\$152,000.00	0.59	\$90,400.00
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15	Subtotal			\$90,400.00			\$90,400.00			\$90,400.00
16	OPERATING EXPENSES									
17										
18										
19										
20										
21										
22										
23										
24	Operating Subtotal									
25	Personnel and Operating Subtotal			\$90,400.00			\$90,400.00			\$90,400.00
26	Indirect Rate Percentage									
27	Indirect Cost									
	TOTAL (rounded to nearest dollar)			\$90,400			\$90,400			\$90,400

Page 1 of 1

SAN FRANCISCO COUNTY BEHAVIORAL HEALTH SERVICES (BHS)

SERVICE BUDGET NARRATIVE

PERSONNEL:

Vocational Services Coordinator:

This position will assist in planning, organizing and coordinating, developing and evaluating the work for the DOR and BHS cooperative contract; Act as Contract Administrator and provide administrative support and interagency linkage regarding the BHS cooperative contract, as well as provide oversight of contract and monitor time frames regarding the renewal process and or any amendments that are required to ensure that the cooperative contract is signed as soon as possible by the necessary executive personnel; Provide training and consultation to therapists and other personnel within the mental health system to ensure referral and service quality to this cooperative contract which includes case service contracts with Richmond Area Multi-Services, Inc., (RAMS), Citywide Case Management Forensic Program (Citywide), Caminar Jobs Plus, Special Service for Groups/Occupational Therapy Training Program-San Francisco (OTTP-SF) and Toolworks; Facilitate staff meetings with DOR and contract partners and collaborate with DOR Senior Vocational Rehabilitation Counselors (SVRCs) and case service contract personnel; Develop a data-base of referrals opened to this cooperative contract using Excel and or other computer related software that maintains statistics, and report on trends and outcomes on a monthly basis, or more if necessary.

Non-contract duties: Health Program Coordinator III

- Conducts screening with BHS consumers and BHS staff to identify referrals to this cooperative contract.
- Coordinates the central referral system for BHS and coordinates referrals of potential DOR applicants who are consumers of BHS to DOR SVRCs. The SVRC and DOR consumers will determine whether the consumer will be referred to Richmond Area Multi-Services, Inc., (RAMS), Citywide Case Management Forensic Program (Citywide), Caminar Jobs Plus, Special Service for Groups/Occupational Therapy Training Program-San Francisco (OTTP-SF) and Toolworks for cooperative contract services.

Benefits for this position currently include:

Retirement Plan for permanent employees, Deferred Compensation program, Social Security, Health Insurance, Dental Plans, Disability Insurance program, Tuition Reimbursement program, Commuter Benefits, 11 paid legal holidays per year, 3 floating holidays, 13 sick days per year, and paid vacation that includes 1 to 5 years of service - 10 days per year, 6 to 15 years of service - 15 days per year, or after 15 years of service - 20 days per year. The percentage of benefits to salary is 42%.

EXHIBIT C

GENERAL TERMS AND CONDITIONS (GTC 610)

PLEASE NOTE: The General Terms and Conditions will be included in the Agreement by reference, you can view them at the Department of General Services, Office of Legal Services website at <http://www.ols.dgs.ca.gov/Standard+Language/default.htm>. Click on the Standard Contract Language section to expand, then click on GTC 610.

EXHIBIT D
(Standard Agreement - Subvention)

SPECIAL TERMS AND CONDITIONS

1. NOTIFICATION & COMPLIANCE

All notices required by either party shall be in writing and sent by email, mail, or personally delivered to the appropriate address. Mailing addresses may be changed by written notice.

Contractor agrees to comply with all laws, regulations, ordinances, and policies of any governmental unit having jurisdiction over the rehabilitation program with regards to construction, medicine, health, safety, wages, hours, working conditions, workers' compensation, licensing and all other activities requiring compliance. Contractor shall accept financial responsibilities in the event of non-compliance.

2. DISPUTES

If Contractor believes that there is a dispute or grievance between Contractor and the State arising out of or relating to this Agreement, Contractor shall first discuss and attempt to resolve the issue informally with the DOR Contract Administrator. If the issue cannot be resolved at this level, Contractor shall follow the following procedures:

- A. If the issue cannot be resolved informally with the DOR Contract Administrator, Contractor shall submit, in writing, a grievance report together with any evidence to the DOR Contract Administrator's Supervisor. The grievance report must state the issues in the dispute, the legal authority, or other basis for the Contractor's position and the remedy sought. Within ten (10) working days of receipt of the written grievance report from the Contractor the DOR Supervisor shall make a determination on the problem and shall respond in writing to the Contractor indicating the decision and reasons therefore. Should the Contractor disagree with the Supervisor's decision, Contractor may appeal to the next level following the procedure in "Disputes", paragraph B listed below.
- B. Contractor's letter of appeal must be submitted within ten (10) working days of the receipt of the Contract Administrator's Supervisor's written decision. Contractor must submit a letter of appeal to the Department's Contract Officer explaining the disagreement with the Contract Administrator's supervisor's decision. The letter must include, as an attachment, copies of the Contractor's original grievance report, evidence originally submitted, and response from Supervisor. The Contracting Officer shall, within twenty (20) working days of receipt of Contractor's letter of appeal, review the issues raised and shall render a written decision to the Contractor. The decision of the Director or designee shall be final.

3. RIGHT TO TERMINATE

- A. Either party reserves the right to terminate this Agreement subject to 30 days written notice.
- B. However, the Agreement can be immediately terminated for cause. The term "for cause" shall mean that the Contractor fails to meet the terms, conditions, and/or responsibilities of

the Agreement. In this instance, the Agreement termination shall be effective as of the date indicated on the State's notification to the Contractor.

4. TRAINING SEMINARS, WORKSHOPS OR CONFERENCES

If said Contractor provides training seminars, workshops, or conferences, Contractor must obtain prior DOR approval for the location, costs, dates, agenda, instructors, instructional materials, and attendees at any reimbursable training seminar, workshop, or conference pursuant to this Agreement and of any reimbursable publicity or educational materials to be made available for distribution. The Contractor shall acknowledge the support of the State whenever publicizing the work under this Agreement in any media. The provision does not apply to necessary staff meetings or training sessions held for the staff of the Contractor to conduct routine business matters.

5. INSURANCE REQUIREMENTS

- A. **Commercial General Liability** – Contractor shall maintain general liability on an occurrence form with limits not less than \$1,000,000 per occurrence for bodily injury and property damage liability combined with a \$2,000,000 annual policy aggregate. The policy shall include coverage for liabilities arising out of premises, operations, independent contractors, products, completed operations, personal & advertising injury, and liability assumed under an insured Agreement. This insurance shall apply separately to each insured against whom claim is made or suit is brought subject to the Contractor's limit of liability. The policy endorsement must include:

(Agency/Department Name), The State of California, its officers, agents, employees and servants as additional insured, but only with respect to work performed under the Agreement.

Endorsements must be physically attached to all requested certificates of insurance and not substituted by referring to such coverage on the certificate of insurance. The endorsement must be acceptable to the DGS Office of Risk and Insurance Management.

- B. **Automobile Liability (If Applicable)** – For DOR consumers being provided transportation under said Agreement, the Contractor shall maintain motor vehicle liability with limits not less than \$1,000,000 combined single limit per accident. Such insurance shall cover liability arising out of a motor vehicle including owned, hired and non-owned motor vehicles to include the following additional insurance coverage below:

- **For public schools and for-profit organizations:** Automobile Liability insurance must include Any-Auto, Hired-Autos, Non-Owned Autos, and any other auto used in performing services under the Agreement. For **seating capacity up to 7 people** (includes driver), the Contractor's certificate of insurance shall state a limit of liability of not less than \$1,000,000 per occurrence for bodily injury and property damage liability combined. For **seating capacity for 8 –15 people** (includes driver) the certificate of insurance shall state a limit of liability of not less than \$1,500,000 per occurrence for bodily injury and property damage liability combined. For **seating capacity for 16**

passengers or more the certificate of insurance shall state a limit of liability of not less than **\$5,000,000** per occurrence for bodily injury and property damage liability combined.

- **For non-profit organizations:** Automobile Liability insurance must include Any-Auto, Hired-Autos, Non-Owned Autos, and any other auto used in performing services under the Agreement. For **seating capacity of up to 15 people** (includes driver) the certificate of insurance shall state a limit of liability of not less than **\$1,000,000** per occurrence for bodily injury and property damage liability combined. For **seating capacity for 16 passengers** or more the certificate of insurance shall state a limit of liability of not less than **\$5,000,000** per occurrence for bodily injury and property damage liability combined.

The same additional insured designation and endorsement required for general liability is to be provided for this coverage.

- C. **Workers Compensation and Employers Liability** – Contractor shall maintain statutory worker's compensation and employer's liability coverage for all its employees who will be engaged in the performance of the Agreement. Employer's liability limits of \$1,000,000 are required.

The workers' compensation policy shall contain a waiver of subrogation in favor of the State. The waiver of subrogation endorsement shall be provided.

- D. **Self-insurance** - Contractor shall supply the consent letter of self-insurance or the Certificate of Consent to Self-Insure. The Waiver of Subrogation is not required.

6. CONFLICT OF INTEREST

- A. Contractor certifies that its employees and the officers of its governing body shall avoid any actual or potential conflicts of interest and that no officer or employee who exercises any functions or responsibilities in connection with this Agreement shall have any personal financial interest or benefit which either directly or indirectly arises from this Agreement.
- B. Contractor shall establish safeguards to prohibit its employees or its officers from using their positions for a purpose which could result in private gain or which gives the appearance of being motivated for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

7. CONFIDENTIALITY

- A. Contractor agrees to comply with the provisions applicable to consumer information as set forth in 34 Code of Federal Regulations, Section 361.38 and Title 9, California Code of Regulations, Section 7140 et seq., and personal information as set forth in the Information Practices Act of 1977 (California Civil Code Section 1798 et seq.).
- B. Contractor agrees that any personal information, as defined by the Information Practices Act of 1977 (California Civil Code Section 1798 et seq.) and this Agreement, obtained in the performance of this Agreement is classified as confidential and shall not be subject to disclosure to any source except as required by this contract or otherwise authorized by DOR.

- C. Contractor agrees to remove all confidential, sensitive, or personal information from any reports, publications, or other materials created during the performance of this contract prior to being released to the scientific and academic community, or other individuals or entities. The removal method(s) must be reasonable and appropriate to ensure that any confidential, sensitive, or personal information cannot be recovered, accessed, used or disclosed, which would result in a security breach or an information security incident.
- D. Subject to the applicable requirements of the regulations cited above, Contractor agrees to report any security breach or information security incident involving confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract to the DOR's Contract Administrator and the DOR's Information Security Officer. The DOR's Information Security Officer can be contacted via e-mail at iso@dor.ca.gov.
- E. Security breaches or information security incidents that shall be reported include, but are not limited to:
1. Inappropriate use or unauthorized disclosure of confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract by the Contractor or the Contractor's assignees. Disclosure methods include, but are not limited to, electronic, paper, and verbal.
 2. Unauthorized access to confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract. Information can be held in medium that includes, but is not limited to, electronic and paper.
 3. Loss or theft of information technology (IT) equipment, electronic devices/media, paper media, or data containing confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract. IT equipment and electronic devices/media include, but are not limited to, computers (e.g., laptops, desktops, tablets), smartphones, cell phones, CDs, DVDs, USB flash drives, servers, printers, peripherals, assistive technology devices (e.g., notetakers, videophones), and copiers. Data can be held in medium that includes, but is not limited to, electronic and paper.
- F. Contractor agrees to provide annual security and privacy training for all individuals who have access to confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract.
- G. Contractor agrees to obtain and maintain acknowledgements from all individuals to evidence their understanding of the consequences of violating California privacy laws and the contractor's information privacy and security policies.
- H. For contractors that do not have a security program that includes annual security and privacy training, a self-training manual is available on the DOR website in the "Requirements for Becoming a Service Provider" section under "Annual Security and Privacy Training for VR Service Providers." The self-training manual is named

"Protecting Privacy in State Government" and can be downloaded at the following link:
<http://www.dor.ca.gov/VRED/Security-n-Privacy-Training.html>.

1. Additional training and awareness tools are available at the California Information Security Office (CISO) website and the California Department of Justice – Privacy Enforcement and Protection website. These state entities created the self-training manual, "Protecting Privacy in State Government" that DOR revised to meet its business needs.

8. AUDIT AND REVIEW REQUIREMENTS

A. General Audit and Review Requirements

1. The State shall have the right to conduct inspections, reviews, and/or audits of the Contractor to determine whether the services provided and the expenditures invoiced by the Contractor were in compliance with this Agreement and other applicable federal or state statutes and regulations.
2. Contractor agrees that Department of Rehabilitation, State Controller's Office, Department of General Services, Bureau of State Audits, Federal Department of Education Auditors, or their designated representatives shall have the right to review and to copy any records and supporting documentation pertaining to the performance of the Agreement, including but not limited to, accounting records, consumer service records, records and evaluations of individuals referred to the program, and other supporting documentation that may be relevant to the audit or investigation.
3. The Contractor shall submit to the State such reports, accounts, and records deemed necessary by the State to discharge its obligation under State and Federal laws and regulations, including the applicable OMB cost principles and administrative requirements.
4. Contractor agrees to allow the auditors access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records.
5. Contractor agrees to maintain such records for possible audit for a minimum of five (5) years after final payment or until resolution of all issues which may arise as a result of any litigation, claim, negotiation, audit, or any other action involving the records prior to expiration of the five (5) year period, whichever is later.

B. Annual Federal Audit (For Agreements that received Federal Funds \$750,000 and above):

1. In addition to the General Audit and Review Requirements above, the Contractor agrees to provide an annual audit as required by the federal "Single Audit Act" of 1994, as amended. This audit shall be made in accordance with 2 CFR 200.

9. COMPETITIVE BIDDING AND PROCUREMENTS

- A. Contractor shall comply with applicable laws and regulations regarding securing competitive bids and undertaking negotiations in Contractor's Agreements with other entities for acquisition of goods and services with funds provided by the State or Federal under this Agreement. A minimum of two competitive quotations is required for any purchase order or

subcontract for services over \$2,500, and should be submitted to the DOR contract administrator or adequate justification provided for the absence of bidding.

- B. Contractors must maintain a copy of the narrative description of the procurement systems guidelines, rules or regulations that will be used to make purchases under this Agreement. The State reserves the right to request a copy of these documents and to inspect the purchasing practices of the Contractor at any time.
- C. The Contractor should seek prior approval for any purchase or subcontract exceeding \$2,500 per unit or more for commodities, supplies, and services related to this Agreement. The Contractor must provide in its request for approval all particulars necessary, as specified by DOR, for evaluating the necessity or desirability of incurring such costs.
- D. For all purchases made, subject to this Agreement, the Contractor must maintain copies of all paid vendor invoices, documents, bids and other information used in vendor selection, for inspection or audit.

10. USE OF SUBCONTRACTOR(S)

If the Contractor desires to accomplish part of the services through the use of one (1) or more subcontractors, the following conditions must be met:

- A. The Contractor shall submit any subcontracts to the State for approval prior to starting any of the work;
- B. The Agreement between the primary Contractor and the subcontractor must be in writing;
- C. The subcontract must include specific language which establishes the rights of the auditors of the State to examine the records of the subcontractor relative to the services and materials provided under the Agreement; and
- D. Upon termination of any subcontract, the State shall be notified immediately, in writing.
- E. Contractor shall assure that all subcontractor administrative fees are reasonable considering the services being provided, and they may only pay overhead charges on the first \$25,000 for each subcontract.

Further, any subcontract in excess of \$100,000 entered into as a result of this Agreement shall contain all applicable provisions stipulated in this Agreement.

11. POTENTIAL SUBCONTRACTORS

Nothing contained in this Agreement or otherwise, shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of his responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a

result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor. Contractor shall not subcontract any services under this Agreement without prior approval of the State.

12. CONTRACT AMENDMENTS

In the event that additional program services must be performed which was wholly unanticipated and is not specified in the written Scope of Work, but is, in the opinion of both parties necessary to the successful accomplishment of the general scope of work outlined, an amendment to the Agreement is required.

13. SOFTWARE

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this contract for the acquisition, operation or maintenance of computer software in violation of copyright laws.

14. THEFT SENSITIVE ITEMS

DOR is requiring nonexpendable items to be listed and purchased under a separate line item titled "Theft Sensitive Items". The contractor shall maintain an inventory record for each nonexpendable item purchased or built with funds provided under the terms of the contract. The inventory record of each item shall include the date acquired, total cost, serial number, model identification and any other information or description necessary to identify said item. A copy of the inventory record must be submitted annually to the State Contract Administrator.

The following items, regardless of cost must be inventoried:

1. Computers/printers
2. Laptops/tablets
3. Copiers/fax
4. Smart phones/cell phones
5. Other items required to provide contract services

15. ATTRIBUTION

The Contractor agrees to acknowledge the sponsorship of DOR with respect to any public statement, press release, news item, or publication related to a program funded all or in part with funds from DOR. Contractor further agrees to identify the role of DOR with respect to any individual highlighted or publicized by or through Contractor, when such individual is a DOR consumer.

EXHIBIT E

(Standard Agreement - Subvention)

ADDITIONAL PROVISIONS – Federally Funded Agreements

1. FEDERAL REQUIREMENTS

The Federal Office of Management and Budget (OMB) has established uniform administrative requirements and cost principles for determining allowable costs chargeable to Federal awards. The Contractor agrees to abide by the Title 2 Code of Federal Regulations, Part 200 (2 CFR 200), except where the Agreement is more restrictive. The federal regulations are available for review on the Internet at www.ecfr.gov under Title 2-Grants and Agreements.

2. FEDERAL FUNDING INTELLECTUAL PROPERTY

- A. In any Agreement funded in whole or in part by the federal government, DOR may acquire and maintain the Intellectual Property rights, title and ownership, which results directly and indirectly from the Agreement. However, the federal government shall have non-exclusive, non-transferable, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.
- B. Evaluation of Discovery or Invention: If any discovery or invention arises as a result of funded work, the Contractor must refer the discovery or invention to the DOR. The Rehabilitation Services Administration (RSA) and its representatives have the sole and exclusive power to determine whether or not and where a patent should be filed and the disposition of all rights, including title and license rights, which may result. RSA's determination of these issues shall be considered final. In addition, the DOR and RSA shall acquire at least an irrevocable, non-exclusive, and royalty-free license to utilize for government purposes of any of these inventions. By signing this Agreement, the Contractor agrees that determinations of rights to inventions made in the course of or under the Agreement shall be made by RSA or its authorized representative.
- C. Copyrights and Patents: The Federal awarding agency and/or the DOR reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, for Federal Government purposes:
1. The copyright in any work developed under a grant, subgrant, or Agreement under a grant or subgrant; and
 2. Any rights of copyright to which a grantee, subgrantee or a contractor purchases ownership with grant support.

3. DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

Federal and State agencies shall not award assistance to applicants that are debarred or suspended, or otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549. By signing this Agreement, Contractor certifies that

neither it nor its principals or subcontractors are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

4. PROHIBITION ON TAX DELINQUENCY

Any Agreement that a state agency enters into after July 1, 2012, is void if the contract is between a state agency and a contractor, or subcontractor, whose name appears on either list of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. In accordance with Public Contract Code Section 10295.4, agencies are required to cancel Agreements with entities that appear on either list.

(Franchise Tax Board) https://www.ftb.ca.gov/aboutFTB/Delinquent_Taxpayers.shtml,
(Board of Equalization) <http://www.boe.ca.gov/cgi-bin/deliq.cgi>

5. THE FOLLOWING PROVISIONS ARE SUBJECT TO THIS AGREEMENT

- A. Equal Employment Opportunity--All Agreements require compliance with E.O. 11246--Equal Employment Opportunity, as amended by E.O. 1137--Amending Executive Order 11246 Relating to Equal Employment Opportunity, and as supplemented by regulations at 41 CFR Part 6--Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor.
- B. Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended--Agreements of amounts in excess of \$100,000 shall require the Contractor to agree to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 et seq.). Violations shall be reported to ED and the Regional Office of the Environmental Protection Agency (EPA).
- C. Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)--By signing this Agreement, the Contractor who is awarded an Agreement of \$100,000 or more certifies that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 U.S.C. 1352. Contractor shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award.
- D. All contractors shall comply with the following statutes and regulations:
 - 1. Subject: Discrimination on the basis of race, color, or national origin.
Statute: Title VI of the Civil Rights Act of 1964 (45 U.S.C. 2000 through 2000d-4).
Regulation: 34 CFR part 100.
 - 2. Subject: Discrimination on the basis of sex
Statute: Title IX of the Education Amendments of 1972 (20 U.S.C. 1681-1683).
Regulations: 34 CFR part 106.

3. **Subject:** Discrimination on the basis of handicap.
Statute: Section 504 of the Rehabilitation Act of 1973 (29U.S.C. 794).
Regulation: 34 CFR part 104handicap.
4. **Subject:** Discrimination on the basis of age.
Statute: The Age Discrimination Act (42 U.S.C. 6101 et seq.).
Regulation: 34 CFR part 110

6. RETURN OF INAPPROPRIATE USE OF FUNDS

By signing this Agreement, Contractor shall certify that in the event of funds used inappropriately, funds must be returned to DOR.

7. AMERICANS WITH DISABILITIES ACT (ADA)

By signing this Agreement, Contractor/Grantee agrees to comply with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as, all applicable regulations and guidelines issued pursuant to the ADA (42 U.S.C. 12101 et seq.). In compliance with the Rehabilitation Act of 1973, 29 U.S.C. §794 et seq. and Government Code, Section 11135 et seq.; Section 504 imposes affirmative disability-related responsibilities on recipients of federal financial assistance as well as federal programs and activities and prohibits disability-based discrimination; and Section 508, requires electronic and information technology be accessible to people with disabilities.

EXHIBIT F
(COOP/Case Services Agreements-Subvention)

ADDITIONAL PROVISIONS - COOPERATIVE/CASE SERVICES

1. MATCH REQUIREMENTS

For Agreements that include CERTIFIED EXPENDITURE MATCH:

- A. Contractor shall certify to the State, on a monthly basis as specified in Exhibit B & G, the Contractor's allowable costs to provide the cooperative program services identified in the Scope of Work, in accordance with the Cooperative Agency Certified Expenditure Budget Summary and Narrative, and applicable Federal regulations. All such expenditures shall be under the administrative supervision of the State and no portion of the certified expenditures shall come from Federal funds. The State shall not be obligated to pay the Contractor for any contributions made by the Contractor in accordance with the Cooperative Agency Certified Expenditure Budget Summary.
- B. The total Cooperative Agency certified expenditure share will be matched to Federal funds at no less than 25%, as indicated on the DOR Program Budget Summary. If the value of the certified expenditures by the Contractor is below 25% of the actual total program cost, the Service Budget may be reduced after review by the DOR Contract Administrator. The State will not pay the Contractor for actual costs claimed on the Service Invoice (DOR 801B) until the certified expenditure summary for the same period has been submitted.
- C. Contractor contributions, including any excess of the amount specified in the "Cooperative Agency Certified Expenditure Budget Summary", will be used by the State to obtain Federal funds under Section 110 of the Rehabilitation Act of 1973, as amended. Federal funds obtained in excess of the "Total Program Cost" as identified on the "DOR Program Budget Summary" shall accrue to the State.

For Agreements that include CASH MATCH:

- A. Each fiscal year Contractor will pay to State, no less than quarterly and in advance, upon receipt of an invoice from the State, all those cash matching funds which are identified within the Program Budget Summary for that fiscal year. The State shall not be obligated to pay the Contractor for any contributions made by the Contractor in accordance with the approved budget, it being understood that all matching funds obtained by the State from the Contractor shall be exclusive funds of the State and no portion of the cash match shall come from Federal funds.
- B. The total Cooperative Agency cash share will be matched to Federal funds at no less than 21.3% as indicated on the "DOR Program Budget Summary."

2. INDIRECT COSTS

Indirect costs are allowable expenses incurred by an organization which support the activities of a program or contract, but are not directly assigned to the specific program or contract and are allocated to the program or contract using a method in compliance with 2 CFR 200. The allocation method must be fully explained in the contract budget narrative and must be supported by actual costs incurred and paid by the organization. The allocation of indirect costs cannot be based on an arbitrary fixed rate and there is a 15% cap on the service budget. There is no cap on the certified match, however, indirect costs over 40% require a copy of the rate approval document from the cognizant federal agency or state department designee (e.g. California Department of Education {CDE} or established through an independent audit).

3. CONTRACT HANDBOOK

Contractor acknowledges and agrees with the policies requirements and conditions of the Department of Rehabilitation's Contract Handbook and its additional policy requirements and conditions for Case Services/Cooperative Program Agreements as applicable for the Fiscal Year(s) covered under this Agreement. Match requirements are applicable to Cooperative Programs Agreements only. Contract Handbook can be downloaded from the DOR website at: <http://www.dor.ca.gov/Public/Grants.html>.

4. DOR'S CONTRACT MONITORING

The DOR Contract Administrator will monitor and document the contractor's performance to ensure compliance with all Agreement provisions. The DOR Contractor Administrator will:

- A. Maintain documentation on all Agreement activities, including the performance of the Agreement services, invoice reviews and approvals, monitoring activities, and other Agreement administration activities.
- B. Monitor the Agreement to ensure services were performed according to the quality, quantity, objectives, timeframes and manner specified in the Agreement, and that the Contractor prepares and maintains adequate documentation to support the services provided, expenditures reimbursements, and/or any applicable match requirements.
- C. Review and approve invoices for payment to substantiate expenditures for the work performed, including verification that costs invoiced for the provision of services to DOR applicants/consumers during the Agreement period are based on reasonable costs, and that the invoices are current, correct, and timely.
- D. Ensure that all Service Invoices (DR801B) and Certified Expenditure Summaries, if applicable, are received no later than November 1st, to allow for payment and draw down prior to the close out of Federal/State funds.
- E. Verify that the contractor has fulfilled all requirements of the Agreement before approving the final invoice.
- F. Ensure there are sufficient funds to pay for all services rendered as required by the Agreement.

- G. Ensure, by the end of the second quarter, that the projected certified expenditure match will be sufficient to support the budgets as outlined in this Agreement. If not, contact the appropriate Collaborative Services Program Specialist. (Cooperative Program Agreements only)**
- H. Identify low usage levels and consider partial disencumbrance of Agreement funds.**
- I. Periodically review personnel activity reports for staff funded by the Agreement to ensure that the Contractor is preparing and maintaining personnel activity reports in compliance with the applicable OMB cost principle.**
- J. Verify that all Agreement staff are providing services in accordance to their duties specified in the Agreement, including ensuring that:**
- **Personnel duty statements or a copy of the Agreement Budget Narrative/Agreement Duty Statement has been provided to each staff person to communicate the specific duties to be performed under the Agreement.**
 - **Verify that job duties, as provided by the Agreement staff, match Agreement duty statements and service descriptions.**
 - **Ensure that the contractor has submitted to DOR appropriate documentation that supports the services provided to DOR applicants/consumers, including monthly (or otherwise specified) progress reports, consumer listings, utilization/service reports, and/or other agreed-upon documentation.**
 - **Verify that Contract staff provide services only to authorized DOR consumers.**

**EXHIBIT G
ADDITIONAL PROVISIONS**

I. CONTRACT MONITORING AND REPORTING

The Contract Administrator/Program Manager shall monitor the contract by:

- Submitting Service Invoices (801B) on a monthly basis, with a list of DOR clients served that month
- Ensuring Personnel Activity Reports or time reporting documents and a list of DOR clients served are prepared and maintained by Contract staff in accordance with 2CFR200 and reflect accurate reporting
- Submitting Personnel Activity Reports or time reporting documents, supporting documentation, and a list of DOR clients served as requested by DOR contract administrator
- Meeting with DOR Contract Administrator and program staff to discuss contract progress at Quarterly Meetings
- Reporting the current and cumulative achievement of contract service goals and outcomes as part of the Quarterly Meetings or more often as directed by the DOR Contract Administrator
- Preparing and submitting to the assigned vocational rehabilitation counselor monthly progress reports for DOR clients' receiving contract services. Progress reports should include DOR client's name and other necessary or required information to document the services provided and individual DOR client progress in those services

II. TRANSPORTATION

The Contractor will not provide transportation to DOR clients.

STATE OF CALIFORNIA
SERVICE BUDGET


DEPARTMENT OF REHABILITATION

Contractor Name and Address		Contract Number			Federal ID Number			Page 1 of 1		
San Francisco County Behavioral Health Services 1380 Howard Street, 5th floor San Francisco, CA 94103					94-6000417					
		Budget Period			Budget Period			Budget Period		
		7/1/2016 - 6/30/2017			7/1/2017 - 6/30/2018			7/12018 - 6/30/2019		
		Effective Date (Amendments Only)			Effective Date (Amendments Only)			Effective Date (Amendments Only)		
Line No.	PERSONNEL-Position Title & Time Base	Annual Salary	Annual Percent FTE	Amount Budgeted	Annual Salary	Annual Percent FTE	Amount Budgeted	Annual Salary	Annual Percent FTE	Amount Budgeted
1	Vocational Coordinator (1 FTE= 40 hours/week, 12 months/year)	\$152,000.00	0.59474	\$90,400.00	\$152,000.00	0.59	\$90,400.00	\$152,000.00	0.59	\$90,400.00
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15	Subtotal			\$90,400.00			\$90,400.00			\$90,400.00
16	OPERATING EXPENSES									
17										
18										
19										
20										
21										
22										
23										
24	Operating Subtotal									
25	Personnel and Operating Subtotal			\$90,400.00			\$90,400.00			\$90,400.00
26	Indirect Rate Percentage									
27	Indirect Cost									
	TOTAL (rounded to nearest dollar)			\$90,400			\$90,400			\$90,400

CCC-307

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

<i>Contractor/Bidder Firm Name (Printed)</i> City and County of San Francisco Behavioral Health Services		<i>Federal ID Number</i> 94-6000417
<i>By (Authorized Signature)</i> 		
<i>Printed Name and Title of Person Signing</i> Marcellina Ogbu, Deputy Director, SFDPH		
<i>Date Executed</i> 4/28/16	<i>Executed in the County of</i> San Francisco	

CONTRACTOR CERTIFICATION CLAUSES

1. STATEMENT OF COMPLIANCE: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

2. DRUG-FREE WORKPLACE REQUIREMENTS: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the

certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations,

or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. DOMESTIC PARTNERS: For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

- 1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- 2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

- 1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

FULL Name of Corporation or Public Agency

City and County of San Francisco, Department of Public Health, Behavioral Health Services

WHEREAS, the Board of Directors or Board of Trustees of the above-named corporation or public agency has read the proposed agreement between State of California, Department of Rehabilitation (DOR), and above-named corporation or public agency and said Board of Directors or Board of Trustees acknowledges the benefits and responsibilities to be shared by both parties to said agreement.

NOW, THEREFORE, BE IT RESOLVED that said Board of Directors or Board of Trustees does hereby authorize the following person/position

Name/Position of Person Authorized to Sign Agreement

Marcellina Ogbu, Deputy Director, SFDPH

of the above-named corporation or public agency on behalf of the corporation or public agency to sign and execute any and all documents required by DOR to effectuate the execution of said Agreement and all amendments. This authorization shall remain in effect until the expiration of the contract and shall automatically expire at that time, unless earlier revoked or extended by the Board of Directors.

CERTIFICATION

I, the Recording Secretary named below, hereby certify that the foregoing resolution was duly and regularly adopted by the Board of Directors or Board of Trustees of above-named corporation or public agency at a meeting of said Board regularly called and convened at which a quorum of said Board of Directors or Board of Trustees was present and voting, and that said resolution was adopted by a vote of the majority of all Directors or Trustees present at said meeting.

IN WITNESS WHEREOF, I have hereunto set my hand as Recording Secretary of said corporation or public agency.

Address Where Board Meeting Held

Date of Board Meeting	Signature of Recording Secretary	Date Signed
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Print Form

Introduction Form

By a Member of the Board of Supervisors or the Mayor

Time stamp
or meeting date _____

I hereby submit the following item for introduction (select only one):

- 1. For reference to Committee. (An Ordinance, Resolution, Motion, or Charter Amendment)
- 2. Request for next printed agenda Without Reference to Committee.
- 3. Request for hearing on a subject matter at Committee.
- 4. Request for letter beginning "Supervisor [] inquires"
- 5. City Attorney request.
- 6. Call File No. [] from Committee.
- 7. Budget Analyst request (attach written motion).
- 8. Substitute Legislation File No. []
- 9. Reactivate File No. []
- 10. Question(s) submitted for Mayoral Appearance before the BOS on []

Please check the appropriate boxes. The proposed legislation should be forwarded to the following:

- Small Business Commission Youth Commission Ethics Commission
- Planning Commission Building Inspection Commission

Note: For the Imperative Agenda (a resolution not on the printed agenda), use a Imperative Form.

Sponsor(s):

Breed

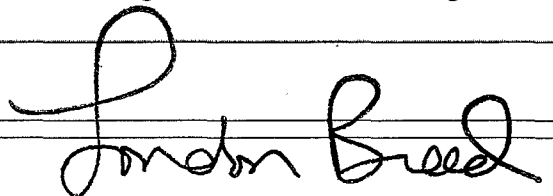
Subject:

Accept and Expend Grant – State Vocational Rehabilitation Services Program - \$271,200

The text is listed below or attached:

Resolution authorizing the San Francisco Department of Public Health to retroactively accept and expend a grant in the amount of \$271,200 from the California Department of Rehabilitation to participate in a program entitled State Vocational Rehabilitation Services Program for the period of July 1, 2016, through June 30, 2019, waiving indirect costs.

Signature of Sponsoring Supervisor: _____



For Clerk's Use Only:



Edwin M. Lee
Mayor

Barbara A. Garcia, MPA
Director of Health

TO: Angela Calvillo, Clerk of the Board of Supervisors

FROM: Barbara A. Garcia, MPA *BAG*
Director of Health

DATE: May 9, 2016

SUBJECT: Grant Accept and Expend

GRANT TITLE: State Vocational Rehabilitation Services Program- \$271,200

Attached please find the original and 2 copies of each of the following:

- Proposed grant resolution, original signed by Department
- Grant information form, including disability checklist -
- Budget and Budget Justification
- Grant application
- Agreement / Award Letter
- Other (Explain): **Form 324- State of California Board Resolution Form**
Note: The State of California has requested this form to be signed by the San Francisco Board of Supervisors. This form will inform the State of California that San Francisco has authority to enter into an agreement with California Department of Rehabilitation. This Board Resolution Form allows that authority.

Special Timeline Requirements:

Departmental representative to receive a copy of the adopted resolution:

Name: Richelle-Lynn Mojica

Phone: 255-3555

Interoffice Mail Address: Dept. of Public Health, Grants Administration for
Community Programs, 1380 Howard St.

Certified copy required Yes

No

FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL
(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s): Members, SF Board of Supervisors	City elective office(s) held: Members, SF Board of Supervisors
Contractor Information <i>(Please print clearly.)</i>	
Name of contractor: Caminar	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
1. Dennis Pantano, President, Marie Koerper, Ted Stinson, Carol Whitfield, Steve Porter, Carole Middleton, Richard Van Doren, Jr., Suzan Wallace, Michelle Leu Zaccone, Betsy Pace, Ted Robinson, Grace Yang, Donna Barulich, Lia Kison 2. Charles "Chip" Huggins, CEO, Ayako Fukudome, CPA/Controller/ Caminar does not have a COO 3. N/A 4. N/A 5. N/A	
Contractor address: 2600 S. El Camino Real San Mateo, CA94403	
Date that contract was approved:	Amount of contract: \$416,788.00 per year and a total of \$1,250,364 Of DPH and DOR funds combined for 3 years.
Describe the nature of the contract that was approved: The San Francisco Department of Public Health (DPH) provides a cash match with the California Department of Rehabilitation (DOR) to provide vocational rehabilitation training, employment preparation and employment placement services for adults with severe mental illness.	
Comments:	

This contract was approved by (check applicable):

the City elective officer(s) identified on this form (Mayor, Edwin M. Lee)

a board on which the City elective officer(s) serves San Francisco Board of Supervisors

Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

Filer Information <i>(Please print clearly.)</i>	
Name of filer: Clerk of the SF Board of Supervisors	Contact telephone number: 415-554-5184
Address: City Hall, Room 244 1 Dr. Carlton B. Goodlett Place	E-mail: Bos.Legislation@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

**FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL**
(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s):	City elective office(s) held:
Members, San Francisco Board of Supervisors	Members, San Francisco Board of Supervisors

Contractor Information <i>(Please print clearly.)</i>	
Name of contractor: Regents of the University of California/ CITYWIDE: program of SFGH/ Department of Psychology	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
1) Board: Regents of the University of California 2) Chief Executive Officer: Jim Dilley, MD; Chief Financial Officer & Chief Operating Officer: Margaret Paternek 3) N/A 4) N/A 5) N/A	
Contractor address: 982 Mission Street, San Francisco, CA 94103	
Date that contract was approved:	Amount of contract: \$630,343 per year and \$1,891,029 total DPH and DOR funds combined for 3 years.
Describe the nature of the contract that was approved: Collaborative agreement with the Department of Rehabilitation, The San Francisco Department of Public Health (DPH) provides a cash match with the California Department of Rehabilitation (DOR) to provide vocational rehabilitation training, employment preparation and employment placement services for adults with severe mental illness.	
Comments:	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor, Edwin M. Lee)
- a board on which the City elective officer(s) serves San Francisco Board of Supervisors
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits
- Print Name of Board

Filer Information <i>(Please print clearly.)</i>	
Name of filer: Clerk of the Board of Supervisors	Contact telephone number: (415) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: bos.legislation@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

**FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL
(S.F. Campaign and Governmental Conduct Code § 1.126)**

City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s): Members, SF Board of Supervisors	City elective office(s) held: Members, SF Board of Supervisors

Contractor Information <i>(Please print clearly.)</i>	
Name of contractor: Special Service for Groups Occupational Therapy Training Program – San Francisco (OTTP-SF)	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
(1) John M. Eckman, MBA (President); Donna Wong, Esq (1 st VP); Donna Mills, MBA, MSW (2 nd VP); Donald A. Kincey, MBA (Treasurer); Herbert K. Hatanaka, DSW (Secretary); Members: Fernando Del Rio; Glen H. Pacheco; Anthony A. Trias; Henry C. Wong, Esq; and Sanjay Chhugani, MBA, (3) N/A, (4) N/A, (5) N/A	
Contractor address: 425 Divisadero Street, Suite 300, San Francisco CA 94117	
Date that contract was approved:	Amount of contract: \$257,522 per year and \$772,566 total DPH and DOR funds combined for 3 years.
Describe the nature of the contract that was approved: The San Francisco Department of Public Health (DPH) provides a cash match with the California Department of Rehabilitation (DOR) to provide vocational rehabilitation training, employment preparation and employment placement services for transitional age youth consumers.	
Comments:	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor, Edwin M. Lee)
- a board on which the City elective officer(s) serves San Francisco Board of Supervisors
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

Filer Information <i>(Please print clearly.)</i>	
Name of filer: Clerk of the SF Board of Supervisors	Contact telephone number: (415) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: bos.legislation@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

**FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL**
(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s):	City elective office(s) held:
Members, SF Board of Supervisors	Members, SF Board of Supervisors

Contractor Information <i>(Please print clearly.)</i>	
Name of contractor: Richmond Area Multi-Services, Inc. (RAMS)	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
(1) Alvin Alvarez, PhD; Anoshua Chaudhuri, PhD; Cynthia Huie; Loren Krane, PhD; Irina Mandelboym; Ed Obuchowski; Walter Stella; Wil Wong, MD; C. Kitty Wu, PhD; (2) Kavoos Bassiri – CEO, Ken Choi – CFO (3) none (4) none (5) none	
Contractor address: 639 14 th Avenue, San Francisco, CA 94118	
Date that contract was approved:	Amount of contract: \$1,021,118 per year and \$3,063,354 total DPH and DOR funds combined for 3 years.
Describe the nature of the contract that was approved: The San Francisco Department of Public Health (DPH) provides a cash match with the California Department of Rehabilitation (DOR) to provide vocational rehabilitation training, employment preparation and employment placement services for adults with severe mental illness.	
Comments:	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor, Edwin M. Lee)
- a board on which the City elective officer(s) serves San Francisco Board of Supervisors
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits
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Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

