

Funds Transfer Agreement Between the California Department of Transportation and the San Francisco Public Works

- 1.1 The California Department of Transportation (Caltrans) and the City and County of San Francisco (CCSF), through San Francisco Public Works ("SFPW") hereby enter into this Funds Transfer Agreement (Agreement) on the terms and conditions set forth below.
- 1.2 This Agreement is not valid until signed by both parties. This Agreement shall expire on SFPW's completion of its obligations under this Agreement but may be extended by amending this Agreement.

BACKGROUND

- 2.1 Section 19.569, subdivision of the Budget Act of 2023 (the Act) appropriated \$500,000 (the Funds) from the State General Fund to Caltrans, to be allocated to SFPW for the Havelock Pedestrian Bridge Safety Improvement Project (Project).
- 2.2 Caltrans determined the best method for allocation to ensure the funds are used for the purposes specified in Section 19.569 of the Act is a funds transfer agreement.
- 2.3 Havelock Avenue serves as the primary pedestrian corridor linking the City College of San Francisco campus with the Havelock Pedestrian Bridge, which provides critical access across Interstate 280. The current infrastructure presents challenges to pedestrian safety and accessibility, particularly for individuals with disabilities. The Havelock Pedestrian Bridge Safety Improvement Project is aimed at addressing these challenges by implementing improvements designed to enhance the safety and usability of the route for all users. The project is strategically located adjacent to the Caltrans right-of-way and in proximity to significant educational, transit, and recreational facilities, including the City College of San Francisco, the Balboa Park BART station, and Balboa Park. These improvements are essential to supporting the daily movements of students, faculty, and local residents, while also promoting safer, more accessible connections to public transit and recreational areas.

SCOPE OF WORK

- 3.1 The Funds provided under this Agreement will be used to implement infrastructure improvements along the Havelock Avenue corridor and its connection to the Havelock Pedestrian Bridge. Specifically, the project includes the construction of a new ADA-compliant sidewalk extending from the CCSF parking lot to the Havelock Pedestrian Bridge landing. The

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scope also encompasses the installation of new curb ramps with detectable surface tiles to ensure full ADA compliance, enhancing accessibility to key destinations, including the Balboa Park BART station and adjacent recreational facilities. The project will include the preparation and approval of necessary engineering plans, completion of required environmental documentation, and the execution of construction. The project limits extend from the CCSF parking lot to the Havelock Pedestrian Bridge landing. Acceptance criteria will include the successful completion and approval of all project deliverables, ensuring full compliance with ADA standards and the completion of all work within the specified project limits. Coordination with Caltrans, Recreation and parks, and City College of San Francisco will be required for access to the right-of-way and to secure necessary encroachment permits. The project's completion is anticipated to significantly improve the pedestrian experience, providing safer and more accessible routes for all users, particularly those accessing educational and transit facilities in the area. (Project).

- 3.2 [SFPW](#) shall only use Funds for the Project specified in the Act. [SFPW's](#) self-attestation verifying the intended use of funds shall be provided to the Department prior to the release of any funds.

FUNDING, COSTS, COST LIMITATION, & PAYMENT

- 4.1 The Funds will be encumbered within 2 days of the effective date of this Agreement. Pursuant to Section 19.569 of the Act, funds must be encumbered by June 30, 2025, and expended by June 30, 2027, or the funds will revert to the State's General Fund by operation of law.
- 4.2 Payments shall be made as authorized by Sections 19.569(a) of the Act, including, but not limited to the provisions noted below:
- a. Notwithstanding any other law, a designated state entity administering an allocation pursuant to this section may provide the allocation as an advance lump sum payment, and the allocation may be used to pay for costs incurred prior to the effective date of the act adding this paragraph.
 - b. Funding provided in this section shall not be used for a purpose subject to Section 8 of the Article XVI of California Constitution.
- 4.3 Within 30 days of expending all of the Funds or upon completion or termination of Project, whichever comes first, [SFPW](#) shall submit to Caltrans a Project Closeout Report. The Project Closeout Report at a minimum shall include LAPM Exhibit 17-M, photos of the completed project

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(including before photos if available), a description of completed Project component(s) and a description of project deliverables.

- 4.4 Any Project costs paid using the Funds that are determined by subsequent audit to be unallowable under 48 CFR, Part 31 or 2 CFR, Part 200, are subject to repayment by [SFPW](#) to Caltrans.
- 4.5 [SFPW](#) shall return any unspent Funds to Caltrans at the conclusion, completion, or termination of the Project.
- 4.6 Upon written demand by Caltrans, any overpayment to [SFPW](#) of amounts invoiced by Caltrans shall be returned to Caltrans.
- 4.7 Should [SFPW](#) fail to refund any moneys due Caltrans as provided herein or should [SFPW](#) breach this AGREEMENT by failing to complete Project without adequate justification and approval by Caltrans, then, within thirty (30) days of demand, or within such other period as may be agreed to in writing between the PARTIES, Caltrans, acting through the State Controller, the State Treasurer, the CTC or any other public entity or agency, may intercept, withhold and demand the transfer of an amount equal to the amounts paid by or owed to Caltrans for each Project, from future apportionments or any other funds due Public Works from the Highway Users Tax Fund or any other sources of funds, and/or may also withhold approval of future state-funded projects proposed by ADMINISTERING AGENCY.

INQUIRIES & NOTICES

- 5.1 All inquiries during the term of this Agreement will be directed to the representatives listed below:

For Caltrans:

Name:
Address:
Phone Number:
Email:

For [SFPW](#):

Name: Bruce Robertson
Address: 49 South Van Ness Ave.,
16th Floor, San Francisco, CA 94103,
Phone Number: 415-601-3423
Email: bruce.robertson@sfdpw.org

- 5.2 Each party may change their representative listed above upon 10 calendar days' written or emailed notice to the other party and without the need for amending this Agreement.

OTHER TERMS & CONDITIONS

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6.1 Drug-Free Workplace Requirements: [SFPW](#) will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
 - 1) the dangers of drug abuse in the workplace;
 - 2) the person's or organization's policy of maintaining a drug-free workplace;
 - 3) any available counseling, rehabilitation and employee assistance programs; and,
 - 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
 - 1) receive a copy of the company's drug-free workplace policy statement; and,
 - 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and [SFPW](#) may be ineligible for award of any future State agreements if Caltrans or the State Department of General Services determines that any of the following has occurred: [SFPW](#) has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

6.2 Conflict of Interest: [SFPW](#) needs to be aware of the following provisions regarding current or former state employees. If [SFPW](#) has any questions on the status of any person rendering services or involved with the Agreement, Caltrans must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

- 1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest, and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

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2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If [SFPW](#) violates any provisions of above paragraphs, such action by [SFPW](#) shall render this Agreement void. (Pub. Contract Code §10420.) If the Agreement is rendered void, Public Works shall return all Funds.

- 6.3 Labor Code/Workers' Compensation: [SFPW](#) needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and [SFPW](#) affirms to comply with such provisions before commencing the performance of the work described in this Agreement. (Labor Code § 3700.)
- 6.4. Americans With Disabilities Act: [SFPW](#) assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. § 12101 et seq.)
- 6.5. [SFPW](#) Name Change: An amendment is required to change [SFPW](#)'s name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

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- 6.6. Resolution: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.
- 6.7. Air or Water Pollution Violation: Under State law, [SFPW](#) shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.
- 6.8. Audit: [SFPW](#) agrees that Caltrans, the California Department of General Services, the California State Auditor's Office, or other State agency with a legitimate business purpose, or their designated representative(s) shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. [SFPW](#) agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of record retention is stipulated.
- 6.9. Amendments: No amendment or variation of the terms of this Agreement shall be valid unless made in writing and signed by the parties. Any amendment signed by [SFPW](#) must be approved by its local governing body as evidenced by a resolution, order, motion, or ordinance of the [SFPW](#) and a copy provided to Caltrans. No oral understanding or agreement not incorporated in the Agreement is binding on any of the parties.
- 6.10. Caltrans and [SFPW](#) shall continue with their responsibilities under this Agreement during any dispute.
- 6.11 This Agreement may be executed in separate counterparts.
- 6.12 An electronically signed copy of this Agreement shall have the same force and effect as if it were signed manually.
- 6.13 Should [SFPW](#) be declared to be in breach of this AGREEMENT or otherwise in default thereof by Caltrans, and if [SFPW](#) is constituted as a joint powers authority, special district, or any other public entity not directly receiving

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funds through the State Controller, Caltrans is authorized to obtain reimbursement from whatever sources of funding are available, including the withholding or transfer of funds, from those constituent entities comprising a joint powers authority or by bringing of an action against [SFPW](#) or its constituent member entities, to recover all funds provided by Caltrans hereunder.

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State:

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
Printed Name: Ann Fox
Signature: _____
Acting Deputy Director for Planning & Modal
Programs
Date: _____

Local Agency:

[San Francisco Public Works](#)
Printed Name: Carla Short
Signature: _____
Title: Director of Public Works
Date: _____

Accounting Certification

For Caltrans Use Only

I hereby Certify upon my own personal knowledge that budgeted funds are available for encumbrance.			
Accounting Officer Printed Name	Accounting Officer Signature	Date	Amount Certified