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|---|--|---|--|
| 1. DATE ISSUED MM/DD/YYYY<br>05/22/2020   |  | 1a. SUPERSEDES AWARD NOTICE dated 04/20/2020<br>except that any additions or restrictions previously imposed remain in effect unless specifically rescinded |  |
| 2. CFDA NO.<br>93.889 - National Bioterrorism Hospital Preparedness Program             |  |   |  |
| 3. ASSISTANCE TYPE Formula Grant  |  |   |  |
| 4. GRANT NO. 6 U3REP190564-01-04<br>Formerly  |  | 5. TYPE OF AWARD<br>Other   |  |
| 4a. FAIN U3REP190564  |  | 5a. ACTION TYPE Post Award Amendment  |  |
| 6. PROJECT PERIOD MM/DD/YYYY<br>From 07/01/2019   |  | Through 06/30/2024  |  |
| 7. BUDGET PERIOD MM/DD/YYYY<br>From 07/01/2019  |  | Through 06/30/2021  |  |
| 8. TITLE OF PROJECT (OR PROGRAM)<br>Hospital Preparedness Program Cooperative Agreement |  |   |  |

**DEPARTMENT OF HEALTH AND HUMAN SERVICES  
ASSISTANT SECRETARY FOR PREPAREDNESS & RESPONSE**

200 C Street, SW  
Washington, DC 20024

**NOTICE OF AWARD**  
AUTHORIZATION (Legislation/Regulations)  
Pub. L. 109-148 119 Stat. 2680, 2786 (2005)

|  |  |  |  |
|--|--|--|--|
| 9a. GRANTEE NAME AND ADDRESS<br>Public Health, California Department of<br>1615 Capitol Ave<br>Sacramento, CA 95814-5015           |  | 9b. GRANTEE PROJECT DIRECTOR<br>Ms. Tricia Blocher<br>1615 Capitol Ave<br>Sacramento, CA 95814-5015<br>Phone: 916-650-6416   |  |
| 10a. GRANTEE AUTHORIZING OFFICIAL<br>Ms. Susan Fanelli-2<br>1615 Capitol Avenue MS-7002<br>P.O. Box 997377<br>Sacramento, CA 95899 |  | 10b. FEDERAL PROJECT OFFICER<br>CAPT Kevin Sheehan<br>200 C Street, SW<br>Assistant Secretary Preparedness and Response<br>Washington, DC 20024<br>Phone: 415-209-4633 |  |

**ALL AMOUNTS ARE SHOWN IN USD**

|   |  |  |                    |
|---|--|--|--------------------|
| 11. APPROVED BUDGET (Excludes Direct Assistance)  |  | 12. AWARD COMPUTATION  |                    |
| I Financial Assistance from the Federal Awarding Agency Only  |  | a. Amount of Federal Financial Assistance (from item 11m) 32,152,459.00  |                    |
| II Total project costs including grant funds and all other financial participation <input type="checkbox"/>   |  | b. Less Unobligated Balance From Prior Budget Periods 0.00   |                    |
| a. Salaries and WageS 1,911,656.00  |  | c. Less Cumulative Prior Award(s) This Budget Period 25,500,029.00   |                    |
| b. Fringe Benefits 1,025,800.00   |  | d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 6,652,430.00   |                    |
| c. Total Personnel Costs 2,937,456.00   |  | 13. Total Federal Funds Awarded to Date for Project Period 32,152,459.00   |                    |
| d. Equipment 0.00   |  | 14. RECOMMENDED FUTURE SUPPORT<br>(Subject to the availability of funds and satisfactory progress of the project):   |                    |
| e. Supplies 166,510.00  |  | YEAR   | TOTAL DIRECT COSTS |
| f. Travel 107,577.00  |  | a. 2   | d. 5               |
| g. Construction 0.00  |  | b. 3   | e. 6               |
| h. Other 12,249,914.00  |  | c. 4   | f. 7               |
| i. Contractual 18,354,624.00  |  | 15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:   |                    |
| j. TOTAL DIRECT COSTS → 33,816,081.00   |  | a. DEDUCTION   |                    |
| k. INDIRECT COSTS 663,856.00  |  | b. ADDITIONAL COSTS  |                    |
| l. TOTAL APPROVED BUDGET 34,479,937.00  |  | c. MATCHING  |                    |
| m. Federal Share 32,152,459.00  |  | d. OTHER RESEARCH (Add / Deduct Option)  |                    |
| n. Non-Federal Share 2,327,478.00   |  | e. OTHER (See REMARKS)   |                    |
| 16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:  |  | <input type="checkbox"/>   |                    |
| a. The grant program legislation  |  | 16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING: |                    |
| b. The grant program regulations.   |  | a. The grant program legislation   |                    |
| c. This award notice including terms and conditions, if any, noted below under REMARKS.   |  | b. The grant program regulations.  |                    |
| d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.  |  | c. This award notice including terms and conditions, if any, noted below under REMARKS.  |                    |
| In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system. |  | d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.   |                    |

REMARKS (Other Terms and Conditions Attached -  Yes  No)  
This award is amended to add COVID-19 Funds. Please reference Special Terms & Conditions.

**GRANTS MANAGEMENT OFFICIAL:**

Virginia Simmons, Chief Grants Management Officer  
200 Independence Ave., S.W.  
Room 638-G  
Washington, DC 20201  
Phone: 202-260-0400

|                    |                               |                     |                     |                    |
|--------------------|-------------------------------|---------------------|---------------------|--------------------|
| 17.OBJ CLASS 41.51 | 18a. VENDOR CODE 1743204993A1 | 18b. EIN 743204993  | 19. DUNS 799150615  | 20. CONG. DIST. 06 |
| FY-ACCOUNT NO.     | DOCUMENT NO.                  | ADMINISTRATIVE CODE | AMT ACTION FIN ASST | APPROPRIATION      |
| 21. a. 0-199C009   | b. U3REP0564CV                | c. HOS07            | d. \$6,652,430.00   | e. 75-2024-0140    |
| 22. a.             | b.                            | c.                  | d.                  | e.                 |
| 23. a.             | b.                            | c.                  | d.                  | e.                 |

# AWARD ATTACHMENTS

California Department of Public Health

6 U3REP190564-01-04

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1. Terms & Conditions

## California

### **Hospital Preparedness Program (HPP) Cooperative Agreement Recipient COVID-19 Preparedness and Response Administrative Supplement**

#### **Special Programmatic Terms and Conditions**

Within 30 days of receiving the award, recipients must submit the following:

1. a work plan
2. a detailed budget and budget narrative/justification

In response to the COVID-19 outbreak, Congress appropriated emergency supplemental funding to support the urgent preparedness and response needs of hospitals, health systems, and health care workers on the front lines of this pandemic. The Office of the Assistant Secretary for Preparedness and Response (ASPR) is awarding a total of \$350 million to health care preparedness and response cooperative agreements administered by the Hospital Preparedness Program (HPP). Along with the \$42 million provided to HPP cooperative agreement recipients in March 2020, the \$116.5 million provided through this administrative supplement will support hospitals and other related health care entities to prepare them to identify, isolate, assess, transport, and treat patients with COVID-19 or persons under investigation (PUIs) for COVID-19, and to prepare those entities for future special pathogen disease outbreaks. Recipients may request retroactive compensation for HCCs and health care facilities, including state/jurisdiction special pathogen treatment centers any of the activities described herein and in the previous COVID-19 notice of award special programmatic terms and conditions that were conducted as part of COVID-19 response beginning January 20, 2020. Recipients **must** request retroactive compensation at the time of the budget submission. The request should include the following information:

- Time period;
- Line item budget for the period; and
- Narrative description of the COVID-19 preparedness activities

Activities supported by this funding include all of the activities described herein and within the previous COVID-19 HPP emergency supplemental funding administrative supplement notice of award (NoA) special programmatic terms and conditions. Any additional activities or clarifications to activities supported by this funding are listed below:

- Ensure a physician is in the state or jurisdiction emergency operations center full time to manage patient facility assignments (right bed for the right patient) within their state or jurisdiction so that EMS and hospitals do not need to transfer patients; for example, participating in or establishing a Medical Operations Coordinating Cell (MOCC). This EOC physician should have insight into available resources at hospitals and other health care facilities.

To determine the exact amount of the administrative supplement for California, ASPR utilized a funding formula specific to COVID-19. Metrics comprising the formula are: 1) capturing the risk of transmission as a function of population and 2) the size of the at-risk population based on age and underlying conditions. In addition to COVID-19 risk criteria, ASPR applied a base amount of \$172,500 for states and \$86,250 for territories and freely associated states – a proportional increase of the base amounts provided in the first tranche of funding. ASPR also included a minimum threshold of funding (\$750,000 for states and \$150,000 for territories and freely associated states) to respond to emerging trends showing that parts of the United States are experiencing medical surge differently at this time, based on COVID-19 patient influx or an underlying lack of bed capacity that pre-dated the pandemic. This threshold ensures that less populous states receive a significant level of funding to address these challenges without incurring major losses for more populous states with larger local outbreaks. Based on the formula, **each state or jurisdiction special pathogen treatment center must be allocated \$175,455.**

No more than 10% of the supplemental award can be used for the recipient’s direct costs, per the limit outlined in the administrative supplement. The additional funding **must** be allocated per the table below. Any deviations to the table below must be approved by ASPR.

**Funding table**

|  |                     |
|--|---------------------|
| <b>Total Award for California</b>                  | <b>\$ 6,652,430</b> |
| Set-aside for Special Pathogen Treatment Center(s) | \$350,910           |
| Direct cost maximum for California                 | \$665,243           |

|   |           |
|---|-----------|
| <b>Special Pathogen Treatment Center(s)</b>           |           |
| 1. Kaiser Permanente, South Sacramento Medical Center | \$175,455 |
| 2. Kaiser Permanente, Oakland Medical Center          | \$175,455 |

