

File No. 110402

Committee Item No. 1

Board Item No. 16

### COMMITTEE/BOARD OF SUPERVISORS

#### AGENDA PACKET CONTENTS LIST

Committee: Public Safety Committee

Date: April 21, 2011

Board of Supervisors Meeting

Date 5/3/2011

#### Cmte Board

- |                                     |                                     |  |
|-------------------------------------|-------------------------------------|--|
| <input type="checkbox"/>            | <input type="checkbox"/>            | Motion                                       |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Resolution                                   |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Ordinance                                    |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Legislative Digest                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Budget and Legislative Report                |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Ethics Form 126 <i>NA</i>                    |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Introduction Form (for hearings)             |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/>            | <input type="checkbox"/>            | MOU  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Grant Information Form                       |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Grant Budget                                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Subcontract Budget                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Contract/Agreement                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Award Letter                                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Application                                  |

#### OTHER

(Use back side if additional space is needed)

<input type="checkbox"/>	<input type="checkbox"/>	_____
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Completed by: Victor Young

Date: April 15, 2011

Completed by: Victor Young

Date: 4/25/2011

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is in the file.

1 [Accept and Expend Grant - Community Oriented Policing Services - \$750,000]

2  
3 **Resolution authorizing the Department of Emergency Management to accept and**  
4 **expend retroactively a FY 2010 Community Oriented Policing Services (COPS) grant in**  
5 **the amount of \$750,000 from the United States Department of Justice, for the period**  
6 **December 16, 2009, to December 15, 2012.**

7  
8 WHEREAS, The United States Department of Justice (DOJ), through its Community  
9 Oriented Policing Services (COPS) Grant Program, provides funds to local, state, and tribal  
10 law enforcement agencies to procure technology that focuses on the ability to share data  
11 information and enhance voice interoperability with regional, state, and federal partners, with  
12 the goal of increasing public safety; and,

13 WHEREAS, The City and County of San Francisco has received an award of \$750,000  
14 from the COPS Grant Program, to purchase and install equipment to enhance interoperable  
15 communications and information sharing; and,

16 WHEREAS, The grant period is from December 16, 2009 to December 15, 2012; and,

17 WHEREAS, The grant does not require an ASO amendment; and,

18 WHEREAS, The grant does not include any provisions for indirect costs; now,  
19 therefore, be it

20 RESOLVED, That the San Francisco Board of Supervisors hereby authorizes the  
21 Department of Emergency Management to retroactively accept and expend a Fiscal Year  
22 2010 Community Oriented Policing Services grant in the amount of \$750,000 from the United  
23 States Department of Justice; and, be it

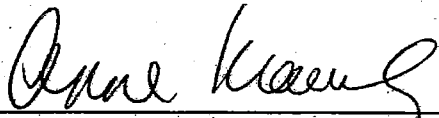
24 FURTHER RESOLVED, That the DEM Executive Director, or designee, is authorized  
25 to furnish whatever additional information or assurances the DOJ may request in connection

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with this grant, to execute any and all agreements or other documents, and to take any other steps necessary to accept, distribute and expend the grant funds; and, be it

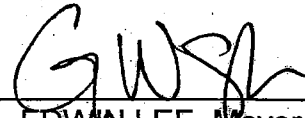
FURTHER RESOLVED, That the grant does not include any provision for indirect costs, and indirect costs are hereby waived.

Recommended:



ANNE KRONENBERG, Executive Director  
Department of Emergency Management

Approved:



EDWIN LEE, Mayor



For BEN ROSENFELD,  
Controller



Edwin M. Lee  
Mayor

**Department of Emergency Management**  
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications  
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services  
Phone: (415) 487-5000 Fax: (415) 487-5043



Anne Kronenberg  
Executive Director

March 23, 2011

Ms. Angela Calvillo  
Clerk of the Board  
San Francisco Board of Supervisors  
City and County of San Francisco  
City Hall

Re: FY 10 Community Oriented Policing Services (COPS) Accept and Expend Resolution

Dear Ms. Calvillo:

Attached is the packet of documents for the FY 10 Community Oriented Policing Services (COPS) Accept and Expend Resolution. All requested documents from the Resolution Checklist are included.

Thank you for your assistance in this matter. If you should have any questions, please do not hesitate to contact me at 558-2745.

Sincerely,

Anne Kronenberg  
Executive Director

**TO:** Angela Calvillo, Clerk of the Board of Supervisors  
**FROM:** Anne Kronenberg, Executive Director, Department of  
Emergency Management  
**DATE:** March 21, 2011  
**SUBJECT:** Accept and Expend Resolution for Subject Grant  
**GRANT TITLE:** FY 10 Community Oriented Policing Services (COPS)  
Grant

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Attached please find the original and 4 copies of each of the following:

- Proposed grant resolution; original signed by Department, Mayor, Controller
- Grant information form, including disability checklist
- Grant budget
- Grant application
- Letter of Intent or grant award letter from funding agency
- Other (Explain):

**Special Timeline Requirements:**

**Departmental representative to receive a copy of the adopted ordinance:**

Name: Mary Landers

Phone: 705-8531

Interoffice Mail Address: 1011 Turk St.

Certified copy required Yes

No

(Note: certified copies have the seal of the City/County affixed and are occasionally required by funding agencies. In most cases ordinary copies without the seal are sufficient).

**File Number:** \_\_\_\_\_  
(Provided by Clerk of Board of Supervisors)

**Grant Information Form**  
(Effective March 2005)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: FY 10 Community Oriented Policing Services
2. Department: Department of Emergency Management
3. Contact Person: Mary Landers Telephone: 705-8531
4. Grant Approval Status (check one):  
 Approved by funding agency       Not yet approved
5. Amount of Grant Funding Approved or Applied for: \$750,000
- 6a. Matching Funds Required: \$ N/A  
b. Source(s) of matching funds (if applicable):
- 7a. Grant Source Agency: U.S. Dept. of Justice  
b. Grant Pass-Through Agency (if applicable):
8. Proposed Grant Project Summary: This grant provides funding to procure technology that focuses on the ability to enhance voice interoperability with regional, state, and federal partners.
9. Grant Project Schedule, as allowed in approval documents, or as proposed:  
Start-Date: December 16, 2009\*      End-Date: December 15, 2012  
\*No funds were expended prior to 7/1/10
- 10a. Amount budgeted for contractual services: N/A  
b. Will contractual services be put out to bid? Services to be provided by CCSF Dept. of Technology through a work order.  
c. If so, will contract services help to further the goals of the department's MBE/WBE requirements?  
d. Is this likely to be a one-time or ongoing request for contracting out?
- 11a. Does the budget include indirect costs?       Yes       No  
b1. If yes, how much? \$  
b2. How was the amount calculated?  
c. If no, why are indirect costs not included?



Gavin Newsom  
Mayor


**Department of Emergency Management**  
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications  
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services  
Phone: (415) 558-2700 Fax: (415) 503-2098



Vicki L. Hennessy  
Executive Director

**To:** Angela Calvillo, Clerk of the Board of Supervisors  
**From:** Anne Kronenberg   
Executive Director, Department of Emergency Management  
**Date:** March 21, 2011  
**RE:** Resolution to Accept and Expend FY 2010 Community Oriented Policing Services (COPS) Grant

The Department of Emergency Management is pleased to present the following Grant Report in summary of the above-referenced item submitted by the Department of Emergency Management.

**Legislation For Approval:** The proposed resolution seeks the Board of Supervisors' approval for the acceptance (retroactive) and expenditure of \$750,000 in funds from the United States Department of Justice, Community Oriented Policing Services, to provide financial assistance to fund the procurement of technology that focuses on the ability to enhance voice interoperability with regional, state, and federal partners.

**Departments:** Department of Emergency Management (DEM) through a work order with the Department of Telecommunications (DT).

**Amount:** \$750,000

**Grant Period:** December 16, 2009 through December 15, 2012

**Source of funds:** U.S. Department of Justice, Community Oriented Policing Services. No match is required.

**Indirect Costs:** The proposed resolution waives indirect costs, because the grant program does not allow the charging of indirect costs.

**Description:** The proposed resolution authorizes the Department of Emergency Management to accept and expend \$750,000 in federal grant funding from the FY 2010 Community Oriented Policing Services Grant

(Award # 2010CKWX0348, ORI #CA242ZZ), issued by the U.S. Department of Justice. The grant will provide financial assistance directly to San Francisco to fund the procurement of technology that focuses on the ability enhance voice interoperability with regional, state, and federal partners.

Grant funds will be allocated to the following activities to accomplish the following tasks:

- (1) Equipment: \$655,000 has been allocated for the purchase of P25 portable radios, connectors, batteries and base station repeaters. A work order to DT for \$95,000 for radio programming and equipment installation and for project management has also been allocated.

**Budget:**

The summary budget by activity for the subject grant is as follows:

Equipment: \$ 750,000

**Total Budget: \$750,000**

**Additional Comments:**

- This grant was received by the City and County of San Francisco from the Department of Justice. The focus of the funding is to enhance voice interoperability.
- No positions are funded with this grant
- Project Management will be handled within the Dept. of Telecommunications.
- No grant funds have been encumbered or expended.
- No Matching funds are required in this grant.





U.S. Department of Justice

Office of Community Oriented Policing Services (COPS)

Office of the Director  
1100 Vermont Ave., NW  
Washington, DC 20530

September 3, 2010

Executive Director Laura Phillips  
San Francisco Department of Emergency Management  
10 Lombard Street  
Suite 410  
San Francisco, CA 94111

Re: Technology Program Grant #2010CKWX0348  
ORI#: CA242ZZ

Dear Executive Director Phillips:

Congratulations! On behalf of Attorney General Eric Holder, I am pleased to inform you that the COPS Office has approved your agency's request for funding in the amount of \$750,000 under the COPS Technology Program. Enclosed in this packet is your grant award. **The award document must be signed and returned to the COPS Office within 90 days from the date of this letter to officially accept your grant.** Beginning on the reverse side of the grant award is a list of conditions that apply to your grant. You should read and familiarize yourself with these conditions. In addition, your Grant Owner's Manual and other important information to assist you with the implementation of your award are available online at <http://www.cops.usdoj.gov/Default.asp?Item=2431>.

The official start date of your grant is December 16, 2009. Therefore, you can be reimbursed for approved expenditures made on or after this date. Please carefully review the Financial Clearance Memorandum included in your award package to determine your approved budget, as some of your requested items may not have been approved by the COPS Office during the budget review process, and grant funds may only be used for approved items. Also, please be aware that any vendor or contractor who participated in drafting your grant application may not receive federal funding for any procurement under this award.

Once again, congratulations on your Technology Program award. If you have any questions about your grant, please do not hesitate to call your Grant Program Specialist through the COPS Office Response Center at 1.800.421.6770.

Sincerely,

Bernard K. Melekian  
Director



**U. S. Department of Justice**  
*Community Oriented Policing Services*

**Grants Administration Division**  
**Law Enforcement Technology**

1100 Vermont Avenue, NW  
Washington, DC 20530

**Memorandum**

**To:** Executive Director Laura Phillips  
San Francisco Department of Emergency Management

**From:** Andrew A. Dorr, Assistant Director for Grants Administration  
Jalila Sebbata, Grant Program Specialist  
Budget Prepared By: Jalila Sebbata, Grant Program Specialist

**Re:** Law Enforcement Technology Financial Clearance Memo  
A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

**OJP Vendor #:** 943248335      **ORI #:** CA242ZZ      **DUNS #:** 102706590      **Grant #:** 2010CKWX0348

<u>Budget Category</u>	<u>Proposed Budget</u>	<u>Approved Budget</u>	<u>Adjustments</u>	<u>Disallowed/Adjusted - Reasons/Comments</u>
Equipment	\$655,000.00	\$655,000.00	\$0.00	
Consultants/Contractors	\$95,000.00	\$95,000.00	\$0.00	
<b>Direct Costs:</b>	<b>\$750,000.00</b>	<b>\$750,000.00</b>	<b>\$0.00</b>	
<b>Grand Total</b>	<b>\$750,000.00</b>	<b>\$750,000.00</b>	<b>\$0.00</b>	
<b>Grand Total:</b>	<b>Federal Share:</b>	<b>\$ 750,000.00</b>		
	<b>Applicant Share:</b>	<b>\$ 0.00</b>		

**Cleared Date:** 8/27/2010

**Overall Comments:**

All costs listed in this budget were programmatically approved based on the final Budget Detail Worksheets submitted by your agency to the COPS Office. Maintenance agreements (if applicable) must be purchased and paid in full within the three-year grant period. Prior to the obligation, expenditure or drawdown of grant funds for non-competitive contracts in excess of \$100,000, grantee must submit a sole source justification to the COPS Office for review and approval. Prior to the obligation, expenditure, or drawdown of grant funds for consultant fees in excess of \$550 per day when the consultant is hired through a noncompetitive bidding process, approval must be obtained from the COPS Office. If the vendor number on this form differs from the EIN number included in your application, then for administrative purposes only, we are assigning a different vendor number to your agency. The reason for this administrative change is that your original EIN number has been assigned to another agency. If this applies to your agency, please use the new vendor number on all financial documents related to this grant award. The vendor number should not be used for IRS purposes and only applies to this grant



**U. S. Department of Justice  
Community Oriented Policing Services  
Grants Administration Division  
Law Enforcement Technology  
Treasury Account Symbol (TAS) 15X0406**

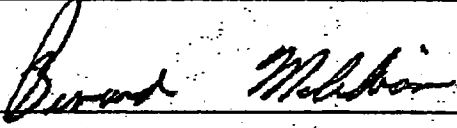
Grant #: 2010CKWX0348  
ORI #: CA242ZZ

Applicant Organization's Legal Name: San Francisco Department of Emergency Management  
OJP Vendor #: 943248335  
DUNS#: 102706590

**Law Enforcement Executive:** Executive Director Laura Phillips  
Address: 10 Lombard Street  
Suite 410.  
City, State, Zip Code: San Francisco, CA 94111  
Telephone: (415) 705-8516  
Fax: (415) 705-8513

**Government Executive:** Mayor Gavin Newsom  
Address: 1 Dr. Carlton B. Goodlett Place  
Room 200  
City, State, Zip Code: San Francisco, CA 94102  
Telephone: (415) 554-6141  
Fax: (415) 554-6160

Award Start Date: 12/16/2009                      Award End Date: 12/15/2012  
Award Amount: \$ 750,000.00



AUG 31 2010

Bernard Melekian  
Director

Date

By signing this Award Document, the grantee agrees to abide by all 20 Grant Terms and Conditions on the reverse side of this document and the attached pages:

\_\_\_\_\_  
Signature of Law Enforcement Official with the  
Authority to Accept this Grant Award

\_\_\_\_\_  
Typed Name and Title of Law Enforcement  
Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Government Official with the Authority to  
Accept this Grant Award

\_\_\_\_\_  
Typed Name and Title of Government Official

\_\_\_\_\_  
Date

False statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any remedy available by law to the Federal Government.

Award ID:  
96867

U.S. Department of Justice  
Office of Community Oriented Policing Services  
2010 Technology Program Grant Terms and Conditions

By signing the Award Document to accept this Technology Program grant, your agency agrees to abide by the following grant conditions:

1. The grantee agrees to comply with the terms and conditions in the 2010 COPS Technology Program Grant Owner's Manual; COPS statute (42 U.S.C. §. 3796dd, et seq.); C.F.R. Part 66 or 28 C.F.R. Part 70 as applicable (governing administrative requirements for grants and cooperative agreements); 2 C.F.R. Part 225 (OMB Circular A-87), 2 C.F.R. Part 220 (OMB Circular A-21), 2 C.F.R. Part 230 (OMB Circular A-122) and 48 C.F.R. Part 31.000 et seq. (FAR 31.2) as applicable (governing cost principles); OMB Circular A-133 (governing audits); representations made in the COPS Technology Program grant application; and all other applicable program requirements, laws, orders, regulations, or circulars.

2. The grantee acknowledges its agreement to comply with the Assurances and Certifications forms that were signed as part of its Technology Program application.

3. The funding under this project is for the payment of approved costs for the continued development of technologies and automated systems to assist state, local, and tribal law enforcement agencies in investigating, responding to, and preventing crime. The allowable costs for which your agency's grant has been approved are limited to those listed on the Financial Clearance Memorandum, which is included in your agency's award package.

The Financial Clearance Memorandum specifies the costs that your agency is allowed to fund with your Technology grant. It also describes any costs which have been disallowed after review of your proposed budget. **Your agency may not use Technology grant funds for any costs that are not identified as allowable in the Financial Clearance Memorandum.**

4. Travel costs for transportation, lodging and subsistence, and related items are allowable under the Technology Program with prior approval from the COPS Office. Payment for allowable travel costs will be in accordance with 2 C.F.R. Part 225 (OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments), 2 C.F.R. Part 220 (OMB Circular A-21, Cost Principles for Educational Institutions), 2 C.F.R. Part 230 (OMB Circular A-122, Cost Principles for Non-Profit Organizations), and 48 C.F.R. Part 31.000, et seq. (FAR-31.2, Cost Principles for Commercial Organizations), as applicable.

5. When procuring information-sharing services, hardware, software, or other equipment, the grantee agrees to procure and implement those items in accordance with the applicable standards outlined in the terms and conditions of the Grant Owner's Manual.

6. State, local, and tribal governments must use Technology Program grant funds to supplement, and not supplant, state, local, or Bureau of Indian Affairs (BIA) funds that are already committed or otherwise would have been committed for grant purposes (hiring, training, purchases, and/or activities) during the grant period. In other words, grantees may not use COPS funds to supplant (replace) state, local, or Bureau of Indian Affairs funds that would have been dedicated to the COPS-funded item(s) in the absence of the COPS grant.

7. Your agency may request an extension of the grant award period to receive additional time to implement your grant program. Such extensions do not provide additional funding. Only those grantees that can provide a reasonable justification for delays will be granted no-cost extensions. **Extension requests must be received prior to the end date of the award. Any extension requests received after an award has expired will be approved only under very limited circumstances.**

8. Occasionally, a change in an agency's fiscal or law enforcement situation necessitates a change in its Technology Program award. Grant modifications under the Technology Program are evaluated on a case-by-case basis. All modification requests involving the purchase of new budget items must be approved, in writing, by the COPS Office prior to their implementation. In addition, please be aware that the COPS Office will not approve any modification request that results in an increase of federal funds.

9. The COPS Office may conduct monitoring or sponsor national evaluations of the COPS Technology Program. The grantee agrees to cooperate with the monitors and evaluators.

10. To assist the COPS Office in the monitoring of your award, your agency will be responsible for submitting periodic programmatic progress reports and quarterly financial reports.

11. Federal law requires that law enforcement agencies receiving federal funding from the COPS Office must be monitored to ensure compliance with their grant conditions and other applicable statutory regulations. The COPS Office is also interested in tracking the progress of our programs and the advancement of community policing. Both aspects of grant implementation—compliance and programmatic benefits—are part of the monitoring process coordinated by the U.S. Department of Justice. Grant monitoring activities conducted by the COPS Office include site visits, office-based grant reviews, alleged noncompliance reviews, financial and programmatic reporting, and audit resolution. As a COPS Technology grantee, you agree to cooperate with and respond to any requests for information pertaining to your grant.

12. All recipients of funding from the COPS Office must comply with the federal regulations pertaining to the development and implementation of an Equal Employment Opportunity Plan (28 C.F.R. Part 42 subpart E).

13. Grantees using Technology Program funds to operate an interjurisdictional criminal intelligence system must comply with operating principles of 28 C.F.R. Part 23. The grantee acknowledges that it has completed, signed and submitted with its grant application the relevant Special Condition certifying its compliance with 28 C.F.R. Part 23.

14. Grantees who have been awarded funding for the procurement of an item (or group of items) or service in excess of \$100,000 and who plan to seek approval for use of a noncompetitive procurement process must provide a written sole source justification to the COPS Office for approval prior to obligating, expending, or drawing down grant funds for that item.

15. The grantee agrees to submit one copy of all reports and proposed publications resulting from this grant 20 days prior to public release. Any publications (including written, software, visual, or sound, but excluding press releases, newsletters, and issue analyses), whether published at the recipient's or government's expense, shall contain the following statement: "This project was supported by Grant # \_\_\_\_\_, awarded by the U.S. Department of Justice, Office of Community Oriented Policing Services. The opinions contained herein are those of the author(s) and do not necessarily represent the official position or policies of the U.S. Department of Justice. References to specific companies, products, or services should not be considered an endorsement by the author(s) or the U.S. Department of Justice. Rather, the references are illustrations to supplement discussion of the issues."

16. The grantee agrees to complete and keep on file, as appropriate, a Bureau of Citizenship and Immigration Services Employment Eligibility Verification Form (I-9). This form is to be used by recipients of federal funds to verify that persons are eligible to work in the United States.

17. To facilitate communication among local and state governmental agencies regarding various information technology projects, the grantee agrees to notify the appropriate State Information Technology Point of Contact of the receipt of this grant award. For a list of State Information Technology Points of Contact, visit <http://www.it.up.gov/default.aspx?area=policyAndPractice&page=1046>.

U.S. Department of Justice  
*Office of Community Oriented Policing Services*  
**2010 Technology Program Grant Terms and Conditions**

18. The grantee agrees to comply with 28 C.F.R. Part 61 (Procedures for Implementing the National Environmental Policy Act).

False statements or claims made in connection with COPS grants may result in fines, imprisonment, or debarment from participating in federal grants or contracts, and/or other remedy available by law.

20. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the awarding agency determines that the recipient is a high-risk grantee (28 C.F.R. Parts 66 and 70).



**Application for Federal Assistance SF-424**Version 02  
OMB Number: 4040-0004  
Expiration Date: 03/31/2012

1. Type of Submission:      2. Type of Application:      If Revision, select appropriate letter(s)

- Preapplication                                       New                                      Other (Specify)
- Application     Continuation
- Changed/Corrected Application                       Revision

3. Date Received :

6/3/2010

4. Applicant Identifier:

CA242ZZ

5a. Federal Entity Identifier:

5a. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

a. Legal Name: San Francisco Department of Emergency Management

b. Employer/Taxpayer Identification Number (EIN/TIN):

943248335

c. Organizational DUNS:

102706590

**d. Address:**

Street 1: 10 Lombard Street

Street 2: Suite 410

City: San Francisco

County:

State: CA

Province:

Country:

Zip / Postal Code: 94111

**e. Organizational Unit:**

Department Name:

Department of Emergency Management

Division Name:

Bay Area UASI

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: Mr.                                      First Name: Jeffrey

Middle Name: Cline                                      Last Name: Blau

Suffix:

Title: Interoperability Program Manager      Organizational Affiliation: Bay Area UASI

Telephone Number: 4156409989

Fax Number: 4157058513

Email: jeff.blau@sfgov.org

**9. Type of Applicant 1: Select Applicant Type:**

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

Other (Specify):

**10 Name of Federal Agency:**

**Office of Community Oriented Policing  
Services**

**11. Catalog of Federal Domestic Assistance Number:**

CFDA # = 16.710

CFDA Title: Public Safety Partnership And Community Policing Grants

**12 Funding Opportunity Number:**

**13. Competition Identification Number:**

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

City and County of San Francisco

**15. Descriptive Title of Applicant's Project:**

Public Safety P25 Radio Equipment



**16. Congressional Districts**

Of:

a. Applicant: Pelosi

b. Program/Project: 2010

**17. Proposed Project:**

a. Start Date: 9/1/2010

b. End Date: 12/31/2012

**18. Estimated Funding (\$):**

a. Federal 750000

b. Applicant

c. State

d. Local

e. Other

f. Program Income

g. TOTAL 750000

**19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

**20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

Yes  No

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

By clicking this box and typing my name below, I also certify that I have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity. I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide, the COPS Grant Owner's Manual, assurances, certifications and all other applicable program regulations, laws, orders, or circulars. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

I AGREE

\*\* The certifications and assurances as well as grant terms and conditions can be reviewed at [www.cops.usdoj/????](http://www.cops.usdoj/????).

**Authorized Representative:**

Prefix: Mr.	Title: Interoperability Program Manager
Middle Name: Cline	First Name: Jeffrey
Last Name: Blau	Suffix:

Telephone Number: 4156409989 Fax Number: 4157058513

Email: jeff.blau@sfgov.org

Signature (Typed Name) of Authorized Representative: Jeffrey C Blau Date Signed: 6/3/2010

**Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

**COPS Application Attachment to SF-424**

**SECTION 1: COPS PROGRAM REQUEST**

**Federal assistance is being requested under the following COPS program:**

*Select the COPS grant program for which you are requesting federal assistance. A separate application must be completed for each COPS program for which you are applying. Please ensure that you read, understand, and agree to comply with the applicable grant terms and conditions as outlined in the COPS Application Guide before finalizing your selection.*

**CHECK ONE PROGRAM OPTION ONLY**

Targeted - Technology Program

**SECTION 2: Agency Eligibility Information**

**A. Type of Agency (select one)**

**Law Enforcement**       **Non-Law Enforcement**

From the list below, please select the type of agency which best describes the applicant.

**Law Enforcement Entities**

Municipal Police

### Section 3: GENERAL AGENCY INFORMATION

A. Applicant ORI Number: CA242ZZ

The ORI number is assigned by the FBI and is your agency's unique identifier. The COPS Office uses the first seven characters of this number. The first two letters are your state abbreviation, the next three numbers are your county's code, and the next two numbers identify your jurisdiction within your county. If you do not currently have an ORI number, the COPS Office will assign one to your agency for the purpose of tracking your grant. ORI numbers assigned to agencies by the COPS Office may end in "ZZ."

B. Applicant Data Universal Numbering System (DUNS) Number: 102706590

A Data Universal Numbering System (DUNS) Number is required. A DUNS number is a unique nine or thirteen digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. For more information about how to obtain a DUNS number, please refer to the "How to Apply" section of the COPS Application Guide.

C. Central Contractor Registration (CCR)

All applicants (other than individuals) are required to maintain current registrations in the Central Contractor Registration (CCR) database. The CCR database is the repository for standard information about federal financial assistance applicants, recipients, and sub-recipients. For more information about how to register with the CCR, please refer to the "How to Apply" section of the COPS Application Guide. Please note that applicants must update or renew their CCR at least once per year to maintain an active status.

Does your agency have an active registration with the Central Contractor Registration database?

Note: Your Agency must have an active registration with the CCR. If your agency is not registered, please register now by going to the following web address: <https://www.bpn.gov/ccr/default.aspx>

Yes  No

D. Geographic Names Information System (GNIS) ID: 277593

Please enter your Geographic Names Information System (GNIS) Identification Number. This is a unique ID assigned to all geographic entities by the U.S. Geological Survey. To look up your GNIS Feature ID, please go to the website: <http://geonames.usgs.gov/domestic/index.html>. For more information about how to obtain a GNIS number, please refer to the "How to Apply" section of the COPS Application Guide.

E. Cognizant Federal Agency: Department of Homeland Security

Select the legal applicant's Cognizant Federal Agency. A Cognizant Federal Agency, generally, is the federal agency from which your jurisdiction receives the most federal funding. Your Cognizant Federal Agency also may have been previously designated by the Office of Management and Budget. Applicants that have never received federal funding should select the "Department of Justice" as the Cognizant Federal Agency.

### Section 3: GENERAL AGENCY INFORMATION

F. Fiscal Year: 7/1/2010 To: 6/30/2011

Enter the date of the legal applicant's fiscal year.

#### G. Service Population

1. Enter the total population of the government entity applying for this grant using the latest census estimate available in the American FactFinder at <http://FactFinder.census.gov>.

781165

2. Check here if the population of the entity applying for this grant is not represented by U.S. Census figures (e.g., colleges, special agencies, school police departments, etc.).

(If checked, complete 2a – 2b.)

2a. If the population of the entity applying for this grant is not represented by U.S. Census figures, please indicate the size of the population as of the latest available estimate:

0

2b. Please indicate the source of this population estimate: \_\_\_\_\_  
(e.g., website address)

3. What is the actual population your department serves as the primary law enforcement entity?

*This may or may not be the same as the population specified above. For example, a service population may be the census population minus incorporated towns and cities that have their own police department within your geographic boundaries or estimates of ridership (e.g., transit police) or visitors (e.g., park police).*

0

3a. If applicable, please explain why the service population differs from the census population:

#### H. Law Enforcement Agency Sworn Force Information

1. Enter the Fiscal Year Budgeted Sworn Force Strength for each year below. The budgeted number of sworn officer positions is the number of sworn positions funded in your agency's budget, including funded but frozen positions, as well as state, Bureau of Indian Affairs, and/or locally funded vacancies. Do not include unfunded vacancies or unpaid/reserve officers.

a. Number of officers funded in agency's current fiscal year budget:

Full-Time: \_\_\_\_\_ Part-Time: \_\_\_\_\_

2. Enter the Fiscal Year Actual Sworn Force Strength as of the date of this application: *The actual number of sworn officer positions is the actual number of sworn positions employed by your agency as of the date of this application. Do not include funded but currently vacant positions or unpaid positions.*

a. Number of officers employed by your agency as of the date of this application:

Full-Time: \_\_\_\_\_ Part-Time: \_\_\_\_\_

## SECTION 4: EXECUTIVE INFORMATION

Note: Listing individuals without ultimate programmatic and financial authority for the grant could delay the review of your application, or remove your application from consideration.

### A. Law Enforcement Executive/Agency Executive Information:

**For Law Enforcement Agencies:** Enter the law enforcement executive's name and contact information. This is the highest ranking law enforcement official within your jurisdiction (e.g., Chief of Police, Sheriff, or equivalent). **For Non-Law Enforcement Agencies:** Enter the highest ranking individual in the applicant agency (e.g., CEO, President, Chairperson, Director) who has the authority to apply for this grant on behalf of the applicant agency. If the grant is awarded, this position would ultimately be responsible for the programmatic implementation of the award.

Title: Executive Director Interim:

First Name: Laura MI:  Last Name: Phillips Suffix:

Agency Name: San Francisco Department of Emergency Management

Street Address1: 10 Lombard Street

Street Address2: Suite 410

City: San Francisco State: CA Zipcode: 94111

Telephone: 4157058516 Fax: 4157058513

Email: laura.phillips@sfgov.org

### B. Government Executive/Financial Official Information:

**For Government Agencies:** Enter the government executive's name and contact information. This is the highest ranking official within your jurisdiction (e.g., Mayor, City Administrator, Tribal Chairman, or equivalent). **For Non-Government Agencies:** Enter the name and contact information of the financial official who has the authority to apply for this grant on behalf of the applicant agency (e.g., Treasurer). If the grant is awarded, this position would ultimately be responsible for the financial management of the award. Please note that information for non-executive positions (e.g., clerks, trustees, etc.) is not acceptable.

Title: Mayor Interim:

First Name: Gavin MI:  Last Name: Newsom Suffix:

Agency Name: City of San Francisco

Street Address1: 1 Dr. Carlton B. Goodlett Place

Street Address2: City Hall, Room 200

City: San Francisco State: CA Zipcode: 94102

Telephone: 4155546141 Fax: 4155546160

Email: gavin.newsom@sfgov.org

## SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

COPS Office grants must be used to reorient the mission and activities of law enforcement agencies toward the community policing philosophy or enhance their involvement in community policing. The following is the COPS Office definition of community policing that emphasizes the primary components of community partnerships, organizational transformation, and problem solving.

*Community policing is a philosophy that promotes organizational strategies, which support the systematic use of partnerships and problemsolving techniques, to proactively address the immediate conditions that give rise to public safety issues, such as crime, social disorder, and fear of crime.*

The COPS Office has completed the development of a comprehensive community policing self-assessment tool for use by law enforcement agencies. Based on this work, we have developed the following list of primary sub-elements of community policing. Please refer to the COPS Office web site ([www.cops.usdoj.gov](http://www.cops.usdoj.gov)) for further information regarding these sub-elements.

### **Community Partnerships:**

Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to both develop solutions to problems and increase trust in police.

Other Government Agencies  
Community Members/Groups  
Non-Profits/Service Providers  
Private Businesses  
Media

### **Organizational Transformation:**

The alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

#### **Agency Management**

Climate and culture  
Leadership  
Labor relations  
Decision-making  
Strategic planning  
Policies  
Organizational evaluations  
Transparency

#### **Organizational Structure**

Geographic assignment of officers  
Despecialization  
Resources and finances  
Personnel  
Recruitment, hiring and selection  
Personnel supervision/evaluations  
Training

#### **Information Systems**

**(Technology)**  
Communication/access to data  
Quality and accuracy of data

### **Problem Solving:**

The process of engaging in the proactive and systematic examination of identified problems to develop effective responses that are rigorously evaluated.

Scanning: Identifying and prioritizing problems  
Analysis: Analyzing problems  
Response: Responding to problems  
Assessment: Assessing problem-solving initiatives  
Using the Crime Triangle to focus on immediate conditions (Victim/Offender/Location)

## SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

### Proposed Community Policing Plan

COPS grants must be used to initiate or enhance community policing activities, either directly by your law enforcement agency, or (for non-law enforcement applicants) in collaboration with law enforcement. Please complete the following questions to describe the types of community policing activities that will result from COPS funding. For each question, answer on behalf of the applicant law enforcement agency, or for non-law enforcement applicants the law enforcement agency(s) with whom you will collaborate. You may find more detailed information about community policing at the COPS Office website <http://www.cops.usdoj.gov/Default.asp?Item=36>.

### Community Partnerships

Community partnerships are ongoing collaborative relationships between law enforcement and the individuals and organizations they serve to both develop solutions to problems and increase trust in the police.

### My Agency:

#### P1) Regularly distributes relevant crime and disorder information to community members.

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

#### P2) Routinely seeks input from the community to identify and prioritize neighborhood problems (e.g., through regularly scheduled community meetings, annual community surveys, etc.).

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

#### P3) Regularly collaborates with local government agencies that deliver public services.

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO



**SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY**

**P4) Regularly collaborates with non-profit organizations and/or community groups.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

**P5) Regularly collaborates with local businesses.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

**P6) Regularly collaborates with informal neighborhood groups and resident associations.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

If no, do you plan to use grant funding to initiate or implement this activity?

b)  NO

YES  NO

**P7) Regularly collaborates with federal government agencies through formal partnerships (e.g., task forces, working groups, etc.)**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

## SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

### Proposed Community Policing Plan

#### Problem Solving

Problem solving is an analytical process for systematically (1) identifying and prioritizing problems, (2) analyzing problems, (3) responding to problems, and (4) evaluating problem-solving initiatives. Problem solving involves an agency-wide commitment to go beyond traditional police responses to crime to proactively address a multitude of problems that adversely affect quality of life.

#### My Agency:

#### PS1) Routinely incorporates problem-solving principles into patrol work.

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

#### PS2) Identifies and prioritizes crime and disorder problems through the routine examination of patterns and trends involving repeat victims, offenders, and locations.

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

#### PS3) Routinely explores the underlying factors and conditions that contribute to crime and disorder problems.

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

**SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY**

**PS4) Systematically tailors responses to crime and disorder problems to address their underlying conditions.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

**PS5) Regularly conducts assessments to determine the effectiveness of responses to crime and disorder problems.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

If no, do you plan to use grant funding to initiate or implement this activity?

b)  NO

YES

NO

## SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

### Organizational Transformation

Organizational transformation is the alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

#### My Agency:

**OT1) Incorporates community policing principles into the agency's mission statement and strategic plan.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

**OT2) Practices community policing as an agency-wide effort involving all staff (i.e., not solely housed in a specialized unit).**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

**OT3) Incorporates problem-solving and partnership activities into personnel performance evaluations.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES  NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES  NO

## SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

### Technology

Technology provides agencies with the tools to communicate more effectively externally with the public and internally with their own staff, and the ability to understand and analyze community problems.

#### My Agency:

**TEC01) Ensures that agency staff have appropriate access to relevant data (e.g., calls for service, incident and arrest data, etc.).**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

**TEC02) Uses technology (e.g., crime mapping or statistical software) to analyze and understand problems in the community.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

**TEC03) Uses technology (e.g., GIS/GPS for deployment or laptops for field reporting) to improve the agency's overall efficiency and effectiveness.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

**TEC04) Provides officers with necessary equipment to better prevent and/or respond to crime and disorder problems.**

a)  YES

If yes, do you plan to use grant funding to enhance or expand this activity?

YES

NO

b)  NO

If no, do you plan to use grant funding to initiate or implement this activity?

YES

NO

## SECTION 6: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

### Community Policing Plan Narrative

Please describe your agency's implementation plan for this program (if awarded), with specific reference to each of the following elements of community policing: (a) community partnerships and support, including consultation with community groups, private agencies, and/or other public agencies; (b) related governmental and community initiatives that complement your agency's proposed use of COPS funding; and (c) organizational transformation – how your agency will use these funds, if awarded, to reorient its mission to community policing or enhance its involvement in and commitment to community policing. Your organization may be audited or monitored to ensure that it is initiating or enhancing community policing in accordance with this plan. The COPS Office may also use this information to understand the needs of the field, and potentially provide for training, technical assistance, problem solving and community policing implementation tools.

If your organization receives this grant funding, these responses, along with the previous questions, will be considered as your organization's community policing plan. We understand that your community policing needs may change during the life of your grant (if awarded), and minor changes to this plan may be made without prior approval from the COPS Office. We also recognize that this plan may incorporate a broad range of possible community policing strategies and activities, and that your agency may implement particular community policing strategies from the plan on an as-needed basis throughout the life of the grant. If your agency's community policing plan changes significantly, however, you must submit those changes in writing to the COPS Office for approval. Changes are "significant" if they deviate from the range of possible community policing activities identified and approved in this original community policing plan submitted with your application.

In the space provided, please address your agency's implementation plan for this program with specific reference to each of the following elements of community policing:

#### **(a) Community partnerships and support, including consultation with community groups, private agencies, and/or other public agencies.**

*[Please limit your response to a maximum of 3,000 characters.]*

In our most violent neighborhoods, community police relations are strained, impeding cooperation and information. Community Policing is a policing strategy and philosophy built on the premise that community interaction and support can help control crime and violence, with community members helping to identify suspects, and bring problems to the attention of police. The Plan's community policing strategies focus on:

- 1) Assessing the police department's ability to strategically and uniformly integrate community policing practices into the practice and culture of the department.
- 2) Supporting the continued work of the Community Policing Advisory Committee. Specific community policing strategies include:
  - a) Implement the Community Policing Advisory Committee recommendations.
  - b) Expand community policing training for officers and community members through a collaborative effort between the Police Academy, SFUSD and community based organizations.
3. Develop a community policing strategy specific to San Francisco Housing Authority properties.

A promising trend in significantly reducing violent crimes in the communities of San Francisco is beginning to emerge. Although many may argue that there are many factors that directly affect any downturn in crime, one new factor, which was absent prior to Chief Gascón's appointment to the Chief of the San Francisco Police Department, was the implementation of his crime control model known as COMPSTAT. COMPSTAT (Computer Statistics) has a well-established and proven track record in reducing crimes and improving the overall operating systems of several major metropolitan police departments. Police Departments such as New York, Boston, Philadelphia, Miami, New Orleans, Los Angeles and Newark, New Jersey have all experienced significant reduction in violent crimes as a result of the implementation of the COMPSTAT crime control model. Although many of these departments have custom tailored the COMPSTAT process to their own department and community needs, the core elements of COMPSTAT have remained the same. The core elements provide a basic road map for getting police officers back in the business of actually proactively fighting crime rather than just reacting to it. A vital component of the COMPSTAT philosophy is its emphasis on holding police managers directly accountable for combating the crime in their assigned area and providing them the authority to deploy their resources to achieve the desired results.

**(b) Related governmental and community initiatives that complement your agency's proposed use of COPS funding.**

*[Please limit your response to a maximum of 3,000 characters.]*

Our department currently interacts with multiple Federal, State, and Local entities as required for projects that stem from Comp Stat analysis, regional crime data, and criminal elements affecting local communities.

#### Federal

We currently coordinate and work with the FBI (Federal Bureau of Investigation) on statistical analysis of crimes and locations of wanted felons that are committing crimes in the region and/or are travelling between jurisdictions. Most notably, the Robbery Units of both of our agencies interact on a constant basis to identify, warn, and prepare communities and businesses of trends of criminal activity impacting their areas. Although the sharing of data occurs, it is the voice traffic and disparate radio systems that occur when faced with interoperability and joint operations. In order to be effective, communications technology is key in reducing crime rates and the capture of wanted felons.

#### State

The California Department of Corrections (CDC) Parole Agents and Fugitive Teams work in tandem with all our street officers as well as our Fugitive Recovery Enforcement Team (FRET) to target recidivism of felons released through probation and parole into our communities. Through our outreach efforts and monthly enforcement programs, we "recover" and apprehend wanted felons for violations of parole due to criminal conduct associated with them from their release.

#### Local & Neighboring Agencies

The Oakland Police Department currently shares crime data information with us specifically as we continually enforce and apprehend wanted criminals from both jurisdictions. The wanted felons engage in criminal activity from and travel back to the adjoining cities after commission of their crimes. Many are gang related which impacts our communities in both agencies. Through a collaborative effort, we exchange this information but cannot conduct coordinated activities due to disparate radio infrastructure that are proprietary to a specific vendor/manufacture.

The same is true to agencies adjoining our south county area of San Mateo County. Due to criminal offenses at the border, the fleeing felons are travelling between our jurisdictions and impacting the communities throughout the Bay Area. Through all this collaboration, communications is the key when conducting surveillances and gathering and dissemination of intelligence. The purchase of the radios will greatly complement our on-going partnerships.

Current collaborations include:

FBI – Robbery Task Force (joint operations / data sharing)  
Oakland PD – Robbery Apprehension Team  
CDC – California Department of Corrections (Parole Agents and Fugitive Apprehension)  
San Francisco Gang Task Force – monthly enforcement targeting recidivism and parolees

San Mateo County – Currently under negotiations for a communications conduit that will utilize both radio and microwave assets for interoperability conduits for information sharing and intelligence sharing  
The current

**(c) Organizational transformation – how your agency will use these funds, if awarded, to reorient its mission to community policing or enhance its involvement in and commitment to community policing.**

*[Please limit your response to a maximum of 3,000 characters.]*

Impact I: 700 MHz Mutual Aid

Through the acquisition of 700 MHz radios and infrastructure support, we will be able to begin using the "new" 700 MHz spectrum that is being designed and deployed by our surrounding partners in the adjoining counties of the Bay Area. The conduit provides us a direct access to multiple channels that will be shared for interoperability and provides a direct voice link for the sharing of information. This will further enhance the efforts by law enforcement that can target priority criminal activity such as gang suppression as it impacts all our communities throughout the region. With a common communications platform which is not proprietary, we can begin to communicate in joint activities as it relates to enforcement, suppression, apprehension, and surveillance for intelligence gathering. This will meet the needs of the community as it reduces the criminal elements through apprehension and curbing the activities associated with this element in all communities.

**CP1) To what extent is there community support in your jurisdiction for implementing the proposed grant activities?**

High level of support

Moderate support

Minimal support



**CP2) If awarded, to what extent will the grant activities impact the other components of the criminal justice system in your jurisdiction?**

Potentially decreased burden

No change in burden

Potentially increased burden

## **SECTION 7: NEED FOR FEDERAL ASSISTANCE**

### **A. Waivers of the Local Match**

**Section Not Applicable to 2010 COPS Application Attachment**

### **B. Explanation of Need for Federal Assistance**

**All applicants are required to address the need for federal assistance. In the space below, please provide a brief explanation of your agency's inability to address your public safety needs and implement this project without federal assistance.**

[Please limit your response to a maximum of 3,000 characters.]

The world of communications is extremely complicated, involved, and expensive. The inclusion of P-25 Standards mandated by the federal government has taken the sting out of proprietary radio systems, providing a new conduit for agencies to meld radio systems together for interoperability.

These new systems are costly and require site infrastructure enhancements that single agencies and their funding conduits cannot provide. These systems are now being installed in a regional plan that encompasses 12 Bay Area Counties. Due to these high costs, the funds have been allocated to hill top infrastructure but not to subscriber units and their related infrastructure support costs.

With the build out of the new P-25 700 MHz radio systems, this agency must solicit for funds through this grant application for additional funding for subscribers radios (mobiles & portables) and their infrastructure support (as with any changeover to "new" radio systems, additional costs of new adapters, plugs and supporting infrastructure such as batteries have to be changed over).

The new spectrum for the 700 MHz Plan is new and currently being adopted nation- wide. Due to the high costs associated with the new P-25 compliant infrastructure, all Bay Area regional grant funds have been allocated to hilltop infrastructure build-out to support the capabilities of receiving these new radio signals. Due to this extreme expense, the project work has been allocated by region in phases to complete on a year by year basis. This does not leave the region any monies to purchase the required subscribers equipment (mobile or portable radios) that will be required to use this new spectrum. Our agency currently does not have the funds to purchase these radios without federal support.

## SECTION 8: CONTINUATION OF PROJECT AFTER FEDERAL FUNDING ENDS

If you are applying for a COPS grant with a post-grant retention plan requirement, please complete A. If you are applying for a COPS grant without a post-grant retention plan requirement, please complete B.

### B. Continuation of Project after Federal Funding Ends (for other COPS grants with no retention plan requirement)

Please complete these questions to indicate any plans you may have to continue this program, project, or activity after the conclusion of federal funding

1. Will your agency plan to retain any additional positions awarded under this grant for a minimum of 12 months at the conclusion of federal funding for each position?

YES  NO

2. Please identify the source(s) of funding that your agency plans to utilize to cover the costs of retention from the drop-down box listed below: *(check all that apply)*

- General funds
- Raise bond/tax issue
- Private sources/donations
- Non-federal asset forfeiture funds (subject to approval from the state or local oversight agency)
- Fundraising efforts
- Other (Please provide a brief description of the source(s) of funding not to exceed 350 characters.)

Other Grant Funding Sources:  
PSIC - Public Safety Interoperable Communications  
SHSG - State Homeland Security Grant  
UASI - Urban Area Security Initiative

## SECTION 10: EXECUTIVE SUMMARY

Please provide a brief summary of how your agency will use this federal funding. Refer to the COPS Application Guide for clarification on specific information to include in your summary, and be sure to provide a description of how you expect this grant to impact public safety and/or crime prevention in your community. The Executive Summary may be used to keep Congress or other executive branch agencies informed on law enforcement strategies to deter crime in your community.

*[Please limit your responses to a maximum of 3,000 characters.]*

Funding provided will be used to enhance our area of operations to support additional hill top sites with the 700 MHz infrastructure which is P-25 compliant. The vendor selected has been recognized and certified as a P-25 compliant vendor through the Compliance Acceptance Program (CAP) in partnership with the Department of Homeland Security's Command, Control and Interoperability Division, the National Institute of Standards and Technology, industry, and the emergency response community. This provides our Bay Area partners (10 Counties) the conduit and ability to operate in our geographical region and share common channels for interoperability.

Other portions of this fund are to supplement and provide the addition of new P-25 compliant radios to our users with multi-band capabilities. This allows our users the capability to talk on a proprietary system, talk on a shared P-25 system in the new 700 MHz spectrum and the capability to talk selectively on UHF or VHF systems.

The impact of having this capability will provide users not only the ability to operate within their geographical region, but also the ability to travel and communicate out of their system boundaries. This addresses the mutual aid concept and provides an avenue of access to the proposed and shared mutual aid frequencies currently approved by NPSPAC and in accordance to guidance from NIFOG, CalIFOG, CalSIEC, CalSIOP and NPSTC guidance.

The funding will provide interoperability AND support to ALL public safety agencies that will respond to or operate in the San Francisco region. Through the use of this new spectrum we will have additional channels we can use to share for joint operations in the region where multiple agencies are involved in the apprehension of criminal elements that travel throughout the region. This also provides us additional capabilities to respond as a regional asset for mutual aid in the event of civil unrest, unified training such as Urban Shield and Golden Guardian exercises, or planned events and law enforcement events targeting specific crime elements and groups.

## SECTION 11: PROJECT DESCRIPTION (NARRATIVE)

Please include in your application an in-depth narrative response detailing your proposed project. Please refer to the program-specific section of the COPS Application Guide: "How to Apply" section for information on what should be included in your response, as well as any additional formatting requirements and page length limitations. **Note: Child Sexual Predator Program (CSPP) and Community Policing Development (CPD) grant applicants must submit their entire project description narrative as an attachment in Section 13 of this application.**

### A. Problem Identification

*[Responses are limited to a maximum of 3,000 characters.]*

Our radio system cannot interoperate with other agencies directly. This is due to varying bandwidths (other than 800 MHz) and the proprietary systems employed by other agencies (Motorola vs. EDACS Trunking). Radio to radio connectivity cannot be accomplished without a planned "patch" which requires the addition of a secondary radio that uses the required frequency spectrum of the other agency.

This becomes a technical problem and only allows a single frequency solution and would not support a prolonged event. The multiple jurisdictions we encounter and interact with on a daily basis makes this prohibitive, especially when multiple operations are occurring in different areas of our city. One of the many roadblocks to interoperability between agencies has been due to the varying spectrums (frequencies) being utilized as well as the proprietary nature of trunking radio systems employed by varying vendors. Due to these proprietary standards, even like radios using the same frequency spectrum will not be able to communicate with another agency using the same frequencies. Due to limited spacing and limited available frequencies, agencies are further handicapped due to insufficient channels to allocate for interoperability.

Communications is a primary "key" in any event management. The three "C"'s of Control, Command, and Communication is still true to this date. In order to command an event, orders must be communicated into the field to provide direction and to maintain control of an event by coordinating all resources to be efficiently utilized. Without communications, there will be no coordination, duplication of efforts, misallocation of available resources, ineffective control and inability to supply immediately needed resources that are required to mitigate an incident. During any critical (catastrophic) event the inability to properly communicate will exacerbate an incident where additional injuries or lives may be lost (e.g. 911 building collapse, Katrina, Columbine HS, etc).

### B. Project Goals/Objectives

*[Responses are limited to a maximum of 3,000 characters.]*

The broad goal is to provide an interoperable conduit for ALL agencies, regardless of the legacy systems they currently use. The upcoming use of the new 700 MHz spectrum being allocated has been agreed to as the "interoperable medium" for all public safety users in the nation. The Northern California area has been identified as Region 6 (of the 55 regions identified nationwide). Project objectives to this spectrum have been initially completed by pre-planning and coordination of Bay Area agencies in the completion of the FCC application submitted in June 16, 2009. Additional planning and assistance was rendered by ICTAP (Interoperable Communications Technical Assistance Program) by providing fleet map planning for the proposed use of the new spectrum in 700 MHz. This plan has been adopted specifically by our 10 Bay Area Counties consortium know as the San Francisco Bay Area UASI (bayareauasi.org) which has allocated major grant funding for the build-out of the regions hilltop radio infrastructure.

All current planning and goals (to provide a 700 MHz interoperable medium) to use have been achieved as evident by current installations in-progress of hilltop radio sites in San Francisco and the Bay Area. Site selection goals were achieved by selecting locations, based upon the limited available funding, to provide a 97% outdoor coverage with the minimal sites required to achieve this goal. When additional grant funds are received, additional hilltop infrastructure will be added to expand into all counties. Expansion of this program and using a new bandwidth with more available frequencies will enable all subscribers (users) to travel into foreign jurisdictions and be provided a conduit of interoperability. The use of the multi-band radios being requested in this grant is critical as we are currently unable to transmit in this spectrum with our current equipment. A long term objective is the eventual replacement of all our radios to multi band and 700 MHz capabilities while maintaining use of our current legacy systems.

#### **C. Building Relationships and Solving Problems**

*[Responses are limited to a maximum of 3,000 characters.]*

#### **D. Implementation Plan**

*[Responses are limited to a maximum of 3,000 characters.]*

Current plans are already underway to continue build out of P-25 radio sites to support the 700 MHz spectrum being deployed nationwide. Build out of additional sites as required (expanding coverage) is dependant upon receipt of additional funding from grant sources. Begin department migration plan of exchanging and upgrading portable and mobile radio infrastructure to the new shared spectrum (700 MHz) as well as complying with P-25 standards. As additional funding support is acquired, key units within the department will be transitioned (by groups) with an emphasis placed upon regional response personnel with specialized skills being migrated first for mutual aid support.

The current plans call for the build-out of existing hilltop sites beginning with San Francisco as the core city and spiraling outward to include:

City of Oakland and Alameda County  
County of Marin  
County of San Mateo

The City and County of San Francisco currently has a timeline to effectively construct and begin a 4 site 700 MHz operational system by the end of August 2010. San Mateo and Alameda Counties are 6-12 months behind this schedule due to funding sources from differing grant periods.

The major benchmark will be the operational capability of a P-25 compliant radio that can interoperate in any region supporting 700 MHz. This benchmark cannot be predicted at this time due to construction delays and differing funding periods, but is adopted for regional deployment.

The additional request of P-25 multi-band radios will provide an expanded capability to operate on multiple frequencies from 700 MHz, 800 MHz, proprietary trunking, to P-25 trunking and from UHF to VHF frequencies. This single radio addition provides conduits to other frequencies normally not programmable to single frequency radios.

#### Project Timeline

January 2011- March 2011  
Purchase Radios and Infrastructure Equipment

April 2011-August 2011  
Install Base Stations and Antennas

April 2011-August 2011  
Radio Programming and Installation (Approx. 200)

September 2011- October 2011  
System Reliability Testing

November 2011  
System Acceptance

December 2011-February 2012  
Contract Finalization and evaluation.

Our agency will ensure effective implementation of this project by having engaged project management assigned for the duration of the program. The project manager will ensure equipment is ordered, shipped, delivered and installed as outlined in the project schedule. Should issues arise during implementation; the project manager will be responsible for rectifying the situation and giving the proper notification to the COPS office.

#### E. Evaluation Plan/Effectiveness of Program

*[Responses are limited to a maximum of 3,000 characters.]*

The effectiveness of this program is a provision for funding of expensive technology equipment that will be part of a "shared" infrastructure that will benefit our entire bay area region. The effectiveness will be rated upon the abilities of each agency being able to operate in a region unsupported by their legacy systems.

The 700 MHz spectrum is still being built at the time of this grant submission and therefore testing of the system has not been possible. However, borrowed multi-band test radios (Motorola APX) were programmed with adjoining agency radio frequencies (e.g. FBI, Contra Costa County Fire, San Mateo County Fire, Contra Costa County Sheriff's, and miscellaneous city agencies in the region. All were tested, satisfactorily passing and receiving audio signals without interference or complaints. The multi-band capabilities have been tested and found to be exceptional in its legacy system of 800 MHz trunking, 700 MHz test frequency, and the VHF spectrum from the Bay Area. The identified multi-band radio is also certified compliant to P-25 standards as attested by the attached copy of certifications issued to Motorola by the Department of Homeland Security under the NIST (National Institute of Standards and Technology) standards.

Upon completion of the radio sites in San Francisco, immediate testing of the 700 MHz channels will commence in P-25 standards (trunking) as well as conventional modes. Radio propagation tests will be conducted for actual coverage of this county, and upon completion of other county sites, range testing as well as operational capabilities of the mutual aid frequencies yet to be determined. Range testing and operability testing will commence in each regional area using common channels as well as pre-planned trunked mutual aid talk groups that will be shared and operational in each region. The formulation and completion of MOU's (Memorandums of Understanding), MOA's (Memorandum of Agreements) and FUA's (Frequency Use Agreements) shall be completed as each regional area becomes operational.

#### **F. Project Description (Narrative) Attachment**

Child Sexual Predator Program (CSPP) and Community Policing Development (CPD) applicants must submit their entire project description as an attachment in Section 13 of this application.



## **SECTION 13: APPLICATION ATTACHMENTS**

This section should be used to attach any required or applicable attachments to your grant application (e.g., a Memorandum of Understanding). If the program for which you are applying requires a Memorandum of Understanding (MOU), this document should define the roles and responsibilities of the individuals and partner(s) involved in your proposed project. Please refer to the program-specific Application Guide to determine if an MOU or other application attachments are required. The Guide will also specify if optional attachments are permitted for submission.

File Name	Attachement
COPS2010 - Budget Narrative.docx	BudgetNarrative

## **SECTION 14: BUDGET DETAIL WORKSHEETS**

### **Instructions for Completing the Budget Detail Worksheets**

The following Budget Detail Worksheets are designed to allow all COPS grant and cooperative agreement applicants to use the same budget forms to request funding. Allowable and unallowable costs vary widely and depend upon the type of COPS program. The maximum federal funds that can be requested and the federal/local share breakdown requirements also vary.

Please refer to the program-specific Application Guide to determine the allowable/unallowable costs, the maximum amount of federal funds that can be requested, and the federal/local share requirements for the COPS program for which your agency is applying. To assist you, sample Budget Detail Worksheets are included in each Application Guide.

Please complete each section of the Budget Detail Worksheets applicable to the program for which you are applying (see the program-specific Application Guide for requirements). If you are not requesting anything under a particular budget category, please check the appropriate box in that category indicating that no positions or items are requested.

All calculations should be rounded to the nearest whole dollar. Once the budget for your proposal has been completed, a budget summary page will reflect the total amounts requested in each category, the total project costs, and the total federal and local shares.

If you need assistance in completing the Budget Detail Worksheets, please call the COPS Office Response Center at 800.421.6770.

## A. SWORN OFFICER POSITIONS

**Instructions:** This worksheet will assist your agency in reporting your agency's current entry

level salary and benefits and identifying the total salary and benefits request per officer position for the length of the grant term. Please list the current entry-level base salary and fringe benefits rounded to the nearest whole dollar for one full-time sworn officer position within your agency. Do not include employee contributions. (Please refer to the program-specific Application Guide for information on the length of the grant term for the program under which you are applying.)

**Special note regarding sworn officer fringe benefits:** For agencies that do not include fringe benefits as part of the base salary costs and typically calculate these separately, the allowable expenditures may be included under Part 1, Section B. Any fringe benefits that are already included as part of the agency's base salary (Part 1, Section A of the Sworn Officer Budget Worksheet) should not also be included in the separate fringe listing (Part 1, Section B).

Please refer to the program-specific Application Guide for information about allowable and unallowable fringe benefits for sworn officer positions requested under the program to which your agency is applying.

## C. EQUIPMENT/TECHNOLOGY

**Instructions:** List non-expendable items that are to be purchased. Provide a specific description for each item and explain how the item supports the project goals and objectives as outlined in your application. Non-expendable equipment is tangible property (e.g., technology) having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Expendable items should be included either in the "SUPPLIES" or "OTHER" categories. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially for high-price items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "CONTRACTS / CONSULTANTS" category.

Please be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

For agencies purchasing items related to enhanced communications systems, the COPS Office expects and encourages that, wherever feasible, such voice or data communications equipment should be incorporated into an intra- or interjurisdictional strategy for communications interoperability among federal, state, and local law enforcement agencies.

See the program-specific Application Guide for a list of allowable/unallowable costs for this program. Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more lines please check the available box.

Item Name	Computation (# of Items/Units X Unit Cost)	Per Item SubTotal
P25 Portable Radios, Model 1.5	( 50 X 6230 )	\$311,500.00
P25 Portable Radios, Model 3.0	( 15 X 6667 )	\$100,005.00
Radio Connector Conversion Kits	( 65 X 92 )	\$5,980.00
Batteries and 2-wire Surveillance Kits	( 65 X 577 )	\$37,505.00
Base Station Repeaters	( 6 X 33335 )	\$200,010.00
		\$655,000.00

## D. SUPPLIES

**Instructions:** List items by type (office supplies; postage; training materials; copying paper; books; hand-held tape recorders; etc). **Provide a specific description for each item and explain how it supports the project goals and objectives outlined in your application.** Generally, supplies include any materials that are expendable or consumed during the course of the project. See the program-specific Application Guide for a list of allowable/unallowable costs for this program. Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more than lines please check the available box.

Item Name	Computation (# of Items/Units X Unit Cost)	Per Item SubTotal

## E. TRAVEL/TRAINING

**Instructions:** Itemize grant-related travel expenses of grantee personnel (excluding consultants, whose expenses are listed in Section F) by event (e.g., mandatory training, staff to training, field interviews, advisory group meetings). Identify the location of travel whenever possible, and show the number of staff expected to attend each event. Training fees, transportation, lodging and per diem rates for trainees should be listed as separate travel items. Grantee travel costs specific to the grant project may be based on the grantee's written travel policy, assuming the costs are reasonable. Grantees without a written travel policy must follow the established federal rates (found at [www.gsa.gov](http://www.gsa.gov)) for lodging, meals, and per diem. For all grantees (with or without a written travel policy), airfare travel costs must be one of the following: the lowest discount commercial airfare, standard coach airfare, or the federal government contract airfare (if authorized and available). Note: Any local training costs (within a 50-mile radius) should be listed under Section G ("Other Costs").

See the program-specific Application Guide for a list of allowable/unallowable costs for this program. Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more lines please check the available box.

Event Title and Location	Event Costs	Number of Staff	Per Event Subtotal

## F. CONTRACTS/CONSULTANTS

**Instructions:** See the program-specific Application Guide for a list of allowable/unallowable costs for the particular program to which you are applying.

**1. Contracts:** Provide a cost estimate for the product or service to be procured by contract. Applicants are encouraged to promote free and open competition in awarding contracts. If awarded, requests for sole source procurements of equipment, technology, or services in excess of \$100,000 must be submitted to the COPS Office for prior approval.

(See Application Guide for more information on the required submission.)

Contract Name	Per Contract Subtotal
DT Project Management	\$40,000.00
DT Labor for Installation & Programming	\$55,000.00
	\$95,000.00

**2. Consultant Fees:** For each consultant enter the name (if known), service to be provided, hourly or daily fee (based upon an 8-hour day), and estimated length of time on the project. Unless otherwise approved by the COPS Office, approved consultant rates will be based on the salary a consultant receives from his or her primary employer. Consultant fees in excess of \$550 per day require additional written justification and must be pre-approved in writing by the COPS Office if the consultant is hired via a noncompetitive bidding process.

Consultant Name/Title	Service Provided	Computation (Cost X # Days or # Hours)	Per Consultant Fee Subtotal

**3. Consultant Travel:** List all travel-related expenses to be paid from the grant to the individual consultants (e.g., transportation, meals, lodging) separate from their consultant fees.

Consultant Name/Event Title	Event Costs	Number of Staff	Per Consultant Travel Subtotal

**4. Consultant Expenses:** List all travel-related expenses to be paid from the grant to the individual consultants separate from their consultant fees and travel expenses (e.g., computer equipment and office supplies).

Event Title and Location	Event Costs	Number of Staff	Per Event Subtotal

## G. OTHER COSTS

**Instructions:** List other requested items that will support the project goals and objectives as outlined in your application. **Provide a specific description for each item and explain how the item supports the project goals and objectives as outlined in your application.** Please be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made. See the program-specific Application Guide for a list of allowable/unallowable costs for this program. Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more lines please check the available box.

Item Name	Computation (# of Items/Units X Unit Cost)	Per Item SubTotal



## H. INDIRECT COSTS

**Instructions:** Indirect costs are allowed under a **very limited** number of specialized COPS programs. Please see the program-specific Application Guide for a list of allowable/unallowable costs for the particular program to which you are applying.

*If indirect costs are requested, a copy of the agency's fully-executed, negotiated Federal Rate Approval Agreement must be attached to this application.*

Indirect Cost Description	Approved Indirect Cost Rate	Per Indirect Cost Subtotal

## BUDGET SUMMARY

Instructions: Please review the category totals and the total project costs below. If the category totals and project amounts shown are correct, please continue with the submission of your application. Should you need to make revisions to a budget category, click the "Edit" button for that category. Note: Agencies applying for Secure Our Schools (SOS) must enter a "Total Local Share Amount" percentage of 50% in the designated area below. Applicants for all other Fiscal Year 2010 COPS Grants are not required to provide a local match.

Budget Category	Category Total
A Sworn Officer Positions	\$0.00
B Civilian/Non-Sworn Personnel	\$0.00
C Equipment/Technology	\$655,000.00
D Supplies	\$0.00
E Travel/Training	\$0.00
F Contracts/Consultants	\$95,000.00
G Other Costs	\$0.00
H Indirect Costs	\$0.00
Total Project Amount	\$750,000.00
Total Federal Share Amount	\$750,000.00
Total Local Share Amount	\$0.00

### Contact Information for Budget Questions

Please provide contact information of the financial official that the COPS Office may contact with questions related to your budget submission.

Authorized Official's Typed Name: Michelle Geddes

Title: Project Manager

Phone: 4155583825

Fax: 4155583843

Email: michelle.geddes@sfgov.org

## SECTION 15A: ASSURANCES

Several provisions of federal law and policy apply to all grant programs. The Office of Community Oriented Policing Services needs to secure your assurance that the applicant will comply with these provisions. If you would like further information about any of these assurances, please contact your state's COPS Grant Program Specialist at 800.421.6770.

By the applicant's authorized representative's signature, the applicant assures that it will comply with all legal and administrative requirements that govern the applicant for acceptance and use of federal grant funds. In particular, the applicant assures us that:

1. It has been legally and officially authorized by the appropriate governing body (for example, mayor or city council) to apply for this grant and that the persons signing the application and these assurances on its behalf are authorized to do so and to act on its behalf with respect to any issues that may arise during processing of this application.
2. It will comply with the provisions of federal law, which limit certain political activities of grantee employees whose principal employment is in connection with an activity financed in whole or in part with this grant. These restrictions are set forth in 5 U.S.C. § 1501, et seq.
3. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, if applicable.
4. It will establish safeguards, if it has not done so already, to prohibit employees from using their positions for a purpose that is, or gives the appearance of being, motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business or other ties.
5. It will give the Department of Justice or the Comptroller General access to and the right to examine records and documents related to the grant.
6. It will comply with all requirements imposed by the Department of Justice as a condition or administrative requirement of the grant, including but not limited to: the requirements of 28 CFR Part 66 and 28 CFR Part 70 (governing administrative requirements for grants and cooperative agreements); 2 CFR Part 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), 2 CFR Part 230 (OMB Circular A-122) and 48 CFR Part 31.000, et seq. (FAR 31) (governing cost principles); OMB Circular A-133 (governing audits) and other applicable OMB circulars; the applicable provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended; 28 CFR Part 38.1; the current edition of the COPS Grant Monitoring Standards and Guidelines; the applicable COPS Grant Owner's Manuals; and with all other applicable program requirements, laws, orders, regulations, or circulars.
7. If applicable, it will, to the extent practicable and consistent with applicable law, seek, recruit and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions in the agency.

8. It will not, on the ground of race, color, religion, national origin, gender, disability or age, unlawfully exclude any person from participation in, deny the benefits of or employment to any person, or subject any person to discrimination in connection with any programs or activities funded in whole or in part with federal funds. These civil rights requirements are found in the non-discrimination provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 U.S.C. § 3789d); Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000d); the Indian Civil Rights Act (25 U.S.C. §§ 1301-1303); Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794); Title II, Subtitle A of the Americans with Disabilities Act (ADA) (42 U.S.C. § 12101, et seq.); the Age Discrimination Act of 1975 (42 U.S.C. § 6101, et seq.); and Department of Justice Non-Discrimination Regulations contained in Title 28, Parts 35 and 42 (subparts C, D, E, G and I) of the Code of Federal Regulations.

A. In the event that any court or administrative agency makes a finding of discrimination on grounds of race, color, religion, national origin, gender, disability or age against the applicant after a due process hearing, it agrees to forward a copy of the finding to the Office for Civil Rights, Office of Justice Programs, 810 7th Street, NW, Washington, D.C. 20531.

B. If your organization has received an award for \$500,000 or more and has 50 or more employees, then it has to prepare an Equal Employment Opportunity Plan (EEO) and submit it to the Office for Civil Rights ("OCR"), Office of Justice Programs, 810 7th Street, N.W., Washington, DC 20531, for review within 60 days of the notification of the award. If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEO, but it does not have to submit the EEO to OCR for review. Instead, your organization has to maintain the EEO on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEO requirement. However, your organization must complete Section A of the Certification Form and return it to OCR.

## SECTION 15B: CERTIFICATIONS

Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Coordination with Affected Agencies.

Although the Department of Justice has made every effort to simplify the application process, other provisions of federal law require us to seek your agency's certification regarding certain matters. Applicants should read the regulations cited below and the instructions for certification included in the regulations to understand the requirements and whether they apply to a particular applicant. Signing this form complies with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 2 CFR Part 2867, "Nonprocurement Debarment and Suspension" 28 CFR Part 83 Government-Wide Requirements for Drug-Free Workplace (Grants)," and the coordination requirements of the Public Safety Partnership and Community Policing Act of 1994. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

### 1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

- A. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment or modification of any federal grant or cooperative agreement;
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions; and
- C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

### 2. Debarment, Suspension and Other Responsibility Matters (DirectRecipient)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Part 2867.20(a)-

A. The applicant certifies that it and its principals:

- (i) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency;
- (ii) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) or private agreement or transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property, making false claims, or obstruction of justice, or commission of

any offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;  
(iii) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (A) (ii)

(ii) of this certification; and  
(iv) Have not within a three-year period preceding this application had one or more public transactions (federal, state or local) terminated for cause or default.

B. Where the applicant is unable to certify to any of the statements in this Certifications form, he or she shall attach an explanation to this application regarding the particular statement that cannot be certified. Please check here  if an explanation is attached to this application. Please note that the applicant is still required to sign the Certifications form to certify to all the other applicable statements.

3. If applicable, an applicant who receives an award in excess of \$5,000,000 certifies that, to the best of its knowledge and belief, the applicant has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

### 4. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, for grantees/recipients, as defined at 28 CFR Part 83.660 -

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

- (i) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (ii) Establishing an on-going drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

**SECTION 15B: CERTIFICATIONS**

- (iii) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (i);
- (iv) Notifying the employee in the statement required by paragraph (i) that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
  - (v) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (iv)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: COPS Office, 1100 Vermont Ave., NW, Washington, D.C. 20530. Notice shall include the identification number(s) of each affected grant;
  - (vi) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (iv)(b), with respect to any employee who is so convicted -
    - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
    - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such

- purposes by a federal, state or local health, law enforcement or other appropriate agency;
- (vii) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (i), (ii), (iii), (iv), (v), and (vi).

**Grantee Agency Name and Address:**

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of performance (street address, city, county, state, zip code)

1011 Turk Street  
San Francisco, CA 94102

Check if there are workplaces on file that are not identified here

**5. Coordination**

The Public Safety Partnership and Community Policing Act of 1994

requires applicants to certify that there has been appropriate coordination with all agencies that may be affected by the applicant's grant proposal if approved. Affected agencies may include, among others, the Office of the United States Attorney, state or local prosecutors, or correctional agencies. The applicant certifies that there has been appropriate coordination with all affected agencies.

**Grantee Agency Name and Address:**

San Francisco Department of Emergency Management 10 Lombard Street Suite 410 San Francisco, CA 94111

Grantee IRS/ Vendor Number: 943248335

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law. I certify that the assurances provided are true and accurate to the best of my knowledge. Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

By clicking this box and typing my name below, I certify that I have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity. I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide, the COPS Grant Owner's Manual, assurances, certifications and all other applicable program regulations, laws, orders, or circulars. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

Laura Phillips 6/18/2010

Typed Name of Law Enforcement Executive (or Official with Programmatic Authority, as applicable) Date

By clicking this box and typing my name below, I certify that I have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity. I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide, the COPS Grant Owner's Manual, assurances, certifications and all other applicable program regulations, laws, orders, or circulars. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

Mayor Gavin Newsom 6/18/2010

Typed Name of Government Executive (or Official with Programmatic Authority, as applicable) Date

## SECTION 16: Disclosure of Lobbying Activities

### Instructions for Completion of SF-LLL, Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
  2. Identify the status of the covered Federal action.
  3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
  4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District number, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
  5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
  6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
  7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commit
  8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFPD E-90-001."
  9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
  10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting registrant identified in item 4 to influence the covered Federal action.  
(b) Enter the full name(s) of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
  11. The certifying official shall sign and date the form, print his/her name, title and telephone number.
- Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

# Disclosure of Lobbying Activities

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352.

Not Applicable  If not applicable, then entire form, including signature area is grayed-out

## 1. Type of Federal Action:

## 2. Status of Federal Action: 3. Report Type

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> contract              | <input type="checkbox"/> loan           | <input checked="" type="checkbox"/> bid/offer/application | <input checked="" type="checkbox"/> initial filing |
| <input checked="" type="checkbox"/> grant      | <input type="checkbox"/> loan guarantee | <input type="checkbox"/> initial award                    | <input type="checkbox"/> material change           |
| <input type="checkbox"/> cooperative agreement | <input type="checkbox"/> loan insurance | <input type="checkbox"/> post-award                       |  |

For Material Change Only:

Year: \_\_\_\_\_ Quarter: \_\_\_\_\_

Date of Report: \_\_\_\_\_

## 4. Name and Address of Reporting

Entity: Prime

Congressional District (number), if known: \_\_\_\_\_

## 5. If Reporting Entity in No. 4 is Subawardee, Enter

Name and Address of Prime: \_\_\_\_\_

Congressional District (number), if known: \_\_\_\_\_

## 6. Federal Department/Agency:

USDOJCOPS

## 7. Federal Program Name/Description:

CFDA Number, if applicable: 16.710

## 8. Federal Action Number, if known:

## 9. Award Amount, if known:

\$0.00

## 10. a. Name and Address of Lobbying

(if individual, last name, first name, MI): \_\_\_\_\_

## 10. b. Individuals Performing Services

(including address if different from No. 1 0a) (last name, first name, MI): \_\_\_\_\_

## Registrant

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Typed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Date: \_\_\_\_\_

Federal Use Only:

Authorized for Local Reproduction, Standard Form - LLL

## SECTION 17: CERTIFICATION OF REVIEW AND REPRESENTATION OF COMPLIANCE WITH REQUIREMENTS

*Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems*

Please review the COPS Application Guide: Legal Requirements Section for additional information.

Please check one of the following, as applicable to your agency's intended use of this grant:

- No, my agency will not use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system.
- Yes, my agency will use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.

The signatures of the Law Enforcement Executive/Program Official and Government Executive/Financial Official, and any applicable program partners on the Certification of Review and Representation of Compliance with Requirements:

- 1) Assures the COPS Office that the applicant will comply with all legal, administrative, and programmatic applicant for acceptance and use of federal funds as outlined in the applicable COPS Application Guide; AND
- 2) Attests to the accuracy of the information submitted with this application (including the Budget Detail Worksheets).

The signatures on this application must be made by the actual executives named on this application unless there is an officially documented authorization for a delegated signature. If your jurisdiction has such an official document, it must be attached to this application. Applications with missing, incomplete, or inaccurate signatories or responses may not be considered for funding.

*Signatures shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.*

Please be advised that a hold may be placed on this application if it is deemed that the applicant agency is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a COPS Office compliance investigation concerning a current grant award.

### Person Submitting this Application

- By clicking this box and typing my name below, I certify that I have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity. I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide, the COPS Grant Owner's Manual, assurances, certifications and all other applicable program regulations, laws, orders, or circulars. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

Please type your name here in place of your signature: Jeff Blau



## SECTION 17: CERTIFICATION OF REVIEW AND REPRESENTATION OF COMPLIANCE WITH REQUIREMENTS

### Law Enforcement Executive/Agency Executive

By clicking this box and typing my name below, I certify that I have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity. I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide, the COPS Grant Owner's Manual, assurances, certifications and all other applicable program regulations, laws, orders, or circulars. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

**Please type your name here in place of your signature:**

Laura Phillips

### Government Executive/Financial Official

By clicking this box and typing my name below, I certify that I have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity. I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide, the COPS Grant Owner's Manual, assurances, certifications and all other applicable program regulations, laws, orders, or circulars. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

**Please type your name here in place of your signature:**

Mayor Gavin Newsom

