

File No. 110142

Committee Item No. 4

Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Rules Date March 17, 2011

Board of Supervisors Meeting Date _____

Cmte Board

- | | | |
|-------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form (for hearings) |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

- | | | |
|-------------------------------------|--------------------------|-----------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>Form 700</u> |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |

Completed by: Alisa Somera Date March 14, 2011

Completed by: _____ Date _____

An asterisked item represents the cover sheet to a document that exceeds 25 pages.
The complete document can be found in the file.



**Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714**

Application for Boards, Commissions and Committees

Application for Appointment to: Ethics Commission
Name of Board, Commission, Committee, or Task Force

Seat # or Category (If applicable): Vacant Seat 1

District:

Name: Dorothy S. Liu

Home Address: — 8th Avenue, San Francisco, CA

Home Phone: (415) — (cell) Occupation: Attorney

Work Phone: (415) 995-5046 Employer: Hanson Bridgett, LLP

Business Address: 425 Market Street, 26th Floor, San Francisco, CA Zip: 94105

Business E-Mail: dliu@hansonbridgett.com Home E-Mail:

RECEIVED
 BOARD OF SUPERVISORS
 SAN FRANCISCO
 2011 MAR -8 PM 3:26
 Zip: 94122

Check All That Apply:

A citizen of the United States. At least 18 years old on or before Election Day.

Not in prison or on parole for a felony conviction

A resident of San Francisco Yes: No: (Place of Residence):

Please state your qualifications (attach supplemental sheet if necessary)

Please see enclosed Supplemental Attachment.

Education:

J.D., University of Washington School of Law (1997)

B.A., Stanford University (1992)

Business and/or professional experience:

Please see enclosed Supplemental Attachment.

Civic Activities:

Asian American Bar Association of the Greater Bay Area (Co-Chair of the Community Services Committee, 2003-2007). Please also see enclosed Supplemental Attachment.

Ethnicity: (optional) Asian American Sex: (optional) M F

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made.

(Applications must be received 10 days before the scheduled hearing.)

(Please Note: Once Completed, this form, including all attachments, become public record)

Date: 3-8-2011 Applicant's Signature: (required)

Please Note: Your application will be retained for one year.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

DOROTHY S. LIU
— 8TH AVENUE
SAN FRANCISCO, CA 94122

**SUPPLEMENTAL ATTACHMENT FOR
APPLICATION FOR ETHICS COMMISSION:
QUALIFICATIONS FOR DOROTHY LIU**

Qualifications:

I've resided and worked in San Francisco since 1999 and would be honored at an opportunity to serve on the Ethics Commission.

I am a partner at the law firm Hanson Bridgett, practicing labor and employment law. As part of my practice, I regularly advise and counsel large organizations on employment compliance and human resources matters. Over the years, I've grown to place great value on building trust both with employees and with communities in advising on oversight and compliance issues. I believe that the Ethics Commission likewise plays an integral part in building trust in City government when residents are confident in the oversight of how City government is run.

I also believe in finding ways to use my skills and contribute to my community. Throughout my legal career, and also as an undergraduate and as a law student, I've always made time to volunteer in the public sector. While at Stanford University (B.A., class of 1992), I interned for the San Francisco District Attorney's Consumer Fraud unit, investigating consumer complaints against businesses, and I also volunteered in San Francisco's Chinatown, teaching photography to at-risk youth.

During law school at the University of Washington (class of 1997), I interned for the Northwest Immigrant Rights' Project, handling immigration cases for juveniles. I also was a member of the Ninth Circuit's Pro Se Advocacy Pro Bono project, where my teammates and I briefed and argued an appeal before the Ninth Circuit on behalf of a pro se litigant, successfully obtaining a new trial based on an erroneous jury instruction.

Following law school, I clerked for two years for The Honorable Walter E. Webster, Jr. at the Washington State Court of Appeals before moving back to San Francisco in 1999, when I entered private practice at Hanson Bridgett.

While in private practice, I continued to make time to volunteer in the San Francisco community. From 2003 through 2007, I served as co-chair of the Community Services Committee for the Asian American Bar Association (AABA). During my tenure, we formalized a partnership with the Chinatown Community Development Center (CCDC) on community rebuilding projects, such as cleaning graffiti in Chinatown, weeding and gardening for residents at public housing, and re-painting community buildings. We also partnered with both the Asian Law Caucus and with Asian Pacific Island Legal Outreach (APILO) to run weekly legal clinics for low income San Francisco residents.

I would consider it a high honor to serve on the Ethics Commission as an opportunity to give back to the San Francisco community. Although I would be humbled by the opportunity, I believe I am a worthy candidate who would serve the City well with hard work, competence and compassion. Thank you for your consideration of my application.

Education:

J.D., University of Washington School of Law (1997)
B.A., Stanford University (1992)

Academic Distinctions:

- Law Clerk to The Honorable Walter E. Webster, Jr., Washington State Court of Appeals (1997-1999)
- Extern to The Honorable Thomas S. Zilly, United States District Court, Western District of Washington (1995)
- Executive Articles Editor, PACIFIC RIM LAW & POLICY JOURNAL (1996-1997)

Business and/or Professional Experience:

I joined Hanson Bridgett in 1999. I've practiced labor and employment law since that time, representing both public and private employers with unionized and non-unionized workforces. As a partner in Hanson Bridgett's Labor & Employment Section, I regularly advise and counsel employers on a wide range of employment issues, including compliance with California's Labor Code, conducting internal audits for misclassification/exempt status issues, handling workplace investigations, managing leaves of absence and disability accommodations, managing employee discipline and terminations, and drafting employment agreements, separation agreements, and personnel policies.

As part of my practice, I lead wage and hour class action defense litigation in state and federal courts, on issues ranging from off-the-clock and overtime claims to meal and rest period claims and penalties under California's Labor Code. I also handle benefits litigation, as well as single-plaintiff and multiple-plaintiff litigation at the trial and appellate levels, defending employers against claims of race and gender discrimination, sexual harassment, disability discrimination and failure to accommodate, retaliation (including whistleblower retaliation), breach of contract, and wrongful termination.

Business Disclosure:

In addition, I wish to advise the Board of Supervisors and the Ethics Commission that my firm Hanson Bridgett on occasion represents the City and County of San Francisco and, on occasion and with appropriate conflict waivers, is adverse to the City and County. I personally do not work on matters either for or against the City and County of San Francisco, and none of these matters involve issues which the Ethics Commission handles or investigates.

Civic Activities:

- Asian American Bar Association of the Greater Bay Area
(Co-Chair of the Community Services Committee, 2003-2007)
- Bar Association of San Francisco (Labor and Employment Section)
- State Bar of California (Labor and Employment Section)
- The National Asian Pacific American Bar Association (NAPABA)

As noted above, while in private practice, I have made time to volunteer in the San Francisco community. From 2003 through 2007, I served as co-chair of the Community Services Committee for the Asian American Bar Association (AABA). During my tenure, we formalized a partnership with the Chinatown Community Development Center (CCDC) on community rebuilding projects, and we also partnered with both the Asian Law Caucus and with Asian Pacific Island Legal Outreach (APILO) to run weekly legal clinics for low income San Francisco residents.

DOROTHY S. LIU
3TH AVENUE
SAN FRANCISCO, CA 94122

March 8, 2011

Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2011 MAR -8 PM 3:26
BY [Signature]

Re: Application for Ethics Commission

To The Board of Supervisors:

I've resided and worked in San Francisco since 1999 and would be honored at an opportunity to serve on the Ethics Commission.

I am a partner at the law firm Hanson Bridgett, practicing labor and employment law. As part of my practice, I regularly advise and counsel large organizations on employment compliance and human resources matters. Over the years, I've grown to place great value on building trust both with employees and with communities in advising on oversight and compliance issues. I believe that the Ethics Commission likewise plays an integral part in building trust in City government when residents are confident in the oversight of how City government is run.

I also believe in finding ways to use my skills to contribute to my community. Throughout my legal career, and also as an undergraduate and as a law student, I've always made time to volunteer in the public sector. While at Stanford University (B.A., class of 1992), I interned for the San Francisco District Attorney's Consumer Fraud unit, investigating consumer complaints against businesses, and I also volunteered in San Francisco's Chinatown, teaching photography to at-risk youth.

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March 8, 2011
Page Two

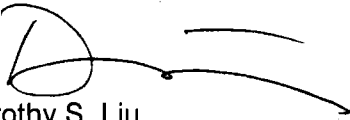
with Asian Pacific Island Legal Outreach (APILO) to run weekly legal clinics for low income San Francisco residents.

I would consider it a high honor to serve on the Ethics Commission as an opportunity to give back to the San Francisco community. Although I would be humbled by the opportunity, I believe I am a worthy candidate who would serve the City well with hard work, competence and compassion.

If you have any questions or need additional information, please don't hesitate to contact me at dliu@hansonbridgett.com or (415) 995-5046.

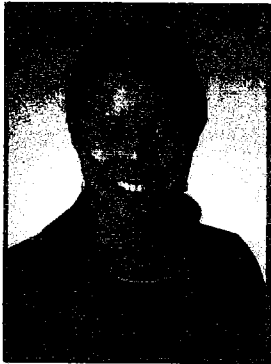
Thank you for your consideration of my application.

Very truly yours,

A handwritten signature in black ink, appearing to be 'Dorothy S. Liu', with a large, stylized initial 'D' and a long, sweeping horizontal stroke extending to the right.

Dorothy S. Liu

Enclosures



Dorothy S. Liu

Partner

Dorothy S. Liu joined Hanson Bridgett in 1999. She has practiced labor and employment law since that time, representing both public and private employers with unionized and non-unionized workforces. As a partner in Hanson Bridgett's Labor & Employment Section, Dorothy leads wage and hour class action defense litigation in state and federal courts, on issues ranging from off-the-clock and overtime claims to meal and rest period claims and penalties under California's Labor Code. She also handles benefits litigation, as well as single-plaintiff and multiple-plaintiff litigation at the trial and appellate levels, defending employers against claims of race and gender discrimination, sexual harassment, disability discrimination and failure to accommodate, retaliation (including whistleblower retaliation), breach of contract, and wrongful termination.

As part of her practice, Dorothy regularly provides advice counseling to employers on a wide range of employment issues, including compliance with California's Labor Code, conducting internal audits for misclassification/exempt status issues, handling workplace investigations, managing leaves of absence and disability accommodations, managing employee discipline and terminations, and drafting employment agreements, separation agreements, and personnel policies. Her practice includes labor arbitrations and assisting employers with collective bargaining agreements and contract negotiations. Dorothy also represents employers on a regular basis in administrative proceedings, including the Equal Employment Opportunity Commission, the Department of Fair Employment and Housing, and the Division of Labor Standards Enforcement. Dorothy has been featured twice in California Lawyer Magazine's Employment Roundtable.

Prior to joining Hanson Bridgett, Dorothy clerked for two years for The Honorable Walter E. Webster, Jr. at the Washington State Court of Appeals.

Publications and Presentations

- Training Your New Supervisors in California: 11 Practical Lessons, Employer Resource Institute Webinar (March 2011)
- HR Self-Audits in California: How to Find (and Fix) the Legal Time Bombs in Your Workplace, Employer Resource Institute Webinar (November 2010)
- Navigating the Web of Disability Leave, Pregnancy Leave, and Reasonable Accommodation Issues under California and Federal Law, (QCHF DD Symposium, Riverside, CA, May 2010)
- Avoiding Employment Nightmares and Minimizing Legal Risks, from Hiring to Separation (Quality Care Health Foundation, Riverside, CA, May 2008)
- Employment Law Roundtable published in California Lawyer (February 2008)
- Family and Medical Leave: The Basic Do's and Don'ts of Handling FMLA Requests, (national audioconference, October 2007)
- Wage & Hour Law Presentation for Residential Care Facilities (Community Education, Temecula, CA, July 2006)
- Employment Law Roundtable published in California Lawyer (January 2006)

SAN FRANCISCO OFFICE

425 Market Street, 26th Floor
San Francisco, CA 94105

415-398-8046 Direct Phone

415-541-9356 Fax

dliu@hansonbridgett.com

Practice Areas/Industries

Labor & Employment

- Time Off in California: Leave as an Accommodation Under the ADA and FEHA, and Other Federal and California Statutory Leaves (Lorman Seminar, San Francisco, July 2005)
- Time Off in California: Leaves of Absence (Lorman Seminar, San Francisco, July 2003)
- Labor Code 2002 and Beyond, Employment Law Bulletin, Issue 5 (2001)

Professional Affiliations

- Asian American Bar Association of the Greater Bay Area (Co-Chair of the Community Services Committee, 2003-2007)
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- Extern to The Honorable Thomas S. Zilly, United States District Court, Western District of Washington (1995)
- Executive Articles Editor, PACIFIC RIM LAW & POLICY JOURNAL (1996-1997)

Admissions and Courts

State of California

United States Court of Appeals for the Ninth Circuit

U.S. District Court for the Northern, Eastern, and Central Districts of California

COVER PAGE

Please type or print in ink.

NAME OF FILER	(LAST)	(FIRST)	(MIDDLE)
Liu		Dorothy	S.

1. Office, Agency, or Court

Agency Name
San Francisco Ethics Commission
 Division, Board, Department, District, if applicable
 Your Position
Applying for position as commission member

► If filing for multiple positions, list below or on an attachment.

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- | | |
|--|---|
| <input type="checkbox"/> State | <input type="checkbox"/> Judge (Statewide Jurisdiction) |
| <input type="checkbox"/> Multi-County _____ | <input type="checkbox"/> County of _____ |
| <input checked="" type="checkbox"/> City of <u>San Francisco</u> | <input type="checkbox"/> Other _____ |

3. Type of Statement (Check at least one box)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Annual: The period covered is January 1, 2010, through December 31, 2010.
-or-
The period covered is ____/____/____, through December 31, 2010. | <input type="checkbox"/> Leaving Office: Date Left ____/____/____
(Check one)
<input type="radio"/> The period covered is January 1, 2010, through the date of leaving office.
<input type="radio"/> The period covered is ____/____/____, through the date of leaving office. |
| <input type="checkbox"/> Assuming Office: Date ____/____/____ | |
| <input type="checkbox"/> Candidate: Election Year _____ Office sought, if different than Part 1: _____ | |

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: _____

- | | |
|---|---|
| <input checked="" type="checkbox"/> Schedule A-1 - Investments – schedule attached | <input checked="" type="checkbox"/> Schedule C - Income, Loans, & Business Positions – schedule attached |
| <input type="checkbox"/> Schedule A-2 - Investments – schedule attached | <input checked="" type="checkbox"/> Schedule D - Income – Gifts – schedule attached |
| <input checked="" type="checkbox"/> Schedule B - Real Property – schedule attached | <input checked="" type="checkbox"/> Schedule E - Income – Gifts – Travel Payments – schedule attached |

-or-

None - No reportable interests on any schedule

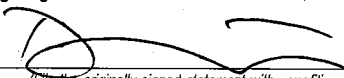
5. Verification

MAILING ADDRESS <i>(Business or Agency Address Recommended - Public Document)</i>	STREET	CITY	STATE	ZIP CODE
1362 8th Avenue		San Francisco	CA	94122
DAYTIME TELEPHONE NUMBER	E-MAIL ADDRESS			
(415) 995-5046	dliu@hansonbridgett.com			

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3-10-11
(month, day, year)

Signature 
(File this originally signed statement with your filing official.)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name _____ _____
--

▶ NAME OF BUSINESS ENTITY
Hanson Bridgett, LLP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Provider of legal services (law firm)

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/10 _____/_____/10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
iShares iBoxx

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment grade corporate bond fund

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/10 _____/_____/10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
iShares S&P Midcap 400

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Growth index fund of iShares trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/10 _____/_____/10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Powershares QQQ Trust

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Unit investment trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/10 _____/_____/10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SPDR S&P 500 ETF Trust

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Unit investment trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/10 _____/_____/10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Vanguard Emerging

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Emerging markets stock

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/10 _____/_____/10
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name _____

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Hanson Bridgett, LLP

ADDRESS (Business Address Acceptable)
425 Market Street, 26th Floor

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Provider of legal services (law firm)

YOUR BUSINESS POSITION
Partner

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

 Other _____
(Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

 Other _____
(Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____	INTEREST RATE _____ % <input type="checkbox"/> None	TERM (Months/Years) _____
ADDRESS (Business Address Acceptable) _____	SECURITY FOR LOAN <input type="checkbox"/> None <input type="checkbox"/> Personal residence	
BUSINESS ACTIVITY, IF ANY, OF LENDER _____	<input type="checkbox"/> Real Property _____ <small>Street address</small>	
HIGHEST BALANCE DURING REPORTING PERIOD <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000	_____ City _____	
	<input type="checkbox"/> Guarantor _____	
	<input type="checkbox"/> Other _____ <small>(Describe)</small>	

Comments: _____

SCHEDULE E
Income – Gifts
Travel Payments, Advances,
and Reimbursements

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name _____

- **Reminder – you must mark the gift or income box.**
- **You are not required to report income from government agencies.**
- **You may mark the box 501(c)(3) for a travel payment received from a nonprofit 501(c)(3) organization. When the payment is a gift it is reportable but is not subject to the \$420 gift limit.**

▶ NAME OF SOURCE
Employer Resource Institute
 ADDRESS (Business Address Acceptable)
322 Brentwood Oaks Dr.
 CITY AND STATE
Nashville, TN 37211
 BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)
Provider of compliance advice for HR professionals
 DATE(S): 11 / 18 / 10 - ____ / ____ / ____ AMT: \$ ____
(if applicable)
 TYPE OF PAYMENT: (must check one) Gift Income
 DESCRIPTION: Starbucks gift card

▶ NAME OF SOURCE
Quality Care Health Foundation
 ADDRESS (Business Address Acceptable)
2201 K Street
 CITY AND STATE
Sacramento, CA 95816
 BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)
Training and advice for long term healthcare providers
 DATE(S): 5 / 4 / 10 - ____ / ____ / ____ AMT: \$ ____
(if applicable)
 TYPE OF PAYMENT: (must check one) Gift Income
 DESCRIPTION: One night's hotel lodging for conference

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 CITY AND STATE

 BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)

 DATE(S): ____ / ____ / ____ - ____ / ____ / ____ AMT: \$ ____
(if applicable)
 TYPE OF PAYMENT: (must check one) Gift Income
 DESCRIPTION: _____

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 CITY AND STATE

 BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)

 DATE(S): ____ / ____ / ____ - ____ / ____ / ____ AMT: \$ ____
(if applicable)
 TYPE OF PAYMENT: (must check one) Gift Income
 DESCRIPTION: _____

Comments: _____

**SCHEDULE D
Income – Gifts**

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <hr/>
--

▶ NAME OF SOURCE
Timothy Mitsuoka
 ADDRESS (Business Address Acceptable)
870 39th Avenue, San Francisco, CA 94121
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 09 / 10</u>	\$ <u>75.00</u>	<u>Wedding gift -</u>
<u> / / </u>	\$ <u> </u>	<u>kitchenware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Joseph Hurley
 ADDRESS (Business Address Acceptable)
1415 Cole St., San Francisco, CA 94117
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>09 / 27 / 10</u>	\$ <u>75.00</u>	<u>Wedding gift-bakeware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Cindy Hwang Chiang
 ADDRESS (Business Address Acceptable)
255 Berry St., San Francisco, CA 94158
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 21 / 10</u>	\$ <u>50.00</u>	<u>Wedding gift-bakeware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Maureen Kelly and Richard Simpson
 ADDRESS (Business Address Acceptable)
1354 11th Ave., San Francisco, CA 94122
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 14 / 10</u>	\$ <u>75.00</u>	<u>Wedding gift-cookware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Hon. and Mrs. Peter Siggins
 ADDRESS (Business Address Acceptable)
350 McAllister St., San Francisco, CA 94102
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 28 / 10</u>	\$ <u>300.00</u>	<u>Wedding gifts-silver</u>
<u> / / </u>	\$ <u> </u>	<u>and bedding</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Richard Siggins and Barbara Casey
 ADDRESS (Business Address Acceptable)
227 Currey Ln, Sausalito, CA 94965
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 19 / 10</u>	\$ <u>200.00</u>	<u>Wedding gifts-china,</u>
<u> / / </u>	\$ <u> </u>	<u>stemware and bedding</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

Comments: _____

SCHEDULE D
Income – Gifts

Name _____

▶ NAME OF SOURCE
Patrick and Edith Miyaki
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Floor, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 14 / 10</u>	<u>\$ 75.00</u>	<u>Wedding gift -</u>
<u> / / </u>	<u>\$ </u>	<u>serveware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
John and Eileen Dooling
 ADDRESS (Business Address Acceptable)
3573 Pierce St., San Francisco, CA 94123
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 26 / 10</u>	<u>\$ 70.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>bakeware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Charlene Son Rigby and Ben Rigby
 ADDRESS (Business Address Acceptable)
44 Elsie St., San Francisco, CA 94110
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 18 / 10</u>	<u>\$ 80.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>cookware, serveware</u>
<u> / / </u>	<u>\$ </u>	<u>and linens</u>

▶ NAME OF SOURCE
Suling Wang and Michael Deiner
 ADDRESS (Business Address Acceptable)
345 Rolph St., San Francisco, CA 94112
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 02 / 10</u>	<u>\$ 250.00</u>	<u>Wedding gifts- linens,</u>
<u> / / </u>	<u>\$ </u>	<u>cookware, bakeware,</u>
<u> / / </u>	<u>\$ </u>	<u>serveware</u>

▶ NAME OF SOURCE
Tricia and David Wilson-Okamura
 ADDRESS (Business Address Acceptable)
3503 Grey Fox Trail, Greenville, NC 27858
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 02 / 10</u>	<u>\$ 250.00</u>	<u>Wedding gifts-linens,</u>
<u> / / </u>	<u>\$ </u>	<u>cookware, bakeware,</u>
<u> / / </u>	<u>\$ </u>	<u>serveware</u>

▶ NAME OF SOURCE
Vicki Lai and Bob Bock
 ADDRESS (Business Address Acceptable)
6513 18th Ave. N.E., Seattle, WA 98115
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 14 / 10</u>	<u>\$ 125.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>cookware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: _____

Name

**SCHEDULE D
Income – Gifts**

▶ NAME OF SOURCE

W. Daniel Clinton and Krystyna Wolanskaa
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	<u>\$ 150.00</u>	<u>Wedding gift- fine art</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE

Lisa Pooley and Rebecca Barmore
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 15 / 10</u>	<u>\$ 90.00</u>	<u>Wedding gifts- travel</u>
<u> / / </u>	<u>\$ </u>	<u>and linens</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE

Sandra Rappaport and Matthew Disco
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 01 / 10</u>	<u>\$ 125.00</u>	<u>Wedding gifts- silver,</u>
<u> / / </u>	<u>\$ </u>	<u>serveware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE

Kathleen and John Nerney
 ADDRESS (Business Address Acceptable)
245 Dellbrook Ave, San Francisco, CA 94131
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 14 / 10</u>	<u>\$ 80.00</u>	<u>Wedding gift-china</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE

Teresa Bartolero and Dag Macleod
 ADDRESS (Business Address Acceptable)
4108 Maybelle Ave., Oakland, CA 94619
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 26 / 10</u>	<u>\$ 70.00</u>	<u>Wedding gifts- linens,</u>
<u> / / </u>	<u>\$ </u>	<u>bakeware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE

Patricia and John Bartolero
 ADDRESS (Business Address Acceptable)
3850 Paseo Grande, Moraga, CA 94556
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 30 / 10</u>	<u>\$ 100.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>stemware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: _____

**SCHEDULE D
Income – Gifts**

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <hr/>
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▶ NAME OF SOURCE
Ginger Baldyga

ADDRESS (Business Address Acceptable)
1855 Sacramento St., San Francisco, CA 94109

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 03 / 10</u>	\$ <u>125.00</u>	<u>Wedding gifts- spa and</u>
<u> / / </u>	\$ _____	<u>restaurant gift</u>
<u> / / </u>	\$ _____	<u>certificates, cookware</u>

▶ NAME OF SOURCE
David Gehrig and Emily Kleenam

ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 03 / 10</u>	\$ <u>75.00</u>	<u>Wedding gift -</u>
<u> / / </u>	\$ _____	<u>serveware</u>
<u> / / </u>	\$ _____	_____

▶ NAME OF SOURCE
Cynthia Chaw

ADDRESS (Business Address Acceptable)
806 Guildford Ave., San Mateo, CA 94402

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 10 / 10</u>	\$ <u>75.00</u>	<u>Wedding gift - china</u>
<u> / / </u>	\$ _____	_____
<u> / / </u>	\$ _____	_____

▶ NAME OF SOURCE
Karin Rittenberg and Tracy Clifford

ADDRESS (Business Address Acceptable)
1301 Clay St., San Francisco, CA 94109

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 11 / 10</u>	\$ <u>80.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ _____	<u>cookware, kitchen</u>
<u> / / </u>	\$ _____	<u>and bakeware</u>

▶ NAME OF SOURCE
Jerrold Schaefer and Rosa Carillo

ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 19 / 10</u>	\$ <u>200.00</u>	<u>Wedding gifts - china,</u>
<u> / / </u>	\$ _____	<u>linens</u>
<u> / / </u>	\$ _____	_____

▶ NAME OF SOURCE
Douglas and Susan Barton

ADDRESS (Business Address Acceptable)
425 Market St., 26th Flor, San Francisco, CA 94105

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 15 / 10</u>	\$ <u>175.00</u>	<u>Wedding gifts - china</u>
<u> / / </u>	\$ _____	_____
<u> / / </u>	\$ _____	_____

Comments: _____

Name _____

**SCHEDULE D
Income – Gifts**

▶ NAME OF SOURCE
Kelly Canady and Frank Cassidy
ADDRESS (Business Address Acceptable)
1522 24th Ave., San Francisco, CA 94122
BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 15 / 10</u>	\$ <u>80.00</u>	<u>Wedding gift-</u>
<u> / / </u>	\$ <u> </u>	<u>serveware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Constance Hiatt
ADDRESS (Business Address Acceptable)
717 Grand View Ave., San Francisco, CA 94114
BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 09 / 10</u>	\$ <u>125.00</u>	<u>Wedding gift-</u>
<u> / / </u>	\$ <u> </u>	<u>cookware, kitchen</u>
<u> / / </u>	\$ <u> </u>	<u>ware</u>

▶ NAME OF SOURCE
Howard and Barbara Martin
ADDRESS (Business Address Acceptable)
3144 Plymouth Rd., Lafayette, CA 94549
BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 17 / 10</u>	\$ <u>80.00</u>	<u>Wedding gift-</u>
<u> / / </u>	\$ <u> </u>	<u>cookware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Catherine Thomas
ADDRESS (Business Address Acceptable)
7 Vintage Ct., Petaluma, CA 94954
BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 14 / 10</u>	\$ <u>125.00</u>	<u>Wedding gifts- china,</u>
<u> / / </u>	\$ <u> </u>	<u>cookware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Paul Reyff
ADDRESS (Business Address Acceptable)
1 N. Newport Dr., Napa, CA 94559
BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	\$ <u>100.00</u>	<u>Wedding gift- crystal</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Richard and Shana Daum
ADDRESS (Business Address Acceptable)
6556 Dawes St., Oakland, CA 94611
BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 01 / 10</u>	\$ <u>250.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>stemware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

Comments: _____

SCHEDULE D
Income – Gifts

Name _____

▶ NAME OF SOURCE
Laura Hernandez Hecht and David Hecht
 ADDRESS (Business Address Acceptable)
823 York St., San Francisco, CA 94110
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 26 / 10</u>	<u>\$ 60.00</u>	<u>Wedding gifts- linens,</u>
<u> / / </u>	<u>\$ </u>	<u>kitchen ware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Rich and Michele Stratton
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	<u>\$ 50.00</u>	<u>Wedding gifts-linens</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
William and Irma Bush
 ADDRESS (Business Address Acceptable)
115 Alhambra St., San Francisco, CA 94123
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 10 / 10</u>	<u>\$ 110.00</u>	<u>Wedding gifts- china</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Mary Ashe
 ADDRESS (Business Address Acceptable)
781 11th Ave., San Francisco, CA 94118
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>08 / 15 / 10</u>	<u>\$ 100.00</u>	<u>Wedding gift-</u>
<u> / / </u>	<u>\$ </u>	<u>housewares, library,</u>
<u> / / </u>	<u>\$ </u>	<u>and cookware</u>

▶ NAME OF SOURCE
Mrs. John Parina
 ADDRESS (Business Address Acceptable)
455 Euclid Ave., San Francisco, CA 94118
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>08 / 15 / 10</u>	<u>\$ 100.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>serveware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Maria Favata
 ADDRESS (Business Address Acceptable)
318 Arguello Blvd. #4, San Francisco, CA 94118
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>08 / 16 / 10</u>	<u>\$ 150.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>cookware, bakeware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: _____

SCHEDULE D
Income – Gifts

Name _____

▶ NAME OF SOURCE
John and Martha Vlahos
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 14 / 10</u>	<u>\$ 50.00</u>	<u>Wedding gifts-linens</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Jennifer Merkle
 ADDRESS (Business Address Acceptable)
318 Arguello Blvd. # 4, San Francisco, CA 94118
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 07 / 10</u>	<u>\$ 75.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>cookware, serveware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Marty Garcia-Jones and Jason Jones
 ADDRESS (Business Address Acceptable)
479 Tehama, San Francisco, CA 94103
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 29 / 10</u>	<u>\$ 100.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>kitchenware and store</u>
<u> / / </u>	<u>\$ </u>	<u>gift certificate</u>

▶ NAME OF SOURCE
Molly Lee and Jeffrey Kaban
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 25 / 10</u>	<u>\$ 90.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>serveware, cookware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Christina Luini
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 25 / 10</u>	<u>\$ 90.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	<u>\$ </u>	<u>linens, serveware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Mohammad Walizadeh and Susan Allen
 ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 24 / 10</u>	<u>\$ 75.00</u>	<u>Wedding gift-</u>
<u> / / </u>	<u>\$ </u>	<u>serveware</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: _____

SCHEDULE D
Income – Gifts

Name

▶ NAME OF SOURCE
Natasha and Alan Goins
ADDRESS (Business Address Acceptable)
12002 Darby Chase Dr., Charlotte, NC 28277
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 16 / 10</u>	\$ <u>80.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>bakeware, serveware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Sarah Mott and Clay Haswell
ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	\$ <u>90.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>serveware, houseware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Diane O'Malley and Francis Finnegan
ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	\$ <u>70.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>serveware, candlestick</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Allison and Scott Woodall
ADDRESS (Business Address Acceptable)
1111 Franklin Street, 8th Floor Oakland CA 94607
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	\$ <u>80.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>serveware,</u>
<u> / / </u>	\$ <u> </u>	<u>kitchenware</u>

▶ NAME OF SOURCE
Margaret McAdam and Charles Stilfen
ADDRESS (Business Address Acceptable)
3407 Shangri La Rd, Lafayette, CA 94549
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>09 / 01 / 10</u>	\$ <u>125.00</u>	<u>Wedding gifts -</u>
<u> / / </u>	\$ <u> </u>	<u>stemware, gift</u>
<u> / / </u>	\$ <u> </u>	<u>certificate</u>

▶ NAME OF SOURCE
Robin Cusimano
ADDRESS (Business Address Acceptable)
500 Hearst Ave., San Francisco, CA 94112
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 01 / 10</u>	\$ <u>75.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>serveware, kitchen</u>
<u> / / </u>	\$ <u> </u>	<u>ware</u>

Comments: _____

SCHEDULE D
Income – Gifts

Name _____

▶ NAME OF SOURCE
Campion Holton
 ADDRESS (Business Address Acceptable)
4125 Hawksmoore Rd., Winston Salem, NC 27106
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	\$ <u>80.00</u>	<u>Wedding gift, china</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Terry Croft
 ADDRESS (Business Address Acceptable)
222 E. 93rd St., New York, NY 10128
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>08 / 10 / 10</u>	\$ <u>100.00</u>	<u>Wedding gift-</u>
<u> / / </u>	\$ <u> </u>	<u>housewares, library</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Min-Young Kim and Michael Lampson
 ADDRESS (Business Address Acceptable)
4236 Regent Sq., Philadelphia, PA 19104
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 31 / 10</u>	\$ <u>90.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>linens, bakeware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Stephen and Judith Cassidy
 ADDRESS (Business Address Acceptable)
223 Lucas Park Dr., San Rafael, CA 94903
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10 / 29 / 10</u>	\$ <u>90.00</u>	<u>Wedding gift-</u>
<u> / / </u>	\$ <u> </u>	<u>cookware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
David Schaaf
 ADDRESS (Business Address Acceptable)
9 Hawthorne Rd., Short Hills, NJ 07078
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10 / 03 / 10</u>	\$ <u>100.00</u>	<u>Wedding gifts-</u>
<u> / / </u>	\$ <u> </u>	<u>flatware, serveware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Howie Wang
 ADDRESS (Business Address Acceptable)
1536 Dale St., San Diego, CA 92102
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>09 / 18 / 10</u>	\$ <u>80.00</u>	<u>Wedding gift-</u>
<u> / / </u>	\$ <u> </u>	<u>serveware</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

Comments: _____

SCHEDULE D
Income – Gifts

Name

▶ NAME OF SOURCE
Catherine Barsotti and Matthew Dooling
 ADDRESS (Business Address Acceptable)
41 Bradley Ave., Walnut Creek, CA 94596
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
09 / 12 / 10	\$ 90.00	Wedding gifts-
/ /	\$	serveware
/ /	\$	

▶ NAME OF SOURCE
Monica and Daniel Holland
 ADDRESS (Business Address Acceptable)
1436 San Carlos Ave., Burlingame, CA 94010
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
08 / 20 / 10	\$ 80.00	Wedding gifts-
/ /	\$	flatware
/ /	\$	

▶ NAME OF SOURCE
James Cavanaugh
 ADDRESS (Business Address Acceptable)
9808 Echo Hills Dr., Las Vegas, NV 89134
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
07 / 14 / 10	\$ 125.00	Wedding gifts-
/ /	\$	bakeware, cookware
/ /	\$	

▶ NAME OF SOURCE
Irene Radetzky and Eric Milstein
 ADDRESS (Business Address Acceptable)
6712 N. Talman Ave., Chicago, IL 60645
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
07 / 08 / 10	\$ 150.00	Wedding gifts-
/ /	\$	cookware, serveware,
/ /	\$	bakeware

▶ NAME OF SOURCE
Catherine and Dean Levitt
 ADDRESS (Business Address Acceptable)
1217 Rhus St., San Mateo, CA 94402
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
06 / 27 / 10	\$ 70.00	Wedding gifts-
/ /	\$	serveware
/ /	\$	

▶ NAME OF SOURCE
Richard Dodge
 ADDRESS (Business Address Acceptable)
One Annabel Ln, San Ramon, CA 94583
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
06 / 23 / 10	\$ 60.00	Wedding gift-
/ /	\$	serveware
/ /	\$	

Comments:

SCHEDULE D
Income – Gifts

Name _____

▶ NAME OF SOURCE
Annie Chi and Morgan Lee
 ADDRESS (Business Address Acceptable)
55 Grant Avenue San Francisco, CA 94108
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>05 / 15 / 10</u>	<u>\$ 80.00</u>	<u>Wedding gift-</u>
<u> / /</u>	<u>\$</u>	<u>bedding</u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE
Kristi Helgeson and Michael Chalcraft
 ADDRESS (Business Address Acceptable)
6535 50th Ave. NE, Seattle, WA 98115
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>07 / 23 / 10</u>	<u>\$ 75.00</u>	<u>Wedding gifts-</u>
<u> / /</u>	<u>\$</u>	<u>dinnerware, cutlery</u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>
<u> / /</u>	<u>\$</u>	<u></u>

Comments: _____



**Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714**

Application for Boards, Commissions and Committees

Application for Appointment to: Ethics Commission

Name of Board, Commission, Committee, or Task Force

Seat # or Category (If applicable): Seat 1

District:

Name: Allen Grossman

Home Address: 30th Avenue

Home Phone: 415-221- _____

Occupation: Retired

Work Phone: _____

Employer: _____

Business Address: _____

Zip: _____

Business E-Mail: _____

Home E-Mail: grossman356@ _____

Check All That Apply:

A citizen of the United States.

At least 18 years old on or before Election Day.

Not in prison or on parole for a felony conviction

A resident of San Francisco Yes: No: (Place of Residence):

Please state your qualifications (attach supplemental sheet if necessary)

See attached supplemental sheet.

Education:

A.B. 1948 University of Michigan

LL.B. 1951 Harvard Law School

Business and/or professional experience:

Practiced business law for over 50 years following admissions to Illinois Bar (1951) and California Bar (1976). See attached supplemental sheet for additional information.

Civic Activities:

For the past several years, a proactive heavily engaged advocate for open government and the City's urban forest. See attached supplemental sheet for additional information.

Ethnicity: (optional) Caucasian

Sex: (optional) M F

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes No

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)

(Please Note: Once Completed, this form, including all attachments, become public record)

Date: February 7, 2011 Applicant's Signature: (required) Allen Grossman

Please Note: Your application will be retained for one year.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

RECEIVED
 BOARD OF SUPERVISORS
 SAN FRANCISCO
 2011 FEB - 7 PM 1:46
 BY: R

Supplemental Sheets to Application by Allen Grossman for Ethics Commission Seat 1

Business and/or professional experience:

Until my retirement about six years ago, I practiced law in Illinois from 1951 and in California from 1977, when I moved to San Francisco. During that period, I was a business lawyer primarily serving business clients. As such, my practice embraced a broad range and wide variety of legal matters that affected businessmen, their companies and their activities. For some clients, including two public companies, I served as outside General Counsel.

The professional experience I gained after I retired is particularly relevant to one area of the Ethics Commission's jurisdiction. It came from my active involvement in the San Francisco's open government law -- the San Francisco Sunshine Ordinance, its creation - the San Francisco Sunshine Task Force (SOTF) - and the Ethics Commission's role under the Sunshine Ordinance.

My involvement in "sunshine" matters is known to the continuing Ethics Commissioners and its Executive Director for two reasons: first, the written material I submitted to the Commission regarding its staff's proposed draft Regulations governing SOTF referrals and willful violations of the Sunshine Ordinance; and, second, my successful 2009/2010 lawsuit against the Commission, as a result of which I obtained copies of all the Commission's investigative and other records involving all 14 SOTF enforcement referrals previously dismissed by the Commission, including two referrals based on my SOTF complaints against the Mayor's Office and the District Attorney's Office.

In addition, I have appeared quite a few times before the Commission, including an extended discussion with its members with regard to those draft Regulations, and have given its Executive Director my views on several legal issues relating to SOTF enforcement referrals, primarily in connection with my two records requests referred to the Commission.

During the same period, I have been very active with the SOTF, having appeared before it dozens of times, both as a complainant seeking records and as a member of the public providing the SOTF members with my legal views on those occasions when I disagreed with the City Attorney's advice to the SOTF or in specific matters heard by it. I also have been a *de facto* advisor to the SOTF Committee currently completing its proposed changes to the draft "sunshine" Regulations prepared by Ethics staff.

As result, I am familiar with the Commission's current Regulations governing the procedure for Ethics law violations and other areas under its jurisdiction, how the Commission staff conducts its investigations and the "sunshine" procedure currently being developed by its staff and SOTF. This cumulative experience with the Commission, its staff and its procedures and with the SOTF coupled with my legal training, would enable me to hit the ground running as a Commissioner if appointed to the vacant seat.

Civic Activities

In addition to my activity as a “sunshine” advocate following my retirement, my principal civic activity has been directed to how to sustain and enhance the City’s urban forest. I have been and continue to be heavily involved in the administration and enforcement of the two laws that govern the city’s urban forest - the Urban Forest Ordinance and the Planning Code - and in seeking to expand the City’s programs and the reach of those two laws.

My efforts to improve the City’s urban forest programs have utilized various means and approaches. For example, I have met many times with Planning Department and Department of Public Works personnel, including DPW’s current Director, as well as the Mayor’s Greening Director, to discuss legislation and enforcement; I participated, by invitation, in the Planning Department’s meetings in which an Urban Forest Master Plan was being developed. I have continually pressed DPW to improve its enforcement of the existing law on illegal street tree removals. My most recent action, through the SF Urban Forest Coalition, a non-for-profit corporation I founded in 2007 and support, was filing a lawsuit, last September, against the Planning Department to require it to identify and enforce the Planning Code Street tree planting requirements. That case is now pending.

In sum, I consider myself an advocate for the public and its representative in my activities. I strongly believe that supporting government transparency includes supporting laws requiring disclosure of conflicts of interest and political campaign, lobbyist, campaign consultant and officials' economic interests. As a Commissioner, I would continue to advocate for and represent the public broadly to ensure San Francisco’s ethics and good government laws are enforced as the public intended them to be, and having demonstrated I have no political agenda, I would aggressively pursue the Commission’s goals as expressed in its Mission Statement.

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Received
 Official Use Only

Please type or print in ink.

NAME OF FILER	(LAST)	(FIRST)	(MIDDLE)
Grossman		Allen	NMI

1. Office, Agency, or Court

Agency Name
San Francisco Ethics Commission
 Division, Board, Department, District, if applicable
 Your Position
Commissioner

► If filing for multiple positions, list below or on an attachment.

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

<input type="checkbox"/> State	<input type="checkbox"/> Judge (Statewide Jurisdiction)
<input type="checkbox"/> Multi-County _____	<input type="checkbox"/> County of _____
<input checked="" type="checkbox"/> City of <u>San Francisco</u>	<input type="checkbox"/> Other _____

3. Type of Statement (Check at least one box)

<input type="checkbox"/> Annual: The period covered is January 1, 2010, through December 31, 2010. -or- The period covered is ____/____/____, through December 31, 2010.	<input type="checkbox"/> Leaving Office: Date Left ____/____/____ (Check one) <input type="radio"/> The period covered is January 1, 2010, through the date of leaving office. <input type="radio"/> The period covered is ____/____/____, through the date of leaving office.
<input checked="" type="checkbox"/> Assuming Office: Date ____/____/____ <u>11</u>	
<input type="checkbox"/> Candidate: Election Year _____ Office sought, if different than Part 1: _____	

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: _____

<input checked="" type="checkbox"/> Schedule A-1 - Investments – schedule attached	<input checked="" type="checkbox"/> Schedule C - Income, Loans, & Business Positions – schedule attached
<input checked="" type="checkbox"/> Schedule A-2 - Investments – schedule attached	<input type="checkbox"/> Schedule D - Income – Gifts – schedule attached
<input type="checkbox"/> Schedule B - Real Property – schedule attached	<input type="checkbox"/> Schedule E - Income – Gifts – Travel Payments – schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS	STREET	CITY	STATE	ZIP CODE
<i>(Business or Agency Address Recommended - Public Document)</i>				
111-30th Avenue		San Francisco	CA	94121
DAYTIME TELEPHONE NUMBER	E-MAIL ADDRESS			
(415) 831-3720	grossman356@mac.com			

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed February 7, 2011
(month, day, year)

Signature *Allen Grossman*
(File the originally signed statement with your filing official.)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<p>CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name <u>Allen Grossman</u></p>

▶ NAME OF BUSINESS ENTITY
Atlantic Trust Company

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Revocable Trust Managed Securities Account

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / 10 / / 10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Atlantic Trust Company

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Managed Roll Over IRA account

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT Mutual Fund
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / 10 / / 10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Atlantic Trust Company

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Revocable Trust Managed Account

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT Mutual Fund
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / 10 / / 10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Fourth Dimension Software

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Software Development

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / 10 / / 10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Vanguard Funds

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Brokerage Account

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / 10 / / 10
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:
 / / 10 / / 10
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

► 1. BUSINESS ENTITY OR TRUST

Name _____

Address (Business Address Acceptable) _____

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____ / _____ / <u>10</u> _____ / _____ / <u>10</u> ACQUIRED DISPOSED
---	---

NATURE OF INVESTMENT
 Sole Proprietorship Partnership _____ Other _____

YOUR BUSINESS POSITION _____

► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<input type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> \$1,001 - \$10,000	

► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

TP Properties LP

Name of Business Entity or _____
 Street Address or Assessor's Parcel Number of Real Property _____

Illinois Real Estate Partnership/Vacant RE Tinley Park IL

Description of Business Activity or _____
 City or Other Precise Location of Real Property _____

FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____ / _____ / <u>10</u> _____ / _____ / <u>10</u> ACQUIRED DISPOSED
--	---

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Other _____
Yrs. remaining

Check box if additional schedules reporting investments or real property are attached

Comments: _____

► 1. BUSINESS ENTITY OR TRUST

Name _____

Address (Business Address Acceptable) _____

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____ / _____ / <u>10</u> _____ / _____ / <u>10</u> ACQUIRED DISPOSED
---	---

NATURE OF INVESTMENT
 Sole Proprietorship Partnership _____ Other _____

YOUR BUSINESS POSITION _____

► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<input type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> \$1,001 - \$10,000	

► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity or _____
 Street Address or Assessor's Parcel Number of Real Property _____

Description of Business Activity or _____
 City or Other Precise Location of Real Property _____

FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____ / _____ / <u>10</u> _____ / _____ / <u>10</u> ACQUIRED DISPOSED
---	---

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Other _____
Yrs. remaining

Check box if additional schedules reporting investments or real property are attached

FPPC Form 700 (2010/2011) Sch. A-2
 FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Allen Grossman</u>
--

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Portfolio/ Fund/S Corporation Investments

ADDRESS (Business Address Acceptable)
111 30th Avenue, San Francisco CA 94121

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Passive Investor

YOUR BUSINESS POSITION
NA

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

Other Dividends/interest/S Corp/Fund Distributions
(Describe)

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

Other _____
(Describe)

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence
 Real Property _____
Street address
_____ City
 Guarantor _____
 Other _____
(Describe)

Comments: _____

San Francisco
BOARD OF SUPERVISORS

Date Printed: February 23, 2011

Date Established: November 6, 2001

Active

ETHICS COMMISSION 2002

Contact and Address:

John St. Croix Executive Director
Ethics Commission
25 Van Ness Avenue, Suite 220
San Francisco, CA 94102

Phone: (415) 252-3100

Fax: (415) 252-3112

Email: john.st.croix@sfgov.org

Authority:

Charter Section 15.100. (Proposition E – Elections, Ethics & Outside Counsel approved by the voters on November 6, 2001)

Board Qualifications:

The Ethics Commission shall consist of five members, one member of whom is appointed by the Board of Supervisors. The member appointed by the Board of Supervisors shall be broadly representative of the general public.

The Mayor, the City Attorney, the District Attorney and the Assessor each shall appoint one member of the Commission that comprise the other four members. The member appointed by the Mayor shall have a background in public information and public meetings. The member appointed by the City Attorney shall have a background in law as it relates to government ethics. The member appointed by the Assessor shall have a background in campaign finance. The member appointed by the District Attorney shall be broadly representative of the general public.

The members shall serve six-year terms, provided that the first five commissioners to be appointed to take office on the first day of February 2002 shall by lot classify their terms so that the term of one commissioner shall expire at 12:00 noon on each of the second, third, fourth, fifth and sixth anniversaries of such date, and on the expiration of these and successive terms of office, the appointments shall be made for six-year terms. In the event of a vacancy, the officer who appointed the member vacating the office shall appoint a qualified person to complete the remainder of the term.

San Francisco
BOARD OF SUPERVISORS

Members of the Commission shall be officers of the City and County, and may be removed by the appointing authority only pursuant to Section 15.105. No person may serve more than one six-year term as a member of the Commission, provided that persons appointed to fill a vacancy for an unexpired term with less than three years remaining or appointed to an initial term of three or fewer years shall be eligible to be appointed to one additional six-year term. Any term served before the effective date of this Section shall not count toward a member's term limit. Any person who completes a term as a Commissioner shall be eligible for reappointment six years after the expiration of his or her term.

During his or her tenure, a member of the Commission may not: hold any other City or County office or be an officer of a political party. No member or employee of the Ethics Commission may be a registered lobbyist or campaign consultant, or be employed by or receive gifts or other compensation from a registered lobbyist or campaign consultant. No member or employee of the Ethics Commission may participate in any campaign supporting or opposing a candidate for City elective office, a City ballot measure, or a City officer running for any elective office. Participation in a campaign includes but is not limited to making contributions or soliciting contributions to any committee within the Ethics Commission's jurisdiction, publicly endorsing or urging endorsement of a candidate or ballot measure, or participating in decisions by organizations to participate in a campaign.

The Commission may subpoena witnesses, compel their attendance and testimony, administer oaths and affirmations, take evidence and require by subpoena the production of any books, papers, records or other items material to the performance of the Commission's duties or exercise of its powers.

The Commission serves the public, city employees and officials and candidates for public office through education and enforcement of ethics laws. The Commission provides open access to public records in ethics-related matters. The Commission acts as filing officer for, and auditor of, financial disclosure statements filed by political candidates and committees and designated City and County employees. The Commission assesses fees and penalties for failure to adhere to deadlines and requirements, audits statements to ensure compliance with contribution limits, administers an education program, and produces educational materials. It also oversees registration and regulation of lobbyists, investigates ethics complaints, provides advice on ethical matters and publishes statistical reports.

Its duties include: filing and auditing of campaign finance disclosure statements; campaign consultant registration and regulation; lobbyist registration and regulation; filing officer for statements of economic interest; administration of the Whistleblower program; investigations of ethics complaints; enforcement education and training; and providing advice and statistical reporting.

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Reports: Statistical reports

Sunset Date: None