		FDP Co	st Reimb	bu	rsem	ent Subaward			
Federa	I Awarding Agency:	National Institutes	s of Health (NI	H)					
Pass-T	hrough Entity (PTE):			_	Subre	cipient:			
The Re	egents of the Univers	ity of California, S	San Francisc	0	City	& County of	San	Franc	sisco
PTE PI:	Monica Gandhi, MD				Sub PI:	Albert Liu, MD, MP	Η		
FDP Cost Reimbursement Subaward           Federal Awarding Agency:         National Institutes of Health (NIH)           Pass-Through Entity (PTE):         Subrecipient:           The Regents of the University of California, San Francisco         City & County of San Francisco           PTE Fle.         Monica Gandhi, MD         Sub PI: [Abert Liu, MD, MPH           PTE Federal Award No: [5P30A1027763-30         Subaward No: [13083sc           Project Title         UCSF-Gladstone Center for AIDS Research           Subward Budget Period:         End: [08/31/2022           Estimated Period:         End: [08/31/2022           Incrementally Estimated Total (USD): § [24,559.00           Start:         [08/01/2021           End: [08/31/2022         Incrementally Estimated Total (USD): § [24,559.00           Start:         [08/01/2021           End: [08/31/2022         Incrementally Estimated Total (USD): § [24,559.00           Start:         [08/01/2021           End: [08/31/2022         Incrementally Estimated Total (USD): § [24,559.00           Start:         [08/01/2021           End: [08/31/2022         End: [08/31/2022           Start:         [08/01/2021           Contact, as shown in Attachment 5. In its performance of Subaward work, Subrecipient: The Statement of Work and budget for this Subaward and 2 CFR 200.351, its Subward and									
Project 7	<sup>Fitle:</sup> UCSF-Gladstone	Center for AIDS F	Research						
		End: 08/31/202	22		Amount	Funded This Action (U	SD): \$ 54	,559.00	
			22		Increme	entally Estimated Total	(USD): \$	54,559.00	
	and budget for this Sub independent entity and	award are as showr not an employee or	baward, (as d in Attachmen agent of PTE.	ete it 5	rmined I . In its p	by 2 CFR 200.331), to s erformance of Subawar	d work, S	ubrecipien	t shall be an
	2. Subrecipient shall submit invoices not more often than monthly and not less frequently than quarterly for allowable costs incurred. Upon the receipt of proper invoices, the PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), breakdown by major cost category, Subaward number, and certification, as required in 2 CFR 200.415(a). Invoices that do not reference PTE Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt or payments shall be directed to the party's Financial Contact, shown in								
	Financial	Contact, as sho	own in Attachn	ner	it 3A, no	t later than 60 days afte			
	All payments shall be considered provisional and are subject to adjustment within the total estimated cost in the event such								
								arty's Princ	cipal Investigator
any changes requiring prior approval, shall be directed to the PTE's Authorized Official Contact and the Subrecipient's Authorized Official Contact shown in Attachments 3A and 3B. Any such change made to this Subaward requires the									
	modification shall be considered valid 14 days after receipt unless otherwise indicated by Subrecipient when sent to								
8.			gent acts or or	mis	sions ar	d the negligent acts or	omissions	s of its emp	oloyees, officers,
9.	9. Either party may terminate this Subaward with 30 days written notice. Notwithstanding, if the Awarding Agency terminates the Federal Award, PTE will terminate in accordance with Awarding Agency requirements. PTE notice shall be directed to the Authorized Official Contact, and Subrecipient notice shall be directed to the Authorized Official Contact as shown in Attachments 3A and 3B. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance, 2								
	that it will perform the S of the Federal Award, in referenced in Attachme	statement of Work in ncluding the appropr nt 2. The parties fur	accordance w iate Research	vith ⊨T€	the terr erms and	ns and conditions of thi I Conditions ("RTCs") c	s Subawa of the Fede	rd and the eral Awardi	applicable terms ing Agency, as
By an A	uthorized Official of the l	PTE:			By an A	Authorized Official of the	e Subrecip	pient:	
Name:			Date	_	Name:				Date
Title:					Title:				

# Attachment 1

### **Certifications and Assurances**

Subaward Number:

13083sc

## Certification Regarding Lobbying (2 CFR 200.450)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.214 and 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.213 and 2 CFR 180.

#### Audit and Access to Records

Subrecipient certifies that it will provide PTE with notice of any adverse findings which impact this Subaward. Subrecipient certifies compliance with applicable provisions of 2 CFR 200.501-200.521. If Subrecipient is not required to have a Single Audit as defined by 200.501, Awarding Agency requirements, or the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and will provide access to such audits upon request. Subrecipient will provide access to records as required by parts 2 CFR 200.337 and 200.338 as applicable.

### Program for Enhancement of Contractor Employee Protections (41 U.S.C 4712)

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

#### Use of Name

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

### Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment

Pursuant to 2 CFR 200.216, Subrecipient will not obligate or expend funds received under this Subaward to: (1) procure or obtain; (2) extend or renew a contract to procure or obtain; or (3) enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services (as described in Public Law 115-232, section 889) as a substantial or essential component of any system, or as a critical technology as part of any system.

# Attachment 2

Subaward Number

**Federal Award Terms and Conditions** 

13083sc

Required Data Elements		Awarding Agency I	nstitute (If	Applicable)
		· · · · · · · · · · · · · · · · · · ·	(	
The data elements required by Uniform Guidance are incorporated in the attache	d Fodorol Award	Federal Award Issue Date	FAIN	Assistance Listing No.
Guidance are incorporated in the attache	d Federal Award.			
This Subaward Is:		Assistance Listing	g Program	Title (ALPT)
Research & Development Sub	bject to FFATA	Key Pers	onnel Per I	NOA
General Terms and Conditions				
By signing this Subaward, Subrecipient agrees to	the following:			
<ol> <li>To abide by the conditions on activities and applicable to this Subaward to the extent the Awarding Agency's website:</li> </ol>				
http://grants.nih.gov/policy/notices.htm				
2. 2 CFR 200 and 45 CFR Part 75.				
<ol><li>The Federal Awarding Agency's grants polic performance or as amended found at:</li></ol>	cy guidance, including addenda	in effect as of the beginning	g date of the	period of
http://grants.nih.gov/grants/policy/nihgps/nihgps.pc	łf			
4. Research Terms and Conditions, including	any Federal Awarding Agency's	Specific Requirements fou	nd at:	
https://www.nsf.gov/awards/managing/rtc.jsp			e	xcept for the following
<ul> <li>a. No-cost extensions require the written ap Administrative Contact show change.</li> <li>b. Any payment mechanisms and financial Conditions and Agency-Specific Require</li> <li>c. Any prior approvals are to be sought fror</li> <li>d. Title to equipment as defined in 2 CFR 2</li> </ul>	wn in Attachment 3A, not less the reporting requirements describe ments are replaced with Terms n the PTE and not the Federal A	an 30 days prior to the desi d in the applicable Federal and Conditions (1) through warding Agency.	red effective Awarding A (4) of this S	e date of the requested gency Terms and ubaward; and
funds, as direct costs of the project or pro- e. Prior approval must be sought for a char	ogram, shall vest in the Subrecip	pient subject to the conditio	ns specified	in 2 CFR 200.313.
5. Treatment of program income: Additive				
Special Terms and Conditions:				
Data Sharing and Access: Subrecipient agrees to comply with the Feder or the Federal Awarding Agency's standard to No additional requirements				
Data Rights: Subrecipient grants to PTE the right to use date extent required to meet PTE's obligations to the second sec	ata created in the performance c he Federal Government under it	f this Subaward solely for t s PTE Federal Award.	he purpose	of and only to the
reproduce, make derivative works, display, an software and its documentation and/or databa only to the extent required to meet PTE's obli Subrecipient grants to PTE the right to use an	ases) first developed and deliver igations to the Federal Governm ny written progress reports and c	ts or copyrighted material ( ed under this Subaward so ent under its PTE Federal / deliverables created under	including ar lely for the p Award. this Subawa	ny computer ourpose of and and solely for the
purpose of and only to the extent required to <b>Promoting Objectivity in Research (COI):</b>		-		
Subrecipient must designate herein which en If applying its own COI policy, by execution of the relevant Federal Awarding Agency as ide	f this Subaward, Subrecipient ce	rtifies that its policy complie		

Subrecipient shall report any financial conflict of interest to PTE's Administrative Representative or COI contact, as designated on Attachment 3A. Any financial conflicts of interest identified shall, when applicable, subsequently be reported to Federal Awarding Agency. Such report shall be made before expenditure of funds authorized in this Subaward and within 45 days of any subsequently identified COI.

Work Involving Human or Vertebrat	o Animals (Select	Annlicable (	Ontions)
No Human or Vertebrate Animals	,		
		IRB	Upon Request
Human Subjects			
Vertebrate Animals			
The PTE requires verification of IRB and/o	r IACUC approval be	sent to the F	Principal Investigator as required above:
			search protocol conducted under this Subaward shall be reviewed and
	( )		tional Animal Care and Use Committee (IACUC), as applicable and that
		•	the Subaward involving human and/or vertebrate animal research. ance with applicable state and federal laws and regulations. The
		•	valid, approved protocol that is entirely consistent with the Project
associated with this Subaward. In no even	t shall Subrecipient in	voice or be re	imbursed for any human or vertebrate animals related expenses incurred
in a period where any applicable IRB / IAC	UC approval is not pro	operly in plac	е
Human Subjects Data (Select One)	Human subjects d	lata will not b	be addressed in this agreement
	This section left int	tentionally b	lank
		-	
NIH Terms and Conditions			
The Clinical Trial Indicator in Sect	ion IV of the PTE's	NOA is state	ed as: No ?
Multiple PIs (MPI)			
This subaward is not subject to an	n MPI Leadership P	Plan.	?
r	•		

#### Certificate of Confidentiality:

The Parties agree that this research funded in whole or in part by the National Institutes of Health ("NIH"), is subject to NIH Policy NOT-OD-17-109 (the "Policy") and therefore is deemed under the Policy to be issued a Certificate of Confidentiality ("Certificate") should the conditions outlined within the Policy apply. Accordingly, the subrecipients who collect or receive identifiable, sensitive information are is required to adhere to the Policy and protect the privacy of individuals who are subjects of such research in accordance with the Policy and subsection 301(d) of the Public Health Service Act (the "PHS Act").

#### **Additional Terms**

# Attachment 3A

Subaward Number:

13083sc

Pass-Through Entity (PTE) Contacts

PTE Information									
Entity Name:	The Regen	ts of the University of California, S	an Francisco						
Legal Address:	490 Illinoi	c/o Office of Sponsored Research, Box 0962 490 Illinois Street, 4th Floor San Francisco, CA 94143-0962 (Use 94158 for Courier and Federal Express)							
Website:	http://osr.ucsf.edu/								
PTE Contacts									
Central Emai	I:	CGSubOutTeam@ucsf.edu							
Principal Investiga	ator Name:	Monica Gandhi, MD							
Email:	Monica.Ga	ndhi@ucsf.edu	Telephone Number:						
Administrative Co	ntact Name:	Julie Tang							
	Julie.tang@		Telephone Number:						
COI Contact emai	l (if different	to above): coiac@ucsf.ed	u						
Financial Contact	Name:	Rado Lee							
Email:	Rado.Lee@	⊉ucsf.edu	Telephone Number:						
Email invoices?		No Invoice email (if different):	ubcontract@ucsf.edu						
Authorized Officia	I Name:	Subaward Manager, Research M	anagement Services						
Email:	CGSubOut	Team@ucsf.edu	Telephone Number:						
PI Address:									
		same as legal ad	dress above						
Administrative A	ddress:								
		same as legal ac	dress above						

Invoice Address:

Supply Chain Management - Accounts Payable Attn: Subcontracts Desk Box 0812 1855 Folsom Street, Suite 304 San Francisco, CA 94143-0812

# Attachment 3B

Subaward Number:

13083sc

**Subrecipient Contacts** 

Subrecipient Information for FFAT	A reporting
Entity's DUNS Name:	
EIN No.:	Institution Type:
DUNS:	Currently registered in SAM.gov: O Yes O No
Parent DUNS:	Exempt from reporting executive compensation: Yes No (if no, complete 3Bpg2)
Place of Performance Address	This section for U.S. Entities:       Zip Code Look-up         Congressional District:       Zip Code+4:
Subrecipient Contacts	
Central Email:	
Website:	
Principal Investigator Name: Alt	pert Liu, MD, MPH
Email:	Telephone Number:
Administrative Contact Name:	
Email:	Telephone Number:
Financial Contact Name:	
Email:	Telephone Number:
Invoice/Payment Email:	
Authorized Official Name:	
Email:	Telephone Number:
Legal Address:	
Administrative Address:	
Payment Address:	
-	

# Attachment 3B-2

Highest Compensated Officers

13083sc

## Subrecipient:

Institution Name:	City & County of San Francisco
PI Name:	Albert Liu, MD, MPH

## **Highest Compensated Officers**

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue Code of 1986.

Officer 1 Name:	
Officer 1 Name:	
Officer 2 Name:	
Officer 2 Compensa	ation:
Officer 3 Name:	
Officer 3 Compensa	ation:
Officer 4 Name:	
Officer 4 Compensa	ation:
Officer 5 Name:	
Officer 5 Compensa	ation:

# Attachment 4 Reporting and Prior Approval Terms

Subaward Number:

13083sc

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3A):
Technical Reports:
Monthly technical/progress reports will be submitted to the PTE's Administrative Contact within 15 days of of the end of the month.
Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's Administrative Contact.
Annual technical / progress reports will be submitted within 75 days prior to the end of each budget period to the PTE's Principal Investigator . Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.
A Final technical/progress report will be submitted to the PTE's Principal Investigator within 60 days of the end of the Project Period or after termination of this award, whichever comes first.
Technical/progress reports on the project as may be required by PTE's Principal Investigator in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency.
Prior Approvals:
Carryover: Carryover is automatic
Other Reports:
In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison and PTE's <u>Principal Investigator</u> within 60 days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The <u>Subrecipient will submit</u> a final invention report using Federal Awarding Agency specific forms to the PTE's <u>Principal Investigator</u> within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency. A negative report is required: <u>No</u>
Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below.

# Additional Technical and Reporting Requirements:

 

 Attachment 5 Statement of Work, Cost Sharing, Indirects & Budget
 13083sc

 Statement of Work
 Statement of Work

 Below
 Attached, pages

 If award is FFATA eligible and SOW exceeds 4000 characters, include a Subrecipient Federal Award Project Description

**Budget Information** 

Indirect Information Indirect Cost Rate (IDC) Applied 25 %	Cost Sharing No
Rate Type: Other (add in blank box) Salary and Benefits	If Yes, include Amount: \$
Budget Details OBelow Attached, pages	
	Budget Totals
	Direct Costs \$ 43,647.00
	Indirect Costs \$ 10,912.00
	Total Costs \$ 54,559.00
	All amounts are in United States Dollars

## City and County of San Francisco

Scope of work

Start/End Dates: September 1, 2021 – August 31, 2022

## Sub Project Director Name: Albert Liu

The scope of work included in the participation of the City and County of San Francisco in the 2021 EHE CFAR/ARC Supplement entitled "HOPE: Developing a Status Neutral, Mobile Health Clinic Model in Alameda County" includes providing overall leadership and scientific direction to the project, including project planning, implementation of stakeholder engagement and implementation pilot, and analysis and dissemination of findings.

Liu, Albert

THROUGH

# DETAILED BUDGET FOR INITIAL BUDGET PERIOD DIRECT COSTS ONLY

09/01/21 08/30/22

FROM

List PERSONNEL (Applicant organization only)

Use Cal, Acad, or Summer to Enter Months Devoted to Project Enter Dollar Amounts Requested *(omit cents)* for Salary Requested and Fringe Benefits

Enter Dollar Amounts Requested	· · · · · ·	ry Request	ed and Frin	ige Benefit	s	,	r	
NAME	ROLE ON PROJECT	FTE	Acad. Mnths	Summer Mnths	INST. BASE SALARY	SALARY REQUESTED	FRINGE BENEFITS	TOTAL
Liu, Albert	PD/PI	0.070	12.00		199,300	13,951	5,580	19,531
Buchbinder, Susan	Co-I	0.050	12.00		199,300	9,965	3,986	13,951
Scott, Hyman	Co-I	0.036	12.00		199,300	7,260	2,904	10,165
, <b></b>						,	,	-,
	SUBTOTALS					31,176	12,471	43,647
CONSULTANT COSTS								0
EQUIPMENT (Itemize)								
								0
SUPPLIES (Itemize by category)								
TRAVEL								0
	_							
INPATIENT CARE COSTS								C
OUTPATIENT CARE COSTS								0
ALTERATIONS AND RENOVATI	ONS (Itemize by cate	egory)						_
OTHER EXPENSES (Itemize by	category)							0
				1				0
CONSORTIUM/CONTRACTUAL								
SUBTOTAL DIRECT CO	STS FOR INITI	AL BUD	GET PE	RIOD (It	em 7a, Face Pa	age)	DIRECT COSTS	43,647
CONSORTIUM/CONTRACTUAL				25.00%	FACILITIE	S AND ADMINIS	TRATIVE COSTS	10,912
TOTAL DIRECT COSTS	FOR INITIAL B	BUDGET		D				54,559
PHS 398 (Rev. 6/09)			Page					Form Page 4

# BUDGET JUSTIFICATION Subcontract: City and County of San Francisco Budget Period: 09/01/2021 through 8/31/2022

## Total Senior Key Personnel Year 1: \$43,647 Includes Fringe Benefits at 40%.

**Fringe**: Budgeted at 40% of personnel costs (wages), payroll taxes and fringe benefits include employer's share of federal, state, and locally mandated payroll taxes; health, and dental insurance premiums; unemployment and disability insurance premiums; and employer's and employee's contribution to employee retirement plans.

Fringe Benefit Component	Rate
Unemployment Insurance	0.26%
Social Sec-Medicare(HI Only)	1.39%
Social Security (OASDI & HI)	5.94%
Life Insurance	0.02%
Dental Coverage	0.52%
Retiree Health-Match-Prop B	1.00%
Health Service-City Match	8.00%
Retire City Misc	22.87%
TOTAL	40.00%

# A. PERSONNEL

Albert Liu, MD, MPH (CCSF Subcontract Principal Investigator/Supplement Project Director): salary requested at 0.84 calendar months in year 1. Dr. Liu is Clinical Research Director at Bridge HIV at the San Francisco Department of Public Health and Associate Clinical Professor of Medicine at the University of California, San Francisco (UCSF). Dr. Liu is a well-established, successful, clinical investigator who has been conducting clinical studies developed by NIH HIV/AIDS Clinical Trials Networks for 15 years. As PI, Dr. Liu will be responsible for leading the overall scientific, operational, and administrative aspects of the study.

## Susan Buchbinder, MD (Co-Investigator): salary requested at 0.60 calendar months year 1.

Dr. Buchbinder is Director of Bridge HIV at the San Francisco Department of Public Health and Clinical Professor of Medicine, Epidemiology and Biostatistics at the University of California, San Francisco. She provides scientific leadership in the NIH-sponsored HIV Vaccine Trials Network and HIV Prevention Trials Network and leads several multi-site HIV prevention efficacy trials, with a focus on advancing integrated prevention strategies in diverse populations of MSM and transgender people in the US and globally. Dr. Buchbinder will assist with development of interview guides and survey instruments and analysis and dissemination of findings.

**Hyman Scott, MD, MPH (Co-Investigator): salary requested at 0.43716 calendar months in year 1.** Dr. Scott is the Medical Director of Clinical Research at Bridge HIV at the San Francisco Department of Public Health (SFDPH), Medical Director of San Francisco AIDS Foundation (SFAF) and Assistant Clinical Professor of Medicine, Division of HIV, ID, Global Medicine, University of California, San Francisco. He currently directs clinical operations at Bridge HIV and leads PrEP implementation within the SFDPH. Dr. Scott will work with Dr. Wilson to prepare the Bridge HIV mobile van for delivery of HIV status. neutral services. C. Equipment Description

None

D. Travel

None

E. Participant Support Costs None

F. Other Direct Costs None

G. Direct Costs: \$43,647

H. Indirect Costs
Indirect Cost Type: Salaries and Benefits
Indirect Cost Rate: 25%
Total Indirect Costs:
\$10,912
Indirect cost rate based on memo from March 3, 2021 for the Population Health and Prevention-Public Health
Division of the City and County of San Francisco. Indirect costs are requested at 25%.

I. Total Direct and Indirect Costs: \$54,559

# Attachment 6

Notice of Award (NOA) and any additional documents



The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.



Not incorporating the NOA or any additional documentation to this Subaward.



Recipient Information	Federal Award Information	
1. Recipient Name		
REGENTS OF THE UNIVERSITY OF	11. Award Number	
CALIFORNIA, SAN FRANCISCO, THE	5P30AI027763-30	
1855 FOLSOM ST STE 425		
SAN FRANCISCO, CA 94143	12. Unique Federal Award Identification Number (FAIN)	
	P30AI027763	
2. Congressional District of Recipient	13. Statutory Authority	
12	42 USC 241 42 CFR 52	
3. Payment System Identifier (ID)	14. Federal Award Project Title	
1946036493A6	UCSF-Gladstone Center for AIDS Research	
4. Employer Identification Number (EIN)		
946036493	15. Assistance Listing Number	
540030455	93.855	
5. Data Universal Numbering System (DUNS)	10 August Listing Descent Title	
094878337	16. Assistance Listing Program Title	
	Allergy and Infectious Diseases Research	
6. Recipient's Unique Entity Identifier	17. Award Action Type	
	Non-Competing Continuation	
7. Project Director or Principal Investigator	18. Is the Award R&D?	
Monica Gandhi, MD Professor Of Clinical Medicine	Yes	
monica.gandhi@ucsf.edu		
(415) 260-6709	Summary Federal Award Financial Information	
()	19. Budget Period Start Date 09/01/2021 – End Date 08/31/2022	
8. Authorized Official	<b>20. Total Amount of Federal Funds Obligated by this Action</b> \$3,354	
Julie C. Tang	20 a. Direct Cost Amount \$2,688	
cgawardteam@ucsf.edu		5,832
(628) 206-2268	21. Authorized Carryover	\$0
	<ul> <li>22. Offset</li> <li>23. Total Amount of Federal Funds Obligated this budget period \$3,354</li> </ul>	\$0 1 700
Federal Agency Information	23. Total Approved Cost Sharing or Matching, where applicable	+,700 \$0
9. Awarding Agency Contact Information	<b>25. Total Federal and Non-Federal Approved this Budget Period</b> \$3,354	
PAULA Acevedo		
Grants Management Specialist	<b>26. Project Period Start Date</b> 03/01/1997 – End Date 08/31/2022	
NATIONAL INSTITUTE OF ALLERGY AND	27. Total Amount of the Federal Award including Approved Cost \$20,628	3,036
INFECTIOUS DISEASES	Sharing or Matching this Project Period	
paula.acevedo@nih.gov		
301-435-2860	28. Authorized Treatment of Program Income	
10. Program Official Contact Information	Additional Costs	
Eric William Refsland	20. Create Management Officer Circuit	
	29. Grants Management Officer - Signature Ann W. Devine	
NATIONAL INSTITUTE OF ALLERGY AND		
INFECTIOUS DISEASES eric.refsland@nih.gov		
301-761-7193		

#### 30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



CENTER GRANT Department of Health and Human Services National Institutes of Health



NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

SECTION I – AWARD DATA – 5P30AI027763-30

Principal Investigator(s): Monica Gandhi, MD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$3,354,700 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Allergy And Infectious Diseases of the National Institutes of Health under Award Number P30AI027763. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <a href="http://grants.nih.gov/grants/policy/coi/">http://grants.nih.gov/grants/policy/coi/</a> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Ann W. Devine Grants Management Officer NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

Salaries and Wages	\$899,738
Fringe Benefits	\$371,513
Personnel Costs (Subtotal)	\$1,271,251
Consultant Services	\$15,000
Materials & Supplies	\$57,433
Travel	\$10,000
Other	\$1,010,016
Subawards/Consortium/Contractual Costs	\$325,168
Federal Direct Costs	\$2,688,868
Federal F&A Costs	\$665,832
Approved Budget	\$3,354,700
Total Amount of Federal Funds Authorized (Federal Share)	\$3,354,700
TOTAL FEDERAL AWARD AMOUNT	\$3,354,700
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$3,354,700

SUMMARY TOTALS FOR ALL YEARS (for this Document Number)		
YR	THIS AWARD	CUMULATIVE TOTALS
30	\$3,354,700	\$3,354,700

#### Fiscal Information:

Payment System Identifier:	1946036493A6
Document Number:	PAI027763G
PMS Account Type:	P (Subaccount)
Fiscal Year:	2021

IC	CAN	2021
HD	8014713	\$133,730
AI	8017495	\$241,366
MD	8019794	\$60,662
AI	8040373	\$64,449
AI	8051385	\$310,505
AG	8470707	\$59,994
DK	8472284	\$64,449
AI	8472375	\$1,551,551
DA	8472633	\$220,732
CA	8474821	\$353,496
HL	8475178	\$293,766

#### NIH Administrative Data:

PCC: A26A / OC: 41025 / Released: Devine, Ann 09/02/2021 Award Processed: 09/03/2021 12:26:01 AM

#### SECTION II – PAYMENT/HOTLINE INFORMATION – 5P30AI027763-30

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <a href="http://grants.nih.gov/grants/policy/awardconditions.htm">http://grants.nih.gov/grants/policy/awardconditions.htm</a>

#### SECTION III – STANDARD TERMS AND CONDITIONS – 5P30AI027763-30

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at http://grants.nih.gov/grants/policy/awardconditions.htm for certain references cited above.)

**Research and Development (R&D):** All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is excluded from Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <a href="http://grants.nih.gov/grants/policy/awardconditions.htm">http://grants.nih.gov/grants/policy/awardconditions.htm</a> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) P30AI027763. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

This award is not subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <u>http://publicaccess.nih.gov/</u>.

This award represents the final year of the competitive segment for this grant. See the NIH Grants Policy Statement Section 8.6 Closeout for complete closeout requirements at: <a href="http://grants.nih.gov/grants/policy/policy.htm">http://grants.nih.gov/grants/policy/policy.htm</a>

A final expenditure Federal Financial Report (FFR) (SF 425) must be submitted through the eRA Commons (Commons) within 120 days of the period of performance end date; see the NIH Grants Policy Statement Section 8.6.1 Financial Reports, <u>http://grants.nih.gov/grants/policy/policy.htm#gps</u>, for additional information on this submission requirement. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) quarterly cash transaction data. A final quarterly federal cash transaction report is not required for awards in PMS B subaccounts (i.e.,

awards to foreign entities and to Federal agencies). NIH will close the awards using the last recorded cash drawdown level in PMS for awards that do not require a final FFR on expenditures or quarterly federal cash transaction reporting. It is important to note that for financial closeout, if a grantee fails to submit a required final expenditure FFR, NIH will close the grant using the last recorded cash drawdown level. If the grantee submits a final expenditure FFR but does not reconcile any discrepancies between expenditures reported on the final expenditure FFR and the last cash report to PMS, NIH will close the award at the lower amount. This could be considered a debt or result in disallowed costs.

A Final Invention Statement and Certification form (HHS 568), (not applicable to training, construction, conference or cancer education grants) must be submitted within 120 days of the expiration date. The HHS 568 form may be downloaded at: <u>http://grants.nih.gov/grants/forms.htm</u>. This paragraph does not apply to Training grants, Fellowships, and certain other programs—i.e., activity codes C06, D42, D43, D71, DP7, G07, G08, G11, K12, K16, K30, P09, P40, P41, P51, R13, R25, R28, R30, R90, RL5, RL9, S10, S14, S15, U13, U14, U41, U42, U45, UC6, UC7, UR2, X01, X02.

Unless an application for competitive renewal is submitted, a Final Research Performance Progress Report (Final RPPR) must also be submitted within 120 days of the period of performance end date. If a competitive renewal application is submitted prior to that date, then an Interim RPPR must be submitted by that date as well. Instructions for preparing an Interim or Final RPPR are at: <a href="https://grants.nih.gov/grants/rppr/rppr\_instruction\_guide.pdf">https://grants.nih.gov/grants/rppr/rppr\_instruction\_guide.pdf</a>. Any other specific requirements set forth in the terms and conditions of the award must also be addressed in the Interim or Final RPPR. *Note that data reported within Section I of the Interim and Final RPPR forms will be made public and should be written for a lay person audience*.

NIH strongly encourages electronic submission of the final invention statement through the Closeout feature in the Commons, but will accept an email or hard copy submission as indicated below.

Email: The final invention statement may be e-mailed as PDF attachments to: <u>NIHCloseoutCenter@mail.nih.gov</u>.

Hard copy: Paper submissions of the final invention statement may be faxed to the NIH Division of Central Grants Processing, Grants Closeout Center, at 301-480-2304, or mailed to:

National Institutes of Health Office of Extramural Research Division of Central Grants Processing Grants Closeout Center 6705 Rockledge Drive Suite 5016, MSC 7986 Bethesda, MD 20892-7986 (for regular or U.S. Postal Service Express mail) Bethesda, MD 20817 (for other courier/express deliveries only)

NOTE: If this is the final year of a competitive segment due to the transfer of the grant to another institution, then a Final RPPR is not required. However, a final expenditure FFR is required and should be submitted electronically as noted above. If not already submitted, the Final Invention Statement is required and should be sent directly to the assigned Grants Management Specialist.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Eunice Kennedy Shriver National Institute Of Child Health & Human Development (NICHD) National Institute Of Allergy And Infectious Diseases (NIAID) National Institute On Minority Health And Health Disparities (NIMHD) National Institute On Aging (NIA) National Institute Of Diabetes And Digestive And Kidney Diseases (NIDDK) National Institute On Drug Abuse (NIDA) National Cancer Institute (NCI) National Heart, Lung, And Blood Institute (NHLBI) In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

#### SECTION IV - AI SPECIFIC AWARD CONDITIONS - 5P30AI027763-30

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

The conditions of award incorporate the following items proposed by the applicant in the grant application dated **07/28/2016**, and include the modifications, based on the recommendations of the Initial Review Committee, submitted by the applicant on **03/07/2017**.

- CFAR Policies and Procedures
- CFAR Management Plan CFAR Strategic Plan
- CFAR Advisory Committee(s) authority and responsibility

Any changes in these items from the Notice of Award will require concurrence of NIH.

Under governing regulations, grant funds shall not be expended for Research projects or activity involving human subjects or animal subjects without the approval of the Institutional Review Board (IRB) or Institutional Animal Care and Use Committee (IACUC) in accordance with the approved Assurance on file with the Office of Human Research Protection (OHRP) or the Office of Laboratory Animal Welfare (OLAW), respectively.

THIS REGULATION ALSO APPLIES TO ALL DEVELOPMENTAL PROJECTS FUNDED THROUGH THE CFAR'S DEVELOPMENTAL CORE. IT IS THE CFAR INSTITUTION'S RESPONSIBILITY TO ENSURE THAT ALL DEVELOPMENTAL AWARDS INVOLVING HUMAN SUBJECTS OR ANIMAL SUBJECTS HAVE IRB OR IACUC APPROVAL IN ACCORDANCE WITH THE APPROVED ASSURANCE ON FILE WITH OHRP OR OLAW.

No funds may be provided for projects involving foreign sites unless approval is received by email notification. This includes developmental awards that have foreign involvement. If developmental awards involve international studies, prior approval must be received by NIAID Program and Grants Management Staff.

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This award provides supplemental funds of \$ 310,505 Total Costs for **Developing a Status Neutral, Mobile Health Clinic Model in Alameda County**. These funds provide support for the period **09/01/2021 - 08/31/2022**. These funds are restricted for stated purpose, in request dated **04/19/2021**, from **University of California, San Francisco**, and may not be rebudgeted or used for any other purpose, without NIAID awarding unit approval.

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This Notice of Award (NoA) includes funds for activity with **Vitalant Research Institute** in the amount of **\$18,934** (**\$11,961** direct costs + **\$6,973** F&A costs).

This Notice of Award (NoA) includes funds for activity with **San Francisco Department of Public Health** in the amount of **\$27,999** (**\$24,999** direct costs + **\$3,000** F&A costs).

This Notice of Award (NoA) includes funds for activity with **J. David Gladstone Institutes** in the amount of **\$116,584** (**\$61,750** direct costs + **\$54,834** F&A costs).

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The Research Performance Progress Report (RPPR), Section G.9 (Foreign component), includes reporting requirements for all research performed outside of the United States. Research conducted at all foreign sites must be reported in your RPPR:

Bafoussam Regional Hospital Blood Bank, CAMEROON Safe Blood for Africa Foundation, CAMEROON University of Yaounde, CAMEROON University Teaching Hospital of Yaounde, CAMEROON Yaounde Central Hospital Blood Bank, CAMEROON Dr. Luis Morillo King Hospital, DOMINICAN REPUBLIC Hospital Luis L. Bogaert, DOMINICAN REPUBLIC La Universidad Autonoma de Santo Domingo (UASD), DOMINICAN REPUBLIC Institut National de la Transfusion Sanguine, FRANCE Kerman university of Medical Sciences, IRAN Tehran university of Medical Sciences, IRAN Family Aids Care and Education Services (FACES) - Kisumu, KENYA Kenya Medical Research Institute (KEMRI), KENYA Sindo Sub-County Hospital, KENYA Lebanese American University, LEBANON MENA Organization for Services, Advocacy, Integration & Capacity Building (MOSAIC), LEBANON LoveYourself, Inc., PHILLIPPINES Bunumbu Community Health Center, SIERRA LEONE Daru Community Health Center, SIERRA LEONE Jaima Nimikoro Community Health Center, SIERRA LEONE Joioima Community Health Center, SIERRA LEONE Kainkordu Community Health Center, SIERRA LEONE Kamara Community Health Center, SIERRA LEONE Kangama Community Health Center, SIERRA LEONE Koindu Community Health Center, SIERRA LEONE Nixon Hospital, SIERRA LEONE Partners in Health, SIERRA LEONE Pendembu Community Health Center, SIERRA LEONE Tombodu Community Health Center, SIERRA LEONE Yengema Community Health Center, SIERRA LEONE Yormandu Community Health Center, SIERRA LEONE Human Sciences Research Council, SOUTH AFRICA University of KwaZulu-Natal. SOUTH AFRICA AIDS Health Care Foundation - Uganda Cares, UGANDA AIDS Support Organization (TASO), UGANDA Bale Health Center, UGANDA Bishop Asili Health Center, UGANDA Good Health for Women Project (GHWP), UGANDA Infectious Diseases Institute, UGANDA Infectious Disease Research Collaboration, UGANDA Kalisizo Hospital, UGANDA Kojja Health Centerm UGANDA Makerere University College of Health Sciences, UGANDA Masaka Regional Referral Hospital, UGANDA Medical Research Council at Uganda Virus Research Institute, UGANDA MRC/UVRI and LSHtm Uganda Researcj Unit, UGANDA Mulago Hospital, UGANDA Mwea Health Center, UGANDA Nakasongola Health Center, UGANDA St. Balikuddembe Market Clinic, UGANDA

Uganda Malaria Surveillance Project - Infectious Disease RC, UGANDA Uganda National Council for Science and Technology (UNCST), UGANDA Centre for Infectious Disease Research in Zambia, ZAMBIA Chongwe Clinic (Zambia Ministry of Health), ZAMBIA George Clinic and Chingwe Clinic, ZAMBIA Kanyama Clinic, ZAMBIA University Teaching Hospital and Kanyama Clinic, ZAMBIA

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This award may include collaborations with and/or between foreign organizations. Please be advised that short term travel visa expenses are an allowable expense on this grant, if justified as critical and necessary for the conduct of the project.

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This award is co-funded by NIA, NIDCR, NINR, NHLBI, NICHD, NIDA, NIDDK, NIMHD, NIMH, NCI, and the National Institute of Allergy and Infectious Diseases. All publications, posters, oral presentations at scientific meetings, seminars, and any other forum in which results of this co-funded research are presented must include a formal acknowledgement of the NIA, NIDCR, NINR, NHLBI, NICHD, NIDA, NIDDK, NIMHD, NIMH, NCI and NIAID support, citing the NIAID grant number as identified on this award document.

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This award is subject to the Clinical Terms of Award referenced in the NIH Guide for Grants and Contracts, July 8, 2002, NOT AI-02-032. These terms and conditions are hereby incorporated by reference, and can be accessed via the following World Wide Web address:

<u>https://www.niaid.nih.gov/grants-contracts/niaid-clinical-terms-award</u> All submissions required by the NIAID Clinical Terms of Award must be forwarded electronically or by mail to the responsible NIAID Program Official identified on this Notice of Award.

#### SPREADSHEET SUMMARY

AWARD NUMBER: 5P30AI027763-30

#### **INSTITUTION:** The Regents of the UCSF

| Budget                                 | Year 30     |
|--|-------------|
| Salaries and Wages                     | \$899,738   |
| Fringe Benefits                        | \$371,513   |
| Personnel Costs (Subtotal)             | \$1,271,251 |
| Consultant Services                    | \$15,000    |
| Materials & Supplies                   | \$57,433    |
| Travel                                 | \$10,000    |
| Other                                  | \$1,010,016 |
| Subawards/Consortium/Contractual Costs | \$325,168   |
| TOTAL FEDERAL DC                       | \$2,688,868 |
| TOTAL FEDERAL F&A                      | \$665,832   |
| TOTAL COST                             | \$3,354,700 |

| Facilities and Administrative Costs | Year 30     |
|-------------------------------------|-------------|
| F&A Cost Rate 1                     | 26%         |
| F&A Cost Base 1                     | \$2,219,277 |
| F&A Costs 1                         | \$577,012   |
| F&A Cost Rate 2                     | 61.5%       |
| F&A Cost Base 2                     | \$144,423   |
| F&A Costs 2                         | \$88,820    |