File No. 160189 Committee Item No. 3
Board Item No. _____

# COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

**Committee:** Budget & Finance Sub-Committee **Date** March 23, 2016

**Board of Supervisors Meeting** **Date** _____

| Cmte | Board | |
|---|---|---|
| ☐ | ☐ | **Motion** |
| ☒ | ☐ | **Resolution** |
| ☐ | ☐ | **Ordinance** |
| ☐ | ☐ | **Legislative Digest** |
| ☒ | ☐ | **Budget and Legislative Analyst Report** |
| ☐ | ☐ | **Youth Commission Report** |
| ☐ | ☐ | **Introduction Form** |
| ☒ | ☐ | **Department/Agency Cover Letter and/or Report** |
| ☐ | ☐ | **MOU** |
| ☐ | ☐ | **Grant Information Form** |
| ☐ | ☐ | **Grant Budget** |
| ☐ | ☐ | **Subcontract Budget** |
| ☒ | ☐ | **Contract/Agreement** |
| ☐ | ☐ | **Form 126 – Ethics Commission** |
| ☐ | ☐ | **Award Letter** |
| ☒ | ☐ | **Application** |
| ☐ | ☐ | **Public Correspondence** |

**OTHER** **(Use back side if additional space is needed)**

| | | |
|---|---|---|
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |
| ☐ | ☐ | _____ |

**Completed by:** Linda Wong **Date** March 18, 2016
**Completed by:** Linda Wong **Date** _____

[Authorize the Director of Public Works to Execute Agreements - Third Street Bridge Rehabilitation Project - $18,369,975]

**Resolution authorizing the Director of Public Works to execute agreements with the California Department of Transportation pertaining to the Third Street Bridge Rehabilitation Project for the amount of $18,369,975.**

WHEREAS, The Highway Bridge Replacement and Rehabilitation Program (HBRRP) is funded by the Federal Highway Administration authorized by United States Code, Title 23, Section 144; and

WHEREAS, The California Department of Transportation (Caltrans) is responsible for administering the HBRRP at the local level; and

WHEREAS, On March 6, 2015, San Francisco Public Works (PW) submitted an application to Caltrans for $18,369,975 in HBRRP funds for the Third Street Bridge Rehabilitation Project (project); and

WHEREAS, Public Works is authorized to expend the federal grant funds through the City and County of San Francisco 2015-2016 Budget and Appropriation Ordinance on file with the Clerk of the Board of Supervisors in File No. 150610, which is hereby declared to be a part of this Resolution as if set forth fully herein; and

WHEREAS, Program Supplemental Agreements, Fund Exchange Agreements and/or Fund Transfer Agreements need to be executed with Caltrans before such funds could be claimed; and

WHEREAS, Prior to executing the above-named agreements, Caltrans requires PW's governing body to pass a resolution which identifies the person/position authorized to execute agreements; now, therefore, be it

RESOLVED, That the San Francisco Board of Supervisors authorizes the Director of PW or his/her designee to execute all documents, and any amendments thereto, with Caltrans pertaining to the Third Street Bridge Rehabilitation Project.

| Item 3<br>File 16-0189 | Department:<br>Public Works |
|---|---|

## EXECUTIVE SUMMARY

### Legislative Objectives

- The proposed resolution authorizes the Director of Public Works to execute agreements with the California Department of Transportation (CalTrans) for the Department of Public Works (DPW) to receive $18,369,975 in federal Highway Bridge Replacement and Rehabilitation Program funds. These funds would be applied to DPW's Third Street Bridge Rehabilitation Project.

### Key Points

- The Third Street Bridge (also known as Lefty O'Doul Bridge) is a drawbridge connecting the China Basin and Mission Bay neighborhoods adjacent to AT&T Park. The California Department of Transportation (Caltrans) recommended capital repairs to the Third Street Bridge in 2014. Capital repairs to the Third Street Bridge are included in the City's 10-year Capital Plan, 2016 to 2025.
- The Board of Supervisors previously appropriated the $18,369,975 in federal Highway Bridge Replacement and Rehabilitation Program funds in DPW's FY 2015-16 and FY 2016-17 budgets to Third Street Bridge structural repairs. These funds were placed on Controller's Reserve pending receipt of the federal Highway Bridge Replacement and Rehabilitation Program funds.

### Fiscal Impact

- The total budget for the Third Street Bridge Rehabilitation Project is $25,683,636, as shown in the table below. Of the $25,683,636, $20,669,975 was previously appropriated by the Board of Supervisors and $5,013,661 will be requested by DPW in the FY 2016-17 budget.
- The $18,369,975 in federal Highway Bridge Replacement and Rehabilitation Program funds requires City matching funds of $2,300,000, which were previously appropriated by the Board of Supervisors in DPW's FY 2015-16 and FY 2016-17 budget.

### Recommendation

- Approve the proposed resolution

## MANDATE STATEMENT

City Charter Section 9.118(a) states that contracts entered into by a department, board, or commission that (i) have anticipated revenues of $1 million or more, or (ii) have anticipated revenues of $1 million or more and require modifications, are subject to Board of Supervisors approval.

## BACKGROUND

The Third Street Bridge (also known as Lefty O'Doul Bridge) is a drawbridge connecting the China Basin and Mission Bay neighborhoods adjacent to AT&T Park. The bridge was originally constructed in 1933.

The California Department of Transportation (Caltrans) recommended capital repairs to the Third Street Bridge in 2014. Recommended repairs consist of (1) removing surface and pack rust; (2) repairing damaged and buckled steel members, damaged welds, the concrete counterweight, the piles supporting the ancillary bridge structures, and the fender pile system; and (3) painting and recoating the bridge. Capital repairs to the Third Street Bridge and scheduled for 2017 and 2018.

Capital repairs to the Third Street Bridge are included in the City's 10-year Capital Plan, 2016 to 2025.

## DETAILS OF PROPOSED LEGISLATION

The proposed resolution authorizes the Director of Public Works to execute agreements with the California Department of Transportation (CalTrans) for the Department of Public Works (DPW) to receive $18,369,975 in federal Highway Bridge Replacement and Rehabilitation Program funds. These funds would be applied to DPW's Third Street Bridge Rehabilitation Project.

The Board of Supervisors previously appropriated the $18,369,975 in federal Highway Bridge Replacement and Rehabilitation Program funds in DPW's FY 2015-16 and FY 2016-17 budgets to Third Street Bridge structural repairs. These funds were placed on Controller's Reserve pending receipt of the federal Highway Bridge Replacement and Rehabilitation Program funds.

## FISCAL IMPACT

The total budget for the Third Street Bridge Rehabilitation Project is $25,683,636, as shown in the table below. Of the $25,683,636, $20,669,975 was previously appropriated by the Board of Supervisors and $5,013,661 will be requested by DPW in the FY 2016-17 budget.

**Table: Sources and Uses of Funds for the Third Street Bridge Rehabilitation Budget**

| | |
|---|---|
| **Sources of Funds** | |
| Previously Appropriated | |
| Federal Highway Bridge Replacement and Rehabilitation Program (subject of this report) | $18,369,975 |
| City General Fund | 2,300,000 |
| Subtotal, Appropriated Funds | 20,669,975 |
| Appropriation to be Requested in FY 2016-17 | |
| Federal Highway Bridge Replacement and Rehabilitation Program [a] | 4,367,748 |
| City General Fund | 645,913 |
| Subtotal, Appropriation to be Requested in FY 2016-17 | 5,013,661 |
| **Total Sources** | **$25,683,636** |
| | |
| **Uses of Funds** | |
| Preliminary Engineering | $3,729,212 |
| Right of Way Easements | 350,000 |
| Construction Engineering | 1,604,424 |
| Construction | 20,000,000 |
| **Total Uses** | **$25,683,636** |

Source: DPW

[a] DPW applied for $4,367,748 in Federal Highway Bridge Replacement and Rehabilitation Program in January 2016 and was notified of award of these funds in February 2016.

According to Ms. Rachel Alonso, DPW Transportation Finance Analyst, the $18,369,975 in federal Highway Bridge Replacement and Rehabilitation Program funds requires City matching funds of $2,300,000, which were previously appropriated from General Fund revenues by the Board of Supervisors in DPW's FY 2015-16 and FY 2016-17 budget.

## RECOMMENDATION

Approve the proposed resolution.

SAN FRANCISCO PUBLIC WORKS

Edwin M. Lee
Mayor

Mohammed Nuru
Director

Bruce Robertson
Finance Manager

General Administration/Finance
1155 Market St., 4th floor
San Francisco, CA 94103
tel 415-554-5418

sfpublicworks.org
facebook.com/sfpublicworks
twitter.com/sfpublicworks

**TO:** **Angela Calvillo, Clerk of the Board of Supervisors**

**FROM:** **Bruce Robertson, Finance Manager of SF Public Works**

**DATE:** **February 22, 2016**

**SUBJECT:** **Authorize Public Works to Execute Documents - Third Street Bridge Rehabilitation Federal Grant**

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Attached please find an original and one copy of a proposed resolution authorizing the Director of San Francisco Public Works to execute on behalf of the City and County of San Francisco all documents with the California Department of Transportation (Caltrans) pertaining to the Third Street Bridge Rehabilitation Project.

- ☑ Board of Supervisors resolution
- ☑ Appropriation authority to expend the Highway Bridge Replacement and Rehabilitation Program (HBRRP) grant *(Page 144 of Ordinance 128-15, BOS File No. 150610)*
- ☑ FY 2015/16 and 2016/17 Capital Budget Turnaround Report naming Third Street Bridge as the HBRRP recipient project *(Page 3)*

**Special Timeline Requirements:**

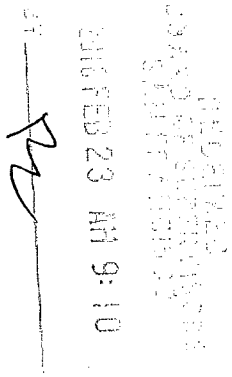
The California Department of Transportation requires receipt of the resolution by April 1, 2016.

Departmental representative to receive a copy of the adopted resolution:

Name: Rachel Alonso (rachel.alonso@sfdpw.org) Phone: 415.558.4034

Interoffice Mail Address: Public Works, 30 Van Ness – 5th floor

Certified copy required ☐ Yes ☑ No



Highway Bridge Replacement and Rehabilitation Program (HBRRP)

# Application for HBRRP funds to Rehabilitate Third Street Bridge (34C0025) In San Francisco

Prepared for:

## California Department of Transportation District 04 Local Assistance


Submitted by:
**City and County of San Francisco**
**Department of Public Works**
**Infrastructure Design and Construction Division**
30 Van Ness Avenue, San Francisco, CA 94102

Contact: Rinaldi Wibowo
Local Agency Project Manager
Telephone: (415) 558-4551 / Fax: (415) 558-4093
E-mail: Rinaldi.Wibowo@sfdpw.org


March 6, 2015

SAN FRANCISCO PUBLIC WORKS

Edwin M. Lee
Mayor

Mohammed Nuru
Director

**Patrick Rivera**
Manager

Infrastructure Design
and Construction
30 Van Ness Ave.
San Francisco, CA 94102
tel 415-558-4000

sfpublicworks.org
facebook.com/sfpublicworks
twitter.com/sfpublicworks

March 6, 2015

Mr. Teppitak (Jimmy)
Panmai
Caltrans, Office of Local Assistance
P.O. Box 23660
Oakland, CA 94623-0660

Re: Application for Highway Bridge Replacement and Rehabilitation Program
Third Street Bridge (34C0025) Rehabilitation Project

Dear Mr. Panmai,

With submission of this funding application for the Highway Bridge Replacement and Rehabilitation Program (HBRRP) funds, the City and County of San Francisco Department of Public Works (CCSF-DPW) respectfully requests the Third Street Bridge Rehabilitation Project be programmed in the HBRRP Plan. The proposed project will rehabilitate the deficient locally owned movable bridge, which is an eligible candidate of the HBRRP.

The Third Street Bridge is located on Third Street crossing over Mission Creek Channel that has been identified as an important gateway to a new redeveloped Mission Bay in San Francisco. The area has rapidly evolved into a wealthy neighborhood of luxury condominiums, hospitals, biotechnology research and development, and a future Warrior stadium.

The Third Street Bridge carries five lanes of traffic. During normal conditions, the two easternmost lanes carry northbound traffic, the two westernmost lanes carry southbound traffic, and the center lane is reversible. Before, during, and after events at neighboring AT&T Ballpark, the two easternmost lanes are closed to vehicles, and used exclusively by pedestrians, while the remaining two easternmost lanes are reversible. Mission Bay is served by the San Francisco's Muni Metro and several Muni bus and trolley bus lines link the area to neighborhoods to the north, west, and south. The Caltrain commuter rail system connects Mission Bay with San Jose and Gilroy and the current Central Subway project will make the link between Mission Bay, AT&T Ballpark, Market Street-Union Square and Chinatown even faster.

The Third Street Bridge is also designated as a major corridor through developing neighborhood; providing a vital connection from Third Street to low-income and minority populations and to the future residential and commercial developments at the former Hunters Point Naval Shipyard and the India Basin Shoreline.

The Third Street Bridge is in poor condition and requires a significant amount of deferred repair and upgrade to bring it into compliance with current standards. Enhancing the reliability of the bridge and linkage to transit will not only address basic access issues, but will also connect communities.

With the findings discussed in this HBRRP funding application, we request Caltrans Local Assistance to program this project and obligate HBRRP funds. With local funds, the preliminary engineering will be completed by consultant prior the use of Caltrans funds. The City will have adequate resources to begin the environmental assessment and construction phase upon your completion of programming and your authorization to proceed. The City will make every effort to accelerate the project with repair and upgrade works estimated to occur in 2016. We understand that reimbursable work shall not commerce until an authorization to proceed (E-76) has been issued to the City by Caltrans.

Enclosed with this cover letter are the following documents:

- Request for Authorization to Proceed with Preliminary Engineering Phase (Exhibit 3-A)
- Request for Authorization to Proceed Data Sheets (Exhibit 3-E)
- Finance Letter (Exhibit 3-O)
- HBRRP Application/Scope Definition Form (Exhibit 6-A)
- HBRRP Special Cost Approval Checklist (Exhibit 6-B)
- Field Review Form (Exhibit 7-B)
- Roadway Data (Exhibit 7-C)
- Major Structure Data (Exhibit 7-D)
- Preliminary Environmental Study (PES) (Exhibit 6-A) and supplementary information

We thank you for the opportunity to submit this HBRRP funding application and look forward to your timely review and approval of HBRRP funds. If you have any questions, please feel free to contact me at (415) 558-4551 or by email at Rinaldi.Wibowo@sfdpw.org.

Sincerely,

Rinaldi Wibowo,
Local Agency Project Manager

**City and County of San Francisco**

**San Francisco Department of Public Works**
Infrastructure Design and Construction
30 Van Ness, 5th Floor
San Francisco, CA 94102
(415) 558-4000 ▪ www.sfdpw.org

**Edwin M. Lee**, Mayor
**Mohammed Nuru**, Director

**Patrick Rivera**, Division Manager

## EXHIBIT 3-A REQUEST FOR AUTHORIZATION TO PROCEED WITH PRELIMINARY ENGINEERING

To: Ms. Sylvia Fung
District Local Assistance Engineer
Caltrans, Office of Local Assistance
P.O. Box 23660
Oakland, CA 94623-0660

Date: March 4, 2015
FTIP/FSTIP ID: _____
Federal Project No: TBD
Project ID: _____
PPNO (For STIP Projects): _____
High-Risk ITS: _____
Project Description: Third Creek Bridge Rehabilitation Project

Dear Ms. Fung:

In order to begin federally reimbursable preliminary engineering work for the above-referenced project, we request Federal Authorization to Proceed and Obligation of Funds. The federal funds requested will not exceed those provided to this agency in the federally approved Federal Transportation Improvement Program (FTIP)/Federal Statewide Transportation Improvement Program (FSTIP).

Attached are the following documents required to authorize this phase of work:

Request for Authorization Package

- [X] Completed Request for PE Authorization Data Sheet (Exhibit 3-E)
- [ ] Copy of FTIP/FSTIP Reference
- [X] Completed Finance Letter (Exhibit 3-O)
- [ ] For High-Risk ITS Projects: FHWA approved Systems Engineering Management Plan (SEMP). (Federal approval of the SEMP is contingent on prior federal approval of the Systems Engineering Review Form [SERF])
- [ ] Copy of Executed Cooperative Agreement (only for projects on State Highway System)
- [ ] Request for Capital Subvention Reimbursement Allocation (Exhibit 3-H) (only for projects on State Highway System)

Toll Credit Usage

- [ ] This project will use Toll Credit. It is fully funded.
- [X] This project will NOT use Toll Credit.

Field Review Form (Exhibit 7-B)

- [X] Completed Field Review Form (Exhibit 7-B), or
- [ ] A Field Review Form will be submitted within four (4) months of the Federal Authorization date, otherwise, it is understood the authorization to proceed will be canceled automatically. It is further understood that a Program Supplement Agreement will NOT be prepared until after the Field Review Form is submitted.

Environmental Document

- [ ] Type of NEPA Document. Approval Date: _____.

[ ] Categorical Exclusion (CE)
[ ] Findings of No Significant Impact (FONSI)
[ ] Record of Decision (ROD)
[ ] Revalidation

[X] This agency has not completed the environmental process. The NEPA Document will be submitted at a later date, prior to beginning of final design (PS&E).

Disadvantaged Business Enterprise (DBE)

[ ] All work for this phase of the project will be performed by local agency staff.
[X] For consultant contracts a Disadvantaged Business Enterprise (DBE) goal will be established for each contract, and the Local Agency Proposer DBE Commitment (Consultant Contracts) (Exhibit 10-O1) will be submitted with the proposal. Within 15 days of contract execution, the Local Agency Proposer DBE Information (Consultant Contracts) (Exhibit 10-O2) shall be forwarded to the DLAE.

California Transportation Commission (CTC) Allocation

[X] A CTC allocation is not required, or
[ ] A CTC allocation of $ _____ (federal/state) funds for the PA/ED and/or PS&E component(s) of work was made at the _____ meeting of the CTC, or
[ ] A CTC allocation of funds has been scheduled for the _____ meeting of the CTC. It is understood that the authorization/obligation of any federal STIP funds will not be made until after the CTC allocation.

Project Agreement and Liquidation of Funds

Upon FHWA issuance of the "Authorization to Proceed" and Agency submittal of the "Field Review" form (Exhibit 7-B), a "Program Supplement Agreement" will be prepared to encumber the federal and/or state funds for the project. This Agency understands that any federal and/or state funds encumbered for the project are available for disbursement for limited period(s) of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act, to the applicable Fund Reversion date shown on the State approved project finance letter (unless an extension is granted by the Department of Finance). It is anticipated that this phase of work will be completed by March 2015.

Invoice Submittal

This Agency understands that only relocation work performed after federal "Authorization to Proceed" (E-76) is eligible for reimbursement. Invoices for reimbursement will not be submitted until after the federal and state (if applicable) funds are encumbered via an executed "Program Supplement Agreement" and/or State approval Finance Letter. In addition, it is also understood that an invoice must be submitted at least once every six (6) months for each project phase until all funds are expended. If there are no eligible expenses, then a written explanation will be provided for that six (6) month period along with the target amount and date for the next invoice submittal.

CERTIFICATION

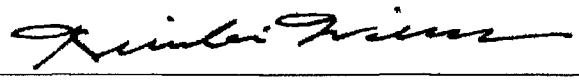
I certify that the facts and statements in this Request for Authorization Package are accurate and correct. This Agency agrees to comply with the applicable terms and conditions set forth in Title 23, U.S. Code, Highways, and the policies and procedures promulgated by the Federal Highway Administration and California Department of Transportation relative to the above-designated project.

I understand that this Agency is responsible for all costs in excess of the federal and/or state funds obligated /encumbered as well as for all costs it incurred prior to receiving the FHWA issued "Authorization to Proceed." I further understand that all subsequent phases of the project will require a separate "Federal Authorization to Proceed."

For High-Risk and Low-Risk ITS projects, I understand that our project shall be consistent with the Regional ITS Architecture, adhere to ITS Standards, and undergo Systems Engineering analysis. A SERF will be included in the Field Review Package. For High-Risk ITS projects, I understand that this Agency shall not proceed with component detailed design until after FHWA approval of the SEMP and receipt of "Authorization to Proceed."

Please advise us as soon as the "Federal Authorization to Proceed" has been issued. You may direct any questions to:

Rinaldi Wibowo at 415-558-4551 or Rinaldi.Wibowo@sfdpw.org.



*Signature of Local Agency Representative*

Rinaldi Wibowo
*Print Name*

Project Manager
*Title*

City and County of San Francisco, Department of Public Works
*Agency*

**Distribution:** DLAE

# EXHIBIT 3-E - REQUEST FOR AUTHORIZATION TO PROCEED DATA SHEET(S)

## PROJECT REFERENCE DATA

DIST-CO-RTE-AGNCY: 04-SF-0-CR
FTIP / FSTIP ID:
FEDERAL PROJECT NO.: TBD
PPNO (STIP):
CALTRANS EA:
CTIPS REFER. NO.:
BRIDGE NO.(s): 34C0025

## RESPONSIBLE/IMPLEMENTING AGENCY

RESPONSIBLE AGENCY: City and County of San Francisco, Department of Public Works
IMPLEMEN. AGENCY: City and County of San Francisco, Department of Public Works

## PROJECT DESCRIPTION

PROJECT TITLE: Third Street Bridge Rehabilitation Project

WORK DESCRIPTION: Rehabilitation work includes bridge deck and structural member corrosion repair; bridge painting; bridge counterweight and fender pile repairs; and other damage repairs.

## PROJECT LOCATION

PROJECT LOCATION: The Third Street Bridge is located on Third Street crossing over Mission Creek Channel in between Berry Street and Terry A Francois Blvd in San Francisco, California.

URBAN (IZED) AREA: San Francisco - Oakland
INDIAN RESERV. :(Y/N) No
CONG. DISTS.& %'s: Congressional District 8
TOLL ROAD: (Y/N) No
RURAL (Y/N): No

## FEDERAL AID ROUTE

FED-AID SYSTEM: (Y/N) Yes
FUNTCIONAL CLASSIF. : Principal Arterial
STATE HWY: (Y/N) No
STATE ROUTE: Not Applicable

## ADMINISTERING AGENCY

LOCAL or CALTRANS (CT): Local – City and County of San Francisco
IF CT, PROJ. MANAGER:

## THIS FEDERAL AUTHORIZATION REQUEST

OVERSIGHT: [X] DELEGATED or [ ] HIGH PROFILE
ADV. CON. (Y/N): No.
100% SAFETY (Y/N):

## COST SUMMARY:

| PHASE OF WORK | TOTAL | FED PART | FED 1 | FED 2 | STATE | OTHER | LOCAL |
|---|---|---|---|---|---|---|---|
| PREV. OBLIG | | | | | | | |
| THIS REQUEST | $20,750,000 | $20,750,000 | $18,369,975 | | | | $2,380,025 |
| SUBTOTAL | $20,750,000 | $20,750,000 | $18,369,975 | | | | $2,380,025 |

| PHASE OF WORK | TOTAL | FED PART | FED 1 | FED 2 | STATE | OTHER | LOCAL |
|---|---|---|---|---|---|---|---|
| PREV. OBLIG | | | | | | | |
| THIS REQUEST | | | | | | | |
| SUBTOTAL | | | | | | | |
| TOTAL | $20,750,000 | $20,750,000 | $18,369,975 | | | | $2,380,025 |

## FEDERAL DEMONSTRATION PROJECT INFORMATION

PUBLIC LAW, SECTION:
FEDERAL DEMO ID:
LEGISLATIVE. PROJECT NO.:
ESTIM. CONST. DATE: July 2016
RELATED DEMO PROECTS:

## FTIP / FSTIP DATA

MPO/RTPA NAME: Metropolitan Transportation Commission (MTC) FTIP / FSTIP YEAR: FY 15/16

FED. FUNDED PHASES: Preliminary Engineering and Construction SHEET OR AMD. NO.:

APPROVAL DATE:

FED FUND TYPES/TOTALS: FTIP - HBRRP APPRV'D EPSP (Y or N):

## DISADVANTAGED BUSINESS ENTERPRISE (DBE) SUBMITTALS:

Race Conscious Implementation Agreement (Exhibit 9-A) CT APPROVAL DATE:

Local Agency DBE Annual Submittal Form (Exhibit 9-B):

FED FISCAL YEAR: 14/15 CT APPROVAL DATE: 9/9/14

## INITIAL AUTHORIZATION & ESTIMATED COMPLETION DATES

| PHASE OF WORK | INITIAL FEDERAL AUTHORIZATION DATE | ESTIMATED COMPLETION DATE |
|---|---|---|
| PE | July 2015 | June 2016 |
| RW | Not applicable | Not Applicable |
| CON | July 2016 | Dec 2017 |

## ENVIRONMENTAL DATA

NEPA DOCUMENT TYPE:

| | | |
|---|---|---|
| [X] CE | | Date Caltrans SEP/DLAE signed CE Form (use the latest date) |
| [ ] EA / FONSI | | Date Caltrans DD (DDD or designee) signed the FONSI |
| [ ] EIS / ROD | | Date Caltrans signed the ROD |
| EIS Number | | Year of Public Release of EIS and EIS number (assigned by FHWA) |
| AIR BASIN | | (For CMAQ Program Funds) |

## R/W ESTIMATE

| | | |
|---|---|---|
| R/W ACQ PARCELS: | | $ |
| RAP (FAMILY): | | $ |
| (BUSINESS): | | $ |
| LRH/HRDSHP: | | $ |
| UTILITIES: | | $ |
| SUPPORT: | | $ |
| TOTAL: | | $ Not Applicable |

## UTILITY RELOCATION / ADJUSTMENTS

| UTILITY OWNER | UTILITY TYPE | COST TO RELOCTE |
|---|---|---|
| | | |
| | | |
| | | |

TOTAL UTILITY RELOCATION COSTS Not Applicable

## DESCRIPTION OF R/W PARCELS BY TYPE OF ACQUISITION/ACTIVITY

| # PARCELS | ACQUISITION TYPE AND/OR ACTIVITY | # ACRES | EST. COST |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |

## R/W CERTIFICATION

R/W CERT. NO. _____ Date Approved by Caltrans:

## LOCAL AGENCY COMMENTS

## THIS REQUEST PREPARED BY:

NAME: Rinaldi Wibowo

TITLE: Project Manager

PHONE NO.: 415-558-4551

E-MAIL: Rinaldi.Wibowo@sfdpw.org

## AGENCY CONTACT FOR PROGRAM SUPPLEMENT AGREEMENT

NAME: Ananda Hirsch

TITLE: Transportation Finance Analyst

PHONE NO: 415-558-4034

E-MAIL: Ananda.Hirsch@sfdpw.org

**Distribution:** DLAE

# EXHIBIT 3-O SAMPLE LOCAL FEDERAL-AID PROJECT FINANCE LETTER

DEPARTMENT OF TRANSPORTATION
DIVISION OF ACCOUNTING
LOCAL PROGRAM ACCOUNTING BRANCH

Date: 03/34/2015
Agency: CCSF - DPW
Fed Project No.: TBD
Project ID.:
PPNO.:
Bridge No : 34C0025

ATTN: Mr. Jimmy Panmai

Work on State Highway (Y or N): No If yes, provide following:
Administered by State or Local? Local
Project Manager Name: Rinaldi Wibowo
Accounting Program Code(s):
Coop or Contribution Agrmnt No.:

| | "P" or "L"* | TOTAL COST OF WORK | FEDERAL PARTICIPAT. COST | FEDERAL FUND TYPE (1) | FEDERAL FUND TYPE (2) | STATE MATCH FUNDS | LOCAL MATCH FUNDS | OTHER FUNDS |
|---|---|---|---|---|---|---|---|---|
| **PRELIMINARY ENGINEERING** | | | | | | | | |
| Agency Preliminary Engineering | P | $750,000 | $750,000 | $663,975 | | | $86,025 | |
| State Furnished Preliminary Engineering | | | | | | | | |
| Overhead at ____ % | | | | | | | | |
| **RIGHT OF WAY (R/W)** | | | | | | | | |
| Purchase Costs | | NA | NA | | | | NA | |
| Relocation Assistance /Utility | | | | | | | | |
| **CONSTRUCTION** | | | | | | | | |
| Contract Items | | | | | | | | |
| Utilities | | | | | | | | |
| Supplemental Work | | | | | | | | |
| Contingencies | | | | | | | | |
| Trainees | | | | | | | | |
| Agency/State Furn. Mat. | | | | | | | | |
| Contract Total: | | | | | | | | |
| **CONSTRUCTION ENGINEERING** | | | | | | | | |
| Agency Construction Engineering | | | | | | | | |
| State Furnished Construction Engineering | | | | | | | | |
| Overhead at ____ % | | | | | | | | |
| State Furnished Materials Testing | | | | | | | | |
| Overhead at ____ %, Subjob ____ | | | | | | | | |
| Striping by Agency | | | | | | | | |
| Force Account Work by Agency | | | | | | | | |
| **TOTALS:** | P | $750,000 | $750,000 | $663,975 | | | $86,025 | |

* "P" = Pro Rata, "L" = Lump Sum

Federal Participation: 88.53%
Federal Appn. Code(s):
Federal Reimbursement Rate(s) for Progress Invoice:

| PHASE | FED (1) | FED (2) |
|---|---|---|
| PE | | |
| R/W | | |
| CON | | |
| CE | | |

Certification

I certify that this Finance Letter accurately reflects the current cost estimate for all phases of the project obligated but not fully expended.

Signature :
Title : Project Manager
Project location : 3rd Street Bridge on 3rd St. over Mission Creek Channel in between Berry St. and Terry A Francois Blvd in SF
Remarks : FTIP - HBRRP

For questions regarding finance letter, contact:
Printed Name: Rinaldi Wibowo
Telephone No.: 415-558-4551

Distribution: (1) Original + 4 copies-Caltrans DLAE
(2) Copy-Local Agency Project File

# EXHIBIT 6-A HBRRP APPLICATION/SCOPE DEFINITION FORM

See Section 6.6, Chapter 6 of the LAPG for information about this form.

**This form shall replace Exhibit 7-D, "Major Structure Data," from Chapter 7, "Field Review," of the LAPM.** Wherever the LAPM requires Exhibit 7-D for other programs, Exhibit 6-A may be substituted. Bridge projects funded entirely through other programs should continue to use Exhibit 7-D.

**(One bridge per application, separate applications are required for multiple bridges at same location. Multiple bridges may be combined into one federal aid project later.)**

| | |
|---|---|
| State Bridge No. | 34C0025 Local Bridge No. CCSF 74 |
| Project Number | TBD (Caltrans to provide project number for new projects) |
| Responsible Agency | City and County of San Francisco, Department of Public Works |
| Caltrans District | 04 |
| County | San Francisco |
| Project Manager | Rinaldi Wibowo |
| Title | Project Manager |
| Phone | 415-558-4551 Fax (415) 558-4093 |
| E Mail | Rinaldi.Wibowo@sfdpw.org |
| Project Location | Third Street Bridge on Third Street over Mission Creek Channel |
| Project Limits | Third Street Bridge on Third Street crossing over Mission Creek Channel in between Berry Street and Terry A Francois Boulevard in San Francisco. |
| Type of Work | Rehabilitation |
| Work Description | Rehabilitation work includes bridge deck and structural member corrosion repair; bridge painting; counterweight and fender pile repairs; other damage repairs. |

HBRRP Category:

- ☒ Rehabilitation
- ☐ Replacement
- ☒ Painting
- ☐ Bridge/Railing/Approach Barrier Replacement
- ☐ Low Water Crossing Replacement
- ☐ Scour Countermeasure
- ☐ Replacement Due to Flood Control Project
- ☐ New Bridge to Replace Ferry Service
- ☐ Historic Bridge
- ☐ High Cost Bridge

☐ Minimal Application: Only questions 1,2,3, 4, cost data and signoff will be completed. Other information will be submitted at a later time after PE has been federally authorized to scope the project. See Section 6.6.2 "Minimum Application Requirements" for additional information.

The field review process enables the proper scoping of projects. Some field reviews are mandatory, most are optional. Field reviews are critically important to identify difficult environmental, Right of Way, and bridge type selection issues early in the project development phase. Please see Chapter 7 of the LAPM for further discussion.

1. Do you request that Caltrans initiate a field review? ☒ Yes ☐ No
2. Do you need help with consultant selection/oversight? ☐ Yes ☒ No
3. Do you need help with the federal process? ☒ Yes ☐ No
4. Caltrans engineers are available to provide an optional cursory review of the PS&E. The review looks at constructability, standard details and specifications, foundation/hydraulic design, and HBRRP funding eligibility. Do you request Caltrans perform a cursory PS&E review for this project? (If yes, please also request a field review.) ☒ Yes ☐ No

Federal Congressional District(s) 8

State Senate District(s) 3

State Assembly District(s) 13

Preliminary Engineering by: ☒ Local Agency Staff ☒ Consultant ☐ Other...

Design by: ☒ Local Agency Staff ☒ Consultant ☐ Other...

Foundation Investigation by: ☐ Local Agency Staff ☐ Consultant ☐ Other...

Hydrology Study by: ☐ Local Agency Staff ☐ Consultant ☐ Other...

Detour, stage construction, or close road? Yes

Length of detour: TBD – depending on how the contractor accesses the bridge. Fourth Street Bridge (200 meters away) can be used as detour during construction of Third Street bridge.

Resident Engineer for Bridge Work: ☒ Local Agency Staff ☐ Consultant ☐ Other...

For painting & scour scopes of work, skip this page.

**NBI data is from the Bridge Inspections Report (SI&A sheet)**
**Contact the DLAE/SLA for assistance, if needed**

Date Constructed (NBI Item 27): 1932 Historical Bridge Category (NBI Item 37) 2

| Structure Data | Existing | Proposed | Minimum AASHTO Standards |
|---|---|---|---|
| Structure type | Movable - Bascule Steel | No changes proposed | |
| Structure length (specify units) | 89.9 m (295feet) | No changes proposed | |
| Spans (No. and length) | 7 spans (1@56.5ft, 1@142.25ft, 1@20.54ft, 3@19ft, 1@18.17ft | No changes proposed | |
| Curb to Curb width<br>(See NBI Item 51 definition) | 21.8 m (71.5 feet) | No changes proposed | |
| Number of lanes | 5 | No changes proposed | |
| Lane widths | 3.5 m (11.5 feet) | No changes proposed | |
| Shoulder widths | _____Lt _____Rt | _____Lt _____Rt | |
| Bike lanes<br>(identify only if not included in the shoulder dimensions) | _____Lt _____Rt | _____Lt _____Rt | |
| Sidewalks/separated bikeways | 1.3 m (4.3ft)Lt<br>1.6 m (5.2ft)Rt | No changes proposed | |
| Approach roadway width (traveled way + paved shoulders, tapered approaches should be measured at the touchdown points not the abutments) | 19.8 m (65 feet) | No changes proposed | |

| | | | | |
|---|---|---|---|---|
| Approach road length (from each abutment) | _____abt1 _____abt2 | _____abt1 _____abt2 | | |
| Total bridge deck width | 30.5 m (100ft) | No changes proposed | | |

**Summary of Major Deficiencies of Existing Bridge (See Section 6.12 for information)**
**(Contact the DLAE/SLA for assistance, if needed)**

Data is from SI&A Sheet (Last page of Bridge Inspection Report)

Sufficiency Rating (SR) = 33.3 Status ☒ SD ☐ FO ☐ Blank

SD = Structurally Deficient
FO = Functionally Obsolete
Blank = Not SD or FO
NG = Not Good (Deficiency)

| Description of Data Item | NBI Data Item | Deficient Criteria | Results | What are the Deficiencies? |
|---|---|---|---|---|
| Deck | Item 58 = 6 | ≤ 4 is problem | ☒ OK ☐ NG-SD | See separate pages attached to end of this form for information regarding the deficiencies in bridge deck. |
| Superstructure | Item 59 = 3 | ≤ 4 is problem | ☐ OK ☒ NG-SD | See separate pages attached to end of this form for information regarding the deficiencies in superstructure. |
| Substructures | Item 60 = 7 | ≤ 4 is problem | ☒ OK ☐ NG-SD | See separate pages attached to end of this form for information regarding the deficiencies in substructures. |
| [Item 62 applies only if the last digits of Item 43 are coded 19.] Culvert and Retaining Walls | Item 62 = N | ≤ 4 is problem | ☐ OK ☐ NG-SD | Not Applicable. Item 43 are coded 316. |
| Structural Condition | Item 67 = 3 | ≤ 3 is problem | ☐ OK ☒ NG | See separate pages attached to end of this form for information regarding the deficiencies in structural condition. |
| [Item 71 applies only if the last digit of Item 43 is coded 0, 5, 6, 7, 8, or 9.] Waterway Adequacy | Item 71 = 8 | ≤ 3 is problem | ☒ OK ☐ NG | |
| Deck Geometry | Item 68 = 9 | ≤ 3 is problem | ☒ OK ☐ NG-FO | |

| Description of Data Item | NBI Data Item | Deficient Criteria | Results | What are the Deficiencies? |
|---|---|---|---|---|
| [Item 69 applies only if the last digit of Item 42 is coded 0, 1, 2, 4, 6, 7 or 8.] | | | | |
| Under-clearances | Item 69 = N | ≤ 3 is problem | ☐ OK ☐ NG-FO | Not Applicable. Item 42 is coded 5. |
| Approach Roadway Alignment | Item 72 = 6 | ≤ 3 is problem | ☒ OK ☐ NG-FO | |
| Scour Criticality | Item 113 = 5 | ≤ 3 is problem | ☒ OK ☐ NG | |
| Bridge Railing | Item 36A = 0 | = 0 Review | ☒ OK ☐ NG | |
| Guardrail Transition, Approaches, Guardrail Ends | Item 36B = 0<br>Item 36C = 0<br>Item 36D = 0 | = 0 Review | ☒ OK ☐ NG | |
| Other deficiencies not identified in Bridge Inspection Report | Discuss in detail, attach additional pages and photographs as needed to justify HBRRP funds to correct problem:<br><br>See separate pages attached to the end of this form for information regarding the deficiencies. | | | |

5. If this application is for rehabilitation or replacement scope, will all deficiencies be resolved by the project? If no, please discuss below or attach discussion on separate pages to application.

☒ Yes ☐ No ☐ Not Applicable

6. Discuss any special condition or proposed design exceptions:

The proposed rehabilitation work is significant. Because the bridge forms a part of the Thrid Street, a major transportation corridor in San Francisco, repairs must be scheduled to limit interruption to daily commute traffic.

7. Identify and justify "betterments" that are HBRRP participating but are not related to the major deficiencies. Attach additional pages as needed.

8. Refer to Exhibit 6-B. Identify and justify specific items requiring Caltrans funding approval. Attach additional pages as needed.

9. Other comments: (identify non-HBRRP participating work)

| |
|---|
| |

Estimated Construction Costs:

Exclude Contingencies, Supplementary Work, and Construction Engineering

| | HBRRP Participating | NOT HBRRP Participating* |
|---|---|---|
| Construct Bridge | $12,5000,000 | |
| Bridge Removal | | |
| Slope Protection | | |
| Channel Work | | |
| Detour – Stage Construction | $2,500,000 | |
| Approach Roadway | | |
| Utility Relocation | | |
| Mobilization | $1,000,000 | |
| Total | $16,000,000 | |

Total Cost $16,000,000

* Items that are not HBRRP participating could be participating through other federal programs. See the LAPG for other eligibility requirements of other programs. Local agencies that are unsure which project costs are HBRRP participating should contact the DLAE/SLA for resolution.

Note that the total of the HBRRP participating costs should carry over into the construction line (direct costs) on the next page.

## Summary of HBRRP Participating Costs

Please indicate the HBRRP total participating (eligible for reimbursement) costs for this project. Based on the amounts below and the federal reimbursement rate, Caltrans will program (reserve) the HBRRP funds needed for this project. Other federal funds (RSTP, TEA, etc.) needed for this project should be shown in the Field Review form Exhibit 7-B from Chapter 7 of the LAPM.

Target dates represent a commitment by the local agency when the project will need HBRRP funding. Failure to meet target dates may cause funds to be reprogrammed to other projects by other local agencies. The reprogramming of HBRRP funds is at the discretion of Caltrans.

PE = Preliminary Engineering (Total not to exceed the greater of $75 K or 25% of CON and consultant contract management and quality assurance not to exceed 15% of consultant costs).
R/W = Right of Way
CE = Construction Engineering (Not to exceed 15% of CON).
CON = Construction
Cont = Contingency (including supplement work) not to exceed 25% (preliminary estimate) nor 10% of CON for final design $5 K min.

Enter CE Rate: 15%

Enter Contingency Rate: 10%

| | Direct Costs | | Indirect Costs* | | HBRRP Participating $** | Target Dates |
|---|---|---|---|---|---|---|
| PE | $750,000 | + | NA | = | $750,000 | July 2015 |
| R/W | | | | | NA | NA |
| CON | $16,000,000 | | | | | |
| CE | $2,400,000 | | NA | | | |
| Cont | $1,600,000 | | | | | |
| Subtotal | $20,000,000 | + | NA | = | $20,000,000 | July 2016 |
| | | | | Total Participating Cost | $20,750,000 | |
| Enter Fed. Match Rate: 88.53% | | | | HBRRP Requested | $18,369,975 | |

* See Chapter 5, "Accounting/Invoices," of the LAPM for approval of indirect costs.

** Participating costs exclude ineligible work items. Please review the HBRR Program Guidelines for reimbursable scopes of work and program cost limits. Other federal funds will be shown in the Field Review form, Exhibit 7-B, Chapter 7, "Field Review," of the LAPM.

Caltrans, please notify this agency to confirm this project has been programmed in the HBRRP Multi-Year Plan. I understand that reimubursable work shall not commence until a request for authorization (E76) has been processed by Caltrans and a notice to proceed has been received by this agency.

I certify that this project is in compliance with Chapter 6 (HBRRP) of the *Local Assistance Program Guidelines*. I understand that changes to the project scope/cost/schedule impacting the information in Exhibit 6-A and Exhibit 6-B require the processing of Exhibit 6-D (HBRRP Scope/Cost/Schedule Change Request).

Two (2) copies plus one original of this application (with attachments) will be included in the transmittal package to the DLAE.

Rinaldi Wibowo 03/04/2015
Local Agency Project Manager Date

Attachments:
1) Exhibit 6-B, LAPG, HBRRP Special Cost Approval Checklist
2) Bridge Inspection Report with SI&A Sheet
3) Sketch of General Plan or marked up as-built
4) Sketch of typical section
5) Photographs: 4 corners looking at the bridge & 2 elevation views, & views of each approach, for a total of 8 photographs (minimum).
6) Exhibit 7-B, Field Review Form, Chapter 7, LAPM
7) Exhibit 7-C, Roadway Data Sheet, Chapter 7, LAPM
8) ☐ Exhibit 6-C, PIN for Barrier Rail Replacement Projects (include only if applying for Bridge Railing Replacement funds.)
9) ☐ Other: _____
10) Request for Authorization is included in this application package for expedited processing?
☒ Yes ☐ No

**Thank you for assembling the application package. Please send this package to your District Local Assistance Engineer to start the programming process.** Please e-mail your suggestions to improve this form to eric.bost@dot.ca.gov or shannon.mlcoch@dot.ca.gov.

**For Caltrans use only:**

I have reviewed this application for completeness and have forwarded copies to the Office of Program Management and SLA.

☐ I recommend approval. (Attach comments as needed.)
☐ I do not recommend approval for the following reasons: See attached memo/e-mail to the Office of Program Management.
☐ I request SLA review of this application for the following reasons: (Attach memo/e-mail justifying increased Caltrans oversight).

_____ _____
DLAE or authorized staff Date

## SEPARATE PAGES FOR LAPG EXHIBIT 6-A

**Summary of major deficiencies based on the latest available Caltrans's Bridge Inspection Reports (Routine Inspection 12/19/2012; Fracture Critical Inspection 11/26/2013; Underwater Inspection 11/14/2013; and Other (Hydraulic) Inspection 05/10/2010).**

**Deck:**
The deck on the lift span of this structure is a steel open grid on the right western inland side and a steel open grid with steel cover plates on the left eastern bay side. The steel plates on the left side were added for pedestrian foot traffic tied to the Giants baseball stadium and crowds. The open grid deck has distress and deterioration with repaired welds and patched areas totaling less than 10% of the open grid deck area. The open grid deck with steel cover plates has similar distress to the open grid visible during lift operations and observed while under the structure. There is some distress to the skid course on the steel plates. The concrete curb areas on the bridge deck have a history of spalling. Many of these spalls have been repaired since the last inspection but there are still some areas of curb that are spalled.

**Superstructure:**
On all the painted steel superstructure elements there is active corrosion. Surface or freckled rust has formed and is prevalent at the connections. The paint system is generally chalking, peeling, curling, and showing other early evidence of paint system distress. There is pack rust in the built up sections and connections which is distorting the members. There is some loss of section detailed below. All painted steel elements are in condition state 2 to 4 at this time.

The concrete counterweights are cracking with efflorescent staining in areas and have areas with spalls with exposed corroded reinforcement up to 3 square feet in surface size. The cracked and delaminated areas easily spalled off with a light rock hammer. An estimated area of 10% of the surface area of the 2 counterweights is cracked and spalling.

The top surface of the trunion portion of the truss is corroding with surface rust and surface pitting. The lift portion of the deck has a vertical offset of ½ of an inch as measured along the centerline of the two way traffic lanes. The underside of the superstructure in the lift span exhibits corrosion, pack rust and general distress along the bottom flanges of the bottom cord of the truss, the floor beams and the girders. The end bearing area of the bottom cord of the lift span along the left bay side has significant corrosion and pack rust for an area approximately 5 square yards at pier 3. There is a loss of section for an estimated area at 4 square feet along the built up bottom flange of the bottom cord of the truss along the bay side at this location.

**Substructures:**
The abutment face exhibits rock pockets, scaliness, and staining. The timber fender protection system was only visible above the waterline. Those portions above the waterline appeared in good condition, but previous reports indicate those portions below the waterline to be in poor condition.

**Paint Condition:**
In general, regarding the painted steel elements, some corrosion is present but any section loss due to active corrosion does not yet warrant structural analysis of either the element or the bridge. The painted steel elements are all in condition state 66.6.

At left truss members, left truss member has dents in the bottom and top flanges. Member has minor pitting of the top plate up to 1/8" deep. Member has up to 3/16" pack rust at the side plate and bent lacing bars. At left truss joints, there is surface corrosion, and section loss at the vertical gussets and rivets at joint joining bottom chord member to diagonal member. There are areas of complete section loss of the gusset plate where it extends below the bottom chord. At right truss members, right truss member has corrosion at the interior spreaders. At right truss joints, there is surface corrosion, pack rust and section loss at the vertical gusset joining right truss bottom cord to diagonal member at joint. A column of 4 rivets have broken off due to pack rust between the gusset and the member. There are areas of complete section loss in the gusset plate below the bottom chord and partial section loss of approximately ¼" at the north side of the gusset. At right operation strut, standing water present inside the right operating strut with surface corrosion on the bottom flange and bottom and side rivet heads. At floor beam, pack rust at gussets joining floor beam to intermediate diagonal braces up to 3/8" typical.

At pier 2, generally, the columns of pier 2 were in fair to poor condition with various structural defects observed that could adversely affect structural integrity. Reinforcing steel bars were exposed at some areas, exhibiting section loss due corrosion.

**Structural Condition:**
This bridge has seen a large increase in live loading from adjacent developed areas. This increase in live loading may add fatigue issues to the fatigue prone details.

---

**Other deficiencies were not identified in Caltrans's Bridge Inspection Reports:**

---

Parsons Brincherhoff was retained by the City and County of San Francisco Department of Public Works to perform a Structural Steel Damage Assessment and Repair for the Third Street Bridget. The findings based on a study conducted in 2014. Based on their assessments, the bridge's structural member in general appears to be in fair condition with the need for some repairs. Repair is required to improve the maintainability, the reliability and to extend the useful life of the bridge.

**Deficiency of Structural:**

The deck coating repair is in poor condition in the areas which are occasionally submerged during high tide in certain months of the year. There are several areas above this level where the coating is in poor condition. The coating on the deck is approximately 15 years old. After all steel repairs are made on the deck, the existing coating should be removed and new coating applied.

There are a few boxed beams where water can enter but the weep holes are either inadequate or non-existent. As a repair, weep holes should be cut in such areas to allow proper drainage of water.

The recommended repairs for concrete support piles consist of utilizing a repair system such as Simpson FX-50 pile cladding. All spalled concrete should be removed and any rebars that are found with more than 25% loss of cross section should be reinforced with additional rebars.

Repair work for corroded members depend on the degree of loss of section and include replacement of the existing member with similar new member or repair damaged existing flange or exiting web with new cover plates of equal or larger thickness.

Possible voids shall be filled with epoxy resin to preclude the ingress of air and moisture.

Corroded bolts and rivets are to be blasted cleaned, recoated, and caulked/scaled.

Corroded welds and existing paint at surrounding area are to be removed to determine the existing corrosion stage. Depending of the existing condition, the weld is to be re-coated or replaced.

Damaged/buckling members of the bridge that were identified for replacement and paint at the existing steel receiving the new member are to be removed after adequate bracing/shoring/framework has been provided. Portions of the existing member or the entire member are to be replaced. The damaged member and new repair work are to be painted and sealed.

High strength bolts matching the existing rivets size are to be installed at the locations where rivets are missing.

# EXHIBIT 6-B HBRRP SPECIAL COST APPROVAL CHECKLIST

The purpose of this form is to help local agencies identify project costs that require Caltrans funding approval. Local agencies are responsible for contacting the DLAE to resolve any items requiring Caltrans review. This form is not a substitute for reading Chapter 6 of the LAPG or the LAPM. Local agencies are still financially accountable for meeting all the requirements of the LAPG and the LAPM.

Project Number TBD

State Bridge No. 34C0025 (one bridge per application) Local Bridge No. CCSF 74

Project Location Third Street Bridge over Islais Creek Channel in San Francisco

| Chapter 6 LAPG Section #'s | Topic | Status |
|---|---|---|
| 6.2.1 – Rehab<br>6.2.2 - Replace | Adding Additional Lanes (including turn lanes) | ☐ Requires Caltrans/MPO Approval<br>☐ Caltrans has Approved Costs<br>☐ MPO has Approved Scope in FTSIP<br>☒ Not Applicable |
| 6.2.1 – Rehab | Scope is Bridge Replacement, but SR>50 | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.2.4 – Rail | No bridge railing work to be done, but other safety work related to bridge is needed. | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.2.4 – Rail (applies to all scopes of work) | New sidewalks to be installed where none existed before. Please identify as "betterment" in Exhibit 6-A. | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.2.1 – Rehab<br>6.2.2 – Replace<br>6.2.10 – Historic<br>6.3 – Standards | Rehabilitation/Replacement will not address all major bridge deficiencies | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.5.11 – Replace | "Replaced" bridges to remain in place. Applies to work beyond specified examples in Section 6.5.12 | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |

| Chapter 6 LAPG Section #'s | Topic | Status |
|---|---|---|
| 6.4.2 | Approach roadwork exceeding guidelines | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.4.3 | PE costs exceeding guidelines | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.4.4 | Contingency exceeding guidelines | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.4.5 | CE costs exceeding guidelines | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.5.3 | 10 Year Rule – Major (Re)Construction | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.5.4 | 10 Year Rule – PE Authorization | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.5.7 | Unusual Architectural Treatments | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.7.1<br>6.7.4 | Scope/Cost/Schedule Changes | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |
| 6.7.5 | Construction Change Orders (CCOs) that Exceed Contingency | ☐ Requires Caltrans Approval<br>☐ Caltrans has Approved Costs<br>☒ Not Applicable |

I certify that I have reviewed this project against the requirements of Chapter 6 of the LAPG and have filled out this checklist accordingly.

Rinaldi Wibowo _____ 03/04/2015
Local Agency Project Manager Date

## EXHIBIT 7-B FIELD REVIEW FORM

Local Agency City and County of San Francisco, Department of Public Works Field Review Date TBD

Project Number TBD Locator (Dst/Co/Rte/PM/Agncy) 04-SF-0-CR

Project Name Third Street Bridge Rehabilitation Project Bridge No.(s) 34C0025

1. PROJECT LIMITS (see attached list for various locations) The Third Street Bridge is on 3rd Street crossing over the Mission Creek channel in between Berry Street and Terry A Francois Boulevard in San Francisco, California.
   Net Length 0.056 (mile)
2. WORK DESCRIPTION Rehabilitation work includes bridge deck and structural member corrosion repair; bridge painting; counterweight and fender pile repairs; and other damage repairs.
   ITS project or ITS element: Yes ____ No X
   If yes, choose: High-Risk (formerly "Major") ITS ___, Low-Risk (formerly "Minor") ITS ___, Exempt ITS ___
3. PROGRAMMING DATA FTIP (MPO/RTPA) _____ FY 15/16 Page ____
   Amendment No. _____ FTIP PPNO _____ FHWA/FTA Approval Date _____
   Federal Funds $_____ Phases PE _____ R/W _____ Const X
   Air Basin: _____ (CMAQ only)
4. FUNCTIONAL CLASSIFICATION:

| URBAN X | | RURAL | |
|---|---|---|---|
| Principal Arterial: | X | Principal Arterial: | |
| Minor Arterial: | | Minor Arterial: | |
| Collector: | | Major Collector: | |
| Local: | | Minor Collector: | |
| | | Rural Local: | |

5. STEWARDSHIP CATEGORY
   High Profile (Stewardship): Yes ___ No X
   Delegated (Stewardship): Yes X No ___ (a) DLAE oversight: Yes X No ___
   (b) District Construction Yes ___ No X
   ITS High-Risk project or element requiring FHWA oversight per stewardship: Yes ___ No X
6. CALTRANS ENCROACHMENT PERMIT Is it required? Yes _____ No X
7. COST ESTIMATE BREAKDOWN (Including Structures)

| | | $1,000's | Fed. Participation | | | |
|---|---|---|---|---|---|---|
| PE | Environmental Process | $750,000 | Yes | X | No | ____ |
| | Design | _____ | Yes | ____ | No | ____ |
| | ITS System Manager or Integrator | _____ | Yes | ____ | No | ____ |
| CONST | Const. Contract | $16,000,000 | Yes | X | No | ____ |
| | Const. Engineering | $2,400,000 | Yes | X | No | ____ |
| | Contingency | $1,600,000 | Yes | X | No | ____ |
| R/W | Preliminary R/W Work | _____ | Yes | ____ | No | ____ |
| | Acquisition: | | Yes | ____ | No | ____ |
| | (No. of Parcels ____) | _____ | Yes | ____ | No | ____ |
| | (Easements ____) | _____ | Yes | ____ | No | ____ |
| | (Right of Entry ____) | _____ | Yes | ____ | No | ____ |
| | RAP (No. Families ) | _____ | Yes | ____ | No | ____ |
| | RAP (No. Bus. ____) | _____ | Yes | ____ | No | ____ |

Utilities (Exclude if included in contract items) _____ Yes ____ No ____

**TOTAL COST** $ 20,750,000

7a. Value Engineering Analysis Required? Yes ____ No X
(Yes, if total project costs are $25M or more on the Federal-aid System, or $20M or more for bridges)

8. PROPOSED FUNDING

| | | Total Cost | | Cost Share | | |
|---|---|---|---|---|---|---|
| Grand Total | | $ 20,750,000 | | | | |
| Federal Program | #1 HBRRP | $ 20,750,000 | Fed. | $18,369,975 | Reimb. Ratio | 88.53% |
| (Name/App. Code) | #2 | $ | Fed. | $ | Reimb. Ratio | |
| Matching Funds Breakdown | Local: | | | $2,380,025 | 11.47% | |
| | State: | | | $ | % | |
| | Other: | | | $ | % | |

State Highway Funds? Yes ____ Source _____ No ____
State CMAQ/RSTP Match Eligible Yes ____ No ____ Partial ____
Is the Project Underfunded? (Fed $ < Allowed Reimb.) Yes ____ No ____

9. PROJECT ADMINISTRATION

| | | Agency | Consultant | State |
|---|---|---|---|---|
| PE | Environ Process | CCSF | X | |
| | Design | CCSF | X | |
| | System Man./Integ. | | | |
| R/W | All Work | | | |
| CONST ENGR | Contract | CCSF | | |
| CONSTRUCTION | Contract | CCSF | | |
| MAINTENANCE | | CCSF | | |
| | | | | |

Will Caltrans be requested to review PS&E? Yes ____ No X

10. SCHEDULES: PROPOSED ADVERTISEMENT DATE 2016
Other critical dates: _____

11. PROJECT MANAGER'S CONCURRENCE

Local Entity Representative: San Francisco Public Works / City and County of San Francisco Date: 03/04/2015

Signature & Title: Project Manager  Phone No. 415-558-4551

Is field review required? Yes X No ____

Caltrans (District) Representative: _____ Date: _____
(if attended Field Review)

Signature & Title: _____

FHWA Representative: _____ Date: _____
(if attended Field Review)

Signature & Title: _____

12. LIST OF ATTACHMENTS (Include all appropriate attachments if field review is required. See the "[ ]" notation for minimum required attachments for non-NHS projects)

X____ Field Review Attendance Roster or Contacts Roster
X____ Vicinity Map (Required for Construction Type Projects)

IF APPLICABLE ( Complete as required depending on type of work involved)
X____ Roadway Data Sheets [Req'd for Roadway projects]
X____ Typical Roadway Geometric Section(s) [Req'd for Roadway projects]
X____ Major Structure Data Sheet [Req'd for HBP]
____ Railroad Grade Crossing Data Sheet
____ Sketch of Each Proposed Alternate Improvement
____ TE Application Document
____ Existing federal, state, and local ADA deficiencies not included on other Attachments
____ Signal Warrants
____ Collision Diagram
____ CMAQ/RSTP State STIP Match
____ Systems Engineering Review Form (SERF) Req'd for High-Risk (formerly "Major") and Low-Risk (formerly "Minor") ITS projects

**13. DLAE FIELD REVIEW NOTES:**

A. MINUTES OF FIELD REVIEWS

B. ISSUES OR UNUSUAL ASPECTS OF PROJECT

(Attachment to Field Review Form)
**Distribution:** Original with attachments – Local Agency
___ Copy with attachments (2 copies if HBP) - DLAE

# ROADWAY DATA

1. TRAFFIC DATA

Current ADT 25000 Year 2012 Future ADT 36064 Year 2034 DHV 1700 Trucks 30%
Terrain (Check One) __X__ Flat _____ Rolling ____ Mountainous
Design Speed 15mph__
Proposed Speed Zone ____ Yes mph _____ __X__ No

2. GEOMETRIC INFORMATION

ROADWAY SECTION

| Facility | Year Constr. | Min. Curve Radius | Thru Traffic Lanes | | | Shoulders | | Median Width |
|---|---|---|---|---|---|---|---|---|
| | | | No. of Lanes | Total Width | Type | Each Width Lt/Rt | Type | |
| Exist. | 1932 | NA | 5 | 21.6m | Bridge | 1.3m/1.6m | Sidewalk | 2.03m |
| Prop. | No changes proposed to existing roadway and shoulder alignment | | | | | | | |
| Min. Stds. selected: AASHTO____ 3R____ Local____ | | | | | | | | |
| | N/E Contig. Sect. | | 2 | 8.64m | Bridge | 0m/1.6m | Sidewalk | 0.61m (Northbound) |
| | S/W Contig Sect. | | 3 | 12.96m | Bridge | 0m/1.3m | Sidewalk | 1.42m (Southbound) |

Remarks (If design standard exception is being sought, cite standard and explain fully how it varies):

3. DEFICIENCIES OF EXISTING FACILITY (Mark appropriate one(s))

_____ Pavement Surface
____ Alignment
_____ Crossfall
____ Pavement Structure
_____ Drainage
__X__ Bridge
_____ Safety (Attach collision diagram or other documentation)
_____ Federal Americans w/ Disabilities Act (ADA), State or Local accessibility requirements
__X__ Other (describe below)

Remarks: Rehabilitation work includes bridge deck and structural member corrosion repair; bridge painting; bridge conunterweight and fender pile repairs; and other damage repairs.

4. TRAFFIC SIGNALS __X__Yes ___New (attach warrants) ___Modified _____No

5. MAJOR STRUCTURES Structure No.(s) _____ (attach structure data sheet)

6. OTHER TRANSPORTATION FACILITIES (Name)

_____ None
_____ Railroad _____ (attach railroad data sheet)
_____ Airports _____ (attach airport data sheet)
__X__ Bicycle Bicycle friendly roads
_____ Transit

7. AGENCIES AFFECTED

Utilities [mark appropriate one(s)] _____ Telephone _____ Electrical _____ Gas
_____ Water _____ Irrigation
_____ Other _____ Sanitary

Major Utility Adjustment: _____

High Risk Facilities: _____

Other: _____

Remarks: _____

# EXHIBIT 7-D MAJOR STRUCTURE DATA

(Attach a separate sheet for each structure)

Project Number TBD

Bridge Name (facility crossed) Third Street Bridge

State Br.No. 34C0025 Date Constructed 1932 Historical Bridge Inv. Category 5

Road Name Third Street Location San Francisco

## STRUCTURE DATA

| | Existing | Proposed |
|---|---|---|
| Structure Type: | Movable Steel Bridge | No changes proposed |
| Structure Length: | 89.9m (295 feet) | No changes proposed |
| Spans (No. & Length): | 1@ 17.2m (56 ft 6 in) | No changes proposed |
| | 1@ 43.4m (142ft 3in) | No changes proposed |
| | 1@ 6.3m (20 ft 6½ in) | No changes proposed |
| | 3 @ 5.8m (19 ft) | No changes proposed |
| | 1@ 5.5m (18 ft 2 in) | No changes proposed |
| Clear Width (curb to curb): | 21.8 m (71.5 feet) | No changes proposed |
| Shoulder Width: | Lt Rt | Lt Rt |
| Sidewalk or bikeway width: | 1.3m Lt 1.6m Rt | Lt Lt |
| Total Br. Width: | 24.7 m (81 feet) | No changes proposed |
| Total Appr. Rdwy. Width: | 19.8 m (65 feet) | No changes proposed |

1. Preliminary Engineering by: CCSF with aid of Consultants
2. Design by: CCSF with aid of Consultants
3. Foundation Investigation by: Not Applicable
4. Hydrology Study by: Not Applicable

Detour, Stage construction, or Close Road: CCSF and SFMTA with aid of Consultants

TBD – depending on how the contractor accesses the bridge. 4th Street Bridge (200 m away) can be used as detour during construction

Length of Detour:

Resident Engineer for Bridge Work: [X] Agency [ ] Consultant (On Retainer as City/County Engineer)

Responsible Local Official: City and County of San Francisco – Department of Public Works

Discuss any special conditions; for example, federal ADA, state or local accessibility requirements, or proposed design exceptions:

## ESTIMATED STRUCTURE AND RELATED COSTS

| **Bridge Cost:** | | Federally Participating? **Yes** | **No** |
|---|---|---|---|
| Construct Bridge: | $12,500,000 | X | |
| Bridge Removal: | | | |
| Slope Protection: | | | |
| Channel Work: | | | |
| Detour- Stage Construction: | $2,500,000 | X | |
| Approach Roadway: | | | |
| Preliminary Engineering: | $750,000 | X | |
| Construction Engineering + Contingency: | $4,000,000 | X | |
| Right of Way Costs: | | | |
| Utility Relocation: | | | |
| Mobilization: | $1,000,000 | X | |
| Construct Bridge: | | | |
| **Total:** | **$20,750,000** | | |

Type of HBP funds; Check one: (Major type if more than one)

- [ ] Seismic/Voluntary
- [X] (88.53% Fed. Share)
- [ ] Rehabilitation (80%)
- [ ] Replacement (80%)
- [ ] Railing (88.53%)
- [X] Painting (88.53%)
- [ ] Painting (80%)
- [ ] Special (80%)
- [ ] Low Water Xing (80%)

Summarize HBP funded costs of above estimate (HBP Federal-aid + local match for HBP only):

| | |
|---|---|
| Prelim. Engr.: | $ 750,000 |
| Right of Way: | $ |
| Construction: | $ 20,000,000 |
| **Total:** | $ 20,750,000 |

Indicate the estimated date for Federal-aid Authorization & Obligation or Check the box:

| **Date:** | |
|---|---|
| July 2015 | [ ] Not needed for this project |
| | [X] Not needed for this project |
| July 2016 | [ ] Not needed for this project |

### VALUE ENGINEERING ANALYSIS

**Required** (Yes, if on the NHS and total project costs for bridges are $40M or more) [ ] Yes [X] No

Remarks:

_____

_____

******* The following must be attached if the project is funded by the HBP:**

1. Plan view of proposed improvements.
2. Typical Section.

******* The following is recommended:**

1. Right of way map to determine whether right of way acquisition or construction easements are necessary.

**Distribution:** Attach to Field Review Form

## EXHIBIT 6-A PRELIMINARY ENVIRONMENTAL STUDY (PES)

**Federal Project No.:** TBD *(Federal Program Prefix-Project No., Agreement No.)* **Final Design:** July 2015 *(Expected Start Date)*

**To:** Mr. Teppitak (Jimmy) Panmai *(District Local Assistance Engineer)*
District 4, Office of Local Assistance *(District)*
P.O. Box 23660 Oakland, CA 94623-0660 *(Address)*
Jimmy_Panmai@dot.ca.gov *(Email Address)*

**From:** City and County of San Francisco *(Local Agency)*
Rinaldi Wibowo, 415-558-4551 *(Project Manager's Name and Telephone No.)*
30 Van Ness, 5th Floor San Francisco, CA 94012 *(Address)*
Rinaldi.Wibowo@sfdpw.org *(Email Address)*

**Is this Project "ON" the State Highway System?** ☐ Yes ☒ No **IF YES, STOP HERE** and contact the District Local Assistance Engineer regarding the completion of other environmental documentation.

**Federal State Transportation Improvement Program (FSTIP)** http://www.dot.ca.gov/hq/transprog/fedpgm.htm: *(Currently Adopted Plan Date)* *(Page No.___ attach to this form)*
http://www.dot.ca.gov/hq/transprog/oftmp.htm

| **Programming for FSTIP:** | **Preliminary Engineering** | | **Right of Way** | | **Construction** | |
|---|---|---|---|---|---|---|
| | *(Fiscal Year)* | *(Dollars)* | *(Fiscal Year)* | *(Dollars)* | *(Fiscal Year)* | *(Dollars)* |

**Project Description as Shown in RTP and FSTIP:** Rehabilitation work includes bridge deck and structural member corrosion repair; bridge painting; bridge counterweight and fender pile repairs; and other damage repairs.

**Detailed Project Description:** *(Describe the following, as applicable: purpose and need, project location and limits, required right of way acquisition, proposed facilities, staging areas, disposal and borrow sites, construction activities, and construction access.)*

See separate page attached to end of this Exhibit for detailed project description.

*(Continue description on "Notes" sheet, last page of this Exhibit, if necessary)*

**Preliminary Design Information:**
Does the project involve any of the following? Please check the appropriate boxes and delineate on an attached map, plan, or layout including any additional pertinent information.

| Yes | No | | Yes | No | | Yes | No | |
|---|---|---|---|---|---|---|---|---|
| ☐ | ☒ | Widen existing roadway | ☐ | ☒ | Ground disturbance | ☐ | ☒ | Easements |
| ☐ | ☒ | Increase number of through lanes | ☐ | ☒ | Road cut/fill | ☒ | ☐ | Equipment staging |
| ☐ | ☒ | New alignment | ☐ | ☒ | Excavation: anticipated maximum depth ____ | ☒ | ☐ | Temporary access road/detour |
| ☐ | ☒ | Capacity increasing—other (e.g., channelization) | | | | ☐ | ☒ | Utility relocation |
| | | | | | | ☐ | ☒ | Right of way acquisition (if yes, attach map with APN) |
| | | | ☐ | ☒ | Drainage/culverts | | | |
| ☐ | ☒ | Realignment | ☐ | ☒ | Flooding protection | | | |
| ☐ | ☒ | Ramp or street closure | ☒ | ☐ | Stream channel work | ☐ | ☒ | Disposal/borrow sites |
| ☒ | ☐ | Bridge work | | | | | | |
| | | | ☐ | ☒ | Pile driving | ☐ | ☒ | Part of larger adjacent project |
| ☐ | ☒ | Vegetation removal | | | | | | |
| ☐ | ☒ | Tree removal | ☐ | ☒ | Demolition | ☐ | ☒ | Railroad |

**Required Attachments:**

☒ Regional map ☒ Project location map ☐ Project footprint map (existing/proposed right of way)
☒ Engineering drawings (existing and proposed cross sections), if available ☐ Borrow/disposal site location map, if applicable
*(Note: all maps (except project location map and regional maps) should be consistent with the project description (minimum scale: 1" = 200').)*
☒ Notes to support the conclusions of this checklist/project description continuation page (attached)

**Examine the project for potential effects on the environment, direct or indirect and answer the following questions. The "construction area," as specified below, includes all areas of ground disturbance associated with the project, including staging and stockpiling areas and temporary access roads.**

**Each answer must be briefly documented on the "Notes" pages at the end of the PES Form.**

| A. Potential Environmental Effects | Yes | To Be Determined | No |
|---|---|---|---|
| **General** | | | |
| 1. Will the project require future construction to fully utilize the design capabilities included in the proposed project? | ☐ | ☐ | ☒ |
| 2. Will the project generate public controversy? | ☐ | ☒ | ☐ |
| **Noise** | | | |
| 3. Is the project a Type I project as defined in 23 CFR 772.5(h); "construction on new location or the physical alteration of an existing highway, which significantly changes either the horizontal or vertical alignment or increases the number of through-traffic lanes"? | ☐ | ☐ | ☒ |
| 4. Does the project have the potential for adverse construction-related noise impact (such as related to pile driving)? | ☐ | ☐ | ☒ |
| **Air Quality** | | | |
| 5. Is the project in a NAAQS non-attainment or maintenance area? | ☒ | ☐ | ☐ |
| 6. Is the project exempt from the requirement that a conformity determination be made? (If "Yes," state which conformity exemption in 40 CFR 93.126, Table 2 applies): Safety – Widening narrow pavements or reconstructing bridges (no additional travel lanes) | ☒ | ☐ | ☐ |
| 7. Is the project exempt from regional conformity? (If "Yes," state which conformity exemption in 40 CFR 93.127, Table 3 applies): ____ | ☐ | ☐ | ☐ |
| 8. If project is not exempt from regional conformity, (If "No" on Question #7) | | | |
| Is project in a metropolitan non-attainment/maintenance area? | ☐ | ☐ | ☐ |
| Is project in an isolated rural non-attainment area? | ☐ | ☐ | ☐ |
| Is project in a CO, PM10 and/or PM2.5 non-attainment/maintenance area? | ☐ | ☐ | ☐ |
| **Hazardous Materials/Hazardous Waste** | | | |
| 9. Is there potential for hazardous materials (including underground or aboveground tanks, etc.) or hazardous waste (including oil/water separators, waste oil, asbestos-containing material, lead-based paint, ADL, etc.) within or immediately adjacent to the construction area? | ☒ | ☐ | ☐ |
| **Water Quality/Resources** | | | |
| 10. Does the project have the potential to impact water resources (rivers, streams, bays, inlets, lakes, drainage sloughs) within or immediately adjacent to the project area? | ☒ | ☐ | ☐ |
| 11. Is the project within a designated sole-source aquifer? | ☐ | ☐ | ☒ |
| **Coastal Zone** | | | |
| 12. Is the project within the State Coastal Zone, San Francisco Bay, or Suisun Marsh? | ☒ | ☐ | ☐ |
| **Floodplain** | | | |
| 13. Is the construction area located within a regulatory floodway or within the base floodplain (100-year) elevation of a watercourse or lake? | ☐ | ☐ | ☒ |
| **Wild and Scenic Rivers** | | | |
| 14. Is the project within or immediately adjacent to a Wild and Scenic River System? | ☐ | ☐ | ☒ |
| **Biological Resources** | | | |
| 15. Is there a potential for federally listed threatened or endangered species, or their critical habitat or | ☒ | ☐ | ☐ |

| | | | |
|---|---|---|---|
| essential fish habitat to occur within or adjacent to the construction area? | | | |
| 16. Does the project have the potential to directly or indirectly affect migratory birds, or their nests or eggs (such as vegetation removal, box culvert replacement/repair, bridge work, etc.)? | ☒ | ☐ | ☐ |
| 17. Is there a potential for wetlands to occur within or adjacent to the construction area? | ☐ | ☐ | ☒ |
| 18. Is there a potential for agricultural wetlands to occur within or adjacent to the construction area? | ☐ | ☐ | ☒ |
| 19. Is there a potential for the introduction or spread of invasive plant species? | ☐ | ☐ | ☒ |
| **Sections 4(f) and 6(f)** | | | |
| 20. Are there any historic sites or publicly owned public parks, recreation areas, wildlife or waterfowl refuges (Section 4[f]) within or immediately adjacent to the construction area? | ☒ | ☐ | ☐ |
| 21. Does the project have the potential to affect properties acquired or improved with Land and Water Conservation Fund Act (Section 6[f]) funds? | ☐ | ☐ | ☒ |
| **Visual Resources** | | | |
| 22. Does the project have the potential to affect any visual or scenic resources? | ☐ | ☐ | ☒ |
| **Relocation Impacts** | | | |
| 23. Will the project require the relocation of residential or business properties? | ☐ | ☐ | ☒ |
| **Land Use, Community, and Farmland Impacts** | | | |
| 24. Will the project require any right of way, including partial or full takes? Consider construction easements and utility relocations. | ☐ | ☐ | ☒ |
| 25. Is the project inconsistent with plans and goals adopted by the community? | ☐ | ☐ | ☒ |
| 26. Does the project have the potential to divide or disrupt neighborhoods/communities? | ☐ | ☐ | ☒ |
| 27. Does the project have the potential to disproportionately affect low-income and minority populations? | ☐ | ☐ | ☒ |
| 28. Will the project require the relocation of public utilities? | ☐ | ☐ | ☒ |
| 29. Will the project affect access to properties or roadways? | ☐ | ☐ | ☒ |
| 30. Will the project involve changes in access control to the State Highway System (SHS)? | ☐ | ☐ | ☒ |
| 31. Will the project involve the use of a temporary road, detour, or ramp closure? | ☐ | ☐ | ☒ |
| 32. Will the project reduce available parking? | ☐ | ☐ | ☒ |
| 33. Will the project construction encroach on state or federal lands? | ☐ | ☐ | ☒ |
| 34. Will the project convert any farmland to a different use or impact any farmlands? | ☐ | ☐ | ☒ |
| **Cultural Resources** | | | |
| 35. Is there National Register listed, or potentially eligible historic properties, or archaeological resources within or immediately adjacent to the construction area? *(Note: Caltrans PQS answers question #35 )* | ☒ | ☐ | ☐ |
| 36. Is the project adjacent to, or would it encroach on Tribal land? | ☐ | ☐ | ☒ |

For Sections B, C, and D, check appropriate box to indicate required technical studies, coordination, permits, or approvals.

| B. Required Technical Studies and Analyses | C. Coordination | D. Anticipated Actions/Permits/Approvals |
|---|---|---|
| ☒ **Traffic** | | |
| *Check one:* | | |
| ☒ Traffic Study | ☐ Caltrans | ☐ Approval |
| ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| ☒ **Noise** | | |
| *Check as applicable:* | | |
| ☐ Traffic Related | | |
| ☒ Construction Related | | |

| | | | |
|---|---|---|---|
| | *Check one:* | | |
| | ☐ Noise Study Report | ☐ Caltrans | ☐ Approval |
| | ☐ NADR | ☐ Caltrans | ☐ Approval |
| | ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| | ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| ☐ | **Air Quality** | | |
| | *Check as applicable:* | | |
| | ☐ Traffic Related | | |
| | ☐ Construction Related | | |
| | *Check one:* | | |
| | ☐ Air Quality Report | ☐ Caltrans | ☐ Approval |
| | ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| | ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| | | ☐ FHWA | ☐ Conformity Finding (23 USC 327 CEs, EAs, EISs) |
| | | ☐ Caltrans | ☐ Conformity Finding ( 23 USC 326 CEs) |
| | | ☐ Regional Agency | ☐ PM10/PM2.5 Interagency Consultation |
| ☒ | **Hazardous Materials/ Hazardous Waste** | | |
| | *Check as applicable:* | | |
| | ☒ Initial Site Assessment (Phase 1) | ☐ Caltrans | ☐ Approval |
| | ☒ Preliminary Site Assessment (Phase 2) | ☐ Caltrans | ☐ Approval |
| | ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| | | ☐ Cal EPA DTSC | ☐ Review Database |
| | | ☐ Local Agency | ☐ Review Database |
| ☒ | **Water Quality/Resources** | | |
| | *Check as applicable:* | | |
| | ☒ Water Quality Assess. Report | ☐ Caltrans | ☐ Approval |
| | ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| | ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| ☐ | **Sole-Source Aquifer (Districts 5, 6 and 11)** | ☐ EPA (S.F. Regional Office) | ☐ Approval of Analysis in ED |
| ☒ | **Coastal Zone** | ☐ CCC | ☐ Coastal Zone Consistency Determination |

| B. Required Technical Studies and Analyses | C. Coordination | D. Anticipated Actions/Permits/Approvals |
|---|---|---|
| ☒ **Floodplain** | | |
| *Check as applicable:* | | |
| ☒ Location Hydraulic Study | ☐ Caltrans | ☐ Approval |
| ☐ Floodplain Evaluation Report | ☐ Caltrans | ☐ Approval |
| ☐ Summary Floodplain Encroachment Report | ☐ Caltrans | ☐ Approval |
| | ☐ Caltrans | ☐ Only Practicable Alternative Finding |
| | ☐ FHWA | ☐ Approves significant encroachments and concurs in Only Practicable Alternative Findings |
| ☐ **Wild and Scenic Rivers** | ☐ River Managing Agency | ☐ Wild and Scenic Rivers Determination |
| ☒ **Biological Resources** | | |
| *Check as applicable:* | | |
| ☒ NES, Minimal Impact | ☐ Caltrans | ☐ Approval |
| ☐ NES | | |
| ☐ BA | ☐ Caltrans | ☐ Approves for Consultation |
| | ☐ USFWS<br>☐ NOAA Fisheries | ☐ Section 7 Informal/Formal Consultation |
| ☒ EFH Evaluation | ☐ NOAA Fisheries | ☐ MSA Consultation |
| ☒ Bio-Acoustic Evaluation | ☐ NOAA Fisheries | ☐ Approval |
| ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| ☐ **Wetlands** | | |
| *Check as applicable:* | | |
| ☐ WD and Assessment | ☐ Caltrans | ☐ Approval |
| | ☐ ACOE | ☐ Wetland Verification |
| | ☐ NRCS | ☐ Agricultural Wetland Verification |
| | ☐ Caltrans | ☐ Wetlands Only Practicable Alternative Finding |
| ☐ **Invasive Plants** | | |
| ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| ☐ **Section 4(f)** | | |
| *Check as applicable:* | | |
| | ☐ Caltrans | ☐ Determine Temporary Occupancy |
| ☐ De minimis | ☐ Caltrans | ☐ De minimis finding |
| ☐ Programmatic 4(f) Evaluation<br>Type: _____ | ☐ Caltrans | ☐ Approval |
| ☐ Individual 4(f) Evaluation | ☐ Caltrans | ☐ Approval |
| | ☐ Agency with Jurisdiction<br>☐ SHPO<br>☐ DOI<br>☐ HUD<br>☐ USDA | |

| B. Required Technical Studies and Analyses | C. Coordination | D. Anticipated Actions/Permits/Approvals |
|---|---|---|
| | | |
| ☐ **Section 6(f)** | ☐ Agency with Jurisdiction | |
| | ☐ NPS | ☐ Determines Consistency with Long-Term Management Plan |
| | ☐ NPS | ☐ Approves Conversion |
| ☒ **Visual Resources** | | |
| ☒ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| ☐ Minor VIA | ☐ Caltrans | ☐ Approval |
| ☐ Moderate VIA | ☐ Caltrans | ☐ Approval |
| ☐ Advance/Complex VIA | ☐ Caltrans | ☐ Approval |
| ☐ **Relocation Impacts** | | |
| *Check one:* | | |
| ☐ Relocation Impact Memo | ☐ Caltrans | ☐ Approval |
| ☐ Relocation Impact Study | ☐ Caltrans | ☐ Approval |
| ☐ Relocation Impact Report | ☐ Caltrans | ☐ Approval |
| ☐ **Land Use and Community Impacts** | | |
| *Check one:* | | |
| ☐ CIA | ☐ Caltrans | ☐ Approval |
| ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| ☐ **Construction/Encroachment on State Lands** | | |
| *Check as applicable:* | | |
| ☐ SLC Jurisdiction | ☒ SLC | ☐ SLC Lease |
| ☐ Caltrans Jurisdiction | ☐ Caltrans | ☐ Encroachment Permit |
| ☐ SP Jurisdiction | ☐ SP | ☐ Encroachment Permit |
| ☐ **Construction/Encroachment on Federal Lands** | ☐ Federal Agency with Jurisdiction | ☐ Encroachment Permit |
| ☐ **Construction/Encroachment On Indian Trust Lands** | ☐ Bureau of Indian Affairs | ☐ Right of Way Permit |
| ☐ **Farmlands** | | |
| *Check one:* | | |
| ☐ CIA | ☐ Caltrans | ☐ Approval |
| ☐ Technical Memorandum | ☐ Caltrans | ☐ Approval |
| ☐ Discussion in ED Only | ☐ Caltrans | ☐ Approval |
| *Check as applicable:* | | |
| ☐ Form AD 1006 | ☐ NRCS | ☐ Approves Conversion |
| | ☐ CDOC | ☐ Approves Conversion |
| ☐ Conversion to Non-Agri Use | ☐ ACOE | |

| B. Required Technical Studies and Analyses | C. Coordination | D. Anticipated Actions/Permits/ Approvals |
|---|---|---|
| ☒ **Cultural Resources** (PQS completes this section) *Check as applicable:* | ☐ Caltrans PQS | ☐ Screened Undertaking |
| ☒ APE Map | ☐ Caltrans PQS and DLAE | ☐ Approves APE Map |
| | ☐ Local Preservation Groups and/or Native American Tribes | ☐ Provides Comments Regarding Concerns with Project |
| ☒ HPSR<br>☒ ASR<br>☒ HRER | ☐ Caltrans | ☐ Approves for Consultation |
| ☒ Finding of Effect Report | ☐ Caltrans | ☐ Concurs on No Effect, No Adverse Effect with Standard Conditions |
| | ☐ SHPO | ☐ Letter of Concurrence on Eligibility, No Adverse Effect without Standard |
| ☐ MOA | ☐ Caltrans | ☐ Approves MOA |
| | ☐ SHPO | ☐ Approves MOA |
| | ☐ ACHP (if requested) | ☐ Approves MOA |
| ☒ **Permits** Copies of permits and a list of mitigation commitments are mandatory submittals following NEPA approval. | ☐ ACOE | ☒ Section 404 Nationwide Permit |
| | ☐ ACOE | ☐ Section 404 Individual Permit |
| | ☐ Caltrans/ACOE/EPA<br>☐ USFWS<br>☐ NOAA Fisheries | ☐ NEPA/404 Integration MOU |
| | ☒ ACOE | ☒ Rivers and Harbors Act Section 10 Permit |
| | ☐ USCG | ☐ USCG Bridge Permit |
| | ☒ RWQCB | ☒ Section 401 Water Quality Certification |
| | ☒ CDFG | ☐ Section 1602 Streambed Alteration Agreement |
| | ☒ RWQCB | ☐ NPDES Permit |
| | ☐ CCC<br>☒ Local Agency | ☐ Coastal Zone Permit |
| | ☒ BCDC | ☒ BCDC Permit |

Notes: Additional studies may be required for other federal agencies.

U.S. Coast Guard and the San Francisco Bay Conservation and Development Commission (BCDC) environmental considerations extend beyond the bridge to include the causally related environmental impacts of the proposed bridge project. DPW will obtain the necessary permits for the rehabilitation work from the required agencies including the US Coast Guard and BCDC. In addition, DPW will also obtain the necessary permits for construction staging from the State and the Port Commission; the staging areas are within the project site along the city's waterfront which belong to the State and are managed by the Port Commission as determined by the state law.

ACHP = Advisory Council on Historic Preservation
ACOE = U.S. Army Corps of Engineers
ADL = Aerially Deposited Lead
APE = Area of Potential Effect
APN = Assessor Parcel Number
ASR = Archaeological Survey Report
BA = Biological Assessment
BCDC = Bay Conservation and Development Commission
BE = Biological Evaluation
BO = Biological Opinion
Cal EPA = California Environmental Protection Agency
CCC = California Coastal Commission
CDFG = California Department of Fish and Game
CDOC = California Department of Conservation
CE = Categorical Exclusion
CIA = Community Impact Assessment
CWA = Clean Water Act
DLAE = District Local Assistance Engineer
DOI = U.S. Department of Interior
DTSC = Department of Toxic Substances Control
EA = Environmental Assessment
ED = Environmental Document
EFH = Essential Fish Habitat
EIS = Environmental Impact Statement
EPA = U.S. Environmental Protection Agency
FEMA = Federal Emergency Management Agency
FHWA = Federal Highway Administration
FONSI = Finding of No Significant Impacted
FTIP = Federal Transportation Improvement Program
HPSR = Historic Property Survey Report
HRER = Historical Resources Evaluation Report
HUD = U.S. Housing and Urban Development
MOA = Memorandum of Agreement
MSA = Magnuson-Stevens Fishery Conservation and Management Act
NEPA = National Environmental Policy Act
NADR = Noise Abatement Decision Report
NES = Natural Environment Study
NHPA = National Historic Preservation Act
NOAA = National Oceanic and Atmospheric Administration
NMFS National Marine Fisheries Service
NPDES = National Pollutant Discharge Elimination System
NPS = National Park Service
NRCS = Natural Resources Conservation Service
PM10 = Particulate Matter 10 Microns in Diameter or Less
PM2.5 = Particulate Matter 2.5 Microns in Diameter or Less
PMP = Project Management Plan
PQS = Professionally Qualified Staff
ROD = Record of Decision
RTIP = Regional Transportation Improvement Program
RTP = Regional Transportation Plan
RWQCB = Regional Water Quality Control Board
SER = Standard Environmental Reference
SEP = Senior Environmental Planner
SHPO = State Historic Preservation Officer
SLC = State Lands Commission
SP = State Parks
TIP = Transportation Improvement Program
USCG = U.S. Coast Guard
USDA = U.S. Department of Agriculture
USFWS = U.S. Fish and Wildlife Service
WD = Wetland Delineation

## E. Preliminary Environmental Document Classification (NEPA)

Based on the evaluation of the project, the environmental document to be developed should be:

***Check one:***

- ☐ Environmental Impact Statement (*Note: Engagement with participating agencies in accordance with 23 USC 139 required)*
  - ☐ Compliance with 23 USC 139 regarding Participating Agencies required
- ☐ Complex Environmental Assessment
- ☐ Routine Environmental Assessment
- ☐ Categorical Exclusion without required technical studies.
- ☐ Categorical Exclusion with required technical studies

***(if Categorical Exclusion is selected, check one of the following)***:

- ☐ Section 23 USC 326
  - ☐ 23 CFR 771 activity (c)(_____)
  - ☐ 23 CFR 771 activity (d) (_____)
  - ☐ Activity _____ listed in the Section 23 USC 326
- ☐ Section 23 USC 327

## F. Public Availability and Public Hearing

***Check as applicable:***

- ☐ Not Required
- ☐ Notice of Availability of Environmental Document
- ☐ Public Meeting
- ☐ Notice of Opportunity for a Public Hearing
- ☐ Public Hearing Required

## G. Signatures

### Local Agency Staff and/or Consultant Signature

| (Signature of Preparer) | 3/6/2015 (Date) | (415) 558-4011 (Telephone No.) |
|---|---|---|

*Frank Filice*
*(Name)*

### Local Agency Project Engineer Signature

This document was prepared under my supervision, according to the *Local Assistance Procedures Manual,* Exhibit 6-B, "Instructions for Completing the Preliminary Environmental Study Form."

| (Signature of Local Agency) | 3/6/2015 (Date) | (415) 558-4056 (Telephone No.) |
|---|---|---|

### Caltrans District Professionally Qualified Staff (PQS) Signature

☐ Project does not meet definition of an "undertaking"; no further review is necessary under Section 106 ("No" Section A, #35).

☐ Project is limited to the type of activity listed in Attachment 2 of the Section 106 PA and based on the information provided in the PES Form, the project does not have the potential to affect historic properties ("No" Section A, #35).

☐ Project is limited to the type of activity listed in Attachment 2 of the Section 106 PA, but the following additional procedures or information is needed to determine the potential for effect ("To Be Determined" Section A, #35):
☐ Records Search ☐ _____ ☐ _____ ☐ _____

☐ Project meets the definition of an "undertaking"; all properties in the project area are exempt from evaluation per Attachment 4 of the Section 106 PA ("No" Section A, #35).

☐ The proposed undertaking is considered to have the potential to affect historic properties; further studies for 106 compliance are indicated in Sections B, C, and D of this PES Form ("Yes" Section A, #35).

_____ _____ _____
*(Signature of Professionally Qualified Staff)* *(Date)* *(Telephone No.)*

**The following signatures are required for all CEs, routine and complex EAs, and EISs:**

### Caltrans District Senior Environmental Planner (or Designee) and DLAE Signatures

I have reviewed this Preliminary Environmental Study (PES) Form and determined that the submittal is complete and sufficient. I concur with the studies to be performed and the recommended NEPA Class of Action.

_____ _____ _____
*(Signature of Senior Environmental Planner or Designee)* *(Date)* *(Telephone No.)*

_____
*(Name)*

_____ _____ _____
*(Signature of District Local Assistance Engineer or Designee)* *(Date)* *(Telephone No.)*

_____
*(Name)*

☐ HQ DEA Environmental Coordinator concurrence _____. Email concurrence attached.
*(date)*

# Preliminary Environmental Investigation
# Notes to Support the Conclusions of the PES Form
# (May Also Include Continuation of Detailed Project Description)

**Brief Explanation of How Project Complies, or Will Comply with Applicable Federal Mandate (Part A):**

1. No. This project will be complete and not require future construction to fully utilize design capabilities include in the proposed project.
2. To be determined. This project may generate public controversy due to temporary traffic detours. This detour would only last during project construction. Measures will be taken to keep community members abreast of project
3. No. The project is a seismic upgrades and rehabilitation project. It is not on a highway, on a new location, and no lanes will be added.
4. No. The project will not require pile driving. Any noise associated with construction activities will be regulated under the City of San Francisco Article 29 of the Police Code, which regulates construction noise and hours of construction.
5. Yes. The project is within San Francisco County, which is listed in the Bay Area Air Quality Management District (AQMD) conformity area, but is exempt as noted below.
6. Yes. The project is exempt from the requirement that a conformity determination be made, under the following exemptions in 40 CFR 93.126, Table 2: *Safety – Pavement Resurfacing and/or Rehabilitation*, and *Safety – Widening narrow pavements or reconstructing bridges (no additional travel lanes).*
7. N/A due to "yes" in response to question 6.
8. N/A due to "yes" in response to question 6.
9. Yes. Project scope includes removing corrosion by repainting the major structural steel elements of the bridge with inorganic primer and topcoats to meet air quality. This process involves remove most of the existing paint and thoroughly cleaning the metal surfaces. There are also underground storage tanks adjacent to the project site, all of which have been cleaned-up and are closed. See attached Geotracker Map.
10. Yes. There is potential to impact water resources. Project work, including fender pile repair, will occur within the Mission Creek.
11. No. See project location/regional map. The project is located in San Francisco County and there are no EPA identified sole-aquifers in the county.
12. Yes. The project is within the San Francisco Bay.
13. No. San Francisco is not located within a floodplain, and no FEMA flood maps exist for this area. See attached for FEMA map.
14. No. There are no "Wild and Scenic" rivers in San Francisco. See attached National Wild and Scenic Rivers Map.
15. Yes. The project may affect federally listed threatened or endangered species, or essential fish habitat within or adjacent to the construction area. See attached list of Federal Endangered & Threatened Species for the San Francisco quadrant.
16. Yes. The project has the potential to directly or indirectly affect migratory birds, or their nests or eggs present in the project area.

17. No. There are no wetlands within or adjacent to the construction area. Mission Creek occupies a three-quarter mile stretch from AT&T Ballpark to Seventh Street. There are waterfront parks and open spaces being developed along the Mission Creek. Mission Creek Park is divided into north and south areas by the Mission Creek. The park is located just southwest of the AT&T Ballpark. The area located on the south side of the creek is comprised of 3 acres of rolling green grass, tress, pathways, benches and a small outdoor amphitheatre. The northern portion of Mission Creek Park runs parallel to Mission Creek between Fourth and Seventh Streets. Further down the creek is a community of houseboats along the creek's south bank. Toward the end of the Creek is a fenced dog park and a sewer outfall structure and pump station. Along the banks, riprap is in place for soil erosion prevention. The project site is located in a fully developed area. Land uses immediate to the project site include residential and industrial districts. The construction area is within the public right-of-way.

18. No. The project site is located in a fully developed area. Land uses immediate to the project site include residential and industrial districts. The construction area is within the public right-of-way. There are no agricultural wetlands in San Francisco.

19. No. There is no potential for the introduction or spread of invasive plant species.

20. Yes. There are publicly owned parks Mission Bay Park and China Basin Park, immediately adjacent to the project area. All of these parks are owned by the San Francisco Port Department. The project does not propose any changes to any of these parks, and access to these parks will be maintained during construction.

21. No. All work will be conducted within the existing right-of-way. The project does not have the potential to affect properties acquired or improved with Land and Water Conservation Fund Act funds.

22. No. The project does not have the potential to affect a visual or scenic resource. The project will focus on seismic upgrades and rehabilitation, and will not alter the visual resources of the project area or the visual character of the bridge. There will be temporary impacts during construction in the immediate area of the project, however, these will not require mitigation. The rehabilitated and retrofitted bridge will appear substantially similar to the existing bridge.

23. No. The project will not require the relocation of residential or business properties.

24. No. All work will be conducted within the existing right of way. The project will not require any right-of-way, including partial or full takes.

25. No. The project is consistent with plans and goals adopted by the community.

26. No. This project does not have the potential to disrupt neighborhoods/communities. All work will be done on an existing bridge and right-of-way.

27. No. The project does not have the potential to disproportionately affect low-income and minority populations. All work will be done on an existing bridge.

28. No. The project will not require relocation of public utilities.

29. No. The project will not permanently affect access to properties or roadways. Access to sidewalks and roadways will be affected during construction. The contractor will be required to maintain safe access and provide detours.

30. No. The project will not change access to the State Highway System.

31. No. The project will not involve the use of a new temporary road or ramp closure. During construction, vehicular traffic will be directed to take a detour on an existing street adjacent to the project area.

32. No. The project will not permanently reduce the amount of available parking. Parking lots adjacent to the project area will be used as staging during construction.

33. No. The project does not encroach on or is adjacent to state or federal lands.

34. No. The project site is located in a fully developed area. Land uses immediate to the project site include industrial and production, distribution, and repair districts. The construction area is within the public right-of-way. There are no adjacent farmlands.

35. Yes. According to the Department of Parks and Recreation 523 A and B Forms (DPR 523 Forms A and B), the Third Street Bridge is an example of the Art Moderne style for its "detailing of the ends of the bascule leaves, with their quarter-circle gear housings, the control tower, and the sidewalk railings." For these reasons, the bridge meets National Register Criterion C, at the local level of significance, for its distinctive design qualities. See DPR 523 A and B Forms for further details.

36. No. The project does not encroach on or is adjacent to tribal lands.

**Distribution** 1) Original - DLAE, 2) Local Agency Project Manager, 3) DLA Environmental Coordinator

4) Senior Environmental Planner (or designee), 5) District PQS

Updated: 05/15/08

**Third Street Bridge Rehabilitation Project**
**Federal Project No.: TBD**
**Exhibit 6-A Preliminary Environmental Study (PES)**

***Project Description as Shown in RTP and FSTIP:***
Rehabilitation work includes bridge deck and structural member corrosion repair; bridge painting; bridge counterweight and fender pile repairs; and other damage repairs.

***Detailed Project Description:***
**Project Purpose and Need:**
The Third Street Bridge is now more than 80 years old and in poor condition and requires a significant amount of deferred repair and upgrade to bring it into compliance with current bridge standards. The purpose of the rehabilitation work is to maintain continued use of the bridge. Rehabilitation of the bridge will not only enhance the reliability of the bridge and linkage to transit, but will also ensure user's safety.

**Project Location and Limits:**
The Third Street Bridge is located on Third Street crossing over Mission Creek Channel in between Berry Street and Terry A Francois Boulevard that has been identified as an important gateway to a new redeveloped Mission Bay in San Francisco. The area has rapidly evolved into a wealthy neighborhood of luxury condominiums, hospitals, biotechnology research and development, and a future Warrior stadium. The Third Street Bridge is also designated as a major corridor through developing neighborhood; providing a vital connection from Third Street to low-income and minority populations and to the future residential and commercial developments at the former Hunters Point Naval Shipyard and the India Basin Shoreline.

The Third Creek Bridge was constructed in 1932 and the total structure length of the bridge is approximately 295 feet and width of the bridge is approximately 80 feet. The bridge includes five lanes of traffic and sidewalks in the shoulders. The bridge is a single-leaf bascule structure with concrete abutments. The bascule arm, which open to allow boats to pass on Mission Creek, consist of riveted steel girders supporting an open, steel-grate roadway. No change in alignment or widening the existing bridge is anticipated.

**Right of Way Acquisitions:**
The project limit will be within the public right-of-way and will not alter the existing alignment of the bridge and adjacent streets. No right-of-way acquisition or temporary or permanent easements will be required.

**Construction Staging Areas:**
The construction staging area will not occur in environmentally or culturally sensitive areas and/or impact water resources. The city will identify location of construction staging areas for material storage and equipment parking and the staging areas shall occur in the public right-of-way within the project vicinity. The City will insure that at a minimum, the following requirements are met when approving the contractor's construction staging area:

- The staging area will be located on existing asphalt and/or concrete surfaces. No staging area will be allowed on undeveloped lots.
- The staging area will be included in the contractor's Storm Water Pollution Prevention Plan (SWPPP).
- The staging area will not be located in an environmentally or culturally sensitive area and/or impact water resources.
- The staging area will not be located in a regulatory floodway or within the base floodplain (100-year).
- The staging area will not affect access to properties or roadways.

**Construction Traffic Controls:**
Because the bridge forms a part of the Third Street, a major transportation corridor in San Francisco, rehabilitation works must be scheduled to limit interruption of traffic. Measures will be taken to keep community members abreast of project updated and detours prior and during construction to minimize any impacts. The City has a transit first policy. The contractor shall not impede the operation of mass transit vehicles at any time.

The contractor is required to conduct construction operations to cause the least possible obstruction and inconvenience to the community, and provide routing of vehicular and pedestrian in a manner that will be safe and will minimize traffic congestion and delays during construction.

The contractor is required to submit a Traffic Control Plan to the City's Traffic Engineer for review and approval before any major work is allowed. The Traffic Control Plan shall be prepared, signed and stamped by a Civil Engineer or a Traffic Engineer Registered in the State of California) with the assistance and input of the Traffic Supervisor and the Contractor's Superintendent. Contractor shall not commence site work prior to receiving the Engineer's approval of the construction schedule. No work shall commence prior to approval of applicable traffic control plan.

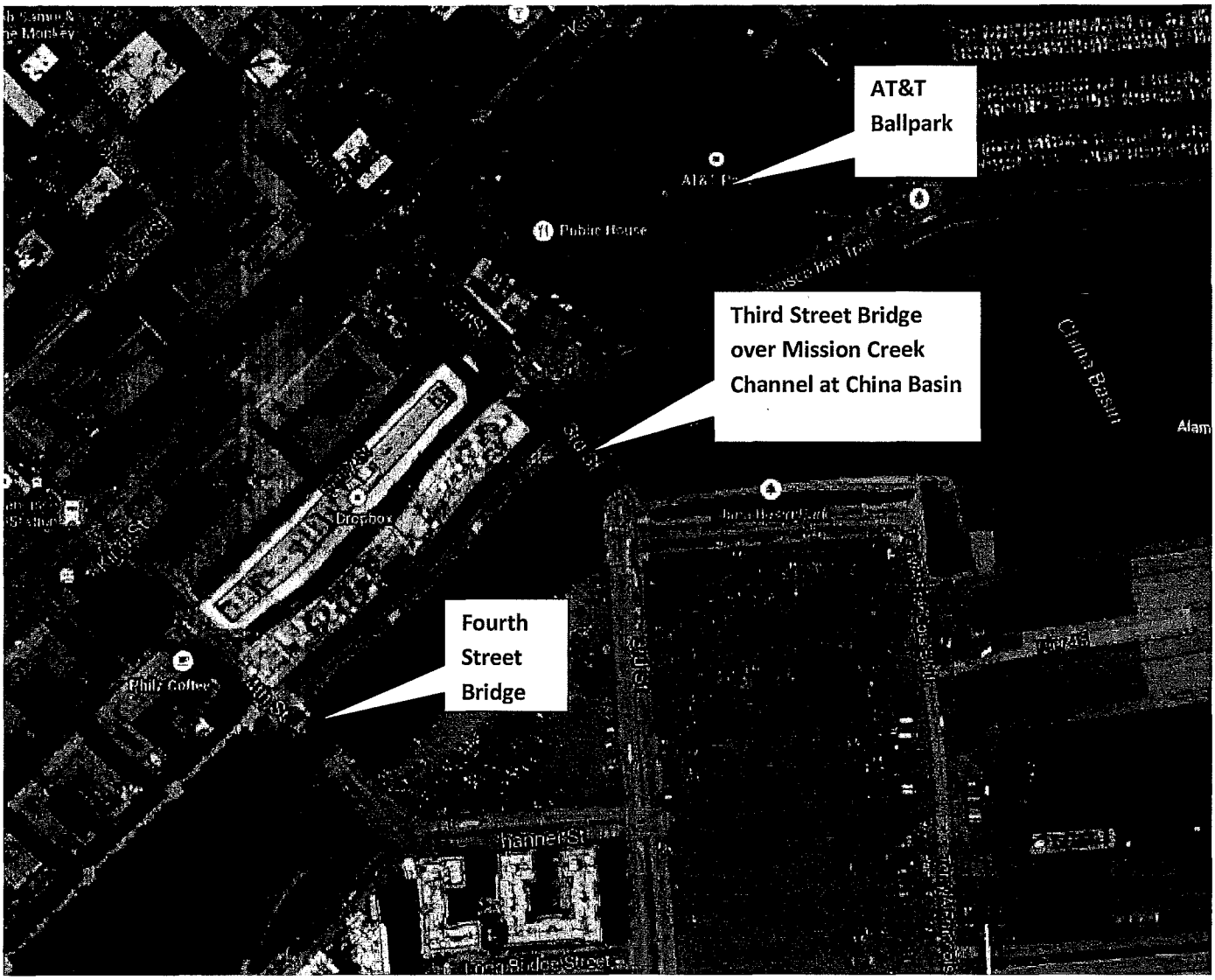
**Historic Properties:**
The defined construction area is within the public right-of-way. All work will be performed within the public right-of-way and will not affect any historic districts, buildings, or cultural resources.


**Source: Google Map data 2009 Tele Altas**

## Site Location Map

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
February 2015 San Francisco, California

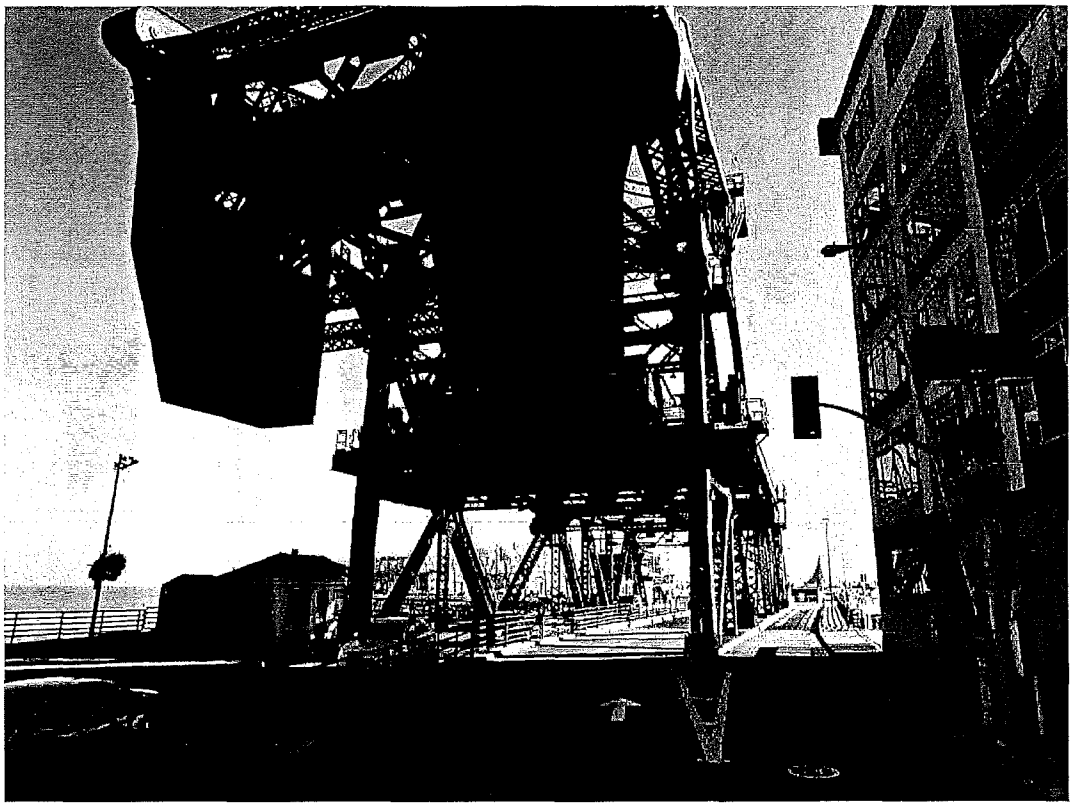
Figure 1




**Site Vicinity Map**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
February 2015 San Francisco, California

Figure 2



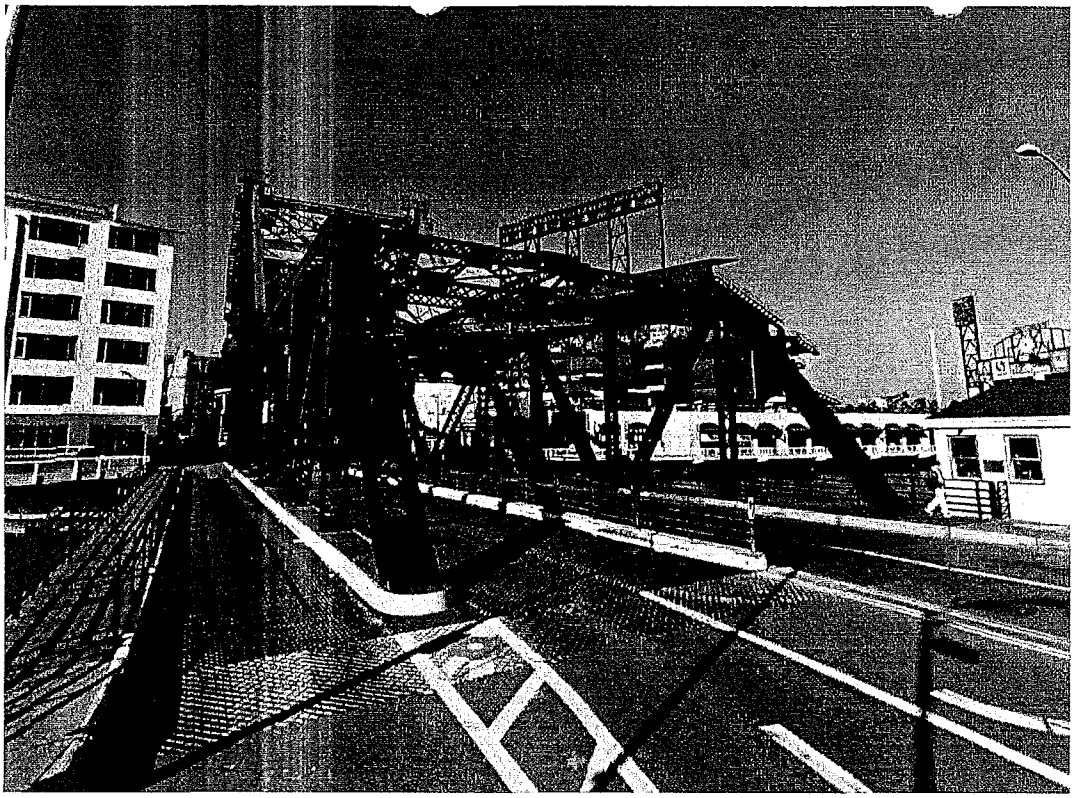
**North West Corner**

**North East Corner**

**North Corners Looking at the Bridge**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
February 2015 San Francisco, California

Figure 3



South West Corner

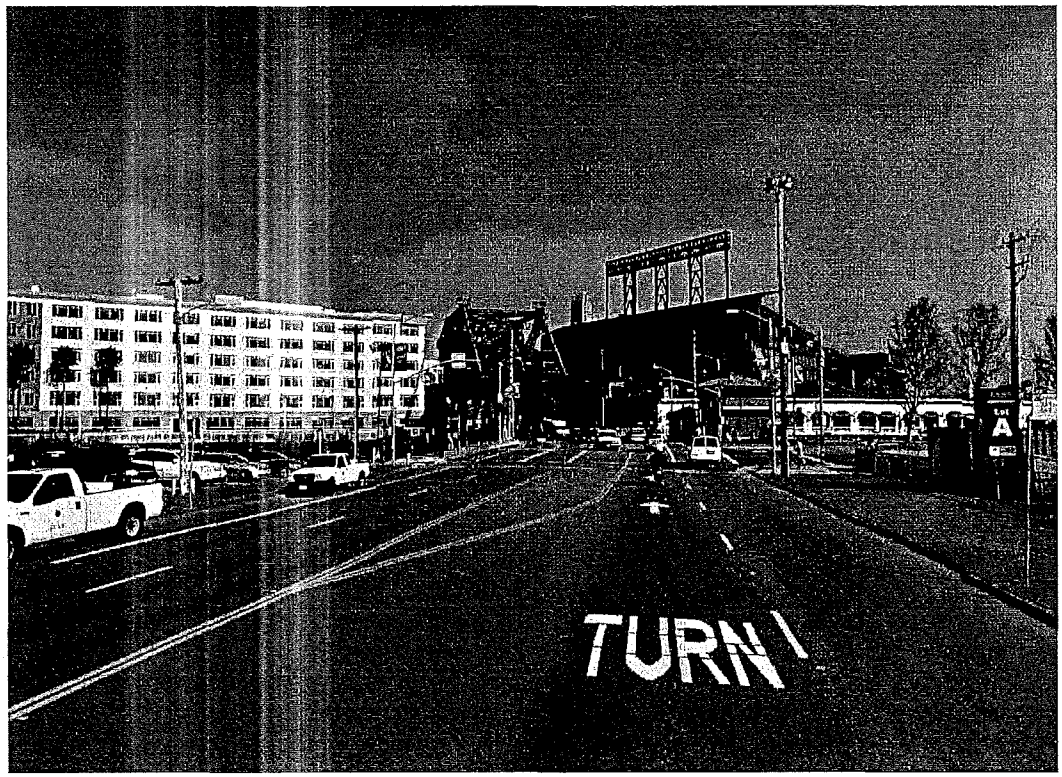

South East Corner

**South Corners Looking at the Bridge**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
February 2015 San Francisco, California

Figure 4

North Approach



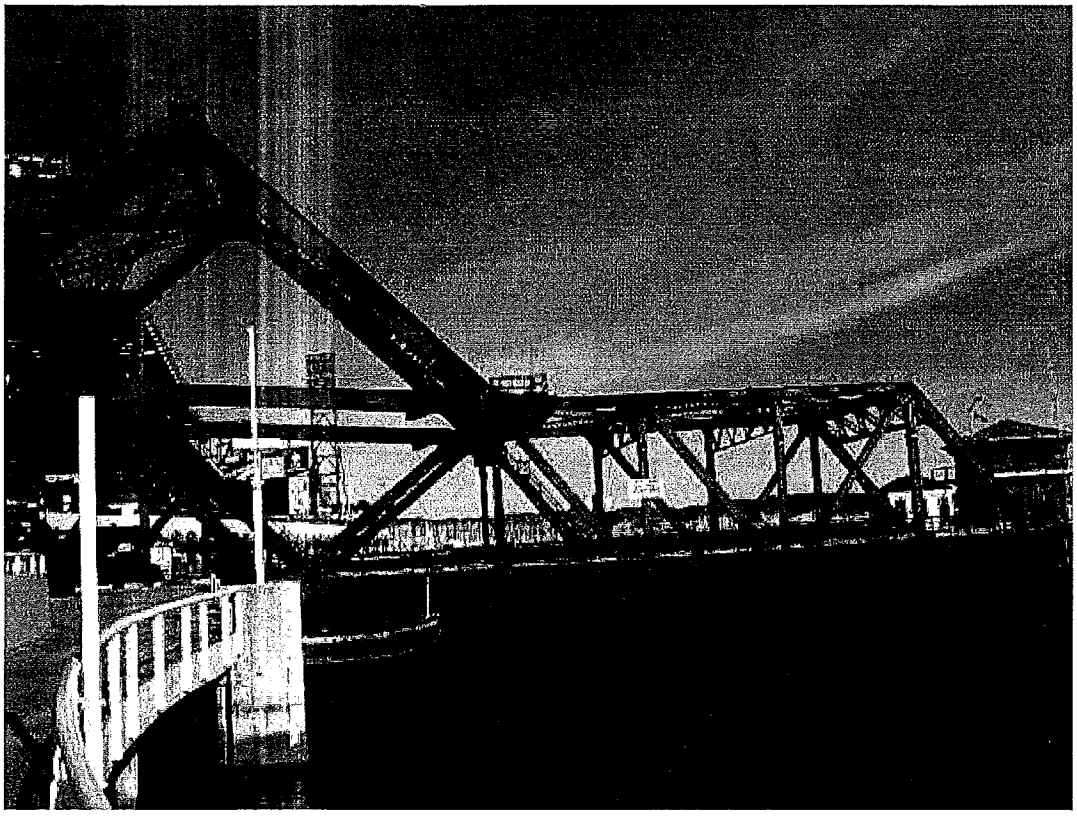

South Approach

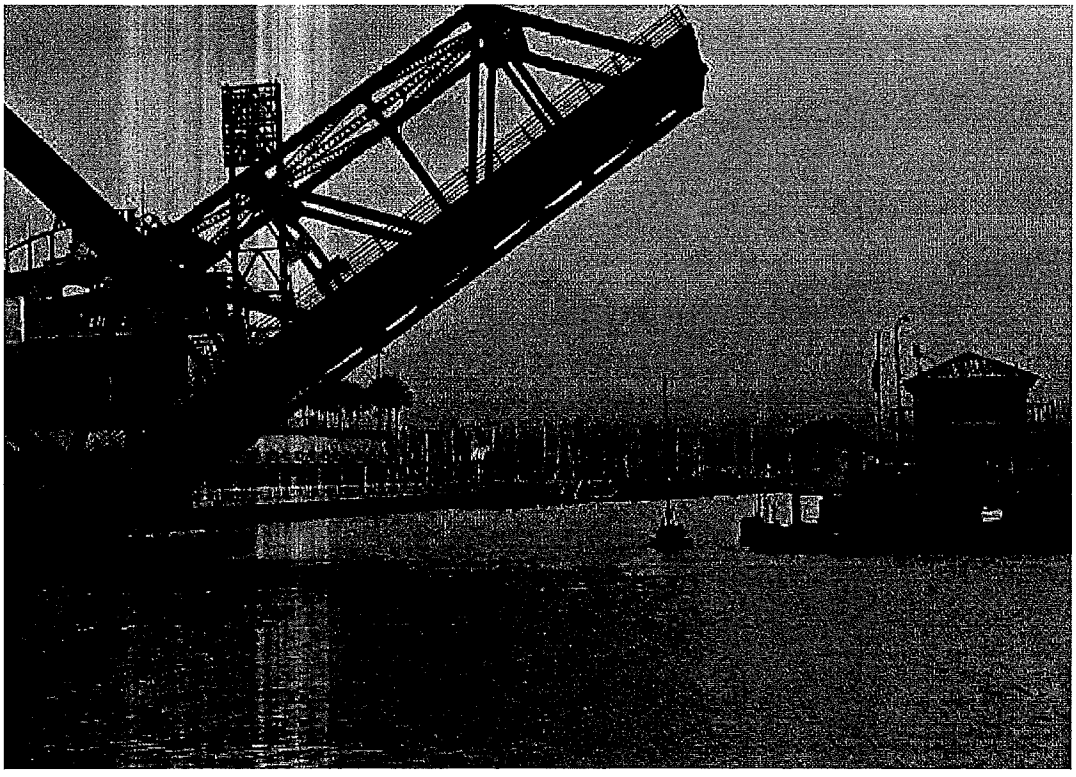
**Views of Each Approach**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
San Francisco, California

February 2015

Figure 5



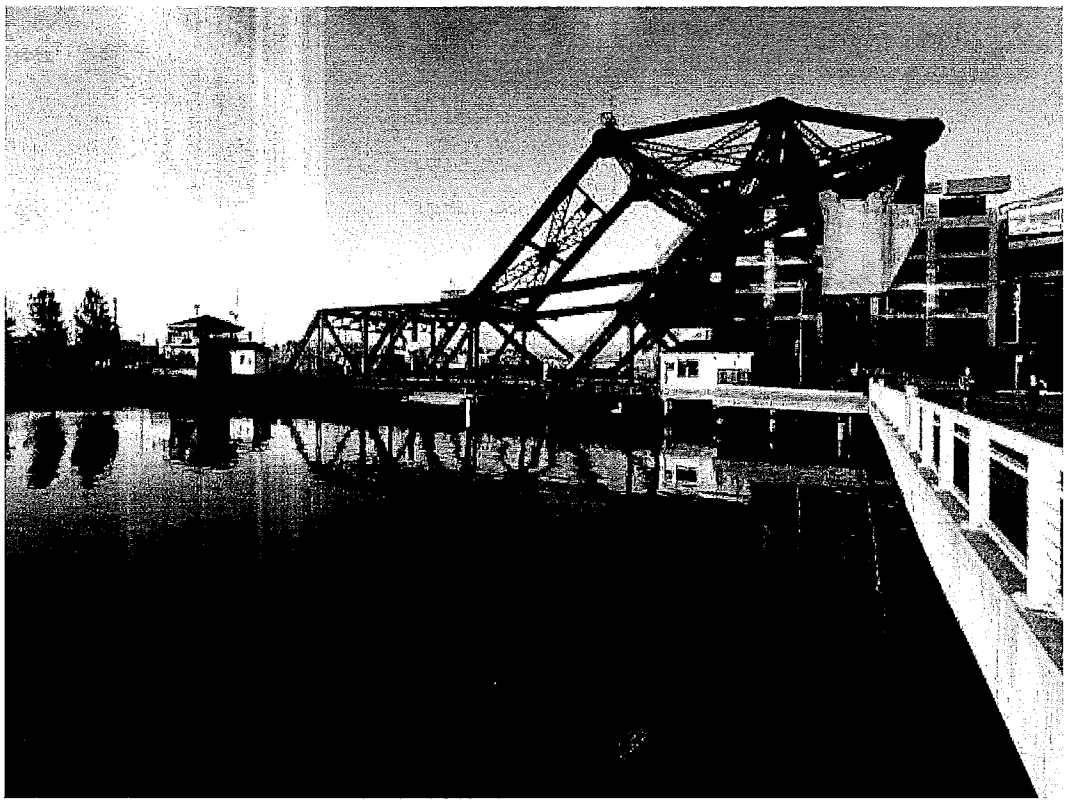


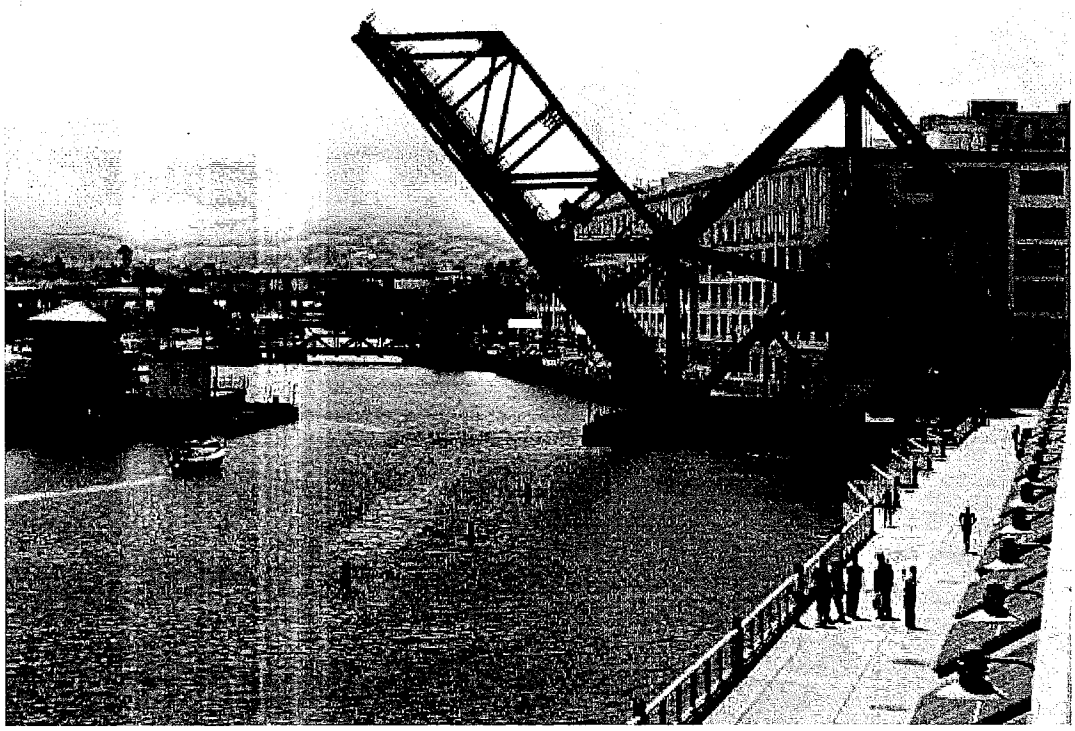
**Elevation View (Looking East)**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
San Francisco, California

February 2015

Figure 6



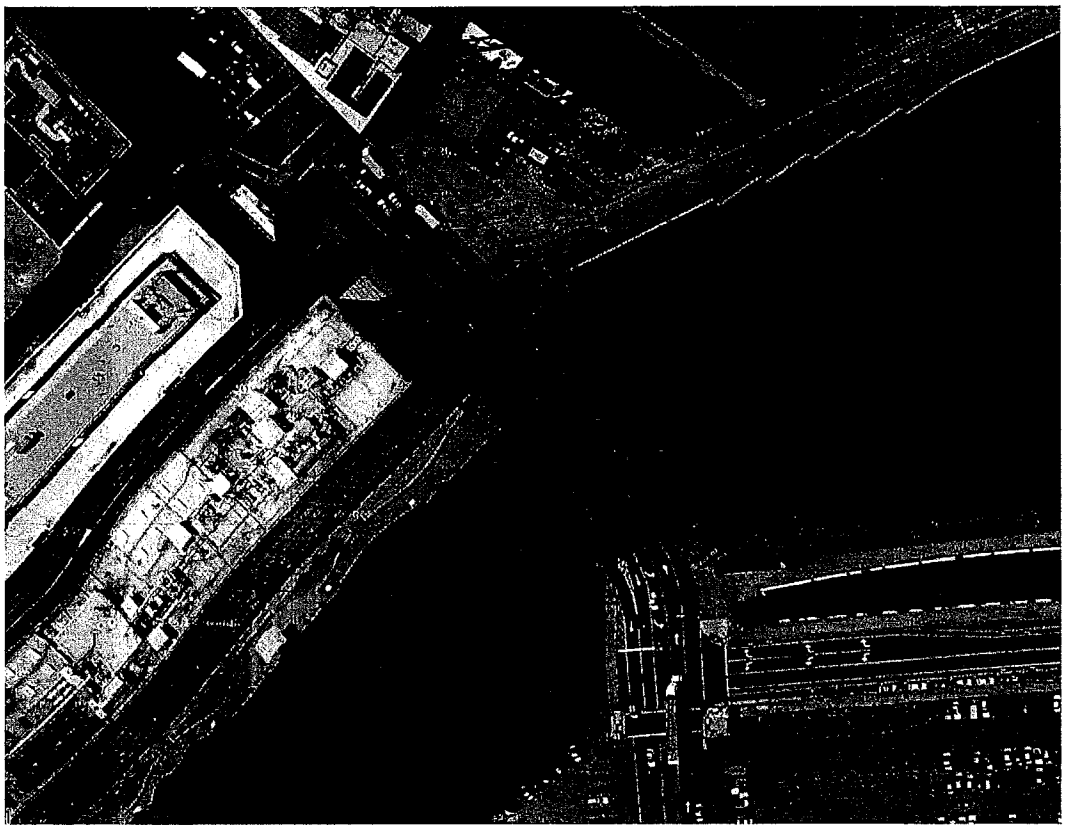


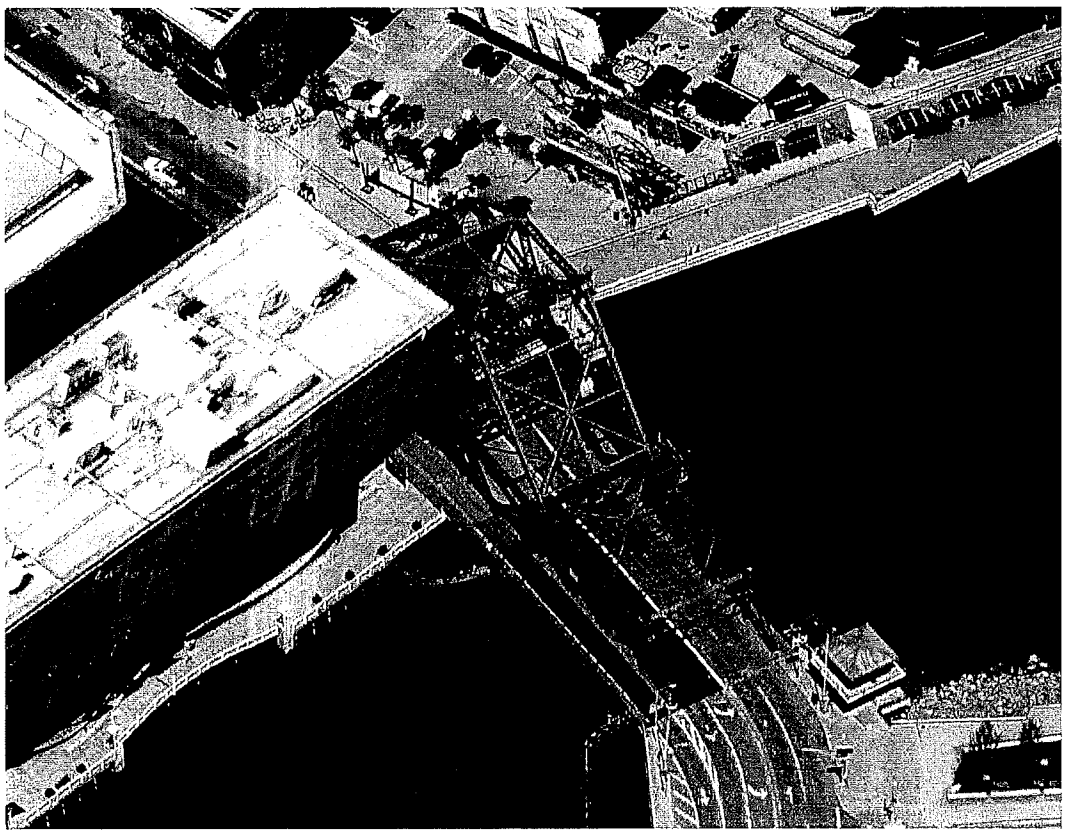
**Elevation View (Looking West)**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
San Francisco, California

February 2015

Figure 7



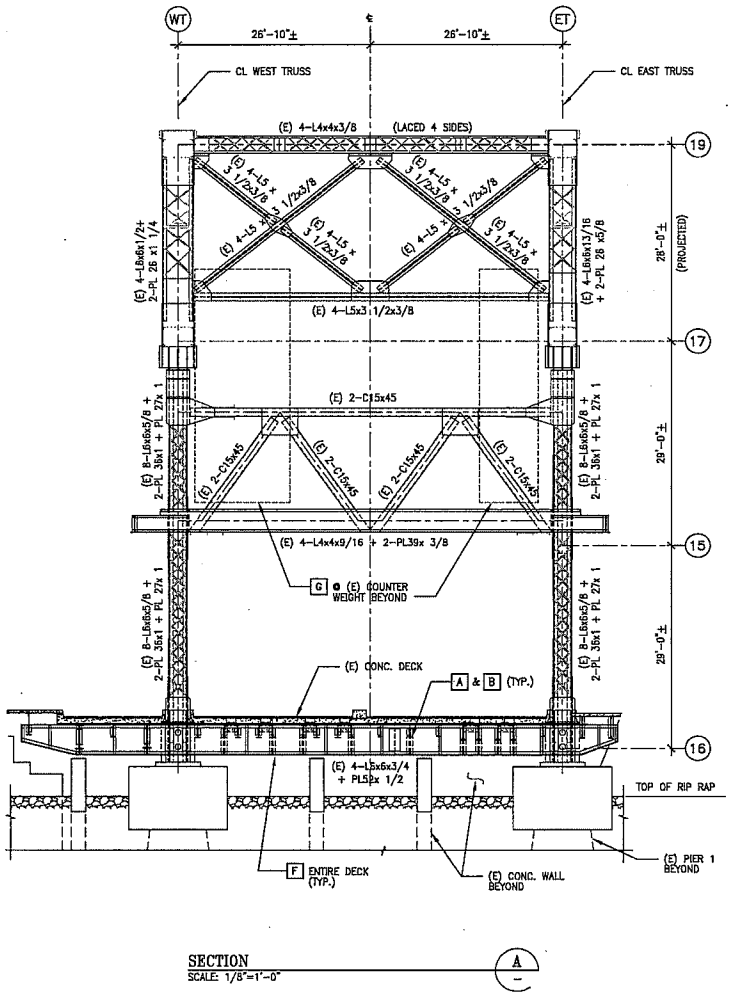


**Aerial Views**

Application for HBRRP Funds
Third Street Bridge Rehabilitation Project
San Francisco, California

February 2015

Figure 8




SECTION
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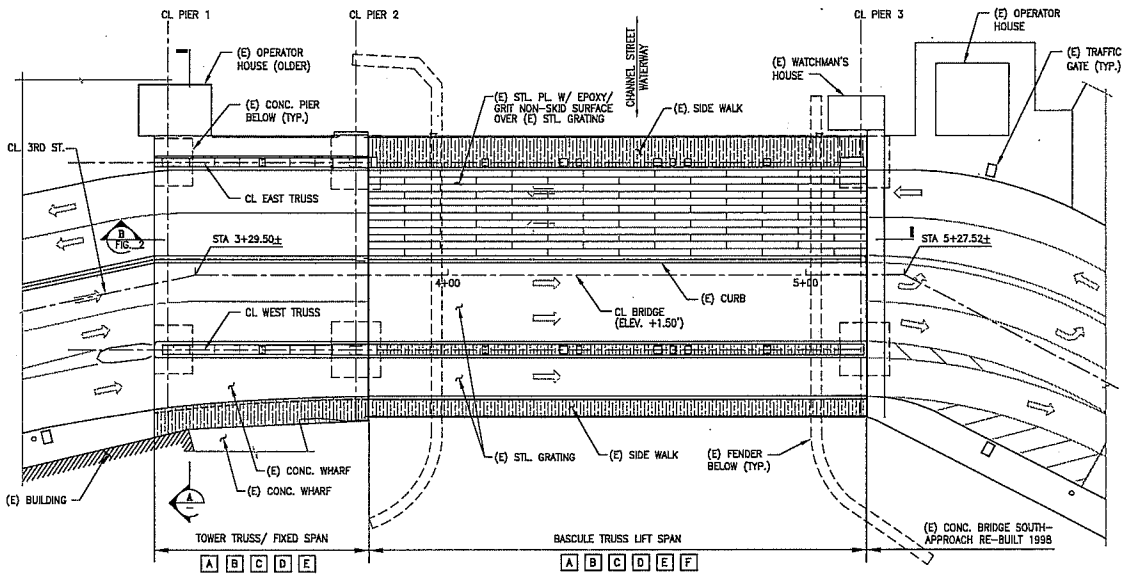


PLAN
SCALE: 1/16"=1'-0"

LEGEND

- A REPAIR CORRODED STEEL MEMBERS
- B REPLACE CORRODED STEEL BOLTS AND RIVETS
- C REPAIR CORRODED WELDS
- D REPAIR DAMAGED/ BUCKLED STEEL MEMBERS
- E INSTALL NEW STEEL BOLTS FOR MISSING RIVETS
- F COATING REPAIR
- G CONCRET COUNTER WEIGHT REPAIR

FIGURE 1

STRUCTUS, INC.
STRUCTURAL DESIGN AND ENGINEERING
160 PINE STREET SUITE 300
SAN FRANCISCO, CA 94111

| NO. | DATE | DESCRIPTION | BY | APP. |
|---|---|---|---|---|
| 0 | 7/7/14 | BSE DESIGN | | |

TABLE OF REVISIONS
THIS DRAWING WAS LAST MODIFIED: 02/25/15 10:30, BY: Burhans

REFERENCE INFORMATION & FILE NO. OF SURVEYS

INFRASTRUCTURE DIVISION
DEPARTMENT OF PUBLIC WORKS
CITY AND COUNTY OF SAN FRANCISCO

| | | |
|---|---|---|
| DESIGNED: XX | DATE: -/-/- | APPROVED |
| DRAWN: XX | DATE: -/-/- | SECTION MANAGER DATE: |
| CHECKED: XX | DATE: -/-/- | DEPUTY DIVISION MANAGER DATE: |
| | | DIVISION MANAGER DATE: |

SCALE: 1/8"=1'-0"
SHEET OF SHEETS XX OF XX

THIRD STREET BRIDGE
CORROSION AND DAMAGE REPAIR PROJECT

GENERAL REPAIR WORKS
PLAN AND SECTION

CONTRACT NO. XXXXX
DRAWING NO. FIGURE 1
FILE NO. XXXXX
REV. NO. XX

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Model Units: Inches
Measurement Units are English

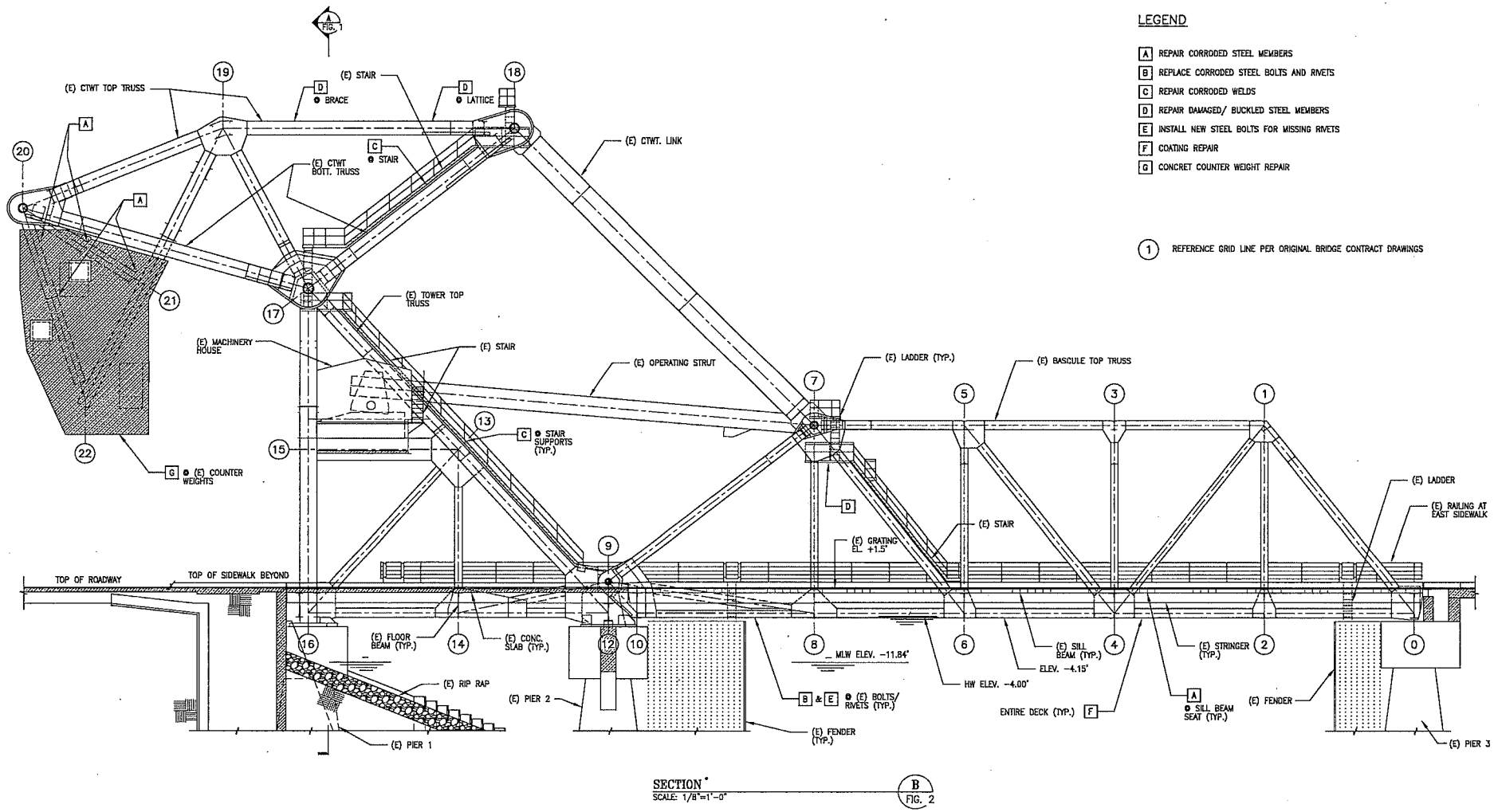


FIGURE 2

STRUCTUS, INC.
STRUCTURAL DESIGN AND ENGINEERING
160 PINE STREET SUITE 300
SAN FRANCISCO, CA 94111

| NO. | DATE | DESCRIPTION | BY | APP. |
|---|---|---|---|---|
| 0 | 7/7/14 | BSK DESIGN | | |

TABLE OF REVISIONS
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DEPARTMENT OF PUBLIC WORKS
CITY AND COUNTY OF SAN FRANCISCO

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| CHECKED: | XX | DATE: -/-/- |

APPROVED
SECTION MANAGER DATE:
DEPUTY DIVISION MANAGER DATE:
DIVISION MANAGER DATE:

SCALE: 1/8"=1'-0"
SHEET OF SHEETS: XX OF XX

THIRD STREET BRIDGE
CORROSION AND DAMAGE REPAIR PROJECT
GENERAL REPAIR WORKS
LONGITUDINAL SECTION

CONTRACT NO. XXXXX
DRAWING NO. FIGURE 2
FILE NO. XXXXX
REV. NO. XX

Drawing Path: P:\Work\active\1111-3rd St. Bridge\VERIFY-SS.dgn, Login: Burhans
Plot Time: Wed, 25 Feb 2015 - 10:20am

DEPARTMENT OF TRANSPORTATION
Structure Maintenance & Investigations

Bridge Number : 34C0025
Facility Carried: THIRD ST
Location : S OF BERRY ST
City : SAN FRANCISCO
Inspection Date : 12/19/2012
Inspection Type
Routine FC Underwater Special Other
[X]

# Bridge Inspection Report

STRUCTURE NAME: CHANNEL STREET WATERWAY-3RD ST

## CONSTRUCTION INFORMATION

Year Built : 1932
Year Widened: N/A
Length (m) : 89.9
Skew (degrees): 0
No. of Joints : 2
No. of Hinges : 0

Structure Description: 7 Spans
Main spans (1&2):
Single leaf Bascule riveted steel through truss with a RC deck (Span 1) and a steel grid deck (Span 2). The bents (Piers 1-3) are RC (2) columns on RC caps on timber piles.
Approach spans (3-7): RC deck on RC caps, steel seismic piles (P4-9, P5-11, P6-8, P7-8), RC abutment founded on timber piles.

Span Configuration : 1 @ 56 ft 6 in, 1 @ 142 ft 3 in, 1 @ 20 ft 6-1/2 in, 3 @ 19 ft, 1 @ 18 ft 2 in

## SAFE LOAD CAPACITY AND RATINGS

Design Live Load: UNKNOWN
Inventory Rating: 16.3 metric tons Calculation Method: LOAD FACTOR
Operating Rating: 24.5 metric tons Calculation Method: LOAD FACTOR
Permit Rating : XXXXX
Posting Load : Type 3: Legal Type 3S2: Legal Type 3-3: Legal

## DESCRIPTION ON STRUCTURE

Deck X-Section: 1.28 m sw, 0.46 m cu, 6.77 m rdwy, 1.4 m med, 15.06 m rdwy, 1.59 m sw
Total Width: 24.7 m Net Width: 21.8 m No. of Lanes: 4 Speed: 25 mph
Min. Vertical Clearance: 5.69 m

Rail Code: 0000

| Rail Type | Location | Length (ft) | Rail Modifications |
|---|---|---|---|
| Pedestrian | Right/Left | 590 | |

## DESCRIPTION UNDER STRUCTURE

Channel Description: Fender protection. Channel bottom silty clay.

## INSPECTION COMMENTARY

SCOPE AND ACCESS
This bridge was inspected by foot on and around the deck and in the channel at low tide around Abutment 8. The steel superstructure elements above the roadway were visually inspected from the bridge deck and when the bridge was in lift operation. The steel superstructure elements are regularly inspected by the fracture critical climb team. The bridge was also inspected with the use of a kayak in the channel for portions of the superstructure and the substructure investigation. This inspection used a kayak during low tide near noon on 12/19/2012 to have the most visual access to the substructure elements above the waterline as well as the superstructure.

The city arranged for openings of the bridge on 12/19/2012. The bridge tender and various city and county employees were on site for several openings of the bridge and to allow for full inspection access to the bridge.

The former operator house, as no longer structurally part of this bridge, is not included

INSPECTION COMMENTARY

as part of this inspection.

With the exception of the submerged elements inspected by the underwater team, the steel elements inspected by the fracture critical team and the mechanical & electrical elements inspected by the mechanical & electrical team, all elements were inspected.

Water was in all spans at low tide with rip rap slope protection along Abutment 8.

NUMBERING CONVENTION

Due the complexity of this structure, the nomenclature used in this report and all routine Bridge Inspection Reports will be according to the As-Built Plans dated 11/1/1998. This differs from the normal Caltrans numbering convention.

The bridge begins with the northwest Pier 1 adjacent to the concrete wharf (there is no abutment). The Bascule trunion pier is Pier 2 with the Bascule landing at Pier 3. The bridge ends with approach Spans 3 through 7 and Abutment 8 at the southeast end which were all rebuilt in 1998.

REVISIONS

ELI Element No. 13 was replaced with ELI 39 in condition state 1. NBI items 44 a and 44 b were modified to continuous slab.

ELI Element No. 31 was placed in condition state 2.

DECK AND ROADWAY

The deck on the lift span of this structure is a steel open grid on the right western inland side and a steel open grid with steel cover plates on the left eastern bay side. (The steel plates on the left side were added for pedestrian foot traffic tied to the Giants baseball stadium and crowds). The open grid deck has distress and deterioration with repaired welds and patched areas totaling less than 10% of the open grid deck area. The open grid deck with steel cover plates has similar distress to the open grid visible during lift operations and observed while under the structure. The cover plates exhibit little to no structural distress. There is some distress to the skid course on the steel plates. There is dirt and debris accumulated in the open grid deck in several locations. See photographs No. 2 to 5 from the 2011 report for more details of the roadway deck.

The approach spans have a concrete deck with an AC wearing surface that has recently been replaced and is in generally good condition.

The timber sidewalks have some decay, insect infestation, abrasion, splitting, cracking, and some crushing but none is sufficiently advanced to affect the strength or serviceability. See photograph No. 7 from the 2011 report for more details on the timber sidewalk.

The concrete curb areas on the bridge deck have a history of spalling. Many of these spalls have been repaired since the last inspection but there are still some areas of curb that are spalled. See photographs No. 1 to 3 for more details.

SUPERSTRUCTURE

On all the painted steel superstructure elements there is active corrosion. Surface or freckled rust has formed and is prevalent at the connections. The paint system is generally chalking, peeling, curling, and showing other early evidence of paint system distress. There is pack rust in the built up sections and connections which is distorting the members. There is some loss of section detailed below. All painted steel elements are in condition state 2 to 4 at this time.

The concrete counterweights are cracking with efflorescent staining in areas and have areas with spalls with exposed corroded reinforcement up to 3 square feet in surface

INSPECTION COMMENTARY

size. The cracked and delaminated areas easily spalled off with a light rock hammer. An estimated area of 10% of the surface area of the 2 counterweights are cracked and spalling. See photograph No. 4 to 10 for more details.

The top surface of the trunion portion of the truss is corroding with surface rust and surface pitting. See photograph No. 11 and 12 for more details.

The lift portion of the deck has a vertical offset of 1/2 of an inch as measured along the centerline of the two way traffic lanes. See photographs No. 13 to 14 for more details.

The underside of the superstructure in the lift span exhibits corrosion, pack rust and general distress along the bottom flanges of the bottom cord of the truss, the floor beams and the girders. See photographs No 15 to 18 with this report or photographs No. 14 to 15 from the 2011 report for more details.

The end bearing area of the bottom cord of the lift span along the left bay side has significant corrosion and pack rust for an area approximately 5 square yards at Pier 3. There is a loss of section for an estimated area at 4 square feet along the built up bottom flange of the bottom cord of the truss along the bay side at this location. See photographs No. 19 and 20 with this report or photograph No. 13 from the 2011 report as well as the report and photographs from the Fracture Critical Inspection in 2011 and again in 2013 for more details.

The southern approach slabs have occasional randomly oriented soffit cracks with efflorescence.

SUBSTRUCTURE

The abutment face at Abutment 8 exhibits rock pockets, scaliness, and staining. See photograph No. 16 from the 2011 report for more details.

The timber fender protection system was only visible above the waterline. Those portions above the waterline appeared in good condition, but previous reports indicate those portions below the waterline to be in poor condition.

SAFE LOAD CAPACITY

The Load Rating for this structure is currently under review by the Load Ratings Branch under Work Request No. 2200.

STEEL INVESTIGATIONS

This structure qualifies for an in-depth Steel investigation because it possesses the following fracture critical or fatigue prone details :

Floor Beams: FC Members,
Truss: FC Members

Fracture Critical: Yes  Inspection Freq.: 24  Next Inspection: 10/18/2013

## ELEMENT INSPECTION RATINGS

| Elem No. | Element Description | Env | Total Qty | Units | Qty in each Condition State St. 1 | St. 2 | St. 3 | St. 4 | St. 5 |
|---|---|---|---|---|---|---|---|---|---|
| 28 | Steel Deck - Open Grid | 3 | 1080 | sq.m. | 0 | 1080 | 0 | 0 | 0 |
| 31 | Timber Deck - Bare | 3 | 123 | sq.m. | 0 | 123 | 0 | 0 | 0 |
| 39 | Concrete Slab - Unprotected w/ AC Overlay | 2 | 1110 | sq.m. | 1110 | 0 | 0 | 0 | 0 |
| 107 | Painted Steel Open Girder/Beam | 3 | 998 | m. | 0 | 998 | 0 | 0 | 0 |
| 121 | Painted Steel Bottom Chord Thru Truss | 3 | 88 | m. | 0 | 0 | 82 | 6 | 0 |
| 126 | Painted Steel Thru Truss (excl. bottom chord) | 3 | 88 | m. | 0 | 0 | 88 | 0 | 0 |
| 152 | Painted Steel Floor Beam | 3 | 123 | m. | 0 | 0 | 123 | 0 | 0 |
| 205 | Reinforced Conc Column or Pile Extension | 3 | 6 | ea. | 6 | 0 | 0 | 0 | 0 |
| 215 | Reinforced Conc Abutment | 3 | 58 | m. | 0 | 58 | 0 | 0 | |
| 228 | Timber Submerged Pile | 3 | 1 | ea. | 1 | 0 | 0 | 0 | 0 |
| 234 | Reinforced Conc Cap | 3 | 350 | m. | 350 | 0 | 0 | 0 | 0 |
| 254 | Steel Seismic Column Shell (Full Height) | 3 | 36 | ea. | 36 | 0 | 0 | 0 | 0 |
| 256 | Slope Protection | 2 | 1 | ea. | 1 | 0 | 0 | 0 | 0 |
| 304 | Open Expansion Joint | 2 | 44 | m. | 44 | 0 | 0 | 0 | 0 |
| 310 | Elastomeric Bearing | 2 | 6 | ea. | 6 | 0 | 0 | 0 | 0 |
| 330 | Metal Bridge Railing - coated or uncoated | 3 | 152 | m. | 152 | 0 | 0 | 0 | 0 |
| 357 | Pack Rust | 2 | 1 | ea. | 0 | 0 | 0 | 1 | |
| 363 | Section Loss | 2 | 1 | ea. | 0 | 1 | 0 | 0 | |

## WORK RECOMMENDATIONS

RecDate: 12/19/2012
Action : Paint-Spot Prep
Work By: LOCAL AGENCY
Status : PROPOSED

EstCost:
StrTarget: 2 YEARS
DistTarget:
EA:

Clean and paint all areas with failed paint on the superstructure. Up to 20% is estimated to be full paint removal. Then full paint of the bridge.

RecDate: 12/19/2012
Action : Super-Patch spalls
Work By: LOCAL AGENCY
Status : PROPOSED

EstCost:
StrTarget: 2 YEARS
DistTarget:
EA:

Chip out all unsound areas and clean and patch all spalled areas on the concrete counter weights.

RecDate: 10/18/2011
Action : Super-Misc.
Work By: LOCAL AGENCY
Status : PROPOSED

EstCost:
StrTarget: 1 YEAR
DistTarget:
EA:

Replace deficient and missing stair support brackets at the left truss between Joint 17 to Joint 18. Use galvanized steel and paint all exposed surfaces.

RecDate: 10/18/2011
Action : Super-Misc.
Work By: LOCAL AGENCY
Status : PROPOSED

EstCost:
StrTarget: 2 YEARS
DistTarget:
EA:

Use needle gun to remove pack rust between the plates at Joint 0 on the right truss. Remove fragments of the 4 broken rivets, clean hole edges and replace broken rivets with equal diameter galvanized bolts washers and nuts. Paint exposed edges of bolts, washers and nuts.

Team Leader : Daniel Zuhlke

Report Author : Daniel Zuhlke

Inspected By : D.Zuhlke/JC.Sprinkle

8/9/2013

Daniel Zuhlke (Registered Civil Engineer) (Date)

# STRUCTURE INVENTORY AND APPRAISAL REPORT

**********  IDENTIFICATION  **********

| | | |
|---|---|---|
| (1) | STATE NAME- CALIFORNIA | 069 |
| (8) | STRUCTURE NUMBER | 34C0025 |
| (5) | INVENTORY ROUTE(ON/UNDER) - ON | 150000000 |
| (2) | HIGHWAY AGENCY DISTRICT | 04 |
| (3) | COUNTY CODE 075 (4) PLACE CODE | 67000 |
| (6) | FEATURE INTERSECTED- | CHINA BASIN |
| (7) | FACILITY CARRIED- | THIRD ST |
| (9) | LOCATION- | S OF BERRY ST |
| (11) | MILEPOINT/KILOMETERPOINT | 0 |
| (12) | BASE HIGHWAY NETWORK- PART OF NET | 1 |
| (13) | LRS INVENTORY ROUTE & SUBROUTE | 000000000000 |
| (16) | LATITUDE | 37 DEG 46 MIN 34.87 SEC |
| (17) | LONGITUDE | 122 DEG 23 MIN 24 SEC |
| (98) | BORDER BRIDGE STATE CODE % SHARE | % |
| (99) | BORDER BRIDGE STRUCTURE NUMBER | |

********  STRUCTURE TYPE AND MATERIAL  *********

| | | |
|---|---|---|
| (43) | STRUCTURE TYPE MAIN:MATERIAL- | STEEL |
| | TYPE- MOVABLE - BASCULE | CODE 316 |
| (44) | STRUCTURE TYPE APPR:MATERIAL- | CONCRETE CONT |
| | TYPE- SLAB | CODE 201 |
| (45) | NUMBER OF SPANS IN MAIN UNIT | 1 |
| (46) | NUMBER OF APPROACH SPANS | 5 |
| (107) | DECK STRUCTURE TYPE- OPEN GRATING | CODE 3 |
| (108) | WEARING SURFACE / PROTECTIVE SYSTEM: | |
| | A) TYPE OF WEARING SURFACE- OTHER | CODE 9 |
| | B) TYPE OF MEMBRANE- NONE | CODE 0 |
| | C) TYPE OF DECK PROTECTION- NONE | CODE 0 |

**********  AGE AND SERVICE  **********

| | | |
|---|---|---|
| (27) | YEAR BUILT | 1932 |
| (106) | YEAR RECONSTRUCTED | 0000 |
| (42) | TYPE OF SERVICE: ON- HIGHWAY-PEDESTRIAN | 5 |
| | UNDER- WATERWAY | 5 |
| (28) | LANES:ON STRUCTURE 04 UNDER STRUCTURE | 00 |
| (29) | AVERAGE DAILY TRAFFIC | 25000 |
| (30) | YEAR OF ADT 2012 (109) TRUCK ADT | 30 % |
| (19) | BYPASS, DETOUR LENGTH | 2 KM |

**********  GEOMETRIC DATA  **********

| | | |
|---|---|---|
| (48) | LENGTH OF MAXIMUM SPAN | 43.6 M |
| (49) | STRUCTURE LENGTH | 89.9 M |
| (50) | CURB OR SIDEWALK: LEFT 1.3 M | RIGHT 1.6 M |
| (51) | BRIDGE ROADWAY WIDTH CURB TO CURB | 21.8 M |
| (52) | DECK WIDTH OUT TO OUT | 24.7 M |
| (32) | APPROACH ROADWAY WIDTH (W/SHOULDERS) | 19.8 M |
| (33) | BRIDGE MEDIAN- CLOSED NON-MOUNTABLE | 3 |
| (34) | SKEW 0 DEG (35) STRUCTURE FLARED | NO |
| (10) | INVENTORY ROUTE MIN VERT CLEAR | 5.69 M |
| (47) | INVENTORY ROUTE TOTAL HORIZ CLEAR | 15.1 M |
| (53) | MIN VERT CLEAR OVER BRIDGE RDWY | 5.69 M |
| (54) | MIN VERT UNDERCLEAR REF- NOT H/RR | 0.00 M |
| (55) | MIN LAT UNDERCLEAR RT REF- NOT H/RR | 0.0 M |
| (56) | MIN LAT UNDERCLEAR LT | 0.0 M |

**********  NAVIGATION DATA  **********

| | | |
|---|---|---|
| (38) | NAVIGATION CONTROL- BR PERMIT REQ | CODE 1 |
| (111) | PIER PROTECTION- FUNCTIONING | CODE 2 |
| (39) | NAVIGATION VERTICAL CLEARANCE | 0.1 M |
| (116) | VERT-LIFT BRIDGE NAV MIN VERT CLEAR | M |
| (40) | NAVIGATION HORIZONTAL CLEARANCE | 31.4 M |

**********

| | |
|---|---|
| SUFFICIENCY RATING = | 33.3 |
| STATUS | STRUCTURALLY DEFICIENT |
| HEALTH INDEX | 77.0 |
| PAINT CONDITION INDEX = | 66.6 |

**********  CLASSIFICATION  ********** CODE

| | | |
|---|---|---|
| (112) | NBIS BRIDGE LENGTH- YES | Y |
| (104) | HIGHWAY SYSTEM- ROUTE ON NHS | 1 |
| (26) | FUNCTIONAL CLASS- OTHER PRIN ART URBAN | 14 |
| (100) | DEFENSE HIGHWAY- NOT STRAHNET | 0 |
| (101) | PARALLEL STRUCTURE- NONE EXISTS | N |
| (102) | DIRECTION OF TRAFFIC- 2 WAY | 2 |
| (103) | TEMPORARY STRUCTURE- | |
| (105) | FED.LANDS HWY- NOT APPLICABLE | 0 |
| (110) | DESIGNATED NATIONAL NETWORK - NOT ON NET | 0 |
| (20) | TOLL- ON FREE ROAD | 3 |
| (21) | MAINTAIN- COUNTY HIGHWAY AGENCY | 02 |
| (22) | OWNER- COUNTY HIGHWAY AGENCY | 02 |
| (37) | HISTORICAL SIGNIFICANCE- ELIGIBLE | 2 |

**********  CONDITION  ********** CODE

| | | |
|---|---|---|
| (58) | DECK | 6 |
| (59) | SUPERSTRUCTURE | 3 |
| (60) | SUBSTRUCTURE | 7 |
| (61) | CHANNEL & CHANNEL PROTECTION | 8 |
| (62) | CULVERTS | N |

*********  LOAD RATING AND POSTING  *********  CODE

| | | |
|---|---|---|
| (31) | DESIGN LOAD- UNKNOWN | 0 |
| (63) | OPERATING RATING METHOD- LOAD FACTOR | 1 |
| (64) | OPERATING RATING- | 24.5 |
| (65) | INVENTORY RATING METHOD- LOAD FACTOR | 1 |
| (66) | INVENTORY RATING- | 16.3 |
| (70) | BRIDGE POSTING- EQUAL TO OR ABOVE LEGAL LOADS | 5 |
| (41) | STRUCTURE OPEN, POSTED OR CLOSED- | A |
| | DESCRIPTION- OPEN, NO RESTRICTION | |

**********  APPRAISAL  ********** CODE

| | | |
|---|---|---|
| (67) | STRUCTURAL EVALUATION | 3 |
| (68) | DECK GEOMETRY | 9 |
| (69) | UNDERCLEARANCES, VERTICAL & HORIZONTAL | N |
| (71) | WATER ADEQUACY | 8 |
| (72) | APPROACH ROADWAY ALIGNMENT | 6 |
| (36) | TRAFFIC SAFETY FEATURES | 0000 |
| (113) | SCOUR CRITICAL BRIDGES | 5 |

**********  PROPOSED IMPROVEMENTS  **********

| | | |
|---|---|---|
| (75) | TYPE OF WORK- REPLACE FOR DEFICIENC | CODE 31 |
| (76) | LENGTH OF STRUCTURE IMPROVEMENT | 89.9 M |
| (94) | BRIDGE IMPROVEMENT COST | $5,094,500 |
| (95) | ROADWAY IMPROVEMENT COST | $1,018,900 |
| (96) | TOTAL PROJECT COST | $8,558,760 |
| (97) | YEAR OF IMPROVEMENT COST ESTIMATE | 2010 |
| (114) | FUTURE ADT | 36064 |
| (115) | YEAR OF FUTURE ADT | 2034 |

**********  INSPECTIONS  **********

| | | | | | |
|---|---|---|---|---|---|
| (90) | INSPECTION DATE 12/12 | (91) FREQUENCY | 24 | MO | |
| (92) | CRITICAL FEATURE INSPECTION: | | (93) CFI DATE | | |
| A) | FRACTURE CRIT DETAIL- YES | 24 | MO | A) | 10/11 |
| B) | UNDERWATER INSP- YES | 60 | MO | B) | 06/10 |
| C) | OTHER SPECIAL INSP- NO | | MO | C) | |

# CHANNEL STREET WATERWAY-3RD ST

S OF BERRY ST | 12/19/2012 [AAAR] | 34C0025

102 - PHOTO-Deck-Damage/Deterioration

Photo No. 1

Spalling curb areas, typical

102 - PHOTO-Deck-Damage/Deterioration

Photo No. 2

Spalling curb areas, typical

103 - PHOTO-Deck-Details

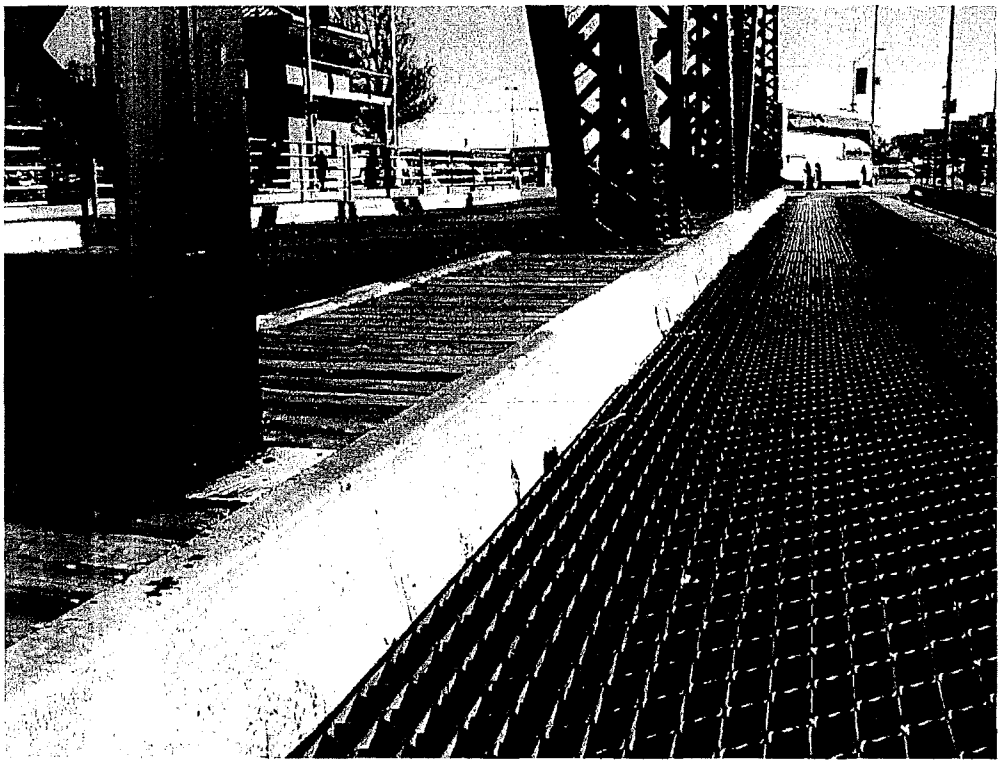


Photo No. 3

Rrepaired spalled curb areas, typical

107 - PHOTO-Super-Damage/Deteroration

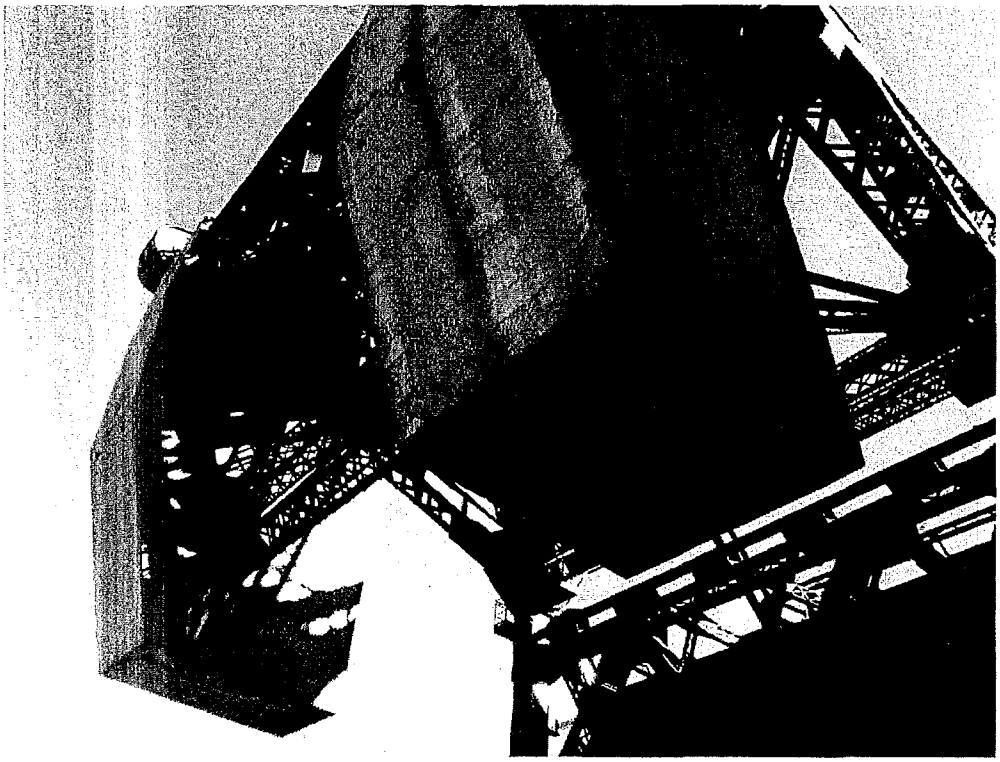


Photo No. 4

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

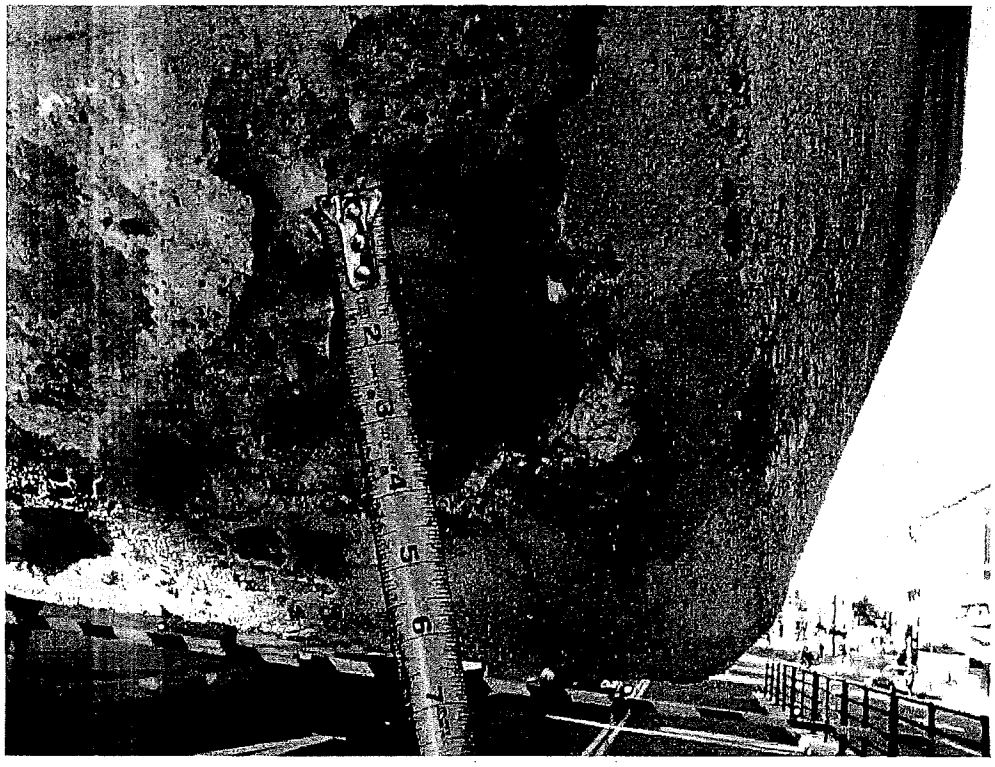


Photo No. 5

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

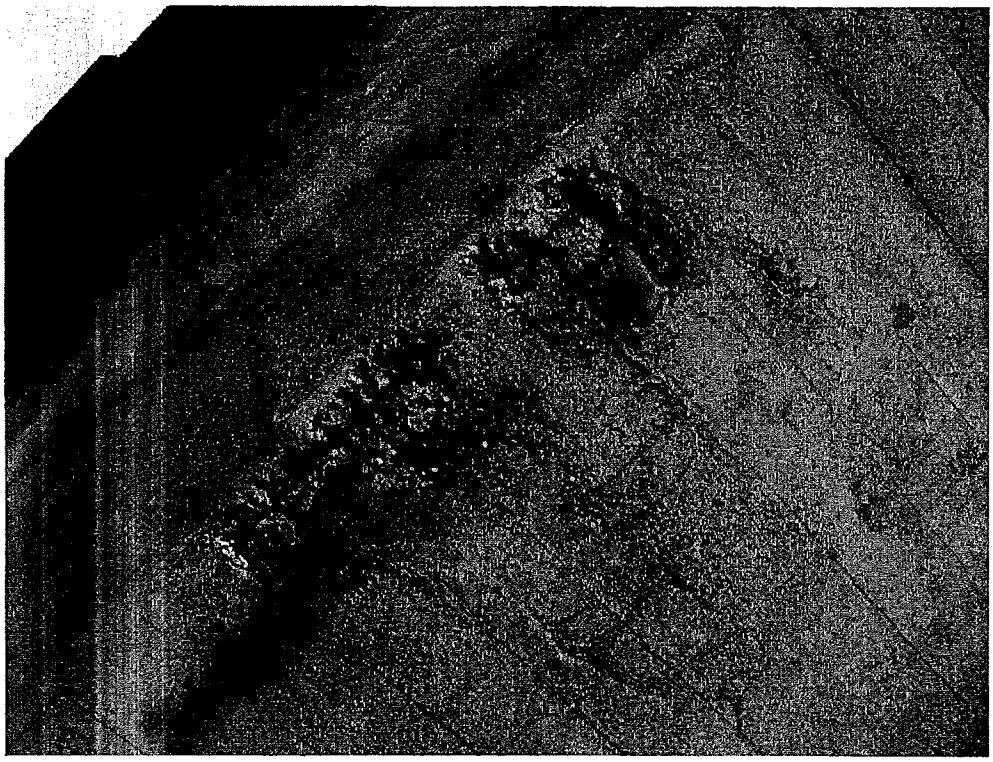


Photo No. 6

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

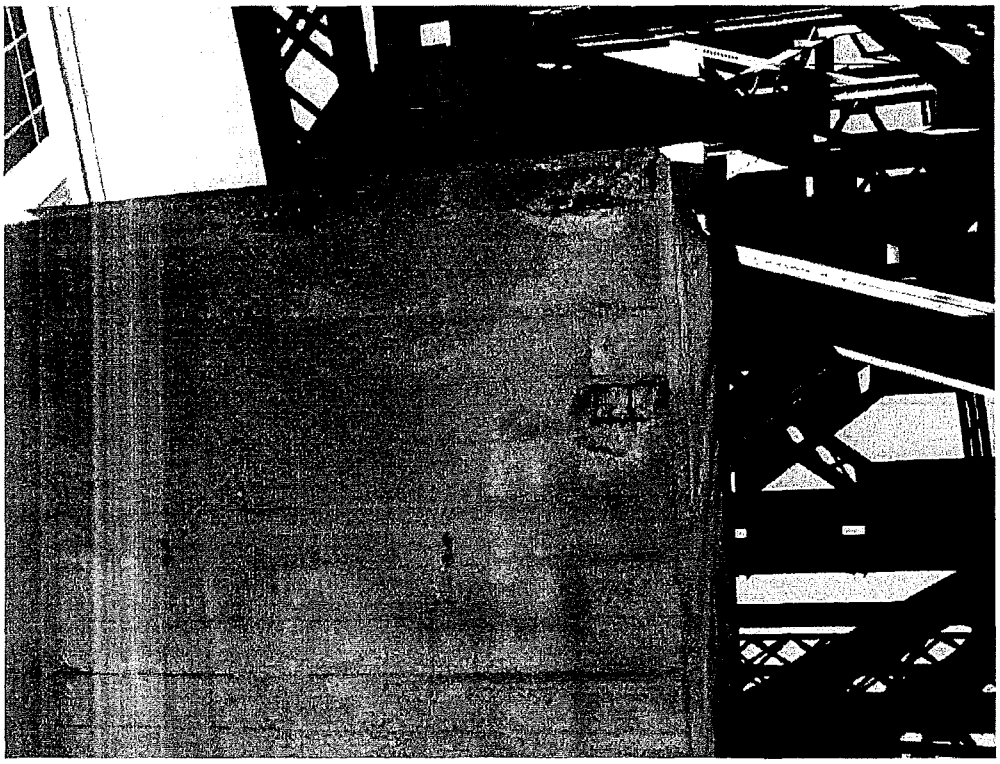


Photo No. 7

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

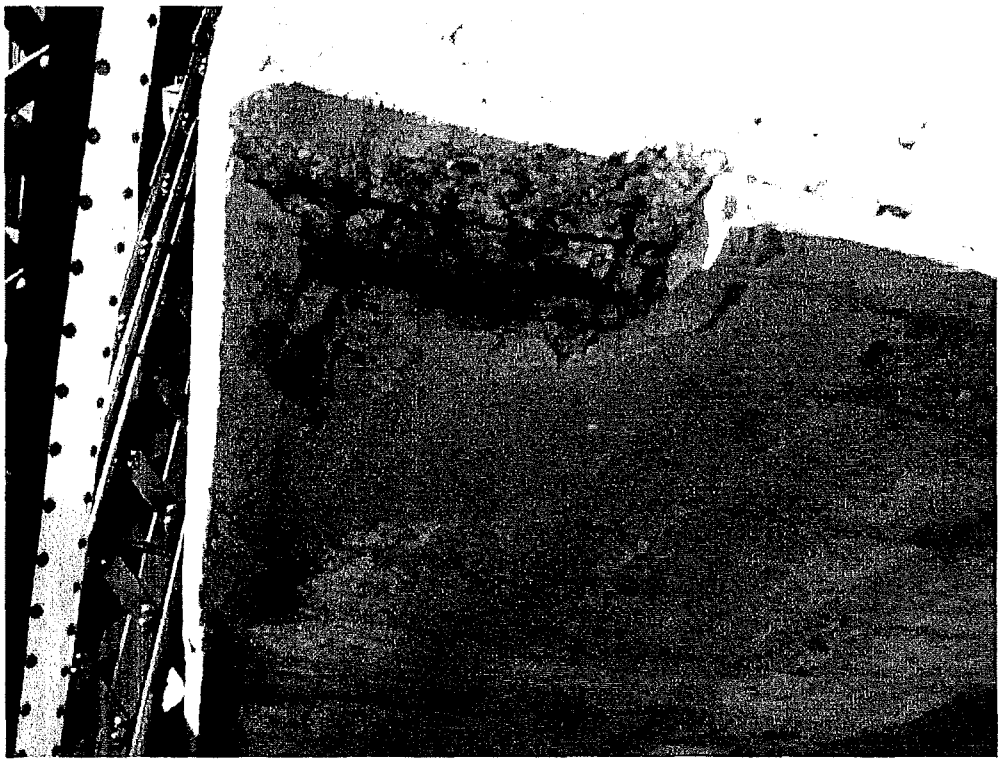


Photo No. 8

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

Photo No. 9

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

Photo No. 10

Cracking and spalling on the above ground counterweights, typical

107 - PHOTO-Super-Damage/Deteroration

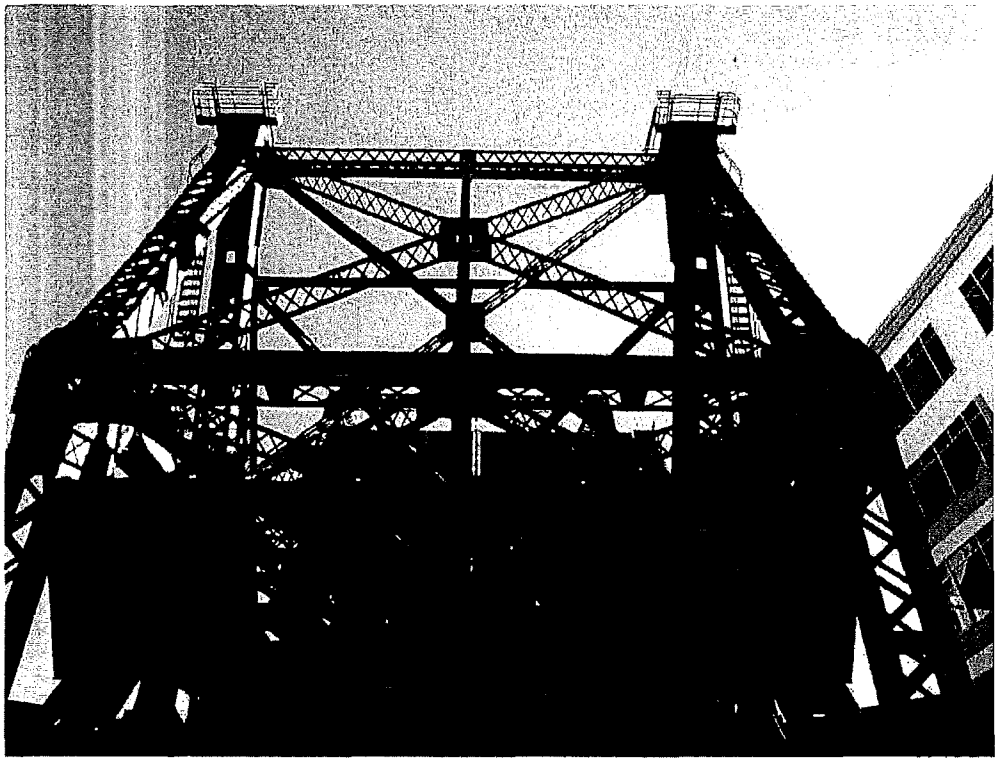


Photo No. 11

Top corroding surface of the counterweight trunion portion of the truss, typical

107 - PHOTO-Super-Damage/Deteroration

Photo No. 12

Top corroding surface of the counterweight trunion portion of the truss, typical

104 - PHOTO-Deck-Unusual Conditions

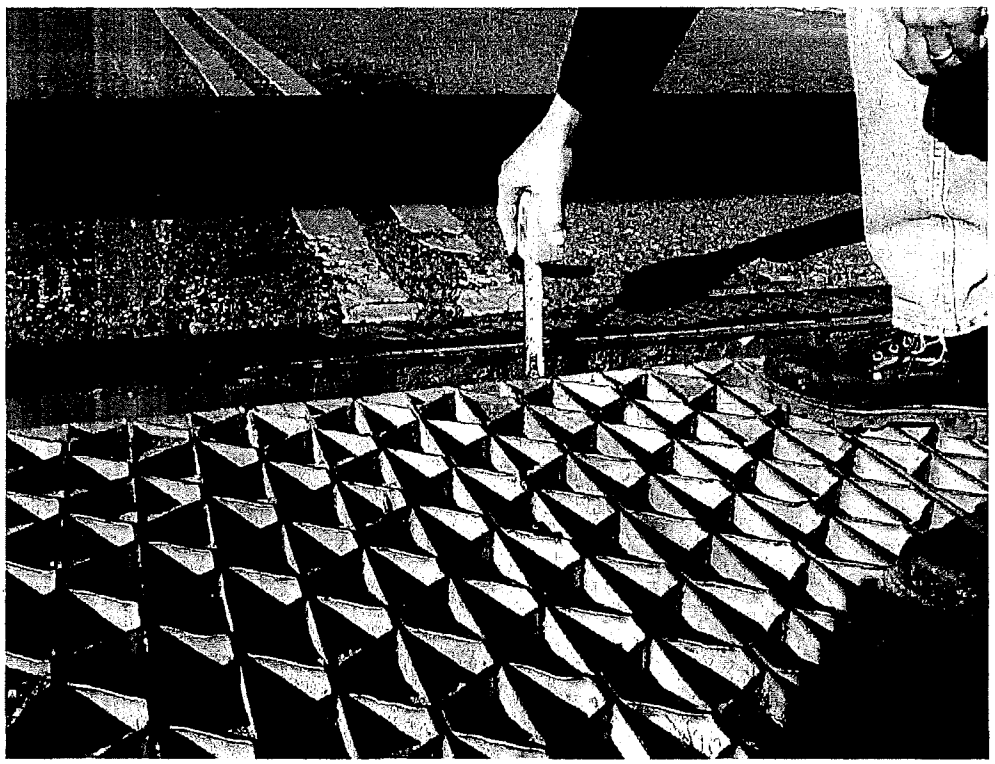


Photo No. 13

Vertical offset at Pier 2

104 - PHOTO-Deck-Unusual Conditions

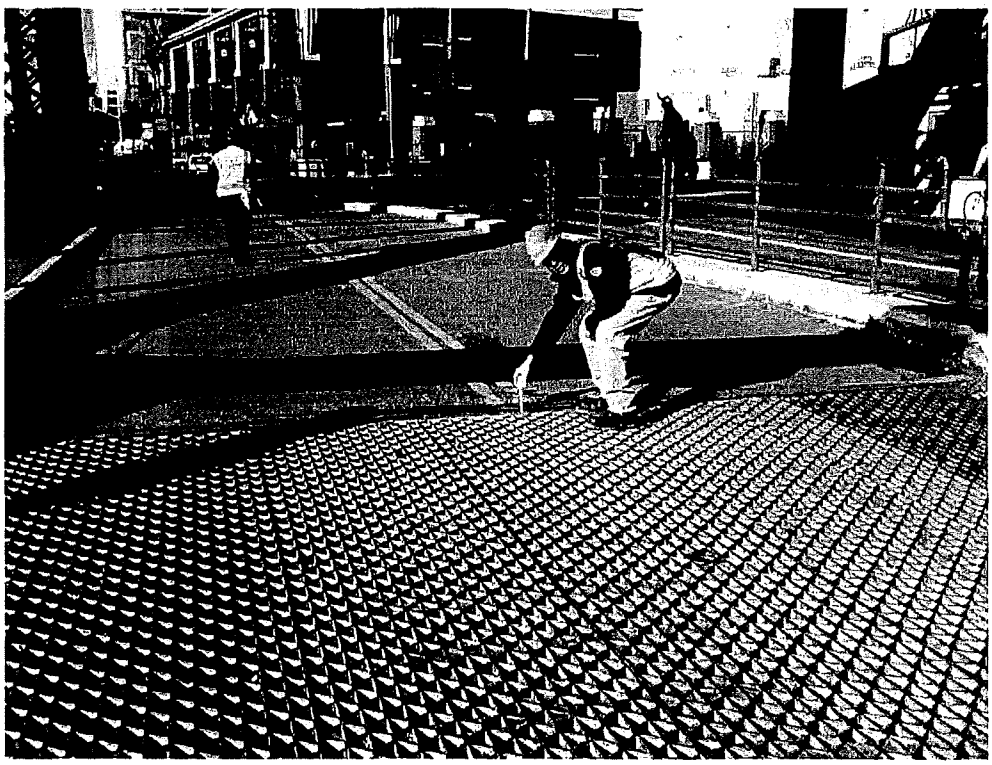


Photo No. 14

Vertical offset at Pier 2

107 - PHOTO-Super-Damage/Deteroration

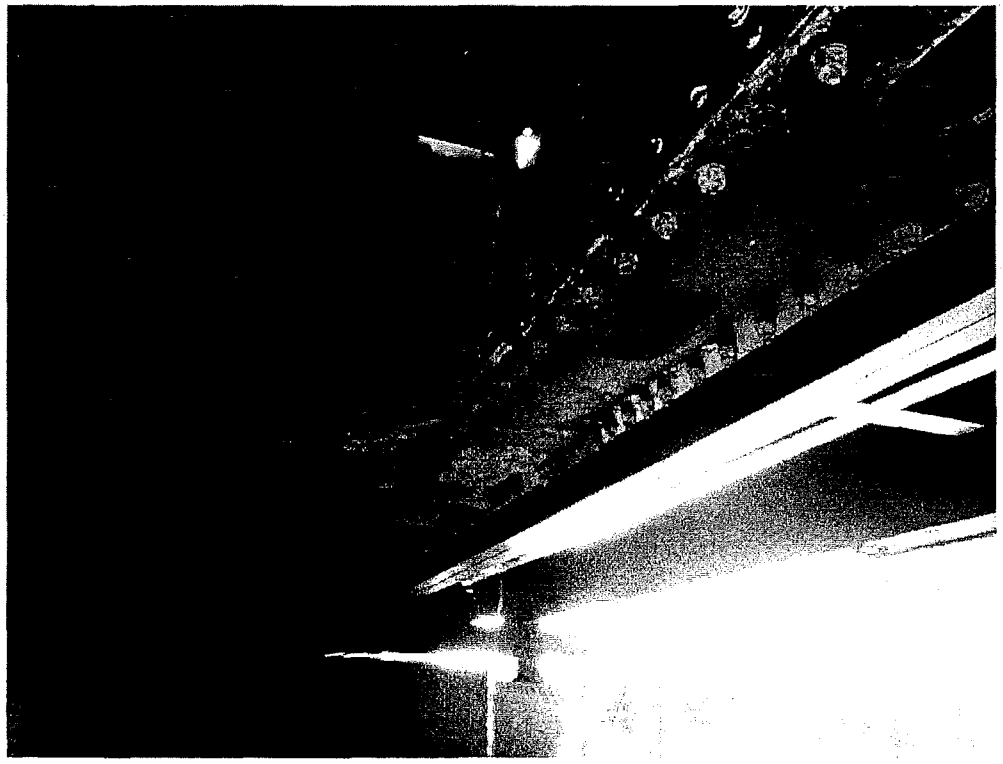


Photo No. 15

General distress to the underside of the superstructure lift span, typical

107 - PHOTO-Super-Damage/Deteroration

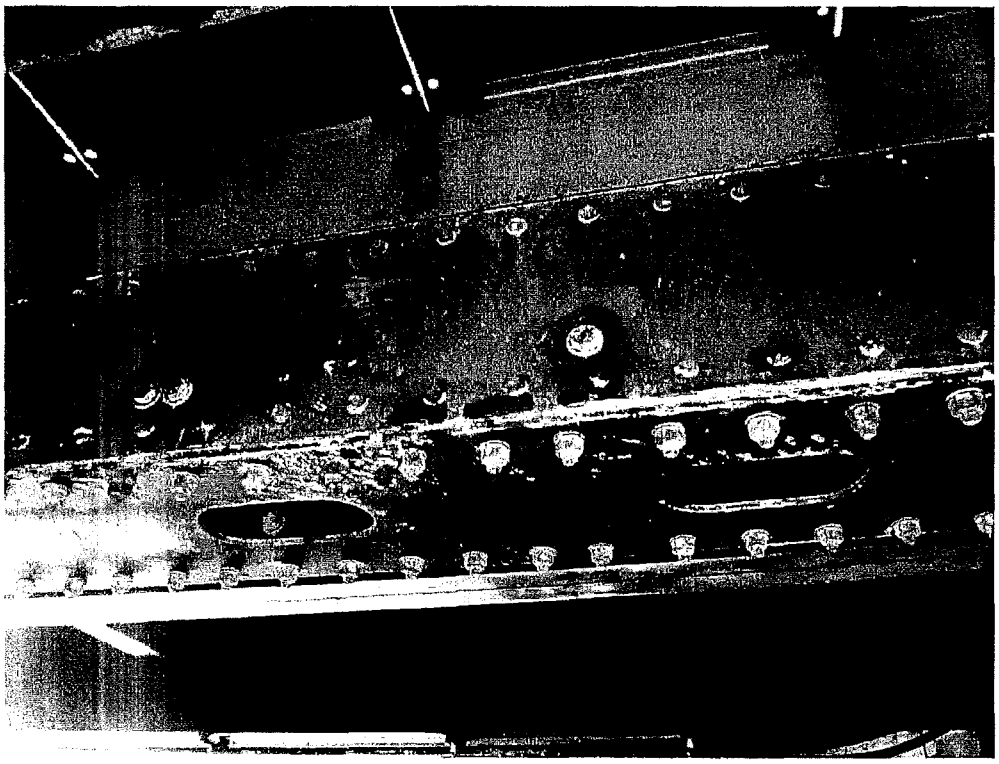


Photo No. 16

General distress to the underside of the superstructure lift span, typical

107 - PHOTO-Super-Damage/Deteroration

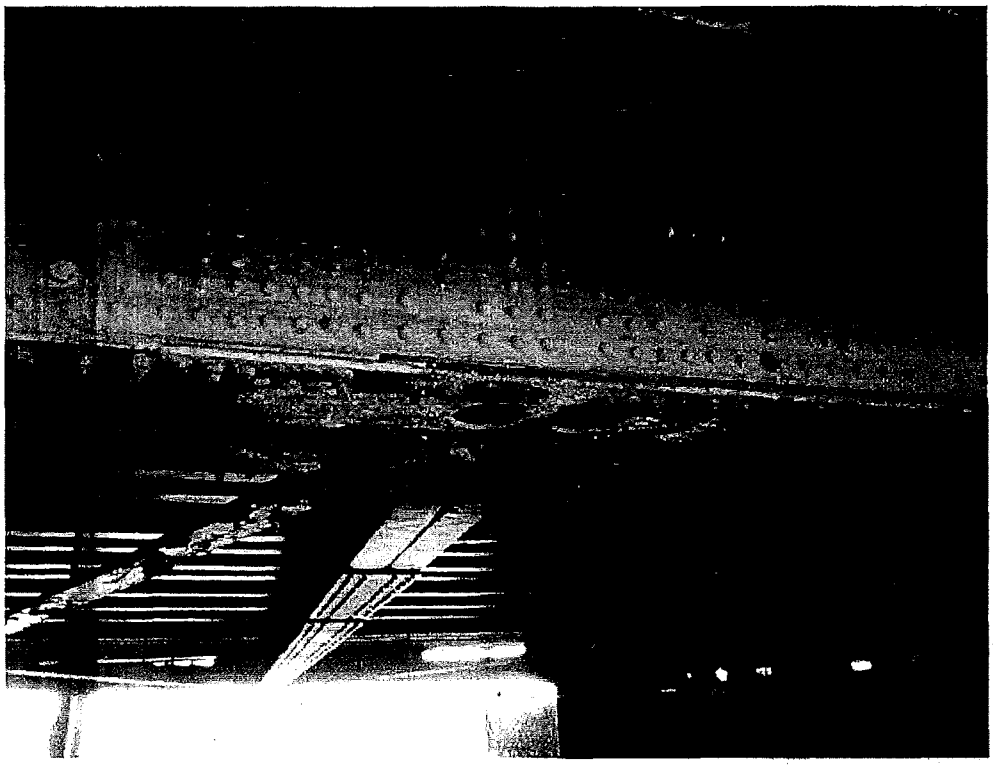


Photo No. 17

General distress to the underside of the superstructure lift span, typical

107 - PHOTO-Super-Damage/Deteroration

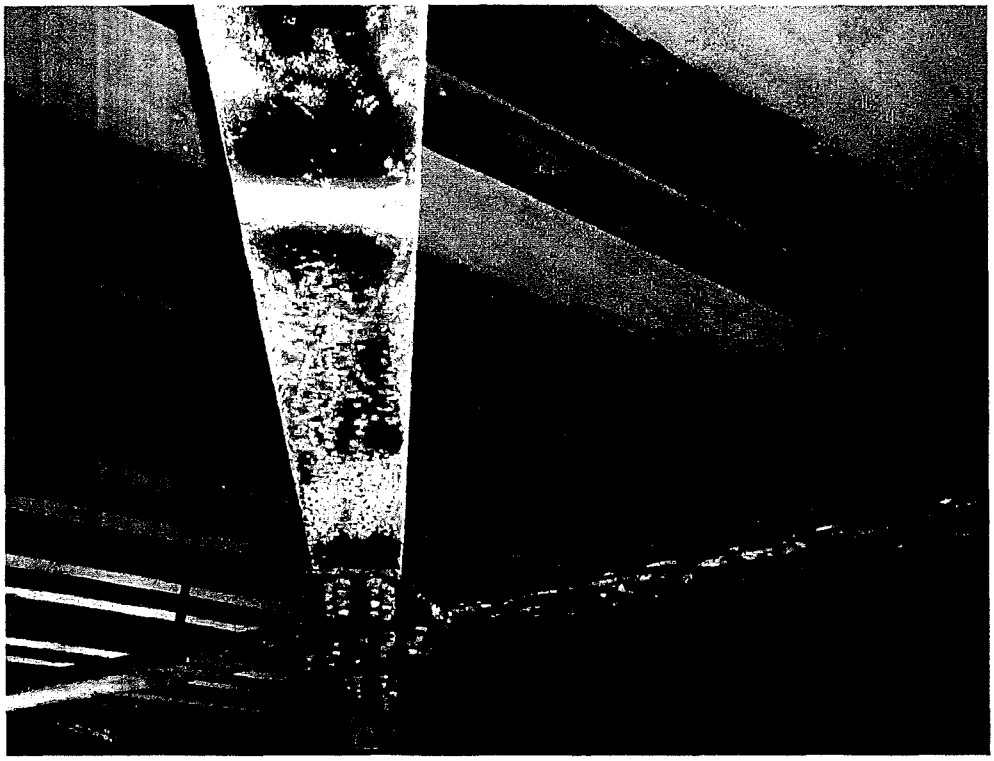


Photo No. 18

General distress to the underside of the superstructure lift span, typical

107 - PHOTO-Super-Damage/Deteroration

Photo No. 19

Distress and deterioration to the left bottom flange at Pier 3

107 - PHOTO-Super-Damage/Deteroration

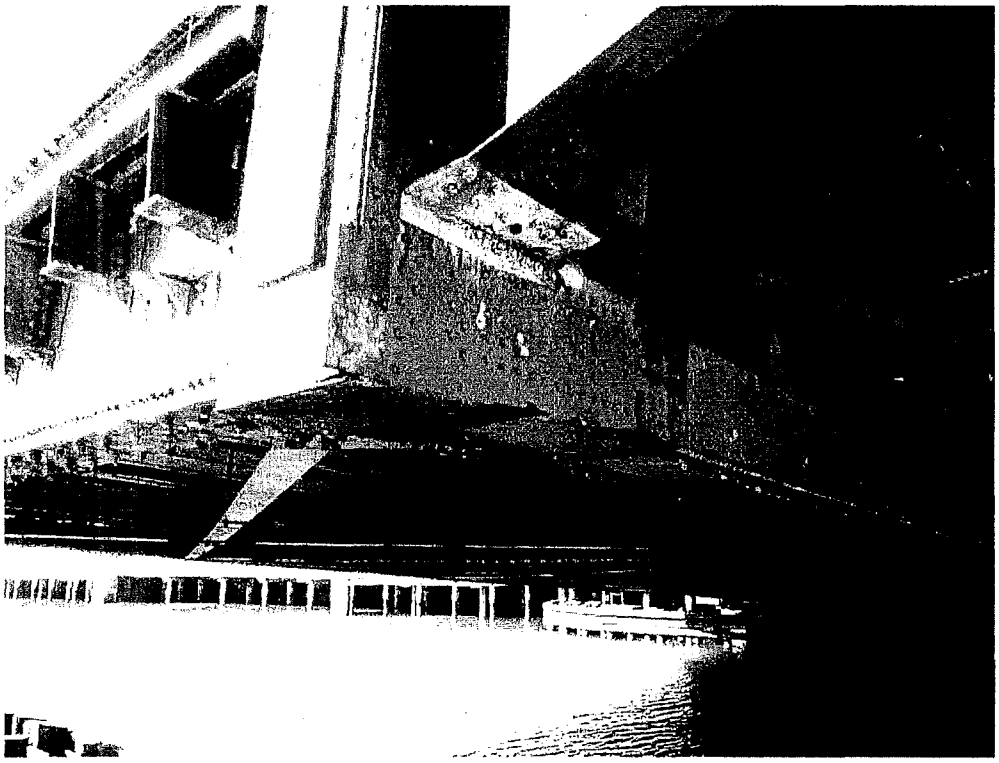


Photo No. 20

Distress and deterioration to the left bottom flange at Pier 3

DEPARTMENT OF TRANSPORTATION
Structure Maintenance & Investigations

Bridge Number : 34C0025
Facility Carried: THIRD ST
Location : S OF BERRY ST
City : SAN FRANCISCO
Inspection Date : 11/26/2013

# Bridge Inspection Report

Inspection Type

| Routine | FC | Underwater | Special | Other |
|---|---|---|---|---|
| | X | | | |

**STRUCTURE NAME:** CHANNEL STREET WATERWAY-3RD ST

## CONSTRUCTION INFORMATION

Year Built : 1932
Year Widened: N/A
Length (m) : 89.9

Skew (degrees): 0
No. of Joints : 2
No. of Hinges : 0

Structure Description: 7 Spans
Main spans (1&2):
Single leaf Bascule riveted steel through truss with a RC deck (Span 1) and a steel grid deck (Span 2). The bents (Piers 1-3) are RC (2) columns on RC caps on timber piles.
Approach spans (3-7): RC deck on RC caps, steel seismic piles (P4-9, P5-11, P6-8, P7-8), RC abutment founded on timber piles.

Span Configuration : 1 @ 56 ft 6 in, 1 @ 142 ft 3 in, 1 @ 20 ft 6-1/2 in, 3 @ 19 ft, 1 @ 18 ft 2 in

## SAFE LOAD CAPACITY AND RATINGS

Design Live Load: UNKNOWN
Inventory Rating: 16.3 metric tons Calculation Method: LOAD FACTOR
Operating Rating: 24.5 metric tons Calculation Method: LOAD FACTOR
Permit Rating : XXXXX
Posting Load : Type 3: Legal Type 3S2: Legal Type 3-3: Legal

## DESCRIPTION ON STRUCTURE

Deck X-Section: 1.28 m sw, 0.46 m cu, 6.77 m rdwy, 1.4 m med, 15.06 m rdwy, 1.59 m sw
Total Width: 24.7 m Net Width: 21.8 m No. of Lanes: 4 Speed: 25 mph
Min. Vertical Clearance: 5.69 m

Rail Code: 0000

| Rail Type | Location | Length (ft) | Rail Modifications |
|---|---|---|---|
| Pedestrian | Right/Left | 590 | |

## DESCRIPTION UNDER STRUCTURE

Channel Description: Fender protection. Channel bottom silty clay.

## INSPECTION COMMENTARY

NOMENCLATURE

The support identification and numbering system used on the 1998 as-built plans is reversed from the statewide convention employed by Caltrans Structure Maintenance and Investigations. This report uses the statewide convention identification system. For local agency bridges, the supports are numbered from south to north, Thus, the beginning of the bridge is at the south abutment, designated as Abutment 1. The right or left truss is designated while facing north.

SCOPE AND ACCESS

A fracture critical inspection was performed on 10/18/2011 and 11/26/2011 by Chaz Kussoy, Jason Crispi and Allan Lee from the Office of Specialty Investigations and Bridge

## INSPECTION COMMENTARY

Management.

Access was provided by a rented 80 foot aerial lift for the upper chords and other truss members. A kayak provided the access for the lower chords and floor beams. Lane closures were provided by the San Francisco County bridge maintenance workers.

The investigation was conducted according to the Fracture Critical Member Inspection Plan, dated 11/07/2007.

SUPERSTRUCTURE

A hands-on visual inspection in Spans 6 & 7 was performed on: (i) the upper and lower chord, diagonal and vertical tension members of the left and right truss, (ii) the end connections of the floor beams and the tension stress areas of the floor beams and (iii) the pins. No fractures or cracks were found.

Previously reported pack rust including popped rivets, and section loss found at the east and west vertical gussets joining Bottom Chord Member 0-2 to Diagonal Member 0-1 at Joint 0 in Span 6 were still present.

More details are listed in the Steel Element NDT Inspection table below.

MISCELLANEOUS

Many of the stair tread support brackets going up to joint 18 on the left truss are cracked, broken or missing and presents an unsafe condition.

RECOMMENDATIONS

Use needle gun to remove pack rust between the plates at Joint 0 on the right truss. Remove fragments of the 4 broken rivets, clean hole edges and replace broken rivets with equal diameter galvanized bolts washers and nuts. Paint exposed edges of bolts, washers and nuts.

Replace deficient and missing stair support brackets at the left truss between Joint 17 to Joint 18. Use galvanized steel and paint all exposed surfaces.

## STEEL INVESTIGATIONS

This structure qualifies for an in-depth Steel investigation because it possesses the following fracture critical or fatigue prone details :

Floor Beams: FC Members,
Truss: FC Members

Fracture Critical: Yes  Inspection Freq.: 24  Next Inspection: 11/26/2015

**Steel Element NDT Inspection**

| Span | Girder | Bay | Element | Method | Inspection Result |
|---|---|---|---|---|---|
| 6 & 7 | | | LTM | VT | Previously reported left truss member 0-1 has dents in the bottom and top flange. Member 1-3 has minor pitting of the top plate up to 1/8" deep. Member 19-20 has up to 3/16" pack rust at the side plate. Member 18-19 has bent lacing bars. |
| 6 & 7 | | | LOS | VT | Previously reported light surface corrosion on top |

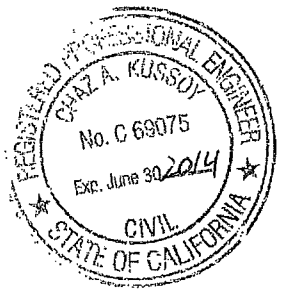
| Span | Girder | Bay | Element | Method | Inspection Result |
|---|---|---|---|---|---|
| | | | | | of left operating strut |
| 6 & 7 | | | LTJ | VT | There is surface corrosion, and section loss at the vertical gussets and rivets at Joint 0 joining Bottom Chord Member 0-2 to Diagonal Member 0-1. There are areas of complete section loss of the gusset plate where it extends below the bottom chord. |
| 6 & 7 | | | RTM | VT | Previously reported right truss member 18-19 has corrosion at the interior spreaders |
| 6 & 7 | | | RTJ | VT | There is surface corrosion, pack rust and section loss at the vertical gusset joining Right Truss Bottom Cord Member 0-2 to Diagonal Member 0-1 at Joint 0. A column of 4 rivets have broken off due to pack rust between the gusset and the member. There are areas of complete section loss in the gusset plate below the bottom chord and partial section loss of approximately 1/4" (6 mm) at the north side of the gusset. Previously reported pack rust and corrosion at interior spreaders of joint 19 |
| 6 & 7 | | | ROS | VT | Previously reported standing water present inside the right operating strut with surface corrosion on the bottom flange and bottom and side rivet heads. |
| 6 & 7 | | | FB | VT | Pack rust at gussets joining Floor Beam 6 to intermediate diagonal braces up to 3/8" (9 mm) typical. |

LTM = Left Truss Members, LTJ = Left Truss Joints, RTM = Right Truss Members, RTJ = Right Truss Joints, FB = Floor Beam, LOS = Left Operating Strut, ROS = Right Operating Strut, VT = Visual Testing

Team Leader : Allan K. Lee

Report Author : Allan K. Lee

Inspected By : AK.Lee/J.Crispi




5/12/2014

Chaz Kussoy (Registered Civil Engineer) (Date)

# CHANNEL STREET WATERWAY-3RD ST

S OF BERRY ST | 11/26/2013 [AAAS] | 34C0025

110 - PHOTO-Super-Misc.

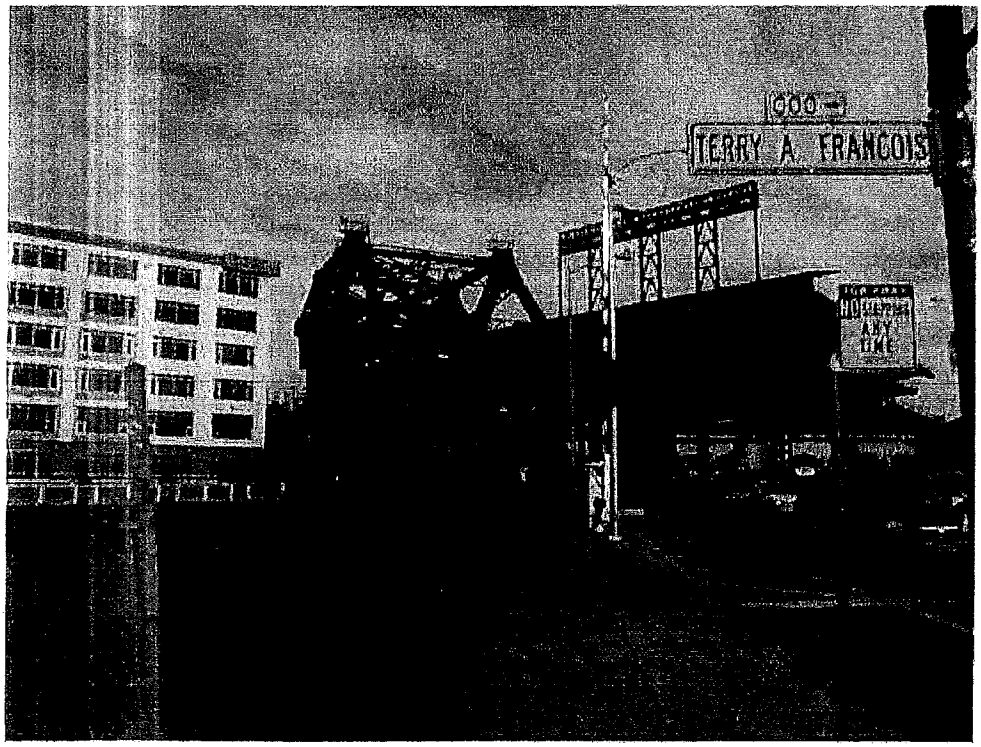



**Photo No. 1**

**Photo 1 (Batch 27675) General picture of the bridge**

107 - PHOTO-Super-Damage/Deteroration

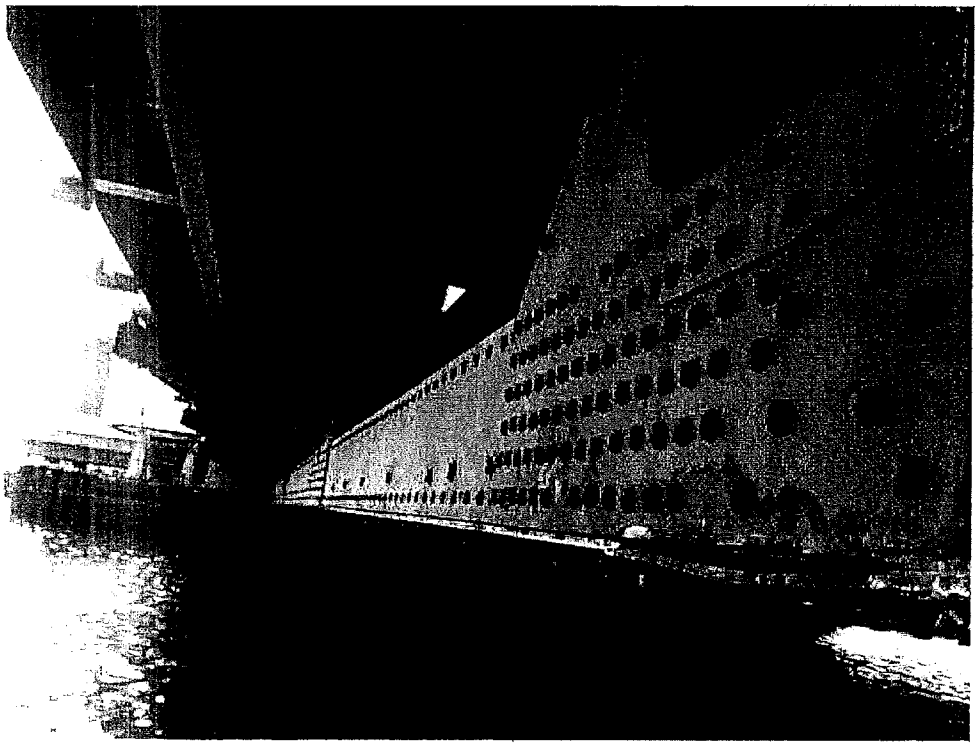


**Photo No. 2**

**Photo 2 (Batch 27675) Pack rust on the right bottom chord**

**DEPARTMENT OF TRANSPORTATION**
Structure Maintenance & Investigations

**Bridge Number : 34C0025**
Facility Carried: THIRD ST
Location : S OF BERRY ST
City : SAN FRANCISCO
Inspection Date : 05/10/2010

# Bridge Inspection Report

Inspection Type

| Routine | FC | Underwater | Special | Other |
|---|---|---|---|---|
| ☐ | ☐ | ☐ | ☐ | ☒ |

**STRUCTURE NAME: CHANNEL STREET WATERWAY-3RD ST**

## CONSTRUCTION INFORMATION

Year Built : 1932
Year Widened: N/A
Length (m) : 89.9

Skew (degrees): 0
No. of Joints : 0
No. of Hinges : 0

Structure Description: 7 Spans:
Approach spans (1 to 5): RC slab on CISS pile bents and a RC abutment on timber piles.
Main spans (6 and 7): Single leaf bascule riveted steel through truss with a steel grid deck. The substructures are RC piers on timber piles.

Span Configuration : 5.54 m, 3 @ 5.79 m, 6.26 m, 43.36 m, 16.00 m

## LOAD CAPACITY AND RATINGS

Design Live Load: OTHER OR UNKNOWN
Inventory Rating: 16.3 metric tonnes Calculation Method: LOAD FACTOR
Operating Rating: 24.5 metric tonnes Calculation Method: LOAD FACTOR
Permit Rating : XXXXX
Posting Load : Type 3: Legal Type 3S2: Legal Type 3-3: Legal

## DESCRIPTION ON STRUCTURE

Deck X-Section: 1.28 m sw, 0.46 m cu, 6.77 m rdwy, 1.4 m med, 15.06 m rdwy, 1.59 m sw
Total Width: 24.7 m Net Width: 21.8 m No. of Lanes: 4
Rail Description: Metal Pipe Rail Code : 0000
Min. Vertical Clearance: 5.690

## DESCRIPTION UNDER STRUCTURE

Channel Description: Timber fender piles protect main channel otherwise unlined.

## CONDITION TEXT

HISTORY

No major hydraulic problems pertaining to scour have been noted in previous bridge reports.

REVISION

The National Bridge Inventory (NBI) Item 113 Code is revised from U to 5.

SCOUR

This report addresses hydraulic issues only. The structure's scour potential has been assessed in accordance with the FHWA Technical Advisory T5140.23, "Evaluating Scour at Bridges". The NBI Item 113 Code, "Vulnerability to Scour", is changed to 5: "Bridge foundations determined to be stable for assessed or calculated scour conditions; Scour is determined to be within the limits of footing or piles by calculations or assessment".

Structures Hydraulics conducted a field review on the subject bridge on 5-10-2010 in a response from the local agency who supplied this office with foundation retrofit as-built

CONDITION TEXT

plans in the Fall of 2009.

During the field investigation there was stagnant water that measured approximately 4.6 meters in maximum depth. An upstream (westerly side of bridge) channel cross section was taken (attached). Comparison of this cross section with a documented as-built plan for fender repairs from 1973 indicate that the channel may have aggraded by as much 3.3 meters.

The channel banks appeared to be in good condition and the channel was well aligned with the bridge opening. No apparent scour was noted however, due to the constant water level, a complete investigation of the substructure was limited.

The retrofit as-builts indicate that extensive foundation work was recently completed at the site. Given this information and the relative stability at the site, the bridge is seen as having very little scour potential.

MISCELLANEOUS

The stationing used to identify the bridge piers in this report was taken from the 1998 Seismic Retrofit plans - Pier 1 was the north abutment.

CHANNEL X-SECTION

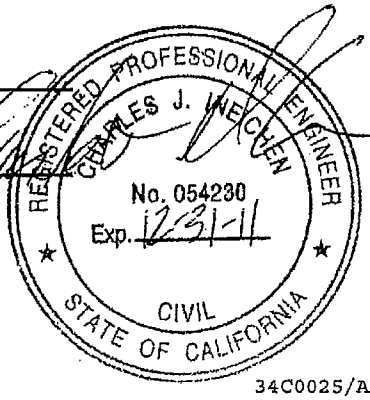
Side : Upstream X-Section Date: 05/10/2010

Measured From : top of sidewalk

| Location | Horiz(m) | Vert(m) | Comments |
|---|---|---|---|
| Pier 2 (north) | 0.00 | 6.00 | CL P2 - (Abut 1 obstructed by sidewalk) |
| | | 7.40 | north side of north fender |
| | | 7.90 | south side of north fender |
| | | 8.70 | 14.4m (47ft) from CL P2 |
| | | 9.45 | 21.5m (71ft) from CL P2 |
| | | 9.45 | 28.8m (94ft) from CL P2 |
| | | 7.70 | north side of south fender |
| | | 7.30 | south side of south fender |
| | | 6.40 | CL Pier 3 |
| | | 6.00 | CL Pier 4 |
| | | 4.70 | CL Pier 5 |
| | | 3.60 | CL Pier 6 |
| | | 1.50 | CLPier 7 (Abut 8 obstructed by sidewalk) |
| | | | upstream considered west side. |

Inspected By : Charles Ineichen

Registered Civil Engineer




Printed on: Thursday 05/20/2010 11:25 AM

34C0025/AAAN/18574

DEPARTMENT OF TRANSPORTATION
Structure Maintenance & Investigations

**Bridge Number : 34C0025**
Facility Carried: THIRD ST
Location : S OF BERRY ST
City : SAN FRANCISCO
Inspection Date : 11/14/2013

# Bridge Inspection Report

Inspection Type

| Routine | FC | Underwater | Special | Other |
|---|---|---|---|---|
| | | X | | |

**STRUCTURE NAME: CHANNEL STREET WATERWAY-3RD ST**

## CONSTRUCTION INFORMATION

Year Built : 1932
Year Widened: N/A
Length (m) : 89.9

Skew (degrees): 0
No. of Joints : 2
No. of Hinges : 0

Structure Description: 7 Spans
Main spans (1&2):
Single leaf Bascule riveted steel through truss with a RC deck (Span 1) and a steel grid deck (Span 2). The bents (Piers 1-3) are RC (2) columns on RC caps on timber piles.
Approach spans (3-7): RC deck on RC caps, steel seismic piles (P4-9, P5-11, P6-8, P7-8), RC abutment founded on timber piles.

Span Configuration : 1 @ 56 ft 6 in, 1 @ 142 ft 3 in, 1 @ 20 ft 6-1/2 in, 3 @ 19 ft, 1 @ 18 ft 2 in

## SAFE LOAD CAPACITY AND RATINGS

Design Live Load: UNKNOWN
Inventory Rating: 16.3 metric tons Calculation Method: LOAD FACTOR
Operating Rating: 24.5 metric tons Calculation Method: LOAD FACTOR
Permit Rating : XXXXX
Posting Load : Type 3: Legal Type 3S2: Legal Type 3-3: Legal

## DESCRIPTION ON STRUCTURE

Deck X-Section: 1.28 m sw, 0.46 m cu, 6.77 m rdwy, 1.4 m med, 15.06 m rdwy, 1.59 m sw
Total Width: 24.7 m Net Width: 21.8 m No. of Lanes: 4 Speed: 25 mph
Min. Vertical Clearance: 5.69 m

Rail Code: 0000

| Rail Type | Location | Length (ft) | Rail Modifications |
|---|---|---|---|
| Pedestrian | Right/Left | 590 | |

## DESCRIPTION UNDER STRUCTURE

Channel Description: Fender protection. Channel bottom silty clay.

## INSPECTION COMMENTARY

SCOPE AND ACCESS

On November 14, 2013, Collins Engineers, Inc. (Collins) performed an underwater inspection of the submerged portions of the 3rd Street Bridge (China Basin), which is Bridge No. 34C0025. The underwater inspection consisted of 100 percent Level I and 10 percent Level II inspections. Above-water elements were inspected only if identified in prior or current project documentation, or if requested by the onsite Caltrans representative. This report details the findings from the inspection. The inspection was performed under the direct supervision of the Dive Supervisor and a registered Professional Engineer in the State of California. The inspection was completed by ADC certified divers. All dive operations were conducted in accordance with Collins' Safe Dive Practices and Decontamination Procedures for Underwater Investigations manuals. Refer to these manuals for details of procedures and equipment used. As per State of California Contract Agreement 56A0197, Mitch Miller, a California Department of Transportation representative, was on-site and performed oversight of the contract dive

INSPECTION COMMENTARY

operations.

Access to the bridge was obtained via a boat launch from a public boat ramp located at the intersection of Mission Bay Boulevard North and Terry A. Francois Boulevard. The ramp is approximately 1.6 km (0.5 mi) southeast of the structure. The bridge's substructure units were completely accessible from the down-channel side of the bridge, thus raising the bride's movable span was not necessary. If it were to be necessary to raise the bridge, however, the bridge tender can be reached at 415-597-7998. The inspection as conducted using a surface-supplied air (SSA) diving setup operated out of a 27-foot Boston Whaler boat. The boat was positioned near the particular unit to be inspected and typically tied-off to the nearest fender system construction during dive operations. The primary diver was able to access all surfaces of the pier with a 300-foot-long umbilical. The backup diver was also equipped with a 300-foot-long umbilical, as well as with all the other SSA equipment to match that of the inspection diver. Prior to the inspection, the on-site Caltrans representative notified the appropriate local agencies (USCG VTC and Caltrans TMC) of Collins' dive inspection presence at the bridge.

Due to the influence of tides, the water elevation, and direction and velocity of flow varied throughout the underwater inspection operation. The bridge is supported by eight substructure units, consisting of Piers 1, 2 and 3, Bents 4 through 7, and Abutment 8. At the time of inspection, Piers 1, 2, 3 and Bents 4 through 6 were located in the water, while Bent 7 and Abutment 8 were located on dry portions of the waterway and were not subject to underwater inspection. Piers 1 through 3 are composed of two rectangular concrete columns, with a buttress wall in between the columns that are founded on timber piles. Bents 4 is compesed of a single row of nine steel shell piles filled with concrete, Bent 5 is composed of a single row of 11 steel shell piles filled with concrete, and Bents 6 and 7 are composed of a single row of eight steel shell piles filled with concrete.

The Collins UWI plan for this structure is dated 11/01/2013.

NUMBERING CONVENTION

The substructure units are numbered in increasing order from north to south, not following standard numbering convention. It follows that Pier 1 is the northern-most substructure unit. The column/pile numbering progresses in increasing order from west to east.

REVISIONS
Element 254, Steel Seismic Column Shell (Full Height), was deleted and replaced with Element 251, Steel Shell Foundation Pile Filled with Concrete, to accurately represent the structure type.

CONDITION:
SUBSTRUCTURE
The submerged surfaces of the substructure units were typically 100 percent covered with a light layer of marine growth, which primarily consisted of small barnacles and algae up to 6-millimeter (1/4-inch) thick. The maximum water depth encountered in the vicinity of the substructure units of the bridge was approximately 5 meters (15 feet) located at the southeast corner of Pier 2.

Based on the National Oceanic and Atmospheric Administration (NOAA) tidal station 9414317 in Rincon Point, Pier 22 1/2, California, the waterline elevation at the time of inspection was approximately 1.2 meters (4 feet) above Mean Lower Low Water (MLLW), the range of water depths at Piers 1, 2 and 3 were as follows. The water depths at the bents are discussed later. The max water depth at Pier 1, at the time of inspection, was approximately 2.4 meter (8 feet), and the minimum water depth was approximately 2.1 meters (7 feet). The max water depth at Pier 2, at the time of inspection, was

INSPECTION COMMENTARY

approximately 4.5 meter (15 feet), and the minimum water depth was approximately 2.4 meters (8 feet). The max water depth at Pier 3, at the time of inspection, was approximately 3.7 meter (12 feet), and the minimum water depth was approximately 3 meters (10 feet).

ELEMENT 205: Reinforced Concrete Column or Pile Extension
In general, the concrete of the pier columns was relatively smooth and sound from the waterline to the channel bottom with minor random areas of section loss along the vertical corners of the columns having typical penetrations of up to 25 millimeters (1 inch). Random 25-millimeter (1-inch) to 76-millimeter (3-inch) horizontal seams (mostly at cold construction joints) were also noted throughout the columns and buttress wall with penetrations into the concrete of up to 152 millimeters (6 inches). Descriptions of specific conditions beyond the typical condition are detailed in the following.

Pier 1: Generally, the columns of Pier 1 were in fair condition, ELI CS 2, with no significant structural defects observed that could adversely affect the bridge. A small cavity in the concrete was encountered, measuring approximately 152 millimeters (6 inches) high, 203 millimeters (8 inches) wide with a max penetration of up to 0.31 meters (12 inches). The buttress wall between the columns was found to exhibit random minor pop-outs (area of poor consolidation) with up to 76 millimeters (3 inches) of penetration.

Pier 2: Generally, the columns of Pier 2 were in fair to poor condition, ELI CS 3, with various structural defects observed that could adversely affect structural integrity. Numerous, random seams were noted along the south and west faces of Column 1 with penetrations of up to 152 millimeters (6 inches), but with no reinforcing steel bars exposed. At the southwest corner of Column 1, an area of greater section loss was noted just off the channel bottom, measuring 0.5 meters (1.5 feet) wide on each side of the corner, up to 0.3 meters (1 foot) high, with a maximum penetration of 0.3 meters (1 foot). This area again exhibited exposed no reinforcing steel bars. Above this area of section loss, between the waterline and 1.2 meters (4 feet) below the waterline, another large area of section loss was encountered measuring approximately 0.31 meters (12 inches) wide by 0.3 meters (12 inches) high with a maximum penetration of up to 152 millimeters (6 inches). Again, no reinforcing steel bars were exposed in this area. There was a horizontal 0.3-meter-high (1-foot) strut that runs north to south, at the north interface between the buttress and Column 1, as well as a small step out from the east face of the column. In and around both of these items and Column 1, there were various horizontal seams of section loss, which varied in size from 0.6 meters (2 feet) to 0.9 meters (3 feet) horizontally, and 152 millimeters (6 inches) to 0.3 meters (12 inches) vertically, with penetrations of up to 0.3 meters (12 inches). One exposed, heavily corroded reinforcing steel bar was noted at the largest seam in this region of the column, which measured approximately 1.2 meters (4 feet) wide and was located approximately 1.8 meters (6 feet) below the waterline.

At the northeast corner of Column 2, random areas of section loss were noted from 1.5 meters (5 feet) below the waterline to 4.3 meters (14 feet) below the waterline, with typical penetrations of up to 152 millimeters (6 inches). The largest void was noted at approximately 2.4 meters (8 feet) below the waterline and measured approximately 0.5 meters (18 inches) high, with a maximum penetration of up to 0.5 meters (18 inches) and with one horizontal reinforcing steel bar exposed. In addition, the concrete inside the void was noted to be softer and could be broken apart at this time with the diver's gloved hand. A 3.6-meter-long (12-foot) horizontal seam of section loss was noted, at a depth of approximately 2.1 meters (7 feet) below the waterline, along the east face of Column 2, that wrapped around the southeast corner and extended approximately 0.3 meters (12 inches) into the south face of the column. This seam measured approximately 0.3 meter (12 inches) high with a maximum penetration of 0.5 meters (18 inches). This area did not have any exposed reinforcing steel bars. The concrete face of Column 2 was found to be delaminating at the southeast corner, with delaminations extending onto the west

INSPECTION COMMENTARY

face approximately 0.9 meters (3 feet), and from the channel bottom up 1.5 meters (5 feet), with the delaminations typically measuring 76 millimeters (3 inches) to 102 millimeters (4 inches) thick. The southwest corner of Column 2 exhibited an area of section loss from the channel bottom up 2.7 meters (9 feet), extending approximately 0.3 meters (12 inches) onto each face from the corner, with a maximum penetration of up to 152 millimeters (6 inches). This area did not have any exposed reinforcing steel bars.

Minor areas of section loss were also noted along the north face of the buttress wall, with penetrations of up to 51 millimeters (2 inches) and with no reinforcing steel bars exposed. Random cracking was noted in the middle third (of overall east/west length) of the south face of the buttress wall, along with a previously repaired crack which appeared to have reopened. Together, all of the cracking had a maximum width of approximately 3 millimeters (1/8 inch), with associated edge spalls having penetrations of 50 millimeters (2 inches) to 76 millimeters (3 inches).

Pier 3: Generally, the columns of Pier 3 were in satisfactory condition, ELI CS 2, with no significant structural defects observed that could adversely affect the Bridge. The concrete of the Pier column, buttress wall and other related construction typically exhibited general concrete conditions similar to Pier 2, but to a less extensive degree with numerous seams of section loss varying with height and penetrations typically ranging from 76 millimeters (3 inches) to 152 millimeters (6 inches). In all instances, there were no reinforcing steel bars exposed in association with the areas of section loss.

ELEMENT 228: Timber Submerged Piles
The timber foundation piles were completely embedded in the channel bottom at the time of inspection and not accessible for inspection.

ELEMENT 251: Steel Shell Foundation Pile Filled with Concrete
Typically, the steel of the steel shell piles filled with concrete were mostly smooth and always sound from the high waterline to the channel bottom with minor random areas of surface corrosion. Descriptions of conditions which deviated from the typical condition are detailed below. Descriptions of specific conditions beyond the typical condition are detailed in the following. No scour was observed at any of the bent piles during the course of the inspection.

Bent 4
Generally, the piles of Bent 4 were in satisfactory condition, ELI CS 2, with no significant structural defects observed that could adversely affect the bridge. The maximum water depth encountered in the vicinity of Bent 4 was approximately 2.4 meters (8 feet) at Pile 1 and the minimum depth was 1.2 meters (4 feet) at Pile 5. These depths are based on a waterline elevation of 3 feet above MLLW from the National Oceanic and Atmospheric Administration (NOAA) tidal station 9414317 in Rincon Point, Pier 22 1/2, California

Bent 5: Generally, the piles of Bent 5 were in satisfactory condition, ELI CS 2, with no significant structural defects observed that could adversely affect the Bridge. The maximum water depth encountered in the vicinity of Bent 5 was approximately 1.5 meters (5 feet) at Pile 1 and the minimum depth was 0.3 meters (1 foot) at Pile 7. These depths are based on a waterline elevation of 3 feet above MLLW from the National Oceanic and Atmospheric Administration (NOAA) tidal station 9414317 in Rincon Point, Pier 22 1/2, California

Bent 6: Generally, the piles of Bent 6 were in satisfactory condition, ELI CS 2, with no significant structural defects observed that could adversely affect the bridge. The maximum water depth encountered in the vicinity of Bent 5 was approximately .3 meters (1 foot) at Pile 1 and the minimum depth was 0.1 meters (0.5 feet) at Pile 6, with Piles 7 and 8 dry at this time. These depth are based on a waterline elevation of 3 feet above

INSPECTION COMMENTARY

MLLW from the National Oceanic and Atmospheric Administration (NOAA) tidal station 9414317 in Rincon Point, Pier 22 1/2, California

Bent 7: Generally, the piles of Bent 6 were in satisfactory condition, ELI CS 2, with no significant structural defects observed that could adversely affect the bridge. All piles of Bent 7 were located on dry land at the time of their inspection, which was at low a low tide condition. The piles of Bent 7 do, however, become submerged during the periods of high tide.

The 5/10/2013 scour investigation for this bridge determined the structure to be stable for assessed or calculated scour conditions. The bridge foundations were determined to be stable for calculated scour, scour within the limits of the piles, and the NBI Item 113 coding, Scour Critical Bridges, was 5. The underwater investigation performed on this date did not find any conditions which contradict that determination.

OTHER:
WATERWAY

The channel bottom in the vicinity of the piers and bents was primarily composed of 0.3-meter -diameter (12-inch) and smaller rocks and course gravel, with random scattered timber and steel formwork at times, allowing minimal probe rod penetrations. Along the north side of Bent 3, however, silty sand was the primary composition of the channel bottom, which allowed probe rod penetrations of up to 76 millimeters (3 inches). The shorelines under the bridge were both armored with riprap measuring up to 0.9 meters (3 feet) in diameter and appear stable.

Prior to this inspection the NBI Item 61, Channel and Channel Protection, rating was 8. The conditions present on the date of this inspection were consistent with that coding.

RECOMMENDATIONS

Overall, Piers 1 through 3 and Bents 4 through 7 were found to be in mostly satisfactory condition, with no defects of structural significance at this time or with any conditions that could adversely affect the bridge. At Pier 2, the overall prevalence and extent of the deterioration was greater, and the pier is only considered to be in poor condition although there is still no major adverse affect on structural integrity. Mostly minor section loss was noted on all of the pier concrete columns, and since no exposed reinforcing steel was typically observed, these defects do not require any corrective action. At Pier 2, however, reinforcing steel bars were exposed at some areas, exhibiting section loss due corrosion. It is recommended that all the areas with exposed reinforcing steel be addressed and repaired to inhibit those areas from progressing and getting worse. In light of the overall size of the pier columns (compared to that of the deterioration) if should not be necessary to fully restore the areas, but rather to just insure that the exposed reinforcing steel bars are covered (patched) and protected from further deterioration. The repair should include thoroughly cleaning each area, in order to remove all unsound concrete and corrosion on the reinforcing steel, and then completely patching each area with epoxy grout, fiber-reinforced concrete, or other suitable marine concrete patch material.

Underwater inspections of the bridge should continue at intervals not to exceed 48 months unless a significant high water/high flow event is experienced, after which, an interim underwater inspection should be conducted if any damage or other detrimental conditions are suspected.

UNDERWATER INVESTIGATION

Next Inspection : 14-NOV-2018 Water Type : 2 - Salt

| | | | |
|---|---|---|---|
| Inspection Freq. | : 60 months | Max. Water Velocity: | 0 mps |
| Dive Type | : B - Routine UW | Max. Water Depth : | 5 m |
| Dive Mode | : D - Surface supplied | Max. Visibility : | .3 m |
| Contractor | : Collins Engineers, Inc. | Water Surface Elev.: | m |
| Contract No. | : 56A0197 | | |
| Supervisor | : Dan Stromberg | Diver | : Dan Stromberg |
| Tender | : Josue Ramirez-Diaz | Backup Diver | : Kurt Lingo |

**SUBSTRUCTURE INVESTIGATED**

| Location | Depth(m) | Vel(mps) | Channel | Substructure Description |
|---|---|---|---|---|
| Pier 1 | 2.4 | 0.0 | Rock and Gravel | RC Pier Wall |
| Pier 2 | 4.6 | 0.0 | Rock and Gravel | RC Pier Wall |
| Pier 3 | 3.7 | 0.0 | Silty Sand | RC Pier Wall |
| Bent 4 | 2.4 | 0.0 | Silty Sand | 8 Steel Piles |
| Bent 5 | 1.5 | 0.0 | Rock | 11 Steel Piles |
| Bent 6 | 0.3 | 0.0 | Rock | 8 Steel Piles |

**ELEMENT INSPECTION RATINGS**

| Elem No. | Element Description | Env | Total Qty | Units | Qty in each Condition State St. 1 | St. 2 | St. 3 | St. 4 | St. 5 |
|---|---|---|---|---|---|---|---|---|---|
| 28 | Steel Deck - Open Grid | 3 | 1080 | sq.m. | 0 | 1080 | 0 | 0 | 0 |
| 31 | Timber Deck - Bare | 3 | 123 | sq.m. | 0 | 123 | 0 | 0 | 0 |
| 39 | Concrete Slab - Unprotected w/ AC Overlay | 2 | 1110 | sq.m. | 1110 | 0 | 0 | 0 | 0 |
| 107 | Painted Steel Open Girder/Beam | 3 | 998 | m. | 0 | 998 | 0 | 0 | 0 |
| 121 | Painted Steel Bottom Chord Thru Truss | 3 | 88 | m. | 0 | 0 | 82 | 6 | 0 |
| 126 | Painted Steel Thru Truss (excl. bottom chord) | 3 | 88 | m. | 0 | 0 | 88 | 0 | 0 |
| 152 | Painted Steel Floor Beam | 3 | 123 | m. | 0 | 0 | 123 | 0 | 0 |
| 205 | Reinforced Conc Column or Pile Extension | 3 | 6 | ea. | 6 | 0 | 0 | 0 | 0 |
| 215 | Reinforced Conc Abutment | 3 | 58 | m. | 0 | 58 | 0 | 0 | |
| 228 | Timber Submerged Pile | 3 | 1 | ea. | 1 | 0 | 0 | 0 | 0 |
| 234 | Reinforced Conc Cap | 3 | 350 | m. | 350 | 0 | 0 | 0 | 0 |
| 254 | Steel Seismic Column Shell (Full Height) | 3 | 36 | ea. | 36 | 0 | 0 | 0 | 0 |
| 256 | Slope Protection | 2 | 1 | ea. | 1 | 0 | 0 | 0 | 0 |
| 304 | Open Expansion Joint | 2 | 44 | m. | 44 | 0 | 0 | 0 | 0 |
| 310 | Elastomeric Bearing | 2 | 6 | ea. | 6 | 0 | 0 | 0 | 0 |
| 330 | Metal Bridge Railing - coated or uncoated | 3 | 152 | m. | 152 | 0 | 0 | 0 | 0 |
| 357 | Pack Rust | 2 | 1 | ea. | 0 | 0 | 0 | 1 | |
| 363 | Section Loss | 2 | 1 | ea. | 0 | 1 | 0 | 0 | |

**WORK RECOMMENDATIONS**

RecDate: 12/19/2012
Action : Paint-Spot Prep
Work By: LOCAL AGENCY
Status : PROPOSED

EstCost:
StrTarget: 2 YEARS
DistTarget:
EA:

Clean and paint all areas with failed paint on the superstructure. Up to 20% is estimated to be full paint removal. Then full paint of the bridge.

**WORK RECOMMENDATIONS**

| | | | |
|---|---|---|---|
| RecDate: 12/19/2012<br>Action : Super-Patch spalls<br>Work By: LOCAL AGENCY<br>Status : PROPOSED | EstCost:<br>StrTarget: 2 YEARS<br>DistTarget:<br>EA: | | Chip out all unsound areas and clean and patch all spalled areas on the concrete counter weights. |
| RecDate: 10/18/2011<br>Action : Super-Misc.<br>Work By: LOCAL AGENCY<br>Status : PROPOSED | EstCost:<br>StrTarget: 1 YEAR<br>DistTarget:<br>EA: | | Replace deficient and missing stair support brackets at the left truss between Joint 17 to Joint 18. Use galvanized steel and paint all exposed surfaces. |
| RecDate: 10/18/2011<br>Action : Super-Misc.<br>Work By: LOCAL AGENCY<br>Status : PROPOSED | EstCost:<br>StrTarget: 2 YEARS<br>DistTarget:<br>EA: | | Use needle gun to remove pack rust between the plates at Joint 0 on the right truss. Remove fragments of the 4 broken rivets, clean hole edges and replace broken rivets with equal diameter galvanized bolts washers and nuts. Paint exposed edges of bolts, washers and nuts. |

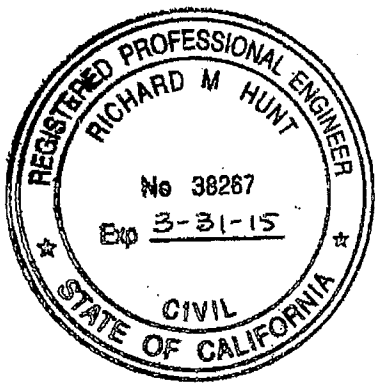
Team Leader : Daniel Stromberg

Report Author : Daniel Stromberg

Inspected By : D.Stromberg/D.Stromberg

5.14.14

Richard M. Hunt (Registered Civil Engineer) (Date)



# STRUCTURE INVENTORY AND APPRAISAL REPORT

**********  IDENTIFICATION  **********

(1) STATE NAME- CALIFORNIA 069
(8) STRUCTURE NUMBER 34C0025
(5) INVENTORY ROUTE(ON/UNDER)- ON 150000000
(2) HIGHWAY AGENCY DISTRICT 04
(3) COUNTY CODE 075 (4) PLACE CODE 67000
(6) FEATURE INTERSECTED- CHINA BASIN
(7) FACILITY CARRIED- THIRD ST
(9) LOCATION- S OF BERRY ST
(11) MILEPOINT/KILOMETERPOINT 0
(12) BASE HIGHWAY NETWORK- PART OF NET 1
(13) LRS INVENTORY ROUTE & SUBROUTE 000000000000
(16) LATITUDE 37 DEG 46 MIN 34.87 SEC
(17) LONGITUDE 122 DEG 23 MIN 24 SEC
(98) BORDER BRIDGE STATE CODE % SHARE %
(99) BORDER BRIDGE STRUCTURE NUMBER

********  STRUCTURE TYPE AND MATERIAL  *********

(43) STRUCTURE TYPE MAIN:MATERIAL- STEEL
TYPE- MOVABLE - BASCULE CODE 316
(44) STRUCTURE TYPE APPR:MATERIAL- CONCRETE CONT
TYPE- SLAB CODE 201
(45) NUMBER OF SPANS IN MAIN UNIT 1
(46) NUMBER OF APPROACH SPANS 5
(107) DECK STRUCTURE TYPE- OPEN GRATING CODE 3
(108) WEARING SURFACE / PROTECTIVE SYSTEM:
A) TYPE OF WEARING SURFACE- OTHER CODE 9
B) TYPE OF MEMBRANE- NONE CODE 0
C) TYPE OF DECK PROTECTION- NONE CODE 0

**********  AGE AND SERVICE  **********

(27) YEAR BUILT 1932
(106) YEAR RECONSTRUCTED 0000
(42) TYPE OF SERVICE: ON- HIGHWAY-PEDESTRIAN 5
UNDER- WATERWAY 5
(28) LANES:ON STRUCTURE 04 UNDER STRUCTURE 00
(29) AVERAGE DAILY TRAFFIC 25000
(30) YEAR OF ADT 2012 (109) TRUCK ADT 30 %
(19) BYPASS, DETOUR LENGTH 2 KM

**********  GEOMETRIC DATA  **********

(48) LENGTH OF MAXIMUM SPAN 43.6 M
(49) STRUCTURE LENGTH 89.9 M
(50) CURB OR SIDEWALK: LEFT 1.3 M RIGHT 1.6 M
(51) BRIDGE ROADWAY WIDTH CURB TO CURB 21.8 M
(52) DECK WIDTH OUT TO OUT 24.7 M
(32) APPROACH ROADWAY WIDTH (W/SHOULDERS) 19.8 M
(33) BRIDGE MEDIAN- CLOSED NON-MOUNTABLE 3
(34) SKEW 0 DEG (35) STRUCTURE FLARED NO
(10) INVENTORY ROUTE MIN VERT CLEAR 5.69 M
(47) INVENTORY ROUTE TOTAL HORIZ CLEAR 15.1 M
(53) MIN VERT CLEAR OVER BRIDGE RDWY 5.69 M
(54) MIN VERT UNDERCLEAR REF- NOT H/RR 0.00 M
(55) MIN LAT UNDERCLEAR RT REF- NOT H/RR 0.0 M
(56) MIN LAT UNDERCLEAR LT 0.0 M

**********  NAVIGATION DATA  **********

(38) NAVIGATION CONTROL- BR PERMIT REQ CODE 1
(111) PIER PROTECTION- FUNCTIONING CODE 2
(39) NAVIGATION VERTICAL CLEARANCE 0.1 M
(116) VERT-LIFT BRIDGE NAV MIN VERT CLEAR M
(40) NAVIGATION HORIZONTAL CLEARANCE 31.4 M

**********

SUFFICIENCY RATING = 33.3
STATUS STRUCTURALLY DEFICIENT
HEALTH INDEX 76.5
PAINT CONDITION INDEX = 66.6

**********  CLASSIFICATION  ********** CODE

(112) NBIS BRIDGE LENGTH- YES Y
(104) HIGHWAY SYSTEM- NOT ON NHS 0
(26) FUNCTIONAL CLASS- OTHER PRIN ART URBAN 14
(100) DEFENSE HIGHWAY- NOT STRAHNET 0
(101) PARALLEL STRUCTURE- NONE EXISTS N
(102) DIRECTION OF TRAFFIC- 2 WAY 2
(103) TEMPORARY STRUCTURE-
(105) FED.LANDS HWY- NOT APPLICABLE 0
(110) DESIGNATED NATIONAL NETWORK - NOT ON NET 0
(20) TOLL- ON FREE ROAD 3
(21) MAINTAIN- COUNTY HIGHWAY AGENCY 02
(22) OWNER- COUNTY HIGHWAY AGENCY 02
(37) HISTORICAL SIGNIFICANCE- ELIGIBLE 2

**********  CONDITION  ********** CODE

(58) DECK 6
(59) SUPERSTRUCTURE 3
(60) SUBSTRUCTURE 7
(61) CHANNEL & CHANNEL PROTECTION 8
(62) CULVERTS N

*********  LOAD RATING AND POSTING  ********* CODE

(31) DESIGN LOAD- UNKNOWN 0
(63) OPERATING RATING METHOD- LOAD FACTOR 1
(64) OPERATING RATING- 24.5
(65) INVENTORY RATING METHOD- LOAD FACTOR 1
(66) INVENTORY RATING- 16.3
(70) BRIDGE POSTING- EQUAL TO OR ABOVE LEGAL LOADS 5
(41) STRUCTURE OPEN, POSTED OR CLOSED- A
DESCRIPTION- OPEN, NO RESTRICTION

**********  APPRAISAL  ********** CODE

(67) STRUCTURAL EVALUATION 3
(68) DECK GEOMETRY 9
(69) UNDERCLEARANCES, VERTICAL & HORIZONTAL N
(71) WATER ADEQUACY 8
(72) APPROACH ROADWAY ALIGNMENT 6
(36) TRAFFIC SAFETY FEATURES 0000
(113) SCOUR CRITICAL BRIDGES 5

**********  PROPOSED IMPROVEMENTS  **********

(75) TYPE OF WORK- REPLACE FOR DEFICIENC CODE 31
(76) LENGTH OF STRUCTURE IMPROVEMENT 89.9 M
(94) BRIDGE IMPROVEMENT COST $5,094,500
(95) ROADWAY IMPROVEMENT COST $1,018,900
(96) TOTAL PROJECT COST $8,558,760
(97) YEAR OF IMPROVEMENT COST ESTIMATE 2010
(114) FUTURE ADT 36064
(115) YEAR OF FUTURE ADT 2034

**********  INSPECTIONS  **********

(90) INSPECTION DATE 12/12 (91) FREQUENCY 24 MO
(92) CRITICAL FEATURE INSPECTION: (93) CFI DATE
A) FRACTURE CRIT DETAIL- YES 24 MO A) 10/11
B) UNDERWATER INSP- YES 60 MO B) 11/13
C) OTHER SPECIAL INSP- NO MO C)

# CITY AND COUNTY OF SAN FRANCISCO

# BUDGET AND APPROPRIATION ORDINANCE

File No. 150610 Ordinance 128-15

FISCAL YEAR ENDING JUNE 30, 2016 and
FISCAL YEAR ENDING JUNE 30, 2017

Edwin M. Lee, Mayor

## Department: DPW : GENERAL SERVICES AGENCY - PUBLIC WORKS

| | | 2014-2015 Original Budget | 2015-2016 Adopted Budget | 2015-2016 vs 2014-2015 | 2016-2017 Adopted Budget | 2016-2017 vs 2015-2016 |
|---|---|---|---|---|---|---|
| **Uses of Funds Detail Appropriation** | | | | | | |
| **CONTINUING PROJECTS:** | | | | | | |
| **2S NDF VVF: VISITACION VALLEY INFRASTRUCTURE FUND** | | | | | | |
| CPWSSC | COMPLETE STREET IMPROVEMENTS | 506,000 | | (506,000) | | |
| | **SUB-TOTAL 2S NDF VVF** | **506,000** | | **(506,000)** | | |
| **2S PWF SOA: SERVICES TO OUTSIDE AGENCIES** | | | | | | |
| CPWCRM | CURB RAMP IMPROVEMENT PROJECTS | | 637,000 | 637,000 | | (637,000) |
| PPWDEV | PUBLIC WORKS DEVELOPMENT REVIEW SERVICES | | 500,000 | 500,000 | 500,000 | |
| | **SUB-TOTAL 2S PWF SOA** | | **1,137,000** | **1,137,000** | **500,000** | **(637,000)** |
| **2S PWF SRF: OTHER SPECIAL REVENUE FUND** | | | | | | |
| PSMDSR | SIDEWALK INSPECTION & REPAIR PROGRAM | 2,704,165 | 833,470 | (1,870,695) | 2,123,000 | 1,289,530 |
| | **SUB-TOTAL 2S PWF SRF** | **2,704,165** | **833,470** | **(1,870,695)** | **2,123,000** | **1,289,530** |
| **3C XCF CPL: SAN FRANCISCO CAPITAL PLANNING FUND** | | | | | | |
| CATBLD | ARCHITECTURAL BUILDING PROJECTS | | 180,000 | 180,000 | 180,000 | |
| | **SUB-TOTAL 3C XCF CPL** | | **180,000** | **180,000** | **180,000** | |
| **3C XCF LOC: CITY FAC IMPVT PROJECTS-LOCAL FUND** | | | | | | |
| CATBLD | ARCHITECTURAL BUILDING PROJECTS | | 2,700,000 | 2,700,000 | | (2,700,000) |
| | **SUB-TOTAL 3C XCF LOC** | | **2,700,000** | **2,700,000** | | **(2,700,000)** |
| | **SUB-TOTAL CONTINUING PROJECTS** | **95,891,472** | **103,701,928** | **7,810,456** | **105,267,923** | **1,565,995** |
| **GRANTS:** | | | | | | |
| **3C SIF FED: STREET IMPVT. PROJECTS-FEDERAL FUND** | | | | | | |
| PWHBA2 | HIGHWAY BRIDGE PROGRAM GRANTS | | 20,000,000 | 20,000,000 | | (20,000,000) |
| PWHBA3 | HIGHWAY BRIDGE PROGRAM GRANTS | | 670,000 | 670,000 | | (670,000) |
| PWHBA4 | HIGHWAY BRIDGE PROGRAM GRANTS | | | | 17,706,000 | 17,706,000 |
| | **SUB-TOTAL 3C SIF FED** | | **20,670,000** | **20,670,000** | **17,706,000** | **(2,964,000)** |
| | **SUB-TOTAL GRANTS** | | **20,670,000** | **20,670,000** | **17,706,000** | **(2,964,000)** |
| **WORK ORDERS/OVERHEAD:** | | | | | | |
| **1G AGF PWF: GF-DPW WORK ORDER FUND** | | | | | | |
| DPWAT | BUREAU OF ARCHITECTURE | 1,147,338 | 1,133,215 | (14,123) | 1,121,985 | (11,230) |
| DPWBR | BUREAU OF BUILDING REPAIR | 17,378,124 | 17,141,734 | (236,390) | 17,787,623 | 645,889 |
| DPWEN | BUREAU OF ENGINEERING | 871,902 | 854,312 | (17,590) | 831,056 | (23,256) |
| DPWGA | GENERAL ADMINISTRATION | 202,401 | | (202,401) | | |
| DPWSE | BUREAU OF STREET ENVIRONMENT SVC | 1,823,810 | 1,907,397 | 83,587 | 1,944,211 | 36,814 |

| GFS | Dept | Fund | Index Code | Proj Code | FAMIS Project Title | CPRd Project Title | Sobj | FY16 GFS | FY17 GFS | FY16 NGFS | FY17 NGFS |
|---|---|---|---|---|---|---|---|---|---|---|---|
| GFS | DPW | 1GAGFACP | PWE331GGFACP | PPWADB11BU99 | DISTRICT 11 PROJECT BUDGET | | 06700 | 150,000 | - | - | - |
| GFS | DPW | 1GAGFACP | PWE331GGFACP | PPWCRM16BU99 | CURB RAMP INSPECTION AND REPLACEMENT | Curb Ramp Inspection and Replacement | 06R00 | 369,300 | 450,000 | - | - |
| GFS | DPW | 1GAGFACP | PWE331GGFACP | PPWPLZIRBU99 | DPW-PLAZA INSPECTION & REPAIR BUDGET | Plaza Inspection and Repair Program | 06F00 | 91,590 | 96,169 | - | - |
| GFS | DPW | 1GAGFACP | PWE331GGFACP | PPWTRNLSBU99 | DPW-LANDSLIDE/ROCKFALL RESPONSE BUDGET | Public Works - Landslide / Rockfall Response | 06F00 | 115,763 | 121,551 | - | - |
| GFS | DPW | 2SGTFGTF | PWE332STFGTF | CPWHUT16BU99 | FY 15-16 STREET RECONSTR & RENOVTN BGT | Street Resurfacing and Reconstruction | 06R00 | - | - | 3,877,745 | 3,877,745 |
| GFS | DPW | 2SGTFRDF | PWE332STFRDF | CPWHUT16BU99 | FY 15-16 STREET RECONSTR & RENOVTN BGT | Street Resurfacing and Reconstruction | 06R00 | - | - | 2,231,634 | 2,231,634 |
| GFS | DPW | 1GAGFACP | PWG331GGFACP | PPWOFAERBU99 | PUBLIC WORKS - GENERAL CAPITAL IMPROVEME | Public Works - General Capital Improvements | 06F00 | 347,288 | 364,652 | - | - |
| GFS | DPW | 1GAGFACP | PWD331GGFACP | CSMDSRSABU99 | ACCELERATED SIDEWALK ABATEMENT BUDGET | Accelerated Sidewalk Abatement Program | 06R00 | 894,808 | 1,783,775 | - | - |
| GFS | DPW | 1GAGFACP | PWD331GGFACP | CSMDSRSWBU99 | EXPANDED SIDEWALK REPAIR PROGRAM - BGT | Sidewalk Improvements and Repair Program | 06R00 | 2,207,957 | 2,659,545 | - | - |
| GFS | DPW | 1GAGFACP | PWS331GGFACP | PSRTRNPRBU99 | DPW-SSR POTHOLE REPAIR | Public Works - Pothole Repair | 06F00 | 1,944,810 | 2,042,051 | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | CPWBLD117499 | 1974R-DIST 11:ATHENS/AVALON FY14 BUDGET | | 06700 | 117,500 | - | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | CUFTRNTRBU99 | NEW STREET TREE PLANTING BGT ALLOCATION | Street Tree Establishment | 06R00 | 593,570 | 691,650 | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | PPWADB05BU99 | DISTRICT 5 PROJECT | | 06700 | 300,000 | - | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | PPWADB09BU99 | DISTRICT 9 PROJECT | | 06700 | 100,000 | - | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | PUFOFAVRBU99 | MAINT. EXISTING MEDIANS-VAR - BGT | Landscape Maintenance | 06F00 | 109,395 | 114,864 | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | PUFTRNTMBU99 | STREET TREE MAINTENANCE - BGT | Street Tree Maintenance | 06R00 | 260,466 | 273,489 | - | - |
| GFS | DPW | 1GAGFACP | PWF331GGFACP | PUFTRNTTBU99 | ST TRIMMING/SIDEWALK REPAIR INITIATIVE | Street Tree Trimming and Sidewalk Repair Initiative | 06R00 | 600,000 | 600,000 | - | - |
| Self Supporting | DPW | 3CXCFCPL | PWA333CCFCPL | CATBLDYDBU03 | YARD OPTIMIZATION PLANNING | Yard Optimization Planning | 06700 | - | - | 180,000 | 180,000 |
| Self Supporting | DPW | 2SPWFSOA | PWE162SWFSOA | CPWCRMBOBU01 | UCSF PED SAFETY PROJECT (5TH AV & KIRKHA | UCSF Bulb-out (5th Av & Kirkham St) | 06700 | - | - | 637,000 | - |
| Self Supporting | DPW | 2SNDFENH | PWE332SDFENH | CPWSSCSC6499 | 2ND STREET STREETSCAPE BUDGET | IPIC - Second Street (Eastern Neighborhoods) | 06700 | - | - | 750,000 | - |
| Self Supporting | DPW | 2SNDFENH | PWE332SDFENH | CPWSSCSSEN02 | IPIC - 22ND ST GREEN CONNECTION | IPIC - 22nd Street Green Connection (EN) - DPW | 06700 | - | - | 2,000,000 | - |
| Self Supporting | DPW | 2SNDFMOC | PWE332SDFMOC | CPWSSCSC4899 | BETTER MARKET STREET BUDGET | IPIC - Better Market Street (10th to Octavia) FY16 | 06700 | - | - | 1,000,000 | - |
| Self Supporting | DPW | 2SNDFMOC | PWE332SDFMOC | CPWSSCSSMO04 | IPIC-RE-ESTABLISH OCTAVIA BLVD R.O.W | IPIC - Re-establish Octavia Boulevard Right of Way with Hayward Park | 06700 | - | - | 500,000 | 1,000,000 |
| Self Supporting | DPW | 2SNDFRHP | PWE332SDFRHP | CPWSSCSSRH01 | IPIC-RINCON HILL STREETSCAPE IMPROVEMENT | IPIC - Rincon Hill Streetscape Improvements | 06R00 | - | - | 1,500,000 | 9,706,000 |
| Self Supporting | DPW | 2SNDFTCD | PWE332SDFTCD | CPWSSCSSTC01 | IPIC-DESIGN&CONSTR FOR TCDP STSCAPE PLAN | IPIC - Design and Construction for TCDP Streetscape Plan - TCDP | 06R00 | - | - | 350,000 | 350,000 |
| Self Supporting | DPW | 2SNDFVNM | PWE332SDFVNM | CPWSSCSC4899 | BETTER MARKET STREET BUDGET | IPIC - Better Market Street (10th to Octavia) FY16 | 06700 | - | - | - | 400,000 |
| Self Supporting | DPW | 2SNDFVNM | PWE332SDFVNM | CPWSSCSCMO05 | VAN NESS AND MARKET STREETSCAPE IMPROVEM | IPIC - Van Ness and Market SUD Streetscape Improvements (MO) | 06700 | - | - | - | 500,000 |
| Self Supporting | DPW | 3CSIFFED | PWE333CIFFED | CENSTRSSBU01 | 3RD STREET BRIDGE STRUCTURE REPAIR | 3rd Street Bridge Counterweight and Corrosion Repair | 06R00 | - | - | 670,000 | - |
| Self Supporting | DPW | 3CSIFFED | PWE333CIFFED | CENSTRSSBU01 | 3RD STREET BRIDGE STRUCTURE REPAIR | 3rd Street Bridge Counterweight and Corrosion Repair | 06R00 | - | - | - | 17,706,000 |
| Self Supporting | DPW | 3CSIFFED | PWE333CIFFED | CENSTRSSBU02 | ISLAIS CREEK BRIDGE REHABILITATION | Islais Creek Bridge Rehabilitation | 06R00 | - | - | 20,000,000 | - |
| Self Supporting | DPW | 2SPWFSRF | PWD302SWFSRF | PSMDSRSABU99 | ACCELERATED SIDEWALK ABATEMENT BUDGET | Accelerated Sidewalk Abatement Program | 06R00 | - | - | 116,470 | 539,000 |
| Self Supporting | DPW | 2SPWFSRF | PWD302SWFSRF | PSMDSRSWBU99 | SIDEWALK INSPECTION/REPAIR BUDGET ALLOCA | Sidewalk Improvements and Repair Program | 06700 | - | - | 717,000 | 1,584,000 |
| | **DPW Total** | | | | | | | **78,005,237** | **77,438,676** | **34,529,849** | **38,074,379** |
| GFS | DSS | 1GAGFACP | 45ADFSS500 | CSS005 | 170 OTIS RENOVATION | Seismic Evaluation for 170 Otis | 06700 | 250,000 | - | - | - |
| | **DSS Total** | | | | | | | **250,000** | - | - | - |
| GFS | ECD | 1GAGFACP | 770313 | CED06R00TUBE | 911 CENTER 1003 TURK ST GUTTER REPLCMT | 911 Center 1003 Turk Street Gutter Replacement | 06R00 | 272,500 | - | - | - |
| GFS | ECD | 1GAGFACP | 770317 | CED01701 | RADIO SITE IMPROVEMENT | 800MHz Radio Site Improvements | 06700 | 2,500,000 | 2,627,000 | - | - |
| GFS | ECD | 1GAGFACP | 770329 | CED02901 | DEM IT AREA RENOVATION | IT Area Renovation | 06700 | 100,000 | - | - | - |
| | **ECD Total** | | | | | | | **2,872,500** | **2,627,000** | - | - |
| GFS | FAM | 1GAGFAAP | 612140 | FFA06F0000FM | FAM - FACILITIES MAINTENANCE | FAM - Facilities Maintenance | 06F00 | 125,000 | 133,715 | - | - |
| GFS | FAM | 1GAGFACP | 612130 | CFADFR01DY02 | DE YOUNG TASK 02 | de Young - Kitchen Fixture Replacement | 06R00 | - | 150,000 | - | - |
| GFS | FAM | 1GAGFACP | 612130 | CFADRI01DY01 | DE YOUNG TASK 01 | de Young - Install rails on top of cooling tower | 06R00 | - | 30,000 | - | - |
| GFS | FAM | 1GAGFACP | 612130 | CFADY101DYRR | REPAIR AND REPLACE | de Young - Replace Failed Exterior Lighting | 06R00 | 50,000 | 125,000 | - | - |
| GFS | FAM | 1GAGFACP | 612130 | CFADY201DYRR | REPAIR AND REPLACE | de Young - Diller Court Drainage Repairs | 06R00 | - | 150,000 | - | - |
| GFS | FAM | 1GAGFACP | 612130 | CFADY301DYRR | REPAIR AND REPLACE | de Young - Vibration analysis of VFD shafts | 06R00 | 25,000 | - | - | - |
| GFS | FAM | 1GAGFACP | 612130 | CFADY401DYRR | REPAIR AND REPLACE | de Young - Fall Protection Tower | 06R00 | 100,000 | - | - | - |

**DEPARTMENT OF TRANSPORTATION**
Division of Local Assistance
1120 N STREET
P.O. BOX 942874, MS# 1
Sacramento, CA 94274-0001
TTY 711
(916) 654-3883
Fax (916) 654-2408



January 8, 2016

File : 04-SF-0-CR
BRLS-5934(177)
Third Street Bridge on Third Street over Mission Creek Channel

Mr. Mohammed Nuru
Director of Public Works
San Francisco County
City Hall, Room 348, #1 Dr, Carlton Goodlett Place
San Francisco, CA 94102-4645

Dear Mr. Nuru:

Enclosed are two originals of the Program Supplement Agreement No. 096-N to Administering Agency-State Agreement No. 04-5934R and an approved Finance Letter for the subject project. Please retain the signed Finance Letter for your records.

**Please note that federal funding will be lost if you proceed with future phase(s) of the project prior to getting the "Authorization to Proceed" with that phase.**

Please review the covenants and sign both copies of this Agreement and return both to this office, Office of Project Implementation - MS1 within 90 days from the receipt of this letter. If the signed Agreements are not received back in this office within 90 days, funds will be disencumbered and/or deobligated. Alterations should not be made to the agreement language or funding. ATTACH YOUR LOCAL AGENCY'S CERTIFIED AUTHORIZING RESOLUTION THAT CLEARLY IDENTIFIES THE PROJECT AND THE OFFICIAL AUTHORIZED TO EXECUTE THE AGREEMENT. A fully executed copy of the agreement will be returned to you upon ratification by Caltrans. No invoices for reimbursement can be processed until the agreement is fully executed.

The State budget authority supporting the encumbered funds is only available for liquidation up to specific deadlines. These deadlines are shown on the attached Finance letter as the "Reversion Date". Please ensure that your invoices are submitted at least 60 days prior to the reversion date to avoid any lapse of funds. If your agency is unable to seek reimbursement by this date you may request an extension through a Cooperative Work Agreement (CWA). A CWA is subject to the final approval of the State Department of Finance. If approved, the CWA may extend the deadline for up to two years.

Your prompt action is requested. If you have questions, please contact your District Local Assistance Engineer.

Sincerely,

for Adam Lambi

WINTON EMMETT, Chief
Office of Project Implementation - North
Division of Local Assistance

Enclosure

c: DLA AE Project Files
(04) DLAE - Sylvia Fung

DEPARTMENT OF TRANSPORTATION
DIVISION OF ACCOUNTING
LOCAL PROGRAM ACCOUNTING BRANCH

FINANCE LETTER

Date: 01/07/2016
Agency: 04-SF-0-CR
Project No: BRLS-5934(177)
EA No:

Attention: San Francisco Department of Public Works

| FINANCE ITEMS | PRO RATA OR LUMP SUM | TOTAL COST OF WORK | FEDERAL PART. COST | FED. REIMB % | FEDERAL FUNDS M0E1 | LOCAL FUNDS |
|---|---|---|---|---|---|---|
| Agency Preliminary Engineering | Pro Rata | $750,000.00 | $750,000.00 | 88.53% | $663,975.00 | $86,025.00 |
| Totals: | | **$750,000.00** | **$750,000.00** | **0.00%** | **$663,975.00** | **$86,025.00** |

ed. Partic: 100.00%

This Finance Letter was created based on specific financial information provided by the responsible local agency. The following encumbrance history is prepared by Local Assistance Accounting Office and is provided here for local agency's information and action.

Signature: Adam Ambrosini

Title: HQ Sr Area Engineer

For questions regarding finance letter, contact:

Printed Name : Adam Ambrosini

Telephone No: 916-653-3840

Remarks: SEQ 1 authorizing PE.

AGREEMENT END DATE = 09/30/2026

**ACCOUNTING INFORMATION** BRLS-5934(177)

| ADV. PROJ. ID | APPROP. UNIT | STATE PROG. | FED/STATE | ENCUMBRANCE AMOUNT | APPROP YEAR | EXPENDITURE AMOUNT | ENCUMBRANCE BALANCE | REVERSION DATE | Cooperative Work Agreement APPROVED AMOUNT | Cooperative Work Agreement EXPIRATION DATE |
|---|---|---|---|---|---|---|---|---|---|---|
| 0416000101 | 16102F | 2030010300 | F | $663,975.00 | 1516 | $0.00 | $663,975.00 | 06/30/21 | | |

**PROGRAM SUPPLEMENT NO.** N096
**to**
**ADMINISTERING AGENCY-STATE AGREEMENT**
**FOR FEDERAL-AID PROJECTS NO** 04-5934R

**Adv Project ID** 0416000101
**Date:** January 4, 2016
**Location:** 04-SF-0-CR
**Project Number:** BRLS-5934(177)
**E.A. Number:**
**Locode:** 5934

This Program Supplement hereby adopts and incorporates the Administering Agency-State Agreement for Federal Aid which was entered into between the Administering Agency and the State on 08/28/07 and is subject to all the terms and conditions thereof. This Program Supplement is executed in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. approved by the Administering Agency on (See copy attached).

The Administering Agency further stipulates that as a condition to the payment by the State of any funds derived from sources noted below obligated to this PROJECT, the Administering Agency accepts and will comply with the special covenants or remarks set forth on the following pages.

**PROJECT LOCATION:**

Third Street Bridge on Third Street over Mission Creek Channel

**TYPE OF WORK:** Bridge Rehabilitation

**LENGTH:** 0.0(MILES)

| Estimated Cost | Federal Funds | | Matching Funds | | |
|---|---|---|---|---|---|
| | M0E1 | $663,975.00 | LOCAL | | OTHER |
| $750,000.00 | | | $86,025.00 | | $0.00 |

**COUNTY OF SAN FRANCISCO**

**By** _____

**Title** _____

**Date** _____

**Attest** _____

**STATE OF CALIFORNIA**
**Department of Transportation**

**By** _____
**Chief, Office of Project Implementation**
**Division of Local Assistance**

**Date** _____

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

**Accounting Officer** _____ **Date** 1/4/16 $663,975.00

| Chapter | Statutes | Item | Year | Program | BC | Category | Fund Source | AMOUNT |
|---|---|---|---|---|---|---|---|---|
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STATE OF CALIFORNIA. DEPARTMENT OF TRANSPORTATION

# PROGRAM SUPPLEMENT AND CERTIFICATION FORM

PSCF (REV. 01/2010)



| TO: | DATE PREPARED: | PROJECT NUMBER: |
|---|---|---|
| STATE CONTROLLER'S OFFICE<br>Claims Audits<br>3301 "C" Street, Rm 404<br>Sacramento, CA 95816 | 1/4/2016 | 0416000101 |
| | REQUISITION NUMBER / CONTRACT NUMBER:<br>RQS 041600000559 | |

FROM:

**DEPARTMENT OF TRANSPORTATION**

SUBJECT:

**ENCUMBRANCE DOCUMENTS**

VENDOR / CONTRACTOR:

**County of San Francisco**

CONTRACT AMOUNT:

**$663,975.00**

PROCUREMENT TYPE:

**LOCAL ASSISTANCE**

I HEREBY CERTIFY UPON MY OWN PERSONAL KNOWLEDGE THAT BUDGETED FUNDS ARE AVAILABLE FOR THIS ENCUMBRANCE AND PURPOSE OF THE EXPENDITURE STATED ABOVE.

| CHAPTER | STATUTES | ITEM | YEAR | PEC / PECT | TASK / SUBTASK | AMOUNT |
|---|---|---|---|---|---|---|
| 10 | 2015 | 2660-102-890 | 2016 | 20.30.010.300 | 2240/0600 | $663,975.00 |
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| | | | | | TOTAL | $663,975.00 |

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information, call (915) 654-6410 of TDD (916) -3880 or write Records and Forms Management, 1120 N. Street, MS-89, Sacramento, CA 95814.

**SPECIAL COVENANTS OR REMARKS**

1. A. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the current published Local Assistance Procedures Manual.

B. ADMINISTERING AGENCY agrees that it will only proceed with work authorized for specific phase(s) with an "Authorization to Proceed" and will not proceed with future phase(s) of this project prior to receiving an "Authorization to Proceed" from the STATE for that phase(s) unless no further State or Federal funds are needed for those future phase(s).

C. STATE and ADMINISTERING AGENCY agree that any additional funds which might be made available by future Federal obligations will be encumbered on this PROJECT by use of a STATE-approved "Authorization to Proceed" and Finance Letter. ADMINISTERING AGENCY agrees that Federal funds available for reimbursement will be limited to the amounts obligated by the Federal Highway Administration.

D. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer within 60 days of project contract award and prior to the submittal of the ADMINISTERING AGENCY'S first invoice for the construction contract.

Failure to do so will cause a delay in the State processing invoices for the construction phase. Attention is directed to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.

E. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE's approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumbrances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.

F. Administering Agency shall not discriminate on the basis of race, religion, age, disability, color, national origin, or sex in the award and performance of any Federal-

assisted contract or in the administration of its DBE Program Implementation Agreement. The Administering Agency shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of Federal-assisted contracts. The Administering Agency's DBE Implementation Agreement is incorporated by reference in this Agreement. Implementation of the DBE Implementation Agreement, including but not limited to timely reporting of DBE commitments and utilization, is a legal obligation and failure to carry out its terms shall be treated as a violation of this Agreement. Upon notification to the Administering Agency of its failure to carry out its DBE Implementation Agreement, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).

G. Any State and Federal funds that may have been encumbered for this project are available for disbursement for limited periods of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act to the applicable fund Reversion Date shown on the State approved project finance letter. Per Government Code Section 16304, all project funds not liquidated within these periods will revert unless an executed Cooperative Work Agreement extending these dates is requested by the ADMINISTERING AGENCY and approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's Office and the Department of Finance; in order for payment to be made, the last date the District Local Assistance Engineer can forward an invoice for payment to the Department's Local Programs Accounting Office for reimbursable work for funds that are going to revert at the end of a particular fiscal year is May 15th of the particular fiscal year. Notwithstanding the unliquidated sums of project specific State and Federal funding remaining and available to fund project work, any invoice for reimbursement involving applicable funds that is not received by the Department's Local Programs Accounting Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid. These unexpended funds will be irrevocably reverted by the Department's Division of Accounting on the applicable fund Reversion Date.

H. As a condition for receiving federal-aid highway funds for the PROJECT, the Administering Agency certifies that NO members of the elected board, council, or other key decision makers are on the Federal Government Exclusion List. Exclusions can be found at www.sam.gov.

2. In the event that right of way acquisition for or construction of this project of the initial federal authorization for preliminary engineering is not started by the close of the tenth fiscal year following the fiscal year in which the project is authorized, the ADMINISTERING AGENCY shall repay the Federal Highway Administration through

**SPECIAL COVENANTS OR REMARKS**

Caltrans the sum of Federal funds paid under the terms of this agreement.