



## San Francisco Ethics Commission

25 Van Ness Avenue, Suite 220, San Francisco, CA 94102

Phone: 415.252.3100 . Fax: 415.252.3112

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Received On: 09-30-2021 | 16:35:10 PDT

File #: 210838

Bid/RFP #:

### Notification of Contract Approval

SFEC Form 126(f)4

(S.F. Campaign and Governmental Conduct Code § 1.126(f)4)

A Public Document

Each City elective officer who approves a contract that has a total anticipated or actual value of \$100,000 or more must file this form with the Ethics Commission within five business days of approval by: (a) the City elective officer, (b) any board on which the City elective officer serves, or (c) the board of any state agency on which an appointee of the City elective officer serves. For more information, see: <https://sfethics.org/compliance/city-officers/contract-approval-city-officers>

#### 1. FILING INFORMATION

<b>TYPE OF FILING</b>	<b>DATE OF ORIGINAL FILING (for amendment only)</b>
Original	
<b>AMENDMENT DESCRIPTION – Explain reason for amendment</b>	

#### 2. CITY ELECTIVE OFFICE OR BOARD

<b>OFFICE OR BOARD</b>	<b>NAME OF CITY ELECTIVE OFFICER</b>
Board of Supervisors	Members

#### 3. FILER'S CONTACT

<b>NAME OF FILER'S CONTACT</b>	<b>TELEPHONE NUMBER</b>
Angela Calvillo	415-554-5184
<b>FULL DEPARTMENT NAME</b>	<b>EMAIL</b>
office of the clerk of the Board	Board.of.Supervisors@sfgov.org

#### 4. CONTRACTING DEPARTMENT CONTACT

<b>NAME OF DEPARTMENTAL CONTACT</b>	<b>DEPARTMENT CONTACT TELEPHONE NUMBER</b>
Joe Speaks	415-271-1791
<b>FULL DEPARTMENT NAME</b>	<b>DEPARTMENT CONTACT EMAIL</b>
SF Municipal Transportation Agency	joe.speaks@sfmta.com

5. CONTRACTOR	
<b>NAME OF CONTRACTOR</b> Siemens Mobility, Inc.	<b>TELEPHONE NUMBER</b> 916-694-8365
<b>STREET ADDRESS (including City, State and Zip Code)</b> 7464 French Rd, Sacramento, CA 95828	<b>EMAIL</b>

6. CONTRACT		
<b>DATE CONTRACT WAS APPROVED BY THE CITY ELECTIVE OFFICER(S)</b> 09/21/2021	<b>ORIGINAL BID/RFP NUMBER</b>	<b>FILE NUMBER (If applicable)</b> 210838
<b>DESCRIPTION OF AMOUNT OF CONTRACT</b> \$1,192,651,577		
<b>NATURE OF THE CONTRACT (Please describe)</b> Procurement of New Light Rail Vehicles (LRV4)		

7. COMMENTS
To exercise Contract Option 2 for 30 additional Light Rail Vehicles in the amount of \$130,409,780 plus applicable escalation costs, with no extension in the contract term and no increase in the total approved contract value.

8. CONTRACT APPROVAL	
This contract was approved by:	
<input type="checkbox"/>	THE CITY ELECTIVE OFFICER(S) IDENTIFIED ON THIS FORM
<input checked="" type="checkbox"/>	A BOARD ON WHICH THE CITY ELECTIVE OFFICER(S) SERVES Board of Supervisors
<input type="checkbox"/>	THE BOARD OF A STATE AGENCY ON WHICH AN APPOINTEE OF THE CITY ELECTIVE OFFICER(S) IDENTIFIED ON THIS FORM SITS

**9. AFFILIATES AND SUBCONTRACTORS**

List the names of (A) members of the contractor's board of directors; (B) the contractor's principal officers, including chief executive officer, chief financial officer, chief operating officer, or other persons with similar titles; (C) any individual or entity who has an ownership interest of 10 percent or more in the contractor; and (D) any subcontractor listed in the bid or contract.

#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
1	Buncher	Marc	CEO
2	Smith	Marsha	CFO
3	Friedman	Shawn	Other Principal Officer
4	Cahill	Michael	Other Principal Officer
5	Halleus	Chris	Other Principal Officer
6	INIT		Subcontractor
7	Thales		Subcontractor
8	Kratos		Subcontractor
9	Securitas		Subcontractor
10	DTI Group		Subcontractor
11	Televic		Subcontractor
12	Harris		Subcontractor
13	Ultimate Transportation N.		Shareholder
14	Hasler Rail		Subcontractor
15	Tec Tran Brakes (Wabtec)		Shareholder
16	Thermo King Corp.		Subcontractor
17	Xerox		Subcontractor
18	Conduent		Subcontractor
19	Voith		Subcontractor

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#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
20	TDG Lighting		Subcontractor
21	Schunk		Subcontractor
22	Knorr		Subcontractor
23	Freedman Seating Company		Subcontractor
24	Seats Incorporated		Subcontractor
25	Vapor Stone Rail Systems		Subcontractor
26	Vecom		Subcontractor
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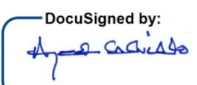
#	LAST NAME/ENTITY/SUBCONTRACTOR	FIRST NAME	TYPE
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Check this box if you need to include additional names. Please submit a separate form with complete information. Select “Supplemental” for filing type.

**10. VERIFICATION**

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information I have provided here is true and complete.

**I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.**

<p><b>SIGNATURE OF CITY ELECTIVE OFFICER OR BOARD SECRETARY OR CLERK</b></p>  <p>DocuSigned by: 982C8F42C3084B5 Angela Calvillo</p>	<p><b>DATE SIGNED</b></p> <p>09-30-2021   16:35:10 PDT</p>
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