



Grant Number: 1R21HD071765-01A1 REVISED

Principal Investigator(s):
William McFarland, MD

Project Title: A probability-based survey of HIV risk among transmen using a novel sampling meth

Dr. Raymond, Henry Fisher
Co Director of HIV Epidemiology
25 Van Ness Avenue, Suite 500
San Francisco, CA 941026056

Award e-mailed to: barbara.garcia@sfdph.org

Budget Period: 04/01/2013 – 03/31/2014
Project Period: 04/01/2013 – 03/31/2015

Dear Business Official:

The National Institutes of Health hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to SAN FRANCISCO DEPT OF PUBLIC HEALTH in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the Eunice Kennedy Shriver National Institute of Child Health & Human Development of the National Institutes of Health under Award Number R21HD071765. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with 42 CFR Part 50 Subpart F. Subsequent to the compliance date of the 2011 revised FCOI regulation (i.e., on or before August 24, 2012), Awardees must be in compliance with all aspects of the 2011 revised regulation; until then, Awardees must comply with the 1995 regulation. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Alesia Brody

Grants Management Officer
EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH & HUMAN
DEVELOPMENT

Additional information follows

SECTION I – AWARD DATA – 1R21HD071765-01A1 REVISED**Award Calculation (U.S. Dollars)**

Federal Direct Costs	\$133,597
Federal F&A Costs	\$7,230
Approved Budget	\$140,827
Federal Share	\$140,827
TOTAL FEDERAL AWARD AMOUNT	\$140,827

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$140,827	\$140,827
2	\$166,989	\$166,989

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

CFDA Number: 93.865
EIN: 1946000417A8
Document Number: RHD071765A
Fiscal Year: 2013

IC	CAN	2013	2014
HD	8014710	\$140,827	\$166,989

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: PDB -SN / **OC:** 414A / **Released:** BRODYA 05/08/2013
Award Processed: 05/09/2013 12:14:33 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 1R21HD071765-01A1 REVISED

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – TERMS AND CONDITIONS – 1R21HD071765-01A1 REVISED

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- The NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at 'http://grants.nih.gov/grants/policy/awardconditions.htm' for certain references cited above.)

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the Central Contractor Registration. Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

Treatment of Program Income:
Additional Costs

SECTION IV – HD Special Terms and Conditions – 1R21HD071765-01A1 REVISED

REVISION: This revised award reflects NICHD acceptance of the certification of Institutional Review Board (IRB) approval and releases the restriction on the Notice of Award issued on 04/01/2013. Accordingly, the special terms and conditions prohibiting research involving human subjects are removed, effective as of the date of IRB approval.

The previous terms and conditions of award (per NOA 04/01/2013) remain in effect as stated below.

This is a Modular Grant Award without direct cost categorical breakdowns issued in accordance with the guidelines published in the NIH Grants Policy Statement (rev. 10/12) (http://grants.nih.gov/grants/policy/nihgps_2012/nihgps_ch13.htm#_Toc271265237). Recipients are required to allocate and account for costs related to this award by category within their institutional accounting system in accordance with applicable cost principles.

This award includes funds awarded for consortium activity with Public Health Foundation Enterprises, Inc.

Consortia are to be established and administered as described in the NIH Grants Policy Statement (rev. 10/12)

(http://grants.nih.gov/grants/policy/nihgps_2012/nihgps_ch15.htm#_Toc271265264)

Human Subjects: NICHD recognizes that investigators are submitting applications to conduct exciting and important research of interest to NICHD. NICHD has oversight responsibilities to ensure compliance with government regulations and to ensure the safety of human subjects in research. The following Web site contains information regarding your institutional responsibilities and compliance issues that should be reviewed throughout the duration of your NIH-supported project. HHS Web Site for Human Subjects: <http://www.hhs.gov/ohrp/>.

For specific requirements related to the protection of human subjects, see the NIH Grants Policy Statement (rev. 10/12)

(http://grants.nih.gov/grants/policy/nihgps_2012/nihgps_ch4.htm#human_subjects_protections).

The grantee institution bears ultimate responsibility for protecting human subjects under this award, including human subjects at all participating and consortium sites, and for ensuring that a Federalwide Assurance approved by the Office of Human Research Protection and certification of Institutional Review Board review and approval have been obtained before human subjects research can be conducted at each site. The documentation of these assurances should be kept on file at the grantee institution. If requested, this documentation should be submitted to NICHD.

NIH requires the use of the eRA Commons eSNAP module for the submission of all Streamlined Noncompeting Application Process (SNAP) Continuation Progress Reports. See NIH Guide Notice NOT-OD-10-093 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-093.html>).

STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Alesia Brody
Email: alesia.brody@nih.gov **Phone:** (301) 496-1305 **Fax:** (301) 451-5510

Program Official: Susan Newcomer
Email: newcomes@mail.nih.gov **Phone:** (301) 435-6981 **Fax:** (301) 496-0962

SPREADSHEET SUMMARY

GRANT NUMBER: 1R21HD071765-01A1 REVISED

INSTITUTION: SAN FRANCISCO DEPT OF PUBLIC HEALTH

<i>Budget</i>	<i>Year 1</i>	<i>Year 2</i>
TOTAL FEDERAL DC	\$133,597	\$158,639
TOTAL FEDERAL F&A	\$7,230	\$8,350
TOTAL COST	\$140,827	\$166,989

<i>Facilities and Administrative Costs</i>	<i>Year 1</i>	<i>Year 2</i>
F&A Cost Rate 1	26.21%	26.21%
F&A Cost Base 1	\$27,583	\$31,857
F&A Costs 1	\$7,230	\$8,350