File No.	111025
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Committee Item	No	(o	
Board Item No.			

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee:	Rules	Date	10/20/11
Board of Su	pervisors Meeting	Date	
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	Motion Resolution Ordinance Legislative Digest Budget Analyst Report Legislative Analyst Report Youth Commission Report Introduction Form (for hear Department/Agency Cover I MOU Grant Information Form Grant Budget Subcontract Budget Contract/Agreement Award Letter Application Public Correspondence	- ,	
OTHER	(Use back side if additional Stipulation for Entry of Jud	space is needed) I great in Condemna	ation
Completed l	y: Linda Wong	Date	

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is in the file.

[Settlement of Lawsuit - City to Pay \$500 for Sub-Surface Easement in San Mateo County]

Ordinance authorizing settlement of a lawsuit filed by the City and County of San Francisco against San Mateo County Transit District; Union Pacific Railroad Company; State of California, Department of Transportation; and Does 1 through 100, to condemn and take by right of eminent domain a permanent sub-surface easement of approximately 27,829 square feet in property owned by San Mateo County Transit District, located in Menlo Park, California, commonly known as SBE 872-41-20P; filed June 3, 2010, in San Mateo County Superior Court, Case No. CIV 495644; entitled City and County of San Francisco v. San Mateo County Transit District; Union Pacific Railroad Company; State of California, Department of Transportation; and Does 1 through 100.

Be it ordained by the People of the City and County of San Francisco:

Section 1. The City Attorney is hereby authorized to settle the action entitled <u>City and County of San Francisco v. San Mateo County Transit District; Union Pacific Railroad Company; State of California, Department of Transportation; and Does 1 through 100, San Mateo County Superior Court, Case No. CIV 495644 by the payment by the City and County of San Francisco ("City") to Defendant State of California, Department of Transportation of \$500 for a permanent subsurface easement of approximately 27,829 square feet on property owned by San Mateo County Transit District, located in Menlo Park, California, commonly known as SBE 872-41-20P, and in accordance with other material terms as set forth in the Stipulation for Entry of Judgment in Condemnation contained in Board of Supervisors File No.

111025 ____, or such amended terms as do not materially increase the City's obligations or decrease the City's benefits, for the purpose of constructing the San Francisco Public Utilities Commission's Water System Improvement Program-Funded Project CUW36801, Bay Division</u>

City Attorney's Office BOARD OF SUPERVISORS

1	Pipeline Reliability Upgrade—Tunnel. The payment of \$500 to Defendant State of California,
2	Department of Transportation shall be made from the appropriation in Water System
3 -	Improvement Program-Funded Project CUW36801, Bay Division Pipeline Reliability
4	Upgrade—Tunnel.
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6,	Section 2. The above-named action was filed in San Mateo County Superior Court on
7	June 3, 2010, and the following defendants were named in the lawsuit: San Mateo County
8	Transit District; Union Pacific Railroad Company; State of California, Department of
9	Transportation; and Does 1 through 100.
10	
11 12	APPROVED AS TO FORM AND RECOMMENDED:
13	DENNIS J. HERRERA, City Attorney SAN FRANCISCO REAL ESTATE DIVISION
14	By: Metry Work By:
15	Deputy City Attorney JOHN UPDIKE Director
16	FUNDS AVAILABEE?
17	ONDORVARIABLE
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DENNIS J. HERRERA (CA 139669) **Exempt from Filing Fees per** City Attorney KRISTEN A. JENSEN (CA 130196) Government Code § 6103 THOMAS S. LAKRITZ (CA 161234) 3 VICTORIA WONG (CA 214289) Deputy City Attorneys 4 City Hall, Room 234 1 Dr. Carlton B. Goodlett Place 5 San Francisco, CA 94102-5408 Telephone: (415) 554-4721 Facsimile: (415) 544-4757 7 E-Mail: victoria.wong@sfgov.org 8 NOSSAMAN LLP CLOTHILDE V. HEWLETT (CA 93356) 9 F. GALE CONNOR (CA 131994) MICHAEL G. THORNTON (CA 116711) 10 50 California Street, 34th Floor 11 San Francisco, CA 94111 Telephone: (415) 398-3600 12 Facsimile: (415) 398-2438 Email: qconnor@nossaman.com 13 Attorneys for Plaintiff 14 CITY AND COUNTY OF SAN FRANCISCO 15 16 SUPERIOR COURT OF THE STATE OF CALIFORNIA 17 FOR THE COUNTY OF SAN MATEO 18 19 CITY AND COUNTY OF SAN FRANCISCO, 20 Case No.: CIV 495644 a municipal corporation, 21 SBE No.: 872-41-20P Plaintiff, 22 VS. STIPULATION FOR ENTRY OF 23 JUDGMENT IN CONDEMNATION SAN MATEO COUNTY TRANSIT DISTRICT, 24 a public agency; UNION PACIFIC RAILROAD COMPANY, a Delaware Corporation; STATE 25 OF CALIFORNIA, DEPARTMENT OF TRANSPORTATION; and DOES 1 through 26 100, inclusive, 27 Defendants. 28 250719_1.DOC STIPULATION FOR ENTRY OF JUDGMENT IN CONDEMNATION Case No. CIV 495644

IT IS HEREBY STIPULATED by and among Plaintiff City and County of San Francisco, a municipal corporation (the "City") and Defendants San Mateo County Transit District, a public agency ("Transit District") and Defendant State of California by and through the Department of Transportation ("CalTrans") dated for reference purposes only this 1st day of August, 2011 as follows:

RECITALS

WHEREAS, the City has brought the above-entitled action to acquire a permanent interest in the real property located east of University Avenue, in the City of Menlo Park, County of San Mateo, known as SBE 872-41-20P, as further described in **Exhibit "A"** attached hereto and incorporated herein by this reference (the "**Property"**);

WHEREAS, the City seeks to acquire a permanent interest in the Property for a public use, as described in **Section B** below;

WHEREAS, pursuant to Government Code sections 25350.5, 37350.5, and 38730, and Code of Civil Procedure sections 1240.010, et seq., the City is entitled to condemn the property interest identified in the Complaint and described in **Section A** below;

WHEREAS the Property is owned in fee by Defendant Transit District, and Defendant CalTrans is the beneficiary under a deed of trust encumbering the Property, recorded November 9, 1994, as Instrument Number 94-172453 of official records, County of San Mateo;

WHEREAS Defendant Union Pacific Railroad Company has filed a disclaimer in accordance with Code of Civil Procedure section 1250.325;

WHEREAS all of the Parties by and through counsel, have been engaged in global settlement negotiations and have reached a tentative settlement, subject only to approval by the governing bodies of the Parties;

WHEREAS the Parties have stipulated to, and the Court has issued, an Order for Prejudgment Possession, signed January 13, 2011;

NOW, THEREFORE, the City, the Transit District and CalTrans hereby acknowledge and, intending to be legally bound hereby, agree as follows:

- Property to be Condemned. The property interest condemned by the City in this action is a perpetual subsurface easement (the "Easement") through that portion of the Property described in both horizontal and vertical dimensions in Exhibit "B" and depicted approximately on Exhibit "C" attached hereto and incorporated by this reference (the "Easement Area"). Concurrently with the execution of this Stipulation counsel for each party hereto shall sign, approving as to form, a Judgment in Condemnation, subject to the terms and conditions set forth herein.
- В. Water Tunnel Project. The Easement is being acquired by the City for the San Francisco Public Utilities Commission Water System Improvement Program - Funded Project CUW36801, Bay Division Pipeline Reliability Upgrade-Tunnel, which will improve the regional water supply system (the "Water Tunnel Project"). For purposes of the Project, the City will install a tunnel and pipelines within the tunnel, and all necessary braces, connection, fastenings, fittings and other appliances, appurtenances and fixtures including underground telemetry and electrical cables for use in connection therewith or appurtenances thereto, for the distribution of potable and non-potable water (the "Water Tunnel Facilities") within and through the Easement Area.
- Use of the Easement Area. The City may use the Easement Area for the C. purpose of constructing, reconstructing, removing, replacing, repairing, operating, inspecting, accessing and using the Water Tunnel Facilities from time to time as the City may see fit, subject to the following:
 - Construction, Maintenance, Structural Repair and Reconstruction of Water Tunnel Facilities.
 - Water Tunnel Improvements. The Water Tunnel Project entails 1.1. the installation of those improvements within the Easement Area (the "Water Tunnel Improvements") described and shown in those plans and specifications which are described in Exhibit D, which is attached hereto and incorporated herein by this reference (the "Water Tunnel Plans"). Any material change to such Water

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Tunnel Plans shall be subject to Transit District's prior written consent, in accordance with Sections 9.2 and 9.3. A change shall be considered material only if it would result in all or a portion of the Water Tunnel Improvements being located outside of the portion of the Easement Area designated as "Zone C" on Exhibit E, which is attached hereto and incorporated by this reference. Transit District's consent to or approval of the Water Tunnel Plans or any other plans and specifications or drawings with respect to the Water Tunnel Facilities shall not relieve the City or its contractors from any liability for negligence, errors or omissions associated with the design and construction thereof. The City shall provide Transit District with as-built drawings for the Water Tunnel Improvements or any subsequently installed Water Tunnel Facilities, within sixty (60) days after receipt of written request from Transit District following completion of the project under which they were installed, provided that Transit District executes a confidentiality agreement in form acceptable to the City in order to protect security-sensitive information.

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1.1.1. Contractor Requirements.

- (a) The City shall include in the specifications for the Water Tunnel Facilities requirements to ensure that the Water Tunnel Facilities Project is performed and monitored by appropriately experienced personnel using means and methods that comply with all applicable laws and regulations and that minimize risk of harm to the Transit District facilities.
- (b) Key contractor personnel must possess the appropriate qualifications (i.e., must have worked on one or more projects serving in a similar capacity).
- (c) Contract shall not contain a waiver of the right to recover consequential or incidental damages from the City's Contractor.
- require the City's Contractor to maintain in force during the course of the contract insurance in the amounts and coverages specified in attached Exhibit F, and to name the San Mateo County Transit District, the Union Pacific Railroad Company and their respective directors, officers, employees, volunteers and agents while acting in such capacity, and their successors or assignees, as they now, or as they may hereafter be constituted, singly, jointly or severally, as additional insured under the liability policies and any excess or umbrella policies, and, if obtained by City, to provide a waiver of subrogation in favor of the San Mateo County Transit District, its officers, agents, volunteers and employees under the workers compensation, commercial

general liability, automobile liability, and property policies and any excess or umbrella policies. The City shall provide to Transit District insurance certificates that provide evidence that the City's Contractor has the kinds of insurance described in **Exhibit F**.

- 1.1.3. The parties shall designate individuals to be the central point of contact for communications relating to the Water Tunnel Project.
- Repairs and Maintenance. Should the City desire or need to perform any work that would potentially affect Transit District's rail facilities or operations on the Property outside of the Easement Area (the "Remaining Property") or Transit District's Train Tunnel Facilities or operations located within the Train Tunnel Zone in accordance with Section 4.2, the City shall submit detailed plans and specifications for such work (or the drawings or other description of a planned installation, if such installation would not ordinarily be made pursuant to plans and specifications) ("Repair Plans") to Transit District for its approval in accordance with Sections 9.2 and 9.3. Any change to approved Repair Plans that would affect Transit District's rail facilities or operations on the Remaining Property or Transit District's Train Tunnel Facilities or operations located within the Train Tunnel Zone in accordance with Section 4.2 shall be subject to Transit District's prior approval, which shall not be unreasonably withheld or delayed. The City agrees to give Transit District sixty (60) calendar days' written notice prior to commencement of any maintenance activities on the Water Tunnel Facilities that could affect rail operations, maintenance, tracks or other facilities on the Remaining Property or

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Transit District's Train Tunnel Facilities or operations, if any, located within the Train Tunnel Zone in accordance with Section 4.2, except in the event of an emergency, in which case the City shall, concurrently with dispatching a repair crew to such emergency, contact Transit District's Stephen Chao at 650-508-6301 to advise of same. The City, at the City's sole cost and expense, shall cause all work in connection with the use, operation, maintenance, structural repair, reconstruction, or replacement of the Water Tunnel Facilities within the Easement Area to be performed in a good and workerlike manner, in compliance with all applicable laws and lawful ordinances, regulations and orders of any federal, state, county or municipal authority, and substantially in compliance with any approved Repair Plans. Following completion of the Water Tunnel Facilities, the City will own, maintain, repair, reconstruct, or replace the Water Tunnel Facilities at its sole cost. The City agrees to keep the Water Tunnel Facilities in a good and safe condition, and the Easement Area, so far as affected by the City's operations, free from waste, to the reasonable satisfaction of the Transit District.

Terms for Surface Entry onto Remaining Property. In conjunction with 2. the Water Tunnel Project or future work on the Water Tunnel Facilities, the City may, from time to time, require access to the surface of the Remaining Property in order to make inspections and install, read and remove monitoring equipment. Transit District shall allow such entry provided that the City or its consultant applies for a permit from Transit District, satisfies the reasonable conditions for issuance of such a permit, and complies with the terms of the permit, including any required safety procedures the City must follow relative to entry onto an active Transit

District right of way and any applicable environmental protocols related to or required as a result of such entry.

- 3. Service Agreement. The City has entered into a Service Agreement to reimburse Transit District's costs in reviewing the Water Tunnel Project, preparing documents and providing necessary oversight for the Water Tunnel Project. In the event that, after the initial installation of the Water Tunnel Improvements, the City performs work that requires Transit District to perform additional services or furnish additional materials to the City, Transit District may require the City to enter into a new Service Agreement; provided, however, such agreement shall not require reimbursement of any legal fees or costs incurred by Transit District related to threatened or filed litigation between Transit District and the City. Further, the City's obligations with respect to costs incurred by Transit District in connection with any proposed Train Tunnel Facilities and/or Protective Improvements on the Property shall be limited to those obligations described in Section 4.
- 4. Transit District's Reserved Rights. The Easement is made subject to the reserved right and obligation of Transit District, its successors and assigns, to use the Easement Area and Remaining Property in the performance of its transportation operations as described herein.
 - Transit District's Reserved Rights On Remaining Property.

 There is reserved unto Transit District, its successors and assigns, the right to construct, reconstruct, maintain and use on the Remaining Property existing and future facilities and appurtenances related to the performance of its transportation operations, including, without limitation, existing and future transportation, communication, Transit District tracks and pipeline facilities and appurtenances in, upon, over, under, across and along the

4.1.

Remaining Property.

The City has caused the Water Tunnel Project to be designed such that the portion of the Water Tunnel Facilities located within the Property can accommodate a Cooper E80 loading above the upper elevation of the Easement Area (i.e., on the surface of the Remaining Property and within "Zone A" as shown on attached Exhibit E). The Transit District shall not cause or allow the improvements and operations on the surface and within Zone A of the Remaining Property to exceed such loading, or cause or allow to be constructed on the Remaining Property any improvements such as deep foundations that would transfer load from the Remaining Property to the Easement Area, or cause or allow to occur on the Remaining Property blasting, percussion drilling or other operations that would transmit vibration to the Water Tunnel Facilities, or cause or allow a release of Hazardous Materials that would affect the Easement Area.

Subject to the foregoing restrictions, Transit District, its successors and assigns, may, in the future, construct additional Transit District tracks or other transportation facilities on the Remaining Property, which may require the use of all or a portion of the Remaining Property. The City and Transit District acknowledge that this work may increase the City's costs of repair, reconstruction and/or replacement of the Water Tunnel Facilities, and agree that, provided that Transit District complies with the restrictions described above, any additional costs of such repair, reconstruction or replacement resulting from such construction of additional tracks or facilities outside of the Easement Area shall be borne by the City.

4.2. Transit District's Reserved Rights Within the Easement Area.

The City shall have the exclusive use and occupancy of the

Easement Area subject only to Transit District's rights under this

Section 4.2. There is reserved unto the Transit District, its

successors and assigns, the following rights and obligations with

regard to the Easement Area.

- 4.2.1. Right to Construct Train Tunnel Facilities. In the event that Transit District proposes to construct and operate a train tunnel on the Remaining Property, such tunnel improvements including all necessary appurtenances (the "Train Tunnel Facilities") may be located within a portion of the Easement Area, but subject to, and only to the extent permitted by, the terms and conditions of this Section 4.2.
- 4.2.2. Acknowledgment of Uncertainties. The parties acknowledge that: (a) Transit District has no present plans to construct a train tunnel on the Remaining Property; (b) the parties cannot predict when, if ever, such a project may be undertaken; (c) the parties have done the best they can, based on information available to them at the time of this Stipulation, to define the process and the parties' respective rights and duties with respect to coordinating, planning, designing and constructing the Train Tunnel Facilities and any Protective Improvements (as defined in Section 4.2.3) within the Train Tunnel Zone; (d) the parties cannot predict the planning and environmental impact review processes that may be required by law in the future if and when such a project is proposed; and (e) circumstances may change in ways that are not possible to predict, including without

limitation significant changes in technology, engineering standards, environmental standards, construction methods and legal requirements applicable to transportation and water supply infrastructure. In the event of a material change in circumstances rendering any process. performance of obligations or exercise of rights hereunder impossible, unlawful, impractical, or excessively onerous, the parties will diligently engage in good faith negotiations to adopt a mutually acceptable amendment to this Stipulation. construing or defining the process, rights and obligations in light of such changed circumstances, taking into account equitable considerations and the intention of the parties in entering into this Stipulation. If the parties are unable to agree on the terms of such an agreement within thirty (30) days after either party requests such negotiations, the parties may follow the dispute resolution process set forth in Section 4.2.14. In that event, the parties agree that any such determination of the process, rights and obligations through the dispute resolution process, including any judicial determination if the parties fail to reach agreement through mediation, may take into account equitable considerations and the intention of the parties in entering into this Stipulation.

4.2.3. Responsibility for Protective Improvements. The City shall bear the responsibility for designing, at the City's expense, any reasonable modifications or additional improvements that would be necessary or prudent, in the City's sole judgment, to protect the Water Tunnel Facilities

from damage or interference from the Train Tunnel Facilities ("Protective Improvements") and funding the construction of such improvements, as provided in this Section 4; and Transit District shall bear the responsibility for complying with the provisions of this Section 4 in the planning, design, construction, operation, maintenance and repair of the Train Tunnel Facilities.

- that may be penetrated by any Train Tunnel Facilities,
 Protective Improvements and train tunnel construction
 activity (including without limitation pre-construction
 geotechnical investigations) is that portion of the Easement
 Area designated as "Zone B" on attached Exhibit E (the
 "Train Tunnel Zone"). In no event shall Transit District
 cause or permit any penetration of the area identified as
 "Zone C" on such Exhibit. When designing the Train Tunnel
 Facilities, Transit District shall keep in mind that any
 Protective Improvements will have to be located above Zone
 C, and Zone C must be kept clear of all improvements,
 excavation, construction and testing operations.
- 4.2.5. Planning and Design. The parties acknowledge that it will be in the best interest of both parties, their respective customers and the general public, to design, construct and operate any train tunnel project potentially affecting the Property ("Train Tunnel Project") in such a way as to be compatible with and avoid damaging the Water Tunnel Facilities. Accordingly, Transit District shall keep the City informed of its plans for any Train Tunnel Project and shall

provide the City with reasonable opportunities to be involved in the planning, review, design and construction monitoring process for any such project, which involvement shall include without limitation the following:

- (a) At the initial planning stage when Transit District is considering project alternatives for the Train Tunnel Project, Transit District shall consult with the City. Such consultation shall include providing to the City a written description or depiction of all project alternatives on the Property that could potentially affect the Water Tunnel Facilities, responding to the City's reasonable requests for information, requesting comments from the City, and providing a reasonable opportunity for Transit District's and the City's representatives to meet to discuss the proposed project as it may affect the Water Tunnel Facilities.
- (b) Transit District shall cause the Train Tunnel Facilities to be designed in accordance with then-current, applicable design codes and standards.
- considered part of the Train Tunnel Project for purposes of environmental review under the California Environmental Quality Act and the National Environmental Policy Act and any applicable successor legislation governing the review of environmental impacts of public projects (any such review, "Environmental Impact Review"). No later than sixty (60) days before the earlier of

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(i) preparation of an initial study or (ii) publication of a notice of intent to prepare a draft environmental impact report, environmental impact statement or applicable documentation under laws then governing Environmental Impact Review of public projects, Transit District shall provide the City with sufficient information about Transit District's proposed Train Tunnel Project to enable the City to determine (A) whether any Protective Improvements might be needed, and (B) the nature of such Protective Improvemental Impact Review purposes. Following receipt of such information, the City will provide a description of potential Protective Improvements sufficient for such purposes.

- (d) Upon request, the City shall provide Transit District with copies of any archaeological, geological, geotechnical or related studies it has that pertain to the Property, it being understood that the City makes no warranty as to the accuracy or completeness of such materials.
- (e) If the Train Tunnel Project is to be a design/build project;
 - (i) Transit District shall furnish to the City a copy of the preliminary design package that is to be provided to potential bidders, on or before the earlier of (i) the date Transit District advertises the request for bids ("RFB") or (ii) 24 months

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before issuing the notice to proceed (or equivalent) for the design/build contract.

(ii) The RFB for the design/build contract shall inform potential bidders that: (A) the City will be designing the improvements, if any, for protection of the Water Tunnel Facilities, (B) the selected design/build contractor will be required to coordinate with the City, incorporate the City's detailed plans and specifications for the Protective Improvements (the "PI Drawings") into the plans and specifications for the Train Tunnel Project (the "Train Tunnel Drawings") as provided herein, and, to the extent possible, separately track the costs of incorporating the PI Drawings and constructing the Protective Improvements, (C) the Train Tunnel Improvements and Protective Improvements must be designed and constructed so that no improvements or construction activities will penetrate Zone C of the Easement Area as shown on attached Exhibit E, (D) the City shall have 21 months to complete the design of the Protective Improvements; and (E) blasting, percussion drilling or other activities that would transmit shock or persistent vibration to the Water Tunnel Facilities are prohibited.

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- (f) If the Train Tunnel Project is to be a design-bid-build project, then no later than 24 months before advertising the request for bids, Transit District shall provide the basic design data (i.e., 35% design drawings including design criteria and loading data) to the City for review and analysis.
- Following receipt of the basic design data or the (g) preliminary design package, as the case may be (the "Initial Design Package"), the City shall commence and diligently pursue the process of analyzing the Initial Design Package and designing any Protective Improvements that the City or its engineering staff or consultant deems necessary or desirable. As soon as the City makes the decision to design Protective Improvements or that Protective Improvements are not needed, the City shall notify Transit District. If the City determines that Protective Improvements are necessary or desirable, the City shall provide to Transit District its PI Drawings within twenty-one (21) months after receipt of the Initial Design Package (the "PI Design Period"). The City shall pursue this process with reasonable diligence and may deliver the PI Drawings sooner, but the parties recognize that it is reasonable to allow approximately nine months for the City to comply with public contracting process to retain an outside engineering firm, if necessary, four months for the engineers to analyze the Initial

Design Package, and eight months for designing the Protective Improvements.

During the PI Design Period, the parties shall cause (h) their engineering and project management staff to cooperate in good faith and share information as needed for the design of the Protective Improvements. At each major design milestone (35%, 65% and 100%) and in the event of any redesign of the Train Tunnel Project or revision of previously submitted drawings or specifications, Transit District shall provide the City with copies of the pertinent Train Tunnel Project design drawings and specifications. Such drawings and specifications shall be considered pertinent to the Water Tunnel Facilities insofar as they reflect improvements or construction activities within, above or in the vicinity of the Easement Area, reflect improvements or construction activities that could affect the loading above or within the Easement Area, reflect construction methods that could impact the Water Tunnel Facilities, or contain information that would otherwise be necessary or useful for the design of the Protective Improvements. If any such submittal by Transit District includes a material change to the design data, drawings or

(i) If any such submittal by Transit District includes a material change to the design data, drawings or specifications previously delivered to the City, Transit District shall identify the material change(s) in the transmittal letter to the City, and the PI Design Period shall be extended if requested by the City for a

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reasonable period to allow the City sufficient time to analyze the new information and make adjustments to its design.

- Once the City submits the PI Drawings to Transit
 District, Transit District and the City shall cause their
 respective design staff and consultants to coordinate
 as necessary to incorporate the PI Drawings into the
 overall Train Tunnel Project.
- If the Train Tunnel Project is a design-bid-build (k) project, the PI Drawings will be incorporated into the RFB for the Train Tunnel Project. To the degree possible, the RFB will be prepared so that construction of the Protective Improvements (the "SFPUC Work") will be separately itemized by the bidder. The request for bids for the construction contract shall inform potential bidders that (i) the Train Tunnel Improvements and Protective Improvements must be constructed so that no improvements or construction activities will penetrate Zone C of the Easement Area as shown on attached Exhibit E, and (ii) blasting, percussion drilling or other activities that would transmit shock or persistent vibration to the Water Tunnel Facilities are prohibited.
- (i) The parties shall designate individuals to be the central point of contact for communications relating to the Train Tunnel Project and the SFPUC Work.

4.2.6. Contractor Requirements.

the Train Tunnel Project requirements to ensure that the Train Tunnel Project is performed and monitored by appropriately experienced personnel using means and methods that comply with all applicable laws and regulations and that minimize risk of harm to the Water Tunnel Facilities. Transit District shall provide the City with a reasonable opportunity to review and comment on the RFB, which shall include, without limitation, specifications of the following type:

- (i) Key contractor personnel must possess the appropriate qualifications (i.e., must have worked on one or more projects serving in a similar capacity).
- surveyor to perform preconstruction survey, survey during construction, and post construction survey of the ground within 500 feet of the Water Tunnel Facilities and submit to the City survey deliverables for each stage of survey completion (such as, preconstruction survey, survey during construction, and post construction survey), survey field notes and calculations, and a copy of site and alignment as-built drawings prepared, stamped and signed by the Surveyor.
- (iii) Contractor shall employ a tunnel surveyor with extensive, appropriate tunnel surveying

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experience (including without limitation,
experience in TBM laser guidance systems or
equivalent successor system).

- (iv) Contractor shall control tunnel line and grade.

 Tolerances for maximum vertical movement should be specified. Survey results showing tunnel alignment and grade shall be provided to the City.
- (v) Contractor shall use geotechnical instrumentation to monitor surface movement in the vicinity of (i.e., within 500 feet of) the Water Tunnel Facilities on a daily basis.
 Copies of the results of settlement monitoring surveys shall be provided to the City daily.
- (vi) Set a maximum allowable movement (maximum of 1") at which no further movement of the ground will be acceptable and, if the maximum allowable movement is reached, require Train Tunnel work to be halted until the acceptance by the City and Transit District of a plan detailing corrective actions and restorative measures.
- (vii) Require errors and omissions insurance coverage from the surveying firm in the form of an insurance certificate.
- (viii) Contract shall not contain a waiver of the right to recover consequential or incidental damages from the contractor.

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4.2.7. Funding.

(a) SFPUC shall bear 100% of the costs incurred by Transit District to incorporate the PI Drawings into the Train Tunnel Drawings, the net increase in project construction costs resulting from including the Protective Improvements in the Train Tunnel Project, and the incremental cost of any construction oversight or inspection required solely for the Protective Improvements. For purposes of this Section 4.2.7, the term "construction costs" shall mean the construction contractor's charges directly related to construction of the project (including but not limited to costs of labor, materials, equipment, utilities, insurance, overhead and contractor's profit), but excluding costs arising from modifications to the SFPUC Work not approved by SFPUC. If Transit District later receives reimbursement or a credit from its contractor ("Train Tunnel Contractor") or any supplier or other third party for any costs previously remitted to Transit District by the City, Transit District shall promptly notify the City and shall, at the City's election, either apply such reimbursed amount or credit against the next payment otherwise due from the City or deliver an amount equal to such reimbursed amount or credit to the City.

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(b) Payment for Incorporation of PI Drawings. The
City shall reimburse Transit District for the costs of
including the PI Drawings in the Train Tunnel

Drawings within thirty (30) calendar days of receiving Transit District's request for such reimbursement, which request shall set forth in detail the work performed, materials used, and the expenses incurred by Transit District.

(c) Payment for Construction. If the contract for construction of the Train Tunnel Project ("Train Tunnel Contract") requires progress payments, each time Transit District authorizes a progress payment to the Train Tunnel Contractor pursuant to Train Tunnel Contract procedures, it shall determine which portion. if any, of the progress payment is for SFPUC Work. Transit District shall then send a request for payment to the City setting forth the amount due under the progress payment (whether or not such payment will be paid directly to Train Tunnel Contractor or committed for payment but withheld by Transit District under the terms of the Train Tunnel Contract) and any other payments accrued and owing as of such date. The request shall set forth in detail the work performed, materials used, and the expenses incurred by the Train Tunnel Contractor, as well as the amount of total billings to date and the remaining balance in the Train Tunnel Contract. The City shall, within 30 calendar days of receipt of the request for payment, send a check to Transit District for the full amount then due.

- (d) Payment for Environmental Impact/Mitigation
 Measures. The City shall reimburse Transit District
 for the incremental costs of any environmental
 mitigation measures required solely as a result of the
 construction of the Protective Improvements, within
 thirty (30) calendar days of receiving Transit District's
 request for such reimbursement, which request shall
 set forth in detail the work performed, materials used,
 and the expenses incurred by Transit District.
- (e) Relationship of Parties With Respect to Funding.

 The obligation of each party to make payments or incur expenses under this Stipulation is an individual and severable obligation and not a joint obligation with those of any other party. Each party shall be individually responsible for its own obligations under this Stipulation. No party shall be under the control of or shall be deemed to control the other party or the parties collectively. No party shall be the agent of or have the right or power to bind the other party without such party's express written consent, except as expressly provided in this Stipulation.
- 4.2.8. Insurance. The Train Tunnel Contract shall require the Train Tunnel Contractor to maintain in force during the course of the contract insurance in the amounts and coverages specified in the RFB and to name the City and County of San Francisco, its officers, agents, volunteers and employees, as additional insured under the liability policies and any excess or umbrella policies, and, if obtained for

Transit District, to provide a waiver of subrogation in favor of the City and County of San Francisco, its officers, agents, volunteers and employees under the workers compensation, commercial general liability, automobile liability, and property policies and any excess or umbrella policies.

- 4.2.9. Contractor Indemnity. Train Tunnel Contractor's indemnification obligation to Transit District under the Train Tunnel Contract shall be expanded to include the City and County of San Francisco and its subdivisions, officials, employees and agents as indemnified parties.
- 4.2.10. Cooperation Regarding Public Relations and Outreach.

 The parties will jointly review and approve all press releases and other information created for public consumption concerning the SFPUC Work, if any.

4.2.11. Obligations During Construction.

Contractor to construct the SFPUC Work in conformance with the PI Drawings, the Train Tunnel Drawings (which shall conform to the submittals furnished to the City during the PI Design Period) and the Train Tunnel Contract, and agrees to perform all necessary oversight to cause such construction.

Transit District further agrees to timely perform its obligations under the Train Tunnel Contract, to ensure the Train Tunnel Contract complies with the requirements specified in the RFB and to comply with all applicable laws, regulations and third party permits

related to the performance of the Train Tunnel Contract.

- (b) Transit District shall provide the City, within five (5) business days following receipt, a copy of each material submittal (or any modification thereto) that affects the SFPUC Work or the Train Tunnel Facilities on the Property. No later than ten (10) business days after the City's receipt from Transit District of a request for review of such material submittals, the City shall provide a written response to Transit District identifying whether the submittal is approved or rejected in accordance with the requirements of the Train Tunnel Contract (with an explanation of the basis for any rejection), and Transit District shall promptly deliver such response to Train Tunnel Contractor.
- (c) No later than five (5) business days after the City's receipt from Transit District of a request for information related to the SFPUC Work, the City shall provide a written response to Transit District providing the requested information.
- (d) If Transit District determines that it is necessary to modify the PI Drawings and/or the Train Tunnel Drawings affecting the Property due to any changed or concealed conditions, it shall promptly provide written notice to the City ("Modification Notice") as follows: (i) Inform the City in writing as soon as possible about the proposed modification; and

(ii) provide the City with full information about the nature and scope of the proposed modification, the reasons for it, the expected impact on Train Tunnel Contract costs and schedule (including the SFPUC Work), and how it proposes that any extra costs (or cost reductions) be shared (i.e., by Transit District, Train Tunnel Contractor, the City, other parties, etc.) If the Modification Notice states that it is necessary to modify the PI Drawings, or if the City determines in its sole discretion that the PI Drawings must be modified in light of information provided in the Modification Notice, the City shall provide revised PI Drawings to Transit District within ten (10) business days after receiving from Transit District the Modification Notice and any additional information about the changed conditions or proposed drawing changes reasonably requested by the City. If the City determines that any modification to the PI Drawings proposed by Transit District or necessitated by changes to the Train Tunnel Drawings or other information in the Modification Notice would adversely affect the safety, maintainability or functionality of the SFPUC Work or would not be acceptable to any third parties having approval rights with respect to the SFPUC Work, or the City objects to any extra costs (or cost reductions) or allocations thereof, the City shall provide Transit District with an explanation of the basis for its determination to Transit District no later than ten (10)

business days after receipt of the Modification Notice ("Objection Notice"). The parties shall work diligently and in good faith to resolve the matter. If the parties are unable to reach a mutually satisfactory resolution within five (5) business days after Transit District's receipt of the Objection Notice and such disputed action must be made to prevent unreasonable delay, Transit District may take its preferred course in such disputed action, and the parties shall follow the dispute resolution procedure set forth in Section 4.2.14 as to the allocation of extra costs or cost reductions for any such action, or its impact on the safety, maintainability, or functionality of the SFPUC Work or the acceptability of the SFPUC Work to any third parties having approval rights with respect to the SFPUC Work; however, the City reserves the right to seek a court order preventing such action if the City determines that the disputed action will materially impair the safety, maintainability, or functionality of the SFPUC Work or cause any required third party approvals to be withheld.

(e) No later than three (3) business days after receipt of Train Tunnel Contractor's notice of a potential claim for additional compensation or a request for a change order related to the SFPUC Work, Transit District shall provide a copy of the notice to the City ("First Notice"). No later than five (5) business days after the City's receipt of a First Notice, the City shall

provide Transit District with a written response identifying whether the claim or request for change order is recommended for approval or rejection in accordance with the requirements of the PI Drawings or the Train Tunnel Contract (with an explanation of the basis for any rejection). If the parties do not agree on the approval or rejection for the claim or request for change order, the parties shall work diligently and in good faith to resolve the matter. If the parties are unable to reach a mutually satisfactory resolution within ten (10) business days after the City's receipt of the First Notice and such disputed action must be made to prevent unreasonable delay, Transit District may take its preferred course in such disputed action, and the parties shall follow the dispute resolution procedure set forth in Section 4.2.14 as to the allocation of extra costs or cost reductions for any such action, or its impact on the safety, maintainability, or functionality of the SFPUC Work or the acceptability of the SFPUC Work to any third parties having approval rights with respect to the SFPUC Work; however, the City reserves the right to seek a court order preventing such action if the City determines that the disputed action will materially impair the safety, maintainability, or functionality of the SFPUC Work or cause any required third party approvals to be withheld.

Transit District shall be responsible for the day-to-day **(f)** inspection and approval for all components of the SFPUC Work; however, the City shall be responsible for inspecting the completed SFPUC Work. Prior to commencement of construction, the City and Transit District shall determine whether the City shall also be responsible for inspecting specific phases or elements of the work such as pre-fabricated components or critical welds. Transit District shall notify the City at least five (5) calendar days prior to each such portion of the SFPUC Work's readiness for inspection. Transit District shall cause the Train Tunnel Contractor to provide safe and proper facilities for access, inspection and ascertaining that the materials and workmanship are in accordance with the Pl Drawings. If the City determines that any matter described in such notices does not comply with the Train Tunnel Contract, the City shall provide Transit District with written notice specifying its basis for such rejection within two (2) business days of the inspection. Transit District shall, in turn, communicate any such City rejections and comments to the Train Tunnel Contractor, and shall proceed under the Train Tunnel Contract to resolve the issue.

(g) To the extent inspections by the City or Transit District at any stage reveal a failure by the Train Tunnel Contractor to meet the requirements of the Train Tunnel Contract, Transit District shall forward all such

comments to the Train Tunnel Contractor, instruct the Train Tunnel Contractor to take all appropriate actions to correct its work, and shall not accept these components of the SFPUC Work until the City approves.

- In addition to the City's inspection rights and (h) obligations under Section 4.2.11(f), the City shall have the right to otherwise inspect the progress and condition of the SFPUC Work, provided that the City coordinates such inspection with Transit District and, provided further, that the City shall not take any actions that unreasonably interfere with Train Tunnel Contractor's performance, direct the Train Tunnel Contractor's performance in the field, nor authorize any additional work, except in an emergency situation to the extent necessary for the protection of persons or property (in which case, the City shall contact Transit District as soon as possible, and the City shall document any such direction in writing to Transit District).
- inspection of the SFPUC Work, including all daily logs, inspector notes, testing, and correspondence, and the City shall provide copies of all such documents to Transit District upon request. Transit District in return will provide a copy of its daily logs, inspector notes, testing, and correspondence relating to SFPUC Work to the City upon request by the City,

including information related to the administration of the SFPUC Work.

- (j) Transit District shall provide the City with at least ten (10) business days prior written notice of each of the following: (i) the commencement of the warranty period for the SFPUC Work, and (ii) Transit District's intent to accept all the work to be performed under the Train Tunnel Contract.
- (k) Transit District shall supply redline drawings of the SFPUC Work to the City both monthly during construction and upon the City's acceptance of the SFPUC Work. The redline drawings shall be copies of the PI Drawings showing (legibly marked in red) the location and details of any changes made during construction to the SFPUC Work. Transit District shall supply the City with electronic (AutoCADD files) versions of completed "As Built" drawings within thirty (30) calendar days after Transit District acceptance of all of the work to be performed under the Train Tunnel Contract.

4.2.12. Acceptance of SFPUC Work; Transfer of Ownership and Warranty. Once Transit District and the City accept the SFPUC Work as completed by Train Tunnel Contractor, Transit District shall deliver a bill of sale and assignment of warranties, together with any other documents reasonably acceptable to Transit District and the City, to transfer title of the SFPUC Work, and all related warranties, to the City. Transit District and the City shall act in good faith to take all

steps reasonably necessary to transfer ownership of the SFPUC Work and all related warranties to the City. If Transit District is not permitted to assign any warranties related to the SFPUC Work, Transit District shall make a warranty to the City on the same terms and conditions of such warranty made by Train Tunnel Contractor to Transit District, provided that such warranty shall be conditioned on Train Tunnel Contractor's performance of its warranty obligations to Transit District. Transit District agrees that it shall make all reasonable efforts to ensure that Train Tunnel Contractor performs such warranty obligation to Transit District.

4.2.13. Ownership, Operation and Maintenance of Train Tunnel Facilities.

- (a) Following completion of the Train Tunnel Facilities, Transit District will own, maintain, repair, reconstruct, or replace the Train Tunnel Facilities at its sole cost.
- (b) Transit District agrees to keep the Train Tunnel
 Facilities in good and safe condition, and the
 Easement Area, so far as affected by Transit District's
 operations, free from waste, to the reasonable
 satisfaction of the City.
- (c) Following completion of the Train Tunnel Facilities, should Transit District desire or need to perform any work in the Train Tunnel Zone that would potentially affect the City's Water Tunnel Facilities or the Protective Improvements, Transit District shall submit detailed plans and specifications for such work (or the drawings or other description of a planned installation,

if such installation would not ordinarily be made pursuant to plans and specifications) ("Work Plans") to the City for its consent in accordance with Sections 9.1 and 9.3. Any change to approved Work Plans that could affect the City's Water Tunnel Facilities or Protective Improvements shall be subject to the City's prior consent, which shall not be unreasonably withheld or delayed. The City's consent to or approval of the Work Plans or any other plans and specifications or drawings with respect to the Train Tunnel Facilities shall not relieve Transit District or its contractors from any liability for negligence, errors or omissions associated with the design and construction thereof. Transit District agrees to give the City sixty (60) calendar days' written notice prior to commencement of any maintenance or construction activities in the Train Tunnel Zone that could affect the City's Water Tunnel Facilities or Protective Improvements, except in the event of an emergency, in which case Transit District shall. concurrently with dispatching a repair crew to such emergency, contact the City's Regional Water System Dispatcher at (650) 872-5900 to advise of same. Transit District, at Transit District's sole cost and expense, shall cause all work in connection with the use, operation, maintenance, structural repair, reconstruction, or replacement of the Train Tunnel Facilities within the Easement Area to be performed in

a good and workerlike manner, in compliance with all applicable laws and lawful ordinances, regulations and orders of any federal, state, county or municipal authority, and substantially in compliance with any approved Work Plans. In no event shall Transit District cause or allow blasting, percussion drilling or other operations that would transmit vibration to the Water Tunnel Facilities.

- 4.2.14. Resolution of Disputes. In the event disputes regarding modifications or costs should arise under this Section 4, either party may initiate the dispute resolution process described in Section 10.
- 5. Assumption of Risk/Waiver of Claims.
 - 5.1. Subject to Section 5.3, the City shall assume all risk of damage to any and all improvements constructed as part of the Water Tunnel Project and appurtenances thereto and to any other property of the City, or any property under the control or custody of the City while upon or near the Remaining Property incident to the construction of the Water Tunnel Facilities, caused by or contributed to in any way by the construction, operation, or maintenance of Transit District's operations on the Remaining Property, and Transit District shall not be liable therefor. Subject to Section 5.3, the City hereby releases Transit District from any liability, including any claims for damages or extra compensation (i) arising from reasonable construction delays due to work by Transit District forces or Transit District operations on the Remaining Property, (ii) as the result of the failure or inability of Transit District to provide necessary flaggers or inspectors, (iii) from any failure by Transit District to investigate or

identify the presence of Hazardous Materials or any other environmental conditions, (iv) for work done by Transit District forces on the Remaining Property, (v) for Transit District operations on the Remaining Property, or (vi) from damage to the Water Tunnel Facilities resulting from the presence or operation of the Train Tunnel Facilities within the Train Tunnel Zone where such Train Tunnel Facilities and operations conform to the criteria and data furnished to the City in the Initial Design Package and subsequent submittals by Transit District during the PI Design Period and are constructed, maintained and operated in compliance with this Stipulation.

- 5.2. Subject to Section 5.3, neither Transit District nor any of its directors, officers, agents or employees shall be liable for any damage to the property of the City, its officers, agents, employees, contractors or subcontractors, or their employees, or for any bodily injury or death to such persons, resulting or arising from the condition of the Remaining Property or its use by the City.
- 5.3. Notwithstanding anything to the contrary above in this Section 5, Transit District acknowledges that the assumption of risk, waivers and releases contained in this Stipulation shall not apply to (i) any Liability (as defined in Section 6.1) proximately caused by the negligence, willful misconduct or criminal acts of Transit District or its agents, employees, contractors, subcontractors, or invitees and/or the Indemnitees, as defined in Section 6, or Transit District's failure to comply with the provisions of this Stipulation, or (ii) any claim or cause of action by the City for statutory or equitable indemnity or contribution in connection with any claim against the City relating to Hazardous Materials on the Property other than the

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Indemnity. 6. 6.1.

The City shall hold harmless, defend (with counsel reasonably satisfactory to Transit District) and indemnify the Peninsula Corridor Joint Powers Board, the State of California in its capacity as holder of a deed of trust on the Property, the San Mateo County Transportation Authority, the San Mateo County Transit District, the Union Pacific Railroad and the National Railroad Passenger Corporation, any other rail operators using the Remaining Property, and all of their respective officers, directors, employees, volunteers and agents, the successors and assigns of any of them (all of the above hereinafter collectively known as "Indemnitees"), from and against all claims, causes of action, proceedings, losses, damages, liability, cost, and expense (including, without limit, any fines, penalties, judgments, litigation costs, reasonable attorneys' fees and consulting, engineering and construction costs) for damage to natural resources or other loss of or damage to property and for injuries to or death of any person (including, but not limited to, the property and employees of each party) ("Liability") to the extent arising or resulting from the negligence, willful misconduct or criminal acts of the City or its agents, employees, contractors, subcontractors, or invitees in the use of the Property or construction of the Water Tunnel Facilities, or the City's breach of the provisions of this Stipulation, except to the extent such Liability is caused by the negligence, willful misconduct or criminal act of an Indemnitee or Transit District's breach of this Stipulation. The duty of the City to indemnify and hold harmless includes the duties to defend as set forth in Section 2778 of the California Civil Code. In any action or

proceeding brought against any Indemnitee by reason of any Liability indemnified by the City hereunder, the City shall have the right to control the defense and to determine the settlement or compromise of any action or proceeding, provided that Transit District shall have the right, but not the obligation, to participate in the defense of any such Liability at its sole cost. The City shall not be deemed to have waived any Liability arising from the negligence, willful misconduct or criminal acts of Transit District or any other Indemnitee or any of their respective agents, employees, contractors, subcontractors, or invitees in the use of the Easement Area or construction, use, maintenance, repair or operation of the Train Tunnel Facilities, Transit District's breach of the provisions of this Stipulation, or the failure of any of Transit District's improvements or operations in the Train Tunnel Zone to conform to the design criteria and loading data furnished to the City during the PI Design Period or any changes to the Protective Improvements made, without City's written approval, by Transit District or its agents, employees, contractors, subcontractors, or invitees (whether during or after construction of same); and the City shall have the right to avail itself of all remedies available at law or equity for any such Liability. It is the intention of the parties that should any term of this paragraph be found to be void or unenforceable, the remainder of the provision shall remain in full force and effect.

7. Hazardous Materials.

7.1. No Hazardous Materials (as defined below) shall be created, stored, used, disposed of, brought to or handled at any time upon the Property, except in compliance with all applicable laws, statutes, ordinances, rules, regulations or orders of whatever kind

or nature. In conducting its operations on the Property, and in arranging for the handling, transport and disposal of any Hazardous Materials, the City shall at all times comply with all applicable laws, statutes, ordinances, rules, regulations or orders of whatever kind or nature and pay all costs of such compliance. The City shall immediately notify Transit District when the City learns of, or has reason to believe that, a release of Hazardous Materials has occurred in, on or about the Property. The term "release" or "threatened release" when used with respect to Hazardous Materials shall include any actual or imminent spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing in, on, under or about the Property. The City shall further comply with all laws requiring notice of such releases or threatened releases to governmental agencies. In the event and to the extent that the City or its Agents or Invitees cause a release of Hazardous Materials, the City shall, at Transit District's discretion, either remediate, at the City's sole cost, such contaminated property to the satisfaction of the regulatory agency having jurisdiction thereto or reimburse Transit District for its costs in performing such remediation. In connection therewith, the City shall afford Transit District a full opportunity to participate in any discussion with governmental agencies regarding any settlement agreement, cleanup or abatement agreement, consent decree or other compromise proceeding involving Hazardous Materials.

7.2. Any Hazardous Materials introduced onto the Property by the City, its agents, employees, contractors, subcontractors or invitees, shall remain the property of the City, its agents, employees, contractors,

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subcontractors or invitees, which shall be responsible for removing and disposing of these materials at no cost to Transit District or any Indemnitee, and the City shall be obligated to defend, indemnify and hold Indemnitees harmless from any and all Liability to the extent that such Liability arises directly from such introduction of Hazardous Materials, regardless of whether such Liability arises during or after the term of this Easement, unless such Liability was proximately caused by the negligent act or omissions and/or the willful misconduct of Transit District and/or the Indemnitees. This indemnity shall not extend to Liability arising from the presence of any Hazardous Materials on the Property as of the date of this Stipulation, unless (i) such Hazardous Materials were introduced onto the Property by the City, its agents, employees, contractors, subcontractors or invitees, in which case this indemnity shall apply, or (ii) the City's handling, excavation, relocation, investigation, disposal or other exercise of control over the Property exacerbates Hazardous Materials already present on the Property as of the Effective Date which results in Transit District incurring new or additional Liability that Transit District would not otherwise have incurred in the absence of the City's activities, in which case the City shall pay for and defend and indemnify Indemnitees from and against such additional Liability only to the extent of such new or additional Liability. "Exacerbates" means an act that causes the increased migration of a plume of Hazardous Materials in sediments, groundwater, or surface water or causes a release of Hazardous Materials that had been contained until that act. The City shall not be responsible or indemnify Indemnitees for its mere discovery or reporting of Hazardous Materials which are present on

the Property as of the Effective Date.

7.3. For purposes of this Stipulation, "Hazardous Materials" means material that, because of its quantity, concentration or physical or chemical characteristics, is at any time now or hereafter deemed by any federal, state or local governmental authority to pose a present or potential hazard to public health, welfare or the environment. Hazardous Materials includes, without limitation, any material or substance defined as a "hazardous substance, pollutant or contaminant" pursuant to the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (42 U.S.C. Sections 9601 et seq.), the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq.) or Section 25316 of the California Health and Safety Code; a "hazardous waste" listed pursuant to Section 25140 of the California Health and Safety Code; any asbestos and asbestos-containing materials, whether or not such materials are part of the Property or are naturally occurring substances on the Property, and any petroleum, including, without limitation, crude oil or any fraction thereof, natural gas or natural gas liquids.

8. Compliance with Laws.

8.1. The City shall comply, at the City's expense, with all applicable laws, regulations, rules and orders with respect to the City's use of the Easement Area, including, without limitation, those relating to construction, grading, signage, health, safety, noise, environmental protection, Hazardous Materials, waste disposal and water and air quality, and shall furnish satisfactory evidence of such compliance upon request of Transit District. Before beginning work on the Easement Area, the City shall also obtain, at the City's expense,

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any and all permits, licenses and approvals required for construction of the Water Tunnel Facilities.

Transit District shall comply, at Transit District's expense, with all 8.2. applicable laws, regulations, rules and orders with respect to Transit District's use of the Train Tunnel Zone, including, without limitation, those relating to construction, grading, signage, health, safety, noise, environmental protection, Hazardous Materials, waste disposal and water and air quality, and shall furnish satisfactory evidence of such compliance upon request of the City. Before beginning work within the Train Tunnel Zone, Transit District shall also obtain, at Transit District's expense, any and all permits, licenses and approvals required for construction of the Train Tunnel Facilities.

9. Approvals and Notices.

Transit District Approval Requests. If Transit District requires 9.1. the City's approval or consent to any matters herein, Transit District shall send the City written notice requesting such approval or consent (a "Transit District Approval Request"). If a Transit District Approval Request is for any proposed construction work, such Transit District Approval Request shall be accompanied by the plans and specifications or Work Plans (as defined in Section 4.2.13) for such work. The City shall respond to a Transit District Approval Request within thirty (30) days of receiving such Transit District Approval Request and notify Transit District of its approval, conditional approval, disapproval, or need for additional documentation regarding such Transit District Approval Request. If Transit District must provide additional documentation for a Transit District Approval Request, the City shall further respond to such

Transit District Approval Request within thirty (30) days of receiving such additional documentation. If conditional approval of a Transit District Approval Request is contingent on final approval by the City's Public Utilities Commission (the "Commission") and/or the City's Board of Supervisors (the "Board"), as applicable, the City shall diligently perform the actions necessary to timely submit such Transit District Approval Request to the Commission and/or the Board for consideration. All Transit District Approval Requests shall be sent to the following addresses:

Assistant General Manager for Operations San Francisco Public Utilities Commission 1155 Market Street, 11th Floor San Francisco, CA 94103

Manager, Land Engineering San Francisco Public Utilities Commission Water Supply and Treatment Division 1000 El Camino Real Millbrae, CA 94030

9.2. City Approval Requests. If the City requires Transit District's approval or consent to any matters herein, the City shall send. Transit District written notice requesting such approval or consent. (a "City Approval Request"). If a City Approval Request is for any proposed construction work, such City Approval Request shall be accompanied with the plans and specifications or Repair Plans (as defined in Section 1.2) for such work. Transit District shall respond to a City Approval Request within thirty (30) days of receiving such City Approval Request and notify the City of its approval, conditional approval, disapproval, or need for additional documentation regarding such City Approval Request. If the City must provide additional documentation for a City Approval Request, Transit District shall further respond to such City Approval Request

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within thirty (30) days of receiving such additional documentation. If conditional approval of a City Approval Request is contingent on approval by Transit District's authorizing body, Transit District shall diligently perform the actions necessary to timely submit such City Approval Request to such authorizing body for consideration. All City Approval Requests shall be sent to the following addresses:

San Mateo County Transit District 1250 San Carlos Avenue P.O. Box 3006 San Carlos, CA 94070-1306 Attn: Chief Engineer

Telephone: (650) 508-7922; Fax: (650) 508-7938

San Mateo County Transit District 1250 San Carlos Avenue P.O. Box 3006 San Carlos, CA 94070-1306 Attn: Executive Officer, Planning & Development Telephone: (650) 622-7843; Fax: (650) 508-7938

- 9.3. Approval Standard. Transit District shall have the right to reasonably withhold its approval or consent to any action requested by the City hereunder if the proposed action could materially damage, endanger, harm or interfere with rail construction, operations, or maintenance on the Remaining Property or with Transit District's construction, use and operation, in accordance with Section 4, of the Train Tunnel Facilities within the Train Tunnel Zone. The City shall have the right to reasonably withhold its approval or consent to any action proposed by Transit District hereunder if the proposed action could materially damage. endanger, harm or interfere with the Water Tunnel Facilities or the full enjoyment by the City of its rights hereunder.
- Dispute Resolution. In the event a second Approval Request by 9.4. either party is rejected or if additional documentation is requested

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1		after a thi	rd Approval Request, the submitting party may initiate the
2		Dispute R	esolution Process described in Section 10.
. 3	9.5.	Notices.	All notices required or permitted to be given under this
4		Stipulation	n, including City Approval Requests and Transit District
5		Approval	Requests, shall be in writing and transmitted postage
6		prepaid by	y certified or registered mail, return receipt requested, or
7		by person	al delivery or by commercial, next-business-day courier.
8		Notices co	omprising City Approval Requests and Transit District
9		Approval	Requests shall be transmitted to the appropriate
10		addresses	s specified for such requests, above. All other notices
11		shall be s	ent to the appropriate addresses indicated below. Either
12		party may	, from time to time, designate a different address or
13		addresses	s for notices, in a written notice given to the other.
14	If to the C	ity:	San Francisco Public Utilities Commission
15			1145 Market Street, 7th Floor San Francisco, CA 94103
16			Attention: Director of Real Estate Services
17	With a cor	by to:	San Francisco Public Utilities Commission 1145 Market Street, 7th Floor
18			San Francisco, California 94103 Attention: Assistant General Manager
19			for Operations
20	And:		Office of the City Attorney
21			City Hall, Room 234 1 Dr. Carlton B. Goodlett Place
22			San Francisco, CA 94102-4682 Attention: Real Estate Team
23			
24	If to Trans	it District:	San Mateo County Transit District 1250 San Carlos Avenue
25			P.O. Box 3006 San Carlos, California 94070-1306
26			Attention: General Manager/Executive Director
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With a copy to:

Hanson Bridgett LLP

425 Market Street, 26th Floor San Francisco, CA 94105-2173

Attn: David J. Miller, Esq.

Day-to-day communications relating to construction of the Water Tunnel Project or the Train Tunnel Project shall be directed to the central points of contact designated by each party under **Sections 1.1.3** and **4.2.5**. Other day-to-day communications shall be directed to Transit District's Chief Engineer (Tel: (650) 508-7922; Fax: (650) 508-7938), and to the City's Manager of the Engineering Management Bureau, Infrastructure Division, San Francisco Public Utilities Commission, currently Kathryn How, telephone (415) 551-4354, email KHow@sfwater.org.

10. Dispute Resolution.

- 10.1. Dispute Resolution Matters. Notwithstanding anything to the contrary herein, each of the City and Transit District shall be entitled, but not obligated, to initiate the dispute resolution process described in this Section 10 (the "Resolution Process"). The Parties anticipate that the Resolution Process will be available to the Parties with respect to any dispute under this Stipulation, including those relating to operations and safety (each, a "Disputed Matter"). Although this Section outlines a process for resolving disputes, nothing herein shall prevent the Parties from resolving a dispute with one another using an alternative process if both Parties so desire at the time, or to pursue available legal remedies.
- **10.2. Process.** This dispute resolution procedure may be initiated by either Party as provided in **Section 10.3.1**.
- 10.3. First Level.

10.3.1 First Level Representatives. Each Party will designate a staff person to be the initial person or persons to discuss any apparent dispute or disagreement between the Parties and initiate this procedure. Each such designated First Level person may contact his or her counterpart at the same level at any time to raise any apparent disagreement related to the Stipulation. Unless the Transit District shall designate otherwise in writing, for Transit District the First Level person shall be the Transit District's Chief Engineer, currently Stephen Chao. Unless the City shall designate otherwise in writing, for the City the First Level person for design and construction shall be the Manager of the Engineering Management Bureau, Infrastructure Division, San Francisco Public Utilities Commission, currently Kathryn How, and the First Level person for operations and maintenance shall be the Water Supply and Treatment Division Manager, San Francisco Public Utilities Commission, currently David Briggs.

- 10.3.2 Response Time for Urgent Matters. For any matter designated by the initiating Party as "urgent," the other Party shall make its first response within twenty-four (24) hours, or within such other period as the First Level persons may agree.
- 10.3.3 Response Time for Non-Urgent Matters. Unless a matter is designated "urgent" by the initiating Party, the other Party shall respond within five (5) working days, or within such other period as the First Level persons may agree.
- 10.4. Second Level.

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10.4.1 Second Level Representatives. Each Party will designate an individual to whom matters not resolved at the First Level shall be referred. Each such designated Second Level person may contact his or her counterpart at the same level at any time to raise any apparent disagreement related to the Stipulation. Unless the Transit District shall designate otherwise in writing, for Transit District the Second Level person shall be the Deputy General Manager, currently Chuck Harvey. Unless the City shall designate otherwise in writing, for the City the Second Level person for design and construction shall be the Assistant General Manager, Infrastructure Division, San Francisco Public Utilities Commission, currently Harlan Kelly, and the Second Level person for operations and maintenance shall be the Assistant General Manager for Water Enterprise, San Francisco Public Utilities Commission, currently Steve Ritchie.

10.4.2. Response Time for Urgent Matters. For any matter designated by the initiating Party as "urgent," the other Party shall make its first response within twenty-four (24) hours, or within such other period as the Second Level persons may agree.

10.4.3. Response Time for Non-Urgent Matters. Unless a matter is designated "urgent" by the initiating Party, the other Party shall respond within three (3) working days, or within such other period as the Second Level persons may agree.

10.5. Third Level.

10.5.1. Third Level Representatives. Each Party will designate an individual to whom matters not resolved at the Second Level

shall be referred. These designated Third Level persons shall constitute the final internal level within the Transit District and the City for resolution of issues between the Parties. Unless the Transit District shall designate otherwise in writing, the Third Level Person shall be the General Manager/CEO, currently Michael J. Scanlon. Unless the City shall designate otherwise in writing, the Third Level person shall be the General Manager, currently Ed Harrington or, in his absence, the Deputy General Manager, currently Michael Carlin. Each such designated Third Level person may contact his or her counterpart at the same level at any time to raise any apparent disagreement related to the Stipulation.

- 10.5.2. Response. The initiating Third Level person will request a response from his or her counterpart, and that response will be made within a time period agreed between the Third Level persons.
- 10.6. Alternative Dispute Resolution. If the dispute is not resolved at the Third Level, the General Manager / CEO of Transit District and the General Manager of the City may agree to a method of non-binding, alternative dispute resolution, including, but not limited to, mediation or non-judicial arbitration.
- 10.7. Judicial Remedies. Nothing herein shall foreclose or limit the ability of either Party to pursue judicial remedies.
- 10.8. Replacement of Representatives. Each Party may replace any of its designated representatives above by giving notice to the other Party.
- **10.9. Attorneys' Fees.** If any legal proceeding should be instituted by any of the parties to enforce the terms of this Stipulation or to

determine the rights of the parties under this Stipulation, whether through alternative dispute resolution or litigation, the prevailing party in the proceeding shall receive, in addition to all court costs, reasonable attorneys' fees. For purposes of this Stipulation, the reasonable attorneys' fees of the City's Office of the City Attorney shall be based on the fees regularly charged by private attorneys with the equivalent number of years of experience in the subject matter or area of the law for which the City Attorney's services were rendered for practice in the City of San Francisco in law firms with approximately the same number of attorneys as employed by the Office of the City Attorney.

- 10.10. No Waiver. No waiver of the default or breach of any covenant of this Section C of this Stipulation by any party shall be implied from any omission by any party to take action on account of such default if such default persists or is repeated, and no express waiver shall effect any default other than the default specified in the waiver, and then the waiver shall be operative only for the time and as to the extent as stated. Waivers of any covenant, term or condition by either party shall not be construed as a waiver of any subsequent breach of the same covenant, term or condition. The consent or approval by either party to any act by either party requiring further consent or approval shall not be deemed to waive or render unnecessary their consent or approval to or of the subsequent similar acts.
- 10.11. Severability. Each provision of this Section C of this Stipulation is intended to be severable. If any term or provision shall be deemed by a court of competent jurisdiction to be illegal or invalid for any reason whatsoever, such provision shall be severed from this

Stipulation and shall not affect the validity of the remainder of the Stipulation.

- D. Amount of Compensation. Five Hundred Dollars (\$500) is the Total Compensation (as that term is defined below), for the taking hereunder and for all the damages and claims for damages herein specified. The "Total Compensation" shall be the total and full amount of the compensation to which the Transit District is entitled for any claims by reason of the taking hereunder including, without limitation, those for the fair market value of the Easement Area, loss of business goodwill, loss of income, profits or rents, relocation benefits, precondemnation damages, severance damages of all or any kind in any and all other claims for damages, attorneys' fees, litigation expenses, interest, costs, and recoverable costs of suit. The Transit District acknowledges that CalTrans, as the beneficiary under a deed of trust encumbering the Larger Parcel, is entitled to receive the Total Compensation. The payment of said Total Compensation shall be made by check payable as follows: "California Department of Transportation."
- E. Restoration of Electrical Service. To accommodate construction of the Water Tunnel Project, City required that certain electrical service lines, poles and related facilities be removed from a portion of City's property near the Water Tunnel portal in San Mateo County. Those electrical service facilities previously served facilities on property owned by Transit District or its affiliate. If Transit District needs the electrical service to be restored after completion of the Water Tunnel Project, City and Transit District will cooperate to restore it as set forth in an Agreement Regarding Restoration of Electrical Service Line, executed concurrently herewith.
- F. <u>Judgment and Final Order of Condemnation</u>. Based on the settlement and compromise described above, and pursuant to this Stipulation, the Court may immediately make and enter a Judgment in Condemnation. Upon receipt of the Total Compensation by CalTrans, as hereinabove defined, counsel for the Transit District and CalTrans shall sign, approving as to form, a Final Order of Condemnation.

- **G.** <u>Counterparts</u>. This Stipulation may be executed in counterparts, each of which shall be deemed an original, but all which together shall be deemed one entire stipulation and agreement.
- H. <u>Headings</u>. The descriptive headings of this Stipulation are a convenience only, and shall not control or affect the meaning or construction of any of the provisions hereof.
- I. <u>Construction</u>. The parties hereto acknowledge that each party and his, her or its counsel have reviewed and participated in the drafting of this Stipulation and that the normal rule of construction, to the effect that any ambiguities are to be resolved against the drafting party is inapplicable and shall not apply to any interpretation of this Stipulation. This Stipulation shall be interpreted under the laws of the State of California.
- J. <u>Complete Agreement</u>. The parties agree that this Stipulation (including the Exhibits) is the full and entire agreement between them, and that it supersedes any prior or contemporaneous written or oral agreements, promises or representations concerning the subject matter hereof, which are not fully expressed in this Stipulation. This Stipulation may be amended only in writing executed by each of the parties hereto.
- K. <u>Survival of Stipulation</u>. The provisions of this Stipulation shall survive the Entry of Judgment of Condemnation and the Final Order of Condemnation.

IT IS SO STIPULATED.

SAN MATEO COUNTY TRANSIT DISTRICT

Bv

Michael J. Scanlon, General Manager/CEO

Date: 1/37/2011

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15	Date:	<u> </u>
16	APPROVED AS TO FORM:	
17	DENNIS J. HERRERA, City Attorney	
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19	By:Victoria Wong	
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4	By: /// [signature]
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6	Name: MARK WEAVER
7	Title: Deputy District Director Division of Right of Way
8	Department of Transportation, District 4
9	Date: 7-19-20(/
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11	APPROVED AS TO FORM:
12	Beals, Gossage, Baca & Law
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14	By: 14/11/
15	Samuel C. Law Attorney
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1	EXHIBIT A
2	LEGAL DESCRIPTION OF THE PROPERTY
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5	EXHIBIT B
6	LEGAL DESCRIPTION OF EASEMENT AREA
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8	
9	EXHIBIT C
10	PLAT OF EASEMENT AREA
11	
12	
13	EXHIBIT D
14	INDEX OF WATER TUNNEL PLANS
15	
16	
17	EXHIBIT E
18	DIAGRAM OF SUBSURFACE ZONES
19	
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21	EXHIBIT F
22	INSURANCE REQUIRED FOR WATER TUNNEL CONTRACTOR
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EXHIBIT A

Description of Property

All that certain real property situate in the City of Menlo Park, County of San Mateo, State of California, being Tract 12 (D-12) "PARCEL ONE" as described in that certain Grant Deed from Southern Pacific Transportation Company, a Delaware corporation to San Mateo County Transit District, a public agency, recorded November 9, 1994, as Instrument No. 94-172451, Official Records of said County.



EXHIBIT "B" LEGAL DESCRIPTION Property I.D. No. HH40-02 SFPUC Bay Division Pipeline Subsurface Tunnel Easement

All that certain real property situate in the City of Menlo Park, County of San Mateo, State of California, being a portion of Tract 12 (D-12) "PARCEL ONE" as described in that certain Grant Deed from Southern Pacific Transportation Company, a Delaware corporation to San Mateo County Transit District, a public agency recorded November 9, 1994, as Instrument No. 94-172451, Official Records of said county, being more particularly described as follows:

BEGINNING at the northwesterly corner of Parcel E-2 as said parcel is shown on that certain Record of Survey entitled "EXHIBIT A OF SETTLEMENT AGREEMENT EMBODIED IN JUDGEMENT ENTERED IN CASE OF: STATE vs. SAN MATEO COUNTY, ET AL, SAN MATEO COUNTY SUPERIOR COURT, ACTION NO.144,257" recorded July 28, 1977, In Volume 8 of L.L.S. Maps at Pages 76 through 88, Records of said county, thence along the westerly line of said Parcel E-2, South 30°06'20" East, 75.52 feet to the southerly line of said "PARCEL ONE", said point being the beginning of a non-tangent curve concave northwesterly, from which point a radial line, from the curve to the radius point, bears North 36°47'58" West; thence southwesterly along said non-tangent curve and along said southerly line having a radius of 11584.20 feet, through a central angle of 04°13'26" and an arc length of 853.99 feet to the TRUE POINT OF BEGINNING:

thence continuing southwesterly along said southerly line having said radius, through a central angle of 00°31'41" and an arc length of 106.76 feet;

thence leaving said southerly line, South 85°36'59" West, 562.04 feet to the northerly line of said "PARCEL ONE", said point being the beginning of a non-tangent curve concave northwesterly, from which point a radial line, from the curve to the radius point, bears North 29°31'47" West,

thence northeasterly along said northerly line and along said non-tangent curve having a radius of 11333.49 feet, through a central angle of 00°35'19" and an arc length of 116.43 feet;

thence leaving said northerly line, North 85°36'59" East, 551.25 feet to the TRUE POINT OF BEGINNING.

Containing 27,829 square feet or 0.64 acres, more or less.

The above described easement shall be vertically limited to only that portion of land lying between the elevations of -45.0 feet and -120.0 feet, North American Vertical Datum of 1988 (NAVD 88).

255 Shoreline Drive Suite 200 Redwood Cify Callfornia 94065 phone 650.482.6300 fax 650.482.6399 www.bkf.com



All bearings and distances shown on this Exhibit are based upon the North American Datum of 1983 (NAD 83), California Coordinate System, Zone III, Epoch 1991.35. All distances shown hereon are grid distances. To convert to ground distance, multiply expressed distances by 1.000055883. Areas shown are calculated using grid distances. To convert to ground area, multiply the expressed area by 1.00011177.

A plat showing the above-described parcel is attached herein and made a part hereof as Exhibit "C".

This description was prepared by me or under my direction in conformance with the Professional Land Surveyors' Act.

Michael A. Shoup, PLS 7616 License Expires 12/31/2010

END OF DESCRIPTION

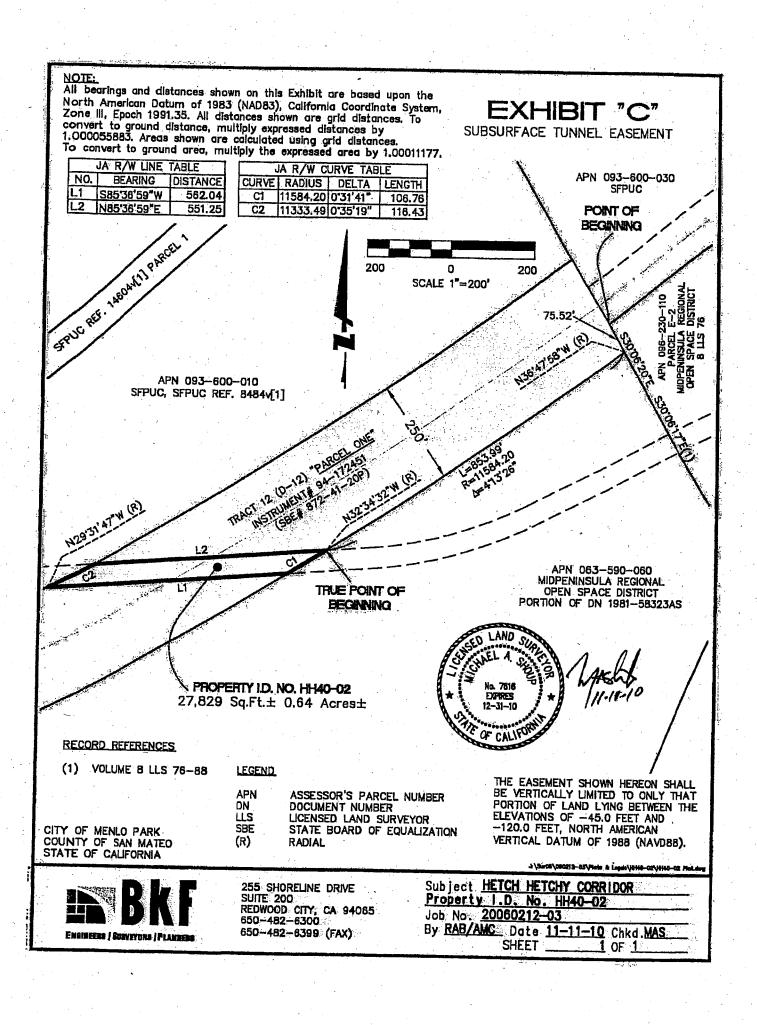


EXHIBIT D

Index of Water Tunnel Plans

[See following page]



CITY AND COUNTY OF SAN FRANCISCO
PUBLIC UTILITIES COMMISSION
SAN FRANCISCO WATER DEPARTMENT



REDACTED

CONTRACT NO. WD-2531

BAY DIVISION PIPELINES RELIABILITY UPGRADE BAY DIVISION PIPELINE NO. 5 - BAY TUNNEL

DECEMBER 2009

ISSUED FOR CONSTRUCTION

AT THE THE OF THE BUILDING THE CONTRACTOR SHALL POSSESS A WILD CONTRACTOR CONTRACTOR LANGUAGE LICENSES

60-00 E-17

DRAWING INDEX

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M/3	PLAN TITLE NO.
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E-17367	GO-01 DRAWING INDEX SHEET 1 OF 2
E-17368 E-17369	GD-02 DRAWING INDEX SHEET 2 OF 2 GD-03 LDCATION AND VICINITY MAP, GENERAL NOTES AND CONTROL POINTS
E-17370	GO-04 LEGEND
E-17 371 E-17 372	GO-05 ABBREVATIONS GO-08 SURVEY CONTROL POINTS
E-17373	GD-07 HYDRAULIC PROFILE AND DESIGN CRITERA-
E-17374	GO-OB BAY DIVISION PIPELINE 5 SYSTEM
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E-17 375	CO-01 PERMANENT ACCESS ROAD TYPICAL SECTIONS AND DETAILS
E=17376 E=17377	CT-01 PAYERANGO SIWT SHE FLAV
E-17375	C1O3 RAVENSMOOD SHAFT DEMOLITION, GRUPPING & DEMORILIZATION PLAN
E-173/3	C1-05 RAYENSWOOD SHAFT GRACING SECTIONS
E-17380.1	C1+08 RAVENSWOOD SHAFT GRADING AND SECURITY FENCING SHEET 2 OF 2
E-17381	C1-10 RAVENSWOOD SHAFT PERNAMENT ACCESS ROAD STA, 0+00, TO 14+00
E-173 83	C1-12 RAVENSWOOD SHAFT PERMANENT ACCESS ROAD STA. D+00 TO 2+30
E 17301	Of the Purchased Staff Monday Training Site flat
E-17386	C1-22 RAVENSWOOD SHAFT ANCILLARY FACILITIES ENERGY DISSIPATOR DETAILS
E-17387	C1-23 RAVENSWOOD SHAFT ANCILLARY FACILITIES MECHANICAL DETAILS
E-17387.1	C1-30 RAVENSWOOD SHAFT SITE SOUNDWALL PLAN AND DETAILS
E-17389	CZ-02 NEWARK SHAFT CONSTRUCTION STAGING AREAS AND TEMPORARY ACCESS ROAD
E-17390 E-17391	C2-10 NEWARK SHAFT TEMPORARY AND PERMANENT ACCESS ROAD STA. 0+00 TO STA. 18+48.70
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PO-09 AR AND VACUUM VALVES WITH MANHOLE IN UNPAYED AREA
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DRAWING PLAN TITLE SECURITY E-17515 SED-01 SECURITY ABBREVIATIONS, LEGEND & GENERAL NOTES OZ SCORIT DOOR GALL WAS THEE CLEVATIONS E-17010 SED-D4 SECURITY CONDUIT SCHEDULES E-17515 TULLBUA ((C)) SO NEWPON SINCE ACCOUNTY SITE OF TRAFFIC CONTROL E-17524 E-17525 TCI-01 RAVENSWOOD SHAFT HALL ROUTE ALTERNATIVES
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X1-07 PGAE SUBSTATION CONTACT TABLE AND SWITCH DEVELOPMENT

ISSUED FOR CONSTRUCTION CONTRACT NO. WD-2531 PUBLIC UTILITIES COMMISSION JACOBS ASSOCIATES INFRASTRUCTURE DIVISION Engineers/Completes ENGINEERING MANAGEMENT BURGAL BAY DIVISION PIPELINES RELIABILITY UPGRADE BAY DIVISION PIPELINE No. 5 - BAY TUNNEL DRAWING INDEX SHEET T CTRESTON S. SPRENC 12/1/09 A TENTO DE DE COMMUNICION DE COMMUNICIPATION DE COM E CHIL SPS RJC 12/1/07 REVISION G0-01 E-17367

OR THE SOLE USE OF THE DOCUMENT ECIPIENT - DO NOT CITE, COPY, OR IRCULATE WITHOUT THE EXPRESSED ERMISSION OF THE SIPUC.

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ELEVATION DATUM NAVDES

BAY TURNEL UNDERGROUND EASEMENT

50.0' (TYP)

LOCATION	TUNNEL STATION	SURFACE EL	X	Y	Z
RAVENSWOOD	21+22	+18±	-45.08	-75.08	-120.08
NEWARK #1	257+28	+12±	-15.57	-45.57	-90.57
NEWARK #2	268+52	+13±	-14.17	-44.17	-89.17

NOTE: DATUM USED IS NAVD 88

PUBLIC UTILITIES COMMISSION

INFRASTRUCTURE DIVISION

ENGINEERING MANAGEMENT BUREAU

BAY DIVISION PIPELINES RELIABILITY UPGRADE BAY DIVISION PIPELINE No. 5 — BAY TUNNEL

BAY TUNNEL EASEMENT AT SAMTRANS RAIL CROSSINGS

DEC 2009

SHEET

Exhibit F **Insurance Terms**

INSURANCE. The insurance requirements specified in this exhibit shall apply to City's contractors or any other persons, firms or corporations that City contracts with to work within the Easement Area under this Agreement (hereinafter collectively referred to as "Agents"). Agents are required to procure and maintain at their sole cost and expense the insurance coverages set forth below, subject to all of the listed requirements. Such insurance shall remain in full force and effect throughout the term of the Agent's work in the Easement Area. To the extent that any Agent does not procure and maintain such insurance coverage, City shall be responsible for said coverage and assume any and all costs and expenses that may be incurred in securing said coverage or in fulfilling City's indemnity obligation as to itself or any of its Agents in the absence of coverage. In the event City or its Agents procure excess or umbrella coverage to maintain certain requirements outlined below, these policies shall also satisfy all specified endorsements and stipulations, including provisions that the Agent's insurance be primary without any right of contribution from the Railroad. Prior to beginning work within the Easement Area, City shall provide the Railroad with satisfactory evidence of compliance with the insurance requirements of this section.

Minimum Types And Scope Of insurance

- Workers Compensation and Employers' Liability Insurance.
 - 1) Workers Compensation with Statutory Limits for employees, as required by Section 3700 et seq of the California Labor Code, or any subsequent amendments or successor acts thereto governing the liability of employers to their employees.
 - 2) Agents shall also maintain Employer's Liability coverage with minimum limits of \$ 1
 - 3) Such insurance shall include the following endorsement as further detailed in the Endorsements Section below:
 - Waiver of Subrogation.
- Commercial General Liability Insurance. B.

Commercial General Liability insurance for bodily injury and property damage coverage with a combined single limit for bodily injury and property damage of at least \$ 10 million per occurrence or claim and a general aggregate limit of at least \$ 10 million. Such insurance shall cover all of Agent's operations both at and away from the project site.

- This insurance shall include coverage for, but not be limited to:
 - Premises and operations.
 - Products and completed operations.
 - Contractual liability.
 - Personal injury.
 - Advertising injury.
 - Explosion, collapse, and underground coverage (xcu).
 - Broad form property damage.

- 2) Such insurance shall include the following endorsements as further detailed in the Endorsements Section below:
 - Additional insured.
 - Cross Liability or Severability of Interests Clause.
 - Primary and Non-Contributory wording.
 - Walver of Subrogation.

Products and completed operations insurance shall be maintained for three (3) years following termination of this Agreement.

C. Business Automobile Liability Insurance.

Business Automobile Liability Insurance providing bodily injury and property damage with a combined single limit of at least \$ 2 million per occurrence.

- 1) This insurance shall include coverage for, but not be limited to:
 - All Owned vehicles.
 - Non-owned vehicles.
 - Hired or rental vehicles.
- Such insurance shall include the following endorsements as further detailed in the Endorsements Section below:
 - Additional Insured.
 - Primary and Non-Contributory wording.
 - Waiver of Subrogation.

D. Property Insurance.

Property insurance with Special Form coverage including theft, but excluding earthquake, with ilmits at least equal to the replacement cost of the property described below.

- 1) This insurance shall include coverage for:
 - Materials or property to be purchased and/or installed on behalf of the Railroad, if any.
 - Debris removal.
 - Builders risk for property in the course of construction.
- Such insurance shall include the following endorsement as further detailed in the Endorsements Section below:
 - Waiver of Subrogation.

E. Railroad Protective Liability Insurance.

Prior to commencing demolition, construction or remodeling, Agent shall contact the Railroad. Agent shall obtain, at Agent's sole cost and expense, Railroad Protective Liability Insurance with limits of liability of \$ 2 million per occurrence and \$ 6 million in the aggregate. The named insureds shall be the San Mateo County Transit District and the Union Pacific Railroad Company.

1) Coverage shall be on the RIMA policy form and Include, but not be limited to:

- Claims arising out of injury to or death of any person

 Physical loss or damage to or destruction of property including the Railroad's rolling stock and any resulting loss of use thereof

Coverage for supervisory acts of railroad employees

- FELA coverage for railroad employees

- All other railroads operating on the right-of-way
- Pollution extension for fuels and lubricants brought onto the job location

2 ENDORSEMENTS

A. Additional Insured.

The referenced policies and any Excess or Umbrella policies shall include as Additional Insureds the San Mateo County Transit District and the Union Pacific Railroad Company and their respective directors, officers, employees, volunteers and agents while acting in such capacity, and their successors or assignees, as they now, or as they may hereafter be constituted, singly, jointly or severally.

B. Walver Of Subrogation.

The referenced policies and any Excess or Umbrella policies shall contain a waiver of subrogation in favor of the San Mateo County Transit District and the Union Pacific Railroad Company and their respective directors, officers, employees, volunteers and agents while acting in such capacity, and their successors or assignees, as they now, or as they may hereafter be constituted, singly, jointly or severally, if such a waiver of subrogation is also obtained in favor of City.

C. Primary Insurance.

The referenced policies and any Excess and Umbrella policies shall indicate that they are primary to any other insurance and the insurance company(-ies) providing such policy(-ies) shall be liable thereunder for the full amount of any loss or claim, up to and including the total limit of liability, without right of contribution from any of the insurance effected or which may be effected by the Railroad.

D. Severability Of interests Or Cross Liability.

The referenced policies and any Excess or Umbrella policies shall contain either a Cross Liability endorsement or Severability of Interests Clause and stipulate that inclusion of the San Mateo County Transit District and the Union Pacific Railroad Company as Additional Insureds shall not in any way affect the Railroad's rights either as respects any claim, demand, suit or judgment made, brought or recovered against the Agent. Said policy shall protect Agent and the Railroad in the same manner as though a separate policy had been issued to each, but nothing in said policy shall operate to increase the insurance company's liability as set forth in its policy beyond the amount or amounts shown or to which the insurance company would have been liable if only one interest had been named as an insured.

3. EVIDENCE OF INSURANCE

A. All Coverages Except Railroad Protective.

Prior to commencing work or entering onto the Easement Area, Agent shall provide the Risk Manager of the Railroad with a certificate evidencing coverage, and upon request, a certified duplicate original of the policy. The certificate shall also show that the Agents' policy(-ies) will not be cancelled or coverage altered without 30 days prior written notice to the Railroad's Risk Manager.

B. Railroad Protective.

Prior to commencing work or entering onto the Easement Area, Agent shall confirm that it

has paid the premium for said insurance. If the Railroad Protective coverage has not been purchased under the Railroad's program, the original policy, or insurance binder with the policy to follow, must be delivered to the Railroad's Risk Manager prior to work beginning within the Easement Area under this Agreement.

4. GENERAL PROVISIONS

A. Notice Of Cancellation.

The policies shall provide that the Agents' policies will not be cancelled or have limits reduced or coverage altered without 30 days prior written notice to the Railroad's Risk Manager.

B. Acceptable insurers.

All policies will be issued by insurers acceptable to the Railroad (generally with a Best's Rating of A-, VIII or better).

C. Failure To Maintain Insurance.

All insurance specified above shall remain in force until all work to be performed is satisfactorily completed, all of Agent's personnel and equipment have been removed from the Railroad property, and the work has been formally accepted. The fallure to procure or maintain required insurance and/or an adequately funded self-insurance program will constitute a material breach of this Agreement.

D. Claims Made Coverage.

If any insurance specified above shall be provided on a claim-made basis, then in addition to coverage requirements above, such policy shall provide that:

- Policy retroactive date coincides with or precedes the Agent's start of work (including subsequent policies purchased as renewals or replacements).
- Agent shall make every effort to maintain similar insurance for at least three (3) years following project completion, including the requirement of adding all named insureds.
- 3) If insurance is terminated for any reason, Agent agrees to purchase an extended reporting provision of at least three (3) years to report claims arising from work performed in connection with this Agreement.
- 4) Policy allows for reporting of circumstances or incidents that might give rise to future claims.

E. Deductibles And Retentions.

Agent shall be responsible for payment of any deductible or retention on Agent's policies without right of contribution from the Railroad.