



San Francisco Ethics Commission

25 Van Ness Avenue, Suite 220, San Francisco, CA 94102
 Phone: 415.252.3100 . Fax: 415.252.3112
ethics.commission@sfgov.org . www.sfethics.org

Received On:

File #: 241094

Bid/RFP #:

Notification of Contract Approval

SFEC Form 126(f)4
 (S.F. Campaign and Governmental Conduct Code § 1.126(f)4)
 A Public Document

Each City elective officer who approves a contract that has a total anticipated or actual value of \$100,000 or more must file this form with the Ethics Commission within five business days of approval by: (a) the City elective officer, (b) any board on which the City elective officer serves, or (c) the board of any state agency on which an appointee of the City elective officer serves. For more information, see: <https://sfethics.org/compliance/city-officers/contract-approval-city-officers>

1. FILING INFORMATION	
TYPE OF FILING	DATE OF ORIGINAL FILING (for amendment only)
Original	
AMENDMENT DESCRIPTION – Explain reason for amendment	

2. CITY ELECTIVE OFFICE OR BOARD	
OFFICE OR BOARD	NAME OF CITY ELECTIVE OFFICER
Board of Supervisors	Members

3. FILER'S CONTACT	
NAME OF FILER'S CONTACT	TELEPHONE NUMBER
Angela Calvillo	415-554-5184
FULL DEPARTMENT NAME	EMAIL
office of the Clerk of the Board	Board.of.Supervisors@sfgov.org

4. CONTRACTING DEPARTMENT CONTACT	
NAME OF DEPARTMENTAL CONTACT	DEPARTMENT CONTACT TELEPHONE NUMBER
David Rojas	628-255-6886
FULL DEPARTMENT NAME	DEPARTMENT CONTACT EMAIL
MTA Finance and Information Technology	david.rojas@sfmta.com

5. CONTRACTOR	
NAME OF CONTRACTOR Hitachi Rail GTS USA Inc.	TELEPHONE NUMBER n/a
STREET ADDRESS (including City, State and Zip Code) 99 Park Avenue, Suite 1120, New York, NY 10016	EMAIL tbd@tbd.com

6. CONTRACT		
DATE CONTRACT WAS APPROVED BY THE CITY ELECTIVE OFFICER(S)	ORIGINAL BID/RFP NUMBER	FILE NUMBER (If applicable) 241094
DESCRIPTION OF AMOUNT OF CONTRACT \$29,825,385.22		
NATURE OF THE CONTRACT (Please describe) Contract Modification No. 4 (Fourth Amendment) to SFMTA Contract No. 1266-2, acknowledges the contractor's name change and increases the Contract Amount \$2,095,084.82 for a modified total Contract Amount of \$29,825,385.22. The increase to the Contract Amount: (1) compensates Hitachi Rail GTS USA Inc. \$392,992.43 for Additional work it performed arising from SFMTA's changes to Central Subway Advanced Train Control System (ATCS) designs and specifications; (2) compensates Contractor \$996,684.59 for costs incurred in mitigating 136 Days of delay to its work due to delays in Central Subway construction work; (3) adds \$705,407.80 to the Contract Amount to replenish funds paid Contractor from this Agreement to resolve Contractor's claim for payment of retention under Central Subway Contract 1300; and, (4) modifies the Substantial Completion date to August 30, 2022, and extends the warranty period for the Central subway ATCS to August 30, 2025.		

7. COMMENTS

8. CONTRACT APPROVAL	
This contract was approved by:	
<input type="checkbox"/>	THE CITY ELECTIVE OFFICER(S) IDENTIFIED ON THIS FORM
<input checked="" type="checkbox"/>	A BOARD ON WHICH THE CITY ELECTIVE OFFICER(S) SERVES Board of Supervisors
<input type="checkbox"/>	THE BOARD OF A STATE AGENCY ON WHICH AN APPOINTEE OF THE CITY ELECTIVE OFFICER(S) IDENTIFIED ON THIS FORM SITS

9. AFFILIATES AND SUBCONTRACTORS

List the names of (A) members of the contractor’s board of directors; (B) the contractor’s principal officers, including chief executive officer, chief financial officer, chief operating officer, or other persons with similar titles; (C) any individual or entity who has an ownership interest of 10 percent or more in the contractor; and (D) any subcontractor listed in the bid or contract.

#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
1	Ziad	Rizk	CEO
2	Kar Chung	Chan	CFO
3	Jason	Hannibal	Other Principal Officer
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#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
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#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
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Check this box if you need to include additional names. Please submit a separate form with complete information. Select “Supplemental” for filing type.

10. VERIFICATION

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information I have provided here is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

<p>SIGNATURE OF CITY ELECTIVE OFFICER OR BOARD SECRETARY OR CLERK</p> <p>BOS Clerk of the Board</p>	<p>DATE SIGNED</p>
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