



# City & County of San Francisco Ethics Commission

## FY21 Departmental Budget Presentation

Budget and Appropriations Committee

August 12, 2020

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& Director of Technology Services

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“Public office  
is a public trust...”

San Francisco Charter Section 15.103

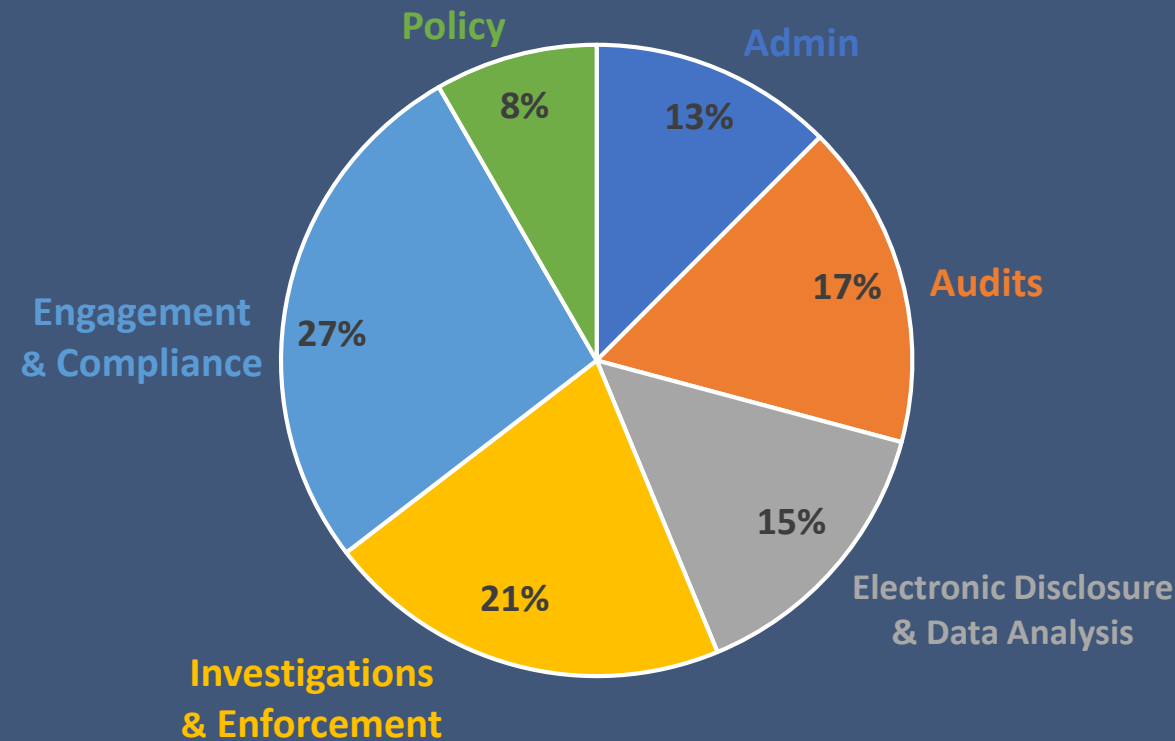


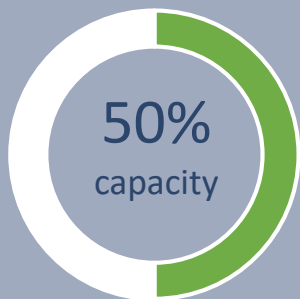
# Overview: Funding and FTEs

Budget	FY20	FY21	FY22
Operating Budget	\$4,802,714	\$4,649,516	\$5,123,435
Election Campaign Fund Contribution	\$6,803,704	\$0	\$0
Authorized FTEs	23.77	24	25
Temporary Staff	.76	.75	.75
Attrition Savings Target	-1.27	-2.41	-1.4
Budgeted FTEs	23.26	22.34	24.35

## Distribution of Staffing Resources

FTEs by Program Area

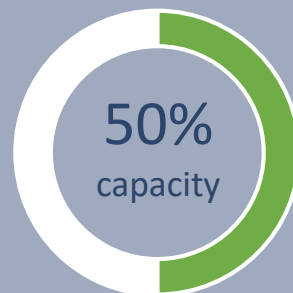




**Audit Division:** 4 Authorized FTEs

**Vacancies:** One 1824 Audit Supervisor

**DSW:** One 1822 auditor on extended DSW duty through Dec. 2020



**Policy Division:** 2 Authorized FTEs

**Vacancies:** One 1822 Policy Analyst

### Impact

- Remaining audit resources are deployed to administer Public Financing program through November election
- Pending campaign audits and program improvements are effectively on hold until after election
- No additional compliance reviews until 2021

### Impact

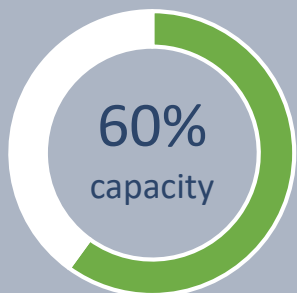
- Policy analysis process and development of legislative recommendations in response to Controller’s June report delayed until 2021

### Mayor’s Proposed Budget

- ✓ Authorizes hiring of existing 1824 Audit Supervisor effective Jan. 2021

### Mayor’s Proposed Budget

- ✓ Authorizes hiring of existing 1822 Policy Analyst effective Jan. 2021



## Enforcement Division

5 Authorized Investigative FTEs

**Vacancies: One 1822 Investigator**

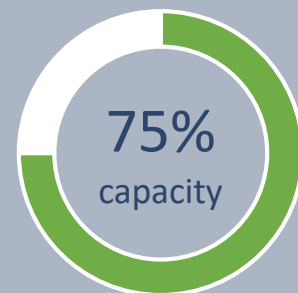
**DSW:** One 1823 Investigator on extended DSW duty through Dec. 2020

### Impact

- Delays timely investigations and case resolutions
- Delays progress on updating and further strengthening enforcement policies and practices

### Mayor's Proposed Budget

- ✘ Required attrition savings target prevents filling existing 1822 Investigator vacancy in both FY21 and FY22



## Electronic Disclosure & Data Analysis Division

4 Authorized FTEs in FY20

**Discontinued: One 1042 IS Engineer**

### Impact

- Delayed planned Jan 2021 launch of e-filing of economic interest statements by designated departmental filers

### Mayor's Proposed Budget

- ✓ Reauthorizes 1042 IS Engineer position effective Jan. 2021, enabling project resumption



## In Alignment:

Controller's June 29th Report Recommendations

### Rec: **Enable Citywide Electronic Filing of Form 700**

- ✓ Adds new 1840 Customer Support Specialist (for a 3-year limited term) effective Jan 2021
- ✓ Reauthorizes 1042 IS Engineer effective Jan 2021

### Rec: **Conduct Annual Compliance Reviews**

- ✓ Authorizes hiring of 1824 Audit Supervisor effective Jan 2021

### Rec: **Examine Gift Loopholes**

- ✓ Authorizes hiring of 1822 Policy Analyst effective Jan 2021
- ✓ Increases DHR Work Order support to .5 FTE equivalent in FY21 and to 1 FTE equivalent in FY22 for hiring assistance



## Not Yet In Alignment:

BLA's August 10 Performance Audit Recommendations

Rec 2.1:

### **Expedite Approval Of Requests to Fill Vacant Positions**

- ✓ Budget funds filling three vacant positions starting Jan. 2021
  - ⊘ Required attrition savings target prevents filling the existing 1822 Investigator position authority in both FY21 and FY22

Recs 3.4 and 4.5:

### **Establish And Formalize Sufficient Training for Audit and Enforcement Staff**

- ⊘ Reduction in staff training budget of \$22k in FY21 and of \$25k in FY22



# Alignments Needed

Full funding of the Ethics Commission is critical at this time.



Reduce attrition savings target by .5 FTE in FY21 and by 1 FTE in FY22 to enable vacancy in existing 1822 Enforcement Division Investigator to be filled

FY21

FY22

+\$75k

+\$150k

*“Providing proactive outreach on the City’s ethics laws promotes voluntary compliance with these laws, reducing the need for enforcement efforts against those who want to comply...”*

Budget and Legislative Analyst, Performance Audit of Ethics Commission, August 10



Invest in funding necessary to launch *Ethics@Work* - an ETH outreach team of four trainers for a 3-year limited-term project to develop and conduct targeted, practical ethics trainings for City employees and officials based on an assessment of their specific training needs and addresses areas of risk specific to their job function

+\$400k

+\$815k