### **BOARD of SUPERVISORS**



City Hall
Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 554-5227

# MEMORANDUM

## **RULES COMMITTEE**

## SAN FRANCISCO BOARD OF SUPERVISORS

TO:

Supervisor Jane Kim, Chair

**Rules Committee** 

FROM:

Linda Wong, Committee Clerk

DATE:

April 4, 2011

SUBJECT:

COMMITTEE REPORT, BOARD MEETING

Tuesday, April 5, 2011

The following file should be presented as **COMMITTEE REPORT** at the Board meeting on Tuesday, April 5, 2011. This item was acted upon at the Committee Meeting on March 31, 2011 at 1:30 p.m., by the vote indicated.

Item No. 12

File No. 110142

# [Appointment, Ethics Commission - Dorothy Liu]

Motion appointing Dorothy Liu, term ending February 1, 2017, to the Ethics Commission. (Clerk of the Board)

RECOMMENDED AS COMMITTEE REPORT

Vote: Kim – Aye

Elsbernd – Aye Farrell – Aye

c: Board of Supervisors
Angela Calvillo, Clerk of the Board

Cheryl Adams, Deputy City Attorney

Rick Caldeira, Legislative Deputy Director

				142	

<b>MOTION</b>	NO.	

[Appointment – Ethics Commission]

Motion appointing Supervisor Dorothy Liu, term ending February 1, 2017, to the Ethics Commission.

MOVED, That the Board of Supervisors of the City and County of San Francisco does hereby appoint the hereinafter designated person to serve as a member of the Ethics Commission, pursuant to the provisions of the San Francisco Charter Section 15.100, for the term specified:

Dorothy Liu, seat 1, Eileen Hansen, term expired, must be broadly representative of the general public, for the unexpired portion of a six-year term ending February 1, 2017.



# Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 (415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions and Committees
Application for Appointment to: Ethics Commission Name of Board, Commission, Committee, or Task Force
Seat # or Category (If applicable): Vacant Seat 1 District:
Name: Dorothy S. Liu
Home Address: — 8th Avenue, San Francisco, CA Zip: 94122
Home Phone: (415) (cell) Occupation: Attorney
Work Phone: (415) 995-5046 Employer: Hanson Bridgett, LLP
Business Address: 425 Market Street, 26th Floor, San Francisco, CA Zip: 94105
Business E-Mail: dliu@hansonbridgett.com Home E-Mail:
Check All That Apply:
A citizen of the United States. 🗸 At least 18 years old on or before Election Day. 🗸
Not in prison or on parole for a felony conviction
A resident of San Francisco
Please state your qualifications (attach supplemental sheet if necessary)
Please see enclosed Supplemental Attachment.
Education:
J.D., University of Washington School of Law (1997)  B.A., Stanford University (1992)
Business and/or professional experience:
Please see enclosed Supplemental Attachment.
Civic Activities:  Asian American Bar Association of the Greater Bay Area (Co-Chair of the Community Services Committee, 2003-2007). Please also see enclosed Supplemental Attachment.
Ethnicity: (optional) Asian American Sex: (optional) M 🗸 F
Have you attended any meetings of the Board/Commission to which you wish appointment? ☐Yes ✓ No
For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (Applications must be received 10 days before the scheduled hearing.)  (Please Note: Once Completed, this form, including all attachments, become public record)
Date: 3-8-2011 Applicant's Signature: (required)
FOR OFFICE USE ONLY: Appointed to Seat #: Term Expires: Date Seat was Vacated:

# SUPPLEMENTAL ATTACHMENT FOR APPLICATION FOR ETHICS COMMISSION: QUALIFICATIONS FOR DOROTHY LIU

## Qualifications:

I've resided and worked in San Francisco since 1999 and would be honored at an opportunity to serve on the Ethics Commission.

I am a partner at the law firm Hanson Bridgett, practicing labor and employment law. As part of my practice, I regularly advise and counsel large organizations on employment compliance and human resources matters. Over the years, I've grown to place great value on building trust both with employees and with communities in advising on oversight and compliance issues. I believe that the Ethics Commission likewise plays an integral part in building trust in City government when residents are confident in the oversight of how City government is run.

I also believe in finding ways to use my skills and contribute to my community. Throughout my legal career, and also as an undergraduate and as a law student, I've always made time to volunteer in the public sector. While at Stanford University (B.A., class of 1992), I interned for the San Francisco District Attorney's Consumer Fraud unit, investigating consumer complaints against businesses, and I also volunteered in San Francisco's Chinatown, teaching photography to at-risk youth.

During law school at the University of Washington (class of 1997), I interned for the Northwest Immigrant Rights' Project, handling immigration cases for juveniles. I also was a member of the Ninth Circuit's Pro Se Advocacy Pro Bono project, where my teammates and I briefed and argued an appeal before the Ninth Circuit on behalf of a pro se litigant, successfully obtaining a new trial based on an erroneous jury instruction.

Following law school, I clerked for two years for The Honorable Walter E. Webster, Jr. at the Washington State Court of Appeals before moving back to San Francisco in 1999, when I entered private practice at Hanson Bridgett.

While in private practice, I continued to make time to volunteer in the San Francisco community. From 2003 through 2007, I served as co-chair of the Community Services Committee for the Asian American Bar Association (AABA). During my tenure, we formalized a partnership with the Chinatown Community Development Center (CCDC) on community rebuilding projects, such as cleaning graffiti in Chinatown, weeding and gardening for residents at public housing, and re-painting community buildings. We also partnered with both the Asian Law Caucus and with Asian Pacific Island Legal Outreach (APILO) to run weekly legal clinics for low income San Francisco residents.

I would consider it a high honor to serve on the Ethics Commission as an opportunity to give back to the San Francisco community. Although I would be humbled by the opportunity, I believe I am a worthy candidate who would serve the City well with hard work, competence and compassion. Thank you for your consideration of my application.

Supplemental Attachment for Ethics Commission Application: Qualifications for Dorothy Liu Page Two

## **Education:**

J.D., University of Washington School of Law (1997) B.A., Stanford University (1992)

## Academic Distinctions:

- Law Clerk to The Honorable Walter E. Webster, Jr., Washington State Court of Appeals (1997-1999)
- Extern to The Honorable Thomas S. Zilly, United States District Court, Western District of Washington (1995)
- Executive Articles Editor, PACIFIC RIM LAW & POLICY JOURNAL (1996-1997)

## Business and/or Professional Experience:

I joined Hanson Bridgett in 1999. I've practiced labor and employment law since that time, representing both public and private employers with unionized and non-unionized workforces. As a partner in Hanson Bridgett's Labor & Employment Section, I regularly advise and counsel employers on a wide range of employment issues, including compliance with California's Labor Code, conducting internal audits for misclassification/exempt status issues, handling workplace investigations, managing leaves of absence and disability accommodations, managing employee discipline and terminations, and drafting employment agreements, separation agreements, and personnel policies.

As part of my practice, I lead wage and hour class action defense litigation in state and federal courts, on issues ranging from off-the-clock and overtime claims to meal and rest period claims and penalties under California's Labor Code. I also handle benefits litigation, as well as single-plaintiff and multiple-plaintiff litigation at the trial and appellate levels, defending employers against claims of race and gender discrimination, sexual harassment, disability discrimination and failure to accommodate, retaliation (including whistleblower retaliation), breach of contract, and wrongful termination.

## **Business Disclosure:**

In addition, I wish to advise the Board of Supervisors and the Ethics Commission that my firm Hanson Bridgett on occasion represents the City and County of San Francisco and, on occasion and with appropriate conflict waivers, is adverse to the City and County. I personally do not work on matters either for or against the City and County of San Francisco, and none of these matters involve issues which the Ethics Commission handles or investigates.

Supplemental Attachment for Ethics Commission Application: Qualifications for Dorothy Liu Page Two

## **Civic Activities:**

- Asian American Bar Association of the Greater Bay Area (Co-Chair of the Community Services Committee, 2003-2007)
- Bar Association of San Francisco (Labor and Employment Section)
- State Bar of California (Labor and Employment Section)
- The National Asian Pacific American Bar Association (NAPABA)

As noted above, while in private practice, I have made time to volunteer in the San Francisco community. From 2003 through 2007, I served as co-chair of the Community Services Committee for the Asian American Bar Association (AABA). During my tenure, we formalized a partnership with the Chinatown Community Development Center (CCDC) on community rebuilding projects, and we also partnered with both the Asian Law Caucus and with Asian Pacific Island Legal Outreach (APILO) to run weekly legal clinics for low income San Francisco residents.

DOROTHY S. LIU

3TH AVENUE
SAN FRANCISCO, CA 94122

March 8, 2011

Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 San Francisco, CA 94102

Re: Application for Ethics Commission

To The Board of Supervisors:

I've resided and worked in San Francisco since 1999 and would be honored at an opportunity to serve on the Ethics Commission.

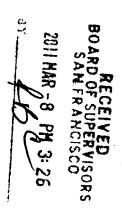
I am a partner at the law firm Hanson Bridgett, practicing labor and employment law. As part of my practice, I regularly advise and counsel large organizations on employment compliance and human resources matters. Over the years, I've grown to place great value on building trust both with employees and with communities in advising on oversight and compliance issues. I believe that the Ethics Commission likewise plays an integral part in building trust in City government when residents are confident in the oversight of how City government is run.

I also believe in finding ways to use my skills to contribute to my community. Throughout my legal career, and also as an undergraduate and as a law student, I've always made time to volunteer in the public sector. While at Stanford University (B.A., class of 1992), I interned for the San Francisco District Attorney's Consumer Fraud unit, investigating consumer complaints against businesses, and I also volunteered in San Francisco's Chinatown, teaching photography to at-risk youth.

During law school at the University of Washington (class of 1997), I interned for the Northwest Immigrant Rights' Project, handling immigration cases for juveniles. I was also a member of the Ninth Circuit's *Pro Se* Advocacy Pro Bono project, where my teammates and I briefed and argued an appeal before the Ninth Circuit on behalf of a *pro se* litigant, successfully obtaining a new trial based on an erroneous jury instruction.

Following law school, I clerked for two years for The Honorable Walter E. Webster, Jr. at the Washington State Court of Appeals before moving back to San Francisco in 1999, when I entered private practice at Hanson Bridgett.

While in private practice, I continued to make time to volunteer in the San Francisco community. From 2003 through 2007, I served as co-chair of the Community Services Committee for the Asian American Bar Association (AABA). During my tenure, we formalized a partnership with the Chinatown Community Development Center (CCDC) on community rebuilding projects, such as cleaning graffiti in Chinatown, weeding and gardening for residents at public housing, and re-painting community buildings. We also partnered with both the Asian Law Caucus and



with Asian Pacific Island Legal Outreach (APILO) to run weekly legal clinics for low income San Francisco residents.

I would consider it a high honor to serve on the Ethics Commission as an opportunity to give back to the San Francisco community. Although I would be humbled by the opportunity, I believe I am a worthy candidate who would serve the City well with hard work, competence and compassion.

If you have any questions or need additional information, please don't hesitate to contact me at <a href="mailto:dliu@hansonbridgett.com">dliu@hansonbridgett.com</a> or (415) 995-5046.

Thank you for your consideration of my application.

Very truly yours,

Dorothy S. Liu

**Enclosures** 



## SAN FRANCISCO OFFICE

426 Market Street, Zoth Floor San Francisco, UA 94105 416 993-5046 Direct Phone 415-541-9366 Fax die/ehansonbridgett con

### Practice Areas/Industries

Labor & Employment

# **Dorothy S. Liu**

Partner

Dorothy S. Liu joined Hanson Bridgett in 1999. She has practiced labor and employment law since that time, representing both public and private employers with unionized and non-unionized workforces. As a partner in Hanson Bridgett's Labor & Employment Section, Dorothy leads wage and hour class action defense litigation in state and federal courts, on issues ranging from off-the-clock and overtime claims to meal and rest period claims and penalties under California's Labor Code. She also handles benefits litigation, as well as single-plaintiff and multiple-plaintiff litigation at the trial and appellate levels, defending employers against claims of race and gender discrimination, sexual harassment, disability discrimination and failure to accommodate, retaliation (including whistleblower retaliation), breach of contract, and wrongful termination.

As part of her practice, Dorothy regularly provides advice counseling to employers on a wide range of employment issues, including compliance with California's Labor Code, conducting internal audits for misclassification/exempt status issues, handling workplace investigations, managing leaves of absence and disability accommodations, managing employee discipline and terminations, and drafting employment agreements, separation agreements, and personnel policies. Her practice includes labor arbitrations and assisting employers with collective bargaining agreements and contract negotiations. Dorothy also represents employers on a regular basis in administrative proceedings, including the Equal Employment Opportunity Commission, the Department of Fair Employment and Housing, and the Division of Labor Standards Enforcement. Dorothy has been featured twice in California Lawyer Magazine's Employment Roundtable.

Prior to joining Hanson Bridgett, Dorothy clerked for two years for The Honorable Walter E. Webster, Jr. at the Washington State Court of Appeals.

#### Publications and Presentations

- Training Your New Supervisors in California: 11 Practical Lessons, Employer Resource Institute Webinar (March 2011)
- HR Self-Audits in California: How to Find (and Fix) the Legal Time Bombs in Your Workplace, Employer Resource Institute Webinar (November 2010)
- Navigating the Web of Disability Leave, Pregnancy Leave, and Reasonable Accommodation Issues under California and Federal Law, (QCHF DD Symposium, Riverside, CA, May 2010)
- Avoiding Employment Nightmares and Minimizing Legal Risks, from Hiring to Separation (Quality Care Health Foundation, Riverside, CA, May 2008)
- Employment Law Roundtable published in California Lawyer (February 2008)
- Family and Medical Leave: The Basic Do's and Don'ts of Handling FMLA Requests, (national audioconference, October 2007)
- Wage & Hour Law Presentation for Residential Care Facilities (Community Education, Temecula, CA, July 2006)
- Employment Law Roundtable published in California Lawyer (January 2006)

# Dorothy S. Liu

### W W W . H A N S O N B R I D G E T T . C O M

- Time Off in California: Leave as an Accommodation Under the ADA and FEHA, and Other Federal and California Statutory Leaves (Lorman Seminar, San Francisco, July 2005)
- Time Off in California: Leaves of Absence (Lorman Seminar, San Francisco, July 2003)
- Labor Code 2002 and Beyond, Employment Law Bulletin, Issue 5 (2001)

### Professional Affiliations

- Asian American Bar Association of the Greater Bay Area (Co-Chair of the Community Services Committee, 2003-2007)
- Bar Association of San Francisco (Labor and Employment Section)
- State Bar of California (Labor and Employment Section)
- The National Asian Pacific American Bar Association (NAPABA)

#### Education

J.D., University of Washington School of Law (1997)

B.A., Stanford University (1992)

### Academic Distinctions

- Law Clerk to The Honorable Walter E. Webster, Jr., Washington State Court of Appeals (1997-1999)
- Extern to The Honorable Thomas S. Zilly, United States District Court, Western District of Washington (1995)
- Executive Articles Editor, Pacific Rim Law & Policy Journal (1996-1997)

### Admissions and Courts

State of California

United States Court of Appeals for the Ninth Circuit

U.S. District Court for the Northern, Eastern, and Central Districts of California

# Letters of Support for Dorothy Liu's Proposed Appointment To The Ethics Commission

- 1. Celia Lee
  - Past President of the Asian American Bar Ass'n
  - Deputy City Attorney
- 2. Edwin Prather of the Law Offices of Edwin Prather
  - Past President of the Asian American Bar Ass'n
- 3. Eumi Lee
  - Associate Clinical Professor of Law at UC Hastings
- 4. Cindy Hwang Chiang
  - Treasurer and campaign counsel to local campaign committees
- 5. Ruth Ann Castro
  - Former Co-Chair of the Community Services Committee of the Asian American Bar Ass'n
- 6. Ginger Baldyga
  - personal friend and resident of San Francisco
- 7. Garner Weng
  - Past President of the Asian American Bar Ass'n
  - Partner, Hanson Bridgett
- 8. Billy Chan of the Law Offices of Billy Chan
  - Past President of the Asian American Bar Ass'n
- 9. Jason Lee
  - Former Co-Chair of the Community Services Committee for the Asian American Bar Ass'n
  - Assistant Counsel of Macy's
- 10. Andrew Giacomini
  - Managing Partner, Hanson Bridgett

BOARD OF SUPERVISORS
SAN FRANCISCO
2011 MAR 17 AM 11:39

# CELIA W. LEE 1390 Market St., 6th Floor San Francisco, CA 94102 415.554.3858 (w) 415.730.3063 (c) celiawlee@gmail.com

March 16, 2011

Rules Committee
San Francisco Board of Supervisors
c/o the Hon. Jane Kim, Chair
City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Re: Letter of Support for Dorothy S. Liu for Appointment to the SF Ethics Commission

Dear Members of the Rules Committee:

l am pleased to write in support of Dorothy S. Liu's application to the San Francisco Ethics Commission.

l have come to know Ms. Liu over the past ten years through our work with the Asian Pacific American (APA) legal community in the San Francisco Bay Area, namely an organization called the Asian American Bar Association of the Greater Bay Area (AABA). I served as AABA's President in 2008-2009, and chaired several committees in the years prior to my presidency, including the Community Service Committee. Ms. Liu served as a member of AABA's Community Service Committee, then as a co-chair from 2003-2007. As an officer and board member of AABA, I collaborated and interacted frequently with her and saw her contributions firsthand to the legal community and the broader San Francisco community.

Ms. Liu was tireless as co-chair of the Community Service Committee. She organized and staffed various monthly legal clinics for the indigent that AABA sponsored in San Francisco and the East Bay. She also worked with other community (non-legal) groups, such as the Chinatown Community Development Center, in organizing twice-yearly service days during which lawyers and other community members came together to assist with clean-up, re-painting, and weeding/ gardening work in housing projects for low-income and working class residents in Chinatown. In addition to the substantive work of the committee itself, as a board member of AABA I also interacted with Ms. Liu in matters pertaining to budget and organizational efficiency. I always found her to be analytical, logical, and extremely responsible; she was always well-prepared at meetings and responsive to my questions. In addition, Ms. Liu is an extremely pleasant person who always conducts herself with decorum and integrity.

Ms. Liu performed all these duties while simultaneously performing at a high level as an attorney at the law firm of Hanson Bridgett LLP, where she eventually became a partner. Her achievements speak to her energy, drive, and her commitment to community and public service. I greatly value her efforts on behalf of our community, and wholeheartedly support her appointment to the Ethics Commission. I have no doubt that she will serve our City well.

Please feel free to call me if you have any additional questions.

Very truly yours,

Celia W. Lee
- President, 2008-2009, Asian American Bar
Association of the Greater Bay Area
- Deputy City Attorney, City and County of San
Francisco



March 16, 2010

Supervisor Jane Kim, Rules Committee Chair Supervisor Sean Elsbernd, Rules Committee Member Supervisor Mark Farrell, Rules Committee Member Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 San Francisco, CA 94102

Re: Dorothy Liu - Ethics Commission Appointment

Dear Committee Chair Kim and Committee Members Elsbernd and Farrell:

I write to express my support for the Board of Supervisors' appointment of Dorothy (Dot) Liu to the San Francisco Ethics Commission.

In my former capacity as President of the Asian American Bar Association of the Greater Bay Area (AABA), Dot served as a chairperson of AABA's high-profile and very demanding Community Services Committee. In working with Dot in this capacity, I found her to be extremely responsive, diligent, and hard working. Dot tackled numerous discrete and difficult legal questions and provided thorough and succinct answers often under short time-constraints. I was thoroughly impressed by her.

Dot further demonstrated a commitment to our community by helping to run AABA's legal clinics providing advice and counsel to the indigent and others in need. She also lead AABA's collaboration with the Chinatown Community Development Center and the joint work on community rebuilding projects in San Francisco.

Dot is well respected as an attorney and a leader in our community. She is no nonsense and does not cut corners. I have always observed Dot to do the right thing. I believe that she will be a valuable asset to the Ethics Commission. I strongly support Dot Liu's appointment. If I can be of any further assistance in your review of her please feel free to contact me.

Very truly yours,

Edwin K. Prather



# UNIVERSITY OF CALIFORNIA HASTINGS COLLEGE OF THE LAW CIVIL JUSTICE CLINIC

100 McALLISTER STREET, SUITE 300 SAN FRANCISCO, CA 94102 (415) 557-7887 ■ FAX (415) 557-7895

Eumi K. Lee, Clinical Attorney Associate Clinical Professor of Law Direct Line: (415) 581-8908 Email: leeeu@uchastings.edu

March 16, 2011

Supervisor Jane Kim, Chair Rules Committee Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 San Francisco, CA 94102

Re: Dorothy ("Dot") Liu

To Supervisor Kim, Supervisor Elsbernd, and Supervisor Farrell:

I am writing to show my support for the Board of Supervisors' appointment of Dot Liu to the San Francisco Ethics Commission.

I am presently serving as on the Board of Directors for the Asian American Bar Association ("AABA") and have been a long-time AABA committee chair. Dot served as a co-chair of AABA's Community Service Committee from 2003 to 2007. During the last year of her term, I worked closely with Dot in coordinating a free monthly clinic by AABA and API Legal Outreach. The clinic is hosted at Hastings and serves low-income Bay Area residents. I found Dot to be responsible, thoughtful, organized, and diligent. She showed an earnest commitment to AABA's Community Service Committee's projects, which included the clinic and working with Chinatown's Community Development Center on various events. After completing her term as co-chair of the committee, Dot has continued to support AABA.

I believe that Dot's diligence, thoughtfulness, humility, and commitment to the community will make her a strong addition to the Ethics Commission.

Sincerely,

Eumi K. Lee

# CINDY HWANG CHIANG

255 Berry Street, #511 San Francisco, CA 94158 Phone: (626) 399-3111 cindyhwang@gmail.com

March 15, 2011

To the Board of Supervisors of the City and County of San Francisco, Rules Committee:

My name is Cindy Hwang Chiang and over the past three years, I have served as treasurer and campaign counsel to a number of local campaign committees. In this capacity, I have worked extensively with the San Francisco Ethics Commission and am familiar with its staff and its procedures. Some of the campaign committees have faced complex issues, and I've worked closely with the Ethics Commission staff to resolve these issues appropriately and quickly. As a result of my experiences, I have a nuanced and thoughtful perspective on how the Ethics Commission can best serve the people of San Francisco.

With this background, I wholeheartedly recommend Dorothy Liu as a Commissioner for the Ethics Commission.

I have known Ms. Liu for over five years, in both a professional and a personal capacity. Ms. Liu's professional background proves that she is well-qualified for the important work carried out by the Ethics Commission. She has demonstrated intellectual ability and creativity, responsiveness, thoughtfulness, discretion — characteristics which are key to the successful workings of the Ethics Commission. As a partner and assistant section head in Hanson Bridgett's Labor & Employment Section, Ms. Liu has over 14 years of experience as a trial litigator. She also regularly appears before administrative agencies to represent her clients' interests. Her caseload is demanding and challenging, and she responds with intellectual creativity and passion. She is successful because she pairs her intellectual prowess with an emphasis on client services. She is responsive to clients and focuses on their overall goals when devising case strategy. She is always prepared to address their concerns, and is discreet and understanding. In addition, I have always known Ms. Liu to be committed to asking tough questions about legal ethics and personal ethics, in all aspects of her life. She seeks out the complexities and complications, and then works to find a solution that is practical and logical.

Ms. Liu's community involvement shows that she is committed to serving the larger San Francisco community. Ms. Liu served as co-chair of the AABA Community Services Committee from 2003-2007. In that capacity, she spearheaded community rebuilding projects in Chinatown and managed a legal clinic for low income San Francisco residents. Serving on the Ethics Commission would merely be an extension of her current commitment to serving all San Francisco residents.

Ms. Liu's professional and personal background are indicative of a smart, committed public servant who would be an asset to the San Francisco Ethics Commission. She would approach her appointment with thoughtfulness, dedication, and discretion. I hope that you will approve her appointment to the Ethics Commission.

Sincerely,

Cindy Hwang Chlang

Ruth Ann Castro 430 Hayes Street, Apt. 301 San Francisco, CA 94102 (415) 621-2169

March 16, 2011

Supervisor Jane Kim, Chair Rules Committee Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 San Francisco, CA 94102

To Supervisor Kim, Supervisor Elsbernd, and Supervisor Farrell:

I write to show my support for the Board of Supervisors' appointment of Dot Liu to the San Francisco Ethics Commission.

I served with Dot as co-chair of the Asian American Bar Association's (AABA) Community Services Committee over several years (from 2003 to 2007). As part of our work on the Community Services Committee, Dot and I worked closely together in partnering with the Chinatown Community Development Center on community rebuilding projects (including painting projects, cleaning up graffiti, and weeding and gardening for Chinatown residents). In partnership with Asian Pacific Islander Legal Outreach (APILO), we also managed the AABA-APILO legal clinic serving low income San Francisco residents. Dot was committed to the projects she took on for AABA, and was always very reliable, organized and responsive.

Apart from our work on the AABA Community Services Committee, Dot and I have also participated in workshops for the last several years specifically designed to prepare first-year minority law students for the job interview process. This program assists minority students in drafting effective resumes and in conducting a successful interview for service in the public or private sectors.

In sum, I believe that Dot's legal skills and commitment to community service will make her a strong addition to the Ethics Commission. Please do not hesitate to call if you have any questions.

Sincerely,

Ruth Ann Castro

Dear Supervisor Kim, Supervisor Elsbernd, and Supervisor Farrell,

I first met Dorothy Liu volunteering at St Martin de Porres soup kitchen over eight years ago. As I have gotten to know Dorothy over the years, I realized that that volunteer work was very typical of how she lives her life. Dot is unfailingly kind, generous, and gracious. This, compared with her intelligence, strength, and integrity make her an invaluable addition to any event, effort, or organization.

As her friend, I rely on her ability to evaluate situations thoroughly and objectively, and to provide me with advice based on wisdom and experience. Regardless of how busy she is with other obligations, Dorothy always makes the time to listen, evaluate, and provide well considered guidance. I am sure that she would bring this same thoughtfulness to the Ethics Commission, and would make significant and constant contributions to this critical role.

Please feel free to contact me if there are any additional details that I can provide.

Thank you,

Ginger Baldyga ginger baldyga@comcast.net (415) 640 2228



GARNER K. WENG PARTNER DIRECT DIAL 415 995 5081 DIRECT FAX 415 995 3468 E-MAIL gweng@hansonbridgett.com

March 16, 2011

Supervisors Jane Kim, Sean Elsbernd, and Mark Farrell Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 San Francisco, CA 94102

Re: San Francisco Ethics Commission

Proposed Appointment of Dorothy Liu

Dear Supervisors Kim, Elsbernd, and Farrell:

I write to express my wholehearted support for the Board of Supervisors' appointment of Dorothy "Dot" Liu to the San Francisco Ethics Commission.

I am a former President of the Asian American Bar Association of the Greater Bay Area and a former Co-Chair and Co-President of the California Minority Counsel Program. I have known Dot since approximately 1996, when we were summer associates together at Hanson Bridgett, the same firm where we are currently partners together. Within our firm and throughout the broader San Francisco legal community, Dot has always enjoyed a well-deserved reputation for being responsive, well-prepared, diligent, and extremely smart. Her partners and clients adore her, and I know that she would bring the same qualities and skills that she brings to her practice to the Commission.

I have also had the opportunity to see Dot's commitment to public service through the Asian American Bar Association. I recruited Dot to head up the Asian American Bar Association's Community Services Committee, and she enthusiastically and willingly took on the role of Co-Chair for several years. She worked hard at getting community service projects under way and always kept the committee moving forward.

Based on my concededly limited knowledge of the Commission through past members and through the Commission's website, I humbly submit that Dot would make an excellent member and would bring 110% commitment to the duties and responsibilities entailed. Please do not hesitate to contact me if I can answer any questions.

Sincerely,

Garner Weng

### THE LAW OFFICES OF

# BILLY CHAN

March 16, 2010

Supervisor Jane Kim, Chair Rules Committee Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 San Francisco, CA 94102

Re: Support of Dot Liu for Ethics Commission

To Supervisor Kim, Supervisor Elsbernd, and Supervisor Farrell:

I write to express my support for the Board of Supervisors' appointment of Dot Liu to the San Francisco Ethics Commission.

I've known Dot for the past 10 years, first as my mentor and then more as a colleague at Hanson Bridgett, and also in my capacity as former Board member and President of the Asian American Bar Association (AABA).

As a colleague at Hanson Bridgett, Dot worked tirelessly as an advocate and officer of the court. She gained a reputation for being extremely responsive and hard working in whatever she tackled - whether it be a case that she was handling or a community service project she was leading as co-chair of AABA's Community Services Committee.

Dot is a well-respected attorney in the San Francisco community and I believe that with her strong commitment and legal skills, she will be a strong addition to the Ethics Commission.

Very truly yours,

Billy Chan

22 Battery Street, Suite 401 San Francisco, CA 94111 415.986.1812 BILLY@CHANLAWOFFICES.COM

### March 16, 2010

Board of Supervisors of the City and County of San Francisco, Rules Committee 1 Dr. Carlton B. Goodlett Place San Francisco, CA 94102-4689

To Whom It May Concern:

My name is Jason E. Lee. I am a resident of San Francisco and in house counsel for Macy's, Inc. It is with great pleasure that I recommend Dorothy Liu to be appointed to the SF Ethics Commission. Dot is a hardworking, honest person who is well suited to the position.

I have known Dot for over eight years. I first met her through the Asian American Bar Association ("AABA"). We served as co-chairs on the Community Services Committee ("CSC") for approximately five years. During this time, she managed a monthly legal services program for low income residents. This entailed recruiting volunteer attorneys, training, and coordinating the operation of the legal clinic. She also provided pro bono advice to clinic intakes.

As co-chair of the CSC, Dot also led volunteer cleanup projects with the Chinatown Community Development Center. For at least two years, she organized teams of AABA volunteers to perform yard work and paint low income residences in Chinatown.

Throughout my time working with Dot, I have found her to be someone who takes her responsibilities seriously. She is always well prepared and even-keeled. She thinks through projects and is not afraid to ask questions. In fact, I have been so impressed with Dot's work ethic that I have recommended to Macy's that she be retained to represent the company in litigation matters.

Based on my experience, if Dot is appointed to the Ethics Commission, I have no doubt that she will perform her duties diligently and with the utmost integrity.

Very Truly Yours,

Jason E. Lee



ANDREW G. GIACOMINI MANAGING PARTNER DIRECT DIAL 415 995 5059 DIRECT FAX 415 995 3475 E-MAIL agiacomini@hansonbridgett.com

March 16, 2011

Rules Committee
Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102

Re: Dorothy Liu

Dear Supervisor Kim, Supervisor Elsbernd, and Supervisor Farrell:

I am writing with enthusiasm to support Dorothy Liu's candidacy for the San Francisco Ethic's Commission. I have personally known Dorothy since 1996, when she joined Hanson Bridgett as a Law Clerk as part of our summer program. She has been with us ever since and was elected into our partnership in 2005. You would be fortunate to have her as part of the Commission.

Dorothy's dedication and loyalty to the firm and her clients is unparalleled. She is responsive and responsible; analytical, well organized and well prepared. You can count on her to work hard for the Commission and always take her responsibilities seriously. As a member of the Commission, I would expect Dorothy will apply logic and ask probing questions, while at the same time help to provide an even-keel, always conducting herself with decorum and integrity.

In selecting Dorothy to the Commission, you will also benefit from her dedication to giving back to the community, which I am sure is the primary motivation for her willingness to take on this important role for the City. I know that Dorothy devoted years of services as co-chair of the Asian American Bar Association's Community Services Committee, where she worked on community rebuilding projects in Chinatown and running a legal clinic for low income San Francisco residents. I am confident that she will provide the same level of energy, commitment and leadership to her work on the Commission.

If I can provide any additional information supporting Dorothy's candidacy, I hope that you will ask me to do so.

Very truly yours,

AGG:cr



KIMON MANOLIUS
PARTNER
DIRECT DIAL 415 995 5841
DIRECT FAX 415 541 3508
REPLY TO SAN FRANCISCO
E-MAIL kmanolius@hansonbridgett.com

March 17, 2011

## BY HAND

Jane Kim, Supervisor Board of Supervisors Chair, Rules Committee 1 Dr. Carlton B. Goodlett Place City Hall, Room 244 San Francisco, CA 94102-4689

## Dear Chairperson Kim:

The purpose of this letter is to recommend most strongly Dorothy Liu for the Board of Supervisor's appointment to the City and County of San Francisco's Ethics Commission. As a former member and Vice-Chair of the Ethics Commission, a former Managing Attorney for the City Attorney's Office, and a lawyer with expertise in government, campaign finance, and elections law, I do so without any hesitation whatsoever. She has my wholehearted support.

I only wish I could be with you today to testify on her behalf. I had planned on doing so, but I have been called to attend a client's Board meeting in Monterey County at 2:30 p.m. Ironically, the client has been threatened with an action under the California Voting Rights Act. In its appointment to the Ethics Commission, the Board of course is looking for someone who will be well-prepared, responsive and responsible, who has excellent analytical skills and will ask probing questions, and who is unflappable and will conduct herself with decorum and integrity. Dot is absolutely a most excellent choice.

I have known Dot since I joined Hanson Bridgett in 2002. She is so very bright and analytical. She is a hard worker and thorough in her approach to her clients' legal issues and needs. She is always prepared, and so responsive to her clients' needs. She is masterful at spotting legal issues and asking tough questions so that she fully understands the issue or problem with which she is confronted. She is without a doubt the finest of lawyers. Having served on the Ethics Commission in 2006 as the City Attorney's appointment, I can say from experience that Dot is incredibly well-suited to serve the City's residents on the Commission. The job is so important, and requires a lot of work; I recall spending between 4 and 6 hours preparing for every Commission meeting. Dot is a committed and dedicated individual who will throw herself into this role and give it the attention it demands. She will be an asset, and I cannot imagine that she will not assume a leadership role during her tenure on that body.

But what distinguishes Dot even more is her savviness, political acumen, and ability to work with and motivate those around her. The experiences I had in working as a Deputy City

Chairperson Kim
Rules Committee
San Francisco Board of Supervisors
March 17, 2011
Page 2

Attorney and ultimately managing the City Attorney's Office under Louise Renne were essential components to my ability to understand truly the work of the Commission, its role, and its position in the workings of City government and its political landscape. Dot's work with public sector and private sector clients will give her a similar leg up; she will be able to figure out quickly how things get down within the existing structure. She will be a positive force and have an excellent relationship with the staff that supports the Ethics Commission.

Dot, however, also has the skills and ability to shake things up. She is tenacious and generally will not take "no" for an answer. Her approach is always cordial, but she will motivate those around her by asking challenging questions. She will not assume things should be done the way they are, but will seek to understand if "the way things are" makes sense.

Dot is above all else pragmatic, and has the skill set and drive to be an agent of change. She is a progressive thinker and dedicated to bettering the lives of those around her. She has done a great deal of community service; I hope she has told you about her work as co-chair of the Asian American Bar Association's Community Services Committee where she worked on community rebuilding projects in Chinatown. She also ran a legal clinic for low income San Francisco residents.

In short, there is no better candidate for the Board's appointment to the Ethics Commission. Dot will work hard, provide leadership, and be an agent for change. If you have any questions or require further information, please let me know. I can be reached at (415) 995-5841. I only wish I could speak to Dot's qualifications in person.

Very truly yours,

Kimon Manølius

KM/smc

# CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

# STATEMENT OF ECONOMIC INTERESTS Date Received

COVER PAGE ES 401 MA 111 TOALLY

NΔ	ME OF FILER (LAST)	(FIRST)	S 20 109 11 11	(MIDDLE)
Li		Dorothy		S.
_	Office, Agency, or Court	Dolothy		<b>J.</b>
ι.				
	Agency Name			
	San Francisco Ethics Commission  Division, Board, Department, District, if applicable	Your Position		· · · · · · · · · · · · · · · · · · ·
	Division, Board, Department, District, if applicable			
			or position as co	ommission member
	▶ If filing for multiple positions, list below or on an attachm	nent.		
	Agency:	Position:	·	·
_	Jurisdiction of Office (Check at least one box)	<del></del>		
۷.	State	□ ludgo (Stato	wide Jurisdiction)	
		- '	·	
	Multi-County	County of		<del></del>
	☑ City of San Francisco	Other		
3.	Type of Statement (Check at least one box)		·	
	Annual: The period covered is January 1, 2010, thro 2010.	ugh December 31, Leaving Off (Check one)	ice: Date Left	
	The period covered is/, through 2010.	ugh December 31, O The period leaving of		ary 1, 2010, through the date of
	Assuming Office: Date/	The period of leaving the series of the		_/, through the date
	Candidate: Election YearO	office sought, if different than Part 1:	·	
<del>-</del> -	Schedule Summary	<u> </u>		
	Check applicable schedules or "None."	► Total number of page	es including this co	over page:
	Schedule A-1 - Investments – schedule attached	Schedule C - Inco	ome Loans & Rusii	ness Positions – schedule attached
	Schedule A-2 - Investments – schedule attached	Schedule D - Inco		
	Schedule B - Real Property - schedule attached	<del>_</del>		Payments – schedule attached
	· · · · · · · · · · · · · · · · · · ·	-or-		•
	☐ None - No	o reportable interests on any schedule		
ς.	Verification			
J.	MAILING ADDRESS STREET	CITY	STATE	ZIP CODE
	(Business or Agency Address Recommended - Public Document)		SIAIL .	ZII CODE
	1362 8th Avenue	San Francisco	CA	94122
	DAYTIME TELEPHONE NUMBER	E-MAIL ADDRESS	1 44	
	( 415 ) 995-5046	dliu@hansonbri		noulodge the information east-in-
	I have used all reasonable diligence in preparing this staten herein and in any attached schedules is true and complete			nowleage the information contained
	I certify under penalty of perjury under the laws of the	State of California that the foregoing	is true and correc	et.
	Date Signed 3 - 10 - //	Signature	The sign of the size of the	ment with your filing official.)

# SCHEDULE A-1 Investments

# Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM FAIR POLITICAL PRACTICES C	
Name	
·	·

· · · · · · · · · · · · · · · · · · ·	
NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
Hanson Bridgett, LLP	iShares iBoxx
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Provider of legal services (law firm)	Investment grade corporate bond fund
FAIR MARKET VALUE	FAIR MARKET VALUE
	□ \$2,000 - \$10,000 <b>※</b> \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other(Describe)	Stock Other
➤ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
// 10/ 10	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
iShares S&P Midcap 400	Powershares QQQ Trust
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Growth index fund of iShares trust	Unit investment trust
FAIR MARKET VALUE	FAIR MARKET VALUE
<b> X </b> \$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock ☐ Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / <b>10</b> / / <b>10</b>	/ / 10 / / 10
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
SPDR S&P 500 ETF Trust	Vanguard Emerging
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Unit investment trust	Emerging markets stock
FAIR MARKET VALUE	FAIR MARKET VALUE
<b>2</b> \$2,000 - \$10,000 <b>2</b> \$10,001 - \$100,000	☐ \$2,000 - \$10,000 <b>∑</b> \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
★ Stock	X Stock ☐ Other
(Describe)  Partnership (Income Received of \$0 - \$499	(Describe)  Partnership () Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	1 , , 10 , , 10
//_10	
MOGOINES BIOLOGED	11 Addition Biol Cold
Comments:	

# **SCHEDULE B** Interests in Real Property (Including Rental Income)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name	

STREET ADDRESS OR PRECISE LOCATION	► STREET ADDRESS OR PRECISE LOCATION
318 Arguello Blvd., # 3	<u> </u>
CITY	CITY
San Francisco, CA 94118	
FAIR MARKET VALUE	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000
NATURE OF INTEREST	NATURE OF INTEREST
Ownership/Deed of Trust Easement	Ownership/Deed of Trust Easement
Leasehold Other	Leasehold Other
F RENTAL PROPERTY, GROSS INCOME RECEIVED	IF RENTAL PROPERTY, GROSS INCOME RECEIVED
\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000	\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
S10,001 - \$100,000 OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000
SOURCES OF RENTAL INCOME: If you own a 10% or greater nterest, list the name of each tenant that is a single source of ncome of \$10,000 or more.	SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
ou are not required to report loans from commerci	al lending institutions made in the lender's regular course
of business on terms available to members of the pand loans received not in a lender's regular course	·
of business on terms available to members of the p	ublic without regard to your official status. Personal loar
of business on terms available to members of the pand loans received not in a lender's regular course	ublic without regard to your official status. Personal loar of business must be disclosed as follows:
of business on terms available to members of the pand loans received not in a lender's regular course  NAME OF LENDER*  ADDRESS (Business Address Acceptable)	ublic without regard to your official status. Personal loar of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)
of business on terms available to members of the pand loans received not in a lender's regular course  NAME OF LENDER*  ADDRESS (Business Address Acceptable)	ublic without regard to your official status. Personal loar of business must be disclosed as follows:  NAME OF LENDER*
of business on terms available to members of the pand loans received not in a lender's regular course name of Lender*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	ublic without regard to your official status. Personal loar of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)
of business on terms available to members of the pand loans received not in a lender's regular course NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	ublic without regard to your official status. Personal loar of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER
of business on terms available to members of the pand loans received not in a lender's regular course NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  NTEREST RATE TERM (Months/Years)	ublic without regard to your official status. Personal loar of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)
of business on terms available to members of the pand loans received not in a lender's regular course NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  NTEREST RATE TERM (Months/Years)	ublic without regard to your official status. Personal loar of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)
of business on terms available to members of the pand loans received not in a lender's regular course NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  NTEREST RATE TERM (Months/Years)	ublic without regard to your official status. Personal loar of business must be disclosed as follows:    NAME OF LENDER*
of business on terms available to members of the pand loans received not in a lender's regular course NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  NTEREST RATE TERM (Months/Years)	ublic without regard to your official status. Personal loar of business must be disclosed as follows:    NAME OF LENDER*   ADDRESS (Business Address Acceptable)

# SCHEDULE C Income, Loans, & Business **Positions**(Other than Gifts and Travel Payments)

CALIFORNIA FORM FAIR POLITICAL PRACTICES CO	
Name	

1. INCOME RECEIVED	► 1. INCOME RECEIVED
NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME
Hanson Bridgett, LLP	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
425 Market Street, 26th Floor	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
Provider of legal services (law firm)	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
Partner ·	* .
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED
\$500 - \$1,000 \$1,001 - \$10,000	\$500 - \$1,000 \$1,001 - \$10,000
\$10,001 - \$100,000 X OVER \$100,000	S10,001 - \$100,000 OVER \$100,000
CONSIDERATION FOR WAIRCH INCOME WAS DESCRIVED	
CONSIDERATION FOR WHICH INCOME WAS RECEIVED  Salary Spouse's or registered domestic partner's income	CONSIDERATION FOR WHICH INCOME WAS RECEIVED  Salary Spouse's or registered domestic partner's income
Loan repayment  Partnership	
Loan repayment	│
Sale of (Property, car, boat, etc.)	Sale of(Property, car, boat, etc.)
	<u> </u>
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
	l <b>E</b>
□ Other	Othor
Other(Describe)	Other(Describe)
Other(Describe)	Other(Describe)
☐ Other(Describe)  ► 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PER	(Describe)
(Describe)  LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PER	(Describe)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made	(Describe)  RIOD  I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received
* You are not required to report loans from commercial of a retail installment or credit card transaction, made	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE  TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE  TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE  TERM (Months/Years)  None
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:    INTEREST RATE   TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:    INTEREST RATE   TERM (Months/Years)   None   SECURITY FOR LOAN
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE  TERM (Months/Years)  Mone  SECURITY FOR LOAN  Personal residence
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE  TERM (Months/Years)  Mone  SECURITY FOR LOAN  Personal residence
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE  TERM (Months/Years)  ———————————————————————————————————
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000  \$10,001 - \$10,000	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000	Clescribe
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000  \$10,001 - \$10,000	I lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received disclosed as follows:  INTEREST RATE TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000  \$10,001 - \$10,000	Clescribe
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to not in a lender's regular course of business must be NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000  \$10,001 - \$10,000	Clescribe

# SCHEDULE E Income – Gifts Travel Payments, Advances, and Reimbursements

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name	

- Reminder you must mark the gift or income box.
- · You are not required to report income from government agencies.
- You may mark the box 501(c)(3) for a travel payment received from a nonprofit 501(c)(3) organization. When the payment is a gift it is reportable but is not subject to the \$420 gift limit.

► NAME OF SOURCE	► NAME OF SOURCE
Employer Resource Institute	Quality Care Health Foundation
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
322 Brentwood Oaks Dr.	2201 K Street
CITY AND STATE	CITY AND STATE
Nashville, TN 37211	Sacramento, CA 95816
BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)	BUSINESS ACTIVITY, IF ANY, OF SOURCE 🔀 501 (c)(3)
Provider of compliance advice for HR professionals	Training and advice for long term healthcare providers
DATE(S): 11 / 18 / 10 - / AMT: \$	DATE(S): 5 / 4 / 10 - / / AMT: \$
TYPE OF PAYMENT: (must check one) 🗌 Gift 🔀 Income	TYPE OF PAYMENT: (must check one) 🔲 Gift 🔀 Income
DESCRIPTION: Starbucks gift card	DESCRIPTION: One night's hotel lodging for conference
NAME OF SOURCE  ADDRESS (Business Address Acceptable)	NAME OF SOURCE  ADDRESS (Business Address Acceptable)
CITY AND STATE	CITY AND STATE
BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)	BUSINESS ACTIVITY, IF ANY, OF SOURCE 501 (c)(3)
DATE(S):/	DATE(S):/
TYPE OF PAYMENT: (must check one) Gift Income	TYPE OF PAYMENT: (must check one)
DESCRIPTION:	DESCRIPTION:
Comments:	

# SCHEDULE D Income - Gifts

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name

	· ···			<del></del>		-
► NAME OF SOURCE				NAME OF SOURCE		
Timothy Mitsuoka		Ш	Joseph Hurley ADDRESS (Business Address Acceptable)			
ADDRESS (Business Address Acceptable) 870 39th Avenue, San Francisco, CA 94121		Ш				
		-       .	1415 Cole St.,	San Francisco	o, CA 94117	
BUSINESS ACTIVITY	Y, IF ANY, OF SOUR	RCE	11	BUSINESS ACTIVIT		
		•	- 11	•		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)		DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
12 , 09 , 10	\$75.00	Wedding gift -	Ш	09 , 27 , 10	\$75.00	Wedding gift-bakeware
	\$	kitchenware			\$	
	\$	<u> </u>			\$	
► NAME OF SOURCE			┪┝	NAME OF SOURCE		•
Cindy Hwang	Chiana				and Richard S	Simpson
ADDRESS (Business		(e)	-11	ADDRESS (Busines		
255 Berry St.,						co, CA 94122
BUSINESS ACTIVITY			Ш	BUSINESS ACTIVIT		
•						
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)		DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
06 / 21 / 10	\$50.00	Wedding gift-bakeware		06. / 14 / 10	\$75.00	Wedding gift-cookware
				1 1		
	\$		-11		\$	
1 1	s		- 11	1 1	\$	·
			⊣⊢	·		
► NAME OF SOURCE			*	NAME OF SOURCE		
Hon. and Mrs.					ns and Barbara	
ADDRESS (Business				ADDRESS (Busines		
		cisco, CA 94102	Ш	227 Currey Ln, Sausalito, CA 94965		
BUSINESS ACTIVIT	Y, IF ANY, OF SOU	RCE		BUSINESS ACTIVIT	Y, IF ANY, OF SOU	RCE
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)		DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
06 , 28 , 10	\$ 300.00	Wedding gifts-silver		06 , 19 , 10	\$200.00	Wedding gifts-china,
		11 12				The management of the set of the
	\$	and bedding			\$	stemware and bedding
	\$				\$	
			• •			
		•				
Comments:		· 				
			-			

# SCHEDULE D Income - Gifts



► NAME OF SOURCE	► NAME OF SOURCE		
Patrick and Edith Miyaki	John and Eileen Dooling		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)		
425 Market St., 26th Floor, San Francisco, CA 94105	3573 Pierce St., San Francisco, CA 94123		
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)		
07 <u>, 14 , 10</u> <u>\$ 75.00</u> <u>Wedding gift - </u>	06 , 26 , 10		
/sserveware			
\$			
► NAME OF SOURCE	► NAME OF SOURCE		
Charlene Son Rigby and Ben Rigby	Suling Wang and Michael Deiner		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)		
44 Elsie St., San Francisco, CA 94110	345 Rolph St., San Francisco, CA 94112		
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE		
_			
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)		
05 , 18 , 10	06 , 02 , 10		
scookware, serveware	//scookware, bakeware,		
sand linens	/		
NAME OF SOURCE	► NAME OF SOURCE		
Tricia and David Wilson-Okamura	Vicki Lai and Bob Bock		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)		
3503 Grey Fox Trail, Greenville, NC 27858	6513 18th Ave. N.E., Seattle, WA 98115		
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)		
06 , 02 , 10  \$ 250.00 Wedding gifts-linens,	06 , 14 , 10		
scookware, bakeware,	//scookware		
/sserveware	\$		
Comments:			
	·		

# SCHEDULE D Income – Gifts

CALIFORNIA FORM FAIR POLITICAL PRACTICES CO	
Name	

► NAME OF SOURCE	► NAME OF SOURCE	
W. Daniel Clinton and Krystyna Wolanskaa	Lisa Pooley and Rebecca Ba	rmore
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable	
425 Market St., 26th Flr, San Francisco, CA 94105	425 Market St., 26th Flr, San	Francisco, CA 94105
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOUR	
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)
07 , 23 , 10	07,15,10 \$ 90.00	Wedding gifts- travel
	J	and linens
NAME OF SOURCE	► NAME OF SOURCE	
Sandra Rappaport and Matthew Disco	Kathleen and John Nerney	•
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable	e)
425 Market St., 26th Flr, San Francisco, CA 94105	245 Delibrook Ave, San Fran	cisco, CA 94131
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOUR	
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)
07 , 01 , 10	06 / 14 / 10	Wedding gift-china
/sserveware		·
\$	\$	
▶ NAME OF SOURCE	► NAME OF SOURCE	
Teresa Bartolero and Dag Macleod	Patricia and John Bartolero	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable	e)
4108 Maybelle Ave., Oakland, CA 94619	3850 Paseo Grande, Moraga	, CA 94556
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOUR	RCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)
07 , 26 , 10 \$ 70.00 Wedding gifts- linens,	07 , 30 , 10	Wedding gifts-
/sbakeware	\$	stemware
	\$	
Comments:		· · · · · · · · · · · · · · · · · · ·
		•

# SCHEDULE D Income - Gifts

Ginger Baldyga ADDRESS (Business Address Acceptable)  1855 Sacramento St., San Francisco, CA 94109 BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  06 / 03 / 10 \$ 125.00 Wedding gifts- spa and  — / _ /				
ADDRESS (Business Address Acceptable) 1855 Sacramento St., San Francisco, CA 94109 BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mmiddly) VALUE DESCRIPTION OF GIFT(S) 06 , 03 , 10	► NAME OF SOURCE	► NAME OF SOURCE		
ADDRESS (Business Address Acceptable)	Ginger Baldyga	David Gehrig and Emily Kleenam		
BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE  DESCRIPTION OF GIFT(S)  06 , 03 , 10	ADDRESS (Business Address Acceptable)			
BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  06 , 03 , 10	1855 Sacramento St., San Francisco, CA 94109	425 Market St., 26th Flr, San Francisco, CA 94105		
06 , 03 , 10 s 125.00 Wedding gifts- spa and restaurant gift	· · · · · · · · · · · · · · · · · · ·			
06 , 03 , 10 s 125.00 Wedding gifts- spa and restaurant gift				
	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)		
NAME OF SOURCE Cynthia Chaw ADDRESS (Business Address Acceptable) BOG Guilloford Ave., San Mateo, CA 94402 BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE  DESCRIPTION OF GIFT(S)  O7 , 10 , 10    S	06 , 03 , 10 s 125.00 Wedding gifts- spa and	05 , 03 , 10		
NAME OF SOURCE Cynthia Chaw ADDRESS (Business Address Acceptable)  B06 Guildford Ave., San Mateo, CA 94402  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  07 , 10 , 10    To your service of the provided and backware  NAME OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  NAME OF SOURCE  Jerrold Schaefer and Rosa Carillo ADDRESS (Business Address Acceptable)  425 Market St., 26th Flr, San Francisco, CA 94105  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	/ \$restaurant gift	sserveware		
Cynthia Chaw  ADDRESS (Business Address Acceptable)  806 Guildford Ave., San Mateo, CA 94402  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  07 , 10 , 10	scertificates, cookware			
ADDRESS (Business Address Acceptable)  806 Guildford Ave., San Mateo, CA 94402  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  07 , 10 , 10	► NAME OF SOURCE	► NAME OF SOURCE		
ADDRESS (Business Address Acceptable)  806 Guildford Ave., San Mateo, CA 94402  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  07 , 10 , 10	Cynthia Chaw	Karin Rittenberg and Tracy Clifford		
BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  O7 , 10 , 10	ADDRESS (Business Address Acceptable)			
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  07	806 Guildford Ave., San Mateo, CA 94402	1301 Clay St., San Francisco, CA 94109		
07 10 10 \$ 75.00 Wedding gift - china  07 11 10 \$ 80.00 Wedding gifts-  cookware, kitchen  1	BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE		
	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)		
	07 / 10 / 10 <sub>\$</sub> 75.00 Wedding gift - china	07 , 11 , 10		
NAME OF SOURCE  Jerrold Schaefer and Rosa Carillo  ADDRESS (Business Address Acceptable)  425 Market St., 26th Fir, San Francisco, CA 94105  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  06 , 19 , 10  \$ 200.00 Wedding gifts - china,    Make OF SOURCE	/	cookware, kitchen		
Douglas and Susan Barton	\$	/sand bakeware		
ADDRESS (Business Address Acceptable)  425 Market St., 26th Flr, San Francisco, CA 94105  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  06 , 19 , 10 \$ 200.00 Wedding gifts - china,    Inens	► NAME OF SOURCE	► NAME OF SOURCE		
ADDRESS (Business Address Acceptable)  425 Market St., 26th FIr, San Francisco, CA 94105  BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  06	Jerrold Schaefer and Rosa Carillo	Douglas and Susan Barton		
BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  O6 / 19 / 10 \$ 200.00 Wedding gifts - china,  Iinens  J / S	ADDRESS (Business Address Acceptable)			
BUSINESS ACTIVITY, IF ANY, OF SOURCE  DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)  O6 / 19 / 10 \$ 200.00 Wedding gifts - china,  Iinens  J / S	425 Market St., 26th Flr, San Francisco, CA 94105	425 Market St., 26th Flor, San Francisco, CA 94105		
06 / 19 / 10	BUSINESS ACTIVITY, IF ANY, OF SOURCE			
06 / 19 / 10	·			
	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)		
	06 , 19 , 10	07 , 15 , 10		
Comments:	/ \$ linens			
Comments:		\$		
Comments:				
Comments:				
	Comments:			

# SCHEDULE D Income – Gifts

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name

► NAME OF SOURCE	► NAME OF SOURCE	
Kelly Canady and Frank Cassidy	Constance Hiatt	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable	e)
1522 24th Ave., San Francisco, CA 94122	717 Grand View Ave., San F	rancisco, CA 94114
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOUI	
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)
05 , 15 , 10 <sub>\$</sub> 80.00 Wedding gift-	05,09,10 \$ 125.00	Wedding gift-
/	\$	cookware, kitchen
\$	\$	ware
► NAME OF SOURCE	► NAME OF SOURCE	
Howard and Barbara Martin	Catherine Thomas	P.
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable	e)
3144 Plymouth Rd., Lafayette, CA 94549	7 Vintage Ct., Petaluma, CA	94954
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOUR	RCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)
06 , 17 , 10	06 , 14 , 10	Wedding gifts- china,
/scookware	\$	cookware
	\$	
► NAME OF SOURCE	► NAME OF SOURCE	
Paul Reyff	Richard and Shana Daum	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable	e)
1 N. Newport Dr., Napa, CA 94559	6556 Dawes St., Oakland, C	A 94611
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOUR	
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)
07 / 23 / 10	<u>07 , 01 , 10</u>	Wedding gifts-
		stemware
	\$	
Comments		
Comments:		

# SCHEDULE D Income – Gifts

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name

▶ NAME OF SOURCE	► NAME OF SOURCE
Laura Hernandez Hecht and David Hecht	Rich and Michele Stratton
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
823 York St., San Francisco, CA 94110	425 Market St., 26th Flr, San Francisco, CA 94105
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
07 , 26 , 10  \$ 60.00 Wedding gifts- linens,	07 , 23 , 10
//skitchen ware	
/ / \$	/ / \$
▶ NAME OF SOURCE	► NAME OF SOURCE
William and Irma Bush	Mary Ashe
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
115 Alhambra St., San Francisco, CA 94123	781 11th Ave., San Francisco, CA 94118
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
07 <u>, 10 , 10</u> <u>\$ 110.00</u> Wedding gifts- china	08 / 15 / 10 <sub>\$ 100.00</sub> Wedding gift-
	housewares, library,
	and cookware
► NAME OF SOURCE	► NAME OF SOURCE
Mrs. John Parina	Maria Favata
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
455 Euclid Ave., San Francisco, CA 94118	318 Arguello Blvd. #4, San Francisco, CA 94118
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
	<u> </u>
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
08 , 15 , 10	08 , 16 , 10 <sub>\$</sub> 150.00 Wedding gifts-
sserveware	scookware, bakeware
	\$
	•
Comments:	· · · · · · · · · · · · · · · · · · ·

### SCHEDULE D Income - Gifts

NAME OF SOURCE	► NAME OF SOURCE
John and Martha Vlahos	Jennifer Merkle
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
425 Market St., 26th Flr, San Francisco, CA 94105	318 Arguello Blvd. # 4, San Francisco, CA 94118
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
06 , 14 , 10  \$ 50.00 Wedding gifts-linens	06 , 07 , 10
\$	scookware, serveware
<b>\$</b>	
▶ NAME OF SOURCE	► NAME OF SOURCE
Marty Garcia-Jones and Jason Jones	Molly Lee and Jeffrey Kaban
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
479 Tehama, San Francisco, CA 94103	425 Market St., 26th Flr, San Francisco, CA 94105
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
05 , 29 , 10 <sub>\$</sub> 100.00 Wedding gifts-	05 / 25 / 10
/ / s kitchenware and store	, , s serveware, cookware
	· · ·
/sgift certificate	·/ \$
NAME OF SOURCE	► NAME OF SOURCE
	Mohammad Walizadeh and Susan Allen
Christina Luini ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
425 Market St., 26th FIr, San Francisco, CA 94105 BUSINESS ACTIVITY, IF ANY, OF SOURCE	425 Market St., 26th FIr, San Francisco, CA 94105 BUSINESS ACTIVITY, IF ANY, OF SOURCE
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BOSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
05 / 25 / 10   \$     90.00     Wedding gifts-	05 / 24 / 10 <sub>\$</sub> 75.00 Wedding gift-
/ / s linens, serveware	/ / s serveware
	· · · · · · · · · · · · · · · · · · ·
	<i></i> \$
Comments:	·

### SCHEDULE D Income – Gifts

► NAME OF SOURCE		► NAME OF SOURC	E	
Natasha and Alan Goins		Sarah Mott ar	nd Clay Haswel	1
ADDRESS (Business Address Acceptab	ile)		ss Address Acceptab	_ <del></del>
12002 Darby Chase Dr., Ch	arlotte, NC 28277	425 Market S	t., 26th Flr, Sar	Francisco, CA 94105
BUSINESS ACTIVITY, IF ANY, OF SOL	IRCE		TY, IF ANY, OF SOU	
DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
06,16,10 \$ 80.00	Wedding gifts-	07 , 23 , 10	\$90.00	Wedding gifts-
	bakeware, serveware		\$	serveware, houseware
			\$	
NAME OF SOURCE		► NAME OF SOURC	E	
Diane O'Malley and Francis	Finnegan	Allison and Se	cott Woodall	
ADDRESS (Business Address Acceptate	ole)	ADDRESS (Busines	ss Address Acceptab	le)
425 Market St., 26th Flr, Sai	n Francisco, CA 94105	1111 Franklin	Street, 8th Flo	or Oakland CA 94607
BUSINESS ACTIVITY, IF ANY, OF SOL	IRCE	BUSINESS ACTIVIT	TY, IF ANY, OF SOU	RCE
DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
07 / 23 / 10 \$ 70.00	Wedding gifts-	07 , 23 , 10	\$8	Wedding gifts-
\$	serveware, candlestick		\$	serveware,
\$	· · · · · · · · · · · · · · · · · · ·		\$	kitchenware
► NAME OF SOURCE		► NAME OF SOURC	E	
Margaret McAdam and Cha	rles Stilfen	Robin Cusima	ano	
ADDRESS (Business Address Acceptable	ole)	ADDRESS (Busines	ss Address Acceptab	le)
3407 Shangri La Rd, Lafaye	tte, CA 94549	500 Hearst A	ve., San Franci	sco, CA 94112
BUSINESS ACTIVITY, IF ANY, OF SOL	JRCE	BUSINESS ACTIVI	TY, IF ANY, OF SOU	RCE
				· · · · · · · · · · · · · · · · · · ·
DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
09 / 01 / 10 🐧 125.00	Wedding gifts -	07,01,10	<sub>s</sub> 75.00	Wedding gifts-
•			*	
\$	stemware, gift	<u> </u>	\$	serveware, kitchen
/ / •	certificate		e .	ware
		11	Ψ	· · · · · · · · · · · · · · · · · · ·
		•		
Comments:	·			

# SCHEDULE D Income - Gifts

► NAME OF SOURCE		► NAME OF SOURCE	=	<u>.</u>
Campion Holton		Terry Croft		
ADDRESS (Business Address Acceptable	ole)	ADDRESS (Busines	s Address Acceptab	le)
4125 Hawksmoore Rd., Win	ston Salem, NC 27106	222 E. 93rd S	t., New York, N	NY 10128
BUSINESS ACTIVITY, IF ANY, OF SOL		BUSINESS ACTIVIT		
DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
07,23,10 \$ 80.00	Wedding gift, china	<u>08 , 10 , 10</u>	s 100.00	Wedding gift-
<b></b> \$			\$	housewares, library
<b>\$</b>			\$	
► NAME OF SOURCE		► NAME OF SOURCE	<u> </u>	
Min-Young Kim and Michael	Lampson	Stephen and	Judith Cassidy	
ADDRESS (Business Address Acceptate	ole)	ADDRESS (Busines	s Address Acceptab	le)
4236 Regent Sq., Philadelph	nia, PA 19104	223 Lucas Pa	rk Dr., San Ra	fael, CA 94903
BUSINESS ACTIVITY, IF ANY, OF SOL	IRCE	BUSINESS ACTIVIT	Y, IF ANY, OF SOU	RCE
·		11 -		•
DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 31 / 10</u>	Wedding gifts-	10 , 29 , 10	\$	Wedding gift-
/	linens, bakeware		\$	cookware
<i>-</i>	·		\$	
NAME OF SOURCE		► NAME OF SOURCE	<b>∃</b>	
David Schaaf	<u> </u>	Howie Wang		
ADDRESS (Business Address Acceptab	ele)	ADDRESS (Busines	s Address Acceptab	le)
9 Hawthorne Rd., Short Hills		1536 Dale St.,	, San Diego, C	A 92102
BUSINESS ACTIVITY, IF ANY, OF SOL	IRCE	BUSINESS ACTIVIT	Y, IF ANY, OF SOU	RCE
				<b></b>
DATE (mm/dd/yy) VALUE	DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10,03,10 \$ 100.00	Wedding gifts-	<u>09 , 18 , 10</u>	\$80.00	Wedding gift-
\$	flatware, serveware		\$	serveware
\$			\$	
	•			
Comments:				•
				<u> </u>

# SCHEDULE D Income - Gifts

▶ NAME OF SOURCE	► NAME OF SOURCE
Catherine Barsotti and Matthew Dooling	Monica and Daniel Holland
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
41 Bradley Ave., Walnut Creek, CA 94596	1436 San Carlos Ave., Burlingame, CA 94010
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
09 , 12 , 10 <sub>\$</sub> 90.00 Wedding gifts-	08 , 20 , 10
	\$
► NAME OF SOURCE	► NAME OF SOURCE
James Cavanaugh	Irene Radetzky and Eric Milstein
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
9808 Echo Hills Dr., Las Vegas, NV 89134	6712 N. Talman Ave., Chicago, IL 60645
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
07 / 14 / 10 <sub>\$</sub> 125.00 Wedding gifts-	07 / 08 / 10 s 150.00 Wedding gifts-
// \$ bakeware, cookware	/ \$cookware, serveware,
▶ NAME OF SOURCE	► NAME OF SOURCE
Catherine and Dean Levitt	Richard Dodge
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
1217 Rhus St., San Mateo, CA 94402	One Annabel Ln, San Ramon, CA 94583
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
06 , 27 , 10 <sub>\$</sub> 70.00 Wedding gifts-	06 , 23 , 10
/sserveware	/
	· · · · · · · · · · · · · · · · · · ·
Comments:	
<u> </u>	

# SCHEDULE D Income - Gifts

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
·

▶ NAME OF SOURCE	► NAME OF SOURCE
Annie Chi and Morgan Lee	Kristi Helgeson and Michael Chalcraft
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
55 Grant Avenue San Francisco, CA 94108	6535 50th Ave. NE, Seattle, WA 98115
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
05 <sub>/</sub> 15 <sub>/</sub> 10 <sub>\$</sub> 80.00 Wedding gift-	07 / 23 / 10
/bedding	sdinnerware, cutlery
	\$
▶ NAME OF SOURCE	► NAME OF SOURCE
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
	//
	<b>\$</b>
▶ NAME OF SOURCE	▶ NAME OF SOURCE
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
	\$
·	
Comments:	



### Board of Supervisors City and County of San Francisco 1 Dr. Carlton B. Goodlett Place, Room 244 (415) 554-5184 FAX (415) 554-7714

### **Application for Boards, Commissions and Committees**

Application for Appointment to: Ethics	Commission Name of Board, Commission, Committ	ee, or Task Force
Seat # or Category (If applicable): Sea	t 1	District:
Name: Allen Grossman		
Home Address: - 30th Avenue		Zip≮9412 <b>1</b> 、
Home Phone: 415-221	Occupation: Retired	
Work Phone:	Employer:	EB ANG
Business Address:		Zip:
Business E-Mail:	Home E-Mai	il: grossman356@
Check All That Apply:		7
A citizen of the United States.	At least 18 years ol	d on or before Election Day.
Not in prison or on parole for a felo		
A resident of San Francisco	✓ Yes: ☐ No: (Place of	of Residence):
Please state your qualifications (attack See attached supplemental sheet.	n supplemental sheet if neces	ssary)
Education:  A.B. 1948 University of Michigan  LL.B. 1951 Harvard Law School		
Business and/or professional experien	ce.	
Practiced business law for over 50 years folloattached supplemental sheet for additional in	wing admissions to Illinois Bar (19	51) and California Bar (1976). See
Civic Activities:		
For the past several years, a proactive heaving See attached supplemental sheet for addition		ernment and the City's urban forest.
Ethnicity: (optional) Caucasian	Sex: (optional) ✓	]м 🔲 ғ
Have you attended any meetings of th	e Board/Commission to whic	h you wish appointment? Ves No
For appointments by the Board of Supervisors, appearant (Applications must be received 10 days before the sched (Please Note: Once Completed, this form, including a	ıled hearing.)	uirement before any appointment can be made.
Date: <i>Telwoony 7, 2011</i> Applicant's Please Note: Your application will be retained for one year	Signature: (required)	len Grasman
FOR OFFICE USE ONLY: Appointed to Seat #: Term Expires:	Date Seat was Vacated:	

### Supplemental Sheets to Application by Allen Grosssman for Ethics Commission Seat 1

### Business and/or professional experience:

Until my retirement about six years ago, I practiced law in Illinois from 1951 and in California from 1977, when I moved to San Francisco. During that period, I was a business lawyer primarily serving business clients. As such, my practice embraced a broad range and wide variety of legal matters that affected businessmen, their companies and their activities. For some clients, including two public companies, I served as outside General Counsel.

The professional experience I gained after I retired is particularly relevant to one area of the Ethics Commission's jurisdiction. It came from my active involvement in the San Francisco's open government law -- the San Francisco Sunshine Ordinance, its creation - the San Francisco Sunshine Task Force (SOTF) - and the Ethics Commission's role under the Sunshine Ordinance.

My involvement in "sunshine" matters is known to the continuing Ethics Commissioners and its Executive Director for two reasons: first, the written material I submitted to the Commission regarding its staff's proposed draft Regulations governing SOTF referrals and willful violations of the Sunshine Ordinance; and, second, my successful 2009/2010 lawsuit against the Commission, as a result of which I obtained copies of all the Commission's investigative and other records involving all 14 SOTF enforcement referrals previously dismissed by the Commission, including two referrals based on my SOTF complaints against the Mayor's Office and the District Attorney's Office.

In addition, I have appeared quite a few times before the Commission, including an extended discussion with its members with regard to those draft Regulations, and have given its Executive Director my views on several legal issues relating to SOTF enforcement referrals, primarily in connection with my two records requests referred to the Commission.

During the same period, I have been very active with the SOTF, having appeared before it dozens of times, both as a complainant seeking records and as a member of the public providing the SOTF members with my legal views on those occasions when I disagreed with the City Attorney's advice to the SOTF or in specific matters heard by it. I also have been a *de facto* advisor to the SOTF Committee currently completing its proposed changes to the draft "sunshine" Regulations prepared by Ethics staff.

As result, I am familiar with the Commission's current Regulations governing the procedure for Ethics law violations and other areas under its jurisdiction, how the Commission staff conducts its investigations and the "sunshine" procedure currently being developed by its staff and SOTF. This cumulative experience with the Commission, its staff and its procedures and with the SOTF coupled with my legal training, would enable me to hit the ground running as a Commissioner if appointed to the vacant seat.

#### Civic Activities

In addition to my activity as a "sunshine" advocate following my retirement, my principal civic activity has been directed to how to sustain and enhance the City's urban forest. I have been and continue to be heavily involved in the administration and enforcement of the two laws that govern the city's urban forest - the Urban Forest Ordinance and the Planning Code - and in seeking to expand the City's programs and the reach of those two laws.

My efforts to improve the City's urban forest programs have utilized various means and approaches. For example, I have met many times with Planning Department and Department of Public Works personnel, including DPW's current Director, as well as the Mayor's Greening Director, to discuss legislation and enforcement; I participated, by invitation, in the Planning Department's meetings in which an Urban Forest Master Plan was being developed. I have continually pressed DPW to improve its enforcement of the existing law on illegal street tree removals. My most recent action, through the SF Urban Forest Coalition, a non-for-profit corporation I founded in 2007 and support, was filing a lawsuit, last September, against the Planning Department to require it to identify and enforce the Planning Code Street tree planting requirements. That case is now pending.

In sum, I consider myself an advocate for the public and its representative in my activities. I strongly believe that supporting government transparency includes supporting laws requiring disclosure of conflicts of interest and political campaign, lobbyist, campaign consultant and officials' economic interests. As a Commissioner, I would continue to advocate for and represent the public broadly to ensure San Francisco's ethics and good government laws are enforced as the public intended them to be, and having demonstrated I have no political agenda, I would aggressively pursue the Commission's goals as expressed in its Mission Statement.

# STATEMENT OF ECONOMIC INTERESTS COVER PAGE

Date Received
Official Use Only

Allen NMI  1. Office, Agency, or Court  Agency Name  San Francisco Ethics Commission  Division, Board, Department, District, if applicable  Commissioner  If filing for multiple positions, list below or on an attachment.  Agency:  Position:  2. Jurisdiction of Office (Check at least one box)  State  Multi-County  City of San Francisco  3. Type of Statement (Check at least one box)  Annual: The period covered is January 1, 2010, through December 31, 2010.  Annual: The period covered is January 1, 2010, through December 31, 2010.  Assuming Office: Date	Please type or print in	ink.			
1. Office, Agency, or Court Agency Name San Francisco Ethics Commission Division, Board, Department, District, if applicable Commissioner  If filing for multiple positions, list below or on an attachment. Agency: Position:  2. Jurisdiction of Office (Check at least one box) State Multi-County City of San Francisco City of San Francisco City of San Francisco The period covered is January 1, 2010, through December 31, Check one) Annual: The period covered is January 1, 2010, through December 31, Check one) Assuming Office: Date July Assuming Office: Date July Candidate: Election Year Office sought, if different than Part 1: Schedule A1- Investments - schedule attached Schedule A2- Investments - schedule attached Schedule B - Real Property - schedule attached Schedule B	NAME OF FILER	(LAST)	(FIRST)		(MIDDLE)
1. Office, Agency, or Court Agency Name San Francisco Ethics Commission Division, Board, Department, District, if applicable Commissioner  ➤ If filing for multiple positions, list below or on an attachment.  Agency: Position:  2. Jurisdiction of Office (Check at least one box) State Multi-County City of San Francisco  3. Type of Statement (Check at least one box) Annual: The period covered is January 1, 2010, through December 31, (Check one)  Annual: The period covered is January 1, 2010, through December 31, (Check one)  Annual: The period covered is Jurisdiction, orr The period covered is	Grossman		Allen		NMI
San Francisco Ethics Commission  Division, Board, Department, District, if applicable  Commissioner  If filling for multiple positions, list below or on an attachment.  Agency:  Position:  2. Jurisdiction of Office (Check at least one box)  State  Judge (Statewide Jurisdiction)  Multi-County  County of  County of  Other  3. Type of Statement (Check at least one box)  Annual: The period covered is January 1, 2010, through December 31,  2010.  Ansuming Office: Date Left  2010.  Assuming Office: Date  Office sought, if different than Part 1:  Schedule Summary  Check applicable schedules or "None."  Schedule A-1 - Investments - schedule attached  Schedule B - Real Property - schedule attached  Sch	1. Office, Agency,	or Court			
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Division, Board, Department, District, if applicable   Your Position   Commissioner	San Francisco	Ethics Commission			
If filing for multiple positions, list below or on an attachment.   Agency:			Your Pos	ition	
If filing for multiple positions, list below or on an attachment.   Agency:		6	Comm	issioner	
State	► If filing for multiple	positions, list below or on an attachme			
State	Agency:		Position;		
Multi-County   County of   County of   County of   County of   San Francisco   Other   County of	2. Jurisdiction of	Office (Check at least one box)			
Multi-County   Gan Francisco   Other			☐ Judge (	Statewide Jurisdiction)	
Schedule Summary   Schedule A-2 - Investments - schedule attached   Schedule B - Real Property - schedule attached   Schedule E - Income - Gits - Schedule attached   Schedule B - Real Property - Schedule B - Real Property - Schedule Attached   Schedule B - Real Property - Schedule B - Real Property - Schedule B - Real Property - Schedule Attached   Schedule B - Real Property - Schedule B - Real Property - Schedule Attached   Schedule B - Real Property - Schedule B - Real B - Real Property - Schedule	☐ Multi-County				
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Schedule B - Real Property - schedule attached  -Or- None - No reportable interests on any schedule  -Or- NalLing Address Street (Business or Agency Address Recommended - Public Document)  111 30th Avenue San Francisco CA 94121  DAYTIME TELEPHONE NUMBER  (415 ) 831-3720  I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.  I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.					
None - No reportable interests on any schedule  Verification  MAILING ADDRESS STREET CITY STATE ZIP CODE  (Business or Agency Address Recommended - Public Document)  111 30th Avenue San Francisco CA 94121  DAYTIME TELEPHONE NUMBER  (415 ) 831-3720  I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.  I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.					
MAILING ADDRESS STREET (Business or Agency Address Recommended - Public Document)  111 30th Avenue San Francisco CA 94121  DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS  ( 415 ) 831-3720 Grossman356@mac.com  I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.  I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.	X.				- Lymania Sanadia didaying
MAILING ADDRESS STREET (Business or Agency Address Recommended - Public Document)  111 30th Avenue San Francisco CA 94121  DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS  ( 415 ) 831-3720 E-MAIL ADDRESS  I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.  I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.		None - No re	portable interests on any schedu	ile	
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I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.  I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.	DAYTIME TELEPHONE NU	MBER		- CA	94121
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I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.	I have used all reason herein and in any atta	able diligence in preparing this statement ched schedules is true and complete. I	. I have reviewed this statement acknowledge this is a public doc	and to the best of my known	owledge the information contained
Date Signed February 7, 2011 Signature Allen Hudsman	•				
	Date Signed		Signature	llenden	deman

### **SCHEDULE A-1** Investments

# Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 7	
Name	
Allen Grossman	

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Atlantic Trust Company	Atlantic Trust Company
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Revocable Trust Managed Securities Account	Managed Roll Over IRA account
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \tag{510,001 - \$100,000}	\$2,000 - \$10,000 \$10,001 - \$100,000
🔀 \$100,001 - \$1,000,000 🔲 Over \$1,000,000	🔀 \$100,001 - \$1,000,000 🔲 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT Mutual Fund ☐ Stock ☑ Other
Stock Other(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / <b>10</b> / / <b>10</b>	//_10//_10
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
Atlantic Trust Company	Fourth Dimension Software
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Revocable Trust Managed Account	Software Development
FAIR MARKET VALUE	FAIR MARKET VALUE
[] \$2,000 - \$10,000 <b>X</b> \$10,001 - \$100,000	☐ \$2,000 - \$10,000 <b>※</b> \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Mutual Fund Stock ⊠ Other	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>/ / 10                                 </u>	/ / 10 / / 10
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Vanguard Funds	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Brokerage Account	
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 <b>X</b> \$10,001 - \$100,000	
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock ☐ Other	Stock Other
(Describe)	(Describe)
☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 10 , , 10	/ / 10 / / 10
/	ACQUIRED DISPOSED
VARAILED DIOI OGED	11
Comments:	

### SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
Allen Grossman

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
Name	Name
Address (Business Address Acceptable)  Check one  Trust, go to 2  Business Entity, complete the box, then go to 2	Address (Business Address Acceptable)  Check one  Trust, go to 2  Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF BUSINESS ACTIVITY  FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:  \$2,000 - \$10,000	GENERAL DESCRIPTION OF BUSINESS ACTIVITY  FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  \$2,000 - \$10,000
\$10,001 - \$100,000	\$10,001 - \$100,000
Sole Proprietorship Partnership Other  YOUR BUSINESS POSITION	Sole Proprietorship Partnership Other  YOUR BUSINESS POSITION
2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATE SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)      \$0 - \$499	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)  \$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000
<ul> <li>3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)</li> </ul>	➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST  Check one box:    INVESTMENT   REAL PROPERTY	➤ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST  Check one box:  INVESTMENT  REAL PROPERTY
TP Properties LP  Name of Business Entity or  Street Address or Assessor's Parcel Number of Real Property	Name of Business Entity <u>or</u> Street Address or Assessor's Parcel Number of Real Property
Illinois Real Estate Partnership/Vacant RE Tinley Park IL Description of Business Activity or Dity or Other Precise Location of Real Property	Description of Business Activity <u>or</u> City or Other Precise Location of Real Property
AIR MARKET VALUE IF APPLICABLE, LIST DATE:  \$2,000 - \$10,000  \$10,001 - \$100,000  \$100,001 - \$1,000,000  Over \$1,000,000  ACQUIRED DISPOSED	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000   \$10,001 - \$100,000  /
IATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold Yrs. remaining Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached
comments:	EDBC Form 700 (2040)2044) O.L. A.O.

## SCHEDULE C Income, Loans, & Business Positions (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Allen Grossman

► 1. INCOME RECEIVED	► 1. INCOME RECEIVED
NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME
Portfolio/ Fund/S Corporation Investments	H
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
111 30th Avenue, San Francisco CA 94121	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
Passive Investor	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
NA	
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED
\$500 - \$1,000 \$1,001 - \$10,000	\$500 - \$1,000 \$1,001 - \$10,000
▼ \$10,001 - \$100,000 □ OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
Salary Spouse's or registered domestic partner's income	CONSIDERATION FOR WHICH INCOME WAS RECEIVED
	Spouse's or registered domestic partner's income
Loan repayment Partnership	Loan repayment Partnership
Sale of(Property, car, boat, etc.)	☐ Sale of
	(Property, car, boat, etc.)
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
Other(Describe)	Other(Describe)
	Other(Describe)
(Describe)	(Describe)
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* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to you	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms your official status. Personal loans and loans received
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### San Francisco **BOARD OF SUPERVISORS**

Date Printed: February 23, 2011

Date Established:

November 6, 2001

Active

#### **ETHICS COMMISSION 2002**

#### **Contact and Address:**

John St. Croix Executive Director **Ethics Commission** 25 Van Ness Avenue, Suite 220 San Francisco, CA 94102

Phone: (415) 252-3100 Fax: (415) 252-3112

Email: john.st.croix@sfgov.org

#### **Authority:**

Charter Section 15.100. (Proposition E – Elections, Ethics & Outside Counsel approved by the voters on November 6, 2001)

### **Board Qualifications:**

The Ethics Commission shall consist of five members, one member of whom is appointed by the Board of Supervisors. The member appointed by the Board of Supervisors shall be broadly representative of the general public.

The Mayor, the City Attorney, the District Attorney and the Assessor each shall appoint one member of the Commission that comprise the other four members. The member appointed by the Mayor shall have a background in public information and public meetings. The member appointed by the City Attorney shall have a background in law as it relates to government ethics. The member appointed by the Assessor shall have a background in campaign finance. The member appointed by the District Attorney shall be broadly representative of the general public.

The members shall serve six-year terms, provided that the first five commissioners to be appointed to take office on the first day of February 2002 shall by lot classify their terms so that the term of one commissioner shall expire at 12:00 noon on each of the second, third, fourth, fifth and sixth anniversaries of such date, and on the expiration of these and successive terms of office, the appointments shall be made for six-year terms. In the event of a vacancy, the officer who appointed the member vacating the office shall appoint a qualified person to complete the remainder of the term.

### San Francisco BOARD OF SUPERVISORS

Members of the Commission shall be officers of the City and County, and may be removed by the appointing authority only pursuant to Section 15.105. No person may serve more than one six-year term as a member of the Commission, provided that persons appointed to fill a vacancy for an unexpired term with less than three years remaining or appointed to an initial term of three or fewer years shall be eligible to be appointed to one additional six-year term. Any term served before the effective date of this Section shall not count toward a member's term limit. Any person who completes a term as a Commissioner shall be eligible for reappointment six years after the expiration of his or her term.

During his or her tenure, a member of the Commission may not: hold any other City or County office or be an officer of a political party. No member or employee of the Ethics Commission may be a registered lobbyist or campaign consultant, or be employed by or receive gifts or other compensation from a registered lobbyist or campaign consultant. No member or employee of the Ethics Commission may participate in any campaign supporting or opposing a candidate for City elective office, a City ballot measure, or a City officer running for any elective office. Participation in a campaign includes but is not limited to making contributions or soliciting contributions to any committee within the Ethics Commission's jurisdiction, publicly endorsing or urging endorsement of a candidate or ballot measure, or participating in decisions by organizations to participate in a campaign.

The Commission may subpoen witnesses, compel their attendance and testimony, administer oaths and affirmations, take evidence and require by subpoen the production of any books, papers, records or other items material to the performance of the Commission's duties or exercise of its powers.

The Commission serves the public, city employees and officials and candidates for public office through education and enforcement of ethics laws. The Commission provides open access to public records in ethics-related matters. The Commission acts as filing officer for, and auditor of, financial disclosure statements filed by political candidates and committees and designated City and County employees. The Commission assesses fees and penalties for failure to adhere to deadlines and requirements, audits statements to ensure compliance with contribution limits, administers an education program, and produces educational materials. It also oversees registration and regulation of lobbyists, investigates ethics complaints, provides advice on ethical matters and publishes statistical reports.

Its duties include: filing and auditing of campaign finance disclosure statements; campaign consultant registration and regulation; lobbyist registration and regulation; filing officer for statements of economic interest; administration of the Whistleblower program; investigations of ethics complaints; enforcement education and training; and providing advice and statistical reporting.

### San Francisco BOARD OF SUPERVISORS

Reports: Statistical reports

Sunset Date: None