File No. 120872

Committee Item No. 2Board Item No. $2\ell_{\ell}$

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Rules

Date	9/20/12
Date	9/25/12

Board of Supervisors Meeting

Cmte Bo	ard	
	Motion Resolution Ordinance Legislative Digest Budget Analyst Report Legislative Analyst Report Youth Commission Report Introduction Form (for he Department/Agency Cove MOU Grant Information Form Grant Budget Subcontract Budget Contract/Agreement Award Letter Application Public Correspondence	rt arings)
	(Use back side if addition 	al space is needed)
	by: <u>Linda Wong</u> by:	Date <u>9/13/12</u> Date <u>9/21</u>]/2

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is in the file.

Amendment of the Whole in Committee Bearing New Title – 9/20/12

FILE NO. <u>120872</u>

-

MOTION NO.

1	[Reappointment - Public Utilities Commission - Ann Moller Caen]
2	
3	Motion approving the Mayor's reappointment of Ann Moller Caen, to the Public Utilities
4	Commission, Seat No. 3, for the unexpired portion of a four-year term ending August 1,
5	2016.
6	
7	WHEREAS, Pursuant to Charter Section 4.112, the Mayor has submitted a
8	communication notifying the Board of Supervisors of the reappointment of Ann Moller Caen to
9	the Public Utilities Commission, received by the Clerk of the Board on August 21, 2012; now,
10	therefore, be it
11	MOVED, That the Board of Supervisors hereby approves the Mayor's reappointment of
12	Ann Moller Caen to the Public Utilities Commission, Seat No. 3, must be a member with
13	experience in project finance, for the unexpired portion of a four-year term ending August 1,
14	2016.
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Clerk of the Board BOARD OF SUPERVISORS OFFICE OF THE MAYOR SAN FRANCISCO



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AUG 21

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Notice of Appointment

August 21, 2012

San Francisco Board of Supervisors City Hall, Room 244 1 Carlton B. Goodlett Place San Francisco, California 94102

Honorable Board of Supervisors:

Pursuant to Section 4.112 of the Charter of the City and County of San Francisco, I hereby make the following reappointments:

Ann Moller Caen to the Public Utilities Commission, Seat 3, for a term ending August 1, 2016

Francesca Vietor to the Public Utilities Commission, Seat, 1, for a term ending August 1, 2016

1 20 8 7 4 Vince Courtney to the Public Utilities Commission, Seat 5, for a term ending August 1, 2016

I am confident Ms. Caen, Ms. Vietor, and Mr. Courtney, all CCSF electors, will continue to serve the City and County well. Attached are their qualifications to serve, which will demonstrate how these appointments represent the communities of interest, neighborhoods, and diverse populations of San Francisco.

Should you have any questions related to these appointments, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

I encourage your support and am pleased to advise you of these appointments.

Sincerely,

Edwin M.

Mayor

OFFICE OF THE MAYOR SAN FRANCISCO



EDWIN M. LEE MAYOR

August 21, 2012

Angela Calvillo Clerk of the Board, Board of Supervisors San Francisco City Hall 1 Carlton B. Goodlett Place San Francisco, CA 94102

AM II :

Dear Ms. Calvillo,

Pursuant to Section 4.112 of the Charter of the City and County of San Francisco, I hereby make the following reappointments:

Ann Moller Caen to the Public Utilities Commission, Seat 3, for a term ending August 1, 2016

Francesca Vietor to the Public Utilities Commission, Seat, 1, for a term ending August 1, 2016

Vince Courtney to the Public Utilities Commission, Seat 5, for a term ending August 1, 2016

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Should you have any questions related to these appointments, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely Edwin M. I. Mayor



Ann Moller Caen is the President of her own company, Moller & Associates, a consulting firm. She also serves on the Board of Governors of the San Francisco Symphony, the Board of Trustees of the San Francisco Museum of Modern Art, the Board of Trustees of Golden Gate University, as well as the UCSF Foundation. She has served on Boards of the San Francisco Convention and Visitors Bureau and the Fisherman's Wharf Merchant's Association. Caen was also a Director of Pier 39, Siwel, Inc, and Sico Inc. Additionally, she was President of the Nob Hill Capital and Publisher of the San Francisco Visitor News. She is also involved with the Audubon Canyon Ranch, the International Hospitality Center, KQED, the California Pacific Medical Center, the San Francisco Junior League, and the Northern California Cancer Center.

Commissioner Caen holds a BS in Biology and Education and an MBA in Finance. She is the widow of Pulitzer Prize-winning columnist, Herb Caen. She was first appointed by Mayor Willie L. Brown, Jr. in March 1997, and reappointed for a second term in November 2001. Mayor Gavin Newsom reappointed her to a third term in January 2005. She served as President of the Commission from July 1998 to January 2000; September 2001 until January 2003; and January 2008 until September 2009. Her term will expire in August 2012.



Francesca Vietor has more than twenty years of experience working for environmental and social change in the United States and internationally. Previous positions include serving as President of the Urban Forest Council (2003-2005), Chair of the Mayor's Environmental Transition Team (2003), President of the S.F. Commission on the Environment (1997-1999) and Director of the S.F. Department of the Environment (1999-2001). Francesca was appointed to the San Francisco Public Utilities Commission (SFPUC) in September of 2008.

Additionally Vietor has worked for many non-profit organizations, including most recently as the Executive Director of the Chez Panisse Foundation. She has served on both the staff and board of the Rainforest Action Network and worked for Greenpeace, Island Press, Commonweal and CARE Madagascar. She is the co-founder and co- director of 1000 Flowers, a national woman's voter registration and mobilization effort, which registered and activated over 20,000 women in 47 states. She currently serves as the principal of Ecoworks.

Francesca has also contributed to her community through many board appointments including Bioneers, Bluewater Network, Center for Environmental Health, Commonweal, Friends of San Francisco Public Library, Georgetown University, the Goldman Fund, International Rivers Network,



Ann Moller Caen is the President of her own company, Moller & Associates, a consulting firm. She also serves on the Board of Governors of the San Francisco Symphony, the Board of Trustees of the San Francisco Museum of Modern Art, the Board of Trustees of Golden Gate University, as well as the UCSF Foundation. She has served on Boards of the San Francisco Convention and Visitors Bureau and the Fisherman's Wharf Merchant's Association. Caen was also a Director of Pier 39, Siwel, Inc, and Sico Inc. Additionally, she was President of the Nob Hill Capital and Publisher of the San Francisco Visitor News. She is also involved with the Audubon Canyon Ranch, the International Hospitality Center, KQED, the California Pacific Medical Center, the San Francisco Junior League, and the Northern California Cancer Center.

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A graduate of Georgetown University and BFA candidate at California College for the Arts, Francesca won the Alumni of the Year award for Public Service from her alma mater Choate Rosemary Hall in 2009. She lives in San Francisco with her five-year-old daughter Chiara.



Director for Laborers' International Union (LiUNA!) Local 261 since 2004. He was appointed to the Northern California District Council of Laborers (NCDCL) as Special Assistant to LiUNA! Vice-President and NCDCL Business Manager Oscar De La Torre in 2009, and is involved in labor relations/collective bargaining throughout the region. In 2010, he was elected to the Executive Committee of the San Francisco Labor Council and was appointed to the Executive Board of the California Alliance for Jobs.

Courtney serves as the Executive Director and Board Member of the Laborers' Community & Training Foundation (LCTF) Board of Directors, developing unique "pre-apprenticeship" career path opportunities for community youth in the construction trades.

He has also been a member of the San Francisco Department of Public Works Apprenticeship Board since 2005 and was instrumental in the development of the first State-Certified Horticultural Worker Apprenticeship Program. This work resulted in the establishment and accreditation of the San Francisco Recreation and Park Department Gardener Apprenticeship Program on October 20, 2010.

A graduate of the University of California, Santa Cruz, Courtney earned his law degree from San Francisco Law School in 1999. Under Mayor Willie L. Brown, Jr. he served the City as Delinquency Prevention Commissioner and later as member of the Sunshine Ordinance Task Force. He currently resides in the Fillmore District.

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Schedule Summary			·····		
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Schedule A-1 - Investmen		[_] Schedule	C - Income, Loans, &	Business Positions – schedu	le attached
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FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov

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Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Name Ann Moller Caen

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
General Electric	Duke Energy Corp	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	
FAIR MARKET VALUE	FAIR MARKET VALUE	
\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	\$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	
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NAME OF BUSINESS ENTITY Wal-Mart	NAME OF BUSINESS ENTITY Exelon Corp	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	
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 NAME OF BUSINESS ENTITY Walt Disney Co. 	NAME OF BUSINESS ENTITY General Electric	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	
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IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE.	
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Comments: ____

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Stocks, Bonds, and Other Interests

Ann Moller Caen

(Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Hewlett-Packard Co	Lifepoint Hospitals Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE	FAIR MARKET VALUE X \$2,000 - \$10,000 \$10,000
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IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Humana Inc.	Pfizer Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE	FAIR MARKET VALUE
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NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
	Sigma Aldrich Corp
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE	FAIR MARKET VALUE
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Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Spectra Energy Corp	Goldman Sachs
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
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ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Bank of America	Masco Corp
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE	FAIR MARKET VALUE
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Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Ann Moller Caen

Do not attach brokerage or financial statements.

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
Apache Corp	Berkshire Hathaway	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	
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IF APPLICABLE, LIST DATE	IF APPLICABLE, LIST DATE:	
/ / / / ACQUIRED DISPOSED		
NAME OF BUSINESS ENTITY Apple Inc.	NAME OF BUSINESS ENTITY Celanese Corp	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	
FAIR MARKET VALUE \$2,000 - \$10,000	FAIR MARKET VALUE \$2,000 - \$10 000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT	
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IF APPLICABLE, LIST DATE.	IF APPLICABLE LIST UATE //_11/_11 ACQUIRED DISPOSED	
NAME OF BUSINESS ENTITY Auto Data Processing GENERAL DESCRIPTION OF BUSINESS ACTIVITY	NAME OF BUSINESS ENTITY Century Link Inc. GENERAL DESCRIPTION OF BUSINESS ACTIVITY	
FAIR MARKET VALUE 52.000 - \$10.000 X \$10,001 - \$100.000 5100.001 - \$1.000.000 Over \$1.000,000	FAIR MARKET VALUE \$2,000 - \$10,000 [X] \$10,001 - \$100,000 \$100,001 - \$1,000,000 [Over \$1,000,000]	
NATURE OF INVESTMENT Stock Dther (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT X Stock Other (Describe) Partnership C Income Received of \$0 - \$499 (Discribe) () Income Received of \$500 or More (Perviction Statistical) (Discribe)	
IF APPLICABLE, LIST DATE	IF APPLICABLE, LIST DATE.	
<u>/ / 11 / 11</u> ACQUIRED DISPOSED	ACQUIRED DISPOSED	Ø

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Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

	Diageo PLC	Exxon Mobil Corp
	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
		FAIR MARKET VALUE
	\$2.000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT	NATURE OF INVESTMENT
	Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	Partnership () Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	ACOUIRED DISPOSED	ACQUIRED DISPOSED
-	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
	Du Pont	Las Vegas Sands Corp
	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
	FAIR MARKET VALUE	FAIR MARKET VALUE
	S2.000 - \$10.000 (X) \$10,001 - \$100.000	\$2,000 - \$10.000 X \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000
	NATURE OF INVESTMENT	NATURE OF INVESTMENT Stock Other
	(Describe) (Describe) Parthership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	(Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 of More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	<u>/ / / /</u>	<u> </u>
	ACQUIRED DISPOSED	ACQUIRED DISPOSED
	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	EMC Corp	Mastercard Inc.
	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
		FAIR MARKET VALUE
	\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
	(\$100,001 - \$1,000,000 Over \$1,000,000	[]] \$100,001 - \$1 000,000 [] Over \$1 000,000
	NATURE OF INVESTMENT	NATURE OF INVESTMENT
	X Stock Other	X Stock Other
	(Describe) Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	(Describe) Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
		<u> </u>



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Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

MCK esson Corp Nike Inc. CENERAL DESCRIPTION OF BUSINESS ACTIVITY FAIR MARKET VALUE Istructure of Investment Istructure of Investment Istructure of Investment Income Received of S00 or More (Proport or Structure of S00 or More (Proport	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
SENERAL DESCRIPTION OF BUSINESS ACTIVITY GENERAL DESCRIPTION OF BUSINESS ACTIVITY FAR MARKET VALUE Stidoot - \$100,000 Mathife Inc. Accounedo Stidoot - \$100,000	McKesson Corp	Nike Inc.
□ 2:000 S10,001 S10,000 S10,000 S10,000 □ 3:000,000 □ Cwr 31:000,000 □ Cwr 31:000,000 □ Cwr 31:000,000 □ Cwr 31:000,000 □ 3:000,000 □ Cwr 31:000,000 □ Cwr 31:000,000 □ Cwr 31:000,000 □ Cwr 31:000,000 □ Pathenship ○ Income Received of 350 - 5499 □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Currents □ Cur		GENERAL DESCRIPTION OF BUSINESS ACTIVITY
NATURE OF INVESTMENT Image: Stock	S \$2.000 - \$10,000 (X) \$10,001 - \$100,000	\$2 000 - \$10.000 X \$10.001 - \$100,000
□ Partnership ○ Income Received of \$10.2499 □ Partnership ○ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: □ Income Received of \$200 or More (Report on		MATURE OF INVESTMENT
	Partnership () income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
ACQUIRED DISPOSED MAE OF BUSINESS ENTITY ACQUIRED DISPOSED MAE OF BUSINESS ENTITY Novaris AG GENERAL DESCRIPTION OF BUSINESS ACTIVITY CENERAL DESCRIPTION OF BUSINESS ACTIVITY FAIR MARKET VALUE S10.001 - \$100.000 \$100.001 - \$1.000.000 S10.001 - \$100.000 NATURE OF INVESTMENT S10.001 - \$100.000 NATURE OF INVESTMENT Other XI Stock Other Operation Other Operation Other Operation Operation Yes Other Operation Operation IF APPLICABLE. LIST DATE: // 11	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
Methlife Inc. Novartis AG CENERAL DESCRIPTION OF BUSINESS ACTIVITY GENERAL DESCRIPTION OF BUSINESS ACTIVITY FAIR MARKET VALUE S10,001 - \$100,000 S100,001 - \$1,000,000 S10,001 - \$100,000 INATURE OF INVESTMENT Stock [] Other		ACQUIRED DISPOSED
FAIR MARKET VALUE		
[] 32,000 - \$10,000 [X] \$10,001 - \$100,000 [] \$2,000 - \$10,000 [] \$100,001 - \$100,000 [] \$100,001 - \$1,000,000 [] Over \$1,000,000 [] Partmership [] Income Received of \$0 - \$499 [] Partmership [] Income Received of \$500 or More (Report on Schedule C) [] F APPLICABLE. LIST DATE: [] / 11 / 11	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS AGTIVITY
NATURE OF INVESTMENT X Stock Other Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE. LIST DATE:	S2,000 - \$10,000 S10,001 - \$100,000	\$2,000 - \$10.000 X \$10,001 - \$100,000
Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE: IF APPLICABLE. LIST DATE	X Stock Other	
	Partnership () Income Received of \$0 - \$499	Partnership () Income Received of \$0 - \$499
ACQUIRED DISPOSED AACQUIRED DISPOSED AACQUIRED DISPOSED MAME OF BUSINESS ENTITY Microsoft Corp GENERAL DESCRIPTION OF BUSINESS ACTIVITY Oracle Corp GENERAL DESCRIPTION OF BUSINESS ACTIVITY GENERAL DESCRIPTION OF BUSINESS ACTIVITY FAIR MARKET VALUE Stock 10,000 \$10,001 - \$1,000,000 Over \$1,000,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Describei Yescribei Discore Received of \$0 - \$499 O Income Received of \$0 - \$499 O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report or Schoulde C) IF APPLICABLE, LIST DATE	IF APPLICABLE. LIST DATE:	IF APPLICABLE, LIST DATE:
Microsoft Corp GENERAL DESCRIPTION OF BUSINESS ACTIVITY FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$100,000 Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE:		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY FAIR MARKET VALUE \$2.000 - \$10,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000 \$100,000 - \$1,000,000		1
\$2.000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT \$100,001 - \$1,000,000 \$100,001 - \$100,000 Over \$1,000,000 NATURE OF INVESTMENT \$100,001 - \$1,000,000 \$100,001 - \$100,000 Over \$1,000,000 NATURE OF INVESTMENT \$100,001 - \$100,000 \$100,001 - \$100,000 Over \$1,000,000 NATURE OF INVESTMENT \$100,001 - \$100,000 \$100,001 - \$100,000 Over \$1,000,000 Partnership C income Received of \$0 - \$499 \$100,001 - \$10,000 Partnership O income Received of \$500 or More (Report on Schedule \$100) Partnership O income Received of \$500 or More (Report on Schedule \$100) IF APPLICABLE, LIST DATE IF APPLICABLE, LIST DATE		
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X Stock Other		
Partnership (C) Income Received of \$0 - \$499 (C) Income Received of \$500 or More (Report on Schedule C) FAPPLICABLE, LIST DATE IF APPLICABLE, LIST DATE	X Stack Other	Stock Other
	Partnership C Income Received of \$0 - \$499	Partnership () Income Received of \$0 - \$499
	F APPLICABLE, LIST DATE	IF APPLICABLE, LIST DATE
ACQUIRED DISPOSED ACQUIRED DISPOSED	/ / / 11 ACQUIRED DISPOSED	ACQUIRED DISPOSED

SCHEDULE A-1

Investments

CALIFOI	RNIA FO	ORM	70	1
FAIR POLITI	cal prac	fices co	m ssic	M.
Name				
Ann Mol	ler Cae	n		

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Pepsico Inc.	Sherwin Williams Co
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	FAIR MARKET VALUE \$2,000 - \$10,000 \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 O income Received of \$500 or More (Report on Schedula C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//_11	//_11//_11 ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY Roper Industries GENERAL DESCRIPTION OF BUSINESS ACTIVITY	NAME OF BUSINESS ENTITY TJX COS Inc. GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$2,000 - \$10.000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other (jescnbe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE: //_11//_11 ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY Schlumberger Ltd. GENERAL DESCRIPTION OF BUSINESS ACTIVITY	NAME OF BUSINESS ENTITY Union Pacific Corp. GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000
NATURE OF INVESTMENT Stock Of Other	NATURE OF INVESTMENT Stock Other
Partnership () Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	☐ Income Received of \$500 or More (Report on Scholuble C).
Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE:	O Income Received of \$500 or More (Report on Schedule ()) IF APPLICABLE, LIST DATE:

Comments: _



Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Ann Moller Caen

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
United Technologies Corp	Ridgeworth
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
· · · · · · · · · · · · · · · · · · ·	
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
(\$100,001 - \$1,000,000	\$100.001 - \$1,000.000 () Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
(Chacribe)	(Desgribe)
Partnership () Income Received of \$0 - \$499) Income Received of \$500 or More (Propert on Scheaule C)	Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C) ()
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE.
<u> </u>	<u></u>
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Yum Brands Inc.	Evercore Wealth Mgmt
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE	FAIR MARKET VALUE
🗍 \$2.000 - \$10.000 🕅 🔀 \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
S106.001 - \$1 069,000 Cver \$1,000.000	[] \$100.001 - \$1,000,000 [] Over \$1,000.000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock	NATURE OF INVESTMENT Stock X Other Equity Funds
Lescribe)	(Describe)
 income Received of \$500 or More (Report on Schedule C) 	Income Received of \$500 or More (Becoil on Schedule C)
IF APPLICABLE LIST DATE:	IF APPLICABLE. LIST DATE:
/_ <u>11</u> / <u>11</u>	/
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Doubleline Total	Enbridge Energy
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
	CENTRE DECOMPTION OF DOGINESS ACTIVITY
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2.000 · \$10,000 (X) \$10,001 · \$100,000	\$2 000 - \$10,000 X \$10,001 - \$100,000
\$100,001 - \$1,000,000 U Over \$1,000,000	S100 001 - \$1,000.000
NATURE OF INVESTMENT	NATURE OF INVESTMENT Stock Cther ETF
(Describe)	Stock X Other (Describe)
Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule ())	Partnership O Income Received of \$0 - \$499
Chinestie Needwar of 3000 of word (Report of 30"edule ()	() Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: _





(Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
Guggenheim Exch	SPDR Gold Trust
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$10,000 Cver \$1,000,000
(Describe) Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	(Describe) Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ 11/ 11 ACQUIRED DISPOSED	
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
iShares Trust	Wisdom Tree Emerging Mkts
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE 52,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATUCE OF INVESTMENT
Stock Other ETF Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Slock (X) Other ETF Describe) Partnership () Income Received of \$0 - \$499 O income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE. LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY Plum Creek Timber	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE	
\$2.000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$100,000 \$100,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	(Describe) Partnership () income Received of \$0 - \$499 Income Received of \$500 or More <i>Report on Schedule (C)</i>
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
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ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments:

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San Francisco BOARD OF SUPERVISORS

Date Printed: September 13, 2012

Date Established:

August 1, 2008

PUBLIC UTILITIES COMMISSION

Active

Contact and Address:

Michael Housh Commission Secretary 1155 Market St., 11th Floor San Francisco, CA 94102

Phone: (415) 554-3165 Fax: Email: mhoush@sfwater.org

Authority:

Charter Amendment, June, 2008 election, Prop. E

Board Qualifications:

The Public Utilities Commission shall consist of five members appointed by the Mayor, subject to confirmation by a majority of the Board of Supervisors. Each of the members shall serve for a term of four years. Members may be removed by the Mayor only pursuant to Section 15.105. Seat 1 shall be a member with experience in environmental policy and an understanding of environmental justice issues. Seat 2 shall be a member with experience in ratepayer or consumer advocacy. Seat 3 shall be a member with experience in project finance. Seat 4 shall be a member with expertise in water systems, power systems, or public utility management. Seat 5 shall be an at-large member.

The terms of office of members of the Public Utilities Commission who hold office on August 1, 2008 shall expire at noon on that date, and the members appointed pursuant to the amendments to this Section approved at the June 2008 election shall succeed to said office at that time. In order to provide for staggered terms, the members appointed to Seats 2 and 4 shall serve for an initial term of two years from August 1, 2008. The remaining three members appointed to Seats 1, 3, and 5 shall serve for an initial term of four years from August 1, 2008, and thereafter the terms of all members shall be four years.

The Commission shall have charge of the construction, management, supervision, maintenance, extension, operation, use and control of all water and energy supplies and utilities of the City as well as the real, personal and financial assets, which are under the Commission's jurisdiction on the operative date of this Charter, or assigned pursuant to Section 4.132.