FILE NO. <u>011654</u> (SECOND DRAFT)

[Public Advocate; Department of Neighborhood Empowerment]

**CHARTER AMENDMENT** 

PROPOSITION \_\_\_\_

Describing and setting forth a proposal to the qualified electors of the City and County of San Francisco to amend the Charter of said City and County by amending Section 6.100 and adding Sections 6.108 and 16.121 to create the Public Advocate, establish the Department of Neighborhood Empowerment and create neighborhood councils.

The Board of Supervisors hereby submits to the qualified voters of said City and County at an election to be held on November 5, 2002, a proposal to amend the Charter of said City and County by amending Section 6.100 and adding Sections 6.108 and 16.121.

Note:

Additions are <u>single-underline italics Times New Roman</u>. Deletions are <u>strikethrough italics Times New Roman</u>.

Sec. 6.100. DESIGNATION OF OTHER ELECTIVE OFFICERS.

In addition to the officers required to be elected under other Articles of this Charter, the following shall constitute the elective officers of the City and County: the Assessor-Recorder, City Attorney, District Attorney, *Public Advocate*, Public Defender, Sheriff and Treasurer. Each such officer shall be elected for a four-year term and shall serve full time.

The City Attorney shall be licensed to practice law in all courts of the state of California and shall have been so licensed for at least ten years next preceding his or her election. The District Attorney and Public Defender shall each be licensed to practice law in all courts of the state of California and shall have been so licensed for at least five years next preceding his or her election. Such officers shall not engage in private practice of law during the period they serve as elective officers of the City and County.

Subject to the powers and duties set forth in this Charter, the officers named in this section shall have such additional powers and duties prescribed by state laws for their respective office. The terms of office in effect for these officers on the date this Charter is adopted shall continue.

## SEC. 6.108. PUBLIC ADVOCATE

## *The Public Advocate shall:*

- (1) Review complaints of a recurring and multi-neighborhood or Citywide nature relating to

  City services or programs, and make proposals to improve the City's response to the

  complaints.
- (2) Receive individual complaints concerning City services and other administrative actions of City agencies and investigate and otherwise attempt to resolve such individual complaints except for those which (i) another City agency is required by law to adjudicate, (ii) may be resolved through a grievance mechanism established by collective bargaining agreement or contract, or (iii) involve allegations of conduct which may constitute a violation of criminal law or a governmental ethics law. If the Public Advocate receives a complaint described in items (i), (ii) or (iii) of this paragraph, the Public Advocate shall advise the complainant of the appropriate procedure for the resolution of such complaint.
- (3) Except for those matters which involve conduct which may constitute a violation of criminal law or a governmental ethics law, the Public Advocate may, on the request of a resident, taxpayer, neighborhood organization, elected official, or his or her own motion, inquire into any alleged failure of a City officer or agency to comply with any provision of the Charter. If as a result of such inquiry, the Public Advocate concludes that there is any substantial failure to comply with any provision of the Charter, he or she shall submit a preliminary report documenting the conclusions of the inquiry to the officer or officers and the head of each agency involved. Within a reasonable time after

- submitting such preliminary report, the Public Advocate shall issue a final report to the Board of Supervisors, mayor, and agency documenting the conclusions of the inquiry.
- (4) Serve as a non-voting ex-officio member of the Board of Supervisors, City commissions and committees of the Board of Supervisors, and may introduce legislation to improve City programs and services and make government more efficient. The Public Advocate may attend closed sessions of the Board, commission or committee, except those closed sessions pertaining to personnel matters, and except those closed sessions of the Ethics Commission pertaining to investigations of the Public Advocate. The Public Advocate may, by written authority filed with the appropriate Board, commission or committee, designate any two officers or employees appointed by the Public Advocate to exercise the powers specified in this subsection. Either such officer or employee, so designated, may act in the place of the Public Advocate on the Board, commission or committee whenever the Public Advocate is absent from a meeting of the Board, commission or committee for any reason whatever.
- (5) <u>Establish programs and initiatives to assist traditionally underserved communities gain access to government.</u>
- (6) <u>Administer the Department of Neighborhood Empowerment provided for in Section</u>

  16.121.
- (7) Have timely access to all records and documents which the Public Advocate deems

  necessary to complete the investigations, inquiries and reviews required by this Section.

  If a City agency does not comply with the Public Advocate's request for such records

  and documents, the Public Advocate may request that the Supervisor of Records require

  the production of such records and documents. The provisions of this subsection shall

  not apply to those records and documents of City agencies for which a claim of privilege

  may properly be raised or which are prepared or maintained by the District Attorney or

- the Ethics Commission for use in any investigation authorized by State law or the Charter.
- (8) If the Public Advocate receives a complaint alleging conduct which may constitute a violation of criminal law or a governmental ethics law, he or she shall promptly refer the complaint regarding criminal conduct to the District Attorney or other appropriate law enforcement agency and shall refer the complaint regarding governmental ethics to the Ethics Commission. If during the conduct of any investigation, inquiry, or review authorized by this subsection, the Public Advocate discovers that the matter involves conduct which may constitute a violation of criminal law or a governmental ethics law, he or she shall take no further action but shall promptly refer the matter regarding criminal conduct to the District Attorney or other appropriate law enforcement agency and shall promptly refer the matter regarding governmental ethics to the Ethics

  Commission. All complaints received and any investigative file prepared or maintained by the Public Advocate regarding matters covered by this subsection, shall be confidential to the extent permitted by State law.
- (9) Before making public any portion of any draft, preliminary or final report relating to the operations or activities of a City officer or agency, the Public Advocate shall send a copy of the draft report to any such officer, and to the head of any agency, discussed in such report and provide the officer and agency, in writing, with a reasonable deadline for their review and response. The Public Advocate shall include in any report, or portion thereof, which is made public a copy of all such officer and agency responses.
- (10) The Public Advocate may hold public hearings in the course of fulfilling the

  requirements of this section provided that a complete transcript of any such hearings

  shall be made available for public inspection free of charge within sixty days after the

- hearing. The Public Advocate shall also provide a copy of any requested pages of such transcript at a reasonable fee to cover copying and, if relevant, mailing costs.
- Not later than the thirty-first day of October of each year, the Public Advocate shall (11)present to the Board of Supervisors a report on the activities of the office during the preceding fiscal year. The report shall include: (1) a statistical summary of the complaints received during such fiscal year, categorized by agency, type of complaint, agency response, mode of resolution, and such other factors as the Public Advocate deems appropriate; (2) an analysis of recurring complaints and the Public Advocate's recommendations for administrative, legislative or budgetary actions to resolve the underlying problems causing the complaints; (3) a summary of the findings and recommendations of the agency program reviews conducted during the fiscal year and a summary of the agency responses to such findings and recommendations; (4) a summary of the Charter requirements which, in the opinion of the Public Advocate are not being implemented by the City agencies and officers subject to them, including a description of the nature and extent of the failure to comply and a summary of the responses of the agencies or officers to the Public Advocate's conclusions; and (5) a summary of improvements in Charter compliance since the Public Advocate's last annual report. The Public Advocate shall include an assessment of the fiscal implications of any recommendations presented in this report.
- (12) The Public Advocate shall be elected for a four year term. The first election for Public Advocate shall take place at the General Municipal Election in November, 2003.

## SEC. 16.121. DEPARTMENT OF NEIGHBORHOOD EMPOWERMENT

(a) <u>To promote more citizen participation in government and make government more</u>

efficient to local needs, a Citywide system of neighborhood councils, and a Department

- of Neighborhood Empowerment is created. Neighborhood councils shall include
  representatives of the many diverse interests in communities and shall have an advisory
  role on issues of concern to the neighborhood.
- (b) The Department of Neighborhood Empowerment shall have the duties and

  responsibilities set forth in this Section and elsewhere in the Charter to implement and

  oversee the ordinances and regulations creating the system of neighborhood councils.

  The Department of Neighborhood Empowerment shall:
  - 1. Prepare a plan for the creation of a system of neighborhood councils to ensure that every part of the City is within the boundary of a neighborhood council, and has an opportunity to form a neighborhood council.
  - 2. <u>Assist neighborhoods in preparing petitions for recognition or certification,</u>

    identifying boundaries that do not divide communities, and organizing themselves, in

    accordance with the plan.
  - 3. <u>Arrange a congress of neighborhood councils meetings if requested to do so by</u> recognized neighborhood councils.
  - 4. Assist neighborhood councils with the election or selection of their officers.
  - 5. Arrange training for neighborhood councils' officers and staff.
  - 6. Assist neighborhood councils to share resources, including offices, equipment, and other forms of support for them to communicate with constituents, other neighborhood councils, and with government officials.
  - 7. <u>Perform other duties as prescribed by ordinance.</u>
- (c) <u>Board of Neighborhood Commissioners</u>. There shall be a board of seven commissioners to be known as the Board of Neighborhood Commissioners ("board"). Three <u>commissioners shall be appointed</u> by the Board of Supervisors, and one commissioner shall be appointed by the Public

- Advocate. Commissioners shall be from diverse geographic areas, as further specified by ordinance. Appointment and removal of commissioners shall otherwise be in accordance with Section 15.105 of the Charter. The board shall be responsible for policy setting and policy oversight including the approval of contracts and leases and the promulgation of rules and regulations, but shall not be responsible for day-to-day management.
- (d) General Manager. There shall be a general manager of the Department of

  Neighborhood Empowerment who shall be appointed by the Public Advocate, subject to

  confirmation by the Board of Supervisors and who may be removed as provided for in

  Section 15.105 of the Charter. The general manager shall appoint, discharge and

  prescribe the duties of staff consistent with the civil service provisions of the Charter.
- (e) <u>Development of the Neighborhood Council Plan. The Department of Neighborhood</u>

  <u>Empowerment shall develop a Plan for a Citywide system of neighborhood councils, in conformance with the following requirements:</u>
  - 1. <u>The Department of Neighborhood Empowerment shall seek public input in the</u> <u>formulation of the plan.</u>
  - 2. The plan shall contain a statement of goals, policies and objectives of the

    Neighborhood Council system, and shall contain specific regulations, in draft

    ordinance format which, if adopted by ordinance, would be sufficient to implement
    the plan.
  - 3. The regulations shall establish the method by which boundaries of neighborhood councils will be determined. The system for determining boundaries shall maintain neighborhood boundaries to the maximum extent feasible, and may consider City planning district and supervisorial district boundaries when appropriate.
  - 4. The regulations must ensure that all areas of the City are given an equal opportunity to form neighborhood councils.

- 5. The regulations shall establish the procedure and criteria for recognition or certification of neighborhood councils.
- 6. The regulations shall not restrict the method by which members of a neighborhood council are chosen, if the process otherwise satisfies the requirements of this article.
- 7. The regulations shall require that neighborhood councils adopt fair and open procedures for the conduct of their business.
- 8. The Board of Supervisors shall provide for the creation of the Department of

  Neighborhood Empowerment and appointment of the general manager no later than

  120 days after the Public Advocate assumes office.
- (f) Implementation of the Plan. The Department of Neighborhood Empowerment shall complete development of the Plan and present the Plan and all necessary regulations for a system of neighborhood councils to the Board of Supervisors within one year of the establishment of the department and commission. The Board of Supervisors shall consider the regulations, and within six months after presentation of the plan the Board of Supervisors may adopt ordinances to implement the regulations as proposed, or as modified consistent with the requirements of the plan set forth herein. If implementing ordinances are not adopted within this time period, the regulations shall become effective, and to the extent not inconsistent with law shall be binding upon all departments and offices.
- (g) <u>Certification of Neighborhood Councils. Each neighborhood council seeking official</u>

  <u>certification or recognition from the City shall submit an organizational plan and by-</u>

  <u>laws to the Department of Neighborhood Empowerment, showing at a minimum:</u>
- (h) Early Warning System. The regulations shall establish procedures for receiving input from neighborhood councils prior to decisions by the Board of Supervisors, Board of Supervisors Committees, and boards and commissions. The procedures shall include, but need not be limited to, notice to neighborhood councils as soon as practical, and a

- reasonable opportunity to provide input before decisions are made. Notices to be provided include matters to be considered by the Board of Supervisors, Board of Supervisors committees, and City boards and commissions.
- (i) <u>Powers to Neighborhood Councils. Subject to applicable law, the Board of Supervisors</u>

  may delegate its authority to neighborhood councils to hold public hearings prior to the

  Board of Supervisors making a decision a matter of local concern.
- (j) Annual City Budget Priorities. Each neighborhood council may present to the Mayor and Board of Supervisors an annual list of priorities for the City budget. The Mayor shall inform certified neighborhood councils of the deadline for submission so that the input may be considered in a timely fashion.
- (k) Monitoring of City Services. In concert with the Public Advocate, neighborhood

  councils shall monitor the delivery of City services in their respective areas and have

  periodic meetings with responsible officials of City departments, subject to their

  reasonable availability.
- (1) Appropriation. The Mayor and Board of Supervisors shall appropriate funds for the

  Department of Neighborhood Empowerment and for the startup and functioning of

  neighborhood councils for the first two fiscal years after the effective date of this

  Section. Funds shall be appropriated into a special fund to be established by ordinance.
- (m) Review. The Mayor and Board of Supervisors shall appoint a commission as

  prescribed by ordinance to evaluate the provisions of this Section, the regulations

  adopted pursuant to this Section, and the efficacy of the system of neighborhood

  councils no later than seven years after the adoption of this Section. The commission

  shall make recommendations to the Board of Supervisors regarding changes to the

  Charter or the regulations as it deems appropriate.
- (n) <u>Effect of Ordinances. The Board of Supervisors may adopt ordinances concerning</u> neighborhood councils consistent with requirements for the plan at any time. These

## ordinances shall supercede any inconsistent regulations that have become effective pursuant to this Section.

	OVED AS TO FORM: NIS J. HERRERA, City Attorney
By:	
2).	JULIE MOLL
	Deputy City Attorney