

1 [Appropriating \$587,756,000 for Wastewater Capital Improvements at the Public Utilities
2 Commission for FY2012-2013 and FY2013-2014]

3 **Ordinance appropriating \$587,756,000 of proceeds from revenue bonds, wastewater**
4 **revenue, state grants and bond interest income for the San Francisco Public Utilities**
5 **Commission (SFPUC) Wastewater Enterprise's Capital Improvement Program,**
6 **comprised of \$299,402,000 for Fiscal Year 2012-2013, and \$288,354,000 for Fiscal Year**
7 **2013-2014, and placing \$255,600,000 on Board Finance Committee reserve as well as placing all**
8 **applicable appropriations by project on Controller's reserve subject to SFPUC's and**
9 **Board of Supervisors' discretionary approval following completion of project-related**
10 **analysis pursuant to the California Environmental Quality Act (CEQA), where required,**
11 **and receipt of proceeds of indebtedness, accept and expend a Department of Water**
12 **Resources administered grant in the amount of \$24,147,000 and allow reimbursement**
13 **of prior expenditures, funded from Wastewater Revenue Bonds, to be funded by the**
14 **grant retroactive to December, 2011. If the State delays the disbursement of the**
15 **\$24,147,000 in grant funding, Wastewater revenue bonds may be substituted as a**
16 **source for the grant funds.**

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18 Note: Additions are *single-underline italics Times New Roman*;
19 deletions are ~~*strikethrough italics Times New Roman*~~.
20 Board amendment additions are double underlined.
21 Board amendment deletions are ~~strikethrough normal~~.

22 Be it ordained by the People of the City and County of San Francisco:

23

24 Section 1. The sources of funding outlined below are herein appropriated to reflect the
25 funding available for Fiscal Year 2012-2013 and Fiscal Year 2013-2014.

1 Section 2. Authorize the San Francisco Public Utilities Commission to accept and expend a
 2 Department of Water Resources administered grant in the amount of \$24,147,000 for two
 3
 4 SFPUC Wastewater Enterprise Stormwater Flood Management Projects.
 5

6 **SOURCES Appropriation**

7	Fund	Index Code /	Subobject	Description	Amount
8		Project Code			
9	5C CPF XXX – WWE	*CWP5CCPFXXX /	48999	Other State	\$13,047,000
10	Operating Grants –	CENMSCIC11 – Cesar		Grants	
11	State Fund	Chavez Sewer Repair			
12					
13	5C CPF XXX – WWE	*CWP5CCPFXXX /	48999	Other State	\$11,100,000
14	Operating Grants –	CENMSCIC23 – Sunnydale		Grants	
15	State Fund	Sewer Repair			
16					
17	5C CPF 13A - WWE-	*CWP5CCPF13A / –	80111	Proceeds Sale	\$492,809,000
18	Capital Projects-2013A	WWE30001 - Wastewater		of Bonds	
19	Bond Fund	Bond/Commercial Paper			
20					
21	5C CPF R&R – WWE	*CWWPZZZC505C-	9505C	ITI – from	\$70,000,000
22	Renewal &	CWWRNRCS		Wastewater	
23	Replacement Fund			Funds	

24
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1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 10B – 2010B	*CWP5CCPF10B –	99999B	Interest Income	\$800,000
4	WWE Revenue Bond	WWE30001 - Wastewater		– Fund Balance	
5	Fund(Taxable BAB)	Bond/Commercial Paper			
6					
7	Total SOURCES Appropriation				<u><u>\$587,756,000</u></u>

8 Section 3. The uses of funding outlined below are herein appropriated in Subobject 06700
9 Buildings Structures and Improvements, 06R00 Capital Renewal Projects, 07311 Bond
10 Issuance Cost-Unamortized, 081C4 Internal Audits and 081XX Revenue Bond Oversight
11 Committee and reflects the projected uses of funding to support the Wastewater Capital
12 Improvement Program at the San Francisco Public Utilities Commission for Fiscal Year 2012-
13 2013 and Fiscal Year 2013-14.

15 **USES Appropriation**

16	Fund	Index Code /	Subobject	Description	Amount
17		Project Code			
18	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	SSIP – Program-	\$23,000,000
19	Capital Projects-	CWWSIPPR	Structures, and	wide Management	
20	2013A Bond Fund		Improvements		
21					
22	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Biofuel/Alternative	\$5,000,000
23	Capital Projects-	CWWBAE	Structures, and	Energy Study	
24	2013A Bond Fund		Improvements		
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Biosolids/Digester	\$58,000,000
4	Capital Projects-	CWWSIPDP	Structures, and	Project	
5	2013A Bond Fund		Improvements		
6					
7	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Treatment Plant	\$73,000,000
8	Capital Projects-	CWWSIPSE	Structures, and	Improvements -	
9	2013A Bond Fund		Improvements	Southeast	
10					
11					
12	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Treatment Plant	\$38,000,000
13	Capital Projects-	CWWSIPTP	Structures, and	Improvements	
14	2013A Bond Fund		Improvements		
15					
16	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Central Bayside	\$16,000,000
17	Capital Projects-	CWWSIPCT	Structures, and	System	
18	2013A Bond Fund		Improvements	Improvements	
19					
20	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Collection System	\$57,306,000
21	Capital Projects-	CWWSIPCS	Structures, and	Improvements	
22	2013A Bond Fund		Improvements		
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Flood Control	\$55,601,000
4	Capital Projects-	CWWSIPFC	Structures, and	Improvements	
5	2013A Bond Fund		Improvements		
6					
7					
8	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Aging Infrastructure	\$1,747,000
9	Capital Projects-	CENMSCICTF	Structures, and	– Wastewater	
10	2013A Bond Fund		Improvements	Enterprise Facilities	
11					
12	5C AGT STA – WWE	CWPX5CAGTSTA /	06700 Buildings,	Cesar Chavez	\$13,047,000
13	Operating Grants –	CENMSCIC11	Structures, and	Sewer Repair	
14	State Fund		Improvements		
15	5C AGT STA – WWE	CWPX5CAGTSTA /	06700 Buildings,	Sunnydale Sewer	\$11,100,000
16	Operating Grants –	CENMSCIC23	Structures, and	Repair	
17	State Fund		Improvements		
18					
19	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Renewal &	\$67,906,000
20	Capital Projects-	CWWRNRCS	Structures, and	Replacement –	
21	2013A Bond Fund		Improvements	Collection System	
22					
23	5C CPF R&R – WWE	WWECS5CPFRNR	06R00 Capital	Renewal &	\$46,556,000
24	Renewal &	CWWRNRCS	Renewal Projects	Replacement –	
25	Replacement Fund			Collection System	

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 10B - 2010B	CWPX5CCPF10B /	06700 Buildings,	Renewal &	\$800,000
4	WWE Revenue Bond	CWWRNRCS	Structures, and	Replacement –	
5	Fund (Taxable BAB)		Improvements	Collection System	
6					
7	5C CPF R&R – WWE	WWETF5CPFRNR	06R00 Capital	Renewal &	\$20,444,000
8	Renewal &	CWWRNRTF	Renewal Projects	Replacement –	
9	Replacement Fund			Collection System	
10					
11	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Treasure Island	\$5,470,000
12	Capital Projects-	CWWP11001	Structures, and	Improvements	
13	2013A Bond Fund		Improvements		
14					
15	5C CPF R&R – WWE	WWETF5CPFRNR	06R00 Capital	Ocean Beach	\$3,000,000
16	Renewal &	CWWFAC01	Renewal Projects	Visioning Process	
17	Replacement Fund				
18					
19	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Collection Division	\$20,000,000
20	Capital Projects-	CWWFAC01	Structures, and	Consolidation	
21	2013A Bond Fund		Improvements		
22					
23	5C CPF 13A - WWE-	CWP15CCPF13A /	06700 Buildings,	Southeast	\$7,500,000
24	Capital Projects-	CWWFAC01	Structures, and	Community Center	
25	2013A Bond Fund		Improvements	Improvements	

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 13A - WWE-	CWPX5CCPF13A /	07311 Bond	Financing Costs	\$62,985,641
4	Capital Projects-	CWW30001	Issuance Cost-		
5	2013A Bond Fund		Unamortized		
6					
7	5C CPF 13A - WWE-	CWPX5CCPF13A /	081C4 Internal	City Services	\$1,046,954
8	Capital Projects-	CWW30001	Audits	Auditor	
9	2013A Bond Fund				
10					
11	5C CPF 13A - WWE-	CWPX5CCPF13A /	081XX Revenue	Revenue Bond	\$246,405
12	Capital Projects-	CWW30001	Bond Oversight	Oversight	
13	2013A Bond Fund		Committee	Committee	
14					
15	Total USES Appropriation				<u>\$587,756,000</u>

17 Section 4. Of the above appropriated amount, \$1,046,954, representing 0.2% of the
18 expenditure budget net of bond financing and audit costs is to be allocated and available to
19 support the Controller's Audit Fund, pursuant to Charter Appendix F1.113; and \$246,405,
20 representing 0.05% of gross bond proceeds is to be allocated and available to support the
21 Public Utilities Commission Revenue Bond Oversight Committee, pursuant to Administrative
22 Code Section 5A.31. These appropriations may be increased or decreased by the Controller
23 based on changes to expenditure appropriations or actual gross bond proceeds to conform to
24 the applicable Charter and Administrative Code formulas.

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1 Section 5. Of the above appropriated amount, \$62,985,641 is appropriated for bond financing
2 costs, including but not limited to, issuance costs, debt service reserve, capitalized interest,
3 rating agency, and disclosure costs, all on controllers reserve pending receipt of bond
4 proceeds. To the extent that net available bond proceeds after financing costs are more than
5 budgeted, the Department may use such surplus bond proceeds as a substitute for other
6 sources budgeted in this appropriation.

7
8 Section 6. The appropriations herein are effective as of July 1, 2012. The bond-funded
9 portion of all included appropriation shall be placed on Controller's Reserve pending the
10 availability of funds. The portion of appropriation funded by FY 2013-14 local operating
11 revenues shall be placed on Controller's Reserve until July 1, 2013. Release of project-
12 related appropriation reserves by the Controller is also subject to the prior occurrence of the
13 SFPUC's and the Board of Supervisors' discretionary adoption of CEQA findings for projects,
14 following review and consideration of completed project-related environmental analysis, where
15 required, pursuant to CEQA, the State CEQA Guidelines, and Chapter 31 of the San
16 Francisco Administrative Code.

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18 Section 7. The appropriations herein of \$255,600,000 related to the planned FY 2013-14 wastewater
19 capital improvements are placed on Board Finance Committee reserve pending further review of Sewer
20 System Improvement Program timing, sizing and scoping updates.

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22 Section 78. The Controller is authorized to record transfers between funds and adjust the
23 accounting treatment of sources and uses appropriated in this ordinance as necessary to
24 conform to Generally Accepted Accounting Principles.

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1 APPROVED AS TO FORM:
2 DENNIS J. HERRERA, City Attorney

FUNDS AVAILABLE:
BEN ROSENFELD, Controller

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5 By: _____

By: _____

6 Deputy City Attorney

Date: 5/29/2012

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