



STATEMENT OF ECONOMIC INTERESTS COVER PAGE A Public Document

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Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE) Swig, Richard

1. Office, Agency, or Court

Agency Name (Do not use acronyms) City and County of San Francisco Division, Board, Department, District, if applicable Your Position Board of Appeals Member

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State Multi-County City of Judge, Retired Judge, Pro Tem Judge, or Court Commissioner (Statewide Jurisdiction) County of San Francisco Other

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2023 through December 31, 2023. Leaving Office: Date Left (Check one circle) The period covered is January 1, 2023 through the date of leaving office. Assuming Office: Date assumed The period covered is through the date of leaving office. Candidate: Date of Election and office sought, if different than Part 1:

4. Schedule Summary (required)

Total number of pages including this cover page: 19

Schedules attached

- Schedule A-1 - Investments - schedule attached Schedule A-2 - Investments - schedule attached Schedule B - Real Property - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule D - Income - Gifts - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE San Francisco CA 94105 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/27/2024 (month, day, year)

Signature Richard Swig (File the originally signed paper statement with your filing official.)

# SCHEDULE A-2

## Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Swig, Richard

**▶ 1. BUSINESS ENTITY OR TRUST**

Article 3 Advisors  
Name  
San Francisco, CA 94129  
Address (Business Address Acceptable)  
Check one  
 Trust, go to 2  Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS  
Consulting

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$0 - \$1,999  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED        /        / 23             /        / 23  
DISPOSED

NATURE OF INVESTMENT  
 Partnership  Sole Proprietorship  Corporation  
Other \_\_\_\_\_

YOUR BUSINESS POSITION n/a

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499  \$10,001 - \$100,000  
 \$500 - \$1,000  OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None or  Names listed below

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT  REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
\_\_\_\_\_  
\_\_\_\_\_

Description of Business Activity or City or Other Precise Location of Real Property  
\_\_\_\_\_  
\_\_\_\_\_

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED        /        / 23             /        / 23  
DISPOSED

NATURE OF INTEREST  
 Property Ownership/Deed of Trust  Stock  Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining  Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 1. BUSINESS ENTITY OR TRUST**

Name  
Address (Business Address Acceptable)  
Check one  
 Trust, go to 2  Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$0 - \$1,999  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED        /        / 23             /        / 23  
DISPOSED

NATURE OF INVESTMENT  
 Partnership  Sole Proprietorship  \_\_\_\_\_  
Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499  \$10,001 - \$100,000  
 \$500 - \$1,000  OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None or  Names listed below

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT  REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
\_\_\_\_\_  
\_\_\_\_\_

Description of Business Activity or City or Other Precise Location of Real Property  
\_\_\_\_\_  
\_\_\_\_\_

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED        /        / 23             /        / 23  
DISPOSED

NATURE OF INTEREST  
 Property Ownership/Deed of Trust  Stock  Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining  Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

# SCHEDULE A-2

## Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Swig, Richard

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust  
Name  
San Francisco, CA 94105  
Address (Business Address Acceptable)  
Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:

|                                                  |  |              |              |
|--------------------------------------------------|--|--------------|--------------|
| <input type="checkbox"/> \$0 - \$1,999           |  |              |              |
| <input type="checkbox"/> \$2,000 - \$10,000      |  | ____/____/23 | ____/____/23 |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ACQUIRED     | DISPOSED     |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  |              |              |
| <input type="checkbox"/> Over \$1,000,000        |  |              |              |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499       \$10,001 - \$100,000  
 \$500 - \$1,000     OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None    or     Names listed below  
350 Treat St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
501 Second St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
633 Folsom St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Berkshire Hathaway Holding Co.  
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
Insurance and misc. other industries  
Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:

|                                                             |  |              |              |
|-------------------------------------------------------------|--|--------------|--------------|
| <input type="checkbox"/> \$2,000 - \$10,000                 |  |              |              |
| <input type="checkbox"/> \$10,001 - \$100,000               |  | ____/____/23 | ____/____/23 |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 |  | ACQUIRED     | DISPOSED     |
| <input type="checkbox"/> Over \$1,000,000                   |  |              |              |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 1. BUSINESS ENTITY OR TRUST**

Name  
Address (Business Address Acceptable)  
Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:

|                                                  |  |              |              |
|--------------------------------------------------|--|--------------|--------------|
| <input type="checkbox"/> \$0 - \$1,999           |  |              |              |
| <input type="checkbox"/> \$2,000 - \$10,000      |  | ____/____/23 | ____/____/23 |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ACQUIRED     | DISPOSED     |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  |              |              |
| <input type="checkbox"/> Over \$1,000,000        |  |              |              |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499       \$10,001 - \$100,000  
 \$500 - \$1,000     OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None    or     Names listed below

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:

|                                                  |  |              |              |
|--------------------------------------------------|--|--------------|--------------|
| <input type="checkbox"/> \$2,000 - \$10,000      |  |              |              |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ____/____/23 | ____/____/23 |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  | ACQUIRED     | DISPOSED     |
| <input type="checkbox"/> Over \$1,000,000        |  |              |              |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
Swig, Richard

1. BUSINESS ENTITY OR TRUST
Richard L. Swig, Jr. Trust (CONTINUATION)
Name
Address (Business Address Acceptable)
Check one
[ ] Trust, go to 2 [ ] Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[ ] \$0 - \$1,999 [ ] \$2,000 - \$10,000 [ ] \$10,001 - \$100,000 [ ] \$100,001 - \$1,000,000 [ ] Over \$1,000,000
NATURE OF INVESTMENT
[ ] Partnership [ ] Sole Proprietorship [ ] Other
YOUR BUSINESS POSITION

1. BUSINESS ENTITY OR TRUST
Richard L. Swig, Jr. Trust (CONTINUATION)
Name
Address (Business Address Acceptable)
Check one
[ ] Trust, go to 2 [ ] Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[ ] \$0 - \$1,999 [ ] \$2,000 - \$10,000 [ ] \$10,001 - \$100,000 [ ] \$100,001 - \$1,000,000 [ ] Over \$1,000,000
NATURE OF INVESTMENT
[ ] Partnership [ ] Sole Proprietorship [ ] Other
YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
[ ] \$0 - \$499 [ ] \$500 - \$1,000 [ ] \$1,001 - \$10,000 [ ] \$10,001 - \$100,000 [ ] OVER \$100,000

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
[ ] \$0 - \$499 [ ] \$500 - \$1,000 [ ] \$1,001 - \$10,000 [ ] \$10,001 - \$100,000 [ ] OVER \$100,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
[ ] None or [ ] Names listed below

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
[ ] None or [ ] Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST
Check one box:
[X] INVESTMENT [ ] REAL PROPERTY
Brown Forman Corp.
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
Beverages
Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[ ] \$2,000 - \$10,000 [X] \$10,001 - \$100,000 [ ] \$100,001 - \$1,000,000 [ ] Over \$1,000,000
NATURE OF INTEREST
[ ] Property Ownership/Deed of Trust [X] Stock [ ] Partnership
[ ] Leasehold [ ] Other
[X] Check box if additional schedules reporting investments or real property are attached

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST
Check one box:
[X] INVESTMENT [ ] REAL PROPERTY
Comcast Corp.
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
Cable television
Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[ ] \$2,000 - \$10,000 [X] \$10,001 - \$100,000 [ ] \$100,001 - \$1,000,000 [ ] Over \$1,000,000
NATURE OF INTEREST
[ ] Property Ownership/Deed of Trust [X] Stock [ ] Partnership
[ ] Leasehold [ ] Other
[X] Check box if additional schedules reporting investments or real property are attached

Comments:

## SCHEDULE A-2

### Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

|                                                    |            |
|----------------------------------------------------|------------|
| <b>CALIFORNIA FORM</b>                             | <b>700</b> |
| <small>FAIR POLITICAL PRACTICES COMMISSION</small> |            |
| Name                                               |            |
| Swig, Richard                                      |            |

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)  
Name \_\_\_\_\_

Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

---

|                                                  |                              |
|--------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$0 - \$1,999           | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$2,000 - \$10,000      | ACQUIRED    DISPOSED         |
| <input type="checkbox"/> \$10,001 - \$100,000    |                              |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000        |                              |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)  
Name \_\_\_\_\_

Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

---

|                                                  |                              |
|--------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$0 - \$1,999           | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$2,000 - \$10,000      | ACQUIRED    DISPOSED         |
| <input type="checkbox"/> \$10,001 - \$100,000    |                              |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000        |                              |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499     \$10,001 - \$100,000  
 \$500 - \$1,000     OVER \$100,000  
 \$1,001 - \$10,000

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499     \$10,001 - \$100,000  
 \$500 - \$1,000     OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Heineken Hldg  
 Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Beverages  
 Description of Business Activity or  
 City or Other Precise Location of Real Property

|                                                             |                              |
|-------------------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                           | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$2,000 - \$10,000                 | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$10,001 - \$100,000               | ACQUIRED    DISPOSED         |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000                   |                              |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Innocent Bystanders II, LLC  
 Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Real estate  
 Description of Business Activity or  
 City or Other Precise Location of Real Property

|                                                          |                              |
|----------------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                        | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$2,000 - \$10,000              | ____/____/23    ____/____/23 |
| <input checked="" type="checkbox"/> \$10,001 - \$100,000 | ACQUIRED    DISPOSED         |
| <input type="checkbox"/> \$100,001 - \$1,000,000         |                              |
| <input type="checkbox"/> Over \$1,000,000                |                              |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other Limited Liability Company

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_





## SCHEDULE A-2

### Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

|                                                    |            |
|----------------------------------------------------|------------|
| <b>CALIFORNIA FORM</b>                             | <b>700</b> |
| <small>FAIR POLITICAL PRACTICES COMMISSION</small> |            |
| Name                                               |            |
| Swig, Richard                                      |            |

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)

Name \_\_\_\_\_

Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

---

|                                                  |                              |
|--------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$0 - \$1,999           | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$2,000 - \$10,000      | ACQUIRED    DISPOSED         |
| <input type="checkbox"/> \$10,001 - \$100,000    |                              |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000        |                              |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)

Name \_\_\_\_\_

Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

---

|                                                  |                              |
|--------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$0 - \$1,999           | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$2,000 - \$10,000      | ACQUIRED    DISPOSED         |
| <input type="checkbox"/> \$10,001 - \$100,000    |                              |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000        |                              |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

|                                             |                                               |
|---------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> \$0 - \$499        | <input type="checkbox"/> \$10,001 - \$100,000 |
| <input type="checkbox"/> \$500 - \$1,000    | <input type="checkbox"/> OVER \$100,000       |
| <input type="checkbox"/> \$1,001 - \$10,000 |                                               |

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

|                                             |                                               |
|---------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> \$0 - \$499        | <input type="checkbox"/> \$10,001 - \$100,000 |
| <input type="checkbox"/> \$500 - \$1,000    | <input type="checkbox"/> OVER \$100,000       |
| <input type="checkbox"/> \$1,001 - \$10,000 |                                               |

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Nestle SA

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Food products

Description of Business Activity or City or Other Precise Location of Real Property

|                                                             |                              |
|-------------------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                           | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$2,000 - \$10,000                 | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$10,001 - \$100,000               | ACQUIRED    DISPOSED         |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000                   |                              |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Pernod Ricard

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Beverages

Description of Business Activity or City or Other Precise Location of Real Property

|                                                             |                              |
|-------------------------------------------------------------|------------------------------|
| FAIR MARKET VALUE                                           | IF APPLICABLE, LIST DATE:    |
| <input type="checkbox"/> \$2,000 - \$10,000                 | ____/____/23    ____/____/23 |
| <input type="checkbox"/> \$10,001 - \$100,000               | ACQUIRED    DISPOSED         |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 |                              |
| <input type="checkbox"/> Over \$1,000,000                   |                              |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_



## SCHEDULE A-2

### Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name \_\_\_\_\_

Swig, Richard

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)

Name \_\_\_\_\_

Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

---

|                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$0 - \$1,999<br><input type="checkbox"/> \$2,000 - \$10,000<br><input type="checkbox"/> \$10,001 - \$100,000<br><input type="checkbox"/> \$100,001 - \$1,000,000<br><input type="checkbox"/> Over \$1,000,000 | <p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;"> <input type="checkbox"/> ____/____/23    <input type="checkbox"/> ____/____/23<br/>                 ACQUIRED                      DISPOSED             </p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)

Name \_\_\_\_\_

Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

---

|                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$0 - \$1,999<br><input type="checkbox"/> \$2,000 - \$10,000<br><input type="checkbox"/> \$10,001 - \$100,000<br><input type="checkbox"/> \$100,001 - \$1,000,000<br><input type="checkbox"/> Over \$1,000,000 | <p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;"> <input type="checkbox"/> ____/____/23    <input type="checkbox"/> ____/____/23<br/>                 ACQUIRED                      DISPOSED             </p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Philip Morris Intl

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Cigarettes

Description of Business Activity or City or Other Precise Location of Real Property

|                                                                                                                                                                                                                                   |                                                                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$2,000 - \$10,000<br><input type="checkbox"/> \$10,001 - \$100,000<br><input checked="" type="checkbox"/> \$100,001 - \$1,000,000<br><input type="checkbox"/> Over \$1,000,000 | <p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;"> <input type="checkbox"/> ____/____/23    <input type="checkbox"/> ____/____/23<br/>                 ACQUIRED                      DISPOSED             </p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

RSBA & Associates

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Hospitality consulting

Description of Business Activity or City or Other Precise Location of Real Property

|                                                                                                                                                                                                                                   |                                                                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$2,000 - \$10,000<br><input type="checkbox"/> \$10,001 - \$100,000<br><input checked="" type="checkbox"/> \$100,001 - \$1,000,000<br><input type="checkbox"/> Over \$1,000,000 | <p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;"> <input type="checkbox"/> ____/____/23    <input type="checkbox"/> ____/____/23<br/>                 ACQUIRED                      DISPOSED             </p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

# SCHEDULE A-2

## Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Swig, Richard

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)  
Name  
Address (Business Address Acceptable)  
Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                  |  |                                   |                                   |
|--------------------------------------------------|--|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> \$0 - \$1,999           |  |                                   |                                   |
| <input type="checkbox"/> \$2,000 - \$10,000      |  | <u>  </u> / <u>  </u> / <u>23</u> | <u>  </u> / <u>  </u> / <u>23</u> |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ACQUIRED                          | DISPOSED                          |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  |                                   |                                   |
| <input type="checkbox"/> Over \$1,000,000        |  |                                   |                                   |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

RSMC Investment Company  
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
Real estate (owns under 10% of Swig Invest. Co)  
Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                      |  |                                   |                                   |
|------------------------------------------------------|--|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> \$2,000 - \$10,000          |  |                                   |                                   |
| <input type="checkbox"/> \$10,001 - \$100,000        |  | <u>  </u> / <u>  </u> / <u>23</u> | <u>  </u> / <u>  </u> / <u>23</u> |
| <input type="checkbox"/> \$100,001 - \$1,000,000     |  | ACQUIRED                          | DISPOSED                          |
| <input checked="" type="checkbox"/> Over \$1,000,000 |  |                                   |                                   |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining     Other Limited Liability Company

Check box if additional schedules reporting investments or real property are attached

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)  
Name  
Address (Business Address Acceptable)  
Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                  |  |                                   |                                   |
|--------------------------------------------------|--|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> \$0 - \$1,999           |  |                                   |                                   |
| <input type="checkbox"/> \$2,000 - \$10,000      |  | <u>  </u> / <u>  </u> / <u>23</u> | <u>  </u> / <u>  </u> / <u>23</u> |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ACQUIRED                          | DISPOSED                          |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  |                                   |                                   |
| <input type="checkbox"/> Over \$1,000,000        |  |                                   |                                   |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Alphabet Inc.  
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
Internet  
Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                             |  |                                   |                                   |
|-------------------------------------------------------------|--|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> \$2,000 - \$10,000                 |  |                                   |                                   |
| <input type="checkbox"/> \$10,001 - \$100,000               |  | <u>  </u> / <u>  </u> / <u>23</u> | <u>  </u> / <u>  </u> / <u>23</u> |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 |  | ACQUIRED                          | DISPOSED                          |
| <input type="checkbox"/> Over \$1,000,000                   |  |                                   |                                   |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_





# SCHEDULE A-2

## Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Swig, Richard

**▶ 1. BUSINESS ENTITY OR TRUST**

Richard L. Swig, Jr. Trust (CONTINUATION)  
Name \_\_\_\_\_  
Address (Business Address Acceptable) \_\_\_\_\_  
Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                  |  |                                       |                                       |
|--------------------------------------------------|--|---------------------------------------|---------------------------------------|
| <input type="checkbox"/> \$0 - \$1,999           |  |                                       |                                       |
| <input type="checkbox"/> \$2,000 - \$10,000      |  | <u>    </u> / <u>    </u> / <u>23</u> | <u>    </u> / <u>    </u> / <u>23</u> |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ACQUIRED                              | DISPOSED                              |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  |                                       |                                       |
| <input type="checkbox"/> Over \$1,000,000        |  |                                       |                                       |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Uber  
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property \_\_\_\_\_

Ride share company  
Description of Business Activity or City or Other Precise Location of Real Property \_\_\_\_\_

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                             |  |                                   |                                       |
|-------------------------------------------------------------|--|-----------------------------------|---------------------------------------|
| <input type="checkbox"/> \$2,000 - \$10,000                 |  |                                   |                                       |
| <input type="checkbox"/> \$10,001 - \$100,000               |  | <u>04</u> / <u>13</u> / <u>23</u> | <u>    </u> / <u>    </u> / <u>23</u> |
| <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 |  | ACQUIRED                          | DISPOSED                              |
| <input type="checkbox"/> Over \$1,000,000                   |  |                                   |                                       |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 1. BUSINESS ENTITY OR TRUST**

Name \_\_\_\_\_  
Address (Business Address Acceptable) \_\_\_\_\_  
Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                  |  |                                       |                                       |
|--------------------------------------------------|--|---------------------------------------|---------------------------------------|
| <input type="checkbox"/> \$0 - \$1,999           |  |                                       |                                       |
| <input type="checkbox"/> \$2,000 - \$10,000      |  | <u>    </u> / <u>    </u> / <u>23</u> | <u>    </u> / <u>    </u> / <u>23</u> |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | ACQUIRED                              | DISPOSED                              |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  |                                       |                                       |
| <input type="checkbox"/> Over \$1,000,000        |  |                                       |                                       |

NATURE OF INVESTMENT  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE** (Attach a separate sheet if necessary.)

None    or     Names listed below

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

\_\_\_\_\_  
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property \_\_\_\_\_

\_\_\_\_\_  
Description of Business Activity or City or Other Precise Location of Real Property \_\_\_\_\_

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

|                                                  |  |                                       |                                       |
|--------------------------------------------------|--|---------------------------------------|---------------------------------------|
| <input type="checkbox"/> \$2,000 - \$10,000      |  |                                       |                                       |
| <input type="checkbox"/> \$10,001 - \$100,000    |  | <u>    </u> / <u>    </u> / <u>23</u> | <u>    </u> / <u>    </u> / <u>23</u> |
| <input type="checkbox"/> \$100,001 - \$1,000,000 |  | ACQUIRED                              | DISPOSED                              |
| <input type="checkbox"/> Over \$1,000,000        |  |                                       |                                       |

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

Additional Single Sources of Income of \$10,000 or more for Richard L. Swig, Jr. Trust

830 Powell St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
235 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
333 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
369 Pine St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
220 Montgomery St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
Swig Investment Company  
RSMC Investment Company  
RSBA & Associates  
839 Leavenworth, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
750 O'Farrell, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
825 Post St., San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
72 Gough, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
1008 Larkin, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
Innocent Bystanders III  
400 Spear Street, Suite 106 (Via Mr. Swig's interest in Innocent Bystanders III)  
945 Bryant St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
SIC-350 Cal Offering, LLC  
350 Calif. St., San Francisco (Via under 10% interests in Swig Invest. Co. and SIC-350 Cal Offering)

**SCHEDULE B**  
**Interests in Real Property**  
 (Including Rental Income)

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
400 Spear Street, Suite 106  
 CITY  
San Francisco  
 FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000  
 ACQUIRED       /      /23 DISPOSED       /      /23  
 NATURE OF INTEREST  
 Ownership/Deed of Trust  Easement  
 Leasehold month-to-month  
 Yrs. remaining Other  
 IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499  \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000  
 SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
1007 General Kennedy Drive, #216  
 CITY  
San Francisco  
 FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000  
 ACQUIRED       /      /23 DISPOSED       /      /23  
 NATURE OF INTEREST  
 Ownership/Deed of Trust  Easement  
 Leasehold 1  
 Yrs. remaining Other  
 IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499  \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000  
 SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None

\* You are not required to report loans from a commercial lending institution made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF LENDER  
 INTEREST RATE TERM (Months/Years)  
 \_\_\_\_\_%  None \_\_\_\_\_  
 HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000  
 Guarantor, if applicable

NAME OF LENDER\*  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF LENDER  
 INTEREST RATE TERM (Months/Years)  
 \_\_\_\_\_%  None \_\_\_\_\_  
 HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000  
 Guarantor, if applicable

Comments: \_\_\_\_\_

# SCHEDULE C

## Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Swig, Richard

**▶ 1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME  
Article 3 Advisors  
ADDRESS (Business Address Acceptable)  
San Francisco, CA 94147  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Consulting  
YOUR BUSINESS POSITION

GROSS INCOME RECEIVED  No Income - Business Position Only  
 \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
 Salary  Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)  
 Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)  
 Sale of \_\_\_\_\_ (Real property, car, boat, etc.)  
 Loan repayment  
 Commission or  Rental Income, list each source of \$10,000 or more  
\_\_\_\_\_  
(Describe)  
 Other \_\_\_\_\_  
(Describe)

NAME OF SOURCE OF INCOME  
RSBA & Associates  
ADDRESS (Business Address Acceptable)  
San Francisco, CA 94105  
BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Hospitality consulting  
YOUR BUSINESS POSITION  
President

GROSS INCOME RECEIVED  No Income - Business Position Only  
 \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
 Salary  Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)  
 Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)  
 Sale of \_\_\_\_\_ (Real property, car, boat, etc.)  
 Loan repayment  
 Commission or  Rental Income, list each source of \$10,000 or more  
\_\_\_\_\_  
(Describe)  
 Other \_\_\_\_\_  
(Describe)

**▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

\* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\* \_\_\_\_\_  
ADDRESS (Business Address Acceptable) \_\_\_\_\_  
BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_  
HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000  
 \$1,001 - \$10,000  
 \$10,001 - \$100,000  
 OVER \$100,000

INTEREST RATE \_\_\_\_\_%  None  
TERM (Months/Years) \_\_\_\_\_  
SECURITY FOR LOAN  
 None  Personal residence  
 Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_  
 Guarantor \_\_\_\_\_  
 Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_





# SCHEDULE C

## Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Swig, Richard

| ▶ 1. INCOME RECEIVED                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ▶ 1. INCOME RECEIVED                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>NAME OF SOURCE OF INCOME</p> <p>SIC-350 Cal Offering LLC</p> <p>ADDRESS (Business Address Acceptable)</p> <p>San Francisco, CA 94104</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE</p> <p>Investing and owning real estate</p> <p>YOUR BUSINESS POSITION</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <p>NAME OF SOURCE OF INCOME</p> <p>ADDRESS (Business Address Acceptable)</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE</p> <p>YOUR BUSINESS POSITION</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <p>GROSS INCOME RECEIVED <input type="checkbox"/> No Income - Business Position Only</p> <p><input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000</p> <p><input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000</p>                                                                                                                                                                                                                                                                                                                                                                                                                                               | <p>GROSS INCOME RECEIVED <input type="checkbox"/> No Income - Business Position Only</p> <p><input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000</p>                                                                                                                                                                                                                                                                                                                                                                |
| <p>CONSIDERATION FOR WHICH INCOME WAS RECEIVED</p> <p><input type="checkbox"/> Salary <input type="checkbox"/> Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)</p> <p><input type="checkbox"/> Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)</p> <p><input type="checkbox"/> Sale of _____ (Real property, car, boat, etc.)</p> <p><input type="checkbox"/> Loan repayment</p> <p><input type="checkbox"/> Commission or <input checked="" type="checkbox"/> Rental Income, list each source of \$10,000 or more 350 Calif. St., San Francisco (Via under 10% interest in SIC-350 Cal Offering) (Describe)</p> <p><input type="checkbox"/> Other _____ (Describe)</p> | <p>CONSIDERATION FOR WHICH INCOME WAS RECEIVED</p> <p><input type="checkbox"/> Salary <input type="checkbox"/> Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)</p> <p><input type="checkbox"/> Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)</p> <p><input type="checkbox"/> Sale of _____ (Real property, car, boat, etc.)</p> <p><input type="checkbox"/> Loan repayment</p> <p><input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more (Describe)</p> <p><input type="checkbox"/> Other _____ (Describe)</p> |

**▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

\* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

|                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>NAME OF LENDER*</p> <p>ADDRESS (Business Address Acceptable)</p> <p>BUSINESS ACTIVITY, IF ANY, OF LENDER</p> <p>HIGHEST BALANCE DURING REPORTING PERIOD</p> <p><input type="checkbox"/> \$500 - \$1,000</p> <p><input type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000</p> <p><input type="checkbox"/> OVER \$100,000</p> | <p>INTEREST RATE <span style="float: right;">TERM (Months/Years)</span></p> <p>_____ % <input type="checkbox"/> None _____</p> <p>SECURITY FOR LOAN</p> <p><input type="checkbox"/> None <input type="checkbox"/> Personal residence</p> <p><input type="checkbox"/> Real Property _____<br/><span style="margin-left: 150px;">Street address</span></p> <p><span style="margin-left: 150px;">City</span></p> <p><input type="checkbox"/> Guarantor _____</p> <p><input type="checkbox"/> Other _____<br/><span style="margin-left: 150px;">(Describe)</span></p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Comments: \_\_\_\_\_

## Additional Sources of Income of \$10,000 or more for Swig Investment Company:

501 2nd St, San Francisco(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
633 Folsom St, San Francisco(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
830 Powell St, San Francisco(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
235 Montgomery St, San Francisco(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
333 Pine St, San Francisco(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
369 Pine St, San Francisco(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
220 Montgomery Street(Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
839 Leavenworth, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
750 O'Farrell, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
825 Post St., San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
72 Gough, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
1008 Larkin, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
945 Bryant St, San Francisco (Via Mr. Swig's indirect interest of under 10% in Swig Invest. Co.)  
400 Spear Street, Suite 106 (Via Mr. Swig's interest in Innocent Bystanders III)  
350 Calif. St., San Francisco (Via under 10% interest in Swig Invest. Co.)