

File No. 251256

Committee Item No. 12

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance Committee Date January 14, 2026

Board of Supervisors Meeting Date _____

Cmte Board

- | | | |
|---------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| • DPH Memo 12/1/2025 | | |
| • MYR Memo 12/16/2025 | | |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| • Subaward Agreement | | |
| • Subaward Amendment Nos. 1 through 3 | | |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

- | | | |
|-------------------------------------|--------------------------|---|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>DPH Memo on Retroactivity 1/8/2026</u> |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>DPH Presentation 1/14/2026</u> |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |

Completed by: Brent Jalipa Date January 8, 2026

Completed by: Brent Jalipa Date _____

1 [Accept and Expend Grant Amendment - Retroactive - National Institutes of Health - Short
2 Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations:
3 The H2R Training Program - \$102,464.72]

4 **Resolution retroactively authorizing the Department of Public Health to accept and**
5 **expend a grant increase from the National Institutes of Health through The Regents of**
6 **the University of California, San Francisco for participation in a program, entitled**
7 **“Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach**
8 **Populations: The H2R Training Program,” in the amount of \$23,687.72 for a total**
9 **amount of \$102,464.72 from June 1, 2025, for the total period of October 1, 2022,**
10 **through May 31, 2026; and to authorize the Director of Health to enter into amendments**
11 **or modifications to the Grant Agreement that do not materially increase the obligations**
12 **or liabilities to the City and are necessary to effectuate the purposes of the Grant**
13 **Agreement or this Resolution.**

14
15 WHEREAS, The National Institutes of Health (NIH), through The Regents of the
16 University of California, San Francisco (UCSF) as a pass-through entity, has agreed to fund
17 the Department of Public Health (DPH) in the amount of \$102,464.72 for participation in a
18 program, entitled “Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-
19 to-Reach Populations: The H2R Training Program,” for the period of October 1, 2022, through
20 May 31, 2026; and

21 WHEREAS, In close collaboration with UCSF, DPH will provide technical assistance
22 and capacity building for surveillance surveys; and

23 WHEREAS, DPH will lead the development of a course which will provide current
24 information and recent development in sampling methods of hard-to-reach populations,
25 advanced topics on sampling, implementation and analysis of Responded-Driven Sampling

1 and Time-Location Sampling methods, and special considerations of study designs for
2 research among hard-to-reach populations; and

3 WHEREAS, The grant does not require an Annual Salary Ordinance Amendment; and

4 WHEREAS, A request for retroactive approval is being sought because DPH received
5 the original grant award on March 6, 2023, for a project start date of October 1, 2022, in the
6 amount of \$26,259, then received an increase in grant funds on September 6, 2023, for a
7 project start date of June 1, 2023, in the amount of \$26,259, then received an increase in
8 grant funds on September 26, 2024, for a project start date of June 1, 2024, in the amount of
9 \$26,259, and finally received another increase in grant funds on September 6, 2025, in the
10 amount of \$23,687.72 for a total amount of \$102,464.72 for a project start date of June 1,
11 2025, through May 31, 2026; and

12 WHEREAS, The grant budget includes a provision for indirect costs in the amount of
13 \$4,841; now, therefore, be it

14 RESOLVED, That DPH is hereby authorized to retroactively accept and expend a grant
15 increase in the amount of \$23,687.72 from the NIH through UCSF; and, be it

16 FURTHER RESOLVED, That DPH is hereby authorized to retroactively accept and
17 expend the grant funds pursuant to Administrative Code, Section 10.170-1; and, be it

18 FURTHER RESOLVED, That the Director of Health is authorized to enter into the
19 Agreement on behalf of the City; and, be it

20 FURTHER RESOLVED, That the Board of Supervisors hereby authorizes the Director
21 of Health or the Director's designee to enter into any amendments or modifications to the
22 Grant Agreement that the Department determines, in consultation with the City Attorney, are
23 in the best interests of the City, do not otherwise materially increase the obligations or
24 liabilities of the City, are necessary to effectuate the purposes of the Grant, and are in
25 compliance with all applicable laws; and, be it

1 FURTHER RESOLVED, That within thirty (30) days of the Grant Agreement being fully
2 executed by all parties, the Director of Health shall provide a copy to the Clerk of the Board of
3 Supervisors for inclusion in the official file.

1 Recommended: Approved: /s/ Sophia Kittler for
2 Mayor
3 /s/ Jenny Louie for
4 Daniel Tsai Approved: /s/ Jocelyn Quintos for
5 Director of Health Controller
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File Number: 251256
(Provided by Clerk of Board of Supervisors)

Grant Resolution Information Form
(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: **Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program**
2. Department: **Department of Public Health
Center of Public Health Research**
3. Contact Person: **William McFarland** Telephone: **415-533-9882**
4. Grant Approval Status (check one):

☒ Approved by funding agency
☐ Not yet approved
5. Amount of Grant Funding Approved or Applied for: **\$102,464.72**

(Year 1 Oct 01, 2022 – May 31, 2023: \$26,259
Year 2 June 01, 2023 – May 31, 2024: \$26,259
Year 3 June 01, 2024 – May 31, 2025: \$26,259
Year 4 June 01, 2025 – May 31, 2026: \$23,687.72)
- 6a. Matching Funds Required: **\$0**
 b. Source(s) of matching funds (if applicable): **N.A.**
- 7a. Grant Source Agency: **National Institutes of Health (NIH)**
 b. Grant Pass-Through Agency (if applicable): **The Regents of the University of California, San Francisco**
8. Proposed Grant Project Summary: **In close collaboration with The Regents of the University of California, San Francisco (UCSF), the San Francisco Department of Public Health (SFPDH) will provide technical assistance and capacity building for surveillance surveys. Dr. McFarland from SFPDH, in collaboration with Dr. Mirzazadeh and Lee, will lead the development of a course called “Sampling methods and Statistical Data Analysis for Research on Hard-to-reach populations”. This course will provide current information and recent development in sampling methods of hard-to-reach populations, advanced topics on sampling, implementation and analysis of Responded-Driven Sampling and Time-Location Sampling methods, and special considerations of study designs for research among hard-to-reach populations.**
9. Grant Project Schedule, as allowed in approval documents, or as proposed:

| | | |
|------------------------------|-------------------------------|-----------------------------|
| Approved Year one project: | Start-Date: 10/01/2022 | End-Date: 05/31/2023 |
| Approved Year two project: | Start-Date: 06/01/2023 | End-Date: 05/31/2024 |
| Approved Year three project: | Start-Date: 06/01/2024 | End-Date: 05/31/2025 |
| Approved Year four project: | Start-Date: 06/01/2025 | End-Date: 05/31/2026 |
- 10a. Amount budgeted for contractual services: **\$0**

b. Will contractual services be put out to bid? **N.A.**

c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements? **N.A.**

d. Is this likely to be a one-time or ongoing request for contracting out? **N.A.**

11a. Does the budget include indirect costs? ☒ Yes ☐ No

b1. If yes, how much? **\$13,807.50 (\$4,841 is for grant increase portion)**

b2. How was the amount calculated? **13% of total direct costs**

c1. If no, why are indirect costs not included? **N.A.**

☐ Not allowed by granting agency

☐ To maximize use of grant funds on direct services

☐ Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs? **N.A.**

12. Any other significant grant requirements or comments:

The grant does not require an ASO amendment and partially reimburses the department for the existing position:

| No. | Class | Job Title | FTE | Start Date | End Date |
|-----|-------|----------------------------------|------|------------|------------|
| 1 | 2233 | Supervising Physician Specialist | 0.08 | 06/01/2025 | 05/31/2026 |

We respectfully request for approval to accept and expend these funds retroactive to June 1, 2025. The Department received the grant increase of \$23,687.72 on October 23, 2025, for the period of June 1, 2025, to May 31, 2026. There was a carry-forward of \$3,542.28 from Year 3. The AL # for this grant is 93.242.

Project Description: HD HIV PD165 2526 UCSF ITAPS
Project ID: 10041896
Proposal ID: CTR00004525
Fund: 11580
Version ID: V101
Authority ID: 10001
Activity ID: 0001

****Disability Access Checklist** (Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)**

13. This Grant is intended for activities at (check all that apply):

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Existing Site(s) | <input type="checkbox"/> Existing Structure(s) | <input type="checkbox"/> Existing Program(s) or Service(s) |
| <input type="checkbox"/> Rehabilitated Site(s) | <input type="checkbox"/> Rehabilitated Structure(s) | <input type="checkbox"/> New Program(s) or Service(s) |
| <input type="checkbox"/> New Site(s) | <input type="checkbox"/> New Structure(s) | |

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;
2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;
3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

Comments:

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

Toni Rucker, PhD
(Name)

DPH ADA Coordinator
(Title)

Date Reviewed: 12/1/2025 | 8:35 AM PST

DocuSigned by:
Toni Rucker
A64292F7331F44D...
(Signature Required)

Department Head or Designee Approval of Grant Information Form:

Daniel Tsai
(Name)

Director of Health
(Title)

Date Reviewed: 12/1/2025 | 2:18 PM PST

Signed by:
Jenny Louie for Daniel Tsai
40CFE29DD8B4104...
(Signature Required)

File 251256: Grant Amendment Accept & Expend



**National Institutes of Health -
Short Trainings on Methods for Recruiting, Sampling, and Counting
Hard-to-Reach Populations:
The H2R Training Program**

BOS Budget & Finance Committee
January 14, 2026

Dr. Willi McFarland, Director
Center for Public Health Research, Population Health Division

SAN FRANCISCO DEPARTMENT OF PUBLIC HEALTH

Overview of Grant Amendment



National Institutes of Health - Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program

- **Amendment Amount:** \$23,687.72
 - **Total grant amount:** \$102,464.72
- **Timeline:** October 1, 2022 – May 31, 2026
- **Grantor:** National Institutes of Health, through The Regents of the University of California, San Francisco
- **Grant Summary:**
 - The grant will support DPH in training health professionals on public health surveys
 - State of the art survey methods taught include:
 - Sampling and counting hard-to-reach (H2R) populations
 - Advanced statistical analysis

Retroactivity



We are seeking **retroactive authorization** to accept and expend this grant amendment.

- DPH received notice of the original award on March 6, 2023, for a project start date of October 1, 2022.
- DPH received notice of the most recent grant increase on September 6, 2025, for a project start date of June 1, 2025.
- The project timelines were predetermined by the grantor.
- DPH brought this item to the BOS after going through the fiscal approvals process, including Controller' Office review and approval.



Conclusion

**DPH respectfully requests approval of this item.
Thank you!**

FDP Subaward Amendment

Awarding Agency Amendment No
PTE/Prime Award No. Subaward No

Pass-Through Entity (PTE)

Subrecipient

Entity Name
 Contact Email
 Principal Investigator

Project Title

| Cumulative Budget Period(s) | | Amount Funded This Action | Total Amount of Funds Obligated to Date |
|---|---|---|--|
| (Agreement Start Date) | (End Date of Latest Budget Period) | | |
| Start Date: <input type="text" value="10/01/2022"/> | End Date: <input type="text" value="05/31/2026"/> | <input type="text" value="\$ 23,687.72"/> | <input type="text" value="\$ 102,464.72"/> |

Subrecipient Cost Share ☐ Subject to FFATA ☒ Subrecipient UEI (Unique Entity Identifier - May leave blank if unchanged from prior Agreement)

Amendment(s) to Original Terms and Conditions

This Amendment revises the above-referenced Subaward Agreement as follows:

☒ **Additional Budget Period**
Additional budget period - is hereby added to this Subaward.

☐ **No Cost Extension**

☒ **Additional Funding**
Additional funding in the amount of is hereby obligated to this Subaward.

☐ **Deobligation**

Carryover is Carryover is allowed across all budget periods.

☒ **Carryover Authorized**
Carryover of unobligated balances in the amount of from period -
to period - is hereby authorized.

☒ **Detailed Budget/Scope of Work/Notice of Award Attached** (Specify if the Budget and Scope of Work are "New", "Revised", or "Supplemental" in dropdown or "Other")
 is incorporated by attachment to this Amendment.

☒ **Other (See Below)**

The attached budget combines additional budget period funding, \$23,687.72, with the Carry Forward amount of \$3,542.28 from 10/1/2022-5/31/2025 to current budget period ending 5/31/2026.

The City and County of San Francisco withholds consent from and objects to the inclusion of any condition of funding enjoined by the Preliminary Injunction in King County et al. v. Turner et al., No. 2:25-cv-00814-BJR (W.D. Wash.), dated August 12, 2025 ("the Preliminary Injunction"), in which the City and County of San Francisco is a plaintiff. The "Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program" grant is subject to the Preliminary Injunction, and the City and County of San Francisco's acceptance of this subaward for this grant is contingent on such conditions being restrained.

For clarity: all amounts stated in this amendment are in United States Dollars.

All other terms and conditions of this Subaward Agreement remain in full force and effect.

| By an Authorized Official of PTE: | Date | By an Authorized Official of Subrecipient: | Date |
|-----------------------------------|----------------------|--|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Name <input type="text"/> | | Name <input type="text"/> | |
| Title <input type="text"/> | | Title <input type="text"/> | |

SFDPH Subcontract

Budget Justification:

We request a total of \$27,230 for a subaward with San Francisco Department of Public Health (SFDPH).

May 1 , 2025 - May 31, 2026

Personnel:

Willi, McFarland, MD, PhD, MPH&TM, (pronouns: he/him/his) is the Director of the Center for Public Health Research at SFDPH and Professor of Epidemiology and Biostatistics at UCSF. He is Board certified in Preventive Medicine. Dr. McFarland was Joint-PI for a NIH-funded Summer HIV/AIDS Research Program for under-represented minority undergraduate students (SHARP). He is co-investigator with Dr. Arayasirikul (PI) on the recent NIH 25-funded SHINE Strong, a mentoring program committed to the long-term development of transgender scientists. Dr. McFarland was co-director of the NIH T32-supported post-doctoral Traineeship in AIDS Prevention Studies (TAPS) at UCSF for 15 years. He is co-investigator of the NIH R25-funded International Traineeship in AIDS Prevention (ITAPS), and mentor to undergraduate and graduate students at the MPH and PhD levels. He has led numerous short courses in sampling methods, PSE, and the analysis of survey data worldwide. His current research focus is on the epidemiology and prevention of HIV in hard-to-reach populations, including sexual and gender minorities and persons who use drugs. He has 437 peer-reviewed publications, the vast majority on studies that include sampling hard-to-reach populations. In 2014, he was awarded the UCSF AIDS Research Institute's Award for Mentoring Excellence. **Dr. McFarland has mentored 251 undergraduate, graduate and post-doctoral scholars, domestically and internationally, over the past 29 years. Among US mentees, 61 are under-represented minorities.** Dr. McFarland will serve as a H2R program faculty mentor and lecturer in this training program and will provide access to data from local and international studies. Dr. McFarland will serve as MPI to provide oversight, support, and mentoring to Dr. Mirzazadeh in leading H2R program. He will also serve as program faculty, primary mentor to scholars, and directly teach courses for the program. In particular, he will be the course director of **Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations**.

We request 8% (\$18,808) salary support for this position for 12 months.

Subaward Fringe Benefit:

The fringe benefit rate is calculated as 24% (\$4,333) of Willi McFarland' salary.

Indirect Cost Rates:

The indirect cost rate is 21.62% (\$4,841) of total direct expenses.

SAN FRANCISCO DEPARTMENT OF PUBLIC HEALTH

Center of Public Health Research

Department ID: Short Trainings on Methods for Recruiting, Sampling, and Counting Hard to Reach Populations: The H2R Training Program

Proposal ID: 06/01/25-05/31/26

Version ID: 14171sc 03

Project ID:

Activities ID: 0001

| Category/Line Item | Annual Salary | 24.0% Annual Frin Ben | Total Annual Sal/Frin Ben | % OF TIME | % OF FTE | Monthly Rate | Mth | Salary Budget | Frin Ben Budget | Total Budget |
|--|---------------|-----------------------|---------------------------|-----------|----------|--------------|------|---------------|-----------------|--------------|
| A. PERSONNEL | | | | | | | | | | |
| 1. Sr. Physician Specialist 2233 5 W. McFarland | 225,700 | 54,168 | 279,868 | 8.0% | 0.080 | 18,808 | 12.0 | 18,056 | 4,333 | 22,389 |

| | | | | | | | | | | |
|---|---------|--------|---------|--|------|--|--|--------|-------|--------|
| TOTAL PERSONNEL: | 225,700 | 54,168 | 279,868 | | 0.08 | | | 18,056 | 4,333 | 22,389 |
| 00101 SALARIES: | | | | | | | | | | 18,056 |
| 00103 MANDATORY FRINGE: | | | | | | | | | | 4,333 |
| TOTAL PERSONNEL: | | | | | | | | | | 22,389 |
| TOTAL DIRECT COST | | | | | | | | | | 22,389 |
| INDIRECT COST (21.62% of Total Direct Cost) | | | | | | | | | | 4,841 |
| TOTAL BUDGET | | | | | | | | | | 27,230 |
| AWARD | | | | | | | | | | 27,230 |
| SURPLUS/(DEFICIT) | | | | | | | | | | (0) |



| Recipient Information | Federal Award Information |
|--|---|
| 1. Recipient Name REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, THE 1855 FOLSOM ST STE 425 SAN FRANCISCO, CA 94103 | 11. Award Number 5R25MH129290-04 |
| 2. Congressional District of Recipient 11 | 12. Unique Federal Award Identification Number (FAIN) R25MH129290 |
| 3. Payment System Identifier (ID) 1946036493A6 | 13. Statutory Authority 42 USC 241 42 CFR 52 |
| 4. Employer Identification Number (EIN) 946036493 | 14. Federal Award Project Title Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program |
| 5. Data Universal Numbering System (DUNS) 094878337 | 15. Assistance Listing Number 93.242 |
| 6. Recipient's Unique Entity Identifier KMH5K9V7S518 | 16. Assistance Listing Program Title Mental Health Research Grants |
| 7. Project Director or Principal Investigator Ali Mirzazadeh, MD (Contact) ali.mirzazadeh@ucsf.edu 415-866-4234 | 17. Award Action Type Non-Competing Continuation |
| 8. Authorized Official Magdalene Cho maggie.cho@ucsf.edu 415-502-8752 | 18. Is the Award R&D? Yes |
| Federal Agency Information | Summary Federal Award Financial Information |
| 9. Awarding Agency Contact Information Nicolaus Andrew Woodroffe Grants Management Specialist NATIONAL INSTITUTE OF MENTAL HEALTH nicolaus.woodroffe@nih.gov | 19. Budget Period Start Date 06/01/2025 – End Date 05/31/2026 |
| 10. Program Official Contact Information Susannah M A Kamath NATIONAL INSTITUTE OF MENTAL HEALTH allisonsu@mail.nih.gov 240-627-3861 | 20. Total Amount of Federal Funds Obligated by this Action 20 a. Direct Cost Amount \$216,001 20 b. Indirect Cost Amount \$203,011 \$12,990 |
| | 21. Authorized Carryover |
| | 22. Offset |
| | 23. Total Amount of Federal Funds Obligated this budget period \$216,001 |
| | 24. Total Approved Cost Sharing or Matching, where applicable \$0 |
| | 25. Total Federal and Non-Federal Approved this Budget Period \$216,001 |
| | 26. Project Period Start Date 08/01/2022 – End Date 05/31/2026 |
| | 27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period \$868,000 |
| | 28. Authorized Treatment of Program Income Additional Costs |
| | 29. Grants Management Officer - Signature Christine Ann Clarkson |
| 30. Remarks Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system. | |



RESEARCH EDUCATION AWARD
Department of Health and Human Services
National Institutes of Health

Notice of Award



NATIONAL INSTITUTE OF MENTAL HEALTH

SECTION I – AWARD DATA – 5R25MH129290-04

Principal Investigator(s):

Sean Arayasirikul, PHD
Thomas Hoffmann, PHD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$216,001 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Christine Ann Clarkson
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

| | |
|--|------------------|
| Salaries and Wages | \$59,609 |
| Fringe Benefits | \$21,672 |
| Personnel Costs (Subtotal) | \$81,281 |
| Travel | \$2,000 |
| Other | \$59,088 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |
| | |
| Federal Direct Costs | \$203,011 |
| Federal F&A Costs | \$12,990 |
| Approved Budget | \$216,001 |
| Total Amount of Federal Funds Authorized (Federal Share) | \$216,001 |
| TOTAL FEDERAL AWARD AMOUNT | \$216,001 |
| | |
| AMOUNT OF THIS ACTION (FEDERAL SHARE) | \$216,001 |

| SUMMARY TOTALS FOR ALL YEARS (for this Document Number) | | |
|---|------------|-------------------|
| YR | THIS AWARD | CUMULATIVE TOTALS |
| 4 | \$216,001 | \$216,001 |

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2025

| | | |
|----|---------|-----------|
| IC | CAN | 2025 |
| OD | 8055729 | \$216,001 |

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41032 / **Released:** 08/29/2025

Award Processed: 09/02/2025 12:42:18 PM

SECTION II – PAYMENT/HOTLINE INFORMATION – 5R25MH129290-04

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 5R25MH129290-04

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 75.
- National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part § 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor

should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award represents the final year of the competitive segment for this grant. See the NIH Grants Policy Statement Section 8.6 Closeout for complete closeout requirements at: <http://grants.nih.gov/grants/policy/policy.htm#gps>.

A final expenditure Federal Financial Report (FFR) (SF 425) must be submitted through the Payment Management System (PMS) within 120 days of the period of performance end date; see the NIH Grants Policy Statement Section 8.6.1 Financial Reports, <http://grants.nih.gov/grants/policy/policy.htm#gps>, for additional information on this submission requirement. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the real-time cash drawdown data in PMS. NIH will close the awards using the last recorded cash drawdown level in PMS for awards that do not require a final FFR on expenditures. It is important to note that for financial closeout, if a grantee fails to submit a required final expenditure FFR, NIH will close the grant using the last recorded cash drawdown level.

A Final Invention Statement and Certification form (HHS 568), (not applicable to training, construction, conference or cancer education grants) must be submitted within 120 days of the expiration date. The HHS 568 form may be downloaded at: <http://grants.nih.gov/grants/forms.htm>. This paragraph does not apply to Training grants, Fellowships, and certain other programs—i.e., activity codes C06, D42, D43, D71, DP7, G07, G08, G11, K12, K16, K30, P09, P40, P41, P51, R13, R25, R28, R30, R90, RL5, RL9, S10, S14, S15, U13, U14, U41, U42, U45, UC6, UC7, UR2, X01, X02.

Unless an application for competitive renewal is submitted, a Final Research Performance Progress Report (Final RPPR) must also be submitted within 120 days of the period of performance end date. If a competitive renewal application is submitted prior to that date, then an Interim RPPR must be submitted by that date as well. Instructions for preparing an Interim or Final RPPR are at: https://grants.nih.gov/grants/rppr/rppr_instruction_guide.pdf. Any other specific requirements set forth in the terms and conditions of the award must also be addressed in the Interim or Final RPPR. *Note that data reported within Section I of the Interim and Final RPPR forms will be made public and should be written for a lay person audience.*

NIH requires electronic submission of the final invention statement through the Closeout feature in the Commons.

NOTE: If this is the final year of a competitive segment due to the transfer of the grant to another institution, then a Final RPPR is not required. However, a final expenditure FFR is required and must be submitted electronically as noted above. If not already submitted, the Final Invention Statement is required and should be sent directly to the assigned Grants Management Specialist.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

| |
|--|
| Office Of The Director, National Institutes Of Health (OD) |
|--|

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws requires taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <https://www.hhs.gov/civil-rights/for-providers/provider-obligations/index.html> and <https://www.hhs.gov/>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals, see <https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html> and <https://www.lep.gov>.
- For information on an institution's specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>.
- HHS funded health and education programs must be administered in an environment free of sexual harassment; see <https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html>. For information about NIH's commitment to supporting a safe and respectful work environment, who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see <https://grants.nih.gov/grants/policy/harassment.htm>.
- For guidance on administering programs in compliance with applicable federal religious nondiscrimination laws and applicable federal conscience protection and associated anti-discrimination laws, see <https://www.hhs.gov/conscience/conscience-protections/index.html> and <https://www.hhs.gov/conscience/religious-freedom/index.html>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 5R25MH129290-04

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement RFA-OD-21-005 which can be referenced at: <https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

NOTICE:

NIH funds may not be used to support activities that are outside the approved scope of the award, including Diversity, Equity and Inclusion (DEI) research or related research training activities or programs. Any funds used to support activities outside the approved scope of award will result in a dis-allowance of costs, and funds will be recovered.

This term is consistent with NIH's ongoing internal review of NIH's priorities and the alignment of awards with those priorities as well as a review of program integrity of awards. Such review includes, but is not limited to, a review for fraud, waste and abuse, and a review of the NIH portfolio to determine whether awards are in the best interests of the government and consistent with policy priorities. If recipients are unclear on whether a specific activity constitutes Diversity, Equity and Inclusion (DEI), or has questions regarding other activities that could be considered outside the approved scope of the award, refrain from drawing down funds and consult with the funding IC, particularly where the activity may impact the specific aims, goals, and objectives of the project.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health and Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY

AWARD NUMBER: 5R25MH129290-04

INSTITUTION: The Regents of the UCSF

| Budget | Year 4 |
|--|-----------|
| Salaries and Wages | \$59,609 |
| Fringe Benefits | \$21,672 |
| Personnel Costs (Subtotal) | \$81,281 |
| Travel | \$2,000 |
| Other | \$59,088 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |
| TOTAL FEDERAL DC | \$203,011 |
| TOTAL FEDERAL F&A | \$12,990 |
| TOTAL COST | \$216,001 |

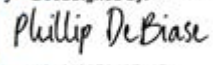
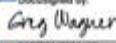
| Facilities and Administrative Costs | Year 4 |
|-------------------------------------|-----------|
| F&A Cost Rate 1 | 8% |
| F&A Cost Base 1 | \$162,369 |
| F&A Costs 1 | \$12,990 |

FDP Cost Reimbursement Subaward

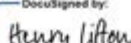
| | |
|--|--|
| Federal Awarding Agency: National Institutes of Health (NIH) | |
| Pass-Through Entity (PTE): The Regents of the University of California, San Francisco | Subrecipient: City & County of San Francisco |
| PTE PI: Ali Mirzazadeh | Sub PI: William McFarland |
| PTE Federal Award No: R25MH129290 | Subaward No: 14171sc |
| Project Title: Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program | |
| Subaward Budget Period: Start: 10/01/2022 End: 05/31/2023 | Amount Funded This Action (USD): \$ 26,259.00 |
| Estimated Period of Performance: Start: 10/01/2022 End: 05/31/2023 | Incrementally Estimated Total (USD): \$ 26,259.00 |

Terms and Conditions

1. PTE hereby awards a cost reimbursable subaward, (as determined by 2 CFR 200.331), to Subrecipient. The Statement of Work and budget for this Subaward are as shown in Attachment 5. In its performance of Subaward work, Subrecipient shall be an independent entity and not an employee or agent of PTE.
2. Subrecipient shall submit invoices not more often than monthly and not less frequently than quarterly for allowable costs incurred. Upon the receipt of proper invoices, the PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), breakdown by major cost category, Subaward number, and certification, as required in 2 CFR 200.415(a). Invoices that do not reference PTE Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt or payments shall be directed to the party's Financial Contact, shown in Attachment 3A.
3. A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to PTE's Financial Contact, as shown in Attachment 3A, not later than 60 days after the final Budget Period end date. The final statement of costs shall constitute Subrecipient's final financial report.
4. All payments shall be considered provisional and are subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subrecipient.
5. Matters concerning the technical performance of this Subaward shall be directed to the appropriate party's Principal Investigator as shown in Attachments 3A and 3B. Technical reports are required as shown in Attachment 4.
6. Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Subaward, and any changes requiring prior approval, shall be directed to the PTE's Authorized Official Contact and the Subrecipient's Authorized Official Contact shown in Attachments 3A and 3B. Any such change made to this Subaward requires the written approval of each party's Authorized Official as shown in Attachments 3A and 3B.
7. The PTE may issue non-substantive changes to the Budget Period(s) and Budget Unilaterally. Unilateral modification shall be considered valid 14 days after receipt unless otherwise indicated by Subrecipient when sent to Subrecipient's Authorized Official Contact, as shown in Attachment 3B.
8. Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or directors, to the extent allowed by law.
9. Either party may terminate this Subaward with 30 days written notice. Notwithstanding, if the Awarding Agency terminates the Federal Award, PTE will terminate in accordance with Awarding Agency requirements. PTE notice shall be directed to the Authorized Official Contact, and Subrecipient notice shall be directed to the Authorized Official Contact as shown in Attachments 3A and 3B. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix IX, as applicable.
10. By signing this Subaward, including the attachments hereto which are hereby incorporated by reference, Subrecipient certifies that it will perform the Statement of Work in accordance with the terms and conditions of this Subaward and the applicable terms of the Federal Award, including the appropriate Research Terms and Conditions ("RTCs") of the Federal Awarding Agency, as referenced in Attachment 2. The parties further agree that they intend this subaward to comply with all applicable laws, regulations, and requirements.

| | |
|--|---|
| By an Authorized Official of the PTE:  Name: Phillip DeBiase Date: 3/6/2023 Title: Manager, Subcontracts | By an Authorized Official of the Subrecipient:  Name: Grant Colfax, MD Date: 3/6/2023 Title: Director of Health |
|--|---|

Approved as to form, David Chiu, City Attorney

By:  Henry L. Lifton, Deputy City Attorney

FDP DEC 2020

Attachment 1

Certifications and Assurances

Subaward Number:

14171sc

Certification Regarding Lobbying (2 CFR 200.450)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.214 and 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.213 and 2 CFR 180.

Audit and Access to Records

Subrecipient certifies that it will provide PTE with notice of any adverse findings which impact this Subaward. Subrecipient certifies compliance with applicable provisions of 2 CFR 200.501-200.521. If Subrecipient is not required to have a Single Audit as defined by 200.501, Awarding Agency requirements, or the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and will provide access to such audits upon request. Subrecipient will provide access to records as required by parts 2 CFR 200.337 and 200.338 as applicable.

Program for Enhancement of Contractor Employee Protections (41 U.S.C 4712)

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Use of Name

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment

Pursuant to 2 CFR 200.216, Subrecipient will not obligate or expend funds received under this Subaward to: (1) procure or obtain; (2) extend or renew a contract to procure or obtain; or (3) enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services (as described in Public Law 115-232, section 889) as a substantial or essential component of any system, or as a critical technology as part of any system.

Attachment 2

Federal Award Terms and Conditions

Subaward Number

14171sc

Required Data Elements

The data elements required by Uniform
Guidance are incorporated in the attached Federal Award.

Awarding Agency Institute (If Applicable)

Federal Award Issue Date FAIN Assistance Listing No.

Assistance Listing Program Title (ALPT)

Key Personnel Per NOA

This Subaward Is:
☐ Research & Development ☐ Subject to FFATA
General Terms and Conditions

By signing this Subaward, Subrecipient agrees to the following:

1. To abide by the conditions on activities and restrictions on expenditure of federal funds in appropriations acts that are applicable to this Subaward to the extent those restrictions are pertinent. This includes any recent legislation noted on the Federal Awarding Agency's website:
<http://grants.nih.gov/policy/notices.htm>
2. 2 CFR 200 and 45 CFR Part 75.
3. The Federal Awarding Agency's grants policy guidance, including addenda in effect as of the beginning date of the period of performance or as amended found at:
<http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>
4. Research Terms and Conditions, including any Federal Awarding Agency's Specific Requirements found at:
<https://www.nsf.gov/awards/managing/rtc.jsp> except for the following :
 - a. No-cost extensions require the written approval of the PTE. Any requests for a no-cost extension shall be directed to the Administrative Contact shown in Attachment 3A, not less than 30 days prior to the desired effective date of the requested change.
 - b. Any payment mechanisms and financial reporting requirements described in the applicable Federal Awarding Agency Terms and Conditions and Agency-Specific Requirements are replaced with Terms and Conditions (1) through (4) of this Subaward; and
 - c. Any prior approvals are to be sought from the PTE and not the Federal Awarding Agency.
 - d. Title to equipment as defined in 2 CFR 200.1 that is purchased or fabricated with research funds or Subrecipient cost sharing funds, as direct costs of the project or program, shall vest in the Subrecipient subject to the conditions specified in 2 CFR 200.313.
 - e. Prior approval must be sought for a change in Subrecipient PI or change in Key Personnel (defined as listed on the NOA).
5. Treatment of program income: Additive

Special Terms and Conditions:**Data Sharing and Access:**

Subrecipient agrees to comply with the Federal Awarding Agency's data sharing and/or access requirements as reflected in the NOA or the Federal Awarding Agency's standard terms and conditions as referenced in General Terms and Conditions 1-4 above.

No additional requirements**Data Rights:**

Subrecipient grants to PTE the right to use data created in the performance of this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Copyrights:

Subrecipient Shall Grant to PTE an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Subrecipient grants to PTE the right to use any written progress reports and deliverables created under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its Federal Award.

Promoting Objectivity in Research (COI):

Subrecipient must designate herein which entity's Financial Conflicts of Interest policy (COI) will apply: Subrecipient

If applying its own COI policy, by execution of this Subaward, Subrecipient certifies that its policy complies with the requirements of the relevant Federal Awarding Agency as identified herein: NIH - 42 CFR Part 50 Subpart F

Subrecipient shall report any financial conflict of interest to PTE's Administrative Representative or COI contact, as designated on Attachment 3A. Any financial conflicts of interest identified shall, when applicable, subsequently be reported to Federal Awarding Agency. Such report shall be made before expenditure of funds authorized in this Subaward and within 45 days of any subsequently identified COI.

Work Involving Human or Vertebrate Animals (Select Applicable Options)

☒ No Human or Vertebrate Animals

This section left intentionally blank.

Human Subjects Data (Select One)

This section left intentionally blank

NIH Terms and Conditions

The Clinical Trial Indicator in Section IV of the PTE's NOA is stated as: ☒

Multiple PIs (MPI)

☒

Certificate of Confidentiality:

The Parties agree that this research funded in whole or in part by the National Institutes of Health ("NIH"), is subject to NIH Policy NOT-OD-17-109 (the "Policy") and therefore is deemed under the Policy to be issued a Certificate of Confidentiality ("Certificate") should the conditions outlined within the Policy apply. Accordingly, the subrecipients who collect or receive identifiable, sensitive information are is required to adhere to the Policy and protect the privacy of individuals who are subjects of such research in accordance with the Policy and subsection 301(d) of the Public Health Service Act (the "PHS Act").

Additional Terms

Attachment 3A
Pass-Through Entity (PTE) Contacts

Subaward Number:

14171sc

PTE Information

Entity Name: The Regents of the University of California, San Francisco

Legal Address: c/o Office of Sponsored Research, Box 0962
490 Illinois Street, 4th Floor
San Francisco, CA 94143-0962 (Use 94158 for Courier and Federal Express)Website: <http://osr.ucsf.edu/>**PTE Contacts**

Central Email: CGSubOutTeam@ucsf.edu

Principal Investigator Name: Ali Mirzazadeh

Email: ali.mirzazadeh@ucsf.edu

Telephone Number:

Administrative Contact Name: Ming Zhou

Email: ming.zhou@ucsf.edu

Telephone Number:

COI Contact email (if different to above): coiac@ucsf.edu

Financial Contact Name: Ming Zhou

Email: ming.zhou@ucsf.edu

Telephone Number:

Email invoices? ☒ Yes ☐ No Invoice email (if different): subcontract@ucsf.edu; ming.zhou@ucsf.edu; ali.mirzazadeh@ucsf.edu

Authorized Official Name: Subcontracts Manager

Email: CGSubOutTeam@ucsf.edu

Telephone Number:

PI Address:

same as legal address above

Administrative Address:

same as legal address above

Invoice Address:Supply Chain Management - Accounts Payable
Attn: Subcontracts Desk
Box 0812
1855 Folsom Street, Suite 304
San Francisco, CA 94143-0812

Attachment 3B**Research Subaward Agreement
Subrecipient Contacts**

Subaward Number:

14171sc

Subrecipient Information for FFATA reporting

Entity's UEI/DUNS Name: San Francisco Department of Public Health

EIN No.: 94-6000417 Institution Type: County Government

UEI: DCTNHRGU1K75 Currently registered in SAM.gov: ☒ Yes ☐ NoUEI: Exempt from reporting executive compensation: ☒ Yes ☐ No
(if no, complete 3B pg2)**Place of Performance Information for FFATA reporting**

Physical Address, City, State (if U.S.) and Country:

25 Van Ness, Suite 500
San Francisco, CA 94102**U.S. Entities only (insert information for Place of Performance):**

Congressional District: CA-12 Zip Code+4: 94102-4505

[Zip Code Look-up](#)**Subrecipient Contacts**

Central Email:

Website:

Principal Investigator Name: William McFarland

Email: willi.mcfarland@sfdph.org

Telephone Number: 415-533-9882

Administrative Contact Name: Eduardo Sida

Email: eduardo.sida@sfdph.org

Telephone Number: 628-217-6322

Financial Contact Name: Sajid Shaikh

Email: sajid.shaikh@sfdph.org

Telephone Number: 415-255-3512

Invoice Email: sajid.shaikh@sfdph.org

Authorized Official Name: Greg Wagner

Email: greg.wagner@sfdph.org

Telephone Number: 415-554-2900

Legal Address:101 Grove Street,
San Francisco, CA 94103**Administrative Address:**1380 Howard Street, 4th Floor
San Francisco, CA 94103**Payment Address:**1380 Howard Street, 4th Floor
San Francisco, CA 94103

Attachment 3B-2
Highest Compensated Officers

Subaward Number:
14171sc

Subrecipient:

Institution Name: City & County of San Francisco

PI Name: William McFarland

Highest Compensated Officers

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue Code of 1986.

Officer 1 Name:

Officer 1 Compensation:

Officer 2 Name:

Officer 2 Compensation:

Officer 3 Name:

Officer 3 Compensation:

Officer 4 Name:

Officer 4 Compensation:

Officer 5 Name:

Officer 5 Compensation:

Attachment 4
Reporting and Prior Approval Terms

Subaward Number:

14171sc

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3A):

Technical Reports:

- ☐ Monthly technical/progress reports will be submitted to the PTE's Administrative Contact within 15 days of of the end of the month.
- ☐ Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's Administrative Contact .
- ☒ Annual technical / progress reports will be submitted within 60 days prior to the end of each budget period to the PTE's Principal Investigator . Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.
- ☒ A Final technical/progress report will be submitted to the PTE's Principal Investigator within 60 days of the end of the Project Period or after termination of this award, whichever comes first.
- ☐ Technical/progress reports on the project as may be required by PTE's Administrative Contact in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency.

Prior Approvals:

Carryover:

Carryover is automatic

Other Reports:

- ☒ In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison and PTE's Principal Investigator within 60 days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Federal Awarding Agency specific forms to the PTE's Principal Investigator within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency.
A negative report is required: No
- ☐ Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below.

Additional Technical and Reporting Requirements:

Attachment 5
Statement of Work, Cost Sharing, Indirects & Budget

Subaward Number:
14171sc

Statement of Work

☐ Below ☒ Attached, 1 pages

If award is FFATA eligible and SOW exceeds 4000 characters, include a Subrecipient Federal Award Project Description

Budget Information

| | | | |
|-----------------------------|---------------------------------------|----------------------------|----|
| Indirect Information | Indirect Cost Rate (IDC) Applied 12 % | Cost Sharing | No |
| Rate Type: | Modified Total Direct Costs | If Yes, include Amount: \$ | |

Budget Details ☐ Below ☒ Attached, 2 pages

Budget Totals

| | | |
|----------------|----|-----------|
| Direct Costs | \$ | 23,446.00 |
| Indirect Costs | \$ | 2,813.00 |
| Total Costs | \$ | 26,259.00 |

All amounts are in United States Dollars

San Francisco Department of Public Health Subcontract

We request a total of **\$26,259** for a subaward with San Francisco Department of Public Health (SFDPH).

- 1. Name of Contractor:** San Francisco Department of Public Health (SFDPH)
- 2. Method of Selection: Sole source.** The SFDPH has been at the forefront of HIV care, research, and training scholars since the beginning of the epidemic and has a longstanding commitment to supporting HIV research and training -- providing political, institutional, and infrastructure support for ongoing research efforts. SFDPH is a pioneer in HIV research and implementation sciences among hard-to-reach populations. The SFDPH played a central role in the evolution of hard-to-reach sampling and PSE methods for research in HIV/AIDS epidemiology of several hard-to-reach populations (including PWID, MSM, Transgender). The SFDPH various activities and research methodologies have been used in other counties in the United States, and internationally in addressing the HIV epidemic. H2R program will leverage the resources of a SFDPH with two of the MPIs who are affiliated with SFDPH and with multiple past and ongoing research among hard-to-reach populations. For over 20 years, the SFDPH has also supported the recruitment and retention of thousands of hard-to-reach people into observational and intervention studies.
- 3. Period of Performance:** 10/01/2022 to 05/31/2023
- 4. Scope of Work:** In close collaboration with UCSF, SFDPH will provide technical assistance and capacity building for surveillance surveys. Dr. McFarland from SFDPH, in collaboration with Drs. Mirzazadeh and Lee, will lead the development of a course called “**Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations**”. This course will provide current information and recent development in sampling methods of hard-to-reach populations, advanced topics on sampling, implementation and analysis of Responded-Driven Sampling and Time-Location Sampling methods, and special considerations of study designs for research among hard-to-reach populations.
- 5. Method of Accountability:** Subrecipients are contractually required to report regularly on course development, teaching and mentoring progress and financial status. We will request at least monthly meeting, and quarterly status reports from the subcontractor. UCSF routinely monitors subrecipient progress in all elements of the Scope of Work. Subcontract Agreements at UCSF are established with 'not- to-exceed' amounts, and payments are issued only upon receipt of invoices which are reviewed and approved by both programmatic and financial staff.
- 6. Itemized Budget & Justification:** For SFDPH sub-agreement budget, see UCSF budget. Budget Justification included below.

SFDPH Subcontract

Budget Justification:

We request a total of \$26,259 for a subaward with San Francisco Department of Public Health (SFDPH).

Personnel:

Willi, McFarland, MD, PhD, MPH&TM, (pronouns: he/him/his) is the Director of the Center for Public Health Research at SFDPH and Professor of Epidemiology and Biostatistics at UCSF. He is Board certified in Preventive Medicine. Dr. McFarland was Joint-PI for a NIH-funded Summer HIV/AIDS Research Program for under-represented minority undergraduate students (SHARP). He is co-investigator with Dr. Arayasirikul (PI) on the recent NIH 25-funded SHINE Strong, a mentoring program committed to the long-term development of transgender scientists. Dr. McFarland was co-director of the NIH T32-supported post-doctoral Traineeship in AIDS Prevention Studies (TAPS) at UCSF for 15 years. He is co-investigator of the NIH R25-funded International Traineeship in AIDS Prevention (ITAPS), and mentor to undergraduate and graduate students at the MPH and PhD levels. He has led numerous short courses in sampling methods, PSE, and the analysis of survey data worldwide. His current research focus is on the epidemiology and prevention of HIV in hard-to-reach populations, including sexual and gender minorities and persons who use drugs. He has 437 peer-reviewed publications, the vast majority on studies that include sampling hard-to-reach populations. In 2014, he was awarded the UCSF AIDS Research Institute's Award for Mentoring Excellence. **Dr. McFarland has mentored 251 undergraduate, graduate and post-doctoral scholars, domestically and internationally, over the past 29 years. Among US mentees, 61 are under-represented minorities.** Dr. McFarland will serve as a H2R program faculty mentor and lecturer in this training program and will provide access to data from local and international studies. Dr. McFarland will serve as MPI to provide oversight, support, and mentoring to Dr. Mirzazadeh in leading H2R program. He will also serve as program faculty, primary mentor to scholars, and directly teach courses for the program. In particular, he will be the course director of **Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations**.

We request 13% (\$23,446) salary support for this position for 8 months.

Subaward Fringe Benefit:

The fringe benefit rate is calculated as 36.25% (\$6,238) of Willi McFarland' salary.

Indirect Cost Rates:

The indirect cost rate is 12% (\$2,813) of total direct expenses.

Attachment 6

Notice of Award (NOA) and any additional documents



The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.



Not incorporating the NOA or any additional documentation to this Subaward.



Department of Health and Human Services
National Institutes of Health
NATIONAL INSTITUTE OF MENTAL HEALTH

Notice of Award
FAIN# R25MH129290
Federal Award Date
08-01-2022

Recipient Information

1. Recipient Name

REGENTS OF THE UNIVERSITY OF
CALIFORNIA, SAN FRANCISCO, THE
1855 FOLSOM ST STE 425

SAN FRANCISCO, 94143

2. Congressional District of Recipient

12

3. Payment System Identifier (ID)

1946036493A6

4. Employer Identification Number (EIN)

946036493

5. Data Universal Numbering System (DUNS)

094878337

6. Recipient's Unique Entity Identifier

KMH5K9V7S518

7. Project Director or Principal Investigator

Ali Mirzazadeh, MD (Contact)

Ali.Mirzazadeh@ucsf.edu

415-476-5821

8. Authorized Official

Bao Sit

bao.sit@ucsf.edu

415-254-7025

Federal Agency Information

9. Awarding Agency Contact Information

Rita Sisco

NATIONAL INSTITUTE OF MENTAL HEALTH

siscor@mail.nih.gov

301-443-2805

10. Program Official Contact Information

Susannah Allison

NATIONAL INSTITUTE OF MENTAL HEALTH

allisonsu@mail.nih.gov

240-627-3861

Federal Award Information

11. Award Number

1R25MH129290-01

12. Unique Federal Award Identification Number (FAIN)

R25MH129290

13. Statutory Authority

42 USC 241 42 CFR 52

14. Federal Award Project Title

Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach
Populations: The H2R Training Program

15. Assistance Listing Number

93.242

16. Assistance Listing Program Title

Mental Health Research Grants

17. Award Action Type

New Competing

18. Is the Award R&D?

Yes

Summary Federal Award Financial Information

19. Budget Period Start Date 08-01-2022 – End Date 05-31-2023

20. Total Amount of Federal Funds Obligated by this Action \$218,874

20 a. Direct Cost Amount \$203,010

20 b. Indirect Cost Amount \$15,864

21. Authorized Carryover

22. Offset

23. Total Amount of Federal Funds Obligated this budget period \$218,874

24. Total Approved Cost Sharing or Matching, where applicable \$0

25. Total Federal and Non-Federal Approved this Budget Period \$218,874

26. Project Period Start Date 08-01-2022 – End Date 05-31-2026

27. Total Amount of the Federal Award including Approved Cost
Sharing or Matching this Project Period \$218,874

28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Tamara A. Kees

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



RESEARCH EDUCATION AWARD
Department of Health and Human Services
National Institutes of Health

NATIONAL INSTITUTE OF MENTAL HEALTH

Notice of Award



SECTION I – AWARD DATA – 1R25MH129290-01

Principal Investigator(s):

Sean Arayasirikul, PHD
DAVID V. GLIDDEN, PHD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$218,874 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the “Terms and Conditions,” is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as “Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.” Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator’s Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/col/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Tamara A. Kees
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

| | |
|--|----------|
| Salaries and Wages | \$57,249 |
| Fringe Benefits | \$20,591 |
| Personnel Costs (Subtotal) | \$77,840 |
| Materials & Supplies | \$3,500 |
| Travel | \$2,000 |
| Other | \$59,028 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |

| | |
|--|------------------|
| Federal Direct Costs | \$203,010 |
| Federal F&A Costs | \$15,864 |
| Approved Budget | \$218,874 |
| Total Amount of Federal Funds Authorized (Federal Share) | \$218,874 |
| TOTAL FEDERAL AWARD AMOUNT | \$218,874 |

AMOUNT OF THIS ACTION (FEDERAL SHARE) **\$218,874**

| SUMMARY TOTALS FOR ALL YEARS (for this Document Number) | | |
|---|------------|-------------------|
| YR | THIS AWARD | CUMULATIVE TOTALS |
| 1 | \$218,874 | \$218,874 |
| 2 | \$216,876 | \$216,876 |
| 3 | \$216,249 | \$216,249 |
| 4 | \$216,001 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2022

| IC | CAN | 2022 | 2023 | 2024 | 2025 |
|----|---------|-----------|-----------|-----------|-----------|
| OD | 8010144 | \$218,874 | \$0 | \$0 | \$0 |
| MH | 8472616 | | \$216,876 | \$216,249 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41030 / **Released:** Kees, Tamara 07-25-2022
Award Processed: 08/01/2022 12:05:59 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 1R25MH129290-01

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 1R25MH129290-01

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of “Research and Development” at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

| |
|--|
| Office Of The Director, National Institutes Of Health (OD) |
|--|

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 1R25MH129290-01

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

BUDGET/PROJECT PERIOD ADJUSTMENT:

This grant has been selected under the NIMH plan to redistribute grant workloads more evenly throughout the year. Consequently, the initial budget period reflects a 05/31/2023 end date. Subsequent budget periods will begin on 06/01 and will be for a 12-month duration. Although this grant will have a slightly shorter budget period this year, it is awarded the full 12-month level of funds for the budget period. If needed, additional time may be requested at the end of the project period for a first no-cost extension through eRA Commons.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement OD21-005 which can be referenced at:
<https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

INFORMATION:

This grant is awarded with the understanding that project delays and challenges may occur due to COVID-19. It is NIMH's intention to ensure the ultimate success of each project: to that end, we will work with recipients on a case-by-case basis to identify flexibilities and find solutions. You are encouraged to refer to the NIH Guide (<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-20-086.html>, and updates referenced therein) and to the regularly updated Frequently Asked Questions (<https://grants.nih.gov/faqs/#/covid-19.htm>) for guidance on delays in research progress, delays in financial and RPPR reporting, costs, and other relevant issues, and contact your grants specialist and/or program officer with questions.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health** and **Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>)

[026.html](#)), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY

AWARD NUMBER: 1R25MH129290-01

INSTITUTION: The Regents of the UCSF

| Budget | Year 1 | Year 2 | Year 3 | Year 4 |
|--|-----------|-----------|-----------|-----------|
| Salaries and Wages | \$57,249 | \$59,616 | \$59,612 | \$59,609 |
| Fringe Benefits | \$20,591 | \$21,675 | \$21,673 | \$21,672 |
| Personnel Costs (Subtotal) | \$77,840 | \$81,291 | \$81,285 | \$81,281 |
| Materials & Supplies | \$3,500 | | | |
| Travel | \$2,000 | \$2,000 | \$2,000 | \$2,000 |
| Other | \$59,028 | \$59,078 | \$59,083 | \$59,088 |
| Subawards/Consortium/Contractual Costs | \$40,642 | \$40,642 | \$40,642 | \$40,642 |
| Participant Other | \$20,000 | \$20,000 | \$20,000 | \$20,000 |
| TOTAL FEDERAL DC | \$203,010 | \$203,011 | \$203,010 | \$203,011 |
| TOTAL FEDERAL F&A | \$15,864 | \$13,865 | \$13,239 | \$12,990 |
| TOTAL COST | \$218,874 | \$216,876 | \$216,249 | \$216,001 |

| Facilities and Administrative Costs | Year 1 | Year 2 | Year 3 | Year 4 |
|-------------------------------------|-----------|-----------|-----------|-----------|
| F&A Cost Rate 1 | 8% | 8% | 8% | 8% |
| F&A Cost Base 1 | \$198,306 | \$173,307 | \$165,492 | \$162,369 |
| F&A Costs 1 | \$15,864 | \$13,865 | \$13,239 | \$12,990 |

**Department of Health and Human Services**

National Institutes of Health

NATIONAL INSTITUTE OF MENTAL HEALTH

Notice of Award

FAIN# R25MH129290

Federal Award Date

08-23-2022

Recipient Information**1. Recipient Name**

REGENTS OF THE UNIVERSITY OF
CALIFORNIA, SAN FRANCISCO, THE
1855 FOLSOM ST STE 425

SAN FRANCISCO, 94143

2. Congressional District of Recipient

12

3. Payment System Identifier (ID)

1946036493A6

4. Employer Identification Number (EIN)

946036493

5. Data Universal Numbering System (DUNS)

094878337

6. Recipient's Unique Entity Identifier

KMH5K9V7S518

7. Project Director or Principal Investigator

Ali Mirzazadeh, MD (Contact)

Ali.Mirzazadeh@ucsf.edu

415-476-5821

8. Authorized Official

Bao Sit

bao.sit@ucsf.edu

415-254-7025

Federal Agency Information**9. Awarding Agency Contact Information**

Rita Sisco

NATIONAL INSTITUTE OF MENTAL HEALTH

siscor@mail.nih.gov

301-443-2805

10. Program Official Contact Information

Susannah Allison

NATIONAL INSTITUTE OF MENTAL HEALTH

allisonsu@mail.nih.gov

240-627-3861

Federal Award Information**11. Award Number**

1R25MH129290-01

12. Unique Federal Award Identification Number (FAIN)

R25MH129290

13. Statutory Authority

42 USC 241 42 CFR 52

14. Federal Award Project Title

Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach
Populations: The H2R Training Program

15. Assistance Listing Number

93.242

16. Assistance Listing Program Title

Mental Health Research Grants

17. Award Action Type

New Competing (REVISED)

18. Is the Award R&D?

Yes

Summary Federal Award Financial Information**19. Budget Period Start Date 08-01-2022 – End Date 05-31-2023****20. Total Amount of Federal Funds Obligated by this Action**

\$0

20 a. Direct Cost Amount

\$0

20 b. Indirect Cost Amount

\$0

21. Authorized Carryover**22. Offset****23. Total Amount of Federal Funds Obligated this budget period**

\$218,874

24. Total Approved Cost Sharing or Matching, where applicable

\$0

25. Total Federal and Non-Federal Approved this Budget Period

\$218,874

26. Project Period Start Date 08-01-2022 – End Date 05-31-2026**27. Total Amount of the Federal Award including Approved Cost**

\$218,874

Sharing or Matching this Project Period

28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Theresa R. Jarosik

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



RESEARCH EDUCATION AWARD
Department of Health and Human Services
National Institutes of Health

NATIONAL INSTITUTE OF MENTAL HEALTH

Notice of Award



SECTION I – AWARD DATA – 1R25MH129290-01 REVISED

Principal Investigator(s):

Sean Arayasirikul, PHD
DAVID V. GLIDDEN, PHD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby revises this award (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the “Terms and Conditions,” is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as “Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.” Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator’s Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/col/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Theresa R. Jarosik
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

| | |
|--|----------|
| Salaries and Wages | \$57,249 |
| Fringe Benefits | \$20,591 |
| Personnel Costs (Subtotal) | \$77,840 |
| Materials & Supplies | \$3,500 |
| Travel | \$2,000 |
| Other | \$59,028 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |

| | |
|--|------------------|
| Federal Direct Costs | \$203,010 |
| Federal F&A Costs | \$15,864 |
| Approved Budget | \$218,874 |
| Total Amount of Federal Funds Authorized (Federal Share) | \$218,874 |
| TOTAL FEDERAL AWARD AMOUNT | \$218,874 |

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

| SUMMARY TOTALS FOR ALL YEARS (for this Document Number) | | |
|---|------------|-------------------|
| YR | THIS AWARD | CUMULATIVE TOTALS |
| 1 | \$218,874 | \$218,874 |
| 2 | \$216,876 | \$216,876 |
| 3 | \$216,249 | \$216,249 |
| 4 | \$216,001 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2022

| IC | CAN | 2022 | 2023 | 2024 | 2025 |
|----|---------|-----------|-----------|-----------|-----------|
| OD | 8055729 | \$218,874 | \$0 | \$0 | \$0 |
| MH | 8472616 | | \$216,876 | \$216,249 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41030 / **Released:** Jarosik, Theresa 08-20-2022

Award Processed: 08/23/2022 12:06:03 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 1R25MH129290-01 REVISED

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 1R25MH129290-01 REVISED

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of “Research and Development” at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

| |
|--|
| Office Of The Director, National Institutes Of Health (OD) |
|--|

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 1R25MH129290-01 REVISED

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

INTERNAL REVISION TO CORRECT CAN

BUDGET/PROJECT PERIOD ADJUSTMENT:

This grant has been selected under the NIMH plan to redistribute grant workloads more evenly throughout the year. Consequently, the initial budget period reflects a 05/31/2023 end date. Subsequent budget periods will begin on 06/01 and will be for a 12-month duration. Although this grant will have a slightly shorter budget period this year, it is awarded the full 12-month level of funds for the budget period. If needed, additional time may be requested at the end of the project period for a first no-cost extension through eRA Commons.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement OD21-005 which can be referenced at:
<https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

INFORMATION:

This grant is awarded with the understanding that project delays and challenges may occur due to COVID-19. It is NIMH's intention to ensure the ultimate success of each project: to that end, we will work with recipients on a case-by-case basis to identify flexibilities and find solutions. You are encouraged to refer to the NIH Guide (<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-20-086.html>, and updates referenced therein) and to the regularly updated Frequently Asked Questions (<https://grants.nih.gov/faqs#/?covid-19.htm>) for guidance on delays in research progress, delays in financial and RPPR reporting, costs, and other relevant issues, and contact your grants specialist and/or program officer with questions.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health** and **Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY

AWARD NUMBER: 1R25MH129290-01 REVISED

INSTITUTION: The Regents of the UCSF

| Budget | Year 1 | Year 2 | Year 3 | Year 4 |
|--|-----------|-----------|-----------|-----------|
| Salaries and Wages | \$57,249 | \$59,616 | \$59,612 | \$59,609 |
| Fringe Benefits | \$20,591 | \$21,675 | \$21,673 | \$21,672 |
| Personnel Costs (Subtotal) | \$77,840 | \$81,291 | \$81,285 | \$81,281 |
| Materials & Supplies | \$3,500 | | | |
| Travel | \$2,000 | \$2,000 | \$2,000 | \$2,000 |
| Other | \$59,028 | \$59,078 | \$59,083 | \$59,088 |
| Subawards/Consortium/Contractual Costs | \$40,642 | \$40,642 | \$40,642 | \$40,642 |
| Participant Other | \$20,000 | \$20,000 | \$20,000 | \$20,000 |
| TOTAL FEDERAL DC | \$203,010 | \$203,011 | \$203,010 | \$203,011 |
| TOTAL FEDERAL F&A | \$15,864 | \$13,865 | \$13,239 | \$12,990 |
| TOTAL COST | \$218,874 | \$216,876 | \$216,249 | \$216,001 |

| Facilities and Administrative Costs | Year 1 | Year 2 | Year 3 | Year 4 |
|-------------------------------------|-----------|-----------|-----------|-----------|
| F&A Cost Rate 1 | 8% | 8% | 8% | 8% |
| F&A Cost Base 1 | \$198,306 | \$173,307 | \$165,492 | \$162,369 |
| F&A Costs 1 | \$15,864 | \$13,865 | \$13,239 | \$12,990 |

| FDP Subaward Amendment | | | |
|---|---|--|---|
| Amendment No 1 | | Subaward No 14171sc | |
| Pass-Through Entity (PTE) | | Subrecipient | |
| The Regents of the University of California, San Francisco | | Entity Name City & County of San Francisco | |
| CGSubOutTeam@ucsf.edu | | Contact Email willi.mcfarland@sfdph.org | |
| Ali Mirzazadeh | | Principal Investigator William McFarland | |
| Project Title Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program | | | |
| PTE/Prime Award No. R25MH129290 | | Awarding Agency National Institutes of Health (NIH) | |
| Cumulative Budget Period(s) <small>(Agreement Start Date) (End Date of Latest Budget Period)</small> | | Amount Funded This Action | Total Amount of Funds Obligated to Date |
| Start Date: 10/01/2022 End Date: 05/31/2024 | | \$ 26,259.00 | \$ 52,518.00 |
| Subrecipient Cost Share <input type="checkbox"/> | Subject to FFATA <input type="checkbox"/> | Subrecipient UEI <small>(Unique Entity Identifier - May leave blank if unchanged from prior Agreement)</small> DCTNHRGU1K75 | |
| Amendment(s) to Original Terms and Conditions This Amendment revises the above-referenced Subaward Agreement as follows: | | | |
| <div style="margin-bottom: 10px;"> <input checked="" type="checkbox"/> Additional Budget Period Additional budget period 06/01/2023 - 05/31/2024 is hereby added to this Subaward. </div> <div style="margin-bottom: 10px;"> <input type="checkbox"/> No Cost Extension </div> <div style="margin-bottom: 10px;"> <input checked="" type="checkbox"/> Additional Funding Additional funding in the amount of \$ 26,259.00 is hereby obligated to this Subaward. </div> <div style="margin-bottom: 10px;"> <input type="checkbox"/> Deobligation </div> <div style="margin-bottom: 10px;"> Carryover is Automatic Carryover is allowed across all budget periods. </div> <div style="margin-bottom: 10px;"> <input type="checkbox"/> Carryover Authorized </div> <div style="margin-bottom: 10px;"> <input checked="" type="checkbox"/> Detailed Budget/Scope of Work/Notice of Award Attached <small>(Specify if the Budget and Scope of Work are "New", "Revised", or "Supplemental" in dropdown or "Other")</small> A Notice of Award and Budget is incorporated by attachment to this Amendment. </div> <div style="margin-bottom: 10px;"> <input checked="" type="checkbox"/> Other (See Below) </div> <div style="border: 1px solid black; padding: 10px; min-height: 100px;"> Attached budget to include \$1,093 in carryforward funds from project period 10/01/2022-05/31/2023. </div> | | | |
| <i>For clarity: all amounts stated in this amendment are in United States Dollars.</i> | | | |
| All other terms and conditions of this Subaward Agreement remain in full force and effect. | | | |
| By an Authorized Official of PTE: | | By an Authorized Official of Subrecipient: | |
| <div style="display: flex; justify-content: space-between;"> <div style="flex: 1;"> </div> <div style="flex: 1; text-align: right;"> Date 9/6/2023 </div> </div> | | <div style="display: flex; justify-content: space-between;"> <div style="flex: 1;"> </div> <div style="flex: 1; text-align: right;"> Date 8/17/2023 </div> </div> | |
| Name Karim Hussein | | Name Grant Colfax, MD | |
| Title Contract Officer/AOR | | Title Director of Health | |

Approved as to form, David Chiu, City Attorney

By: DocuSigned by: Henry L. Lifton, Deputy City Attorney

San Francisco Department of Public Health Subcontract
Budget Period: 6/1/2023-5/31/2024

A. Salaries and Wages

Personnel

McFarland, Willi

| Position Title | Current Salary | % effort | # mos. | (A) Salary | (B) Benefits | Total |
|--------------------------|----------------|----------|--------|---------------|-----------------|----------|
| Director of Surveillance | \$212,100 | 8% | 12 | \$17,924 | \$6,497 | \$24,421 |

| | | | | | | |
|------------------------|--|----|--|----------|---------|----------|
| Total Personnel | | 8% | | \$17,924 | \$6,497 | \$24,421 |
|------------------------|--|----|--|----------|---------|----------|

Total Direct Costs

Overhead at 12%

Grand Total

| |
|-----------------|
| \$24,421 |
| <u>\$2,931</u> |
| \$27,352 |

SFDPH Subcontract

Budget Justification:

We request a total of \$27,352 for a subaward with San Francisco Department of Public Health (SFDPH).

Personnel:

Willi, McFarland, MD, PhD, MPH&TM, (pronouns: he/him/his) is the Director of the Center for Public Health Research at SFDPH and Professor of Epidemiology and Biostatistics at UCSF. He is Board certified in Preventive Medicine. Dr. McFarland was Joint-PI for a NIH-funded Summer HIV/AIDS Research Program for under-represented minority undergraduate students (SHARP). He is co-investigator with Dr. Arayasirikul (PI) on the recent NIH 25-funded SHINE Strong, a mentoring program committed to the long-term development of transgender scientists. Dr. McFarland was co-director of the NIH T32-supported post-doctoral Traineeship in AIDS Prevention Studies (TAPS) at UCSF for 15 years. He is co-investigator of the NIH R25-funded International Traineeship in AIDS Prevention (ITAPS), and mentor to undergraduate and graduate students at the MPH and PhD levels. He has led numerous short courses in sampling methods, PSE, and the analysis of survey data worldwide. His current research focus is on the epidemiology and prevention of HIV in hard-to-reach populations, including sexual and gender minorities and persons who use drugs. He has 437 peer-reviewed publications, the vast majority on studies that include sampling hard-to-reach populations. In 2014, he was awarded the UCSF AIDS Research Institute's Award for Mentoring Excellence. **Dr. McFarland has mentored 251 undergraduate, graduate and post-doctoral scholars, domestically and internationally, over the past 29 years. Among US mentees, 61 are under-represented minorities.** Dr. McFarland will serve as a H2R program faculty mentor and lecturer in this training program and will provide access to data from local and international studies. Dr. McFarland will serve as MPI to provide oversight, support, and mentoring to Dr. Mirzazadeh in leading H2R program. He will also serve as program faculty, primary mentor to scholars, and directly teach courses for the program. In particular, he will be the course director of **Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations**.

We request 8% (\$17,924) salary support for this position for 12 months.

Subaward Fringe Benefit:

The fringe benefit rate is calculated as 36.25% (\$6,497) of Willi McFarland' salary.

Indirect Cost Rates:

The indirect cost rate is 12% (\$2,931) of total direct expenses.

**Recipient Information****1. Recipient Name**

REGENTS OF THE UNIVERSITY OF
CALIFORNIA, SAN FRANCISCO, THE
1855 FOLSOM ST STE 425

SAN FRANCISCO, CA 94143

2. Congressional District of Recipient

11

3. Payment System Identifier (ID)

1946036493A6

4. Employer Identification Number (EIN)

946036493

5. Data Universal Numbering System (DUNS)

094878337

6. Recipient's Unique Entity Identifier

KMH5K9V7S518

7. Project Director or Principal Investigator

Ali Mirzazadeh, MD (Contact)

ali.mirzazadeh@ucsf.edu

415-866-4234

8. Authorized Official

Bao Sit

bao.sit@ucsf.edu

415-254-7025

Federal Agency Information**9. Awarding Agency Contact Information**

Rita Sisco

NATIONAL INSTITUTE OF MENTAL HEALTH

siscor@mail.nih.gov

(301) 443-2805

10. Program Official Contact Information

Susannah Allison

NATIONAL INSTITUTE OF MENTAL HEALTH

allisonsu@mail.nih.gov

240-627-3861

Federal Award Information**11. Award Number**

5R25MH129290-02

12. Unique Federal Award Identification Number (FAIN)

R25MH129290

13. Statutory Authority

42 USC 241 42 CFR 52

14. Federal Award Project Title

Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach
Populations: The H2R Training Program

15. Assistance Listing Number

93.242

16. Assistance Listing Program Title

Mental Health Research Grants

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

Yes

Summary Federal Award Financial Information**19. Budget Period Start Date 06/01/2023 – End Date 05/31/2024**

20. Total Amount of Federal Funds Obligated by this Action \$216,876

20 a. Direct Cost Amount \$203,011

20 b. Indirect Cost Amount \$13,865

21. Authorized Carryover

22. Offset

23. Total Amount of Federal Funds Obligated this budget period \$216,876

24. Total Approved Cost Sharing or Matching, where applicable \$0

25. Total Federal and Non-Federal Approved this Budget Period \$216,876

26. Project Period Start Date 08/01/2022 – End Date 05/31/2026

27. Total Amount of the Federal Award including Approved Cost \$435,750

Sharing or Matching this Project Period

28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Rita Sisco

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



RESEARCH EDUCATION AWARD
Department of Health and Human Services
National Institutes of Health

NATIONAL INSTITUTE OF MENTAL HEALTH

Notice of Award



SECTION I – AWARD DATA – 5R25MH129290-02

Principal Investigator(s):

Sean Arayasirikul, PHD
Thomas Hoffmann, PHD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$216,876 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as “Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.” Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator’s Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Rita Sisco
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

| | |
|--|----------|
| Salaries and Wages | \$59,616 |
| Fringe Benefits | \$21,675 |
| Personnel Costs (Subtotal) | \$81,291 |
| Travel | \$2,000 |
| Other | \$59,078 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |

| | |
|--|------------------|
| Federal Direct Costs | \$203,011 |
| Federal F&A Costs | \$13,865 |
| Approved Budget | \$216,876 |
| Total Amount of Federal Funds Authorized (Federal Share) | \$216,876 |
| TOTAL FEDERAL AWARD AMOUNT | \$216,876 |

AMOUNT OF THIS ACTION (FEDERAL SHARE) **\$216,876**

| SUMMARY TOTALS FOR ALL YEARS (for this Document Number) | | |
|--|-------------------|--------------------------|
| YR | THIS AWARD | CUMULATIVE TOTALS |
| 2 | \$216,876 | \$216,876 |
| 3 | \$216,249 | \$216,249 |
| 4 | \$216,001 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2023

| IC | CAN | 2023 | 2024 | 2025 |
|----|---------|-----------|-----------|-----------|
| OD | 8055729 | \$216,876 | \$216,249 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41032 / **Released:** Sisco, Rita 06/01/2023
Award Processed: 06/02/2023 12:08:15 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 5R25MH129290-02

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 5R25MH129290-02

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

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This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Office Of The Director, National Institutes Of Health (OD)

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws requires taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <https://www.hhs.gov/civil-rights/for-providers/provider-obligations/index.html> and <https://www.hhs.gov/>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals, see <https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html> and <https://www.lep.gov>.
- For information on an institution's specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>.
- HHS funded health and education programs must be administered in an environment free of sexual harassment; see <https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html>. For information about NIH's commitment to supporting a safe and respectful work environment, who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see <https://grants.nih.gov/grants/policy/harassment.htm>.
- For guidance on administering programs in compliance with applicable federal religious nondiscrimination laws and applicable federal conscience protection and associated anti-discrimination laws, see <https://www.hhs.gov/conscience/conscience-protections/index.html> and <https://www.hhs.gov/conscience/religious-freedom/index.html>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 5R25MH129290-02

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement OD21-005 which can be referenced at:
<https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

CHANGE OF PRINCIPAL INVESTIGATOR:

This revised award reflects NIMH approval of the change of Principal Investigator from Dr. David Glidden to Thomas Hoffmann, as requested in the recipient's RPPR dated 04/13/2023.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health and Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY

AWARD NUMBER: 5R25MH129290-02

INSTITUTION: The Regents of the UCSF

| Budget | Year 2 | Year 3 | Year 4 |
|--|-----------|-----------|-----------|
| Salaries and Wages | \$59,616 | \$59,612 | \$59,609 |
| Fringe Benefits | \$21,675 | \$21,673 | \$21,672 |
| Personnel Costs (Subtotal) | \$81,291 | \$81,285 | \$81,281 |
| Travel | \$2,000 | \$2,000 | \$2,000 |
| Other | \$59,078 | \$59,083 | \$59,088 |
| Subawards/Consortium/Contractual Costs | \$40,642 | \$40,642 | \$40,642 |
| Participant Other | \$20,000 | \$20,000 | \$20,000 |
| TOTAL FEDERAL DC | \$203,011 | \$203,010 | \$203,011 |
| TOTAL FEDERAL F&A | \$13,865 | \$13,239 | \$12,990 |
| TOTAL COST | \$216,876 | \$216,249 | \$216,001 |

| Facilities and Administrative Costs | Year 2 | Year 3 | Year 4 |
|-------------------------------------|-----------|-----------|-----------|
| F&A Cost Rate 1 | 8% | 8% | 8% |
| F&A Cost Base 1 | \$173,307 | \$165,492 | \$162,369 |
| F&A Costs 1 | \$13,865 | \$13,239 | \$12,990 |

| FDP Subaward Amendment | | | |
|--|--|---|--|
| Amendment No 02 | | Subaward No 14171sc | |
| Pass-Through Entity (PTE) | | Subrecipient | |
| The Regents of the University of California, San Francisco | | City & County of San Francisco | |
| CGSubOutTeam@ucsf.edu | | willi.mcfarland@sfdph.org; | |
| Ali Mirzazadeh, MD | | Dr. William McFarland | |
| Project Title Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program | | | |
| PTE/Prime Award No. 5R25MH129290-03 | | Awarding Agency National Institutes of Health (NIH) | |
| Cumulative Budget Period(s) <small>(Agreement Start Date) (End Date of Latest Budget Period)</small> Start Date: 10/01/2022 End Date: 05/31/2025 | | Amount Funded This Action \$ 26,259.00 | |
| | | Total Amount of Funds Obligated to Date \$ 78,777.00 | |
| Subrecipient Cost Share <input type="checkbox"/> | Subject to FFATA <input checked="" type="checkbox"/> | Subrecipient UEI <small>(Unique Entity Identifier - May leave blank if unchanged from prior Agreement)</small> DCTNHRGU1K75 | |
| Amendment(s) to Original Terms and Conditions This Amendment revises the above-referenced Subaward Agreement as follows: | | | |
| <input checked="" type="checkbox"/> Additional Budget Period Additional budget period 06/01/2024 - 05/31/2025 is hereby added to this Subaward. | | | |
| <input type="checkbox"/> No Cost Extension | | | |
| <input checked="" type="checkbox"/> Additional Funding Additional funding in the amount of \$ 26,259.00 is hereby obligated to this Subaward. | | | |
| <input type="checkbox"/> Deobligation | | | |
| Carryover is Automatic Carryover is allowed across all budget periods. | | | |
| <input type="checkbox"/> Carryover Authorized | | | |
| <input checked="" type="checkbox"/> Detailed Budget/Scope of Work/Notice of Award Attached <small>(Specify if the Budget and Scope of Work are "New", "Revised", or "Supplemental" in dropdown or "Other")</small> A Notice of Award and Budget is incorporated by attachment to this Amendment. | | | |
| <input checked="" type="checkbox"/> Other (See Below) | | | |
| The attached budget combines additional budget period funding, \$26,259, with the Carry Forward amount of \$3,826.00 from 06/01/2023 - 05/31/2024 to current budget period ending 05/31/2025. | | | |
| For clarity: all amounts stated in this amendment are in United States Dollars. | | | |
| All other terms and conditions of this Subaward Agreement remain in full force and effect. | | | |
| By an Authorized Official of PTE: <div style="text-align: right;">Date </div> <div style="border-top: 1px solid black; margin-top: 10px;">Name </div> <div style="border-top: 1px solid black; margin-top: 5px;">Title </div> | | By an Authorized Official of Subrecipient: <div style="text-align: right;">Date </div> <div style="border-top: 1px solid black; margin-top: 10px;">Name </div> <div style="border-top: 1px solid black; margin-top: 5px;">Title </div> | |

San Francisco Department of Public Health Subcontract
Budget Period: 6/1/2024-5/31/2025

A. Salaries and Wages

Personnel

McFarland, Willi

| Position Title | Current Salary | % effort | # mos. | (A) Salary | (B) Benefits | Total |
|--------------------------|----------------|----------|--------|---------------|-----------------|----------|
| Director of Surveillance | \$221,900 | 9% | 12 | \$19,716 | \$7,147 | \$26,862 |

Total Personnel

| | | | |
|----|----------|---------|----------|
| 9% | \$19,716 | \$7,147 | \$26,862 |
|----|----------|---------|----------|

Total Direct Costs

Overhead at 12%

Grand Total

| |
|-----------------|
| \$26,862 |
| <u>\$3,223</u> |
| \$30,085 |

SFDPH Subcontract

Budget Justification:

We request a total of \$30,085 for a subaward with San Francisco Department of Public Health (SFDPH).

Personnel:

Willi, McFarland, MD, PhD, MPH&TM, (pronouns: he/him/his) is the Director of the Center for Public Health Research at SFDPH and Professor of Epidemiology and Biostatistics at UCSF. He is Board certified in Preventive Medicine. Dr. McFarland was Joint-PI for a NIH-funded Summer HIV/AIDS Research Program for under-represented minority undergraduate students (SHARP). He is co-investigator with Dr. Arayasirikul (PI) on the recent NIH 25-funded SHINE Strong, a mentoring program committed to the long-term development of transgender scientists. Dr. McFarland was co-director of the NIH T32-supported post-doctoral Traineeship in AIDS Prevention Studies (TAPS) at UCSF for 15 years. He is co-investigator of the NIH R25-funded International Traineeship in AIDS Prevention (ITAPS), and mentor to undergraduate and graduate students at the MPH and PhD levels. He has led numerous short courses in sampling methods, PSE, and the analysis of survey data worldwide. His current research focus is on the epidemiology and prevention of HIV in hard-to-reach populations, including sexual and gender minorities and persons who use drugs. He has 437 peer-reviewed publications, the vast majority on studies that include sampling hard-to-reach populations. In 2014, he was awarded the UCSF AIDS Research Institute's Award for Mentoring Excellence. **Dr. McFarland has mentored 251 undergraduate, graduate and post-doctoral scholars, domestically and internationally, over the past 29 years. Among US mentees, 61 are under-represented minorities.** Dr. McFarland will serve as a H2R program faculty mentor and lecturer in this training program and will provide access to data from local and international studies. Dr. McFarland will serve as MPI to provide oversight, support, and mentoring to Dr. Mirzazadeh in leading H2R program. He will also serve as program faculty, primary mentor to scholars, and directly teach courses for the program. In particular, he will be the course director of **Sampling Methods and Statistical Data Analysis for Research on Hard-to-reach populations**.

We request 9% (\$19,716) salary support for this position for 12 months.

Subaward Fringe Benefit:

The fringe benefit rate is calculated as 36.25% (\$7,147) of Willi McFarland' salary.

Indirect Cost Rates:

The indirect cost rate is 12% (\$3,223) of total direct expenses.



| Recipient Information | Federal Award Information |
|--|---|
| 1. Recipient Name REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, THE 1855 FOLSOM ST STE 425 SAN FRANCISCO, CA 94103 | 11. Award Number 5R25MH129290-03 |
| 2. Congressional District of Recipient 11 | 12. Unique Federal Award Identification Number (FAIN) R25MH129290 |
| 3. Payment System Identifier (ID) 1946036493A6 | 13. Statutory Authority 42 USC 241 42 CFR 52 |
| 4. Employer Identification Number (EIN) 946036493 | 14. Federal Award Project Title Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program |
| 5. Data Universal Numbering System (DUNS) 094878337 | 15. Assistance Listing Number 93.242 |
| 6. Recipient's Unique Entity Identifier KMH5K9V7S518 | 16. Assistance Listing Program Title Mental Health Research Grants |
| 7. Project Director or Principal Investigator Ali Mirzazadeh, MD (Contact) ali.mirzazadeh@ucsf.edu 415-866-4234 | 17. Award Action Type Non-Competing Continuation |
| 8. Authorized Official Magdalene Cho maggie.cho@ucsf.edu 415-502-8752 | 18. Is the Award R&D? Yes |
| Federal Agency Information | Summary Federal Award Financial Information |
| 9. Awarding Agency Contact Information Joyce Tso Grants Management Specialist NATIONAL INSTITUTE OF MENTAL HEALTH joyce.tso@nih.gov | 19. Budget Period Start Date 06/01/2024 – End Date 05/31/2025 |
| 10. Program Official Contact Information Susannah Allison NATIONAL INSTITUTE OF MENTAL HEALTH allisonsu@mail.nih.gov 240-627-3861 | 20. Total Amount of Federal Funds Obligated by this Action \$216,249 20 a. Direct Cost Amount \$203,010 20 b. Indirect Cost Amount \$13,239 |
| | 21. Authorized Carryover |
| | 22. Offset |
| | 23. Total Amount of Federal Funds Obligated this budget period \$216,249 |
| | 24. Total Approved Cost Sharing or Matching, where applicable \$0 |
| | 25. Total Federal and Non-Federal Approved this Budget Period \$216,249 |
| | 26. Project Period Start Date 08/01/2022 – End Date 05/31/2026 |
| | 27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period \$651,999 |
| | 28. Authorized Treatment of Program Income Additional Costs |
| | 29. Grants Management Officer - Signature Heather Weiss |
| 30. Remarks Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system. | |



RESEARCH EDUCATION AWARD
Department of Health and Human Services
National Institutes of Health

Notice of Award



NATIONAL INSTITUTE OF MENTAL HEALTH

SECTION I – AWARD DATA – 5R25MH129290-03

Principal Investigator(s):

Sean Arayasirikul, PHD
Thomas Hoffmann, PHD
William McFarland, MD
Ali Mirzazadeh (contact), MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$216,249 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R25MH129290. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Heather Weiss
Grants Management Officer
NATIONAL INSTITUTE OF MENTAL HEALTH

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

| | |
|--|------------------|
| Salaries and Wages | \$59,612 |
| Fringe Benefits | \$21,673 |
| Personnel Costs (Subtotal) | \$81,285 |
| Travel | \$2,000 |
| Other | \$59,083 |
| Subawards/Consortium/Contractual Costs | \$40,642 |
| Participant Other | \$20,000 |
| | |
| Federal Direct Costs | \$203,010 |
| Federal F&A Costs | \$13,239 |
| Approved Budget | \$216,249 |
| Total Amount of Federal Funds Authorized (Federal Share) | \$216,249 |
| TOTAL FEDERAL AWARD AMOUNT | \$216,249 |
| | |
| AMOUNT OF THIS ACTION (FEDERAL SHARE) | \$216,249 |

| SUMMARY TOTALS FOR ALL YEARS (for this Document Number) | | |
|---|------------|-------------------|
| YR | THIS AWARD | CUMULATIVE TOTALS |
| 3 | \$216,249 | \$216,249 |
| 4 | \$216,001 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RMH129290A
PMS Account Type: P (Subaccount)
Fiscal Year: 2024

| | | | |
|----|---------|-----------|-----------|
| IC | CAN | 2024 | 2025 |
| OD | 8055729 | \$216,249 | \$216,001 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: 9A-ASH / **OC:** 41032 / **Released:** 06/27/2024
Award Processed: 06/28/2024 12:21:19 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 5R25MH129290-03

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 5R25MH129290-03

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 75.
- National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25MH129290. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

| |
|--|
| Office Of The Director, National Institutes Of Health (OD) |
|--|

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws requires taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <https://www.hhs.gov/civil-rights/for-providers/provider-obligations/index.html> and <https://www.hhs.gov/>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals, see <https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html> and <https://www.lep.gov>.
- For information on an institution's specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>.
- HHS funded health and education programs must be administered in an environment free of sexual harassment; see <https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html>. For information about NIH's commitment to supporting a safe and respectful work environment,

who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see

<https://grants.nih.gov/grants/policy/harassment.htm>.

- For guidance on administering programs in compliance with applicable federal religious nondiscrimination laws and applicable federal conscience protection and associated anti-discrimination laws, see <https://www.hhs.gov/conscience/conscience-protections/index.html> and <https://www.hhs.gov/conscience/religious-freedom/index.html>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – MH SPECIFIC AWARD CONDITIONS – 5R25MH129290-03

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

AWARD NOTICE:

This award has been made in response to the application submitted under the Funding Opportunity Announcement OD21-005 which can be referenced at: <https://grants.nih.gov/grants/guide/rfa-files/RFA-OD-21-005.html>.

CONSORTIUM / CONTRACTUAL COSTS:

This award includes funds for consortium activity with **San Francisco Department of Public Health** and **Public Health Foundation Enterprises, Inc.** Each consortium is to be established and administered in accordance with the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). No foreign performance site may be added to this project without the written prior approval of the National Institute of Mental Health.

ELECTRONIC FORMS SUBMISSION:

As outlined in NOT-MH-12-033 (<http://grants.nih.gov/grants/guide/notice-files/NOT-MH-12-033.html>) and NOT-OD-11-026 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-026.html>), the recipient is required to use the xTrain Commons module to electronically prepare and submit the PHS 2271 Statement of Appointment form and the PHS 416-7 Termination Notice for each participant appointed for eight weeks or more on NIMH R25 grants. The recipient organization must electronically submit a completed Statement of Appointment and a completed Termination Notice using the xTrain system.

REPORTING REQUIREMENT:

Successful participation and completion of instruction in Responsible Conduct of Research must be documented during the first year for each participant on this award. Full details about this policy requirement can be found in the NIH Guide Notice NOT-OD-22-055 which can be accessed at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-055.html>.

SPREADSHEET SUMMARY**AWARD NUMBER:** 5R25MH129290-03**INSTITUTION:** The Regents of the UCSF

| Budget | Year 3 | Year 4 |
|--|-----------|-----------|
| Salaries and Wages | \$59,612 | \$59,609 |
| Fringe Benefits | \$21,673 | \$21,672 |
| Personnel Costs (Subtotal) | \$81,285 | \$81,281 |
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| Other | \$59,083 | \$59,088 |
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| TOTAL FEDERAL DC | \$203,010 | \$203,011 |
| TOTAL FEDERAL F&A | \$13,239 | \$12,990 |
| TOTAL COST | \$216,249 | \$216,001 |

| Facilities and Administrative Costs | Year 3 | Year 4 |
|-------------------------------------|-----------|-----------|
| F&A Cost Rate 1 | 8% | 8% |
| F&A Cost Base 1 | \$165,492 | \$162,369 |
| F&A Costs 1 | \$13,239 | \$12,990 |

SAN FRANCISCO DEPARTMENT OF PUBLIC HEALTH
Population Health Division – Center for Public Research
Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program
October 1, 2022 - May 31, 2026

| | | Year 1 Project: 10040048 10/1/22 - 5/31/23 | Year 2 Project: 10035520 6/1/23 - 5/31/24 | Year 3 Project: 10041278 6/1/24 - 5/31/25 | Year 4 Project: 10041896 6/1/25 - 5/31/26 | Total Amount |
|--------------|-------------------------------|---|--|--|--|-----------------------------------|
| | Personnel - | | | | | - |
| | Health Program Coordinator II | 17,208.00 | 17,924.00 | 19,716.00 | 18,056.00 | 72,904.00 |
| | | | | | | - |
| | | | | | | - |
| | Fringe benefits | 6,238.00 | 6,497.00 | 7,146.50 | 4,333.00 | 24,214.50 |
| | | | | | | - |
| | Travel | | | | | - |
| | | | | | | - |
| | Contractual | | | | | - |
| | | | | | | - |
| | Carryover | (1,093.00) | (3,826.00) | | (3,542.28) | (8,461.28) |
| | | | | | | - |
| | Indirect Costs | 2,813.00 | 2,931.00 | 3,222.50 | 4,841.00 | 13,807.50 |
| Total | | 25,166.00 | 23,526.00 | 30,085.00 | 23,687.72 | 102,464.72 |



San Francisco Department of Public Health

Daniel Tsai
Director of Health

City and County of San Francisco
Daniel Lurie
Mayor

Memorandum

To: Honorable Members of the Board of Supervisors

From: San Francisco Department of Public Health

Date: Thursday, January 8, 2026

RE: **Retroactivity re: File 251256**

This Resolution seeks authorization for the Department of Public Health (DPH) to retroactively accept and expend a grant increase of \$23,687.72 from the National Institutes of Health through the Regents of the University of California, San Francisco.

This grant increase is retroactive because DPH received notice of the award after the project start date. DPH received notice of the initial award on March 6, 2023. DPH received notice of the most recent grant increase on September 6, 2025, for a project start date of June 1, 2025. The project start dates were predetermined by the grantor. Upon receiving the notice of the grant increase, DPH put together the accept and expend packet, and forwarded it to the Controller's Office for review and approval. The Controller's Office forwarded the packet to the Mayor's Office on December 5, 2025, for introduction on December 16, 2025.

We respectfully request retroactive authorization for this item. Please contact Lily Conover, SFDPH Controller, at lily.conover@sfdph.org for any questions about this request for retroactive authorization.

City and County of San Francisco

Department of Public Health



Daniel L. Lurie
Mayor

Daniel Tsai
Director of Health

TO: Angela Calvillo, Clerk of the Board of Supervisors

FROM: Daniel Tsai
Director of Health

DATE: 12/1/2025

SUBJECT: Grant Accept and Expend

GRANT TITLE: Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program - \$102,464.72

Attached please find the original and 1 copy of each of the following:

- ☒ Proposed grant resolution, original signed by Department
- ☒ Grant information form, including disability checklist
- ☒ Budget and Budget Justification
- ☐ Grant application: Not Applicable. No application submitted.
- ☒ Agreement / Award Letter
- ☐ Other (Explain):

Special Timeline Requirements:

Departmental representative to receive a copy of the adopted resolution:

Name: Gregory Wong (greg.wong@sfdph.org) Phone: 554-2521

Interoffice Mail Address: Dept. of Public Health, 101 Grove St # 108

Certified copy required Yes ☐

No ☒

OFFICE OF THE MAYOR
SAN FRANCISCO



DANIEL LURIE
MAYOR

TO: Angela Calvillo, Clerk of the Board of Supervisors
FROM: Adam Thongsavat, Liaison to the Board of Supervisors
RE: Accept and Expend Grant Increase - Retroactive - National Institutes of Health - The Regents of the University of California, San Francisco - Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program - \$102,464.72
DATE: December 16, 2025

Resolution retroactively authorizing the Department of Public Health to accept and expend a grant increase from the National Institutes of Health through The Regents of the University of California, San Francisco for participation in a program, entitled "Short Trainings on Methods for Recruiting, Sampling, and Counting Hard-to-Reach Populations: The H2R Training Program," in the amount of \$23,687.72 for the period June 1, 2025 through May 31, 2026, for a total amount of \$102,464.72 for the total period of October 1, 2022, through May 31, 2026.

Should you have any questions, please contact Adam Thongsavat at adam.thongsavat@sfgov.org