

File No. 130022

Committee Item No. 2
Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget and Finance Committee

Date 01/23/2013

Board of Supervisors Meeting

Date _____

Cmte Board

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| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Introduction Form (for hearings) |
| <input type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

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Completed by: Victor Young

Date January 18, 2013

Completed by: Victor Young

Date _____

1 [Apply for, Accept, and Expend - Local Priority Development Area Planning and
2 Implementation Efforts - \$2,380,000

3 **Resolution authorizing the Planning Department to apply for, accept, and expend funds**
4 **in the amount of \$2,380,000 from the Metropolitan Transportation Commission for local**
5 **Priority Development Area Planning and Implementation efforts spanning FYs 2012-**
6 **2013 through FYs 2015-2016.**

7
8 WHEREAS, The San Francisco Planning Department is submitting an application to
9 the Metropolitan Transportation Commission (MTC) for \$2,380,000 in funding assigned to
10 MTC for programming discretion, including but not limited to federal funding administered by
11 the Federal Highway Administration (FHWA) such as Surface Transportation Program (STP)
12 funding, Congestion Mitigation and Air Quality Improvement (CMAQ) funding and/or
13 Transportation Alternatives (TA) funding (herein collectively referred to as REGIONAL
14 DISCRETIONARY FUNDING) for local PDA Planning and Implementation efforts spanning
15 Fiscal Years 2012-13 through 2015-16 (herein referred to as PROJECT) for the County PDA
16 Implementation program (herein referred to as PROGRAM); and

17 WHEREAS, The Moving Ahead for Progress in the 21st Century Act (Public Law 112-
18 141, July 6, 2012) and any extensions or successor legislation for continued funding
19 (collectively, MAP 21) authorize various federal funding programs including, but not limited to
20 the Surface Transportation Program (STP) (23 U.S.C. § 133), the Congestion Mitigation and
21 Air Quality Improvement Program (CMAQ) (23 U.S.C. § 149) and the Transportation
22 Alternatives Program (TA) (23 U.S.C. § 213); and

23 WHEREAS, State statutes, including California Streets and Highways Code 182.6 and
24 182.7 provide various funding programs for the programming discretion of the Metropolitan
25 Planning Organization (MPO) and the Regional Transportation Planning Agency (RTPA); and

1 WHEREAS, Pursuant to MAP-21, and any regulations promulgated thereunder, eligible
2 project sponsors wishing to receive federal funds for a project shall submit an application first
3 with the appropriate MPO for review and inclusion in the MPO's Transportation Improvement
4 Program (TIP); and

5 WHEREAS, MTC is the MPO and RTPA for the nine counties of the San Francisco Bay
6 region; and

7 WHEREAS, MTC has adopted a Regional Project Funding Delivery Policy (MTC
8 Resolution No. 3606, revised) that sets out procedures governing the application and use of
9 federal funds; and

10 WHEREAS, The San Francisco Planning Department is an eligible sponsor for
11 REGIONAL DISCRETIONARY FUNDING; and

12 WHEREAS, As part of the application for REGIONAL DISCRETIONARY FUNDING,
13 MTC requires a resolution adopted by the responsible implementing agency stating the
14 following:

- 15 1. the commitment of any required matching funds; and
- 16 2. that the sponsor understands that the REGIONAL DISCRETIONARY FUNDING is
17 fixed at the programmed amount, and therefore any cost increase cannot be
18 expected to be funded with additional REGIONAL DISCRETIONARY FUNDING;
19 and
- 20 3. that the project will comply with the procedures, delivery milestones and funding
21 deadlines specified in the Regional Project Funding Delivery Policy (MTC
22 Resolution No. 3606, revised); and
- 23 4. the assurance of the sponsor to complete the project as described in the
24 application, and if approved, as included in MTC's federal Transportation
25 Improvement Program (TIP); and

- 1 5. that the project will comply with all project-specific requirements as set forth in the
2 PROGRAM; and
3 6. that the project (transit only) will comply with MTC Resolution No. 3866, revised,
4 which sets forth the requirements of MTC's Transit Coordination Implementation
5 Plan to more efficiently deliver transit projects in the region.

6 Now, therefore, be it

7 RESOLVED, That the San Francisco Planning Department is an eligible sponsor of
8 REGIONAL DISCRETIONARY FUNDING funded projects; and be it

9 FURTHER RESOLVED, That the San Francisco Planning Department is authorized to
10 submit an application for REGIONAL DISCRETIONARY FUNDING for the PROJECT; and be
11 it

12 FURTHER RESOLVED, That there is no legal impediment to the San Francisco
13 Planning Department making applications for the funds; and be it

14 FURTHER RESOLVED, That there is no pending or threatened litigation that might in
15 any way adversely affect the proposed PROJECT, or the ability of t to deliver such PROJECT;
16 and be it


17 FURTHER RESOLVED, That the Board of Supervisors of the City and County of San
18 Francisco authorizes its Director of Planning, or designee, to execute and file an application
19 with MTC for REGIONAL DISCRETIONARY FUNDING for the PROJECT as referenced in
20 this resolution; and be it

21 FURTHER RESOLVED, That a copy of this resolution will be transmitted to the MTC in
22 conjunction with the filing of the application; and be it

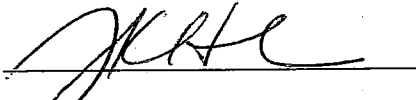
23 FURTHER RESOLVED, That the MTC is requested to support the application for the
24 PROJECT described in the resolution and to include the PROJECT, if approved, in MTC's
25 federal TIP.

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Recommended:


Department Head

Approved:


Mayor

Approved:


Controller

TO: Angela Calvillo, Clerk of the Board of Supervisors
FROM: John Rahaim, Director of Planning
DATE: January 15, 2013
SUBJECT: Apply for, Accept and Expend Resolution Grant Funds

GRANT TITLE: Local Priority Development Area Planning and Implementation Efforts

Attached please find the original and 4 copies of each of the following:

X Proposed grant resolution; original signed by Department, Mayor, Controller

X Grant information form, including disability checklist

X Grant budget

n/a Grant application

X Grant award letter from funding agency

n/a Ethics Form 126 (if applicable)

n/a Contracts, Leases/Agreements (if applicable)

n/a Other (Explain):

Special Timeline Requirements:

Funder requires this board-approved Resolution for Local Support by January 30, 2013 in order to proceed with programming funds.

Departmental representative to receive a copy of the adopted resolution:

Name: Keith DeMartini, Finance Manager

Phone: 415-575-9118

Interoffice Mail Address: 1650 Mission St, Suite 400

Certified copy required Yes

No

(Note: certified copies have the seal of the City/County affixed and are occasionally required by funding agencies. In most cases ordinary copies without the seal are sufficient).

File Number: _____
(Provided by Clerk of Board of Supervisors)

Grant Resolution Information Form
(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: Local Priority Development Area Planning and Implementation Efforts
2. Department: Planning Department
3. Contact Person: Sarah Dennis Phillips Telephone: 415-558-6134
4. Grant Approval Status (check one):
 Approved by funding agency Not yet approved
5. Amount of Grant Funding Approved or Applied for: \$ 2,380,000
- 6a. Matching Funds Required: \$ 0
b. Source(s) of matching funds (if applicable):
- 7a. Grant Source Agency: Federal Highway Administration (FHWA)
b. Grant Pass-Through Agency (if applicable): Metropolitan Transportation Commission (MTC)
8. Proposed Grant Project Summary: Funding assigned to MTC for programming discretion, including but not limited to federal funding administered by the Federal Highway Administration (FHWA) such as Surface Transportation Program (STP) funding, Congestion Mitigation and Air Quality Improvement (CMAQ) funding and/or Transportation Alternatives (TA) funding (collectively referred to as regional discretionary funding) will be used for local planning efforts in Priority Development Areas spanning Fiscal Years 2012-13 through 2015-16.
9. Grant Project Schedule, as allowed in approval documents, or as proposed:
Start-Date: 2/1/13 End-Date: 6/30/16
- 10a. Amount budgeted for contractual services: To be determined
b. Will contractual services be put out to bid? Out to bid
c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements? To be determined
d. Is this likely to be a one-time or ongoing request for contracting out? To be determined
- 11a. Does the budget include indirect costs? Yes No
b1. If yes, how much? \$ Amount to be determined
b2. How was the amount calculated? Amount will be calculated based on departments' federally approved indirect rates

c1. If no, why are indirect costs not included?

Not allowed by granting agency

To maximize use of grant funds on direct services

Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs?

12. Any other significant grant requirements or comments:

****Disability Access Checklist** (Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)**

13. This Grant is intended for activities at (check all that apply):

Existing Site(s)

Existing Structure(s)

Existing Program(s) or Service(s)

Rehabilitated Site(s)

Rehabilitated Structure(s)

New Program(s) or Service(s)

New Site(s)

New Structure(s)

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;
2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;
3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

Comments:

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

Carla Johnson

(Name)

Interim Director

(Title)

Date Reviewed: January 15 2013

(Signature Required)

Department Head or Designee Approval of Grant Information Form:

John Rahaim,

(Name)

Director of Planning

(Title)

Date Reviewed: January 15, 2013

(Signature Required)



SAN FRANCISCO PLANNING DEPARTMENT

MEMO

DATE: January 15, 2012
TO: John Rahaim, Planning Director
FROM: Sarah Dennis Phillips
RE: PDA Planning Program & Budget Development

1650 Mission St.
Suite 400
San Francisco,
CA 94103-2479

Reception:
415.558.6378

Fax:
415.558.6409

Planning
Information:
415.558.6377

Resolution No. 4035, adopted by the Metropolitan Transportation Commission on May 17, 2012, adopted a strategy to pool and redistribute on a regional basis funding from the federal Transportation Improvement Program for implementation of projects, plans and policies consistent with the Regional Transportation Plan (RTP), to create the PDA Planning Program. The following is the language from Resolution No. 4035 describing the Regional PDA Planning Program (unchanged since the May 2012 adoption):

PDA Planning Grants: MTC and ABAG's PDA Planning Grant Program will place an emphasis on affordable housing production and preservation in funding agreements with grantees. Grants will be made to jurisdictions to provide support in planning for PDAs in areas such as providing housing, jobs, intensified land use, promoting alternative modes of travel to the single occupancy vehicle, and parking management. These studies will place a special focus on selected PDAs with a greater potential for residential displacement and develop and implement community risk reduction plans. Grants will be made to local jurisdictions to provide planning support as needed to meet regional housing goals. Also program funds will establish a new local planning assistance program to provide staff resources directly to jurisdictions to support local land-use planning for PDAs.

On October 24, 2012, the Metropolitan Transportation Commission directed \$20 million of the \$40 million in the regional PDA Implementation program to eight CMAs and the San Francisco Planning Department for local PDA planning implementation.

As noted on the attached memo from ABAG, counties need to prepare a PDA Investment & Growth Strategy by May 1, 2013, demonstrating how CMAs are to guide transportation investments that are supportive of PDAs, and more specifically expend the Regional PDA Planning Program funding allocated through this cycle. In coming months, the Planning Department staff will be working with partner agencies in the City to develop this strategy, outlining how the city will be prioritizing it's planning funds. **This PDA Investment Strategy will serve as a guide for budget allocations form this fund.**

OBAG Policies

Priority Development Area Focus

Priority Development Areas (PDAs) are infill development opportunity areas within existing communities identified by local jurisdictions. They are generally areas of at least 100 acres where there is local commitment to developing more housing along with amenities and services to meet the day-to-day needs of residents in a bicycle and pedestrian-friendly environment served by transit.

PDA Investment Minimums

The CMAAs in larger counties (Alameda, Contra Costa, San Mateo, San Francisco, and Santa Clara) shall direct at least 70% of their OBAG investments to the PDAs. For North Bay counties (Marin, Napa, Solano, and Sonoma) the threshold is 50%. A project lying outside the limits of a PDA may count towards the minimum provided that it directly connects to or provides proximate access to a PDA. Refer to <http://geocommons.com/maps/141979>, which provides a GIS overlay of the PDAs in the Bay Area. The counties will be expected to have an open decision process to justify projects that geographically fall outside of a PDA but are considered directly connected to or providing proximate access to a PDA.

PDA Investment and Growth Strategy

By May 1, 2013, CMAAs shall prepare and adopt a PDA Investment and Growth Strategy to guide transportation investments that are supportive of PDA infill development.

Affordable Housing Production and Preservation

As part of the PDA Investment and Growth Strategy, CMAAs will need to consider strategies for the production of affordable housing. By May 2013, CMAAs will have analyzed housing production progress and completed an inventory of existing and planned housing units by income category in PDAs and affordable housing policies currently enacted for those respective jurisdictions. By May 2014, CMAAs will work with PDA based jurisdictions to identify which, if any, policies/ordinances are recommended to promote and preserve affordable housing in PDAs. Based on this information and recommendations in the PDA Growth Strategy, MTC will link the release of future cycle funding (after FY 2015–16) to the implementation of affordable housing policies around which local officials reach consensus. Additionally, the regional PDA Planning Program will assist jurisdictions to develop and implement PDA investment plans.



To: CMA and SF Planning Department Staff

From: MTC/ABAG Staff

Re: Local PDA Planning and Implementation Program Next Steps

At their October 24 meeting, MTC approved the direction of \$20 million in Regional PDA Planning Program funding included in the OneBayArea Grant (OBAG) framework (Resolution No. 4035) to the Congestion Management Agencies (CMAs)/San Francisco Planning Department for local PDA planning activities. At their November 28 meeting, the Commission confirmed and clarified the specific changes to Resolution No. 4035. In addition, the Commission concurred with several staff recommendations tied to the redirection of these funds. This memo outlines requirements associated with these Commission decisions, eligible planning activities related to the funding, as well as available Regional PDA Planning Program resources. The following is the language from Resolution No. 4035 describing the Regional PDA Planning Program (unchanged since the May 2012 adoption):

PDA Planning Grants: MTC and ABAG's PDA Planning Grant Program will place an emphasis on affordable housing production and preservation in funding agreements with grantees. Grants will be made to jurisdictions to provide support in planning for PDAs in areas such as providing housing, jobs, intensified land use, promoting alternative modes of travel to the single occupancy vehicle, and parking management. These studies will place a special focus on selected PDAs with a greater potential for residential displacement and develop and implement community risk reduction plans. Grants will be made to local jurisdictions to provide planning support as needed to meet regional housing goals. Also program funds will establish a new local planning assistance program to provide staff resources directly to jurisdictions to support local land-use planning for PDAs.

Program Requirements

- \$20 million in regional PDA planning program funds are made available to support local jurisdictions in their planning and implementation of PDAs. Funding is distributed to the county CMAs (with funds for San Francisco distributed to the City/County of San Francisco planning department) using the OBAG distribution formula with no county receiving less than \$750,000. The resulting funding distribution is shown in Attachment 1.
- CMA grants to local jurisdictions and the expenditure of funds by the San Francisco Planning Department are to be aligned with the recommendations and priorities identified in their adopted PDA Growth and Investment Strategy. Further, CMAs are required to distribute these funds on a non-formula basis that targets assistance to PDAs that are high impact and capable of early implementation. The grants should also be aligned with the PDA Planning Program guidelines for those activities relevant to those guidelines (i.e. station area or PDA plan). See below for more information on PDA Planning Program guidelines.
- The CMAs are limited to using no more than 5% of the funds for program administration.
- Three options are available for program administration: 1) Local jurisdictions will either directly access these funds through Caltrans Local Assistance similar to other OBAG grants provided to them by the CMAs; 2) the CMAs may choose to provide individual grants to local jurisdictions

through a single program administered by the CMA; or 3) the CMA may request that ABAG administer the grants in cooperation with the local jurisdictions.

Funding Eligibility

The information below is provided as guidance to the CMAs, SF Planning Department, and local jurisdictions. Please note that final funding eligibility determinations for STP funds rest with Caltrans/FHWA.

Eligible Activities

Federal Surface Transportation Program (STP) funds are the funding source for this program. Given the broad range of unfunded planning needs and mandates at the local level, it is important to clarify the limitations on how federal STP funds may be applied to a subset of these needs consistent with Resolution 4035.

The overall purpose of STP funds is to support investments in the surface transportation system; therefore, a nexus to transportation is required. In terms of PDA planning, it is most helpful to look at Transit Oriented Development (TOD) planning activities in our region that have been funded with STP funds to-date through the Station Area/Regional PDA Planning Programs (http://www.mtc.ca.gov/planning/smart_growth/stations/). In most cases, Specific Plans in a PDA focused around a transit station or corridor, with a corresponding programmatic EIR, have been funded.

Eligible Planning activities that support transportation objectives include:

- Planning for mixed income near transit: increasing affordability with location efficiency
- Station Area/PDA Planning (i.e. Specific or Precise Plan with EIR)
- Transit and employment
- Transit corridors and TOD
- Families and TOD: Complete Communities
- Expanding housing opportunities near transit
- Parking management and pricing connected to new land uses
- Bicycle and pedestrian planning connected to new land uses

Examples of Ineligible Planning Activities

Planning activities that do not support the surface transportation system are not eligible. For example, the update of a general plan housing element or an EIR to assess the impacts of a particular housing / commercial development may not be eligible *unless* land-use planning is specifically related to transportation investments. Other ineligible planning examples include CEQA clearance for single development entitlements, planning department staffing / consultant costs to provide general planning (development plans and review, general plan updates without a transportation focus) and permitting functions.

Regional Planning Resources

Listed below are several resources based on the development and implementation of the Station Area/Regional PDA Planning Program over the past 7 years. MTC and ABAG staff are available to participate in the evaluation of jurisdiction applications at the CMA's request.

- Program Application (attached)
- Program Guidelines (attached)
- Planning Elements (attached)
- Station Area Planning Manual
(http://www.mtc.ca.gov/planning/smart_growth/stations/Station_Area_Planning_Manual_Nov07.pdf)

MTC and ABAG will hold a staff workshop in early 2013 to review the resources and answer questions about implementing the Local PDA Planning Program.

Attachment 1

CMA Distribution – PDA Planning Funds

County	Administering Agency	OBAG Formula	PDA Planning Share*	PDA Planning Amount
Alameda	ACTC	20.2%	19.5%	\$3,905,000
Contra Costa	CCTA	14.2%	13.7%	\$2,745,000
Marin	TAM	2.8%	3.8%	\$750,000
Napa	NCTPA	1.7%	3.8%	\$750,000
San Francisco **	City/County of SF	12.3%	11.9%	\$2,380,000
San Mateo	SMCCAG	8.3%	8.0%	\$1,608,000
Santa Clara	VTA	27.6%	26.7%	\$5,349,000
Solano	STA	5.5%	5.3%	\$1,066,000
Sonoma	SCTA	7.5%	7.2%	\$1,447,000
CMA PDA Planning Implementation Total:		100.0%	100.0%	\$20,000,000

* County minimum of \$750,000 for Marin and Napa results in actual PDA share slightly different than OBAG Formula share

** Funding for San Francisco to be provided to San Francisco City/County Planning Department

Print Form

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2010 JAN -8 PM 4:06
Time stamp or meeting date *AK*

Introduction Form

By a Member of the Board of Supervisors or the Mayor

I hereby submit the following item for introduction (select only one):

- 1. For reference to Committee:
An ordinance, resolution, motion, or charter amendment.
- 2. Request for next printed agenda without reference to Committee.
- 3. Request for hearing on a subject matter at Committee:
- 4. Request for letter beginning "Supervisor inquires"
- 5. City Attorney request.
- 6. Call File No. from Committee.
- 7. Budget Analyst request (attach written motion).
- 8. Substitute Legislation File No.
- 9. Request for Closed Session (attach written motion).
- 10. Board to Sit as A Committee of the Whole.
- 11. Question(s) submitted for Mayoral Appearance before the BOS on

Please check the appropriate boxes. The proposed legislation should be forwarded to the following:

- Small Business Commission Youth Commission Ethics Commission
- Planning Commission Building Inspection Commission

Note: For the Imperative Agenda (a resolution not on the printed agenda), use a different form.

Sponsor(s):

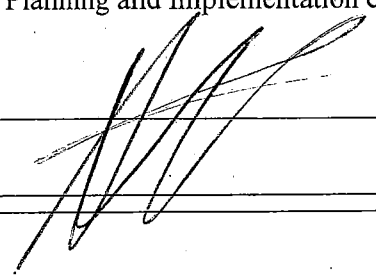
Supervisor Wiener

Subject:

Apply for, Accept and Expend – Metropolitan Transportation Commission Regional Discretionary Funding – \$2,380,000

The text is listed below or attached:

Resolution authorizing the Planning Department to apply for, accept and expend funds in the amount of \$2,380,000 from the Metropolitan Transportation Commission for local PDA Planning and Implementation efforts spanning Fiscal Years 2012-13 through 2015-16.

Signature of Sponsoring Supervisor: 

For Clerk's Use Only:

130022 ✓

