FILE NO. 130119

1	[Building Code - Mandato Evaluation Form Fee]	ry Seismic Retrofit Program - Wood-Frame Buildings; Optional
2	-	
3	Ordinance amending the	e Building Code to establish a Mandatory Seismic Retrofit
4	Program for wood-frame	e buildings of three or more stories or two stories over a
5	basement or underfloor	area that has any portion extending above grade, and
6	containing five or more	dwelling units where the permit to construct was applied for
7	prior to January 1, 1978,	and the building has not been seismically strengthened;
8	establishing a fee for ad	ministering the program; adopting environmental findings and
9	findings of local condition	ons under California Health and Safety Code, Section 17958.7;
10	establishing an operativ	e date; and directing the Clerk of the Board to forward the
11	legislation to specified S	State agencies.
12	NOTE:	Additions are <u>single-underline italics Times New Roman</u> ;
13		deletions are strike through italics Times New Roman. Board amendment additions are double-underlined;
14		Board amendment deletions are strikethrough normal.
15	Be it ordained by the	ne People of the City and County of San Francisco:
16	Section 1. General	Findings.
17	(a) At a duly notice	ed public hearing held on February 20, 2013, the Building Inspection
18	Commission considered the	nis ordinance.
19	(b) The Planning [	Department has determined that the actions contemplated in this
20	ordinance comply with the	e California Environmental Quality Act (California Public Resources
21	Code Section 21000 et se	eq.). Said determination is on file with the Clerk of the Board of
22	Supervisors in File No. 13	0119 and is incorporated herein by reference.
23	(c) In Section 1916	60 of the California Health & Safety Code, the State Legislature
24	declared that because of	he generally acknowledged fact that California will experience
25	moderate to severe eartho	quakes in the foreseeable future, increased efforts to reduce

earthquake hazards should be encouraged and supported. California Health and Safety Code
Section 19161 authorizes each city, city and county, or county to assess the earthquake
hazard in its jurisdiction and to identify buildings that are potentially hazardous to life in the
event of an earthquake. Health and Safety Code Section 19162 authorizes the governing
body of any city, city and county, or county to establish by ordinance seismic retrofit standards
for these buildings.

(d) Among the potentially hazardous buildings identified in Health and Safety Code
Section 19161 are wood-frame, multi-unit residential buildings constructed before January 1,
1978 having soft, weak or open front wall lines (a "soft-story condition"). These conditions
generally arise in a building because the first story has perimeter walls that have large
openings for garage doors or windows, has few interior partitions, and/or is constructed of
materials that have deteriorated over time.

13 (e) In enacting Health and Safety Code Section 19160 et seq., the State Legislature 14 found that residential buildings with a soft-story condition are particularly vulnerable to severe 15 damage and collapse. Their collapse can ignite fires that threaten trapped occupants and 16 neighboring buildings in the event of an earthquake and could complicate emergency 17 response. In addition, these buildings are an important component of the State's housing 18 stock that are in jeopardy of being lost in the event of a major earthquake. Soft-story 19 residential buildings were responsible for 7,700 of the 16,000 housing units rendered 20 uninhabitable by the Loma Prieta earthquake and over 34,000 of the housing units rendered 21 uninhabitable by the Northridge earthquake. As noted in subsection (j) of Health and Safety 22 Code Section 19160, the Association of Bay Area Governments estimates that soft-story 23 residential buildings will be responsible for 66 percent of the uninhabitable housing following a 24 seismic event on the Hayward fault. In subsections (I) and (n) of Health and Safety Code 25 Section 19160, the Seismic Safety Commission recommended to the State Legislature that

any mandatory mitigation programs adopted significantly reduce unacceptable hazards in
 buildings by 2020 and the Legislature stated its intent that local jurisdictions be encouraged to
 address the seismic safety of soft-story residential buildings and to initiate efforts to reduce
 the seismic risk in these vulnerable buildings.

5

6 Section 2. Findings of Local Conditions Under California Health and Safety Code
7 Section 17958.7.

(a) The Applied Technology Council (ATC) is a nonprofit organization that develops
and promotes state-of-the-art, user-friendly engineering resources and applications to mitigate
the effects of natural and other hazards on the built environment. Beginning in 1998, ATC was
contracted to perform a study called the San Francisco Community Action Plan for Seismic
Safety (CAPSS), which was initiated by the San Francisco Building Inspection Commission.
Under CAPSS, ATC, together with the CAPSS Public Advisory Committee, studied buildings
in San Francisco that are vulnerable to collapse or severe damage in an earthquake.

15 (b) The purpose of the CAPSS study was to develop earthquake safety policy 16 recommendations founded on clear technical bases. "Here Today, Here Tomorrow," ATC's 17 first policy report under CAPSS, was published in February 2009 and focused on the City's 18 wood-frame structures that have five or more residential units, three or more stories, and were 19 built before the adoption of codes regulating earthquake-resistant construction. It was 20 determined that the possible collapse of many of San Francisco's wood-frame, multi-story 21 buildings containing residential units represents one of the most significant earthquake 22 impacts to the City. The final CAPSS report, issued December 31, 2010, also addressed other 23 vulnerable building types that present risks to the people of the City and County of San 24 Francisco.

1 (c) In 2010, the San Francisco Planning and Urban Research Association (SPUR) 2 published a white paper entitled "The Resilient City - Part I," containing SPUR's 3 recommendations regarding how San Francisco can prepare for and rebound quickly from a 4 major earthquake. As noted in the Preface to "Here Today – Here Tomorrow," there has been 5 significant cooperation and communication between the CAPSS Public Advisory Committee 6 and SPUR's hazard mitigation task force. The CAPSS recommendations were strongly 7 influenced by SPUR's vision of city-wide mitigation actions to be taken to assure San 8 Francisco's speedy recovery after a future earthquake .

9 (d) At the request of participants in the CAPSS project, in May 2009 the Federal 10 Emergency Management Agency (FEMA) commissioned ATC to prepare guidelines for the 11 seismic retrofit of so-called soft-story wood frame buildings. Technical advisors to the CAPSS 12 project had concluded that existing engineering procedures were not adequate to fully 13 evaluate the complex behavior of these vulnerable buildings, and were not necessarily 14 yielding optimal retrofit designs. Those advisors recommended that new evaluation and 15 design procedures were needed to ensure more reliable, cost-effective engineering practices 16 for evaluation and retrofit and to provide guidance for practical and enforceable retrofit 17 regulations.

18 (e) In May 2012 FEMA issued a guidelines document entitled FEMA P-807, Seismic 19 Evaluation and Retrofit of Multi-Unit Wood-Frame Buildings with Weak First Stories, which 20 details procedures for the analysis and seismic retrofit of vulnerable wood-frame buildings that 21 are common in Northern and Southern California and the Pacific Northwest. The guidelines 22 are suitable for implementation through model code provisions that ensure uniform application 23 and enforcement. The retrofit requirements contained in this Ordinance allow the use of 24 FEMA P-807 and other approved methodologies. The retrofit provisions of FEMA P-807 focus 25 on projects in which work is limited to the first story and the second floor diaphragm. Such

Mayor Lee BOARD OF SUPERVISORS retrofits can improve performance and reduce risk of collapse but will not necessarily provide
 a comprehensive building retrofit to a specific performance objective.

- (f) In early 2010, then Mayor Newsom convened a Soft-Story Retrofit Task Force with
  the aim of crafting a mandatory seismic retrofit program for weak-story buildings in San
  Francisco, including consideration of a phased implementation program and possible
  financing mechanisms. In 2011, Mayor Lee initiated the Earthquake Safety Implementation
  Program (ESIP) to implement the recommendations of the CAPSS program, including
  completing the development of an ordinance for retrofit of weak-story buildings. That work has
  resulted in the mandatory seismic retrofit program established in this ordinance.
- (g) There are approximately 4,300 wood-frame buildings in San Francisco that were
  built before January 1, 1978, having five or more dwelling units and three or more stories. The
  CAPSS analysis determined that at least 2,800 of these may have a weak-story condition or
  similar vulnerability. These vulnerable buildings can be found throughout the City, most
  notably in the Mission, Western Addition, Richmond, North Beach, and Marina
  neighborhoods.
- 16 (h) California Health and Safety Code Section 19161(a)(2) has set January 1, 1978 as 17 a benchmark date for characterizing wood-frame, multi-unit residential buildings. This January 18 1, 1978 date supersedes the date of May 21, 1973 found in the San Francisco Building Code 19 that was previously used to distinguish obsolete structural designs from acceptable structures 20 of this building type. Under the California Health and Safety Code, buildings constructed after 21 January 1, 1978 are considered to have been designed to meet a life safety standard in the 22 design-basis earthquake, which has a two percent chance of occurring in any 50-year period. 23 In San Francisco, the design-basis earthquake is similar to a magnitude 7.9 earthquake on a 24 nearby segment of the San Andreas fault.
- 25

(i) Buildings located within the City's potential liquefaction zones may not perform as
well as buildings outside these mapped areas. These liquefaction zones are identified in the
Official Map of the State of California's Seismic Hazard Zones, which was signed by the State
Geologist and released on November 17, 2000. Notwithstanding these possible local
geological impacts, buildings in these areas will benefit significantly from the seismic retrofit
requirements of this ordinance.

7 (i) The CAPSS study estimates that as they now stand, 43 to 85 percent of the most 8 vulnerable multi-unit, wood-frame buildings would be posted with a red UNSAFE placard 9 ("red-tagged") following a magnitude 7.2 earthquake on a nearby segment of the San Andreas 10 fault, representing 1,200 to 2,400 red-tagged buildings. Red-tagged buildings are 11 uninhabitable and may not be occupied after an earthquake until they are either repaired or 12 replaced. A quarter of the red-tagged buildings, representing 300 to 850 multi-unit buildings, 13 would be expected to collapse. The CAPSS study estimates that with appropriate seismic 14 retrofit the overall rate of collapse in a 7.2 San Andreas fault earthquake drops dramatically.

15 (k) The CAPSS study found that about 58,000 people live in the subset of 2,800 16 buildings with the largest perimeter wall openings. These buildings house close to 2,000 17 businesses that employ an estimated 7,000 people. Without retrofit, the heavy damage that 18 these buildings are likely to sustain and the fires resulting from the earthquake would kill and 19 injure many people and disrupt many neighborhoods for years after an earthquake. This 20 disruption would displace tens of thousands of people from their homes and neighborhoods 21 and thus they could not contribute to bringing communities back to life. Small businesses 22 along neighborhood shopping streets would suffer severe impacts. Many of these buildings 23 contain rent-controlled apartments that might be rebuilt as condominiums rather than 24 apartment buildings or, if rebuilt as apartments, would be exempt from rent control. The

demographics and character of neighborhoods that experience substantial damage could
 change significantly.

3 (I) A resilient city is a city that can rebound from a natural disaster and quickly resume 4 normal function. The purpose of this ordinance is to promote the resiliency goals as identified 5 in the Community Safety Element of San Francisco's General Plan, as well as to protect the 6 health, safety, and welfare of San Francisco residents by reducing the possible collapse, 7 major structural damage, loss of housing stock, or risk of fire caused by an earthquake to the 8 most vulnerable wood-frame, residential buildings. This ordinance requires retrofits that will 9 greatly increase the probability of a building being safely occupiable within 24 hours of an 10 expected moderate earthquake, a measure of performance commonly referred to as "shelter-11 in-place," using criteria that limit retrofit costs. This moderate earthquake has a magnitude of 12 7.2 on the Peninsula segment of the San Andreas Fault. For most of the City, the shaking 13 associated with this scenario is expected to occur at least once during the useful life of a 14 structure and more than once if the structure is renovated periodically to extend its useful life. 15 (m) As the CAPSS study showed, the seismic retrofitting of multi-unit, wood-frame

buildings as required by this ordinance would dramatically reduce the consequences of
earthquakes to San Francisco by substantially reducing the collapse hazard and allowing up
to 58,000 San Franciscans to remain in their homes rather than be relocated to temporary or
emergency housing. It would retain significant amounts of housing, preserve architectural and
cultural attributes, contribute to sustainability through conservation of energy and resources,
improve public safety, and shorten the time that the City requires to recover from large
earthquakes.

23

Section 3. The San Francisco Building Code is hereby amended by adding Chapter
34B, to read as follows:

1	CHAPTER 34B
2	MANDATORY EARTHQUAKE RETROFIT OF WOOD-FRAME BUILDINGS
3	Section 3401B. Purpose and Intent. The purpose of this Chapter is to promote the health,
4	safety, and welfare of San Francisco residents as well as the ability of the City and County of San
5	Francisco to recover from a major earthquake by reducing the possibility of collapse, major structural
6	<u>damage, or risk of fire caused by an earthquake to certain wood-frame buildings.</u>
7	In furtherance of this purpose, this Chapter establishes seismic retrofit requirements intended to
8	significantly reduce the collapse risk of residential buildings with critically vulnerable lower stories
9	and to increase the likelihood that these buildings will be structurally safe to occupy shortly after an
10	earthquake. The engineering criteria established by this Chapter generally limit the structural retrofit
11	work to the ground story or to a basement or underfloor area that extends above grade where the most
12	critical vulnerabilities are typically located, thereby improving building performance while limiting
13	retrofit costs and impacts.
14	Section 3402B. Scope. This Chapter shall apply to existing buildings, including mixed-
15	occupancy buildings, that are Type V (wood-frame) construction of three or more stories or two stories
16	over a basement or underfloor area that has any portion extending above grade, and containing five or
17	more dwelling units and for which a permit for construction of a new building was applied for before
18	January 1, 1978 or which is determined by the Department to have been originally constructed before
19	<u>January 1, 1978.</u>
20	Exceptions:
21	<u>1. A building that has been seismically strengthened to meet or exceed the standards of</u>
22	Section 1604.11 of this Code or its predecessor provisions within 15 years prior to the operative date of
23	this Chapter is exempt from this Chapter upon the submittal of documentation showing that such work
24	was properly permitted, completed, and maintained as required by this Code, and that the Department
25	has approved such documentation.

1	2. A building that has completed voluntary seismic strengthening under the provisions of
2	Administrative Bulletin AB-094 is exempt from the requirements of this Chapter.
3	Section 3403B. Definitions.
4	In addition to the definitions in Chapter 2 of this Code, the following definitions shall apply for
5	purposes of this Chapter:
6	<b>DWELLING UNIT.</b> A dwelling unit shall include any individual residential unit within either
7	an R-1 or an R-2 occupancy building. It shall also include a guestroom, with or without a kitchen,
8	within either a tourist or residential hotel or motel but shall not include a "housekeeping room." A
9	dwelling unit shall include an area that is occupied as a dwelling unit, whether such is approved or
10	unapproved for residential use.
11	STORY. The first story of any building shall be considered a story, whether or not previously
12	exempted from story count under an earlier edition of the San Francisco Building Code.
13	Section 3404B. Compliance Requirements.
14	3404B.1. General. The owner of each building subject to this Chapter shall comply with the
15	reporting requirements of this section. If the building is not exempt and does not meet the minimum
16	criteria specified in this Chapter, the owner shall cause the building to be retrofitted to conform to such
17	criteria according to the compliance deadlines set forth in Table 34B-A. Notice of the compliance
18	requirements shall be given by the Department pursuant to Section 3405B.4.
19	3404B.2. Screening Form. The owner of a building who has been notified that their building is
20	within the scope of this Chapter as well as all other owners of buildings that may be subject to this
21	Chapter shall engage an architect or engineer to submit to the Department within the time limits set
22	forth in Table 34B-A a properly completed Screening Form.
23	Exception: Buildings exempt based on the exception in Section 3402B, Exception 2 of this
24	Chapter may complete and submit the required Screening Form without engaging an architect or
25	<u>engineer.</u>

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1	3404B.2.1. Required information. The Screening Form to be developed by the
2	Department shall be used to determine whether a building is or is not subject to the requirements of
3	this Chapter, and to assign a building to the appropriate Compliance Tier. The Screening Form shall
4	be completed by an architect as defined in Section 5500 of the California Business and Professions
5	Code or by a civil or structural engineer registered pursuant to the provisions of Section 6700 et seq. of
6	the California Business and Professions Code.
7	The submitted Screening Form shall include:
8	1. all information required by the Department to determine compliance
9	requirements, and
10	2. whether the building is exempt based on Section 3402B, Exception 1 of this
11	<u>Chapter, and</u>
12	3. a Declaration, based on a review of building information, of:
13	(a) whether the building is exempt because it is outside the scope of this
14	Chapter based on its date of original permit application or construction, number of dwelling units, or
15	number of stories, or
16	(b) if not exempt, the appropriate Compliance Tier.
17	3404B.2.2. Optional Evaluation Form. The Optional Evaluation Form to be developed
18	by the Department shall be used to determine if an existing building already meets the criteria of
19	Section 3406B.2 of this Chapter. The Optional Evaluation Form shall be completed by an architect as
20	defined in Section 5500 of the California Business and Professions Code or by a civil or structural
21	engineer registered pursuant to the provisions of Section 6700 et seq. of the California Business and
22	Professions Code. The Optional Evaluation Form shall be accompanied by a completed Screening
23	Form and shall include:
24	1. dates and scope of any seismic retrofit work, and
25	

2. plans and other information as the Department may require that are sufficient
to support the Declaration below, and
3. a Declaration of whether the building satisfies the evaluation criteria given in
Section 3406B.2 of this Chapter.
3404B.3. Compliance Tiers. Each building not exempt from this Chapter shall be assigned to
one of the following Compliance Tiers:
<u>1. Tier I: Buildings that contain a Group A, E, R-2.1, R-3.1 or R-4 occupancy on any</u>
<u>story.</u>
2. Tier II: Buildings containing 15 or more dwelling units, except for buildings assigned
<u>to Tier I or Tier IV.</u>
3. Tier III: Buildings not falling within the definition of another tier.
4. Tier IV: Buildings that contain a Group B or M occupancy on the first story or in a
basement or underfloor area that has any portion extending above grade, and buildings that are in
mapped liquefaction zones, except for buildings assigned to Tier I.
3404B.4. Application for a building permit. For each non-exempt and non-complying building,
the owner or the owner's authorized agent shall submit to the Department an application for a building
permit accompanied by the necessary permit submittal documents indicating the proposed seismic
retrofit. A permit for this seismic retrofit work may include minor ancillary work but shall be separate
from any other permits for building alterations or repairs unless such work is triggered by or integral
to the seismic retrofit work. No work other than is required under current codes shall be triggered by
this seismic retrofit work.
3404B4.1. Compliance deadlines. Compliance deadlines for the submission of the
Screening Form, Optional Evaluation Form, building permit application, and for completion of seismic
retrofit work are given in Table 34B-A. No transfer of title shall alter the time limits for compliance.

1	3404B4.2. Certificate of Final Completion and Occupancy. A Certificate of Final
2	Completion and Occupancy indicating completion of the required seismic retrofit work shall be
3	obtained upon completion of required seismic retrofit work.
4	3404B4.3. Damaged Buildings. Notwithstanding the provisions of the Table 34B-A
5	Compliance Deadlines, if an as-yet unretrofitted building subject to this Chapter suffers damage from
6	an earthquake or subsequent fire caused by the earthquake that renders the building uninhabitable,
7	results in structural damage that triggers retrofit under regulations adopted by the Department of
8	Building Inspection, or results in "disproportionate damage" as defined in this Code, such building
9	shall comply with the requirements of this Chapter and other applicable Sections of this Code within
10	one year of such damage. The Department may grant an extension of this time period for good cause.
11	Compliance with the provisions of this Chapter does not supersede the requirement to comply with
12	Section 3405.3 of this Code when otherwise required by this Code.
13	3404B.5. Historic Preservation. If any portion of the seismic retrofit work will be visible from
14	the exterior of the subject property and the San Francisco Planning Department determines that the
15	building is a historic resource, or if the interior of the building has been given landmark status, the
16	seismic retrofit work shall be conducted in accordance with guidelines developed by the San Francisco
17	Planning Department, taking into account provisions of the California Historical Building Code.
18	3405B. Program Implementation and Administration; Fee.
19	3405B.1. Administrative Bulletin. The Department shall prepare an Administrative Bulletin
20	detailing the procedural and implementation requirements for this Chapter. Such procedures shall be
21	generally consistent with the requirements set forth in this Chapter. The Administrative Bulletin may
22	require sign-posting and other public information that the Department determines is necessary or
23	appropriate.
24	

1	3405B.2. Compliance Deadlines.					
2	TABLE 34B-A					
3		<u>Compliance Dea</u>	dlines (in years <sup>1</sup> ).			
4						
5	Compliance Tier	Submission of Screening Form and	Submittal of Permit Application with Plans	Completion of Work And		
6		Optional Evaluation Form	for Seismic Retrofit Work	Issuance of CFC2		
	<u>I</u>	<u>1</u>	<u>2</u>	<u>4</u>		
7		<u><u>l</u></u>	3	5		
8	<u>III</u> IV	<u><u>1</u> 1</u>	$\frac{4}{5}$	<u>6</u> 7		
9		= are in years measured from	n 90 days after the operativ	ve date of this Chapter.		
10	<sup>2</sup> All time limits an	ed extensions of Chapter 14	A of this Code are applicab	le, except that all work is		
11	to be completed by Decer	nber 31, 2020, as recomm	ended in California Health	<u>&amp; Safety Code Section</u>		
12	<u>19160(l).</u>					
13	3405B.3. Administrative Fee. The fee for services provided by the Department under this					
14	Chapter shall be the Standard Hourly Rate for Plan Review and Administration set forth in Table 1A-D					
15	of this Code. There shall be no fee required for submittal or review of the Screening Form required by					
16	Section 3404B.2. A minimum fee corresponding to two hours for plan review and administration is					
17	payable upon submittal of a voluntary Optional Evaluation Form. Additional fees may be charged at					
18	the Standard Hourly Rate for additional work and will be payable within 30 days of the Department's					
19	notice that payment is due.					
20	<u>3405B.4. Notice.</u>					
21	3405B.4.1. Service of notice on owner. No later than 90 days after the operative date of					
22	this Chapter, the Department shall send a notice in accordance with Section 102A.4.2 of this Code to					
23	the owner of each buildin	ng believed to be within the	e scope of this Chapter. The	e notice shall inform the		
24	owner of the requirement to comply with the provisions of this Chapter, and shall be accompanied by a					
25	Screening Form and an i	nformational letter or broc	chure. Any person who beli	eves that a building that		

	1	is within the sco	ре о	f this Cha	pter has not	been so identi	fied b	y the De	partment may	v notify the
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- 2 Department of the address or location of such building. If the Department determines upon review of
- 3 *the building and/or building records that the building may be within the scope of this Chapter, the*
- 4 Department shall provide notice to the owner as provided in this Section.
  - 3405B.4.2. Failure to give or receive notice. If the owner of a building within the scope
- 6 of this Chapter has knowledge that they own such a building, then the failure of the Department to issue
- 7 *the notice required by this Section, or the failure of the owner to receive such a notice, shall not relieve*
- 8 the owner of the obligation to comply with the requirements of this Chapter within the time limits set
- 9 *forth in Table 34B-A. For a building not known to the Department to be within the scope of this*
- 10 <u>Chapter and whose owner or owners have no knowledge that the building is within the scope of this</u>
- 11 <u>Chapter, the time limits set forth in Table 34B-A shall commence upon an owner having actual or</u>
- 12 *constructive notice that the building may be within the scope of this Chapter. In no case, however, shall*
- 13 *the final completion date be extended without the approval of the Board of Examiners after hearing an*
- 14 *appeal pursuant to Section 3405B.5.*
- 15 <u>3405B.4.3. Notice to public on Department's website.</u> A list of the buildings by street
- 16 *address and by block and lot for which notice has been given under this Section shall be maintained*
- 17 *and made public on the Department's website.*
- 18 <u>3405B.5. Appeals. The owner of any building subject to this Chapter may appeal to the Board</u>
- 19 of Examiners any determination made by the Department with respect to compliance with the technical
- 20 requirements of this Chapter. Such appeal shall be in accordance with the provisions of Section 105A
- 21 of this Code. The time limits for compliance established by Table 34B-A shall not be extended during
- 22 *any appeal period unless specifically approved by the Board of Examiners. Any person may appeal a*
- 23 <u>determination of the Director related to this Chapter to the Building Inspection Commission pursuant</u>
- 24 to Chapter 77 of the San Francisco Administrative Code.
- 25

1	3405B.6. Enforcement. Whenever any required action has not been completed within the time
2	limits set forth in Table 34B-A, the Department shall abate the violation in accordance with Section
3	<u>102A of this Code.</u>
4	3405B.6.1. Posting of notice. An enforcement action shall, in every case, include the
5	Department posting of the building with a standard Department notice stating as follows:
6	"Earthquake Warning. This building is in violation of the requirements of the San Francisco
7	Building Code regarding earthquake safety."
8	This notice shall not be removed until the building is in compliance with this Chapter. This notice shall
9	also be recorded against the title of the building. The Building Official shall cause a release of such
10	notice to be filed with the Assessor-Recorder's Office upon conformance with the requirements of this
11	<u>Chapter.</u>
12	3406B Engineering Criteria for Evaluation and Retrofit.
13	3406B.1. General. This Chapter requires that evaluation and/or retrofit of buildings within its
14	scope be undertaken using the engineering criteria established in this section.
15	3406B.2. Engineering Criteria. A proposed seismic evaluation and/or retrofit plan shall
16	demonstrate that the building satisfies one of the following:
17	<u>1. FEMA P-807, Seismic Evaluation and Retrofit of Multi-Unit Wood-Frame Buildings</u>
18	With Weak First Stories, as detailed in an Administrative Bulletin to be prepared pursuant to 3406B.3
19	of this ordinance, with the performance objective of 50 percent maximum probability of exceedance of
20	Onset of Strength Loss drift limits with a spectral demand equal to 0.50 SMS, or
21	2. ASCE 41-13, Seismic Evaluation and Rehabilitation of Existing Buildings, with the
22	performance objective of Structural Life Safety in the BSE-1E earthquake, or
23	3. ASCE 41-06, Seismic Rehabilitation of Existing Buildings, with the performance
24	objective of Structural Life Safety in the BSE-1 earthquake with earthquake loads multiplied by 75
25	percent, or

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1	4. for evaluation only, ASCE 31-03, Seismic Evaluation of Existing Buildings, with the
2	performance level of Life Safety, or
3	5. for retrofit only, 2012 International Existing Building Code (IEBC) Appendix A-4, or
4	6. any other rational design basis deemed acceptable by the Department that meets or
5	exceeds the intent of this Chapter.
6	3406B.3. Alternative Retrofit Criteria. A proposed seismic retrofit plan which fails to meet the
7	criteria of 3406B.2(1) or 3406B.2(5) shall be deemed to comply with this Chapter if, with the approval
8	of the Department, it satisfies the intent of FEMA P-807, Section 6.4.2 with a maximum acceptable
9	Onset of Strength Loss drift limit probability of exceedance of 70 percent.
10	<b>Exception:</b> Alternative retrofit criteria shall not apply to buildings in which the critical stories.
11	basements, or underfloor areas contain other than parking, storage, or utility uses or occupancies.
12	3406B.4. Administrative Bulletin for Technical Requirements. The Department shall develop
13	and publish one or more Administrative Bulletins that detail the technical requirements to be used for
14	the evaluation and retrofitting of buildings required to meet the criteria established in Section 3406B.2.
15	3406B.5. Conformance Period. Any building retrofitted in compliance with this Chapter and
16	properly maintained, shall not, within a period of 15 years after the operative date of this Chapter, be
17	identified as a seismic hazard pursuant to any local building standards adopted after the date of the
18	building seismic retrofit unless the building incurred disproportionate damage, or otherwise has been
19	damaged or altered so that it no longer meets the engineering criteria under which it was retrofitted.
20	3406B.6. Compliance with this Chapter does not supersede the requirements for compliance
21	with Section 3401.B when otherwise under Chapter 34 of this Code. A permit issued solely for
22	compliance with the provisions of this Chapter shall not be considered a "substantial change" or
23	<i>"structural work" as defined in Chapter 34 and compliance with Section 3401.8 will not be</i>
24	requirement by such work.
25	

1	Section 4. The City intends to consider the creation of a voluntary special tax financing
2	program to provide financing for the seismic retrofit work required by Chapter 34B. Under this
3	program, the City would issue bonds on behalf of property owners to finance the required
4	seismic retrofit work on participating properties, and each participating property owner would
5	pay special taxes in an amount sufficient to pay its share of the debt service on the bonds.
6	The financing would be voluntary; only those property owners who elect to participate in the
7	program would receive the benefit of the financing and would be obligated to pay special
8	taxes. Such tax financing program does not obligate the City to pay the special taxes.
9	
10	Section 5. Outreach and Assistance. (a) The Department, with the assistance of other
11	City agencies, intends to implement a comprehensive outreach and assistance program to
12	provide property owners, tenants, design professionals, contractors, and other interested
13	parties with information about the procedures and technical standards necessary to
14	implement the requirements of this Chapter. Information and assistance to be provided would
15	include, but not be limited to, notice of requirements and standards, assistance with
16	preparation of required forms and permit submittal documents, guidance on available finance
17	options, and general assistance in understanding and complying with the requirements of this
18	Chapter.
19	(b) The City intends that each landlord who files an application for certification of
20	capital improvement costs under Administrative Code §37.7(c)(3) concurrently provide written
21	notice of hardship application procedures to each affected unit, and sign a declaration under
22	penalty of perjury so attesting, and that City Administrative Code Chapter 37 "Residential Rent
23	Stabilization and Arbitration Ordinance" be amended within 12 months of the effective date of
24	this Ordinance to address this provision.
05	

Section 6. Reporting. The Department shall maintain current information about
 program implementation, including the number of buildings at each stage of compliance,
 program administration and budget, and shall annually provide a report to the Mayor and the
 Board of Supervisors.

5

6 Section 7. Undertaking for the General Welfare. In enacting and implementing this 7 ordinance, the City is assuming an undertaking only to promote the general welfare. It is not 8 assuming, nor is it imposing on its officers and employees, an obligation for breach of which it 9 is liable in money damages to any person who claims that such breach proximately caused 10 injury.

11

Section 8. Severability. If any section, subsection, sentence, clause, phrase, or word of this ordinance is for any reason held to be invalid or unconstitutional by a decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of the ordinance. The Board of Supervisors hereby declares that it would have passed this ordinance and each and every section, subsection, sentence, clause, phrase, and word not declared invalid or unconstitutional without regard to whether any other portion of this ordinance would be subsequently declared invalid or unconstitutional.

19

20 Section 9. Effective and Operative Date. This ordinance shall become effective 30 21 days after the date of passage and operative 60 days after the date of passage.

22

Section 10. This section is uncodified. In enacting this ordinance, the Board intends to
 amend only those words, phrases, paragraphs, subsections, sections, articles, numbers,
 punctuation, charts, diagrams, or any other constituent part of the Building Code that are

1	explicitly shown in this legislation as additions, deletions, Board amendment additions, and
2	Board amendment deletions in accordance with the "Note" that appears under the official title
3	of the legislation.
4	
5	Section 11. Directions to Clerk of the Board. The Clerk of the Board is directed to
6	forward this ordinance to the State Building Standards Commission after final passage, as
7	required by Health and Safety Code Section 17958.7. The Clerk is further directed to send a
8	copy of the finally-passed ordinance to the California Department of Housing and Community
9	Development for informational purposes, as required by Health and Safety Code Section
10	19165.
11	
12	APPROVED AS TO FORM:
13	DENNIS J. HERRERA, City Attorney
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15	JUDITH A. BOYAJIAN Deputy City Attorney
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