

1 [Appropriating \$587,756,000 for Wastewater Capital Improvements at the Public Utilities  
2 Commission for FY2012-2013 and FY2013-2014]

3 **Ordinance appropriating \$587,756,000 of proceeds from revenue bonds, wastewater**  
4 **revenue, state grants, and bond interest income for the San Francisco Public Utilities**  
5 **Commission (SFPUC) Wastewater Enterprise's Capital Improvement Program,**  
6 **comprised of \$299,402,000 for FY2012-2013, and \$288,354,000 for FY2013-2014, and**  
7 **placing \$492,809,000 by project on Controller's reserve subject to SFPUC's and Board**  
8 **of Supervisors' discretionary approval following completion of project-related analysis**  
9 **pursuant to the California Environmental Quality Act, where required, and receipt of**  
10 **proceeds of indebtedness, accept and expend a Department of Water Resources**  
11 **administered grant in the amount of \$24,147,000 and allow reimbursement of prior**  
12 **expenditures, funded from Wastewater Revenue Bonds, to be funded by the grant**  
13 **retroactive to December, 2011; notably if the State delays the disbursement of the**  
14 **\$24,147,000 in grant funding, Wastewater revenue bonds may be substituted as a**  
15 **source for the grant funds.**

16  
17 Be it ordained by the People of the City and County of San Francisco:

18  
19 Section 1. The sources of funding outlined below are herein appropriated to reflect the  
20 funding available for FY2012-2013 and FY2013-2014.

21  
22 Section 2. Authorize the San Francisco Public Utilities Commission to accept and expend a  
23 Department of Water Resources administered grant in the amount of \$24,147,000 for two  
24 SFPUC Wastewater Enterprise Stormwater Flood Management Projects.

1 **SOURCES Appropriation**

2	Fund	Index Code /	Subobject	Description	Amount
3		Project Code			
4	5C CPF XXX – WWE	*CWP5CCPFXXX /	48999	Other State	\$13,047,000
5	Operating Grants –	CENMSCIC11 – Cesar		Grants	
6	State Fund	Chavez Sewer Repair			
7					
8	5C CPF XXX – WWE	*CWP5CCPFXXX /	48999	Other State	\$11,100,000
9	Operating Grants –	CENMSCIC23 – Sunnydale		Grants	
10	State Fund	Sewer Repair			
11					
12	5C CPF 13A - WWE-	*CWP5CCPF13A / –	80111	Proceeds Sale	\$492,809,000
13	Capital Projects-2013A	WWE30001 - Wastewater		of Bonds	
14	Bond Fund	Bond/Commercial Paper			
15					
16	5C CPF R&R – WWE	*CWWPZZZC505C-	9505C	ITI – from	\$70,000,000
17	Renewal &	CWWRNRCS		Wastewater	
18	Replacement Fund			Funds	
19					
20					
21	5C CPF 10B – 2010B	*CWP5CCPF10B –	99999B	Interest Income	\$800,000
22	WWE Revenue Bond	WWE30001 - Wastewater		– Fund Balance	
23	Fund(Taxable BAB)	Bond/Commercial Paper			
24					
25	<b>Total SOURCES Appropriation</b>				<b><u>\$587,756,000</u></b>

1 Section 3. The uses of funding outlined below are herein appropriated in Subobject 06700  
 2 Buildings Structures and Improvements, 06R00 Capital Renewal Projects, 07311 Bond  
 3 Issuance Cost-Unamortized, 081C4 Internal Audits and 081XX Revenue Bond Oversight  
 4 Committee and reflects the projected uses of funding to support the Wastewater Capital  
 5 Improvement Program at the San Francisco Public Utilities Commission for FY2012-2013 and  
 6 FY2013-14.

7

8 **USES Appropriation**

9	Fund	Index Code /	Subobject	Description	Amount
10		Project Code			
11	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	SSIP – Program-	\$23,000,000
12	Capital Projects-	CWWSIPPR	Structures, and	wide Management	
13	2013A Bond Fund		Improvements		
14					
15	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Biofuel/Alternative	\$5,000,000
16	Capital Projects-	CWWBAE	Structures, and	Energy Study	
17	2013A Bond Fund		Improvements		
18					
19	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Biosolids/Digester	\$58,000,000
20	Capital Projects-	CWWSIPDP	Structures, and	Project	
21	2013A Bond Fund		Improvements		
22					
23	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Treatment Plant	\$73,000,000
24	Capital Projects-	CWWSIPSE	Structures, and	Improvements -	
25	2013A Bond Fund		Improvements	Southeast	

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3					
4	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Treatment Plant	\$38,000,000
5	Capital Projects-	CWWSIPTP	Structures, and	Improvements	
6	2013A Bond Fund		Improvements		
7					
8	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Central Bayside	\$16,000,000
9	Capital Projects-	CWWSIPCT	Structures, and	System	
10	2013A Bond Fund		Improvements	Improvements	
11					
12	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Collection System	\$57,306,000
13	Capital Projects-	CWWSIPCS	Structures, and	Improvements	
14	2013A Bond Fund		Improvements		
15					
16					
17	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Flood Control	\$55,601,000
18	Capital Projects-	CWWSIPFC	Structures, and	Improvements	
19	2013A Bond Fund		Improvements		
20					
21					
22	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Aging Infrastructure	\$1,747,000
23	Capital Projects-	CENMSCICTF	Structures, and	- Wastewater	
24	2013A Bond Fund		Improvements	Enterprise Facilities	
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C AGT STA – WWE	CWPX5CAGTSTA /	06700 Buildings,	Cesar Chavez	\$13,047,000
4	Operating Grants –	CENMSCIC11	Structures, and	Sewer Repair	
5	State Fund		Improvements		
6	5C AGT STA – WWE	CWPX5CAGTSTA /	06700 Buildings,	Sunnydale Sewer	\$11,100,000
7	Operating Grants –	CENMSCIC23	Structures, and	Repair	
8	State Fund		Improvements		
9					
10	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Renewal &	\$67,906,000
11	Capital Projects-	CWWRNRCS	Structures, and	Replacement –	
12	2013A Bond Fund		Improvements	Collection System	
13					
14	5C CPF R&R – WWE	WWECS5CPFRNR	06R00 Capital	Renewal &	\$46,556,000
15	Renewal &	CWWRNRCS	Renewal Projects	Replacement –	
16	Replacement Fund			Collection System	
17					
18	5C CPF 10B - 2010B	CWPX5CCPF10B /	06700 Buildings,	Renewal &	\$800,000
19	WWE Revenue Bond	CWWRNRCS	Structures, and	Replacement –	
20	Fund (Taxable BAB)		Improvements	Collection System	
21					
22	5C CPF R&R – WWE	WWETF5CPFRNR	06R00 Capital	Renewal &	\$20,444,000
23	Renewal &	CWWRNRTF	Renewal Projects	Replacement –	
24	Replacement Fund			Collection System	
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Treasure Island	\$5,470,000
4	Capital Projects-	CWWP11001	Structures, and	Improvements	
5	2013A Bond Fund		Improvements		
6					
7	5C CPF R&R – WWE	WWETF5CPFRNR	06R00 Capital	Ocean Beach	\$3,000,000
8	Renewal &	CWWFAC01	Renewal Projects	Visioning Process	
9	Replacement Fund				
10					
11	5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	Collection Division	\$20,000,000
12	Capital Projects-	CWWFAC01	Structures, and	Consolidation	
13	2013A Bond Fund		Improvements		
14					
15	5C CPF 13A - WWE-	CWP15CCPF13A /	06700 Buildings,	Southeast	\$7,500,000
16	Capital Projects-	CWWFAC01	Structures, and	Community Center	
17	2013A Bond Fund		Improvements	Improvements	
18					
19	5C CPF 13A - WWE-	CWPX5CCPF13A /	07311 Bond	Financing Costs	\$62,857,083
20	Capital Projects-	CWW30001	Issuance Cost-		
21	2013A Bond Fund		Unamortized		
22					
23	5C CPF 13A - WWE-	CWPX5CCPF13A /	081C4 Internal	City Services	\$1,175,512
24	Capital Projects-	CWW30001	Audits	Auditor	
25	2013A Bond Fund				

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3					
4	5C CPF 13A - WWE-	CWPX5CCPF13A /	081XX Revenue	Revenue Bond	\$246,405
5	Capital Projects-	CWW30001	Bond Oversight	Oversight	
6	2013A Bond Fund		Committee	Committee	
7					
8	<b>Total USES Appropriation</b>				<b><u>\$587,756,000</u></b>

10 Section 4. Of the above appropriated amount, \$1,046,954, representing 0.2% of the  
11 expenditure budget is to be allocated and available to support the Controller’s Audit Fund,  
12 pursuant to Charter Appendix F1.113; and \$246,405, representing 0.05% of gross bond  
13 proceeds is to be allocated and available to support the Public Utilities Commission Revenue  
14 Bond Oversight Committee, pursuant to Administrative Code Section 5A.31. These  
15 appropriations may be increased or decreased by the Controller based on changes to  
16 expenditure appropriations or actual gross bond proceeds to conform to the applicable  
17 Charter and Administrative Code formulas.

19 Section 5. Of the above appropriated amount, \$62,857,083, is appropriated for bond financing  
20 costs, including but not limited to, issuance costs, debt service reserve, capitalized interest,  
21 rating agency, and disclosure costs, all on controllers reserve pending receipt of bond  
22 proceeds. To the extent that net available bond proceeds after financing costs are more than  
23 budgeted, the Department may use such surplus bond proceeds as a substitute for other  
24 sources budgeted in this appropriation.

1 Section 6. \$492,809,000 of the total appropriation is hereby placed on Controller's  
2 Appropriation Reserve by project. Release of appropriation reserves by the Controller is  
3 subject to the prior occurrence of: 1) the SFPUC's and the Board of Supervisors' discretionary  
4 adoption of CEQA findings for projects, following review and consideration of completed  
5 project-related environmental analysis, where required, pursuant to CEQA, the State CEQA  
6 Guidelines, and Chapter 31 of the San Francisco Administrative Code, and 2) the Controller's  
7 certification of funds availability, including proceeds of indebtedness including Commercial  
8 Paper capacity

9  
10 Section 7. Expenditure authority supported by FY2013-14 operating revenues shall be placed  
11 on Controller's Reserve until July 1, 2013.

12  
13 Section 8. The Controller is authorized to record transfers between funds and adjust the  
14 accounting treatment of sources and uses appropriated in this ordinance as necessary to  
15 conform to Generally Accepted Accounting Principles.

16  
17 APPROVED AS TO FORM: FUNDS AVAILABLE:  
18 DENNIS J. HERRERA, City Attorney BEN ROSENFELD, Controller

19  
20  
21 By: \_\_\_\_\_ By: \_\_\_\_\_  
22 Deputy City Attorney Date: 5/1/2012

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24  
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