

BOS-1)
Rules Clerk
COB-FILES

OFFICE OF THE MAYOR
SAN FRANCISCO



MARK E. FARRELL
MAYOR

March 13, 2018

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2018 MAR 13 PM 3:26
BY AK

Dear Ms. Calvillo:

Pursuant to the Section 3.105 of the Charter of the City and County of San Francisco, it is my pleasure to notify you of my reappointment of Ben Rosenfield as Controller.

Mr. Rosenfield's reappointment is for a term ending ten years from the date of confirmation by the Board of Supervisors.

I am pleased to advise you of this nomination for reappointment and encourage the support of the Board of Supervisors.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff, Francis Tsang, at 415-554-6467.

Sincerely,

A handwritten signature in blue ink that reads "Mark E. Farrell".

Mark E. Farrell
Mayor

Ben Rosenfield, Controller

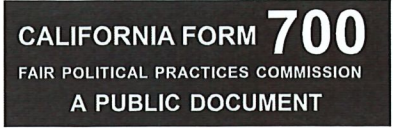
Benjamin Rosenfield serves as the City Controller. Mr. Rosenfield was appointed to a 10-year term as Controller by Mayor Gavin Newsom and confirmed by the Board of Supervisors in Spring 2008.

As Chief Fiscal Officer and Auditor, he provides fiscal management oversight of a \$10 billion budget and is responsible for budgetary planning and monitoring of the receipt, collection and disbursement of City funds. The City Controller is responsible for the City's financial management systems, internal controls and reports, provides public policy and economic analyses, estimates the cost of ballot measures, provides payroll services for the City's employees and directs performance and financial audits of City activities.

Before becoming Controller, Mr. Rosenfield served as the Deputy City Administrator under City Administrator Edwin Lee from 2005 to 2008, during which time we charged with the preparation of the City's first 10-year capital plan and oversight of the implementation of the City's 311 non-emergency customer service center. From 2001 to 2005 Mr. Rosenfield worked as the Budget Director for Mayor Willie L. Brown, Jr. and Mayor Gavin Newsom. As Budget Director, Mr. Rosenfield prepared the City's proposed budget for each fiscal year and served on behalf of the Mayor to manage city spending during the course of each year. From 1997 to 2001 Mr. Rosenfield performed as an analyst in the Mayor's Budget Office and as a project leader in the Controller's Office.

During his time as Controller, Mr. Rosenfield has worked with policymakers on a number of initiatives to improve management of the City's finances. He has supported the development of voter-approved ballot measures to revise the City's financial planning processes, shift the City to a two-year budget, and better manage retiree pension and healthcare costs. He supervised the development of complex financings required to expand the City's Moscone Convention Center, complete construction of the Transbay Transit Center, and restructure the Asian Art Museum's strained debt portfolio. During his term, the Controller's Office has replaced the City's legacy payroll & benefits systems and is underway with the replacement and modernization of the City's financial and procurement systems. He has participated in the preparation and review of the last twenty City operating budgets during his career with the City.

Mr. Rosenfield succeeded Edward Harrington as the Controller, following Mr. Harrington's appointment to the position of General Manager of the San Francisco Public Utilities Commission in 2008.



STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

E-Filed
03/28/2017
10:27:51
Filing ID:
164317158

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Rosenfield, Benjamin Lewis

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
City and County of San Francisco
Division, Board, Department, District, if applicable
Your Position
Controller Office of the
Controller

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: *SEE ATTACHED FOR ADDITIONAL POSITIONS Position:

2. Jurisdiction of Office (Check at least one box)

- [X] State [] Judge or Court Commissioner (Statewide Jurisdiction)
[] Multi-County [X] County of San Francisco
[X] City of San Francisco [] Other

3. Type of Statement (Check at least one box)

- [X] Annual: The period covered is January 1, 2016, through December 31, 2016
[] Leaving Office: Date Left ___/___/___ (Check one)
- or -
The period covered is ___/___/___, through December 31, 2016
[] Assuming Office: Date assumed ___/___/___
[] Candidate: Election Year ___ and office sought, if different than Part 1: ___

4. Schedule Summary (must complete)

► Total number of pages including this cover page: 3

Schedules attached

- [X] Schedule A-1 - Investments - schedule attached [] Schedule C - Income, Loans, & Business Positions - schedule attached
[] Schedule A-2 - Investments - schedule attached [] Schedule D - Income - Gifts - schedule attached
[] Schedule B - Real Property - schedule attached [] Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

[] None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
San Francisco CA 94114
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
()

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/28/2017 (month, day, year)

Signature Benjamin Lewis Rosenfield (File the originally signed statement with your filing official.)

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
Expanded Statement Attachment

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Benjamin Lewis

* This table lists all positions including the primary position listed in the Office, Agency, or Court section of the Cover Page.

Agency	Division/Board/Dept/District	Position	Type of Statement
City and County of San Francisco	Controller Office of the	Controller	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	PUC Rate Fairness Board	Board Member	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Treasury Oversight Committee	Member	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Retiree Health Trust Fund Board	Trustee	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Rate Fairness Board	Board Member	Annual 1/1/2016 - 12/31/2016

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Rosenfield, Benjamin Lewis</u>

▶ **NAME OF BUSINESS ENTITY**
SPDR DOW JONES INDL ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Dow Jones fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
SPDR S&P 500 TRUST

GENERAL DESCRIPTION OF THIS BUSINESS
S&P trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Powershares QQQ Series 1 ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Multicompany NASDAQ investment fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other ETF
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
ISHARES MSCI ASIA EX-JAPAN ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Multiple company investment fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Vale SA

GENERAL DESCRIPTION OF THIS BUSINESS
Mining and metalurgy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Stock
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
07 / 01 / 16 / /
 ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
ISHARES MSCI BRAZIL CAPPED ETF

GENERAL DESCRIPTION OF THIS BUSINESS
Investment Fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment Fund
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / / /
 ACQUIRED DISPOSED

Comments: _____