

File No. 691374

Committee Item No. 7

Board Item No. 18

### COMMITTEE/BOARD OF SUPERVISORS

#### AGENDA PACKET CONTENTS LIST

Committee BUDGET AND FINANCE

Date 12/2/09

Board of Supervisors Meeting

Date 12/8/09

#### Cmte Board

- |                                     |                                     |  |
|-------------------------------------|-------------------------------------|--|
| <input type="checkbox"/>            | <input type="checkbox"/>            | Motion                                       |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Resolution                                   |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Ordinance                                    |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Legislative Digest                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Budget Analyst Report                        |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Legislative Analyst Report                   |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Introduction Form (for hearings)             |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/>            | <input type="checkbox"/>            | MOU  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Grant Information Form                       |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Grant Budget                                 |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Subcontract Budget                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Contract/Agreement                           |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Award Letter                                 |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Application                                  |
| <input type="checkbox"/>            | <input type="checkbox"/>            | Public Correspondence                        |

#### OTHER

(Use back side if additional space is needed)

<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____
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<input type="checkbox"/>	<input type="checkbox"/>	_____

Completed by: Gail Johnson

Date 11/25/09

Completed by: [Signature]

Date 12/3/09

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is in the file.

8.

90/3/5

1 [Accept and Expend FY 09 Urban Areas Security Initiative Grant and Amend Annual Salary  
2 Ordinance, FY 2009-2010.]

3  
4 **Ordinance authorizing the Department of Emergency Management, on behalf of the**  
5 **City and County San Francisco, as the primary grantee for the combined Bay Area**  
6 **Urban Area and as the fiscal agent for the UASI Approval Authority, to retroactively**  
7 **accept and expend an Urban Areas Security Initiative ("UASI") grant in the amount of**  
8 **\$32,510,600 from the United States Department of Homeland Security, through the**  
9 **California Emergency Management Agency; amending Ordinance No. 183-09 (Annual**  
10 **Salary Ordinance, FY 2009-2010) to reflect: the addition of one (1) grant-funded position**  
11 **(.50 FTE) in Class 5277 Planner I and one (1) grant-funded position (.50 FTE) in Class**  
12 **5293 Planner IV, and the deletion of one (1) grant funded position (.75 FTE) in Class**  
13 **1426 Senior Clerk Typist, all in the Department of Emergency Management.**

14 Note: Additions are single-underline italics Times New Roman;  
15 deletions are ~~strikethrough italics Times New Roman~~.  
16 Board amendment additions are double underlined.  
Board amendment deletions are ~~strikethrough normal~~.

17 Be it ordained by the People of the City and County of San Francisco:

18 Section 1. Findings.

19 (a) Urban Areas Security Initiative ("UASI") Program. The United States Department of  
20 Homeland Security ("DHS") has a Homeland Security Grant Program, which includes the  
21 UASI Program. The UASI Program addresses the unique planning, equipment, training, and  
22 exercise needs of high-threat, high-density Urban Areas, and assists those areas in building  
23 an enhanced and sustainable capacity to prevent, protect against, respond to, and recover  
24 from threats and acts of terrorism. DHS distributes UASI grant funds to recipient jurisdictions  
25

1 in California through the California Emergency Management Agency (CalEMA), which  
2 administers the UASI Program within the State.

3 (b) UASI Region. Since fiscal year 2006-2007, DHS has combined the three separate  
4 Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of  
5 San Jose into a single, combined "Bay Area Urban Area" for application, allocation and  
6 distribution of UASI grant funds. The Bay Area Urban Area includes the ten contiguous Bay  
7 Area counties and other governmental entities within those counties. The Bay Area Urban  
8 Area is one of seven "Tier 1" Urban Areas in the Nation.

9 (c) Urban Area Working Group ("UAWG") Requirement. For the UASI Program, DHS  
10 requires each Urban Area receiving funds to establish an UAWG to act as an executive  
11 steering committee, provide overall governance and coordinate development and  
12 implementation of all UASI Program initiatives within the applicable region, and ensure that all  
13 UASI program requirements are fulfilled.

14 (d) UASI Approval Authority. Beginning in fiscal year 2006-2007, the City and County  
15 of San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa  
16 Clara County jointly committed to cooperate in applying for, allocating and distributing UASI  
17 grant funds. Those jurisdictions entered a Memorandum of Understanding ("MOU") setting  
18 forth their agreements regarding the Bay Area Urban Area's mission, governance and  
19 reporting structures, responsibilities, and financial arrangements, and establishing processes  
20 and mechanisms to use in applying for, allocating and distributing UASI grant funds for the  
21 region. The MOU created the UASI Approval Authority, comprised of representatives from the  
22 City and County of San Francisco, the City of Oakland, the City of San Jose, Alameda  
23 County, and Santa Clara County, and also created an Advisory Group and a UASI  
24 Management Team, with working groups, to support and assist the Approval Authority. DHS  
25

1 and CalEMA approved the Approval Authority and related layers of governance structure as  
2 the UAWG for the Bay Area Urban Area. The parties entered an updated MOU effective July  
3 1, 2007, which continued the UASI Approval Authority and UASI Management Team  
4 structure. DHS and CalEMA approved that governance structure as the UAWG for the Bay  
5 Area Urban Area in fiscal years 2007-2008 and 2008-2009, and again in fiscal year 2009-  
6 2010.

7 (e) Methodology for allocating funds; San Francisco as primary grantee and fiscal  
8 agent for UASI Approval Authority. The UASI Approval Authority makes decisions regarding  
9 allocation of UASI funds throughout the Bay Area Urban Area. The City and County of San  
10 Francisco is the primary grantee of UASI funds for the region and serves as the fiscal agent  
11 for the Approval Authority. San Francisco disburses funds to jurisdictions in the Bay Area  
12 Urban Area pursuant to the Approval Authority's direction.

13 (f) The City and County of San Francisco has participated in federal and state  
14 homeland security grant programs since their inception, and deems participation in those  
15 programs as vital to the continued well-being of its citizens.

16 (g) The City and County of San Francisco intends to use a portion of its share of the  
17 UASI grant funds to continue funding certain existing positions and to create certain new  
18 positions within the City and County. A spreadsheet reflecting the Department, Program,  
19 Index Code, Classifications, Job Titles, and Compensation Schedules for these positions is on  
20 file with the Clerk of the Board of Supervisors in File No. 091374, which is hereby  
21 declared to be a part of this ordinance as if set forth fully herein. The positions will be  
22 assigned to the UASI Management Team.

23 (h) The term of the FY09 UASI grant is from October 2, 2009 through May 31, 2012.  
24  
25

1 Section 2. Authorization to Accept and Expend Grant Funds.

2 (a) The Board of Supervisors hereby authorizes the Department of Emergency  
3 Management to retroactively accept and expend, on behalf of the City and County of San  
4 Francisco, as the primary grantee of UASI funds for the Bay Area Urban Area and as the  
5 fiscal agent for the Approval Authority, \$32,510,600 in UASI grant funds for fiscal year 2009-  
6 2010 from the DHS, through the CalEMA.

7 (b) The grant does not include any provisions for indirect costs, and indirect costs are  
8 hereby waived.

9 (c) The Executive Director of the Department of Emergency Management, or designee,  
10 is authorized to furnish whatever additional information or assurances the DHS or CalEMA  
11 may request in connection with this grant, to execute any and all agreements or other  
12 documents, and to take any other steps necessary to accept, distribute and expend the grant  
13 funds.

14  
15 Section 3. Grant Funded Positions; Amendment to FY 2009-2010 Annual Salary  
16 Ordinance. The hereinafter designated sections and items of Ordinance No. 183-09 (Annual  
17 Salary Ordinance, FY 2009-2010) are hereby amended to add two (2) positions at the  
18 Department of Emergency Management (DEM) and delete one (1) position at the Department  
19 of Emergency Management, as follows:

20  
21 Department: DEMECD Department of Emergency Management  
22 Program: BIV D E M Emergency Services  
23 Subfund: 2SPPFHLS  
24 Index Code: 770913

23	Amendment	# of Pos.	Class and Item No.	Compensation Schedule
24	<u>Add</u>	<u>0.5 FTE</u>	<u>5277 Planner I</u>	<u>\$2,145B\$2,607</u>

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Department: DEMECD  
Program: BIV  
Subfund: 2SPPFHLS  
Index Code: 770912

Department of Emergency Management  
D E M Emergency Services

Amendment	# of Pos.	Class and Item No.	Compensation Schedule
<i>Add</i>	<i>0.5 FTE</i>	<i>5293 Planner IV</i>	<i>\$3,668B\$4,458</i>

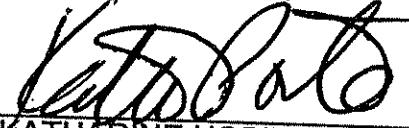
Department: DEMECD  
Program: BIV  
Subfund: 2SPPFHLS  
Index Code: 770813

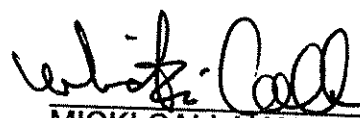
Department of Emergency Management  
D E M Emergency Services

Amendment	# of Pos.	Class and Item No.	Compensation Schedule
<del><i>Delete</i></del>	<del><i>.75 FTE</i></del>	<del><i>1426 Sr. Clerk Typist</i></del>	<del><i>\$1,727B\$2,099</i></del>

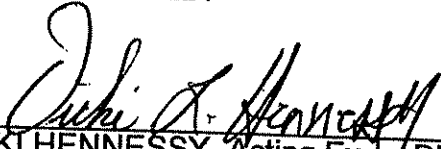
APPROVED AS TO FORM:  
DENNIS J. HERRERA, City Attorney

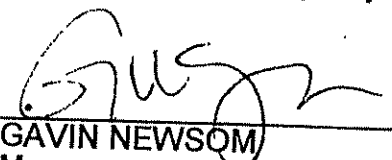
APPROVED AS TO CLASSIFICATION  
DEPARTMENT OF HUMAN RESOURCES

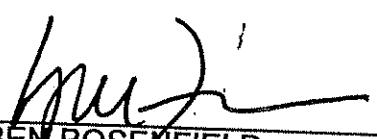
By:   
KATHARINE HOBIN PORTER  
Deputy City Attorney

By:   
MICKI CALLAHAN, Director  
Department of Human Resources

RECOMMENDED:

  
VICKI HENNESSY, Acting Exec. Director  
Department of Emergency Management

  
GAVIN NEWSOM  
Mayor  
*For*

  
BEN ROSENFELD  
Controller

(

(

(





Gavin Newsom  
Mayor

**Department of Emergency Management**  
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications  
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services  
Phone: (415) 558-2700 Fax: (415) 503-2098



Vicki L. Hennessy  
Executive Director

**To:** Angela Calvillo, Clerk of the Board of Supervisors  
**From:** Vicki Hennessy *Vicki L. Hennessy*  
Executive Director, Department of Emergency Management  
**Date:** November 16, 2009  
**RE:** **Ordinance to Accept and Expend Bay Area Urban Area Securities Initiative (UASI) FY 2009 Homeland Security Grant Program Funds**

The Department of Emergency Management is pleased to present the following Grant Report in summary of the above-referenced item submitted by the Department of Emergency Management.

**Legislation For Approval:** The proposed ordinance seeks the Board of Supervisors' approval for the acceptance (retroactive) and expenditure of \$32,510,600 in funds from the Federal Department of Homeland Security (DHS) through the California Emergency Management Agency (CalEMA) to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from natural or human caused disasters as well as threats and terrorism.

This grant was awarded to the Bay Area UASI from the Department of Homeland Security. It is a pass through from the California Emergency Management Agency. The focus of the funding is to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, like the San Francisco Bay Area, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from disasters, threats and terrorism. San Francisco will continue to act as the fiscal agent for these funds.

**Departments:** **Department of Emergency Management (DEM).** Department will act as fiscal agent and expenditures are to benefit the Bay Area UASI which includes the three former separate UASI cities: the City and

County of San Francisco, the City of Oakland, and the City of San Jose, as well as the ten contiguous Bay Area counties and the other Bay Area governmental jurisdictions within those counties.

The Bay Area UASI was established, in grant year FY2006, as a requirement of the Department of Homeland Security for regions to create a governance structure for the distribution of the Urban Area Strategic Initiative grant funding. The San Francisco Bay Area is designated as a Tier One region, indicating a high level of risk and threat.

The Bay Area UASI is lead by a grant funded general manager and staff consisting of employees from the cities and counties within the Bay Area region. Based on requirements of the grant, as well as recommendations of regional working groups and committees, grants are allocated through a regional Advisory Group and under the policy direction of the Approval Authority, which consists of representatives of the Cities of San Jose, Oakland, and San Francisco, and the Counties of Alameda, Santa Clara, and San Francisco. CalEMA also holds a seat on the Approval Authority. The chair of the Approval Authority is the Executive Director of the San Francisco Department of Emergency Management.

As the fiscal agent, DEM would be authorized to accept and expend these grant funds on behalf of the Bay Area, and to receive grant reimbursements for expenses incurred on behalf of the region.

DEM is responsible for administering the grant for the Bay Area UASI, ensuring that the grant funds are spent according to the grant guidelines, and ensuring that each of the Bay Area counties and cities that may receive a portion of these grant funds adheres to the grant and financial guidelines.

Each sub-recipient county or city that participates in this grant will enter into a grant MOU with DEM that outlines their obligation under the grant allocation, including requirements for contracting and procurement, record keeping and reimbursement documentation, and adherence to federal grant assurances.

**Amount:**

Grant allocation to the UASI in the amount of \$32,510,600 to be allocated to the above jurisdictions by agreement of the UASI Approval Authority which will act as the executive steering committee.

**Grant Period:**

October 2, 2009 through May 31, 2012

**Retroactivity:** The Accept and Expend Legislation requests retroactive approval because the Federal Grant period commenced before the legislation was introduced. No grant funds will be encumbered or expended until the Board of Supervisors approves the Accept and Expend legislation.

**Source of funds:**

U.S. Department of Homeland Security, through the California Emergency Management Agency. No match is required.

**Indirect Costs:**

The proposed resolution waives indirect costs, because the grant program does not allow the charging of indirect costs.

**Description:**

The proposed ordinance authorizes the Department of Emergency Management to accept and expend \$32,510,600 in federal grant funding from the FY 2009 Homeland Security Grant Program (Award # 2009-0019, OES # 075-95017), issued by the U.S. Department of Homeland Security (DHS) through the California Emergency Management Agency (CalEMA) and to continue the DHS and CalEMA approved Memorandum of Understanding (MOU) of 2006 through the updated 2007 MOU regarding the UASI mission, governance structure, responsibilities, reporting structure and financial arrangements, and establishing structures, processes and mechanisms to use in applying for, allocating and distributing grant funds to the UASI region. These grant expenditures will provide financial assistance to address the unique planning, equipment, training, exercise, organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from disasters, threats and terrorism.

The three original core cities of San Francisco, Oakland, and San Jose have been allocated \$2,000,000 each to fund planning, training, equipment, and exercises.

**Budget:**

The summary budget by activity for the subject grant is as follows:

(1) Equipment: \$16,231,057

Funds will be used for the purchase of equipment such as: interoperable communication equipment, care and shelter equipment; regional collaboration equipment for the three core cities; CBRNE detection and response equipment; Point of Distribution kits; and a joint Records Management System among various UASIs. It should be noted that San Francisco will receive \$2,000,000 for a special pilot public safety broadband project in collaboration with the Cities of

San Jose and Oakland. In addition San Francisco will be using a portion of their direct \$2,000,000 allocation for the Department of Building Inspection interoperability project as well as interoperability for the Human Services Agency in shelters. In addition the SFPD will be utilizing funds to purchase a Joint Information Center command vehicle to be used for unified command in large events to ensure timely and efficient production of information to stakeholders and residents.

(2) Planning: \$10,777,940

Of this amount, \$5,544,605 will be used for the hiring of contractors through the RFP process. Additional funding will be used by Alameda and Santa Clara Counties to pay for multidisciplinary project planning staff. As a portion of their \$2,000,000 allocation, San Francisco plans include the hire of two new planning staff members, a mitigation planner and a strategic communications planner. DEM will continue to fund a special needs population planner for MOD; a mitigation planner for DEM and a continuity of operations planner for the Controller; DEM will update the Catastrophic Earthquake plan and complete the annexes to the Emergency Response Plan. DHR will receive funding to continue and support the volunteer initiative helpbayarea.org.

(3) Training: \$1,143,650

The amount represents the planned allocation for regional training activities. San Francisco intends to use a portion of their \$2,000,000 to continue to fund another five day course for City employees and stakeholders in EOC operations. (This course is multi-disciplinary and involves role playing during real time realistically simulated

(4) Exercises: \$975,000

The amount represents the planned allocation for regional exercise planning, supplies, and the conduct of exercises using HSEEP (Homeland Security Exercise and Evaluation Program) guidelines and requirements.

(5) Organizational: \$2,407,635

The amount represents the planned allocation for information sharing and collaboration as well as critical infrastructure protection. This amount pays for salary and fringe costs of regional intelligence analysts that staff the Northern California Regional Intelligence Center (NCRIC).

(6) Management & Administration \$975,318  
Management and Administration expenses include UASI grant administration staff, line item office expenses, Controller's Accounting, City Attorney, office and equipment maintenance and leases. The amount budgeted reflects 3% of the cash grant, which is the maximum allowable under the guidelines.

**Total Budget:** \$32,510,600

File Number: 091374  
(Provided by Clerk of Board of Supervisors)

**Grant Information Form**  
(Effective March 2005)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: FY 09 Urban Areas Security Initiative Grant
2. Department: Department of Emergency Management
3. Contact Person: Mary Landers Telephone: 705-8531
4. Grant Approval Status (check one):  
 Approved by funding agency  Not yet approved
5. Amount of Grant Funding Approved or Applied for: \$32,510,600
- 6a. Matching Funds Required: \$ N/A  
b. Source(s) of matching funds (if applicable):
- 7a. Grant Source Agency: U.S. Dept. of Homeland Security  
b. Grant Pass-Through Agency (if applicable): California Emergency Management Agency
8. Proposed Grant Project Summary: This grant provides funding to prevent, prepare for, respond to, and recover from threats or acts of terrorism.
9. Grant Project Schedule, as allowed in approval documents, or as proposed:  
Start-Date: October 2, 2009 End-Date: May 31, 2012
- 10a. Amount budgeted for contractual services: \$5,544,605  
b. Will contractual services be put out to bid? Yes.  
c. If so, will contract services help to further the goals of the department's MBE/WBE requirements? No. Not allowed under Homeland Security Grant Guidelines.  
d. Is this likely to be a one-time or ongoing request for contracting out? One-time.
- 11a. Does the budget include indirect costs?  Yes  No  
b1. If yes, how much? \$  
b2. How was the amount calculated?  
c. If no, why are indirect costs not included?  
 Not allowed by granting agency  To maximize use of grant funds on direct services

[ ] Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs? Unknown

12. Any other significant grant requirements or comments:

**\*\*Disability Access Checklist\*\***

13. This Grant is intended for activities at (check all that apply):

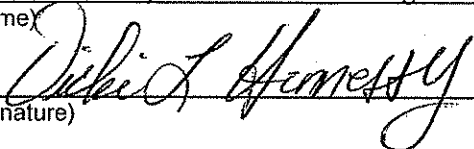
- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Existing Site(s) | <input checked="" type="checkbox"/> Existing Structure(s) | <input checked="" type="checkbox"/> Existing Program(s) or Service(s) |
| <input type="checkbox"/> Rehabilitated Site(s)       | <input type="checkbox"/> Rehabilitated Structure(s)       | <input checked="" type="checkbox"/> New Program(s) or Service(s)      |
| <input checked="" type="checkbox"/> New Site(s)      | <input type="checkbox"/> New Structure(s)                 |   |

14. The Departmental ADA Coordinator and/or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local access laws and regulations and will allow the full inclusion of persons with disabilities, or will require unreasonable hardship exceptions, as described in the comments section:

Comments:

Departmental or Mayor's Office of Disability Reviewer: Alice Herndon  
(Name)

Date Reviewed: 10/30/09

Department Approval: Vicki Hennessy Acting Exec Dir., Dept. of Emergency Management  
(Name) (Title)  
  
(Signature)

# BAY AREA UASI PROGRAM



marin

sonoma

napa

solano

contra costa

alameda

santa clara

santa cruz

san mateo

san francisco

san jose

oakland

July 16, 2009

Ms. Maybel Garing  
Program Representative  
Governor's Office of Homeland Security  
Grant Management Division  
State Capitol  
Sacramento, CA 95814

Re: FY 09 Homeland Security Grant Program Application and required documentation

Dear Ms. Garing:

Attached you will find the FY 09 Bay Area UASI Homeland Security Grant Program application and all required documentation.

The following documents are included in the package:

Subgrantee Application Checklist  
Certified Copy of the Governing Body Resolution- listing the authorized agents  
Approval Authority list & POC Contact Information  
Financial Management Workbook  
CAL JRIES Access info  
TLO Identification  
Narrative documenting 25% allocation to Preparedness Planning  
Narrative documenting 25% allocation to Law Enforcement  
Narrative documenting Training w/AAR/Exercise Detail  
Narrative documenting required Special Needs Populations contact information

The current submission reflects the consensus of the Bay Area UASI and will allow the region to become better prepared through planning, training, organization, exercises, and equipment.

On behalf of the Bay Area UASI, we thank you for your continued support.

Sincerely,

*Laura Phillips*  
for  
Laura Phillips  
General Manager

10 Lombard Street, Suite 410  
San Francisco, CA 94111

[www.BayAreaSUASI.org](http://www.BayAreaSUASI.org)



CALIFORNIA EMERGENCY MANAGEMENT AGENCY

GRANT APPLICATION COVER SHEET

CFDA #:

For State Use only

Alterations to this document may result in delayed application approval, modification, or reimbursement requests. Submittals may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

1. Applicant Name: **Bay Area UASI**

2. FIPS #: **075-95017**

3. Date: **July 13, 2009**

4. Grant Number: **2008-0026**

5. Program Selection: **Urban Area Security Initiative (UASI)**

6. Amount Requested: **\$ 32,510,600**

7. FOR YEAR

Application Approved By: \_\_\_\_\_

Grant Performance Period: \_\_\_\_\_

FIPS Number: \_\_\_\_\_

Grant Number: \_\_\_\_\_

Date: \_\_\_\_\_

Authorized Body of 5- Signature and contact information	Signature	Printed Name	Title	Designee Y/N	Phone	Email
County Public Health Officer						
County Fire Chief						
Municipal Fire Chief						
County Sheriff						
Chief of Police						
Additional Position (Optional)						
Additional Position (Optional)						

Authorized Agent contact information	Title	Mailing Address	City	State	Zip	Phone	Email
Laura Phillips	General Manager	10 Lombard St., Suite 410	San Francisco	CA	94111	415.705.8516	laura.phillips@stjgov.org
Teresa Soraba	Director of Strategy & Compliance	10 Lombard St., Suite 410	San Francisco	CA	94111	415.705.8520	teresa.soraba@stjgov.org
Contact's Name	Title	Mailing Address	City	State	Zip	Phone	Email
Neal Taniguchi	CFO	10 Lombard St., Suite 200	San Francisco	CA	94111	415.705.8507	neal.taniguchi@stjgov.org
Mary Landers	Grant Manager	10 Lombard St., Suite 200	San Francisco	CA	94111	415.705.8531	mary.landerson@stjgov.org

10. Statements of Certification

**Statement of Certification-Approval Authority Body - UASI**

By signing below, I hereby certify I am the duly appointed Authorized Agent and have the authority to apply for the Homeland Security Grant Program, and the City's application represents the needs for the Urban Area Security Initiative.

Select Application Type: NSGP, TA, SA, UASI, OA -> **UASI**

Statement of Certification - City Authorized Agent - By signing below, I hereby certify I am the duly appointed Authorized Agent and have the authority to apply for this Grant Program and the UASI's application represents the needs for this Grant Program.

11. Authorized Agent Name/Signature/Date

Printed Name: **Laura A. Phillips**

Signature: *Laura Phillips*

Date: **Tuesday, July 14, 2009**



**CALIFORNIA EMERGENCY MANAGEMENT AGENCY**

**GRANT APPLICATION COVER SHEET**

CFDA #:

*For State Use only*

Application Approved By: \_\_\_\_\_  
 Grant Performance Period: \_\_\_\_\_  
 FIPS Number: \_\_\_\_\_  
 Grant Number: \_\_\_\_\_  
 Date: \_\_\_\_\_

1. Applicant Name: <b>Bay Area UASI</b>		7. FOR YEAR	
2. FIPS #: <b>075-95017</b>	6. Amount Requested		
3. Date: <b>July 13, 2009</b>	<b>\$ 32,510,600</b>		
4. Grant Number: <b>2008-0026</b>			
5. Program Selection			
<b>Urban Area Security Initiative (UASI)</b>			

8. Authorized Body of 5: Signature and contact information		Printed Name	Title	Designee Y/N	Phone	Email
Position	Signature					
County Public Health Officer						
County Fire Chief						
Municipal Fire Chief						
County Sheriff						
Chief of Police						
Additional Position (Optional)						
Additional Position (Optional)						

9. Authorized Agent contact information		Mailing Address	City	State	Zip	Phone	Email
Authorized Agent's Name	Title						
Laura Phillips	General Manager	10 Lombard St., Suite 410	San Francisco	CA	94111	415.705.8516	laura.phillips@baycox.org
Teresa Serata	Director of Strategy & Compliance	10 Lombard St., Suite 410	San Francisco	CA	94111	415.705.8520	teresa.serata@baycox.org
Contact's Name	Title	Mailing Address	City	State	Zip	Phone	Email
Neal Taniguchi	CFO	10 Lombard St., Suite 200	San Francisco	CA	94111	415.705.8507	neal.taniguchi@baycox.org
Mary Landers	Grant Manager	10 Lombard St., Suite 200	San Francisco	CA	94111	415.705.8531	mary.land@baycox.org

**10. Statements of Certification**

**Statement of Certification-Approval Authority Body - UASI**  
 By signing below, I hereby certify I am the duly appointed Authorized Agent and have the authority to apply for the Homeland Security Grant Program, and the City's application represents the needs for the Urban Area Security Initiative.

Select Application Type: NSGP, TA, SA, UASI, OA -> **UASI**

Statement of Certification - City Authorized Agent - By signing below, I hereby certify I am the duly appointed Authorized Agent and have the authority to apply for this Grant Program and the UASI's application represents the needs for this Grant Program.

11. Authorized Agent Name/Signature/Date	Signature	Date
Laura A. Phillips		Tuesday, July 14, 2009

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT DESCRIPTIONS

Alterations to this document may result in delayed application approval, modification, or reimbursement requests. Subgrantees may be asked to revise and/or re-submit any altered Financial Management Forms Workbook.

CFDA #:

Bay Area UASI

075-95017  
July 13, 2009  
2008-0026

Project	State Investment Justification Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project A-Information Sharing and Collaboration/Critical Infrastructure	Investment #1 Goal 2 Objective 2.1, 2.8	Continued implementation of an all crimes fusion center known as the Northern California Regional Intelligence Center (NCRIC) which combines resources from Federal, State, Local and private entities.	Provide the means to enhance information sharing, improve the flow of information to first responders and further expand the flow of information from the private sector thereby deterring potential terrorist attacks, detecting terrorists before they strike, preventing them and our community of terror from entering our community and taking decisive action to eliminate the threat they pose and protect critical infrastructure.	At the 6 month mark, this project will be 25% complete and \$723,760 funds will be expended. At the 12 month mark, this project will be 75% complete and \$2,171,280 funds will be expended. At the 18 month mark, this project will be 100% complete and \$2,895,041 funds will be expended.
Project B- Interoperable Communications	Investment #2 Goal 1 Objective 1.1, 1.2, 1.17, 1.18, 1.22, 1.24	The Interoperable Communications project will provide funding to expand and integrate regional standards-based voice and radio systems, provide studies and plans for the Bay Area UASI region as well as training and exercises to test the equipment and ensure that regional personnel are familiar with its operation.	There is a need to complete regional communications systems to ensure interoperability in the event of a disaster.	At the 6 month mark, this project will be 10% complete and \$1,238,608 funds will be expended. At the 12 month mark, this project will be 40% complete and \$4,954,420 funds will be expended. At the 18 month mark, this project will be 100% complete and \$12,386,082 funds will be expended.
Project C- Multi-UASI Integrated Records Management System	Investment #3 Goal 2, 4 Objective 2.1, 2.8, 2.10, 4.2	This project will utilize system(s), including COPLINK, in order to collect, analyze and share information from differing and unstructured justice agency data sources by integrating and connecting those data sources in support of law enforcement investigations aimed at countering terrorism.	Currently many of the 173 justice agencies spread over the 15 counties, do not have the ability to rapidly link, analyze and share information, nor can they obtain and share information with the ASAJA and Riverside Areas. This project will integrate and connect additional justice agency data systems in the Bay Area using COPLINK to organize and analyze data housed in various incompatible databases and record management systems; establish connectivity between the Bay Area, ASAJA and Riverside Urban Areas through the COPLINK system; and review ASAJA's pilot of COPLINK "Activity Correlation Technology" software to determine if it would be useful in the Bay Area to protect critical infrastructure.	At the 6 month mark, this project will be 0% complete and \$0 in funds will be expended. At the 12 month mark, this project will be 50% complete and \$825,000 funds will be expended. At the 18 month mark, this project will be 100% complete and \$1,650,000 funds will be expended.

Project	State Investment Justification Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project D- Community and Economic Resiliency	Investment #4 Goal 1, 2, 5, 7 Objective 1.4, 2.7, 5.1, 5.5, 5.7, 5.9, 5.10, 5.12, 7.1	Complete a multi-discipline, multi-hazards capabilities assessment, gap analysis and strategic plan of the Bay Area UASI region's Public Information and Warning capabilities and system, emphasizing on special needs population.	To strategically enhance the Public Info and Warning capabilities of the Bay Area UASI Region. Acknowledging the region's vulnerabilities and the need to develop a robust and coherent economic community recovery strategy in regards to the special needs population.	At the 6 month mark, this project will be 25% complete and \$181,437 will be expended. At the 12 month mark, this project will be 75% complete and \$544,312 will be expended. At the 18 month mark, this project will be 100% complete and \$725,750 will be expended.
Project E- CBRNE Detection and Response	Investment #5 Goal 7 Objective 7.1, 7.2, 7.3, 7.8	Purchase of equipment and the development of plans to address identified CBRNE and California PRND capability gaps.	Project is necessary to fill equipment and capability gaps identified in the Bay Area CBRNE Capability Assessment and Strategic Plan.	At the 6 month mark, this project will be 5.5% complete and \$125,000 funds will be expended. At the 12 month mark, this project will be 33% complete and \$750,000 funds will be expended. At the 18 month mark, this project will be 100% complete and \$2,304,300 funds will be expended.
Project F- Resource Inventory & Capability Assessment Project	Investment #6 Goal 3, 5 Objective 3.11, 5.9	This investment will strengthen catastrophic emergency management capabilities through planning (Common Capabilities Area) and by creating a regional capability database/resource management tool, a capability baseline assessment and gap analysis and a strategic plan to address the identified gaps.	The San Francisco Bay Area needs to improve its ability to respond to any emergency with the right resources and assets. We presently lack the ability to categorize these regional resources and assets in a database accessible to all, allowing for a rapid and efficient deployment. A strategic plan needs to be developed to address both known and unknown gaps, thereby raising the level of	At the 6 month mark, this project will be 33% complete and approximately \$203,362 of funds will be expended. At the 12 month mark, this project will be 66% complete and \$406,725 funds will be expended. At the 18 month mark, this project will be 100% complete and \$616,250 funds will be expended.
Project G- Catastrophic Incident Planning & Regional Collaboration	Investment #7 Goal 1, 2, 3, 7, 8 Objective 1.17, 2.1, 2.8, 3.26, 7.1, 7.2, 7.4, 7.5, 7.6, 8.1, 8.8	Develop baseline EOC standards and purchase standardized EOC equipment in local jurisdictions to improve the region's capability to manage a catastrophic event.	Increase the Bay Area's capability to prepare for, respond to and recover from a catastrophic event.	At the 6 month mark, this project will be 0% complete and \$0 funds will be expended. At the 12 month mark, this project will be 25% complete and \$719,815 funds will be expended. At the 18 month mark, this project will be 75% complete and \$5,398,612 funds will be expended.
Project H- Regional Exercise / Training	Investment #8 Goal 2, 4, 8 Objective 2.9, 4.3, 8.1, 8.11	This shared investment supports a yearly commitment to plan, coordinate, conduct and participate in multi-discipline, multi-agency, regional full-scale exercises (FSE), and all associated building-block events. These exercises include: 1. Golden Guardian 2010, a state and multi-regional FSE maritime port exercise. 2. Urban Shield 2010, a Bay Area tactical response to terrorism ESE	The Bay Area UASI is dedicated to coordinated regional planning, training and exercises to ensure mutual support and collaboration in response to major emergencies and terrorist events. This IJ ensures the continued cycle of planning, training, exercising and improvement leading to increased regional capabilities.	At the 6 month mark, this project will be 2% complete and \$19,500 funds will be expended. At the 12 month mark, this project will be 18 % complete and \$175,500 funds will be expended. At the 18 month mark, this project will be 100 % complete and \$975,000 funds will be expended.

Project	State Investment, Implementation Goals and Objectives	Project Description	Need	Project Milestone & Justifications
Project I- Mass Care	Investment #9 Goal 6, 7 Objective 6.3, 7.1	Increase the region's ability to provide mass care and sheltering by developing a Bay Area shelter database, distributing the mass care toolkit, and the purchasing general sheltering equipment as well as equipment for companion animal shelters that will be co-located with general population shelters.	The mass care project will further enhance the regions ability to preplan potential shelters within the bay area region then, post disaster, execute and open shelters while providing a base plan (shelter toolkit) to the shelter staff.	At the 6 month mark, this project will be 10% complete and \$7,000 funds will be expended. At the 12 month mark, this project will be 50% complete and \$340,600 funds will be expended. At the 18 month mark, this project will be 100% complete and \$681,000 funds will be expended.
Project J- Medical & Public Health Preparedness	Investment #10 Goal 3 Objective 3.3, 3.5	Enhance the region's medical and health preparedness by developing and coordinating a regional response to mass casualty events that cause a medical surge onto existing medical and health systems. State and local planning efforts will be looked at and merged into a regional plan.	Local Emergency Medical Services Agencies (LEMSA) and local Public Health Departments have plans in place for local mass casualty or medical surge events. The state of California has just developed their plans. There currently is a gap between them, the regional plan. This project is to fill that gap and develop the regional plan that incorporates the local	At the 6 month mark, this project will be 10% complete and \$111,300 funds will be expended. At the 12 month mark, this project will be 50% complete and \$556,750 funds will be expended. At the 18 month mark, this project will be 100% complete and \$1,113,500 funds will be expended.
Project K- Management & Administration	Investment Goal Objective	MSA - \$975,318, or 3% of the grant, will be used to provide staff to manage and administer the grant program		At the 6 month mark, this project will be 10% complete and \$97,532 funds will be expended. At the 12 month mark, this project will be 50% complete and \$487,659 funds will be expended. At the 18 month mark, this project will be 90% complete and \$877,786 funds will be expended.

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PROJECT LEDGER

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Bay Area UASI

075-95017

2008-0026

CFDA #:

LEDGER TYPE:

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area	Solution Area Sub-Category	Total Obligated	Amount Approved	Amount Title Requested	Match Amount	Total Approved	Remaining Balance	Percentage Complete
1	A	Information Sharing and Collaboration	UASI	LE	Org	Orange Alert Costs	32,518,608	1,795,316				32,343,480	
2	A	Information Sharing and Collaboration	UASI	EMG	Org	Orange Alert Costs	140,770					1,795,316	
3	A	Information Sharing and Collaboration	UASI	FS	Org	Orange Alert Costs	371,549					50,000	
4	A	Information Sharing and Collaboration	UASI	LE	Org	Overtime for Information, Investigative, and Intelligence Sharing Activities	50,000					192,770	
5	A	Information Sharing and Collaboration	UASI	EMG	Org	Overtime for Information, Investigative, and Intelligence Sharing Activities	25,000					371,549	
6	A	Information Sharing and Collaboration	UASI	FS	Org	Overtime for Information, Investigative, and Intelligence Sharing Activities	25,000					30,000	
7	A	Information Sharing and Collaboration	UASI	LE	Equip	Information Technology	96,700					25,000	
8	A	Information Sharing and Collaboration	UASI	LE	Equip	Terrorism Incident Prevention Equipment	9,000					96,700	
9	A	Information Sharing and Collaboration	UASI	LE	Train	Training Course and Program Development, Delivery, or Evaluation	64,900					9,000	
10	A	Information Sharing and Collaboration	UASI	LE	Plan	Develop and Enhance Plans and Protocols	556,815					64,900	
11	A	Information Sharing and Collaboration	UASI	LE	Plan	Develop and Enhance Plans and Protocols	50,000					556,815	
13	B	Interoperable Communications	UASI	PSC	Train	Training Course and Program Development, Delivery, or Evaluation	63,750					63,750	
14	B	Interoperable Communications	UASI	PSC	Equip	Interoperable Communication s Equipment	11,022,332					11,022,332	

Item Number	Project	Project Name	Funding Source	Discipline	Solution Area	Solution Area Sub-Category	Total Obligated	Amount Approved	Amount This Request	Match Amount	Total Approved	Remaining Balance	Percentage Complete
15	B	Interoperable Communications	UASI	PSC	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	300,000					300,000	
16	B	Interoperable Communications	UASI	PSC	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	1,000,000					1,000,000	
20	C	Multi-UASI Integrated RMS	UASI	LE	Equip	Terrorism Incident Prevention Equipment	1,470,000					1,470,000	
21	C	Multi-UASI Integrated RMS	UASI	LE	Equip	Other Authorized Equipment	30,000					30,000	
22	C	Multi-UASI Integrated RMS	UASI	LE	Plan	Develop and Enhance Plans and Protocols	150,000					150,000	
26	D	Community and Economic Resiliency	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	425,750					425,750	
27	D	Community and Economic Resiliency	UASI	LE	Plan	Develop and Enhance Plans and Protocols	300,000					300,000	
33	E	CBRNE Detection and Response	UASI	FS	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	300,000					300,000	
34	E	CBRNE Detection and Response	UASI	EMG	Plan	Develop and Enhance Plans and Protocols	596,050					596,050	
35	E	CBRNE Detection and Response	UASI	LE	Equip	Explosive Device Mitigation and Remediation Equipment	200,000					200,000	
36	E	CBRNE Detection and Response	UASI	LE	Equip	CBRNE Incident Response Vehicle	350,000					350,000	
37	E	CBRNE Detection and Response	UASI	FS	Equip	Detection Equipment	500,000					500,000	
38	E	CBRNE Detection and Response	UASI	FS	Equip	CBRNE Search and Rescue Equipment	96,250					96,250	
39	E	CBRNE Detection and Response Resource Inventory & Capability Assessment	UASI	FS	Train	Equipment Overtime	262,000					262,000	
43	F		UASI	EMG	Plan	Develop, Coordinate, Implement or Evaluate Programs, Groups, Councils or Teams	125,000					125,000	



CALIFORNIA EMERGENCY MANAGEMENT AGENCY

Approved for this document only shall be deemed approved for all other purposes. Submittals may be added to these and/or re-submitted any allowed Financial Management Forms Handbook.

CFDA #:

Bay Area UASI  
075-99017

2008-0026

Project	Equipment Description	NAE #	NAE Title	Priority Number	NAE	NAE Cost	NAE Class	NAE Status	NAE Number	NAE Location	NAE Description	NAE Status	NAE Location
A	(1) Avaya Meridian 5240 ESS Printer and copier.	04HW-01- JNHW	Hardware, Computer, Peripheral	UASI		\$20,000.00							
A	(3) Computer Servers and networking hardware @ \$11,500 each.	04HW-01- JNHW	Computer, Hardware, Peripheral	UASI		\$34,500.00							
A	(1) HP Color LaserJet CP6015dn Printer	04HW-01- JNHW	Printer, Hardware, Peripheral	UASI		\$5,500.00							
A	(1) HP DesignJet T1120 SD Printer	04HW-01- JNHW	Printer, Hardware, Peripheral	UASI		\$18,000.00							
A	(1) Sony BRAVIA XBR6 Series LCD Video Displays @ \$2425 Each	04HD-03- DISP	Display, Video Equipment	UASI		9,700							
A	(10) JVC DVX100 4ch (DVR) Digital Video Recorder (DVR) @ \$900 Each	13LE-00- SURS	Law Enforcement Surveillance	UASI		9,000							
B	Interoperable Communications Equipment	06CP-03- TOVR	Device, Data	UASI		1,500,000							
B	Interoperable Communications Equipment	06CP-01- RSPT	Service, Alarm	UASI		5,422,332							
B	Interoperable Communications Equipment	06CP-01- VOTR	Receivers, Video	UASI		1,100,000							
C	Facilitate the exchange of data useful in terrorism incident prevention	13IT-00- ODCC	Exchange and Interoperability	UASI		970,000							
C	Training for use of databases	21GN-00- TRNS	Training	UASI		30,000							
C	Continued threat information	13IT-00- REES	Fees, Usage for databases containing terrorist threat information	UASI		500,000							
E	CBRNE Detection and Response	03DE-07- SOST	Specialized Vehicle	UASI		200,000							
E	CBRNE Detection and Response	14VE-00- MSSV	Specialized Vehicle	UASI		350,000							
E	CBRNE Detection and Response	03DE-02- TILA	Mission, CBRNE Thermal Imaging and/or Light	UASI		500,000							
E	CBRNE Detection and Response	03DE-03- WVCK	Amplification, Stock, Support, Assessment, Warning and Notification	UASI		96,250							
G	EOC enhancement equipment and facilities	21GN-00- OCES	Equipment and Supplies	UASI		62,030							
G	Computing Devices	04HW-01- MHCD	Computer	UASI		62,030							
G	Public Warning System	04HP-05- NULT	Identification System, Public Notification	UASI		62,030							
G	Software Designed to Detect Traffic	04HP-06- THAF	Land Based Traffic	UASI		62,030							
G	Back-up Computer Hardware	14C-00- COOP	Modems, System	UASI		62,030							
G	Systems and Automated Field Recording - Records Management System	13IT-00- RZRD	Information Technology	UASI		700,000							
G	Handheld 800 MHz Radios	06CP-01- RORT	Records, Portable	UASI		150,000							
G	Display/Project Radios	06CC-02- ZWAY	Portable, Device, Message	UASI		35,500							
G	Unit Information/Command Vehicle	13VE-00- CMOV	Vehicle, Command	UASI		80,000							
G	12-pc Emergency Trench Shoring Unit	03SR-01- SNOR	CBRNE S & R	UASI		14,500							

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

TRAINING ROSTER

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CFDA #:

LEDGER TYPE:

Bay Area UASI

075-95017

2008-0026

Project	Course Name	Solution Area Sub-Category	Discipline	Funding Source	Total Cost	Total Claimed	Feedback Number	Cash Request Number	Description of Training Activity (Optional)
A	(10) LEIU/JALEIA Trng Conf May	Training	LE	UASI	1,143,650		09-13459		
A	(10) Annual Fusion Center Conf -	Training, Consult	LE	UASI	10,000		09-13460		
A	Intelligence Analyst Trng January	Training, Consult	LE	UASI	6,500		09-13458		
A	Basic TLO Course (8 hrs) - July '10	Training, Consult	LE	UASI	1,600		09-13462		
A	Basic TLO Course (8 hrs)-Aug '10	Training, Consult	LE	UASI	1,600		09-13462		
A	Basic TLO Course (8 hrs)-Sep '10	Training, Consult	LE	UASI	1,600		09-13462		
A	Basic TLO Course (8 hrs)-Oct '10	Training, Consult	LE	UASI	1,600		09-13462		
A	Basic TLO Course (8 hrs)-Nov '10	Training, Consult	LE	UASI	1,600		09-13462		
A	Basic TLO Course (8 hrs)-Dec '10	Training, Consult	LE	UASI	1,600		09-13462		
A	Basic TLO Course (8 hrs)-Jan '11	Training, Consult	LE	UASI	1,600		09-13461		
A	Basic TLO Course (8 hrs)-Feb '11	Training, Consult	LE	UASI	1,600		09-13461		
A	Basic TLO Course (8 hrs)-Mar '11	Training, Consult	LE	UASI	1,600		09-13461		
A	Basic TLO Course (8 hrs)-Apr '11	Training, Consult	LE	UASI	1,600		09-13461		
A	Basic TLO Course (8 hrs)-May '11	Training, Consult	LE	UASI	1,600		09-13461		
A	Basic TLO Course (8 hrs)-June '11	Training, Consult	LE	UASI	1,600		09-13461		
A	Int. TLO Course (24 hrs) Sep '10	Training, Consult	LE	UASI	4,800		09-13463		
A	Int. TLO Course (24 hrs) Dec '10	Training, Consult	LE	UASI	4,800		09-13463		
A	Int. TLO Course (24 hrs) Mar '11	Training, Consult	LE	UASI	4,800		09-13464		
A	Int. TLO Course (24 hrs) Jun '11	Training, Consult	LE	UASI	4,800		09-13464		
B	P25 System Maintenance Training	Training	PSC	UASI	63,750		09-13468		
E	Confined Space Rescue	Training	FS	UASI	31,250		09-13556		
E	Trench Rescue	Training	FS	UASI	20,000		09-13555		
E	Rope Rescue Tech I and II	Training	FS	UASI	45,000		09-13554		

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

PLANNING ROSTER

CFDA #:

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Bay Area UASI  
075-95817

2008-0026

Project	Planning Activity	Solution Area Sub-Category	Discipline	Funding Source	Total Cost	Total Claimed	Cash Request Number	Final Product
A	Management Team	Planning Personnel	LE	UASI	10,777,940	-		Planning & Project Management for the MCRIC
A	Contractual Services	Planning Contractor	LE	UASI	290,000			Planning & Project Management for the MCRIC
A	Contractual Services	Planning Contractor	LE	UASI	38,335			Private Sector Project Planning
B	Management Team	Planning Personnel	PS	UASI	168,480			Other Security Planning
B	Management Team	Planning Personnel	PS	UASI	50,000			Federal Emergency Phase 4 of the P25 Basis Consultant Project for the Bay Area which includes Project Management of the early systems and their site.
C	Management Team	Planning Personnel	LE	UASI	1,000,000			Planning Multi UASI Integrated RMS
C	Information and Warning Capabilities and Systems	Planning Contractor	EMG	UASI	300,000			Complete a multi-discipline, multi-hazard capabilities assessment, gap analysis and strategic plan
D	Management Team	Planning Personnel	LE	UASI	425,750			Ensure project accomplishments and milestones are met within the FY 2009 grant period
E	Management Team	Planning Personnel	PS	UASI	300,000			Project Oversight for equipment purchases, All Hazard Incident Response Plan and Training
E	All Hazard Incident Response Plan	Planning Contractor	EMG	UASI	596,050			All Hazard Incident Response Plan for San Francisco Bay
F	Management Team	Planning Personnel	EMG	UASI	125,000			Ensure project accomplishments and milestones are met within the FY 2009 grant period
F	Assessment, Analysis, Data Collection, Plan	Planning Contractor	EMG	UASI	491,250			Resource Inventory and Capability Assessment for UASI Regional Partners

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

EXERCISE ROSTER

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CFDA #:

LEDGER TYPE:

Bay Area UASI

075-95017

2008-0026

Project	Exercise Title	Funding Source	Solution Area Sub-Category	Discipline	Cash Request Number	Total Cost	Total Claimed	Conducted By	Date of Exercise	Exercise Type	Exercise Role	Date AAR entered into HSEEP
						\$75,000						
H	Golden Guardian	UASI	Exercise *	EMG		31,250			05/19/10	Full Scale	Develop	
H	Golden Guardian	UASI	Exercise *	FS		125,000			05/19/10	Full Scale	Participate	
H	Golden Guardian	UASI	Exercise *	LE		125,000			05/19/10	Full Scale	Participate	
H	Golden Guardian	UASI	Exercise *	EMS		31,250			05/19/10	Full Scale	Participate	
H	Golden Guardian	UASI	Exercise *	HIM		31,250			05/19/10	Full Scale	Participate	
H	Golden Guardian	UASI	Exercise *	PSC		31,250			05/19/10	Full Scale	Participate	
H	Urban Shield	UASI	Exercise *	LE		360,000			10/01/10	Full Scale	Participate	
H	Urban Shield	UASI	Exercise *	FS		120,000			10/01/10	Full Scale	Participate	
H	Urban Shield	UASI	Exercise *	EMS		120,000			10/01/10	Full Scale	Participate	

CALIFORNIA EMERGENCY MANAGEMENT AGENCY

**AUTHORIZED AGENT**

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CFDA #:

Bay Area UASI

075-95017

2008-0026

Supporting Information for Reimbursement/Advance of State and Federal Funds

This request is for an/a: **Initial Application**

This claim is for costs incurred within the grant expenditure period from and does not cross fiscal years.

[Redacted] (Beginning Expenditure Period Date)

through

[Redacted] (Ending Expenditure Period Date)

**Under Penalty of Perjury I certify that:**

I am the duly authorized officer of the claimant herein. This claim is true, correct, and all expenditures were made in accordance with applicable laws, rules, regulations and grant conditions and assurances.

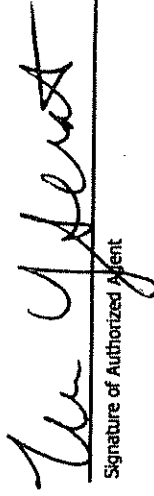
**Statement of Certification - Authorized Agent**

By signing below, I hereby certify that I am the duly appointed Authorized Agent and have the authority to apply for the Homeland Security, Transit Security, Non-Profit Security Grant Program, PROP 1B, Urban Area Security Initiative, and the Operational Area's application represents the needs for the State Homeland Security Program.

101

Laura A. Phillips

Printed Name



Signature of Authorized Agent

7/14/2009

Date

**Mail workbooks to:**

California Emergency Management Agency

Homeland Security Grants Division

State Capitol

Sacramento, CA 95814

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CFDA #:

Bay Area UASI

075-95017

2008-0026

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through

(Beginning Expenditure Period Date)

(Ending Expenditure Period Date)

**Under Penalty of Perjury I certify that:**

I am the duly authorized officer of the claimant herein. This claim is true, correct, and all expenditures were made in accordance with applicable laws, rules, regulations and grant conditions and assurances. **Statement of Certification - Authorized Agent**

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Printed Name  
Laura A. Phillips

Signature of Authorized Agent

*Laura A. Phillips*

Date

7/14/2009

**Mail workbooks to:**

California Emergency Management Agency  
Homeland Security Grants Division  
State Capitol  
Sacramento, CA 95814

**CALIFORNIA EMERGENCY MANAGEMENT AGENCY  
APPLICATION CHECKLIST**

UASI

Bay Area UASI

075-95017  
July 13, 2009  
2008-0026

**NOTES**

**URBAN AREA SECURITY INITIATIVE**

X	Approval Authority & POC Contact Information
X	Approval Authority Body Form
X	Governing Body Resolution (Certified)
X	Grant Assurances (Signed Originals)
X	FMFW- Application Cover Sheet
X	FMFW- Grant Management Roster
X	FMFW- Project Description
X	FMFW- Project Ledger
X	FMFW- Equipment Inventory Ledger
X	FMFW- Training Roster
X	FMFW- Exercise Roster
X	FMFW- Planning Roster
X	FMFW- Authorized Agent Form
X	Narrative Attachments- TLO Roster
X	Narrative Attachments- CAL JRIES Access
X	Narrative Attachments- 25% Preparedness Planning
X	Narrative Attachments- 25% Law Enforcement
X	Narrative Attachments- Training w/AAR/Exercise Detail
X	Narrative Attachments- Special Needs Populations

Completed Items	18
Total Items	19
Percent Complete	95%





# BAY AREA UASI PROGRAM



marin

sonoma

napa

solano

contra costa

alameda

santa clara

santa cruz

san mateo

san francisco

san jose

oakland

## Narrative Attachments:

**25% Preparedness Planning**

**25% Law Enforcement**

**Training w/ AAR/Exercise Detail**

The Bay Area UASI application for the FY 09 Homeland Security Grant was prepared with the overarching priorities of:

- o measuring progress against the National Preparedness Guidelines
- o strengthening preparedness planning, training and exercises
- o strengthening improvised explosive devices (IEDS), IED deterrence, prevention, protection and response capabilities
- o emphasizing information sharing capabilities
- o strengthening medical readiness
- o strengthening preventive radiological/nuclear detection capabilities

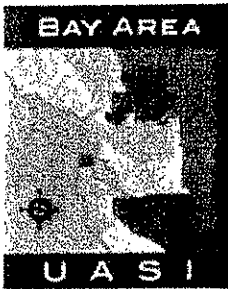
More than twenty-five percent of the funding in this application has been collectively allocated to the objectives listed above through planning preparedness. Projects within the application are regional in nature and include:

- Project A- Information Sharing and Collaboration which allocated \$606,815 to continued development of the Northern California Regional Intelligence Center (NCRIC) as well as Cyber Security Planning;
- Project B- Interoperable Communications, which allocated \$1,300,000 to increase regional planning for voice and data capabilities;
- Project C- Multi-UASI Integrated Records Management System which allocated \$150,000 for the further integration and connection of information between jurisdictions in Northern and Southern California;
- Project D- Community Resiliency, which allocated \$725,750 to complete a regional multi-discipline, multi-hazard capabilities assessment, gap analysis, and strategic plan to enhance response and recovery planning;

10 Lombard Street, Suite #10  
San Francisco, CA 94111

[www.BayAreaSUASI.org](http://www.BayAreaSUASI.org)

# BAY AREA UASI PROGRAM



URBAN AREA  
SECURITY INITIATIVE

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santa clara  
santa cruz  
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san francisco  
san jose  
oakland

- Project E- CBRNE Detection and Response which allocated \$896,050 for the development of an All Hazard Incident Response Plan for San Francisco Bay as well as planning for future equipment purchases;
- Project F- Resource Inventory and Capability, which allocated \$616,250 to develop a region-wide resource inventory and capability assessment;
- Project G- Catastrophic Incident Planning and Regional Collaboration which allocated \$4,168,500 to develop, enhance, and expand regional preparedness;
- Project H- Regional Exercise and Training which allocated \$400,000 to plan regional exercises and training to ensure mutual support and collaboration;
- Project I- Mass Care which allocated \$651,075 for the development regional shelter databases toolkits for shelter staff;
- Project J- Medical and Public Health Preparedness which allocated \$1,263,500 for the development of a mass casualty and medical surge plan for the Bay Area region.

## Law Enforcement Activities:

More than twenty-five percent of the application has been allocated to Law Enforcement Terrorism Prevention-oriented activities. The funds are dedicated to planning efforts, interoperable communications in support of Law Enforcement initiatives, information sharing and critical infrastructure protection, terrorism prevention equipment and organizational expenditures primarily associated with the Northern California Regional Intelligence Center (NCRIC).

Law Enforcement Terrorism Prevention-oriented activities include:

- Project A- Information Sharing and Collaboration which allocated \$2,622,731 for Organizational costs related to Intel Analysts, specialized equipment and training;
- Project B- Interoperable Communications which benefits all first responders and we have allocated more than 50% or \$8,422,332 of equipment purchases towards Law Enforcement Terrorism Prevention-oriented activities;

# BAY AREA UASI PROGRAM



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- Project C- Multi-UASI Integrated Records Management System which allocated \$1,650,000 for the purchase of Terrorism Prevention and other authorized equipment and planning;
- Project D- Community and Economic Resiliency which allocated \$300,000 for planning related to law enforcement planning efforts to ensure the public is adequately notified in the event of a disaster;
- Project E- CBRNE Detection and Response which allocated \$550,000 for the purchase of specialized equipment;
- Project G which allocated \$1,821,950 for the purchase of regional law enforcement-specific planning, equipment, and training;
- Project H- Regional Exercise and Training which allocated \$685,000 specifically to law enforcement exercises.

The Bay Area application continues to address the Region's unique training and exercises needs that enhance mutual support and cooperation. In this application, Project H- Regional Training and Exercise allocated \$1,375,000 to plan, coordinate, and participate in multi-discipline, multi-agency, regional training and exercises.

This project was prepared with the overarching priorities of:

- Continuing the cycle of planning, training, exercising and improvement planning to increase regional capabilities
- Testing regional Fusion Center information sharing and dissemination capabilities
- Enhancing public safety, security and response
- Testing critical infrastructure protection
- Improving Emergency Operations Center management
- Increasing CBRNE detection/response/and decontamination capabilities
- Expanding regional collaboration efforts

On September 13 -15, 2008, "Urban Shield", a continuous 48-hour full scale exercise (FSE) was conducted in the Bay Area. Developed to test the region's ability to respond to multiple terrorist and WMD attacks on critical infrastructure sites throughout the region, the exercise also contained on-site incident management, communications, and Emergency Operation Center capabilities components.

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# BAY AREA UASI PROGRAM



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The After Action Report (AAR) for Urban Shield was uploaded onto the ODP portal in Feb. 2009. This information is currently being migrated to the new HSIN website ([www.dhs.gov/xinfoshare/programs](http://www.dhs.gov/xinfoshare/programs)). The AAR documented the following Gap Analysis:

- Further regional Incident Command System (ICS) and Incident Management Training (IMT) training should be conducted
- A further assessment of specialized training for regional SWAT teams was required
- A continued need for multi-discipline, multi-agency training and exercises

On October 23, 2008, "Silver Sentinel", another FSE was conducted. Similar to the state's "Golden Guardian" exercise, this tested the region's response after a major earthquake on the Hayward fault. It further assessed elements of the Regional Emergency Coordination Plan (RECP) directly related to regional information flow, resource tracking & ordering, and communications systems during the transition from response to short and long term recovery phases.

The After Action Report for Silver Sentinel was also uploaded onto the ODP portal in Feb. 2009. This information is currently being migrated to the new HSIN website ([www.dhs.gov/xinfoshare/programs](http://www.dhs.gov/xinfoshare/programs)). The AAR documented the following Gap Analysis:

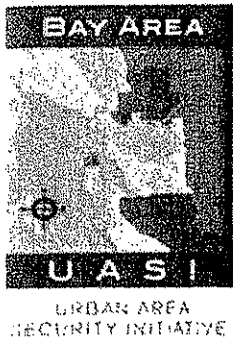
- Additional training on the California - Response Information Management System (RIMS) is required
- Training is necessary on the region's Emergency Managers Mutual Aid System (EMMA)
- Operational Areas did not have a Volunteer and Donations Management Plan

In response to the identified gaps, the following actions were initiated:

- Requested RIMS/SEMS training from CalEMA (to be tested in Golden Guardian 2010).

# BAY AREA UASI PROGRAM

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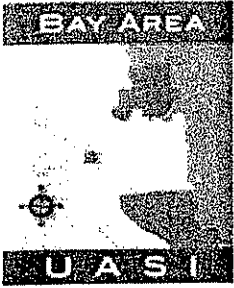
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oakland

- Tasked the Bay Area Tactical Commanders Association with conducting a SWAT needs assessment to determine the specific types of training required
- Provided FY 09 funding to support Golden Guardian 2010 and Urban Shield 2010.
  - Santa Clara County will test an EMMA request (in real time) from the region's REOC
  - Continue Tactical Medic Training, IMT, and CBRNE response training
  - Test and evaluate current training in the two FSEs planned for 2010
- Utilized Regional Catastrophic Preparedness Grant Program (RCPGP) funding to develop a Regional Volunteer and Donations Management Plan (to be tested when finalized)

# BAY AREA UASI PROGRAM

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The Bay Area UASI has populations whose members may have additional access and functional needs before, during, and after an incident. A member of the UASI team has been assigned the responsibility for coordinating the planning, response and recovery documents for special needs populations. The Bay Area regional contact for the FY 09 grant application is:

Name: Michael Clark  
Title: UASI Project Manager, Mass Care & Medical Health Preparedness  
Phone #: (408) 792-1345  
E-mail: Michael@BAuasi.org

262-09

FILE NO. 090742

RESOLUTION NO.

1 [Homeland Security Grants]

2

3 **Resolution authorizing designated City and County officials to execute and file on**  
 4 **behalf of the City and County of San Francisco, a public entity established under the**  
 5 **laws of the State of California, any actions necessary for the purpose of obtaining**  
 6 **federal financial assistance provided by the federal Department of Homeland Security**  
 7 **and sub-granted through the State of California under various grant programs,**  
 8 **including: the FY 09 Homeland Security Grant Program for the FY 09 Urban Areas**  
 9 **Security Initiative (UASI) grant, the FY 09 Buffer Zone Protection Program (BZPP) grant,**  
 10 **the FY 09 Regional Catastrophic Preparedness Grant Program (RCPGP), the FY 09**  
 11 **Interoperable Emergency Communications Grant Program (IECGP) and Public Law 110-**  
 12 **329.**

13

14 WHEREAS, The State of California, through various homeland security grant  
 15 programs, provides support for the development and maintenance of the capability of local  
 16 jurisdictions to prepare for and respond to natural disasters, acts of terrorism, and other man-  
 17 made disasters and provides for the dispersal of federal funds provided for this purpose under  
 18 Public law 110-329; and,

19 WHEREAS, The City and County of San Francisco has participated in these programs  
 20 since their inception and deems participation in these programs as vital to the continued well-  
 21 being of its citizens; and,

22 WHEREAS, The State of California requires that applicant's agents be named in the  
 23 resolution, now, therefore, be it

24 RESOLVED, That the Board of Supervisors of the City and County of San Francisco  
 25 authorizes the Executive Director of the Department of Emergency Management, or in his or

1 her absence, the Chief Financial Officer of the Department of Emergency Management, or the  
2 General Manager of the Bay Area UASI or the Director of Strategy and Compliance of the Bay  
3 Area UASI, to execute for and on behalf of the City and County of San Francisco, a public  
4 entity established under the laws of the State of California, any actions necessary for the  
5 purpose of obtaining federal financial assistance provided by the federal Department of  
6 Homeland Security and sub-granted through the State of California under the FY 09  
7 Homeland Security Grant Program for the FY 09 Urban Areas Security Initiative (UASI) grant,  
8 the FY 09 Buffer Zone Protection Program (BZPP) grant, the FY 09 Regional Catastrophic  
9 Preparedness Grant Program (RCPGP), the FY 09 Interoperable Emergency  
10 Communications Grant Program (IECGP) and Public Law 110-329.

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# City and County of San Francisco

City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

## Tails Resolution

**File Number:** 090742

**Date Passed:**

Resolution authorizing designated City and County officials to execute and file on behalf of the City and County of San Francisco, a public entity established under the laws of the State of California, any actions necessary for the purpose of obtaining federal financial assistance provided by the federal Department of Homeland Security and sub-granted through the State of California under various grant programs, including: the FY 09 Homeland Security Grant Program for the FY 09 Urban Areas Security Initiative (UASI) grant, the FY 09 Buffer Zone Protection Program (BZPP) grant, the FY 09 Regional Catastrophic Preparedness Grant Program (RCPGP), the FY 09 Interoperable Emergency Communications Grant Program (IECGP) and Public Law 110-329.

June 9, 2009 Board of Supervisors — REFERRED: Budget and Finance Committee

June 23, 2009 Board of Supervisors — ADOPTED

Ayes: 11 - Alioto-Pier, Avalos, Campos, Chiu, Chu, Daly, Dufty, Elsbernd, Mar, Maxwell, Mirkarimi

File No. 090742

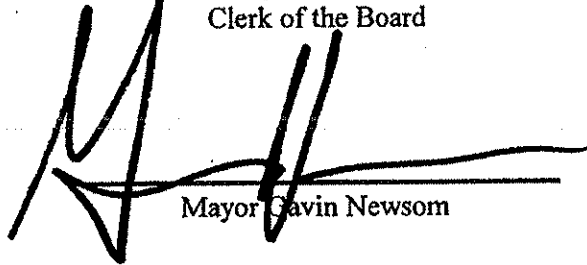
I hereby certify that the foregoing Resolution was ADOPTED on June 23, 2009 by the Board of Supervisors of the City and County of San Francisco.



Angela Calvillo  
Clerk of the Board

7-2-09

Date Approved



Mayor Gavin Newsom

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na  
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for



**City and County of San Francisco  
Office of the Clerk of  
BOARD OF SUPERVISORS**

City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

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Your attention is hereby directed to the following:

I, Angela Calvillo, Clerk of the Board of the City and County of San Francisco, California do hereby certify that the annexed File No. 090742, Resolution No. 262-09 is a full, true and correct copy of the original thereof on file in this office.

IN WITNESS, WHEREOF, I have hereunto set my hand and affixed the official seal of the City and County of San Francisco, California this 7th day of July, A.D., 2009.

Angela Calvillo  
Clerk of the Board  
City and County of San Francisco

By  \_\_\_\_\_

## APPROVAL AUTHORITY BODY MEMBER INFORMATION

Name: Vicki Hennessy  
Title: Acting Executive Director, San Francisco Department of Emergency Management  
Office Phone Number: (415) 558-2745  
Cell Phone Number: (415) 850-5356  
Fax Number: (415) 558-3864  
E-Mail Address: Vicki.Hennessy@sfgov.org

Name: Scott Frizzie  
Title: Director of Regional Operations, CalEMA  
Office Phone Number: (916) 845-8491  
E-Mail Address: [Scott.Frizzie@oes.ca.gov](mailto:Scott.Frizzie@oes.ca.gov)

Name: Renee Domingo  
Title: Manager, Oakland Fire Department, Office of Emergency Services  
Cell Phone Number: (510) 755-5843  
E-Mail Address: [RADomingo@oaklandnet.com](mailto:RADomingo@oaklandnet.com)

Name: Kirstin Hofmann  
Title: Director, Santa Clara Office of Emergency Services  
Office Phone Number: (408) 808-7800  
E-Mail Address: [Kirstin.Hofmann@oes.sccgov.org](mailto:Kirstin.Hofmann@oes.sccgov.org)

Name: Rich Lucia  
Title: Undersheriff, Alameda County  
Office Phone Number: (510) 272-6868  
Cell Phone Number: (510) 225-5900  
E-Mail Address: [RLucia@acgov.org](mailto:RLucia@acgov.org)

Name: Gary Massetani  
Title: Assistant Chief, San Francisco Fire Department  
Office Phone Number: (415) 558-3411  
Cell Phone Number: (415) 269-6958  
E-Mail Address: [Gary.Massetani@sfgov.org](mailto:Gary.Massetani@sfgov.org)

Name: Theresa Reed  
Title: Assistant Fire Chief, San Jose Fire Department  
Office Phone Number: (408) 277-5457  
E-Mail Address: [Theresa.Reed@sanjoseca.gov](mailto:Theresa.Reed@sanjoseca.gov)

# California Emergency Management Agency

## *FY09 Grant Assurances*

(All HSGP Applicants)

Name of Applicant: Teresa Serata

Address: 10 Lombard St. Suite 410

City: San Francisco State: CA Zip Code: 94111

Telephone Number: 415-705-8520 Fax Number: 415-705-8513

E-Mail Address: Teresa.Serata@sfgov.org

As the duly authorized representative of the applicant, I certify that the applicant named above:

1. Has the legal authority to apply for Federal assistance and has the institutional, managerial and financial capability to ensure proper planning, management and completion of the grant provided by the U.S. Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) and sub-granted through the State of California, California Emergency Management Agency (Cal EMA).
2. Will assure that grant funds are used for allowable, fair, and reasonable costs only and will not be transferred between programs (State Homeland Security Program, Urban Area Security Initiative, Citizen Corps Program, and Metropolitan Medical Response System) or fiscal years.
3. Will comply with any cost sharing commitments included in the FY09 Investment Justifications submitted to DHS/FEMA/Cal EMA, where applicable.
4. Will give the Federal government, the General Accounting Office, the Comptroller General of the United States, the State of California, through any authorized representative, access to, and the right to examine, all paper or electronic records, books, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards and/or awarding agency directives.
5. Agrees that funds utilized to establish or enhance State and Local fusion centers must support the development of a statewide fusion process that corresponds with the Global Justice/Homeland Security Advisory Council (HSAC) Fusion Center Guidelines and achievement of a baseline level of capability as defined by the Fusion Capability Planning Tool.
6. Will provide progress reports, and other such information as may be required by the awarding agency, including the Initial Strategy Implementation Plan (ISIP) within 45 (forty-five) days of the award, and update via the Grant Reporting Tool (GRT) twice each year.
7. Will initiate and complete the work within the applicable time frame after receipt of approval from Cal EMA.

8. Will maintain procedures to minimize the time elapsing between the award of funds and the disbursement of funds.
9. Will comply with all provisions of DHS/FEMA's codified regulation 44, including Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, including the payment of interest earned on advances.
10. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes, or presents the appearance of, personal or organizational conflict of interest, or personal gain for themselves or others, particularly those with whom they have family, business, or other ties.
11. Agrees that, to the extent contractors or subcontractors are utilized, grantees and subgrantees shall use small, minority, women-owned, or disadvantaged business concerns and contractors or subcontractors to the extent practicable.
12. Will notify Cal EMA of any developments that have a significant impact on award-supported activities, including changes to key program staff.
13. Will comply, if applicable, with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
14. Understands and agrees that Federal funds will not be used, directly or indirectly, to support the enactment, repeal, modification or adoption of any law, regulation, or policy, at any level of government, without the express prior written approval from DHS/FEMA/Cal EMA.
15. Will comply with all Federal Statutes relating to Civil Rights and Nondiscrimination. These include, but are not limited to:
  - a. Title VI of the Civil Rights Act of 1964 (P.L. 88-352), as amended, which prohibits discrimination on the basis of race, color or national origin.
  - b. Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683 and 1685-1686), which prohibits discrimination on the basis of gender.
  - c. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps.
  - d. The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age.
  - e. The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse.
  - f. The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism.
  - g. §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records.
  - h. Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing.
  - i. Title 44 Code of Federal Regulations (CFR) Parts 7, 16, and 19 relating to nondiscrimination.

- j. The requirements on any other nondiscrimination provisions in the specific statute(s) under which the application for Federal assistance is being made.
  - k. Will, in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin, gender, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office of Civil Rights, Office of Justice Programs.
  - l. Will provide an Equal Employment Opportunity Plan, if applicable, to the Department of Justice Office of Civil Rights within 60 days of grant award.
  - m. Will comply, and assure the compliance of all its subgrantees and contractors, with the nondiscrimination requirements and all other provisions of the current edition of the Office of Justice Programs Financial and Administrative Guide for Grants, M7100.1.
16. Will comply with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. § 4601 et seq. [P.L. 91-646]) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally assisted programs. These requirements apply to all interested in real property acquired for project purposes regardless of Federal participation in purchases. Will also comply with Title 44 CFR, Part 25, Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally-assisted programs.
  17. Will comply, if applicable, with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is ten thousand dollars (\$10,000) or more.
  18. Will comply with all applicable Federal, State, and Local environmental and historical preservation (EHP) requirements. Failure to meet Federal, State, and Local EHP requirements and obtain applicable permits may jeopardize Federal funding. Will comply with all conditions placed on any project as the result of the EHP review; any change to the scope of work of a project will require reevaluation of compliance with these EHP requirements.
  19. Agrees not to undertake any project having the potential to impact the EHP resources without the prior written approval of DHS/FEMA/Cal EMA, including, but not limited to, communications towers, physical security enhancements, new construction and modifications to buildings that are fifty (50) years old or more. Any construction related activities initiated prior to full EHP review will result in a noncompliance finding. If ground-disturbing activities occur during the project implementation, the recipient must ensure monitoring of the disturbance. If any potential archeological resources are discovered, the recipient will immediately cease activity in that area and notify DHS/FEMA/Cal EMA and the appropriate State Historic Preservation Office.
  20. Will ensure that the facilities under its ownership, lease or supervision, which shall be utilized in the accomplishment of this project, are not on the Environmental Protection Agency's (EPAs) List of Violating Facilities, and will notify Cal EMA and the Federal Grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating if a facility to be used in the project is under consideration for listing by the EPA.

21. Will provide any information requested by DHS/FEMA/Cal EMA to ensure compliance with applicable laws, including the following:
- a. Institution of environmental quality control measures under the National Environmental Policy Act, National Historical Preservation Act, Archaeological and Historic Preservation Act, Endangered Species Act, and Executive Orders on Floodplains (11988), Wetlands (11990) and Environmental Justice (EO12898) and Environmental Quality (EO11514).
  - b. Notification of violating facilities pursuant to EO 11738.
  - c. Assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.).
  - d. Conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.).
  - e. Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523).
  - f. California Environmental Quality Act (CEQA). California Public Resources Code Sections 21080-21098. California Code of Regulations, Title 14, Chapter 3 Section 15000-15007.
  - g. Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
  - h. Applicable provisions of the Coastal Barrier Resources Act (P.L. 97-348) dated October 19, 1982 (16 USC 3501 et seq.) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System.
22. Will comply with Standardized Emergency Management System (SEMS) requirements as stated in the California Emergency Services Act, Government Code, Chapter 7 of Division 1 of Title 2, Section 8607.1(e) and CCR Title 19, Sections 2445, 2446, 2447, and 2448.
23. Agrees that all publications created or published with funding under this grant shall prominently contain the following statement: *"This document was prepared under a grant from FEMA's Grant Programs Directorate, U.S. Department of Homeland Security. Points of view or opinions expressed in this document are those of the authors and do not necessarily represent the official position or policies of FEMA's Grant Programs Directorate or the U.S. Department of Homeland Security."* The recipient also agrees that, when practicable, any equipment purchased with grant funding shall be prominently marked as follows: *"Purchased with funds provided by the U.S. Department of Homeland Security."*
24. Acknowledges that DHS/FEMA reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use, for Federal government purposes: a) the copyright in any work developed under an award or sub-award; and b) any rights of copyright to which a recipient or sub-recipient purchases ownership with Federal support.
25. The recipient agrees to consult with DHS/FEMA/Cal EMA regarding the allocation of any patent rights that arise from, or are purchased with, this funding.
26. Has requested through the State of California, Federal financial assistance to be used to perform eligible work approved in the submitted application for Federal assistance and after the receipt of Federal financial assistance, through the State of California, agrees to the following:
- a. Promptly return to the State of California all the funds received which exceed the approved, actual expenditures as accepted by the Federal or State government.



- b. In the event the approved amount of the grant is reduced, the reimbursement applicable to the amount of the reduction will be promptly refunded to the State of California.
  - c. Separately account for interest earned on grant funds, and will return all interest earned, in excess of \$100 per Federal Fiscal Year.
27. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Sections 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
  28. Will comply with provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
  29. Will comply, if applicable, with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
  30. Will comply, if applicable, with the Laboratory Animal Welfare Act of 1966 (P. L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
  31. Will comply with the minimum wage and maximum hour provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.
  32. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Section 276a to 276a-7), the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Sections 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333), regarding labor standards for Federally assisted construction sub-agreements.
  33. Agrees that:
    - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement.
    - b. If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or an employee of Congress, or employee of a Member of Congress in connection with the Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
    - c. The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers including subgrants, contracts under grants and cooperative agreements, and subcontract(s) and that all sub recipients shall certify and disclose accordingly.
    - d. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a

prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

34. Agrees that equipment acquired or obtained with grant funds:
  - a. Will be made available pursuant to applicable terms of the California Disaster and Civil Defense Master Mutual Aid Agreement in consultation with representatives of the various fire, emergency medical, hazardous materials response services, and law enforcement agencies within the jurisdiction of the applicant, and deployed with personnel trained in the use of such equipment in a manner consistent with the California Law Enforcement Mutual Aid Plan or the California Fire Services and Rescue Mutual Aid Plan.
  - b. Is consistent with needs as identified in the State Homeland Security Strategy and will be deployed in conformance with that Strategy.
35. Agrees that funds awarded under this grant will be used to supplement existing funds for program activities, and will not supplant (replace) non-Federal funds.
36. Will comply with all applicable Federal statutes, regulations, policies, guidelines and requirements, including OMB Circulars A102 and A-133, E.O. 12372 and the current Administrative Requirements, Cost Principles, and Audit Requirements. Will also comply with Title 28, Code of Federal Regulations, Parts 66 and 70, that govern the application, acceptance and use of Federal funds for Federally assisted projects.
37. Will comply with Subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990.
38. Agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this agreement.
39. Will comply with all provisions of 2 CFR, including: Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A-110); Part 225 Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87); Part 220 Cost Principles for Educational Institutions (OMB Circular A-21); Part 230 Cost Principles for Non-Profit Organizations (OMB Circular A-122).
40. Will comply with Federal Acquisition Regulations (FAR), part 31.2 Contract Cost Principles and Procedures, Contracts with Commercial Organizations.
41. Will comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide and the current DHS Financial Management Guide.
42. Agrees that all allocations and use of funds under this grant will be in accordance with the FY 2009 Homeland Security Grant Program Guidance and Application Kit, and the California Supplement to the FY 2009 Homeland Security Grant Program Guidance and Application Kit. All allocations and use of funds under this grant will be in accordance with the Allocations, and use of grant funding must support the goals and objectives included in the State and/or Urban

Area Homeland Security Strategies as well as the investments identified in the Investment Justifications which were submitted as part of the California FY2009 Homeland Security Grant Program application. Further, use of FY09 funds is limited to those investments included in the California FY09 Investment Justifications submitted to DHS/FEMA/Cal EMA and evaluated through the peer review process.

43. Will not make any award or permit any award (subgrant or contract) to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549 and 12689, "Debarment and Suspension".
44. As required by Executive Order 12549, Debarment and Suspension, and implemented at 44 CFR Part 17, for prospective participants in primary covered transactions,
  - a. The applicant certifies that it and its principals:
    - i. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency.
    - ii. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
    - iii. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (I)(b) of this certification; and have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and
  - b. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.
45. Agrees to comply with the Drug-Free Workplace Act of 1988, and certifies that it will or will continue to provide a drug-free workplace by:
  - a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
  - b. Establishing an on-going drug-free awareness program to inform employees about:
    - i. The dangers of drug abuse in the workplace;
    - ii. The grantee's policy of maintaining a drug-free workplace;
    - iii. Any available drug counseling, rehabilitation, and employee assistance programs; and
    - iv. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
  - c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a).

- d. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will
  - i. Abide by the terms of the statement; and
  - ii. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
- e. Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to:
 

Department of Justice, Office of Justice Programs  
 ATTN: Control Desk  
 633 Indiana Avenue, N.W.  
 Washington, D.C. 20531

Notice shall include the identification number(s) of each affected grant.
- f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted.
  - i. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - ii. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

46. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, program and administrative requirements, policies and any other requirements governing this program.

47. Understands that failure to comply with any of the above assurances may result in suspension, termination, or reduction of grant funds.

The undersigned represents that he/she is authorized by the above named applicant to enter into this agreement for and on behalf of the said applicant.

Signature of Authorized Agent: 

Printed Name of Authorized Agent: Teresa Serata

Title: Dir. Strategy and Compliance Date: 9/17/09

ARNOLD SCHWARZENEGGER  
GOVERNOR

MATTHEW R. BEITENHAUSEN  
ACTING SECRETARY



3650 SCHRIEVER AVENUE  
MATHER, CA 95041

(916) 845-8500  
(916) 324-5902 F

CALIFORNIA EMERGENCY MANAGEMENT AGENCY  
GRANTS DIVISION

October 1, 2009

Ms. Laura Phillips  
Bay Area UASI  
10 Lombard Street, Suite 420  
San Francisco, CA 94111

**SUBJECT: NOTIFICATION OF SUBGRANTEE APPLICATION APPROVAL**  
FY 2009 Homeland Security Grant Program (HSGP)  
Grant #2009-0019, Cal EMA ID# 075-95017  
Subgrant Performance Period: October 1, 2009 to April 30, 2012

Dear Ms. Phillips:

The California Emergency Management Agency (Cal EMA) has approved your FY 09 Homeland Security Grant Program (HSGP) application in the amount of \$32,510,600. As of the date of this letter you may request reimbursement of eligible grant expenditures using the Cal EMA financial management forms workbook available at [www.calema.ca.gov](http://www.calema.ca.gov).

During the review process, a Cal EMA representative examined and evaluated your FY09 HSGP grant application. As a result of this review, some of your funded projects may have been assigned performance milestones shorter than the subgrant performance period, based in part on information provided in your application and submitted workbook. Performance milestones will be used by Cal EMA to both determine the appropriate date to disencumber funds awarded under this grant and re-direct them to other needs across the State and as indicators of performance and grant management capacity in future competitive grant applications.

**Performance Milestones:**

		<u>Amount</u>	<u>Completion Date</u>
C MULTI-UASI I INTEGRATED RMS	LE	\$825,000	10/31/2010
D COMMUNITY & ECONOMIC RESILIENCY	EMG/LE	\$544,313	10/31/2010
F RESOURCE INVENTORY & CAPABILITY ASSESSMENT	EMG	\$406,725	10/31/2010
I MASS CARE	EMG	\$415,600	10/31/2010
J MEDICAL & PUBLIC HEALTH PREPAREDNESS	EMS	\$505,400	10/31/2010
K M & A	GA	\$487,659	10/31/2010

Additionally, Aviation/Watercraft requests, Establish/Enhance Emergency Operations Center (EOC) projects, projects requiring EHP review at FEMA/DHS and sole source procurement requests will require additional approvals from Cal EMA. For that reason Project(s) A, B, E, G, and H are not approved at this time, pending receipt of required supplemental documentation and/or approval from DHS. Subgrantees must obtain written approval for these activities **prior** to incurring any costs, in order to be reimbursed for any related costs under this grant. Subgrantees are required to obtain a performance bond for any

Ms. Laura Phillips  
General Manager  
Page Two

equipment item over \$250,000, or any vehicle, aviation, or watercraft (regardless of the cost) financed with homeland security dollars.

Following acceptance of this award, you must enter your grant information into the US Office of Grants and Training, Grant Reporting Tool (GRT), during the December 2009 Biannual Strategy Implementation Report (BSIR) period. The GRT can be accessed online at <https://www.reporting.odp.dhs.gov/>. Semi-annual performance reports must be prepared and submitted to Cal EMA via the GRT for the duration of the grant period or until all activities are completed and the grant is formally closed. Failure to submit performance reports could result in grant reduction, termination, or suspension.

As a reminder, the ODP Secure Portal will migrate to the Homeland Security Information Network (HSIN) on or about October 1, 2009. Portal access after September 14, 2009 will be restricted. Please reference FEMA Information Bulletin #317 for additional information.

This grant is subject to all policies and provisions of the Federal Single Audit Act of 1984 and the Single Audit Act Amendments of 1996. Any funds received in excess of current needs, approved amounts, or those found owed as a result of a final review or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal EMA.


This year, your signature is required on this letter. Please sign and return the original to your Cal EMA program representative within ten days of receipt, and keep a copy for your files. For further assistance, please feel free to contact your Cal EMA program representative or the Grant Management Division at (916) 845-8510.

Sincerely,



**MATTHEW R. BETTENHAUSEN**

Laura Phillips, Authorized Agent,  
Bay Area UASI

  
Teresa Serata, Authorized Agent  
Bay Area UASI  
10/15/09

FILE NO.

## LEGISLATIVE DIGEST

[Accept and Expend FY 09 Urban Areas Security Initiative Grant and Amend Annual Salary Ordinance, FY 2009-2010]

**Ordinance authorizing the Department of Emergency Management, on behalf of the City and County San Francisco, as the primary grantee for the combined Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to retroactively accept and expend an Urban Areas Security Initiative ("UASI") grant in the amount of \$32,510,000 from the United States Department of Homeland Security, through the California Emergency Management Agency; amending Ordinance No. 183-09 (Annual Salary Ordinance, FY 2009-2010) to reflect: the addition of one (1) grant-funded position (.50 FTE) in Class 5277 Planner I and one (1) grant-funded position (.50 FTE) in Class 5293 Planner IV, and the deletion of one (1) grant funded position (.75 FTE) in Class 1426 Senior Clerk Typist, all in the Department of Emergency Management.**

### Background Information

The United States Department of Homeland Security ("DHS"), through its Urban Areas Security Initiative ("UASI") Grant Program, provides funding for the planning, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and assists those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from threats and acts of terrorism.

The combined Urban Areas of the City and County of San Francisco, the City of Oakland, and the City of San Jose form the Bay Area Urban Area, a Tier I Urban Area. The Bay Area Urban Area includes the ten contiguous Bay Area counties and governmental entities within those counties.

San Francisco, the City of Oakland, the City of San Jose, Alameda County, and Santa Clara County have entered a Memorandum of Understanding ("MOU") regarding the Bay Area Urban Area's mission, governance and reporting structures, responsibilities, and financial arrangements, as well as processes and mechanisms to use in applying for, allocating and distributing UASI grant funds through the Bay Area Urban Area. Under the MOU, the UASI Approval Authority determines the allocation and distribution of UASI grant funds. San Francisco serves as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the Approval Authority. San Francisco disburses UASI funds pursuant to the Approval Authority's direction.

For fiscal year 2009, the Bay Area Urban Area was awarded \$32,510,600. The term of the grant is from October 2, 2009 through May 31, 2012. The grant funds will be distributed throughout the region for planning, equipment, training, exercise, and organizational initiatives determined by the Approval Authority. San Francisco will use a portion of its share of the grant funds to continue funding existing positions and to create certain new positions.

### Proposed Ordinance

The proposed ordinance authorizes the Department of Emergency Management (DEM), on behalf of the City and County of San Francisco as the primary grantee of UASI funds for the Bay Area Urban Area and as the fiscal agent for the UASI Approval Authority, to accept and expend \$32,510,000 in UASI grant funds for fiscal year 2009-2010 from the United States Department of Homeland Security, through the California Emergency Management Agency. The proposed ordinance also authorizes DEM's Executive Director, or designee, to take any steps necessary to accept, distribute and expend the grant funds.

Pursuant to Administrative Code §10.170-1(b), the proposed ordinance also amends the Annual Salary Ordinance, FY2009-2010, to reflect the addition of two (2) (.50 FTE) new grant-funded positions at the DEM, and the deletion of one position (.75 FTE) at DEM.